

(51) International Patent Classification:
H04W 36/04 (2009.01)(21) International Application Number:
PCT/EP2010/007774(22) International Filing Date:
16 December 2010 (16.12.2010)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
10290007.3 8 January 2010 (08.01.2010) EP
10290019.8 14 January 2010 (14.01.2010) EP(71) Applicant (for all designated States except US): **ALCATEL LUCENT** [FR/FR]; 3, avenue Octave Gréard, F-75007 Paris (FR).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **URIE, Alistair** [AU/FR]; 10 Allée de Flore, F-82130 Issy-les-Moulineaux (FR).(74) Agents: **COCKAYNE, Gillian** et al.; Alcatel-Lucent Telecom Ltd., Unit 18, Core 3, Workzone, Innova Business Park, Electric Avenue, Enfield, EN3 7XU (GB).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

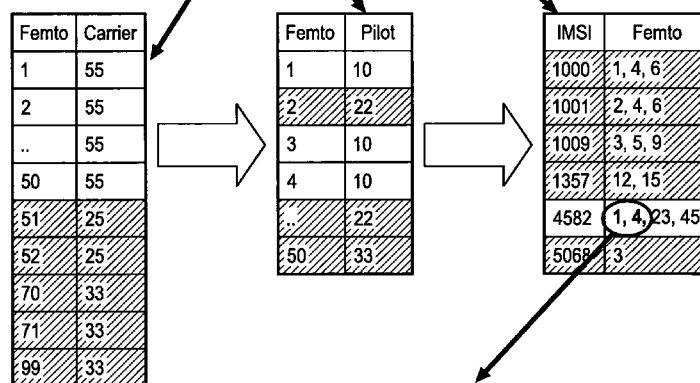
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))

(54) Title: METHOD AND APPARATUS FOR MANAGING HANDOVER OF A MOBILE STATION FROM A MACRO CELL TO A FEMTO CELL

Know: Target cell Carrier (=55), Pilot (=10) and UE IMSI (=4582)



Determine that most likely target femto are #1 and #4
Forward RELOC to these targets only
Wait for UE Detect from one of them

FIG. 2

(57) Abstract: A method for managing handover of a mobile station from a macro cell to a femto cell comprises selecting a candidate set of target femto cells from a plurality of femto cells by applying a plurality of different filters, each filter filtering by at least one femto cell attribute. The filters may be applied successively and arranged to remove all but the most likely candidate target femto cells from further consideration.

- 1 -

METHOD AND APPARATUS FOR MANAGING HANDOVER OF A MOBILE STATION FROM A MACRO CELL TO A FEMTO CELL

FIELD OF THE INVENTION

The present invention relates to a method and apparatus of managing handover of a mobile station from a macro cell to a femto cell, and more particularly, but not exclusively, from a UMTS base station to a femto device.

5

BACKGROUND

In a cellular communications network, base stations are distributed over a geographical region and provide areas of coverage typically referred to as macro cells. To gain access to a network, a mobile station, which may also be referred to as a user equipment (UE), attaches to a base station supporting the macro cell in which the UE is located. If signal conditions deteriorate, for example, because the UE moves to the edge of the macro cell where signal strength is lower, it may be necessary for the UE to access the network via a different base station offering a more satisfactory connection.

15 In a Universal Mobile Telecommunications System (UMTS) network, the UE measures the quality of signals received from other potential target macro cells to assist in deciding when handover may be necessary. A macro cell layer Radio Network Controller (RNC), which controls the base stations, makes the handover decision based on measurements taken by the UE. The mobile station however is only
20 able to report pilot power measurements from potential target macro cells, that is the power of a so-called “primary spreading code”; it is not able to explicitly determine the cell identification of a measured signal. The actual target cell is then determined by the RNC making use of knowledge of an orthogonal assignment of these “primary spreading codes”. Following determination of the most likely handover target cell, a
25 RELOCATION message is sent to the target cell via the RNC to initiate the handover procedures. This mechanism is in accordance with 3GPP standard TS 23.009 “Handover Procedures”.

- 2 -

Deployment of smaller, low power transceiver femto devices, or femto base stations, that support femto cells, provides alternative routes for access to a cellular communications network. A femto base station, typically for home or business use and with a small coverage area, is usually connected via a femto gateway to the core network of the cellular communications system via a backhaul internet connection. A macro cell of the cellular communications system may have overlapping coverage with multiple femto cells. Figure 1 schematically shows a UMTS system having two cellular network base stations 1 and 2 with their associated macro cells, illustrated by broken lines, and having within their coverage areas a plurality of femto devices 3 to 9 with associated femto cells. The base stations 1 and 2 are connected to an RNC 10 which is connected to a Mobile Switching Center (MSC) 11. The MSC 11 is also connected via a femto gateway 12 to femto devices 3 to 9 (not all of these connections are explicitly shown in Figure 1.)

When a UE, for example, UE 13 in Figure 1 attached to a macro cell base station 2, takes measurements to seek a handover target, it may detect the femto cell 4 as a potential target. However, measurements taken by the UE are often insufficient to determine the identity of the most appropriate target femto cell for handover. There are only a limited number of primary spreading codes (only 512 codes are defined by 3GPP in the release 8 version of the standard) and it is probable that only ten to twenty of these codes would be available for all of the femto cells located within a particular macro cell, with the remaining codes used to identify neighboring macro and micro cells. Since it is likely that more than ten to twenty femto devices will be installed in each macro cell, it is unlikely that a unique identification can be made using the primary spreading codes. A mechanism is therefore required to determine which is the most likely target femto cell in order to be able to forward to it an incoming RELOCATION message from the macro cell RNC 10.

One potential solution involves multicasting an incoming RELOCATION message to all femto cells located within the macro cell coverage zone. However, this results in transmission of signaling messages to femto cells that are not suitable handover targets and is thus increases traffic without benefit. It may also unnecessarily

- 3 -

overload the femto devices since each one in the macro cell would need to prepare itself for an incoming mobile station.

BRIEF SUMMARY

5 According to a first aspect of the invention, a method for managing handover of a mobile station from a macro cell to a femto cell comprises selecting a candidate set of target femto cells from a plurality of femto cells by applying a plurality of different filters, each filter filtering by at least one femto cell attribute. This enables the pool of candidate femto cells to be narrowed down so as to only include only that
10 or those most likely to be a target femto cell. The filtering does not necessarily result in a single femto cell being identified as the target, but it eliminates those femto cells that are not appropriate and thus enables resources to be more effectively managed.

 In one embodiment, the filters are applied successively in turn to produce a series of filtered sets. In another embodiment, two or more filters may be applied in
15 parallel to a set of femto cells and then a comparison made to determine which femto cells satisfy all the applied filter conditions.

 In one embodiment, the filters are applied at least in part at a femto gateway. For example, a plurality of databases or some other form of data store may be included at the femto gateway, with each database holding information about a femto
20 cells to which a filter, or filters, is applied.

 In one embodiment, the attributes include at least some of: femto cell location; assigned carrier; assigned spreading code; IMSI identifier; TMSI identifier; and if the femto cell has been previously handled by a respective femto cell. Other suitable attributes may also be used. Attributes that are suitable for network operating in
25 accordance with one communication standard might not be appropriate for a network in accordance with a different standard, for example.

 According to a second aspect of the invention, a femto gateway has access to a plurality of filters for selecting a candidate set of target femto cells from a plurality of femto cells, each filter filtering by at least one femto cell attribute.

- 4 -

According to a third aspect of the invention, a communications arrangement includes a femto gateway in accordance with the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Some embodiments of the present invention will now be described by way of example only, and with reference to the accompanying drawings, in which:

Figure 1 schematically illustrates a cellular communications network; and

Figure 2 schematically illustrates steps in a method in accordance with the invention.

10

DETAILED DESCRIPTION

In a system as shown in Figure 1, UE 13 is attached to the macro cell associated with base station 2. The UE 13 provides measurement information to the base station 2, the measurement information including, for example, the target cell-id and its scrambling code. The base station 2 sends the information to the RNC 10 which makes a handover decision based on the measurements.

When handover is deemed advisable, the RNC 10 initiates handover procedure by forwarding a RELOCATION message to the femto gateway 12 via MSC 11 in accordance with 3GPP standard TS 23.009. The RELOCATION message contains the IMSI (International Mobile Subscriber Identifier) and/or TMSI (Temporary Mobile Subscriber Identifier) of UE 13 and information to assist the target RNC, which in this case is the femto gateway 12, to determine the target cell.

Within the femto gateway 12, the information included in the RELOCATION message is successively filtered according to a set of databases to determine those femto cells that are most likely to be target candidates.

The femto gateway 12 includes a first database 14, or other form of data store, that includes a list of all femto cells in a region. In a first stage, the first database 14 is filtered so as to remove all femto cells that are not located within the macro cell supported by the base station 2 to provide a first filtered set of femto cells. The information received at the femto gateway 12 includes the current source base station

- 5 -

identity, and thus its geographical coverage is known. With this first filtering stage, all femto cells located under other macro cells are removed from further consideration. Thus, for example, femto cell 9 is excluded as it does not overlap with the coverage afforded by base station 2. In another embodiment of the invention, the location of the femto cells with respect to a given macro cell is arranged to also include femto cells outside but near to the boundaries of that macro cell, so that, femto device 9 would in that case be retained in the filtered set.

In a second stage, the first filtered set of femto cells populates a second database 15 or data store. Then the first filtered set is further filtered to produce a second filtered set by retaining only those femto cells that are assigned the same carrier and spreading codes that are included with the RELOCATION message. This filtering stage may remove approximately 90% to 95% of femto cells from the first filtered set in an arrangement where ten to twenty codes are assigned to femto cells in a macro cell. The second filtering stage may be carried out as a two step process, for example, by initially filtering using carrier information and the results of that initial filtering then being further filtered by using spreading code, or vice versa.

In one embodiment of the invention, the filtering process is concluded after the second filtering stage. The femto cells remaining in the second filtered set are then each sent a copy of the RELOCATION message using polling and/or multicast to determine the target femto cell, as set out below.

In another embodiment, a third filtering stage is also included to be applied after the second filtered set has been produced. The second filtered set is further filtered so as to only retain those femto cells that have previously handled the same IMSI/TMSI, listed on a "previously handled IMSI/TMSI" database 16, and/or previously had same IMSI/TMSI registered on the femto cell's "White List" or access control list, stored in database 17. This stage in one embodiment requires that the femto device must be included in both database 16 and database 17, and in another embodiment that it is sufficient if the femto device is included in only one of database 16 and database 17. This third filtering stage may thus remove all femto cells that have not previously offered service to the particular mobile station UE 13. The

- 6 -

“previously handled IMSI/TMSI” database 16 may be populated via monitoring of recent signaling traffic from each femto device, for example, using idle mode Cell Reselection messages.

5 The remaining potential target femto cells following the third filtering stage then each receive a copy of the RELOCATION message using polling and/or multicast. The final target femto device may then be determined following simple polling or multicast of the RELOCATION message with the femto gateway 12 waiting for a “UE DETECTION” message from the correct femto device, thus signaling that the UE13 has been identified and hence the handover, or more formally
10 the Relocation process, may continue in accordance with 3GPP standards.

Figure 2 illustrates a method in accordance with the invention, in which three filtering stages are applied successively using target cell carrier, pilot and identity information to successively reduce the number of femto cells to be considered as potential handover targets.

15 In another embodiment of the invention, the filtering steps are carried out in a different sequence to those included in the embodiments described above, may be omitted or may include additional filtering steps and parameters or attributes. In another embodiment, some or all of the filtering steps are applied in parallel to a set of femto cells and then a comparison made to determine which device or devices meet
20 the filter requirements. Parameters other than those described above may be used to filter the femto cells.

In the above described embodiment, the databases are included in the femto gateway 12. In other arrangements, they may be held in a separate unit, or units, which may be located remotely from the femto gateway.

25 The invention may be applied to cellular communications arrangements operating in accordance with standards other than UMTS or 3GPP defined standards and where femto devices are deployed.

The present invention may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are
30 to be considered in all respects only as illustrative and not restrictive. The scope of

- 7 -

the invention is, therefore, indicated by the appended claims rather than by the foregoing description. All changes that come within the meaning and range of equivalency of the claims are to be embraced within their scope.

- 8 -

CLAIMS

1. A method for managing handover of a mobile station from a macro cell to a femto cell comprising selecting a candidate set of target femto cells from a plurality of femto cells by applying a plurality of different filters, each filter filtering by at least one
5 femto cell attribute.
2. The method as claimed in claim 1 and wherein the filters are applied successively in turn to produce a series of filtered sets.
- 10 3. The method as claimed in claim 1 or 2 and wherein the filters are applied at least in part at a femto gateway.
4. The method as claimed in claim 1, 2 or 3 and wherein the attributes include at least some of: femto cell location; assigned carrier; assigned spreading code; IMSI
15 identifier; TMSI identifier; and if the femto cell has been previously handled by a respective femto cell.
5. The method as claimed in any preceding claim and including receiving a message requesting handover and using information sent with the message to characterize a
20 target femto cell.
6. The method as claimed in any preceding claim and including forwarding a relocation message only to the candidate set of target femto cells.
- 25 7. The method as claimed in claim 6 and including waiting for a mobile station detection message from a target femto cell following forwarding of the relocation message.
8. The method as claimed in any preceding claim and wherein the macro cell is
30 included in a UMTS communications network.

- 9 -

9. A femto gateway having access to a plurality of filters for selecting a candidate set of target femto cells from a plurality of femto cells, each filter filtering by at least one femto cell attribute.

5

10. The femto gateway as claimed in claim 9 and wherein at least one of the plurality of filters is located at the gateway.

11. The femto gateway as claimed in claim 9 or 10 and including a plurality of data
10 stores for storing respective filtered sets of femto cells.

12. The femto gateway as claimed in claim 9, 10 or 11 and being arranged to forward a relocation message to only those femto cells included in the candidate set.

15 13. A communications arrangement including a femto gateway as claimed in claim 9, 10, 11 or 12.

20

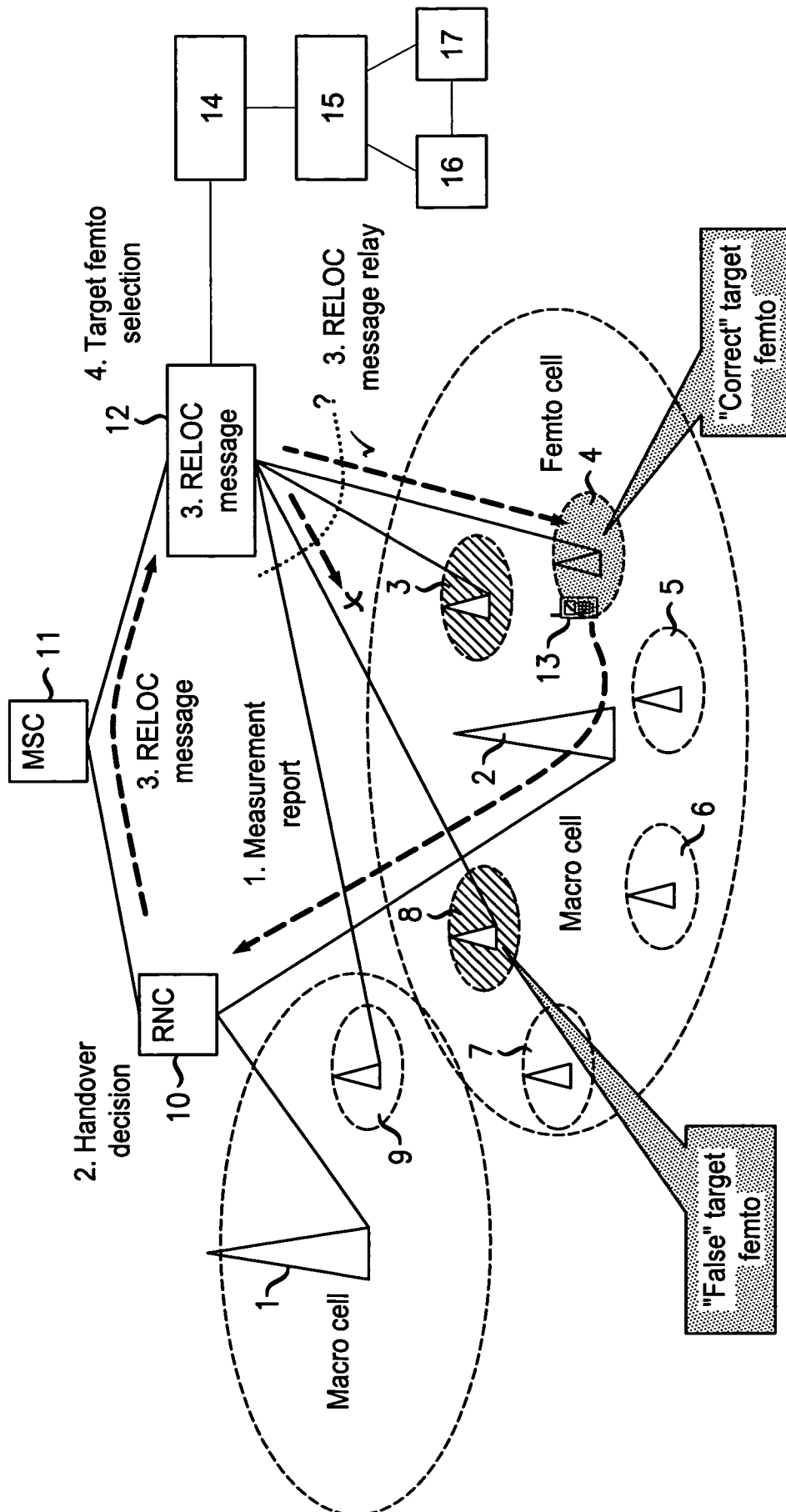
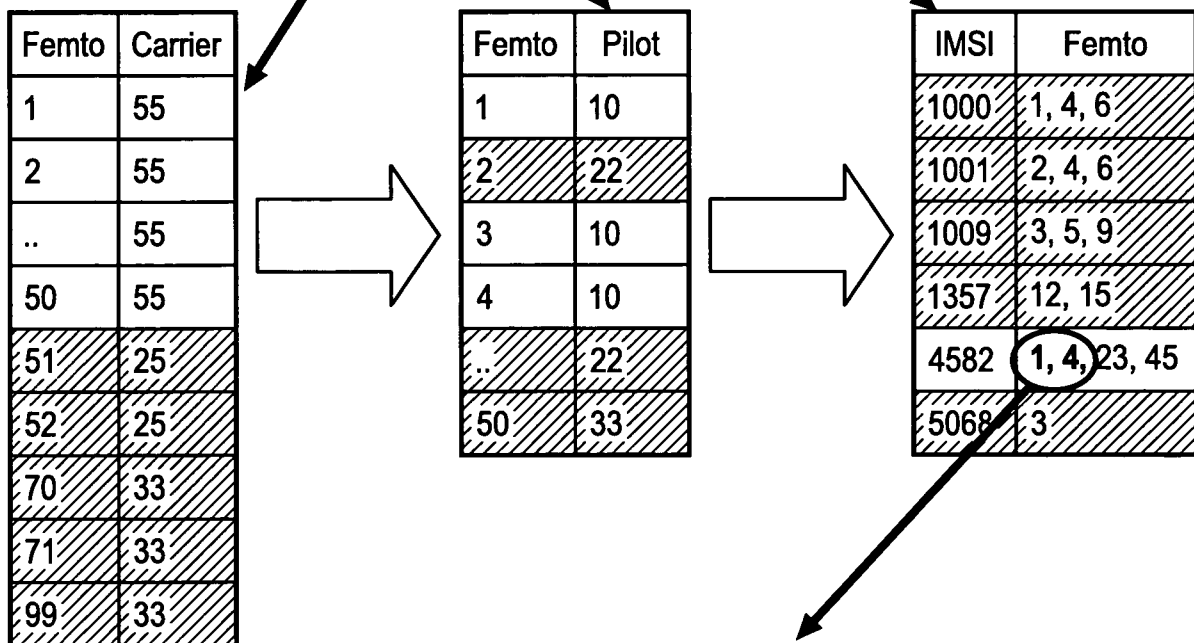


FIG. 1

2/2

Know: Target cell Carrier (=55), Pilot (=10) and UE IMSI (=4582)



Determine that most likely target femto are #1 and #4
Forward RELOC to these targets only
Wait for UE Detect from one of them

FIG. 2

INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2010/007774

A. CLASSIFICATION OF SUBJECT MATTER
INV. H04W36/04
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
H04W

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EP0-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/162074 A1 (CHEN REX HUAN-YUEH [TW]) 19 August 2004 (2004-08-19) paragraphs [0004], [0005], [0037] -----	1-13
X	US 5 640 677 A (KARLSSON BROR ANGSTROM KE [CA]) 17 June 1997 (1997-06-17) column 14, line 31 - column 15, line 18 claims 4,5,7,12,13,17-20 * abstract; figures 12a, 12b -----	1-13

☐

Further documents are listed in the continuation of Box C.

☒

See patent family annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

5 April 2011

Date of mailing of the international search report

18/04/2011

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040,
Fax: (+31-70) 340-3016

Authorized officer

Coppieters, Stefaan

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/EP2010/007774

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2004162074 A1	19-08-2004	TW I226807 B	11-01-2005
US 5640677 A	17-06-1997	AT 233979 T	15-03-2003
		AU 690566 B2	30-04-1998
		AU 7197094 A	06-02-1995
		BR 9405506 A	08-09-1999
		CA 2143198 A1	19-01-1995
		CN 1111929 A	15-11-1995
		DE 69432211 D1	10-04-2003
		EP 0659327 A1	28-06-1995
		FI 951079 A	08-03-1995
		JP 8501430 T	13-02-1996
		NO 950874 A	08-05-1995
		PH 30014 A	29-10-1996
		RU 2113772 C1	20-06-1998
		WO 9502309 A1	19-01-1995
		SG 46241 A1	20-02-1998
		US 5499386 A	12-03-1996
		ZA 9404607 A	17-02-1995