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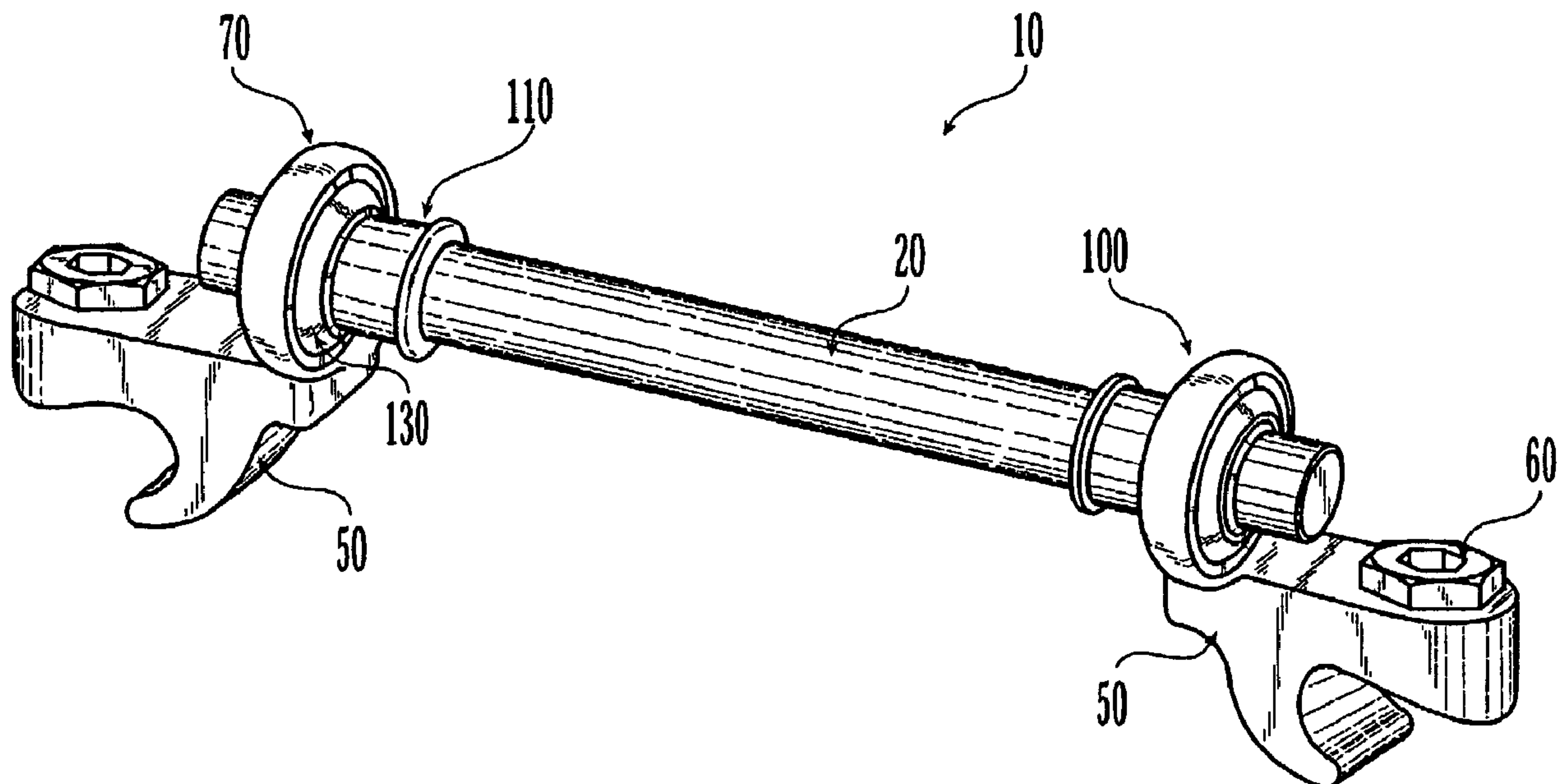
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(54) Titre : CONNECTEURS DE LIAISON TRANSVERSAUX POUR COUPLAGE DE TIGES SPINALES

(54) Title: LINKING TRANSCONNECTOR FOR COUPLING SPINAL RODS



(57) Abrégé/Abstract:

A Linking Transconnector (10) is disclosed for coupling a first longitudinal spinal rod to a second longitudinal spinal rod. The Linking Transconnector generally includes a pair of hook engaging members (50) for engaging the longitudinal spinal rods, a lateral rod (20) for spanning a distance between the hook engaging members (50), and a locking element (100) which interconnects the hook engaging members (50) with the rod. The locking element (100) being configured to allow for multiple degrees of adjustment to permit the linking transconnector (10) to accommodate for varying spinal rod alignments. The locking elements (100) also permits the location of the lateral rod (20) to be fixed relative to the hook engaging members (50) once the desired position of the lateral rod (20) with respect to the longitudinal spinal rods has been achieved. The locking element (100) may also be able to fix the position of the lateral rod (20) with respect to the hook engaging members (50) by a force applied to the locking element (50).

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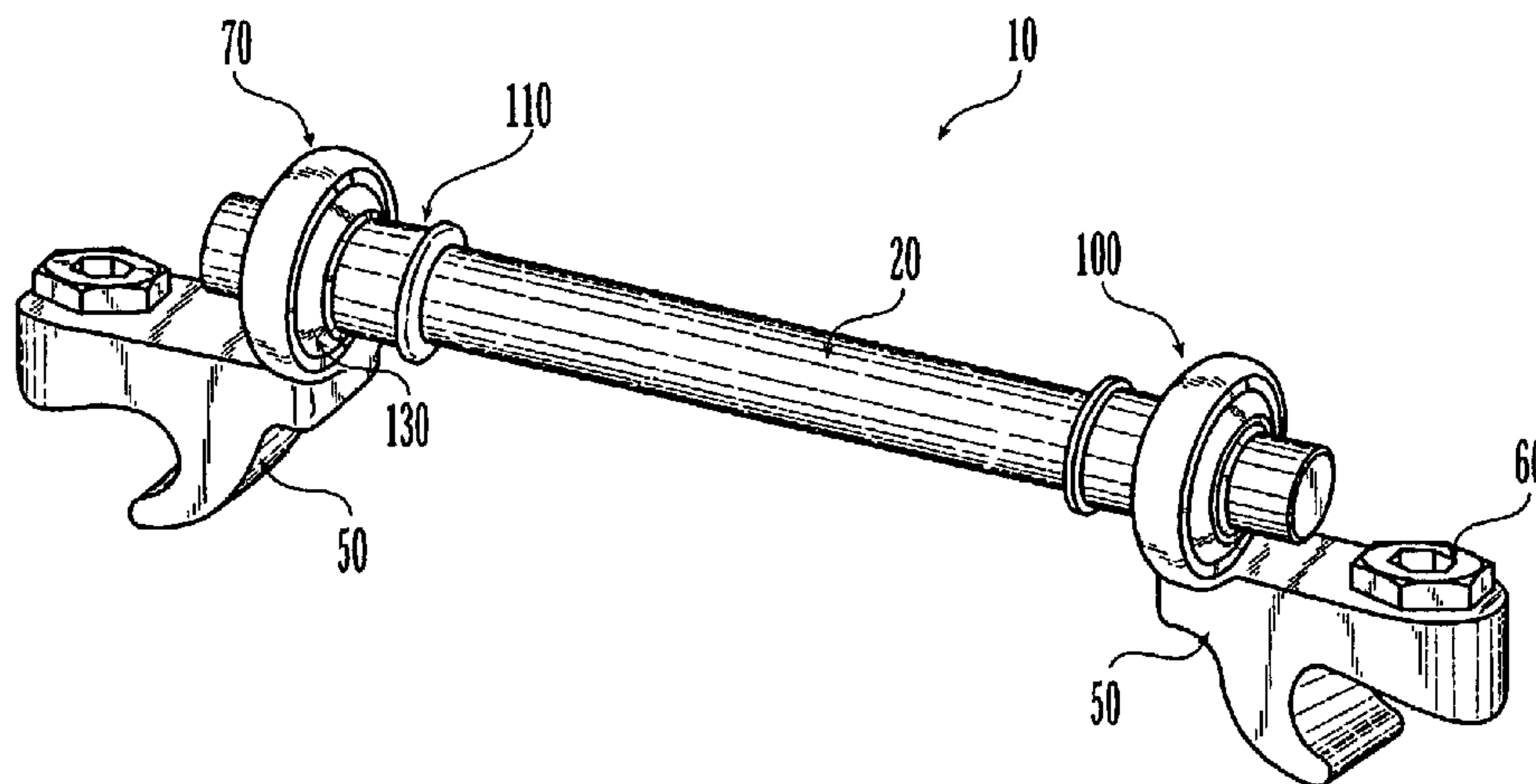
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(54) Title: LINKING TRANSCONNECTOR FOR COUPLING SPINAL RODS



(57) Abstract: A Linking Transconnector (10) is disclosed for coupling a first longitudinal spinal rod to a second longitudinal spinal rod. The Linking Transconnector generally includes a pair of hook engaging members (50) for engaging the longitudinal spinal rods, a lateral rod (20) for spanning a distance between the hook engaging members (50), and a locking element (100) which interconnects the hook engaging members (50) with the rod. The locking element (100) being configured to allow for multiple degrees of adjustment to permit the linking transconnector (10) to accommodate for varying spinal rod alignments. The locking elements (100) also permits the location of the lateral rod (20) to be fixed relative to the hook engaging members (50) once the desired position of the lateral rod (20) with respect to the longitudinal spinal rods has been achieved. The locking element (100) may also be able to fix the position of the lateral rod (20) with respect to the hook engaging members (50) by a force applied to the locking element (50).

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Linking Transconnector For Coupling Spinal Rods

FIELD OF THE INVENTION

[001] The present invention relates to a device for spinal fixation, and in particular to a transconnector for coupling adjacent spinal rods, or other elongated members.

BACKGROUND OF THE INVENTION

5 [002] It is often necessary to surgically correct and stabilize spinal curvatures, or to facilitate spinal fusion, for example as the result of a degenerative spinal disorder such as scoliosis. Numerous systems for use in spinal correction and fixation have been disclosed. These systems usually include a pair of elongated members, typically either rods or plates, longitudinally placed on the posterior spine on either side of spinous processes of the
10 vertebral column. For the sake of simplicity, the term "rod" will be used hereafter to refer to any elongated member regardless of size and/or shape. Each rod is attached to various vertebra along the length of the spine by way of attachment devices which may include, but are not limited to, pedicle screws, plates, transverse process hooks, sublaminar hooks, pedicle hooks, clamps, wire, etc.

15 [003] It is also well known that the strength and stability of such dual rod assemblies can be increased by coupling the two rods with a cross-brace or transconnector which extends substantially horizontally across the spine to connect the longitudinal spinal rods. The use of transconnectors, however, can provide surgeons with one or more difficulties. First, the simplest situation in which a transconnector could be used occurs
20 when the two rods are parallel to each other, *i.e.* there is no rod convergence or divergence in the medial-lateral direction; where the two rods have the same orientation with respect to the coronal plane viewed in the anterior-posterior direction, *i.e.* the rods are coplanar from a lateral view; and where the two rods are located at a fixed, predetermined distance from each other. However, due to a wide variety of factors, the two rods are rarely so
25 geometrically aligned in clinical situations.

[004] Thus, it is advantageous to provide a transconnector which may be adjusted to adapt to variations in spinal rod alignment. The addition of such adjustability, however, may require the transconnector to consist of numerous pieces that can be difficult to assemble and use while in the surgical environment.

30 [005] Furthermore, when transconnectors are placed over adjacent spinal rods, the extended profile of the device often results in soft tissue trauma and may result in surgical complications. Thus, it is advantageous to provide a transconnector with as small a lateral

(i.e., transverse) profile as possible to decrease the total amount of soft tissue trauma incurred, and to minimize the chance for subsequent complications.

[006] It is further advantageous to provide a transconnector that, once assembled, prevents disassembly of the individual pieces of the transconnector assembly, thereby helping to facilitate installation of the transconnector by reducing the likelihood that the transconnector will accidentally come apart during installation in the patient. It is also advantageous to provide a transconnector that reduces the overall number of steps required to fix the location of the transconnector with respect to the longitudinal spinal rods, thereby facilitating installation of the transconnector by reducing the time and effort needed for installation in the patient.

[007] Thus, there exists a need for an improved transconnector for coupling adjacent spinal rods which advantageously may be adapted to adjust to varying spinal rod alignments, which has a reduced lateral footprint for reducing associated tissue trauma and which when pre-assembled will remain in tact during installation in the patient.

15 SUMMARY OF THE INVENTION

[008] The present invention relates to a Linking Transconnector used for coupling a first longitudinal spinal rod to a second longitudinal spinal rod. The Linking Transconnector generally includes a pair of hook engaging members for engaging the longitudinal spinal rods, a lateral rod for spanning a distance between the hook engaging members, and a locking element which interconnects the hook engaging members with the lateral rod. The locking element generally includes a locking sleeve and a bushing, the locking sleeve being slidably located on the lateral rod thereby permitting the Linking Transconnector to accommodate varying distances between the longitudinal spinal rods, while the bushing is sized and dimensioned to be disposed between the locking sleeve and the hook engaging members thereby permitting universal adjustment between the hook engaging members and the lateral rod, and thus between the longitudinal spinal rods and the lateral rod.

[009] In one embodiment, the locking element is configured to provide multiple degrees of adjustment including both telescoping adjustment and universal adjustment to permit the Linking Transconnector to accommodate varying spinal rod alignments including converging and/or diverging longitudinal rods, non-coplanar longitudinal rods, and longitudinal rods having varying rod separation distances.

[0010] The locking element also permits the location of the lateral rod to be fixed relative to the hook engaging members once desired position of the lateral rod with respect to the longitudinal spinal rods has been achieved. That is, the locking element may be configured to fix, both rotationally and translationally, the position of the lateral rod with respect to the hook engaging members and thus to the longitudinal spinal rods, by having both a locked and an unlocked position. In the unlocked position, the locking element may permit rotation and telescopic adjustment of the hook engaging members with respect to the lateral rod. In the locked position, the locking element may fix, both rotationally and translationally, the position of the lateral rod with respect to the associated hook engaging member, thus fixing the relative positions of the lateral rod and the longitudinal spinal rod. The locking element may be configured to the locked position through the application of a force to the locking element. This force may cause the locking sleeve to compress against the outer surface of the lateral rod while simultaneously causing the bushing to expand to engage the hook engaging members, thereby locking the relative positions of the lateral rod, the hook engaging member and the locking element both rotationally and translationally, with respect to one another.

[0011] The lateral rod and locking element may also be sized and configured to mate with the hook engaging members such that disassembly of the lateral rod and hook engaging members is prohibited once the Linking Transconnector has been assembled. This helps facilitate installation of the Linking Transconnector by reducing the likelihood that the transconnector will accidentally come apart during installation in the patient.

[0012] The present invention further relates to a transconnector for coupling first and second longitudinal spinal rods, the transconnector including a pair of hook members, each hook member having a recess for receiving one of the longitudinal spinal rods; each recess further having an axis; a lateral rod for interconnecting the hook members; and a pair of universal joints for interconnecting the hook members with the lateral rod; wherein each hook member includes a through bore having an axis for receiving a wedge member, the through bore axis being orientated substantially perpendicular to the recess axis.

[0013] The present invention further relates to a transconnector for coupling first and second longitudinal spinal rods, each spinal rod having a longitudinal axis, the transconnector including a pair of hook members, each hook member having a recess for receiving one of the longitudinal spinal rods and a through bore having an axis for receiving a wedge member, the through bore being orientated substantially perpendicular to the axis of the longitudinal spinal rod; a lateral rod for interconnecting the hook members; and a pair

of locking elements for interconnecting the hook members with the lateral rod; wherein the locking element has an unlocked configuration in which the locking element may move, and a locked configuration in which the locking element is fixed.

[0014] The present invention further relates to a transconnector for coupling first
5 and second longitudinal spinal rods, each spinal rod having a longitudinal axis, the transconnector including a pair of hook members, each hook member having a recess for receiving one of the longitudinal spinal rods and a through bore having an axis for receiving a wedge member, the through bore being orientated substantially perpendicular to the axis of the longitudinal spinal rod; and a lateral rod for interconnecting the hook members; the
10 lateral rod including a pair of locking elements one located at either end of the lateral rod for connection to the hook members; the locking elements being sized and configured to provide universal movement between the hook members and the lateral rod; wherein the locking element has an unlocked configuration in which the locking element may move, and a locked configuration in which the locking element is fixed.

15 [0015] The locking element of the present invention may include a locking sleeve and a bushing wherein the locking sleeve has a first end, a second end, and a through bore extending therethrough, the through bore being sized and configured to receive the lateral rod therein. Similarly, the bushing has a first end, a second end, and a through bore
20 therethrough, the through bore being sized and configured to receive the locking sleeve therein. The bushing may be sized and configured to be slidably moveably along the locking sleeve. The locking sleeve and bushing may also include a slit therethrough for facilitating installation. The hook engaging members, locking sleeve and lateral rod may also be sized and configured so that, once assembled, the hook engaging member will not separate from the bushing, the bushing will be retained on the locking sleeve and the
25 locking sleeve will be retained on the lateral rod. This arrangement ensures that once the Linking Transconnector has been pre-assembled it will remain intact during installation in the patient.

[0016] In use, the Linking Transconnector may be provided pre-assembled, such that a hook engaging member and locking element are provisionally attached to each end of
30 a lateral rod. Although, the Linking Transconnector may be provided in a pre-assembled form, the lateral rod and hook engaging members may be still rotationally and translationally adjustable relative to one another. Next, the pre-assembled Linking Transconnector is installed between a pair of longitudinal spinal rods by placing the Linking Transconnector at the desired location between the spinal rods. The Linking

Transconnector is then adjusted, both rotationally and translationally, until its desired position is achieved. Once achieved, the wedge members in the hook engaging members may be tightened to lock the hook engaging members to the spinal rods. Thereafter, a tool is used to engage the locking element so that the locking sleeve and bushing are moved
5 toward each other. This causes the locking sleeve to slide within the bushing, which in turn causes increased interference between the outer surface of the locking sleeve and the inner surface of the bushing. This interference causes the locking sleeve to compress and the bushing to expand, thereby fixing the position of the locking sleeve to the lateral rod, the bushing to the hook engaging member, and the locking sleeve to the bushing.

10 BRIEF DESCRIPTION OF THE DRAWINGS

- [0017] Figure 1 is a perspective view of the Linking Transconnector according to one embodiment of the present invention.
- [0018] Figure 2 is a top view of the Linking Transconnector shown in Figure 1.
- [0019] Figure 3 is a side view of the Linking Transconnector shown in Figure 1.
- 15 [0020] Figure 4 is a detailed view of the hook engaging member of the Linking Transconnector shown in Figure 1.
- [0021] Figure 5a is a detailed view of the locking sleeve of the Linking Transconnector shown in Figure 1.
- [0022] Figure 5b is a cross-sectional view of the locking sleeve shown in Figure 5a.
- 20 [0023] Figure 5c is a detailed view of the locking sleeve shown in Figure 5a.
- [0024] Figure 6a is a detailed view of the bushing of the Linking Transconnector shown in Figure 1.
- [0025] Figure 6b is a cross-sectional view of the bushing shown in Figure 6a.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

- 25 [0026] For the purposes of promoting an understanding of the principles of the invention, reference will now be made to an exemplary, non-limiting embodiment illustrated in the figures and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is hereby intended, such alterations and further modifications, and such further applications of the
30 principles of the invention as illustrated herein being contemplated as would normally occur to one skilled in the art to which the invention relates.
- [0027] The Linking Transconnector 10 may be used for coupling a first longitudinal spinal rod to a second longitudinal spinal rod. The first and second longitudinal spinal rods

may be cylindrical rods, rectangular bars, plates, or any other device appropriate for use in connecting two or more adjacent vertebral bodies to facilitate spinal fixation. For the sake of simplicity, the term "rod" will be used herein to refer to any elongated member regardless of size and/or shape. In use, the first and second longitudinal spinal rods extend
5 along the posterior spine on either side of spinous processes of the vertebral column. The first and second longitudinal spinal rods may be attached to various vertebral elements along the length of the spine by any attachment device known in the art including, but not limited to, pedicle screws, plates, transverse process hooks, sublaminar hooks, pedicle hooks, clamps, wire, etc.

10 [0028] The Linking Transconnector **10** may be manufactured from any material suitable for orthopedic applications including, but not limited to, stainless steel, titanium, titanium alloy, polymers, memory shaped alloys, etc.

[0029] As shown in Figures 1 through 3, the Linking Transconnector **10** generally includes a pair of hook engaging members **50** for engaging the longitudinal spinal rods (not
15 shown), a lateral rod **20** for spanning a distance between the hook engaging members **50**, and a pair of locking elements **100** which interconnect the hook engaging members **50** with the lateral rod **20**. Each hook engaging member **50** may include a hook recessed wall **56** for receiving one of the longitudinal spinal rods, a through bore **58** for receiving a wedge member **60** for securing the longitudinal spinal rod into engagement with the hook recessed
20 wall **56**, and a lateral rod engaging portion **70** for receiving the lateral rod **20** therethrough.

[0030] The locking element **100** may be configured to provide multiple degrees of freedom to permit the Linking Transconnector **10** to accommodate varying spinal rod alignments. In one embodiment, the locking element **100** permits the lateral rod **20** to angulate and translate with respect to at least one of the hook engaging members **50**, thus
25 permitting the Linking Transconnector **10** to accommodate, for example, converging and/or diverging longitudinal rod pairs, non-coplanar longitudinal rod pairs, and longitudinal rods having varying rod separation distances. That is, the locking element **100** generally includes a locking sleeve **110** and a bushing **130**. The locking sleeve **110** may be sized and configured to be slidably positionable along the length of the lateral rod **20** thereby
30 permitting the Linking Transconnector **10** to accommodate varying distances between longitudinal spinal rods. The bushing **130** may be sized and configured to be positioned between the locking sleeve **110** and the lateral rod engaging portion **70** thereby permitting the lateral rod **20** to be universally adjustable with respect to the hook engaging members

50, and thus the lateral rod **20** is universally adjustable with respect to the longitudinal spinal rods.

[0031] The locking element **100** also may provide for the fixation of the lateral rod **20** with respect to the longitudinal spinal rods once desired positioning of the lateral rod **20** has been achieved. That is, the locking element **100** may be configured to have both locked and unlocked positions. In the unlocked position, the locking element **100** may permit rotation and telescopic adjustment of the hook engaging members **50** with respect to the lateral rod **20**. In the locked position, the locking element **100** may fix, both rotationally and translationally, the position of the lateral rod **20** with respect to the associated hook engaging member **50**, thus fixing the relative positions of the lateral rod **20** and the longitudinal spinal rod. The locking element **100** may be configured to the locked position through the application of a force to the locking element **100**. This force may cause the locking sleeve **110** to compress against the outer surface of the lateral rod **20** while simultaneously causing the bushing **130** to expand to engage the hook engaging members **50**, thereby locking the relative positions of the lateral rod **20**, the hook engaging member **50** and the locking element **100** both rotationally and translationally, with respect to one another.

[0032] The lateral rod **20** and locking element **100** may be sized and configured to prevent disassembly of the lateral rod **20** from the hook engaging members **50** once the Linking Transconnector **10** has been assembled. The lateral rod may be swaged, or flared, at both ends, and the swaged or flared portion may have a diameter greater than the unexpanded inner diameter of the locking element **100**, thereby preventing the locking element **100** from sliding off the end of the lateral rod **20**. This feature helps facilitate installation of the Linking Transconnector **10** during surgery by reducing the chance that the transconnector will accidentally come apart during installation in the patient.

[0033] As best shown in Figure 4, each hook engaging member **50** may include a body **52** having a hook **54** extending therefrom, the hook **54** having a hook recess wall **56** for receiving a longitudinal spinal rod. The hook recess wall **56** may be sized and configured such that the radius of the hook recess wall **56** conforms to the radius of the associated longitudinal spinal rod to which it will be fixed. However, the hook recess wall **56** may have a radius that is larger or smaller than the radius of the longitudinal spinal rod, as appropriate.

[0034] As shown, the hook engaging member **50** preferably has a hook recess wall **56** which is positioned laterally inboard from the center of the longitudinal spinal rods. In

addition, the hook engaging member **50** may also include a through bore **58** for receiving a wedge member **60**, the through bore being positioned laterally outboard from the center of the associated longitudinal spinal rod. The through bore **58** may have a longitudinal axis which is orientated substantially perpendicular to the longitudinal axis of the spinal rod.

- 5 The wedge member **60** may secure the position of the longitudinal spinal rod relative to the hook engaging member **50** once desired positioning of the lateral rod **20** has been achieved.

[0035] This configuration of hook recessed wall **56** and through bore **58** may be such that when the wedge member **60** is rotated so that a bearing surface **62** of the wedge member **60** engages the longitudinal spinal rod, further rotation of the wedge member **60** may cause the longitudinal spinal rod to be urged laterally inwards (*i.e.*, towards the centerline of the patient's spine) into lateral contact with the hook recess wall **56** which is located laterally inboard of the longitudinal spinal rod. This enables the Linking Transconnector **10** to utilize a hook **54** which contacts a reduced portion of the circumference of the longitudinal spinal rod. In one embodiment, this configuration of hook recess wall **56** and through bore **58** includes a hook **54** which extends around, and contacts, approximately 60° to 180°, preferably approximately 90° of the circumference of the longitudinal spinal rod. Thereby helping to decrease the amount of soft tissue trauma that may be incurred during installation of the transconnector. This is because the overall size of the hook engaging member **50** of the present invention may be reduced as compared to hook engagement members having wedge member through bores that are located medially, *i.e.*, laterally inboard of the longitudinal spinal rods, and which must be used with hook engaging members located laterally outboard of the longitudinal spinal rods. The reduced lateral footprint of this design helps decrease the amount of patient soft tissue trauma generally associated with transconnector installation. Alternatively, the hook recessed wall **56** may take on any appropriate shape and configuration. For example, the hook recess wall **56** may be configured to contact the longitudinal spinal rod up to 360° around the rod's outer circumference (in which case, the hook recessed wall **56** could include a bore sized to receive the longitudinal spinal rod, and the spinal rod would be fed into and through the bore).

- 30 [0036] The reduced-contact configuration of through bore **58** and hook design makes the Linking Transconnector **10** particularly well adapted for use in the cervical region of the spine where little room for spinal fixation components exists. However, as will be appreciated by those skilled in the art, the Linking Transconnector **10** may also be

used to connect longitudinal spinal rods placed in other regions of the spine including the thoracic and lumbar regions.

[0037] The hook engaging members **50** may also include one or more tool engagement recesses **57** for receiving a gripping instrument, such as forceps. The tool engagement recesses **57** may be configured to receive the jaws of a forceps, thereby allowing the Linking Transconnector **10** to be installed and/or manipulated in the patient using forceps engaged with hook engagement members **50**. The tool engagement recesses **57** preferably are positioned to allow the surgeon to grip the hook engagement members **50** from above, to provide for maneuvering of the Linking Transconnector **10** into position on the vertebra from above, or outside of the patient. The tool engagement recesses **57** may be formed on each side of the hook engaging members **50**, and they may extend entirely across the outer surface of the hook engaging members **50** or only along a portion thereof. It should be noted however that the tool engagement recesses **57** are not limited to the elongated slots as shown and that other configurations are contemplated. The tool engagement recesses **57** and instrument jaws may have any mating configuration that allows the instrument to securely grasp the hook engaging members **50**. When used with an instrument such as forceps, the tool engagement recesses **57** may provide sufficient grip on the hook engaging members **50** for a surgeon to maneuver the hook engaging members **50** into position through obstructions such as ligaments and other tissues.

[0038] Additionally, the tool engagement recesses **57** are preferably positioned at a sufficient distance and/or orientation with respect to the hook recessed wall **56** to allow the longitudinal spinal rod to be inserted into the hook recessed wall **56** while the tool engagement recesses **57** are engaged by an instrument. In other words, the tool engagement recesses **57** are preferably not located in a position in which the instrument blocks access to the hook recessed wall **56** when the instrument is engaged with the tool engagement recesses **57**. The tool engagement recesses **57** may also be positioned at a sufficient distance and/or orientation with respect to the wedge member **60** and locking member **100** to allow the wedge member **60** and locking member **100** to be manipulated by the surgeon while the tool engagement recesses **57** are engaged by an instrument. The tool engagement recesses **57** may also be located on other components of the transconnector such as for example, the lateral rod **20**.

[0039] The wedge member **60**, as shown in Figure 3, may include, but not be limited to, a set screw, a quarter turn cam, etc. Any appropriate locking structure is contemplated which will allow the hook engaging member **50** to be fixed to the longitudinal

rod. The wedge member 60 may have a bearing surface 62 shaped and configured to conform with the outer surface of an associated longitudinal spinal rod. The wedge member 60 may also have a tool engaging surface 64 configured to accept a conventional driving tool, such as, for example, a screwdriver, ratchet, etc. The tool engaging surface 64 may include, but not be limited to, an internal hex, an external hex, a torque, a star, etc.

[0040] The hook engaging members 50 may each include a means for receiving and securing the lateral rod 20, thereby enabling the hook engaging members 50 to interconnect the lateral rod 20 with the longitudinal spinal rods. The means for receiving the lateral rod may include, for example, a crimping mechanism which may receive the lateral rod 20 therein, and once the desired positioning of the lateral rod 20 is achieved, a force may be applied to the crimping mechanism thereby fixing the position of the lateral rod 20 with respect to the hook engaging members 50. Alternatively, the means for receiving the lateral rod may include a bore for receiving a tapered surface, whereby moving the tapered surface laterally with respect to the bore 70 fixes the location of the hook engaging members 50 with respect to the lateral rod 20. Furthermore, the means for receiving the lateral rod may include a bore utilizing a wedge member (*i.e.*, a set screw), whereby once the desired positioning of the lateral rod 20 is achieved, rotation of the wedge member fixes the position of the lateral rod 20 with respect to the hook engaging members 50. Also the means for receiving the lateral rod may include a top loading U-shaped member having an external threaded surface for receiving a locking nut, whereby once the desired positioning of the lateral rod 20 is achieved, rotation of the locking nut fixes the position of the lateral rod 20 with respect to the hook engaging members 50.

[0041] In one embodiment, the means for receiving the lateral rod 20 includes a lateral rod engaging portion 70 having an opening 72 sized and configured to receive the lateral rod 20 and the locking element 100. The opening 72 may have an inner concave surface 74 for adjustably receiving the outer convex surface of the locking element 100, thus providing a spherical adjustment assembly. Alternatively, the opening 72 may have an inner convex surface for adjustably receiving the outer concave surface of the locking element 100. This spherical adjustment configuration permits the lateral rod 20 to be universally adjustable with respect to each hook engaging member 50, and thus to the longitudinal spinal rods, thereby permitting the Linking Transconnector 10 to easily accommodate a wide variety of spinal rod alignments.

[0042] Although the lateral rod engaging portion 70 is described herein and shown as having an annular or circular shape, the lateral rod engaging portion 70 may take on any

suitable shape for receiving the lateral rod 20 and locking element 100 including, but not limited to, oval, elliptical, etc. Preferably, the engaging surfaces of the lateral rod engaging portion 70, the lateral rod 20, and the locking element 100 all have a substantially corresponding shape.

5 [0043] As best shown in Figure 3, the lateral rod 20 may be a rod having a cylindrical cross-section, and a length " L_1 " sufficient to extend between adjacent hook engaging members 50. As will be appreciated by those skilled in the art, however, the lateral rod 20 may take on any suitable shape known in the art.

[0044] The lateral rod 20 may include a pair of locking elements 100, one located at
10 either end of the lateral rod 20 for connection to a pair of hook engaging members 50. As shown in Figures 1 through 3, each locking element 100 may include a locking sleeve 110 and a bushing 130. Alternatively, however, the locking element 100 may take on other embodiments, for example, the locking element 100 may consist of only a single piece which may directly interconnect the hook engaging members 50 and the lateral rod 20. As
15 best shown in Figures 5a through 5c, the locking sleeve 110 may take the shape of a generally cylindrical member having a first end 112, a second end 114, and a through bore 116 extending therethrough. The through bore 116 preferably is sized and shaped for receiving the lateral rod 20, while the outer surface 113 of the locking sleeve 110 is sized and shaped to receive the through bore 136 of the bushing 130. More preferably, the
20 locking sleeve through bore 116 has a size permitting receipt of the lateral rod 20 in a sliding manner thus enabling the Linking Transconnector 10 to accommodate varying distances between longitudinal spinal rods by sliding the locking elements 100 along the length of the lateral rod 20. Each end of the lateral rod 20 may have a flange (not shown) to prevent the locking sleeve 110 from sliding off the lateral rod 20 once installed thereon.

25 [0045] The first and second ends 112, 114 of the locking sleeve 110 may also include flanges 118, 120, respectively. The flanges 118, 120 act as stops for bushing 130, which, when installed onto the locking sleeve 110, may slide along the outer surface 113 of the locking sleeve 110. This arrangement ensures that the bushing 130 will not slide off the locking sleeve 110 once the locking sleeve 110 and bushing 130 have been assembled onto
30 the lateral rod 20.

[0046] The locking sleeve 110 may have a tapered outer surface 113 extending from the second end 114 to the first end 112, such that the locking sleeve's outer surface diameter " D_1 " adjacent end 114 is greater than the locking sleeve outer diameter " D_2 " located adjacent end 112. The locking sleeve 110 also preferably includes a through wall slit 122

which extends from the first end 112 to the second end 114. The slit 122 allows the locking sleeve 110 to expand to facilitate installation of the locking sleeve 110 over the flanges 118, 120 on the ends of the lateral rod 20, and it also allows the locking sleeve 110 to contract around the lateral rod 20, such as when a force is applied to the locking sleeve 110 to fix the
5 location of the lateral rod 20 with respect to the locking element 100.

[0047] As shown in Figures 6a and 6b, and as previously stated, the locking element 100 also may include a bushing 130, the bushing 130 having any appropriate shape for positioning between the locking sleeve 110 and the lateral rod engaging portion 70 of the hook engaging member 50. As previously stated, the bushing 130 may have a convex outer
10 surface for engaging with the inner concave surface of the opening 72 of the lateral rod engaging portion 70, thereby facilitating universal adjustment of the lateral rod 20 with respect to the hook engaging members 50.

[0048] The bushing 130 may include a first end 132, a second end 134 and a through bore 136 extending therethrough. The through bore 136 may be sized and shaped
15 for receiving the locking sleeve 110 and lateral rod 20 therein. Preferably, the bushing 130 has an overall length L_3 shorter than the overall length L_2 of the locking sleeve 110 thus, as previously stated, the bushing 130 is sized and dimensioned to be slidable between flanges 112, 114 of the locking sleeve 110 so that the bushing 130 may be adjustably positionable along the length of the locking sleeve 110. The first and second flanges 118, 120 located on
20 the first and second ends 112, 114 of the locking sleeve 110 ensure that the bushing 130 will not slid off the locking sleeve 110 during installation of the Linking Transconnector 10 in the patient.

[0049] The bushing 130 also may include a through wall slit 138 that extends from the first end 132 to the second end 134. The slit 138 allows for easy installation of the
25 bushing 130 onto the locking sleeve 110. That is, similar to the slit 122 on the locking sleeve 110, slit 138 allows the bushing 130 to be expanded to allow its installation over flange 112 and onto the outer surface 113 of the locking sleeve 110.

[0050] In addition to facilitating installation of the individual transconnector components during assembly, the slits 122, 138 in the bushing 130 and locking sleeve 110
30 also allow the two pieces to be axially and rotationally locked together. That is, the outer surface 113 of the locking sleeve 110 has a diameter D_2 near the first end 112 which is sized to be smaller than the unexpanded through bore 136 of bushing 130, while the outer surface 113 of the locking sleeve 110 has a diameter D_1 near the second end 114 which is sized to be larger than the unexpanded through bore 136 of bushing 130. Thus, when the bushing

130 is positioned adjacent the first end **112** of the locking sleeve **110**, the locking sleeve **110** and bushing **130** may move freely with respect to each other. However, when the bushing **130** is positioned adjacent the second end **114** of the locking sleeve **110**, the larger diameter D_2 of the locking sleeve **110** interferes with the inner surface of the bushing **130**, causing a frictional lock between the locking sleeve **110** and bushing **130**. This locking action, which causes a slight compression of the locking sleeve **110** and a slight expansion of the bushing **130**, also may facilitate frictional locking of the locking sleeve **110** to the lateral rod **20** and frictionally locking of the bushing **130** to the hook engaging member **50**.

[0051] The outside surface of the bushing **130** may have a generally convex outer surface portion **140** extending from the first end **132** to the second end **134**. This convex portion **140** may be sized and dimensioned to correspond with the inner concave surface **74** of the lateral rod engaging portion **70** so that, when assembled, the bushing **130** may slide within the concave surface, thus facilitating universal adjustment of the hook engaging member **50** relative to the lateral rod **20**. Alternatively, as previously stated, the bushing **130** may have a generally concave outer surface sized and dimensioned to correspond with the inner convex surface of the lateral rod engaging portion **70**. This universal adjustment permits the Linking Transconnector **10** to accommodate varying spinal rod alignments including, for example, converging or diverging spinal rods and non-coplanar spinal rods. The concave-convex configuration further assures that, once assembled, the lateral rod engaging portion **70** will not separate from the bushing **130**. Since the bushing **130** is retained on the locking sleeve **110** via flanges **118**, **120** and the locking sleeve **110** is itself retained on the lateral rod **20**, this arrangement ensures that once it has been pre-assembled the Linking Transconnector **10** will remain intact during installation in the patient.

[0052] In one preferred embodiment, and by way of example only, the lateral rod **20** may have a diameter of about 3.5 millimeters (mm), and the locking sleeve **110** may have an overall length of about 7.3 mm and an inner diameter of about 3.5 mm for mating with the outer diameter of the lateral rod **20**. The locking sleeve **100** may also have an outer diameter of 4.6 mm at the first end **112** tapering to an outer diameter of about 5.1 mm at the second end **114**. The locking sleeve's first flange **118** may have an outer diameter of 4.9 mm and the second flange **120** may have an outer diameter of about 5.7 mm. The bushing **130** may have a length of about 3.5 mm and an inner diameter of about 4.7 mm for mating with the outer diameter of the locking sleeve **110**. The bushing **130** also may have an outer convex surface **138** with an outer diameter of about 6.8 mm for mating with the inner surface of the lateral rod engaging portion **70** which has an inner diameter of about 6.9 mm.

As will be appreciated by those skilled in the art, the dimensions of the lateral rod 20, locking sleeve 110, bushing 130, and lateral rod engaging portion 70 may be varied as appropriate and thus are not intended to be limiting.

[0053] In use, the Linking Transconnector 10 may be provided pre-assembled, such that a hook engaging member 50 and locking element 100 are provisionally attached to each end of a lateral rod 20. Although, the Linking Transconnector 10 may be provided in a pre-assembled form, the lateral rod 20 and hook engaging members 50 may be still rotationally and translationally adjustable relative to one another. That is, the locking sleeve 110 may still be free to translate along the lateral rod 20 and the spherical adjustment assembly of the bushing 130 and hook engaging member 50 permits the hook engaging member 50 to universally rotate with respect to the lateral rod 20.

[0054] Next, the pre-assembled Linking Transconnector 10 may be installed between a pair of longitudinal spinal rods by placing the Linking Transconnector 10 at the desired location between the spinal rods. The Linking Transconnector 10 is then adjusted, both rotationally and translationally, until its desired position is achieved. Once achieved, the wedge member 60 may then be tightened to lock the hook engaging members 50 to the spinal rods. Thereafter, a tool is used to engage the ends 112, 114 of the locking sleeve 110 and the outer surface of the bushing 130, and the two surfaces are moved toward each other using the tool. This causes the locking sleeve 110 to slide within the bushing 130, which, due to the increasing taper of the locking sleeve 110 causes interference between the outer surface 113 of the locking sleeve 110 and the inner surface of the bushing 130. This interference causes the locking sleeve 110 to compress and the bushing 130 to expand, thereby fixing the position of the locking sleeve 130 to the lateral rod 20, the bushing 130 to the hook engaging members 50, and the locking sleeve 110 to the bushing 130. All engagement between elements is frictional in nature, such that a reverse application of force between the bushing 130 and the locking sleeve 110 will cause the pieces to disengage such that they are again adjustable with respect to each other.

[0055] The present invention has been described in connection with the preferred embodiments. These embodiments, however, are merely for example and the invention is not restricted thereto. For example, the locking element 100 may include other embodiments. The locking element 100 may be a single piece having a tapered outer surface, configured to correspond to the inner surface of the bore 70 on the hook engaging member 50, whereby moving the locking element 100 laterally with respect to the bore 70 fixes the location of the hook engaging members 50 with respect to the lateral rod 20. Thus,

it will be understood by those skilled in the art that other variations and modifications can easily be made within the scope of the invention as defined by the appended claims, thus it is only intended that the present invention be limited by the following claims.

WHAT IS CLAIMED IS:

- 1 1. A transconnector for coupling first and second longitudinal spinal rods, the
2 transconnector comprising:
3 a pair of hook members, each hook member having a recess for receiving one of the
4 longitudinal spinal rods; each recess further having an axis;
5 a lateral rod for interconnecting the hook members; and
6 a pair of universal joints for interconnecting the hook members with the lateral rod;
7 wherein each hook member includes a through bore having an axis for receiving a
8 wedge member, the through bore axis being orientated substantially perpendicular to the
9 recess axis.
- 1 2. A transconnector according to claim 1, wherein the lateral rod further
2 comprises a first end, a second end, and a midpoint located therebetween, the at least one
3 through bore being located farther from the midpoint than its associated hook recess.
- 1 3. A transconnector according to claim 1, wherein the recess is positioned
2 laterally inboard from the axis of the longitudinal spinal rod and the through bore is
3 positioned laterally outboard from the axis of the longitudinal spinal rods.
- 4 4. A transconnector according to claim 1, wherein at least one hook member
5 further comprise a bore for receiving at least a portion of the universal joint.
- 1 5. A transconnector according to claim 4, wherein at least one of the universal
2 joints further comprises an outer convex surface and the bore comprises a corresponding
3 inner concave surface, the two surfaces configured to be slidably engageable.
- 1 6. A transconnector according to claim 1, wherein at least one universal joint
2 further comprises a locking sleeve and a bushing.
- 1 7. A transconnector according to claim 6, wherein the locking sleeve is sized
2 and configured to be slidably moveable along the lateral rod.
- 1 8. A transconnector according to claim 7, wherein the lateral rod further
2 comprises a first end and a second end, the first and second ends having flanges located
3 thereon.

1 9. A transconnector according to claim 6, wherein the bushing is sized and
2 configured to be positioned between the locking sleeve and the hook member thereby
3 permitting the lateral rod to be universally adjustable with respect to the hook member.

1 10. A transconnector according to claim 9, wherein the bushing further
2 comprises an outer convex surface and the hook member further comprises a bore having a
3 corresponding inner concave surface, the two surfaces configured to be slidably engageable.

1 11. A transconnector according to claim 6, wherein the locking sleeve further
2 comprises a first end, a second end, and a through bore extending from the first end to the
3 second end, the through bore being sized and configured to receive the lateral rod therein,
4 and the bushing comprises a third end and a forth end, and a second through bore extending
5 from the third end to the fourth end, the second through bore being sized and configured to
6 receive the locking sleeve therein.

1 12. A transconnector according to claim 11, wherein the bushing is sized and
2 configured to be slidably moveable along the locking sleeve.

1 13. A transconnector according to claim 12, wherein the locking sleeve further
2 comprises a first and second flange.

1 14. A transconnector according to claim 11, wherein the locking sleeve has a
2 first outer diameter at the first end and a second outer diameter at the second end, the
3 second outer diameter being greater than the first outer diameter.

1 15. A transconnector according to claim 11, wherein the locking sleeve further
2 comprises a slit extending from the first end to the second end; and the bushing further
3 comprises a slit extending from the third end to the fourth end.

1 16. A transconnector according to claim 11, wherein the universal joint has an
2 unlocked configuration in which the locking sleeve and the bushing may slide with respect
3 to each other, and the universal joint can rotate with respect to the hook engaging member,
4 and a locked configuration in which the sleeve and the bushing are axially and rotatably
5 fixed.

1 17. A transconnector according to claim 16, wherein moving the locking sleeve
2 along the lateral rod from a first position in which the second bore is in substantial
3 alignment with the first outer diameter of the locking sleeve to a second position in which
4 the second bore is in substantial alignment with the second outer diameter of the locking
5 sleeve configures the universal joint from the unlocked to the locked position.

1 18. A transconnector according to claim 17, wherein moving the locking sleeve
2 from the first to the second position further axially and rotatably locks the universal joint to
3 the lateral rod.

1 19. A transconnector according to claim 1, wherein at least one hook recess has
2 a first radius, and the associated longitudinal rod has a second radius, the first radius being
3 substantially identical to the second radius.

1 20. A transconnector according to claim 1, wherein at least one wedge member
2 has a bearing surface shaped and configured to substantially conform to the outer surface of
3 the associated longitudinal spinal rod.

1 21. A transconnector according to claim 1, wherein the wedge member is
2 selected from the group consisting of a set screw or a quarter turn cam.

1 22. A transconnector according to claim 1, further comprising at least one tool
2 engagement recess formed on the group consisting of a hook engagement member or a
3 lateral rod.

1 23. A transconnector according to claim 22, further comprising a first tool
2 engagement recess and a second engagement recess formed opposite the first tool
3 engagement recess.

1 24. A transconnector according to claim 22, wherein the at least one tool
2 engagement recess is configured for gripping the group consisting of a hook engaging
3 members or a lateral rod with a forceps.

1 25. A transconnector according to claim 22, wherein the at least one tool
2 engagement recess is configured for gripping from above when the group consisting of a
3 hook engaging member or a lateral rod is engaged.

1 26. A transconnector for coupling first and second longitudinal spinal rods, each
2 spinal rod having a longitudinal axis, the transconnector comprising:

3 a pair of hook members, each hook member having a recess for receiving one of the
4 longitudinal spinal rods and a through bore having an axis for receiving a wedge member,
5 the through bore being orientated substantially perpendicular to the axis of the longitudinal
6 spinal rod;

7 a lateral rod for interconnecting the hook members; and

8 a pair of locking elements for interconnecting the hook members with the lateral
9 rod;

10 wherein the locking element has an unlocked configuration in which the locking
11 element may move relative to the lateral rod, and a locked configuration in which the
12 locking element is fixed relative to the lateral rod.

1 27. A transconnector according to claim 26, wherein the lateral rod further
2 comprises a first end, a second end, and a midpoint located therebetween, the at least one
3 through bore being located farther from the midpoint than its associated hook recess.

1 28. A transconnector according to claim 26, wherein the recess is positioned
2 laterally inboard from the axis of the longitudinal spinal rod and the through bore is
3 positioned laterally outboard from the axis of the longitudinal spinal rods.

4 29. A transconnector according to claim 26, wherein at least one hook member
5 further comprises a bore for receiving at least a portion of the lateral rod.

1 30. A transconnector according to claim 29, wherein the locking element further
2 comprises an outer convex surface and the bore further comprises a corresponding inner
3 concave surface, the two surfaces configured to be slidably engageable.

1 31. A transconnector according to claim 26, wherein the locking element further
2 comprises a locking sleeve and a bushing.

1 32. A transconnector according to claim 31, wherein the locking sleeve is sized
2 and configured to be slidably moveable along the lateral rod.

1 33. A transconnector according to claim 32, wherein the lateral rod further
2 comprises a first end and a second end, the first and second ends having flanges located
3 thereon.

1 34. A transconnector according to claim 31, wherein the bushing is sized and
2 configured to be positioned between the locking sleeve and the hook member thereby
3 permitting the lateral rod to be universally adjustable with respect to the hook member.

1 35. A transconnector according to claim 34, wherein the bushing further
2 comprises an outer convex surface and the hook member further comprises a bore having a
3 corresponding inner concave surface, the two surfaces configured to be slidably engageable.

1 36. A transconnector according to claim 31, wherein the locking sleeve further
2 comprises a first end, a second end, and a through bore extending from the first end to the
3 second end, the through bore being sized and configured to receive the lateral rod therein,
4 and the bushing comprises a third end and a forth end, and a second through bore extending
5 from the third end to the fourth end, the second through bore being sized and configured to
6 receive the locking sleeve therein.

1 37. A transconnector according to claim 36, wherein the bushing is sized and
2 configured to be slidably moveable along the locking sleeve.

1 38. A transconnector according to claim 37, wherein the locking sleeve further
2 comprises a first and second flange.

1 39. A transconnector according to claim 36, wherein the locking sleeve has a
2 first outer diameter at the first end and a second outer diameter at the second end, the
3 second outer diameter being greater than the first outer diameter.

1 40. A transconnector according to claim 36, wherein the locking sleeve further
2 comprises a slit extending from the first end to the second end; and the bushing further
3 comprises a slit extending from the third end to the fourth end.

1 41. A transconnector according to claim 36, wherein the locking sleeve and the
2 bushing are axially and rotatably adjustable in the unlocked configuration and axially and
3 rotationally fixed in the locked configuration.

1 42. A transconnector according to claim 41, wherein moving the locking sleeve
2 along the lateral rod from a first position to a second position configures the universal joint
3 from the unlocked to the locked position.

1 43. A transconnector according to claim 42, wherein moving the locking sleeve
2 from the first to the second position further axially and rotatably locks the locking element
3 to the lateral rod.

1 44. A transconnector according to claim 26, wherein at least one hook recess has
2 a first radius, and the associated longitudinal rod has a second radius, the first radius being
3 substantially identical to the second radius.

1 45. A transconnector according to claim 26, wherein at least one wedge member
2 has a bearing surface shaped and configured to substantially conform to the outer surface of
3 the associated longitudinal spinal rod.

1 46. A transconnector according to claim 26, wherein the wedge member is
2 selected from the group consisting of a set screw or a quarter turn cam.

1 47. A transconnector according to claim 26, further comprising at least one tool
2 engagement recess formed on the group consisting of a hook engagement member or a
3 lateral rod.

1 48. A transconnector according to claim 47, further comprising a first tool
2 engagement recess and a second engagement recess formed opposite the first tool
3 engagement recess.

1 49. A transconnector according to claim 47, wherein the at least one tool
2 engagement recess is configured for gripping the group consisting of a hook engaging
3 members or a lateral rod with a forceps.

1 50. A transconnector according to claim 47, wherein the at least one tool
2 engagement recess is configured for gripping from above when the group consisting of a
3 hook engaging member or a lateral rod is engaged.

1 51. A transconnector for coupling first and second longitudinal spinal rods, each
2 spinal rod having a longitudinal axis, the transconnector comprising:

3 a pair of hook members, each hook member having a recess for receiving one of the
4 longitudinal spinal rods and a through bore having an axis for receiving a wedge member,
5 the through bore being orientated substantially perpendicular to the axis of the longitudinal
6 spinal rod; and

7 a lateral rod for interconnecting the hook members; the lateral rod having first and
8 second ends and including a pair of locking elements one located at either end of the lateral
9 rod for connection to the hook members; the locking elements being sized and configured to
10 provide universal movement between the hook members and the lateral rod;

11 wherein the locking element has an unlocked configuration in which the locking
12 element may move with respect to the lateral rod, and a locked configuration in which the
13 locking element is fixed with respect to the lateral rod.

1 52. A transconnector according to claim 51, wherein the lateral rod further
2 comprises a first end, a second end, and a midpoint located therebetween, the at least one
3 through bore being located farther from the midpoint than its associated hook recess.

1 53. A transconnector according to claim 51, wherein the recess is positioned
2 laterally inboard from the axis of the longitudinal spinal rod and the through bore is
3 positioned laterally outboard from the axis of the longitudinal spinal rods.

4 54. A transconnector according to claim 51, wherein at least one hook member
5 further comprises a bore for receiving at least a portion of the locking element.

1 55. A transconnector according to claim 54, wherein the locking element further
2 comprises an outer convex surface and the bore further comprises a corresponding inner
3 concave surface, the two surfaces configured to be slidably engageable.

1 56. A transconnector according to claim 51, wherein the locking element further
2 comprises a locking sleeve and a bushing.

1 57. A transconnector according to claim 56, wherein the locking sleeve is sized
2 and configured to be slidably moveable along the lateral rod.

1 58. A transconnector according to claim 57, wherein the lateral rod further
2 comprises a first end and a second end, the first and second ends having flanges located
3 thereon.

1 59. A transconnector according to claim 56, wherein the bushing is sized and
2 configured to be positioned between the locking sleeve and the hook member thereby
3 permitting the lateral rod to be universally adjustable with respect to the hook member.

1 60. A transconnector according to claim 59, wherein the bushing further
2 comprises an outer convex surface and the hook member further comprises a bore having a
3 corresponding inner concave surface, the two surfaces configured to be slidably engageable.

1 61. A transconnector according to claim 56, wherein the locking sleeve further
2 comprises a first end, a second end, and a through bore extending from the first end to the
3 second end, the through bore being sized and configured to receive the lateral rod therein,
4 and the bushing comprises a third end and a forth end, and a second through bore extending
5 from the third end to the fourth end, the second through bore being sized and configured to
6 receive the locking sleeve therein.

1 62. A transconnector according to claim 61, wherein the bushing is sized and
2 configured to be slidably moveable along the locking sleeve.

1 63. A transconnector according to claim 62, wherein the locking sleeve further
2 comprises a first and second flange.

1 64. A transconnector according to claim 61, wherein the locking sleeve has a
2 first outer diameter at the first end and a second outer diameter at the second end, the
3 second outer diameter being greater than the first outer diameter.

1 65. A transconnector according to claim 61, wherein the locking sleeve further
2 comprises a slit extending from the first end to the second end; and the bushing further
3 comprises a slit extending from the third end to the fourth end.

1 66. A transconnector according to claim 61, wherein the locking sleeve and the
2 bushing are axially and rotationally adjustable in the unlocked configuration and axially and
3 rotationally fixed in the locked configuration.

1 67. A transconnector according to claim 66, wherein moving the locking sleeve
2 along the lateral rod from a first position to a second position configures the universal joint
3 from the unlocked to the locked position.

1 68. A transconnector according to claim 67, wherein moving the locking sleeve
2 from the first to the second position further axially and rotatably locks the locking element
3 to the lateral rod.

1 69. A transconnector according to claim 51, wherein at least one hook recess has
2 a first radius, and the associated longitudinal rod has a second radius, the first radius being
3 substantially identical to the second radius.

1 70. A transconnector according to claim 51, wherein at least one wedge member
2 has a bearing surface shaped and configured to substantially conform to the outer surface of
3 the associated longitudinal spinal rod.

1 71. A transconnector according to claim 51, wherein the wedge member is
2 selected from the group consisting of a set screw or a quarter turn cam.

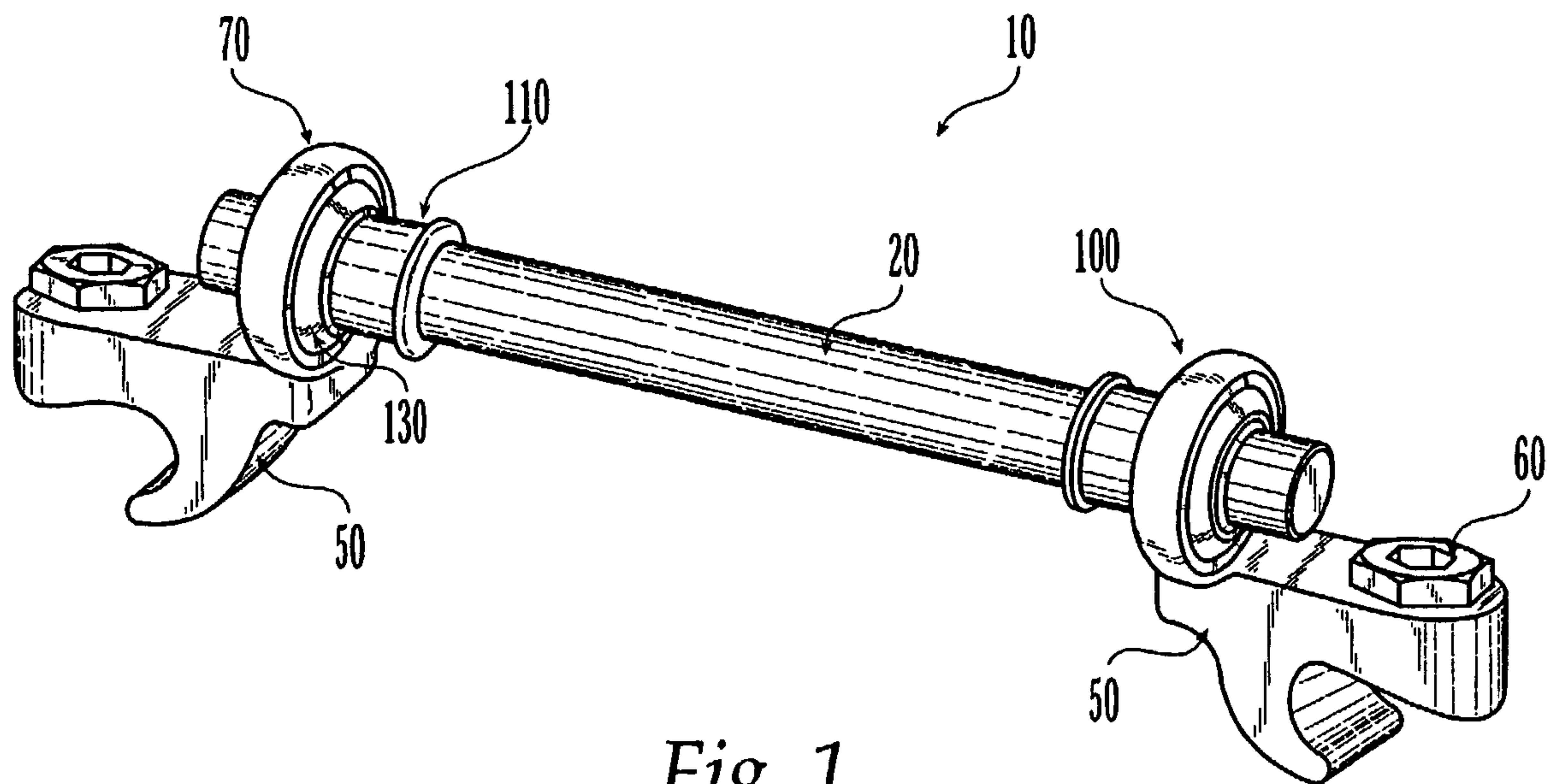
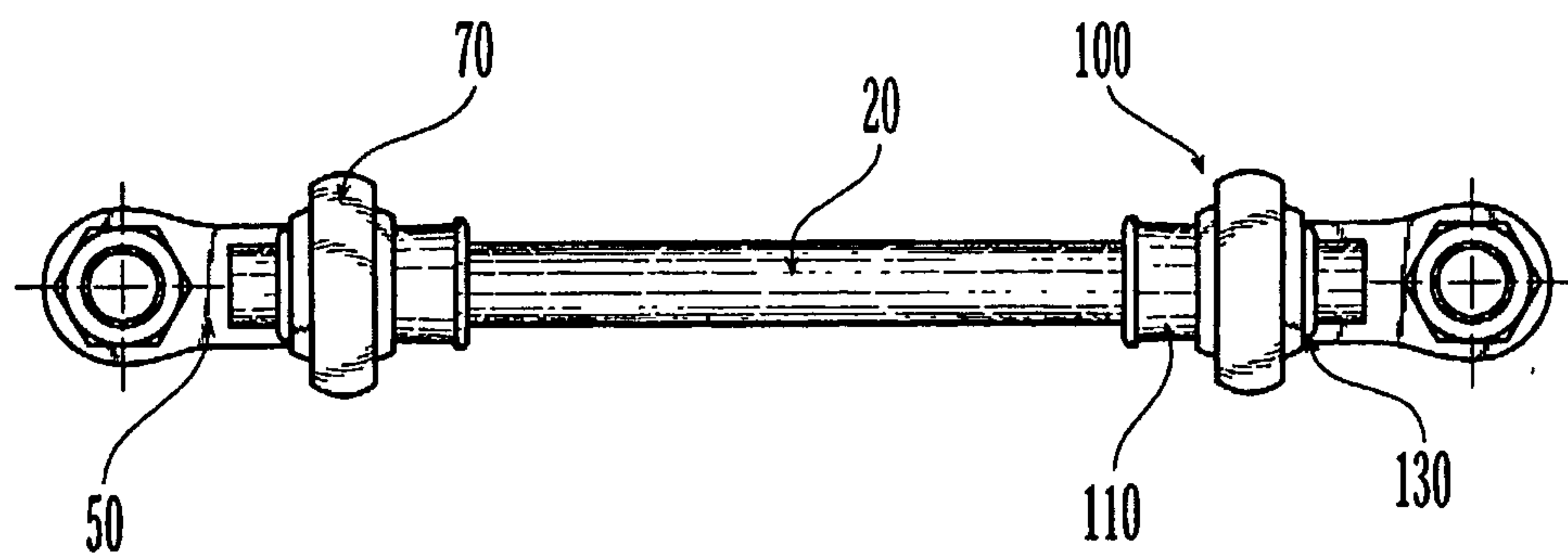
1 72. A transconnector according to claim 51, further comprising at least one tool
2 engagement recess formed on the group consisting of a hook engagement member or a
3 lateral rod.

1 73. A transconnector according to claim 72, further comprising a first tool
2 engagement recess and a second engagement recess formed opposite the first tool
3 engagement recess.

1 74. A transconnector according to claim 72, wherein the at least one tool
2 engagement recess is configured for gripping the group consisting of a hook engaging
3 members or a lateral rod with a forceps.

1 75. A transconnector according to claim 72, wherein the at least one tool
2 engagement recess is configured for gripping from above when the group consisting of a
3 hook engaging member or a lateral rod is engaged.

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*Fig. 1**Fig. 2*

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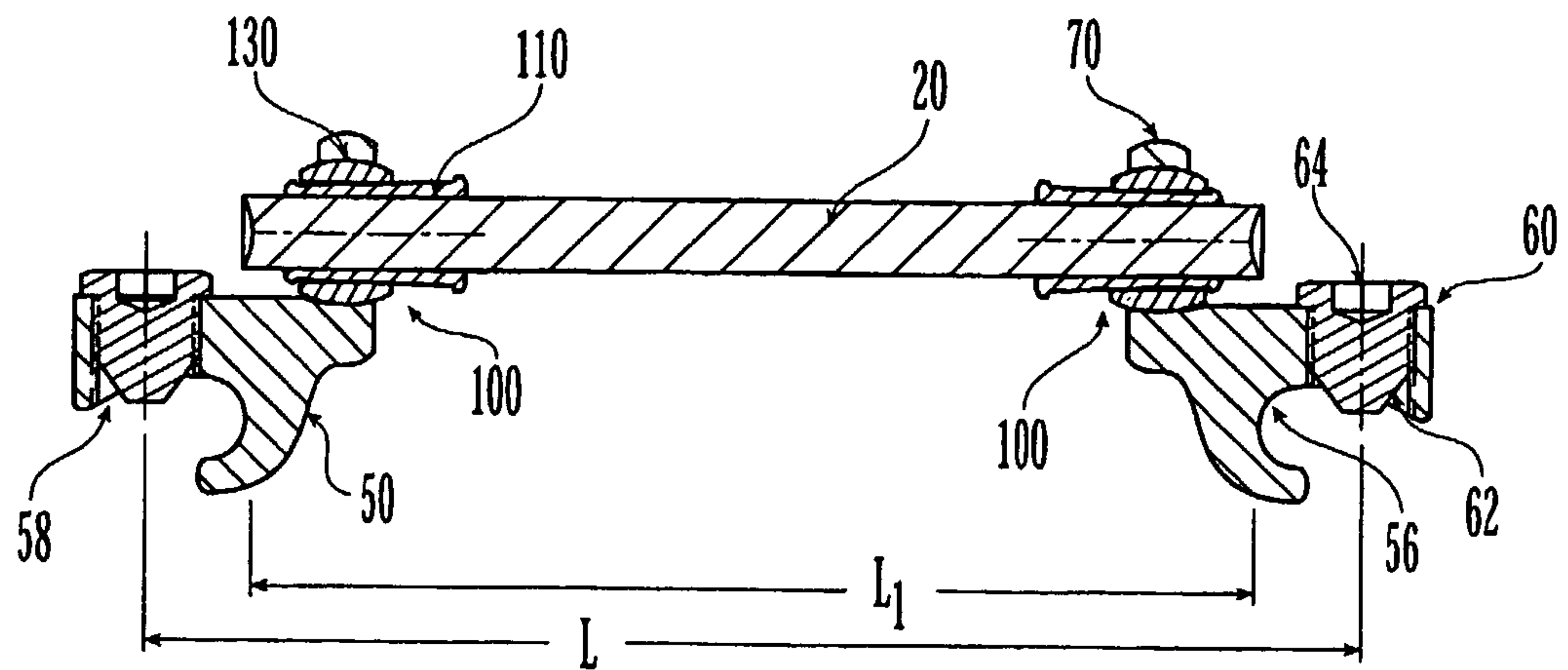


Fig. 3

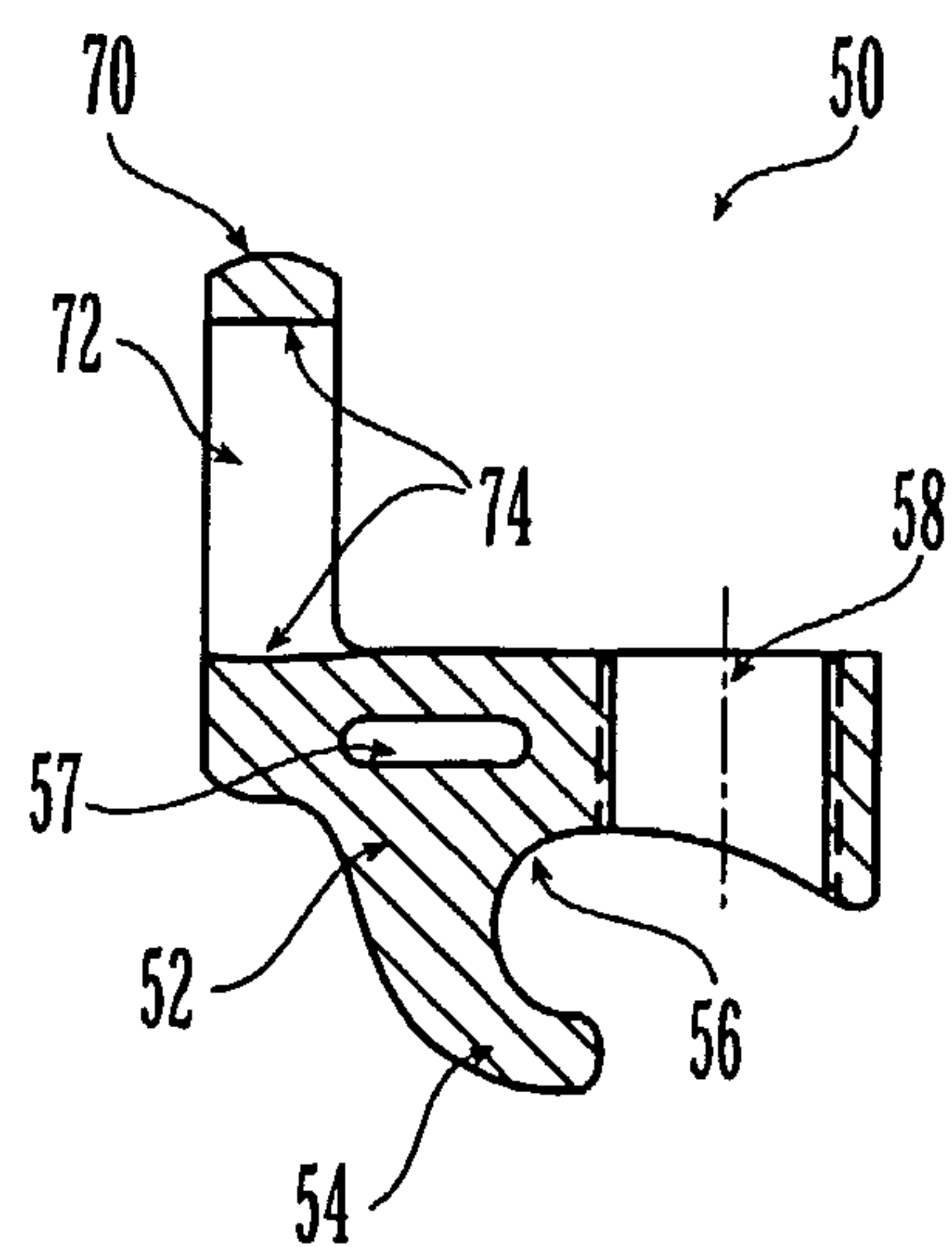


Fig. 4

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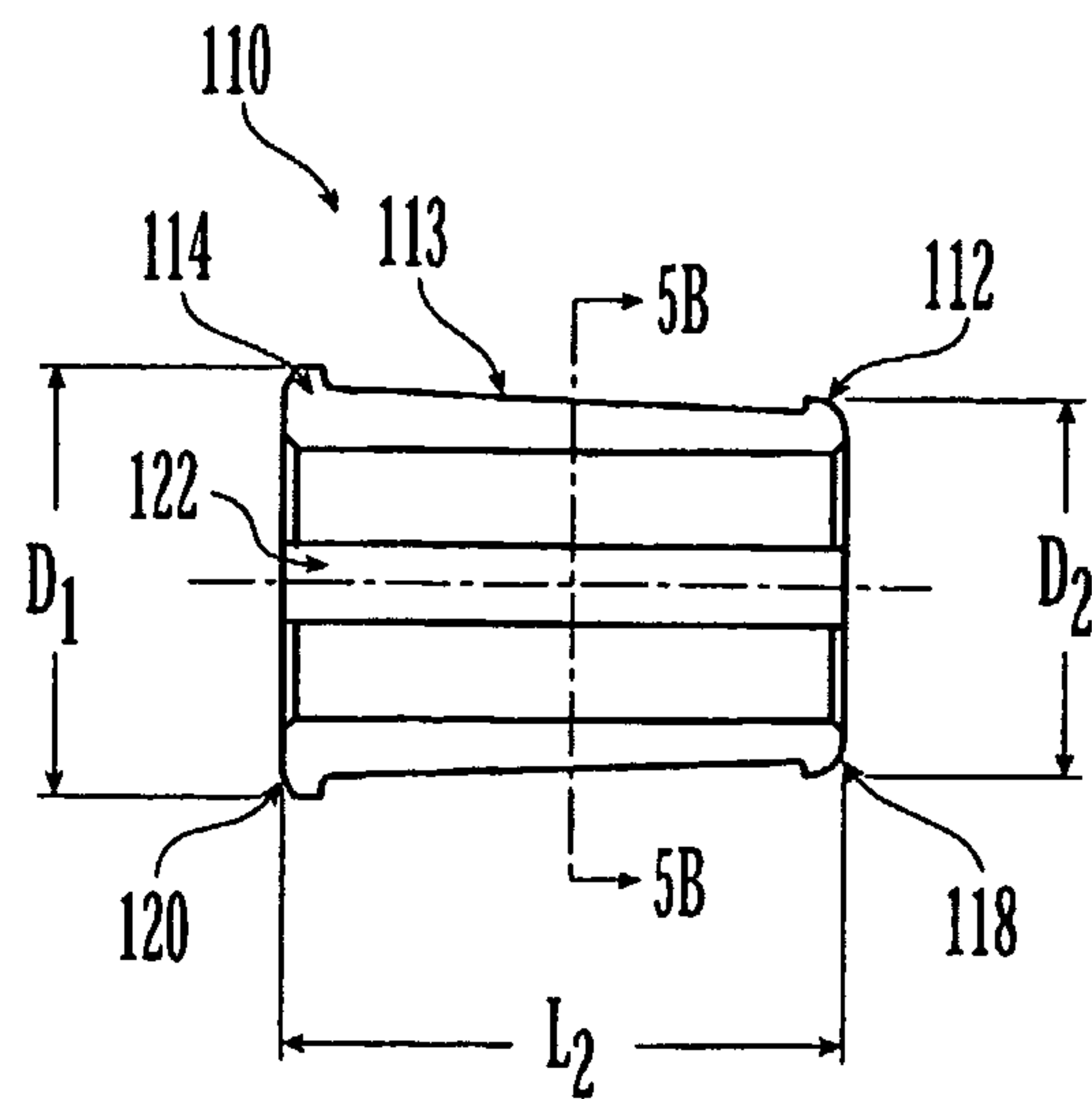


Fig. 5A

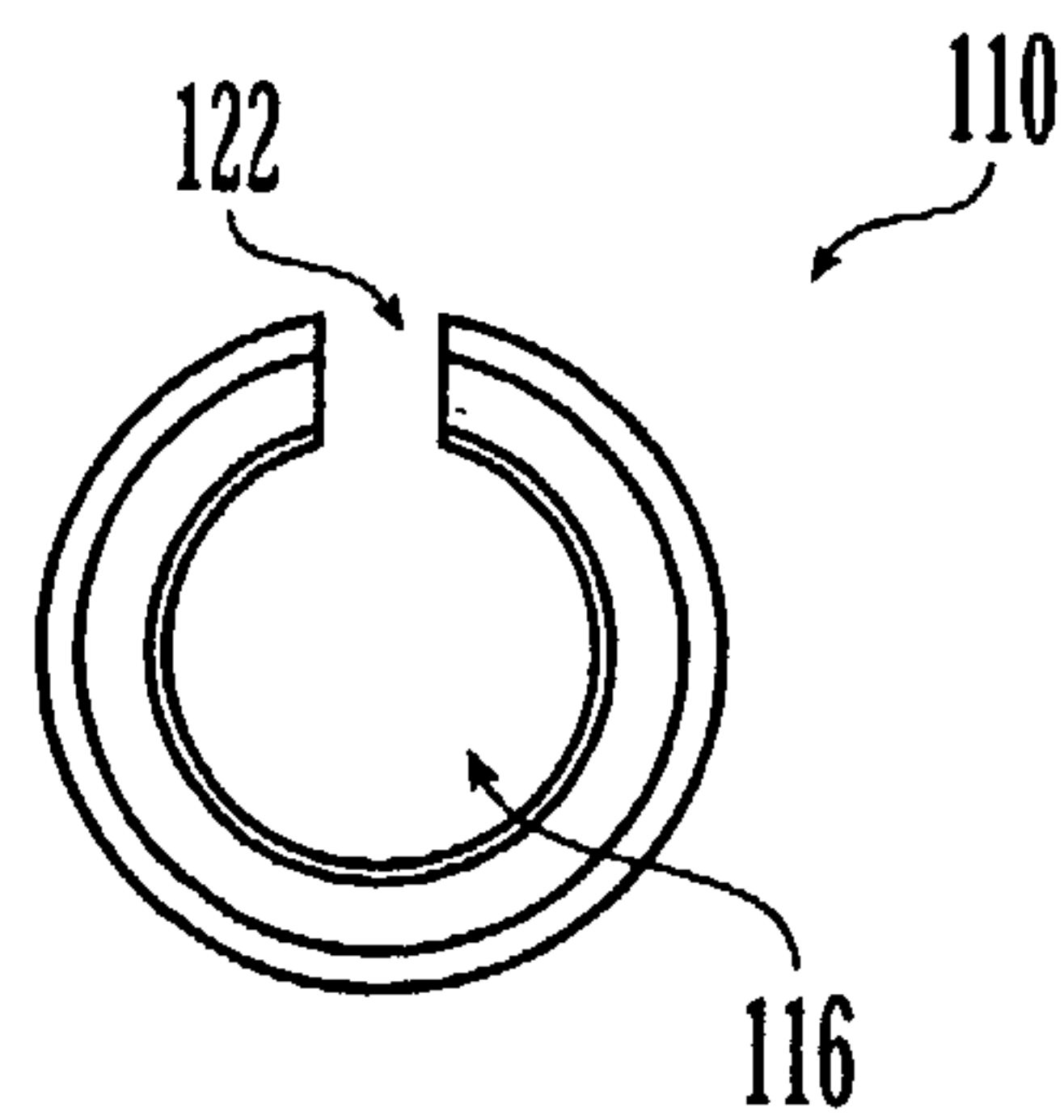


Fig. 5B

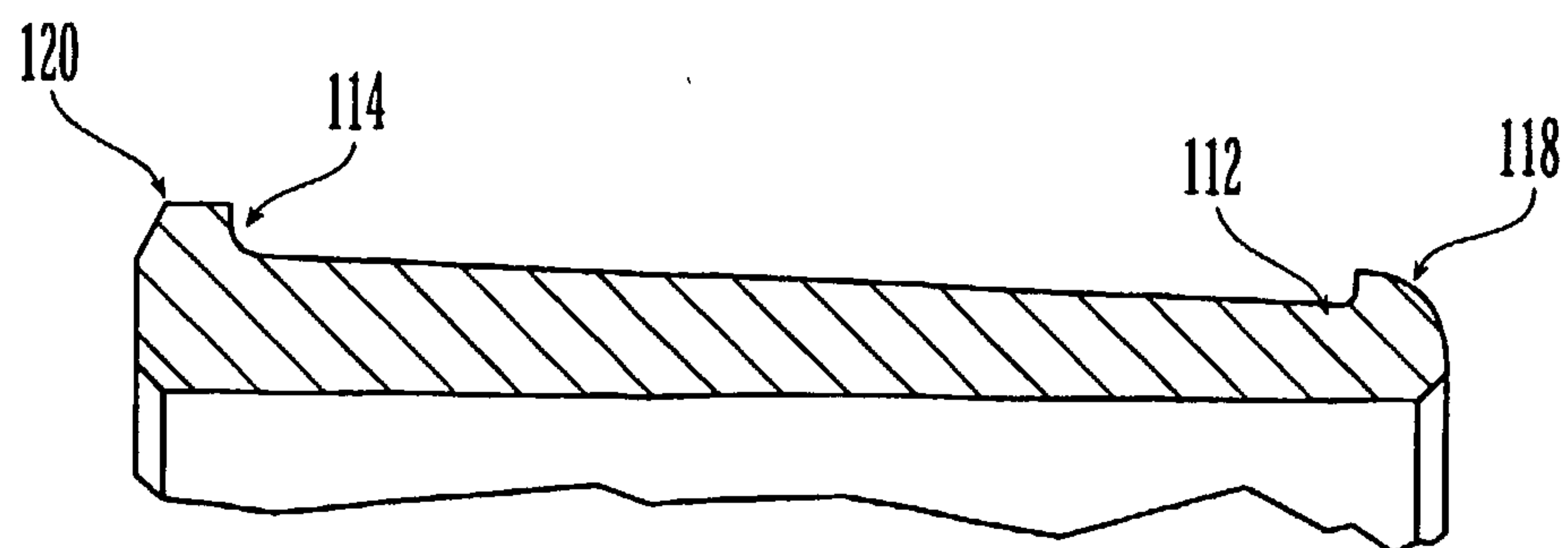
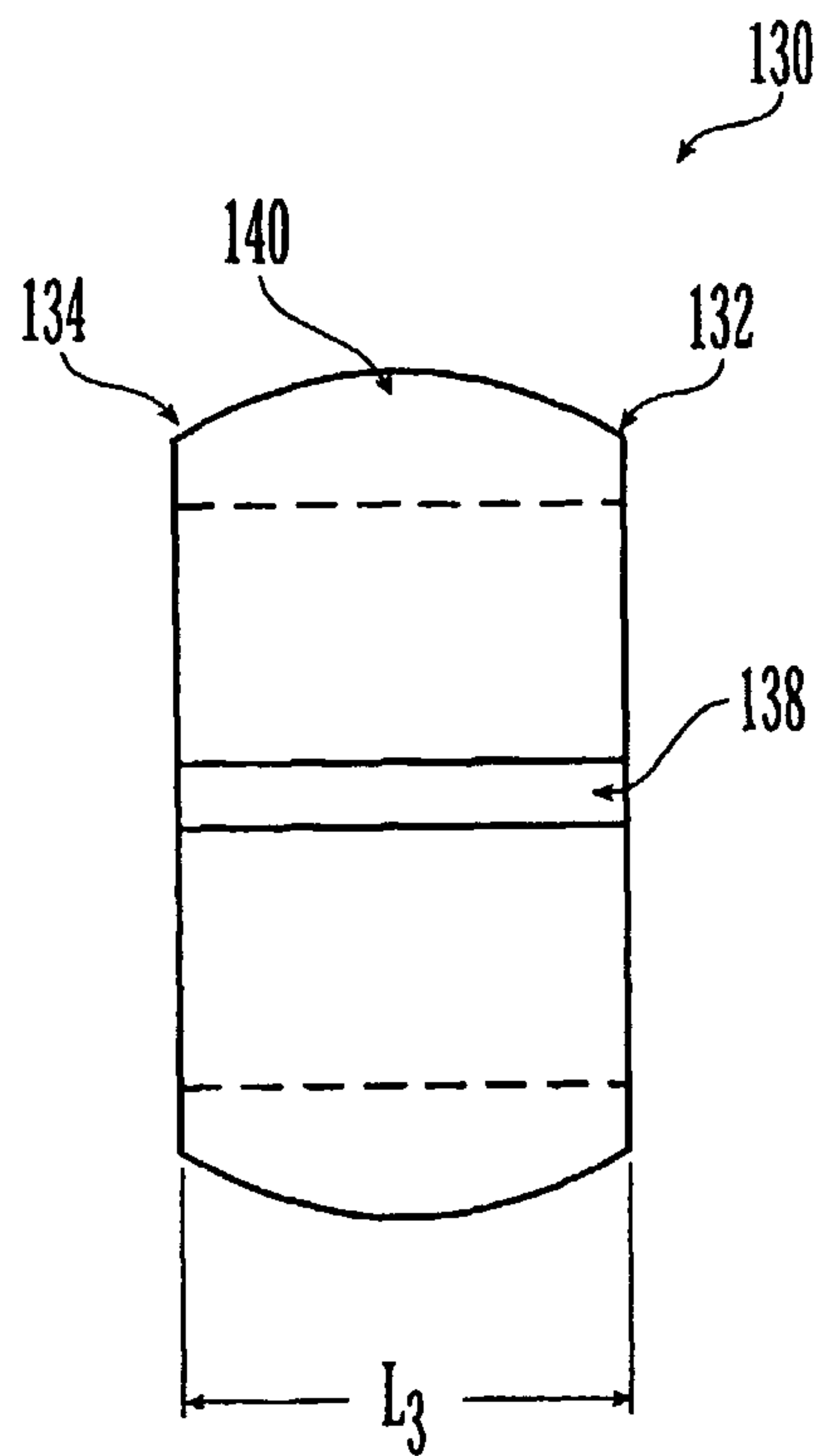
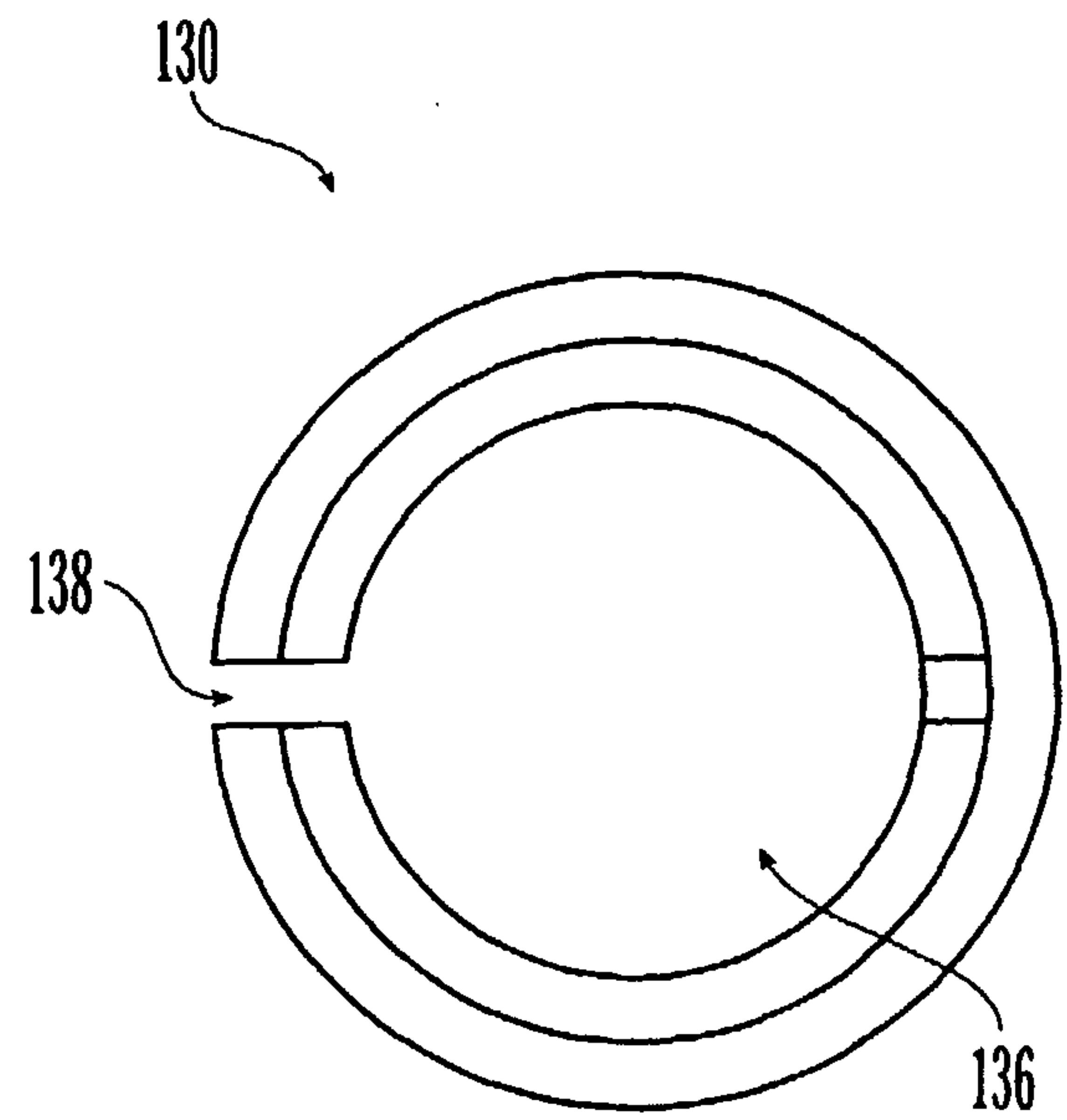


Fig. 5C

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*Fig. 6A**Fig. 6B*

