J. Q. NEWTON.

SECURITIES SALES OUTFIT.

APPLICATION FILED OCT 1, 1920.

1,417,854.

Patented May 30, 1922.

2 SHEETS—SHEET 1.

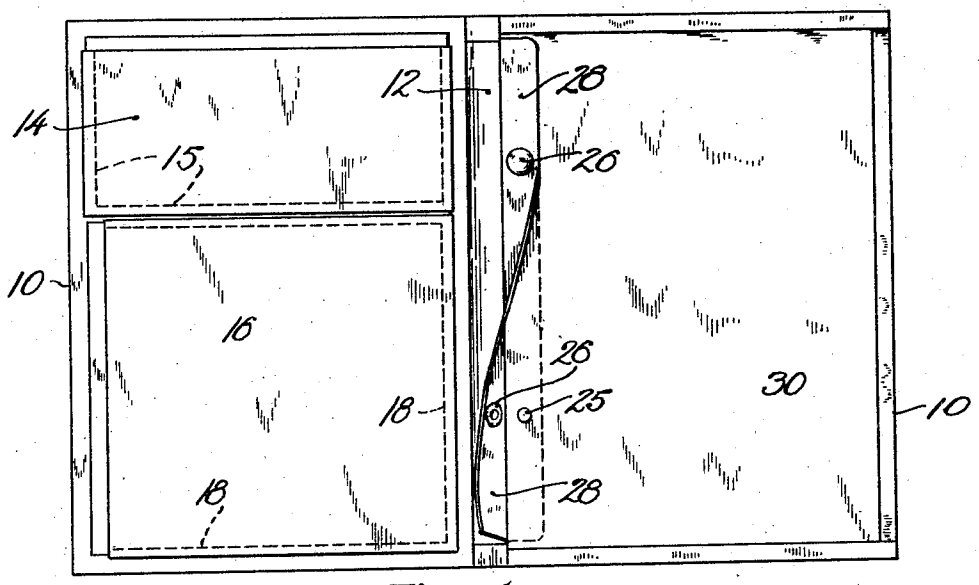


Fig. 1.

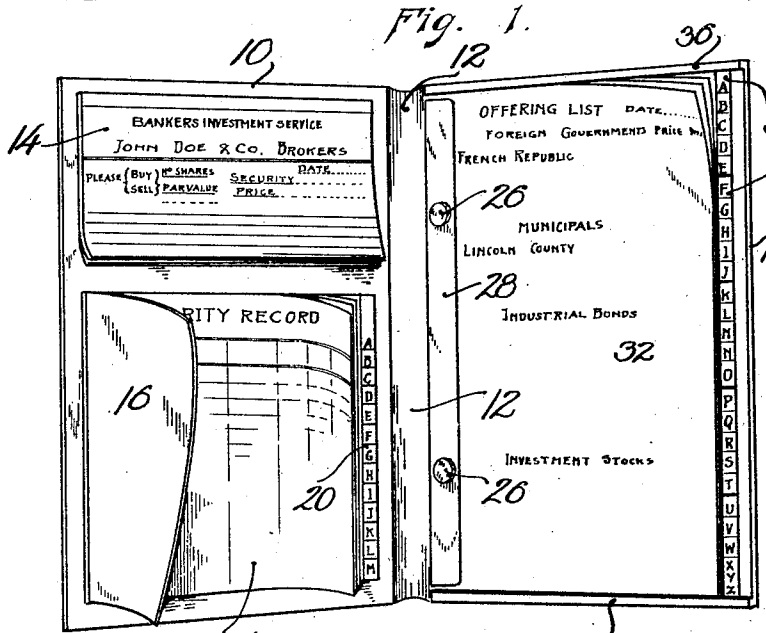


Fig. 2.

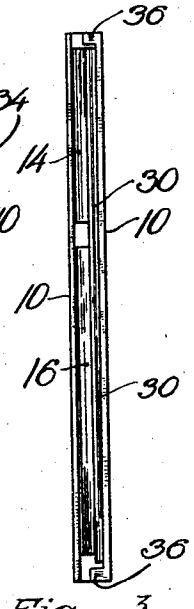


Fig. 3.

Inventor

Jas. Q. Newton.

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2 SHEETS—SHEET 2.

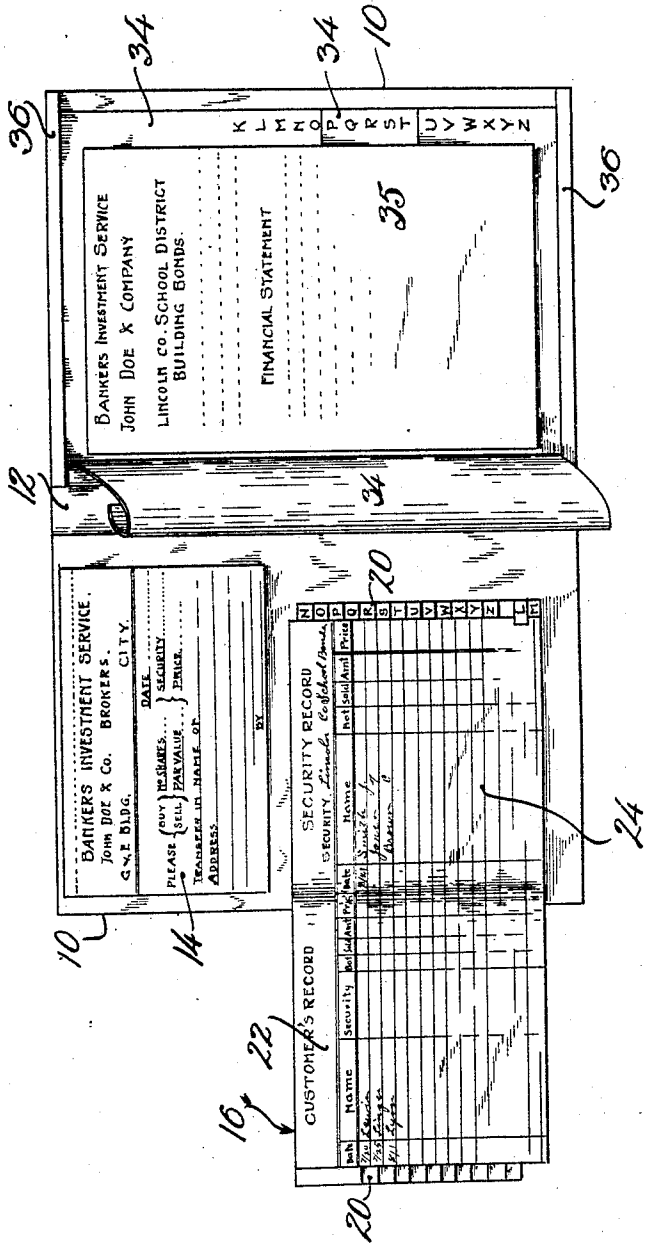


Fig 4.

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UNITED STATES PATENT OFFICE.

JAMES QUIGG NEWTON, OF DENVER, COLORADO.

SECURITIES SALES OUTFIT.

1,417,854. Specification of Letters Patent. Patented May 30, 1922.

Application filed October 1, 1920. Serial No. 413,968.

To all whom it may concern:

Be it known that I, JAMES Q. NEWTON, a citizen of the United States, residing at the city and county of Denver and State of Colorado, have invented certain new and useful Improvements in Securities Sales Outfits; and I do declare the following to be a full, clear, and exact description of the invention, such as will enable others skilled in the art to which it appertains to make and use the same, reference being had to the accompanying drawings, and to the characters of reference marked thereon, which form a part of this specification.

The object of this invention is to provide a comparatively simple and efficient container for lists and records used in connection with the sale of bonds and other securities, whereby the different parts may be retained in a single holder.

Briefly, the invention comprises a folder or back after the fashion of a book, one side of which is provided with means for retaining list sheets and index pages between which loose sheets may be retained, the other side of the folder or back having pockets to receive tabs of an order blank pad and a record book. The upper and lower ends of the side which carries the list sheets and index pages have upstanding ribs or flanges to assist the retention of the loose descriptive sheets between the index pages. The list sheets will be above the index pages and will merely list the various securities for sale. The descriptive pages will carry complete information regarding the individual securities, and these will be filed in proper places under the index pages. Orders for buying and selling are conveniently placed on the opposite side of the folder, as in the record book.

In the drawings:

Fig. 1 is a face view of the folder in open position.

Fig. 2 is a perspective thereof showing the order blank, the record book being partly open and the first page of the offering list being exposed.

Fig. 3 is an edge view showing the folder in closed position.

Fig. 4 is a face view of the opened folder showing the record book opened and the index pages on the opposite side opened to disclose one of the descriptive sheets.

The folder is preferably composed of two backs 10 connected with a flexible hinging portion 12. On the left side at the top an order pad 14 is shown, this pad being retained in position by means of a tab 15 on the back thereof which is inserted into a pocket on the folder back in a well-known manner. Immediately below the pad 14 a record book 16 is positioned, this being retained by means of a tab 18 on the back thereof which is inserted sidewise into the corresponding pocket in the folder. The record book 16 is indexed as indicated at 20. The left hand page for each letter carries a customer's record as indicated at 22, and the right hand page carries the security record as indicated at 24. As shown in Fig. 4, entries under the letter L are indicated. On the left hand page 22 all buyers whose names begin with L are listed regardless of the security purchased, the respective securities being entered opposite their names. On the right hand page 24 a specific security is entered at the top of the page as "Lincoln County School Bonds" as shown, the names of the purchasers of these bonds being entered thereunder.

On the right hand side of the folder 10 spaced studs 25 are mounted, their outer ends cooperating with snap buttons 26 upon a flap 28 secured to the respective side of the folder 10 adjacent a flexible hinging portion 12. The sheets and pages carried on this side of the folder are indicated in general at 30 in Figs. 1 and 3. In Fig. 1 clean blank sheets on the top of the pad 14 and on the top of group 30 are shown, and the blank outer cover of the record is also shown. In Fig. 2 the blank top sheets are removed disclosing the matter upon pad 14 and upon the list sheets 32. The sheets 32 are retained by the studs 25 and the flap 28. These sheets contain merely a list of the various securities offered and are positioned above a series of index pages 34 also retained by the studs 25. Each of the securities listed on the list sheets 32 is fully described on an individual descriptive sheet 35, and the descriptive sheets 35 are loosely placed under the corresponding index pages 34. The use of the descriptive sheets 35 is facilitated by leaving them loose and not mounting them upon the studs 25. But in order to insure retaining them in proper position, the upper and lower edges of the adjacent side of the folder 10 are provided with outstanding ribs or flanges 36 which prevent said descriptive sheets 35 from slipping out

of either end of the folder. It has been found that this is sufficient to insure against loss of these loose sheets.

The list sheets which are on top are securely retained by the studs 25 since these are merely referred to. But for closer inspection of the descriptive sheets, the latter may be easily removed when desired. When a sale is made the order blank 14 is handy and the recording of the customer's name in the proper place in the customer's record and also in the proper place under the security is made easy by the convenient position of the record book.

It is thought from the foregoing that the construction and use of this device will be clear, and it will be readily seen that a convenient and neat outfit to be used in connection with the sale of securities is provided.

I claim:

1. A securities selling outfit comprising a folder having two swingingly connected members, means on one member for positively engaging and retaining sheets, loose descriptive sheets positioned between the engaged sheets, and upstanding flanges on edges of said member to assist in retaining the loose sheets between the engaged sheets.

2. A securities selling outfit comprising a folder having two swingingly connected members, means on one member for positively engaging and retaining sheets, loose descriptive sheets positioned between the engaged sheets, upstanding flanges on edges of said member to assist in retaining the loose sheets between the engaged sheets, and a record for securities sold and customers mounted upon the other member.

3. A securities selling outfit comprising a folder having two swingingly connected members, means on one member for positively engaging and retaining sheets, loose descriptive sheets positioned between the engaged sheets, and upstanding flanges on edges of said member to assist in retaining the loose sheets between the engaged sheets, an order pad removably mounted on the other member, and a record also mounted on said other member for customers and securities sold.

4. A security selling outfit comprising a folder, means on one side of said folder adapted to removably engage and retain list sheets, a plurality of separate sheets mounted on said means, means on the edges of said side for retaining the sheets in place, a pair of pockets on the other side of said folder, one of said pockets opening from the outer side-edge of said other side and extending inward toward the fold, a record book having a tongue at its back and entering said pocket which opens from said outer side-edge, and a pad of order blanks also having a tongue at its back entering the other pocket.

In testimony whereof I affix my signature.

JAMES QUIGG NEWTON.