

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization

International Bureau

(43) International Publication Date
19 July 2018 (19.07.2018)



(10) International Publication Number
WO 2018/132233 A1

(51) International Patent Classification:

C23C 22/40 (2006.01) C23C 22/44 (2006.01)
C23C 22/42 (2006.01) C25D 11/24 (2006.01)

TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW,
KM, ML, MR, NE, SN, TD, TG).

(21) International Application Number:

PCT/US2017/067493

Published:

— with international search report (Art. 21(3))

(22) International Filing Date:

20 December 2017 (20.12.2017)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

15/405,417 13 January 2017 (13.01.2017) US

(71) Applicant: **MACDERMID ACUMEN INC.** [US/US];
245 Freight Street, Waterbury, CT 06702 (US).

(72) Inventors: **SALSA, Sara**; Via Ticino, 47, I-28043
Bellinzago Novarese (IT). **ANGELI, Patrizia**; Via Italia
86, I-28045 Inverio (IT).

(74) Agent: **MCKIRRYHER, Colleen, S.**; Carmody, Torrance,
Sandak & Hennessey, 195 Church Street, P.O. Box 1950,
New Haven, CT 06509-1050 (US).

(81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ,
CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO,
DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN,
HR, HU, ID, IL, IN, IR, IS, JO, JP, KE, KG, KH, KN, KP,
KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME,
MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ,
OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA,
SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN,
TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ,
UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ,
TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK,
EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV,
MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM,

(54) Title: SEALING ANODIZED ALUMINUM USING A LOW-TEMPERATURE NICKEL-FREE PROCESS

(57) Abstract: The inventive two-step process operates at low temperature, without any toxic heavy metals, to provide excellent sealing on anodized aluminum substrates, especially those aluminum substrates comprising silicon. The first step of the process seals the anodized surface and the second step passivates the anodized surface. The process allows for corrosion resistance in anodized aluminum and anodized aluminum alloys to be achieved that is comparable to traditional nickel based sealants, without the toxicity of nickel. The process additionally does not require any excessive temperatures, as required by hot water sealing processes. The composition used for the sealing step comprises soluble lithium ions, fluoride ions, and preferably, a complexing agent comprising phosphines, phosphonates and/or polymers of acrylic acid. The composition used for the passivation step comprises metal ions and preferably a complexing agent comprising phosphines, phosphonates and/or polymers of acrylic acid.



WO 2018/132233 A1

**SEALING ANODIZED ALUMINUM USING A LOW-TEMPERATURE NICKEL-FREE
PROCESS**

FIELD OF THE INVENTION

5

The invention generally relates to a method for sealing anodized aluminum surfaces to protect the surfaces from corrosion.

BACKGROUND OF THE INVENTION

10

Anodizing is a process which has long been used to protect the surface of aluminum components from corrosion. The process consists of making a component anodic in an acidic solution. A typical anodizing process consists of degreasing, pickling/etching (or brightening), desmutting, anodizing, sealing and aging steps.

15

The process of anodization leads to the formation of a porous oxide layer on the aluminum surface which may have a thickness in the range of 3 to 25 microns depending on the field of application. Because the oxide layer is porous, it is necessary to seal the pores to prevent corrosion. One method uses hot water (typically used at boiling point) for sealing porous oxide
20 layers. However, the required immersion time to achieve complete sealing of the surface is between 2 and 3 minutes per micron of oxide coating, which can lead to overall lengthy immersion times. Additionally, using hot water for sealing is not energy efficient and there are obvious safety hazards involved with the use of boiling water. The oxide layer is often not homogeneous on aluminum alloys with high amounts of silicon. Due to the non-uniformity of
25 the oxide layer, such alloys cannot be successfully treated using hot water because the resulting corrosion performance will not be adequate.

In order to address the problems associated with hot water sealing processes, low temperature sealing processes have been developed using nickel salts, typically using nickel
30 fluoride. These processes operate at low temperatures, typically less than 30°C, and involve a contact time of about 1 minute per micron of oxide on the aluminum surface. The sealing

process is thought to be accomplished via the formation of a complex of nickel aluminum - fluoride salt in the pores of the anodized coating.

Nickel based sealing processes have obvious advantages in terms of production
5 throughput and energy efficiency. Furthermore, using nickel based sealing processes provides good corrosion resistance, especially for those aluminum alloys higher in silicon. However, the use of nickel is becoming increasingly restricted due to its carcinogenic properties; therefore a low temperature sealing process that does not contain nickel is desirable for providing corrosion resistance on anodized aluminum surfaces. Additionally, because of the toxicity of nickel,
10 measures must be taken to carefully treat the wastewater from nickel based sealing processes, which can be very expensive.

There have already been attempts to produce nickel-free, low temperature sealing systems, but none of these at present effectively addresses the problems associated with
15 treatment of high silicon alloys. For example, Canadian Patent 2,226,418 to Koerner et al. proposes the use of a lithium fluoride based immersion process (optionally containing molybdate, vanadate or tungstate ions) prior to a conventional hot sealing process (80-100°C). The process is claimed to reduce the immersion time required in the hot process and provide effective sealing of anodized metals. However, temperatures in excess of 80°C are still required.
20 U.S. Patent 4,786,336 to Schoener et al. describes a low temperature (40°C) process using a composition based on fluoro-zirconates or fluoro-tungstates in combination with silicate. However, this process does not produce satisfactory results on anodized aluminum alloys with high silicon content.

25 There are many industrial applications in which aluminum alloys have higher than 1% silicon where corrosion resistance is critical. Brake calipers are an excellent example of an aluminum alloy component that may comprise a high percentage of silicon, where a sufficiently sealed surface will be paramount to the corrosion resistance of the final product. Accordingly, there is a need for a nickel-free, low temperature sealing process suitable for all anodized
30 aluminum alloys including high silicon alloys.

SUMMARY OF THE INVENTION

The current invention provides a two-step process wherein the composition of the first step comprises lithium ions and fluoride ions and the composition of the second step comprises tungsten, molybdenum, titanium, zirconium, or vanadium ions. This process allows for successful sealing of anodized aluminum alloys, including alloys with high silicon content. The sealing of the anodized aluminum alloys is achieved at a low temperature, reduced immersion time and in the absence of nickel in the sealing composition. Surfaces treated with the inventive process have excellent corrosion resistance and performance equivalent to traditional nickel based cold-sealing processes in standardized testing.

The current invention is summarized as a method for sealing an anodized aluminum or anodized aluminum alloy surface comprising:

- (i) contacting the anodized surface with a sealing composition comprising a source of lithium ions, a source of fluoride ions, and a complexing agent, followed by;
 - (ii) contacting the anodized surface with a passivation composition wherein the passivation composition comprises a source of metal ions and a complexing agent;
- wherein the surface of the anodized aluminum or anodized aluminum alloy becomes corrosion resistant.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

According to this invention, a method is provided for the low temperature sealing of the surface of anodized aluminum and anodized aluminum alloys, including those with high-silicon content. The method involves two steps that result in excellent corrosion resistance of anodized aluminum components that do not comprise nickel and can be carried out at low temperatures. The first step seals the anodized surface and the second step passivates the surface to impart excellent corrosion resistance to the surface.

The inventive process is more environmentally friendly and energy efficient in comparison to cold-sealing nickel and hot water sealing processes. The process according to the invention can be used for sealing the surface of a wide variety of anodized aluminum and anodized aluminum alloys, including those with silicon content of 1% or higher. The process
5 can be used for both colored and uncolored anodized surfaces of aluminum and aluminum alloys. The anodized surfaces of aluminum and aluminum alloys are colored by traditional processes such as integral coloring, absorptive coloring, reactive coloring, electrochemical coloring, or interference coloring. The current invention additionally reduces the bleeding of such colors that occurs with high temperature processes.

10

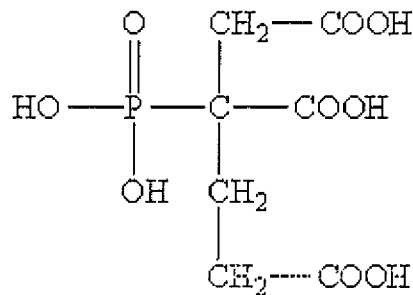
The anodized aluminum component to be sealed is immersed in a sealing composition containing a soluble lithium salt sufficient to provide a lithium ion concentration of between 100 and 2000 ppm, preferably between 300 and 800 ppm. The lithium ions are preferably provided from lithium-acetate or lithium-fluoride, but any soluble salt of lithium can be used. The sealing
15 composition must also contain fluoride ions in a concentration of between 100 and 2000 ppm of fluoride, preferably between 150 and 800 ppm.

In a preferred embodiment the lithium ions are supplied from lithium acetate (anhydrous), wherein the lithium acetate is present in the sealing composition in a concentration
20 of between 3000 to 8000 ppm and the fluoride ions are supplied from potassium- fluoride (anhydrous), wherein the potassium- fluoride is present in the composition in a concentration of between 450 to 2400 ppm.

The sealing composition will also preferably contain a complexing agent. Suitable
25 complexing agents include phosphines, phosphonates and polymers of acrylic acids. The complexing agent may be present in the sealing composition in a concentration of between 10 to 10000 ppm, preferably between 50 to 500 ppm. The complexing agent in the sealing solution is preferably selected from the group comprising phosphino-carboxylic acid polymers, phosphono-carboxylic acid polymers and mixtures thereof. A particularly preferred complexing agent is 2-
30 phosphonobutane-1,2,4-tricarboxylic acid (Structure 1). Other suitable complexing agents include polymers of acrylic acid which may be used at similar concentrations to the phosphines

and phosphonates. Acrylic acid polymers with a molecular weight between 1,000 and 10,000 are particularly useful in the current invention. A homopolymer of acrylic acid with a molecular weight around 4500 is most preferred.

5 Structure 1.



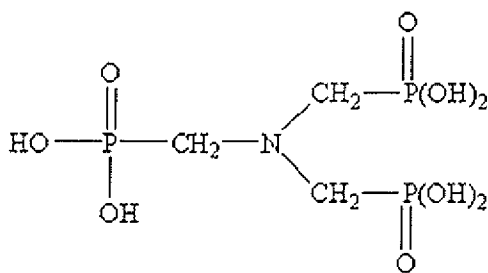
The operating temperature of the sealing composition is between 20°C and 60°C, preferably between 35 °C and 40°C. The pH of the sealing composition is between 5 and 8, preferably between 6 and 7. The immersion time in the sealing composition is between 0.75 and 1.25 minutes per micron of anodized coating, and most preferably about 1 minute per micron. After the sealing step, the components are rinsed and transferred to a passivating step.

Following the sealing treatment step as outlined above, the aluminum components are transferred to a second composition for passivation. The passivation composition comprises metal salts which provide metal ions selected from the group comprising tungsten, titanium, zirconium, and mixtures thereof. Preferred examples of the metal salts are ammonium metatungstate, ammonium molybdate, ammonium tungstate, ammonium vanadate, zirconium acetate, titanium oxalate and mixtures thereof. The most preferred metal salt is ammonium tungstate. The metal salts are present in the passivation composition in a concentration of between 200 and 8000 ppm or more preferably between 1000 and 4000 ppm. The metal ions are preferably present in the passivation composition at a concentration between 100 and 3000 ppm.

The passivation composition preferably contains a complexing agent. Suitable complexing agents include phosphines and phosphonates. The phosphine and phosphonate

complexing agent(s) may be present in the passivation composition in a concentration of between 10 and 10000 ppm, preferably at a concentration of between 50 and 500 ppm. The complexing agent in the passivation composition is preferably selected from the group comprising phosphino-carboxylic acid polymers, phosphono-carboxylic acid polymers and mixtures thereof. A particularly preferred phosphonate complexing agent is nitrilotrimethylene phosphonic acid (Structure 2). Other suitable complexing agents include polymers of acrylic acid which may be used at similar concentrations to the phosphine and phosphonate complexing agents. Acrylic acid polymers with a molecular weight between 1,000 and 10,000 are particularly useful in the current invention. A homopolymer of acrylic acid with a molecular weight around 4500 is most preferred.

Structure 2.



The operating temperature of the passivation composition is between 40 °C and 80°C, preferably at a temperature of between 55 °C and 65°C. The pH of the passivation composition should be between 4 and 8, preferably between 5.5 and 7.0. The immersion time in the passivation composition is between 5 and 35 minutes, preferably from 10 to 25 minutes. Following the passivation step, the components are rinsed and dried.

20

The invention is illustrated by the following non-limiting examples:

Example 1.

Four Q- panels of aluminum alloy 6060 (maximum 0.3-0.6% silicon) were anodized with a thickness of 20 microns of oxide. Two of the Q-panels were dipped into black organic color

25

for 10 minutes (8 g/l of Sanodal Black 2MLW) at 50°C and the other two panels were left in a natural condition.

5 One black anodized panel and one natural panel were dipped in a conventional nickel fluoride based sealant for 10 minutes at 28 °C.

Nickel ion concentration: 1.2 - 2 g/l

Fluoride ion concentration: 500 ppm

10 One black anodized panel and one natural panel were dipped in a sealing composition, as described in the sealing step of the current invention, comprising:

Lithium acetate: 5000 ppm

Fluoride ions: 400 – 800 ppm

15 Complexing agent (Structure 1): 250 ppm

The sealing composition has a pH between 6.0 and 7.0 and the panels were immersed for 20 minutes at 35°C.

20 Then panels were then immersed in a passivation solution of the current invention, comprising:

Ammonium metatungstate: 2000 ppm

Complexing agent (Structure 2): 250 ppm

25

The passivation composition has a pH between 5.5 and 7.0 and the panels were immersed for 20 minutes at 60°C.

30 Following the passivation step, the visual aesthetics of the black panels were compared. It was found that the panel treated with the two-step process of the invention gave visually identical results to that obtained from the conventional nickel containing sealing process.

The natural panels were analyzed using a weight loss test after dipping in chromic/sulfuric acid as described in test UNI EN 12373-7. The weight loss from the panel processed using the inventive process was similar to that obtained from the conventional nickel sealing process.

The natural panels were additionally tested using an acetic acid salt spray test according to UNI EN ISO 9227. Again, the results obtained from the inventive process were similar to that obtained from the conventional nickel sealing process. The panels were also tested by dipping them in 50% nitric acid for 24 hours at 20°C. Again, the results using the inventive process were similar to that of the conventional nickel sealing process.

Example 2.

Aluminum alloy components comprising 5% silicon were anodized with a thickness of 20 microns of oxide. The components were then treated and tested as described in example 1. In all cases, similar results were obtained with the inventive process compared to the conventional nickel sealing process.

Example 3.

Aluminum alloy components comprising 7% silicon were anodized with a thickness of 20 microns of oxide. The components were then treated and tested as described above, in example 1. Similar results were obtained with the process of the invention and the conventional nickel containing sealing process.

The invention is generally disclosed herein using affirmative language to describe the numerous embodiments. The invention also specifically includes embodiments in which particular subject matter is excluded, in full or in part, such as substances or materials, method steps and conditions, protocols, procedures, assays or analysis. Thus, even though the invention is generally not expressed herein in terms of what the invention does not include, aspects that are not expressly included in the invention are nevertheless disclosed herein.

A number of embodiments of the invention have been described. Nevertheless, it will be understood that various modifications may be made without departing from the spirit and scope of the invention.

5

10

15

20

25

30

35

IN THE CLAIMS

1. A method for sealing an anodized aluminum or anodized aluminum alloy surface
5 comprising:
- (i) contacting the anodized surface with a sealing composition comprising a source of lithium ions, a source of fluoride ions, and a complexing agent, followed by;
 - (ii) contacting the anodized surface with a passivation composition wherein the
10 passivation composition comprises a source of metal ions and a complexing agent;
- wherein the surface of the anodized aluminum or anodized aluminum alloy becomes corrosion resistant.
- 15 2. The method according to claim 1, wherein the complexing agent in the sealing composition is selected from the group consisting of phosphines, phosphonates, acrylic acid polymers, and mixtures thereof.
3. The method according to claim 2, wherein the complexing agent is in the sealing
20 composition in a concentration from 50 ppm to 500 ppm.
4. The method according to claim 1, wherein the complexing agent in the passivation composition is selected from the group consisting of phosphines, phosphonates, acrylic acid polymers, and mixtures thereof.
- 25 5. The method according to claim 4, wherein the complexing agent is in the passivation composition in a concentration from 50 ppm to 500 ppm.
6. The method according to claim 2, wherein the complexing agent in the sealing
30 composition is selected from the group comprising phosphino-carboxylic acid polymers, phosphono-carboxylic acid polymers and mixtures thereof.

7. The method according to claim 6, wherein the complexing agent is 2-phosphonobutane-1,2,4-tricarboxylic acid.
8. The method according to claim 4, wherein the complexing agent in the passivation composition is selected from the group comprising phosphino-carboxylic acid polymers, phosphono-carboxylic acid polymers and mixtures thereof.
9. The method according to claim 8, wherein the complexing agent in the passivation composition is nitrilotrimethylene phosphonic acid.
10. The method according to claim 1, wherein the aluminum alloy comprises at least 1% silicon.
11. The method according to claim 10, wherein the aluminum alloy comprises at least 5% silicon.
12. The method according to claim 11, wherein the aluminum alloy comprises at least 7% silicon.
13. The method according to claim 1, wherein the metal ions in the passivation composition are selected from the group consisting of tungsten, titanium, molybdenum, vanadium, zirconium, and mixtures thereof.
14. The method according to claim 13, wherein the metal ions are in the passivation composition at a concentration of between 100 ppm and 3000 ppm
15. The method according to claim 13, wherein the metal ions in the passivation composition are tungsten.
16. The method according to claim 13, wherein the metal ions are provided by a metal salt selected from the group consisting of ammonium metatungstate, ammonium molybdate,

ammonium tungstate, ammonium vanadate, zirconium acetate, titanium oxalate and mixtures thereof.

- 5
17. The method according to claim 16, wherein the metal salt providing the metal ions is ammonium metatungstate.
18. The method according to claim 1, wherein the lithium ions are in the sealing composition at a concentration between 300 ppm and 800 ppm.
- 10 19. The method according to claim 1, wherein the fluoride ions are in the sealing composition at a concentration between 150 ppm and 800 ppm.
20. The method according to claim 1, wherein the operating temperature of the sealing composition is between 20°C and 60°C.
- 15 21. The method according to claim 1, wherein the operating temperature of the passivation composition is between 40°C and 80°C.
22. The method according to claim 1, wherein the immersion time in the sealing composition is between 0.75 min and 1.25 min per micron of anodized coating on the aluminum alloy surface.
- 20 23. The method according to claim 1, wherein the pH of the passivation composition is between 5.5 and 7.0
- 25
- 30

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/67493

A. CLASSIFICATION OF SUBJECT MATTER
 IPC(8) - C23C 22/40, C23C 22/42, C23C 22/44, C25D 11/24 (2018.01)
 CPC - C23C 22/40, C23C 22/42, C23C 22/44, C25D 11/246

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
 See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 See Search History Document

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X -- Y	US 6,447,665 B1 (JOHNSON et al) 10 September 2002 (10.09.2002), col 2 ln 21-27, col 2 ln 39-67, col 3 ln 31-41, col 3 ln 53-60, col 5 ln 3-9, col 5 ln 31-64, col 6 ln 21-28, col 6 ln 50-52, col 9 ln 32-60, col 10 ln 45, col 11 ln 8-14.	1-3, 6, 18-21, 23 ----- 7, 10-12
X -- Y	US 5,891,269 A (KOERNER et al) 06 April 1999 (06.04.1999), col 2 ln 65-67, col 3 ln 1-10, col 4 ln 17-39, col 4 ln 43-45, col 5 ln 8-19, col 6 ln 6-8, col 6 ln 57, col 7 ln 1-33.	1, 4-5, 8, 13-17, 22 ----- 9
Y	US 3,900,370 A (GERMSCHEID et al) 19 August 1975 (19.08.1975), col 2 ln 33-42, col 5 ln 56-68, col 6 ln 1-20.	7
Y	US 5,935,656 A (KOERNER et al) 10 August 1999 (10.08.1999), col 3 ln 24-35, col 4 ln 4-25.	9
Y	US 5,775,892 A (MIYASAKA et al) 07 July 1998 (07.07.1998), col 2 ln 19-35, col 3 ln 49-67, col 4 ln 1-12, col 7 ln 62-67, col 8 in entirety, col 9 ln 1-32.	10-12
A	US 3,175,881 A (CHIOLA et al), 10 July 1962 (10.07.1962), col 1 ln 15-55.	17
A	US 4,220,485 A (HOWELL et al) 02 September 1980 (02.09.1980), entire document.	1-23
A	US 6,193,815 B1 (WADA et al) 27 February 2001 (27.02.2001), entire document.	1-23
A	US 4,801,360 A (TANNER) 31 January 1989 (31.01.1989), entire document.	10-12

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

14 February 2018

Date of mailing of the international search report

08 MAR 2018

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents
 P.O. Box 1450, Alexandria, Virginia 22313-1450
 Facsimile No. 571-273-8300

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300
 PCT OSP: 571-272-7774