(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 11 February 2010 (11.02.2010)

(10) International Publication Number WO 2010/017521 A3

(51) International Patent Classification: A61B 17/70 (2006.01) A61B 19/00 (2006.01)

(21) International Application Number:

PCT/US2009/053217

(22) International Filing Date:

7 August 2009 (07.08.2009)

(25) Filing Language:

English

(26) Publication Language:

English

US

US

(30) Priority Data:

61/086,940 7 August 2008 (07.08.2008) 12/357,596 22 January 2009 (22.01.2009)

(71) Applicant (for all designated States except US): INNO-VATIVE SPINE, LLC [US/US]; Attention: Mr. Joel Saxton, 36064 Maonon Ave., Madera, CA 93638 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): FALLIN, T., Wade [US/US]; 210 East 200 South, Hyde Park, UT 84318 (US). SAXTON, Joel [US/US]; 36064 Manon Ave., Madera, CA 93638 (US). GOBLE, E., Marlowe [US/US]; 1125 North Cedar Heights Drive, Logan, UT 84321 (US). FARR, Morteza, M. [US/US]; 140 Pelton Ave., Santa Cruz, CA 95060 (US). WENTZ, Mike [US/US]; 180 South 600 West, Logan, UT 84321 (US).

AKYUZ, Ephraim [US/US]; 358 North Gateway Drive Apt. #117, Providence, UT 84332 (US). JUSTIN, Daniel, F. [US/US]; 185 North Winding Way, Logan, UT 84321 (US). LORANG, Douglas, M. [US/US]; 3009 North 2050 East, North Logan, UT 84341 (US).

(74) Agents: FLYNN, Kevin, E. et al.; Eclipse Group Llp, 10605 Balboa Blvd., Suite 300, Granada Hills, CA 91344 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, SM,

[Continued on next page]

(54) Title: SURGICAL ACCESS WITH TARGET VISUALIZATION

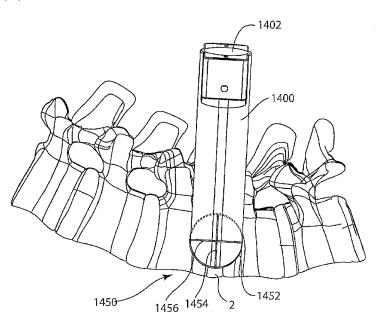


FIG. 25

(57) Abstract: Accessing a spine from a curved postero-lateral approach may include a curved cannula positioned along a curved path from an opening in the skin to a location proximate to the spine. Positioning of the distal end of a radiolucent curved access cannula may be assisted by use of one or more radio-opaque markers. Markers may be positioned to form a cross hair image in fluoroscopy to assist in cannula placement. Radioopaque inserts placed in and extended beyond the curved access cannula may have radiolucent windows to allow viewing of the cannula's radioopaque markers. An appropriately placed curved access cannula may be clamped to prevent subsequent movement. Appropriate tools may be introduced through the curved access cannula and the distal radio-opaque tool heads may be viewed relative to the radio-opaque markers. The curved access cannula may be attached to the spine through one or more screws.



TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

$^{\prime\prime}$, — bc

before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

Published:

- with international search report (Art. 21(3))
- (88) Date of publication of the international search report: 6 May 2010

International application No. **PCT/US2009/053217**

A. CLASSIFICATION OF SUBJECT MATTER

A61B 17/70(2006.01)i, A61B 19/00(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B 17/70; A61B 17/56; A61B 17/58; A61M 25/00; A61M 29/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

(Chinese Patents and application for patent)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords: radio-opaque, surgical access, curved access cannula, fluoroscopy

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5203777 A1 (PETER Y. LEE) 20 April 1993 See Col.4 Line 41-Col.5 Line 14; Claim 1 ; Fig.2	1-16
A	US 5921978 A1 (RUSSELL B. THOMPSON et al.) 13 July 1999 See Col.4 Lines 20-37, Col.5 Line 52-Col.6 Line 20; Claim 1; Figs 4,5,8-10	1-16
A	US 2006-0149278 A1 (M. ABDOU) 06 July 2006 See Paragraphs [0009]-[0013], [0074]-[0076]; Figs. 19-23	1-16
A	US 2007-0010844 A1 (GORMAN GONG et al.) 11 January 2007 See Paragraphs [0023], [0027], [0028]; Claim 1; Figs. 1, 6	1-16
A	US 2003-0229347 A1 (MICHAEL C. SHERMAN et al.) 11 December 2003 See Paragraphs [0018], [0052], [0058], Claims 44, 69; Figs. 1, 8-10	1-16

	Further documents are l	listed in the	continuation	of Box C.
--	-------------------------	---------------	--------------	-----------

See patent family annex.

- * Special categories of cited documents:
- 'A" document defining the general state of the art which is not considered to be of particular relevance
- 'E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

12 MARCH 2010 (12.03.2010)

Date of mailing of the international search report

15 MARCH 2010 (15.03.2010)

Name and mailing address of the ISA/KR



Korean Intellectual Property Office Government Complex-Daejeon, 139 Seonsa-ro, Seogu, Daejeon 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

OH Seung Jae

Telephone No. 82-42-481-8469



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2009/053217

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: 17-22 because they relate to subject matter not required to be searched by this Authority, namely: Claim 17 relates to a method for providing surgical access, which is a substantial surgical method to treat human body. This method falls into the category of a method for treatment of the human body by surgery, therapy or diagnostic methods. The same reasoning applies to claims 18-22, which are dependent on claim 17 [Article 17(2)(a)(i), Rule 39.1(iv) PCT].
Claims Nos.: 23 because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically: Claim 23 relies on reference to the description or drawings instead of definite structure expressly state in the claim.
Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee. The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation. No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2009/053217

te Patent membe		ation
.04.1993 None		
.07.1999 None		
EP 18 US 200 WO 200 WO 200 WO 200	14474 A4 10.12 07-0093828 A1 26.04 06-058221 A2 01.04 06-058221 A3 21.04 07-044705 A2 19.04	3.2007 2.2008 4.2007 6.2006 9.2006 4.2007
US 200 WO 200	07-0010845 A1 11.0 07-008568 A2 18.0	1.2008 1.2007 1.2007 1.2007
AU 200 CA 238 CA 238 CA 266 EP 122 EP 122 JP 200 KR 10- US 200	200-80119 B2 23.13 237733 C 23.00 237733-A1 26.04 260920-A1 26.04 21901 A1 17.07 21901 B1 21.06 23-511190 A 25.03 20795649 B1 21.0 23-0161368 A1 31.10 23-0060826 A1 27.03 25-0021031 A1 27.03 26-020135 A1 07.03 26-0229614 A1 12.10 27-0185491 A1 09.00 27-0198015 A1 23.00 280929 B1 11.00 28422 B2 07.00 28626 B2 13.00	4.2001 2.2004 3.2009 4.2001 4.2001 7.2002 2.2007 3.2008 1.2008 3.2006 3.2006 3.2007 3.2007 3.2006 3.2006 3.2006 3.2007 4.2001
	S.07.1999 None S.07.2006 EP 181 EP 181 US 200 W0 200 S.01.2007 EP 190 US 200 W0 200 W0 200 W0 200 W0 200 US 200	8.07.1999 None 8.07.2006 EP 1814474 A2 08.08 EP 1814474 A4 10.12 US 2007-0093828 A1 26.04 W0 2006-058221 A2 01.06 W0 2006-058221 A3 21.08 W0 2007-044705 A2 19.04 W0 2007-044705 A3 19.04 8.01.2007 EP 1903967 A2 02.04 US 2007-0010845 A1 11.07 W0 2007-008568 A2 18.07 W0 2007-008568 A3 18.07 8.12.2003 AU 2000-80119 A1 30.04 AU 2000-80119 B2 23.12 CA 2387733 C 23.06 CA 2387733-A1 26.04 CA 2660920-A1 26.04 EP 1221901 A1 17.07 EP 1221901 B1 21.02 US 2003-511190 A 25.03 KR 10-0795649 B1 21.07 US 2003-0161368 A1 31.16 US 2003-0060826 A1 27.03 US 2005-0021031 A1 27.03 US 2006-0229614 A1 12.10 US 2006-0229614 A1 12.10 US 2006-0200135 A1 07.08 US 2006-0229614 A1 12.10 US 2007-0198015 A1 23.08 US 6530929 B1 11.03 US 7008422 B2 07.03 US 7011660 B2 14.03