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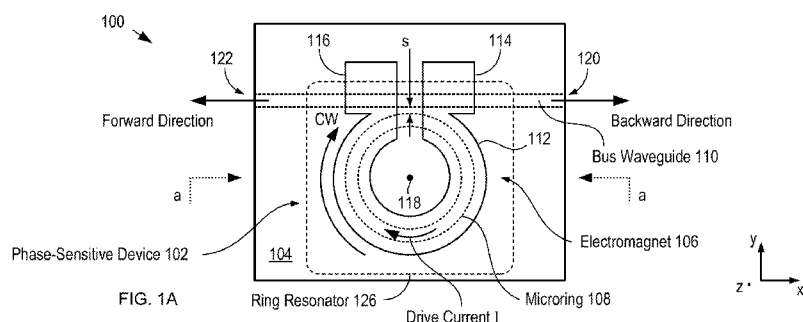
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(54) Title: RECONFIGURABLE INTEGRATED-OPTICS-BASED NON-RECIPROCAL DEVICES



(57) Abstract: Reconfigurable non-reciprocal integrated-optics-based devices are disclosed. The non-reciprocal devices include: a phase-sensitive device, such as a microring waveguide; a magneto-optic layer; and an electromagnet. These elements are operatively coupled such that a magnetic field generated by current flow through the electromagnet gives rise to a non-reciprocal phase shift in the phase-sensitive device. The non-reciprocal phase shift leads to a difference in the way that a light signal travels in the forward and backward directions through one or more bus waveguides that are operatively coupled with the phase-sensitive element. The non-reciprocity is reversible by reversing the direction of drive current flow in the electromagnet, which enables the inter-port connectivity of the ports of these bus waveguides to be reconfigured based on the direction of the drive current flow. Examples of reconfigurable isolator and circulator embodiments are described.

Reconfigurable Integrated-Optics-Based Non-Reciprocal Devices

Related Applications

[0001] This application claims the benefit of U.S. Provisional Application No. 62/290,341 filed February 2, 2016 (Attorney Docket: 331-013PR1). The entire disclosure of U.S. Provisional Application No. 62/290,341 is incorporated herein by reference.

Field of the Invention

[0002] The present invention relates to integrated-optics technology in general, and, more particularly, to magneto-optically actuated integrated-optics-based switching devices.

Background of the Invention

[0003] A photonic integrated circuit (PIC) is an optical system that is integrated on a substrate, where the optical system includes a plurality of photonic functions, such as light generation, transmission, filtering, modulation, switching, detection and the like. PICs are analogous to electronic integrated circuits; however, a PIC operates primarily in the optical domain. A typical PIC includes one or more photonic devices, such as light sources, optical modulators, detectors, *etc.*, which are integrated with integrated-optics devices, such as planar lightwave circuit (PLC) surface waveguides, arrayed waveguide gratings, and directional couplers.

[0004] Some photonic devices, although highly desirable, have proven difficult to include in a PIC, however. Among these are non-reciprocal devices, such as optical isolators, optical circulators, Faraday rotators, *etc.*, in which the transmission of a light signal through a surface waveguide differs based upon its propagation direction. These devices are key elements in many photonic applications, including optical telecom and datacom systems, where they are used to reduce feedback noise and enable serial integration of active and passive photonic components, and optical sensor systems such as optical gyroscopes, current sensors, *etc.* Unfortunately, the challenges associated with their integration in PLC technology have, thus far, created barriers to their use in practical PIC systems.

[0005] Examples of integrated-optics isolators and circulators were disclosed by Shoji, *et al.*, in "Magneto-optical isolator with silicon waveguides fabricated by direct

bonding," *Appl. Phys. Lett.*, Vol. 92, (2008). The disclosed devices relied on the magneto-optic effect in cerium-substituted yttrium iron garnet (Ce:YIG) to generate a nonreciprocal phase shift (NRPS) in a light signal propagating through an unbalanced Mach-Zehnder interferometer (MZI) architecture using an external permanent magnet to apply the necessary magnetic field. Unfortunately, the utility of these devices was limited by the large footprint of an MZI structure and the bulkiness of permanent magnets.

[0006] In an attempt to reduce the footprint of such devices, Tien, *et al.*, disclosed isolators based on the use of a microring in place of the MZI in "Silicon ring isolators with bonded nonreciprocal magneto-optic garnets," *Optics Express*, Vol. 19, 11740-11745 (2011). Unfortunately, these devices were also plagued by problems such as the challenges associated with the use of an external permanent magnet or the complex fabrication to integrate strong ferromagnetic material.

[0007] In addition, many non-reciprocal devices disclosed to date have largely relied on the application of a magnetic field transverse to a waveguide that is partially composed of a magneto-optic material, such as Ce:YIG. Magneto-optic material, however, is characterized by a notoriously high optical insertion loss, which is exacerbated by the use of a large-footprint optical layout (e.g., an MZI). Still further, the need for the inclusion of a permanent magnet to apply a magnetic field close to the magneto-optic waveguide creates problems in the eventual packaging of the PIC and limits the functionality of the PIC. Previous devices are static in their functionality due to the permanent magnet and cannot be reconfigured to perform other operations.

[0008] A simple, low-loss, easily fabricated, reconfigurable non-reciprocal device suitable for integration in a PIC remains, therefore, unrealized in the prior art.

Summary of the Invention

[0009] The present invention mitigates some of the costs and disadvantages of the prior art. Specifically, the present invention enables low-loss, integrated-optics-based non-reciprocal devices, such as optical isolators, optical circulators, and the like, where the optical connections between the optical ports of the device can be rapidly reconfigured. Devices in accordance with the present invention can reconfigure their optical port connectivity on a sub-nanosecond time scale.

[0010] Embodiments of the present invention exploit the fact that magneto-optic material becomes non-reciprocal when magnetized with a quasi-static magnetic field. The present invention employs an integrated electromagnet for generating the magnetic field and controls the direction and strength of the drive current through the electromagnet to enable multiple distinct states of operation in which the connectivity between their optical ports differs. For example, a non-reciprocal device having a single phase-sensitive device has three distinct operating states: (1) wherein its ports are connected in first manner when a first drive current flows through the electromagnet in a first direction; (2) wherein its ports are connected in a second manner when the first current flows through the electromagnet in the opposite direction; and (3) wherein its ports are connected in a third manner when no drive current flows through the electromagnet (*i.e.*, the non-reciprocity of the microring is turned off). In some embodiments, multiple phase-sensitive devices, each having its own electromagnetic, are combined to enable many states of operation, where the number of operating states is given by the formula 3^N , where N is the number of phase-sensitive devices in the non-reciprocal device.

[0011] An illustrative embodiment of the present invention is an integrated optical isolator comprising a phase-sensitive device, a layer of magneto-optic material disposed on the phase-sensitive device, and an electromagnet comprising a loop conductor disposed on the layer of magneto-optic material. The phase-sensitive device is a ring resonator includes a microring and a bus waveguide, each of which is a conventional air-cladded silicon waveguide. The layer of magneto-optic material includes a layer of Ce:YIG, which was previously grown on a separate substrate and bonded to the ring resonator. The loop conductor is a trace of electrically conductive material having substantially the same shape as the microring. The loop conductor, magneto-optic material, and microring are arranged such that the magnetic field generated by the flow of the drive current in the loop conductor is coupled with the magneto-optic layer to generate a radially directed magnetic field at the microring.

[0012] In a first operating state, drive current flowing in a first direction around the loop conductor generates a magnetic field that is directed radially outward at the microring. The presence of the magnetic field gives rise to different phase constants for the CW and the CCW propagation modes in the microring. As a result, the resonance wavelength of the microring in the CW direction is different from its resonance wavelength in the CCW direction. As a light signal propagating between the ports of the bus waveguide in the

forward direction (*i.e.*, aligned with the CCW propagation mode) passes by the coupling region between the microring and bus waveguide, light at the CCW resonance wavelength couples into the microring and is substantially removed from the light signal in the bus waveguide. As a result, propagation of that wavelength is blocked in the forward direction (*i.e.*, the ports of the waveguide are not connected). In similar fashion, when the light signal propagates through the bus waveguide in the backward direction (*i.e.*, aligned with the CW propagation mode), light at the CW resonance wavelength couples into the microring and is substantially removed from the light signal in the bus waveguide. The non-reciprocity of the microring, therefore, gives rise to different propagation characteristics for the forward and backward directions of the bus waveguide.

[0013] In a second operating state, the direction of the flow of the drive current through the loop conductor is reversed, which changes the direction of the magnetic field such that it is directed radially inward at the microring. This exchanges the resonance wavelengths in the CW and CCW directions and, therefore, the directions for which propagation of light at these wavelengths is enabled and blocked.

[0014] By controlling the direction of drive current flow in the electromagnet, therefore, the present invention enables the inter-port connectivity of a non-reciprocal device to be completely reconfigured between two operating states.

[0015] In a third operating state, the drive current is completely turned off. As a result, the device is no longer non-reciprocal and the resonance wavelength in the CW and CCW directions is the same (*i.e.*, the device behaves as a conventional all-pass ring filter).

[0016] In some embodiments, the phase-sensitive device comprises a Mach-Zehnder Interferometer.

[0017] In some embodiments, the phase-sensitive device is operatively coupled with a pair of bus waveguides to collectively define a reconfigurable four-port circulator.

[0018] In some embodiments, the phase-sensitive device includes a plurality of microrings and bus waveguides, which are arranged to define reconfigurable circulators having more than four ports.

[0019] In some embodiments, the phase-sensitive device includes a coupled-microring filter having a plurality of microrings.

[0020] An embodiment of the present invention is a device (400) that is an integrated-optics-based device comprising: (1) a plurality of ports that includes a first port (120) and second port (122); (2) a first non-reciprocal element (100) including; (a) a first phase-sensitive device (102) disposed on a substrate (124); (b) a first layer (104) comprising a magneto-optic material, the first layer being disposed on the first phase-sensitive device; and (c) a first electromagnet (106) disposed on the first layer, the first electromagnet being dimensioned and arranged to generate a first magnetic field (202) at the first phase-sensitive device when a first drive current (I) flows through the first electromagnet; and (3) a first bus waveguide (110) that is dimensioned and arranged to convey a first light signal (404) characterized by a first wavelength (λ_1), the first bus waveguide comprising the first port and the second port and being operatively coupled with the first non-reciprocal element; wherein the device is reconfigurable between a plurality of operating states, each operating state defining a different inter-port connectivity between the plurality of ports; wherein the device has a first operating state of the plurality thereof when the first drive current flows in a first direction (CW); and wherein the device has a second operating state of the plurality thereof when the first drive current flows in a second direction (CCW).

[0021] Another embodiment of the present invention is a method comprising: (1) providing a device (400) that is an integrated-optics-based device that is reconfigurable between a plurality of operating states, each operating state defining a different inter-port connectivity between a plurality of ports, wherein the device includes the plurality of ports, a first non-reciprocal element (100), and a first bus waveguide (110), and wherein the device is provided such that; (a) the first non-reciprocal element includes; (i) a first phase-sensitive device (102) disposed on a substrate (124); (ii) a first layer (104) comprising a magneto-optic material, the first layer being disposed on the first phase-sensitive device; and (iii) a first electromagnet (106) disposed on the first layer, the first electromagnet being dimensioned and arranged to generate a first magnetic field (202) at the first phase-sensitive device when a first drive current (I) flows through the first electromagnet; and (b) the first bus waveguide is dimensioned and arranged to convey a first light signal (404) characterized by a first wavelength (λ_1), the first bus waveguide comprising a first port (120) and a second port (122), the plurality of ports including the first port and the second port; wherein the first non-reciprocal element and the first bus waveguide are operatively coupled; wherein the device has a first operating state of the plurality thereof when the first

drive current flows in a first direction (CW); wherein the device has a second operating state of the plurality thereof when the first drive current flows in a second direction (CCW); and wherein the device has a third operating state when the magnitude of the first drive current is equal to zero; (2) providing a first control signal (406) to the first electromagnet, wherein the first control signal defines the magnitude and direction of the first drive current; and (3) controlling the first control signal to control the magnitude and direction of the flow of the first drive current through the first electromagnet.

Brief Description of the Drawings

[0022] FIGS. 1A-B depict schematic diagrams of top and cross-sectional views of a generalized reconfigurable non-reciprocal element in accordance with the present invention.

[0023] FIGS. 2A-B depict sectional views of element **100** showing the magnetic field generated by the flow of drive current **I** through electromagnet **106** in the clockwise and counterclockwise directions, respectively.

[0024] FIG. 3 depicts experimental results for the optical propagation through bus waveguide **110** over a range of wavelengths and under different drive-current conditions for non-reciprocal element **100**.

[0025] FIG. 4 depicts a schematic diagram of a perspective view of an optical isolator having three operating states in accordance with an illustrative embodiment of the present invention.

[0026] FIG. 5 depicts operations of a method for forming a non-reciprocal optical device in accordance with the present invention.

[0027] FIGS. 6A-C depict schematic drawings of cross-sectional views of isolator **400** at different stages of its fabrication.

[0028] FIG. 7 depicts operations of a method for providing optical isolation in accordance with the present invention.

[0029] FIG. 8 depicts measured optical isolation in bus waveguide **110** as a function of drive current flow in electromagnet **106**.

[0030] FIG. 9 depicts a schematic drawing of an isolator having an expanded isolation bandwidth in accordance with an alternative embodiment of the present invention.

[0031] FIG. 10 depicts the optical transfer functions of each of isolators **400-1** and **400-2**, as well as the complete isolator **900**, in the forward and backward directions.

[0032] FIGS. 11A-B depict schematic drawings of alternate electromagnet designs in accordance with the present invention.

[0033] FIG. 12 depicts a schematic diagram of a top view of a non-reciprocal device in accordance with another alternative embodiment of the present invention.

[0034] FIG. 13 depicts a schematic drawing of a top view of an alternative embodiment of a four-port circulator in accordance with the present invention.

[0035] FIG. 14 depicts a schematic drawing of a reconfigurable six-port circulator in accordance with another alternative embodiment of the present invention.

[0036] FIG. 15 depicts an alternate layout of the microrings and bus waveguides of circulator **1400**.

[0037] FIG. 16 depicts a schematic drawing of a top view of an isolator in accordance with another alternative embodiment of the present invention.

[0038] FIG. 17 depicts a plot of measured transmission of a light signal through isolator **1600**.

[0039] FIG. 18 depicts a schematic drawing of a top view of an optical circulator in accordance with another alternative embodiment of the present invention.

Detailed Description

[0040] The present invention exploits the fact that a non-reciprocal phase shift can be induced in an integrated-optics-based phase-sensitive device, such as a ring resonator or Mach-Zehnder interferometer, by coupling the device with a magneto-optic layer and applying a magnetic field to the resultant structure. As disclosed in U.S. Patent No. 8,396,337, which is incorporated herein by reference, the magnetic field can be generated via an electromagnet formed on top of the magneto-optic layer, which overcomes many of the problems associated with permanent-magnet-based non-reciprocal devices. In addition, since the magnitude of the drive current in an electromagnet can be controlled, the strength of the magnetic field can be tailored to achieve the desired amount of nonreciprocal phase shift (NRPS). In a resonant, ring-resonator based device, this effect produces a desired magnitude of the resonance wavelength split (RWS) induced in the device and, therefore, the CW and CCW resonance wavelengths can be selected as desired. In a non-resonant, asymmetric-MZI-based device, this effect produces a phase shift that can give rise to constructive interference for light at an operating wavelength as it travels through the MZI structure in one direction, and destructive interference for the same light as it travels through the MZI structure in the other direction.

[0041] It is an aspect of the present invention that by simply reversing the direction of current flow through the electromagnet, the positions of the CW and CCW resonance wavelengths (or directions in which constructive and destructive interference occurs) can be exchanged. Further, by simply shutting off the current flow through the electromagnet, the non-reciprocity of the non-reciprocal element is removed completely, yielding CW and CCW resonance wavelengths that are at the same wavelength (or identical constructive/destructive interference in both directions through an MZI). As a result, the present invention enables non-reciprocal devices that have multiple distinct states of operation in which different inter-port connectivity is established. For the purposes of this Specification, including the appended claims, the term “**inter-port connectivity**” is defined as the manner in which a plurality of optical ports of a device are optically coupled for a light signal. In other words, the inter-port connectivity of a device defines the manner in which the light signal can propagate between the optical ports of the device.

Theory of Operation

[0042] In order to further the understanding of the present invention, an overview of the operating principle of a non-reciprocal element is presented here.

[0043] FIGS. 1A-B depict schematic diagrams of top and cross-sectional views of a generalized reconfigurable non-reciprocal element in accordance with the present invention. Non-reciprocal element **100** is a generalized device structure that includes phase-sensitive device **102**, magneto-optic layer **104**, and electromagnet **106**. For illustrative purposes, in the depicted example, non-reciprocal element **100** is operatively coupled with bus waveguide **110**.

[0044] Phase-sensitive device **102** is a microring (*i.e.*, microring **108**) that, with bus waveguide **110**, defines ring resonator **126**. Microring **108** and bus waveguide **110** are separated by gap **s**, which is sized to enable optical coupling between the two waveguide elements. The waveguide structures of the ring resonator are designed to support transverse magnetic (TM) mode light. Microring **108** is a circular waveguide that is centered about axis **118**. Although microring **108** is a circular waveguide in the depicted example, in some embodiments, the microring has a shape other than a circle. For the purposes of this Specification, including the appended claims, the term “**microring**” is defined as any closed-loop surface waveguide capable of supporting a resonant condition. Closed-loop shapes suitable for use in a microring include circles, racetracks, ellipses, ovals, squares, rectangles, irregular shapes, and the like.

[0045] It should be noted that the present invention is applicable to any phase-sensitive waveguide structure. As a result, the principles of the present invention can be applied to optical devices other than microring structures, such as MZI structures, and the like, without departing from the scope of the present invention. One skilled in the art will recognize that embodiments of the present invention can include resonant structures, as well as non-resonant structures, as long as the non-resonant structures support a phase-sensitive criterion that can give rise to non-reciprocity in their transmission characteristics.

[0046] Ring resonator **126** is disposed on layer **124**, which is a layer of silicon dioxide suitable for acting as a lower cladding for the microring and the bus waveguide.

[0047] Magneto-optic layer **104** is disposed on the ring resonator such that it acts as an upper cladding layer for the silicon core of the microring. It comprises one or more layers of material whose permittivity tensor, ϵ , changes in the presence of a magnetic field (*i.e.*,

magneto-optic material). In some embodiments, magneto-optic layer **104** is operatively coupled with the microring without operating as its upper cladding.

[0048] Electromagnet **106** comprises loop conductor **112** and terminals **114** and **116**. Loop conductor is a planar coil of electrically conductive material disposed on magneto-optic layer **104**. Electromagnet **106** is a substantially circular electrical trace that is centered about axis **118** and that has the substantially the same shape as microring **108**.

[0049] The operation of element **100** relies on the ability to induce the nonreciprocal phase shift effect in a magneto-optic garnet via the application of a magnetic field. In element **100**, electromagnet **106** is operatively coupled with magneto-optic layer **104** and ring resonator **126** such that a flow of drive current through the electromagnet generates a magnetic field that induces a nonreciprocal phase shift in the magneto-optic layer which gives rise to difference in the wavelengths at which the microring is resonant in the CW and CCW direction. As a result, ring resonator **126** becomes non-reciprocal such that light propagating through bus waveguide **110** in the forward direction is resonant with the microring **108** at a different wavelength than light propagating through the bus waveguide in the backward direction.

[0050] FIGS. 2A-B depict sectional views of element **100** showing the magnetic field generated by the flow of drive current **I** through electromagnet **106** in the clockwise and counterclockwise directions, respectively. The views of element **100** in FIGS. 2A-B are taken through line a-a as depicted in FIG. 1A.

[0051] As shown in FIG. 2A, when drive current **I** flows from terminal **114** to terminal **116** (clockwise around axis **118**), magnetic field **202** is generated such that it is directed radially outward at microring **108**.

[0052] As shown in FIG. 2B, when drive current **I** flows from terminal **116** to terminal **114** (counterclockwise around axis **118**), magnetic field **202** is generated such that it is directed radially inward at microring **108**.

[0053] Ring resonator **126**, magneto-optic layer **104**, and electromagnet **106** are operatively coupled such that, when magnetic field **202** is generated at microring **108**, the effective indices of its CW and CCW transverse magnetic modes become separated, giving rise to a split between the CW and CCW resonance wavelengths of the microring (*i.e.*, an RWS).

[0054] It should be noted that the flow of drive current through electromagnet **106** also causes Joule heating, which increases the temperature of the microring. The heating of microring **108** results in a shift of the CW and CCW resonance wavelengths toward longer wavelengths (*i.e.*, they undergo a redshift); however, it does not affect the magnitude of the induced RWS.

[0055] FIG. 3 depicts experimental results for the optical propagation through bus waveguide **110** over a range of wavelengths and under different drive current conditions for non-reciprocal element **100**. The data included in plot **300** is obtained by measuring the transmittance of bus waveguide **108** in each of the forward and backward directions while sweeping its wavelength from 1552 nm to 1553 nm.

[0056] Trace **302** indicates the propagation characteristics of bus waveguide **110** with no drive current in electromagnet **106** and, therefore, no RWS induced in microring **108**. Since the propagation characteristics through the bus waveguide are reciprocal when no RWS is induced in the microring, trace **302** is measured propagation in the forward direction (*i.e.*, light propagating from port **120** to port **122**) as well as the backward direction (*i.e.*, light propagating from port **122** to port **120**). When the wavelength of the light signal matches the natural resonance wavelength, λ_0 , of microring **108**, it is coupled into the ring thereby removing it from the propagating signal (*i.e.*, its propagation through bus waveguide **110** is blocked). Trace **302** indicates that, with no applied drive current, the natural resonance wavelength, λ_0 , of microring **108** is approximately 1552.22 nm.

[0057] Trace **304** indicates the propagation characteristics of bus waveguide **110** in the forward direction for a CW drive current, **I**, of 140 mA. In the forward direction, the light signal in bus waveguide **110** couples into microring **108** only when its wavelength is equal to the CCW wavelength resonance, λ_2 , of the microring (approximately 1552.88 nm). In other words, propagation from port **120** to port **122** of all light signals having wavelengths other than λ_2 is enabled, while light signals characterized by λ_2 are blocked.

[0058] Trace **306** indicates the propagation characteristics of bus waveguide **110** in the backward direction under the same drive current conditions. In the backward direction, the light signal in bus waveguide **110** couples into microring **108** only when its wavelength is equal to the CW wavelength resonance, λ_1 , of the microring (approximately 1552.54 nm). In other words, propagation from port **122** to port **120** of all light signals having wavelengths other than λ_1 is enabled, while light signals characterized by λ_1 are blocked.

[0059] Plot **300** also shows that the Joule heating of microring **108** induces a redshift of its natural resonance of approximately 0.5 nm. The redshift is determined by the difference between the zero-current resonance of the microring and the average of its CW- and CCW-wavelength resonances (approximately 1552.71 nm).

[0060] The concept of employing a thin-film-coil-based electromagnet was disclosed in U.S. Patent No. 8,396,337; however, the application of this concept was limited to fixed-port non-reciprocal devices in which the direction of current flow through the electromagnet was kept constant. It is an aspect of the present invention, however, that reversing the direction of drive current **I** through electromagnet **106** (*i.e.*, generating current flow from terminal **116** to terminal **114**), produces a magnetic field having the same magnitude while the Joule heating that results remains unchanged. As a result, a reversal of the direction of current flow through loop conductor **112**, while maintain the same magnitude of current flow, exchanges the positions of the CW and CCW resonance wavelengths of the ring in substantially digital fashion. This enables two distinct operational modes of a non-reciprocal device, wherein the device has a first inter-port connectivity for λ_1 and λ_2 when **I** flows in the CW direction through electromagnet **106**, and a second inter-port connectivity for λ_1 and λ_2 when **I** flows in the CCW direction through the electromagnet. In fact, embodiments of the present invention enable yet another, third, digitally selectable operational mode having a third inter-port connectivity, wherein both λ_1 and λ_2 are aligned with λ_0 by stopping the current flow through electromagnet **106**.

[0061] Embodiments of the present invention combine the operation and structure of element **100** with additional phase-sensitive devices and/or bus waveguides to realize myriad reconfigurable integrated-optics-based non-reciprocal devices, such as optical isolators, multi-port optical circulators, *etc.*

[0062] FIG. 4 depicts a schematic diagram of a perspective view of an optical isolator having three operating states in accordance with an illustrative embodiment of the present invention. Isolator **400** includes element **100**, which is arranged with laser **402** such that back reflections of its light signal are blocked from coupling back into the laser and degrading its operation. Specifically, element **100** is configured to provide isolation between the forward and backward propagation of light signal **404**, which is coupled into bus waveguide **110** at port **120**.

[0063] Laser **402** is a conventional solid-state laser that emits light signal **404**, which is TM-polarized light and is characterized by wavelength λ_1 .

[0064] FIG. 5 depicts operations of a method for forming a non-reciprocal optical device in accordance with the present invention. Method **500** begins with operation **501**, wherein the structure of microring **108** and bus waveguide **110** is defined.

[0065] FIGS. 6A-C depict schematic drawings of cross-sectional views of isolator **400** at different stages of its fabrication. FIGS. 6A-C are described herein with continuing reference to FIGS. 4 and 5.

[0066] Microring **108** and waveguide **110** are defined by etching through active layer **604** of conventional silicon-on-insulator (SOI) substrate **600**, which includes handle substrate **602**, buried oxide (BOX) layer **604**, and active layer **606**.

[0067] Handle substrate **602** is a conventional silicon wafer whose top surface has been oxidized to form BOX layer **604**. In some embodiments, substrate **602** is a different substrate suitable for planar processing (e.g., a compound semiconductor wafer, etc.).

[0068] BOX layer **604** is a layer of silicon dioxide having a thickness sufficient to act as a lower cladding for silicon waveguides disposed on it, as well as substantially isolate optical modes in the waveguides from the underlying handle substrate. In some embodiments, BOX layer **604** includes a different material/structure suitable for acting as a lower cladding for the waveguide structures. For example, in some embodiments, BOX layer **604** is a glass substrate. Box layer **604** defines layer **124**, described above and with respect to FIGS. 1A-B.

[0069] In the depicted example, active layer **606** is a layer of single-crystal silicon having a thickness suitable for operation as the core of microring **108** and bus waveguide **110**. In the depicted example, active layer **606** has a thickness of approximately 230 nanometers (nm); however, in some embodiments, active layer **606** has a different thickness and/or comprises a different material suitable for use as a core layer of a surface waveguide.

[0070] Active layer **606** is etched to define phase-sensitive device **102**. In the depicted example, the phase-sensitive device includes microring **108** and bus waveguide **110**, which are silicon-based channel waveguides having a thickness of approximately 230 nm and a width of approximately 600 nm. Each is designed to be operative for TM-

polarized light. Although the illustrative embodiment employs TM-polarized waveguides, it will be clear to one skilled in the art, after reading this Specification, how to specify, make, and use alternative embodiments of the present invention that comprise waveguides that are designed for operation with transverse-electric (TE) polarized light, wherein the magneto-optic layer is disposed on one of the inner radius or outer radius of the microring. One skilled in the art will recognize that other waveguide structures, dimensions, and/or materials can be used for waveguides in accordance with the present invention.

[0071] FIG. 6A depicts a sectional view of isolator **400** after the formation of the waveguide structures of phase-sensitive device **102** (in the depicted example, ring resonator **102**).

[0072] At operation **502**, magneto-optic layer **104** is bonded on top of phase-sensitive device **102** via oxygen-plasma-assisted bonding. In some embodiments, another conventional bonding technique is used to join magneto-optic layer **104** and ring resonator **106**.

[0073] Magneto-optic layer **104** comprises Ce:YIG layer **608** and substituted gadolinium gallium garnet (SGGG) substrate **610**. Ce:YIG layer **608** is disposed on SGGG substrate **610** and has a thickness of approximately 400 nm. The magneto-optic layer is bonded to the ring resonator such that Ce:YIG layer **608** is in contact with microring **108** and bus waveguide **110**. It should be noted that, in some embodiments, an oxide layer is formed between layer **104** and the silicon waveguides (either proactively to facilitate bonding, or inherently during to O₂ plasma activation). The NRPS effect degrades quickly as the thickness of this oxide layer increases, however; therefore, it is desirable to keep it as thin as possible - preferably around 10 nm.

[0074] At operation **503**, to strengthen the bond, the bonded layer stack is annealed at 200 °C for six hours while 3 MPa of pressure is applied.

[0075] Preferably, magneto-optic layer **104** is thin to facilitate good coupling between a magnetic field generated by electromagnet **106** and microring **108**. As a result, at optional operation **504**, the thickness of the SGGG substrate **610** is reduced to a thickness of approximately 5 microns and the thickness of the Ce:YIG layer is approximately 400 nm. It should be noted that magneto-optic layer **110** can include other materials and/or have a different thickness without departing from the scope of the present invention. Preferably, all of these materials are low loss at the operating wavelength of ring resonator

126. It is known, however, that Ce:YiG typically has a relatively large propagation loss of ~60dB/cm for many wavelengths of interest.

[0076] FIG. 6B depicts a sectional view of isolator **400** after magneto-optic layer **104** and active layer **606** have been bonded and annealed.

[0077] At operation **505**, fabrication of isolator **400** is completed with the formation of electromagnet **106** on magneto-optic layer **104**. As discussed above, electromagnet **106** includes loop conductor **112** and terminals **114** and **116**. In the depicted example, loop conductor **112** is a substantially circularly shaped trace of gold having a thickness of approximately 1.5 microns and a width of approximately 3 microns. In some embodiments, electromagnet **106** comprises an electrically conductive material other than gold, such as a different metal (*e.g.*, aluminum, tungsten, titanium, *etc.*) or non-metallic conductor. Typically, electromagnet **106** is formed such that it has substantially the same shape as microring **108**.

[0078] FIG. 6C depicts a sectional view of completed isolator **400**.

[0079] Preferably, the thickness and width of microring **108**, and bus waveguide **110**, as well as the thickness of magneto-optic layer **104**, are selected to substantially maximize the induced RWS and the isolation induced in isolator **400**.

[0080] FIG. 7 depicts operations of a method for providing optical isolation in accordance with the present invention. Method **700** begins with operation **701**, wherein light signal **404** is coupled into port **120** of bus waveguide **110**.

[0081] At operation **702**, element **100** is put into a first operating state by inducing a resonance wavelength shift in microring **108** via control signal **406**. Control signal **406** is applied at terminals **114** and **116** such that drive current **I** flows in the clockwise direction through the loop conductor of electromagnet **106**, as discussed above and with respect to FIGS. 1-3. By virtue of the induced RWS, microring **108** develops a CW resonance mode at λ_1 and a CCW resonance mode at λ_2 .

[0082] At operation **703**, isolator **400** enables the propagation of light signal **404** from port **120** to port **122**. The operating wavelength of light signal **404** is λ_1 ; therefore, it is aligned with the CW resonance wavelength of microring **108** and unaligned with its CCW resonance wavelength. As a result, in the forward direction, the light signal is off-resonance with the CCW resonance wavelength. Propagation of light signal **404** from port **120** to port

122 is enabled, therefore, because its optical energy is not coupled into ring resonator **126** and remains in the bus waveguide.

[0083] At operation **704**, isolator **400** blocks the propagation of light signal **404** from port **122** to port **120**. In the backward direction, light signal **404** is on-resonance with the CW resonance wavelength of microring **108**. As a result, the optical energy of light signal **404** couples into the microring, effectively removing the light signal from bus waveguide **110**. In some embodiments, the optical energy coupled into the microring is dissipated by the microring. In other words, isolator **400** blocks propagation of light signal **404** from port **122** to port **120**. Optical isolators in accordance with the present invention readily attain attenuation levels in the blocking direction of greater than 10 dB and attenuations of nearly 40 dB have been demonstrated in some cases. One skilled in the art will appreciate that the level of attenuation necessary to “block” a light signal is application dependent.

[0084] FIG. 8 depicts measured optical isolation in bus waveguide **110** as a function of current flow in electromagnet **106**. Plot **800** demonstrates that optical isolation greater than 25 dB is attained for drive currents over the range of approximately 40 mA to approximately 180 mA.

[0085] At optional operation **705**, element **100** is put into a second operating state by applying control signal **406** at terminals **114** and **116** such that the flow of drive current **I** through electromagnet **106** is reversed (*i.e.*, it has the same magnitude but flows in the counter-clockwise direction). As a result, the direction of magnetic field **202** is flipped such that it is directed radially inward at microring **108**. The change in orientation of the magnetic field exchanges the CW and CCW resonance wavelengths for microring **108**.

[0086] At operation **706**, the propagation of light signal **404** from port **122** to port **120** is enabled by the fact that the light signal is now unaligned with the CW resonance wavelength of microring **108**.

[0087] At operation **707**, the propagation of light signal **404** from port **120** to port **122** is blocked by the fact that the light signal is now aligned with the CCW resonance wavelength of microring **108**.

[0088] At operation **708**, current flow **I** in electromagnet **106** is stopped (*i.e.*, **I** is set to zero). As a result, microring **108** becomes reciprocal and its CW and CCW resonance wavelengths are equal to the natural resonance wavelength, λ_0 , of the microring. As

discussed above, λ_1 is offset from λ_0 by the magnitude of the redshift induced by Joule heating in microring **108**. As a result, light signal **404** is unaligned with the resonance wavelength of microring **108**.

[0089] At operation **709**, the propagation of light signal **404** is enabled in both directions between ports **120** and **122**.

[0090] In some applications, it is beneficial to provide an optical-isolation bandwidth that is larger than that provided by a single-microring-based isolator, such as isolator **400**. To effect a larger isolation bandwidth, microring **108** can be replaced by a cascaded-ring or multi-microring configuration, wherein the respective CW and CCW resonance wavelengths of the microrings are aligned.

[0091] FIG. 9 depicts a schematic drawing of an isolator having an expanded isolation bandwidth in accordance with an alternative embodiment of the present invention. Isolator **900** is analogous to element **100** described above; however, isolator **900** includes a pair of isolators **400** cascaded along bus waveguide **110**.

[0092] Isolator **400-1** is driven with a CW drive current **I1** such that its CW resonance wavelength is aligned with λ_1 , which is the operating wavelength of light signal **404**, as described above.

[0093] Isolator **400-2**, on the other hand, is driven with a CCW drive current **I2** such that the positions of the CW and CCW resonance wavelengths of its microring are reversed. Further, the magnitude of at least one of **I1** and **I2** is controlled to effect a different thermally induced redshift on its CW and CCW resonances so that its CW resonance wavelength is also aligned with λ_1 .

[0094] As a result, light signal **404** passes through isolator **900** in the forward direction without significant attenuation, while undergoing significant attenuation at each of isolators **400-1** and **400-2** as it passes through bus waveguide in the backward direction. The isolation achieved for isolator **900**, therefore, is approximately double what is achieved by a single-ring isolator.

[0095] Typically, the number of operating states for a non-reciprocal device in accordance with the present invention is determined by the number of combinations of independently controllable current flows (*i.e.*, CW, CCW, and zero-current flows) among the non-reciprocal elements included in the device. The number of operating states for a typical

device, therefore, is given by the formula 3^N , where 3 is the number of current-flow states and N is the number of independently controllable non-reciprocal elements. For proper operation of isolator **900**, however, the current flows of **I1** and **I2** are inter-dependent for the operating wavelength of the device; therefore, there is only one independently controllable current flow for the isolator. As a result, isolator **900** has three operating states, which are analogous to those of isolator **400** described above.

[0096] It should be noted that, while it is preferable to provide drive currents **I1** and **I2** such that they flow in opposite directions to facilitate aligning their resonance wavelengths for light signal **440**, isolator **900** can be driven such that drive currents **I1** and **I2** flow in the same direction. In some embodiments, a heater element is included to tune to resonance wavelength in one of microrings **108-1** and **108-2**.

[0097] FIG. 10 depicts the optical transfer functions of each of isolators **400-1** and **400-2**, as well as the complete isolator **900**, in the forward and backward directions.

[0098] As seen in plots **1000** and **1002**, light signal **404** passes through each of isolators **400-1** and **400-2** with little or no attenuation in the forward direction but is significantly attenuated when passing through the isolator in the backward direction.

[0099] Plot **1004** shows that the attenuation of light signal **404** in the backward direction is substantially equal to the sum of the attenuations achieved in isolators **400-1** and **400-2**.

[00100] One skilled in the art will recognize that additional single-ring isolators can be included in isolator **900** by tuning their CW and CCW resonances via controlling the magnitude of their RWS (by controlling the magnitude of the generated magnetic field), as well as the Joule heating in each element given by the magnitude of their drive currents. As a result, the number of cascaded isolators included in isolator **900** is limited primarily only by practical considerations regarding insertion loss in the forward direction.

[00101] In some embodiments, electromagnet **106** includes a plurality of loop conductors that enable the electromagnet to generate magnetic field **202** with lower drive current **I**.

[00102] FIGS. 11A-B depict schematic drawings of alternate electromagnet designs in accordance with the present invention.

[00103] Electromagnet **1100** comprises a spiral of electrically conductive material, where each spiral is substantially centered about axis **118**. As drive current flows through loop conductor **1102**, each loop of the spiral generates a magnetic field that is oriented about the trace in the same manner. The plurality of magnetic fields generated by the spirals of loop conductor **1102** collectively defines magnetic field **202**.

[00104] Electromagnet **1104** comprises loop conductors **1106-1** through **1106-3**, which are electrically connected in parallel between terminals **114** and **116** and arranged such that each is substantially concentric about axis **118**. As drive current flows through each of the individual loop conductors, it generates a magnetic field that is oriented in the same manner about the trace. The plurality of magnetic fields generated by loop conductors **1106-1** through **1106-3** collectively defines magnetic field **202**.

[00105] One skilled in the art will recognize, after reading this Specification, that myriad alternative designs for electromagnet **106**, such as multiple planar coil layers that are interconnected by vias, *etc.*, are within the scope of the present invention.

[00106] FIG. 12 depicts a schematic diagram of a top view of a non-reciprocal device in accordance with another alternative embodiment of the present invention. Circulator **1200** is a four-port optical circulator that includes the structure of isolator **400**, as well as additional bus waveguide **1202**. In the depicted example, circulator **1200** is operative as a silicon-microring-based optical add-drop wavelength filter for controlling the propagation of light signals between its four ports.

[00107] Circulator **1200** has three states of operation that are analogous to the operating states of isolator **400** described above. The operating states of circulator **1200** are described as follows.

[00108] In operating state 1, electromagnet **106** is driven with a CW drive current flow **I** (*i.e.*, from terminal **114** to terminal **116**). As a result, magnetic field **202** is directed outward at microring **108**, which aligns the wavelength of light signal **404**, λ_1 , with the CW resonance mode of the microring, as discussed above. Forward propagating light signal **404** is off-resonance with microring **106**, therefore, resulting in it bypassing ring resonator **126** without coupling into it. This enables its selective propagation from port **120** to port **122**. In addition, propagation of light signal **404** is selectively enabled from port **122** to port **1204**, from port **1204** to port **1206**, and from port **1206** to port **120**. All other inter-port connectivity is blocked.

[00109] In operating state 2, electromagnet **106** is driven with a CCW flow of drive current **I** and magnetic field **202** is directed inward at microring **108**. As a result, the resonance wavelengths of the microring are exchanged and the wavelength of light signal **404**, λ_1 , is aligned with the CCW resonance mode of the microring. In this state, the propagation of the light signal is selectively enabled from port **120** to port **1206**, from port **1206** to port **1204**, from port **1204** to port **122**, and from port **122** to port **120**. Again, all other inter-port connectivity is blocked.

[00110] In operating state 3, drive current **I** is set to zero and the device behaves as a conventional add-drop filter with no non-reciprocal properties. In state 3, the wavelength of light signal **404**, λ_1 , is unaligned with the resonance wavelength of the microring and light signal **404** propagates without significant attenuation in both directions between ports **120** and **122**, as well as in both directions between ports **1204** and **1206**. In other words, for light signal **404**, port **120** is optically coupled with port **122** and port **1204** is optically coupled with port **1206**.

[00111] FIG. 13 depicts a schematic drawing of a top view of an alternative arrangement for a four-port circulator in accordance with the present invention. Circulator **1300** includes coupled-microring filter **1302** and bus waveguides **110** and **1202**.

[00112] Filter **1302** includes elements **100-1** and **100-2**, which comprise microrings **108-1** and **108-2** and their corresponding electromagnets **106-1** and **106-2** (not shown), respectively.

[00113] The operating states for circulator **1300** are analogous to those described above for circulator **1200**. In circulator **1300**, however, they are established by controlling the RWS in each of microrings **108-1** and **108-2** such that wavelength λ_1 propagates in opposite directions in the microrings (*i.e.*, the flows of one drive current depends on the other). For identical elements, the drive current in the two electromagnets have the same magnitude but flow in opposite directions. In practice, however, elements **100-1** and **100-2** are not usually identical; therefore, the drive current of at least one of the elements must be adjusted to compensate for their differences.

[00114] As discussed above and with respect to isolator **900**, the number of operating states for a typical non-reciprocal device in accordance with the present invention is given by the formula 3^N , where N is the number of non-reciprocal elements having independently controllable current flows. In circulator **1300**, as in isolator **900**, the current

flows in non-reciprocal elements **100-1** and **100-2** are inter-dependent; therefore, there is only one independently controllable current flow for the circulator. As a result, circulator **1300** has three operating states, which are analogous to those of circulator **1200** described above.

[00115] Filter **1302** affords circulator **1300** greater optical isolation, as well as larger isolation bandwidth.

[00116] One skilled in the art will recognize, after reading this Specification, filter **1302** can include more than two microrings to define higher-order coupled-microring filters without departing from the scope of the present invention.

[00117] FIG. 14 depicts a schematic drawing of a reconfigurable six-port circulator in accordance with another alternative embodiment of the present invention. Circulator **1400** includes circulator **1200**, as well as additional microring **108-2** and bus waveguide **1402**, which includes ports **1404** and **1406**. One skilled in the art will recognize that circulator **1400** includes magneto-optic layer **104**, as well as electromagnets operatively coupled with each of microrings **108-1** and **108-2**; however, for clarity, these elements are not shown in FIG. 14.

[00118] By virtue of the fact that it includes two independently controllable non-reciprocal elements, circulator **1400** has more operating states than circulators **1200** and **1300**. As discussed above, the number of operating states for a non-reciprocal device is given by the formula 3^N , where N is the number of its non-reciprocal elements that have an independently controllable current flow. Circulator **1400**, therefore, has nine unique operating states, each defining a different inter-port connectivity between ports **120**, **122**, **1204**, **1206**, **1404**, and **1406**.

[00119] In a first operating state, a CW flow of drive currents **I1** and **I2** provides outwardly directed magnetic fields at each of microrings **106-1** and **106-2**, enabling circulation of light from port **120** to port **122**, from port **122** to port **1204**, from port **1204** to port **1406**, from port **1406** to port **1404**, from port **1404** to port **1206**, and from port **1206** to port **120**.

[00120] In a second operating state, the reversal of the direction of the flow of both drive currents results in inwardly directed magnetic fields at each of microrings **108-1** and **108-2**, which gives rise to circulation of light from port **120** to port **1206**, from port

1206 to port **1404**, from port **1404** to port **1406**, from port **1406** to port **1204**, from port **1204** to port **122**, and from port **122** to port **120**.

[00121] In a third operating state, no drive current flows in either electromagnet, turning off the non-reciprocity and establishing λ_0 as the resonance wavelength for both rings (assuming no fabrication-based differences between them). As a result, light signal **404** propagates straight through each bus waveguide in each direction since its wavelength, λ_1 , is off-resonance with the rings. The inter-port connectivity for light signal **404** in the third operating state of circulator **1400**, therefore, is established as between ports **120** and **122**, between ports **1204** and **1206**, and between ports **1404** and **1406**.

[00122] In a fourth operating state, driving the electromagnets to realize an outwardly directed magnetic field at microring **108-1** and an inwardly directed magnetic field at microring **108-2** gives rise to circulation of light from port **120** to port **122**, from port **122** to port **1204**, from port **1204** to port **1206**, from port **1206** to port **1404**, from port **1404** to port **1406**, and from port **1406** to port **120**.

[00123] In a fifth operating state, driving the electromagnets to realize an inwardly directed magnetic field at microring **108-1** and an outwardly directed magnetic field at microring **108-2** gives rise to circulation of light from port **120** to port **1406**, from port **1406** to port **1404**, from port **1404** to port **1206**, from port **1206** to port **1204**, from port **1204** to port **122**, and from port **122** to port **120**.

[00124] It will be apparent to one skilled in the art, after reading this Specification, how to realize the other four operating states of circulator **1400**.

[00125] FIG. 15 depicts an alternate layout of the microrings and bus waveguides of circulator **1400**. In some embodiments, an odd number of ports is achieved by using a loop mirror at one of the ports.

[00126] It should be noted that the number of circulator ports that can be included in non-reciprocal devices in accordance with the present invention is theoretically unlimited. In practice, however, optical loss and optical crosstalk considerations dictate the number of ports possible for a given application.

[00127] In addition, in some embodiments, the inclusion of multiple microring devices require that at least some of the microrings have a tuning capability so that the

resonances of all of the rings can be aligned in light of manufacturing variations. In some embodiments, this tuning capability is attained via thermal tuning. In some embodiments, thermal tuning is achieved by ohmic heating in the loop conductors. In some embodiments, thermal tuning is achieved via a separate heating element that is operatively coupled with the microring. Preferably, these separate heating elements do not contribute magnetic fields within the vicinity of the microring. Alternatively, in some embodiments, the heating elements are designed such that their contributions to the magnetic field in the microring cancel themselves out over the round trip propagation in the ring. The use of a separate heating element affords such embodiments with independent control over each of the resonance and the induced magnetic field at non-reciprocal element in the non-reciprocal device. Furthermore, different wavelength tuning mechanisms (*i.e* stress-optic, electro-optic, *etc.*) can be used to set the CW and CCW resonance wavelengths independent of the RWS without departing from the scope of the present invention.

[00128] FIG. 16 depicts a schematic drawing of a top view of an isolator in accordance with another alternative embodiment of the present invention. Isolator **1600** includes phase-sensitive device **1602**, electromagnet **1604**, magneto-optic layer **104** (not shown), bus waveguide **1606**, and heaters **1622-1** and **1622-2** (referred to, collectively, as heaters **1622**). Isolator **1600** establishes inter-port connectivity for a light signal propagating between two ports, where the inter-port connectivity is based on a magnetic field generated by electromagnet **1604**. Isolator **1600** has operating states that are analogous to those described above and with respect to isolator **400**.

[00129] Phase-sensitive device **1602** is an arrangement of bus waveguides that are analogous to bus waveguide **110**, where the arrangement defines an asymmetric MZI configuration that includes arms **1608** and **1610**, which are connected at either end via conventional 1x2 splitters to bus waveguide **1606**, which includes ports **1612** and **1614**. In the depicted example, these splitters are conventional y-junctions; however, many alternative 1x2 splitters, such as multimode interferometers (MMI), *etc.*, can be used without departing from the scope of the present invention. Arms **1608** and **1610** are substantially parallel and define longitudinal axis **1620**. It should be noted that, in the depicted example, arms **1608** and **1610** have slightly different lengths such that a phase difference of $\pi/2 + 2n\pi$ (where n is an integer 0,1,2...) exists between the light signals propagating through them.

[00130] Electromagnet **1604** is an electrically conductive trace that is dimensioned and arranged to generate equal and opposite magnetic fields at arms **1608** and **1610** when the electromagnet is energized with drive current **I**. The direction of the magnetic fields should be transverse to the propagation direction in the waveguide in order to generate nonreciprocal phase shift. In some embodiments, electromagnet **1604** includes separate traces at each of arms **1608** and **1610**, which enables drive currents of different magnitudes to flow in each trace. By including different traces at the arms, nonreciprocal phase shifts of different magnitudes can be generated to, for example, compensate for path length errors in arms **1608** and **1610** due to fabrication tolerances.

[00131] FIG. 17 depicts a plot of measured transmission of a light signal through isolator **1600**. Plot **1700** shows the transmission properties of the isolator in its three states of operation.

[00132] In a first operating state, no drive current flows through electromagnet **1604**. Arm **1610** is designed to provide 90 degrees more phase shift than arm **1608**. As a result, destructive interference develops at the y-junctions for light at fundamental wavelength λ_0 in both the forward and backward directions of propagation, as evinced by trace **1702**. With no magnetic field established by electromagnet **1604**, this phase shift is reciprocal. In the first operating state, light signal **404** can propagate between ports **1612** and **1614** in either direction.

[00133] In a second operating state, drive current **I** is provided such that it flows in the clockwise direction through electromagnet **1604**. This gives rise to magnetic fields at arms **1608** and **1610** that are directed outward from longitudinal axis **1620** at each arm, creating equal and opposite non-reciprocal phase shifts in the arms. The magnitude of drive current **I** is selected such that, in the forward propagating direction, a nonreciprocal phase shift of $+45^\circ$ develops in arm **1608** and -45° develops in arm **1610**. As a result, a net -90° non-reciprocal phase shift develops in arm **1610** with respect to arm **1608**. When combined with the $+90^\circ$ phase shift in arm **1610** due the path-length difference between the arms, the portions of light signal **404** in both arms are in phase and constructively interfere in the forward direction.

[00134] In contrast, backward-propagating light (*i.e.*, from port **1614** to port **1612**) will see a net $+90$ degree non-reciprocal phase shift in arm **1610** with respect to

arm **1608**; therefore, the portions of light signal **404** in both arms will destructively interfere, blocking propagation of light signal **404** in this direction.

[00135] As seen from plot **1700**, transmission for light characterized by λ_1 is high in the forward direction but low in the backward direction.

[00136] In some embodiments, a non-reciprocal phase shift is induced in only one of arms **1608** and **1610**; however, the magnitude of the magnetic field necessary to create a desired phase difference in the two arms is reduced by creating equal and opposite phase shifts in both arms. This is sometimes referred to as a "push-pull configuration."

[00137] In operating state 3, the direction of drive current **I** is reversed such that it flows in the counter-clockwise direction (*i.e.*, from terminal **1616** to **1618**) through electromagnet **1604**. This gives rise to magnetic fields at arms **1608** and **1610** that are the same magnitude but directed inward from longitudinal axis **1620** at each arm, thereby reversing the non-reciprocal phase shifts in the arms. As a result, propagation of light signal **404** is enabled from port **1614** to port **1612** but blocked from port **1612** to **1614**.

[00138] Heaters **1622** are optionally included in isolator **1600** to enable control of the phase of the light propagating in arms **1608** and **1610** in a manner that is independent from the magneto-optic effects induced by electromagnet **1604**. Each of the heaters includes a conductive traces **1624-1**, **1624-2**, and **1626**. Conductive traces **1624-1** and **1624-2** run parallel to the waveguide of its respective arm such that the magnetic fields generated by an electric current running through them substantially cancel each other out.

[00139] In some embodiments heaters **1622** are not included. It should be noted that heaters **1622** can have any practical design without departing from the scope of the present invention.

[00140] FIG. 18 depicts a schematic drawing of a top view of an optical circulator in accordance with another alternative embodiment of the present invention. Circulator **1800** includes a non-reciprocal element comprising phase-sensitive device **1802**, electromagnet **1604**, magneto-optic layer **104** (not shown), as well as heaters **1818-1** and **1818-2** (referred to, collectively, as heaters **1818**). Circulator **1800** establishes inter-port connectivity for a light signal propagating between four ports, where the inter-port connectivity is based on a magnetic field generated by electromagnet **1604**. Circulator

1800 has three operating states that are analogous to those of circulator **1200**, as described above.

[00141] Phase-sensitive device **1802** includes bus waveguides **1804** and **1806**, which are arranged to define directional couplers **1808-1** and **1808-2** (referred to, collectively, as couplers **1808**) and ports **1810**, **1812**, **1814**, and **1816**. Bus waveguides **1804** and **1806** are analogous to bus waveguide **110**. In some embodiments, different coupling elements, such as MMI, *etc.*, are used in circulator **1800**. As in the arms of isolator **1600**, bus waveguides **1804** and **1806** are characterized by path-length difference that induces a reciprocal 90° phase difference in portions of light signal **404** as they propagate through the waveguides.

[00142] In a first operating state, no drive current flow through electromagnet **1604** (*i.e.*, drive current **I**=0). In this state, couplers **1808** collectively enable light signal **404** to propagate between ports **1810** and **1812**, as well as between ports **1814** and **1816**, in each of the forward and backward directions.

[00143] In a second operating state, drive current **I** flows through electromagnet **1604** in the CW direction, giving rise to equal and opposite non-reciprocal phase shifts in each of bus waveguides **1804** and **1806**, as discussed above and with respect to isolator **1600**. In this state, couplers **1808** collectively enable the selective propagation of light signal **404** from port **1810** to **1812**, from port **1812** to port **1814**, from port **1814** to port **1816**, and from port **1816** to port **1810**.

[00144] In a third operating state, drive current **I** flows through electromagnet **1604** in the CCW direction and the propagation of light signal **404** is selectively enabled from port **1812** to **1810**, from port **1810** to port **1816**, from port **1816** to port **1814**, and from port **1814** to port **1812**.

[00145] As discussed above and with respect to isolator **1600**, heaters **1818** are optionally included in circulator **1800** to provide control of the phase of the light propagating in bus waveguides **1804** and **1806** in a manner that is independent from the magneto-optic effects induced by electromagnet **1604**. Preferably, the layout of heaters **1818** is predominantly transverse to the bus waveguides to mitigate the influence of the magnetic fields generated by the current flow through the heaters during operation.

[00146] It is to be understood that the disclosure teaches just one example of the illustrative embodiment and that many variations of the invention can easily be devised

by those skilled in the art after reading this disclosure and that the scope of the present invention is to be determined by the following claims.

What is claimed is:

1. A device (400) that is an integrated-optics-based device comprising:

(1) a plurality of ports that includes a first port (120) and second port (122);

(2) a first non-reciprocal element (100) including;

(a) a first phase-sensitive device (102) disposed on a substrate (124);

(b) a first layer (104) comprising a magneto-optic material, the first layer being disposed on the first phase-sensitive device; and

(c) a first electromagnet (106) disposed on the first layer, the first electromagnet being dimensioned and arranged to generate a first magnetic field (202) at the first phase-sensitive device when a first drive current (I) flows through the first electromagnet; and

(3) a first bus waveguide (110) that is dimensioned and arranged to convey a first light signal (404) characterized by a first wavelength (λ_1), the first bus waveguide comprising the first port and the second port and being operatively coupled with the first non-reciprocal element;

wherein the device is reconfigurable between a plurality of operating states, each operating state defining a different inter-port connectivity between the plurality of ports;

wherein the device has a first operating state of the plurality thereof when the first drive current flows in a first direction (CW); and

wherein the device has a second operating state of the plurality thereof when the first drive current flows in a second direction (CCW).

2. The device of claim 1 wherein the device has a third operating state of the plurality thereof when the magnitude of the first drive current is equal to zero.

3. The device of claim 1 wherein the first inter-port connectivity enables propagation of the first light signal from the first port to the second port and blocks propagation of the first light signal from the second port to the first port, and wherein the second inter-port connectivity enables propagation of the first light signal from the second port to the first port and blocks propagation of the first light signal from the first port to the second port.

4. The device of claim 1 further comprising (4) a second bus waveguide (1202) comprising a third port (1204) and a fourth port (1206), the plurality of ports further including the third port and the fourth port, and the second bus waveguide being operatively coupled with the first non-reciprocal element.

5. The device of claim 4 wherein the first operating state defines a first inter-port connectivity that selectively enables propagation of the first light signal from the first port to the second port, from the second port to the third port, from the third port to the fourth port, and from the fourth port to the fifth port, and wherein the second operating state defines a second inter-port connectivity that selectively enables propagation of the first light signal from the first port to the fourth port, from the fourth port to the third port, from the third port to the second port, and from the second port to the first port.

6. The device of claim 4 further comprising:

(5) a second non-reciprocal element (100-2) comprising:

- (a) a second phase-sensitive device (102-2) disposed on the substrate;
- (b) the first layer, wherein the first layer is further disposed on the second phase-sensitive device; and
- (c) a second electromagnet (106-2) that is dimensioned and arranged to generate a second magnetic field (202-2) at the second phase-sensitive device when a second drive current (I2) flows through the second electromagnet; and

(6) a third bus waveguide (1402) comprising a fifth port (1404) and a sixth port (1406), the plurality of ports further including the fifth port and sixth port;

wherein the first non-reciprocal element is operatively coupled with each of the first bus waveguide and second bus waveguide, and wherein the second non-reciprocal element is operatively coupled with each of the second bus waveguide and third bus waveguide;

wherein the first current and second current are independently controllable with respect to each other.

7. The device of claim 6 wherein the flow of each of the first drive current and second drive current is independently controllable between the first direction, the second direction, and a flow having zero magnitude, the plurality of operating states including:

a first operating state when the first drive current flows in the first direction and the second drive current flows in the first direction;

a second operating state when the first drive current flows in the first direction and the second drive current flows in the second direction;

a third operating state when the first drive current flows in the first direction and the magnitude of the second drive current is equal to zero;

a fourth operating state when the first drive current flows in the second direction and the second drive current flows in the first direction;

a fifth operating state when the first drive current flows in the second direction and the second drive current flows in the second direction;

a sixth operating state when the first drive current flows in the second direction and the magnitude of the second drive current is equal to zero;

a seventh operating state when the magnitude of the first drive current is equal to zero and the second drive current flows in the first direction;

a eighth operating state when the magnitude of the first drive current is equal to zero and the second drive current flows in the second direction;

a ninth operating state when the magnitude of the first drive current is equal to zero and the magnitude of the second drive current is equal to zero.

8. The device of claim 1 further comprising:

(4) a plurality of N non-reciprocal elements that includes the first non-reciprocal element, each non-reciprocal element of the plurality thereof including;

(a) a phase-sensitive device (102) disposed on the substrate;

(b) the first layer, wherein the first layer is disposed on the phase-sensitive device; and

(c) an electromagnet (106) that is dimensioned and arranged to generate a magnetic field (202) at the phase-sensitive device when a drive current (I) flows through the electromagnet; and

(5) a plurality of bus waveguides that includes the first bus waveguide, each bus waveguide of the plurality thereof comprising a different pair of ports, wherein at least one non-reciprocal element of the plurality thereof is operatively coupled with at least one bus waveguide of the plurality thereof;

wherein the drive current flow through the electromagnet of each of the N non-reciprocal elements is independent controllable; and

wherein the device has 3^N operating states.

9. The device of claim 1 wherein the first phase-sensitive device comprises a first microring (108) that is operatively coupled with the first bus waveguide to define a first ring resonator (126), and wherein the first electromagnet comprises a first loop conductor (112) having substantially the same shape as the first microring, and wherein (i) the flow of the first drive current in the first direction generates the first magnetic field such that it gives rise to a first clockwise resonance wavelength (λ_1) and a first counter-clockwise resonance wavelength (λ_2) in the first microring; and (ii) the flow of the first drive current in the second direction generates the first magnetic field such that it gives rise to a second clockwise resonance wavelength (λ_2) and a second counter-clockwise resonance wavelength (λ_1) in the first microring.

10. The device of claim 9 further comprising:

(4) a second non-reciprocal element (100-2) comprising:

- (a) a second phase-sensitive device (102-2) including a second microring (108-2), wherein the second microring and the first bus waveguide collectively define a second ring resonator (126-2);
- (b) the first layer, wherein the first layer is further disposed on the second phase-sensitive device; and
- (b) a second electromagnet (106-2) that includes a second loop conductor (112-2) having substantially the same shape as the second microring, the second electromagnet being dimensioned and arranged to generate a second magnetic field (202) at the second microring when a second drive current (I2) flows through the second electromagnet;

wherein the first drive current and second drive current are inter-dependent;
and

wherein the operating state of the device is based on the first drive current and second drive current.

11. The device of claim 9 further comprising:

(4) a second non-reciprocal element (100-2) comprising:

- (a) a second phase-sensitive device (102-2) including a second microring (108-2);
- (b) the first layer, wherein the first layer is further disposed on the second phase-sensitive device; and

(c) a second electromagnet (106-2) that includes a second loop conductor (112-2) having substantially the same shape as the second microring, the second electromagnet being dimensioned and arranged to generate a second magnetic field (202-2) at the second microring when a second drive current (I2) flows through the second electromagnet; and

(5) a second bus waveguide (1202) having a third port (1204) and a fourth port (1206), the plurality of ports including the third port and fourth port;

wherein the first drive current and second drive current are inter-dependent;

wherein the first microring and second microring collectively define at least a portion of a coupled-microring filter (1302), and wherein each of the first bus waveguide and second bus waveguide is operatively coupled with the coupled-microring filter;

wherein the device has the first operating state of the plurality thereof when the first drive current flows in the first direction and the second drive current flows in the second direction; and

wherein the device has the second operating state of the plurality thereof when the first drive current flows in the second direction and the second drive current flows in the first direction.

12. The device of claim 1 wherein the first phase-sensitive device (1602) comprises a Mach-Zehnder interferometer (MZI) having a first arm (1606), second arm (1608), and first longitudinal axis (1618), the first arm comprising the first bus waveguide, and wherein the first electromagnet (1604) is dimensioned and arranged such that: (i) the flow of the first drive current in the first direction generates a first magnetic field at the MZI that it is directed in one of an inward direction and outward direction relative to the first longitudinal axis; and (ii) the flow of the first drive current in the second direction generates the first magnetic field at the MZI such that it is directed in the other one of the inward direction and outward direction relative to the first longitudinal axis, and further wherein the phase of the first light signal in each of the first arm and second arm is based on the direction of the first magnetic field.

13. A method comprising:

(1) providing a device (400) that is an integrated-optics-based device that is reconfigurable between a plurality of operating states, each operating state defining a different inter-port connectivity between a plurality of ports, wherein the device includes the

plurality of ports, a first non-reciprocal element (100), and a first bus waveguide (110), and wherein the device is provided such that;

- (a) the first non-reciprocal element includes;
 - (i) a first phase-sensitive device (102) disposed on a substrate (124);
 - (ii) a first layer (104) comprising a magneto-optic material, the first layer being disposed on the first phase-sensitive device; and
 - (iii) a first electromagnet (106) disposed on the first layer, the first electromagnet being dimensioned and arranged to generate a first magnetic field (202) at the first phase-sensitive device when a first drive current (I) flows through the first electromagnet; and

- (b) the first bus waveguide is dimensioned and arranged to convey a first light signal (404) characterized by a first wavelength (λ_1), the first bus waveguide comprising a first port (120) and a second port (122), the plurality of ports including the first port and the second port;

wherein the first non-reciprocal element and the first bus waveguide are operatively coupled;

wherein the device has a first operating state of the plurality thereof when the first drive current flows in a first direction (CW);

wherein the device has a second operating state of the plurality thereof when the first drive current flows in a second direction (CCW); and

wherein the device has a third operating state when the magnitude of the first drive current is equal to zero;

(2) providing a first control signal (406) to the first electromagnet, wherein the first control signal defines the magnitude and direction of the first drive current; and

(3) controlling the first control signal to control the magnitude and direction of the flow of the first drive current through the first electromagnet.

14. The method of claim 13 further comprising providing the first control signal such that the direction of the flow of the first drive current (i) enables propagation of the first light signal from the first port to the second port and (ii) blocks propagation of the first light signal from the second port to the first port.

15. The method of claim 14 further comprising providing the first control signal such that the direction of the flow of the first drive current (i) enables propagation of the first

light signal from the second port to the first port and (ii) blocks propagation of the first light signal from the first port to the second port.

16. The method of claim 13 wherein the device is provided such that it further comprises (c) a second bus waveguide (1202) that is dimensioned and arranged to convey the first light signal and includes a third port (1204) and a fourth port (1206), the plurality of ports further including the third port and fourth port, and wherein the first non-reciprocal element and the second bus waveguide are operatively coupled.

17. The method of claim 16 further comprising providing the first control signal to enable the selective propagation of the first light signal from the first port to the second port, from the second port to the third port, from the third port to the fourth port, and from the fourth port to the first port.

18. The method of claim 16 further comprising providing the first control signal to enable the selective propagation of the first light signal from the first port to the fourth port, from the fourth port to the third port, from the third port to the second port, and from the second port to the first port.

19. The method of claim 16 wherein the device is provided such that it further comprises:

(d) a third bus waveguide (1402) that is dimensioned and arranged to convey the first light signal and includes a fifth port (1404) and a sixth port (1406), the plurality of ports further including the fifth port and sixth port; and

(e) a second non-reciprocal element (100-2) that is located between and operatively coupled with the second bus waveguide and third bus waveguide, wherein the second non-reciprocal element includes;

(i) a second phase-sensitive device (102-2) disposed on the substrate;

(ii) the first layer, the first layer being disposed on the second phase-sensitive device; and

(iii) a second electromagnet (106-2) disposed on the first layer, the second electromagnet being dimensioned and arranged to generate a second magnetic field (202-2) at the second phase-sensitive device when a second drive current (I2) flows through the second electromagnet; and

wherein the method further comprises:

(4) providing a second control signal (406) to the second electromagnet, wherein the second control signal defines the magnitude and direction of the second drive current; and

(5) controlling the second control signal to control the magnitude and direction of the flow of the second drive current through the second electromagnet;

wherein the operating state of the device is based on the magnitude and direction of each of the first drive current and second drive current.

20. The method of claim 13 wherein the first phase-sensitive device is provided such that it includes a Mach-Zehnder Interferometer (MZI) having a first arm (1606) and a second arm (1608) that are substantially parallel with a longitudinal axis (1618), and wherein the first electromagnet is provided such that: (i) the flow of the first drive current in the first direction generates a first magnetic field at the MZI that it is directed in one of an inward direction and outward direction relative to the first longitudinal axis; and (ii) the flow of the first drive current in the second direction generates the first magnetic field at the MZI such that it is directed in the other one of the inward direction and outward direction relative to the first longitudinal axis, and further wherein the phase of the first light signal in each of the first and second arms is based on the direction of the first magnetic field.

21. The method of claim 13 wherein the first phase-sensitive device is provided such that it includes a first microring (108), and wherein the first electromagnet is provided such that it includes a first loop conductor (112) having substantially the same shape as the first microring, and further wherein the first electromagnet is dimensioned and arranged such that: (i) the flow of the first drive current in the first direction generates the first magnetic field such that it gives rise to a first clockwise resonance wavelength (λ_1) and a first counter-clockwise resonance wavelength (λ_2) in the first microring; and (ii) the flow of the first drive current in the second direction generates the first magnetic field such that it gives rise to a second clockwise resonance wavelength and a second counter-clockwise resonance wavelength in the first microring.

22. The method of claim 21 wherein the first phase-sensitive device is provided such that it includes a coupled-microring filter that includes the first microring and a second microring (108-2), and wherein the device is provided such that it includes a second electromagnet comprising a second loop conductor (112-2) having substantially the same shape as the second microring, and wherein the second electromagnet is dimensioned and arranged such that: (i) the flow of the second drive current in the first direction generates the second magnetic field such that it gives rise to the second clockwise resonance wavelength and second counter-clockwise resonance wavelength in the second microring; and (ii) the flow of the second drive current in the second direction generates the second magnetic field such that it gives rise to the first clockwise resonance wavelength and the first counter-clockwise resonance wavelength in the second microring.

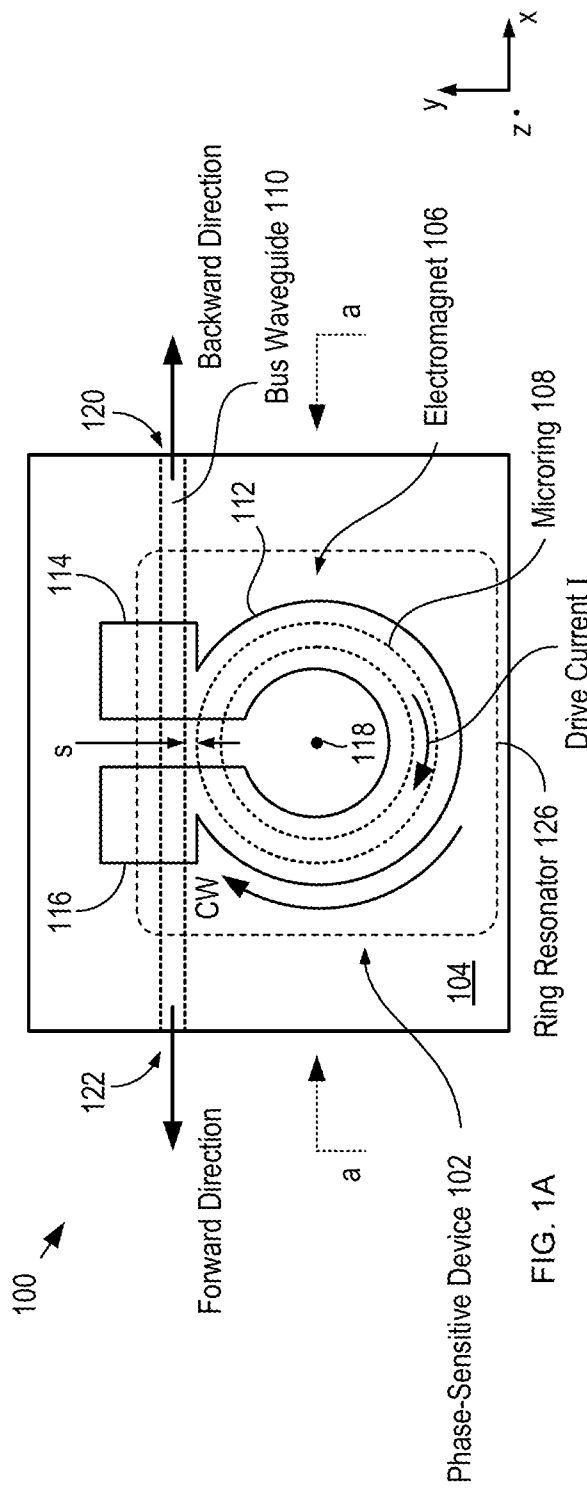


FIG. 1A

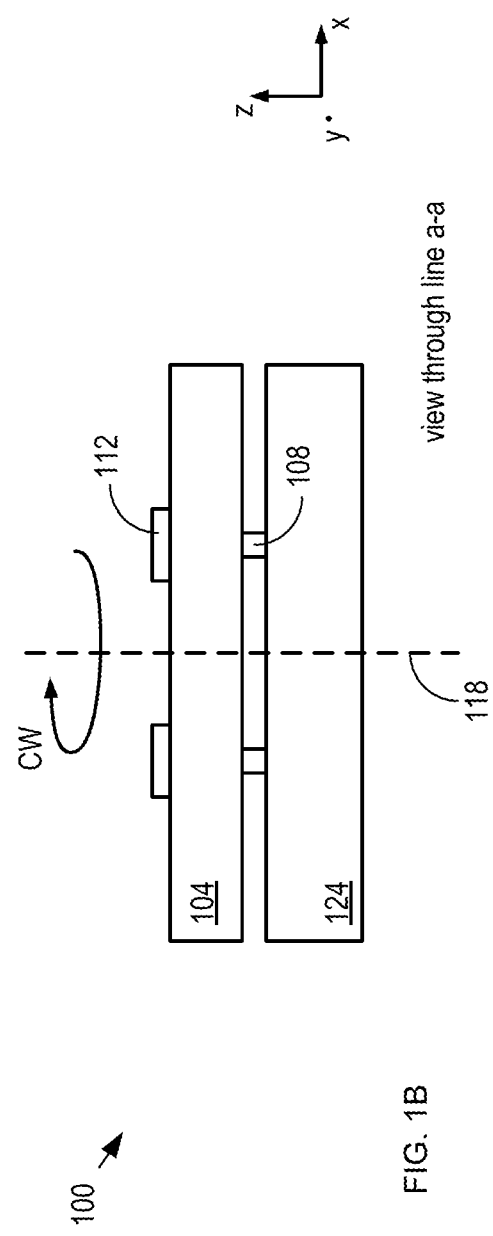


FIG. 1B

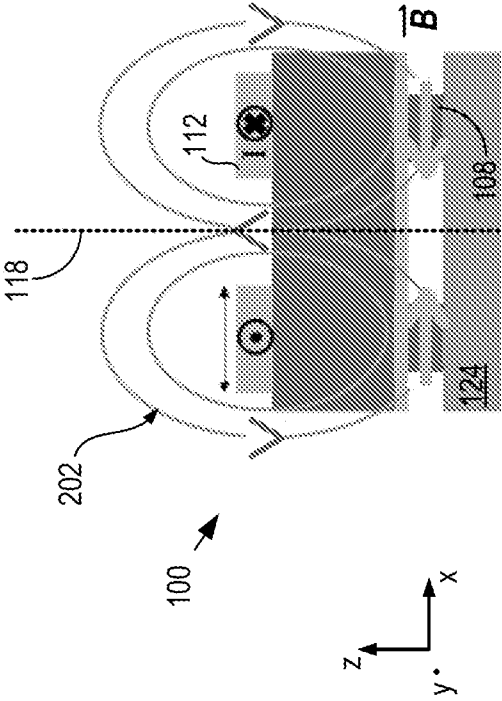


FIG. 2B view through line a-a

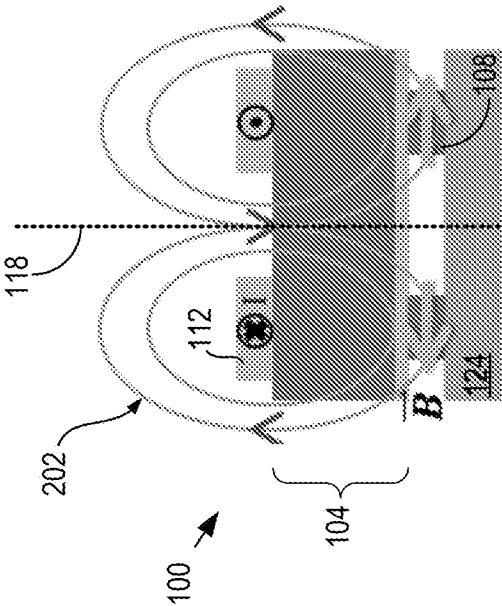


FIG. 2A view through line a-a

FIG. 3

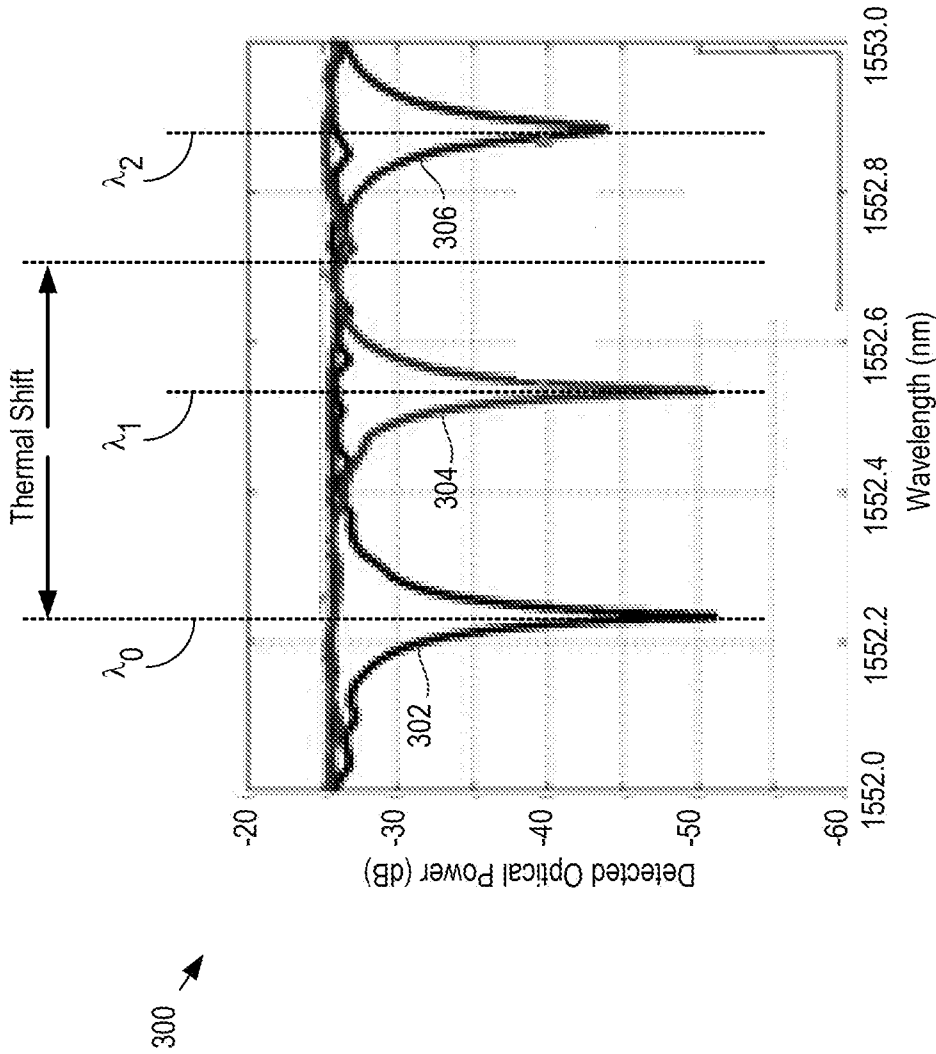
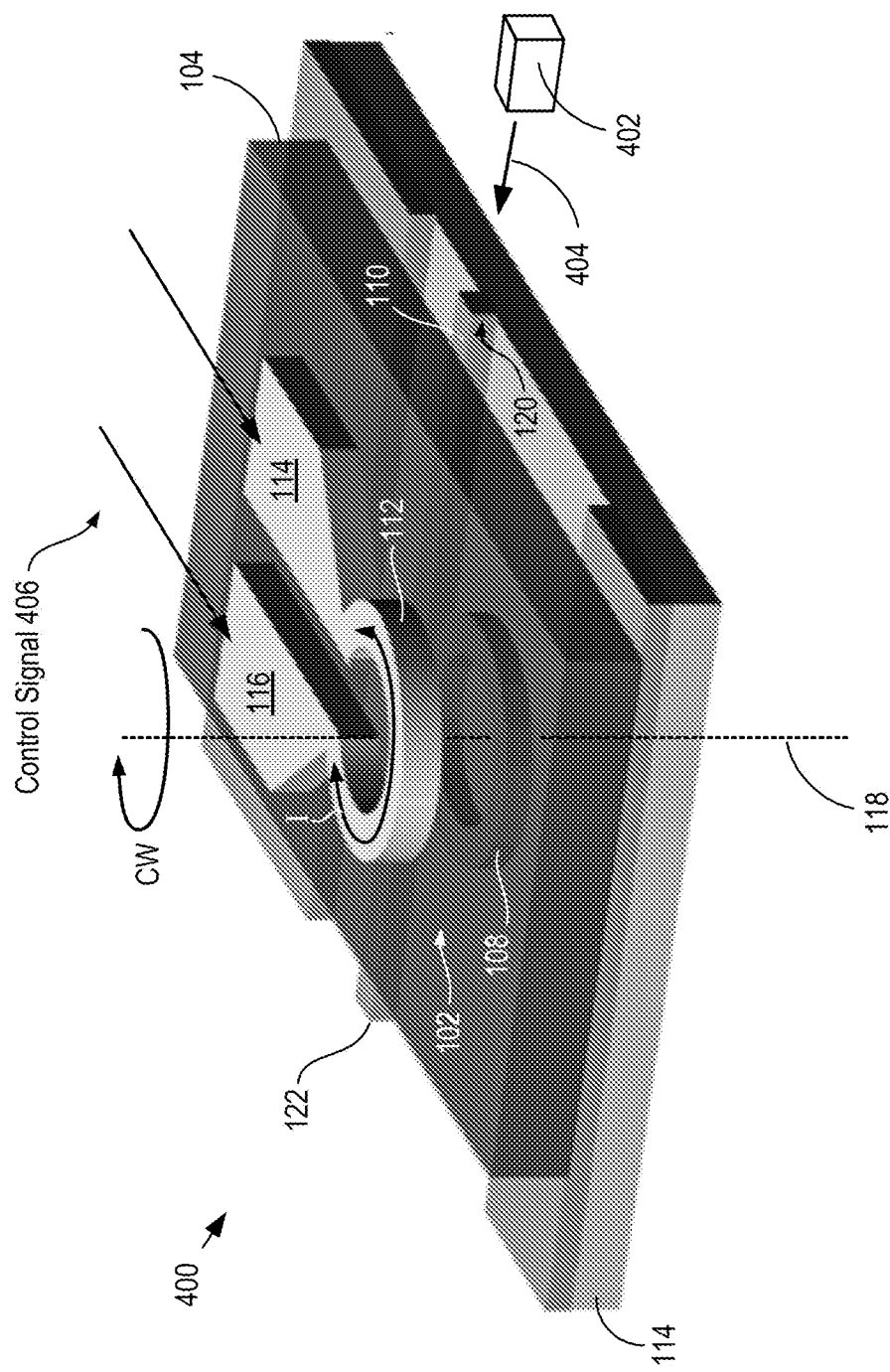


FIG. 4



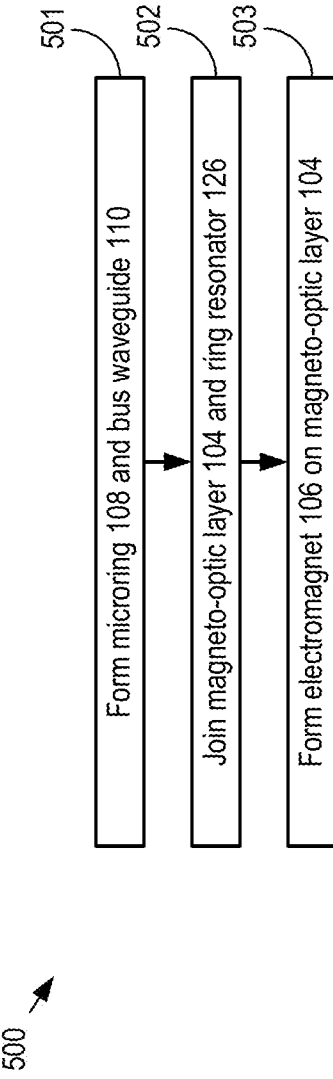


FIG. 5

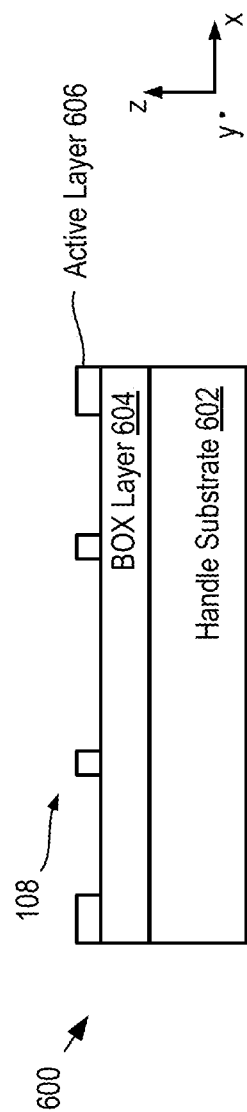


FIG. 6A

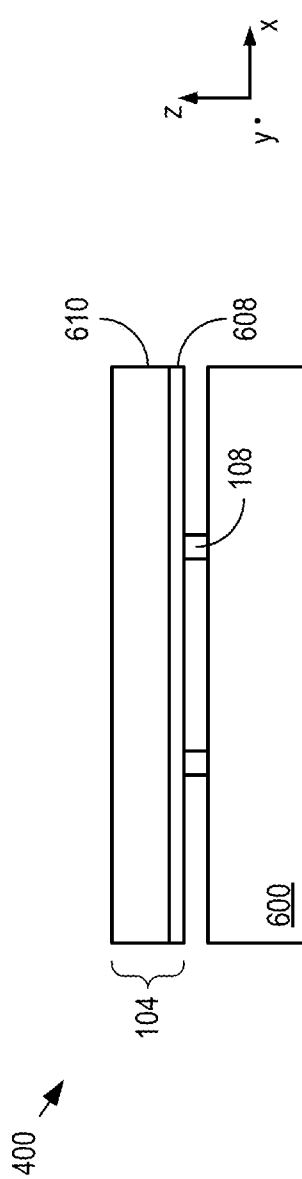


FIG. 6B

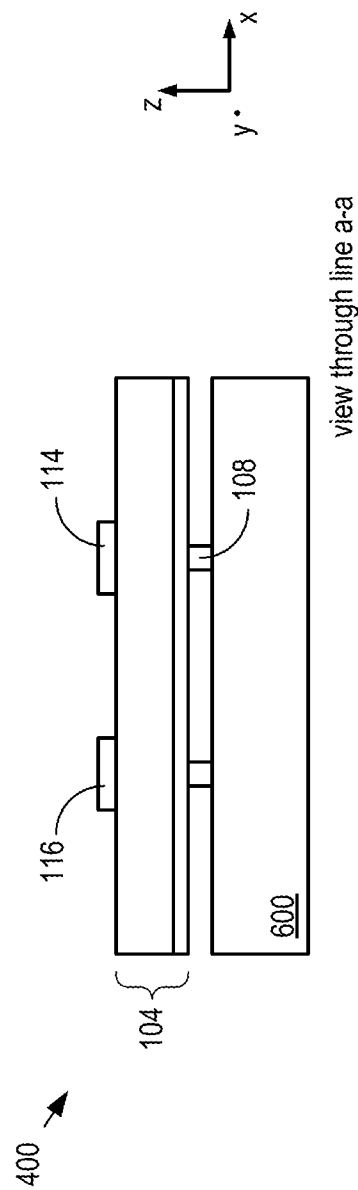


FIG. 6C

FIG. 7

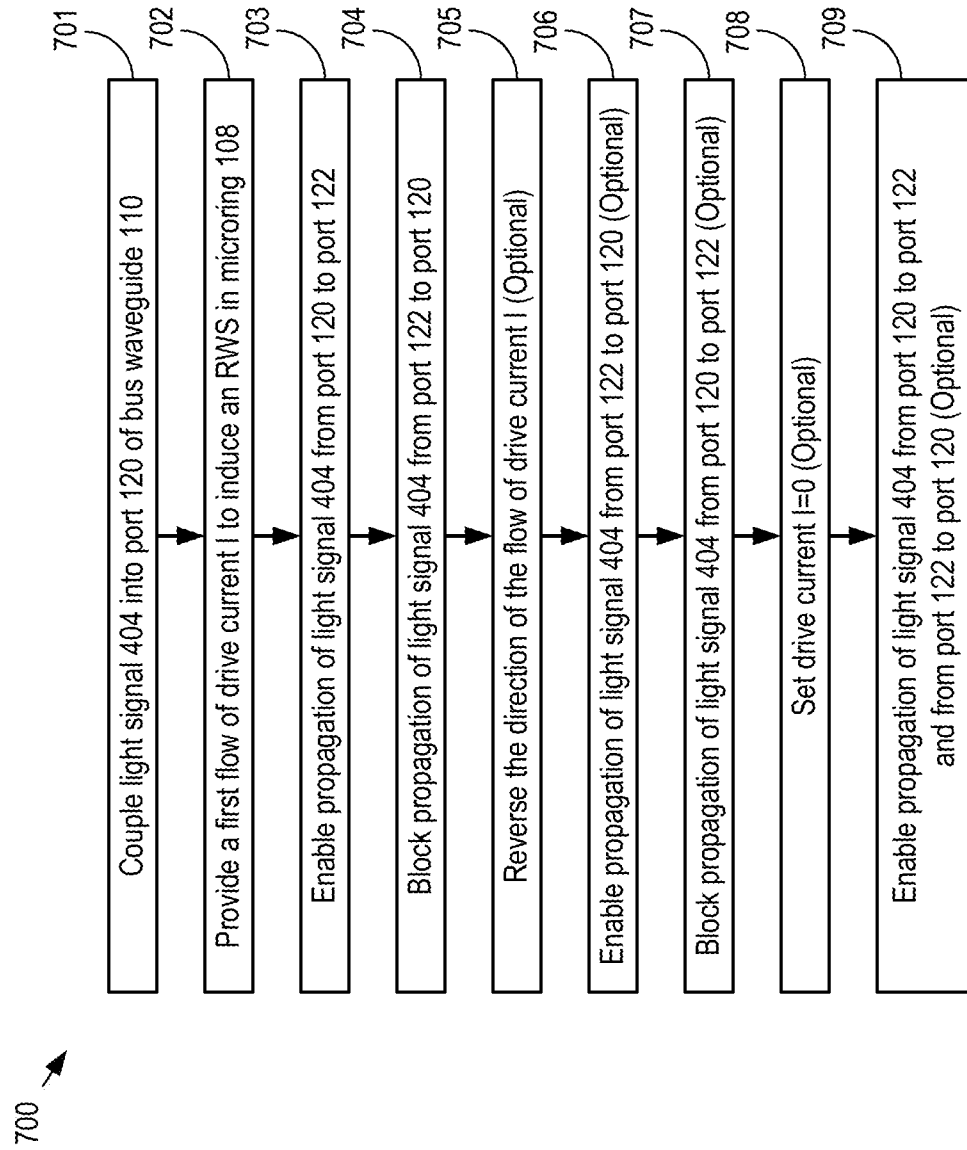


FIG. 8

800 →

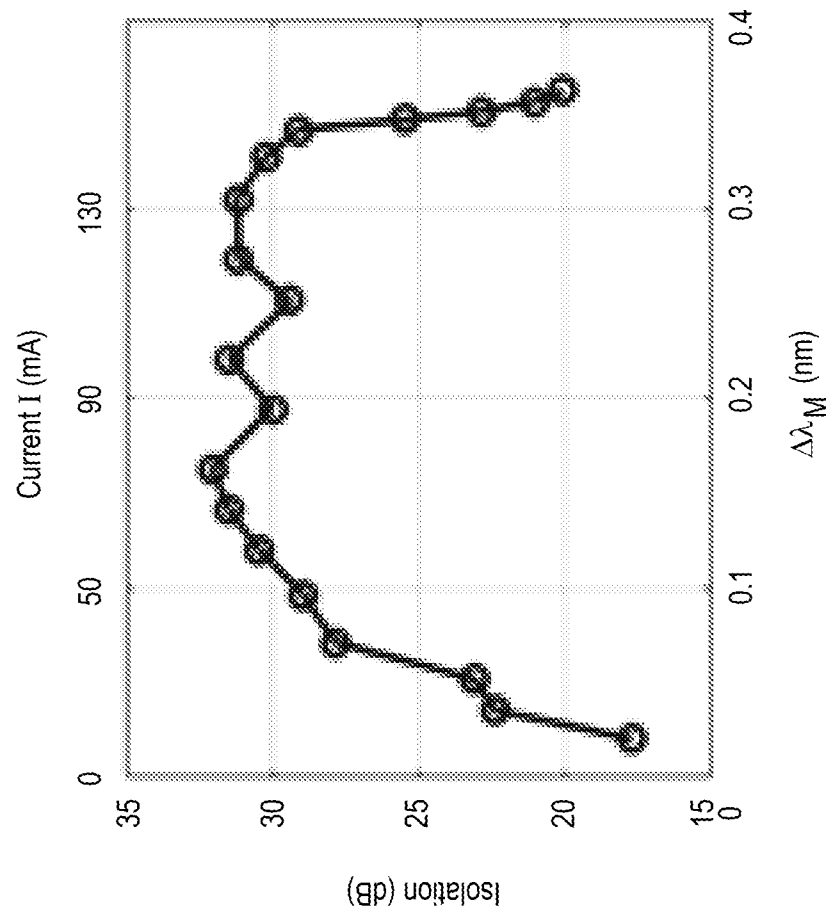


FIG. 9

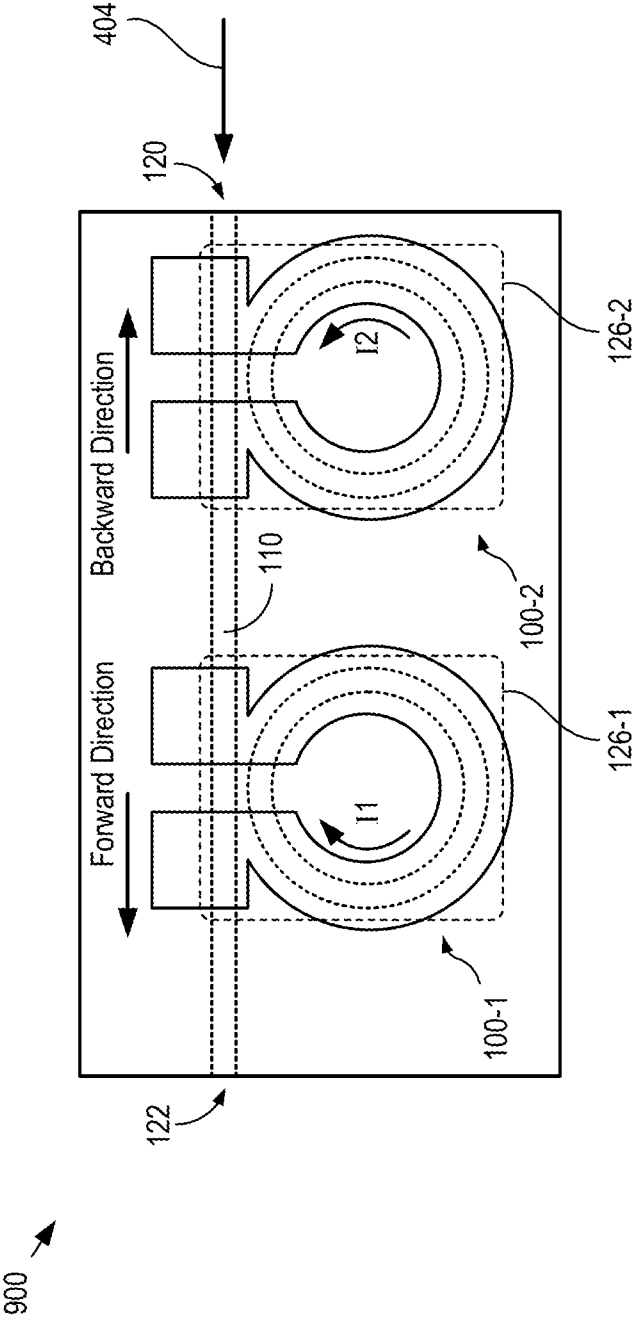
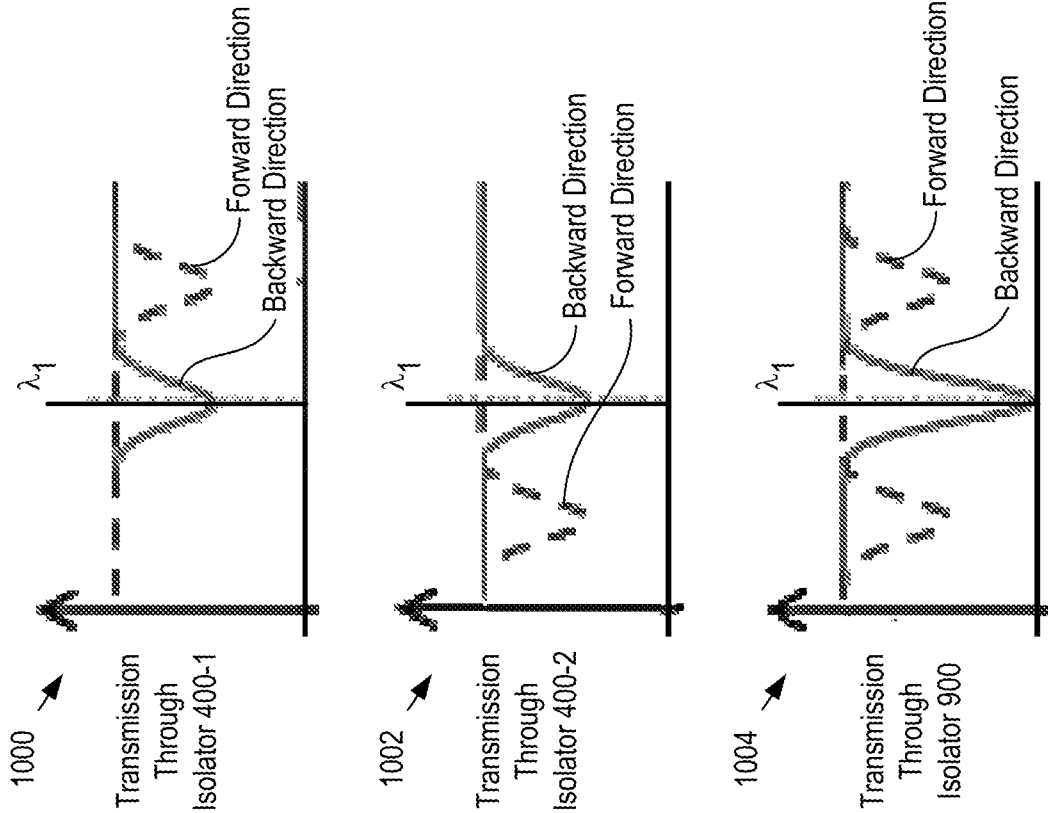


FIG. 10



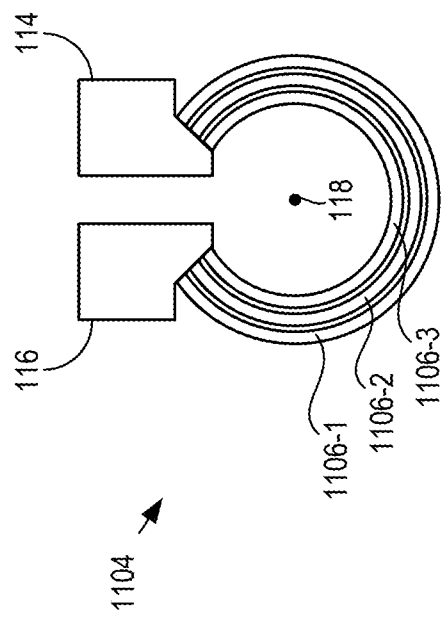


FIG. 11B

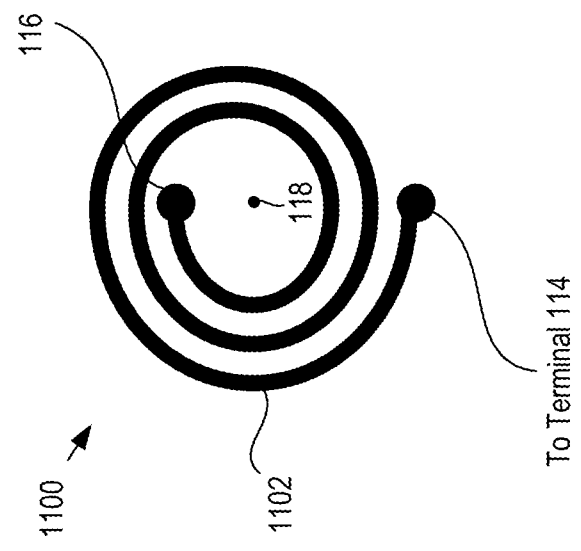
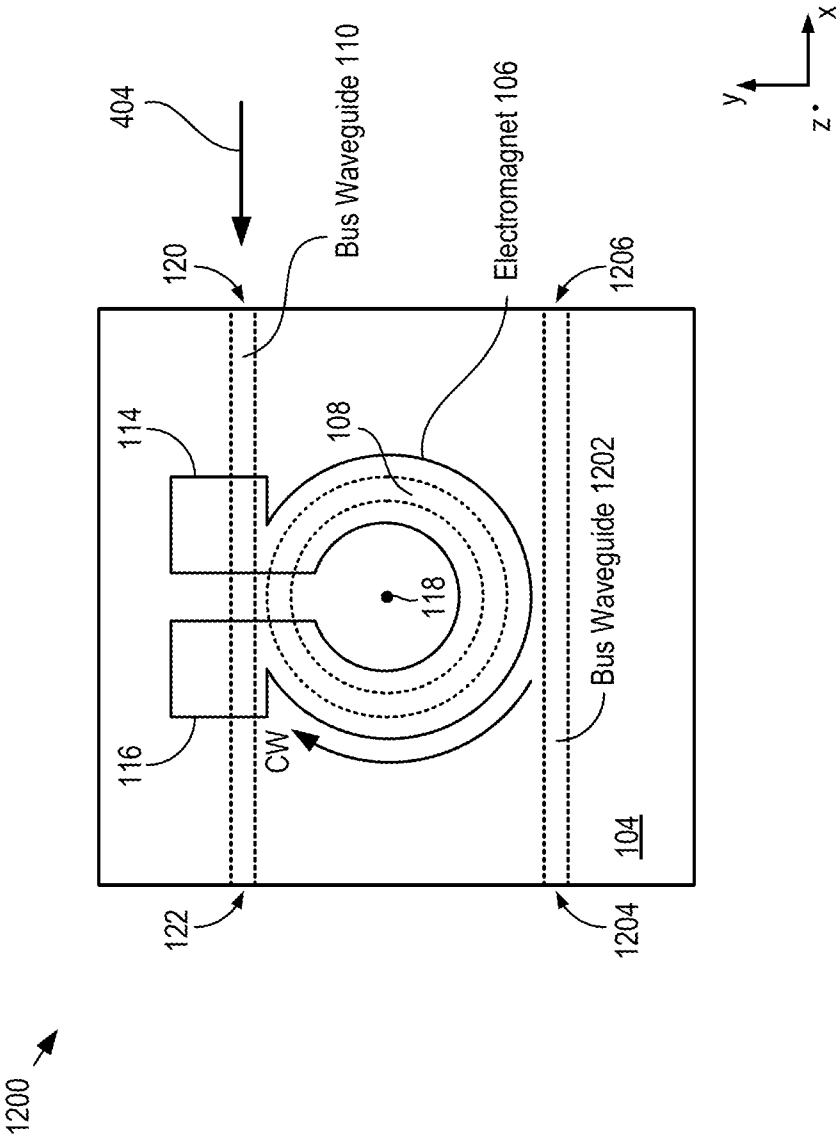


FIG. 11A

FIG. 12



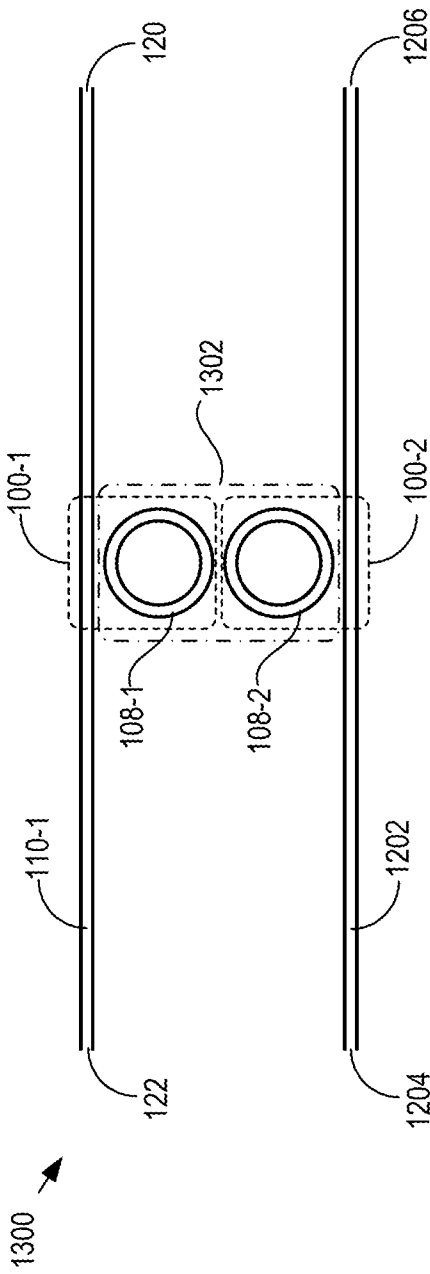


FIG. 13

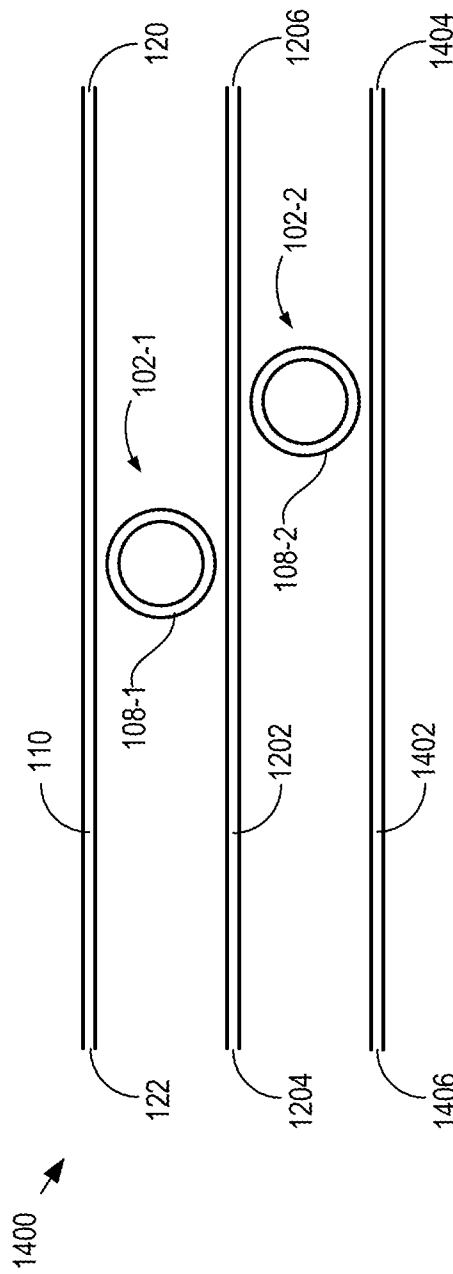


FIG. 14

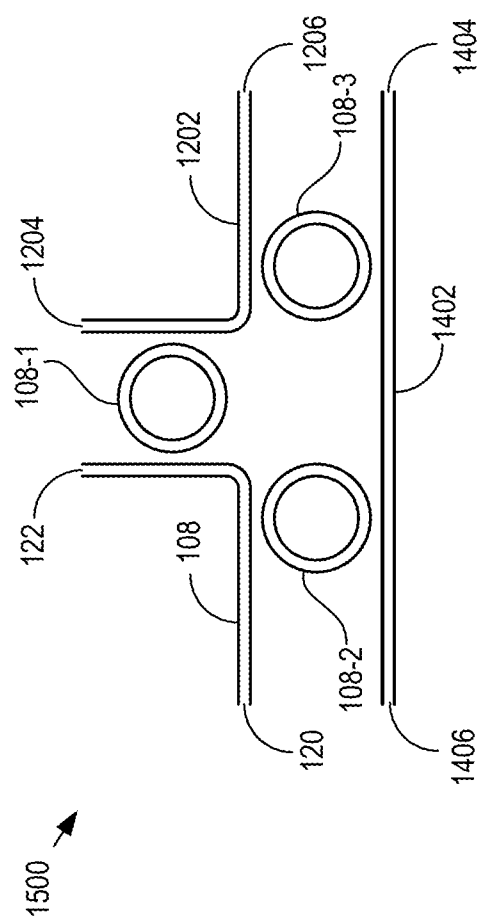


FIG. 15

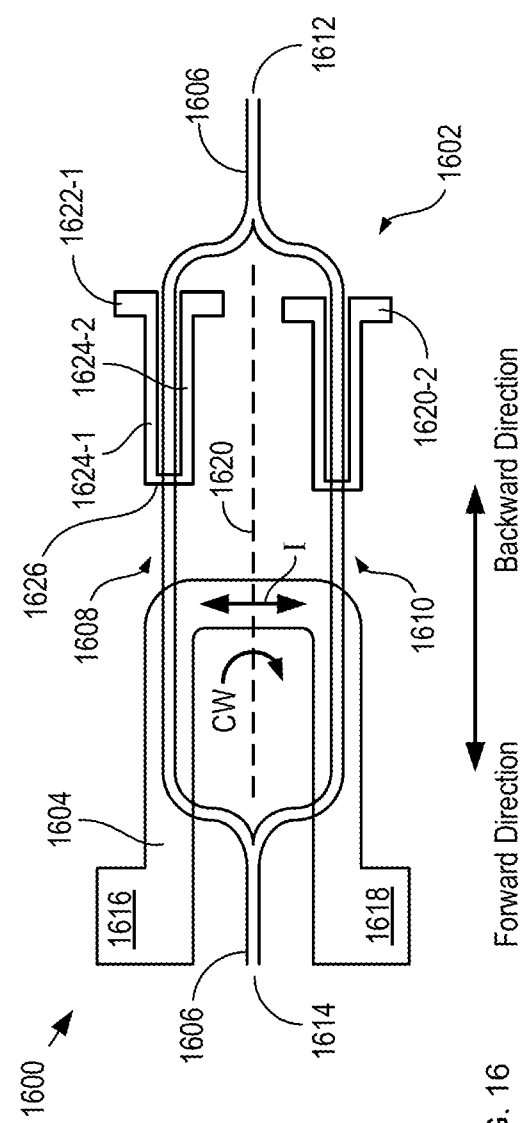


FIG. 16

FIG. 17

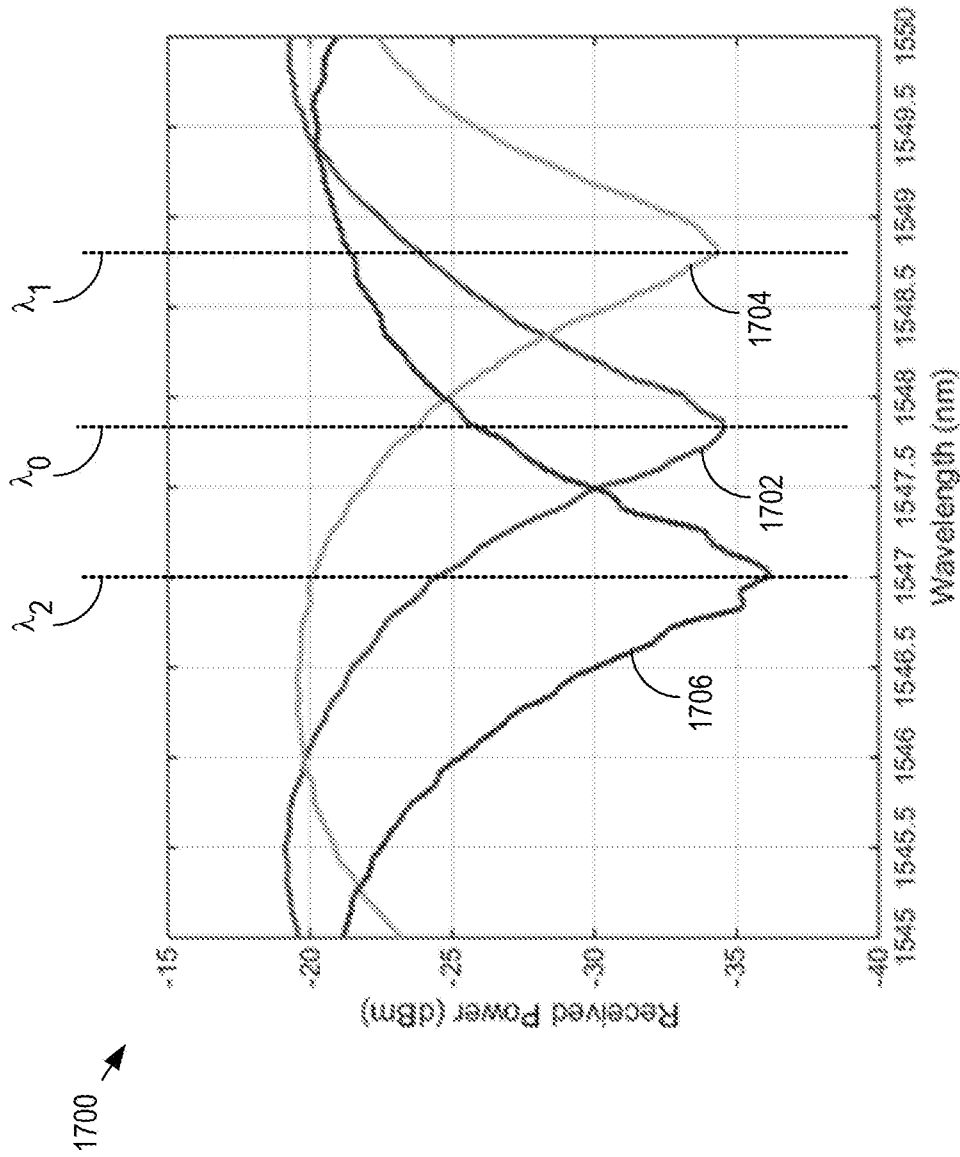
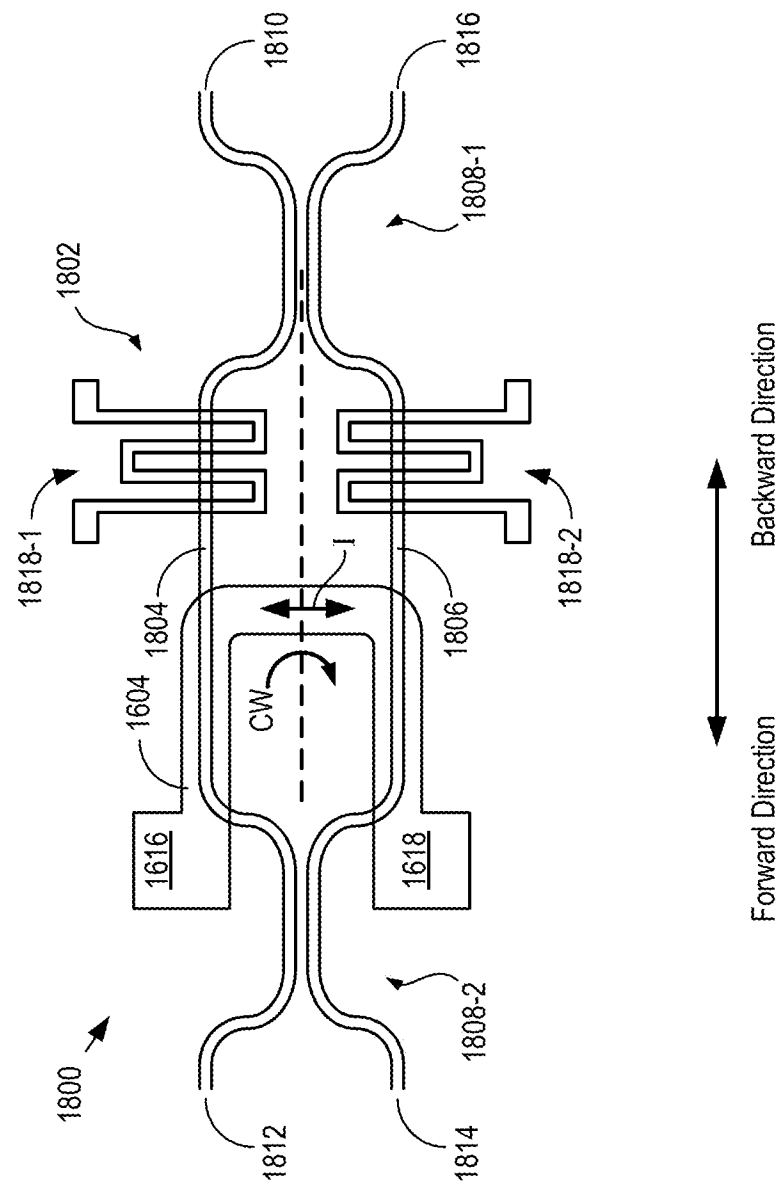


FIG. 18



INTERNATIONAL SEARCH REPORT

International application No

PCT/US2017/016075

A. CLASSIFICATION OF SUBJECT MATTER

INV. G02F1/095 G02F1/313 G02F1/09
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G02F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data, INSPEC, COMPENDEX

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	US 2012/002914 A1 (KROEMER HERBERT [US] ET AL) 5 January 2012 (2012-01-05) figure 2A -----	1-8, 13-20 9-12,21
X A	WO 2013/057444 A1 (UNIV PARIS SUD 11 [FR]) 25 April 2013 (2013-04-25) abstract; figures 10-12,14-16 -----	1-8, 13-20 9-12,21
X A	US 2010/307678 A1 (YOKOI HIDEKI [JP]) 9 December 2010 (2010-12-09) figures 1,2 -----	1-8, 13-20 9-12,21
A	US 2005/169582 A1 (TAN MICHAEL R [US] ET AL) 4 August 2005 (2005-08-04) paragraph [0041]; figures 1,5 -----	1-21



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents :

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

12 April 2017

Date of mailing of the international search report

21/04/2017

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Authorized officer

Gill, Richard

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2017/016075

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2012002914	A1	05-01-2012	NONE
WO 2013057444	A1	25-04-2013	FR 2981761 A1 26-04-2013 WO 2013057444 A1 25-04-2013
US 2010307678	A1	09-12-2010	JP 4456663 B2 28-04-2010 US 2010307678 A1 09-12-2010 WO 2009107194 A1 03-09-2009
US 2005169582	A1	04-08-2005	EP 1560048 A1 03-08-2005 JP 4777663 B2 21-09-2011 JP 2005215674 A 11-08-2005 US 2005169582 A1 04-08-2005