



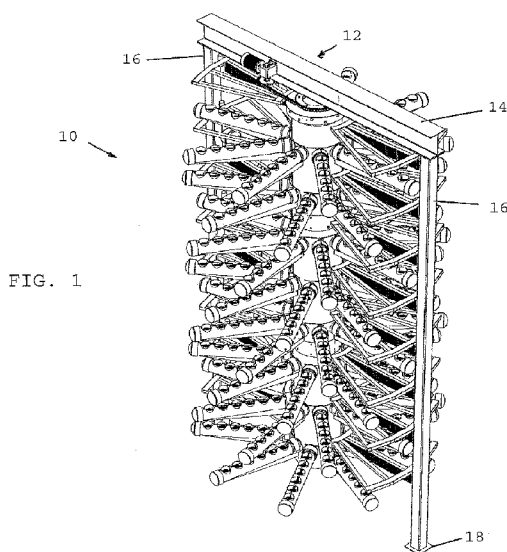
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(54) Title: METHOD AND APPARATUS FOR AUTOMATED VERTICAL HORTICULTURE AND AGRICULTURE



(57) Abstract: A method and apparatus for continuous automated growing of plants utilizes a vertical array of plant supporting arms extending radially from a central axis. Each arm has a plurality of pot receptacles which receive the plant seedling and liquid nutrients and water. The potting arms are rotated beneath grow lamps and pollinating arms. The frequency of feeding is increased as the plants grow. CO₂ enriched air may also be provided. Once the plants are ready to harvest, they are manually exchanged for new seedlings and packaged.



**METHOD AND APPARATUS FOR AUTOMATED VERTICAL
HORTICULTURE AND AGRICULTURE**

Cross Reference To Related Application

- 5 [0001] The present application claims the benefits, under 35 U.S.C. §119(e), of U.S. Provisional Application Serial No. 61/942,500 filed February 20, 2014 entitled “Method and Apparatus for Automated Vertical Horticulture and Agriculture” which is incorporated herein by this reference.

10 Technical Field

- [0002] The invention relates to the fields of horticulture, hydroponics and agriculture and particularly apparatus and methods for automated commercial growth and production of plants in controlled environments.

15 Background

- [0003] Traditionally the commercial horticultural and agricultural growth of plants has been carried out in nurseries and greenhouses, where the plants are arranged horizontally and are stationary. More efficient methods have more recently been developed, some of which are referred to as ‘vertical farming’.
- 20 The present inventor, for example, in United States patents 7415796, 7533494, 7559173, 7818917 and 7984586 disclosed methods of growing plants using a rotating vertical carousel of rotating spheres, each having a central light source around which rows of plants are rotated, to thereby increase the productivity of plant growth in a given area. However
- 25 harvesting of mature plants from such systems can be complicated and time consuming.

- [0004] The foregoing examples of the related art and limitations related thereto are intended to be illustrative and not exclusive. Other limitations of the related
- 30 art will become apparent to those of skill in the art upon a reading of the specification and a study of the drawings.

Summary

- [0005] The following embodiments and aspects thereof are described and illustrated
- 35 in conjunction with systems, tools and methods which are meant to be exemplary and illustrative, not limiting in scope. In various embodiments, one or more of the above-described problems have been reduced or eliminated, while other embodiments are directed to other improvements.

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[0006] The present invention provides a method and apparatus for continuous automated growing of plants. A vertical array of plant supporting arms extends radially from a central axis. Each arm has a plurality of pot
5 receptacles which receive the plant seedling and liquid nutrients and water. The potting arms are rotated beneath grow lamps and pollinating arms. The frequency of feeding is increased as the plants grow. CO² enriched air may also be provided. Once the plants are ready to harvest, they are manually exchanged for new seedlings and packaged.

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[0007] In addition to the exemplary aspects and embodiments described above, further aspects and embodiments will become apparent by reference to the drawings and by study of the following detailed descriptions.

15 Brief Description of Drawings

[0008] Exemplary embodiments are illustrated in referenced figures of the drawings. It is intended that the embodiments and figures disclosed herein are to be considered illustrative rather than restrictive.

[0009] Fig. 1 is a perspective view of a growing unit for carrying out the method of
20 the invention, with light bulbs removed for ease of illustration;

[00010] Fig. 2 is a perspective view of the growing unit shown in Fig. 1 with lighting fixtures swung out of operating position;

[00011] Fig. 3 is a front view of the growing unit shown in Fig. 1;

[00012] Fig. 4 is a side view of the growing unit shown in Fig. 1;

25 [00013] Fig. 5 is a front view of the growing unit shown in Fig. 1 with lighting fixtures swung out of operating position; and

[00014] Fig. 6 is a detail of the perspective view shown in Fig. 1.

Description

30 [00015] Throughout the following description specific details are set forth in order to provide a more thorough understanding to persons skilled in the art. However, well known elements may not have been shown or described in detail to avoid unnecessarily obscuring the disclosure. Accordingly, the description and drawings are to be regarded in an
35 illustrative, rather than a restrictive, sense.

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- 5 [00016] With reference to Fig. 1, a growing unit for automated vertical cultivation and harvesting of plants is designated generally as 10. It has a frame 12 including a horizontal beam 14 and vertical posts 16, the lower ends of which have flanges or feet 18 fixed to the floor of the growing facility.
- 10 [00017] Rotating planter assembly 20 (Fig. 3) is suspended from beam 14. It includes a central drainage tube 22 from which a plurality of potting arms 24 are attached and extend radially. As shown in Fig. 3, central drainage tube 22 may comprise three tubing sections 26, 28, 30 secured together. Such sections may be PVC. In the embodiment shown there are 8 horizontal levels of potting arms 24 with 9 potting arms per level.
- 15 [00018] Potting arms 24 are each preferably PVC pipes, 6 inches in diameter and 2 feet or 4 feet long, closed at end 32 and attached to central drainage pipe 22 at the opposite end so that liquid flows from the interior of potting arms 24 into central drainage pipe 22 and out the bottom 34 of central drainage pipe 22 through a drainage outlet (not shown). Each potting arm 24 is provided with a plurality of pot receptacles 36, six per potting arm as shown, which are each sized to receive a seedling plant in a soil cylinder. Each pot receptacle is perforated to permit the flow of fluids from recess 36 into the interior of potting arm 24. Potting arms 24 are supported on brackets 38 and connect to central drainage pipe 22 by a fluid sealed pipe fitting.
- 20
- 25
- 30 [00019] Horizontal light fixtures 40, shown in Fig. 2, are hingedly connected to vertical posts 16 at hinge 42 to permit them to swing into operational position shown in Fig. 1 and out of operational position as shown in Fig. 2. Fixtures 40 each have a frame formed of inner arc 44, outer arc 46 and radial frame members 48. Each fixture may carry ballasts and electrical connections for 10 T5 HO fluorescent bulbs, with electricity provided through connections 42 from vertical posts 16, controlled by a remotely controlled electrical switch. While fluorescent lamps are preferred, other growth promoting lights can be used, such as light emitting diodes (LEDs), high pressure sodium
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lamps, metal halide lamps or incandescent light bulbs. The electrical control switches may be programmed to provide a coordinated light cycle (photoperiod) for the plants at each growth stage and depending on the particular plant.

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[00020]

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Attached to the central radial frame member 48 of each fixture 40 is a mechanical pollinator arm 50, formed of a suspended strip of micro-fibre strands 52 (see Fig. 6). Fixtures 40 can be individually raised or lowered on posts 16 by electrically powered activators, and activators may also provide power to swing each fixture 40 into and out of operating position as described above. Preferably the mechanical pollinator is kept at a height whereby the bottom 2 inches of the strands 52 brush over plants carried by the potting arms 24. Since the length of the strands 52 will typically be 4 or 5 inches, and the plants may reach a height of as much as 10 inches, there should be a clearance of about 14 inches between the fixture 40 and potting arms 24. As the plants grow, the fixtures 40 are elevated higher on post 16. An ultrasonic vibrator on or connected to each fixture 42 can also be provided to enhance the pollination activity of the mechanical pollinators 50. Also provided on the frame members 48 of each fixture 40 is an air emitting nozzle arm (not shown) which emits CO²-enriched air onto the potting arms 24. Preferably compressed air is provided through an air line extending up vertical post 16 and through hinge element 42 and emits CO²-enriched air onto the plants in potting arms 24.

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[00021]

With reference to Fig. 6, water and food is provided to plants in pot receptacles 36 by drip emitters 70 connected to and supplied by feed line 72. Drip emitters 70 are of the usual type used in greenhouses, hydroponics and other horticultural applications to provide a slow drip feed. Liquid feed lines 72 thus supply liquid nutrient solution to the pot receptacles 36 on each level through drip emitters 70. Liquid nutrient is delivered to the liquid feed lines 72 from feed tanks (not shown).

- 5 -

- [00022] With reference to Fig. 6, drive system 60 has an electric motor 62, driving sprocket 64 which drives chain 66 which in turn drives sprocket 68 attached to central drainage pipe 22. Drive system 60 thus when activated rotates central drainage pipe 22 and attached potting arms 24 at a slow rate of rotation. Depending on the stage of growth and types of plants a typical rate of rotation is 4 rotations per hour. Rotation can be in either direction.
- 5
- [00023] As an alternate embodiment (not shown), central discharge pipe 22 can be replaced with a circular array of chains, hanging downwardly from a circular drive plate attached to sprocket 68. Potting arms 24 are clipped onto brackets bolted onto the chains, one per chain. For example 16 arms can be attached per level, with 13 levels of arms and 4 pots per arm. Drainage may be carried through pipes on each chain as well as air lines and feed lines.
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- 15
- [00024] In operation seeded germination pucks are prepared in a separate location and each plant goes through a first germination stage prior to being placed in growing unit 10. After a sufficient germination period, and once the plants are ready to be transferred to the growing unit 10, a wheeled scissor lift is used to load the plants into the pot receptacles 36 on each level of potting arms 24. This is done manually on each scissor lift. One end of a conveyor is connected to the scissor lift and the plants are loaded on the other end of the conveyor. Scissor lifts are motorized to permit the scissor lifts to service a number of growing units 10. The plants remain on each growing unit 10 until they are ready to harvest. Once the plants are sufficiently mature, they are manually removed from each level onto a scissor lift and loaded onto a conveyor. Preferably new seedlings replace each harvested plant at the same time the plants are harvested. The plants can also be packaged at the time of harvesting on the scissor lift before being placed in the conveyor, and then stored in cold storage.
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- 35 [00025] The growing facility may house a large number of growing units 10 and may also include the germination area, a packaging area, cold

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storage, cleaning area, seeding area and a feed tank storage area. In the example shown, each growing unit 10 handles 432 plants on a floor space of about 144 square feet for 4 foot potting arms 24.

5 Example - Strawberries

[00026] An example of application of the invention to the production of strawberries is described as follows. The preferred liquid nutrient solution mixes are:

10 i) a Bacterial Compost Tea mixed by, for each 20L of filtered water adding

1.5 pounds (700g) bacterial compost or vermicompost

3-4 tablespoons (45-60ml) liquid black strap molasses

4 teaspoons (23g) dry soluble kelp or 2 tablespoons of liquid kelp

15 3-4 teaspoons (15-20ml) fish emulsion

ii) as a fertilizer/nutrient solution, PURA VIDA™ GROW produced by Technaflora Plant Products of Mission BC, Canada. EDTA Iron is added at 20 ppm to the final solution. 1 gallon of compost tea is added for each 50 gallons of the feed solution with each new batch mixture.

[00027] In the germination stage, strawberry seeds are planted into Jiffy™ peat pucks (preferably Item # 70000591), which are seed starting plugs. After about a week the plants are sprayed with the full strength compost tea solution at 5.8 pH. For the second week the media is soaked once per day with a 400 ppm fertilizer solution at 5.8 pH. After about 15 days the seedlings are transplanted into molded plastic pots 85 filled with 75% Botanicare™ Cocogro® Coir Fiber media to 25% perlite. Botanicare ZHO™ Root Inoculant is added according to the label directions and also added is 1 tbsp dolomite lime per gallon of media saturated in the same compost tea mix used in the seeding process. The pots are then placed in pot receptacles 36 on each level of growing unit 10. The temperature is maintained at 62 degrees F, the humidity is maintained at 68% and the light cycle is kept at 18 hours On, 6 hours Off. Rotation of the unit is 4 revolutions per hour.

5 At days 15-30, the drip emitters are activated once a day with the fertilizer solution at 540 ppm at 5.8 pH. After about 30 days, the media is saturated at 1 EC (electrical conductivity) and plants are sprayed with the full strength compost tea solution brewed as above at 5.8pH. From Days 30 - 45, the emitters are activated twice a day with the nutrient solution at 640 ppm at 5.8 pH. At day 45 the plants are harvested.

10 [00028] Thus using the invention, a continuous automated and controlled production of plants can be obtained. Different lighting, temperatures, humidity and nutrition can be programmed for the different growth stages of a crop and also for different crops. This can be done remotely by computer. The land space required to produce a crop is dramatically reduced and can be further reduced by increasing the height of the growing units 10. The entire process can be automated using robots to transfer the plants at different stages.

20 [00029] While the present apparatus and method are well-suited for strawberry production, many other types of plants can also be effectively produced using the present apparatus and method, such as lettuce, spinach, herbs, grape seedlings and tomato seedlings.

25 [00030] While a number of exemplary aspects and embodiments have been discussed above, those of skill in the art will recognize certain modifications, permutations, additions and sub-combinations thereof. It is therefore intended that the invention be interpreted to include all such modifications, permutations, additions and sub-combinations as are within their true spirit and scope.

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WHAT IS CLAIMED IS:

1. Apparatus for continuous automated growing of plants, comprising:
 - 5 a) a frame comprising a horizontally-extending frame element supported by vertical frame elements;
 - b) support means rotatably suspended vertically from said horizontally-extending frame element and rotatable about an axis;
 - 10 c) a plurality of vertically spaced, horizontal, generally co-planar arrays of hollow plant supporting arms extending radially from said support means wherein each plant supporting arm is provided with a plurality of pot receptacles which are each sized to receive a seedling plant in a soil cylinder;
 - d) a plurality of light- supporting elements, each supported by said frame above a vertically spaced, horizontal, generally co-planar array of hollow plant supporting arms;
 - 15 e) a plurality of electric growth-promoting lights mounted on said light- fixture supporting elements;
 - f) a plurality of liquid supply lines communicating with each pot receptacle and supplied by a liquid feed line to provide water and liquid nutrient to each said pot receptacle; and
 - 20 g) a motor for rotating said support means about said axis thereby rotating said plant supporting arms beneath grow lamps;wherein the interior of said potting arms communicates with the support means and each said pot receptacle is in liquid communication with the interior of the related plant supporting arm, whereby liquid flows from each
25 said pot receptacle through the related plant supporting arm and into said support means.
2. The apparatus of claim 1 wherein said support means comprises a hollow
30 pipe.
3. The apparatus of claim 1 further comprising a source of CO² enriched air for delivery to the vicinity of one or more of said pot receptacles.
- 35 4. The apparatus of claim 1 further comprising a plurality of pollinator arms attached to said light- supporting elements.

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5. The apparatus of claim 4 wherein said pollinator arm comprises micro-fibre strands.
6. The apparatus of claim 4 further comprising an ultrasonic vibrator connected to the support for said pollinator arm to enhance the pollination activity.
7. The apparatus of claim 6 wherein said light- supporting elements can be individually raised, lowered, or pivoted by motors.
8. A method for continuous automated growing of plants, comprising:
- a) providing a plurality of vertically spaced, horizontal, generally co-planar arrays of hollow plant supporting arms extending radially from a rotatable support means wherein each plant supporting arm is provided with a plurality of pot receptacles which are each sized to receive a seedling plant in a soil cylinder and each provided with a source of liquid nutrients and water;
 - b) placing a plurality of seedlings in soil cylinders in said plurality of pot receptacles;
 - c) rotating said rotatable support to thereby rotate the plant supporting arms beneath grow lamps and pollinating arms;
 - d) periodically providing water and liquid nutrients to each said pot receptacle;
 - e) providing CO² enriched air to said pot receptacles;
 - f) increasing the frequency of feeding as the plants grow over time;
 - g) once the plants are ready to harvest, removing said plants.
9. The method of claim 8 wherein said pollinator arms comprise micro-fibre strands and the height of said pollinator arms is adjusted so that the ends of said microfibre brush the plants in said pot receptacles.

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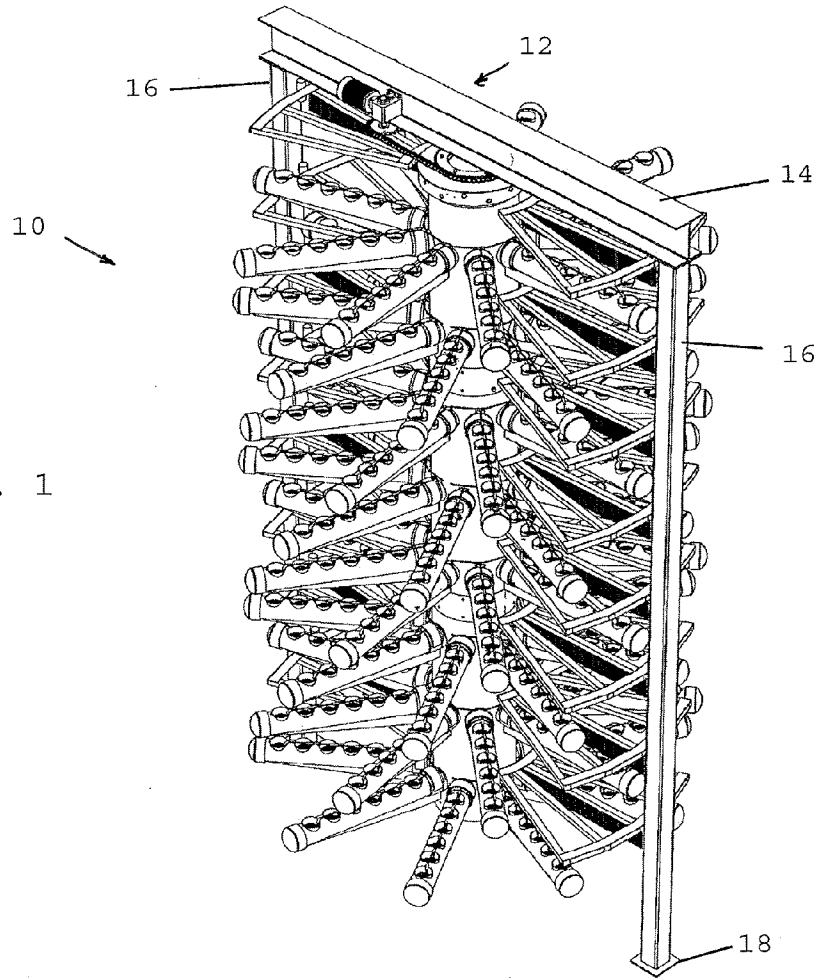


FIG. 1

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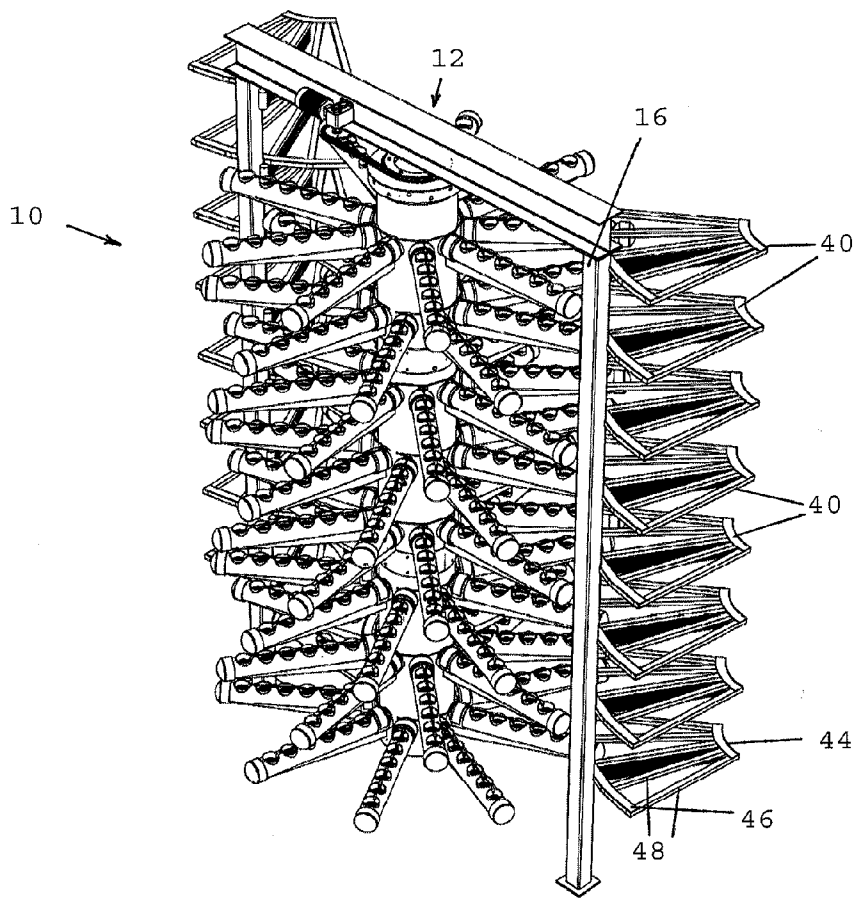


FIG. 2

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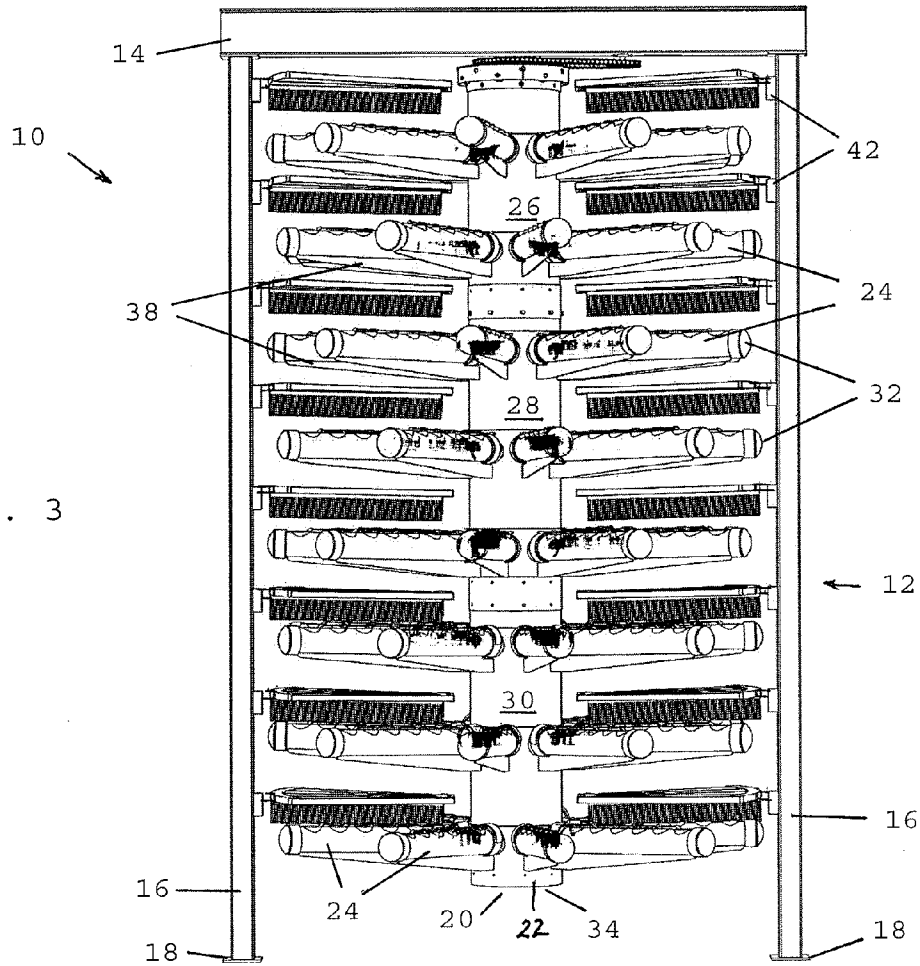


FIG. 3

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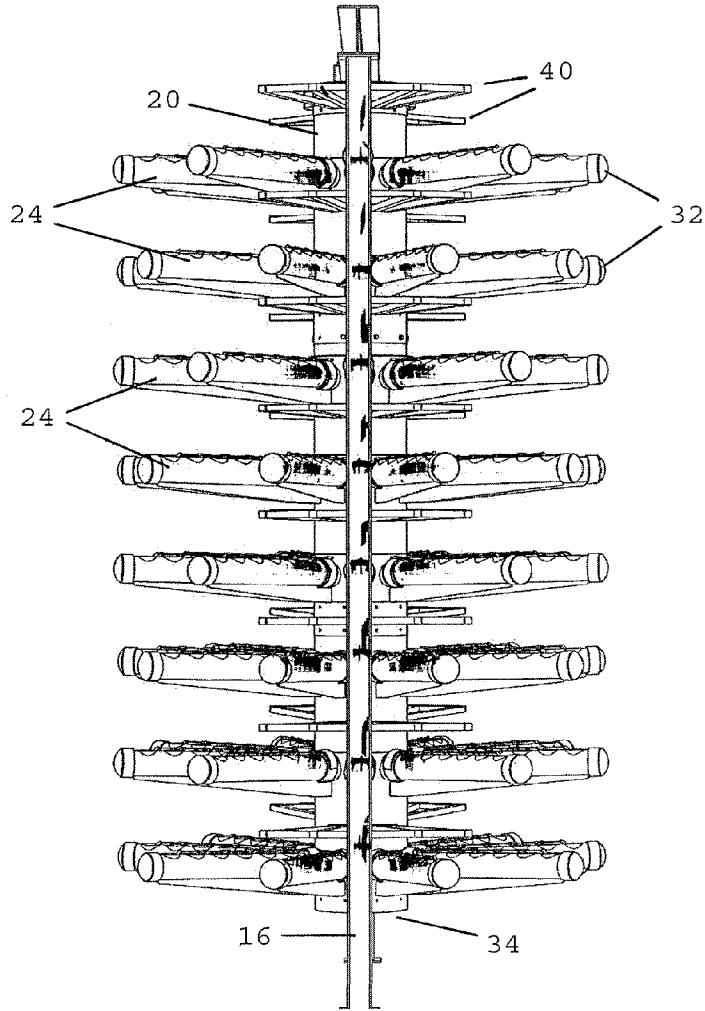
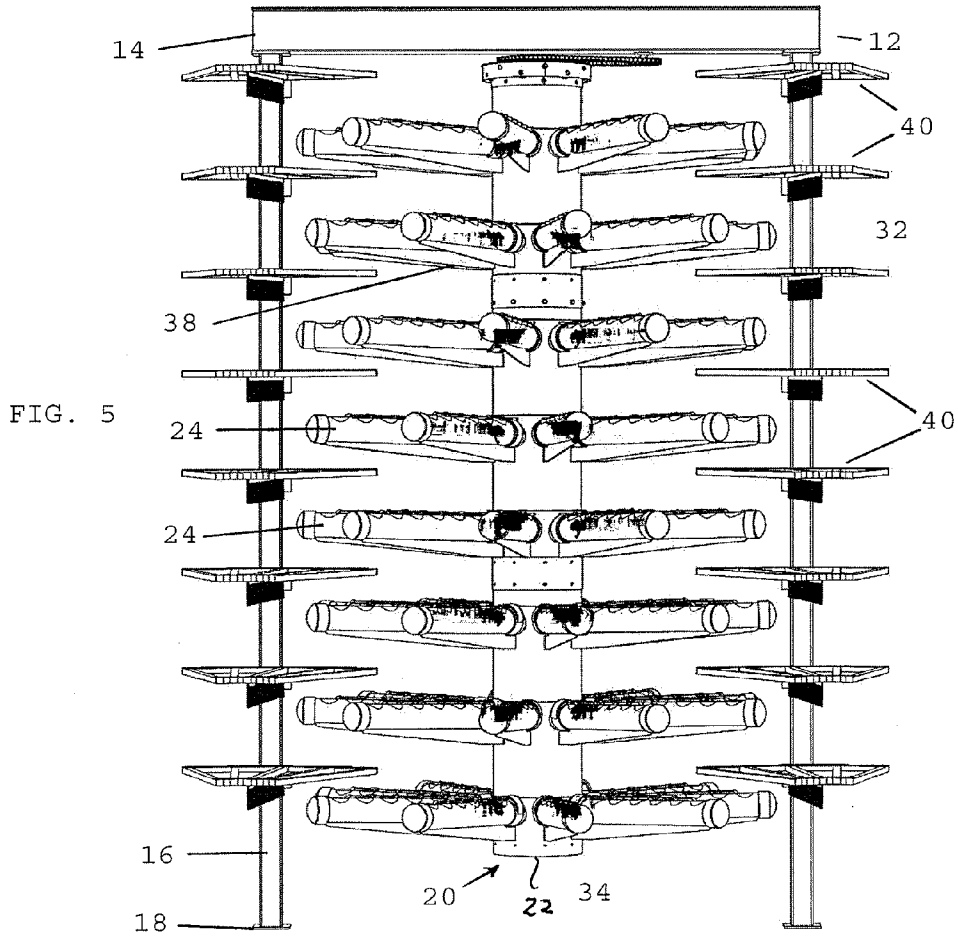
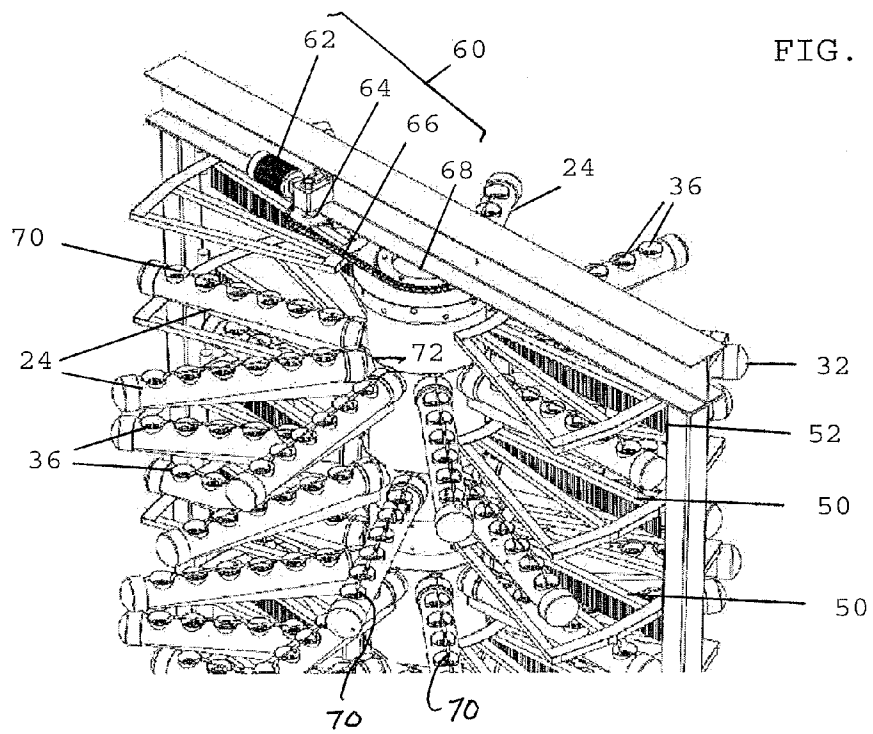


FIG. 4



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INTERNATIONAL SEARCH REPORT

International application No.

PCT/CA2015/050127

A. CLASSIFICATION OF SUBJECT MATTER IPC: A01G 31/06 (2006.01)		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) IPC, CPC: A01G-031+, A01G+		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched None		
Electronic database(s) consulted during the international search (name of database(s) and, where practicable, search terms used) Questel (FAMPAT)		
Keywords: plant+, rotat+, arm+, radial+, pollinator, potting, motor, axis, vertical+, co?planar, light+		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, P	US 2014/0196363 A1 (Fung Gin Da Energy Science and Technology Co., Ltd.) 17 July 2014 (17-07-2014)	1-9
A	US 3882634 A (The United States of America as represented by the National Aeronautics and Space Administration) 13 May 1975 (13-05-1975)	1-9
A	US 5584141 A (Johnson, Neil W.) 17 December 1996 (17-12-1996)	1-9
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* "A" "E" "L" "O" "P"	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance earlier application or patent but published on or after the international filing date document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed	"T" "X" "Y" "&"
Date of the actual completion of the international search 5 May 2015 (05-05-2015)		later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family
Date of mailing of the international search report 07 May 2015 (07-05-2015)		
Name and mailing address of the ISA/CA Canadian Intellectual Property Office Place du Portage I, C114 - 1st Floor, Box PCT 50 Victoria Street Gatineau, Quebec K1A 0C9 Facsimile No.: 001-819-953-2476		Authorized officer Alexis Cote (819) 934-8532

INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/CA2015/050127

Patent Document Cited in Search Report	Publication Date	Patent Family Member(s)	Publication Date
US2014196363A1	17 July 2014 (17-07-2014)	None	
US3882634A	13 May 1975 (13-05-1975)	US3882634A ATA602478A AT366371B ATA175181A AT367411B AU521421B2 AU547597B2 AU3906178A AU7704074A AU7930282A BE823957A1 BE869829A1 BR7410902D0 BR7805288A BR8108904A CA1020352A1 CA1105037A1 CA1109880A2 CH639374A5 CH645356A5 DE2481306A1 DE2836062A1 DE3152563A1 DK366978A DK152361B DK152361C DK343182A DK518681A DK673374A EP0065965A1 EP0065965A4 ES433383A1 ES472686A1 FI782507A FI68044B FI68044C FI832671A FI70706B FI70706C FR2255844A1 FR2255844B1 FR2400511A1 FR2400511B1 GB1494910A GB2003153A GB2003153B GB2017678A GB2017678B GB2100756A GB2100756B HK42282A HU182989B IE781682L IE47430B1 IL55199D0 IL55199A JPS5441873A JPS6315273B2 JPS50105434A JPS5916730B2 JPS62215573A JPS6331466B2 JPS57502132A MX5469E NL7416572A NL7808596A NL8120450A NO782812A NO149107B NO149107C NO744577A NO822638A NZ188189A PH13331A PH19821A PT68442A SE7808694A SE444434B SE8303564D0 SE8303564L SE451723B SE7415993L SE8204531L SE8303576D0 SE8303576L SE8303713D0 SE8303713L US3973353A US4175355A US4175355B1 US4196543A US4207327A US4386956A WO8201895A1 YU198678A YU41116B ZA7408241A ZA7804505A	13 May 1975 (13-05-1975) 15 August 1981 (15-08-1981) 13 April 1982 (13-04-1982) 15 November 1981 (15-11-1981) 12 July 1982 (12-07-1982) 01 April 1982 (01-04-1982) 24 October 1985 (24-10-1985) 21 February 1980 (21-02-1980) 01 July 1976 (01-07-1976) 17 June 1982 (17-06-1982) 27 June 1975 (27-06-1975) 18 December 1978 (18-12-1978) 02 September 1975 (02-09-1975) 10 April 1979 (10-04-1979) 26 October 1982 (26-10-1982) 08 November 1977 (08-11-1977) 14 July 1981 (14-07-1981) 29 September 1981 (29-09-1981) 15 November 1983 (15-11-1983) 28 September 1984 (28-09-1984) 10 July 1975 (10-07-1975) 01 March 1979 (01-03-1979) 01 June 1983 (01-06-1983) 20 February 1979 (20-02-1979) 22 February 1988 (22-02-1988) 25 July 1988 (25-07-1988) 30 July 1982 (30-07-1982) 23 November 1981 (23-11-1981) 01 September 1975 (01-09-1975) 08 December 1982 (08-12-1982) 18 April 1983 (18-04-1983) 16 February 1977 (16-02-1977) 16 March 1979 (16-03-1979) 20 February 1979 (20-02-1979) 29 March 1985 (29-03-1985) 10 July 1985 (10-07-1985) 22 July 1983 (22-07-1983) 26 June 1986 (26-06-1986) 06 October 1986 (06-10-1986) 25 July 1975 (25-07-1975) 09 February 1979 (09-02-1979) 16 March 1979 (16-03-1979) 04 September 1981 (04-09-1981) 14 December 1977 (14-12-1977) 07 March 1979 (07-03-1979) 24 February 1982 (24-02-1982) 10 October 1979 (10-10-1979) 10 March 1982 (10-03-1982) 06 January 1983 (06-01-1983) 15 May 1985 (15-05-1985) 08 October 1982 (08-10-1982) 28 March 1984 (28-03-1984) 19 February 1979 (19-02-1979) 21 March 1984 (21-03-1984) 29 September 1978 (29-09-1978) 31 December 1981 (31-12-1981) 03 April 1979 (03-04-1979) 04 April 1988 (04-04-1988) 20 August 1975 (20-08-1975) 17 April 1984 (17-04-1984) 22 September 1987 (22-09-1987) 23 June 1988 (23-06-1988) 02 December 1982 (02-12-1982) 16 August 1983 (16-08-1983) 01 July 1975 (01-07-1975) 21 February 1979 (21-02-1979) 01 November 1982 (01-11-1982) 20 February 1979 (20-02-1979) 07 November 1983 (07-11-1983) 22 February 1984 (22-02-1984) 21 July 1975 (21-07-1975) 02 August 1982 (02-08-1982) 06 July 1984 (06-07-1984) 13 March 1980 (13-03-1980) 14 July 1986 (14-07-1986) 01 September 1978 (01-09-1978) 20 February 1979 (20-02-1979) 14 April 1986 (14-04-1986) 21 June 1983 (21-06-1983) 21 June 1983 (21-06-1983) 26 October 1987 (26-10-1987) 30 June 1975 (30-06-1975) 02 August 1982 (02-08-1982) 22 June 1983 (22-06-1983) 22 June 1983 (22-06-1983) 29 June 1983 (29-06-1983) 29 June 1983 (29-06-1983) 10 August 1976 (10-08-1976) 27 November 1979 (27-11-1979) 14 April 1982 (14-04-1982) 08 April 1980 (08-04-1980) 10 June 1980 (10-06-1980) 07 June 1983 (07-06-1983) 10 June 1982 (10-06-1982) 21 January 1983 (21-01-1983) 31 December 1986 (31-12-1986) 28 January 1976 (28-01-1976) 25 July 1979 (25-07-1979)
US5584141A	17 December 1996 (17-12-1996)	None	