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#### **Declarations under Rule 4.17:**

- as to the identity of the inventor (Rule 4.17(i))
- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

#### Published:

- with international search report (Art. 21(3))
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- (88) Date of publication of the international search report:

15 May 2014

### (54) Title: SURGICAL INSTRUMENTS WITH ARTICULATING SHAFTS

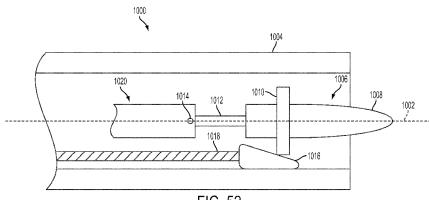


FIG. 52

(57) Abstract: Various embodiments are directed to articulatable surgical instruments. Some embodiments comprise an end effector to treat tissue, where the end effector comprises an ultrasonic blade. A hollow shaft may extend proximally from the end effector along a longitudinal axis. A waveguide may extend through the shaft and may be acoustically coupled to the ultrasonic blade. The waveguide may comprise a distally positioned flange positioned within the hollow shaft proximally from the blade and may be held stationary at a first pivot point positioned within the hollow shaft proximally from the flange. A reciprocating wedge may be positioned within the hollow shaft such that distal motion of the wedge pushes the wedge between the flange and the hollow shaft, causing the ultrasonic blade to pivot about the first pivot point in a first direction.



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A. CLASSIFICATION OF SUBJECT MATTER INV. A61B17/29 A61B17/32 ADD. A61B17/00 A61B17/22

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

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Further documents are listed in the continuation of Box C.	X See patent family annex.
* Special categories of cited documents :  "A" document defining the general state of the art which is not considered	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand
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"O" document referring to an oral disclosure, use, exhibition or other means	combined with one or more other such documents, such combination being obvious to a person skilled in the art
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
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PCT/US2013/045823

C(Continua	ation). DOCUMENTS CONSIDERED TO BE RELEVANT  I	
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# **INTERNATIONAL SEARCH REPORT**

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. X As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.  The additional search fees were accompanied by the applicant's protest but the applicable protest
fee was not paid within the time limit specified in the invitation.  X  No protest accompanied the payment of additional search fees.

# FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-24, 36-41

articulatable surgical instrument with an end effector comprising an ultrasonic blade, an hollow shaft, a waveguide extending through the shaft and acoustically coupled to the end effector and having a distal flange positioned proximal from the end effector, with means to provide a force to the flange such as to bend bend/articulate the waveguide and blade away from the longitudinal axis of the shaft.

2. claims: 25-32

articulatable surgical instrument with an end effector comprising an ultrasonic blade, a hollow shaft having a rigid portion and a flexible portion at a first position on the longitudinal axis and a waveguide extending through the shaft and acoustically coupled to the end effector, the waveguide comprising a flexible portion at the first position, such that the end effector is pivotable away from the longitudinal axis and with a clamp arm pivotably coupled to a distal member and pivotable relative to the blade, wherein translation of a flexible control wire that is attached offset from the pivot point of the arm causes the clamp to pivot.

3. claims: 33-35

articulatable surgical instrument with an end effector and a shaft extending proximal of the end effector, the shaft having a proximal tube and a distal tube pivotably attached to the proximal tube at a hinge interface, wherein the distal tube has an axially directed peg member the shaft further having an inner rotatable member with a slanted slot in which the peg member rides at least partially such that rotation of the rotatable member in first direction pushes the peg and distal tube distally, and rotation in second direction pushes proximally , which results in articulation of the distal tube about the hing

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