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(54) Title: METHOD FOR ENCAPSULATION OF ELECTRONICS RECEIVED IN WATER METER PITS WITH AN IMPROVED WAX-BASED ENCAPSULANT/MOISTURE BARRIER

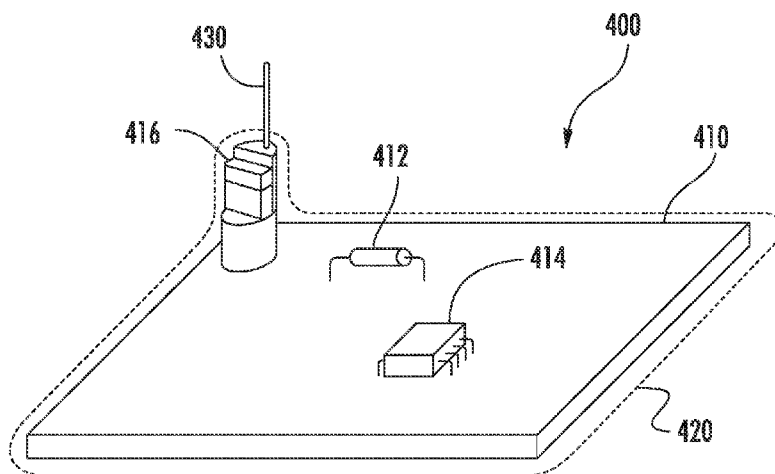


FIG. 4

(57) Abstract: The presently disclosed subject matter is directed to method for forming an encapsulant and coating electronic components such as those utilized in AMR technology with the encapsulant. The encapsulant comprises a wax, a tackifier, a polymer, a plasticizer, a thixotropic agent, and an antioxidant and is designed to protect electronic components from harsh environments such as those where high levels of humidity or corrosive liquids may be present. For example, the encapsulant exhibits minimal percent weight gain due to moisture vapor when subjected to temperatures ranging from about -40C to about 70C and relative humidities ranging from 0% to 85% over a period of 200 days.



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TITLE: Method for Encapsulation of Electronics Received in Water Meter Pits with an Improved Wax-Based Encapsulant/Moisture Barrier

FIELD OF THE SUBJECT MATTER

5 **[0001]** The presently disclosed subject matter relates to Automatic Meter Reading (AMR) technology. More particularly, the presently disclosed subject matter relates to a method for encapsulating electronic components used in AMR technology with an improved wax-based encapsulant to provide a barrier to protect the electronic environments from harsh environments.

BACKGROUND OF THE SUBJECT MATTER

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15 **[0002]** AMR technology used in conjunction with utility meters, and particularly water meters, must generally operate in relatively harsh environments. For example, water meters and AMR components placed in water meter pits are exposed to high humidity levels almost constantly. Additionally, meters and associated components placed into pits are potentially subject to corrosion due to contact with various corrosive liquids. Often designers try to design the meter components from materials that are capable of withstanding exposure to moisture and/or corrosive liquids. Another option is to hermetically seal the housings containing any electronic components, though this is often not a desired approach because of manufacturing constraints and high costs. Still another approach is to try to insulate any electronic components associated with utility meters from harsh environments through the use of various encapsulants or potting materials.

20 **[0003]** In cases where electrical components are located in harsh environments, a method for coating or encasing electronics with a potting material or encapsulant with a decreased diffusion rate that corresponds with improved moisture protection and shields the components from corrosive liquids is thus desired. While silicones, polyurethanes, and epoxies have been developed as potting materials and can provide some protection against moisture, thermal shock, and vibration, such potting materials/encapsulants still allow for the

penetration of moisture over time due to their higher permeability and diffusion rates. Thus, these materials do not sufficiently waterproof the electrical components that they surround.

[0004] Prior publications that describe potting materials or encapsulants include

5 U.S. Patent No. 7,999,016 to Osada et al. disclosing a "Semiconductor Encapsulating Epoxy Resin and Semiconductor Device," U.S. Patent No. 7,763,673 to Okamoto et al. disclosing a "Curable Composition Containing a Silicon-Containing Group Polymer, a Titanium Chelate, and an Amide Wax," U.S. Patent No. 7,741,388 to Murotani et al. disclosing an "Epoxy Resin Composition
10 and Semiconductor Device," U.S. Patent No. 4,977,009 to Anderson et al. disclosing "Composite Polymer/Dessicant Coatings for IC Encapsulation," and U.S. Patent Application Publication No. 2010/0067168 by Summers et al. disclosing "Composite Organic Encapsulants." The complete disclosures of such patent publications are fully incorporated herein by reference for all purposes.

15 **[0005]** While various methods have been developed for potting or encapsulating electronic components, and while some level of protection from harsh environments has been provided, no particular method of dispensing an encapsulant composition onto electronic components has emerged that encompasses all of the desired characteristics as hereafter presented in
20 accordance with the subject technology.

SUMMARY OF THE SUBJECT MATTER

[0006] In view of the recognized features encountered in the prior art and
25 addressed by the presently disclosed subject matter, a method for encapsulating electronic components used in AMR technology with an improved wax-based encapsulant to provide a barrier to protect the electronic environments from harsh environments has been provided.

[0007] The present disclosure contemplates a method of encapsulating
30 electronic components used in automatic meter reading technology operating at temperatures of less than about 65°C with a wax-based encapsulant to protect the electronic components from harsh environments, such as those associated with moisture or corrosive liquids. The method of the present disclosure can include

forming an encapsulant from a wax, a tackifier, a polymer, and a plasticizer. The encapsulant can exhibit a percent weight gain of only from about 0.001% by weight to about 1.5% by weight over a period of about 200 days when the temperature ranges from about -40°C to about 70°C and when the relative humidity ranges
5 from about 0% to about 85%.

[0008] Additional objects and advantages of the presently disclosed subject matter are set forth in, or will be apparent to, those of ordinary skill in the art from the detailed description herein. Also, it should be further appreciated that modifications and variations to the specifically illustrated, referred and discussed
10 features, elements, and steps hereof may be practiced in various embodiments and uses of the presently disclosed subject matter without departing from the spirit and scope of the presently disclosed subject matter. Variations may include, but are not limited to, substitution of equivalent means, features, or steps for those illustrated, referenced, or discussed, and the functional, operational, or positional
15 reversal of various parts, features, steps, or the like.

[0009] Still further, it is to be understood that different embodiments, as well as different presently preferred embodiments, of the presently disclosed subject matter may include various combinations or configurations of presently disclosed features, steps, or elements, or their equivalents (including combinations of
20 features, parts, or steps or configurations thereof not expressly shown in the figures or stated in the detailed description of such figures). Additional embodiments of the presently disclosed subject matter, not necessarily expressed in the summarized section, may include and incorporate various combinations of aspects of features, components, or steps referenced in the summarized objects
25 above, and/or other features, components, or steps as otherwise discussed in this application. Those of ordinary skill in the art will better appreciate the features and aspects of such embodiments, and others, upon review of the remainder of the specification.

BRIEF DESCRIPTION OF THE DRAWINGS

[0010] A full and enabling disclosure of the presently disclosed subject matter, including the best mode thereof, directed to one of ordinary skill in the art, is set forth in the specification, which makes reference to the appended figures, in which:

[0011] Figure 1 illustrates a utility meter pit configuration;

[0012] Figure 2 illustrates an exploded view of an assembly including electronic circuitry that may be present in AMR technology in utility meter pits;

[0013] Figure 3 illustrates a perspective view of an electronic sub-assembly that may be present in AMR technology in utility meter pits;

[0014] Figure 4 illustrates a meter endpoint circuit board coated with an encapsulant using the method described in the present disclosure; and

[0015] Figure 5 illustrates a summary of the data comparing samples of an encapsulant formed by the method of the present disclosure with corresponding controls.

[0016] Repeat use of reference characters throughout the present specification and appended drawings is intended to represent same or analogous features, elements, or steps of the presently disclosed subject matter.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0017] As discussed in the Summary of the Subject Matter section above, the presently disclosed subject matter is particularly concerned with a method for coating or encapsulating electronic components with a wax-based encapsulant that can be used in, for example, AMR technology, or any application where a barrier to moisture or corrosive liquids is desired. Although generally described in the context of utility meter pits, however, the method of encapsulation described herein is not to be construed as limited to such technology.

[0018] Selected combinations of aspects of the disclosed technology correspond to a plurality of different embodiments of the presently disclosed subject matter. It should be noted that each of the exemplary embodiments presented and discussed herein should not insinuate limitations of the presently disclosed subject matter. Features or steps illustrated or described as part of one

embodiment may be used in combination with aspects of another embodiment to yield yet further embodiments. Additionally, certain features may be interchanged with similar devices, compositions, or features not expressly mentioned which perform the same or similar function.

- 5 **[0019]** Reference will now be made in detail to examples demonstrating the use of a wax-based encapsulant formed by the method of the present disclosure, followed by a description of the encapsulant, which exhibits improved moisture barrier properties, and the method of coating or encapsulating electronic components with the described encapsulant. The presently disclosed subject
- 10 matter in certain embodiments thereof corresponds to a method of coating electronic components used in AMR technology with a wax-based encapsulant, although the method may also be used in other applications where electrical components may need to be protected from a harsh environment, such as any environment where moisture or corrosive liquids may be present.
- 15 **[0020]** Referring now to the drawings, Figure 1 illustrates a utility meter pit 100 that may contain electronic components coated with an encapsulant according to the method described in the present disclosure. A utility-meter pit assembly 90 allows access to below-ground meters, such as a water meter 102 as shown, that are used to measure consumption of water, gas, electricity, and the like. The pit
- 20 100 is closed with a lid 101 to protect the equipment inside. Components of a utility meter reading system, such as a cable 104, a radio-frequency (RF) transmitter 108, a leak sensor 110, and the like can be located in the utility-meter pit 100 and associated with, for example, a water pipe 105. An AMR device 106 may include an encoder and an integral RF antenna (not shown). Alternatively,
- 25 these components can be installed in separate housings and joined with a cable or other connector. The AMR device 106, leak sensor 110, and other components contain electrical circuitry, which can be damaged if contacted by corrosive liquids or moisture. While at least the AMR device 106 can be attached to the pit lid 101 so that it is positioned relatively far from the bottom of the pit 100 to help keep the
- 30 AMR device 106 away from water and other contaminants that are likely to be present deeper in the pit, the AMR device may still be exposed to extremely humid conditions and/or corrosive liquids. Additionally, other components such as the leak sensor 110 will inevitably be exposed to moisture and possibly corrosive

liquids. Thus, a method of coating electronic components with the wax-based encapsulant as described in the present disclosure can be utilized to protect such components from the intrusion of such moisture and/or corrosive liquids.

[0021] With reference to present Figure 2, there is illustrated an exploded view of an assembly that includes a detailed view of the electrical components that may be coated with the encapsulant material in accordance with the present description. Figure 2 depicts a telemetry antenna system 10 with bulkhead 200. A radome 26 is placed in an inverted position into retainer ring 28, and a seal is formed with o-ring 67. While the radome 26 can weatherproof the telemetry antenna system 10 associated with a utility meter pit and AMR technology to some extent, there is still moisture within the pit, and it is still possible that moisture and corrosive liquids can come into contact with components that encompass the telemetry antenna system 10. Thus, the need for a method of encapsulating with the components with an improved moisture barrier or encapsulant is required as described herein. The radiator sub-assembly 18, telemetry board 19, and battery 23 are housed within the telemetry antenna system in between the radome 26 and end cap 30 to protect them from moisture, although there is still the potential for the seepage of moisture or corrosive liquids into the telemetry antenna system 10. As shown, the telemetry board 18 and battery 23 rest in base 24. Screws 92 are secured to annular plate 36 and retaining ring 28 to complete assembly of base 24 to radome 26 and retainer ring 28.

[0022] In operation, the radiator sub-assembly 18 receives a signal from a host. This signal is a wake-up call to the telemetry board 19. The telemetry board 19 responds by transmitting, by radio frequency, an identification signal and meter data from an attached meter, such as water meter 102 shown in Figure 1. The transmission from telemetry board 19, which is powered by battery 23, is radiated out from radiator sub-assembly 18 for reception by the host.

[0023] With reference to Figure 3, there is illustrated a perspective view of an electronic sub-assembly 21 that is also shown as a component of Figure 2. As is represented by present Figure 3, the sub-assembly may include a battery 23, a telemetry board 19, and an antenna feed connection 15. The electronic sub-assembly is by nature placed in a harsh environment when it is located in a water meter pit such as pit 100 shown in Figure 1. Hence, a need exists for a method of

coating such an assembly with an improved moisture barrier encapsulant, where the encapsulant has low diffusion rates and low water permeability, which can protect the electronic sub-assembly from damage.

[0024] With reference to present Figure 4, there is illustrated another type of electrical component that may be included in AMR technology. An endpoint circuit board 400 generally incorporating the present encapsulant material 420 is shown. Referring to Figure 4, an endpoint circuit board 400 includes a supporting substrate corresponding to a printed circuit board (PCB) 410 configured to support and interconnect endpoint components including circuitry components 412 and 414 and at least a portion of a two-part antenna coupler 416. The endpoint circuit board 400 is shown after being coated with the encapsulant 420 of the present description via the method described herein.

[0025] As illustrated in present Figure 4, the male portion of the two-part antenna coupler 416 has been mounted to PCB 410, an antenna 430 has been affixed to the female portion of two-part antenna coupler 416, and both the male and female portions as well as an end portion of antenna 430 have been coated with encapsulant 420 along with the other components 412 and 414 that are mounted to PCB 410. Those of ordinary skill in the present art will appreciate that exemplary endpoint 400 may be incorporated into a meter module. In certain instances, such meter modules may be installed in a pit and may be located as deep as 3 to 4 feet below local surface level. As many pits for water meters fill with water, there is thus a need for an improved method of coating electronic components with an encapsulant to protect components such as endpoint 400 from moisture and corrosion.

[0026] With reference to present Figure 5, the improved moisture barrier properties of the encapsulant formed by the method described in the present disclosure are shown as compared to a control epoxy-based encapsulant. In addition to improved moisture barrier properties, the encapsulant formed by the method of the present disclosure exhibits low conductivity to avoid shorting out any electrical components that it coats. For example, the dielectric constant, k , of the encapsulant can range from about 1.0 to about 10.0, such as from about 2.0 to about 8.0, such as from about 4.0 to 6.0 when determined at 10 kHz. The low dielectric constant of the encapsulant means that the encapsulant can have a low

permittivity, or a low ability to polarize and hold charge. For this reason, materials such as the described encapsulant with low dielectric constants can be good insulators for isolating signal-carrying conductors from each other. Thus, the encapsulant can be used in very dense multi-layered integrated circuits or other electrical devices, wherein coupling between very close metal lines need to be suppressed to prevent degradation in device performance. Moreover, the encapsulant does not detrimentally attenuate radio frequency signals used in AMR technology which is due, at least in part, to the dielectric constant that the encapsulant exhibits.

- 5 [0027] The encapsulant formed by the method of the present disclosure, which has a melting temperature ranging from about 70°C to about 80°C, can withstand temperatures of up to about 70°C without flowing or melting, yet has a low enough viscosity, such as less than about 500 centipoise, at a temperature ranging from about 80°C to about 95°C that it can be melted and thereafter dispensed and coated onto any batteries or other components without causing heat damage to such components or shrinkage of the encapsulant. When dispensed at a temperature of from about 80°C to about 95°C to coat an electronic component, the encapsulant has a viscosity ranging from about 250 centipoise to about 450 centipoise, such as from about 350 centipoise to about 400 centipoise.
- 15 [0028] During dispensing, the encapsulant can attach to all relevant surfaces to provide barrier properties to water vapor and liquid water. As will be discussed, the data demonstrates the encapsulant formed by the method of the present disclosure has the ability to keep moisture and corrosive liquids out of the electrical components that it surrounds to an extent that is improved from existing technology. An exemplary embodiment of an encapsulant material formed by the method of the present disclosure is hereinafter described. The encapsulant material includes a wax and also contains other components to take shrinkage and temperature excursions into account. For example, in addition to a wax, the encapsulant can include a tackifier, a polymer, and a plasticizer. In other
- 25 embodiments, the encapsulant can further include a thixotropic agent and an antioxidant. These components can all comprise saturated hydrocarbons. Saturated hydrocarbons (alkanes) are the simplest of the hydrocarbon species and are composed entirely of single bonds and are saturated with hydrogen. The
- 30

general formula for saturated hydrocarbons is C_nH_{2n+2} (assuming non-cyclic structures). Saturated hydrocarbons are found as either linear or branched species and have chemical stability and waterproofing capabilities.

[0029] The wax used in forming the base of the encapsulant material can be a
5 microcrystalline wax that has a melting temperature greater than about 65°C,
which is the upper operating temperature of the disclosed encapsulant material.
Microcrystalline wax is a refined mixture of solid, saturated aliphatic hydrocarbons
and is produced by de-oiling certain fractions from the petroleum refining process.
One microcrystalline wax that can be used is IGI MICROSERE™ 5799A, available
10 from The International Group, Inc. It has a melting point of about 77°C, a hardness
of 28 dmm at 25°C, and a viscosity of 16 mm²/s at 100°C. Although the 5799A
wax was used in the encapsulant testing discussed below, other similar
microcrystalline waxes with similar properties can also be used, as will be known
to those of ordinary skill in the art. For example, other microcrystalline waxes
15 include IGI 5760A, IGI 5715A, IGI 5871A, IGI 5897A, and IGI 5999A. Other
microcrystalline waxes with melting temperatures above the encapsulant operating
temperature of about 65°C are available from Clarus Specialty Products of Rock
Hill, South Carolina.

[0030] Microcrystalline waxes differ from refined paraffin waxes in that the
20 molecular structure is more branched and the hydrocarbon chains are longer
(higher molecular weight). As a result, the crystal structure of microcrystalline wax
is much finer than paraffin wax, and this directly impacts many of the physical
properties. High melting point paraffin waxes can also be used, although
increased shrinkage of the encapsulant material can result at higher
25 concentrations.

[0031] Microcrystalline waxes are tougher, more flexible and generally higher in
melting point than paraffin wax. The fine crystal structure also enables
microcrystalline wax to bind solvents or oil, thus preventing the sweating-out of
compositions. The wax enhances water resistance and contributes to the sharp
30 solid to liquid transition of the encapsulant. However, using high concentrations of
microcrystalline wax can also cause shrinkage, so its concentration range was
determined by taking this into account.

[0032] Another type of wax that can be used is a Fischer-Tropsch wax. Fischer-Tropsch waxes are synthetic waxes produced by Fischer-Tropsch process. Fischer-Tropsch is a method for the synthesis of hydrocarbons and other aliphatic compounds from synthesis gas, a mixture of hydrogen and carbon
5 monoxide in the presence of a catalyst. The hydrogen-carbon monoxide gas mixture is obtained by coal gasification or natural gas reforming. An example of a Fischer-Trope wax is available from Honeywell under the name A-C® 1702.

[0033] Regardless of the specific type of wax base component used in the described encapsulant material, it can be present in a range of from about 40% by
10 weight to about 60% by weight of the total encapsulant composition, such as from about 45% by weight to about 55% by weight of the total encapsulant composition.

[0034] Depending on the desired properties, the wax composition and concentration can vary. For example, a higher concentration range of wax may increase the operating temperature of the encapsulant to above a temperature of
15 about 65°C; however, as the concentration of the wax increases, the amount of shrinkage of the encapsulant can also increase, which may affect the moisture barrier properties of the encapsulant.

[0035] In addition to a wax, a tackifier can be a component used in forming the encapsulant. Tackifiers are chemical compounds used in formulating adhesives to
20 increase the "tack" or stickiness of a surface of the adhesive. Thus, tackifiers can be used in the encapsulant material to increase the ability of the encapsulant material to coat and adhere to any electrical components. One tackifier that can be used is NEVTAC® 80 (low molecular weight, hydrocarbon resin) which is available from Neville Chemical Company. This tackifier is a light-colored, low
25 molecular weight hydrocarbon resin. It has a softening point of about 80°C and a number average molecular weight of about 990. The resin is compatible with a wide range of waxes. It has a low molecular weight, gives high tack and low solution viscosities, as well as low molten viscosities when used in hot-melt adhesives.

[0036] Although the NEVTAC® 80 tackifier was used in testing, other similar hydrocarbon resin tackifiers can be used, as will be known to those of ordinary skill in the art. For example, other tackifiers that can be used include STAYBELITE™ Ester 5-C Resin (a glycerol ester of partially-hydrogenated rosin), FORAL™ AX-E

Fully Hydrogenated Resin (a thermoplastic, acidic resin produced by hydrogenating rosin to an exceptionally high degree), FORALYN™ E Partially Hydrogenated Resin (another thermoplastic, acidic resin made by partially hydrogenating rosin), or FORAL™ 85-E Ester of Hydrogenated Rosin (thermoplastic ester resin derived from glycerol and a highly stabilized rosin), all available from Eastman Chemical Company, or QUINTONE™ N180 (an aliphatic hydrocarbon resin, C5/C9 type), available from Zeon Chemicals. The aforementioned tackifiers are either aliphatic (C5) or aromatic (C9) tackifiers. If aromatic tackifiers are used, they can be hydrogenated, which can reduce the moisture vapor transmission rate of the encapsulant material. Using tackifiers with softening points ranging from about 90°C to about 105°C can also reduce the moisture vapor transmission rate of the encapsulant material, although this may require higher mixing and dispensing temperatures.

[0037] Regardless of the specific type of tackifier used in the described encapsulant material, it can be present in a range of from about 20% by weight to 40% by weight of the total encapsulant composition, such as from about 25% by weight to about 35% by weight of the total encapsulant composition and has a softening point of around 80°C. The concentration range chosen ensures that the encapsulant does not demonstrate brittleness at lower temperatures.

[0038] Additionally, a polymer such as a polyolefin, an ethylene-propylene copolymer, or an isobutylene can be used in forming the encapsulant. A polyolefin is a polymer produced from a simple olefin (also called an alkene with the general formula C_nH_{2n}) as a monomer. Being saturated hydrocarbons, in general, polyolefins are chemically inert, electrically non-polar, and highly insulating. An equivalent term for a polyolefin is a polyalkene. Polyolefins can be thermoplastic such as polyalphaolefin, polyethylene (PE), polypropylene (PP), polymethylpentene (PMP), and polybutene-1 (PB-1). Elastomeric polyolefins include polyisobutylene (PIB), ethylene propylene rubber (EPR), and ethylene propylene diene monomer (M-class) rubber (EPDM rubber).

[0039] One polyolefin that can be used is VESTOPLAST® 704, which is an amorphous poly-alpha-olefin available from Evonik Degussa GmbH. This polyolefin is thermoplastic and is an amorphous polyalphaolefin. It has a softening point of about 105°C, a melt viscosity of about 3000 mPa s to about 4000 mPa s at

190°C, and can be used to add toughness to the encapsulant. Amorphous polyalphaolefins (APAOs) are polymers of α -olefins (for example co- and ter-polymers of ethene, propene and 1-butene). They can be used for a variety of applications in the adhesives and sealants industry and are especially useful for the production of hotmelt adhesives. Although the VESTOPLAST® 704 was used in testing, other similar polyalphaolefins can be used, as will be known to those of ordinary skill in the art. Regardless of the specific type of polyolefin used in the described encapsulant material, it can be present in a range of from about 2.5% by weight to about 20% by weight of the total encapsulant composition, such as from about 5% by weight to about 15% by weight of the total encapsulant composition. The concentration range chosen ensures that the encapsulant does not demonstrate brittleness at low temperatures. Other polymers that can be used include other amorphous poly-alpha-olefins, such as VESTOPLAST® 703 and VESTOPLAST® EP NC 702, also available from Evonik Degussa GmbH.

Another polyolefin is AFFINITY® GA 1900, which is a low viscosity polyolefin available from Dow Chemical Company.

[0040] The polymer can also be an ethylene-propylene copolymer that can further be combined with silica, such as TRILENE FREEFLOW® CP80 available from Lion Copolymer, LLC. The CP80 is a free-flowing ethylene-propylene polymer made by combining the liquid polymer with silica. The blend can be 68% ethylene-propylene copolymer and 32% silica.

[0041] The polymer can also be a polyisobutylene such as a polymer from the OPPANOL™ B series from BASF.

[0042] A plasticizer can also be used in forming the encapsulant and can soften the material and increase tackiness to all surfaces. One plasticizer that can be used to soften the encapsulant resin is polyisobutylene, which is an elastomeric polyolefin as discussed above. Polyisobutylene, also known as "PIB" or polyisobutene, $(C_4H_8)_n$, is the homopolymer of isobutylene, or 2-methyl-1-propene, on which butyl rubber is based. Structurally, polyisobutylene resembles polypropylene, having two methyl groups substituted on every other carbon atom. Polyisobutylene is a colorless to light yellow viscoelastic material. It is generally odorless and tasteless, though it may exhibit a slight characteristic odor. It has

excellent impermeability, and the long polyisobutylene segments of its polymer chains give it good flex properties.

[0043] Polyisobutylene is available from Texas Petrochemical Company as TPC 1105. Although TPC 1105 was used in testing, other polyisobutylenes can
5 be used, as will be known to those of ordinary skill in the art, such as polyisobutylenes having a molecular weight of from about 500 to about 2500 grams/mole. Another example of a polyisobutylene that can be used as a plasticizer is INDOPOL™ H35, available from INEOS Oligomers. Regardless of
10 the specific type of plasticizer used in the encapsulant, it can be present in a range of from about 5% by weight to about 25% by weight of the total encapsulant composition, such as from about 8% by weight to about 12% by weight of the total encapsulant composition.

[0044] Another component that can be used in forming the encapsulant is a thixotropic agent. A thixotropic agent can be added to reduce the likelihood that
15 the encapsulant will flow at higher operating temperatures. One thixotropic agent that can be used in the encapsulant of the present disclosure is SIPERNAT® D13, which is available from Evonik Degussa GmbH. SIPERNAT® D13 is a fine particle hydrophobic precipitated silica. Hydrophobic silica is a silica that has hydrophobic groups chemically bonded to the surface. Hydrophobic silica can be made both
20 from fumed and precipitated silica. The hydrophobic groups are normally alkyl or polydimethylsiloxane chains.

[0045] Although SIPERNAT® D13 was used as the thixotropic agent during testing of the encapsulant, other agents can be used, as will be known to those of ordinary skill in the art, such as other hydrophobic (fumed or precipitated) silicas or
25 silica powders, which enable the agents to dissolve in the molten wax resin. Other examples of silica powders that can be used include SIPERNAT® D10, SIPERNAT® D11, SIPERNAT® D13, and SIPERNAT® D17, all available from Evonik Degussa GmbH. Another thixotropic agent that can be used is CAB-O-SIL® TS-720 Fumed Silica, available from Cabot Corporation. In any event, a
30 thixotropic agent with a low surface area can be used since it can be added in higher concentrations without significantly raising the melt viscosity of the final resin, which could result in higher temperatures that can be damaging to any encapsulated electrical components.

[0046] Regardless of the type of thixotropic agent used in forming the encapsulant, it can be present in a range of from about 0.25% by weight to about 1.50% by weight of the total encapsulant composition, such as from about 0.50% by weight to about 1.00% by weight of the total encapsulant composition. The
5 concentration by weight should be less than 1.50% of the total encapsulant composition in order to maintain a low enough melt viscosity at dispensing temperatures ranging from about 80°C to about 90°C.

[0047] One more component that can be used in forming the encapsulant is an antioxidant, which can be used to prevent oxidation of the resin. Antioxidants are
10 widely used to prevent the oxidative degradation of polymers such as rubbers, plastics and adhesives that causes a loss of strength and flexibility in these materials. Polymers containing double bonds in their main chain can be especially susceptible to oxidation. One antioxidant that can be used in the encapsulant of the present disclosure is NA-Lube AO 220 (BHT), available from King Industries.
15 This phenolic antioxidant has the chemical composition 2,6 di-tert-butyl-p-cresol and is a 100% active phenolic antioxidant. It is a general purpose antioxidant with a low melting point of about 69°C that liquefies with minimal heat.

[0048] Although NA-Lube AO 220 (BHT) was used as the antioxidant during testing of the encapsulant composition, other phenolic antioxidants, aromatic
20 amines, or gallic acid esters can be used, as will be known to those of ordinary skill in the art. Regardless of the type of antioxidant used in the encapsulant, it can be present in a range of about 0.01% to 0.20% by weight.

[0049] Generally, the encapsulant material is formed by mixing the wax, tackifier, polymer, plasticizer, and any optional components described, such as the
25 thixotropic agent or antioxidant, via impeller mixing at a temperature ranging from about 90°C to about 120°C. These higher temperatures can facilitate and accelerate the dispersion of the polymer in the encapsulant mixture. After the encapsulant is mixed, it can be dispensed around any electrical components to coat them. The dispensing temperature can be adjusted to range from about 80°C
30 to about 95°C or lower. Any electrical components can also be preheated or adjusted to a temperature ranging from about 80°C to about 95°C, which can prevent shrinkage or pulling away of the encapsulant from the electrical components after cooling due to temperature mismatch. After the encapsulant has

been dispensed around an electronic component to form a coating, the coated electronic component, which is now encapsulated, can be allowed to harden and cool before use.

- [0050] An example encapsulant formed from the components described above has been tested alongside an epoxy-based control to determine moisture barrier properties as compared to the control. Table 1 summarizes the components of the encapsulant used in testing:

Component	Name/Supplier	Role in Formulation	Weight %
Microcrystalline Wax	IGI-5799 MICROSERE™, The International Group, Inc.	Base material	49.90
Tackifier	NEVTAC® 80, Neville Chemical Company	Improves adhesion	29.25
Polymer	VESTOPLAST® 704, Evonik Degussa GmbH	Adds toughness	10.00
Plasticizer	TPC 1105, Texas Petrochemical Company	Softens resin	10.00
Thixotropic Agent	SIPERNAT® D13, Evonik Degussa GmbH	Arrests flow at higher temperatures	0.75
Antioxidant	NA-Lube AO 220 (BHT), King Industries	Prevents oxidation	0.10

Table 1 – Tested Encapsulant Components

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- [0051] In the test, the epoxy-based control was coated with the encapsulant as described in Table 1 to form the test sample, which was compared to the epoxy-based control with no coating. The percent weight gain, which can be attributed to the diffusion of moisture through the encapsulant of the present disclosure and the control, was measured over a length of time at varying temperatures and relative humidities. The results of the testing are shown in Figure 5. As evidenced by Figure 5, the test samples coated with the encapsulant of the present disclosure exhibited significantly lower percent weight gain than the controls, signaling their improved moisture barrier properties, which can be associated with a lower permeability and a lower moisture vapor transmission rate. Generally, the percent

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weight gain due to moisture of the encapsulant material ranges from about 0.001% by weight to about 1.5% by weight. The percent weight gain at 200 days at 35°C and 95% relative humidity can range from about 0.001 % by weight to about 0.75% by weight. The percent weight gain at 200 days at 70°C and 85% relative humidity
5 can range from about 0.05 % by weight to about 1.5% by weight. Meanwhile, the percent weight gain at 200 days at under cyclic testing ranging from -40°C to 70°C and relative humidities ranging from 0% to 85% can range from about 0.001% by weight to about 0.75% by weight.

[0052] More specifically, the encapsulant formed by the method of the present disclosure and the epoxy control were tested for their moisture barrier properties under three testing conditions. Under testing condition 1, the materials were monitored over a period of about 200 days at 35°C and 95% relative humidity. By 400 days, the epoxy control, shown as label 3 on Figure 5, exhibited a percent weight gain of about 20%. Meanwhile, the two samples coated with the
15 encapsulant as described in Table 1 and shown as labels 1 and 2 on Figure 5, exhibited a percent weight gain on average of less than about 0.15%. This demonstrates that the encapsulant of the present disclosure can provide for improved moisture barrier properties over current potting materials, such as a reduced moisture vapor transmission rate over current potting materials, such as
20 the epoxy control, as shown by the small % weight gain due to moisture of the described encapsulant material.

[0053] Next, under testing condition 2, the materials were monitored over a period of about 200 days at 70°C and 85% relative humidity. By 200 days, the epoxy control, shown as label 6 on Figure 5, exhibited a percent weight gain of about 15%. On the other hand, the two samples coated with the encapsulant of the present disclosure as described in Table 1, shown as labels 4 and 5 on Figure 5, exhibited a percent weight gain on average of less than about 0.5%. Again, this demonstrates that the encapsulant of the present disclosure can provide for improved moisture barrier properties, such as a reduced water vapor transmission
25 rate over current potting materials, such as the epoxy control, as shown by the small % weight gain due to moisture of the described encapsulant material.

[0054] Under testing condition 3, the encapsulant of the present disclosure and the control were monitored over a period of about 200 days under 12-hour cyclic

testing where the samples were held at 25°C and 35% relative humidity for 2.5 hours. After this time, the conditions were ramped up to 70°C and 85% relative humidity over a period of 0.5 hours, after which time the samples were held at 70°C and 85% humidity for 2.5 hours. Next, the samples were subjected to a decrease to 25°C and 35% relative humidity over a period of 0.5 hours, after which time the samples were held at 25°C and 35% relative humidity for 2.5 hours. Next, the samples were subjected to a decrease to -40°C and 0% relative humidity over a period of 0.5 hours, after which time the samples were held at -40°C and 0% relative humidity for 2.5 hours. Finally, the samples were returned to the starting conditions of 25°C and 35% relative humidity over a period of 0.5 hours. This cycle was repeated over the course of about 200 days, as shown in Figure 5. By 200 days, the epoxy control, shown as label 9 on Figure 5, exhibited a percent weight gain of about 2.3%. In contrast, the samples coated with the encapsulant of the present disclosure, shown as labels 7 and 8 on Figure 5, exhibited a percent weight gain on average of about 0.18%. Thus, under constant humidity and temperature, as well as under cyclic humidity and temperature, the test samples coated with the encapsulant of the present disclosure exhibited almost no percent weight gain, indicating a lower water vapor transmission rate than the control and almost 100% waterproof characteristics. Table 2 summarizes the data discussed above:

Label Number	Sample	Test Conditions	% Weight Gain
1	Encapsulant	35°C, 95% Humidity	0.15
2	Encapsulant	35°C, 95% Humidity	0.15
3	Control	35°C, 95% Humidity	20
4	Encapsulant	70°C, 85% Humidity	0.5
5	Encapsulant	70°C, 85% Humidity	0.5
6	Control	70°C, 85% Humidity	15
7	Encapsulant	Cyclic	0.18
8	Encapsulant	Cyclic	0.18
9	Control	Cyclic	2.3

Table 2 – Percent Weight Gain of Tested Encapsulant and Control, 200 Days

[0055] While the presently disclosed subject matter has been described in detail with respect to specific embodiments thereof, it will be appreciated that those skilled in the art, upon attaining an understanding of the foregoing may readily produce alterations to, variations of, and equivalents to such embodiments.

- 5 Accordingly, the scope of the present disclosure is by way of example rather than by way of limitation, and the subject disclosure does not preclude inclusion of such modifications, variations and/or additions to the presently disclosed subject matter and appended claims as would be readily apparent to one of ordinary skill in the art.

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WHAT IS CLAIMED IS:

1. A method for forming an encapsulant and coating an electronic component with the encapsulant, whereby the encapsulant protects electronic components used in automatic meter reading technology from moisture and corrosive liquids, comprising:
 - 5 mixing a wax, a tackifier, a polymer, and a plasticizer to form the encapsulant, wherein the mixing occurs at a temperature ranging from about 90°C to about 120°C;
adjusting the temperature of the electronic component to a temperature ranging from about 80°C to about 95°C;
 - 10 adjusting the temperature of the encapsulant to a temperature ranging from about 80°C to about 95°C; and
dispensing the encapsulant around the electrical component to form a coating round the electrical component; and
allowing the coated electrical component to harden and cool before
 - 15 operating the electronic component at temperatures of less than about 65°C.
2. The method as in claim 1, wherein the wax is present in an amount ranging from about 40% by weight to about 60% by weight of the encapsulant.
3. The method as in claim 1, wherein the wax comprises a microcrystalline wax, a paraffin wax, or a Fischer-Trope wax.
4. The method as in claim 1, wherein the tackifier is present in an amount ranging from about 20% by weight to about 40% by weight of the encapsulant.
5. The method as in claim 1, wherein the tackifier comprises a hydrocarbon resin; a glycerol ester of partially hydrogenated rosin; a thermoplastic, acidic resin; or a thermoplastic ester resin.

6. The method as in claim 1, wherein the polymer is present in an amount ranging from about 2.5% by weight to about 20% by weight of the encapsulant.
7. The method as in claim 1, wherein the polymer comprises a polyolefin, an ethylene-propylene copolymer, or an isobutylene.
8. The method as in claim 1, wherein the plasticizer is present in an amount ranging from about 5% by weight to about 25% by weight of the encapsulant.
9. The method as in claim 1, wherein the plasticizer comprises a polyisobutylene having a molecular weight ranging from about 500 g/mole to about 2500 g/mole.
10. The method as in claim 1, further comprising a thixotropic agent, wherein the thixotropic agent is present in an amount ranging from about 0.25% by weight to about 1.50% by weight of the encapsulant.
11. The method as in claim 10, wherein the thixotropic agent comprises a hydrophobic silica.
12. The method as in claim 1, further comprising an antioxidant, wherein the antioxidant is present in an amount ranging from about 0.01% by weight to about 0.20% by weight of the encapsulant.
13. The method as in claim 12, wherein the antioxidant comprises a phenolic antioxidant.
14. The method as in claim 1, wherein the encapsulant has a melting temperature ranging from about 70°C to about 80°C.

15. The method as in claim 1, wherein the encapsulant has a dispensing temperature ranging from about 80°C to about 95°C.

16. The method as in claim 1, wherein the encapsulant has a viscosity ranging from about 250 centipoise to about 450 centipoise when dispensed.

17. The method as in claim 1, wherein the encapsulant has a dielectric constant ranging from about 2.0 to about 8.0.

18. The method as in claim 1, wherein the encapsulant exhibits a percent weight gain ranging from about 0.001% by weight to about 0.75% by weight of the encapsulant over a period of 200 days at 35°C and 95% relative humidity.

19. The method as in claim 1, wherein the encapsulant exhibits a percent weight gain ranging from about 0.05% by weight to about 1.5% by weight over a period of 200 days at 70°C and 85 percent relative humidity.

20. The method as in claim 1, wherein the encapsulant exhibits a percent weight gain ranging from about 0.001% by weight to about 0.75% by weight percent over a period of 200 days when subjected to temperature cycling ranging from -40°C to 70°C and humidity cycling ranging from 0% to 85% relative humidity.

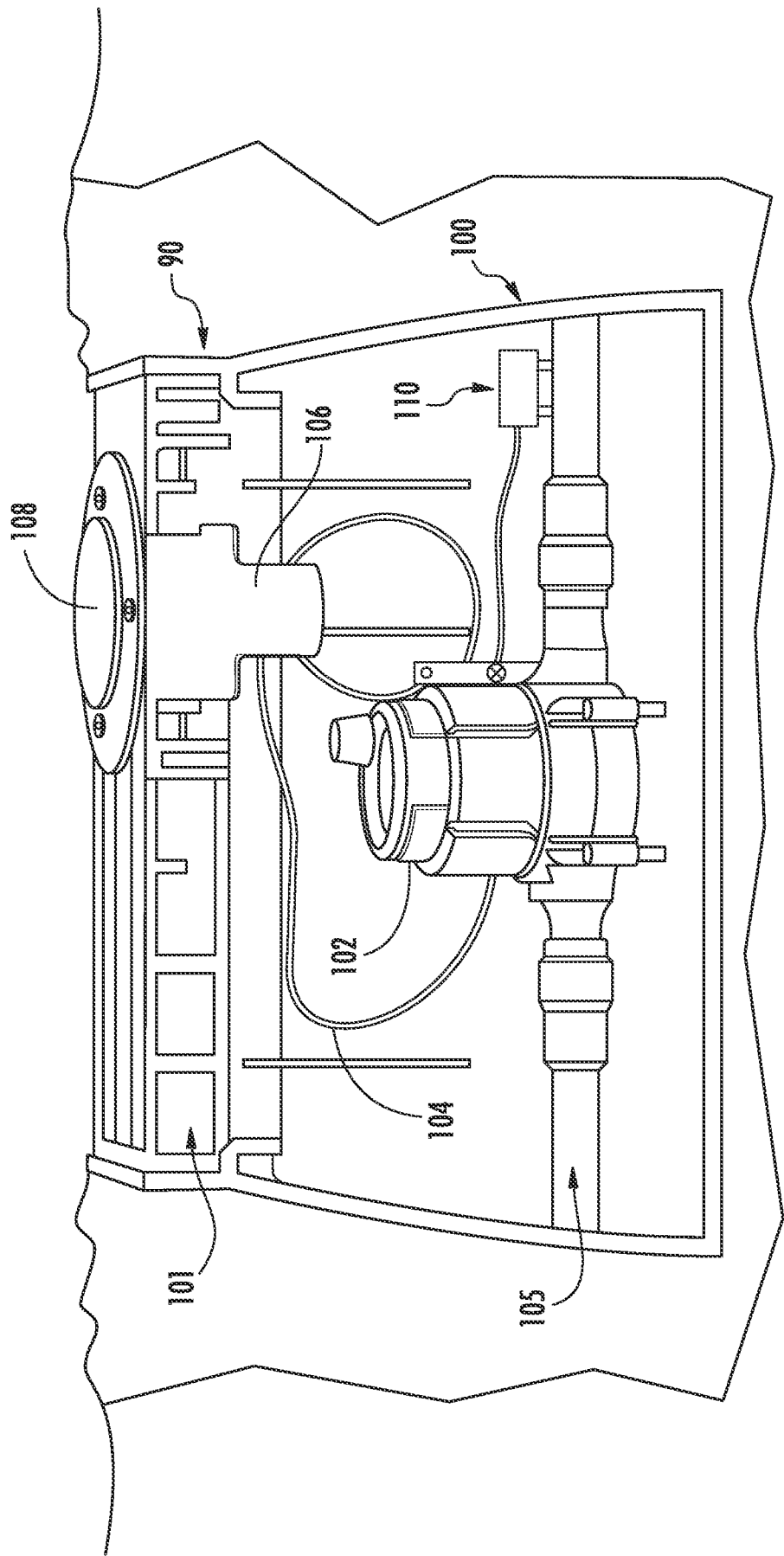


FIG. 1

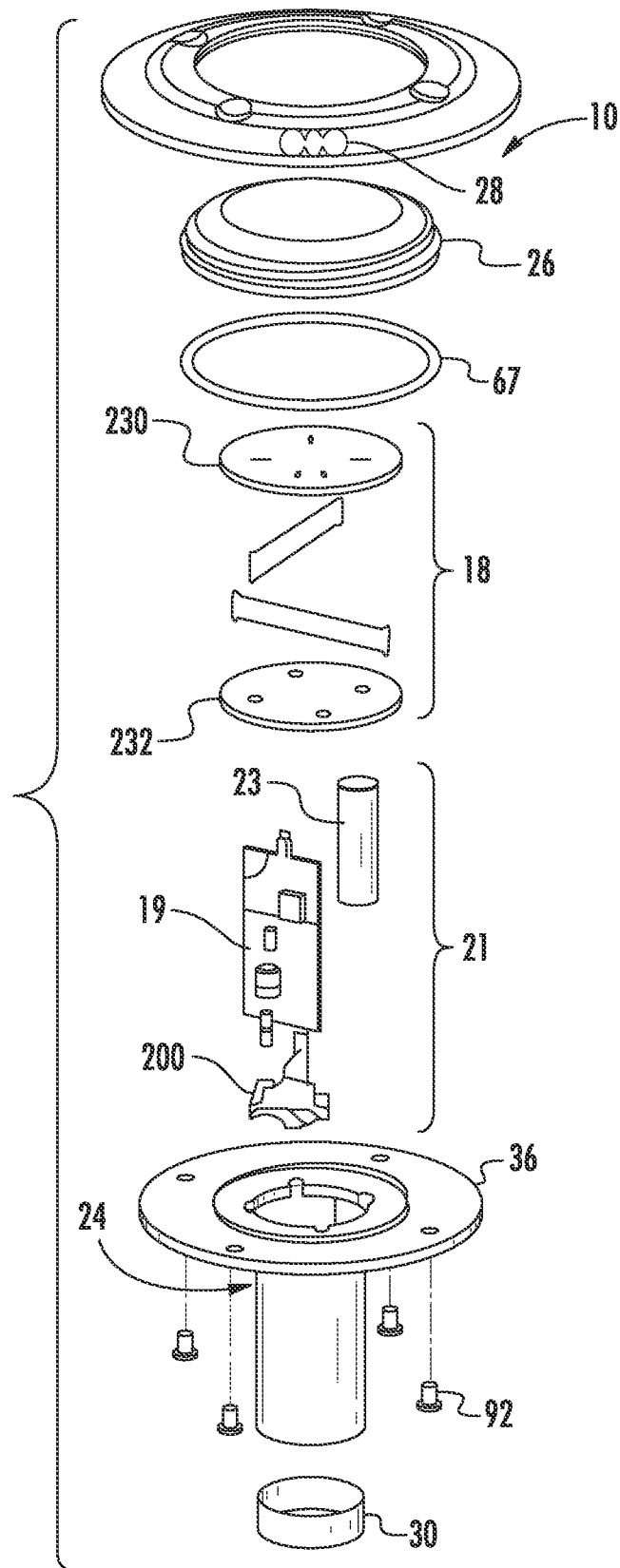


FIG. 2

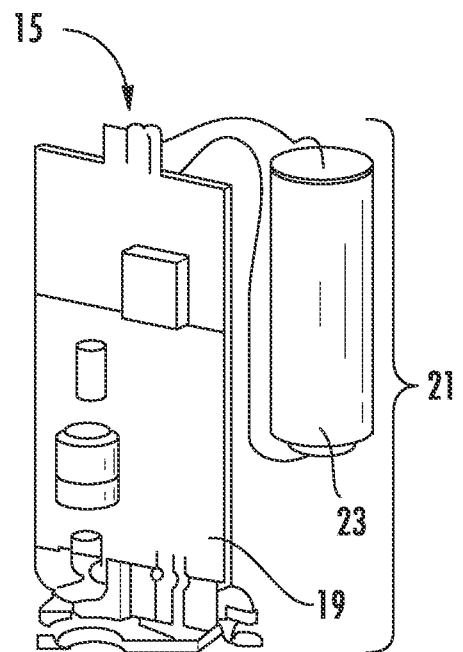


FIG. 3

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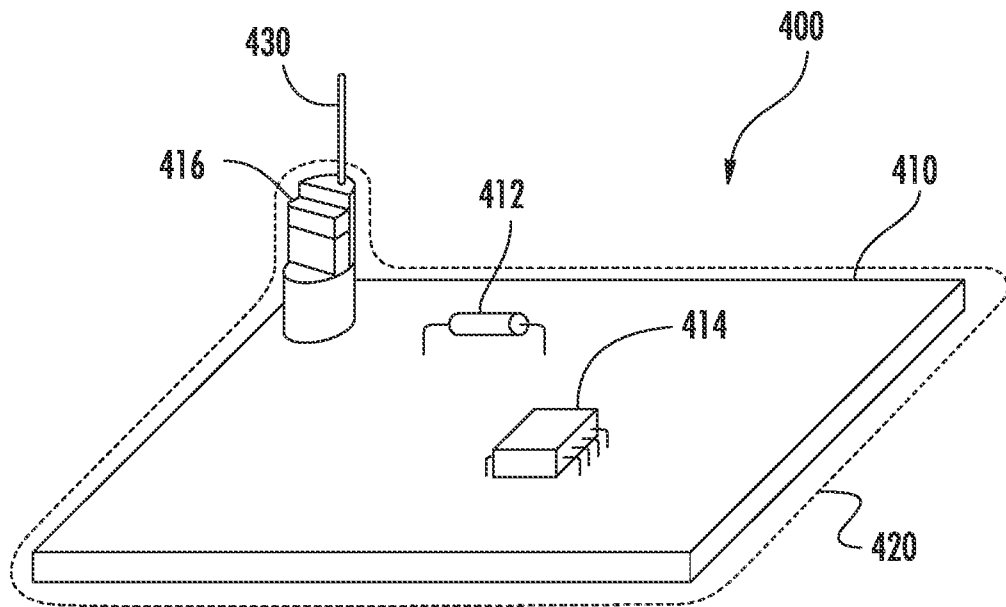


FIG. 4

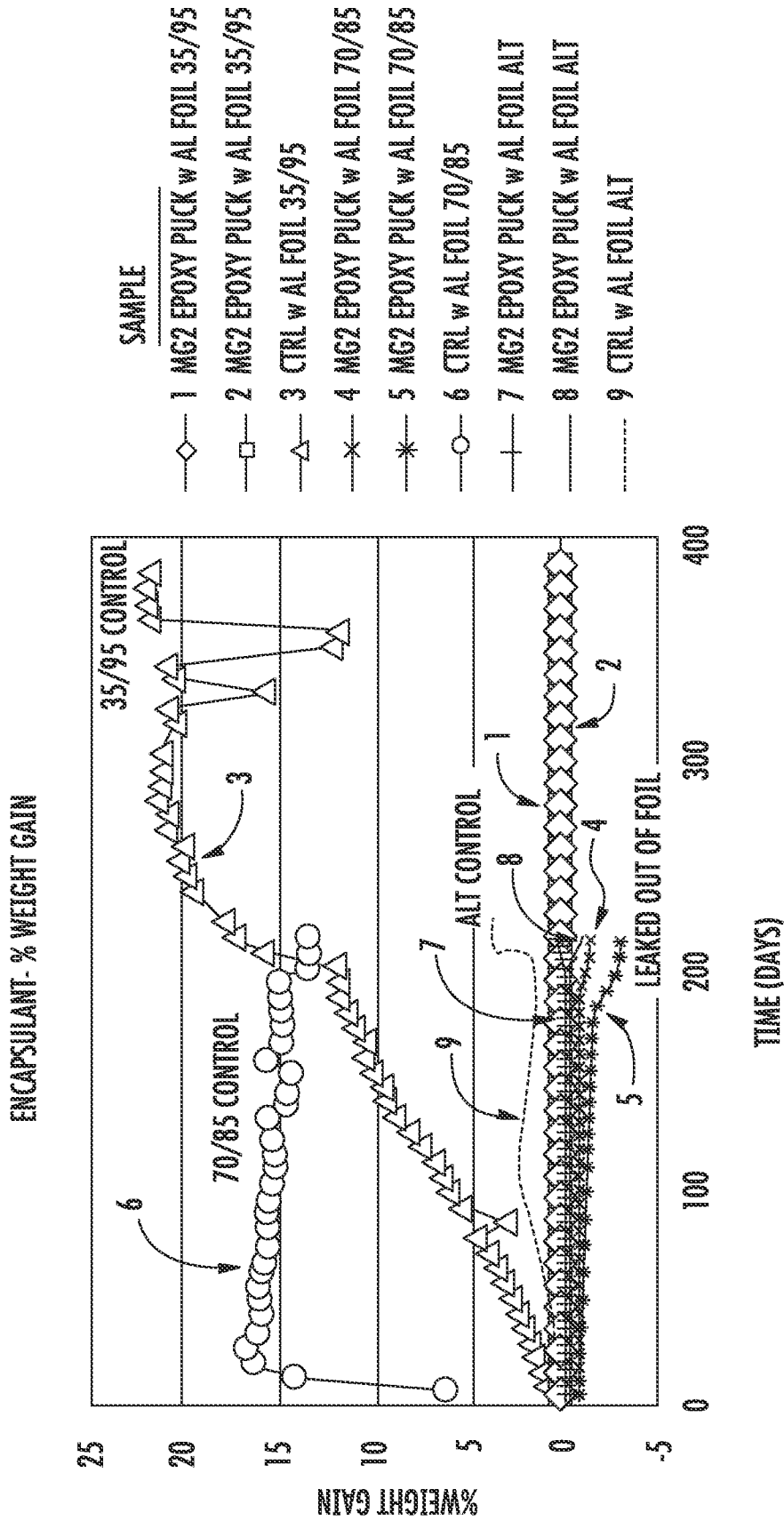


FIG. 5

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 13/21629

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - H01L 21/00 (2013.01) USPC - 438/127 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC: H01L 21/00 (2013.01) USPC: 438/127 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC 438/127; 523/457; 524/275; 524/282 (Keyword limited) Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) PatBase, Google Patents, Google Scholar (NPL); Keywords: Wax, paraffin, fischer-trope, tackifer, resin, ester, thermoplastic, polymer, polyolefin, isobutylene, propylene, plasticizer, polyisobutylene, electronic component, meter reading, automatic meter, AMR		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y --- A	US 2008/0076878 A1 (Wakioka et al.) 27 March 2008 (27.03.2008) para [0028], [0029], [0045], [0138], [0154] ? [0159], [0167], [0172], [0190], [0192], [0200]	1-17 ----- 18-20
Y --- A	US 2006/0214312 A1 (Wu et al.) 28 September 2006 (28.09.2006) para [0039], [0041], [0055], [0079]	1-17 ----- 18-20
Y --- A	US 2010/0182162 A1 (Winkler et al.) 22 July 2010 (22.07.2010) para [0001], [0012]	1-17 ----- 18-20
A	US 2010/0311207 A1 (Musa) 09 December 2010 (09.12.2010) entire document	1-20
X,P	CA 2766248 A1 (Bhakta et al.) 10 April 2012 (10.04.2012) entire document	1-20
X,P	CA 2766645 A1 (Bhakta et al.) 11 April 2012 (11.04.2012) entire document	1-20
☐ Further documents are listed in the continuation of Box C. ☐		
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Date of the actual completion of the international search 26 February 2013 (26.02.2013)		Date of mailing of the international search report 22 MAR 2013
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201		Authorized officer: Lee W. Young PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774