



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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| <b>(51) International Patent Classification <sup>6</sup> :</b><br><b>H01S 3/085</b>   | <b>A1</b> | <b>(11) International Publication Number:</b> <b>WO 98/53537</b><br><b>(43) International Publication Date:</b> 26 November 1998 (26.11.98)  |
| <b>(21) International Application Number:</b> PCT/GB98/01415<br><b>(22) International Filing Date:</b> 15 May 1998 (15.05.98)<br><br><b>(30) Priority Data:</b><br>9709949.3      17 May 1997 (17.05.97)      GB<br><br><b>(71) Applicant (for all designated States except US):</b> UNIVERSITY OF BRISTOL [GB/GB]; Senate House, Tyndall Avenue, Bristol BS8 1TH (GB).<br><br><b>(72) Inventors; and</b><br><b>(75) Inventors/Applicants (for US only):</b> DOWD, Philip [GB/GB]; 89 Greenway Bush Lane, Southville, Bristol BS3 1SG (GB). WHITE, Ian, Hugh [GB/GB]; 57 Coombe Park, Weston, Bath BA1 3NH (GB). PENTY, Richard, Vincent [GB/GB]; 55 Redland Road, Bristol BS6 6AG (GB). HEARD, Peter, John [GB/GB]; 33 Cherington Road, Nailsea, Bristol BS19 2TW (GB). ALLEN, Geoffrey, Charles [GB/GB]; The Copse, 4 Red House Lane, Almondsbury, Bristol BS12 4BB (GB).<br><br><b>(74) Agent:</b> VIGARS, Christopher, Ian; Haseltine Lake & Co., Imperial House, 15-19 Kingsway, London WC2B 6UD (GB). |           | <b>(81) Designated States:</b> CN, JP, KR, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).<br><br><b>Published</b><br><i>With international search report.</i> |
| <b>(54) Title:</b> LASER DEVICES<br><br><b>(57) Abstract</b><br><p>A vertical cavity surface emitting laser device (10) has a discontinuity (11) formed within the body of the device. When the device is in use, the direction of polarisation of light emitted from the device is substantially aligned with a boundary of the discontinuity.</p> <div style="text-align: right; margin-top: 20px;"> </div>   |           |  |

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LASER DEVICES

The present invention relates to laser devices, and in particular to vertical cavity surface emitting laser (VCSEL) devices such as VCSEL diodes.

Background of the Invention

Laser devices, such as laser diodes, are being increasingly used in a variety of applications, such as communications and data storage devices. One type of laser diode is the vertical cavity surface emission laser diode (VCSEL), and a typical example is shown in plan view in Figure 1 and in side cross-sectional view in Figure 2 of the accompanying drawings. The VCSEL 1 comprises a substrate 7, which carries a lower electrical contact 8. An active region 6 is sandwiched between upper and lower distributed Bragg reflector (DBR) mirror structures 4 and 5, the lower mirror structure being carried by the substrate 7. The mirror structures 4 and 5 provide high reflectivity, for example around 99.5%. The upper DBR mirror structure 4 includes a proton implant area 9. The proton implant area 9 serves to confine current supplied to the device.

An upper electrical contact 3 is provided on the upper surface of the upper mirror structure 4. The upper contact 3 defines an aperture 2 through to the upper surface of the upper mirror structure 4.

The VCSEL 1 of Figures 1 and 2 produces a light output which is emitted in the direction of arrow A through the aperture 2. The output light is produced by a lasing effect or laser action in the active region 6 between the two DBR mirrors 4 and 5, as is well known.

One of the main advantages of VCSEL devices is

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that the light output is produced in a direction perpendicular to the plane of the device. This is in contrast to previous edge emitting laser diodes which emit light in the plane of the device. Thus, VCSEL devices can easily be manufactured into arrays, since a number of devices can be produced on a single semiconductor area, without the need for the devices to be cut from one another. In addition, VCSELs are particularly suited for producing a circular beam of light. Such a circular beam requires little or no further optical processing before application to devices, such as CD ROM drives or communications devices.

However, laser emission in VCSELs can often allow orthogonal or elliptical polarisation states, with incomplete control of the orientation of the axes of polarisation or the polarisation state of individual lasing modes or filaments, which may also vary with the bias current. This can also result in the orientation of the polarisation axes varying from device to device even between adjacent lasers fabricated from the same wafer.

There is much interest in the use of vertical-cavity surface-emitting lasers (VCSELs) for a variety of applications including optical storage, printing and communications. However these applications tend to require high beam quality, in particular with single transverse mode operation where the polarisation state is fixed to a precise direction. Although VCSELs can be formed to generate circular beams and integrated in two dimensional arrays, they suffer from strong optical nonlinearities associated with their high gain operation and strong thermal effects. Such nonlinearities can cause not just strong

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multimode operation but also filamentary action across the emitting region. This behaviour thus causes beams to be generated with poor far fields and with multiple intensity peaks emitting in poorly defined polarisation states, which can vary with temperature and bias current.

Previous methods for controlling the polarisation state of light emitted from surface-emitting lasers have included the use of anisotropic cavity geometries which may cause distortion of the output beam shape. Introduction of anisotropic stress, gain or loss has also been used for control of polarisation state along pre-defined directions, though complete control is not usually maintained over the entire device operating range.

Temperature detuning of non-degenerate cavity modes has been used for complete polarisation control but this is achieved at the expense of reducing the gain, thereby increasing the device threshold current and power consumption and reducing the available power output. This effect is strongly dependent on the spectral splitting of orthogonal polarisation states, which may be influenced by the residual strain in a device. Therefore an additional technique may be required to control the spectral splitting if a sufficient gain difference is to be achieved between the two states.

Integration of additional structures with the laser, for example gratings, can provide polarisation control but significantly increase the complexity of the device, and such structures can influence the spatial output characteristics of the laser emission.

Summary of the present invention

According to one aspect of the present invention

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there is provided a vertical cavity surface emitting laser device having a discontinuity formed within the body of the device, such that, when the device is in use, the direction of polarisation of light emitted from the device is substantially aligned with a boundary of the discontinuity.

The discontinuity may be formed by a void. The void may be provided by an elongated trench which extends into the body of the device from an outer surface thereof.

When the body of the device is of a first material, the discontinuity may be formed by a region of a second material, the second material being different to the first material.

Such a device may comprise a plurality of such discontinuities, the polarisation direction of light emitted by the device when in use being determined by the discontinuities.

A pair of substantially parallel elongate discontinuities may be provided, the direction of polarisation of the emitted light being substantially aligned with the direction of the boundary of the discontinuities which is closest to the light output region.

Alternatively, a pair of substantially mutually orthogonal elongate discontinuities may be used.

According to another aspect of the present invention, there is provided, a vertical cavity surface emitting laser device comprising a substrate, a lower mirror structure carried by the substrate, an active region carried by the lower mirror structure, and an upper mirror structure carried by the active region, and a contact region carried by the upper mirror structure, the contact region defining an aperture

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through which laser light is emitted when the diode is in use, wherein a discontinuity is defined within the device, such that the polarisation direction of such emitted light is substantially aligned with a boundary of the discontinuity.

In such a device at least one of the mirror structures may be a distributed Bragg reflector structure.

The discontinuity may be provided by a void.

The void may be provided by a trench region which extends through the contact region into the device towards the active region.

The trench region may be formed by etching the device structure, or by laser ablation of the device structure, or by electron beam lithography.

The semiconductor material of the device preferably allows light emission in the 400nm - 4000nm wavelength range.

According to another aspect of the present invention, there is provided a method of polarising light comprising forming a discontinuity in the body of a vertical cavity surface emitting laser device, such that the polarisation direction of light emitted from the device when in use is substantially aligned with a boundary of the discontinuity.

#### Brief description of the drawings

Figures 1 and 2 show plan and cross-sectional views respectively of a known VCSEL diode;

Figures 3 and 4 show plan and cross-sectional views of a preferred embodiment of the present invention;

Figure 5 is a graph comparing light current L-I characteristics of a known VCSEL and a VCSEL embodying the present invention;

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Figure 6 is a graph of L-I characteristics of a known laser diode;

Figure 7 shows the L-I characteristics of an embodiment of the present invention;

5        Figures 8, 9 and 10 are graphs illustrating the above-threshold polarisation dependent power measurements of an embodiment of the present invention;

10        Figure 11 is a graph comparing sub-threshold polarisation dependent L-I characteristics of a known laser diode with a diode embodying the present invention;

Figure 12 is a graph of sub-threshold polarisation ratio measurements of a known laser diode and a laser diode embodying the invention;

15        Figure 13 is a graph illustrating cross-section through line emission showing the polarisation dependency of output power of an embodiment of the present invention;

20        Figure 14 is a graph indicating polarisation resolved cavity loss measurements of a laser diode embodying the present invention;

Figure 15 is a graph illustrating sub-threshold optical spectra of a laser diode device embodying the present invention;

25        Figure 16 shows a plan view of a second laser diode embodying the present invention; and

Figure 17 shows a plan view of a third laser diode embodying the present invention.



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Detailed description of the preferred embodiments

Figures 3 and 4 of the accompanying drawings show plan and cross-sectional views respectively of a VCSEL diode 10 embodying the present invention.

5 As described with reference to a known device above, the VCSEL 10 comprises a pair of electrodes 13 and 18, a substrate material 17, and an active area 16 which is sandwiched between upper and lower DBR mirror structures 14 and 15. The upper DBR mirror structure  
10 incorporates a proton implant area 19. The upper electrode 13 defines a circular aperture 12 through which laser light is emitted in the direction of arrow A.

The VCSEL shown in Figures 3 and 4 operates  
15 substantially in accordance with the known device of Figures 1 and 2, in as much as a lasing effect is produced in the active area 16 between the two mirror structures 14 and 15. Light produced by this effect is then emitted through the aperture 12, as before.

20 However, in the present invention, polarisation control of the emitted laser light is achieved by the introduction of a discontinuity into the device. In the device shown in Figure 4, the discontinuity is provided by an etched trench 11 which extends through  
25 the upper electrode 13 into the DBR mirror structure 14, toward the active region 16.

The etched trench adjacent to the lasing aperture is preferably positioned at a distance of up to 10 microns from the edge of the aperture (Figure 4).

30 The trench discontinuity can be introduced by masking and etching techniques, or other techniques such as micromachining, laser ablation, e-beam lithography, X-ray or reactive ion etching (RIE), and may be carried out on completed devices.

Other geometries and positions for such etches may also produce desirable results.

The discontinuity can be provided by means other than a trench. For example, a void within the diode structure can be provided, or a material different to that of the structure can be used, such as a metal or an oxide. The trench (or void) could be filled with such a material. The discontinuities described in this description are merely exemplary, and the skilled person will be able to design further discontinuities within the scope of the invention.

The orientation of the discontinuity may be varied to tune the polarisation state of emission to a preferred/desired orientation. The angle of the discontinuity profile from the normal to the plane of the device emission plane can vary, as can its depth, allowing penetration through other layers such as the active region 16 and the lower mirror structure 15. The width, length and shape of the refractive index discontinuity 11 can also vary.

The introduction of a trench modifies the stress and strain experienced by the device, which controls the polarisation dependence of the emitted light beam. There also appear to be boundary effects that control the polarisation.

The introduction of trenches seems also to introduce a further effect which causes a polarisation dependence of the optical mode density and results in the spontaneous emission displaying a similar polarisation dependence to the lasing emission.

The use of a trench or other discontinuity allows the axis of the dominant polarisation to be set at any desired orientation, independent of any pre-existing effect, such as orientation of the crystal axes. The

polarisation of the output light beam aligns with the direction of the closest boundary of the discontinuity to the aperture.

5 In general terms, a deep trench has more effect on the polarisation pinning than a shallow trench. A trench which is close in to the aperture 12 will have more effect than a trench which is spaced apart from the aperture.

10 This method of achieving polarisation control is applicable to optically pumped and electrically pumped laser devices.

The semiconductor material used for devices according to the invention preferably allows emission in the 400nm - 4000nm wavelength range.

15 The polarisation control of the invention need not significantly degrade the quality of the spatial, spectral and power output characteristics of the laser, nor the electrical characteristics of the laser, as will be illustrated below.

20 Figure 5, 6 and 7 show that the key performance areas of the device are not seriously degraded by the method for polarisation control, with no degradation of the light power output/current characteristics, the optical spectrum or the spatial output.

25 Figure 8 shows the L-I characteristics for a typical proton implanted VCSEL, in which poor single polarisation operation occurs. Here, on the onset of higher order transverse modes, switching of the polarisation state is observed, and at high currents  
30 both polarisations occur together.

Figures 9 and 10 show the influence of polarisation control on the above-threshold polarisation dependent power measurements, showing how emission from one polarisation state remains highly

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suppressed over the entire device operating range in a device embodying the invention. Following the etching of a trench, 1 micron wide, 7 microns from the edge of the aperture and between 4 and 4.5 microns deep, single  
5 polarisation operation is forced (Figure 9).

This is achieved with minimal change in threshold current or device efficiency. The polarisation state of emission remains fixed throughout the device bias current operating range, with the dominant E field  
10 polarisation parallel to the etch direction. Polarisation extinction ratios in excess of 100 (Figure 10) are achieved and only found to reduce significantly on the onset of thermal roll off. Indeed the etching of the trench is found to polarise the spontaneous  
15 emission significantly, causing extinction ratios as high as 1.5 at low drive currents in the centre of the etch, rising to 3 at the etch. The pinning mechanisms are very strong, unlike in other devices, causing immediate single polarisation operation immediately  
20 from turn-on when a device is pulsed rather than the initial polarisation competition before a steady state equilibrium is reached in non-controlled devices. Despite the proximity of the etch to the aperture, no adverse effects are seen in terms of lifetime.

This technique has been applied to a wide range of devices and is found to be always successful. The polarisation direction in all cases aligns itself along the etch direction and remains fixed in this direction at least to within 5 degrees, this being the limit of  
25 accuracy of the measurement.  
30

Figures 11 and 12 show the influence of etching on the sub-threshold polarisation-dependent power from the cavity aperture of respective modified VCSEL devices, showing that modification results in unequal splitting

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between the two polarisation states, even at bias currents well below threshold.

Figure 13 shows that power measurements of the spontaneous emission from the etched line display a high polarisation dependency.

Figure 14 shows the introduction of polarisation dependent cavity loss, related to the sub-threshold line width of emission and Figure 15 shows spectral splitting of the orthogonal polarisation states, introduced through modification of the laser.

As the etches applied adjacent to the emitting aperture do not strongly affect the lasing mode, high spectral quality circuit output beam characteristics can be obtained for the single-mode operating regime of multimode devices as well as for monomode devices. In addition, longitudinal monomode operation, if achieved before polarisation pinning, is retained (Figure 15). A small shift in line width is observed though this is small ( $< 0.2$  nm), the dominant polarisation being observed at the shorter wavelength. This shows that the polarisation pinning mechanism can cause enhanced birefringence in the system.

Successful pinning of polarisation requires trenches to be formed of sufficient depth, and these must at least pass through the top metallic contact layers into the semiconductor material. The minimum depth required for pinning depends on the distance of the trench from the aperture and also the direction of the trench with respect to that of the existing polarisation direction, as the pinning mechanism has to overcome existing polarisation selection mechanisms before setting the polarisation to its own direction. The depth must also be sufficiently great in multimode devices to ensure that all modes are polarised, care

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being required in this respect as higher order modes frequently are generated with orthogonal polarisations.

5 An assessment of the cavity losses associated with each polarisation state has been carried out by measuring the polarisation-resolved line widths below threshold. It can be seen from Figure 14 that the measured cavity losses experienced by the dominant polarisation state are smaller than those for the highly suppressed state. Prior to etching, the  
10 spectral splitting was not observed and the cavity losses of the two polarisation states were found to be similar. Indeed optical injection measurements, where the photogenerated current from a VCSEL when used as a detector is measured have shown there to be a  
15 polarisation dependent absorption after etching, this indicating a modification in the band-edge driven probably by a strain dependent effect.

A laser diode embodying the present invention may incorporate more than one discontinuity, for example as  
20 shown in Figures 16 and 17. In Figure 16, two parallel discontinuities are shown, one to each side of the aperture 22 of the device. Figure 17 shows a device having a pair of orthogonal etches.

In the example shown in figure 16, the  
25 polarisation direction will align with the direction of the two discontinuities. The Figure 17 example can be used to set the cavity gain of two orthogonal polarisations to be equal.

30

**CLAIMS:**

1. A vertical cavity surface emitting laser device having a discontinuity formed within the body of the device, such that, when the device is in use, the direction of polarisation of light emitted from the device is substantially aligned with a boundary of the discontinuity.
2. A device as claimed in claim 1, wherein the discontinuity is formed by a void.
3. A device as claimed in claim 2, wherein the void is provided by an elongate trench which extends into the body of the device from an outer surface thereof.
4. A device as claimed in claim 1, wherein the body of the device is of a first material and the discontinuity is formed by a region of a second material, the second material being different to the first material.
5. A device as claimed in any one of claims 1 to 4, comprising a plurality of such discontinuities, the polarisation direction of light emitted by the device when in use being determined by the discontinuities.
6. A device as claimed in claim 5, comprising a pair of substantially parallel elongate discontinuities, the direction of polarisation of the emitted light being substantially aligned with the elongate direction of the discontinuities.
7. A device as claimed in claim 5, comprising a pair of substantially orthogonal elongate discontinuities.
8. A vertical cavity surface emitting laser device comprising a substrate, a lower mirror structure carried by the substrate, an active region carried by the lower mirror structure, and an upper mirror

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structure carried by the active region, and a contact region carried by the upper mirror structure, the contact region defining an aperture through which laser light is emitted when the diode is in use, wherein a discontinuity is defined within the device, such that the polarisation direction of such emitted light is substantially aligned with a boundary of the discontinuity.

9. A device as claimed in claim 8, wherein at least one of the mirror structures is a distributed Bragg reflector structure.

10. A device as claimed in claim 8 or 9, wherein the discontinuity is provided by a void.

11. A device as claimed in claim 10, wherein the void is provided by a trench region which extends through the contact region into the device towards the active region.

12. A device as claimed in claim 11, wherein the trench region is formed by etching the device structure.

13. A device as claimed in claim 11, wherein the trench region is formed by laser ablation of the device structure.

14. A device as claimed in claim 11, wherein the trench region is formed by electron beam lithography.

15. A device as claimed in any one of the preceding claims, including semiconductor material which allows light emission in the 400nm - 4000nm wavelength range.

16. A method of polarising light comprising forming a discontinuity in the body of a vertical cavity surface emitting laser device, such that the polarisation direction of light emitted from the device when in use is substantially aligned with a boundary of



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the discontinuity.

17. A method as claimed in claim 16, comprising forming a void in the body of the device.

5 18. A method as claimed in claim 17, comprising forming a trench region in the body of the device, which trench region extends from an outer surface of the device.

10 19. A method as claimed in claim 17 or 18, comprising filling the void or trench region with a material different from that from which the device is manufactured.

15 20. A laser diode incorporating one or more directionally differing boundaries for control of laser polarisation, where etches or mesa structures introduced to the laser structure are used to modify the guiding of the optical modes.

20 21. A laser diode as claimed in claim 20 wherein the means of introducing the polarisation dependence may be achieved in manufacture through masking, c-beam lithography, X-ray or reactive ion etching (RIE) or other techniques or may be achieved through a post processing etching or oxidation step.

25 22. A laser diode as claimed in claim 20 wherein the geometries of the directionally differing boundaries are allowed to vary in width, depth, length, planar orientation, orientation to the laser surface and in shape.

30 23. A laser diode as claimed in claim 20 wherein semiconductor material is used allowing emission in the 400nm to 4000nm wavelength range.

24. A laser diode as claimed in claim 20, wherein polarisation control is achieved for optically pumped as well as electrically pumped lasers.

25. A laser diode as claimed in claim 20, wherein

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the introduction of directionally differing boundaries allows modification of the device strain to control the polarisation dependence of the laser.

5           26. A laser diode as claimed in claim 20, wherein the introduction of directionally differing boundaries may introduce a microcavity effect which causes a polarisation dependence of the optical mode density and results in the spontaneous emission displaying a similar polarisation dependence to the lasing emission.

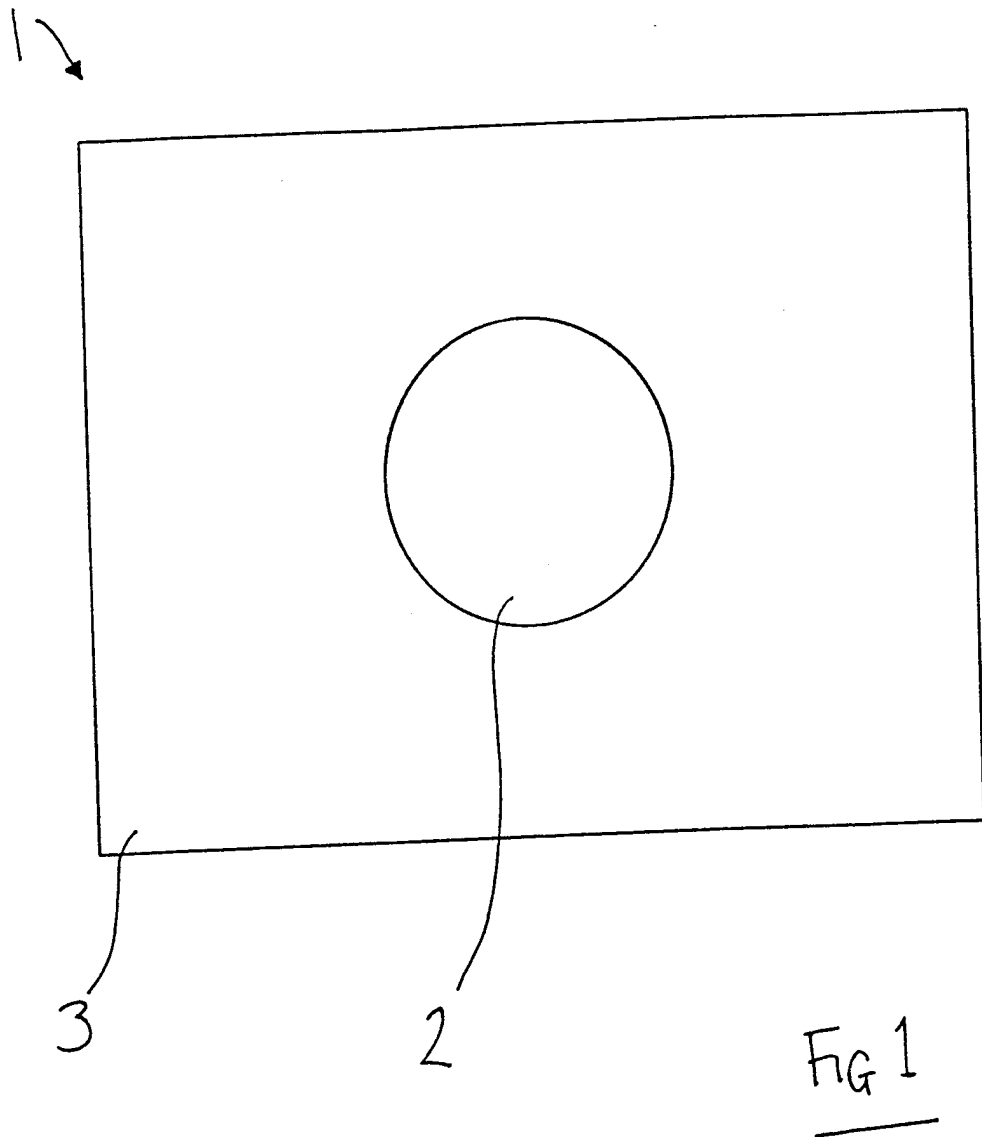
10           27. A laser diode as claimed in claim 20, wherein the polarisation control method alone allows the axis of the dominant polarisation to be set at any desired orientation, independent of any pre-existing effect, such as orientation of the crystal axes.

15           28. A laser diode as claimed in claim 20 wherein the means of polarisation control may not significantly degrade the quality of the spatial output characteristics of the laser.

20           29. A laser diode as claimed in claim 20 wherein the means of polarisation control may not significantly degrade the quality of the spectral output characteristics of the laser.

25           30. A laser diode as claimed in claim 20 wherein the means of polarisation control may not significantly degrade the quality of the power output characteristics of the laser.

          31. A laser diode as claimed in claim 20 wherein the means of polarisation control may not significantly degrade the electrical characteristics of the laser.



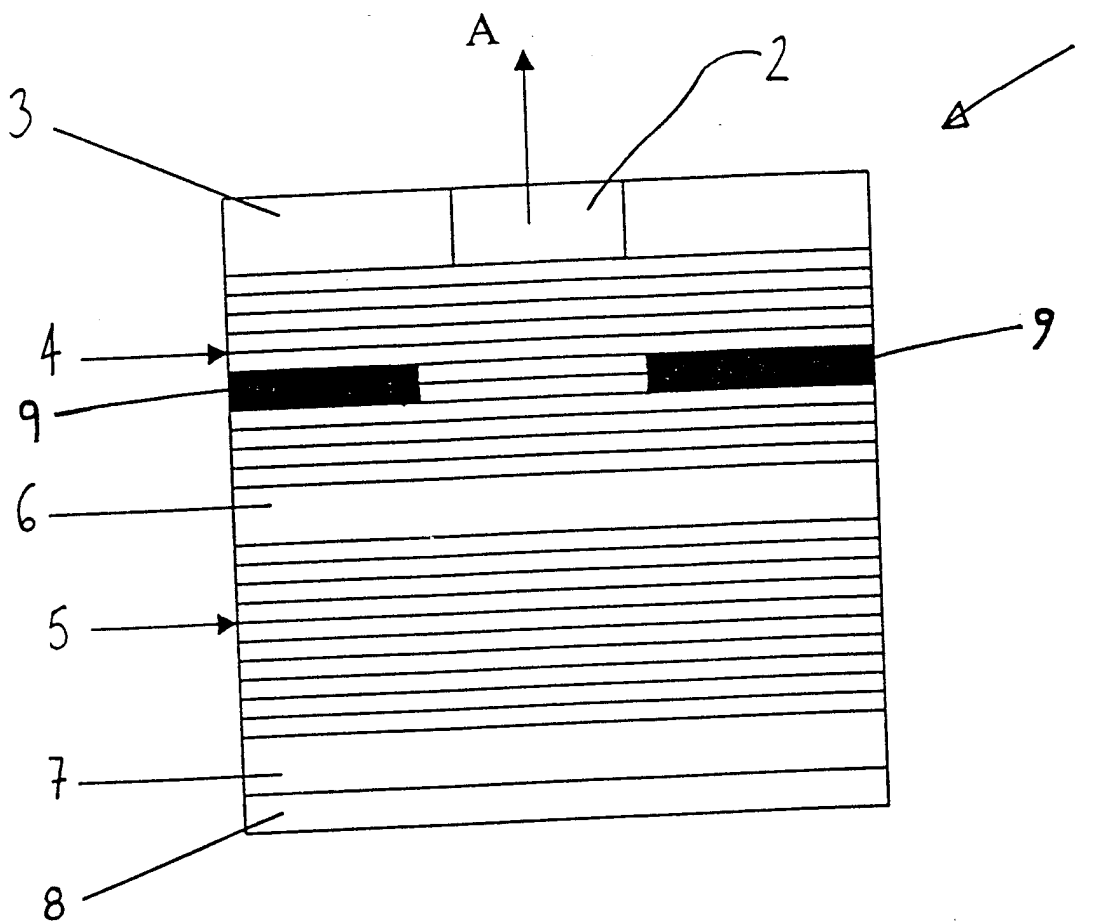


Fig. 2

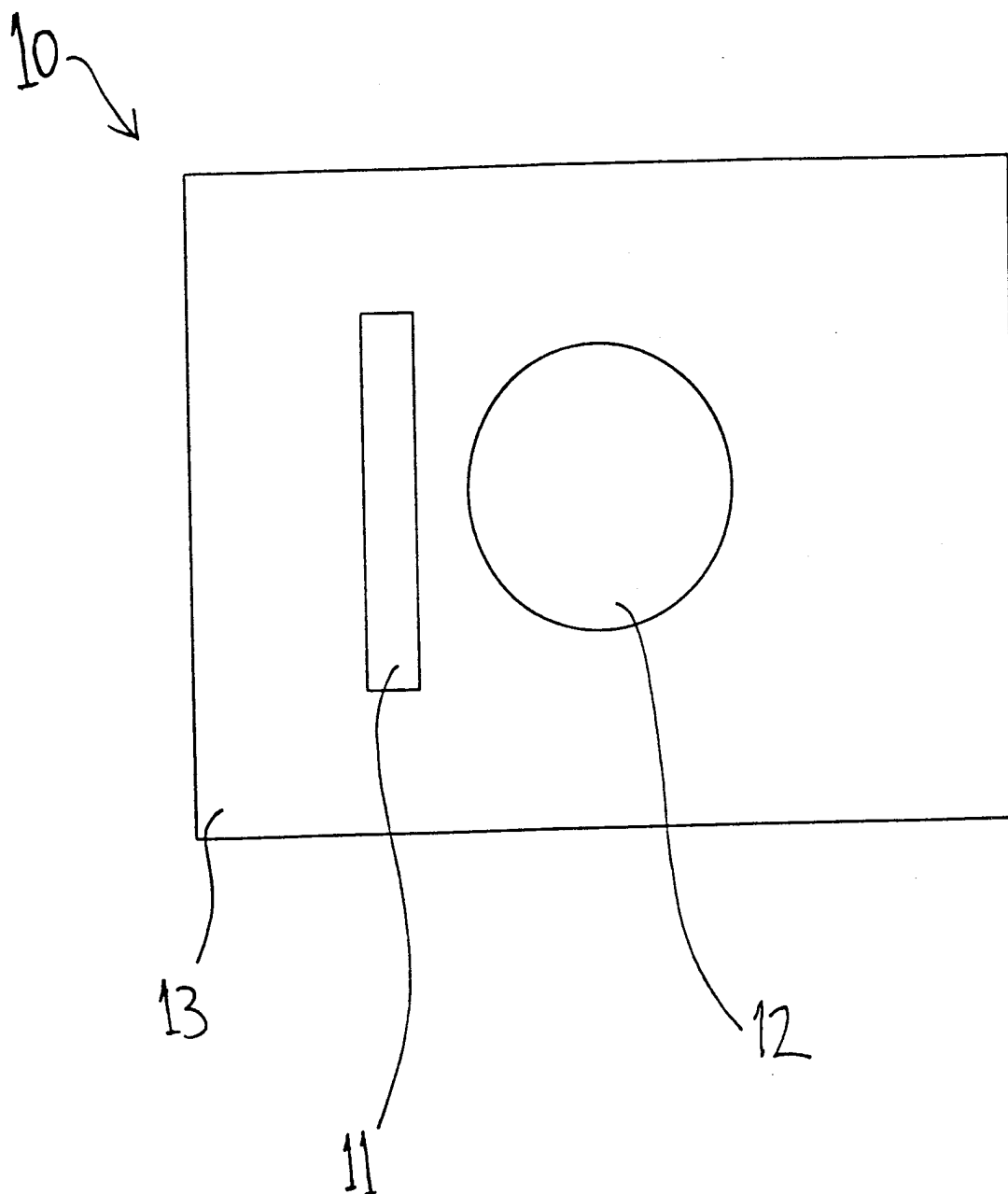


FIG. 3

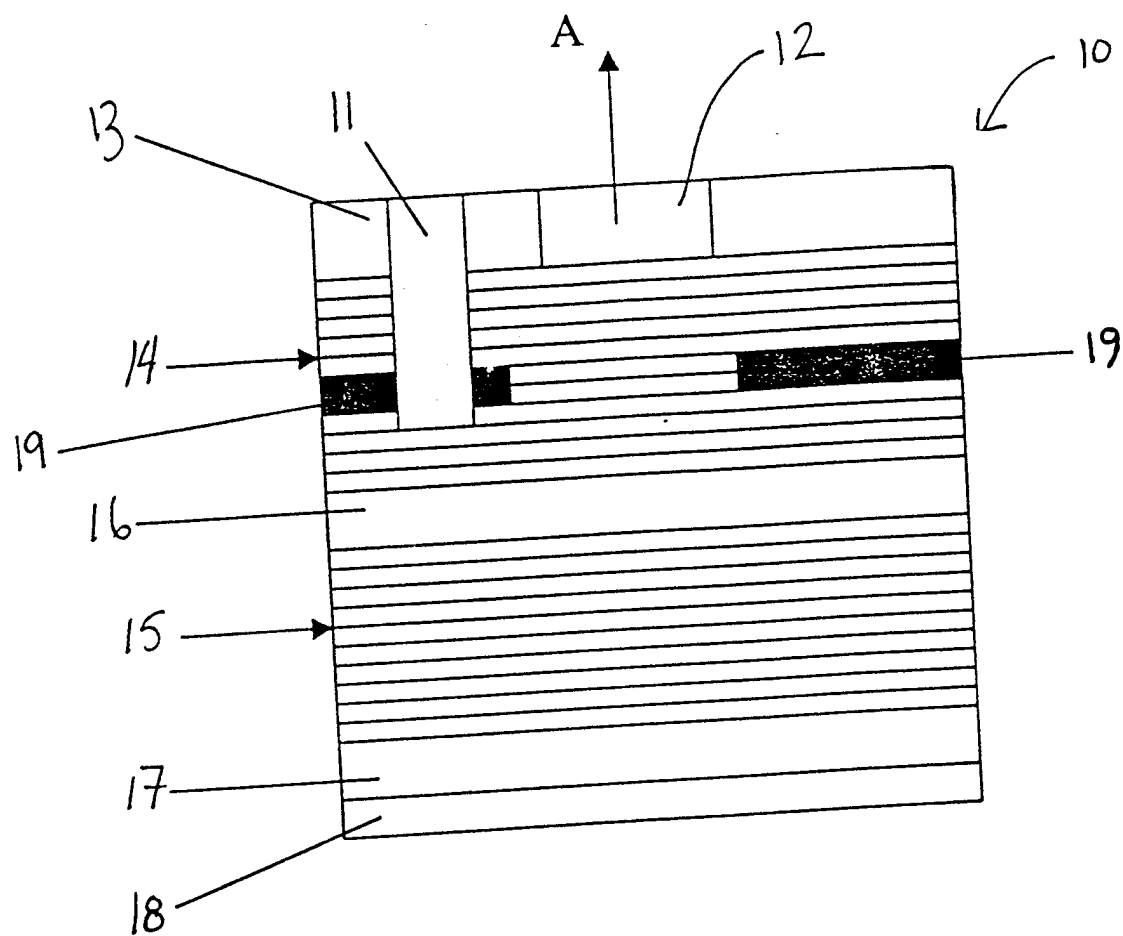
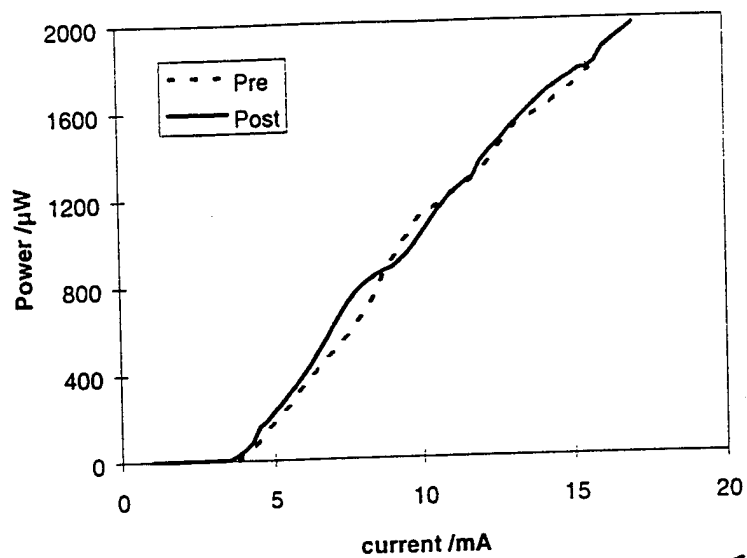
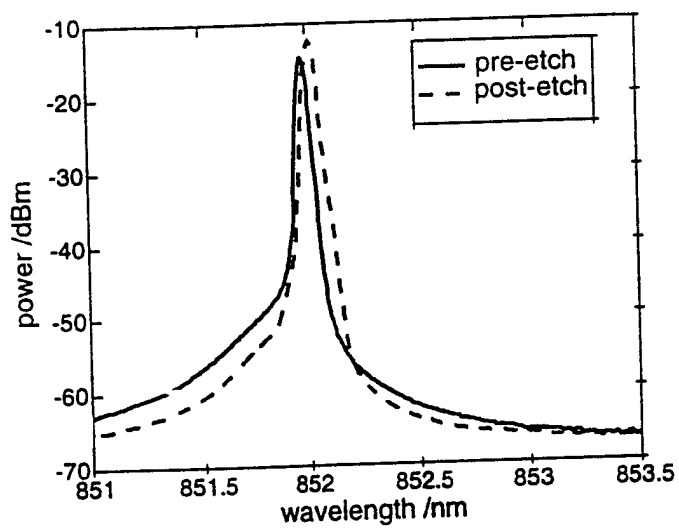
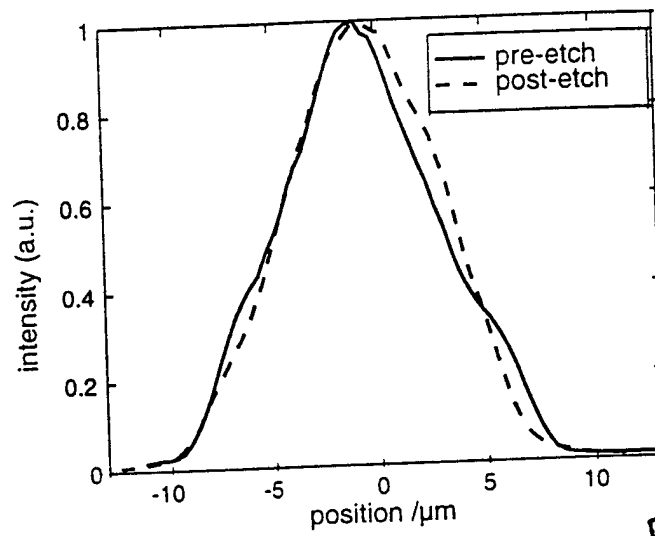
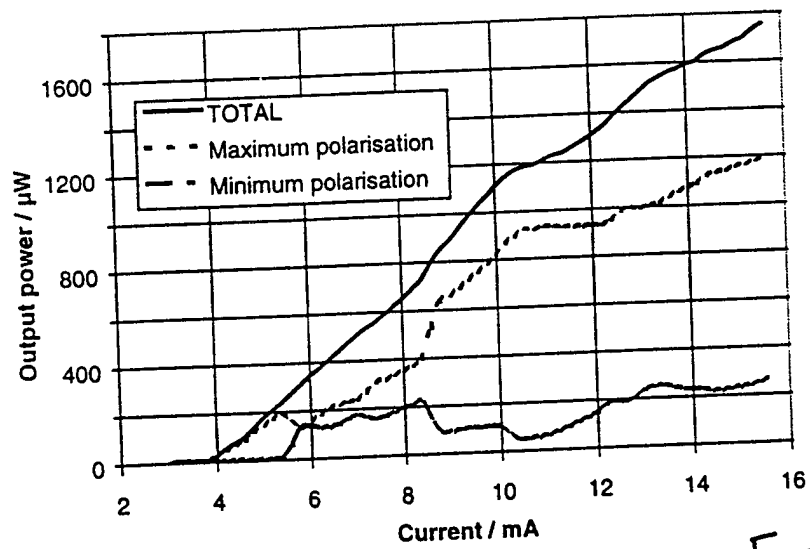
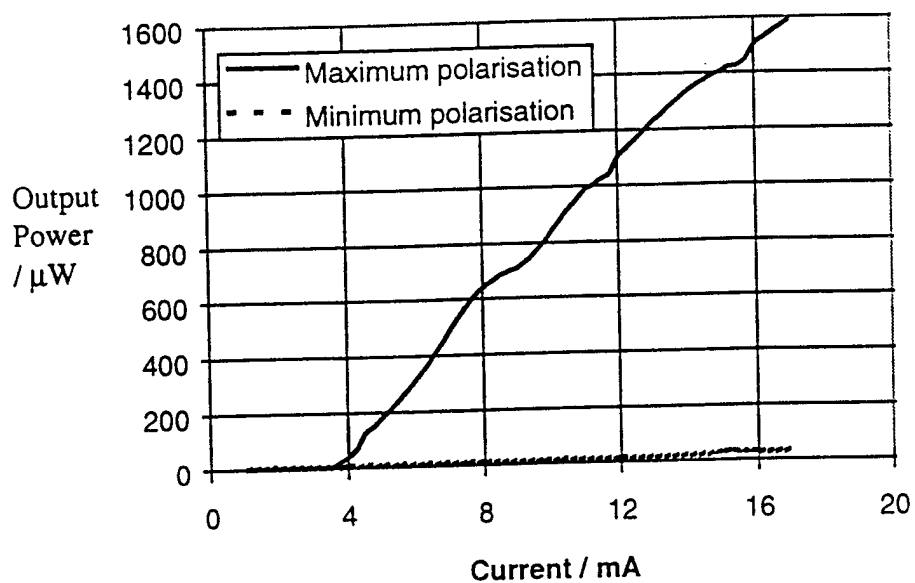
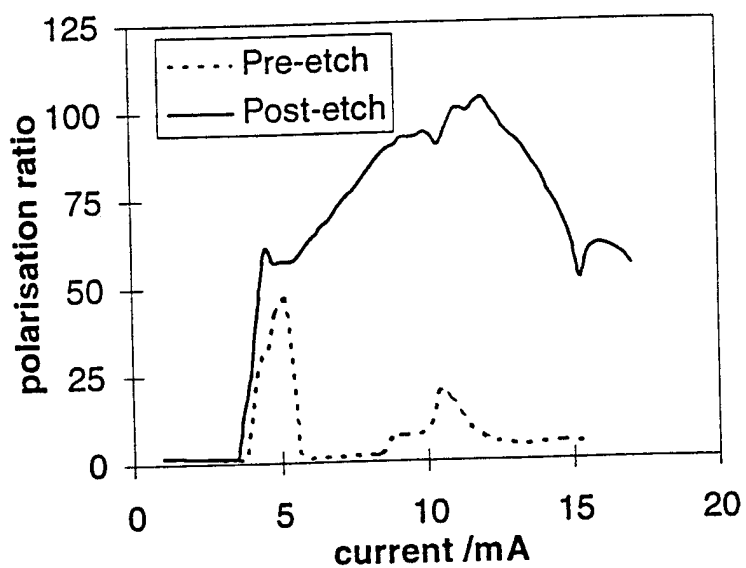


Fig 4

FIG. 5FIG. 6

FIG. 7FIG. 8



Fig. 9Fig. 10

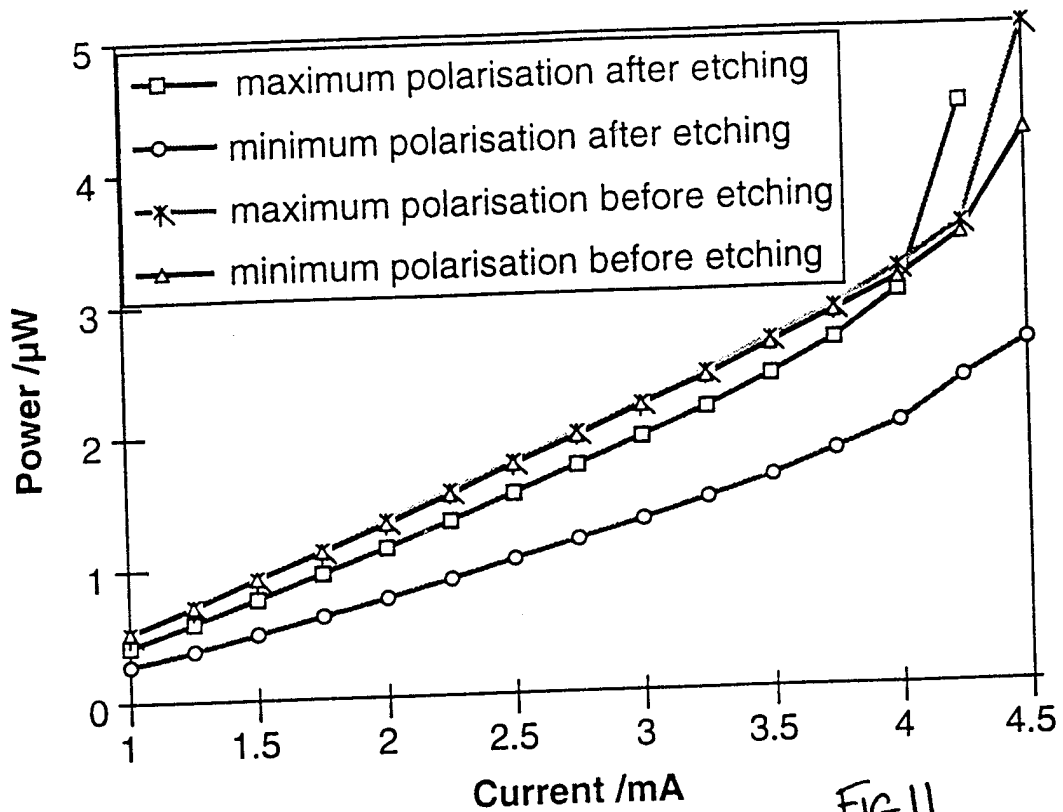


FIG 11

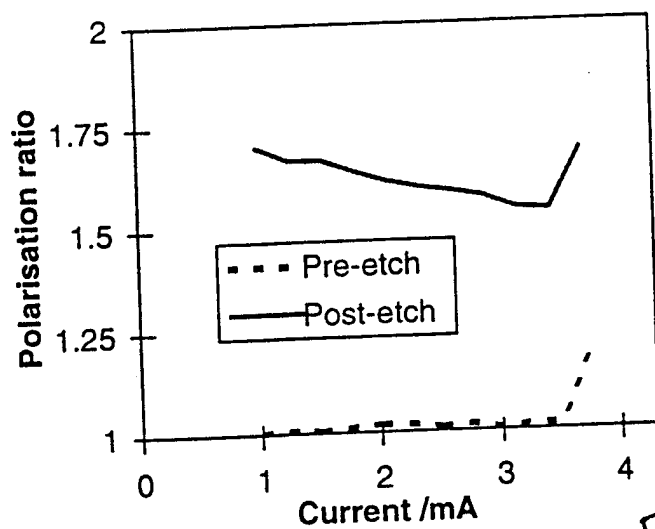
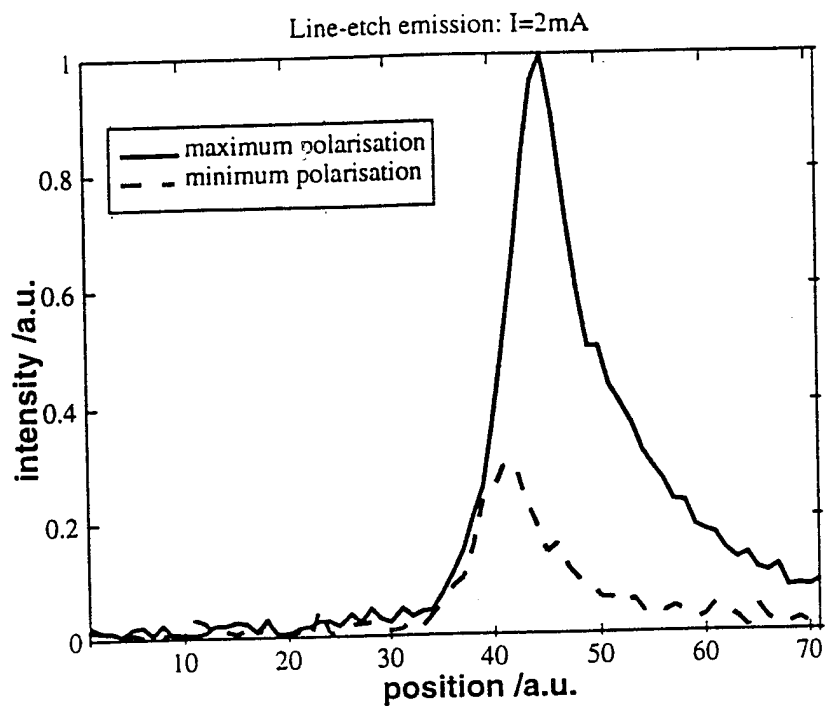
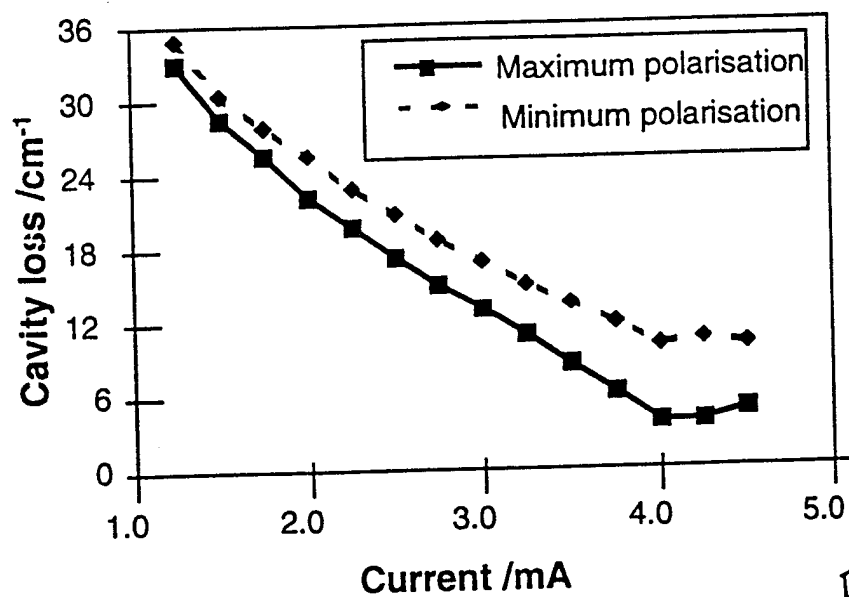


FIG 12

FIG. 13FIG. 14

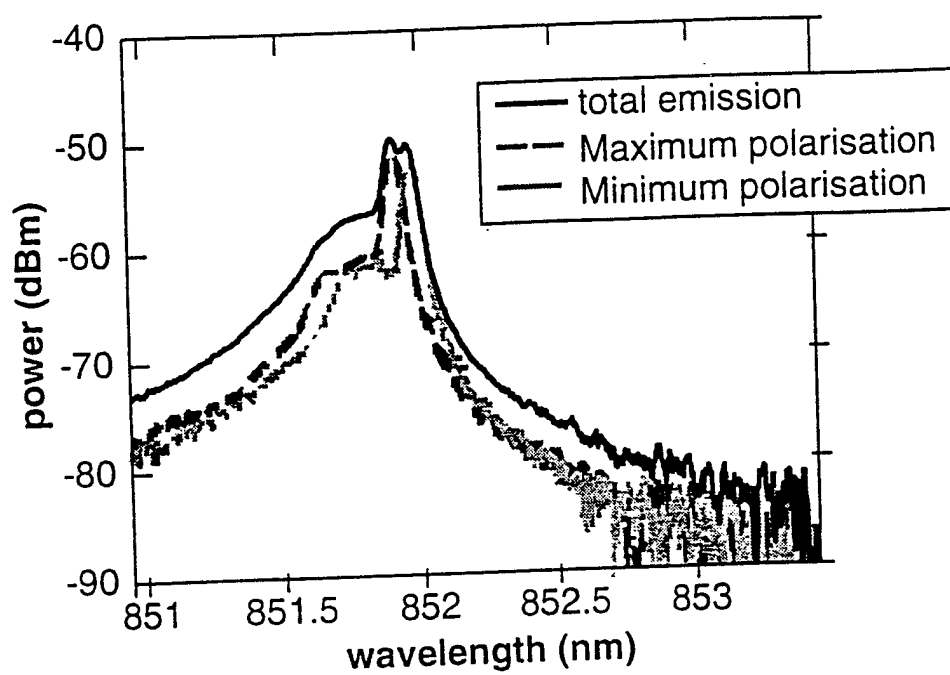
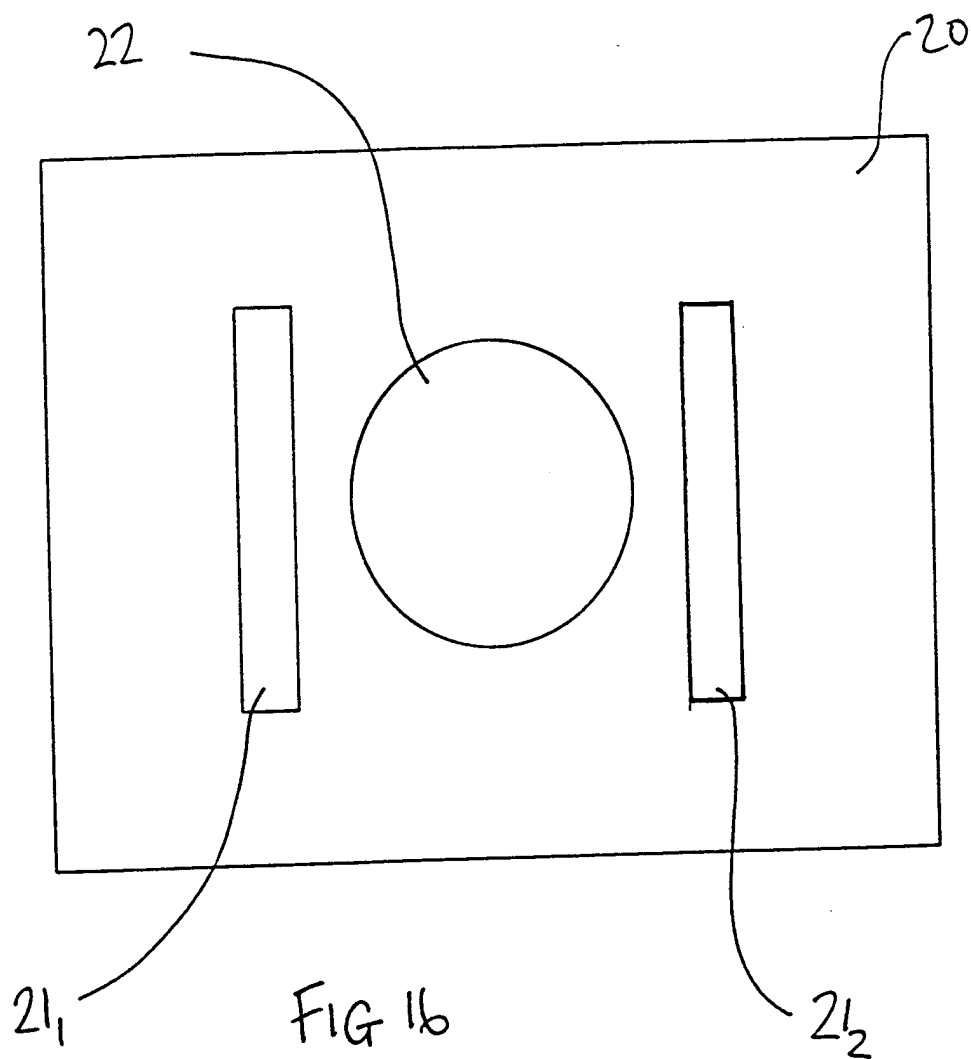


FIG. 15



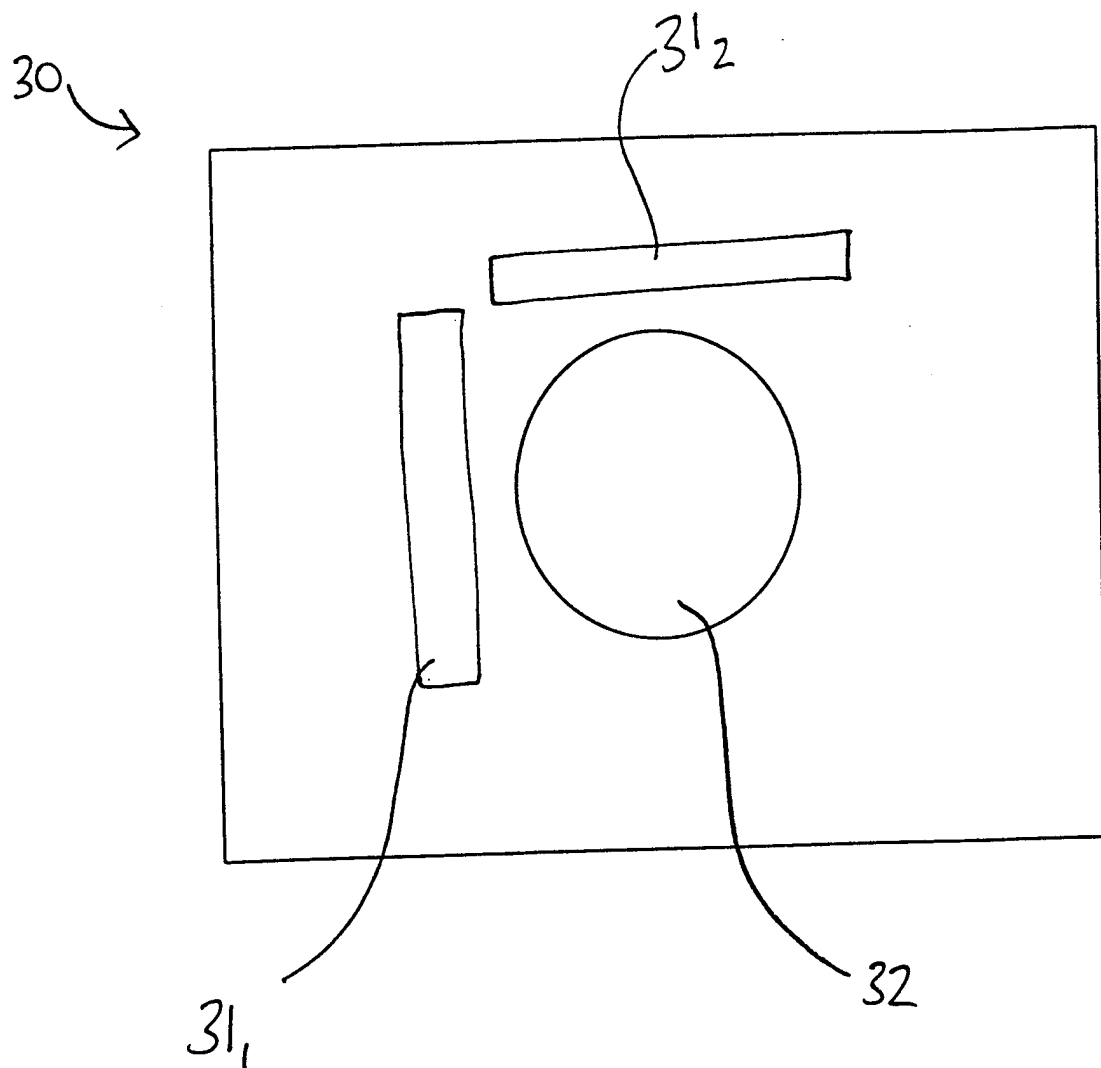


Fig 17

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 98/01415

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H01S3/085

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages                               | Relevant to claim No.                |
|------------|--|--------------------------------------|
| X          | US 5 331 654 A (JEWELL JACK L ET AL) 19<br>July 1994   | 1-3, 5,<br>8-10,<br>16-18,<br>20, 21 |
| A          | see column 8, line 6-22; figures 7, 8  | 6, 11, 12,<br>14, 15,<br>23, 26-31   |
| A          | ---<br>US 5 412 680 A (SWIRHUN STANLEY E ET AL)<br>2 May 1995<br>see column 5, line 37-52; figures 3A, 3B<br>--- | 1-3, 5, 6,<br>8-18, 20               |
| X          | US 5 469 458 A (NUMAI TAKAHIRO) 21<br>November 1995  | 20                                   |
| A          | see figure 3A<br>---<br>-/-  | 1, 8, 16,<br>21-31                   |



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents:

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Date of the actual completion of the international search

1 September 1998

Date of mailing of the international search report

11/09/1998

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Int. l. Application No

PCT/GB 98/01415

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|----------|---|-----------------------|
| X        | EP 0 772 269 A (HEWLETT PACKARD CO) 7 May 1997  | 20                    |
| A        | see figures 3A,3B<br>---  | 1,8,16                |
| A        | TOSHIKAZU MUKAIHARA ET AL: "POLARIZATION CONTROL OF VERTICAL-CAVITY SURFACE-EMITTING LASERS USING A BIREFRINGENT METAL/DIELECTRIC POLARIZER LOADED ON TOP DISTRIBUTED BRAGG REFLECTOR" IEEE JOURNAL OF SELECTED TOPICS IN QUANTUM ELECTRONICS, vol. 1, no. 2, 1 June 1995, pages 667-673, XP000521125<br>see figures 1,2<br>----- | 1,4,8,<br>16-20       |



**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/GB 98/01415

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s)                   | Publication<br>date                    |
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| US 5412680 A                              | 02-05-1995          | NONE   |  |
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