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**Data compression and decompression system with immediate dictionary  
updating interleaved with string search**

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(71) Applicant(s)  
**Unisys Corporation**

(72) Inventor(s)  
**Terry A. Welch; Albert B Cooper**

(74) Agent/Attorney  
**GRIFFITH HACK,GPO Box 1285K,MELBOURNE VIC 3001**

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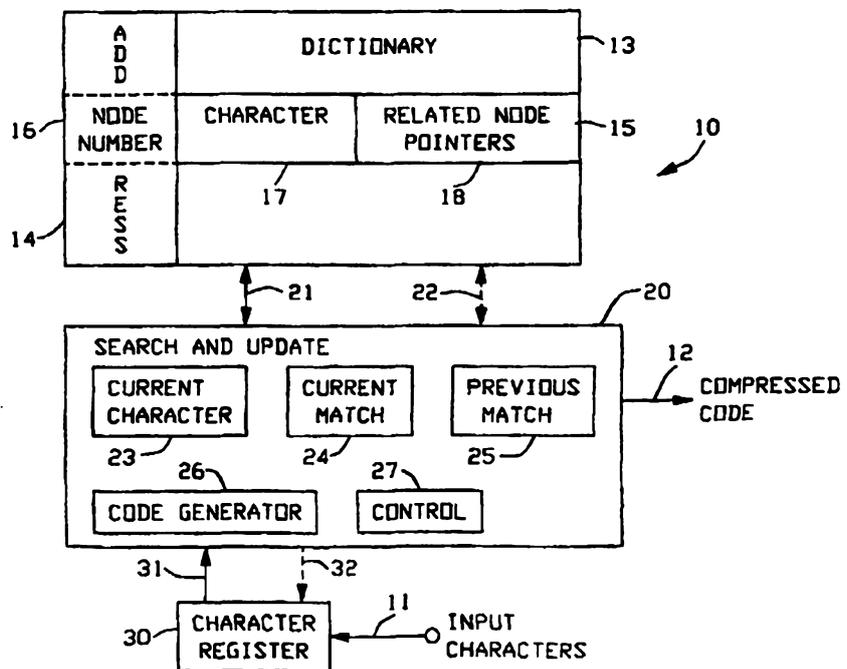
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(71) Applicant: UNISYS CORPORATION [US/US]; Township Line and Union Meeting Roads, MS C1SW19, Blue Bell, PA 19424-0001 (US). <small>E 8-114</small>			
(72) Inventors: WELCH, Terry, A.; 9202 Mystic Oaks Trail, Austin, TX 78750 (US). COOPER, Albert, B.; 20 East 74th Street #14-C, New York, NY 10021 (US).			
(74) Agent: STARR, Mark, T.; Unisys Corporation, Township Line & Union Meeting Roads, M/S CISW19, Blue Bell, PA 19424-0001 (US).			



(54) Title: DATA COMPRESSION AND DECOMPRESSION SYSTEM WITH IMMEDIATE DICTIONARY UPDATING INTERLEAVED WITH STRING SEARCH

(57) Abstract

A dictionary based data compression and decompression system where, in the compressor (10), when a partial string W and a character C are matched in the dictionary (13), a new string is entered into the dictionary with C as an extension character on the string PW where P is the string corresponding to the last output compressed code signal. An update string is entered (113) into the compression dictionary for each input character that is read and matched. The updating is immediate and interleaved with the character-by-character matching of the current string. The update process continues until the longest match is found in the dictionary. The code of the longest matched string is output (106) in a string matching cycle. If a single character or multi-character string "A" exists in the dictionary, the string AAA...A is encoded in two compressed code signals regardless of the string length. This encoding results in an unrecognized code signal at the decompressor. The decompressor (40), in response to an unrecognized code



signal, enters (Fig. 8) update strings into the decompressor dictionary (43) in accordance with the recovered string (161) corresponding to the previously received code signal, the unrecognized code signal, the extant code of the decompressor and the number (135) of characters in the previously recovered string.

DATA COMPRESSION AND DECOMPRESSION SYSTEM WITH IMMEDIATE DICTIONARY  
UPDATING INTERLEAVED WITH STRING SEARCHBACKGROUND OF THE INVENTION1 1. Field of the Invention

The invention relates to dictionary based data  
compression and decompression particularly with respect  
to the manner in which the compression and decompression  
5 dictionaries are updated.

2. Description of the Prior Art

The Lempel-Ziv (LZ) algorithm known as LZ2  
provides the theoretical basis for numerous dictionary  
based data compression and decompression systems in  
10 widespread usage. LZ2 is described in a paper entitled  
"Compression Of Individual Sequences Via Variable-Rate  
Coding" by Jacob Ziv and Abraham Lempel, published in  
the IEEE Transactions on Information Theory, Vol. IT-24,  
No. 5, September 1978, pages 530-536. A ubiquitously  
15 used data compression and decompression system known  
as LZW, adopted as the standard for V.42 bis modem  
compression and decompression, is described in U.S. Patent  
4,558,302 by Welch, issued December 10, 1985. LZW has  
also been adopted as the compression and decompression  
20 methods used in the GIF and TIFF image communication  
standards. A variant of LZ2 is described in U.S. Patent  
4,876,541 by Storer, issued October 24, 1989. Further  
examples of LZ dictionary based compression and  
decompression systems are described in U.S. Patent  
25 4,464,650 by Eastman et al., issued August 7, 1984; U.S.  
Patent 4,814,746 by Miller et al., issued March 21, 1989;  
U.S. Patent 5,153,591 by Clark, issued October 6, 1992;  
and European Patent Application Publication Number 0  
573 208 A1 by Lempel et al., published December 8, 1993.

30 In the above-cited systems, the input data  
character stream is compared character-by-character with

1 character strings stored in a dictionary to effect a  
match therewith. Typically, the character-by-character  
comparison is continued until the longest match is  
determined. Based on the match, a compressed code is  
5 output and the dictionary is updated with one or more  
additional character strings. In the Storer patent ('541)  
the dictionary is updated by concatenating all of the  
non-zero prefixes of the current longest matched string  
with the previous longest matched string. Thus, if there  
10 are N characters in the current longest match, N strings  
are added to the dictionary after the current longest  
match is determined. In the Storer patent this is denoted  
as the All Prefixes (AP) update technique.

Another type of data compression and decompression  
15 method is denoted as Run-Length Encoding (RLE). The  
RLE algorithm compresses a repeating character or  
character group run by providing a compressed code  
indicating the character or character group and the length  
of the run. RLE is thus effective in encoding long runs  
20 of the same character or group of characters. For  
example, RLE is effective in compressing a long sequence  
of blanks that may be included at the beginning of a  
data file. RLE is also effective in image compression  
where an image contains a long run of consecutive pixels  
25 having the same value, such as in the sky portion of  
a land-sky image.

The LZ dictionary based compression and  
decompression algorithms discussed above are not  
especially effective in compressing long runs of a  
30 repeating character or character group. Even utilizing  
the AP update technique, a large number of compressed  
code outputs are required to compress a long length run.

This deficiency of the dictionary based systems  
is traditionally overcome by applying the data to a run  
35 length encoder and applying the run length encoded data  
to the LZ dictionary based system. In such an  
architecture a run length encoder is utilized at the

front end of the dictionary based compressor and a run length decoder is utilized at the output end of the dictionary based decompressor. Such a system suffers from the disadvantages of increased equipment, expense, control overhead and processing time.

Another approach to processing repeating character runs is disclosed in UK Patent Application GB 2 277 179 A, published October 19, 1994. A traditional LZ approach is utilized to compress the input data character stream and decompress the compressed code stream. The resulting output codes from the compression algorithm are monitored to determine if a currently generated compressed code resulted from a run. If so, the compressor output is disabled until the monitoring indicates that the run is completed. The outputting of the compressed codes is then re-enabled. From the codes that are received, the decompressor "fills-in" the missing codes.

#### SUMMARY OF THE INVENTION

The invention provides data compression apparatus for compressing a stream of data characters into a stream of compressed codes, characterized by

storage means for storing strings of data characters, each said string having a code associated therewith,

means for searching said stream of data characters by comparing said stream to said stored strings to perform a character-by-character match therewith until a longest match between said stream of data characters and said stored strings is determined,

means for outputting the code associated with said longest match so as to provide said stream of compressed codes,

means for entering extended strings into said storage means, the entry of said extended strings being interleaved with the matching of the data characters of



said character-by-character match, said extended strings comprising the previously matched string corresponding to the last outputted code extended by each data character, in turn, as each data character is matched during said  
5 character-by-character match, one extended string being entered for each said data character matched during said character-by-character match, the entering of said extended strings interleaved with the matching of said data characters of said character-by-character match continuing  
10 until said longest match is determined, and

means for assigning respective codes to said extended strings.

The invention also provides data decompression apparatus for receiving a stream of compressed codes  
15 provided by a data compressor responsive to a stream of data characters, said apparatus operative for recovering said stream of data characters from said stream of compressed codes, characterized by

20 storage means for storing strings of data characters, each said string having a code associated therewith,

means for accessing said storage means with a current received compressed code for recovering a string from said storage means corresponding to said current  
25 received compressed code so as to recover the data characters thereof,

means for entering into said storage means, extended strings comprising the previously recovered string corresponding to the previously received compressed code  
30 extended by each data character, in turn, of said recovered string corresponding to said current received compressed code,

means for assigning respective codes to said extended strings,

35 further means for entering into said storage means, further extended strings in response to receiving an unrecognised compressed code, said further extended strings



compressing said previously recovered string corresponding to said previously received compressed code extended by each data character, in turn, of said previously recovered string, sequentially and repeatedly, until a string  
5 corresponding to said unrecognized compressed code is entered,

means for assigning respective codes to said further extended strings, and

10 means for providing the data characters of said strings corresponding to said unrecognized compressed code so as to recover said data characters.

The invention also provides a data compression method for compressing a stream of data characters into a stream of compressed codes, characterized by

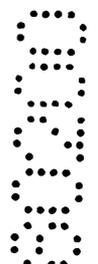
15 storing strings of data characters in storage means, each said string having a code associated therewith,

20 searching said stream of data characters by comparing said stream to said stored strings to perform a character-by-character match therewith until a predetermined match is determined,

outputting the code associated with said predetermined match so as to provide said stream of compressed codes,

25 entering extended strings into said storage means, the entry of said extended strings being interleaved with the matching of the data characters of said character-by-character match, said extended strings comprising the previously matched string corresponding to the last  
30 outputted code extended by each data character, in turn, as each data character is matched during said character-by-character match, one extended string being entered for each said data character matched during said character-by-character match, the entering of said extended strings interleaved with the matching of said data character of  
35 said character-by-character match continuing until said predetermined match is determined, and

assigning respective codes to said extended



strings.

The invention further provides a data  
decompression method for receiving a stream of compressed  
codes provided by a data compressor responsive to a stream  
of data characters, said method recovering said stream of  
5 data characters from said stream of compressed codes,  
characterized by,

storing strings of data characters in storage  
means, each said string having a code associated therewith,

10 accessing said storage means with a current  
received compressed code for recovering a string from said  
storage means corresponding to said current received  
compressed code so as to recover the data characters  
thereof,

15 entering into said storage means, extended  
strings comprising the previously recovered string  
corresponding to the previously received compressed code  
extended by each data character, in turn, of said recovered  
string corresponding to said current received compressed  
20 code,

assigning respective codes to said extended  
strings,

further entering into said storage means, further  
extended strings in response to receiving an unrecognized  
compressed code, said further extended strings comprising  
25 said previously recovered string corresponding to said  
previously received compressed code extended by each data  
character, in turn, of said previously recovered string,  
sequentially and repeatedly, until a string corresponding  
30 to said unrecognized compressed code is entered,

assigning respective codes to said further  
extended strings, and

35 providing the data characters of said string  
corresponding to said unrecognized compressed code so as to  
recover said data characters.

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BRIEF DESCRIPTION OF THE DRAWINGS

5 Figure 1 is a schematic block diagram of a data compression subsystem used in embodying the present invention.

Figure 2 is a schematic block diagram of a data decompression subsystem for recovering the compressed code output of the compressor of Figure 1.

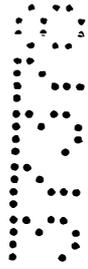
10 Figure 3a is a diagram illustrating a representational data structure for the nodes of the searchtrees of the dictionaries of Figures 1 and 2.

Figure 3b is a diagram illustrating a practical data structure for the nodes of the searchtrees of the dictionaries of Figures 1 and 2.

15 Figure 4 is a schematic node diagram illustrating a node of the searchtrees of the dictionaries of Figures 1 and 2 in accordance with the data structure of Figure 3a.

20 Figure 4a is a schematic representation of a partial searchtree illustrating data storage utilizing the node of Figure 4.

Figure 5 is a schematic node diagram illustrating a node of the searchtrees of the dictionaries of Figures 1 and 2 in accordance with the data structure of Figure 3b.



1           Figure 5a is a schematic representation of a partial searchtree utilizing the node of Figure 5 and storing the same strings as Figure 4a.

5           Figure 6 is a control flow chart illustrating the operations executed by the compression subsystem of Figure 1 so as to perform data compression in accordance with the present invention. The flow chart of Figure 6 is predicated on a compression dictionary initialized with all single character strings.

10           Figure 7 is a control flow chart illustrating the operations executed by the decompression subsystem of Figure 2 for decompressing the compressed code generated in accordance with Figure 6. The flow chart of Figure 7 is predicated on a decompression dictionary  
15 initialized with all single character strings.

          Figure 8 is a control flow chart illustrating the unrecognized code processing of Figures 7 and 10.

          Figure 9 is a control flow chart similar to that of Figure 6 but predicated on a non-initialized  
20 compression dictionary.

          Figure 10 is a control flow chart similar to that of Figure 7 but predicated on a non-initialized decompression dictionary. The decompression flow chart of Figure 10 decompresses the compressed code generated  
25 in accordance with Figure 9.

          Figures 11a-11e are schematic representations of partial searchtrees illustrating consecutive states of the compression dictionary when compressing a typical input data character stream.

30           Figures 12a-12g are schematic representations of partial searchtrees illustrating consecutive states of the compression dictionary when the input data character stream is a repeating character group.

35           DESCRIPTION OF THE PREFERRED EMBODIMENTS

          Referring to Figure 1, a data compression subsystem 10 is illustrated that compresses a stream

1 of input data character signals applied at an input 11  
into a stream of corresponding compressed code signals  
at an output 12. A dictionary 13 for storing character  
strings is implemented by a memory such as a RAM or CAM  
5 generally in the manner described in the above-cited  
references. The character strings are stored in a  
searchtree database structure in a manner that is well  
understood. The searchtree is comprised of interlinked  
nodes stored at the locations of the dictionary 13.  
10 The memory locations of the dictionary 13 are accessed  
by addresses 14 in a well known manner.

The data structure of a searchtree node is  
illustrated by a node 15 which includes a node number  
16, a character field 17 and fields 18 for related node  
15 pointers. The node number 16 identifies the tree node  
and the memory address 14, at which the node 15 is stored,  
is utilized as the node number for convenience. The  
character field 17 is utilized to contain the data  
character value of the node. Fields 18 contain pointers  
20 that link the node 15 to related tree nodes such as  
parent, children and sibling nodes in a well understood  
manner.

The compression subsystem 10 includes a search  
and update control section 20 coupled to the dictionary  
25 13 via a bi-directional data bus 21 and a bi-directional  
control bus 22. The search and update control section  
20 includes working registers denoted as a current  
character register 23, a current match register 24 and  
a previous match register 25. The search and update  
30 control section 20 further includes a code generator  
26 for assigning compressed code values to character  
strings stored in the dictionary 13. The code generator  
26 may assign code numbers sequentially or pseudorandomly  
such as by hashing. The assigned codes access the  
35 locations of the dictionary 13 via the memory addresses  
14. Thus, as is well understood, the addresses 14 (node  
numbers 16) are utilized as the compressed codes for

1 the strings stored in the dictionary 13.

The search and update control section 20 includes control 27 for controlling the operations of the compression subsystem 10 in accordance with the operational flow charts of Figures 6 and 9 in a manner to be described.

The compression subsystem 10 includes a character register 30 that buffers the input data character stream received at the input 11. The individual input data characters are applied from the character register 30 via a bus 31 to the current character register 23 in accordance with operations to be described. The search and update control section 20 controls acquiring input data characters from the character register 30 via a control bus 32.

The operation of the compression subsystem 10 is briefly as follows. Input data characters are sequentially inserted into the current character register 23 and searched against the strings stored in the dictionary 13 until the longest match therewith is achieved. The current match register 24 is utilized in this process. The node number 16 of the longest matched string is provided as the compressed code at the output 12. These searching operations are the same as those described in the above-cited references. In accordance with the invention, as the input data characters match the characters of the stored dictionary string being searched, the dictionary 13 is updated by extending the string corresponding to the previous compressed output code by the current input characters as they are matched. The previous match register 25 is utilized in this process. This previous matched string, so extended, is available for matching as the input characters continue to be fetched and matched. Thus, update strings are immediately added to the dictionary 13 in an interleaved manner with respect to the character-by-character string search.



1 Referring to Figure 2 with continued reference  
to Figure 1, a decompression subsystem 40 is illustrated  
that recovers the characters of the original input data  
stream from the compressed code signals provided at the  
5 output 12 of the compression subsystem 10. Accordingly,  
the decompression subsystem 40 receives input compressed  
code signals at an input 41 and provides the corresponding  
recovered string characters at an output 42. The  
decompression subsystem 40 includes a dictionary 43 that  
10 is preferably implemented by RAM memory. The dictionary  
43 is structured and updated to contain the same  
searchtree database as contained in the dictionary 13  
of the compression subsystem 10. As each input compressed  
code is received at the input 41, the dictionary 43 is  
15 updated to contain the same data character strings stored  
in the dictionary 13. The searchtree database structure  
stored in the dictionary 43 is comprised of interlinked  
nodes stored at the locations of the dictionary 43.  
The memory locations of the dictionary 43 are accessed  
20 by addresses 44 in a well-known manner.

The data structure of a searchtree node is  
illustrated by a node 45 which, as described above with  
respect to the node 15 of the dictionary 13, includes  
a node number 46, a character field 47 and fields 48  
25 for related node pointers. As described above with  
respect to the dictionary 13, the node number 46  
identifies the tree node and the memory address 44, at  
which the node 45 is stored, is utilized as the node  
number. The character field 47 is utilized to contain  
30 the data character value of the node. Fields 48 contain  
pointers that link the node 45 to related tree nodes  
such as parent, children and sibling nodes as described  
above.

The decompression subsystem 40 includes a recover  
35 and update control section 50 coupled to the dictionary  
43 via a bi-directional data bus 51 and a bi-directional  
control bus 52. The recover and update control section

1 50 includes working registers denoted as a current  
received code register 53 and a previous string register  
54. In accordance with the invention, the recover and  
update control section 50 includes an unrecognized code  
5 processing section 55 to be explained in detail with  
respect to Figure 8.

The recover and update control section 50 further  
includes a code generator 56 for assigning compressed  
code values to character strings stored in the dictionary  
10 43. The code generator 56 may assign code numbers  
sequentially or pseudorandomly such as by hashing. For  
system compatibility, the code generator 56 assigns code  
numbers utilizing the same process and algorithm utilized  
by the code generator 26 of the compression subsystem  
15 10. The assigned codes access the locations of the  
dictionary 43 via the memory addresses 44. Thus, as  
described above with respect to the compression subsystem  
10, the addresses 44 (node numbers 46) are utilized as  
the codes for the strings stored in the dictionary 43.

20 The recover and update control section 50 includes  
control 57 for controlling the operations of the  
decompression subsystem 40 in accordance with the  
operational flow charts of Figures 7, 8 and 10 in a manner  
to be described.

25 The decompression subsystem 40 includes a code  
register 60 that buffers the compressed code signals  
received at the input 41. The individual compressed  
code signals are applied from the code register 60 via  
a bus 61 to the current received code register 53 in  
30 accordance with operations to be described. The recover  
and update control section 50 controls acquiring  
compressed code signals from the code register 60 via  
a control bus 62.

The operation of the decompression subsystem  
35 40 is briefly as follows. An input compressed code signal  
inserted into the current received code register 53  
accesses the corresponding string stored in the

1 dictionary 43 via the addresses 44. The characters of  
the string are recovered from character field 47 as the  
recovery process traces the nodes of the string backward  
through the searchtree utilizing the related node pointers  
5 48. The recovered characters of the string are provided  
in the appropriate order at the output 42. These string  
recovery operations are the same as those described in  
the above-cited references. The dictionary 43 is updated  
by extending the previously recovered string by each  
10 character of the currently recovered string. The previous  
string register 54 is utilized in this process.

An unrecognized compressed code signal that does  
not have a corresponding string stored in the dictionary  
43 will be received in response to the compression  
15 subsystem 10 compressing a repeated character or character  
group string. When the unrecognized compressed code  
signal is received, the unrecognized code processing  
55 is utilized to recover the string corresponding to  
the unrecognized compressed code signal. In addition,  
20 update strings corresponding to those that were stored  
in the dictionary 13 of the compression subsystem 10  
during this compression process are also stored in the  
dictionary 43 of the decompression subsystem 40. Details  
of the unrecognized code processing 55 will be explained  
25 below with respect to Figure 8.

Referring to Figure 3a, a representational data  
structure for the nodes of the searchtrees of the  
dictionaries 13 and 43 is illustrated. Since, in the  
preferred embodiments of the invention, the same node  
30 data structure is utilized in both the compression  
subsystem 10 and the decompression subsystem 40, common  
reference numerals from both Figures 1 and 2 are shown  
in Figure 3a. The node number 16,46 and character field  
17,47 were explained above. The related node pointer  
35 fields 18,48 include a parent pointer field 66 and  
children pointer fields 67. In a well-known manner,  
the parent pointer field 66 contains the node number

1 of the parent node of the current node 15,45 and the  
children pointer fields 67 contain the node numbers of  
the children nodes of the current node 15,45.

5 In a manner well appreciated in the art, the  
compression subsystem 10 effects a downward search through  
the searchtree as follows. When residing at a current  
node, the character value of the children nodes are  
examined to determine if any child node matches the  
current input character. If a match occurs, the child  
10 node becomes the current node and the process is repeated  
with the next input character until a current node is  
encountered that does not have a child node that matches  
the current input character. When this occurs, the  
longest matching string has been found in the dictionary  
15 13 and the node number thereof is used as the compressed  
code signal for this longest matched string. A forward  
search through the searchtree begins at a root node  
whereat the parent pointer field 66 would contain a null  
value.

20 In an equivalent manner, as is known, the forward  
search can be performed by finding the next node to search  
from a hashing function of the current node number and  
the current input character. In such an embodiment,  
the children pointer fields 67 would not be utilized.  
25 The Welch patent ('302) discloses hash search embodiments  
of the LZW algorithm.

In a well-known manner, the data structure of  
Figure 3a is also used by the decompression subsystem  
40 in a backward search through the searchtree to recover  
30 a data character string corresponding to a compressed  
code signal. The compressed code signal addresses the  
node number 46 and the character value in the character  
field 47 is stored. The node number in the parent pointer  
field 66 is then utilized to access the parent node and  
35 the character value therein is stored. The process is  
continued until the root node is attained. Since the  
characters are recovered by this process in reverse order,

1 a mechanism such as a LIFO stack or an appropriately  
configured output buffer is utilized to reverse the  
character order thereby recovering the original data  
character string.

5 A string stored in the compression dictionary  
13 or in the decompression dictionary 43 is extended  
as follows. A next available code of an empty location  
is provided by the code generator 26 or 56 and the node  
number thereof is added to the children pointers 67 of  
10 the node being extended. The node number of the node  
being extended is inserted in the parent pointer field  
66 of the empty location. The character value of the  
extension character is inserted in the character field  
17 or 47 of the empty location.

15 Referring to Figure 3b, a practical data structure  
for the nodes of the searchtrees of the dictionaries  
13 and 43 is illustrated. This data structure and its  
implementations in a compression and decompression system  
are described in the Clark patent ('591). As explained  
20 with respect to Figure 3a, the data structure of Figure  
3b may be utilized in both the compression dictionary  
13 and the decompression dictionary 43 and thus common  
reference numerals from both Figures 1 and 2 are shown.  
Again, the node number 16,46 and character field 17,47  
25 are explained above. In the data structure of Figure  
3b the related node pointer fields 18,48 comprise a parent  
pointer field 70, a child pointer field 71 and a sibling  
pointer field 72. The parent pointer field 70 is utilized  
in the same manner as described above with respect to  
30 the parent pointer field 66 of Figure 3a. The child  
pointer field 71 and the sibling pointer field 72 replace  
the children pointer fields 67 of Figure 3a. In the  
data structure of Figure 3b a parent node uses its child  
pointer field 71 to point to the node number of one of  
35 its children nodes and the pointed to child node uses  
its sibling pointer field 72 to point to the node number  
of one of its sibling nodes. The pointed to sibling

1 node, in turn, uses its sibling pointer field 72 to point to a further sibling node. In this manner, the pointers for all of the children of a parent node are contained in a linked list of sibling nodes.

5 A downward search is performed in the manner described above with respect to Figure 3a, except that the presence of an input character is searched in the list of siblings. A backward search for the purposes of string recovery is performed in the manner described  
10 above with respect to Figure 3a and implemented by setting the parent pointer field of the child and all of its siblings to the node number of the parent. In order to facilitate searching, the sibling list may be arranged in the order of ascending character value.

15 A string represented by a childless node (child pointer field = 0) is extended by assigning the next available code, as described above, designating the next available empty location and inserting the node number of this empty location into the child pointer field 71  
20 of the node being extended. The parent pointer field 70 of this newly created child node is set to the node number of the parent and the extension character value is inserted into the character field of the newly created child. If the node to be extended already has a child,  
25 a new sibling node is created and inserted into the sibling list by adjusting the sibling pointer fields 72 of the appropriate nodes of the sibling list. The node number of the parent is inserted into the parent pointer field 70 of the newly created sibling node.

30 Referring to Figures 4 and 4a, Figure 4 schematically illustrates a searchtree node 80 in accordance with the data structure of Figure 3a. The address (node number), character value, parent node and children nodes are as indicated by the legends. Figure  
35 4a is a representation of a partial searchtree illustrating data storage utilizing the arrangement of node 80 of Figure 4. The partial searchtree of Figure 4a

1 is comprised of nodes 81, 82, 83 and 84 storing the strings ab, ac and ad. Thus, the children pointer fields 67 (Figure 3a) of parent node 81 will contain the node numbers of children nodes 82, 83 and 84, while the parent  
5 pointer field 66 of the children nodes 82, 83 and 84 will each contain the node number of parent node 81.

Referring to Figures 5 and 5a, Figure 5 schematically illustrates a searchtree node 90 in accordance with the data structure of Figure 3b. The  
10 address (node number), character value, parent node, child node and sibling node are as indicated by the legends. Figure 5a is a representation of a partial searchtree utilizing the arrangement of node 90 of Figure 5 and is comprised of nodes 91, 92, 93 and 94. The  
15 partial searchtree of Figure 5a stores the same strings as that of Figure 4a. Thus, the child pointer field 71 (Figure 3b) of the parent node 91 is set to the node number of child node 92. The sibling pointer field 72 of the child node 92 is set to the node number of sibling  
20 node 93 and the sibling pointer field 72 of the sibling node 93 is set to the node number of the sibling node 94. The parent pointer field 70 of the children nodes 92, 93 and 94 are each set to the node number of the parent node 91.

25 In the detailed descriptions of Figures 6-10 to follow, the operations will be explained in terms of the data structure of Figure 3b, the corresponding node arrangement of Figure 5 and the corresponding searchtree structure of Figure 5a. Codes will be  
30 considered as assigned sequentially by the code generators 26 and 56 although it is appreciated that codes may be assigned pseudorandomly such as by hashing. In a hashing embodiment, a node number code and a character are hashed to determine a next following address. In such a hashing  
35 embodiment the child and sibling pointers would not be utilized. In the flow charts of Figures 6-10, however, the operating blocks CODE = NEXT AVAILABLE CODE encompass

1 either a next sequential code or a next hashed code.  
In the sequential code assignment embodiments, these  
operational blocks will be more specifically CODE=CODE+1.

Referring to Figure 6, with continued reference  
5 to Figures 1 and 3b, a control flow chart is illustrated  
showing the detailed operations to be executed by the  
search and update control section 20 so as to perform  
data compression in accordance with the invention.  
Control 27 is considered as containing appropriate  
10 circuitry such as state machines to control execution  
of the operations.

The flow chart of Figure 6 is predicated on the  
compression dictionary 13 initialized with all single  
character strings. Accordingly, a block 100 provides  
15 for clearing and initializing the dictionary 13 with  
all of the single character strings stored at respective  
codes (node numbers). This operation is performed using  
the code generator 26 which sequentially assigns the  
node numbers for storing the single character strings.  
20 In an ASCII implementation, the first 256 codes will  
be assigned by the code generator 26 for storing the  
256 single character strings. Initialization is effected  
by setting the character field 17 of the initialized  
memory locations to the character value of the respective  
25 characters of the alphabet over which the compression  
is occurring. The parent pointer field 70, child pointer  
field 71 and sibling pointer field 72 of these initialized  
locations are set to zero. It is appreciated that the  
initialized locations provide the root nodes for storing  
30 strings in the dictionary 13 and thus, the parent pointer  
fields 70 of these initialized locations will remain  
at zero.

By these operations, the initial locations of  
the dictionary 13 are set to contain the respective single  
35 character strings. In an ASCII embodiment, the first  
256 locations of the dictionary 13 contain the respective  
256 single character strings. In the operations of the

1 block 100, the remaining locations of the dictionary  
13 are cleared by setting all of the fields thereof to  
zero. In an ASCII implementation the dictionary locations  
with node numbers of 266 and greater are cleared.

5 At a block 101, the current match register 24  
is set to zero and at a block 102 the previous match  
register 25 is set to zero. At a block 103, the next  
input character is placed into the current character  
register 23.

10 At a block 104, a search is made to determine  
if the current matched string concatenated by the current  
character is in the dictionary. Any known appropriate  
dictionary searching procedure, such as those described  
in the above-cited references, may be utilized.

15 Specifically herein, for a non-zero current match, the  
current match register 24 contains the node number of  
the current matched string. The child pointed to by  
the child pointer field 71 of the current match node  
is compared with the input character. If the input  
20 character matches the child of the current node, then  
the decision of block 104 is answered in the affirmative  
and the YES path is taken. If the child node does not  
match the current character, the sibling list pointed  
to by the child node is inspected to determine if the  
25 current character matches a sibling. If a match is found,  
the YES path is taken. If, however, the current node  
is childless or the current character does not match  
any child of the current node, the NO path from the block  
104 is taken.

30 If the current match is zero, the block 104 is  
seeking a root node in the dictionary 13 having the  
character value equal to the current character. Since  
the dictionary 13 is initialized with all single character  
strings, the YES branch from the block 104 is  
35 automatically taken.

When the YES branch from the block 104 is taken,  
the compression processing of Figure 6 is at a point

1 where the current match concatenated by the current  
character has been found in the dictionary 13 and the  
search for a still longer string will be continued.  
Accordingly, the current match is updated in a block  
5 105 so that the new current match is set equal to the  
existing current match concatenated by the current  
character. This is accomplished by appropriately updating  
the node number in the current match register 24. When  
the current match is non-zero, the current match register  
10 24 is updated with the node number of the child node  
(or sibling node) that matched the current character  
as discussed above. If the current match is zero, the  
current match register 24 is updated with the node number  
of the single character string that matched the current  
15 character. The single character node number can be  
obtained algorithmically in a well-known manner, or can  
be found by searching the initialized locations for the  
current character value.

If the NO branch is taken from the block 104,  
20 the current match concatenated by the current character  
does not match a string stored in the dictionary 13.  
The current match, that had been found in the dictionary,  
provides the longest match with the input data character  
stream, and the current character that was concatenated  
25 with the current match in the block 104 "broke" the match.  
At this point, a block 106 provides the compressed code  
signal representative of the longest match. This code  
of the longest match is found in the current match  
register 24 and is the node number of the current match.

30 In a block 107 the contents of the current match  
register 24 is transferred to the previous match register  
25. Thus, the previous match register 25 now stores  
the node number of the location representative of the  
current longest match. The previous match register 25  
35 is then utilized in updating the dictionary 13 in a manner  
to be further discussed.

After the current match is stored as the previous

1 match, the current character is stored as the current  
match in a block 110. The block 110, therefore, begins  
the search for the next longest matched string utilizing,  
as the first or root character of the next match, the  
5 mismatching input character that broke the last match.  
In the block 110, therefore, the current match register  
24 is set to the node number of the initialized single  
character root node having the value of the current  
character. This can be done either algorithmically or  
10 by searching in the manner described above with respect  
to the block 105. The block 110 leads into the dictionary  
updating logic to be described.

The block 110 may alternatively be implemented  
with the following logic. Instead of the current match  
15 being set to the current character, as in block 110,  
the current match can be set to zero and processing can  
be returned to the input of the block 104. The result  
of this alternative processing always leads to the YES  
path of the block 104 because of the dictionary  
20 initialization and thus to the block 105. It is  
appreciated that the same result is achieved by the block  
110, as shown, with fewer processing steps. This logic  
is, however, used in the non-initialized compression  
processing of Figure 9.

25 When the block 105 is reached, the current  
character extension of the current match has been found  
in the dictionary and, in accordance with the invention,  
the current character is utilized to extend the previous  
match to provide an update string to be stored in the  
30 dictionary 13. When, however, the block 105 is reached  
after only the first input character has been received,  
there will be no previous match and the dictionary 13  
should not, at that point, be updated. Accordingly,  
a decision block 111 determines if the previous match  
35 is zero. This is accomplished by examining the previous  
match register 25 which was initially set to zero at  
block 102. If the previous match is zero, the YES path

1 is taken from the block 111 bypassing the dictionary  
updating. When the block 105 is reached after the first  
input character has been processed, the previous match  
will be non-zero and the NO path from the block 111 will  
5 be taken to perform the dictionary updating in accordance  
with the present invention.

Accordingly, at a block 112, the code generator  
26 provides the next available code. The next available  
code will be the node number of the next available empty  
10 dictionary location for storing the update string. At  
a block 113, the previous match concatenated by the  
current character is stored in the dictionary 13 at this  
next available empty location accessed by this next  
available code.

15 The storage of block 113 is achieved as follows.  
The parent pointer field 70 of the next available empty  
location accessed by the next available code of block  
112 is set to the node number of the previous match found  
in the previous match register 25. The character field  
20 17 of this next empty location is set to the value of  
the current character from the current character register  
23. The previous match parent node is linked to this  
newly created node as follows. The node number of the  
previous match parent node is in the previous match  
25 register 25. If the previous match parent node is  
childless (child pointer field = 0), the next available  
code from the block 112, which is the node number of  
the newly created child, is inserted into the child  
pointer field 71 of this previous match parent. If the  
30 previous match parent already has children, this next  
available code node number of the newly created node  
is inserted into the sibling list of the children of  
the previous match parent. This is done by adjusting  
a sibling pointer field 72 of a sibling in the list to  
35 accommodate the newly created sibling and to accordingly  
insert an appropriate sibling node number into the sibling  
pointer field 72 of the newly created sibling.

1           A block 114 is used to update the previous match  
register 25 so as to point to the node number of the  
previously matched string extended by the current  
character as described with respect to the block 113.  
5 This is accomplished by inserting the node number of  
the newly created child or sibling, as described with  
respect to the block 113, into the previous match register  
25. This node number is the next available code described  
with respect to the block 112 and is provided by the  
10 code generator 26.

          After the dictionary updating is performed  
pursuant to blocks 112-114, a decision block 115 is  
entered to determine if the current input character in  
the current character register 23 is the last input  
15 character in the input data stream. The block 115 is  
also entered from the YES path of block 111 to bypass  
dictionary updating as previously discussed. If the  
current character is the last character, the YES path  
from the block 115 is taken to a block 116 where the  
20 code of the current match is output. The compressed  
code output provided pursuant to the block 116 is found  
in the current match register 24. After outputting the  
compressed code pursuant to the block 116, a block 117  
is entered ending the processing.

25           If, however, the current character in the current  
character register 23 is not the last input character,  
the NO branch is taken from the block 115 which returns  
to the input of the block 103 by a path 118. Pursuant  
to the block 103, the next input character is inserted  
30 into the current character register 23 and the data  
compression processing of Figure 6 is continued.

          If it is desired to temporarily suspend  
processing, the suspension is performed at a hold block  
119 in the path 118.

35           It is appreciated from the foregoing, that blocks  
103-105 control searching the dictionary 13 for the  
longest matched string and that block 106 provides the

1 compressed code output corresponding to the longest match.  
The block 110 begins the search for a next longest match  
beginning with the character that caused the mismatch  
in the previous string matching cycle.

5           The blocks 107 and 112-114 control updating the  
dictionary 13 in accordance with the invention. When  
the block 104 determines that the current input character  
has successfully extended the current match, the blocks  
112-114 concatenate this character with the previously  
10 matched string that is in the process of being extended.  
Thus, dictionary updating is immediate and interleaved  
on a character-by-character basis with the string search.

          It is appreciated that when the current string  
being matched is on the same tree path as the previous  
15 string being extended, the property of the present  
algorithm of efficiently compressing a repeating character  
or character group string is achieved. Such an input  
string is compressed in two compressed code signals  
irrespective of its length as will be further clarified  
20 with respect to Figures 8 and 12.

          Referring to Figure 7, with continued reference  
to Figures 2 and 3b, a control flow chart is illustrated  
depicting the operations executed by the recover and  
update control section 50 for decompressing the compressed  
25 code generated in accordance with Figure 6. Figure 7  
is predicated on the decompression dictionary 43  
initialized with all single character strings. Control  
57 is considered as containing appropriate circuitry,  
such as state machines, to control execution of the  
30 operations.

          Pursuant to a block 130, the decompression  
dictionary 43 is cleared and initialized. The operations  
of the block 130 with respect to the dictionary 43 are  
the same as those described above with respect to the  
35 block 100 and compression dictionary 13.

          At a block 131, the previous string register  
54 is cleared to zero and at a block 132 an input

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1 compressed code is inserted into the current received code register 53.

Processing continues with a decision block 133 where it is determined if the current received code in the current received code register 53 has a corresponding string in the dictionary 43. Normally, the dictionary 43 will contain a string corresponding to the current received code. An exception occurs when the current received code resulted from the compressor encountering a repeating character or character group string. The decision of block 133 is effected by accessing the dictionary 43 using the current received code as an address and determining whether or not the accessed dictionary location is cleared. If the dictionary location is cleared, the string corresponding to the current received code is not in the dictionary. Alternatively, in the sequential code assignment embodiment, the decision of block 133 may be effected by determining if the current received code is less than or equal to the extant code of the code generator 56. When the current received code is less than or equal to the extant code of the code generator 56, a string corresponding to the current received code is in the dictionary 43. If, however, the current received code is greater than the extant code, the string corresponding to the current received code is not yet in the dictionary 43.

If the current received code string is not in the dictionary 43, the NO path from the block 133 is taken to the block 55 for executing unrecognized code processing. The details of unrecognized code processing will be described with respect to Figure 8.

When the current received code has a corresponding string in the dictionary 43, the YES path from the block 133 is taken to a block 134. At block 134, the characters of the string corresponding to the current received code are recovered by an appropriate known dictionary look-up

1 process (e.g. Welch patent ('302) Figure 5 or Clark patent  
( '591) Figure 5). A parameter  $n$  is provided at a block  
135 and is set equal to the number of characters in the  
string recovered in block 134. An index  $i$  is set equal  
5 to 1 at a block 136. The index  $i$  is used to step through  
the  $n$  characters of the string recovered in the block  
134 so that the characters of the recovered string are  
output beginning with the first character thereof.  
Accordingly, a block 137 provides for outputting the  
10  $i^{\text{th}}$  character of the current received code string.

A decision block 140 is included to determine  
if the previous string is equal to zero. This test is  
effected by determining if the contents of the previous  
string register 54 are zero. If the previous string  
15 register 54 is zero, the YES path from the block 140  
is taken to bypass dictionary updating. The function  
of the block 140 is similar to that described above with  
respect to the block 111 of Figure 6 and, therefore,  
the YES path from the block 140 is taken only in response  
20 to the first received input code.

When the previous string register 54 is not zero,  
the NO path from the block 140 is taken and at a block  
141 the next available code for the next empty location  
of the dictionary 43 is provided by the code generator  
25 56. At a block 142 the previous string concatenated  
with the  $i^{\text{th}}$  character of the string corresponding to  
the current received code is stored at this next empty  
location. At a block 143 the previous string register  
54 is updated to store the node number of the extended  
30 previous string of block 142. The operations performed  
in executing the blocks 141-143 are the same as those  
described above with respect to the blocks 112-114 of  
Figure 6. In Figure 7 the previous string register 54  
is utilized, whereas in Figure 6 the previous match  
35 register 25 is involved.

At a block 144, the index  $i$  is incremented by  
one. The YES path from the decision block 140 is also

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1 applied at an input to block 144 so that the dictionary  
update processing of blocks 141-143 is bypassed as  
previously described. At a decision block 145 a test  
is made to determine if the index  $i$  has attained the  
5 value  $n+1$ . When the index  $i$  is not equal to  $n+1$ , the  
NO path is taken from block 145 which returns processing  
to the input of the block 137. Processing through the  
blocks 137 and 140-145 is continued until  $i=n+1$ .

In this manner, the  $n$  characters of the current  
10 received code string are output in the correct order  
at the block 137 and the previous recovered string is  
extended by all of the prefixes of the current received  
code string. The processing of the blocks 141-143 stores  
the same strings in the decompression dictionary 43 as  
15 are stored by the blocks 112-114 of Figure 6 in the  
compression dictionary 13. The strings stored pursuant  
to the blocks 141-143 in the decompression dictionary  
43 are stored at the same respective addresses as the  
strings stored pursuant to the blocks 112-114 in the  
20 compression dictionary 13.

When the index  $i$  attains the value  $n+1$ , the YES  
path from the block 145 is taken to a block 146. At  
block 146 the current received code string replaces the  
previous string in preparation for processing the next  
25 input code. This is achieved by inserting the contents  
of the current received code register 53 into the previous  
string register 54. The unrecognized code processing  
55 exits as an input into block 146.

If the current received code just processed is  
30 not the last input code, a decision block 147 returns  
processing to the input of block 132 via the NO path  
of block 147. Processing returns to the block 132 via  
a path 148 to initiate processing the next input  
compressed code. If it is desired to temporarily suspend  
35 processing, the suspension is performed at a hold block  
149 in the path 148. The hold block 149 in the  
decompressor corresponds to the hold block 119 in the

1 compressor.

If, at the block 147, the current received code is the last input code, the YES path from the block 147 is taken to block 150 to terminate processing.

5 It is appreciated from the foregoing that the blocks 134 and 137 recover and output the characters of the string corresponding to the current received code, while the blocks 141-143 update the dictionary 43 by storing the previous recovered string extended by the  
10 prefixes of the current recovered string.

Referring to Figure 8, details of the unrecognized code processing 55 are illustrated. At a block 160, the index  $i$  is set equal to one and, for reasons to be described, will be incremented modulo  $n$ . At a block  
15 161, the  $n$  characters of the previous string are recovered. The previous string has  $n$  characters since this was the current received code string in the previous string recovery cycle. The block 161 is implemented by accessing the dictionary 43 with the contents of the  
20 previous string register 54 utilizing the known dictionary string recovery process as discussed above with respect to the block 134.

At a block 162, the code generator 56 provides the next available code for the next empty dictionary  
25 location. At a block 163, the previous string extended by the  $i^{\text{th}}$  character of the previous string is stored at this next empty location. At a block 164, the previous string is replaced by the extended previous string of block 163 by updating the previous string register 54  
30 to store the node number of this extended previous string. The dictionary updating operations of blocks 162-164 are similar to those described above with respect to blocks 141-143. As previously explained, the  
35 implementation of the dictionary updating of blocks 141-143 had been described in detail with respect to blocks 112-114 of Figure 6. In the processing of the blocks 162-164, the previous string register 54 is utilized.

1           At a decision block 165, a test is made to  
determine if the code currently provided by the code  
generator 56 is equal to the current received code in  
the current received code register 53. If the extant  
5 code of the code generator 56 has not attained the value  
of the current received code, the NO path from the block  
165 is taken to a block 166 wherein the index  $i$  is  
incremented modulo  $n$  by one. Processing then loops back  
to the input of block 162 to store additional update  
10 strings until the extant code of the code generator 56  
equals the current received code.

When block 165 indicates that the extant code  
of the code generator 56 is equal to the current received  
code in the current received code register 53, the YES  
15 path from the block 165 is taken to a block 167. It  
is appreciated that when the block 165 indicates the  
code is equal to the current received code, the string  
corresponding to this unrecognized current received code  
is now stored in the decompression dictionary 43.

20           At the block 167 the characters of the string  
corresponding to the current received code are recovered  
and each character is output beginning with the first  
character. The block 167 is implemented by accessing  
the dictionary 43 with the contents of the current  
25 received code register 53 and utilizing the known  
dictionary string recovery procedures discussed above  
with respect to the block 134.

It is appreciated from the foregoing that by  
the processing of the blocks 160-167, the string  
30 corresponding to the unrecognized compressed input code  
is constructed, stored in the decompression dictionary  
43 and the characters thereof recovered for outputting.  
It is further appreciated that when the compressor of  
Figure 6 generates and stores the strings associated  
35 with an unrecognized code, the compressor stores  $n$  strings  
beyond the string corresponding to the transmitted code.  
This will be further clarified with respect to Figure 12.

1 The processing at the decompressor to construct and store these n strings is as follows.

Processing proceeds to a block 170 whereat the index i is incremented modulo n by one. Blocks 171-173  
5 duplicate the processing of blocks 162-164, respectively. Processing then proceeds to a block 174 whereat the parameter n is decremented by one. The parameter n is then tested in a decision block 175 to determine if n is equal to zero. If n is not yet zero, the NO path  
10 is taken from the block 175 back to the input of the block 170. When the parameter n attains the value of zero, the unrecognized code processing exits on the YES path of the block 175.

In the blocks 166 and 170, the index i is  
15 incremented modulo n to facilitate providing the appropriate character values for the strings stored pursuant to blocks 163 and 172. The value of n used is that provided by block 135 (Figure 7) and prior to decrementing in block 174. The character values are  
20 those of the n characters of the previous string recovered pursuant to block 161 and as indexed by i. The n characters of the previous string recovered pursuant to block 161 form an n character prefix for the strings constructed and stored pursuant to blocks 163 and 172.

25 The processing of Figure 8 functions for any type of code assignment process used by the code generators 26 and 56 including sequential or pseudorandom code assignment such as by hashing. When the code assignment process is sequential, the logic of Figure  
30 8 can be simplified as follows.

For sequential code assignment, the test of the block 165 becomes "Code = Current Received Code + n". The blocks 170-175 are eliminated and the unrecognized code processing exits from block 167.

35 It is appreciated from the foregoing that block 167 recovers the characters of the string corresponding to the unrecognized received code and that blocks 163

1 and 172 store the same strings in the decompression  
dictionary 43 as are stored in the compression dictionary  
13 when the repeated character or character group string  
occurs as discussed above with respect to Figure 6.

5 Referring to Figure 9, with continued reference  
to Figures 1 and 3b, a control flow chart of detailed  
operations to be executed by the search and update control  
section 20 so as to perform data compression in accordance  
with the invention is illustrated. Control 27 is  
10 considered as containing appropriate circuitry, such  
as state machines, to control execution of the operations.  
The flow chart of Figure 9 is predicated on a  
non-initialized compression dictionary 13. In the non-  
initialized embodiment of Figure 9 when a character is  
15 encountered for the first time, a zero code is transmitted  
followed by transmission of the character in uncompressed  
form. The zero code provides an indication to the  
decompressor that such a character has been transmitted  
by the compressor. The character that is encountered  
20 for the first time is stored in the compressor dictionary  
13 to function as a stored single character string or  
root node with respect to subsequent encounters of the  
character. Except for the accommodation of the characters  
encountered for the first time, the flow chart of Figure  
25 9 functions in the same manner as that of Figure 6.

At a block 180 the dictionary 13 is cleared.  
Dictionary clearing may be performed by setting fields  
17 and 70-72 of Figure 3b to zero.

The flow chart of Figure 9 includes blocks 181-187  
30 and 190-194. These blocks are the same as blocks 101,  
103-107 and 112-117, respectively, of Figure 6. The  
explanations given above with respect to these blocks  
of Figure 6 apply to the corresponding blocks of Figure  
9 except as follows.

35 In block 183, when the current match is zero,  
a search in the dictionary 13 is effected to determine  
if the single character string has already been entered

1 into the dictionary as a root node. If the single  
character string is already in the dictionary, the YES  
path from the block 183 is taken, otherwise the NO path  
is taken. In block 184, when the current match is zero,  
5 the current match register 24 is updated with the node  
number of the single character root node that was matched  
in the block 183. Additionally, with respect to the  
block 187 in a sequential code assignment embodiment,  
the code assignments will begin with unity since, in  
10 this non-initialized embodiment, all dictionary locations  
are available for storing strings as they are encountered  
in the input. It is also noted that the block 111 in  
Figure 6 that bypasses the dictionary updating is not  
required in Figure 9. This follows because, in this  
15 non-initialized embodiment, the first input character  
is a character that is encountered for the first time  
providing a previous match for the next iteration as  
will be described below.

Additionally, the block 185 corresponds with  
20 the block 106 of Figure 6. In the block 185, as in block  
106, the compressed output code is found in the current  
match register 24. In the block 185, however, when the  
current match is zero, this zero code is output indicating  
that the current input character is a character that  
25 has been encountered for the first time.

The flow chart of Figure 9 includes a decision  
block 195 that determines if the contents of the current  
match register 24 is equal to zero. If the current match  
is not zero, the NO path from the block 195 is taken  
30 to the block 186 whereat the contents of the current  
match register 24 is transferred to the previous match  
register 25 as described above with respect to  
corresponding block 107 of Figure 6. At a block 196,  
the current match register 24 is set to zero and  
35 processing transfers to the input of the block 183.  
The block 186 sets up the dictionary updating for the  
next string parsing operation and the block 196 causes

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1 the next string search to begin with the character in  
the current character register 23.

If the YES path from the block 195 is taken,  
the current match is equal to zero and the character  
5 in the current character register 23 has been encountered  
for the first time. Thus, at a block 197 this character  
is output. Since the block 185 had output the zero valued  
current match, this first encountered current character,  
that was output at the block 197, was preceded by the  
10 zero code as discussed above. At a block 200, the next  
available code is provided by the code generator 26  
indicating the next available empty location in the  
dictionary 13. At a block 201, the current character  
in the current character register 23 is stored at this  
15 next empty location as a single character string root  
node. The function of the block 201 is accomplished  
by storing the character from the current character  
register 23 into the character field 17 of this next  
empty location. The parent pointer field 70, child  
20 pointer field 71 and sibling pointer field 72 will all  
have been previously zeroed at the block 180.

At a block 202, the previous match register 25  
is set to the root node created in the block 201 for  
the purpose of dictionary updating in the next string  
25 parsing operation. This is achieved by inserting into  
the previous match register 25, the node number of this  
root node newly created at block 201. This node number  
will be the code just provided at block 200.

A decision block 203 is included to determine  
30 if the character in the current character register 23  
is the last input character. If not, the NO path from  
the block 203 is taken to the input of the block 182  
to acquire the next data character signal from the input  
stream. If, however, the current character tested at  
35 the block 203 is the last input character, the YES path  
from the block 203 is taken to the block 194 to terminate  
the processing.

1           It is appreciated from the foregoing that blocks  
182-184 control searching the dictionary 13 for the  
longest matched string and that block 185 provides the  
compressed code output corresponding to the longest match.  
5   The block 185 also provides the zero code output that  
precedes transmission of a character encountered for  
the first time. The block 196 begins the search for  
a next longest match by zeroing the current match register  
24. Thus, the search for the next longest match begins  
10 with the character that caused the mismatch in the  
previous string matching cycle, which character is in  
the current character register 23.

          The blocks 186, 187, 190 and 191 control updating  
the dictionary 13 in accordance with the invention.  
15 When the block 183 determines that the current input  
character has successfully extended the current match,  
the blocks 187, 190 and 191 concatenate this character  
with the previously matched string that is in the process  
of being extended. The blocks 195, 197 and 200-202  
20 control the management of characters that are encountered  
for the first time. The block 202 provides such a  
character as a previous match for potential extending  
in the next string matching cycle. It is appreciated  
from the logic of Figure 9 that if several such first  
25 encountered characters are received sequentially, only  
the last of these characters will be extended in the  
next string matching cycle.

          Referring to Figure 10, with continued reference  
to Figures 2 and 3b, a control flow chart depicting the  
30 operations executed by the recover and update control  
section 50 for decompressing the compressed code generated  
in accordance with Figure 9 is illustrated. The flow  
chart of Figure 10 is predicated on a non-initialized  
decompression dictionary. Control 57 is considered as  
35 containing appropriate circuitry, such as state machines,  
to control execution of the operations.

          At a block 210, the decompression dictionary 43

1 is cleared in the manner described above with respect  
to the block 180 of Figure 9. Thus, the decompression  
dictionary 43 is cleared in identically the same manner  
as the dictionary 13 of the non-initialized embodiment  
5 of Figure 9.

The operations performed by the decompression  
flow chart of Figure 10 are the same as those performed  
by the decompression flow chart of Figure 7 except with  
respect to managing the characters encountered for the  
10 first time as discussed above with respect to Figure 9.  
Accordingly, Figure 10 includes blocks 55, 211-217 and  
220-226 which perform the same functions as the blocks  
55, 132-137, 141-147 and 150 of Figure 7, respectively.  
The descriptions given above with respect to blocks 55,  
15 132-137, 141-147 and 150 of Figure 7 apply to the  
corresponding blocks of Figure 10.

A block corresponding to the block 140 of Figure  
7 is not utilized in Figure 10. As previously described,  
the block 140 bypasses dictionary updating when the  
20 previous string register 54 is zero. This occurs in  
response to the first received input code in the  
initialized embodiment of Figure 7. In the  
non-initialized embodiment of Figure 10, the first  
received input code is for a character encountered for  
25 the first time, thereby providing a previous string for  
updating in subsequent cycles as will be described.

The processing of the blocks 217, 220 and 221  
stores the same strings in the decompression dictionary  
43 as are stored by the blocks 187, 190 and 191 of Figure  
30 9 in the compression dictionary 13. The strings stored  
pursuant to the blocks 217, 220 and 221 in the  
decompression dictionary 43 are stored at the same  
respective addresses as the strings stored pursuant to  
the blocks 187, 190 and 191 in the compression dictionary  
35 13. Furthermore, when the unrecognized code processing  
of block 55 (Figure 8) is performed in the context of  
Figure 10, the blocks 163 and 172 of Figure 8 store the

1 same strings in the decompression dictionary 43 as are  
stored in the compression dictionary 13 when the repeated  
character or character group string occurs with respect  
to the operation of the compressor of Figure 9.

5 Specifically, the block 212 corresponds with  
the block 133 of Figure 7. The descriptions given above  
with respect to the block 133 apply to the block 212  
except with respect to the zero received code that  
precedes receipt of a character that was encountered  
10 for the first time by the compressor. It is appreciated,  
however, that when processing reaches the block 212,  
the current received code will not be zero since this  
situation is handled in another branch of Figure 10 now  
to be described.

15 At the block 211, an input compressed code signal  
is inserted into the current received code register 53.  
A decision block 230 tests the contents of the current  
received code register 53 to determine if the current  
received code is zero. If the current received code  
20 is not zero, the NO path from the block 230 is taken  
as an input to the block 212 where processing proceeds  
as previously described.

If, however, the current received code is zero,  
a character that was encountered for the first time by  
25 the compressor of Figure 9 is expected. Accordingly,  
this character is input at a block 231. The current  
received code register 53 may be utilized to temporarily  
hold this character. At a block 232, the code generator  
56 provides the next available code corresponding to  
30 the next empty location of the decompression dictionary  
43. At a block 233 the input character is stored at  
this next empty location as a single character root node.  
This is accomplished at block 233 in the manner described  
above with respect to the block 201 of Figure 9. Thus,  
35 the decompression subsystem 40 stores the same single  
character strings in the decompression dictionary 43,  
and at the same addresses, as the compression system 10

1 stores in the compression dictionary 13 in the  
non-initialized embodiment of Figure 9.

At a block 234, the character received at the  
block 231 is output to maintain consistency between the  
5 recovered data output of the decompressor and the input  
data received at the compressor. This may be accomplished  
by outputting this character from the current received  
code register 53 where the character was temporarily  
stored.

10 At a block 235, the parameter n is set to one.  
If this character, which was encountered for the first  
time and just processed, should repeat at the input of  
the compressor, thereby generating an unrecognized  
compressed code, n=1 is the appropriate value for the  
15 unrecognized code processing 55 that would be performed  
in the next decompressor cycle.

At a block 236, the previous string register  
54 is set to the root node created in the block 233 for  
the purpose of dictionary updating in the next string  
20 recovery cycle. This is achieved by inserting the node  
number of this root node newly created at the block 233  
into the previous string register 54. This node number  
will be the code just provided at block 232. It will  
be appreciated from the logic of Figure 10 that if several  
25 characters, each encountered for the first time, are  
received sequentially, only the last such character will  
be extended in the next string recovery cycle in a manner  
similar to that described with respect to Figure 9.

The output of the block 236 provides an input  
30 to the block 225 to continue the processing as described  
above.

Referring to Figures 11a-11e, consecutive states  
of the compression dictionary 13, when compressing a  
typical input data stream, are illustrated. The  
35 dictionary states are schematically represented by partial  
searchtrees. As indicated, the input stream being  
compressed is "abcfghx". The commas illustrated,

1 representing string parsing, are virtual and not in the  
input stream. Underscoring indicates the current input  
character. As indicated in Figure 11a, the dictionary  
13 initially stores the strings "abc" and "fgh". In  
5 Figure 11a the string "abc" has just been matched as  
the longest matched string and the code of "abc" is output  
as indicated by an arrow 240. The string "abc" has,  
therefore, been parsed from the input as indicated by  
a virtual comma 241.

10 In Figure 11b, the next input character "f"  
matches the first character of the string "fgh" as  
indicated by an arrow 242. In accordance with the  
invention, the string "abc", transmitted as the previous  
compressed code in Figure 11a, is extended by the  
15 character "f" as indicated by an arrow 243.

In Figure 11c, the next input character "g"  
matches the second character of the stored string "fgh"  
and the previous extended string "abcf" is now extended  
by the matching input character "g". Similarly, in Figure  
20 11d the input character "h" matches the third character  
of the string "fgh" and is appended to the growing  
previous string to now form the string "abcfgh".

In Figure 11e, the next input character "x" breaks  
the match of the string "fgh" and the code of the longest  
25 match "fgh" is output as indicated by an arrow 244.  
The string "fgh" has been parsed from the input as  
indicated by a virtual comma 245.

It is appreciated from Figures 11a-11e that the  
stored string "abc" has been extended by all of the  
30 prefixes of the matched string "fgh" and that the  
dictionary updating is immediate and interleaved with  
the matching of each of the characters of the current  
matched string. Thus, in Figure 11b the string "abcf"  
was available for matching in the next iteration. In  
35 Figure 11c the string "abcfg" was available for matching  
in the next iteration and in Figure 11d the string  
"abcfgh" was available for matching in the next iteration.

1           It is further appreciated from Figures 11a-11e  
that the decompressor receives the consecutive compressed  
output codes for "abc" and "fgh". When the output code  
for "fgh" is received, the decompressor utilizes the  
5   recovered string "fgh" and the string "abc", corresponding  
to the previously received compressed code, to construct  
and store the strings described and illustrated in Figures  
11a-11e.

          Referring to Figures 12a-12g, the manner in which  
10 the immediate and interleaved dictionary updating aspect  
of the present invention provides a run length encoding  
advantage is demonstrated. As discussed above, the  
present invention compresses a repeating character or  
character group run in two compressed code symbols  
15 regardless of the length of the run. Figures 12a-12g  
are schematic representations of partial searchtrees  
illustrating consecutive states of the compression  
dictionary 13 when the input data character stream is  
a repeating character group. The input data stream is  
20 illustrated as "abababax". In this sample input it is  
noted that the repeating character group run terminates  
with a fragment of the character group. The operations  
described below are also applicable to termination of  
the run by the complete character group. As in Figures  
25 11a-11e, the virtual commas represent string parsing  
and the underscoring represents the current input  
character.

          In Figure 12a, the compression dictionary 13  
is storing the string "ab" which is matched by the first  
30 two input characters. Thus, the code of "ab" is output  
as indicated by an arrow 250. The parsing of the string  
"ab" from the input is indicated by a virtual comma 251.

          In Figure 12b, the current input character "a"  
matches the first character of the stored string "ab",  
35 as indicated by an arrow 252. Since the code for "ab"  
was transmitted as the previous compressed code, the  
matching character "a" is appended to the string "ab"

1 as indicated by an arrow 253. The compression dictionary  
13 now stores the string "aba" which can be utilized  
for matching.

In Figure 12c, the next input character "b"  
5 matches the second character of the stored string "aba"  
and, accordingly, the string "aba" is extended by this  
character. Thus, the compression dictionary 13 now stores  
the string "abab" which is available for matching.

This sequence of input character matching and  
10 string extending is illustrated for the next three input  
characters in Figures 12d-12f. Thus, in Figure 12d the  
string "ababa" is stored and available for matching,  
in Figure 12e the string "ababab" is stored and available  
for matching, and in Figure 12f the string "abababa"  
15 is stored and available for matching.

It is appreciated that as this repeating sequence  
continues, the input character matching and stored string  
extending is performed on the same branch of the tree  
and would continue until a character is received that  
20 would break the match. As this is occurring, the code  
generator 26 (Figure 1) is continuously providing new  
codes so as to store the strings at the newly created  
nodes. Up to this point, the decompressor is unaware  
of the activities, as illustrated in Figures 12b-12f,  
25 occurring at the compressor. The last information  
received by the decompressor was the output code of the  
string "ab" as described with respect to Figure 12a.

In Figure 12g, the input character "x" breaks  
the match since the string "ababax" is not found in the  
30 compressor dictionary 13. Accordingly, the code of the  
longest match "ababa" is output as indicated by an arrow  
254. This string has, accordingly, been parsed from  
the input as indicated by a virtual comma 255. It is  
appreciated from Figure 12g that two additional strings  
35 have been stored in the compressor dictionary 13 beyond  
the longest matched string. These strings are represented  
by nodes 256 and 257.

1           Thus, it is appreciated from Figures 12a-12g  
that the repeating character group string "ababa" was  
compressed in two code symbols as indicated by reference  
numerals 250 and 254. It is further appreciated that  
5 if the repeating run of the character group "ab" had  
been continued beyond Figure 12f for a longer run than  
illustrated, only two code symbols would still be utilized  
for the compression. It is seen, that the repeating  
run ends at a fragment of the repeating character group  
10 but could also continue to terminate at the complete  
group "ab".

          It is appreciated from the foregoing that the  
output code indicated in Figure 12g by reference numeral  
254 will be unrecognized at the decompressor since the  
15 decompressor is not yet storing the string "ababa".  
The unrecognized code processing of Figure 8 constructs  
and stores the string "ababa", as well as the prefixes  
thereof shown in Figures 12b and 12c. The unrecognized  
code processing furthermore constructs and stores the  
20 additional extended strings designated by reference  
numerals 256 and 257 in Figure 12g.

          In Figure 8 the processing of blocks 160-167  
constructs, stores and outputs the string "ababa"  
corresponding to the unrecognized compressed code  
25 indicated by the arrow 254. The processing of blocks  
170-175 constructs and stores the additional strings  
256 and 257.

          In the example of Figures 12a-12g, the previous  
string used in the unrecognized code processing is the  
30 string "ab" which was already stored in the decompressor  
dictionary when the decompressor performed the string  
recovery cycle corresponding to Figure 12a. In Figure  
8, the parameter  $n$  is 2 and the index  $i$  is incremented  
modulo 2 thereby sequentially and repeatedly providing  
35 the characters "ab" for the blocks 163 and 172 so as  
to construct the strings illustrated in Figure 12b-12f.

          It is further appreciated from the foregoing



1 that the unrecognized code processing constructs and  
recovers the appropriate strings when the repeating  
character or repeating character group run is terminated  
by a mismatching character or by running out of input  
5 characters. The run may terminate with a fragment of  
the multi-character group of a repeating character group  
run or with the complete group. Figures 12a-12g  
illustrate termination by the mismatching character "x"  
and further illustrate termination with a fragment of  
10 the repeating group. In Figure 12g the run ends with  
the fragment "a" of the repeating group "ab". When the  
repeating group run terminates with the complete group  
"ab", the appropriate operations are readily appreciated  
from the above-given descriptions.

15 It is appreciated from the foregoing that the  
above-described processing preserves the prefix property  
for the strings stored in the dictionaries 13 and 43  
in that the prefixes of the stored strings also exist  
in the dictionaries.

20 The above embodiments compress a stream of input  
data character signals. The input data characters can  
be over any size alphabet having any corresponding  
character bit size. For example, the data characters  
can be textual, such as ASCII characters, over an  
25 alphabet, such as the 256 character ASCII alphabet of  
eight-bit characters. The input data can also be binary  
characters over the two character binary alphabet 1 and  
0 having a one-bit sized character. This type of alphabet  
occurs, for example, in bit mapped images. It is  
30 appreciated that textual data can also be compressed  
over the two character alphabet of the underlying binary  
data.

The above embodiments were described in terms  
of searching for the longest match. It is appreciated  
35 that the immediate and interleaved updating process of  
the present invention can also be used in systems that  
match strings that are not necessarily the longest.

1           It is appreciated that the above-described  
embodiments of the invention may be implemented in  
hardware, firmware, software or a combination thereof.  
Discrete circuit components may readily be implemented  
5 for performing the various described functions. In a  
software embodiment, appropriate microprocessors,  
programmed with coding readily generated from the  
above-described flow charts, may be utilized.

          While the invention has been described in its  
10 preferred embodiments, it is to be understood that the  
words which have been used are words of description rather  
than of limitation and that changes may be made within  
the purview of the appended claims without departing  
from the true scope and spirit of the invention in its  
15 broader aspects.

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THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. Data compression apparatus for compressing a stream of data characters into a stream of compressed codes, characterized by

storage means for storing strings of data characters, each said string having a code associated therewith,

means for searching said stream of data characters by comparing said stream to said stored strings to perform a character-by-character match therewith until a longest match between said stream of data characters and said stored strings is determined,

means for outputting the code associated with said longest match so as to provide said stream of compressed codes,

means for entering extended strings into said storage means, the entry of said extended strings being interleaved with the matching of the data characters of said character-by-character match, said extended strings comprising the previously matched string corresponding to the last outputted code extended by each data character, in turn, as each data character is matched during said character-by-character match, one extended string being entered for each said data character matched during said character-by-character match, the entering of said extended strings interleaved with the matching of said data characters of said character-by-character match continuing until said longest match is determined, and

means for assigning respective codes to said extended strings.

2. The apparatus of claim 1 wherein said means for entering is operative to enter one of said extended strings into said storage means as each said data character is matched and before a next data character is matched.



3. The apparatus of claim 1 wherein said apparatus operates in successive string matching cycles, respective longest matched strings being determined in said successive cycles, a current cycle following a previous cycle,

5 said previously matched string being matched in said previous cycle with said last provided code being provided in said previous cycle,

10 said character-by-character match occurring in said current cycle with said previously matched string being extended by said each data character during said current cycle.

4. The apparatus of claim 3 wherein said means for searching and said means for entering are operative so that  
15 when a partial string W and a data character C are matched, one of said extended strings is entered into said storage means with said data character C as an extension character of a string PW where P is said previously matched string and W is in the process of being matched in said current  
20 cycle.

5. The apparatus of claim 3 wherein said means for searching is operative for determining when said longest match has been achieved by determining when a data  
25 character fails to match during said character-by-character match,

30 said means for searching includes means for beginning a next string matching cycle with said data character that failed to match.

6. The apparatus of claim 1 further including means for initializing said storage means with all single character strings with respective codes associated therewith.

7. The apparatus of claim 1 further including means for outputting a data character encountered for the first



time in uncompressed form following an indication that such a data character is being output, and

means for entering said data character encountered for the first time into said storage means as a single character string.

8. The apparatus of claim 7 wherein said indication comprises a zero code.

9. The apparatus of claim 3 wherein said strings are stored in said storage means in a linked tree structure and said stream of data character includes a repeating character string comprised of a repeating character, said apparatus being operative for compressing said repeating character string in two compressed codes irrespective of the length thereof.

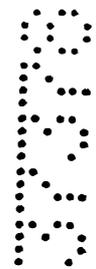
10. The apparatus of claim 9 wherein said previously matched string comprises said repeating character, said means for searching is operative to match said repeating character string on a path through said tree, and said means for entering is operative to enter said extended strings on said path, thereby compressing said repeating character string in two compressed codes irrespective of the length thereof.

11. The apparatus of claim 3 wherein said strings are stored in said storage means in a linked tree structure and said stream of data characters includes a repeating character group string comprised of a repeating character group, said apparatus being operative for compressing said repeating character group string in two compressed codes irrespective of the length thereof.



12. The apparatus of claim 11 wherein  
said previously matched string comprises said  
repeating character group,  
said means for searching is operative to match  
5 said repeating character group sting on a path through said  
tree, and  
said means for entering is operative to enter  
said extended strings on said path,  
thereby compressing said repeating character  
10 group string in two compressed codes irrespective of the  
length thereof.

13. Data decompression apparatus for receiving a  
stream of compressed codes provided by a data compressor  
15 responsive to a stream of data characters, said apparatus  
operative for recovering said stream of data characters  
from said stream of compressed codes, characterized by  
storage means for storing stings of data  
characters, each said string having a code associated  
20 therewith,  
means for accessing said storage means with a  
current received compressed code for recovering a string  
from said storage means corresponding to said current  
received compressed code so as to recover the data  
25 characters thereof,  
means for entering into said storage means,  
extended strings comprising the previously recovered string  
corresponding to the previously received compressed code  
extended by each data character, in turn, of said recovered  
30 string corresponding to said current received compressed  
code,  
means for assigning respective codes to said  
extended strings,  
further means for entering into said storage  
35 means, further extended strings in response to receiving an  
unrecognised compressed code, said further extended strings  
compressing said previously recovered string corresponding



to said previously received compressed code extended by each data character, in turn, of said previously recovered string, sequentially and repeatedly, until a string corresponding to said unrecognized compressed code is entered,

means for assigning respective codes to said further extended strings, and

means for providing the data characters of said strings corresponding to said unrecognized compressed code so as to recover said data characters.

14. The apparatus of claim 13 wherein said further means for entering is operative for entering into said storage means, additional extended strings comprising said string corresponding to said unrecognized compressed code further extended by the data characters of said previously recovered string, in turn, until a number of said additional extended strings are entered into said storage means, said number being equal to the number of data characters comprising said previously recovered string,

means for assigning respective codes to said additional extended strings.

15. The apparatus of claim 14 wherein said apparatus operates in successive string recovery cycles, respective strings being recovered in said successive cycles, a current cycle following a previous cycle,

said previously recovered string being recovered in said previous cycle with said previously received compressed code being received in said previous cycle,

said current received compressed code being received during said current cycle, and

said means for entering being operative for entering said extended strings into said storage means during said current cycle.

16. The apparatus of claim 15 wherein said



unrecognized compressed code is received during said current cycle,

5 said further means for entering being operative for entering said further extended strings and said additional extended strings into said storage means during said current cycle.

17. The apparatus of claim 13 further including means for initializing said storage means with all single character strings with respective codes associated therewith.

18. The apparatus of claim 13 wherein said stream of compressed codes includes a data character in uncompressed form following an indication that such a data character is being received,

15 said data character in uncompressed form corresponding to data character encountered for the first time by said data compressor in said stream of data characters

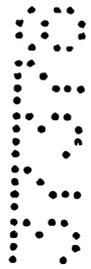
20 said apparatus further including, means for entering said data character received in uncompressed form into said storage means as a single character string, and

25 means for outputting said data character received in uncompressed form.

19. The apparatus of claim 18 wherein said indication comprises a zero code.

30 20. The apparatus of claim 16 wherein said stream of data characters includes a repeating character string comprised of a repeating character, said data compressor being operative for compressing said repeating character string into two consecutive compressed codes, and

said strings are stored in said storage means in

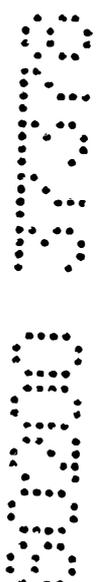


a linked tree structure.

21.           The apparatus of claim 20 wherein  
              said previously received compressed code  
5       comprises a first of said consecutive compressed codes and  
      said unrecognized compressed code comprises a second of  
      said consecutive compressed codes,  
              said previously recovered string comprises said  
      repeating character and said string corresponding to said  
10       unrecognized compressed code comprises said repeating  
      character string,  
              said previously recovered string is stored on a  
      path through said tree, and  
              said means for entering and said further means  
15       for entering are operative for entering said further  
      extended strings and said additional extended strings on  
      said path.

22.           The apparatus of claim 16 wherein  
              said stream of data characters includes a  
20       repeating character group string comprised of a repeating  
      character group, said data compressor being operative for  
      compressing said repeating character group string into two  
      consecutive compressed codes, and  
              said strings are stored in said storage means in  
25       a linked tree structure.

23.           The apparatus of claim 22 wherein  
              said previously received compressed code  
30       comprises a first of said consecutive compressed codes and  
      said unrecognized compressed code comprises a second of  
      said consecutive compressed codes,  
              said previously recovered string comprises said  
      repeating character group and said string corresponding to  
35       said unrecognized compressed code comprises said repeating  
      character group string,  
              said previously recovered string is stored on a



path through said tree, and

said means for entering and said further means for entering are operative for entering said further extended strings and said additional extended strings on said path.

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24. The apparatus of claim 13 wherein said further means for entering is operative for generating said further extended strings in accordance with said previously recovered string, the number of data characters comprising said previously recovered string, the number of data characters comprising said previously recovered string, said unrecognized compressed code and said codes being assigned to said further extended strings, one of said further extended strings being a string corresponding to said unrecognized compressed code.

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25. A data compression method for compressing a stream of data characters into a stream of compressed codes, characterized by

storing strings of data characters in storage means, each said string having a code associated therewith,

searching said stream of data characters by comparing said stream to said stored strings to perform a character-by-character match therewith until a predetermined match is determined,

outputting the code associated with said predetermined match so as to provide said stream of compressed codes,

entering extended strings into said storage means, the entry of said extended strings being interleaved with the matching of the data characters of said character-by-character match, said extended strings comprising the previously matched string corresponding to the last outputted code extended by each data character, in turn, as each data character is matched during said character-by-character match, one extended string being entered for each

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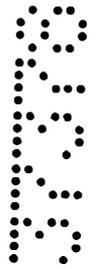
said data character matched during said character-by-character match, the entering of said extended strings interleaved with the matching of said data character of said character-by-character match continuing until said  
5 predetermined match is determined, and  
assigning respective codes to said extended strings.

26. The method of claim 25 wherein said entering step  
10 includes entering one of said extended strings into said storage means as each said data character is matched and before a next data character is matched.

27. The method of claim 26 wherein said method  
15 operates is successive string matching cycles, respective longest matched strings being determined in said successive cycles, a current cycle following a previous cycle,  
said previously matched string being matched in  
said previous cycle with said last provided code being  
20 provided in said previous cycle,  
said character-by-character match occurring in  
said current cycle with said previously matched string being extended by said each data character during said current cycle.

28. The method of claim 27 wherein said searching and  
said entering steps are performed so that when a partial  
string W and a data character C are matched, one of said  
extended strings is entered into said storage means with  
30 said data character C as an extension character of a string PW where P is said previously matched string and W is in the process of being matched in said current cycle.

29. The method of claim 27 wherein said searching  
35 step includes determining when said longest match has been achieved by determining when a data character fails to match during said character-by-character match,



said searching step including beginning a next string matching cycle with said data character that failed to match.

5 30. The method of claim 25 further including initializing said storage means with all single character strings with respective codes associated therewith.

10 31. The method of claim 25 further including outputting a data character encountered for the first time in uncompressed form following an indication that such a data character is being output, and entering said data character encountered for the first time into said storage means as a single character string.

15 32. The method of claim 31 wherein said indication comprises a zero code.

20 33. The method of claim 27 wherein said storing step comprises storing said strings in said storage means in a linked tree structure,  
said stream of data characters including a repeating character string comprised of a repeating character,  
25 said method compressing said repeating character string in two compressed codes irrespective of the length thereof.

30 34. The method of claim 33 wherein said previously matched string comprises said repeating character,  
said searching step includes matching said repeating character string on a path through said tree, and  
35 said entering step includes entering said extended strings on said path,  
thereby compressing said repeating character



string in two compressed codes irrespective of the length thereof.

5 35. The method of claim 27 wherein said storing step comprises storing said strings in said storage means in a linked tree structure,

said stream of data characters including a repeating character group string comprised of a repeating character group,

10 said method compressing said repeating character group string in two compressed codes irrespective of the length thereof.

36. The method of claim 35 wherein

15 said previously matched string comprises said repeating character group,

said searching step includes matching said repeating character group string on a path through said tree, and

20 said entering step includes entering said extended strings on said path,

thereby compressing said repeating character group string in two compressed codes irrespective of the length thereof.

25

37. A data decompression method for receiving a stream of compressed codes provided by a data compressor responsive to a stream of data characters, said method recovering said stream of data characters from said stream of compressed codes, characterized by,

30

storing strings of data characters in storage means, each said string having a code associated therewith,

accessing said storage means with a current received compressed code for recovering a string from said storage means corresponding to said current received compressed code so as to recover the data characters thereof,

35



entering into said storage means, extended strings comprising the previously recovered string corresponding to the previously received compressed code extended by each data character, in turn, of said recovered string corresponding to said current received compressed code,

assigning respective codes to said extended strings,

further entering into said storage means, further extended strings in response to receiving an unrecognized compressed code, said further extended strings comprising said previously recovered string corresponding to said previously received compressed code extended by each data character, in turn, of said previously recovered string, sequentially and repeatedly, until a string corresponding to said unrecognized compressed code is entered,

assigning respective codes to said further extended strings, and

providing the data characters of said string corresponding to said unrecognized compressed code so as to recover said data characters.

38. The method of claim 37 wherein said further entering step includes entering into said storage means, additional extended strings comprising said string corresponding to said unrecognized compressed code further extended by the data characters of said previously recovered string, in turn, until a number of said additional extended strings are entered into said storage means, said number being equal to the number of data characters comprising said previously recovered string,

assigning respective codes to said additional extended strings.

39. The method of claim 38 wherein said method operates in successive string recovery cycles, respective strings being recovered in said successive cycles, a



current cycle following a previous cycle,  
said previously recovered string being recovered  
in said previous cycle with said previously received  
compressed code being received in said previous cycle,  
5 said current received compressed code being  
received during said current cycle, and  
said entering step comprises entering said  
extended strings into said storage means during said  
current cycle.

10 40. The method of claim 39 wherein said unrecognized  
compressed code is received during said current cycle,  
said further entering step including entering  
said further extended strings and said additional extended  
15 strings into said storage means during said current cycle.

20 41. The method of claim 37 further including  
initializing said storage means with all single character  
strings with respective codes associated therewith.

25 42. The method of claim 37 wherein said stream of  
compressed codes includes a data character in uncompressed  
form following an indication that such a data character is  
being received,  
said data character in uncompressed form  
corresponding to a data character encountered for the first  
time by said data compressor in said stream of data  
characters,

30 said method further including,  
entering said data character received in  
uncompressed form into said storage means as a single  
character string, and  
outputting said data character received in  
uncompressed form.

35 43. The method of claim 42 wherein said indication  
comprises a zero code.



44. The method of claim 40 wherein  
said stream of data characters includes a  
repeating character string comprised of a repeating  
character, said data compressor compressing said repeating  
5 character string into two consecutive compressed codes, and  
said storing step comprises storing said strings  
in said storage means in a linked tree structure.

45. The method of claim 44 wherein  
10 said previously received compressed code  
comprises a first of said consecutive compressed codes and  
said unrecognized compressed code comprises a second of  
said consecutive compressed codes,

15 said previously recovered string comprises said  
repeating character and said string corresponding to said  
unrecognized compressed code comprises said repeating  
character string,

20 said previously recovered string is stored on a  
path through said tree, and

25 said entering and said further entering steps  
include entering said further extended strings and said  
additional extended strings on said path.

46. The method of claim 40 wherein  
25 said stream of data characters includes a  
repeating character group string comprised of a repeating  
character group, said data compressor compressing said  
repeating character group string into two consecutive  
compressed codes, and

30 said storing step comprises storing said strings  
in said storage means in a linked tree structure.

47. The method of claim 46 wherein  
35 said previously received compressed code  
comprises a first of said consecutive compressed codes and  
said unrecognized compressed code comprises a second of  
said consecutive compressed codes,



said previously recovered string comprises said repeating character group and said string corresponding to said unrecognized compressed code comprises said repeating character group string,

5           said previously recovered string is stored on a path through said tree, and

          said entering and said further entering steps include entering said further extended strings and said additional extended strings on said path.

10

48.           The method of claim 37 wherein said further entering step includes generating said further extended strings in accordance with said previously recovered string, the number of data characters comprising said previously recovered string, said unrecognized compressed code and said codes being assigned to said further extended strings, one of said further extended strings being a string corresponding to said unrecognized compressed code.

15

49.           Data compression apparatus substantially as herein described with reference to the accompanying drawings.

20

50.           A data compression method substantially as herein described with reference to the accompanying drawings.

25

Dated this 8th day of February 2000

UNISYS CORPORATION

By their Patent Attorneys

30

GRIFFITH HACK

Fellows Institute of Patent and

Trade Mark Attorneys of Australia



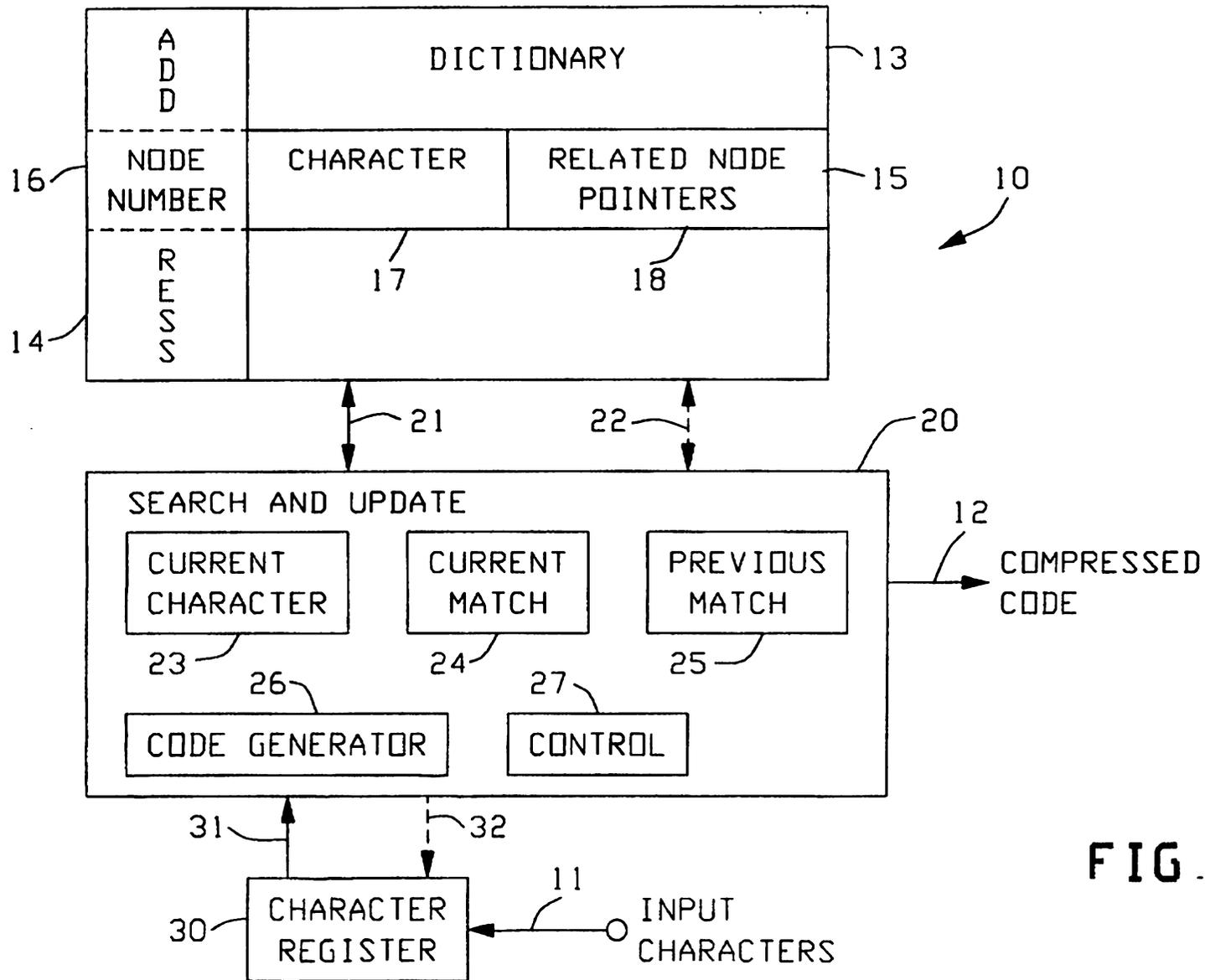


FIG. 1

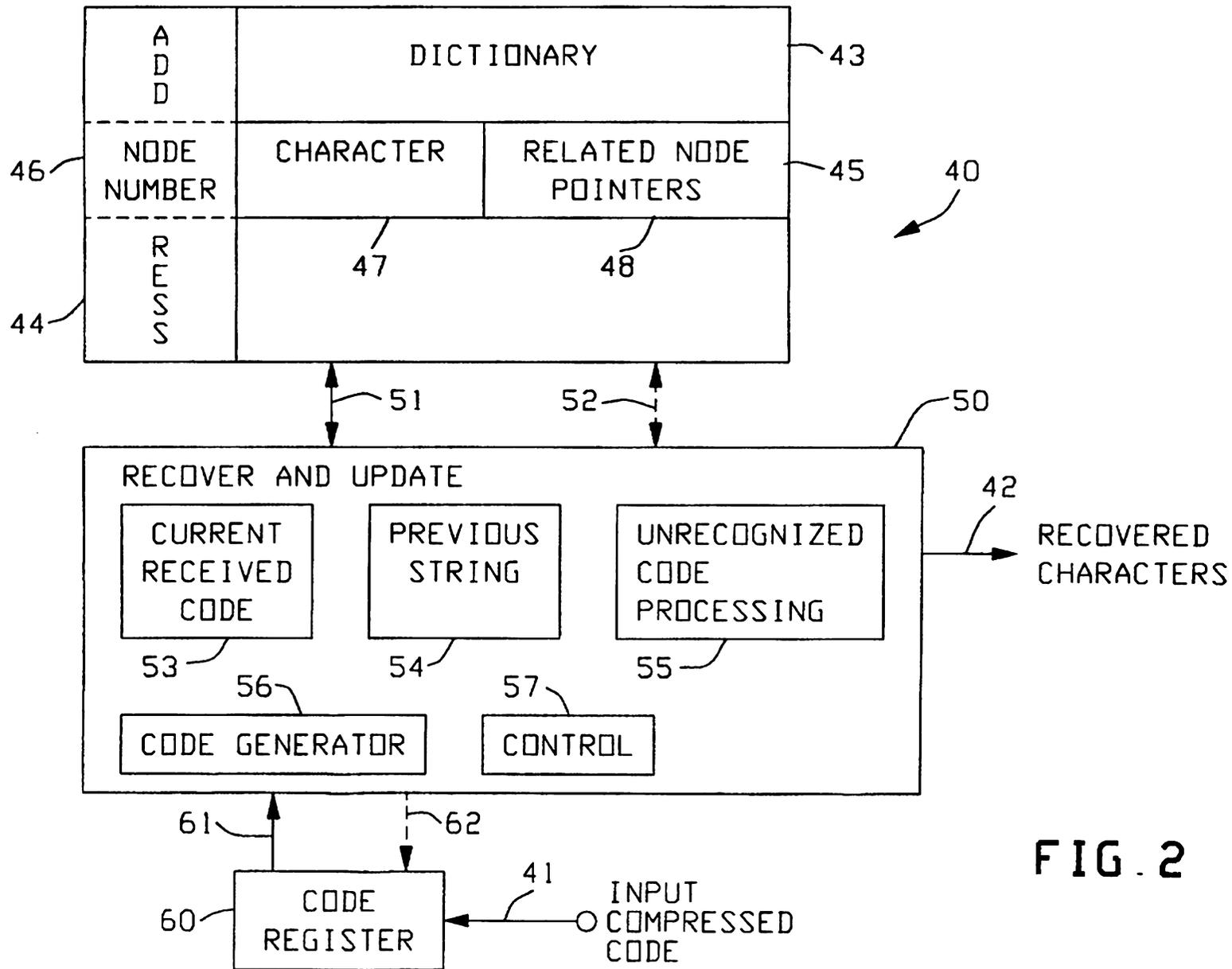


FIG. 2

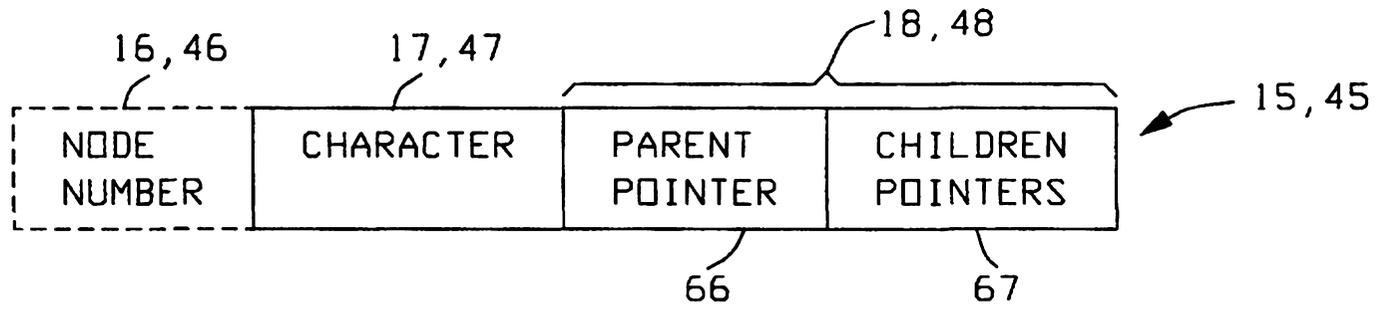


FIG. 3a

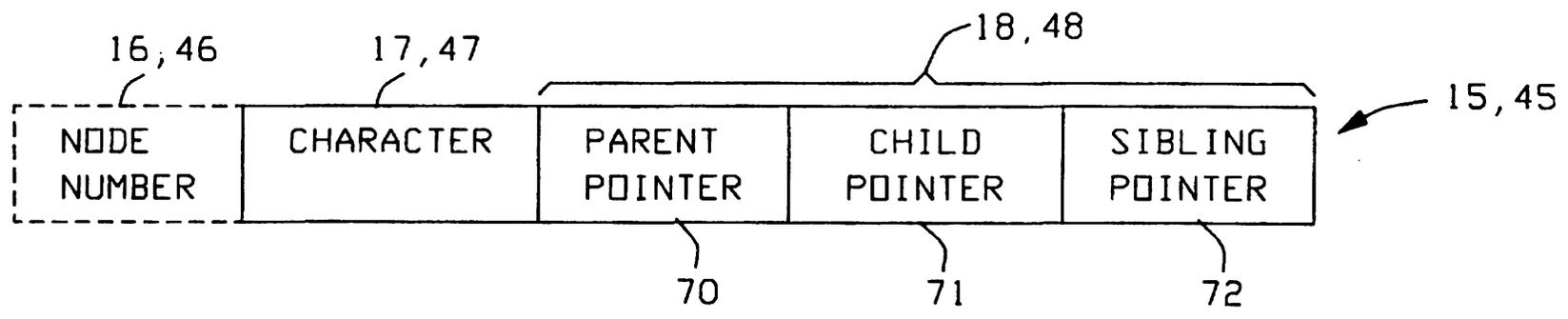


FIG. 3b

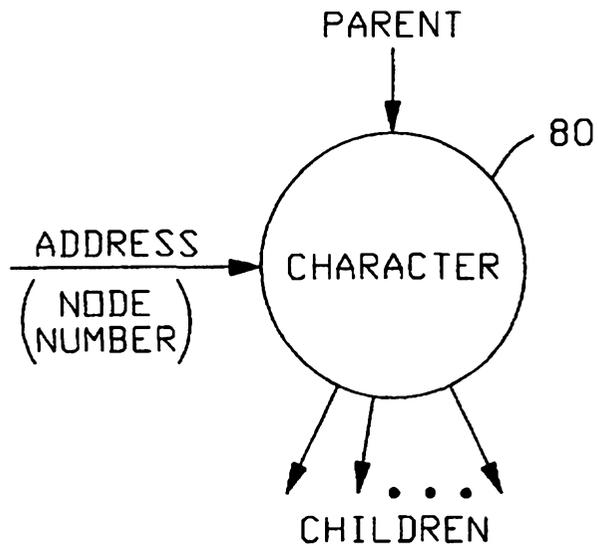


FIG. 4

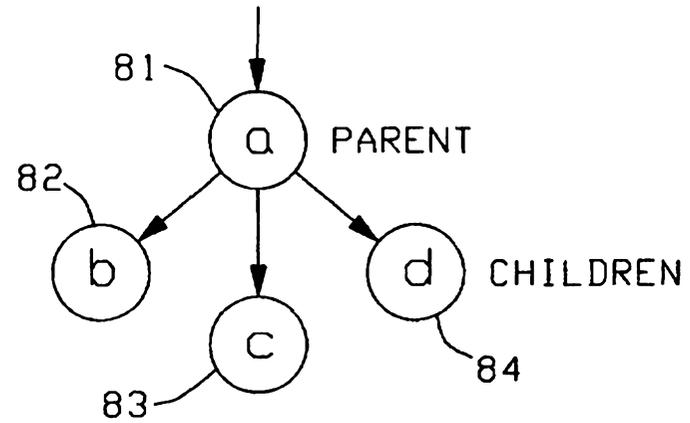


FIG. 4a

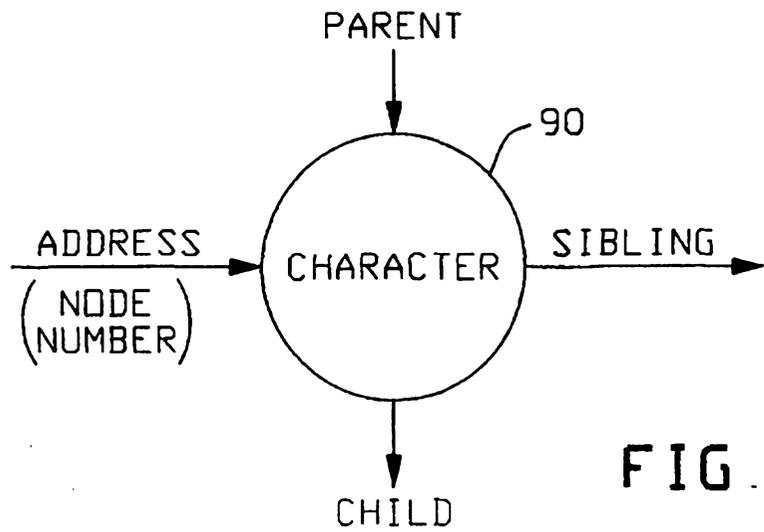


FIG. 5

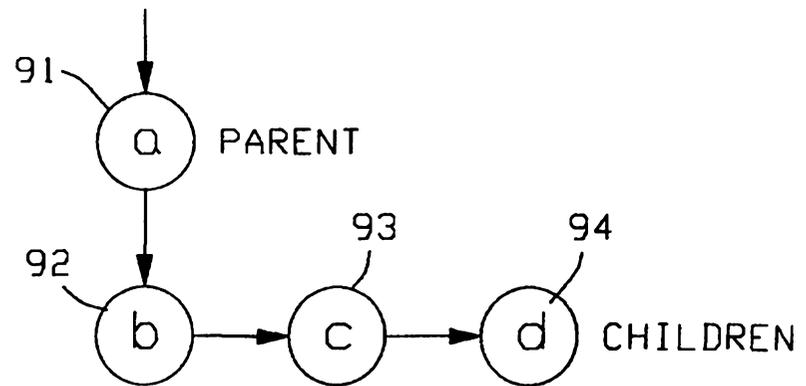


FIG. 5a

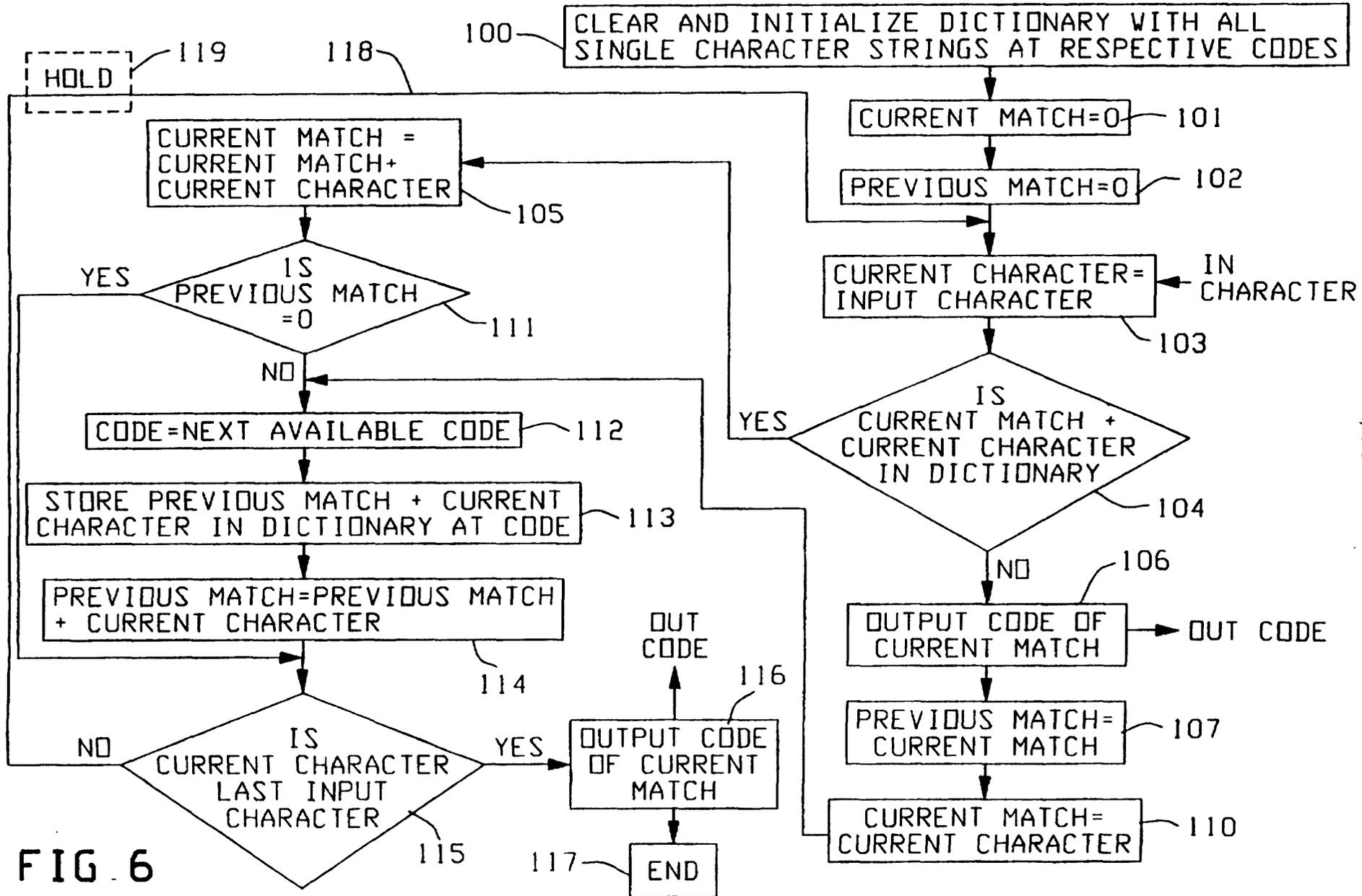
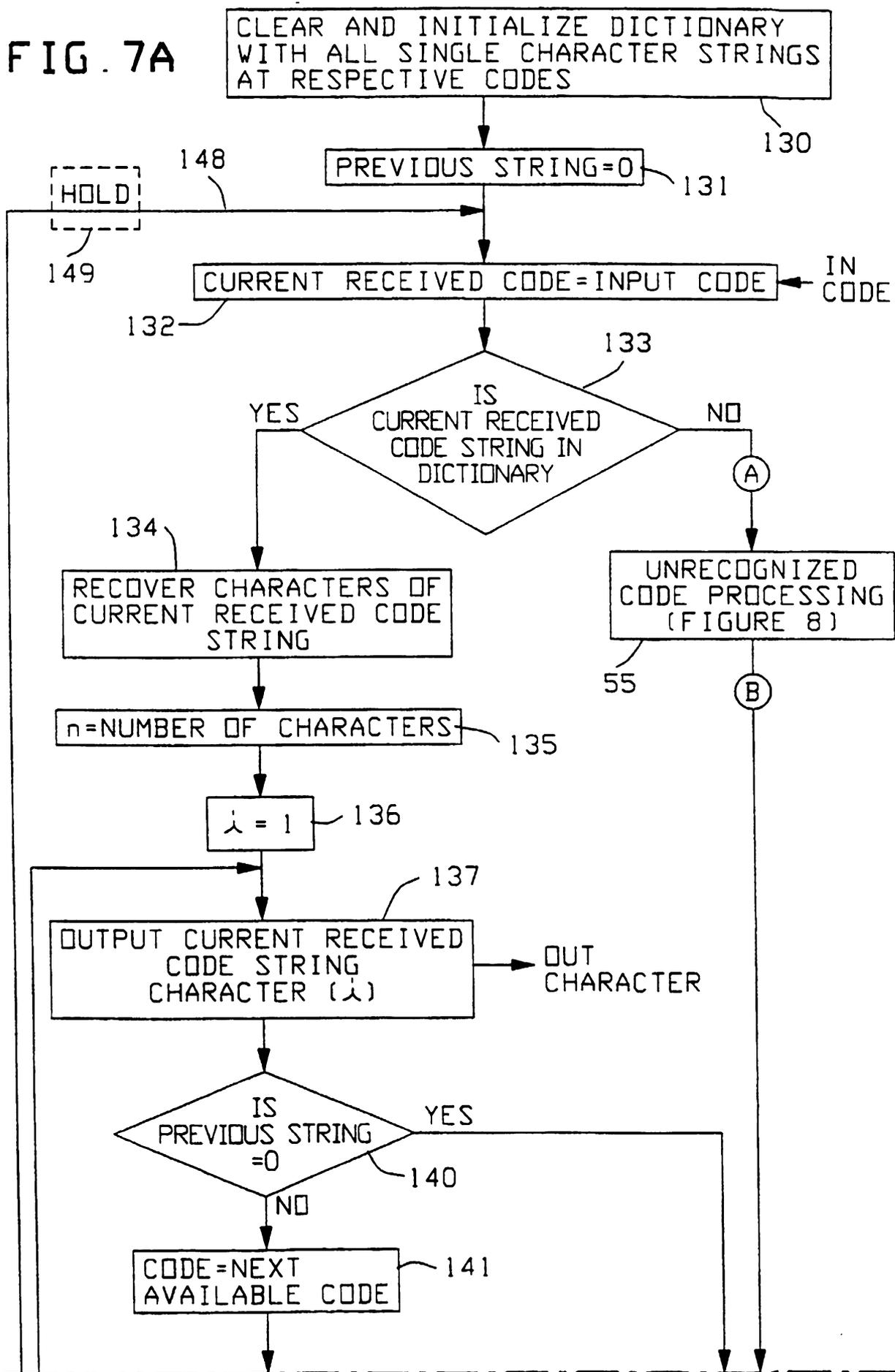


FIG. 6

FIG. 7A



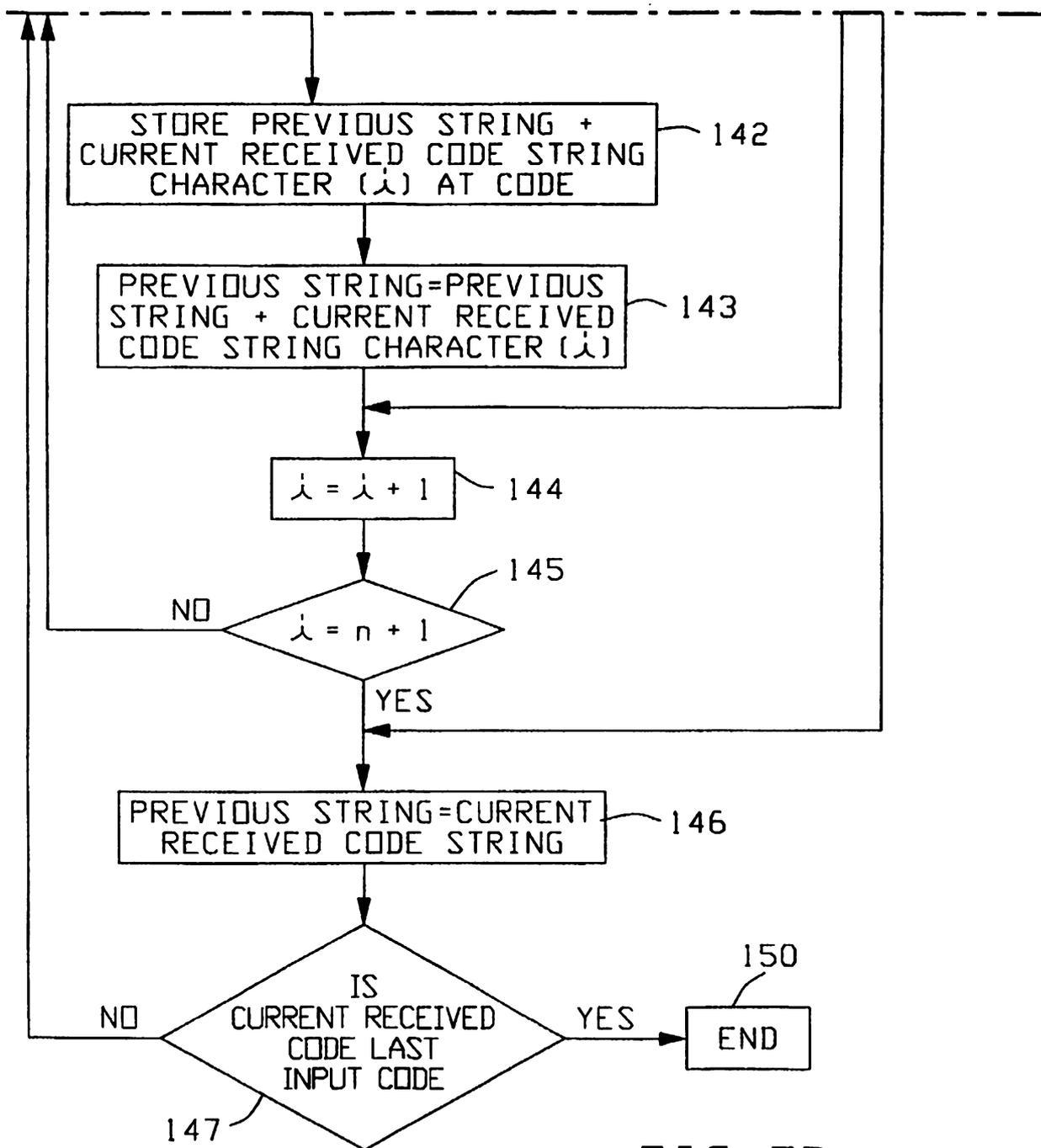


FIG. 7B

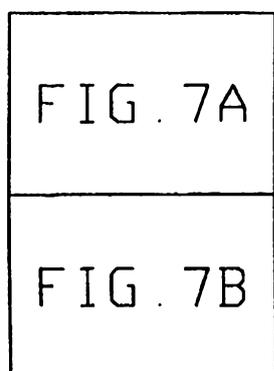


FIG. 7

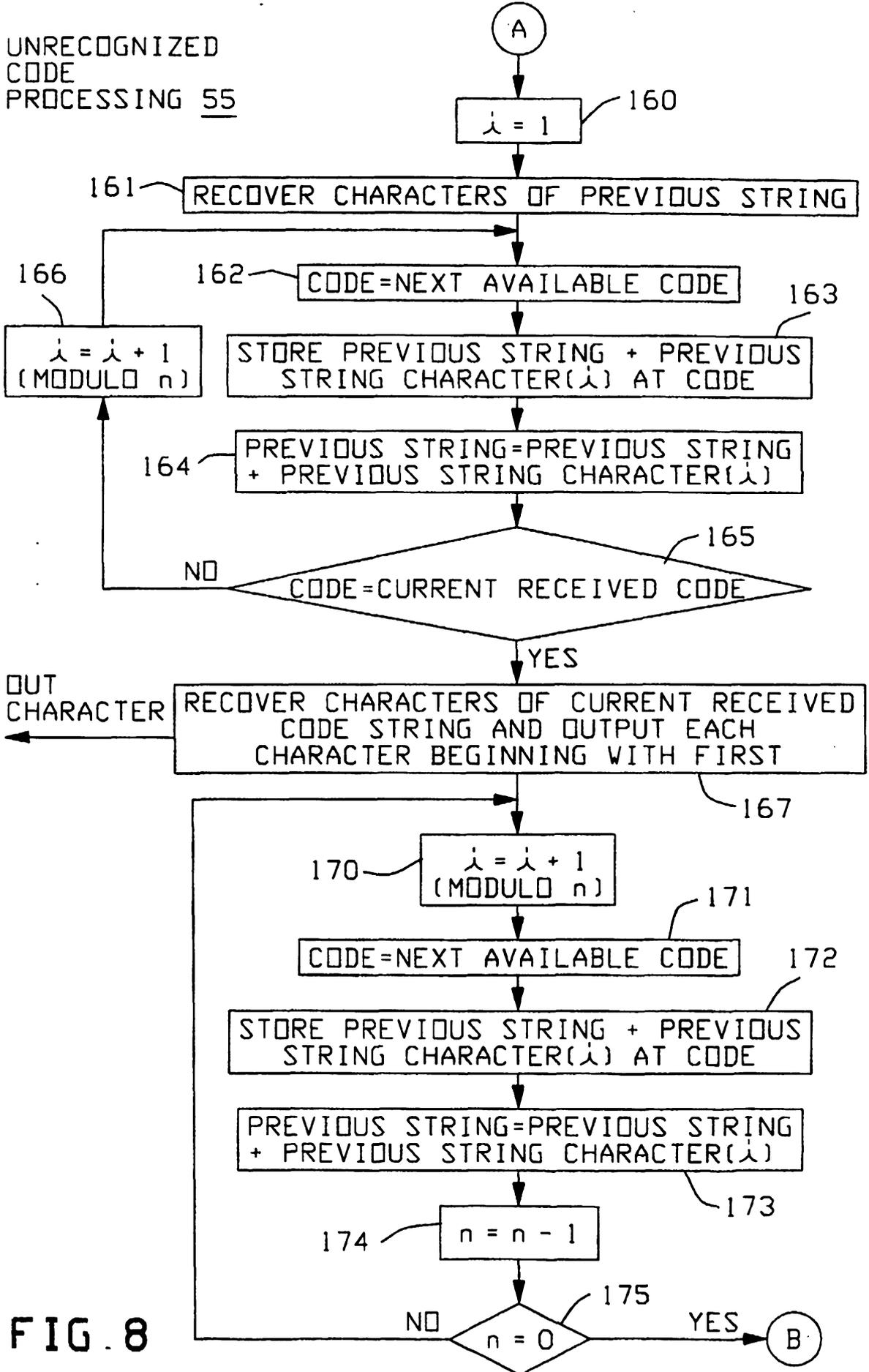
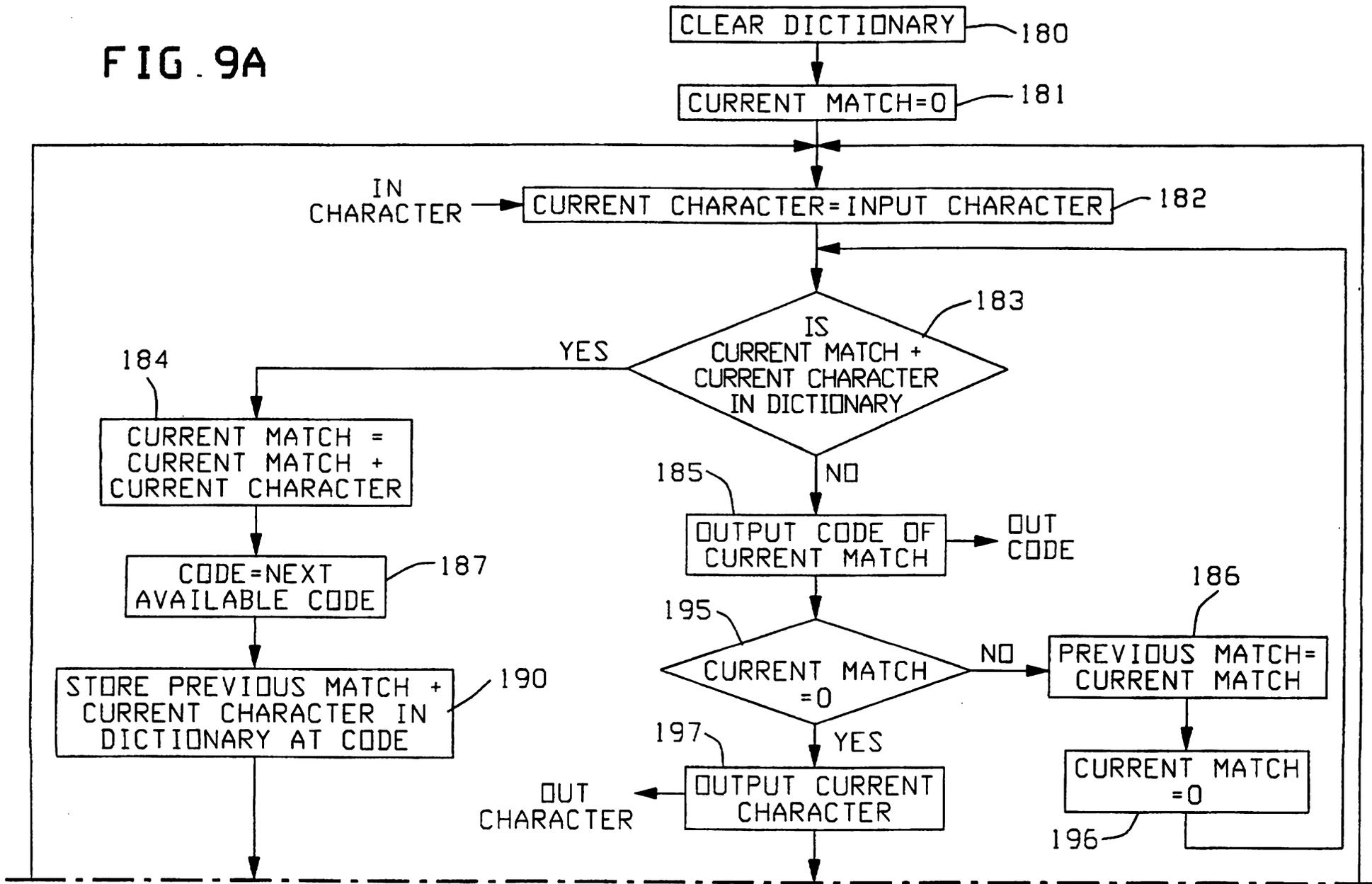


FIG. 8

FIG. 9A



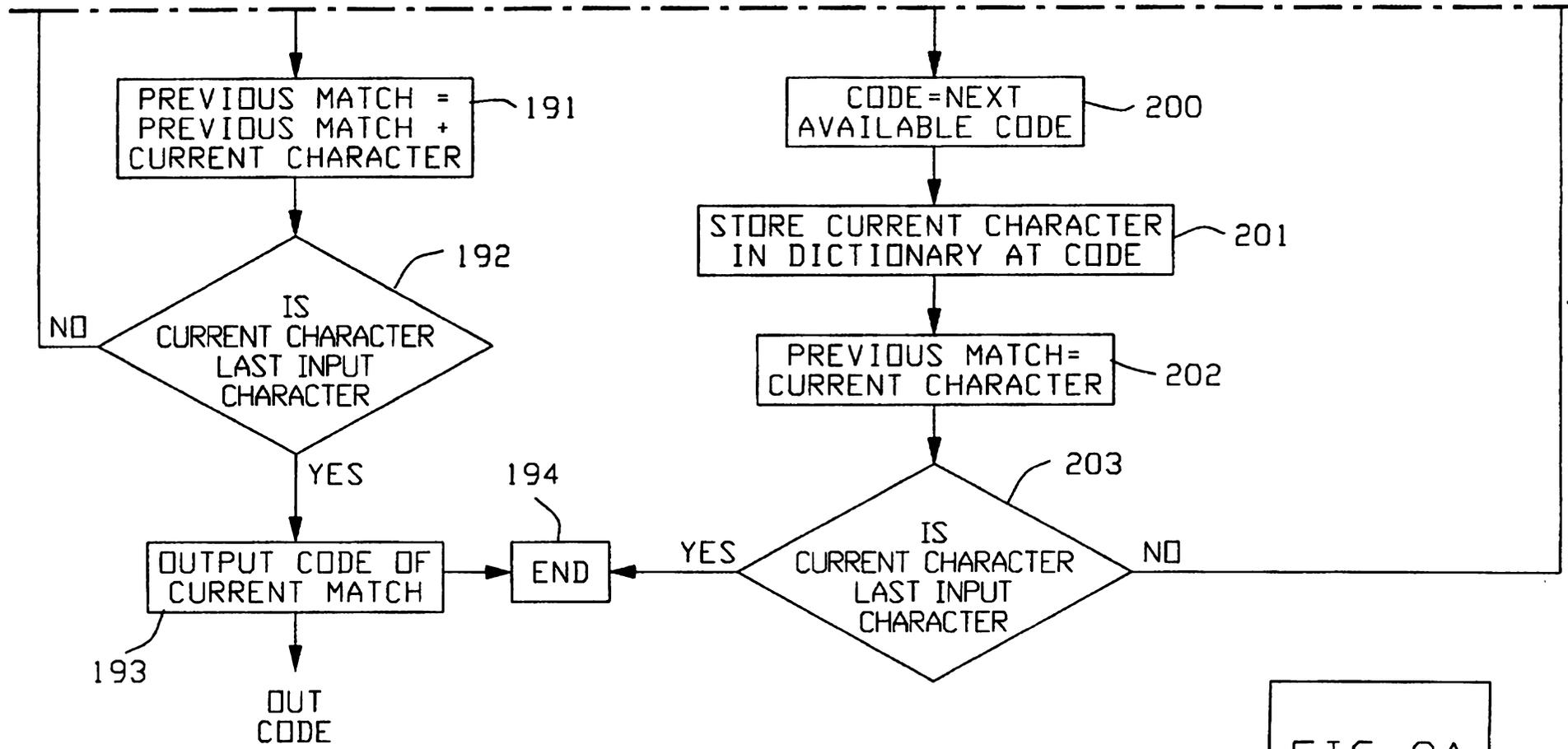


FIG. 9B

FIG. 9

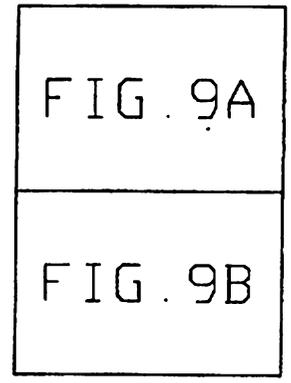
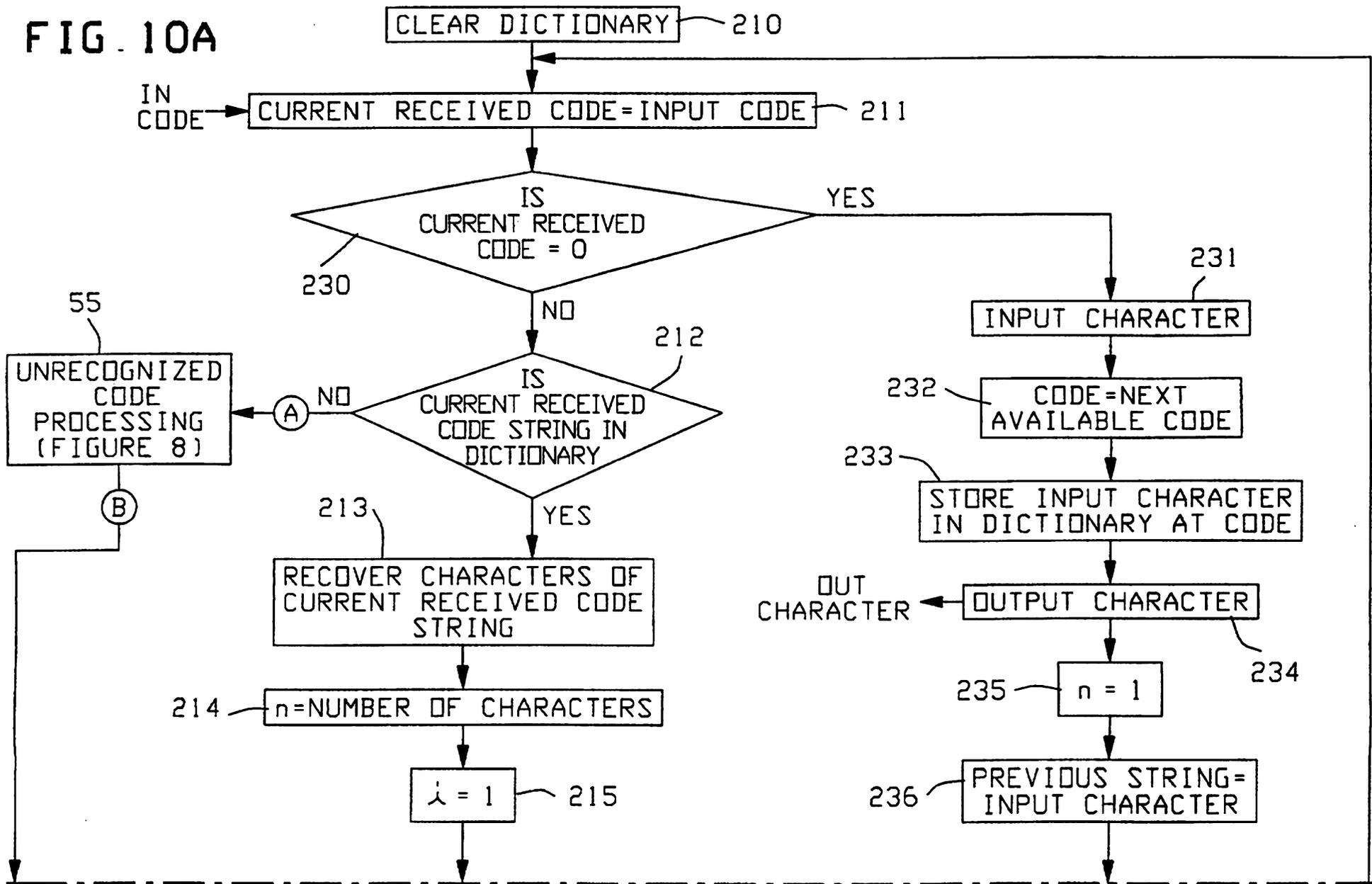
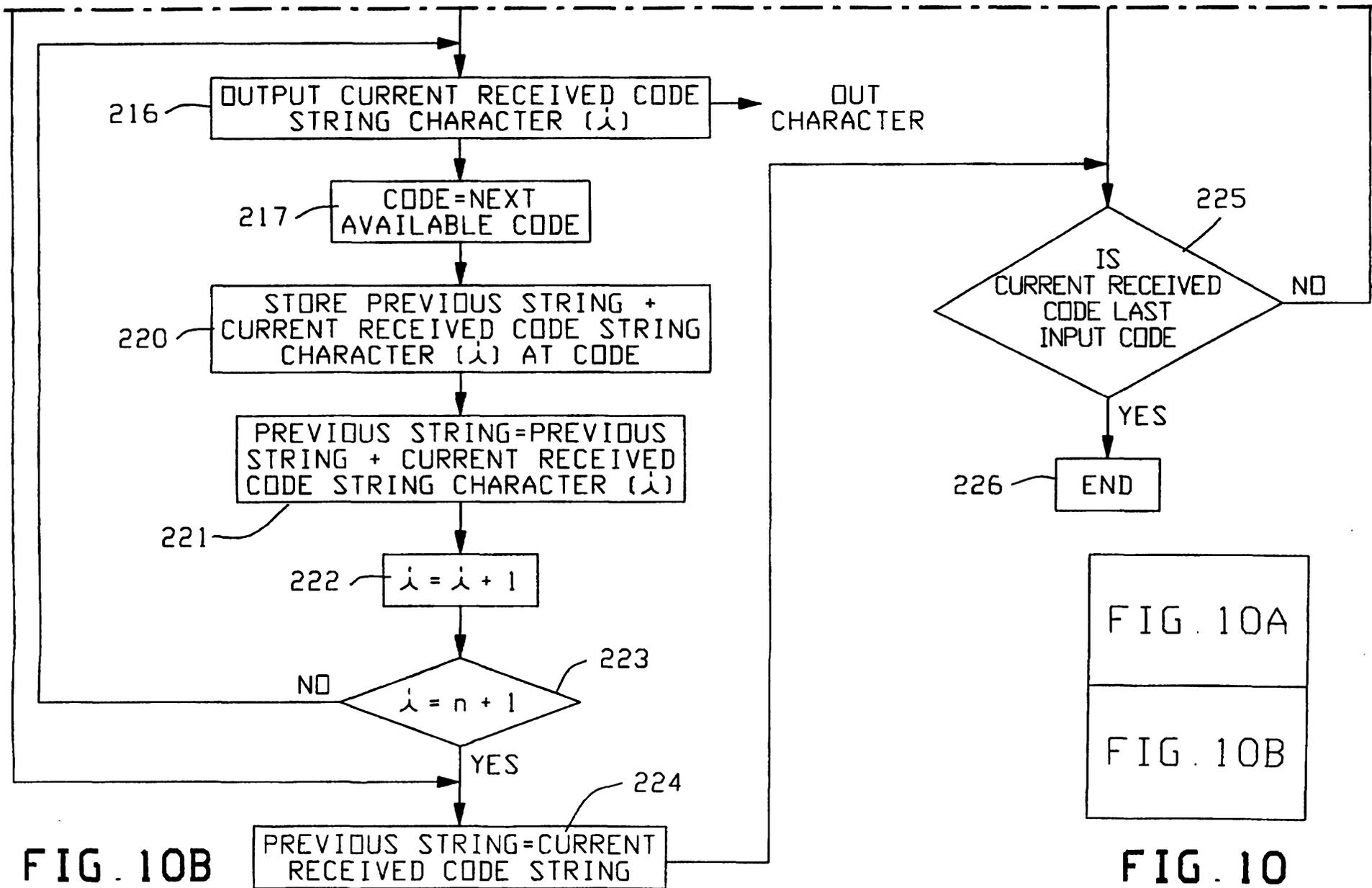


FIG. 10A





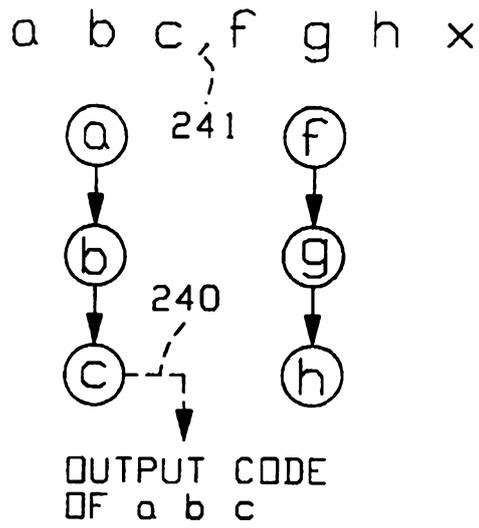


FIG. 11a

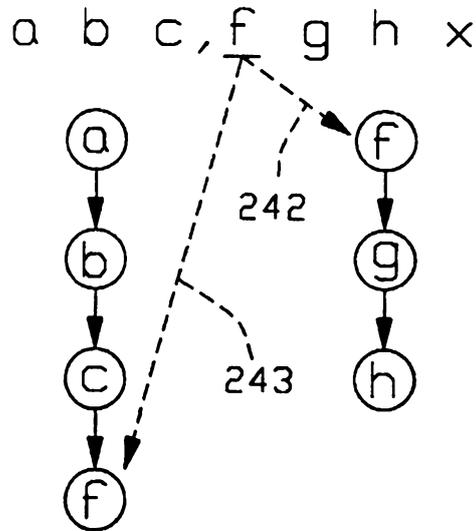


FIG. 11b

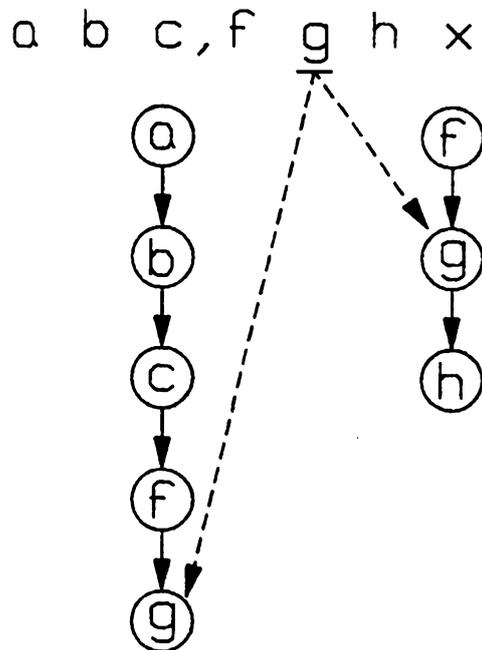


FIG. 11c

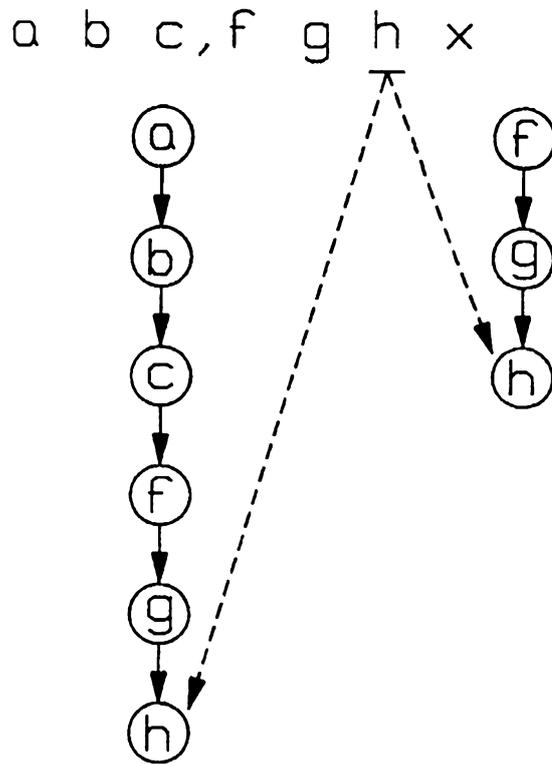


FIG. 11d

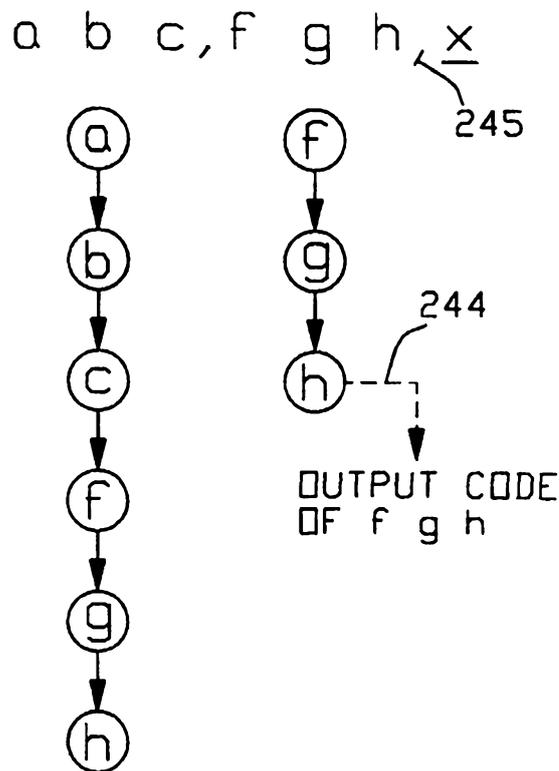


FIG. 11e

a b a b a b a x

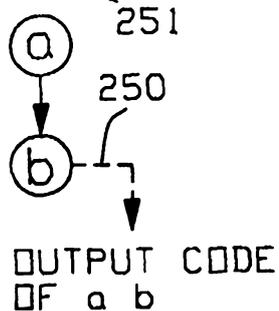


FIG. 12a

a b, a b a b a x

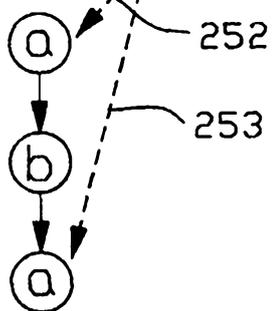


FIG. 12b

a b, a b a b a x



FIG. 12c

a b, a b a b a x



FIG. 12d

16/16

a b, a b a b a x



FIG. 12e

a b, a b a b a x



FIG. 12f

a b, a b a b a x



255

254

256

257

OUTPUT CODE  
OF a b a b a

FIG. 12g