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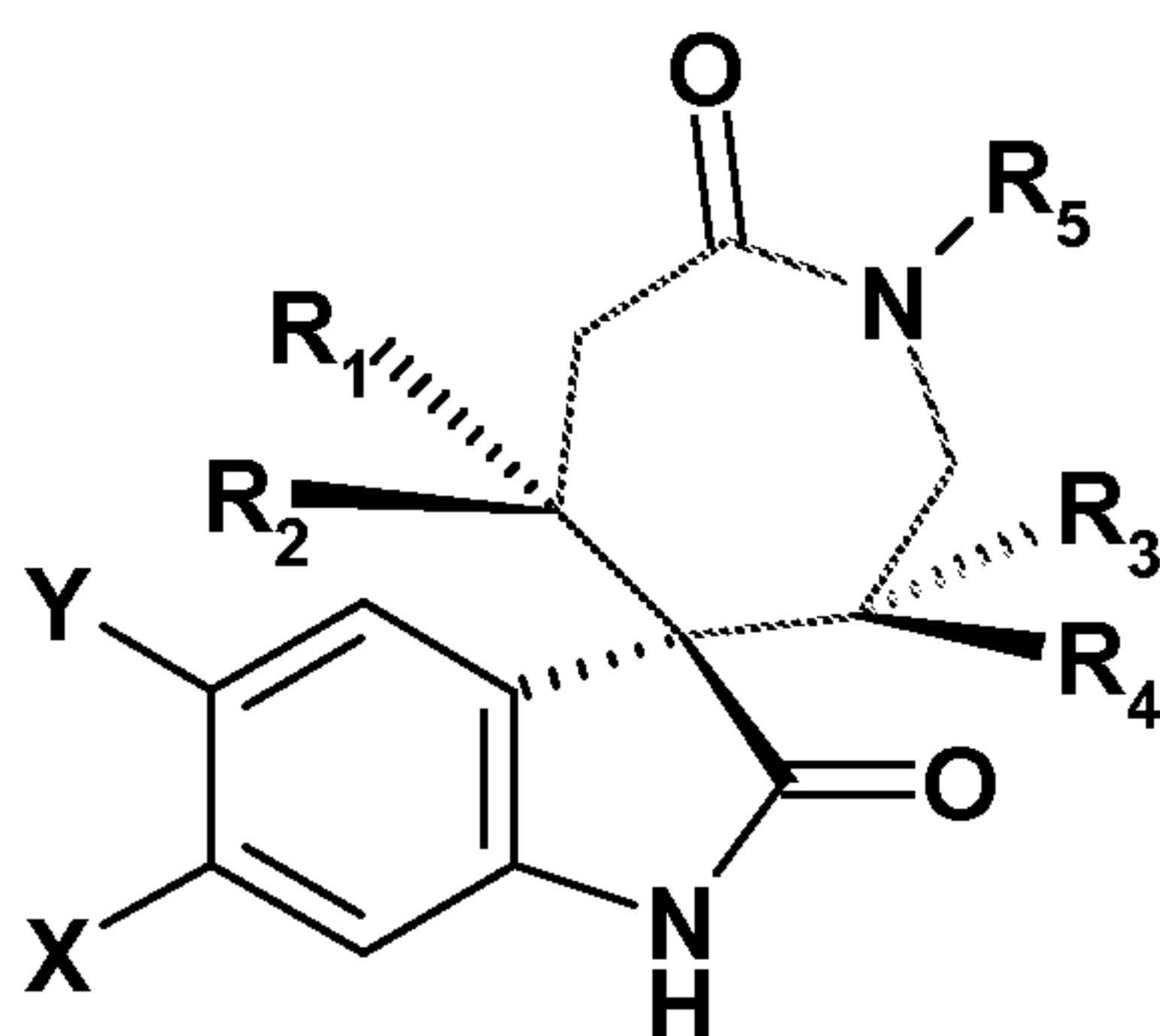
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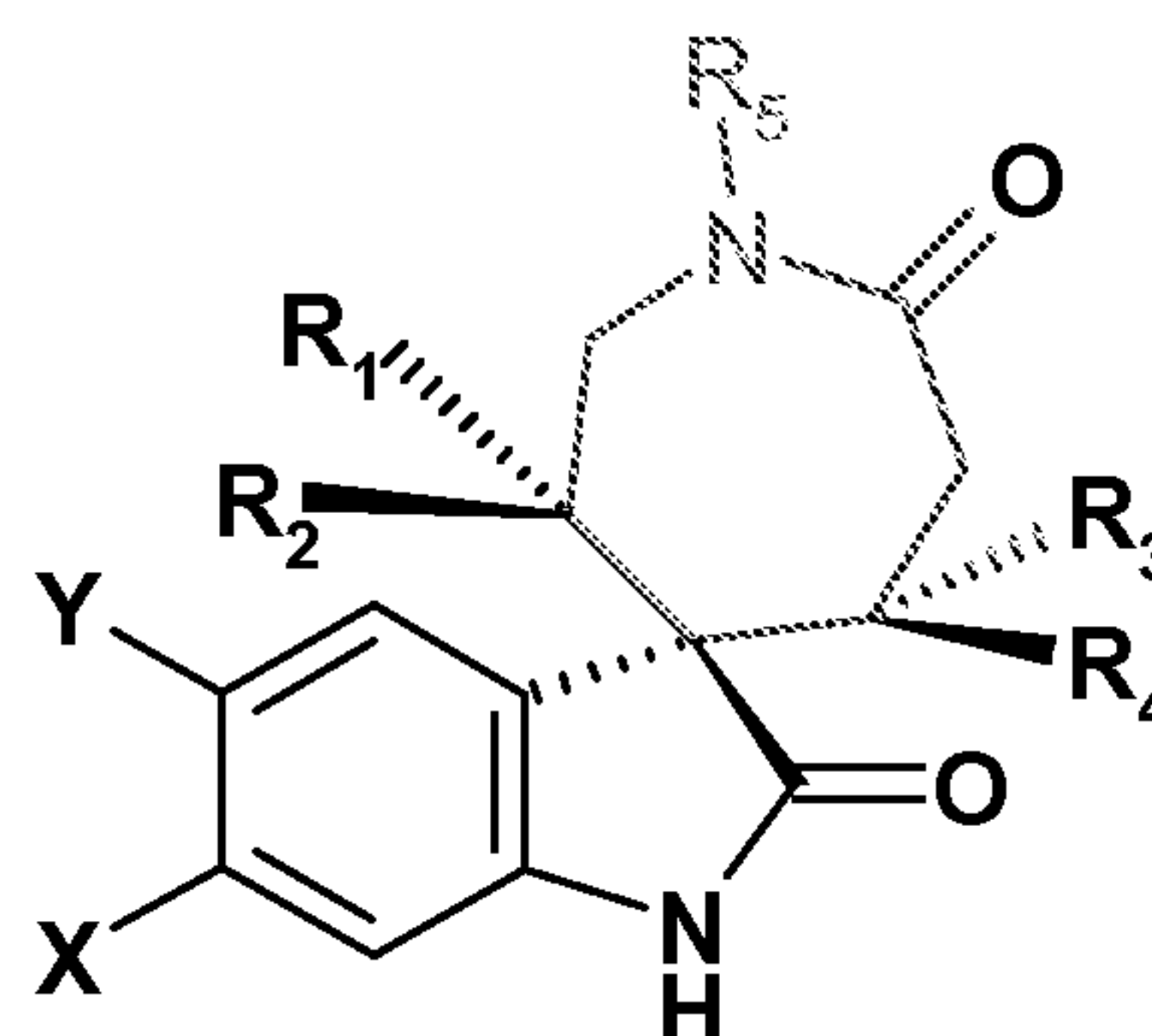
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(54) Titre : DERIVES DE 3,3-SPIROINDOLINONE
(54) Title: 3,3-SPIROINDOLINONE DERIVATIVES



(Ia)



(Ib)

(57) Abrégé/Abstract:

There are provided compounds of the general formulas (Ia), (Ib) 5 wherein X, Y, R₁, R₂, R₃, R₄ and R₅ are as described herein. The compounds exhibit anticancer activity.



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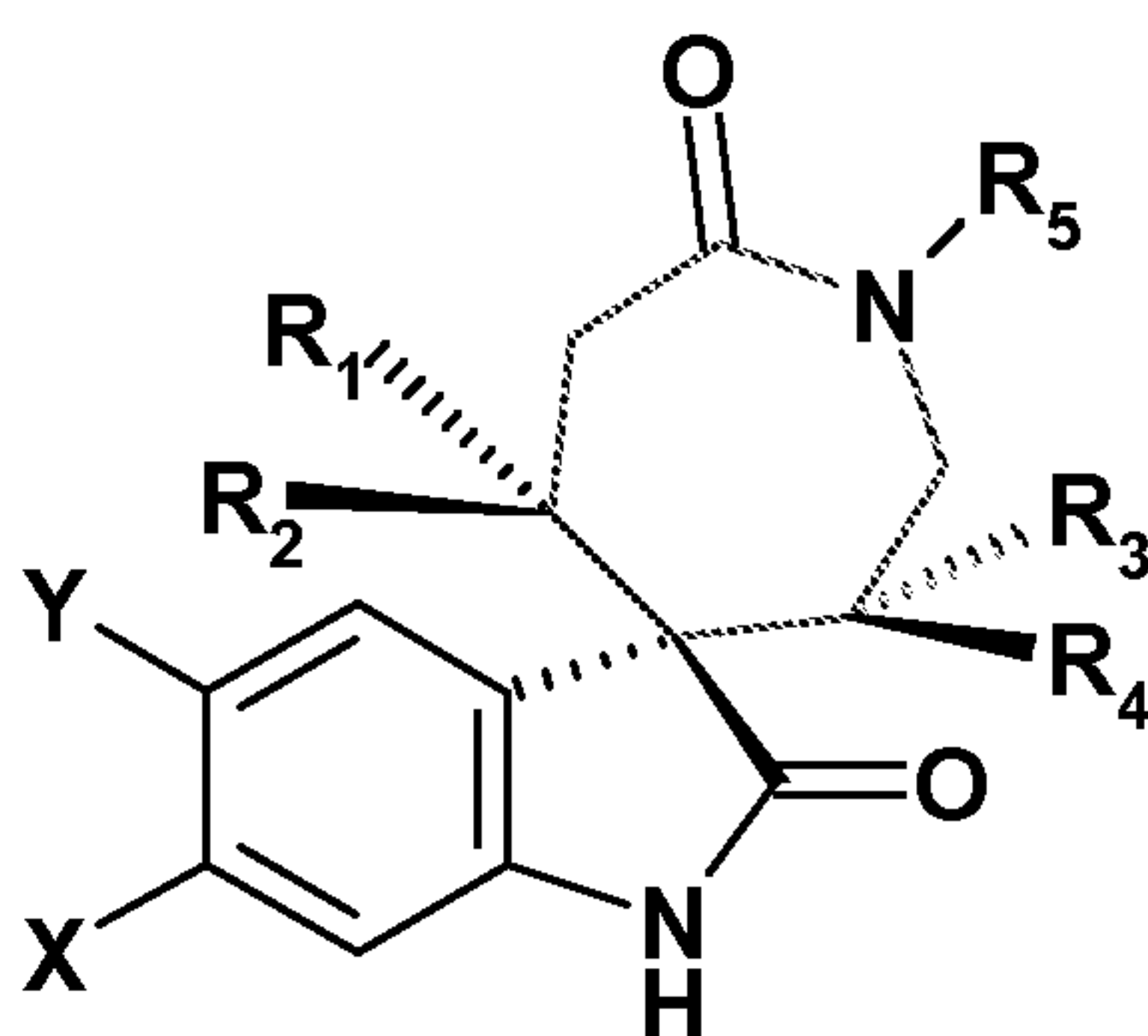
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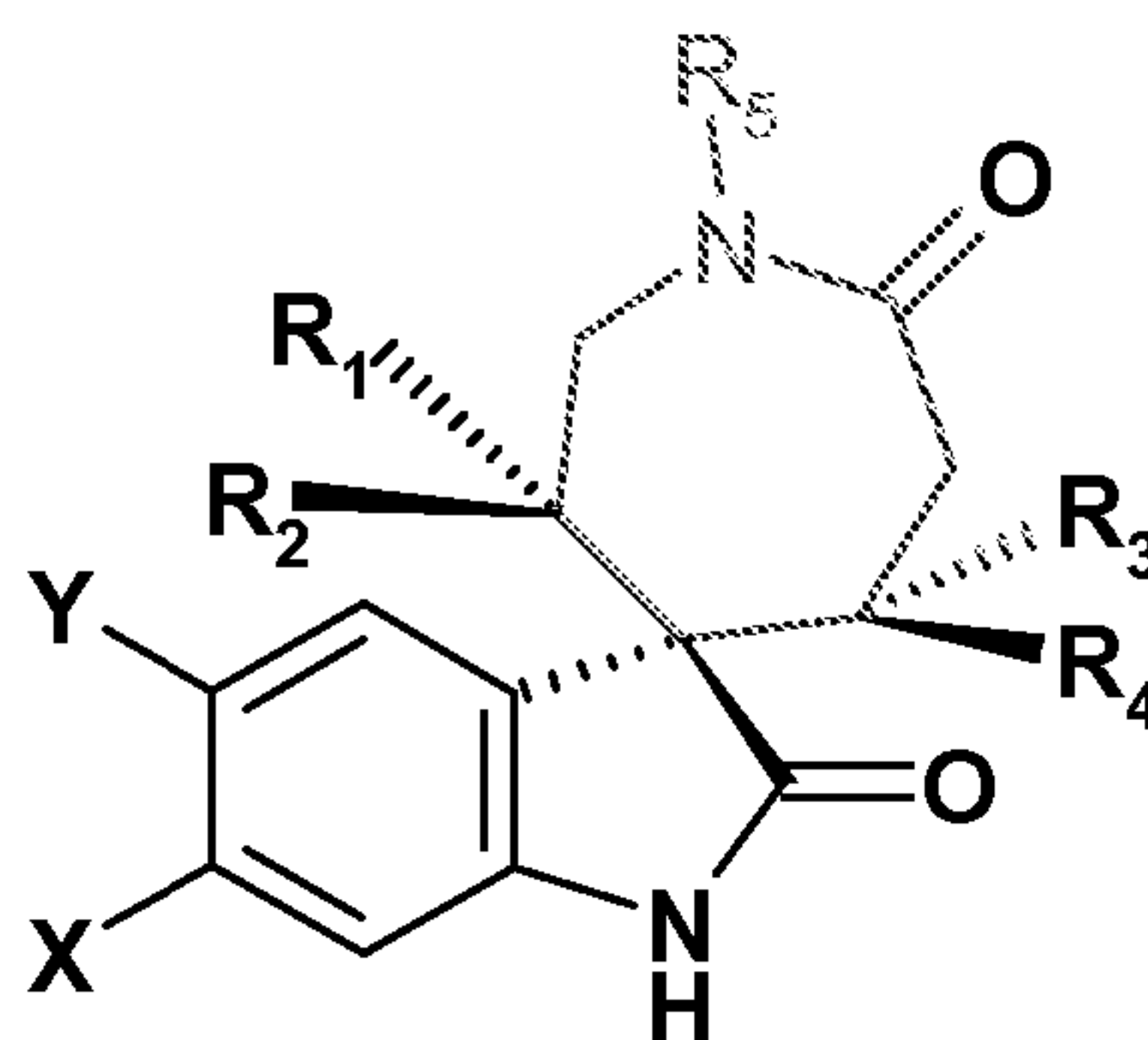
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(Ia)



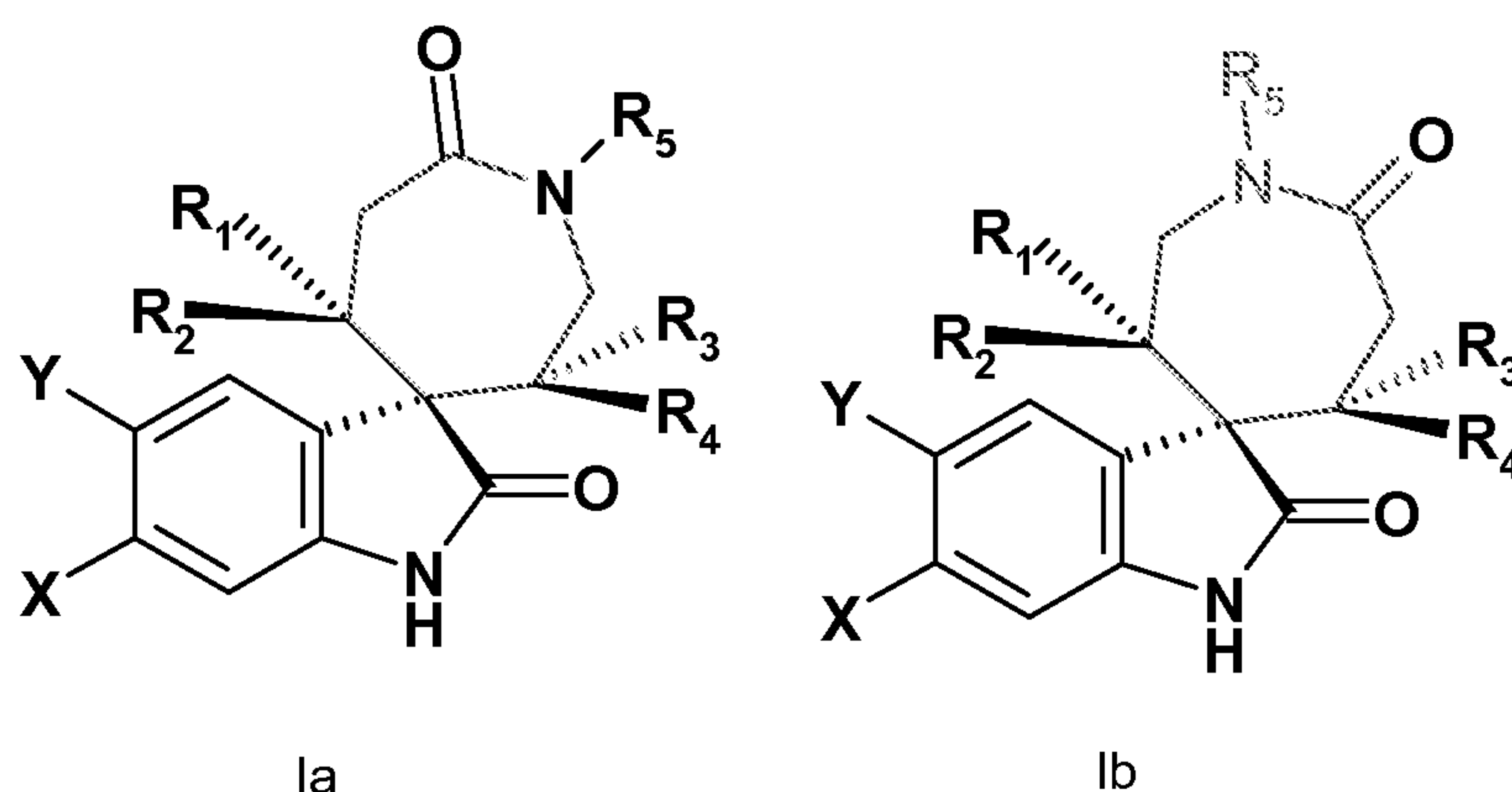
(Ib)

(57) Abstract: There are provided compounds of the general formulas (Ia), (Ib) 5 wherein X, Y, R₁, R₂, R₃, R₄ and R₅ are as described herein. The compounds exhibit anticancer activity.

3,3-SPIROINDOLINONE DERIVATIVES

The present invention relates to oxindole derivatives which act as antagonists of mdm2 interactions and hence are useful as potent and selective anticancer agents.

5 The present compounds are of the general formulas



wherein

X is selected from the group consisting of hydrogen, halogen, cyano, nitro, ethynyl and cyclopropyl,

10 Y is hydrogen,

R₁, R₂, R₃ and R₄ are selected from the group consisting of hydrogen, lower alkyl, lower alkoxy, substituted lower alkyl, lower alkenyl, lower alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl and substituted
 15 cycloalkenyl, with the proviso that one of R₁/R₂ or R₃/R₄ is hydrogen and the other not hydrogen and

R₅ is hydrogen, lower alkyl or substituted lower alkyl,

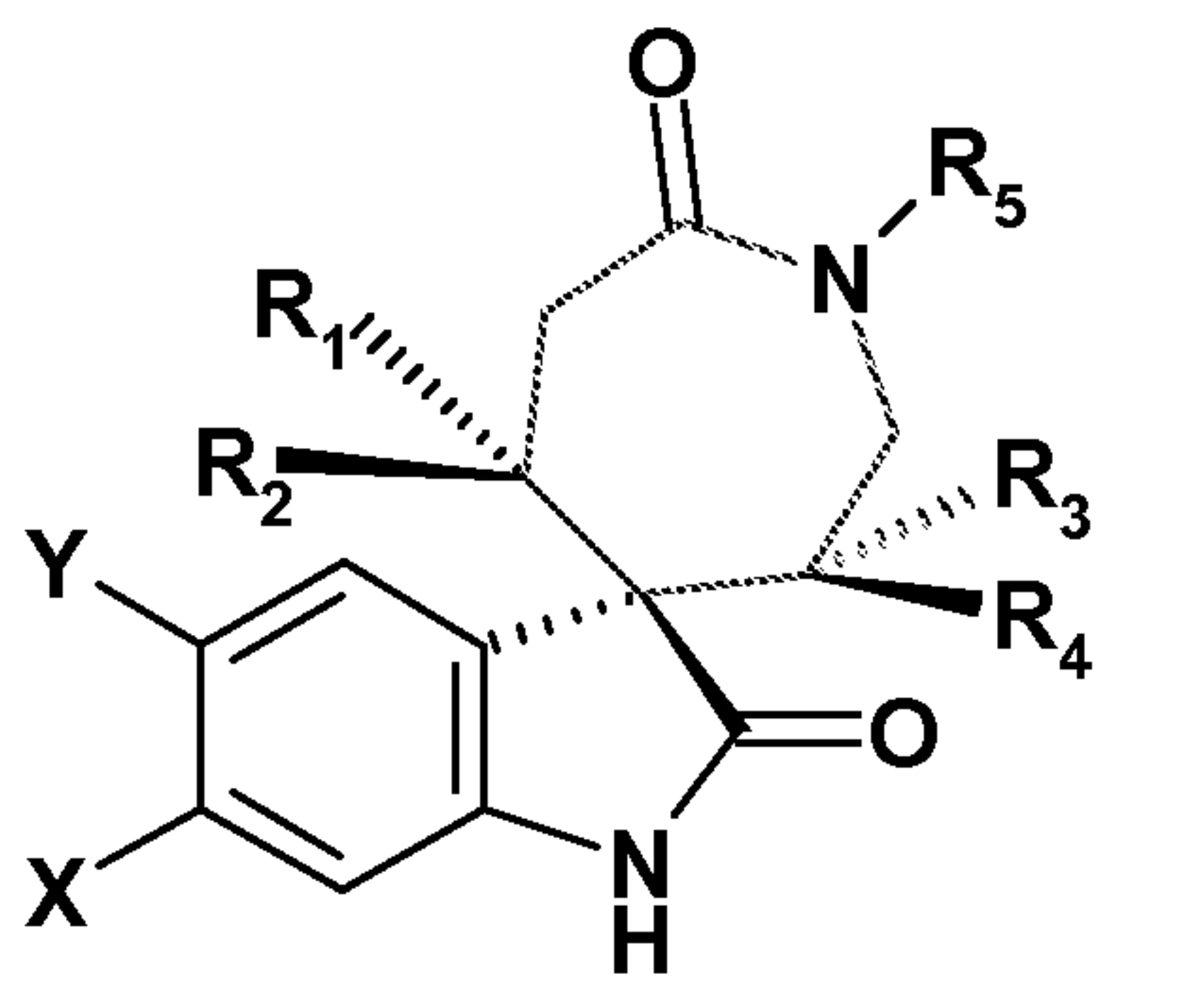
and the pharmaceutically acceptable salts and esters thereof.

p53 is a tumor suppresser protein that plays a central role in protection against development of cancer. It guards cellular integrity and prevents the propagation of permanently damaged clones of cells by the induction of growth arrest or apoptosis. At the molecular level, p53 is a transcription factor that can activate a panel of genes implicated in the regulation of cell cycle and apoptosis. p53 is a potent cell cycle inhibitor which is tightly regulated by MDM2 at the cellular level. MDM2 and p53 form a feedback control loop. MDM2 can bind p53 and inhibit its ability to transactivate p53-regulated genes. In addition, MDM2 mediates the ubiquitin-dependent degradation of p53. p53 can activate the expression of the MDM2 gene, thus raising the cellular level of MDM2 protein. This feedback control loop insures that both MDM2 and p53 are kept at a low level in normal proliferating cells. MDM2 is also a cofactor for E2F, which plays a central role in cell cycle regulation.

The ratio of MDM2 to p53 (E2F) is dysregulated in many cancers. Frequently occurring molecular defects in the p16INK4/p19ARF locus, for instance, have been shown to affect MDM2 protein degradation. Inhibition of MDM2-p53 interaction in tumor cells with wild-type p53 should lead to accumulation of p53, cell cycle arrest and/or apoptosis. MDM2 antagonists, therefore, can offer a novel approach to cancer therapy as single agents or in combination with a broad spectrum of other antitumor therapies. The feasibility of this strategy has been shown by the use of different macromolecular tools for inhibition of MDM2-p53 interaction (e.g. antibodies, antisense oligonucleotides, peptides). MDM2 also binds E2F through a conserved binding region as p53 and activates E2F-dependent transcription of cyclin A, suggesting that MDM2 antagonists might have effects in p53 mutant cells.

Encompassed by the compounds of the invention are compounds of formula I

- 3 -



wherein

X is selected from the group consisting of hydrogen, halogen, cyano, nitro, ethynyl and cyclopropyl,

5 Y is hydrogen or fluoro,

R_1 , R_2 , R_3 and R_4 are selected from the group consisting of hydrogen, lower alkyl, lower alkoxy, substituted lower alkyl, lower alkenyl, lower alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl, and substituted
10 cycloalkenyl, with the proviso that one of R_1/R_2 or R_3/R_4 is hydrogen and the other not hydrogen,

R_5 is selected from the group consisting of hydrogen, lower alkyl or substituted lower alkyl

and the pharmaceutically acceptable salts thereof.

15 Preferred are compounds of formula I wherein

X is halogen,

Y is hydrogen,

R_2 is hydrogen,

R_4 is hydrogen,

20 R_1 and R_3 are selected from the group consisting of hydrogen, lower alkyl, lower alkoxy, substituted lower alkyl, lower alkenyl, lower alkynyl, aryl, substituted aryl,

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heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl, and substituted cycloalkenyl, with the proviso that one of R_1/R_3 is a meta-halogen substituted phenyl with or without further substitution and

- 5 R_5 is hydrogen, lower alkyl or substituted lower alkyl.

Further preferred are compounds of formula I wherein

X is F, Cl or Br,

Y is hydrogen,

R_2 is hydrogen,

- 10 R_4 is hydrogen,

one of R_1/R_3 is a meta-halogen substituted phenyl with or without further substitution and the other of R_1/R_3 is selected from the group consisting of lower alkyl, lower alkenyl, aryl and substituted aryl and

R_5 is hydrogen, lower alkyl or substituted lower alkyl.

- 15 In all the above embodiments, when one or more of R_1 to R_4 are substituted aryl, the substituents can be selected from the group consisting of halo (preferably Cl or F) or lower alkyl.

Especially preferred are compounds selected from the group consisting of rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-

- 20 methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 25 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

rac-(3S,4R,5R)-6'-chloro-3,5-bis-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 5 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

rac-(3S,4R,5S)-6'-chloro-3-(4-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 10 rac-(3R,4R,5S)-6'-chloro-5-(4-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(3-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 15 (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(3-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 20 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3R,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 25 (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-3-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-5-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3R,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 5 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-3-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 10 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-5-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 15 rac-(3S,4R,5S)-6'-bromo-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

rac-(3R,4R,5S)-6'-bromo-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 20 (3R,4S,5S)-6'-bromo-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3S,4R,5R)-6'-bromo-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-1-methyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

- 25 rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-1-methyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

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rac-(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1-ethyl-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1-ethyl-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

5 (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-3-ethoxyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-5-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

10 (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-5-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

15 (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-3-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

The term "alkyl" refers to straight- or branched-chain saturated hydrocarbon groups having from 1 to about 20 carbon atoms, including groups having from 1
20 to about 7 carbon atoms. In certain embodiments, alkyl substituents may be lower alkyl substituents. The term "lower alkyl" refers to alkyl groups having from 1 to 6 carbon atoms, and in certain embodiments from 1 to 4 carbon atoms. Examples of alkyl groups include, but are not limited to, methyl, ethyl, n-propyl, i-propyl, n-butyl, s-butyl, t-butyl, n-pentyl, and s-pentyl.

25

As used herein, "cycloalkyl" is intended to refer to any stable monocyclic or polycyclic system which consists of carbon atoms only, any ring of which being

saturated, and the term "cycloalkenyl" is intended to refer to any stable monocyclic or polycyclic system which consists of carbon atoms only, with at least one ring thereof being partially unsaturated. Examples of cycloalkyls include, but are not limited to, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, adamantyl, cyclooctyl, bicycloalkyls, including bicyclooctanes such as [2.2.2]bicyclooctane or [3.3.0]bicyclooctane, bicyclononanes such as [4.3.0]bicyclononane, and bicyclodecanes such as [4.4.0]bicyclodecane (decalin), or spiro compounds. Preferred cycloalkyls are C₃-C₆ cycloalkyls. Examples of cycloalkenyls include, but are not limited to, cyclopentenyl or cyclohexenyl.

The term "alkenyl" as used herein means an unsaturated straight-chain or branched aliphatic hydrocarbon group containing one double bond and having 2 to 6, preferably 2 to 4 carbon atoms. Examples of such "alkenyl group" are vinyl, ethenyl, allyl, isopropenyl, 1-propenyl, 2-methyl-1-propenyl, 1-butenyl, 2-butenyl, 3-butenyl, 2-ethyl-1-butenyl, 3-methyl-2-butenyl, 1-pentenyl, 2-pentenyl, 3-pentenyl, 4-pentenyl, 4-methyl-3-pentenyl, 1-hexenyl, 2-hexenyl, 3-hexenyl, 4-hexenyl and 5-hexenyl.

The term "alkynyl" as used herein means an unsaturated straight-chain or branched aliphatic hydrocarbon group containing one triple bond and having 2 to 6, preferably 2 to 4 carbon atoms. Examples of such "alkynyl group" are ethynyl, 1-propynyl, 2-propynyl, 1-butylnyl, 2-butylnyl, 3-butylnyl, 1-pentylnyl, 2-pentylnyl, 3-pentylnyl, 4-pentylnyl, 1-hexynyl, 2-hexynyl, 3-hexynyl, 4-hexynyl and 5-hexynyl.

The term "halogen" as used in the definitions means fluorine, chlorine, bromine, or iodine, preferably fluorine and chlorine.

"Aryl" means a monovalent, monocyclic or bicyclic, aromatic carbocyclic hydrocarbon radical, preferably a 6-10 member aromatic ring system. Preferred aryl groups include, but are not limited to, phenyl, naphthyl, tolyl, and xylyl.

“Heteroaryl” means an aromatic heterocyclic ring system containing up to two rings. Preferred heteroaryl groups include, but are not limited to, thienyl, furyl, indolyl, pyrrolyl, pyridinyl, pyrazinyl, oxazolyl, thiazolyl, quinolinyl, pyrimidinyl, 5 imidazole and tetrazolyl.

In the case of aryl or heteroaryl which are bicyclic it should be understood that one ring may be aryl while the other is heteroaryl and both being substituted or unsubstituted.

10

“Heterocycle” means a substituted or unsubstituted 5 to 8 membered, mono- or bicyclic, non-aromatic hydrocarbon, wherein 1 to 3 carbon atoms are replaced by a hetero atom selected from nitrogen, oxygen or sulfur atom. Examples include pyrrolidin-2-yl; pyrrolidin-3-yl; piperidinyl; morpholin-4-yl and the like.

15

“Hetero atom” means an atom selected from N, O and S.

“Alkoxy, alkoxyl or lower alkoxy” refers to any of the above lower alkyl groups attached to an oxygen atom. Typical lower alkoxy groups include methoxy, 20 ethoxy, isopropoxy or propoxy, butyloxy and the like. Further included within the meaning of alkoxy are multiple alkoxy side chains, e.g. ethoxy ethoxy, methoxy ethoxy, methoxy ethoxy ethoxy and the like and substituted alkoxy side chains, e.g., dimethylamino ethoxy, diethylamino ethoxy, dimethoxy-phosphoryl methoxy and the like.

25

In the specification where indicated the various groups may be substituted by 1-5 or, preferably, 1-3 substituents independently selected from the group consisting of lower alkyl, lower-alkenyl, lower-alkynyl, dioxo-lower-alkylene (forming e.g. a benzodioxyl group), halogen, hydroxy, CN, CF₃, NH₂, N(H, lower-alkyl), N(lower- 30 alkyl)₂, aminocarbonyl, carboxy, NO₂, lower-alkoxy, thio-lower-alkoxy, lower-

alkylsulfonyl, aminosulfonyl, lower-alkylcarbonyl, lower-alkylcarbonyloxy, lower-alkoxycarbonyl, lower-alkyl-carbonyl-NH, fluoro-lower-alkyl, fluoro-lower-alkoxy, lower-alkoxy-carbonyl-lower-alkoxy, carboxy-lower-alkoxy, carbamoyl-lower-alkoxy, hydroxy-lower-alkoxy, NH₂-lower-alkoxy, N(H, lower-alkyl)-lower-alkoxy, 5 N(lower-alkyl)₂-lower-alkoxy, benzyloxy-lower-alkoxy, mono- or di-lower alkyl substituted amino-sulfonyl and lower-alkyl which can optionally be substituted with halogen, hydroxy, NH₂, N(H, lower-alkyl) or N(lower-alkyl)₂. Preferred substituents for the cycloalkyl, cycloalkenyl, aryl, heteroaryl and heterocycle rings are halogen, lower alkoxy, lower alkyl, carboxy, carboxy lower alkoxy and CN. 10 Preferred substituents for alkyl are alkoxy and N(lower alkyl)₂.

“Pharmaceutically acceptable,” such as pharmaceutically acceptable carrier, excipient, etc., means pharmacologically acceptable and substantially non-toxic to the subject to which the particular compound is administered.

15

“Pharmaceutically acceptable salt” refers to conventional acid-addition salts or base-addition salts that retain the biological effectiveness and properties of the compounds of the present invention and are formed from suitable non-toxic organic or inorganic acids or organic or inorganic bases. Sample acid-addition 20 salts include those derived from inorganic acids such as hydrochloric acid, hydrobromic acid, hydroiodic acid, sulfuric acid, sulfamic acid, phosphoric acid and nitric acid, and those derived from organic acids such as p-toluenesulfonic acid, salicylic acid, methanesulfonic acid, oxalic acid, succinic acid, citric acid, malic acid, lactic acid, fumaric acid, trifluoro acetic acid and the like. Sample 25 base-addition salts include those derived from ammonium, potassium, sodium and, quaternary ammonium hydroxides, such as for example, tetramethylammonium hydroxide. Chemical modification of a pharmaceutical compound (i.e. drug) into a salt is a technique well known to pharmaceutical chemists to obtain improved physical and chemical stability, hygroscopicity, 30 flowability and solubility of compounds. See, e.g., Ansel *et al.*, Pharmaceutical Dosage Forms and Drug Delivery Systems (6th Ed. 1995) at pp. 196 and 1456-1457.

The compounds of formula Ia and Ib as well as their salts that have at least one asymmetric carbon atom may be present as racemic mixtures or different stereoisomers. The various isomers can be isolated by known separation
5 methods, e.g., chromatography.

Compounds disclosed herein and covered by formula Ia and Ib above may exhibit tautomerism or structural isomerism. It is intended that the invention encompasses any tautomeric or structural isomeric form of these compounds, or
10 mixtures of such forms, and is not limited to any one tautomeric or structural isomeric form depicted in formula Ia and Ib above.

The compounds of the present invention are useful in the treatment or control of cell proliferative disorders, in particular oncological disorders. These compounds
15 and formulations containing said compounds may be useful in the treatment or control of solid tumors, such as, for example, breast, colon, lung and prostate tumors.

A therapeutically effective amount of a compound in accordance with this
20 invention means an amount of compound that is effective to prevent, alleviate or ameliorate symptoms of disease or prolong the survival of the subject being treated. Determination of a therapeutically effective amount is within the skill in the art.

25 The therapeutically effective amount or dosage of a compound according to this invention can vary within wide limits and may be determined in a manner known in the art. Such dosage will be adjusted to the individual requirements in each particular case including the specific compound(s) being administered, the route of administration, the condition being treated, as well as the patient being
30 treated. In general, in the case of oral or parenteral administration to adult

humans weighing approximately 70 Kg, a daily dosage of about 10 mg to about 10,000 mg, preferably from about 200 mg to about 1,000 mg, should be appropriate, although the upper limit may be exceeded when indicated. The daily dosage can be administered as a single dose or in divided doses, or for
5 parenteral administration, it may be given as continuous infusion.

Formulations of the present invention include those suitable for oral, nasal, topical (including buccal and sublingual), rectal, vaginal and/or parenteral administration. The formulations may conveniently be presented in unit dosage
10 form and may be prepared by any methods well known in the art of pharmacy. The amount of active ingredient which can be combined with a carrier material to produce a single dosage form will vary depending upon the host being treated, as well as the particular mode of administration. The amount of active ingredient which can be combined with a carrier material to produce a single dosage form
15 will generally be that amount of a formula I compound which produces a therapeutic effect. Generally, out of one hundred percent, this amount will range from about 1 percent to about ninety-nine percent of active ingredient, preferably from about 5 percent to about 70 percent, most preferably from about 10 percent to about 30 percent.

20

Methods of preparing these formulations or compositions include the step of bringing into association a compound of the present invention with the carrier and, optionally, one or more accessory ingredients. In general, the formulations are prepared by uniformly and intimately bringing into association a compound of
25 the present invention with liquid carriers, or finely divided solid carriers, or both, and then, if necessary, shaping the product.

Formulations of the invention suitable for oral administration may be in the form of capsules, cachets, sachets, pills, tablets, lozenges (using a flavored basis,
30 usually sucrose and acacia or tragacanth), powders, granules, or as a solution or a suspension in an aqueous or non-aqueous liquid, or as an oil-in-water or water-in-oil liquid emulsion, or as an elixir or syrup, or as pastilles (using an inert

base, such as gelatin and glycerin, or sucrose and acacia) and/or as mouth washes and the like, each containing a predetermined amount of a compound of the present invention as an active ingredient. A compound of the present invention may also be administered as a bolus, electuary or paste.

5

"Effective amount" means an amount that is effective to prevent, alleviate or ameliorate symptoms of disease or prolong the survival of the subject being treated.

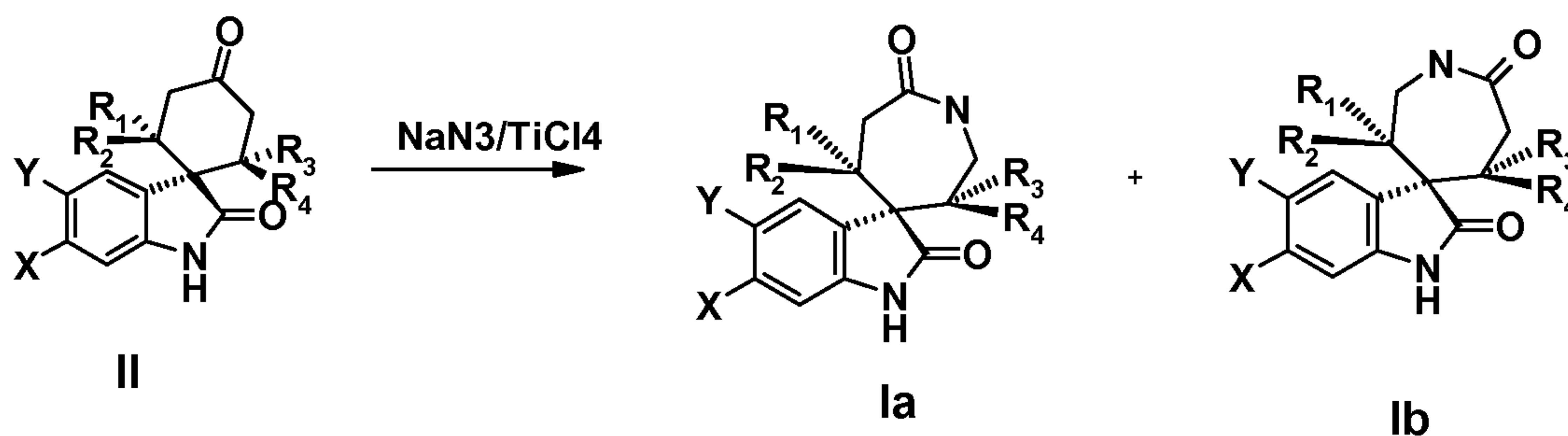
10 "IC₅₀" refers to the concentration of a particular compound required to inhibit 50% of a specific measured activity. IC₅₀ can be measured, *inter alia*, as is described subsequently.

The present invention provides methods for the synthesis of spiroindolinones.
15 The compounds of the invention can be prepared by processes known in the art. Suitable processes for synthesizing these compounds are provided in the examples. Generally, the compounds of the invention can be prepared according to the synthesis schemes provided below.

The following synthetic schemes provide three general methods for preparation
20 of compounds of the invention, i.e., compounds of formula I. In **method A**, illustrated in **scheme 1**, a compound of formula II is converted to a compound of formula I by heating with NaN₃ in the presence of acid catalyst.

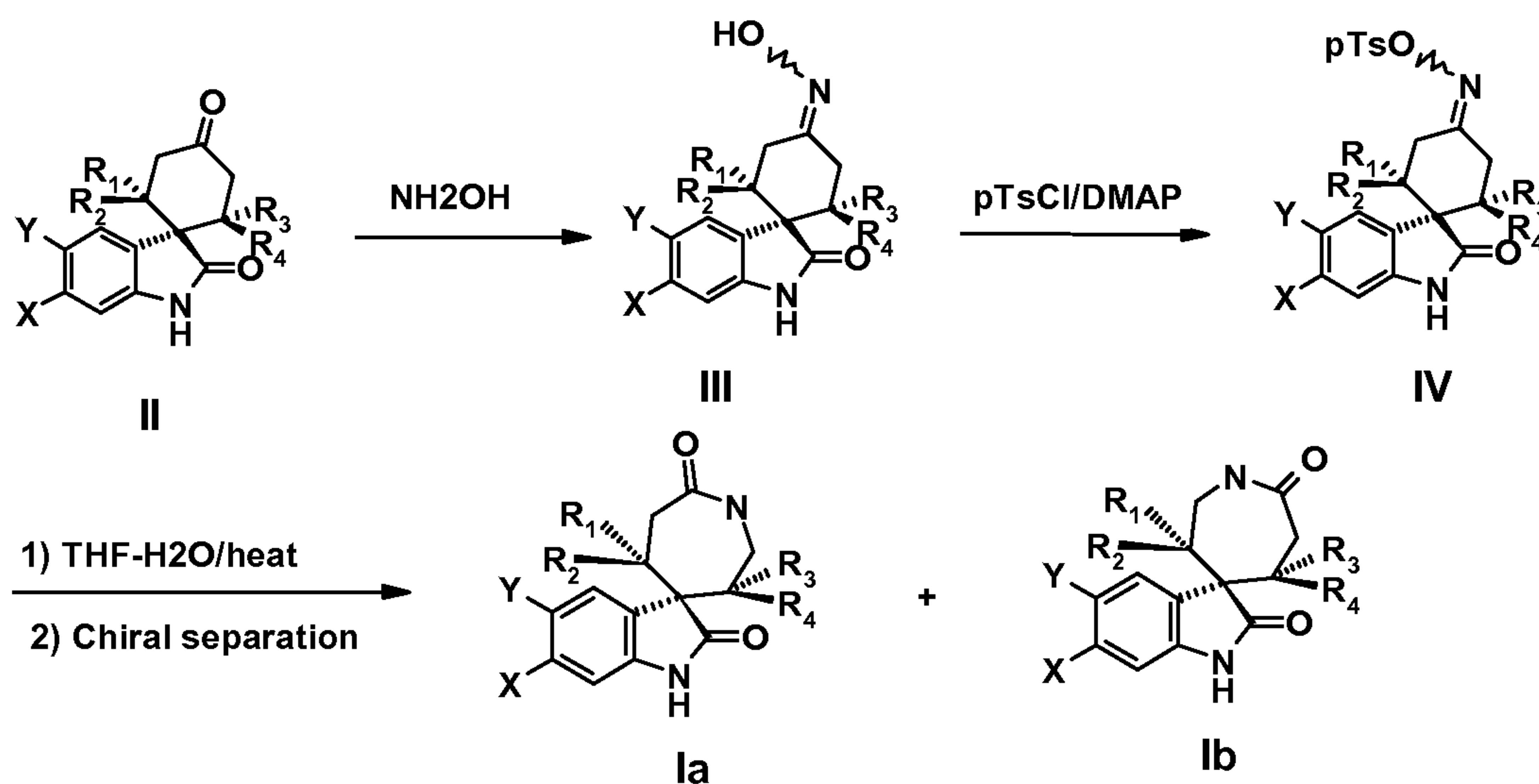
Scheme 1

- 14 -



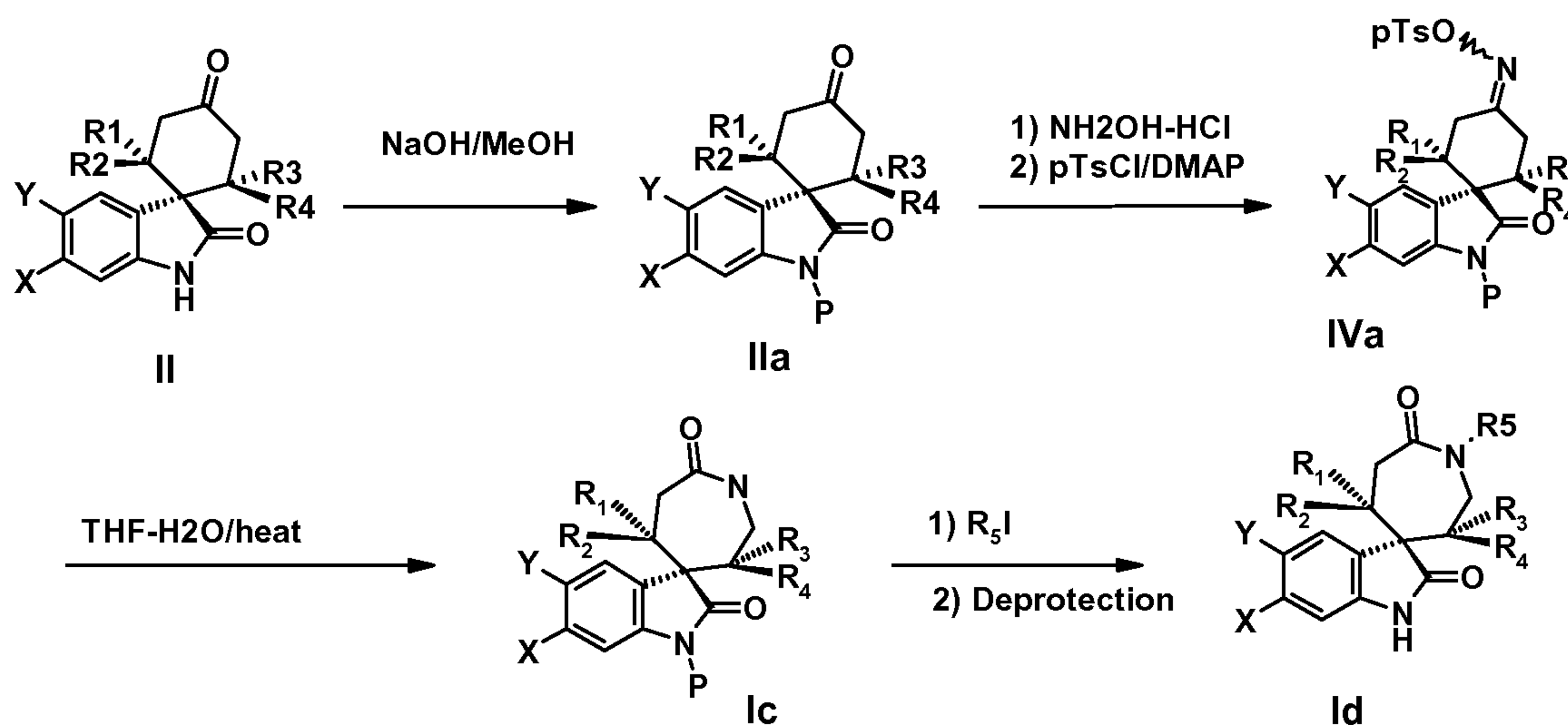
In **method B**, shown in **schemes 2**, a compound of formula **II** is converted to a compound of formula **I** by reacting with $\text{NH}_2\text{OH}\cdot\text{HCl}$ and $p\text{-TsCl}$ to form oxime intermediates **III** and **IV** followed by heating under microwave irradiation in the presence of acid catalyst.

Scheme 2



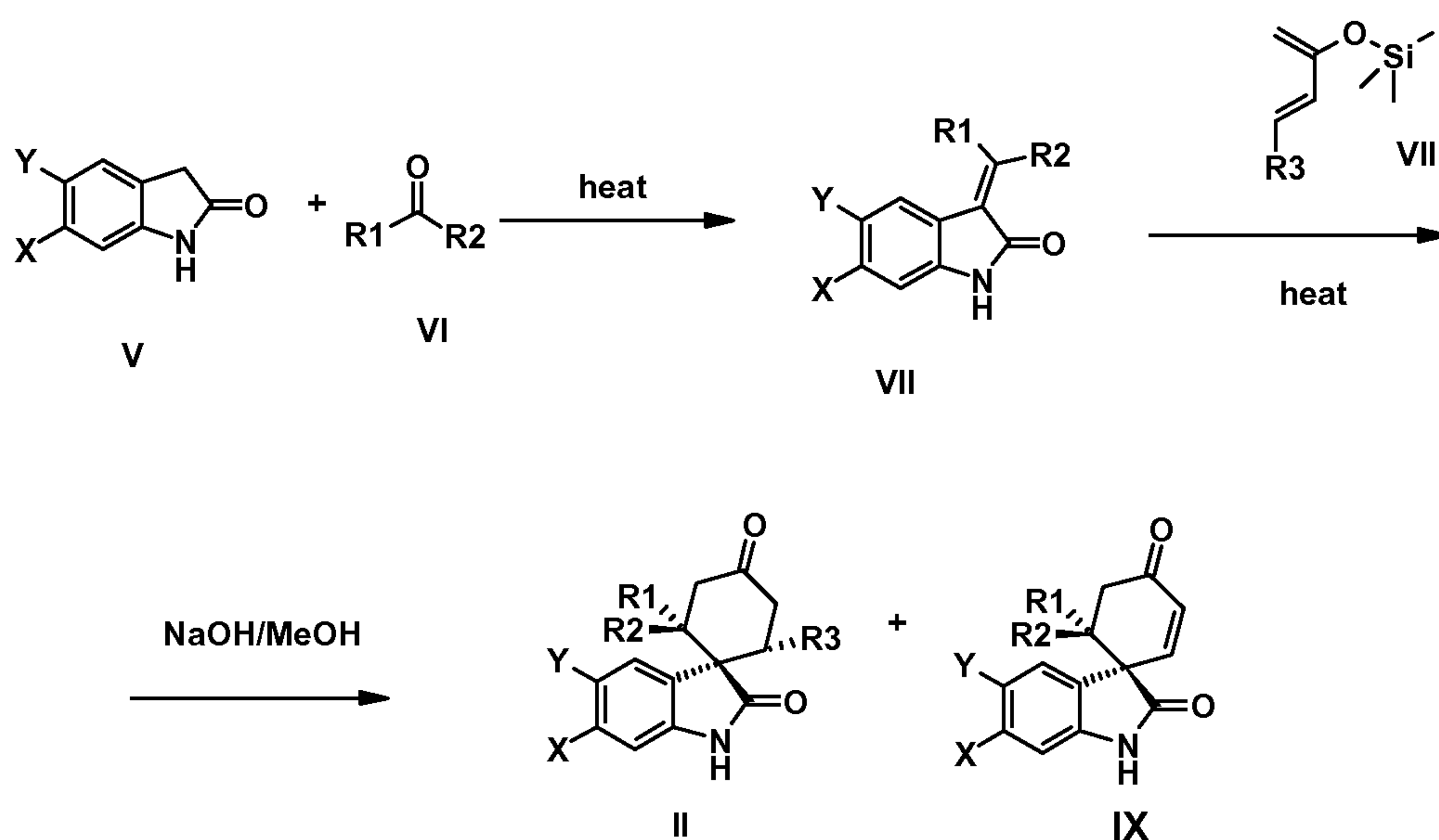
In **method C**, a protected form **Ila** of formula **II** is converted to a compound of formula **Id** by reacting with $\text{NH}_2\text{OH}\cdot\text{HCl}$ and $p\text{-TsCl}$ to form oxime intermediates **III** and **IV**, heating under microwave irradiation in the presence of acid catalyst to form **Ic** followed by deprotection (**scheme 3**).

Scheme 3

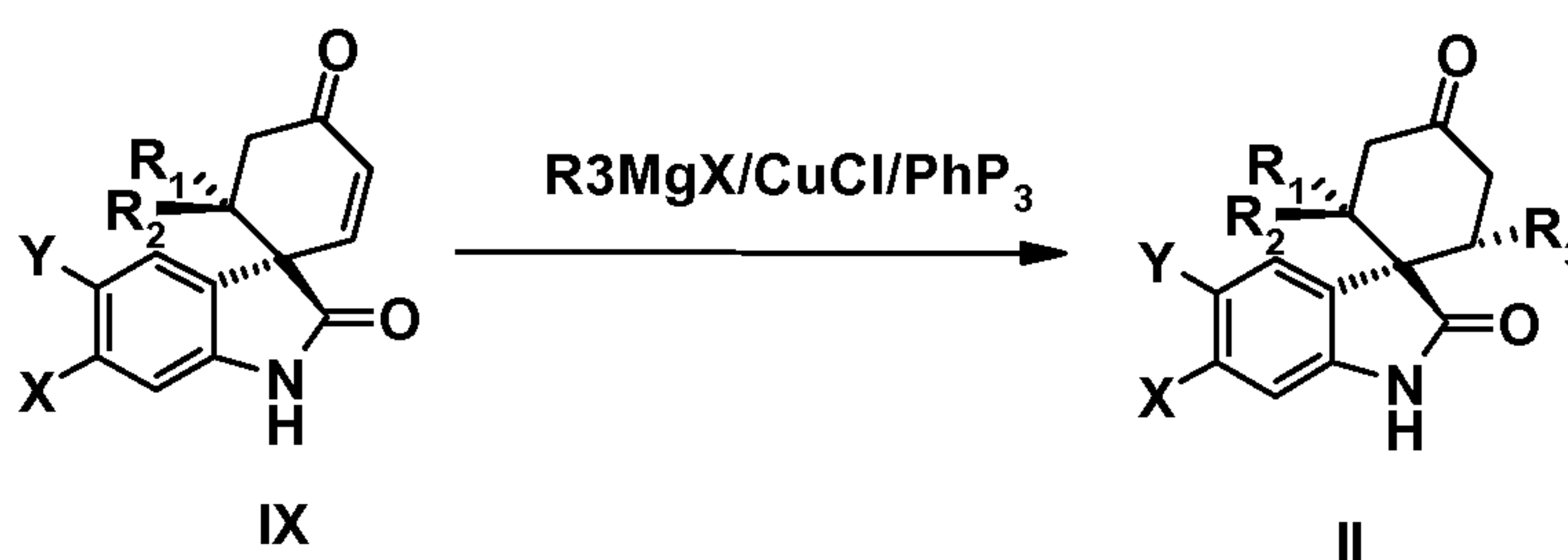


The starting material of formula **II** is prepared from indolones **V** and aldehydes **VI** through a condensation, followed by a Diels-Alder reaction with silyl enol ether **VIII** (Scheme 4).

Scheme 4



Alternatively, shown in **schemes 5**, a compound of formula **II** can be made from a compound of formula **IX** by a 1,4-addition reaction with Grignard reagent.

Scheme 5

5

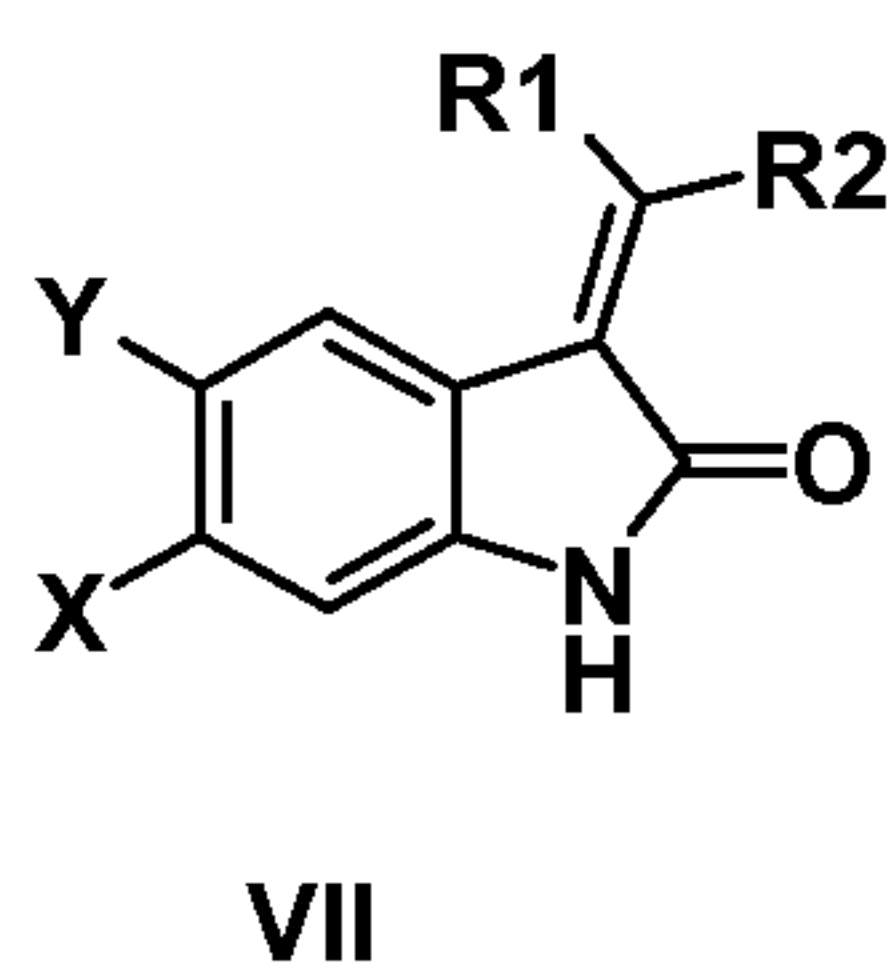
Examples

The compounds of the present invention may be synthesized according to known techniques. The following examples and references are provided to aid the understanding of the present invention, the true scope of which is set forth in the appended claims.

10

Example 1**General Synthesis Steps and Starting Materials****Example 1a**

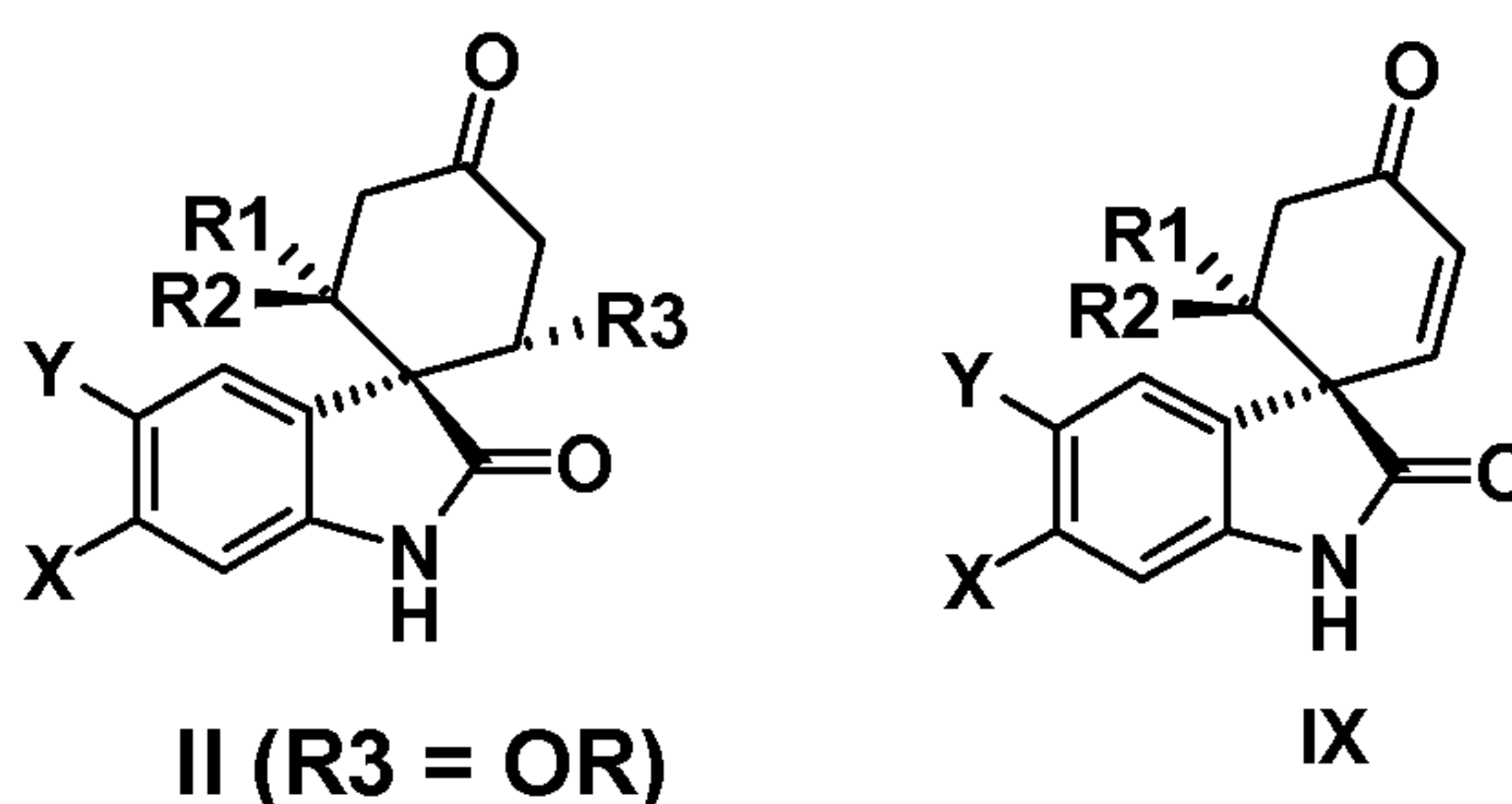
15 Preparation of intermediate E/Z-substituted-methylidene]-1,3-dihydro-indol-2-one **VII**



To the mixture of appropriate oxindole (92 mmol) and aldehyde (92 mmol) in methanol (100 mL) was added pyrrolidine (92 mmol) dropwise. The mixture was then heated at 70 °C for 3 h. After cooled to 4 °C, the mixture was filtered and resulting precipitate was collected, dried to give a mixture of E/Z-substituted-methylidene]-1,3-dihydro-indol-2-one **VII** (>90 %).

Example 1b

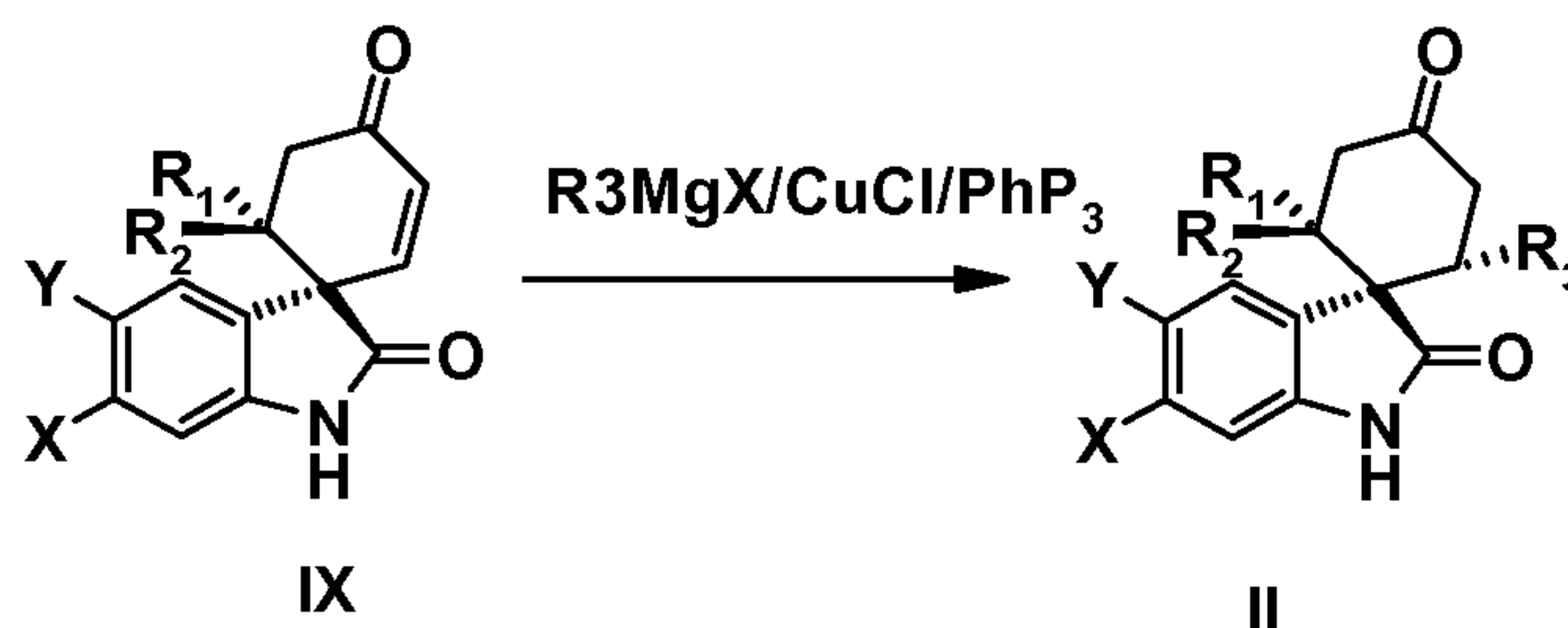
Preparation of Rac-(6-alkooxyspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione **II** and Rac-spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione **IX** (scheme 4).



To a suspension of E/Z-substituted-methylidene]-1,3-dihydro-indol-2-one **VII** (15.0 mmol) in toluene (50 mL) in a sealed tube was added (3-methoxy-1-methylene-allyloxy)-trimethyl-silane (3.44 g, 20.0 mmol). The reaction mixture was allowed to stir at 140 °C for 24 hrs. The solvent was removed by concentration. The residue was dissolved in MeOH (50 mL) and treated with 4 N NaOH (5 mL) at rt for 2 hrs. The reaction mixture was then diluted with AcOEt and washed with water and brine. After concentration the residue was purified by flash column to give rac-(6-alkooxyspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione **II** and rac-spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione **IX**.

Example 1c

Preparation of Rac-(6-substituted-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione **II** from Rac-spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione **IX** (scheme 5)



In a flask equipped with septum and stirring bar, a mixture of CuCl (17.6 mg, 0.18 mmol) and Ph₃P (76.1 mg, 0.21 mmol) was suspended in THF (2 mL). After stirring under argon at rt for 30 min, rac-spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione IX (0.28 mmol) was added in one portion. After additional stirring for 10 min, R₃MgX (0.84 mmol) was added dropwise to the resulting mixture during 5 min at 0°C. After stirring under argon at 0°C to -10 °C for 1 h, the reaction mixture was allowed to stir overnight with the ice-bath. Sat.NH₄Cl was then added to the reaction mixture. The organic phase was separated. The mixture was then separated by flash column to give rac-(6-substituted-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione II*

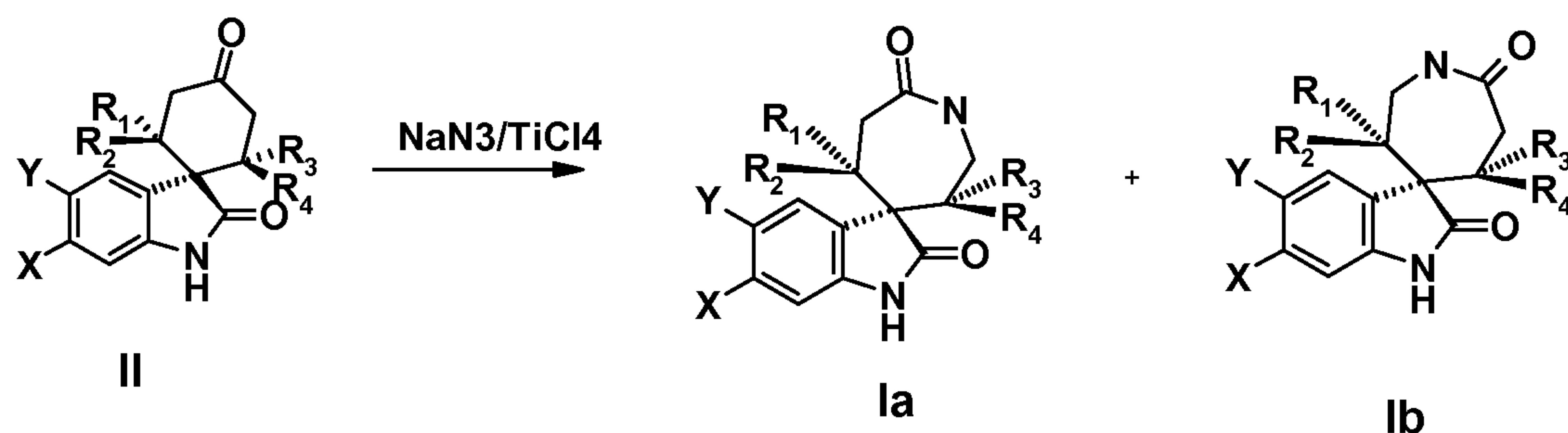
Example 2

General Procedure for the Preparation of Compounds I

Method A

Preparation of compounds I from Rac-(6-substituted)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione II via Titanium Chloride-mediated ring extension

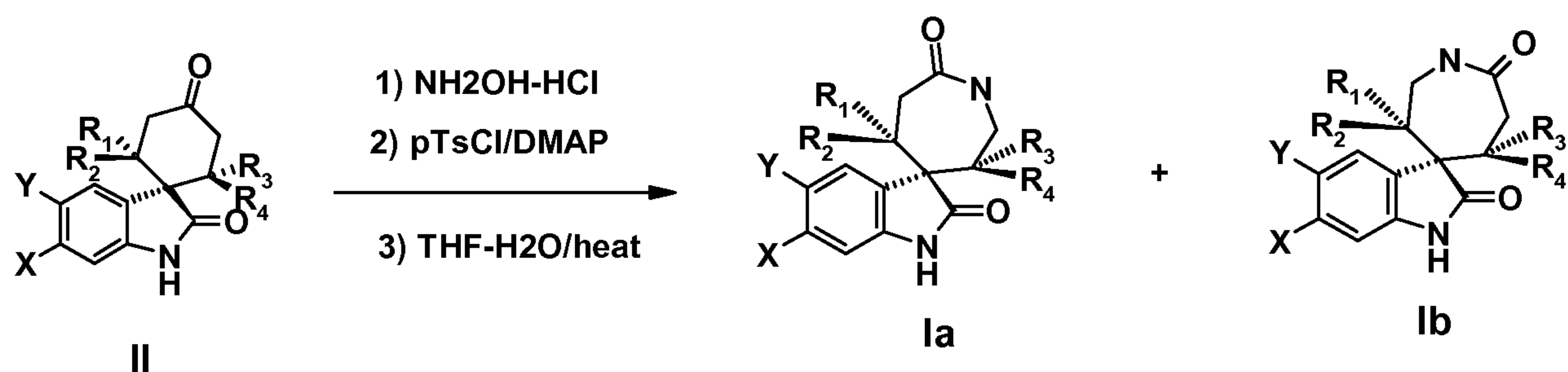
- 19 -



To a suspension of appropriate rac-(6-substituted)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione **II** (0.29 mmole) and NaN_3 (0.72 mmole) in MeCN (10 mL) was added TiCl_4 (0.29 mmole) and the reaction mixture was allowed to
 5 reflux for 6-8 hrs. The reaction was quenched by adding Sat. NaHCO_3 after cooled to rt. The reaction mixture was extracted with AcOEt and the solvent was removed by concentration. The residue was purified by flash column (15% -100 % AcOEt in Hex) to give a mixture of **Ia** and **Ib**. The mixture was further separated by either a regular flash column to give racemic forms of compound **Ia**
 10 and **Ib**, or a chiral column to give pure enantiomers of compound **Ia** and **Ib**.

Method B

Preparation of compounds **I** from Rac-(6-substituted)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione **II** via Titanium Chloride-mediated ring extension
 15 (scheme 4)



Step 1

A suspension of Rac-(6-substituted)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione **II** (0.52 mmol), NaOH (0.52 mmole) and $\text{NH}_2\text{OH}\cdot\text{HCl}$ (0.52 mmol) in EtOH-water (3/2, 20 mL) was allowed to reflux for 1-3 hrs. The reaction was cooled to
 20

room temperature, and water was added. The mixture was extracted with ethyl acetate. The organic layer was separated, dried over MgSO_4 , and concentrated. The residue was purified by chromatography to give the corresponding oxime as a white solid.

5

Step 2

To the solution of rac-E/Z- spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime obtained above (0.39 mmol) and DMAP (0.78 mmol) in dichloromethane (3 mL) was added a solution of p-toluenesulfonyl chloride (0.39 mmol) in
10 dichloromethane (2 mL) at room temperature. The reaction mixture was stirred at room temperature for 1 h. The reaction was then concentrated and the residue was purified by chromatography to give the corresponding E and Z isomers of the spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-p-toluenesulfonyl)-oximes as a white solid.

15

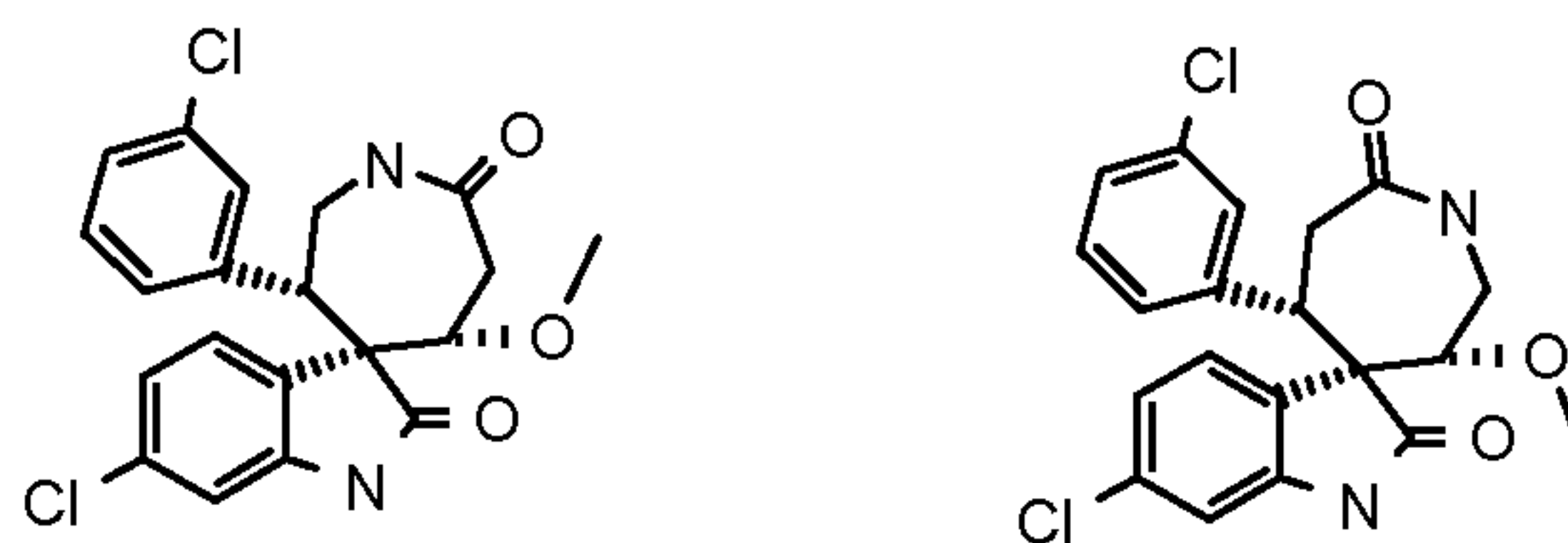
Step 3

Rac-Z or E spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-p-toluenesulfonyl)-oxime (1 mmol) prepared in step 2 was dissolved in THF/ H_2O (10/5 mL) and heated in a sealed tube under microwave irradiation at 100 °C for
20 30 min. After diluted with AcOEt the mixture was washed with water, dried over Na_2SO_4 and concentrated. The residue was purified by chromatography to give the corresponding rac- spiro[3H-indole-3, 4'-azopane]-2,7'(1'H)-dione **la** or **lb** which can be further separated by chiral column chromatography to give pure enantiomers of compound **la** and **lb**.

25

Example 3

Preparation of rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione
30



M. W. 405.3

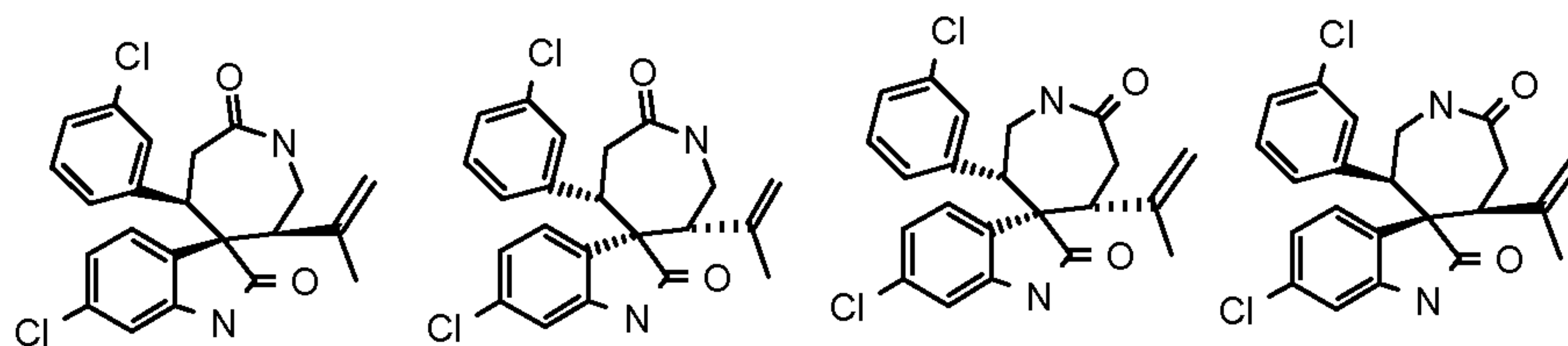
 $C_{20}H_{18}Cl_2N_2O_3$

5

In a manner similar to the method described in **example 2(method A)**, rac-(1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-methoxyspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (156 mg, 0.4 mmol) was reacted with NaN₃ (52 mg, 0.8 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.8 mL) (Aldrich) in acetonitrile (10 mL) to give rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (25.3 mg): HRMS (ES⁺) m/z Calcd for C₂₀H₁₈Cl₂N₂O₃ + H [(M+H)⁺]: 405.0767, found: 405.0765 and rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione: HRMS (ES⁺) m/z Calcd for C₂₀H₁₈Cl₂N₂O₃ + H [(M+H)⁺]: 405.0767, found: 405.0768.

Example 4

Preparation of (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro -3-(1-methylethenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl))-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl))-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione



M. W. 415.3

 $C_{22}H_{20}Cl_2N_2O_2$

5

In a manner similar to the method described in **example 2(method A)**, rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-iso-propenylspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (120 mg, 0.3 mmol) was reacted with NaN₃ (39 mg, 0.6 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.3 mL) (Aldrich) in acetonitrile (10 mL) followed by chiral chromatography separation to give

10 (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro -3-(1-methylethenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (25.9 mg): HRMS (ES⁺) m/z Calcd for $C_{22}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 415.0975, Found: 415.0974;

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl))-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (28.8 mg): HRMS

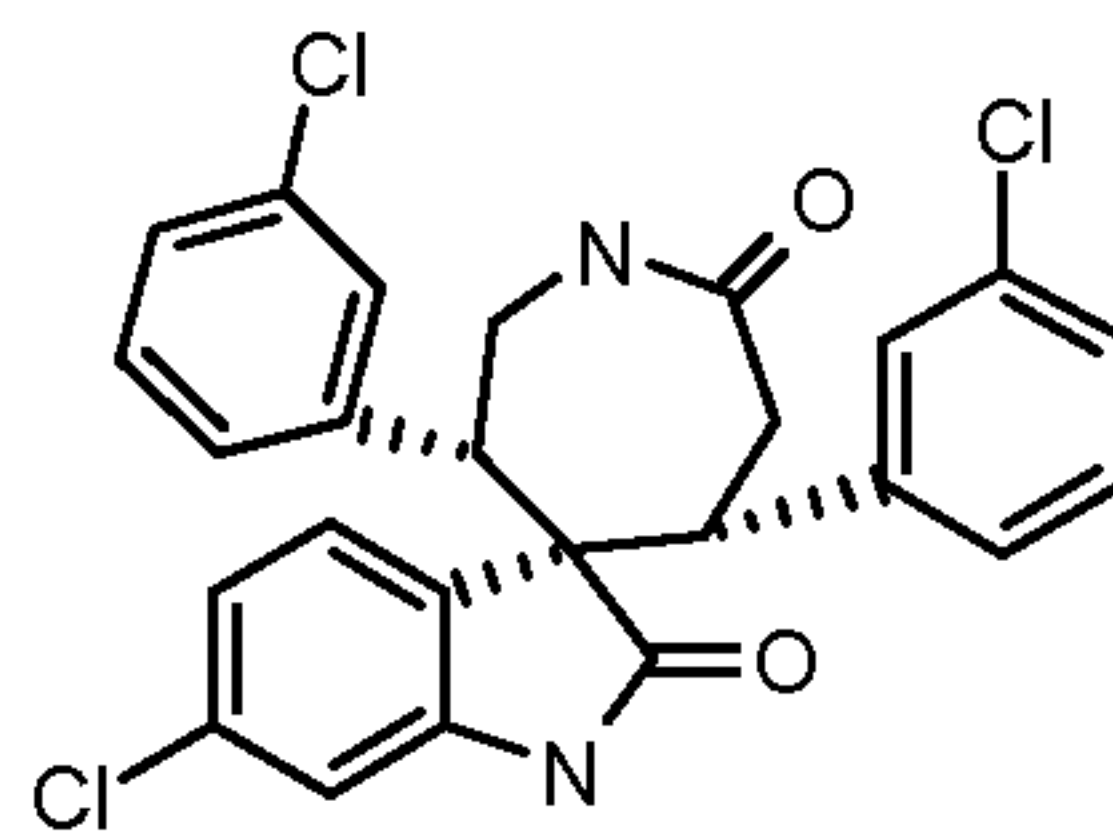
15 (ES⁺) m/z Calcd for $C_{22}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 415.0975, found: 415.0975;

(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl))-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (20.7 mg): HRMS (ES⁺) m/z Calcd for $C_{22}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 415.0975, found: 415.0974; and

20 (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (21.6 mg): HRMS (ES⁺) m/z Calcd for $C_{22}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 415.0975., found: 415.0974.

Example 5

25 Preparation of rac-(3S,4R,5R)-6'-chloro-3,5-bis-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione



M. W. 485.8

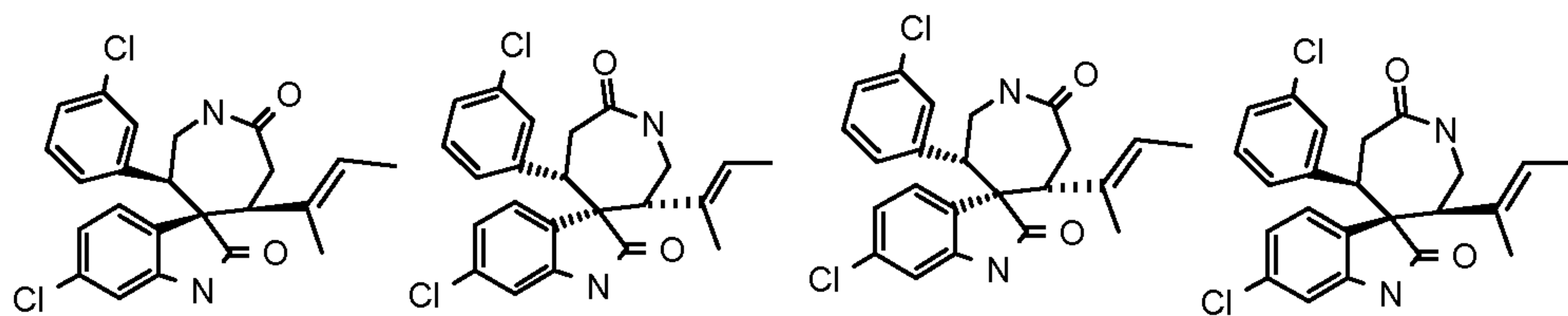
 $C_{25}H_{19}Cl_3N_2O_2$

5

In a manner similar to the method described in **example 2(method A)**, rac-(1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-(3-chlorophenyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (141 mg, 0.3 mmol) was reacted with NaN₃ (39 mg, 0.6 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.3 mL) (Aldrich) in acetonitrile (10 mL) to give rac-(3S,4R,5R)-6'-chloro-3,5-bis-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione: HRMS (ES⁺) m/z Calcd for C₂₅H₁₉Cl₃N₂O₂ + H [(M+H)⁺]: 485.0585, found: 485.0585.

Example 6

15 Preparation of (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-5(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione; (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-3(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione; (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione



M. W. 429.3

 $C_{23}H_{22}Cl_2N_2O_2$

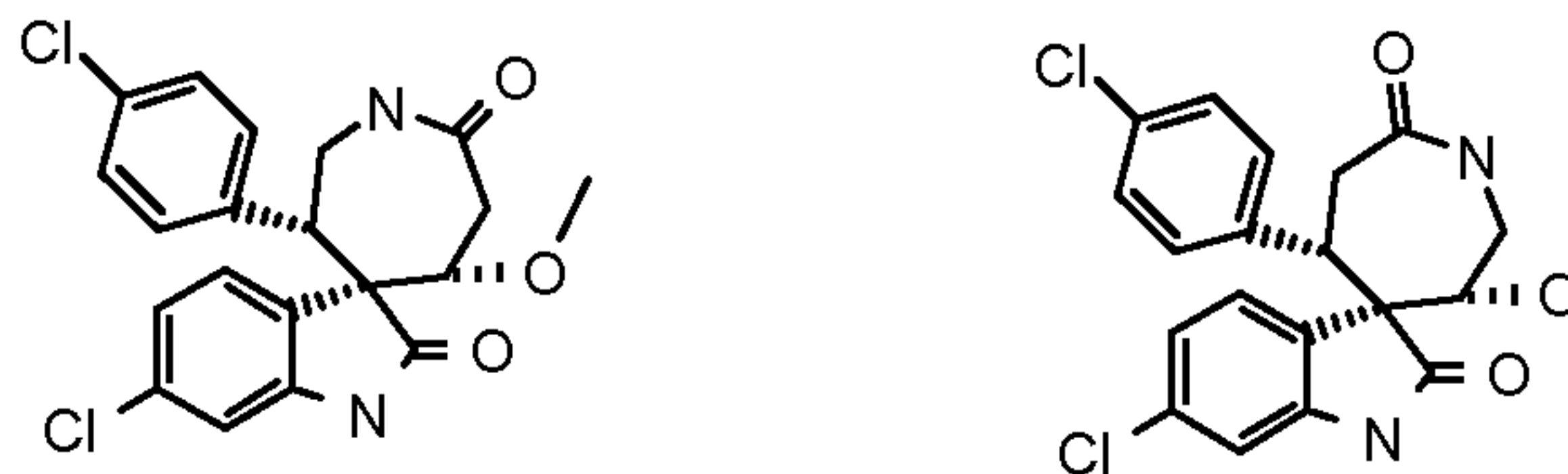
- 5 In a manner similar to the method described in **example 2(method A)**, rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(1-methyl-propenyl)spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (120 mg, 0.29 mmol) was reacted with NaN₃ (47.1 mg, 0.72 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.29 mL) (Aldrich) in acetonitrile (10 mL) followed by chiral chromatography separation to
- 10 give 3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-5(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (13.5 mg): HRMS (ES⁺) m/z Calcd for C₂₃H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 429.1131. Found: 429.1131; (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-3(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (15.8
- 15 mg):HRMS (ES⁺) m/z Calcd for C₂₃H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 429.1131. Found: 429.1131; (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (13.7 mg): HRMS (ES⁺) m/z Calcd for C₂₃H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 429.1131. Found: 429.1131; and (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-
- 20 octahydro-3(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (14.5 mg): HRMS (ES⁺) m/z Calcd for C₂₃H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 429.1131. Found: 429.1131.

Example 7

- 25 Preparation of rac-(3S,4R,5S)-6'-chloro-3-(4-chlorophenyl) -1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and rac-

- 25 -

(3R,4R,5S)-6'-chloro-5-(4-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro [4H-azepine-4,3'-[3H]-indole]-2',7-dione



5

M. W. 405.3

 $C_{20}H_{18}Cl_2N_2O_3$

In a manner similar to the method described in **example 2(method A)**, rac-(1R,2S,6S)-6'-chloro-2-(4-chlorophenyl)-6-methoxyspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (312 mg, 0.8 mmol) was reacted with NaN₃ (104 mg, 1.6 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.8 mL) (Aldrich) in acetonitrile (20 mL) to give rac-(3S,4R,5S)-6'-chloro-3-(4-chlorophenyl) - 1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (18.6 mg): HRMS (ES⁺) m/z Calcd for C₂₀H₁₈Cl₂N₂O₃ + H [(M+H)⁺]: 405.0767. Found: 405.0768; and rac-(3R,4R,5S)-6'-chloro-5-(4-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro [4H-azepine-4,3'-[3H]-indole]-2',7-dione (19.7 mg): HRMS (ES⁺) m/z Calcd for C₂₀H₁₈Cl₂N₂O₃ + H [(M+H)⁺]: 405.0767. Found: 405.0768.

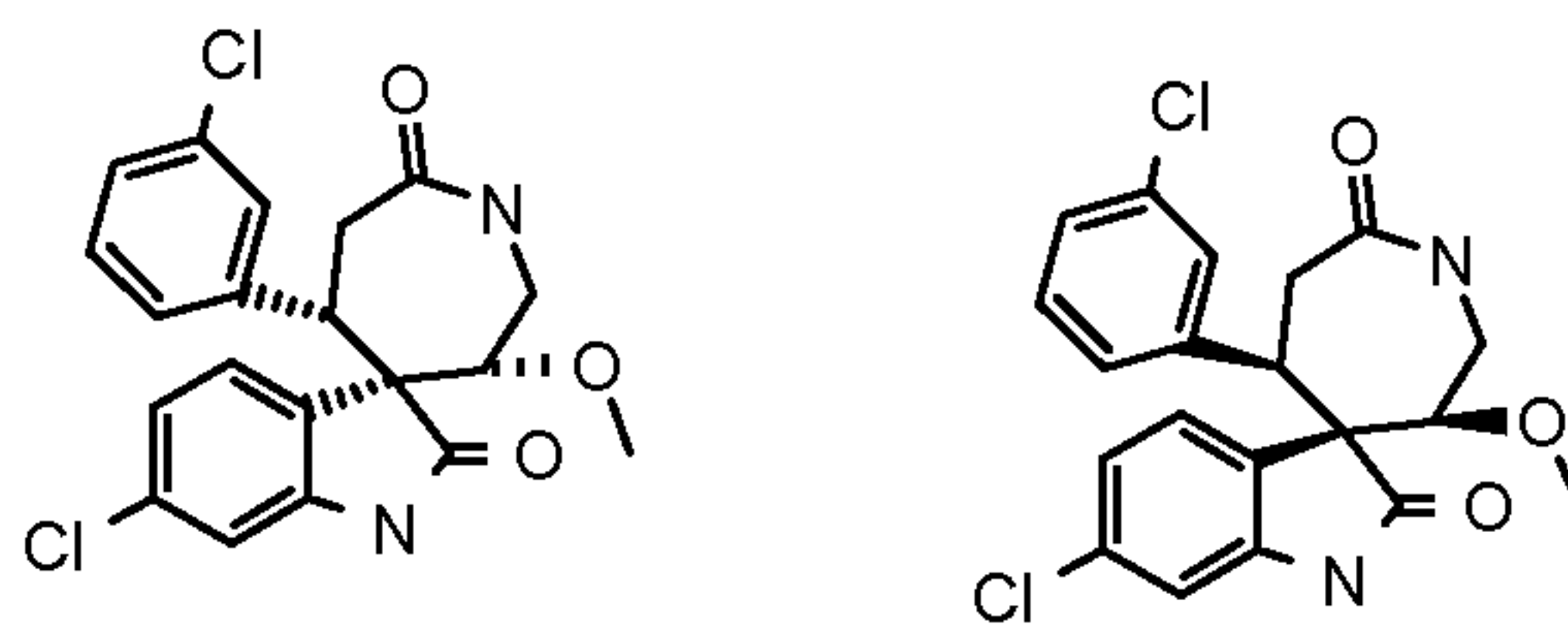
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Example 8

Preparation of (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and (3S,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

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- 26 -



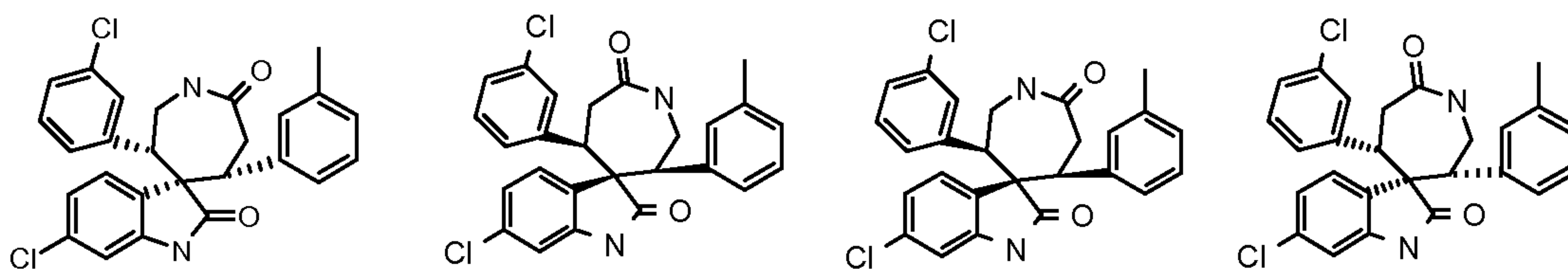
M. W. 405.3

 $C_{20}H_{18}Cl_2N_2O_3$

In a manner similar to the method described in **example 2(method A)**, rac-
 5 (1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-methoxyspiro[cyclohexane-1,3'-
 [3H]indole]-2',4(1'H)-dione (156 mg, 0.4 mmol) was reacted with NaN₃ (52 mg,
 0.8 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.8 mL) (Aldrich) in
 acetonitrile (10 mL) followed by chiral chromatograph to give (3R,4R,5S)-6'-
 chloro-5-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-
 10 azepine-4,3'-[3H]-indole]-2',7-dione (17.6 mg) and (3S,4S,5R)-6'-chloro-5-(3-
 chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-
 indole]-2',7-dione.

Example 9

15 Preparation of (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-
 octahydro-5-(3-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
 (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(3-
 methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3R,4S,5S)-6'-
 chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(3-methylphenyl)-
 20 spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and (3R,4S,5S)-6'-chloro-5-(3-
 chlorophenyl) -1,1',2,2',3,5,6,7-octahydro-3-(3-methylphenyl)-spiro[4H-azepine-
 4,3'-[3H]-indole]-2',7-dione.



M. W. 465.4

 $C_{26}H_{22}Cl_2N_2O_2$

In a manner similar to the method described in **example 2(method A)**, rac-

5 (1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(3-methylphenyl)spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (140 mg, 0.31 mmol) was reacted with NaN₃ (50.1 mg, 0.78 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.31 mL) (Aldrich) in acetonitrile (10 mL) followed by chiral chromatograph to give

(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(3-

10 methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (11.2 mg):HRMS (ES⁺) m/z Calcd for $C_{26}H_{22}Cl_2N_2O_2 + H [(M+H)^+]$: 465.1131. Found: 465.1132;

(3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(3-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (10.7 mg): HRMS (ES⁺) m/z Calcd for $C_{26}H_{22}Cl_2N_2O_2 + H [(M+H)^+]$: 465.1131. Found: 465.1131;

15 (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(3-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (10.3 mg): HRMS (ES⁺) m/z Calcd for $C_{26}H_{22}Cl_2N_2O_2 + H [(M+H)^+]$: 465.1131. Found: 465.1131;

and (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl) -1,1',2,2',3,5,6,7-octahydro-3-(3-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (10.1 mg): HRMS

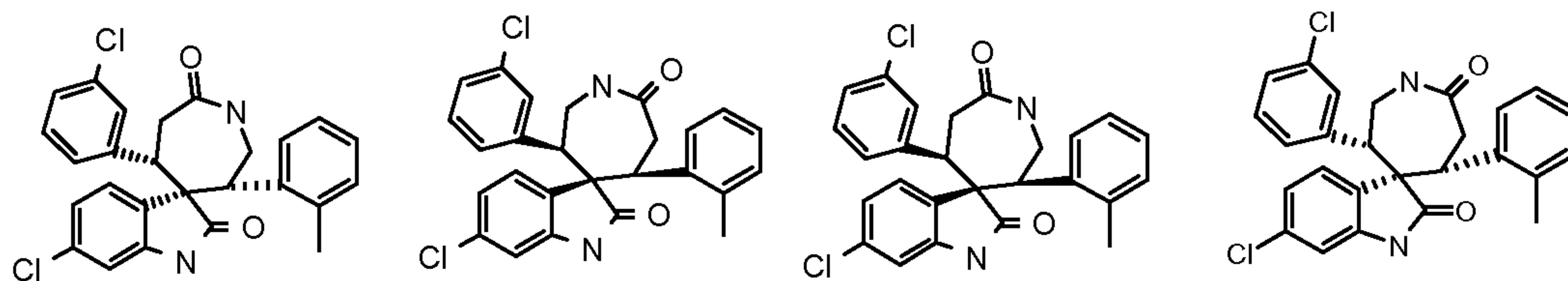
20 (ES⁺) m/z Calcd for $C_{26}H_{22}Cl_2N_2O_2 + H [(M+H)^+]$: 465.1131. Found: 465.1131.

Example 10

Preparation of (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl) -1,1',2,2',3,5,6,7-octahydro-3-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

25 (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylphenyl)-spiro[4H-azepine-

30 4,3'-[3H]-indole]-2',7-dione.



M. W. 465.4

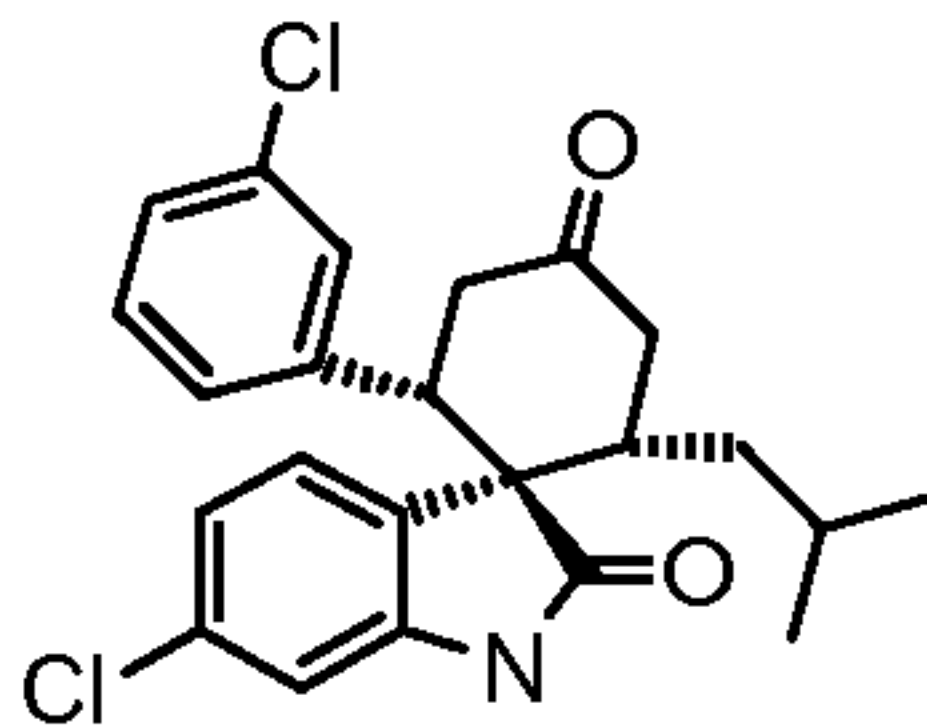
 $C_{26}H_{22}Cl_2N_2O_2$

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- In a manner similar to the method described in **example 2(method A)**, rac-(1S,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(2-methylphenyl)spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (115.0 mg, 0.26 mmole) was reacted with NaN₃ (42.3 mg, 0.65 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.26 mL)
- 10 (Aldrich) in acetonitrile (10 mL) followed by chiral chromatograph to give (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl) -1,1',2,2',3,5,6,7-octahydro-3-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (11.0 mg): HRMS (ES⁺) m/z Calcd for C₂₆H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 465.1131. Found: 465.1131;
- 15 (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (10.6 mg); HRMS (ES⁺) m/z Calcd for C₂₆H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 465.1131. Found: 465.1130.
- (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (10.1 mg): HRMS (ES⁺) m/z Calcd for C₂₆H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 465.1131. Found: 465.1131.
- 20 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (10.2 mg): HRMS (ES⁺) m/z Calcd for C₂₆H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 465.1131. Found: 465.1131.

Example 11

Preparation of Rac-(1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-(2-methylpropyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (scheme 2)



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M. W. 416.4

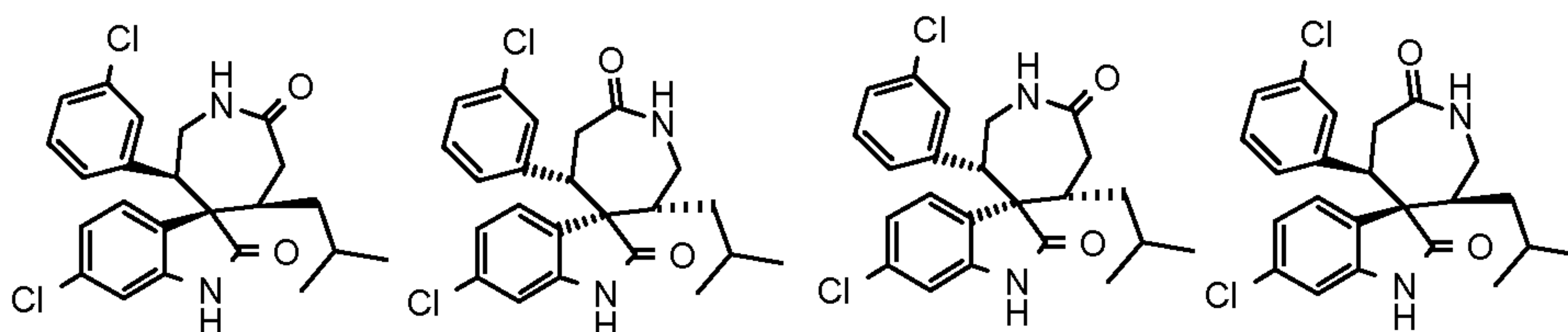
 $C_{23}H_{23}Cl_2NO_2$

In a flask equipped with septum and stirring bar, a mixture of CuCl (49.0 mg, 0.50 mmol) and Ph_3P (181.1 mg, 0.50 mmol) was suspended in THF (10 mL). After stirring under argon at rt for 30 min, rac-(1R,2S)-6'-chloro-2-(3-chlorophenyl)spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione (179 mg, 0.50 mmol) was added in one portion. After additional stirring for 10 min, 2-methylpropylmagnesium bromide (2.0 M in ether, 1.25 mL, 2.5 mmol) was added dropwise to the resulting mixture during 5 min at 0°C. The reaction mixture was allowed to stir under argon at 0°C to -10 °C for 2.5 h. Sat.NH₄Cl was then added to quench the reaction. The organic phase was separated. TLC/MS (AcOEt/Hex = 1/2) showed mixture of two isomers of the desired products and no SM. The mixture was then separated by flash column to give rac-(1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-(2-methylpropyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (165.1 mg, 80.3 %): HRMS (ES⁺) m/z Calcd for $C_{23}H_{23}Cl_2NO_2 + H$ [(M+H)⁺]: 416.1179, Found: 416.1179.

Example 12

Preparation of (3R,4S,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]indole]-2',7-dione, (3R,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-

5 methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, and (3S,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.



M. W. 431.4

 $C_{23}H_{24}Cl_2N_2O_2$

10 Step 1

A suspension of rac-(1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-(2-methylpropyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (135.0 mg, 0.32 mmol), NaOH (100.0 mg, 2.5 mmole) and $NH_2OH \cdot HCl$ (173.8 mg, 2.5 mmol) in EtOH-water (3/2, 5 mL) was allowed to reflux for 3 hrs. The reaction was cooled to room temperature, and water was added. The mixture was extracted with ethyl acetate. The organic layer was separated, dried over $MgSO_4$, and concentrated. The residue was purified by chromatography to give the corresponding oxime (136.2 mg, 97.4%) as a white solid which was used in the next step without further purification.

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Step 2

To the solution of rac-E/Z- spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime obtained above (130.0 mg, 0.30 mmol) and DMAP (80.5 mg, 0.66 mmol) in dichloromethane (2 mL) was added a solution of p-toluenesulfonyl chloride (125.9 mg, 0.66 mmol) in dichloromethane (1 mL) at room temperature. The reaction mixture was stirred at room temperature for 1 h. The reaction was then

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concentrated and the residue was purified by chromatography to give the corresponding E and Z isomers of the spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toulenesulfonyl)-oximes (135.9 mg, 77.3%) as a white solid. which was used in the next step without further purification.

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Step 3

Rac-Z-or-E-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toulenesulfonyl)-oxime (120.0 mg, 0.21 mmol) prepared in **step 2** was dissolved in THF/H₂O (3/1.5 mL) and heated in a sealed tube under microwave irradiation at 100 °C for 20 min. After diluted with AcOEt the mixture was washed with water, dried over Na₂SO₄ and concentrated. The residue was purified by chiral column chromatography to give (3R,4S,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (13.8 mg): HRMS (ES⁺) m/z Calcd for C₂₃H₂₄Cl₂N₂O₂ + H [(M+H)⁺]: 431.1288. Found: 431.1287; (3R,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (15.1 mg): HRMS (ES⁺) m/z Calcd for C₂₃H₂₄Cl₂N₂O₂ + H [(M+H)⁺]: 431.1288. Found: 431.1287; (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (14.1 mg): HRMS (ES⁺) m/z Calcd for C₂₃H₂₄Cl₂N₂O₂ + H [(M+H)⁺]: 431.1288. Found: 431.1287; (3S,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (15.2 mg): HRMS (ES⁺) m/z Calcd for C₂₃H₂₄Cl₂N₂O₂ + H [(M+H)⁺]: 431.1288. Found: 431.1288.

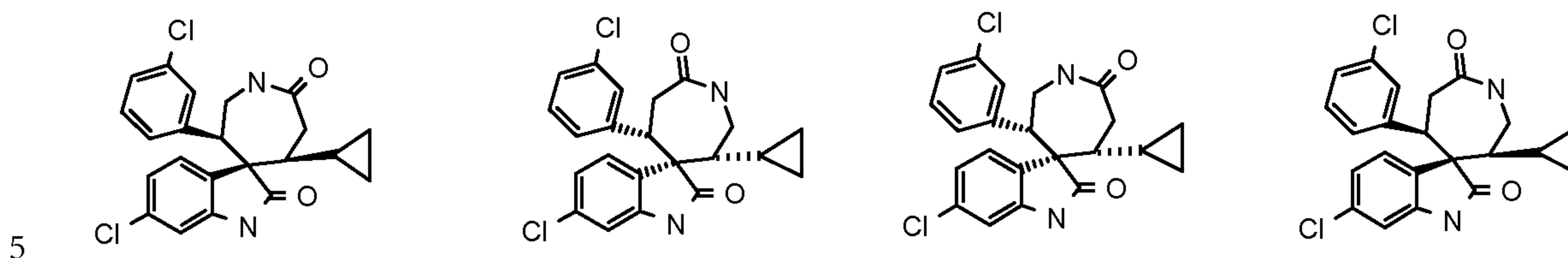
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Example 13

Preparation of (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-5-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-3-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-5-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-

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[3H]-indole]-2',7-dione and (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-3-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.



M. W. 415.3

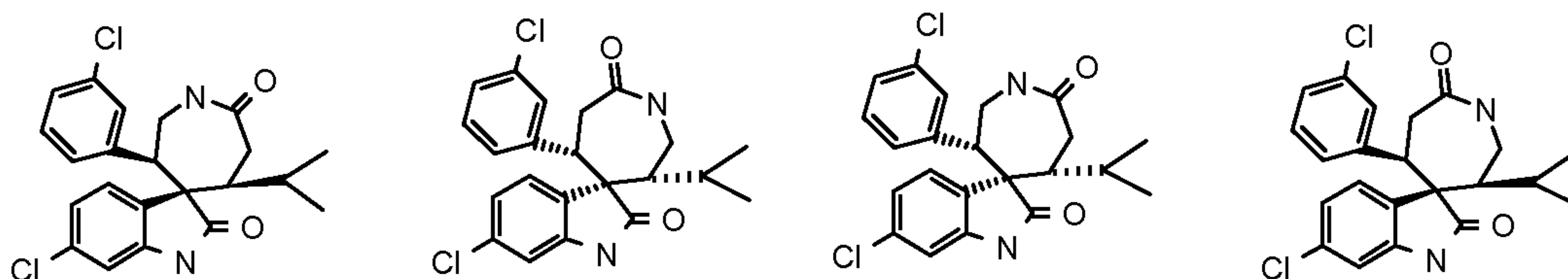
 $C_{22}H_{20}Cl_2N_2O_2$

In a manner similar to the method described in **example 2(method B)**, rac-
 10 (1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-cyclopropylspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (148.1 mg, 0.37 mmole) was reacted with $NH_2OH \cdot HCl$ (128.6 mg, 1.85 mmol), $NaOH$ (74.0 mg, 1.85 mmole) in EtOH-water (3/2, 10 mL) at refluxing for 2 hrs, followed by reacting with p-toluenesulfonyl chloride (141.1 mg, 0.74 mmol) in dichloromethane (1 mL) at room temperature for 30
 15 min, and heating under microwave irradiation at 90 °C for 20 min. After diluted with AcOEt the mixture was washed with water, dried over Na_2SO_4 and concentrated. The residue was purified by chiral column chromatography to give (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-5-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (22.5 mg): HRMS (ES^+)
 20 m/z Calcd for $C_{22}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 415.0975, Found: 415.0974; (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-3-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (25.8 mg): HRMS (ES^+)
 m/z Calcd for $C_{22}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 415.0975, Found: 415.0974; (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-5-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (14.1 mg): HRMS (ES^+)
 25 m/z Calcd for $C_{22}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 415.0975, Found: 415.0975; and (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-3-cyclopropyl-1,1',2,2',3,5,6,7-

octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (15.2 mg): HRMS (ES⁺)
m/z Calcd for C₂₂H₂₀Cl₂N₂O₂ + H [(M+H)⁺]: 415.0975, Found: 415.0975.

Example 14

- 5 Preparation of (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3R,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.



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M. W. 417.3

C₂₂H₂₂Cl₂N₂O₂

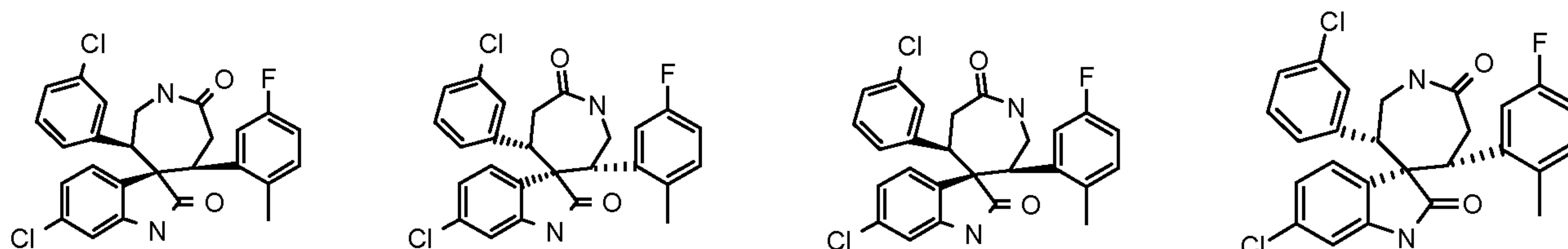
- In a manner similar to the method described in **example 2(method B)**, rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(1-methylethyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (402.3 mg, 1.00 mmole) was reacted with
20 NH₂OH-HCl (347.5 mg, 5.00 mmol), NaOH (200.0 mg, 5.00 mmole) in EtOH-water (3/2, 15 mL) at refluxing for 3 hrs, followed by reacting with p-toluenesulfonyl chloride (762.8 mg, 4.00 mmol) in dichloromethane (20 mL) at room temperature for 2 hrs, and heating under microwave irradiation at 100 °C
25 for 20 min. After diluted with AcOEt the mixture was washed with water, dried over Na₂SO₄ and concentrated. The residue was purified by chiral column

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chromatography to give (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (18.9 mg): HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 417.1131. Found: 417.1132; (3R,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (18.2 mg): HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 417.1131. Found: 417.1132; (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (17.6 mg): HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 417.1131. Found: 417.1132; and (3S,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (15.1 mg): HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₂ + H [(M+H)⁺]: 417.1131, Found: 417.1132.

Example 15

Preparation of (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-5-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-3-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-5-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-3-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.



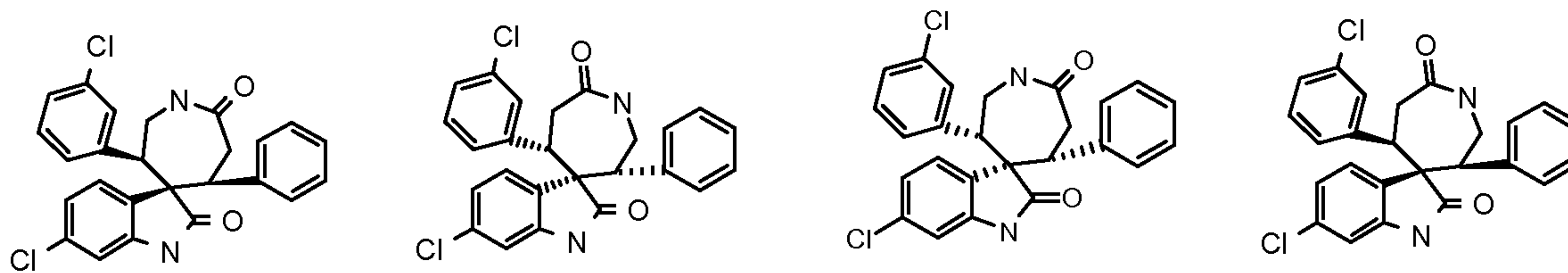
M. W. 483.4

C₂₆H₂₁Cl₂FN₂O₂

In a manner similar to the method described in **example 2(method B)**, rac-(1S,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(5-fluoro-2-methylphenyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (130.0 mg, 0.28 mmole) was reacted with NH₂OH-HCl (97.3 mg, 1.40 mmol), NaOH (56.0 mg, 1.40 mmole) in EtOH-water (3/2, 5 mL) at refluxing for 3 hrs, followed by reacting with p-toluenesulfonyl chloride (114.4 mg, 0.60 mmol) in dichloromethane (10 mL) at room temperature for 2 hrs, and heating under microwave irradiation at 100 °C for 20 min. After diluted with AcOEt the mixture was washed with water, dried over Na₂SO₄ and concentrated. The residue was purified by chiral column chromatography to give (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-5-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (15.9 mg): HRMS (ES⁺) m/z Calcd for C₂₆H₂₁Cl₂FN₂O₂ + H [(M+H)⁺]: 483.1037, Found: 483.1036; (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-3-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (11.3 mg): HRMS (ES⁺) m/z Calcd for C₂₆H₂₁Cl₂FN₂O₂ + H [(M+H)⁺]: 483.1037, Found: 483.1037; (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-3-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (8.3 mg): HRMS (ES⁺) m/z Calcd for C₂₆H₂₁Cl₂FN₂O₂ + H [(M+H)⁺]: 483.1037, Found: 483.1036; (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-5-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (12.5 mg): HRMS (ES⁺) m/z Calcd for C₂₆H₂₁Cl₂FN₂O₂ + H [(M+H)⁺]: 483.1037, Found: 483.1038.

Example 16

Preparation of (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-phenyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.



M. W. 451.4

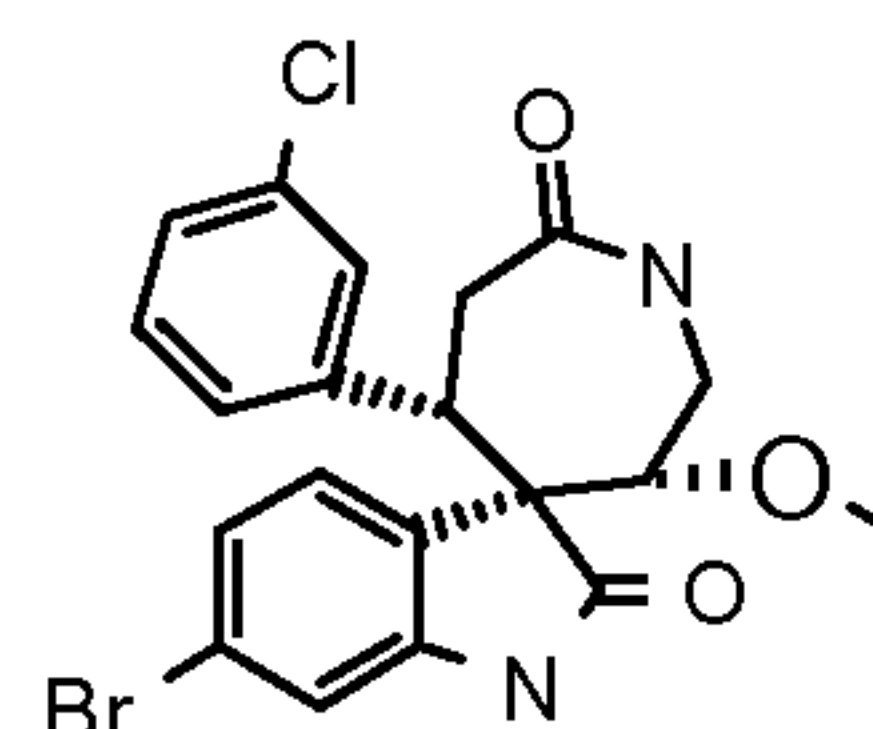
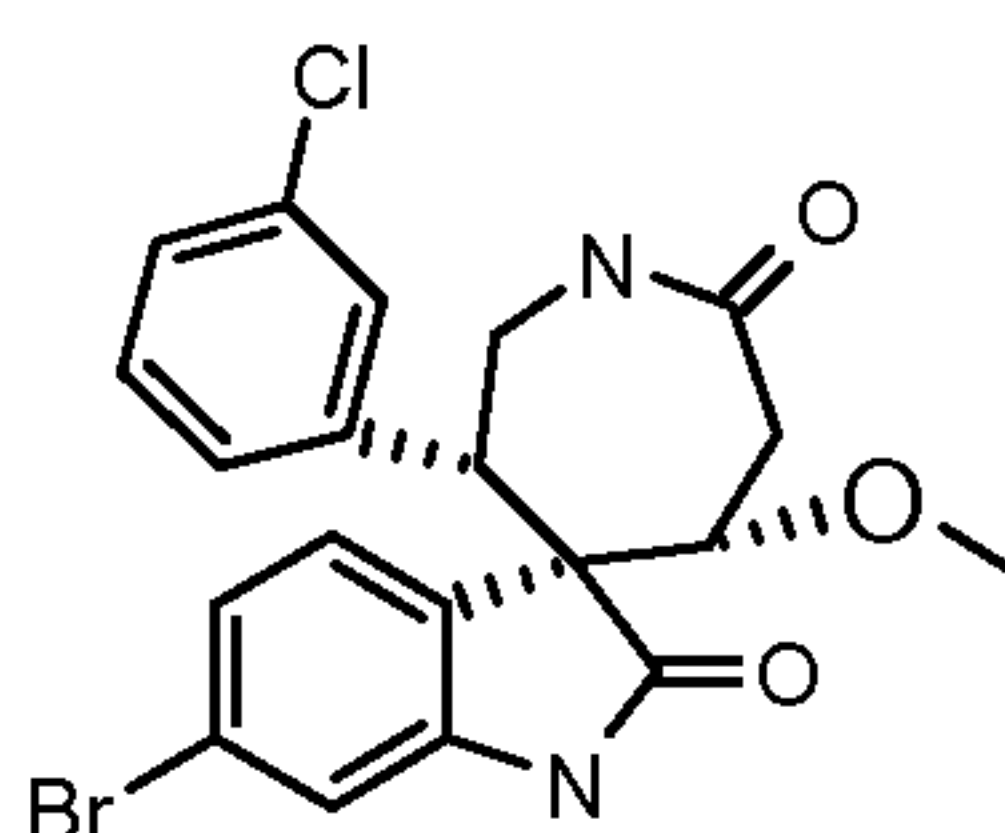
 $C_{25}H_{20}Cl_2N_2O_2$

- 5 In a manner similar to the method described in **example 2(method B)**, rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-phenylspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (150.0 mg, 0.34 mmole) was reacted with $NH_2OH \cdot HCl$ (119.5 mg, 1.72 mmol), $NaOH$ (68.8 mg, 1.72 mmole) in EtOH-water (3/2, 10 mL) at refluxing for 2 hrs, followed by reacting with p-toluenesulfonyl chloride
- 10 (133.1 mg, 0.70 mmol) in dichloromethane (10 mL) at room temperature for 2 hrs, and heating under microwave irradiation at 90 °C for 20 min. After diluted with AcOEt the mixture was washed with water, dried over Na_2SO_4 and concentrated. The residue was purified by chiral column chromatography to give
- 15 (3R,4S,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-phenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (19.4 mg): HRMS (ES^+) m/z Calcd for $C_{25}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 451.0975, Found: 451.0974; (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (20.1 mg): HRMS (ES^+) m/z Calcd for $C_{25}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 451.0975, Found: 451.0974; (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (20.5 mg): HRMS (ES^+) m/z Calcd for $C_{25}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 451.0975, Found: 451.0974; (3S,4R,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (21.6 mg): HRMS (ES^+) m/z Calcd for $C_{25}H_{20}Cl_2N_2O_2 + H [(M+H)^+]$: 451.0975, Found: 451.0974.
- 25 Found: 451.0974.

Example 17

Preparation of rac-(3S,4R,5S)-6'-bromo-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, rac-(3R,4R,5S)-6'-bromo-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

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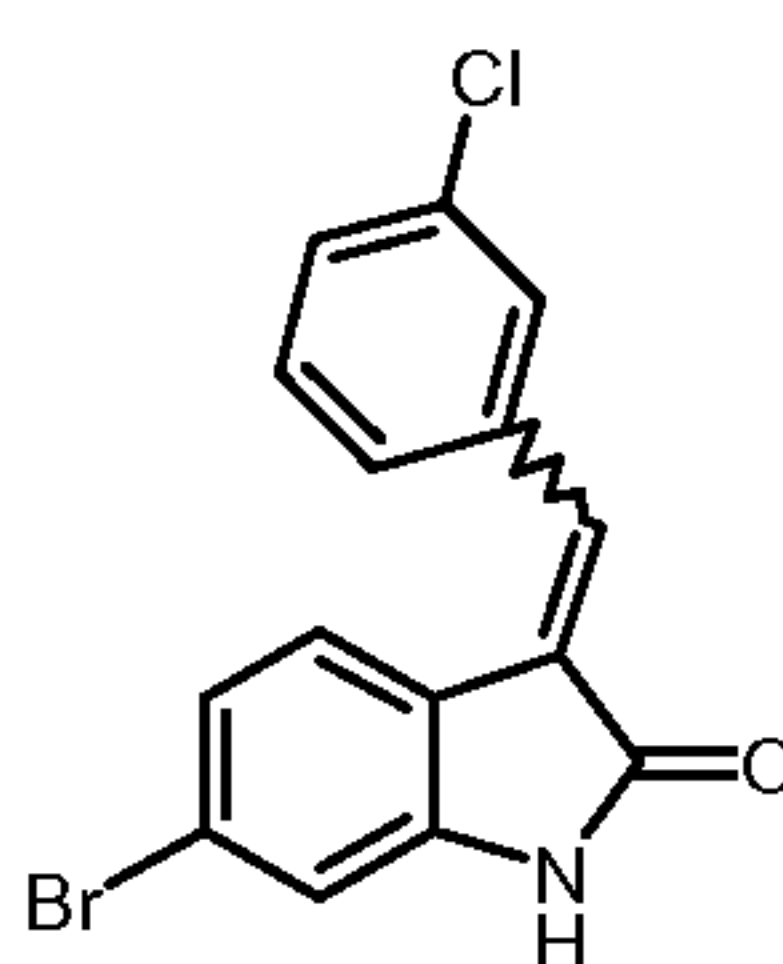


M. W. 449.7

 $C_{20}H_{18}BrClN_2O_3$

10 Step 1

Preparation of intermediate E/Z-6-bromo-3-(3-chloro-benzylidene)-1,3-dihydro-indol-2-one



M. W. 334.60

 $C_{15}H_9BrClNO$

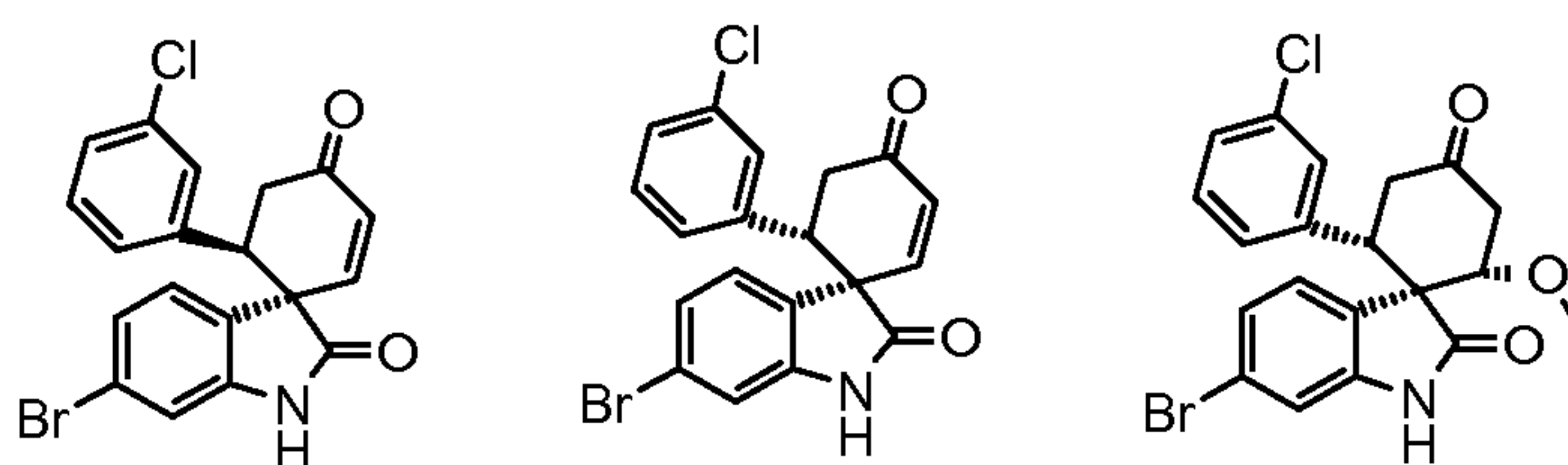
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To the mixture of 6-bromooxindole (5 g, 23.6 mmol) (Combi-blocks) and 3-chloro-benzaldehyde (3.3 g, 23.6 mmol) (Aldrich) in methanol (50 mL) was added pyrrolidine (1.7 g, 23.6 mmol) (Aldrich) dropwise. The mixture was then heated at 90 °C for 4 h. After cooled to 0 °C, the mixture was filtered and
20 resulting precipitate was collected, dried to give a mixture of E/Z-6-bromo-3-(3-

chloro-benzylidene)-1,3-dihydro-indol-2-one as a bright yellow solid (Yield 5 g, 63 %).

Step 2

- 5 Preparation of Rac-(1R,2R)-6'-bromo-2-(3-chlorophenyl)spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione, Rac-(1R,2S)-6'-bromo-2-(3-chlorophenyl)spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione, and Rac-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxyspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione.



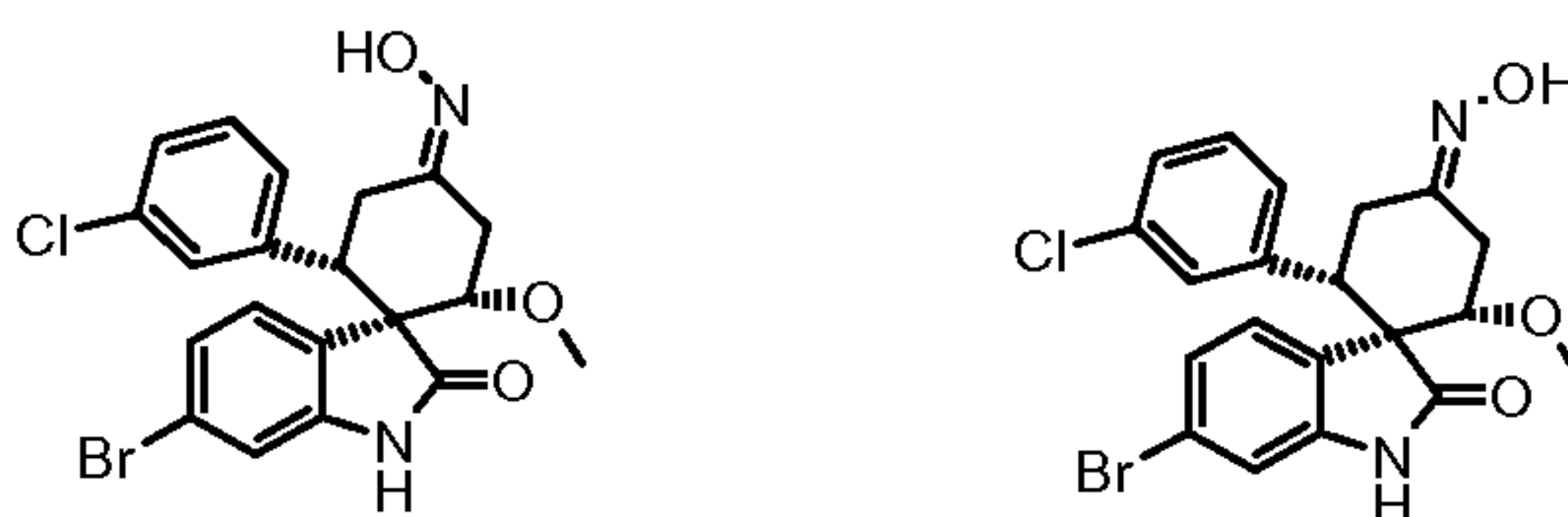
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To a suspension of E/Z-6-bromo-3-(3-chloro-benzylidene)-1,3-dihydro-indol-2-one (4.3 g, 12.9 mmol) in toluene (50 mL) in a sealed tube was added (3-methoxy-1-methylene-allyloxy)-trimethyl-silane (5 g, 29 mmol). The reaction mixture was allowed to stir at 150 °C for 24 h. The solvent was removed by concentration. The residue was dissolved in MeOH (80 mL) and treated with 1 N NaOH (20 mL) at room temperature for 2 h. The reaction mixture was then diluted with AcOEt and washed with water and brine. After concentration the residue was purified by flash column (11% -25% AcOEt in Hex) to give rac-(1R, 2R)-6'-bromo-2-(3-chlorophenyl)-spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione (0.4 g, 7.7 %) as a white solid . HRMS (ES⁺) *m/z* Calcd for C₁₉H₁₃BrClNO₂ + H [(M+H)⁺]: 401.9891. Found: 401.9891; rac-(1R,2S)-6'-bromo-2-(3-chlorophenyl)spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione (1.2 g, 23%) as a white solid . HRMS (ES⁺) *m/z* Calcd for C₁₉H₁₃BrClNO₂ + H [(M+H)⁺]: 401.9891. Found: 401.9891; and rac-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxyspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (2.1 g, 39.8 %) as a off white solid . HRMS (ES⁺) *m/z* Calcd for C₂₀H₁₇BrClNO₃ + H [(M+H)⁺]: 434.0153, Found: 434.0153.

Step 3

Preparation of Rac-E-(1S,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime and Rac-Z-

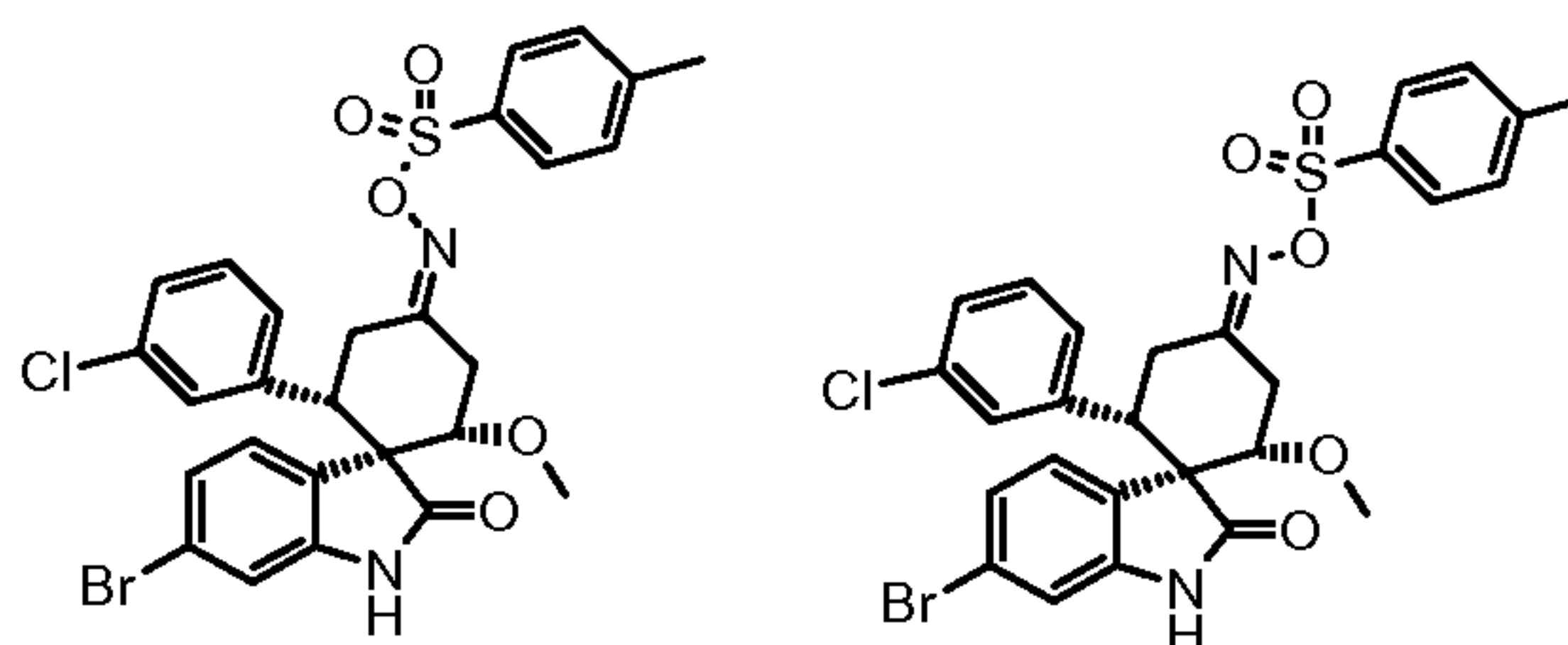
- 5 (1S,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime



- 10 To the suspension of rac-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxyspiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (1.69 g, 3.89 mmol) and NH₄OH-HCl (0.27 g, 3.89 mmol) in EtOH (40 mL) was added a queous NaOH solution (1 N, 4 mL, 4 mmol). The reaction mixture was heated at reflux for 1 h. TLC analysis indicated the formation of desired product and complete
- 15 consumption of starting material. The reaction was cooled to room temperature, and water was added. The mixture was extracted with ethyl acetate. The organic layer was separated, dried over MgSO₄, and concentrated. The residue was purified by chromatography (EtOAc:hexanes=1;3, 1:2, then 1:1) to give the less polar product rac-E-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy
- 20 spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime as a white solid (0.8 g, 46%): HRMS (ES⁺) m/z Calcd for C₂₂H₁₈BrClN₂O₃ + H [(M+H)⁺]: 449.0262, Found: 449.0260; rac-Z-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime as a white solid (0.8 g, 46%): HRMS (ES⁺) m/z Calcd for C₂₂H₁₈BrClN₂O₃ + H [(M+H)⁺]: 449.0262,
- 25 Found: 449.0261.

Step 4

Preparation of Rac-E-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxyl-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-p-toulenesulfonyl)-oxime and Rac-Z-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxyl-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-p-toulenesulfonyl)-oxime



5

To the solution of rac-E-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime (0.7 g, 1.56 mmol) and DMAP (0.19 g, 1.56 mmol) in dichloromethane (35 mL) was added a solution of p-toluenesulfonyl chloride (0.3 g, 1.56 mmol) in dichloromethane (20 mL) at room temperature. The reaction mixture was stirred at room temperature for 1 h. The mixture was then concentrated and the residue was purified by chromatography (20% -40 % AcOEt in hexanes) to give Rac-E-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-p-toulenesulfonyl)-oxime as a white solid (0.72 g, 77%):

HRMS (ES⁺) m/z Calcd for C₂₇H₂₄BrClSN₂O₅ + H [(M+H)⁺]: 603.0351, Found: 603.0352.

15

To the solution of rac-Z-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime (0.67 g, 1.49 mmol) and DMAP (0.18 g, 1.49 mmol) in dichloromethane (30 mL) was added a solution of p-toluenesulfonyl chloride (0.28 g, 1.49 mmol) in dichloromethane (20 mL) at room temperature. The reaction mixture was stirred at room temperature for 1 h. The mixture was then concentrated and the residue was purified by chromatography (20% -40 % AcOEt in hexanes) to give Rac-E-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-p-toulenesulfonyl)-oxime as a white solid (0.7 g, 78%):

HRMS (ES⁺) m/z Calcd for C₂₇H₂₄BrClSN₂O₅ + H [(M+H)⁺]: 603.0351, Found: 603.0351.

25

Step 5

Preparation of Rac-(3'S, 3S, 5'S)-6-bromo-3'-(3-chlorophenyl)-5'-methoxy
 spiro[3H-indole-3, 4'-azopane]-2,7'(1'H)-dione and Rac-(3'R, 3S, 5'S)-6-bromo-
 5'-(3-chlorophenyl)-3'-methoxy spiro[3H-indole-3, 4'-azopane]-2,7'(1'H)-dione

Rac-Z-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy spiro[cyclohexane-
 1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toulenesulfonyl)-oxime (0.6 g, 1 mmol)
 prepared in **step 4** was dissolved in THF/H₂O (10/5 mL) and heated in a sealed
 tube under microwave irradiation at 100 °C for 30 min. After diluted with AcOEt
 the mixture was washed with water, dried over Na₂SO₄ and concentrated. The
 residue was purified by chromatography (5% MeOH in EtOAc) to give rac-(3'S,
 3S, 5'S)-6-bromo-3'-(3-chlorophenyl)-5'-methoxy spiro[3H-indole-3, 4'-azopane]-
 2,7'(1'H)-dione as a white solid (0.25 g, 56%): HRMS (ES⁺) m/z Calcd for
 C₂₀H₁₆BrClN₂O₃ + H [(M+H)⁺]: 449.0262, Found: 449.0261.

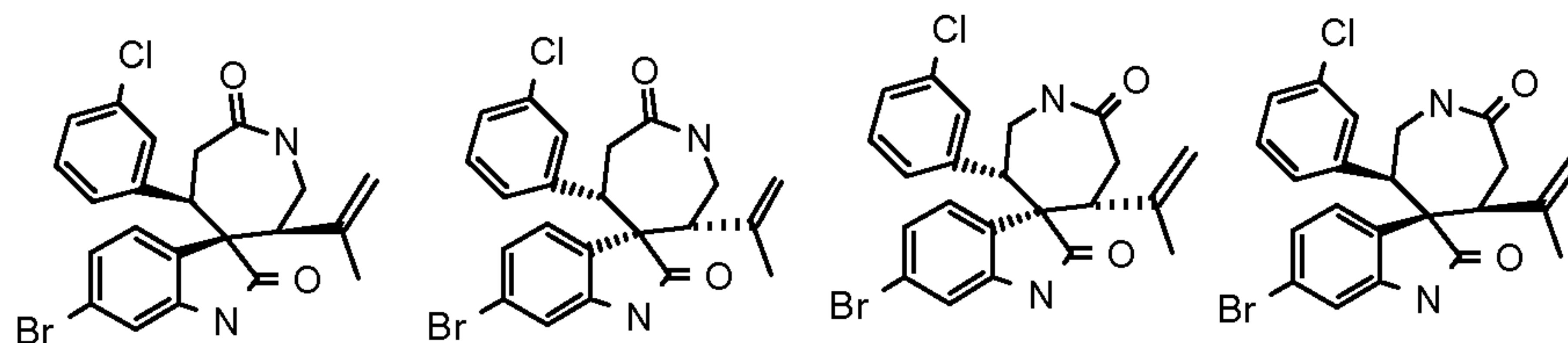
Rac-E-(1R,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-methoxy spiro[cyclohexane-
 1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toulenesulfonyl)-oxime (0.4 g, 0.66 mmol)
 prepared in **step 4** was dissolved in THF/H₂O (10/5 mL) and heated in a sealed
 tube under microwave irradiation at 100 °C for 30 min. After diluted with AcOEt
 the mixture was washed with water, dried over Na₂SO₄ and concentrated. The
 residue was purified by chromatography (5% MeOH in EtOAc) to give rac-(3'R,
 3S, 5'S)-6-bromo-5'-(3-chlorophenyl)-3'-methoxy spiro[3H-indole-3, 4'-azopane]-
 2,7'(1'H)-dione as a white solid (0.15 g, 51%): HRMS (ES⁺) m/z Calcd for
 C₂₀H₁₆BrClN₂O₃ + H [(M+H)⁺]: 449.0262, Found: 449.0262.

Example 18

Preparation of (3S,4R,5R)-6'-bromo-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-
 octahydro -3-(1-methylethenyl)-spiro[4H-azepine-4,3'-[3H]indole]-2',7-dione,
 (3R,4S,5S)-6'-bromo-5-(3-chlorophenyl))-1,1',2,2',3,5,6,7-octahydro-3-(1-

methylethenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5R)-6'-bromo-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenylspiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and (3R,4S,5S)-6'-bromo-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenyl)-

5 spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione



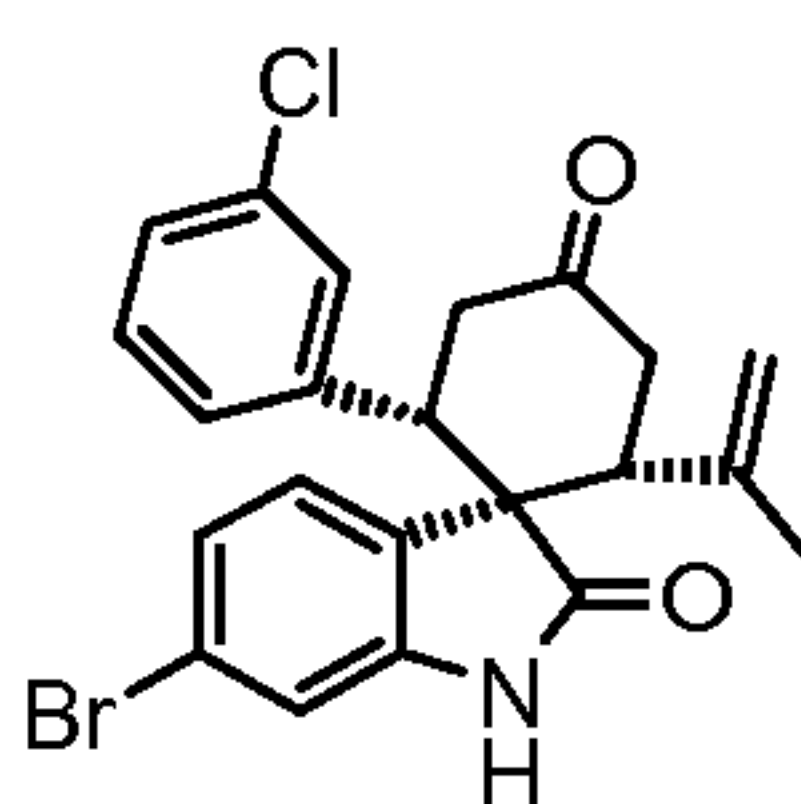
M. W. 459.8

C₂₂H₂₀BrClN₂O₂

10

Step 1

Preparation of Rac-(1S,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-(1-methylethenyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione



15

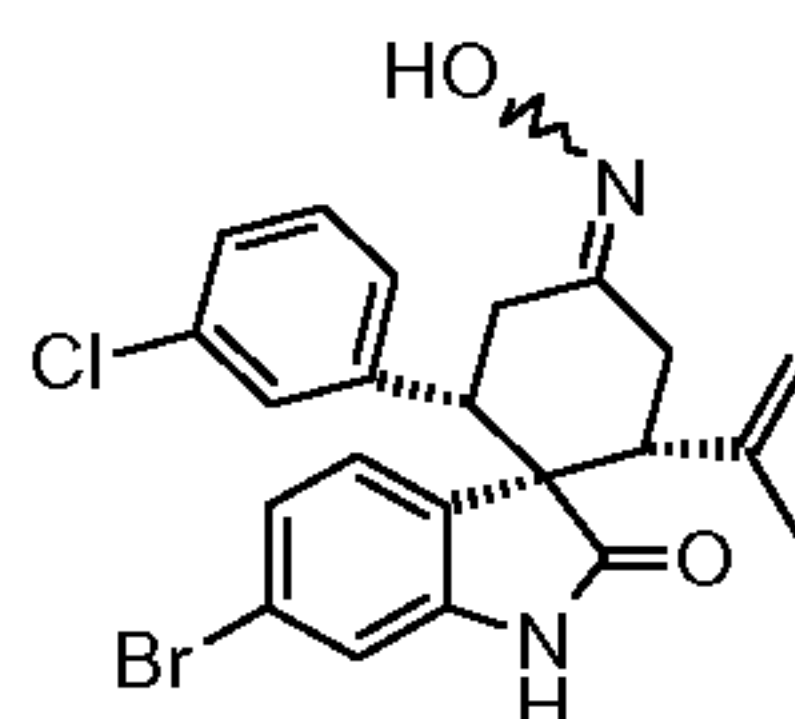
In a flask equipped with septum and stirring bar, a mixture of CuCl (0.11 g, 1.1 mmol) and Ph₃P (0.41 g, 1.1 mmol) was suspended in THF (20 mL). After stirring under argon at rt for 30 min, a THF solution (20 mL) of rac-(1R, 2S)-6'-bromo-2-(3-chlorophenyl)-spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione (0.45 g, 1.1 mmol) prepared in **Step 2 of Example 17** was added in one portion. After additional stirring for 10 min, isopropenylmagnesium bromide (0.5 M in ether, 11 mL, 5.6 mmol) was added dropwise to the resulting mixture during a period of 5

20

min at 0 °C. After stirring under argon at 0 °C to -10 °C for 1 h, the reaction mixture was allowed to slowly warm to room temperature and stirred for 2 h. Sat.NH₄Cl was then added to the reaction mixture. The organic phase was separated. TLC and LC-MS analysis indicated the formation of the desired product and almost complete consumption of starting material. The mixture was then separated by flash column to give rac-(1S, 2S, 6R)-6'-bromo-2-(3-chlorophenyl)-6-(1-methylethenyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (0.24 g, 49%) as a white solid: HRMS (ES⁺) m/z Calcd for C₂₂H₁₉BrClNO₂ + H [(M+H)⁺]: 444.0361, Found: 444.0361.

Step 2

Preparation of Rac-E/Z-(1S,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-(1-methylethenyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime

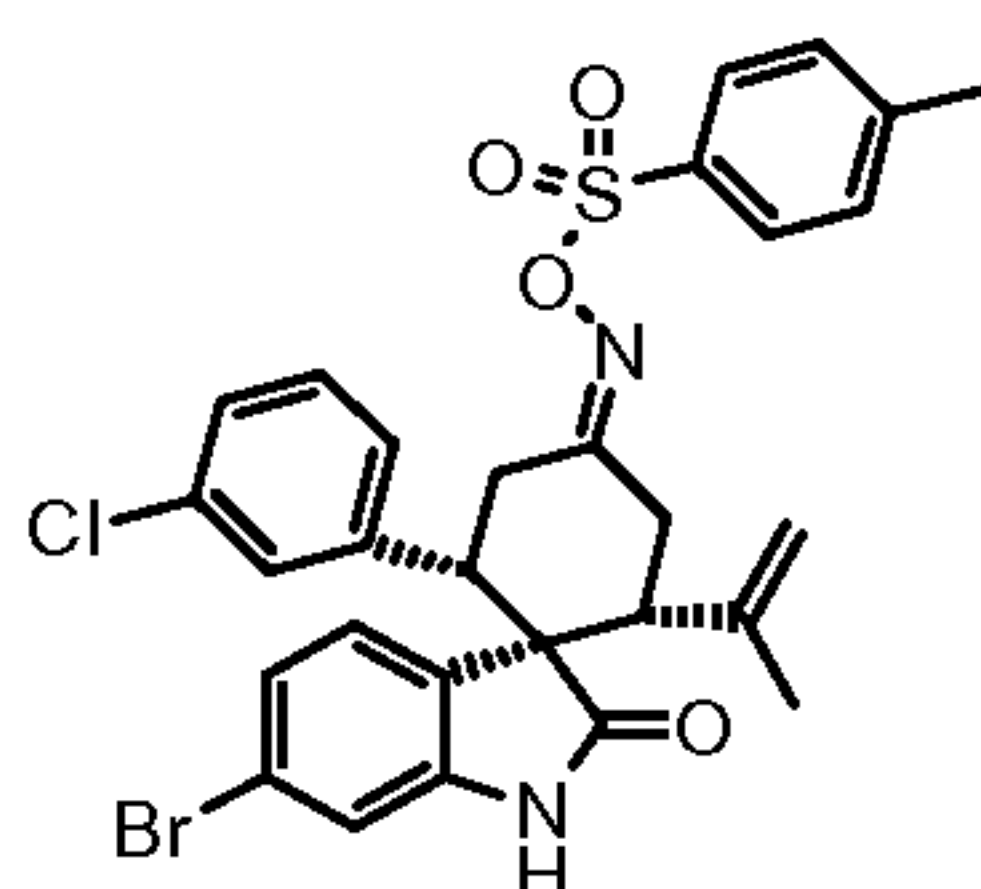


The suspension of rac-(1S, 2S, 6R)-6'-bromo-2-(3-chlorophenyl)-6-isopropenyl spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (0.23 g, 0.52 mmol) and NH₄OH-HCl (0.1 g, 0.52 mmol) in EtOH-water (3/2, 20 mL) was allowed to reflux for 1 h. TLC analysis indicated the formation of desired product and complete consumption of starting material. The reaction was cooled to room temperature, and water was added. The mixture was extracted with ethyl acetate. The organic layer was separated, dried over MgSO₄, and concentrated. The residue was purified by chromatography (EtOAc:hexanes=1:2, then 1:1) to give the title

compound as a white solid (0.18 g, 75%): HRMS (ES^+) m/z Calcd for $C_{22}H_{20}BrClN_2O_2 + H [(M+H)^+]$: 459.0470, Found: 459.0470.

Step 3

- 5 Preparation of Rac-E/Z-(1S,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-iso-propenyl spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toluenesulfonyl)-oxime



- To the solution of rac-E/Z-(1S,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-iso-propenyl
 10 spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime (0.18 g, 0.39 mmol)
 and DMAP (0.1 g, 0.78 mmol) in dichloromethane (20 mL) was added a solution
 of *p*-toluenesulfonyl chloride (74 mg, 0.39 mmol) in dichloromethane (10 mL) at
 room temperature. The reaction mixture was stirred at room temperature for 1 h.
 The reaction was then concentrated and the residue was purified by
 15 chromatography (20% -40 % AcOEt in hexanes) to give Rac-E/Z-(1S,2S,6S)-6'-
 bromo-2-(3-chlorophenyl)-6-iso-propenyl spiro[cyclohexane-1,3'-[3H]indole]-
 2',4(1'H)-dione-4-(O-*p*-toluenesulfonyl)-oxime as a white solid (0.12 g, 50%):
 HRMS (ES^+) m/z Calcd for $C_{29}H_{26}BrClSN_2O_4 + H [(M+H)^+]$: 613.0558, Found:
 613.0558.

20

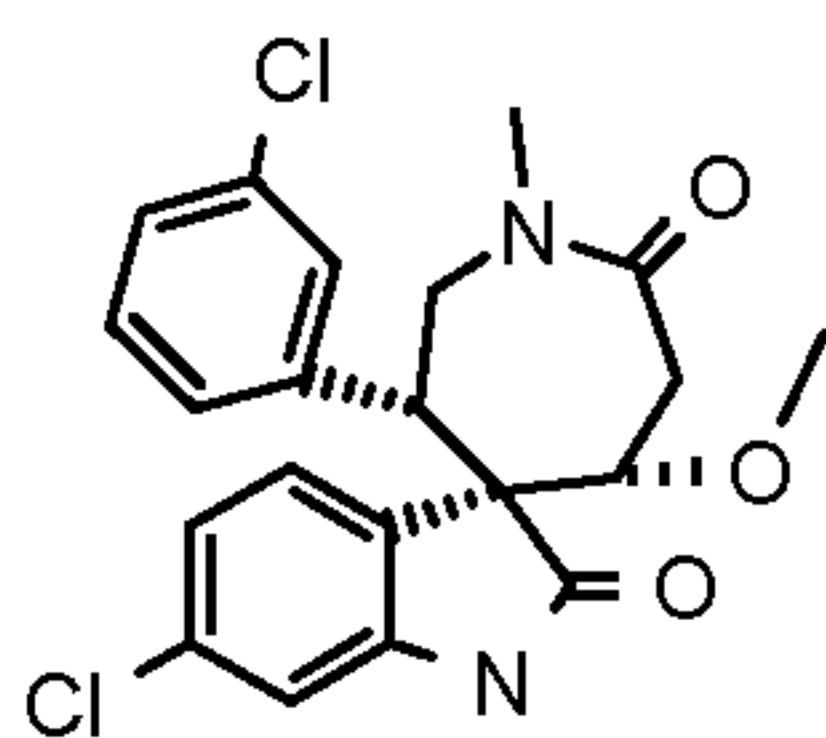
Step 4

- Rac-E/Z-(1S,2S,6S)-6'-bromo-2-(3-chlorophenyl)-6-iso-propenyl
 spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toluenesulfonyl-oxime)
 (0.1 g, 0.16 mmol) was dissolved in THF/H₂O (10/5 mL) and heated in a sealed
 25 tube under microwave irradiation at 100 °C for 25 min. After diluted with AcOEt
 the mixture was washed with water, dried over Na₂SO₄ and concentrated. The

residue was purified by chromatography (EtOAc) to give the mixture of rac-(3'S, 3R, 5'R)-6-bromo-3'-(3-chlorophenyl)-5'-iso-propenyl spiro[3H-indole-3, 4'-azopane]-2,7'(1'H)-dione and rac-(3'R, 3R, 5'S)-6-bromo-5'-(3-chlorophenyl)-3'-iso-propenyl spiro[3H-indole-3, 4'-azopane]-2,7'(1'H)-dione as a white solid (81 mg). The mixture was further separated by chiral SFC to give (3S,4R,5R)-6'-bromo-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro -3-(1-methylethenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (HRMS (ES⁺) m/z Calcd for C₂₂H₂₀BrClN₂O₂ + H [(M+H)⁺]: 459.0470, Found: 459.0470; (3R,4S,5S)-6'-bromo-5-(3-chlorophenyl) -1,1',2,2',3,5,6,7-octahydro-3-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione: HRMS (ES⁺) m/z Calcd for C₂₂H₂₀BrClN₂O₂ + H [(M+H)⁺]: 459.0470, Found: 459.0468; (3S,4R,5R)-6'-bromo-3-(3-chlorophenyl) -1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione HRMS (ES⁺) m/z Calcd for C₂₂H₂₀BrClN₂O₂ + H [(M+H)⁺]: 459.0470, Found: 459.0470; and (3R,4S,5S)-6'-bromo-3-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione HRMS (ES⁺) m/z Calcd for C₂₂H₂₀BrClN₂O₂ + H [(M+H)⁺]: 459.0470, Found: 459.0468.

Example 19

Preparation of rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-5-methoxy-1-methyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (Scheme 3)



Step 1

To a solution of rac-(1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-methoxy-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (500 mg, 1.28 mmole) was added NaH (60%, 52 mg, 1.28 mmole) at room temperature. After stirring for 30 min, dry THF (3 mL) was added and the reaction mixture was stirred for another 20 min. The reaction mixture was then cooled to 0°C, and a solution of 2-trimethylsilyl)ethoxymethyl chloride (213.0 mg, 1.28 mmole) in dry THF (2 mL) was added. After stirring at the same temperature for 30 min, the reaction mixture was quenched with sat NH₄Cl, and extracted with ethyl acetate. The organic layer was separated, dried over MgSO₄, and concentrated. The residue was purified by chromatography to give rac-(1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-methoxy-1'-(2-trimethylsilyl)ethoxymethyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (370.0 mg, 57.0%).

Step 2

A suspension of rac-(1R,2S,6S)-6'-chloro-2-(3-chlorophenyl)-6-methoxy-1'-(2-trimethylsilyl)ethoxymethyl)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (360.0 mg, 0.69 mmol), NaOH (55.2 mg, 1.38 mmole) and NH₂OH-HCl (95.9 mg, 1.38 mmol) in EtOH-water (3/2, 15 mL) was allowed to reflux for 1.5 hrs. The reaction was cooled to room temperature, and water was added. The mixture was extracted with ethyl acetate. The organic layer was separated, dried over MgSO₄, and concentrated. The residue was purified by chromatography to give the corresponding oxime which was used in the next step without further purification.

25

Step 3

To the solution of rac-E/Z- spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-oxime obtained above and DMAP (127.1 mg, 1.04 mmol) in dichloromethane (15 mL) was added a solution of p-toluenesulfonyl chloride (197.4 mg, 1.04 mmol) in dichloromethane (1 mL) at room temperature. The reaction mixture was stirred at

30

room temperature for 1 h. The reaction was then concentrated and the residue was purified by column chromatography to give the corresponding E isomers of the spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-p-toulenesulfonyl)-oximes (110.5 mg, 22.7% in 2 steps) which was used in the next step without
5 further purification.

Step 4

Rac- E-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-p-toulenesulfonyl)-oxime (110.0 mg, 0.16 mmol) prepared in **step 3** was dissolved
10 in THF/H₂O (5/5 mL) and heated in a sealed tube under microwave irradiation at 90 °C for 20 min. After diluted with AcOEt the mixture was washed with water, dried over Na₂SO₄ and concentrated. The residue was purified by column chromatography to give rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-1'-(2-trimethylsilanyl-ethoxymethyl)-
15 spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (58.3 mg, 69.7%)

Step 5

Rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)- 1,1',2,2',3,5,6,7-octahydro-5-methoxy-1'-(2-trimethylsilanyl-ethoxymethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-
20 2',7-dione (54 mg, 1.0 mmole) was dissolved in DMF (2 mL) and NaH (60%, 8.0 mg, 0.2 mmole) was added at 0 °C. After stirring at the same temperature for 10 min, MeI (71.0 mg, 0.5 mmole) was added by injection. The reaction mixture was allowed to stir at 0 °C to rt for 2 hrs. After diluted with AcOEt the mixture was washed with water, dried over Na₂SO₄ and concentrated. The residue was used
25 in the next step without purification.

Step 6

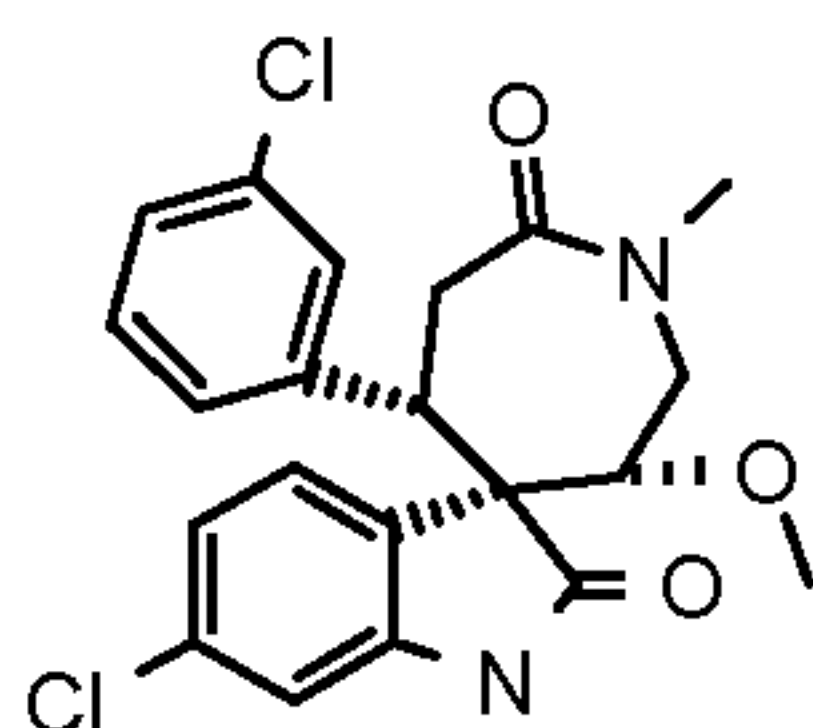
The residue obtained above was dissolved in THF (10 mL) and TBAF (1.0 M, 0.4 mL) was added at rt. The reaction mixture was heated under refluxing for 16 hrs.
30 After diluted with AcOEt the mixture was washed with water, dried over Na₂SO₄

and concentrated. The residue was purified by column chromatography to give rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-1-methyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione HRMS (ES⁺) m/z Calcd for C₂₁H₂₀Cl₂N₂O₃ + H [(M+H)⁺]: 419.0924. Found: 419.0925.

5

Example 20

Preparation of rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-1-methyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione



10

M. W. 419.3

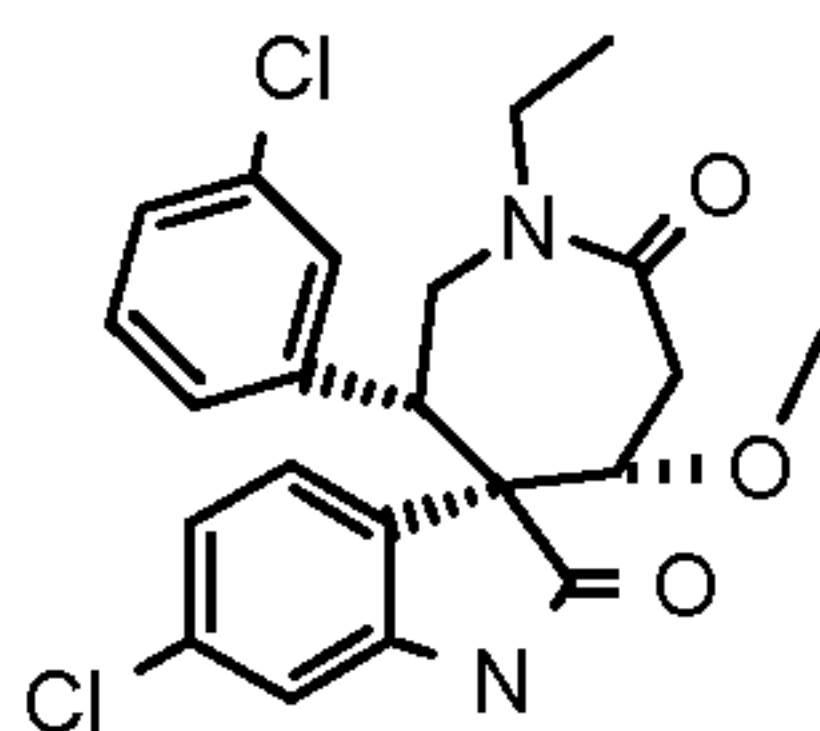
C₂₁H₂₀Cl₂N₂O₃

In a manner similar to the method described in **example 18, step 4-6** the rac- Z spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toulenesulfonyl)-oxime (150.0 mg, 0.21 mmol) prepared in **step 3** above was heated in a sealed tube under microwave irradiation at 90 °C for 20 min, followed by methylation, deprotection and purified by column chromatography to give rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-1-methyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (48.0 mg): HRMS (ES⁺) m/z Calcd for C₂₁H₂₀Cl₂N₂O₃ + H [(M+H)⁺]: 419.0924. Found: 419.0923.

20

Example 21

Preparation of rac-(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1-ethyl-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione



5

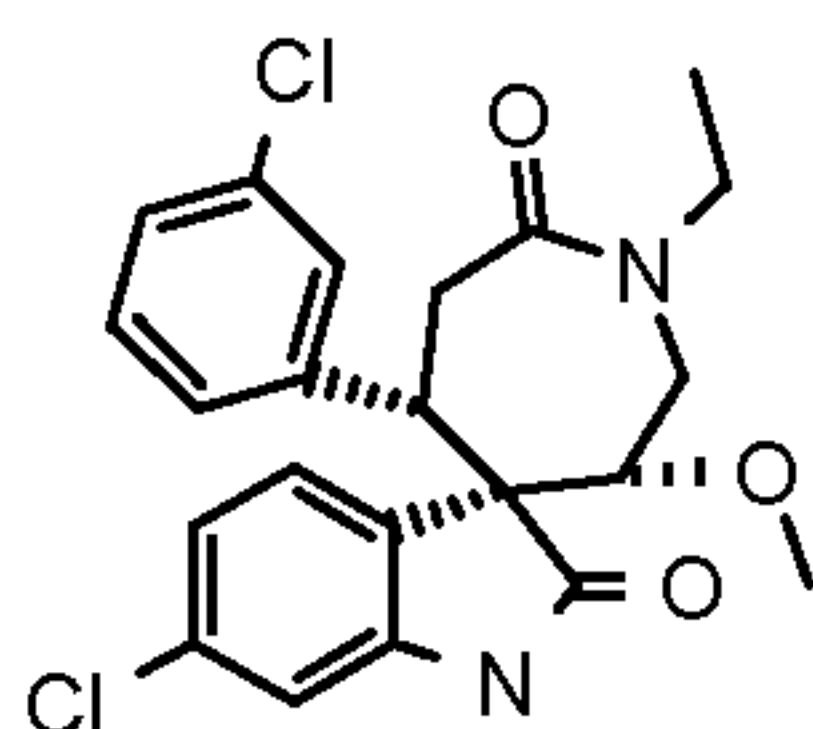
M. W. 433.3

 $C_{22}H_{22}Cl_2N_2O_3$

In a manner similar to the method described in **example 18, step 4-6** the rac- E spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toulenesulfonyl)-oxime
 10 (100.0 mg, 0.14 mmol) prepared in **step 3** above was heated in a sealed tube under microwave irradiation at 90 °C for 20 min, followed by ethylation, deprotection (50% TFA/CH₂Cl₂) and purified by column chromatography to give rac-(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1-ethyl-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (26.0 mg, 60% in 3 steps):
 15 HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₃ + H [(M+H)⁺]: 433.1080. Found: 433.1081.

Example 22

Preparation of rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1-ethyl-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione
 20



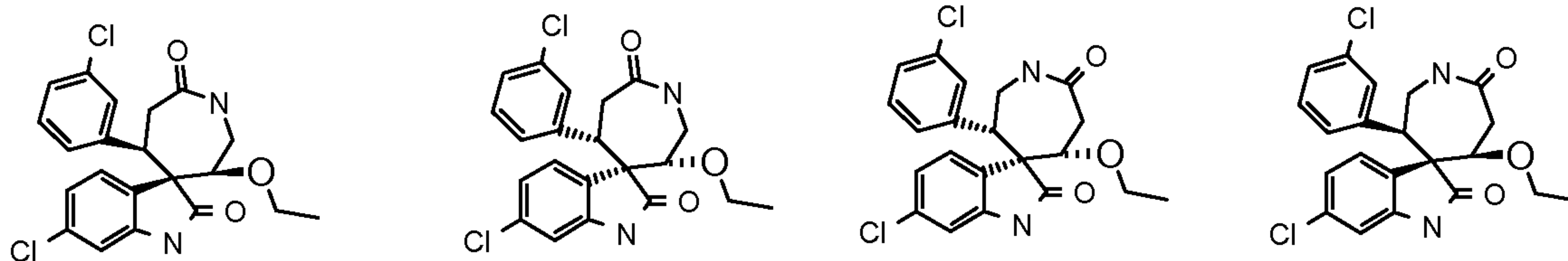
M. W. 433.3

 $C_{22}H_{22}Cl_2N_2O_3$

In a manner similar to the method described in **example 18, step 4-6**, the rac-Z spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione-4-(O-*p*-toulenesulfonyl)-oxime
 5 (225 mg, 0.32 mmol) prepared in **step 3** above was heated in a sealed tube under microwave irradiation at 90 °C for 20 min, followed by ethylation, deprotection (50% TFA/CH₂Cl₂) and purified by column chromatography to give rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1-ethyl-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (36.0 mg, 42% in 3 steps):
 10 HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₃ + H [(M+H)⁺]: 433.1080. Found: 433.1080.

Example 23

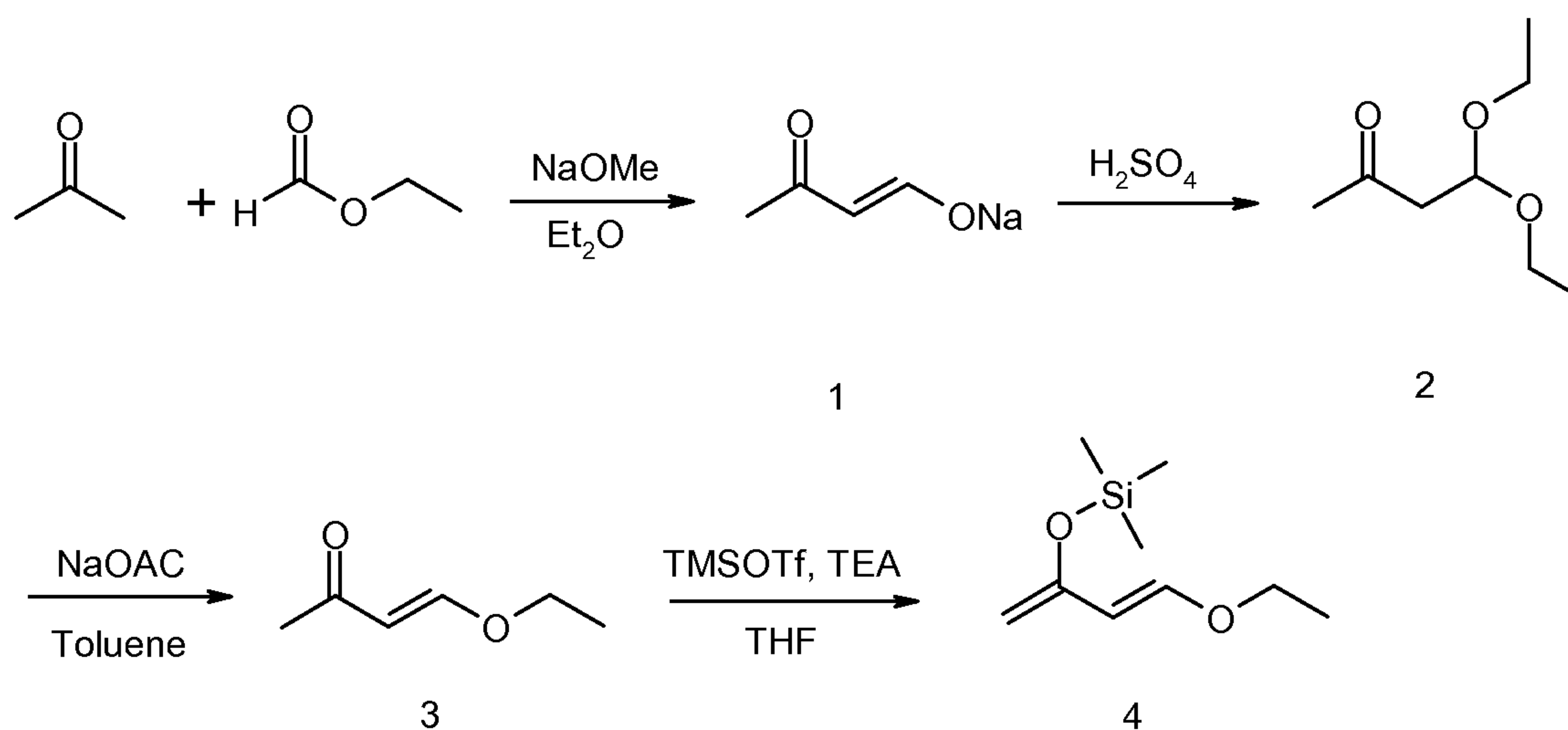
Preparation of (3S,4S,5R)-6'-chloro-5-(3-chlorophenyl)-3-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-3-ethoxyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-5-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and
 15 (3R,4S,5R)-6'-chloro-3-(3-chlorophenyl)-5-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione
 20



M. W. 419.3

 $C_{21}H_{20}Cl_2N_2O_3$

Preparation of (3-ethoxy-1-methylene-allyloxy)-trimethyl-silane



5 Step 1 and Step 2

In a three necked flask fitted with a Hershberg stirrer sealed by a lubricated rubber sleeve, a dropping funnel, and a reflux condenser attached to a calcium chloride drying tube placed 46.5 g of sodium methoxide (0.86 mmole, 1.0 eq) and 1 L of ether. The flask is cooled in an ice bath, and a mixture of 46.2 g of acetone (0.8 mmole, 1 eq) and 59.2 g of ethyl formate (0.8 mmole, 1 eq) is added through the dropping funnel at a rate of about 2 drops per second with stirred during a period of about 1 hour. Stirring is continued 15 minutes longer with the ice bath in place and then 1 hour after it is removed. After the product 1 was formed checked by NMR, ether was removed. Then the solid was dissolved in 1 L of EtOH, which was cooled in an ice bath, and 40 ml of H₂SO₄ in 500 ml of EtOH was added though the dropping funnel with stirring. After addition, the mixture was stirred at rt. overnight. Then neutralized with a EtOH Soln. of 3M KOH, and the salts were filtered off. The solvent was removed under normal pressure, then 33 g product 2. (Yield: 26%) was obtained under reduce pressure Bp: 60~80 °C/ <1 mbar. (Actually we get 43 g products which include 10 g of Ethanol! Checked by NMR)

Step 3

In a 100 mL flask, 24 g of 4,4-diethoxy-butan-2-one (150 mmol, 1 eq) (Actually including 5.5 g of Ethanol) was mixed with 25 ml of toluene. The mixture was heated to distill the solvent until the boiling point of distillate was higher than 107 °C. After cooling, the mixture was divided into 10 tubes. Every tube was added 1.3 g of sodium diacetate (15 mmol, 1 eq) and 2 g of acetone, and then the tubes were sealed and the mixture was heated at 180 °C by microwave for 1 hour. Checked by NMR, the reaction went smoothly, only about less than 10% starting material left. After filtration, most solvent was removed under normal pressure. Distillation of the residue under reduce pressure to give 7.9 g pure product 3 (Yield: 60%) by Bp: 60~80 °C/ <1 mbar. (Actually we get 15.8 g crude products which include 7.9 of Toluene! Checked by NMR)

Step 4

In a 250 mL three-necked flask under N₂ atmosphere, to a solution of 7.2 g of 4-ethoxy-but-3-en-2-one (63 mmol, 1 eq) and 12.7 g of TEA (126 mmol, 2 eq) in THF at -78 °C, was added 21 g of TMSOTf (95 mmol, 1.5 eq) dropwise in 40 mins. Stirring was continued for 60 mins at 0~-30 °C. After the conversion was complete (check by NMR), the reaction was quenched with 5% NaHCO₃, extract with Hexane and dried over Na₂SO₄. After remove the solvent the crude product was purified with redistillation (oil pump, <<1 mmbar: Bp, 40 °C, Toluene; Bp, 115 °C, product) to give 9.1 g pure product. Yield: 72.2%.

Preparation of rac-(1R, 2S, 6R)-6'-chloro-2-(3-chlorophenyl)-6-ethoxy-spiro[cyclohexane-1, 3'-[3H] indole]-2', 4(1'H)-dione

To a suspension of E/Z-6-chloro-3-(3-chloro-benzylidene)-1,3-dihydro-indol-2-one (1.45 g, 15.0 mmol) in toluene (20 mL) in a sealed tube was added (3-ethoxy-1-methylene-allyloxy)-trimethyl-silane (1.24 g, 7.5 mmol). The reaction mixture was allowed to stir at 140 °C for 16 hrs. The solvent was removed by

concentration. The residue was dissolved in MeOH (50 mL) and treated with 4 N NaOH (5 mL) at rt for 0.5 h. The reaction mixture was then diluted with AcOEt and washed with water and brine. After concentration the residue was purified by flash column (5% -30% AcOEt in Hex) to give a mixture (1.2 g) of rac-(1R,2R)-6'-chloro-2-(3-chlorophenyl)spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione and rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-ethoxy-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (0.46 g, 18.6 %) as white amorphous which was used for next steps without further purification. A small amount mixture was separated by normal phase HPLC gave rac-(1R, 2S, 6R)-6'-chloro-2-(3-chlorophenyl)-6-ethoxy-spiro [cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione. MS⁺:358 (M+1).

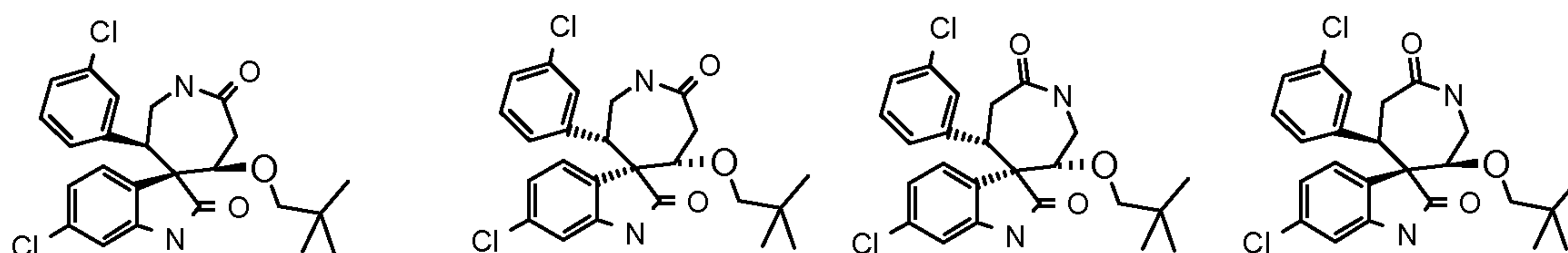
In a manner similar to the method described in **example 2(method B)**, rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-ethoxy-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (150.0 mg, 0.34 mmole) was reacted with NH₂OH-HCl (119.5 mg, 1.72 mmol), NaOH (68.8 mg, 1.72 mmole) in EtOH-water (3/2, 10 mL) at refluxing for 2 hrs, followed by reacting with p-toluenesulfonyl chloride (133.1 mg, 0.70 mmol) in dichloromethane (10 mL) at room temperature for 2 hrs, and heating under microwave irradiation at 90 °C for 20 min. After diluted with AcOEt the mixture was washed with water, dried over Na₂SO₄ and concentrated. The residue was purified by chiral column chromatography to give (3S,4S,5R)-6'-chloro-5-(3-chlorophenyl)-3-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (10.2 mg), (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-3-ethoxyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (10.8 mg), (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-5-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (3.8 mg) and (3R,4S,5R)-6'-chloro-3-(3-chlorophenyl)-5-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro [4H-azepine-4,3'-[3H]-indole]-2',7-dione (3.6 mg).

30

Example 24

Preparation of 3R,4S,5R)-6'-chloro-3-(3-chlorophenyl)-5-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione ,

(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)- 5-(2,2-dimethylpropoxy)-
 1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
 (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)- 3-(2,2-dimethylpropoxy)-
 1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and
 5 (3S,4S,5R)-6'-chloro-5-(3-chlorophenyl)- 5-(2,2-dimethylpropoxy)-
 1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione

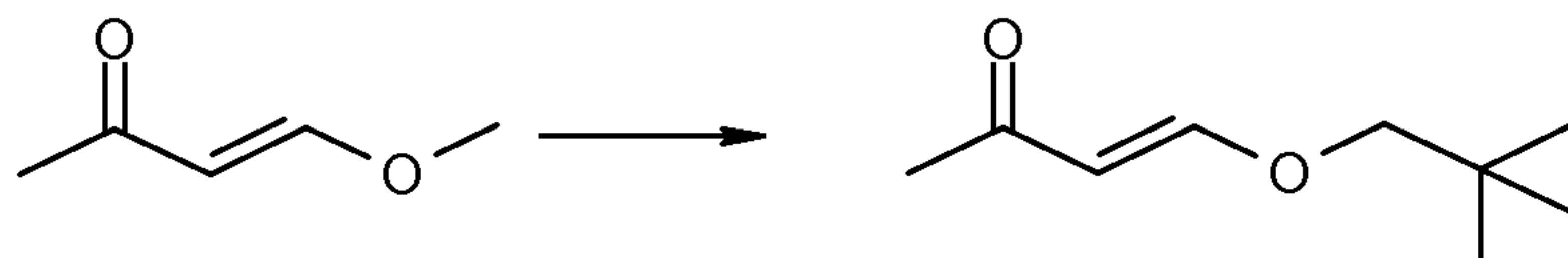


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M. W. 461.4

 $C_{24}H_{26}Cl_2N_2O_3$ **Step 1**

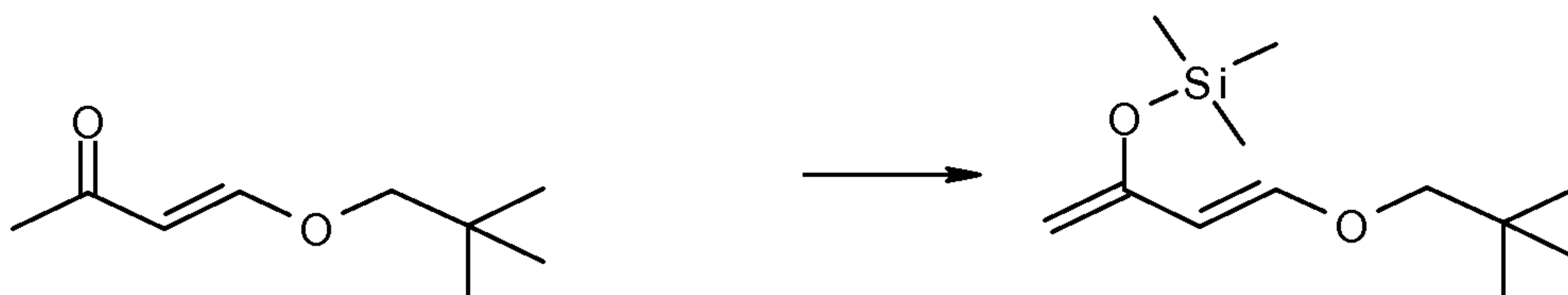
Preparation of intermediate (E)-4-(2,2-Dimethylpropoxy)but-3-en-2-one (38192-200-1)



15 House vacuum was applied to a mixture of 4-methoxy-3-buten-2-one (14.0 g, 140 mmol) (Fisher Scientific), neopentyl alcohol (18.0 g, 200 mmol), pyrimidium p-toluenesulfonate (0.45 g, 1 mmol) and toluene (50 mL). This was stirred at 25-30 °C for 14h. All solvent and volatiles were removed by vacuum and the residue was dissolved in hexane (75 mL). This was purified by chromatography (30% ethyl acetate/hexane) to
 20 give (E)-4-(2,2-dimethylpropoxy)but-3-en-2-one.

Step 2

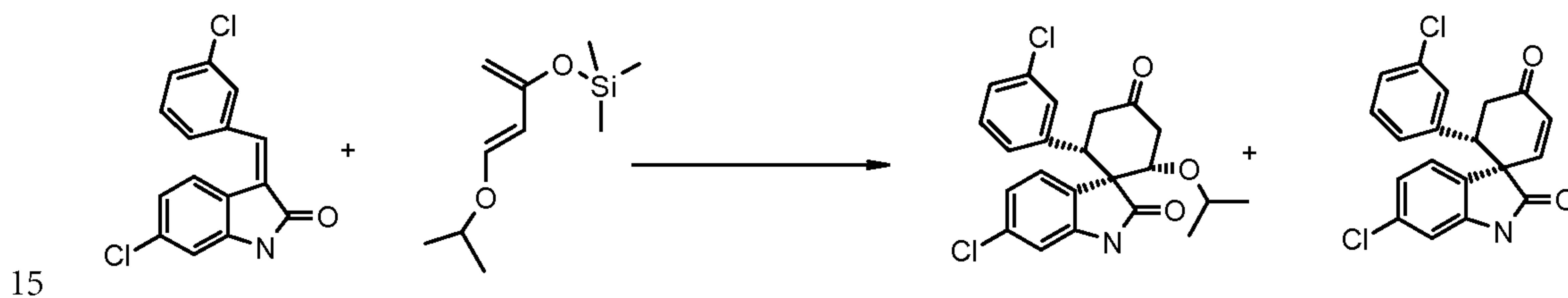
Preparation of [(E)-3-(2,2-Dimethylpropoxy)-1-methyleneallyloxy]trimethylsilane (38192-200-2)



(E)-4-(2,2-dimethylpropoxy)but-3-en-2-one (14 g, 90 mmol) and triethylamine (10 g, 101 mmol) were combined in ethyl ether (200 mL). Trimethylsilyl trifluoromethanesulfonate (20 g, 90 mmol) (Aldrich) in carbon tetrachloride (30 mL) was added at -5 °C over 0.5 hr. This was stirred for 0.5 hr at 0 °C. This was poured into cold 5% sodium bicarbonate (50 mL) and the organics were extracted into hexane. This was washed with saturated aqueous sodium chloride, dried (MgSO₄), and evaporated to give 21 g of [(E)-3-(2,2-dimethylpropoxy)-1-methylene-allyloxy]trimethylsilane.

Step 3

Preparation of rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(2,2-dimethylpropoxy)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione



A mixture of E/Z-6-chloro-3-(3-chloro-benzylidene)-1,3-dihydro-indol-2-one (0.50 g, 1.72 mmol) and [(E)-3-(2,2-Dimethylpropoxy)-1-methyleneallyloxy]trimethylsilane (1.1 g, 5.5 mmole) in a sealed tube was heated to 150 °C for 1.5 hrs. The reaction mixture was diluted with MeOH (10 mL) and treated with 2.0 mL of 4 N NaOH at rt for 1 h. The mixture was then diluted with AcOEt and washed with Sat NH₄Cl, brine, dried over Na₂SO₄. After

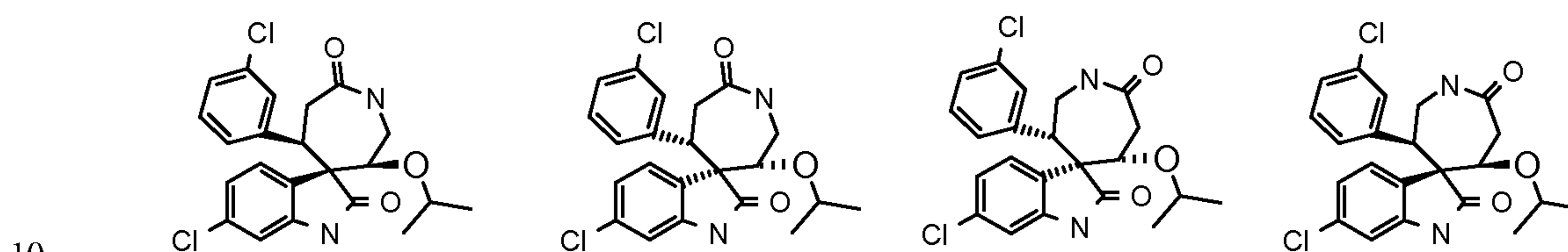
concentration the residue was purified by flash column (2% -25% AcOEt in Hex) to give rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(2,2-dimethylpropoxy)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (310.4 mg, 43.1%) and rac-(1R,2S)-6'-chloro-2-(3-chlorophenyl)spiro[5-cyclohexene-1,3'-[3H]indole]-2',4(1'H)-dione (201.2 mg, 32.3%).

Step 4

In a manner similar to the method described in **example 2(method B)**, rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(2,2-dimethylpropoxy)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (167.0 mg, 0.37 mmole) was reacted with NH₂OH-HCl (52.0 mg, 0.74 mmol), NaOH (30.0 mg, 0.74 mmole) in EtOH-water (3/2, 7.5 mL) at refluxing for 1 h, followed by reacting with p-toluenesulfonyl chloride (102.0 mg, 0.51 mmol) in dichloromethane (10 mL) at room temperature for 2 hrs, and heating under microwave irradiation at 100 °C for 30 min. After diluted with AcOEt the mixture was washed with water, dried over Na₂SO₄ and concentrated. The residue was purified by chiral column chromatography to give 3R,4S,5R)-6'-chloro-3-(3-chlorophenyl)-5-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (11.0 mg): HRMS (ES⁺) m/z Calcd for C₂₄H₂₆Cl₂N₂O₃ + H [(M+H)⁺]: 461.1393, Found: 461.1393; (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-5-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (7.0 mg): HRMS (ES⁺) m/z Calcd for C₂₄H₂₆Cl₂N₂O₃ + H [(M+H)⁺]: 461.1393, Found: 461.1393; (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-3-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (7.0 mg): HRMS (ES⁺) m/z Calcd for C₂₄H₂₆Cl₂N₂O₃ + H [(M+H)⁺]: 461.1393, Found: 461.1394; (3S,4S,5R)-6'-chloro-5-(3-chlorophenyl)-5-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (7.0 mg): HRMS (ES⁺) m/z Calcd for C₂₄H₂₆Cl₂N₂O₃ + H [(M+H)⁺]: 461.1393, Found: 461.1394.

Example 25

Preparation of (3S,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione, (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and (3R,4S,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione



M. W. 433.3

 $C_{22}H_{22}Cl_2N_2O_3$

(E)-4-Isopropoxy-but-3-en-2-one was prepared in the same manner as **Example 24**,
 15 **Step 1** (38192-201-1)

((E)-3-Isopropoxy-1-methylene-allyloxy)-trimethylsilane was prepared in the same manner as **Example 24**, **Step 2** (38192-201-1)

Rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(1-methylethoxy)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione was prepared in the same manner as **Example 24**, **Step**
 20 **3**

In a manner similar to the method described in **example 2(method A)**, rac-(1R,2S,6R)-6'-chloro-2-(3-chlorophenyl)-6-(1-methylethoxy)-spiro[cyclohexane-1,3'-[3H]indole]-2',4(1'H)-dione (138.0 mg, 0.33 mmole) was treated with NaN₃ (54.0 mg, 0.83 mmole) in the presence of TiCl₄ (1.0 M in CH₂Cl₂, 0.33 mL)

25

(Aldrich) in acetonitrile (10 mL) at reflux for 6 hrs, followed by chiral chromatograph to give (3S,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (14.0 mg): HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₃ + H [(M+H)⁺]: 433.1080, Found: 433.1080; (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (14.0 mg): HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₃ + H [(M+H)⁺]: 433.1080, Found: 433.1080;

(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (9.0 mg): HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₃ + H [(M+H)⁺]: 433.1080, Found: 433.1081; and (3R,4S,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione (12.0 mg): HRMS (ES⁺) m/z Calcd for C₂₂H₂₂Cl₂N₂O₃ + H [(M+H)⁺]: 433.1080. Found: 433.1081.

Example 26

In Vitro Activity Assay

The ability of the compounds to inhibit the interaction between p53 and MDM2 proteins was measured by an HTRF (homogeneous time-resolved fluorescence) assay in which recombinant GST-tagged MDM2 binds to a peptide that resembles the MDM2-interacting region of p53 (Lane et al.). Binding of GST-MDM2 protein and p53-peptide (biotinylated on its N-terminal end) is registered by the FRET (fluorescence resonance energy transfer) between Europium (Eu)-labeled anti-GST antibody and streptavidin-conjugated Allophycocyanin (APC).

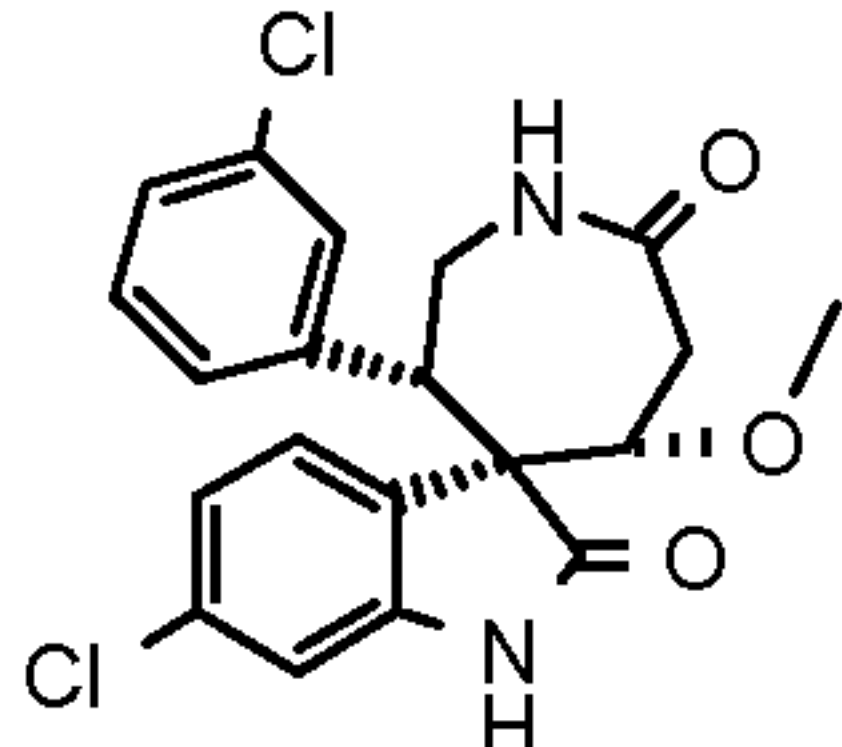
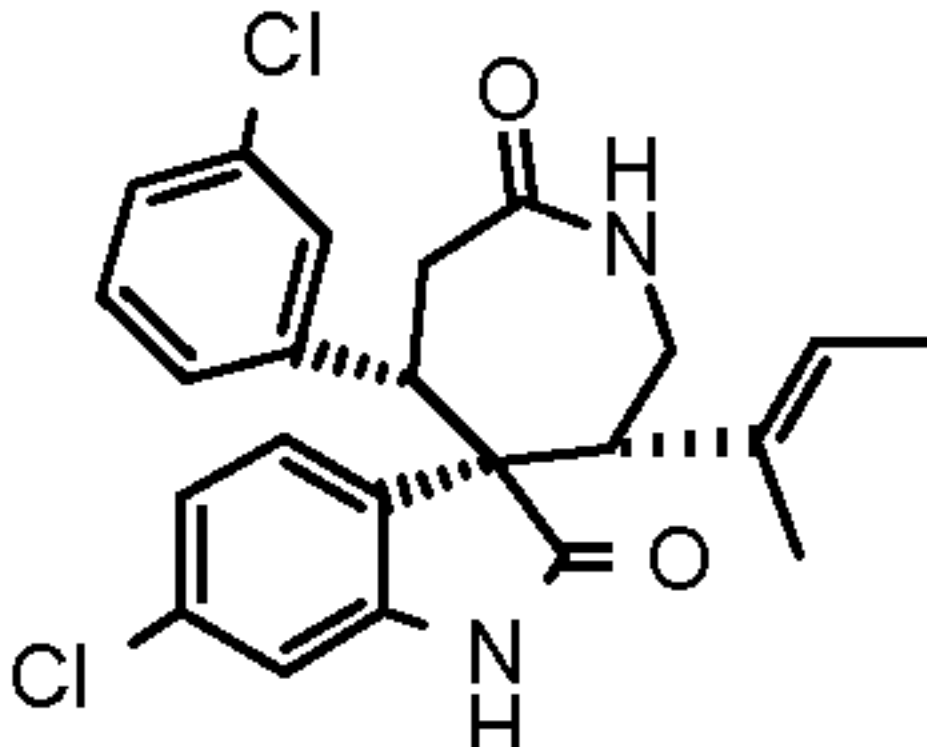
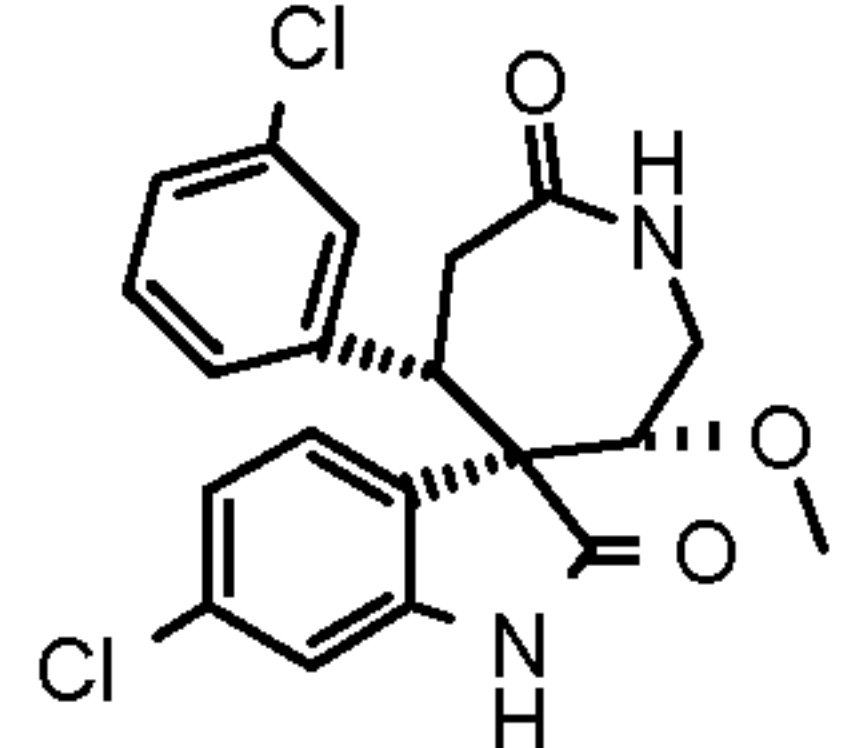
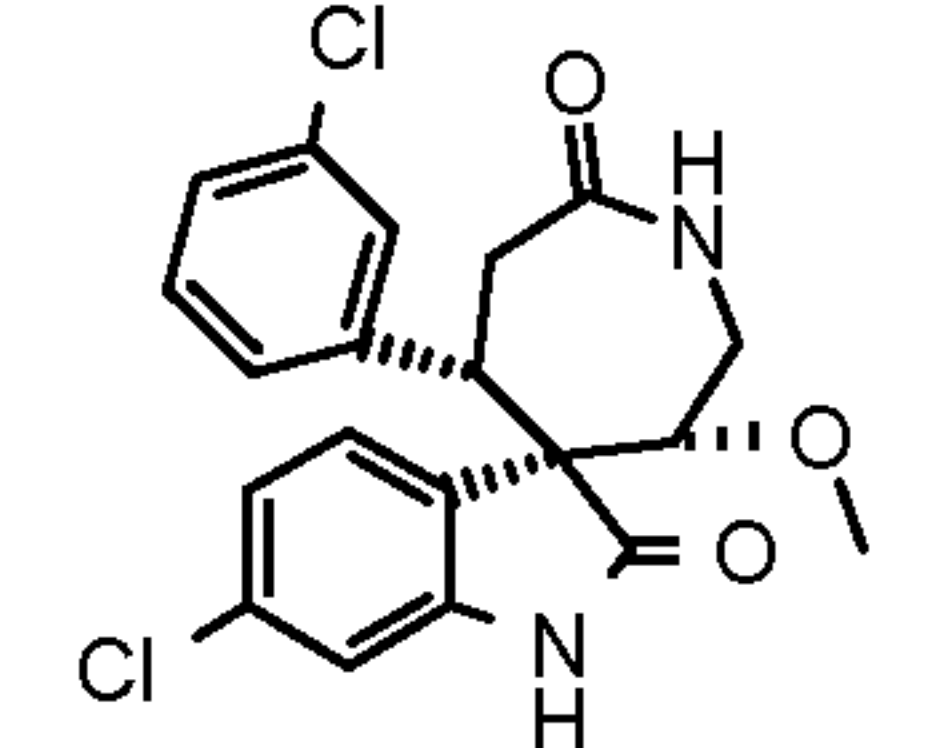
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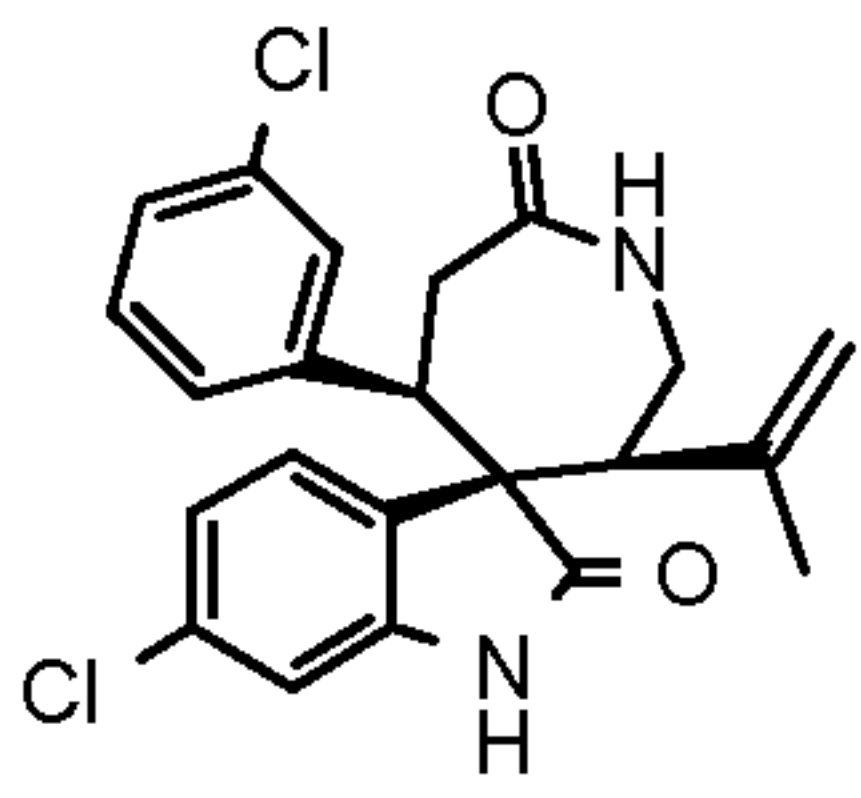
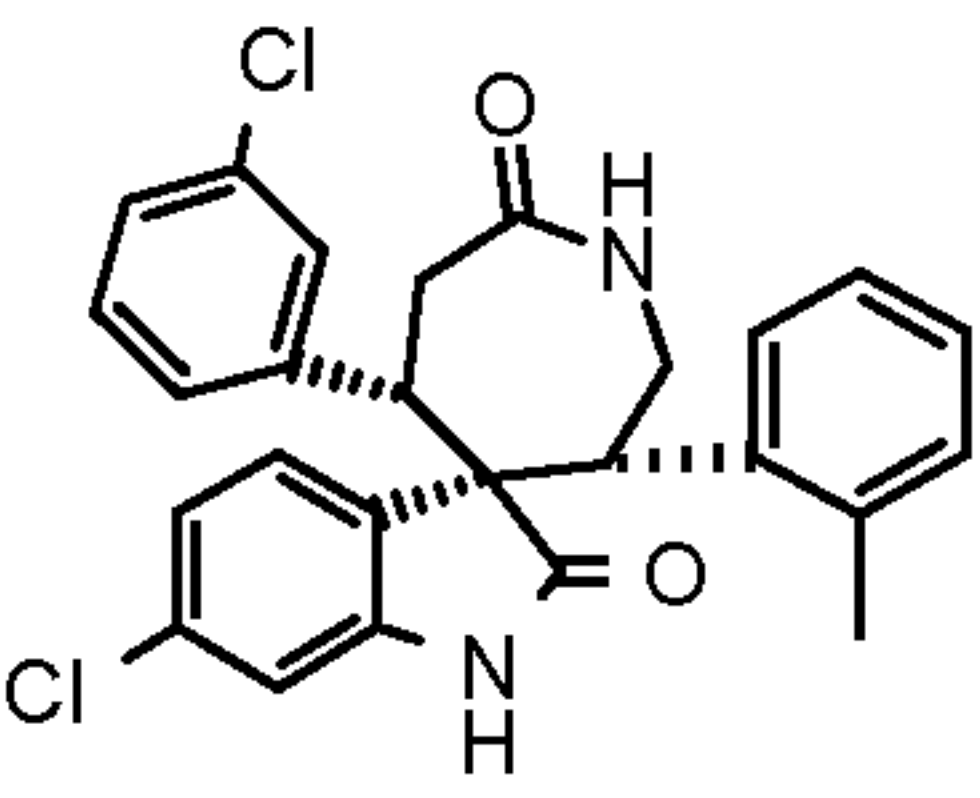
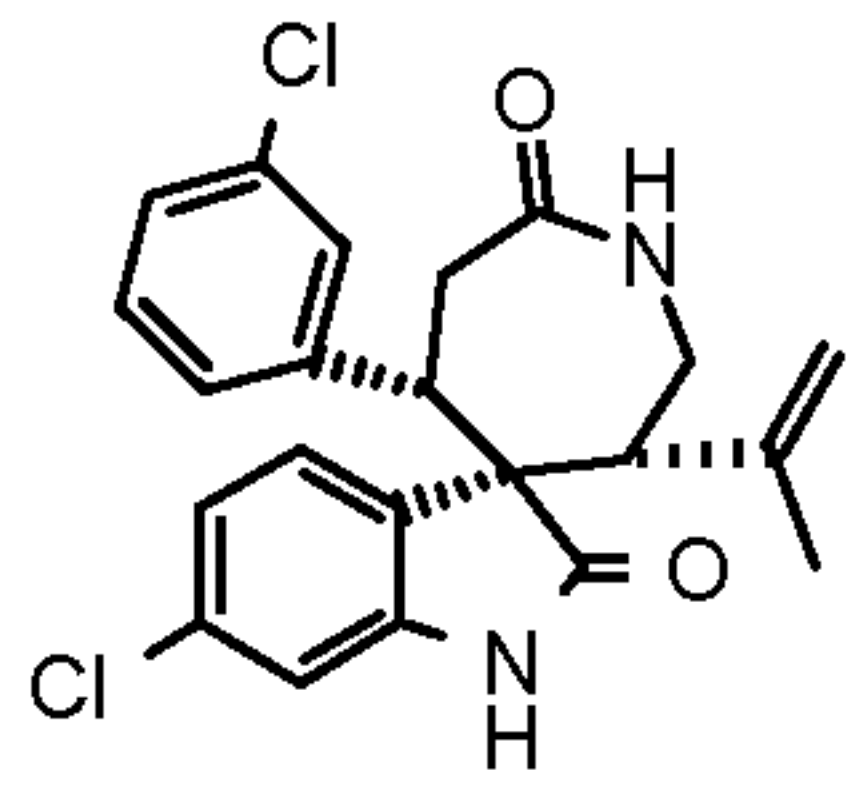
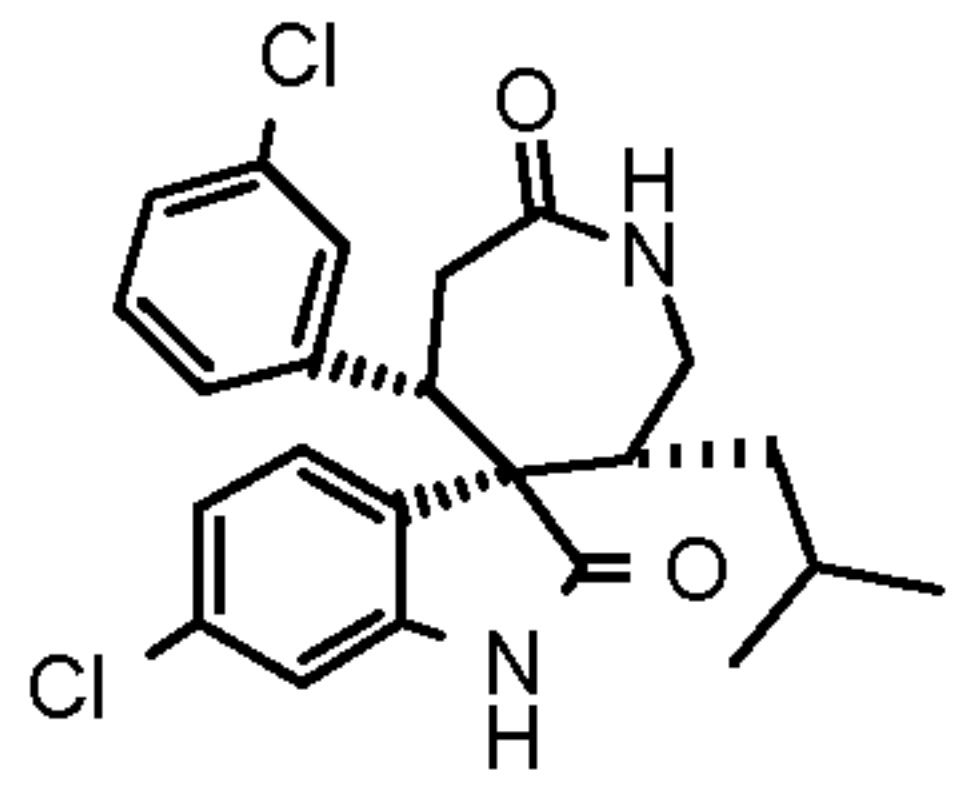
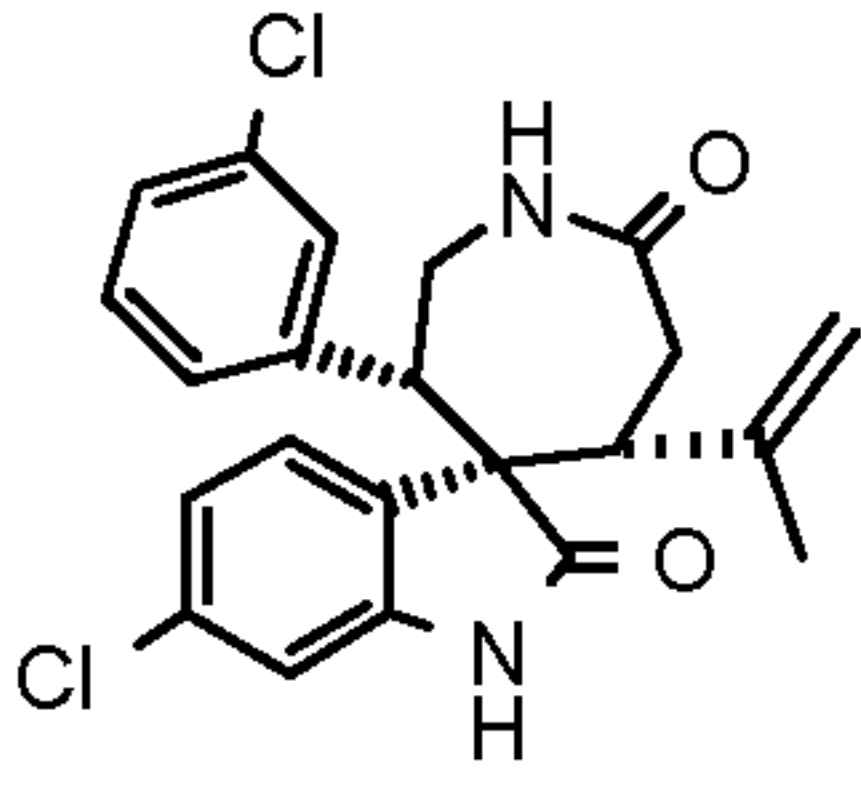
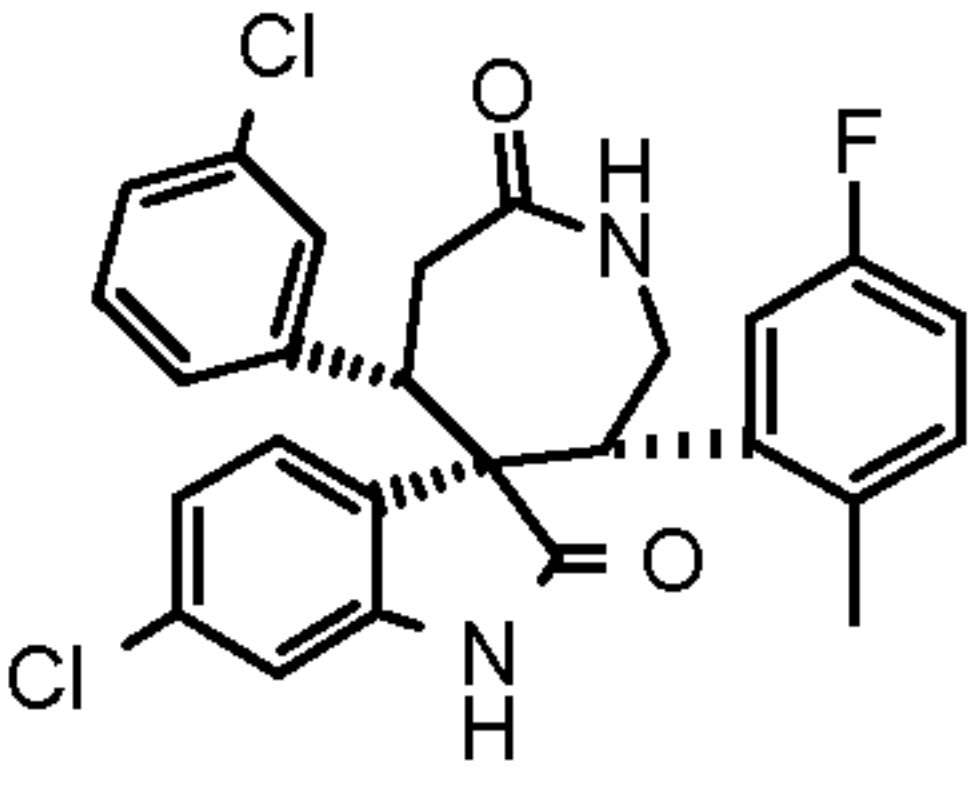
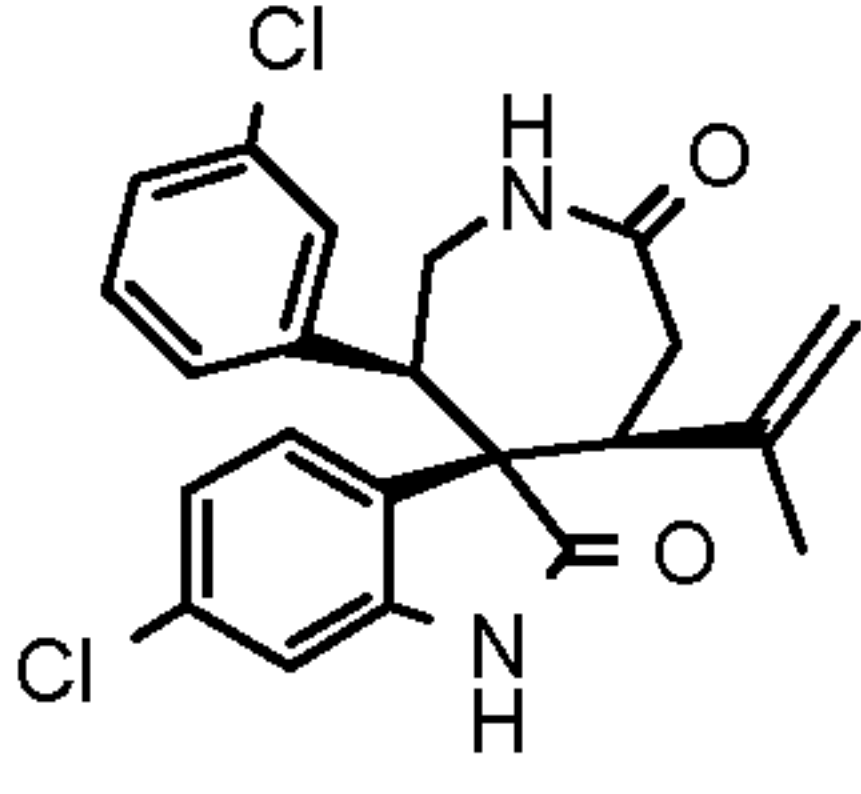
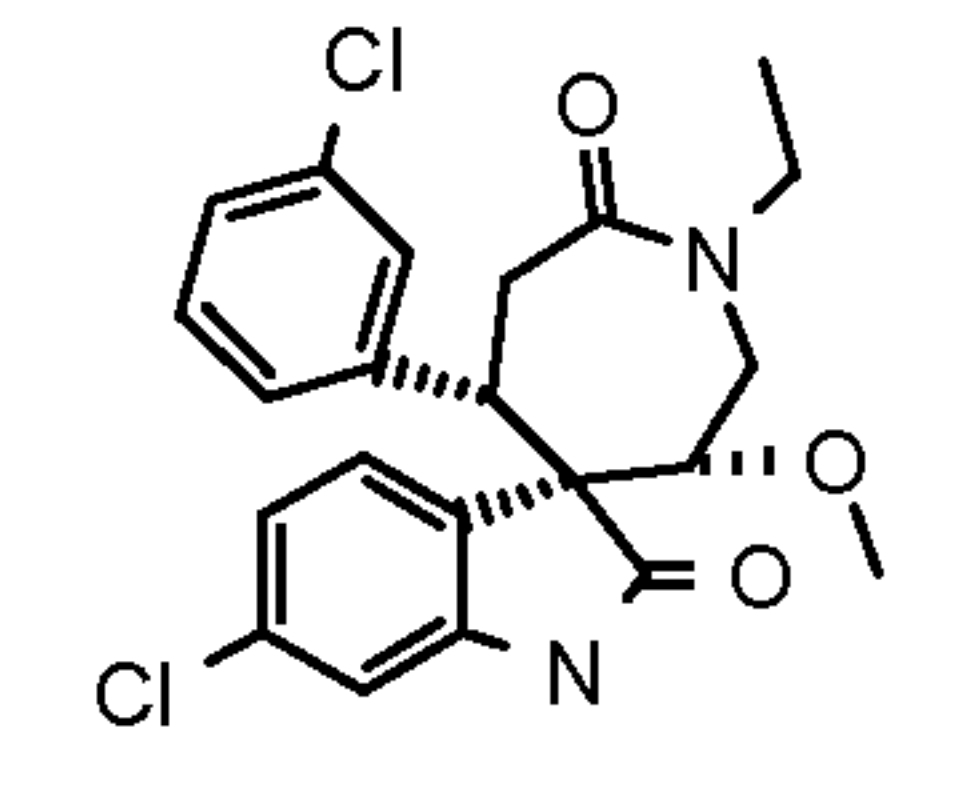
Test is performed in black flat-bottom 384-well plates (Costar) in a total volume of 40 uL containing: 90 nM biotinylate peptide, 160 ng/ml GST-MDM2, 20 nM streptavidin-APC (PerkinElmerWallac), 2 nM Eu-labeled anti-GST-antibody (PerkinElmerWallac), 0.2% bovine serum albumin (BSA), 1 mM dithiothreitol (DTT) and 20 mM Tris-borate saline (TBS) buffer as follows: Add 10 uL of GST-MDM2 (640 ng/ml working solution) in reaction buffer to each well. Add 10 uL

30

diluted compounds (1:5 dilution in reaction buffer) to each well, mix by shaking. Add 20 uL biotinylated p53 peptide (180 nM working solution) in reaction buffer to each well and mix on shaker. Incubate at 37°C for 1 h. Add 20 uL streptavidin-APC and Eu-anti-GST antibody mixture (6 nM Eu-anti-GST and 60 nM streptavidin-APC working solution) in TBS buffer with 0.2% BSA, shake at room temperature for 30 minutes and read using a TRF-capable plate reader at 665 and 615 nm (Victor 5, Perkin ElmerWallac). If not specified, the reagents were purchased from Sigma Chemical Co.

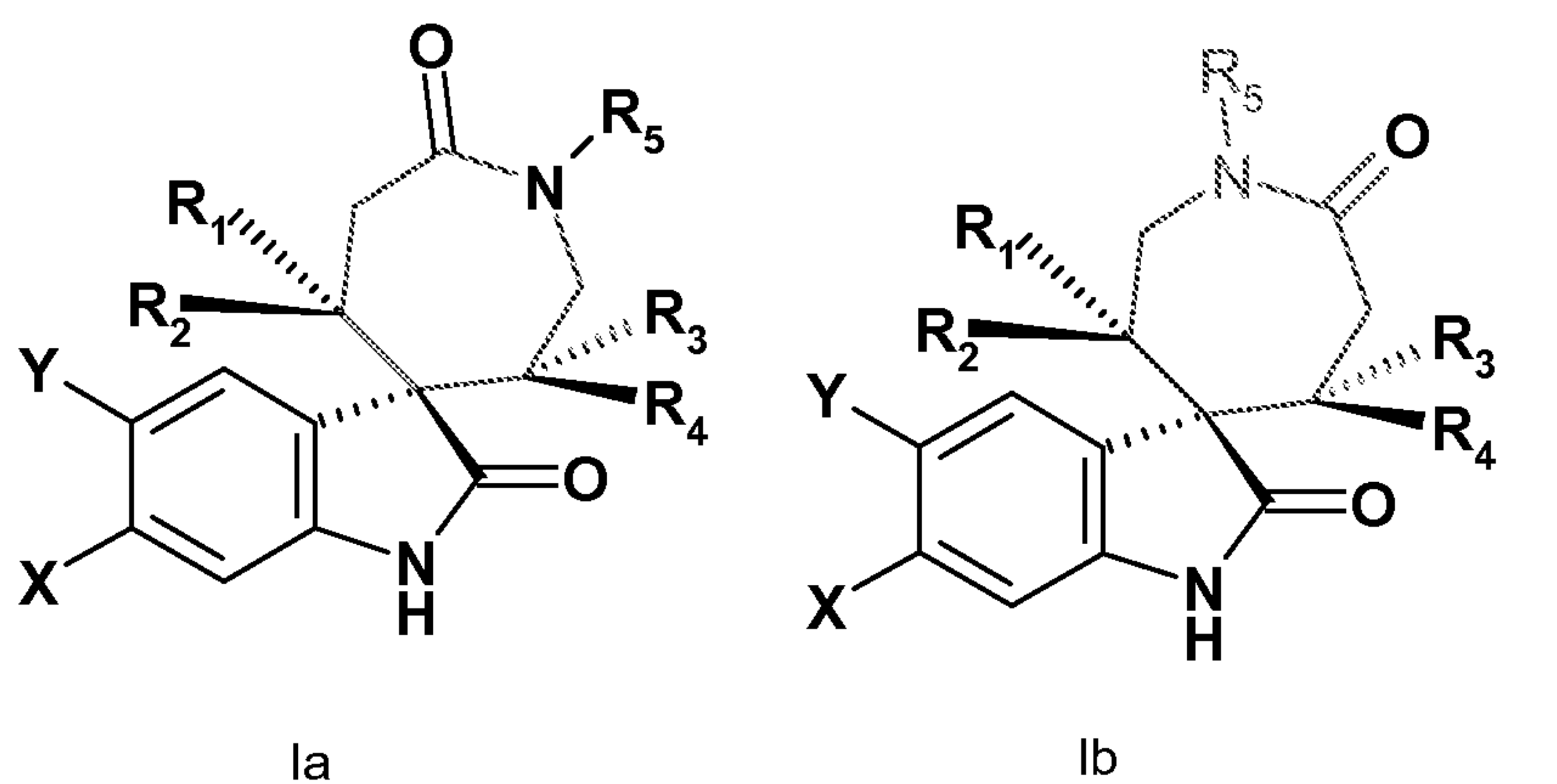
IC₅₀'s showing the biological activity of the compounds of this invention exhibit activities less than about 10uM:

Example No.	IC ₅₀ (uM)	Example No.	IC ₅₀ (uM)
3 	3.32	6 	0.36
3 	1.28	8 	0.85
4	8.01	10	4.84

			
4		12	
	0.56		1.33
4		15	
	0.84		2.93
5		22	
	6.27		2.32

Claims

1. A compound of the formula



5 wherein

X is selected from the group consisting of hydrogen, halogen, cyano, nitro, ethynyl and cyclopropyl,

Y is hydrogen or fluoro,

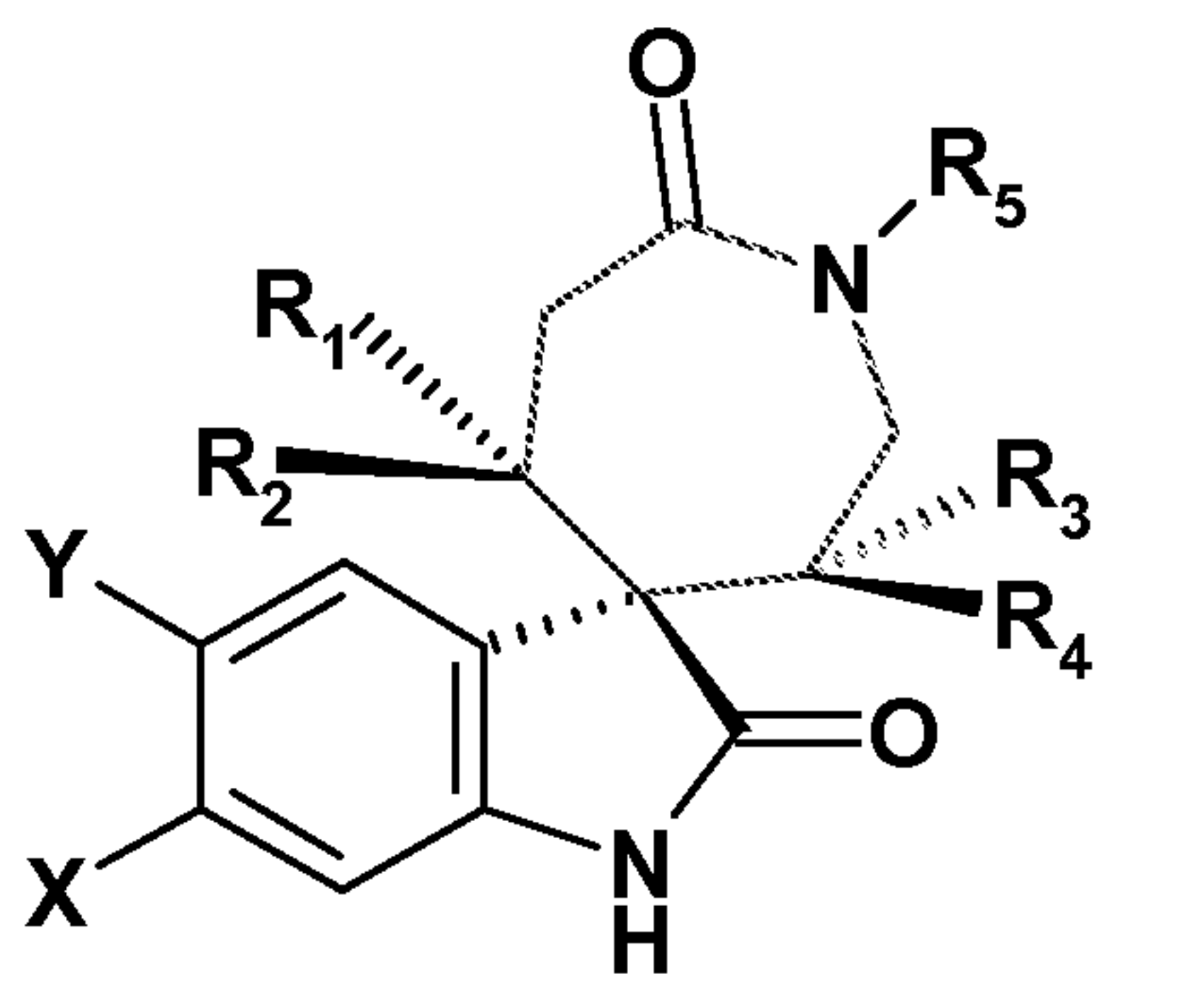
R_1 , R_2 , R_3 and R_4 are selected from the group consisting of hydrogen, lower alkyl, lower alkoxy, substituted lower alkyl, lower alkenyl, lower alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl, and substituted cycloalkenyl, with the proviso that one of R_1/R_2 or R_3/R_4 is hydrogen and the other not hydrogen,

15 R_5 is selected from the group consisting of hydrogen, lower alkyl or substituted lower alkyl

and the pharmaceutically acceptable salts thereof.

2. A compound of the formula

- 62 -



wherein

X is selected from the group consisting of hydrogen, halogen, cyano, nitro, ethynyl and cyclopropyl,

5 Y is hydrogen or fluoro,

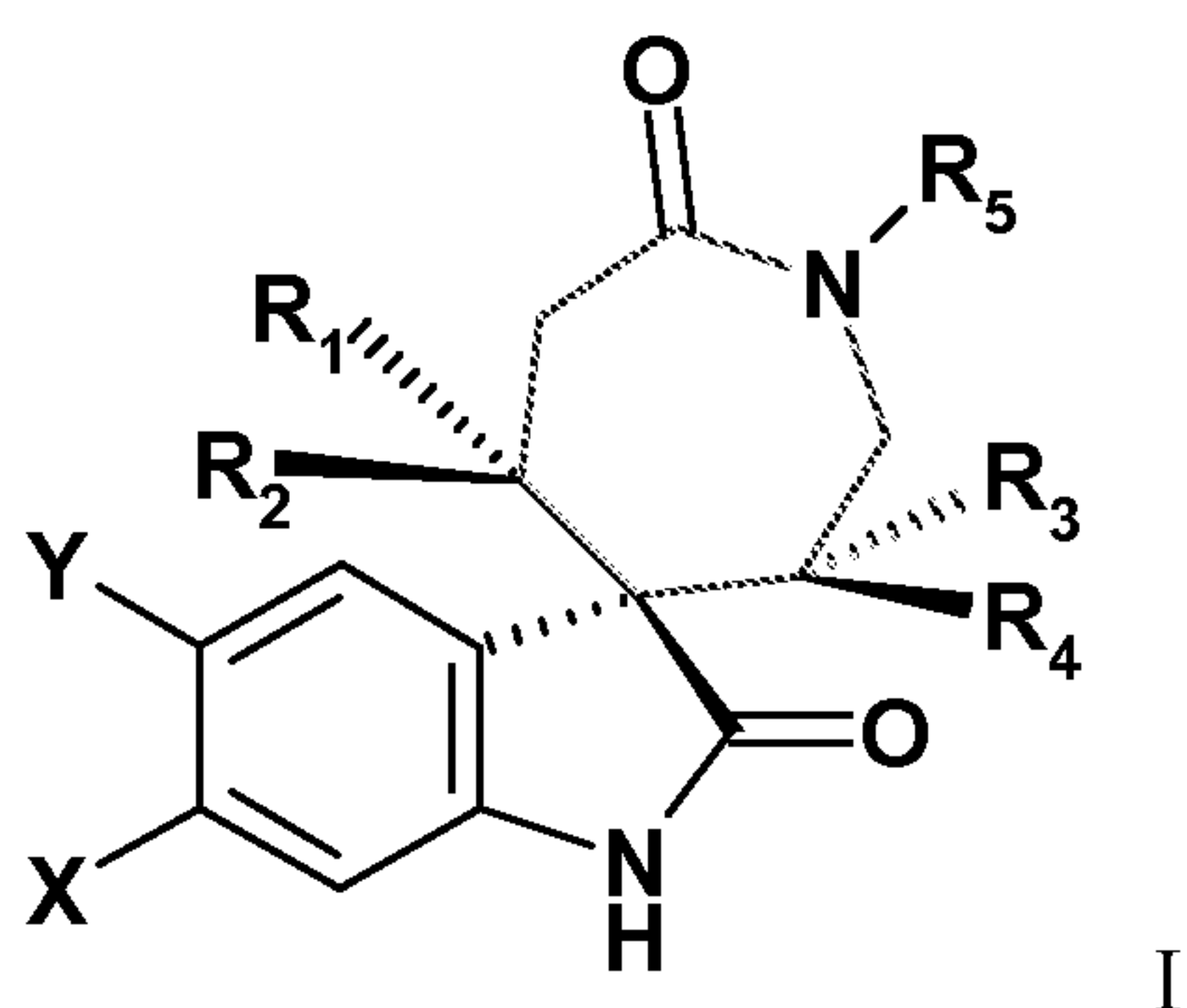
R_1 , R_2 , R_3 and R_4 are selected from the group consisting of hydrogen, lower alkyl, lower alkoxy, substituted lower alkyl, lower alkenyl, lower alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl, and substituted
 10 cycloalkenyl, with the proviso that one of R_1/R_2 or R_3/R_4 is hydrogen and the other not hydrogen,

R_5 is selected from the group consisting of hydrogen, lower alkyl or substituted lower alkyl

and the pharmaceutically acceptable salts thereof.

15

3. A compound of the formula



wherein

X is halogen,

Y is hydrogen,

R₂ is hydrogen,

5 R₄ is hydrogen and

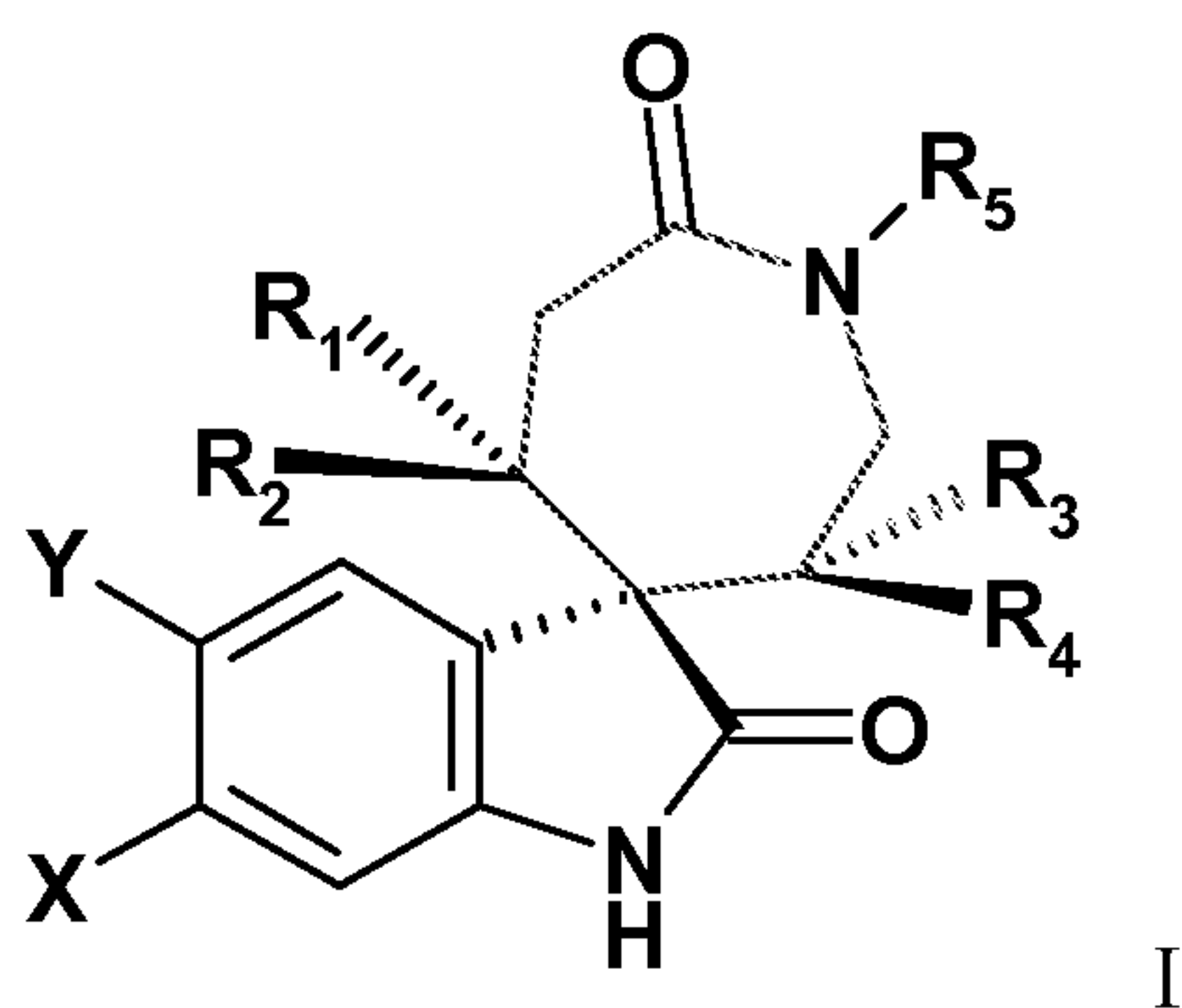
R₁, and R₃ are selected from the group consisting of hydrogen, lower alkyl, lower alkoxy, substituted lower alkyl, lower alkenyl, lower alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl, and substituted cycloalkenyl, with
10 the proviso that one of R₁/R₃ is a meta-halogen substituted phenyl with or without further substitution.

R₅ is selected from the group consisting of hydrogen, lower alkyl or substituted lower alkyl

and the pharmaceutically acceptable salts thereof.

15

4. A compound of the formula



wherein

X is halogen,

20 Y is hydrogen,

R₂ is hydrogen,

R₄ is hydrogen and

one of R₁/R₃ is a meta-halogen substituted phenyl with or without further substitution and the other of R₁/R₃ is selected from the group consisting of lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, aryl and substituted aryl,

R₅ is selected from the group consisting of hydrogen, lower alkyl and substituted lower alkyl

and the pharmaceutically acceptable salts thereof.

10

5. A compound of claim 1 selected from the group consisting of
rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

15 rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

20 rac-(3S,4R,5R)-6'-chloro-3,5-bis-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

25 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5(E)-(1-methyl-1-propenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

rac-(3S,4R,5S)-6'-chloro-3-(4-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

rac-(3R,4R,5S)-6'-chloro-5-(4-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and

- 5 (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.

5. A compound of claim 1 selected from the group consisting of

- 10 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro 5-(3-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(3-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

- 15 (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylphenyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

(3R,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

- 20 (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(2-methylpropyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

(3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-3-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-5-cyclopropyl-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,

- 25 (3R,4S,5R)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and

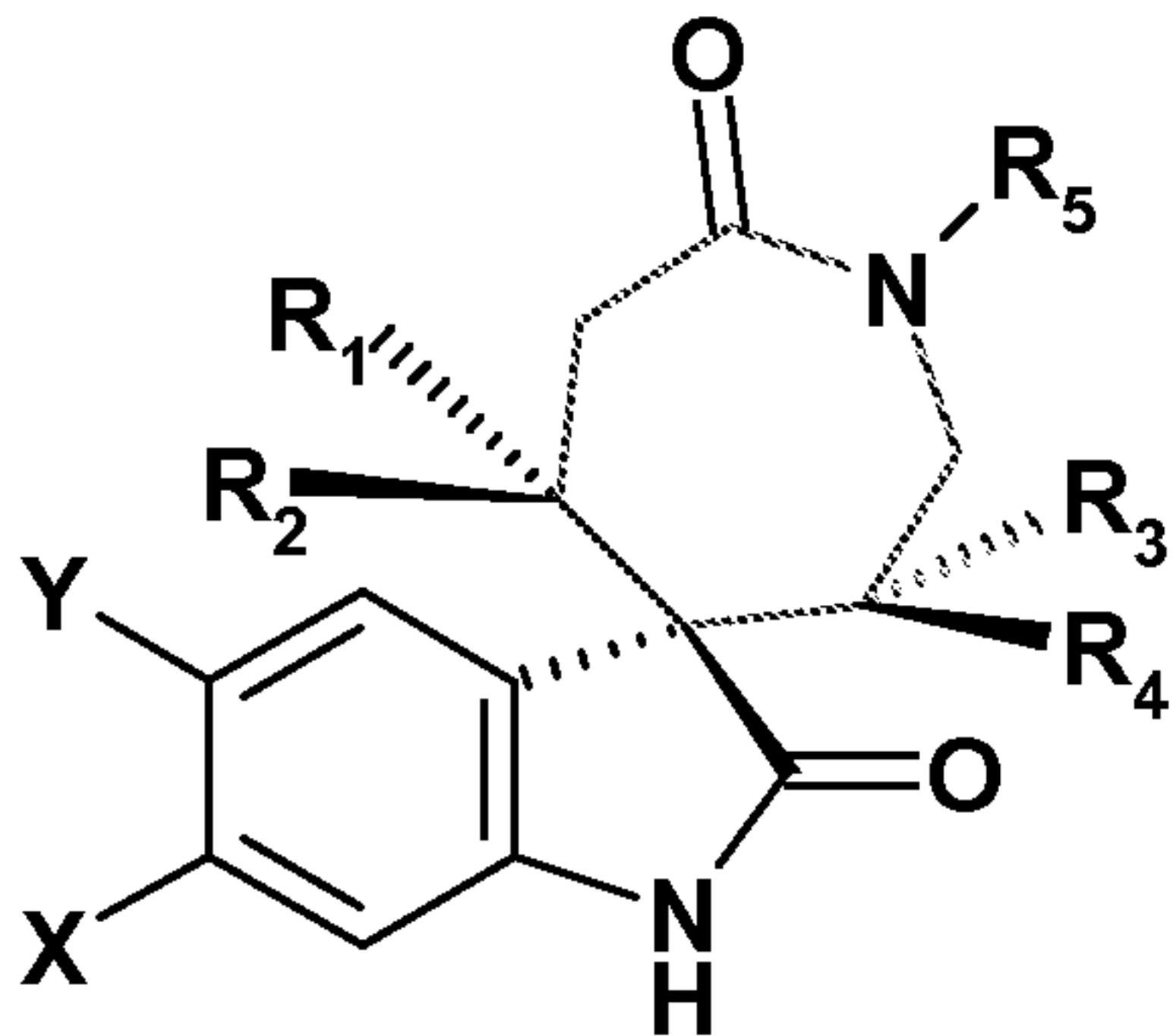
- 66 -

(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5 -(1-methylethyl)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.

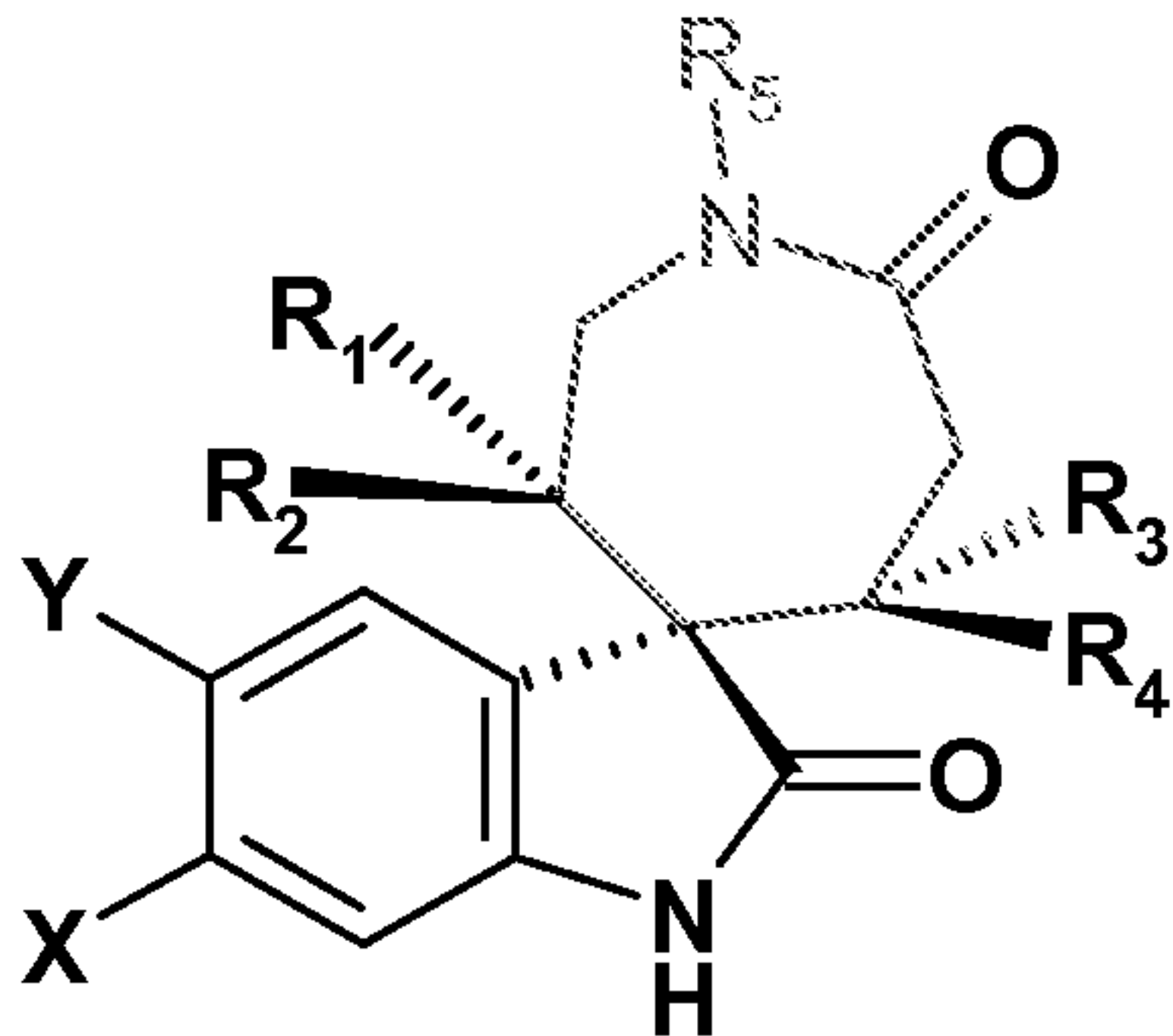
6. A compound of claim 1 selected from the group consisting of

- 5 (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-3-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-5-(5-fluoro-2-methylphenyl)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3R,4S,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenyl-
- 10 spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-phenyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- rac-(3S,4R,5S)-6'-bromo-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- 15 rac-(3R,4R,5S)-6'-bromo-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3R,4S,5S)-6'-bromo-5-(3-chlorophenyl))-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3S,4R,5R)-6'-bromo-3-(3-chlorophenyl))-1,1',2,2',3,5,6,7-octahydro-5-(1-
- 20 methylethenyl)spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- rac-(3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-methoxy-1-methyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and
- rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-methoxy-1-methyl-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.

7. A compound of claim 1 selected from the group consisting of
- rac-(3S,4R,5R)-6'-chloro-3-(3-chlorophenyl)-1-ethyl-1,1',2,2',3,5,6,7-octahydro-5-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- rac-(3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1-ethyl-1,1',2,2',3,5,6,7-octahydro-3-methoxy-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-3-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-5-ethoxy-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-3-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- (3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-1,1',2,2',3,5,6,7-octahydro-5-(1-methylethoxy)-spiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione,
- 3S,4R,5S)-6'-chloro-3-(3-chlorophenyl)-5-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione and
- (3R,4R,5S)-6'-chloro-5-(3-chlorophenyl)-3-(2,2-dimethylpropoxy)-1,1',2,2',3,5,6,7-octahydrospiro[4H-azepine-4,3'-[3H]-indole]-2',7-dione.
8. A pharmaceutical formulation comprising a compound of any one of claim 1 useful for treating cancer.
9. Use of a compound according to any one of claims 1 to 7 for the preparation of a medicament useful for treating cancer.
10. The invention as described hereinabove.



(la)



(lb)