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| <b>(21) International Application Number:</b> PCT/US94/05415<br><b>(22) International Filing Date:</b> 11 May 1994 (11.05.94)<br><br><b>(30) Priority Data:</b><br>069,067                      28 May 1993 (28.05.93)                      US<br>069,265                      28 May 1993 (28.05.93)                      US<br><br><b>(71) Applicant:</b> ABBOTT LABORATORIES [US/US]; Dept.<br>377/AP6D, One Abbott Park Road, Abbott Park, IL<br>60064-3500 (US).<br><br><b>(72) Inventors:</b> COPE, Frederick, Oliver; 6826 McGregor Street,<br>Worthington, OH 43085 (US). RAUSCH, Linda, Sue; 8254<br>Kennedy Road, Blacklick, OH 43004 (US). RICHARDS,<br>Ernest, William; 8249 Priestly Drive, Reynoldsburg, OH<br>43068 (US). SMITH, Michelle, Marie; 5687 Freeport Court,<br>Westerville, OH 43081 (US). ABBRUZZESE, Bonnie,<br>Chandler; 5673 Keating Drive, Dublin, OH 43017 (US).<br>PERO, Joan, Marie; 445 Richmond Park West, Richmond<br>Heights, OH 44143 (US).<br><br><b>(74) Agents:</b> DRAYER, Lonnie, R. et al.; Abbott Laboratories,<br>Dept. 104073/DS1, 625 Cleveland Avenue, Columbus, OH<br>43215-1724 (US). |           | <b>(81) Designated States:</b> CA, European patent (AT, BE, CH, DE,<br>DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).<br><br><b>Published</b><br><i>With international search report.</i> |
| <b>(54) Title:</b> ENTERAL NUTRITIONAL PRODUCT<br><br><b>(57) Abstract</b><br><br>A liquid enteral nutritional product has been formulated which has utility, for example, for persons with cancer who are not currently undergoing radiation therapy and/or chemotherapy. The nutritional product is characterized by a fatty acid profile wherein, by weight: (a) the ratio of the sum of the n-3 to n-6 fatty acids is in the range of 1.37 to 1.70; (b) Eicosapentaenoic Acid (23:6n-3) is about 2.7-3.0 % of total fatty acids; and (c) Docosahexaenoic Acid (22:6n-3) is about 1.3-1.4 % of total fatty acids. Preferably the nutritional product also contains intact protein, $\beta$ -carotene, carnitine and taurine.   |           |   |

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### ENTERAL NUTRITIONAL PRODUCT

The present invention relates to an enteral nutritional supplement, for example for persons with cancer who are not currently undergoing radiation therapy and/or chemotherapy.

Cancer patients typically undergo intermittent periods of chemotherapy and/or radiation therapy during which their nutritional needs are different from the periods between therapy treatments. It is preferred that when the patient is not receiving intensive therapy a well balanced enteral diet be consumed. However, decreased appetite and changes in taste perceptions due to therapies may result in inadequate nutritional intake. Therefore, the consumption of an enteral nutritional supplement may be required.

The nutritional product of the present invention is formulated to provide enteral nutritional supplementation, for example for a person afflicted with cancer, during a period of time when the person is not undergoing intensive chemotherapy and/or radiation therapy. That is to say, the enteral nutritional product of the present invention is intended either as a nutritional supplement, or as a sole source of nutrition. The quantity of this supplement consumed per day may be in the range of 1 to 10 8 fluid ounce servings, or as recommended by an attending physician to meet the specific metabolic needs of the patient.

During the times that a person afflicted with cancer is not undergoing intensive chemotherapy and/or radiation therapy the nutritional regimen should be one which takes into consideration the problems of diarrhea, vomiting, anorexia and damage to the intestinal architecture which can occur during such periods of therapy.

Examples of commercial products which are general nutritional supplements are ENSURE® and ENSURE® WITH FIBER, both of which are available from Ross Laboratories, a Division of Abbott Laboratories, of Columbus, Ohio U.S.A. Inasmuch as the nutritional product of the present invention contains fiber, it is compared with ENSURE® WITH FIBER in Table 1 to show the major differences in the nutritional profiles of these products.

TABLE 1

| NUTRIENT                   | TARGET SPECIFICATIONS |          |                    |          |
|----------------------------|-----------------------|----------|--------------------|----------|
|                            | NEW PRODUCT           |          | ENSURE® WITH FIBER |          |
|                            | In 8 Fluid Oz.        | In Liter | In 8 Fluid Oz.     | In Liter |
| Protein, g                 | 14.8                  | 62.5     | 9.4                | 39.7     |
| Fat, g                     | 9.4                   | 39.7     | 8.8                | 37.1     |
| Carbohydrate, g            | 43.0                  | 181.7    | 38.3               | 161.8    |
| Total Dietary Fiber, g     | 2.5                   | 10.6     | 3.4                | 14.4     |
| $\beta$ -Carotene, $\mu$ g | 1160                  | 4901     | -0-                | -0-      |
| Folic Acid, $\mu$ g        | 21.0                  | 88.7     | 102                | 431      |
| Carnitine, mg              | 20                    | 84.5     | -0-                | -0-      |
| Taurine, mg                | 20                    | 84.5     | -0-                | -0-      |

The nutritional product of the present invention contains  $\beta$ -carotene, carnitine and taurine, while the general nutritional supplement provides none of these nutrients.  $\beta$ -carotene is a carotenoid compound that has pro-vitamin A activity. However, unlike vitamin A,  $\beta$ -carotene is not associated with toxicity and, therefore, may be used as a source of retinol equivalents in the diet without inducing toxicity concerns. Vitamin A has been shown to reverse some of the immunosuppression associated with thermal injury and radiation injury. Favorable effects on the immune system also have been observed with  $\beta$ -carotene supplementation. The nutritional product of the present invention contains about 4,900 to 5,700  $\mu\text{g}$  per liter (about 1,160 to 1,350  $\mu\text{g}$  per 8 ounce serving) of  $\beta$ -carotene).

Although carnitine and taurine are present in low but adequate levels in a normal diet, these conditionally essential nutrients may become limiting under some circumstances. Carnitine deficiency has been observed in sepsis and trauma and during long-term enteral nutrition support. Evidence of taurine depletion has been demonstrated after surgical trauma and a decline in serum taurine concentrations during metabolic stress suggests that taurine supplementation is needed in that state. In humans intensive cytotoxic chemotherapy is known to reduce taurine levels. The nutritional product of the present invention contains about 84.5 to 109.8 mg per liter (about 20 to 26 mg per 8 fluid ounces) of carnitine. The nutritional product of the present invention contains about 84.5 to 109.8 mg per liter (about 20 to 26 mg per 8 fluid ounces) of taurine.

As used herein and in the claims "dietary fiber" and/or "total dietary fiber" is understood to mean plant material that is undigested by human alimentary enzymes. Dietary fiber is known to be beneficial in regulating bowel function in diarrhea. Inclusion of dietary fiber in the diet also stimulates the renewal of intestinal epithelial cells and mucosal growth. The nutritional product of the present invention contains about 10.6 to 13.5 grams per liter (2.5 to 3.2 grams per 8 fluid ounces) of dietary fiber. In a preferred embodiment the dietary fiber system comprises by weight about 50% soy polysaccharide, 42.5% gum arabic, and 7.5% carboxymethylcellulose (CMC). However, any suitable source of dietary fiber may be used without varying from the scope of the

invention.

The specifications for macronutrients, trace and ultratrace minerals in the nutritional product of the present invention are presented in Table 2. The specifications for vitamins and conditionally essential nutrients in the nutritional product of the present invention are presented in Table 3.

TABLE 2  
MINIMUM SPECIFICATIONS FOR MACRONUTRIENTS, TRACE, AND ULTRATRACE MINERALS

| NUTRIENT             | UNITS | ACCEPTABLE RANGE<br>(Units/100 g) | (Units/100 g) | TARGETS<br>(Units/8 oz) | (Units/Liter) |
|----------------------|-------|-----------------------------------|---------------|-------------------------|---------------|
| Protein              | g     | 5.75 - 5.85                       | 5.69          | 14.8                    | 62.5          |
| Fat                  | g     | 3.65 - 3.85                       | 3.62          | 9.4                     | 39.7          |
| Carbohydrate         | g     | 16.7 - 18.1                       | 16.54         | 43.0                    | 181.7         |
| Total Dietary Fibers | g     | 1.03 - 1.22                       | 0.96          | 2.5                     | 10.6          |
| Calcium              | mg    | 83.4 - 96.2                       | 83.4          | 217                     | 916.8         |
| Phosphorus           | mg    | 83.4 - 96.2                       | 83.4          | 217                     | 916.8         |
| Magnesium            | mg    | 32.1 - 38.5                       | 32.1          | 83                      | 350.7         |
| Sodium               | mg    | 79.6 - 97.3                       | 88.5          | 230                     | 971.8         |
| Potassium            | mg    | 124.2 - 151.8                     | 138.5         | 360                     | 1521          |
| Chloride             | mg    | 110.8 - 135.4                     | 123.1         | 320                     | 1352          |
| Iodine               | µg    | 9.6 - 25.8                        | 9.6           | 25                      | 105.6         |
| Iron                 | mg    | 1.35 - 1.90                       | 1.35          | 3.51                    | 14.8          |
| Zinc                 | mg    | 1.76 - 2.51                       | 1.76          | 4.58                    | 19.4          |
| Manganese            | mg    | 0.38 - 0.53                       | 0.38          | 0.99                    | 4.2           |
| Copper               | mg    | 0.16 - 0.22                       | 0.16          | 0.42                    | 1.8           |
| Selenium             | µg    | 5.41 - 8.14                       | 5.38          | 14.0                    | 59.2          |
| Chromium             | µg    | 5.92 - 15.3                       | 5.92          | 15.4                    | 65.1          |
| Molybdenum           | µg    | 12.4 - 19.2                       | 12.4          | 32.2                    | 136           |

TABLE 3  
SPECIFICATIONS FOR VITAMINS AND CONDITIONALLY ESSENTIAL NUTRIENTS

| NUTRIENT          | UNITS   | MINIMUM TARGET SPECIFICATIONS |                    |
|-------------------|---------|-------------------------------|--------------------|
|                   |         | (Units/Liter)                 | (Units/8 fluid oz) |
| Vitamin A         | IU      | 3523                          | 835                |
| $\beta$ -carotene | $\mu$ g | 4895                          | 1160               |
| Vitamin D         | IU      | 282                           | 66.7               |
| Vitamin E         | IU      | 21.1                          | 5.0                |
| Vitamin K         | $\mu$ g | 56.1                          | 13.3               |
| Folic Acid        | $\mu$ g | 88.7                          | 21.0               |
| Niacin            | mg      | 14.1                          | 3.34               |
| Riboflavin        | mg      | 1.22                          | 0.29               |
| Thiamin           | mg      | 1.05                          | 0.25               |
| Pyridoxine        | mg      | 1.41                          | 0.33               |
| Cyanocobalamin    | $\mu$ g | 4.22                          | 1.00               |
| Pantothenate      | mg      | 7.05                          | 1.67               |
| Biotin            | $\mu$ g | 211                           | 50                 |
| Ascorbic Acid     | mg      | 211                           | 50                 |
| Choline           | mg      | 140                           | 33.3               |
| Carnitine         | mg      | 84                            | 20                 |
| Taurine           | mg      | 84                            | 20                 |

The nutritional product of the present invention has a fat blend which comprises canola oil, medium chain triglycerides (MCT oil), high oleic safflower oil and fish oil. A fish oil which is suitable for use in the nutritional product of the present invention is manufactured from sardines and has been obtained from Mochida International in Shinjuku-ku, Tokyo, Japan. A disadvantage of using fish oil is that it should be stored under nitrogen with refrigeration until used to minimize oxidation and even then has a fairly short storage life. Preferably, the fish oil comprises, by weight, about 10% of the oil blend. The fish oil and canola oil are important components of the oil blend because they are rich in n-3 fatty acids. The fatty acid profile of the nutritional product of the present invention is presented in Table 4. An especially desirable feature of this fatty acid profile is that, by weight, the ratio of the sum of the n-6 fatty acids to the sum of the n-3 fatty acids is in the range of 1.37 to 1.730. Such a characteristic of the fatty acid profile is desirable because, for example, a larger portion of the fatty acids being from the n-3 group tends to result in decreased production in the cancer patient of cytokines which promote cachexia. Fat content in the nutritional product of the present invention is in the range of about 39.0 to 43.0 g per liter (9.2 to 10.1 g per 8 fluid oz.).

TABLE 4  
FATTY ACID PROFILE

| FATTY ACID                        | % of TOTAL<br>FATTY ACIDS<br>(by weight) |
|-----------------------------------|--|
| Caprylic (8:0)                    | 10.3-12.1                                |
| Capric (10:0)                     | 7.0-8.3                                  |
| Lauric (12:0)                     | about 0.2                                |
| Myristic (14:0)                   | 0.6-0.7                                  |
| Palmitic (16:0)                   | 4.1-4.8                                  |
| Palmitoleic (16:1n7)              | 0.9-1.0                                  |
| Stearic (18:0)                    | 1.5-1.7                                  |
| Oleic (18:1n-9)                   | 44.2-46.3                                |
| Linoleic (18:2n-6)                | 13.7-16.0                                |
| Alpha-Linolenic (18:3n-3)         | 4.7-5.3                                  |
| Stearidonic (18:4n-3)             | about 0.4                                |
| Eicosenoic (20:1n-9)              | 1.0-1.1                                  |
| Eicosapentaenoic (20:5n-3)(EPA)   | 2.7-3.0                                  |
| Behenic (22:0)                    | about 0.3                                |
| Erucic (22:1n-9)                  | 0.4-0.5                                  |
| Docosapentaenoic (22:1n-9)        | about 0.2                                |
| Docsaheptaenoic (22:6n-3)(DHA)    | 1.3-1.4                                  |
| Nervonic (24:1n-9)                | 0.0-0.1                                  |
| Others                            | 0.4-1.1                                  |
|                                   |  |
| % Total n-3 fatty acids           | 9.4-10.4 (by weight)                     |
| % Total n-6 fatty acids           | 13.7-16.0 (by weight)                    |
| % Total n-6/Total n-3 fatty acids | 1.37 - 1.70 (by weight)                  |
| % EPA + DHA                       | 4.0-4.4 (by weight)                      |

Protein is provided in the nutritional product of the present invention by a combination of a soy protein isolate and sodium caseinate. A soy protein isolate that has been used to manufacture a nutritional product according to the present invention is PP750 which is a slightly hydrolyzed soy protein isolate which has obtained from Protein Technologies International, St. Louis, Missouri, U.S.A.. Although the nutritional product may be manufactured using an intact soy protein isolate, the resultant viscosity of the nutritional product may be unacceptably high. The protein content of a nutritional product according to the present invention is about 55.0 to 76.0 grams per liter (13.0 to 18.0 grams per 8 fluid ounces). This level of protein is desirable in the nutritional product because it provides an excellent calorie to nitrogen ratio and high levels of all essential amino acids. The amino acid profile of the nutritional product of the present invention is presented in Table 5.

TABLE 5  
AMINO ACID PROFILE

| AMINO ACID                                    | g/100g sample<br>of product | g/100g protein |
|---|-----------------------------|----------------|
| Aspartic Acid                                 | 0.488                       | 8.34           |
| Threonine*                                    | 0.253                       | 4.32           |
| Serine  | 0.343                       | 5.86           |
| Glutamic Acid                                 | 1.266                       | 21.64          |
| Proline                                       | 0.581                       | 9.93           |
| Glycine                                       | 0.141                       | 2.41           |
| Alanine                                       | 0.197                       | 3.37           |
| Valine*                                       | 0.331                       | 5.66           |
| Methionine*                                   | 0.141                       | 2.41           |
| Isoleucine*                                   | 0.271                       | 4.63           |
| Leucine*                                      | 0.538                       | 9.20           |
| Tyrosine                                      | 0.273                       | 4.67           |
| Phenylalanine                                 | 0.293                       | 5.01           |
| Histidine*                                    | 0.158                       | 2.70           |
| Lysine*                                       | 0.426                       | 7.28           |
| Arginine                                      | 0.252                       | 4.31           |
| Tryptophan*                                   | 0.071                       | 1.21           |
| Crystine                                      | 0.042                       | 0.72           |
| Total   | 6.066                       | 103.67         |
| Available Lysine                              | 0.380g/100g sample          |                |
| Taurine                                       | 6.91 mg/100g sample         |                |
| * Essential Amino Acid                        |                             |                |
| Actual protein = 5.85g/100g sample of product |                             |                |

Carbohydrates are provided in the nutritional product of the invention by sucrose and hydrolyzed cornstarch, but it is understood that any suitable source(s) of carbohydrates may be used. Preferably, the nutritional product of the present invention contains about 180 to 200 g per liter (42.5 to 47.2 g per 8 fluid oz.) of carbohydrates.

The caloric density of the nutritional product of the present invention is about 1.20 to 1.50 calories/ml, preferably about 1.30 to 1.40 calories/ml. This relatively high caloric density is desirable because it provides high caloric, vitamin and nutrient values in a low volume of the product for patients who may have reduced intake capacity.

The osmolality of the nutritional product of the present invention is about 300 to 700 mosm/kg water, preferably about 400 to 625 mosm/kg water.

This osmolality value is designed to deliver low osmotic load to nutrient ratios, reducing osmotic load while delivering maximal nutrient concentration with a low risk of diarrhea.

The viscosity of the nutritional product of the present invention is about 30 to 80 cps, preferably about 40 to 65 cps. There are two embodiments of the invention disclosed herein, one of which contains oat fiber and one which does not contain oat fiber. The embodiment which contains oat fiber has a viscosity of about 30.1 to 41.0 cps, while the embodiment which does not contain oat fiber has a viscosity of about 38.9 - 63.2 cps. This range of viscosities allows the nutritional product to be ingested either orally or via a feeding tube (e.g. nasogastric, gastrostomy, jejunostomy, or any other suitable enteral route). This nutritional product is not designed for delivery through a central line into the bloodstream. While this nutritional product has only been manufactured in a liquid form, it is understood that it could be manufactured in a powdered form, for reconstitution with an appropriate liquid, without departing from the scope of the present invention.

### MANUFACTURING PROCESS

The Bill of Materials for manufacturing a 445 kg batch of a nutritional product in accordance with the invention is presented in Table 6. It is understood that this Bill of Materials has been used to make a nutritional product in accordance with the present invention, but could be altered in ingredients and quantities of ingredients without varying from the scope of the present invention.

TABLE 6  
BILL OF MATERIALS

| INGREDIENT                       |         | AMOUNT for 454 kg (1000 lbs) |
|----------------------------------|---------|------------------------------|
| Canola oil                       |         | 81.4 kg                      |
| MCT oil                          |         | 3.26 kg                      |
| High Oleic Safflower Oil         |         | 3.26 kg                      |
| Soy Lecithin                     |         | 0.74 kg                      |
| Oil Soluble Vitamin Premix       |         | 24.60 g                      |
| Vitamin D3                       | 0.158 g |                              |
| Vitamin E                        | 17.67 g |                              |
| Vitamin K                        | 0.033 g |                              |
| Vitamin A palmitate              |         | 2.000 g                      |
| $\beta$ -Carotene                |         | 9.790 g                      |
| Fish Oil                         |         | 1.63 kg                      |
| Soy Polysaccharide*              |         | 1.08 kg                      |
| Gum Arabic                       |         | 2.10 kg                      |
| Carboxymethylcellulose           |         | 362.87 g                     |
| Soy Protein Isolate              |         | 5.82 kg                      |
| Sodium Caseinate                 |         | 22.72 kg                     |
| Water                            |         | 315.21 kg                    |
| Oat Fiber*                       |         | 1.42 kg                      |
| Magnesium Chloride               |         | 0.73 kg                      |
| Potassium Citrate                |         | 0.99 kg                      |
| Potassium Chloride               |         | 351.50 g                     |
| Potassium Iodide                 |         | 0.057 g                      |
| UTM/TM Premix                    |         | 103.20 g                     |
| Zinc                             | 8.548 g |                              |
| Iron                             | 6.504 g |                              |
| Manganese                        | 1.815 g |                              |
| Copper                           | 0.767 g |                              |
| Selenium                         | 0.028 g |                              |
| Chromium                         | 0.031 g |                              |
| Molybdenum                       | 0.060 g |                              |
| Magnesium Phosphate              |         | 296.81 g                     |
| Calcium Carbonate                |         | 427.02 g                     |
| Tricalcium Phosphate             |         | 0.65 kg                      |
| Sucrose                          |         | 11.92 kg                     |
| Hydrolyzed Cornstarch            |         | 71.09 kg                     |
| Ascorbic Acid                    |         | 207.00 g                     |
| Choline Chloride                 |         | 82.00 g                      |
| L-Carnitine                      |         | 40.00 g                      |
| Taurine                          |         | 40.00 g                      |
| Niacinamide                      | 7.655 g |                              |
| d-Ca Pantothenate                | 5.098 g |                              |
| Biotin                           | 0.166 g |                              |
| Pyridoxine HCL                   | 1.328 g |                              |
| Folic Acid                       | 0.054 g |                              |
| Thiamine HCL                     | 1.531 g |                              |
| Cyanocobalamin                   | 0.004 g |                              |
| Riboflavin                       | 0.977 g |                              |
| Natural and Artificial Vanilla** |         | 0.68 kg                      |
| Artificial Creamy Vanilla **     |         | 0.45 kg                      |
| Artificial Strawberry ***        |         | 362.87 g                     |
| FDC Red # 3 ***                  |         | 18.14 g                      |

- \* In an alternative formulation no oat fiber is used and the quantity of soy polysaccharide is increased to 2.69 kg.
- \*\* These ingredients are used only when making a vanilla flavored product.
- \*\*\* These ingredients are included only when making a strawberry flavored product.

A nutritional product in accordance with the present invention has been produced using the preceding Bill of Materials and the manufacturing process described below. However, it is understood that the manufacturing process may be altered based upon available equipment and other variables.

An oil blend containing dietary fiber is prepared by the following procedure. The canola oil, medium chain triglycerides and high oleic safflower oil are placed together in a blend tank and the resulting oil blend is heated to a temperature in the range of about 43-52°C. The soy lecithin, oil soluble vitamin premix, Vitamin A palmitate and beta carotene are added to the oil blend. While maintaining the temperature of the oil blend in the range of 43-52°C the fish oil is added thereto. Add the soy polysaccharide to the oil blend, and agitate the oil blend thoroughly. Add the gum arabic to the oil blend, and mix the oil blend until no clumping appears. Add the carbomethylcellulose to the oil blend, and mix until the blend is uniformly dispersed, without any clumping. Add about 70% of the soy protein isolate to the blend. Adding some of the soy protein isolate to the oil blend instead of putting all of the soy protein isolate in the protein slurry facilitates easier mixability of the protein slurry while maintaining the oil blend at a pumpable viscosity level. Maintain the resultant oil blend with fiber at a temperature in the range of about 43-52°C, with agitation, until it is combined with additional product ingredients.

A protein slurry is prepared by the following procedure. Place about 199.3 kg of water in a vessel and heat the water to a temperature in the range of about 60-71°C. Add the oat fiber to the water. When the oat fiber has been dispersed, add the sodium caseinate to the heated water. After the protein has been dissolved, maintain the temperature of the resultant slurry in the range of about 43-54°C. Add the remainder of the soy protein isolate to the slurry, and continue to maintain the slurry at a temperature in the range of about 43-54°C until it is combined with additional product ingredients.

An oat fiber which is suitable for use in the practice of the present invention comprises ground up oat hulls that have been treated in the manner taught in U.S. Patent No. 4,806,875, and may be obtained from Opta Food Ingredients, Inc. of Cambridge, Massachusetts, U.S.A.

In an alternative embodiment the oat fiber is eliminated and, as indicated in the Bill of Materials, a larger quantity of soy polysaccharide

is used. In such an alternative embodiment only about 30% of the soy protein isolate is added to the oil blend and the remainder of the soy protein isolate is added to this protein slurry. If there is no oat fiber in the formulation, but more soy polysaccharide, (all of which must be added to the oil blend), not as much soy protein isolate can be accommodated in the oil blend, so more soy protein isolate is added to the protein slurry in place of the oat fiber. These adjustments facilitate maintaining the mixability and pumpability of the blends and slurries.

A carbohydrate/mineral slurry is prepared by the following procedure. Place about 71.7 kg of water in a vessel and heat the water to a temperature in the range of about 60-71°C. (Note in the all- soy polysaccharide alternative embodiment about 62.2 kg of water is used.) Add to the water the magnesium chloride, potassium citrate, potassium chloride and potassium iodide. Agitate the resultant mixture until these ingredients have been dissolved/suspended, and the mixture is uniform in appearance. Add the ultra trace mineral/trace mineral (UTM/TM) premix to the mixture. (Preferably the UTM/TM premix contains zinc sulfate, ferrous sulfate, manganese sulfate, copper sulfate, sodium selenite, chromium chloride, sodium molybdate and citric acid, with sucrose used as a diluent). At this time the slurry should be green in color. The magnesium phosphate, calcium carbonate and tricalcium phosphate are then added to the slurry. The sucrose and hydrolyzed cornstarch are added to the slurry, and the slurry is agitated until these ingredients have been dissolved. The resultant carbohydrate/mineral slurry is continuously agitated and maintained at a temperature in the range of about 60-71°C until it is combined with additional product ingredients.

The three slurries/blends which have been prepared are then blended together by the following procedure. Combine the protein slurry with the oil blend containing dietary fiber with agitation. To the resultant blend add the carbohydrate/mineral slurry, and mix the blend thoroughly. Adjust the temperature of the resultant product blend to be in the range of about 49-57°C. The pH of the product blend should be in the range of 6.45 - 6.70, and if necessary 1N potassium hydroxide is added to bring the pH of the product blend into the specified range.

The product blend is processed as follows:

- (a) The product blend is heated to a temperature in the range of about 68-74°C.

- (b) The product blend is deaerated at 10-15 in Hg.
- (c) The product blend is emulsified with a homogenizer at about 900-1100 psig.
- (d) The product blend is heated to a temperature in the range of about 120-122°C.
- (e) The product blend is heated by steam injection to a temperature in the range of about 144-148°C and held at this temperature for about 5 seconds.
- (f) The product blend is flash cooled to a temperature in the range of about 120-122°C.
- (g) The product blend is further cooled to a temperature in the range of about 71-79°C.
- (h) The product blend is homogenized at 3,900-4,100/400-600 psig.
- (i) The product blend is held at a temperature in the range of about 74-85°C for 16 seconds.
- (j) The product blend is cooled to a temperature in the range of about 1-7°C, and stored at this temperature to minimize microbial growth until further ingredients are added thereto.

It is recommended that if the nutritional product of the present invention is manufactured on a large scale that rather than adding the fish oil to the oil blend, the fish oil should be metered into the product blend at a constant rate just prior to emulsification to improve dispersion of the fish oil throughout the final blend.

At the time that the vitamins and flavors are added to the blend dilution water is added to the blend. If a vanilla flavored product is being produced add 27.4 kg of dilution water; if a strawberry flavored product is being produced add 33.1 kg of dilution water.

A vitamin solution is prepared by the following procedure. About 9.2 kg of water is placed in a vessel and adjusted to a temperature in the range of about 10-43°C. The ascorbic acid is added to the water which is agitated until the ascorbic acid is dissolved therein. The resultant solution is neutralized with 45% potassium hydroxide. The choline chloride, L-carnitine and taurine are added to the solution and blended until dissolved. Add the following water soluble vitamins to the solution: niacinamide, d-Ca Pantothenic acid, biotin, pyridoxine HCL, folic acid, thiamine HCL,

cyanocobalamin, and riboflavin (note that it would be desirable to provide the water soluble vitamins in a premix, but such a premix was not yet developed at the time of filing a patent application for the new nutritional product disclosed herein). The pH of the resultant solution should be in the range of about 6.0-10.0. The vitamin solution is then added to the product blend.

A flavor solution is prepared and added to the product blend with agitation. If a vanilla flavored product is being manufactured, add (a) the natural and artificial vanilla and (b) the artificial creamy vanilla to 10.2 kg of water. If a strawberry flavored product is being manufactured add the artificial strawberry to 3.3 kg of water.

If a strawberry flavored product is being manufactured, a color-in water solution is prepared by mixing the FD&C Red #3 in about 1.8 kg of water. The color-in-water solution is then added to the product blend with agitation.

The resultant nutritional product of the present invention may then be placed in suitable containers, for example 8 ounce cans, which are then sealed with suitable closures. The product should be sealed in containers within 48 hours after completion of the standardization/flavoring procedures. The nutritional product may then be sterilized using suitable procedures which are well known in the art.

**CLAIMS:**

1. A liquid enteral nutritional product comprising fat, carbohydrates and dietary fiber and a source of intact protein the nutritional product containing, about 4,900 to 5,700  $\mu\text{g}$  of  $\beta$ -carotene per liter, and the nutritional product has a fatty acid profile wherein, by weight: (a) the ratio of the sum of the n-6 fatty acids to the sum of the n-3 fatty acids is in the range of 1.37 to 1.70; (b) the percentage of total fatty acids comprising Eicosapentaenoic Acid (20:5n-3) is in the range of 2.7 to 3.0%; and (c) the percentage of total fatty acids comprising Docosahexaenoic Acid (22:6n-3) is in the range of 1.3 to 1.4%.
2. A liquid enteral nutritional product as described in claim 1 further comprising about 10.6 to 13.5 mg of carnitine per liter.
3. A liquid enteral nutritional product as described in claim 1 further comprising about 10.6 to 13.5 mg of taurine per liter.
4. A liquid enteral nutritional product as described in claim 1 further comprising about 1.6 to 13.5 mg of carnitine per liter and about 10.6 to 13.5 mg of taurine per liter.
5. A liquid enteral nutritional product as described in claim 1 wherein the fat comprises fish oil.
6. A liquid enteral nutritional product as described in claim 1 which has a caloric density of about 1.20 to 1.50 calories per ml.
7. A liquid enteral nutritional product as described in claim 1 wherein the dietary fiber comprises a combination of soy polysaccharide, gum arabic and carboxymethylcellulose.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US94/05415**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) : A61K 37/02; A23L 1/302.

US CL : 514/2, 21; 426/72. 601, 656.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/2, 21; 426/72. 601, 656.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, CAS ONLINE, BIOSIS, EMBASE, MEDLINE, JICST-E, WPIDS, JPOABS.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category* | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|-----------|--|-----------------------|
| Y,P       | US, A, 5,223,285 (DEMICHELE ET AL) 29 June 1993, see whole document.   | 1-7                   |
| Y         | US, A, 5,085,883 (GARLEB ET AL) 04 February 1992, see whole document.  | 1-7                   |
| Y         | Abstract, R.A. Karmali, "Eicosonoids in neoplasia", Prev. Med., Volume 16, No. 4, issued July 1987, Medline abstract no. 87317457, pages 493-502, see entire abstract. | 1-7                   |
| Y         | Nutrition in Clinical Practice, Volume 3, issued October 1988, Joanne Kouba, "Nutritional Care of the Individual with Cancer", pages 175-182, especially page 180.     | 1-7                   |

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

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|---|--|
| * Special categories of cited documents:  | *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  |
| *A* document defining the general state of the art which is not considered to be of particular relevance  | *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone   |
| *E* earlier document published on or after the international filing date  | *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | *G* document member of the same patent family  |
| *O* document referring to an oral disclosure, use, exhibition or other means  |  |
| *P* document published prior to the international filing date but later than the priority date claimed  |  |

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|---|---|
| Date of the actual completion of the international search<br>10 JULY 1994   | Date of mailing of the international search report<br>AUG 01 1994                   |
| Name and mailing address of the ISA/US<br>Commissioner of Patents and Trademarks<br>Box PCT<br>Washington, D.C. 20231<br>Facsimile No. (703) 305-3230 | Authorized officer<br>C. SAYALA <i>H. Kuyza for</i><br>Telephone No. (703) 308-0196 |