OMPL

(10) International Publication Number WO 2009/145912 A1

(43) International Publication Date 3 December 2009 (03.12.2009)

- (51) International Patent Classification: *A61N 5/00* (2006.01)
- (21) International Application Number:

PCT/US2009/003304

(22) International Filing Date:

29 May 2009 (29.05.2009)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

12/156,298

30 May 2008 (30.05.2008)

US

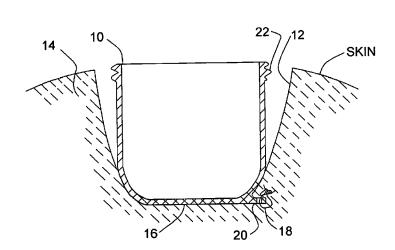
- (71) Applicant (for all designated States except US): XOFT, INC. [US/US]; 345 Potrero Avenue, Sunnyvale, CA 94085 (US).
- (72) Inventors: FRANCESCATTI, Darius; 26455 Falkirk Circle, Barrington Illinois 60010 (US). JERVIS, James, E.; 495 Walsh Road, Atherton, CA 94027 (US).
- (74) Agent: FREIBURGER, Thomas, M.; P.o. Box 1026, Tiburon, CA 94920 (US).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))

(54) Title: APPLICATORS AND METHODS FOR INTRAOPERATIVE TREATMENT OF PROLIFERATIVE DISEASES OF THE BREAST



(57) Abstract: A device for administering brachytherapy to a patient includes a vessel that may be in the form of a hollow cylindrical cup, for fleshing into and substantially filling the open-ended cavity. The vessel has a closed outer end, which may be a removable cover, and a source guide penetrates the closed outer end so as to extend deep into the vessel, to receive a radiation source in the source guide. A manipulator can be connected to the radiation source, and also to the source guide, for allowing several different types of manipulation of the source orientation and position within the vessel during the brachytherapy procedure.



FIG. 1

APPLICATORS AND METHODS FOR INTRAOPERATIVE TREATMENT OF PROLIFERATIVE DISEASES OF THE BREAST

SPECIFICATION

Background of the Invention

5

10

15

20

This invention relates to radiation therapy of proliferative disease as required adjuvant care following surgical resection of tumors or other pathological conditions. More particularly, it pertains to the intraoperative application of therapeutic radiation emitted from radiation sources positioned within the resection cavity created by surgical resection. Discussion herein is largely directed to radiotherapy following at least partial resection of breast tumors, but it is to be understood that the apparatus and methods may be applied to different anatomical sites.

It has been demonstrated in many areas of surgical oncology that adjuvant radiation treatment following tumor resection reduces the likelihood of recurrence of cancer or other proliferative disease. The likelihood of infiltrative disease decreases with distance from a primary site in a tissue with confirmed disease. It has also been shown that brachytherapy delivered from within the resection cavity is as effective as external beam therapy, reduces exposure of normal tissue to inadvertent radiation exposure, and furthermore,

that quality of life is superior after brachytherapy compared to that following external beam therapy. It is therefore desirable that brachytherapy or brachytherapy-like techniques be made available to as great a population of patients as possible.

5

10

15

20

25

30

Many radiotherapists prefer to deliver radiotherapy in fractions spaced in time (over a period of several days or even weeks) using intracavitary brachytherapy techniques to take advantage of the fact that normal cells recover from radiation exposure in a shorter period of time than diseased cells. Other radiotherapists have found intraoperative radiation therapy (IORT, radiotherapy delivered during the same operative procedure as the tumor resection) to be equally if not more effective in many circumstances, and may offer the opportunity for simultaneous reconstructive surgery. invention pertains to IORT, or delivery of other singletreatment radiotherapy wherein a complete treatment or prescription is delivered from the resection cavity in one dose during a conventional open surgical procedure. also been demonstrated that radiation intensity diminishes with distance from the radiation source. Radiotherapists fairly universally have therefore found that it is generally desirable to spatially separate the radiation source from the tissues being treated. This reduces the likelihood of exposing normal tissue to harmful levels of radiation, particularly that tissue nearest the radiation source, while still delivering the prescribed radiation to the prescribed In a situation where the resection cavity is substantially centered on the site of the tumor, the prescription depth is the depth in tissue outside the resection cavity where the likelihood of undiagnosed disease is highest and where adjuvant radiation treatment is warranted. The target tissue is the tissue to which this

prophylactic radiotherapy is directed, generally lying outside the resection cavity, but within the bounds of the prescription depth. Where the cavity (and hence the resected tissue specimen) is eccentric about the tumor location, that portion of the cavity farthest from the tumor may require less radiation prescription depth than tissues near the resected tumor location.

5

10

15

20

25

30

To create this spatial separation in traditional intracavitary brachytherapy, an applicator, usually comprising a balloon, is positioned and inflated within the resection cavity. For the same reasons as above, it is also desirable to create spatial separation preparatory to IORT treatment within an open surgical cavity. At present, however, there are no applicators, analogous to the balloon applicators described above, for use with IORT methods in open surgical fields. A purpose of this invention is to fill that need.

Traditional brachytherapy sources are isotopic seeds, often of iridium 192 positioned on wires, which are manipulated within applicator source guides and balloons to deliver the prescribed treatment to the target tissue surrounding the balloon and resection cavity. Emissions from iridium and other common medical isotopes usually have highenergy components which can penetrate deeply into tissue. They also emit continuously, and thus can only be used in special, heavily-shielded rooms. In addition, concerns for the safety of personnel require isolation of the patient during treatment, shielded storage at all other times, and automated handling between the storage chamber and the applicator when in the patient. In total, the capital expense required for such facilities dictates that treatment centers be located in urban areas so as to serve sizeable patient populations. This can result in under serving rural patients who cannot repeatedly travel to urban treatment centers for a

course of prolonged radiation treatment. Furthermore, the need for patient isolation is inconvenient for therapists, not to mention daunting for the patients under treatment. With such brachytherapy, it is clear that any improvements to the total duration of treatment, cost, source handling and shielding difficulties, patient fear factors and inconvenience would be welcome.

5

10

15

20

25

30

Recently, miniature electronic x-ray tubes have provided a preferable alternative to use of isotopes. Such tubes do not emit continuously, they only emit when powered in a manner causing emission and they can be turned on and off, or if desired, modulated such that their penetration depth can be controlled (by control of acceleration voltage) and their dose intensity can be controlled (by filament current) as well. One reference describing the principles and construction of such tubes is Atoms, Radiation and Radiation Protection, Second Edition, John E. Turner, Ph.D., CHP, 1995, John Wiley & Sons, Section 2.10. Electronic brachytherapy sources generally require cooling and are usually contained in a fixed position within a catheter designed for the purpose, but otherwise are more versatile and convenient to use than isotopes, and can be engineered to accommodate a wide variety of dosimetric prescription detail. In addition miniature xray tubes can be designed to emit substantially isotropically, or directed to emit only through a predetermined solid angle, permitting more detailed treatment plans. Isotope radiation cannot be controlled in this manner. Furthermore, the x-ray energy spectrum in ranges suitable for brachytherapy or IORT eliminates the need for heavily shielded structures, or "bunkers", and also permits the therapist to be in the room with the patient during therapy. Therapy can proceed in almost any medical facility, urban, rural or even mobile, and therefore, with miniature x-ray tubes, a greater population of

patients can be treated, and the costs of therapy are greatly reduced. It is clear that electronic brachytherapy sources have already contributed significantly to making such therapy more readily available and cost effective than other methods. Treatment duration can still be improved, however, and IORT is a procedure directed to this end.

5

10

15

20

25

30

Tumor resection is usually carried out by open surgical technique where the surgeon proceeds directly through skin and tissue overlying the tumor, or at the surgeon's discretion, from a nearby point which may provide more pleasing cosmesis. The incision must accommodate tumor resection including excision of additional tissue around the tumor, the margins of which are believed to be disease free. Often, efforts are made to provide markers which help orient the tissue with respect to the cavity from which it was excised, and which provide a basis the pathologist and surgeon can use to communicate with respect to the precise location of the tumor within the specimen (thus the cavity) and/or the location of further disease at the margins. Once analyzed, and if necessary, further tissue is removed until the margins are "clear", or free of apparent disease. In some modern institutions, this pathologic assessment is performed while the patient is still anaesthetized and on the operating table. Once the margins are determined to be free of disease, if IORT is the radiotherapy of choice, it is then administered.

Because the extent of the disease is uncertain at the outset of surgery, the incremental nature of the resection procedure in response to pathological findings may result in a cavity, the boundaries of which are eccentric with respect to tumor, and some margins which are relatively farther removed from the original tumor than others, and thus less likely to be infiltrated with disease. In such a circumstance, the prescription dose can be specified for delivery to an

imaginary surface defined in relation the tumor location without reference to the cavity boundaries. It is an object of this invention to accommodate such eccentricity so that tissues lying farthest from the tumor receive a lower dose than those lying near the tumor site, and to facilitate preparation of such a treatment plan.

Other objectives of the invention will become apparent from the following summary, drawings and description.

Summary of the Invention

5

10

15

20

25

30

This invention is intended particularly for the breast and comprises a rigid cup of predetermined shape, the sides of which are transparent to radiation and visible light, and the bottom of which is optionally attenuating in order to shield the underlying tissues and organs from harmful radiation. cup is placed into the cavity, bottom first, and advanced until it reaches the bottom of the cavity. The cup is configured so as to substantially fill and shape the cavity. If desired, the cup can be sutured near its base to secure it to the bottom of the cavity. After being so placed, the conformance of the cavity tissue to the cup can be visually ascertained, and if necessary adjusted appropriately to eliminate voids or pockets of air or seroma. Alternatively, the surrounding tissue can be urged into conformance with the sides of the cup and held in place by conventional tapes and/or sutures, by selecting a differently shaped rigid cup, or by other methods including provision of a matrix on the outside of the cup for application of suction to draw breast tissue into conformance with the cup and so retain it during radiotherapy.

The invention further comprises a lid to cover the cup and a connection with a gasket or other conventional features proximate to the cup lip to create a fluid tight seal between

5

10

15

20

25

30

the two elements. Near or at the periphery of the lid is a radiation attenuating skirt extending distally into the cup or just outside the cup (with securement threads for engaging the cup) to shield the skin and near-skin tissue gathered around the cup from radiation. If desired, the entire lid (or lid assembly if comprised of multiple elements) can be attenuating to protect those nearby during radiotherapy delivery. Extending through the lid is a tubular source guide which is radiation transparent and reaches sufficiently into the cup such that a radiation source can deliver as therapeutic dose. In one embodiment, the source can be manipulated within the quide (such positioning herein referred to as along the "Z" axis of the applicator or cavity) to effectively deliver the prescribed radiation. The distal end of the source guide is Proximally, the guide extends outward of the lid to an open end where a source and catheter can be inserted. source manipulation is desired, the proximal end of the source quide further comprises a flexible extension which can be secured to the source manipulator located outside the patient in order to provide a fixed reference distance between the outside manipulation apparatus to the patient and applicator. Such a flexible connection can accommodate patient motion, for example motion due to respiration, without introducing error in source positioning.

In simplest form, the cup is circular and the source guide is normal to the lid and located centrally to position the source equidistant from the sides of the cup in order for a substantially isotropic source to produce a laterally uniform isodose pattern. Other lid shapes and source guide positioning can be used to accommodate irregular cavity shapes and/or non-uniform prescription situations, and even source guide positions which are varied during the radiotherapy procedure. If the source position is to be varied laterally

in the X and/or Y directions, the surface of the lid can comprise a membrane to which the source guide is secured and sealed, and which can accommodate the desired changes in position, X/Y or angular. Conventional servo-systems can provide such manipulation in response to computer commands, and with source positioning within the source guide along the Z axis, can position the source at any location within the cup.

5

10

15

20

25

30

Delivery of radiotherapy using such a system is preceded by a treatment planning procedure in which the anatomy and applicator apparatus may be imaged using conventional methods, usually either x-ray or CT, but since the cup is transparent and its shape is known, direct visualization may be adequate to check for tissue conformance to the cup such that the tissue can be gathered more effectively around the cup, and to identify the presence of pockets of air or seroma between the cup and tissue. Once the geometrical relationships are confirmed, the desired therapy can be planned and the source position(s) and/or manipulation determined. Ultimately, control parameters for the apparatus are programmed to produce the plan for use during treatment. Prior to treatment, and if desired before imaging and planning, the volume within the cup and lid is filled with an attenuating medium, preferably saline, and air from within the volume is vented if necessary. Next, a radiation shield or shields, perhaps with a hole to accommodate exit of the source guide, are draped on the patient around the applicator apparatus as necessary to protect those nearby during treatment. (See U.S. patent application Serial No. 11/323,331.) The source is then inserted into the applicator, connected to the positioning control apparatus, if any, and treatment initiated. After delivery of the prescription, the source and other apparatus are removed. If any intraoperative reconstruction is

indicated, it is performed, and the cavity is then closed surgically in a conventional manner.

If desired, radiation sensors can be mounted on the skin or on the applicator apparatus to assist in dose determination during the treatment planning process, or to monitor treatment to plan and/or warn of overdose during radiotherapy.

Although the description herein assumes x-ray therapy from miniature x-ray tubes, the apparatus may also be used with isotopes to similar effect. From the embodiments described herein, other features, apparatus configurations and procedures will be apparent to those of skill in the art. Such improvements are to be considered within the scope of this invention.

<u>Description of the Drawings</u>

5

10

15

20

25

30

Figure 1 depicts in cross section a simple cup embodiment of the invention positioned within the breast of a patient and sutured therein using suture tabs provided for this purpose.

Figure 2 shows schematically in perspective a brachytherapy treatment system including an applicator apparatus of the invention.

Figure 3 shows in cross section breast tissues gathered around the applicator with the cup, a lid and gasket assembled and a flexible extension of the source guide leading to an outside manipulator.

Figure 4 is a perspective view showing a source catheter positioned in the manipulator and flexible source guide extension in preparation for controlled delivery of radiotherapy.

Figure 5 is a perspective view showing schematically an applicator lid with provision for X/Y manipulation of the source guide, and control wires for connection to actuators for X/Y manipulation.

Figure 6 shows schematically in cross section, detail of the applicator of Figure 5.

Description of Preferred Embodiments

5

10

15

20

25

30

Figure 1 shows in section view, a preferred brachytherapy applicator cup 10 positioned in an open surgical cavity 12 left in the breast 14 of a patient following (at least partial) tumor resection. The cup preferably is substantially rigid, of a predetermined shape (in this example circular), and preferably substantially transparent to x-rays and to visible light, or at least partially transparent to x-rays. It may, for example, be molded from engineering plastic, preferably with a specific gravity near unity to simplify Suitable materials would include treatment planning. polycarbonate, polyphenolene ether (Noryl, from GE Plastics, is an example) and polyethersulfone (Radel, from Solvay Advanced Polymers is another example). The shape can be generally circular-cylindrical, or other shapes.

If the tissues at the bottom of the cavity are susceptible to radiation damage and are to be protected, the material of the bottom portion 16 of the cup may further comprise the addition of attenuating fillers (see cross-hatching at bottom portion 16) to shield such tissues, or alternatively, an attenuating coating can be applied to the bottom of the cup. Barium compounds or metallic particulates may be used for filling purposes, but the separate filled and unfilled portions of the cup may require separate molding and subsequent joining together, as by bonding for example.

The distal bottom of the cup is positioned at the bottom of the cavity and if desired, secured with conventional sutures 18 to maintain cup orientation within the surgically created cavity. An optional flange or tabs 20 may be provided for suturing purposes. Alternatively, a base (not shown) to

be located under the cup may be sutured similarly in the bottom of the cavity. The cup bottom can be retained to the base by a suitable releasable attachment means. For example, the base may comprise half of a conventional hook and loop fastener (VELCRO), to which a mating fastener portion secured to the outside of the bottom of the cup, such as by bonding, is used to anchor the cup in the cavity. In another variation where a base is used, the bottom of the cup could comprise half of either a screw-thread or snap-on fastener and be attached to the base by mating screw thread or snap-on fixation. It is also possible the cup could be inverted and its open mouth screwed onto such a threaded base, with apparatus described below emerging from the upper side, which would be the cup bottom. It is also possible to use a vessel which is permanently closed but with a top opening to accommodate the radiation apparatus described below; for small resection cavities the vessel could comprise a solid mass of plastic material with a guide channel extending into it from The term vessel is intended to include such a the outer side. configuration of an applicator.

5

10

15

20

25

30

In instances where the tissues under the cup are to be shielded from radiation, rather than filling the cup bottom with attenuating material, one or both portions of the hook and loop fastener or the base sutured to the bottom of the cavity may further comprise a shielding layer, for example a layer of metallic foil or filled polymer.

A range of applicator cup sizes and shapes may be offered as standard, from which the surgeon or radiation oncologist may choose to fit the patient's resection cavity, or in the alternative, and where there is sufficient time and information available, a cup can be fabricated which addresses a particular patient's requirement. Proximate to the cup's lip are screw threads 22 to mate with the lid (not shown in

this drawing) presuming the cup is circular as shown. If the cup is not of circular cross-section, conventional over-center or snap-on fasteners can be used with features molded into the cup and lid for the purpose, which can be as in plastic food storage containers.

5

10

15

20

25

30

Figure 2 shows the principal elements of the treatment The elements comprise a treatment system schematically. planning computer 24 used to create an optimized treatment plan based on the dose prescription and on cup shape, and if conventional imaging is performed prior to planning, also on other relevant information from the imaging data. information, particularly if by CT methods, may also reveal tissue conformance to the cup 10 and location of anatomy which may require shielding or other accommodation. The computer 24 may, in one form of the system, then provide the treatment plan to the controller (not shown) which manages control of the source output, source position spatially and the timing of exposure from radiation emissions in order to deliver the prescribed treatment. Parts of the computer 24, controller and other elements of the treatment system communicate by conventional wiring 25, and ultimately source positioning is controlled through a mechanical manipulator 26 which positions the source 28 as needed within the cup and therefore within the resection cavity. The computer and controller can be a single component, and the term "controller" as used in the claims and sometimes herein is intended to refer to either implementation.

With the preferred miniature x-ray tube sources, the source 28 is usually contained and carried within a source catheter 32 to which the source is rigidly affixed. The catheter 32 is shown positioned in the collet 34 on the sled 36 of the controlled portion of the manipulator. Where required, conventional fluid cooling apparatus (not shown) is

provided, and cooling fluid is supplied at the manipulator apparatus from whence it flows to the x-ray source itself within the catheter.

5

10

15

20

25

30

The applicator 38 of the system comprises a tubular source guide 40 which is preferably rigid and transparent to The distal end 42 of the source guide is closed. Proximally, the source guide 40 is affixed to the lid 44 of the cup 10. The source guide distal end 42 is thus positioned in the cup 10 when the lid is in place. The proximal end 45 of the source guide connects to a flexible extension 46 of the source guide that preferably is anchored to a stationary portion 48 of the manipulator 26. This establishes a constant source guide lumen length from the manipulator to the source quide distal end 42 positioned within the applicator cup such that the controller can precisely control manipulation of the source 28 within the source guide 40, in the manner of a The cup 10 and the lid 44 of the applicator 38 control cable. are shown assembled as if positioned in the patient's breast or the anatomy (not shown). The source guide portion within the cup is preferably straight, but need not be if necessary to accommodate a non-uniform prescription.

In explanation, control cables of the sort described above with respect to source manipulation are sometimes called Bowden cables, and comprise a flexible tubular outer sheath of fixed length, the ends of which are fixed to two different structures whose relative position need not be either constant or predetermined. Within the control cable sheath is a wire (or in this case, source catheter 32) which can be translated axially. Pushing or pulling a given amount on one end of the wire results in a substantially identical displacement at the other end of the wire. An automobile throttle cable is a common example of such a control cable.

In Figure 3 the applicator 38 is shown submerged in a

5

10

15

20

25

30

cavity of tissue such as a breast 14. The tissues of the breast 14 have been gathered around the cup 10 and lid 44 and are held in place by conventional tape, sutures, or other conventional methods familiar to surgical practice. 44 of the cup 10 is shown assembled to the cup with a gasket 49, for example of silicone, positioned between cup and lid to The lid has the central source guide seal the volume within. 40 preferably affixed to the lid by conventional methods, an example of which is a collet 50, and extending into the cup, with the proximal end 45 secured to the flexible extension 46 by a conventional clamp 49. If necessary to protect the patient's skin and near-skin tissues from overdose which could result in adverse cosmesis, the lid can further comprise a skirt 51 of a shielding material extending coaxially inside the lip of the cup to a depth sufficient to protect the skinand near-skin tissues as shown. Alternatively, the cap's threaded skirt 51a could include shielding material. flexible drape 52 according to the teachings of U.S. patent application Serial No. 11/323,331 is shown draping the breast 14 to protect attending personnel. The lid 44 may also be of a shielding material in order to provide radiation exposure protection to therapeutic personnel, either as a single molding as shown, or alternatively, the lid can comprise an assembly of parts. Depending on the attenuating properties of the lid elements, which include the threaded skirt 51a, the attenuating skirt 51 may be redundant and can be eliminated. Also shown on the top of the lid is a vent 56 to permit air to escape from the interior volume of the applicator as the cup is filled with attenuating medium through an inlet port 58.

Figure 4 shows in greater detail the manipulator apparatus 26. The proximal end of the source guide flexible extension 46 is mounted to the stationary portion 48 of the manipulator. The source catheter 32 is shown positioned

within the collet 34 and extending into the source guide The source catheter 32 and hence the source extension 46. (not shown) are controlled spatially by servomotors 61 of the manipulator in response to commands from the controller or The manipulator shown schematically in Figure 4 can accommodate both translation of the source axially within the source guide as well as rotation relative to the source guide, the latter for use with x-ray tubes emitting only through a solid angle (directional) rather than isotropically. instances where isotropic source are used, only axial manipulation is required and the rotational capability of the manipulator 26 as shown may be eliminated. Furthermore, if it is desired to translate the source within the source catheter, a second manipulator (not shown) similar to the manipulator 26 may be added to operate in tandem with the manipulator 26 and provide independent control for both source and catheter.

5

10

15

20

25

30

Figure 5 depicts schematically a lid embodiment and system where the top of the lid 62 comprises a membrane 64, for example of silicone, to seal the volume within the cup 10 while the source guide (not shown) is translated in the X and/or Y directions by a servomotor positioning apparatus 66 mounted on the stationary portion 48 of the manipulator Applicator guide rails 68X, integral parts of the apparatus. lid 62, guide a X sled 70X in the X direction, while the edges of the sled 70X guide a sled 70Y in the Y direction. sleds are controlled by servomotors 72X and 72Y respectively, acting through control cables 74X and 74Y of the sort The servomotors 72X and 72Y are responsive described above. to commands from the central controller. Collectively, this apparatus serves to keep the source guide parallel to the axis of the cup during X/Y translation. In principle such X/Y apparatus could be mounted entirely on the lid of the applicator, but given any substantial bulk or weight for the

positioning apparatus, it is preferable to mount the positioning servomotors remotely, for example on the stationary portion 48 of the manipulator apparatus as shown.

5

10

15

20

25

30

In this embodiment, the proximal end of each of the control cable 74X and 74Y sheaths is affixed to the stationary portion 48 of the manipulator apparatus, as is the proximal end of the source guide flexible extension 46. The source catheter 32 is mounted in the collet 34 and leads into the flexible source quide extension 46. The distal end of the sheath of the cable 74X is fastened to a mounting tab 76 on the lid 62. The distal end of the sheath of cable 74Y is mounted on a tab 78, an integral part of the sled 70X. The proximal ends of the inner wires of the two cables are controlled by the axial servomotors 72X and 72Y. The distal end of the inner wire of the cable 74X is connected to a tab (not shown) proximate the central slot 80% of the sled 70%. The distal end of the inner wire of the cable 74Y connects to a tab 82 on the sled 70Y (see Figure 6 for detail). arrangement, and together with manipulation of the source catheter 32, the source may be positioned anywhere within the cup 10 by X,Y,Z coordinates which fall within the mechanical limits of the apparatus.

Although the source guide manipulation above is limited to X and Y translation, other manipulation strategies can be used, for example by pivoting an arm mounting the source guide 40, where the radius of the source guide from the pivot and the pivot angle are controlled. It is also possible to vary the angle of the source guide axis relative to the cup axis. Servomotors can be used to control the positions of all these variations in keeping with the degrees of freedom of motion provided by the apparatus. Still other control schemes will be readily apparent to those of skill in the art. If desired, closed loop feedback control for each degree of freedom

employed can be provided easily, for example by use of linear variable differential transformer (LVDT) sensors, the output of which can be used to verify position with precision.

Sensor outputs can also be used to verify treatment to plan.

Such methods are known to those of skill in the art.

5

10

15

20

25

30

Figure 6 is a cross section detail through the axis of the source guide 40, looking in the X direction. The membrane 64 is shown clamped onto the source guide 40 by a clamp 84. The mounting of the sled 70X on the rails 68X is seen in Figure 6.

Various embodiments employing combinations of features described above are useful for IORT. The simplest case is a source guide positioned in a fixed position within a cup of circular cross-section, and a substantially isotropic source in a fixed position or translated within the source guide. Special circumstances can be accommodated with other embodiments. For example, if the resection cavity from tumor removal results in a cavity which is not centered on the tumor location, as discussed above, perhaps as a result of successive adverse pathology findings or for any other reason, the prescription can be centered on the tumor location by use of a source guide passing through the tumor location rather than being centered within the cavity. The cup and lid can still be chosen to fill the cavity, be it round or oval in cross section, or of another shape. Since the likelihood of disease infiltration into apparently normal tissue decreases with distance from the tumor, when using this approach, the farthest tissues from the tumor will receive a lighter dose. To the extent that a portion of the target tissue limits fall within the cup, the tissues beyond may receive very little dose, while the tissues nearer the tumor will receive the full prescription. With the embodiment described in Figure 5, the isodose pattern circumscribing the prescription may be

sculpted beyond shapes available from a fixed source position or from a linear source guide within which the source is translated.

Although described particularly in relation to breast radiation, the applicator and accompanying control apparatus can be used at other tissue locations wherein a resection cavity or other cavity is open at the skin.

The embodiments described above will suggest to those of skill in the art, other combinations of features which, when combined, will result in further embodiments. These embodiments are to be considered within the scope of the invention.

WE CLAIM:

5

10

1. A device for administering brachytherapy to the tissue of a living patient, comprising:

a cup of a material at least partially transparent to x-ray radiation,

a cover for the cup,

5

10

15

20

25

a source guide for receiving an x-ray source attached to and penetrating through the cover for the cup, and

a catheter carrying an x-ray source slidable within the source guide in the cup,

whereby the cup can be positioned in an open resection cavity with the tissue closely up against a bottom and sides of the cup, and with the cover on the cup and the source guide within the cup, the catheter can be manipulated axially within the source guide in the cup as a brachytherapy treatment of tissues surrounding the cup progresses.

- 2. The brachytherapy applicator cup of claim 1, wherein the cup is essentially circular-cylindrical.
- 3. The brachytherapy applicator cup of claim 2, wherein the cover is securable to the outer end of the cup using screw threads.
- 4. The brachytherapy applicator cup of claim 1, including a manipulator connected to a proximal end of the catheter and capable of manipulating the catheter and the x-ray source at least in an axial direction within the source guide when the source guide is contained within the cup.
 - 5. The brachytherapy applicator of claim 4, wherein the

manipulator includes provision for rotation of the catheter with the radiation source, as well as axial translation of the catheter.

6. The brachytherapy applicator cup of claim 4, wherein the manipulator further includes provision for moving the source guide in X and Y directions of translation within the cup, the X and Y directions being parallel to the cover of the cup, so that the radiation source can be moved to essentially any position within the cup during the brachytherapy treatment.

5

10

15

20

25

- 7. The brachytherapy applicator cup of claim 5, wherein the manipulator further includes provision for moving the source guide in X and Y directions of translation within the cup, the X and Y directions being parallel to the cover of the cup, so that the radiation source can be moved to essentially any position within the cup during the brachytherapy treatment.
- 8. The brachytherapy applicator cup of claim 7, wherein the cover includes a flexible membrane secured to the source guide and flexible so as to allow movement of the source guide in X and Y directions, while providing a closure seal for the cup.
- 9. The brachytherapy applicator cup of claim 1, wherein the cup has a provision for suture near its bottom for suturing the cup to tissue at the bottom of the resection cavity.
- 10. The brachytherapy applicator cup of claim 1, wherein the cup is substantially transparent to both x-ray radiation

and visible light.

5

10

15

20

25

11. The brachytherapy applicator cup of claim 1, further including a controller connected to the manipulator and capable of manipulating the catheter and x-ray radiation source in accordance with a treatment plan stored in the controller.

- 12. The brachytherapy applicator cup of claim 1, wherein the cover includes a skirt, with the skirt including an attenuating material to protect the skin against radiation.
- 13. A device for administering brachytherapy to the tissue of a living patient, comprising:

a vessel formed of a material at least partially transparent to x-ray radiation, with a substantially closed outer end on the vessel,

a source guide extending into the vessel from the outer end, for receiving an x-ray source, and

a catheter carrying an x-ray source and positioned within the source guide in the vessel,

whereby the vessel can be positioned in an open resection cavity with the tissue closely up against a bottom and sides of the vessel, and with the x-ray source positioned within the source guide in the vessel, brachytherapy treatment can be administered to tissues surrounding the vessel.

- 14. The device of claim 13, wherein the vessel comprises a hollow cup with a removable cover serving as the outer end, the source guide being attached to and penetrating through the cover.
 - 15. A method for brachytherapy treatment of an open

resection cavity in the tissue of a living patient, comprising:

5

10

15

25

following resection of a tumor in a way that leaves an open resection cavity, placing a treatment cup into the resection cavity,

assuring that margin tissue around the resection cavity, including the skin, is essentially in contact with the outside surface of the cup all around the cup,

placing in the cup, through an essentially closed proximal outer end of the cup, a source guide secured to and penetrating through the outer end so as to extend a distal end of the guide essentially to the bottom of the cup,

inserting into the source guide, through a proximal end of the source guide, a catheter carrying an x-ray source such that the x-ray source extends into the cup, and

using the x-ray source to irradiate the tissues surrounding the cup.

- 16. The method of claim 15, wherein the cup's walls and bottom are substantially transparent to x-ray radiation.
- 20 17. The method of claim 15, further including, following the step of inserting the catheter, moving the catheter so as to move the radiation source axially within the source guide while irradiating the tissues surrounding the cup.
 - 18. The method of claim 17, further including manipulating the catheter using a mechanical manipulator connected to a proximal part of the catheter.
 - 19. The method of claim 17, wherein the x-ray source is directional, and including the step of rotating the source while moving the source within the source guide and the cup,

to irradiate surrounding tissues in accordance with a treatment plan.

5

20

25

- 20. The method of claim 18, wherein the manipulating step is accomplished using a mechanical manipulating device connected to a proximal part of the catheter, connected to a computer programmed with a treatment plan, such that the manipulator moves the x-ray source in accordance with the treatment plan.
- 21. The method of claim 20, wherein the manipulating

 10 step further includes movement of the source guide in X and Y

 directions during treatment relative to the cup, wherein X and

 Y directions are substantially parallel to the cover on the

 cup.
- 22. The method of claim 20, wherein the source is
 directional, and including rotating the catheter and source
 during the irradiation treatment, under control of the
 manipulator and the computer.
 - 23. The method of claim 15, further including securing the cup to tissue in the resection cavity to hold the cup in position during treatment.
 - 24. The method of claim 15, wherein the closed outer end of the cup comprises a removable cover.
 - 25. The method of claim 24, wherein the cover includes a skirt, with the skirt including an attenuating material to protect the skin against radiation.

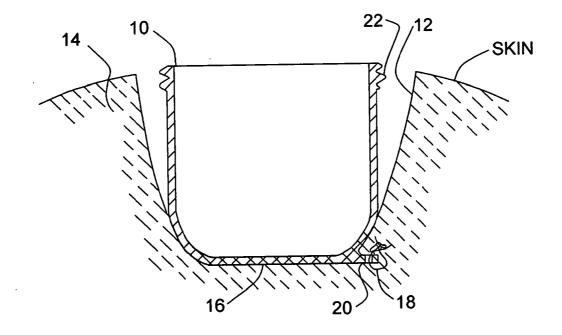


FIG. 1

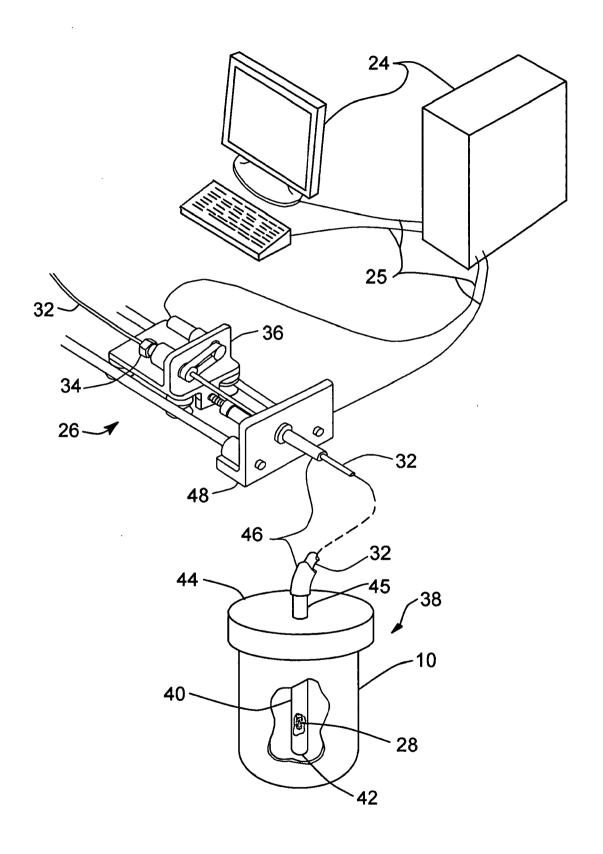


FIG. 2

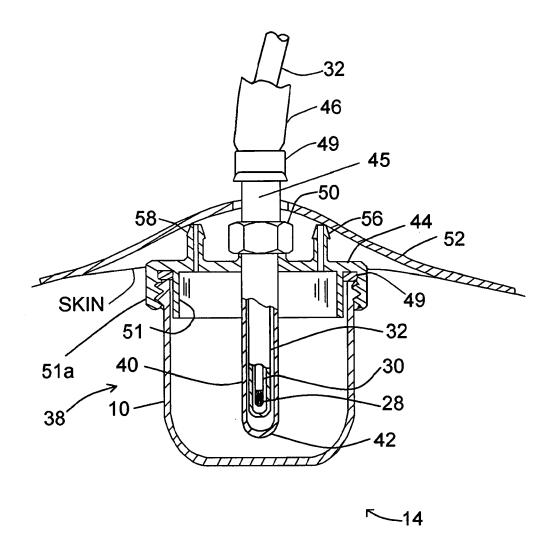
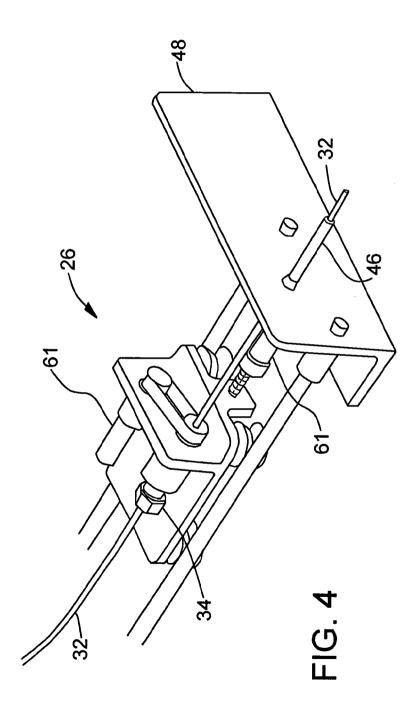
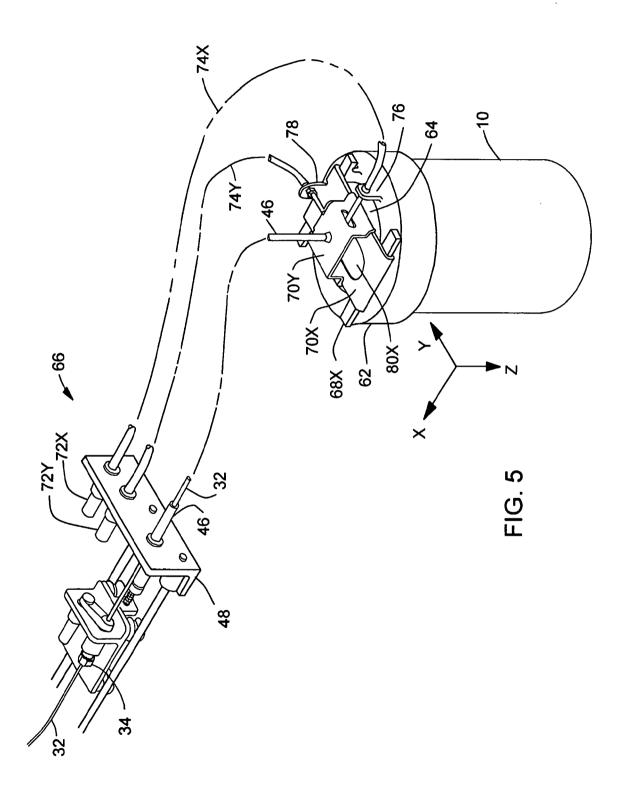


FIG. 3





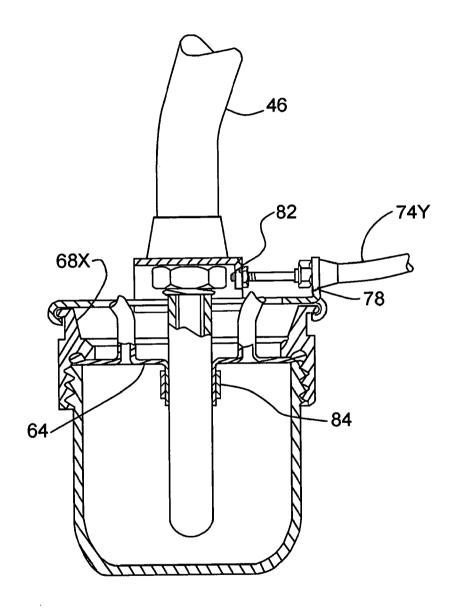


FIG. 6

INTERNATIONAL SEARCH REPORT

International application No. PCT/US 09/03304

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - A61N 5/00 (2009.01) USPC - 600/3 According to International Potent Classification (IPC) or to both national classification and IPC			
According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED			
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols)			
IPC - A61N 5/00 (2009.01); USPC - 600/3			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC - 600/1, 4, 5, 6, 7			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) PubWEST - DB=PGPB,USPT,USOC,EPAB,JPAB; Google Scholar Search Terms - brachytherapy, radiation, x ray, catheter, source guide, cup, lid, cover, computer, treatment plan, rotation			
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.
Y	US 2008/0119687 A1 (VAN'T HOOFT et al.) 22 May 20 especially para [0011], [0020] to [0025]; Fig 1-4	008 (22.05.2008) entire document,	1-25
Y	US 7,338,430 B2 (LIN et al.) 04 March 2008 (04.03.200 -7, col 4, in 4-26, col 4, in 65 to col 5, in 10 col 5, in 48-5 4c, 7	1-14, 17-22	
Y	US 2005/0240073 A1 (APFFELSTAEDT et al.) 27 October 2005 (27.10.2005) para [0012], [0031], [0032], [0042], [0047], [0077]; Fig 5-6		15-25
Υ .	US 5,957,913 A (DE LA TORRE et al.) 28 September 1999 (28.09.1999) col 10, ln 30-63; Fig 25		8
Y	US 2007/0270627 A1 (CUTRER et al.) 22 November 2007 (22.11.2007) para [0147]		9
Y	US 6,656,106 B2 (SCHMIDT) 02 December 2003 (02.12.2003) col 2, ln 10-26		10
Υ	US 2003/0084988 A1 (TERWILLIGER et al.) 22 April 2008 (22.04.2008) para [0100]; Fig 3		11, 20-22
Y	US 4,784,116 A (RUSSELL) 15 November 1988 (15.11.1988) col 3, ln 8-24, col 5, ln 27-39		12, 25
Further documents are listed in the continuation of Box C.			
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "It later document published after the international filing date or price date and not in conflict with the application but cited to undersome the principle or theory underlying the invention			ation but cited to understand
"E" earlier application or patent but published on or after the international filing date		"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is	
"O" document referring to an oral disclosure, use, exhibition or other means		combined with one or more other such or being obvious to a person skilled in the	documents, such combination
"P" document published prior to the international filing date but later than "&" document member of the same patent family the priority date claimed			
Date of the actual completion of the international search 10 July 2009 (10.07.2009)		Date of mailing of the international sear 20 JUL 2009	ch report
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents		Authorized officer: Lee W. Young	
		PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774	
		·	