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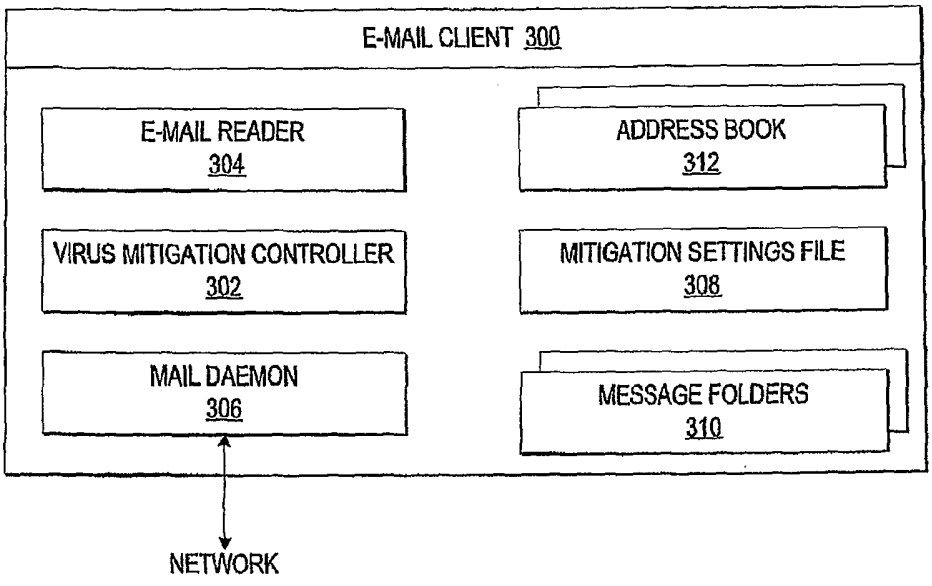

(57) Abstract: A method, system, and program for mitigating self-propagating e-mail viruses are provided. A request to send an electronic mail message with a file attachment to intended recipients is received. A characteristic of the intended recipients are compared with a maximum recipient limit for the file attachment. If the characteristic of the intended recipients exceeds the maximum recipient limit for the file attachment, then a sender authorization is requested prior to sending the electronic mail message. The sender authorization is required such that if a virus is attempting to self-propagate by sending the electronic mail message, the attempt is mitigated.

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MITIGATING SELF-PROPAGATING E-MAIL VIRUSES

BACKGROUND OF THE INVENTION

1. Technical Field:

[0001] The present invention relates in general to improved electronic mail systems and in particular to mitigating self-propagating electronic mail viruses. Still more particularly, the present invention relates to mitigating self-propagating electronic mail viruses by requiring a sender to provide additional authorization for sending an electronic mail containing a file attachment if the number of intended recipients exceeds a maximum limit of recipients for an user. These viruses are designed to self-propagate by creating an e-mail message from the infected party that is then sent to each e-mail address within the infected party's address book. Within the network implemented by a particular business, it is common that the e-mail address book for each employee contain e-mail addresses for all other employees. A self-propagating e-mail virus can spread rapidly and broadly if it reaches one employee within such a system. Another capability of a self-propagating e-mail virus is to attach or embed a file from the electronic mail with file attachment.

2. Description of the Related Art:

[0002] A "computer virus" is a program designed to infiltrate computer files and other sensitive areas on a computer. Often, the purpose of a virus is to compromise the computer's security. For example, a virus may erase or damage data stored on the computer or stored on network file servers accessible to the computer. In another example, a virus may obtain and forward sensitive information without the computer user's permission.

[0003] Viruses are often spread when computer users send infected files to other computer users via electronic mail (e-mail), however viruses may also spread when infected files are copied from one computer to another via a network. Some e-mail viruses are capable of spreading from computer to computer with little or no intervention on the part of the computer infected system, destroying the security of the files stored on the system by unauthorized

distributions. Further, the e-mail virus often attaches itself to a file and infects the computer on which the file is opened.

[0004] The standard approach to protecting against computer viruses is to detect their presence on a computer or network using a virus scanner. Virus scanners provide some protection, however, most virus scanners require constant updates and virus scanners may not catch a new virus before the update is available. Thus, it is advantageous to create multiple layers of security in addition to a virus scanner that looks for known viruses.

[0005] Within the multiple layers of security, there is a need to find ways to disrupt the spread of self-propagating e-mail viruses. Since self-propagating e-mail viruses often send an infected e-mail to more than one recipient, there is a need to disrupt the propagation by detecting when more than a maximum number of recipients are selected to receive an e-mail. In particular, since such self-propagating e-mail viruses often embed themselves within an attachment or attach a file that is not intended for distribution, there is a need to specify a maximum number of recipients for an e-mail containing an attachment or a copy of a file from a sender. Therefore, it would be advantageous to provide a method, system, and program for scanning e-mails before they are sent and requiring an additional sender authorization if the e-mail with file attached is addresses to more recipients than a set limit of addresses per e-mail with file attached.

SUMMARY OF THE INVENTION

[0006] In view of the foregoing, it is therefore an object of the present invention to provide improved e-mail systems.

[0007] It is another object of the present invention to provide a method, system and program for mitigating the propagation of e-mail viruses.

[0008] It is yet another object of the present invention to provide a method, system and program for mitigating the propagation of e-mail viruses by requiring a sender to provide additional authorization for sending an e-mail containing a file attachment if the number of intended recipients exceeds a maximum limit of recipients for a file attached e-mail.

[0009] According to one aspect of the present invention, a request to send an electronic mail message with a file attachment to intended recipients is received. A characteristic of the intended recipients is compared with a maximum recipient limit for the file attachment. If the characteristic for the intended recipients exceeds the maximum recipient limit for the file attachment, then a sender authorization is requested prior to sending the electronic mail message. The sender authorization is required such that if a virus is attempting to self-propagate by sending the electronic mail message, the attempt is mitigated.

[0010] Additionally, characteristic of the intended recipients are compared with a maximum recipient limit for a single electronic mail message. Then, if the characteristic of the intended recipients exceed the maximum recipient limit for a single electronic mail message, a sender authorization is also requested prior to sending the electronic mail message.

[0011] The maximum recipient limits may be specified per file or may be specified for all files. Maximum recipient limits may be specified by a percentage of the addresses within the address book or a percentage of the addresses within a particular category of the address book. In addition, maximum recipient limits may be a fixed numerical limit. The maximum recipient limits may be based on the total number of intended recipients, a selection of the intended

recipients, or those intended recipients also included in the address book. The characteristic of the intended recipients is determined based on the type of values specified by the maximum recipient limits.

[0012] According to one aspect of the present invention, the sender authorization is a request for the sender to enter a password authorizing the electronic mail message to be sent. Alternatively, the sender authorization is a request for the sender to enter some type of manual input authorizing the electronic mail message to be sent.

[0013] According to another aspect of the present invention, if a sender does not authorize the electronic mail message to be sent, the electronic mail message is blocked. Additionally, an alert is preferably sent to the network administrator or other system monitoring when a sender blocks an electronic mail message from being sent.

[0014] All objects, features, and advantages of the present invention will become apparent in the following detailed written description.

BRIEF DESCRIPTION OF THE DRAWINGS

[0016] **Figure 1** is a block diagram depicting a computer system in which the present method, system, and program may be implemented;

[0017] **Figure 2** is a block diagram depicting a distributed network system in accordance with the method, system, and program of the present invention;

[0018] **Figure 3** is a block diagram depicting an e-mail client in accordance with the method, system, and program of the present invention;

[0019] **Figure 4** is a block diagram depicting an address book in accordance with the method, system, and program of the present invention;

[0020] **Figure 5** is a block diagram depicting mitigation settings in accordance with the method, system, and program of the present invention;

[0021] **Figure 6** is a pictorial illustration of an e-mail with a file attachment to which the present invention is applicable;

[0022] **Figure 7** is a pictorial illustration of an e-mail to which the present invention is applicable;

[0023] **Figure 8** is a pictorial illustration of an authorization window in accordance with the method, system, and program of the present invention; and

[0024] **Figure 9** is a high level logic flowchart of a process and program for mitigating e-mail virus transmissions in accordance with the method, system, and program of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

[0025] Referring now to the drawings and in particular to **Figure 1**, there is depicted one embodiment of a computer system in which the present method, system, and program may be implemented. The present invention may be executed in a variety of systems, including a variety of computing systems and electronic devices under a number of different operating systems. In general, the present invention is executed in a computer system that performs computing tasks such as manipulating data in storage that is accessible to the computer system. In addition, the computer system includes at least one output device and at least one input device.

[0026] In one embodiment, computer system **10** includes a bus **22** or other communication device for communicating information within computer system **10**, and at least one processing device such as processor **12**, coupled to bus **22** for processing information. Bus **22** preferably includes low-latency and higher latency paths that are connected by bridges and adapters and controlled within computer system **10** by multiple bus controllers. When implemented as a server system, computer system **10** typically includes multiple processors designed to improve network servicing power.

[0027] Processor **12** may be a general-purpose processor such as IBM's PowerPC™ processor that, during normal operation, processes data under the control of operating system and application software accessible from a dynamic storage device such as random access memory (RAM) **14** and a static storage device such as Read Only Memory (ROM) **16**. The operating system preferably provides a graphical user interface (GUI) to the user. In a preferred embodiment, application software contains machine executable instructions that when executed on processor **12** carry out the operations depicted in the flowchart of **Figure 9**, and others described herein. Alternatively, the steps of the present invention might be performed by specific hardware components that contain hardwired logic for performing the steps, or by any combination of programmed computer components and custom hardware components.

[0028] The present invention may be provided as a computer program product, included on a machine-readable medium having stored thereon the machine executable instructions used to program computer system **10** to perform a process according to the present invention. The term "machine-readable medium" as used herein includes any medium that participates in providing instructions to processor **12** or other components of computer system **10** for execution.

Such a medium may take many forms including, but not limited to, non-volatile media, volatile media, and transmission media. Common forms of non-volatile media include, for example, a floppy disk, a flexible disk, a hard disk, magnetic tape or any other magnetic medium, a compact disc ROM (CD-ROM) or any other optical medium, punch cards or any other physical medium with patterns of holes, a programmable ROM (PROM), an erasable PROM (EPROM), electrically EPROM (EEPROM), a flash memory, any other memory chip or cartridge, or any other medium from which computer system **10** can read and which is suitable for storing instructions. In the present embodiment, an example of a non-volatile medium is mass storage device **18** which as depicted is an internal component of computer system **10**, but will be understood to also be provided by an external device. Volatile media include dynamic memory such as RAM **14**. Transmission media include coaxial cables, copper wire or fiber optics, including the wires that comprise bus **22**. Transmission media can also take the form of acoustic or light waves, such as those generated during radio frequency or infrared data communications.

[0029] Moreover, the present invention may be downloaded as a computer program product, wherein the program instructions may be transferred from a remote computer such as a server **40** to requesting computer system **10** by way of data signals embodied in a carrier wave or other propagation medium via a network link **34** (e.g., a modem or network connection) to a communications interface **32** coupled to bus **22**. Communications interface **32** provides a two-way data communications coupling to network link **34** that may be connected, for example, to a local area network (LAN), wide area network (WAN), or as depicted herein, directly to an Internet Service Provider (ISP) **37**. In particular, network link **34** may provide wired and/or wireless network communications to one or more networks.

[0030] ISP **37** in turn provides data communication services through network **102**. Network **102** may refer to the worldwide collection of networks and gateways that use a particular protocol, such as Transmission Control Protocol (TCP) and Internet Protocol (IP), to communicate with one another. ISP **37** and network **102** both use electrical, electromagnetic, or optical signals that carry digital data streams. The signals through the various networks and the signals on network link **34** and through communication interface **32**, which carry the digital data to and from computer system **10**, are exemplary forms of carrier waves transporting the information.

[0031] When implemented as a server system, computer system **10** typically includes

multiple communication interfaces accessible via multiple peripheral component interconnect (PCI) bus bridges connected to an input/output controller. In this manner, computer system **10** allows connections to multiple network computers.

[0032] Further, multiple peripheral components may be added to computer system **10**, connected to multiple controllers, adapters, and expansion slots coupled to one of the multiple levels of bus **22**. For example, an audio input/output **28** is connectively enabled on bus **22** for controlling audio input through a microphone or other sound or lip motion capturing device and for controlling audio output through a speaker or other audio projection device. A display **24** is also connectively enabled on bus **22** for providing visual, tactile or other graphical representation formats. A keyboard **26** and cursor control device **30**, such as a mouse, trackball, or cursor direction keys, are connectively enabled on bus **22** as interfaces for user inputs to computer system **10**. In alternate embodiments of the present invention, additional input and output peripheral components may be added.

[0033] Those of ordinary skill in the art will appreciate that the hardware depicted in **Figure 1** may vary depending on the implementation. Furthermore, those of ordinary skill in the art will appreciate that the depicted example is not meant to imply architectural limitations with respect to the present invention. For example, computer system **10** may take the form of a personal digital assistant device (PDA), a web appliance, a kiosk, or a telephone.

[0034] With reference now to **Figure 2**, a block diagram depicts a distributed network system in accordance with the method, system, and program of the present invention. Distributed data processing system **100** is a network of computers in which the present invention may be implemented. Distributed data processing system **100** contains a network **102**, which is the medium used to provide communications links between various devices and computers connected together within distributed data processing system **100**. Network **102** may include permanent connections such as wire or fiber optics cables, temporary connections made through telephone connections and wireless transmission connections.

[0035] In the depicted example, servers **104** and **105** are connected to network **102**. In addition, clients **108** and **110** are connected to network **102** and provide a user interface through input/output (I/O) devices **109** and **111**. Clients **108** and **110** may be, for example, personal computers or network computers. For purposes of this application, a network computer is any

computer coupled to a network, which receives a program or other application from another computer coupled to the network.

[0036] The client/server environment of distributed data processing system **100** is implemented within many network architectures. For example, the architecture of the World Wide Web (the Web) follows a traditional client/server model environment. The terms "client" and "server" are used to refer to a computer's general role as a requester of data (the client) or provider of data (the server). In the Web environment, web browsers such as Netscape Navigator™ typically reside on client systems **108** and **110** and render Web documents (pages) served by a web server, such as servers **104** and **105**. Additionally, each of client systems **108** and **110** and servers **104** and **105** may function as both a "client" and a "server" and may be implemented utilizing a computer system such as computer system **10** of **Figure 1**. Further, while the present invention is described with emphasis upon servers **104** and **105** enabling downloads or communications, the present invention may also be performed by client systems **108** and **110** engaged in peer-to-peer network communications and downloading via network **102**.

[0037] The Web may refer to the total set of interlinked hypertext documents residing on servers all around the world. Network **102**, such as the Internet, provides an infrastructure for transmitting these hypertext documents between client systems **108** and **110** and servers **104** and **105**. Documents (pages) on the Web may be written in multiple languages, such as Hypertext Markup Language (HTML) or Extensible Markup Language (XML), and identified by Uniform Resource Locators (URLs) that specify the particular web page server from among servers, such as server **104** and pathname by which a file can be accessed, and then transmitted from the particular web page server to an end user utilizing a protocol such as Hypertext Transfer Protocol (HTTP) or file-transfer protocol (FTP). Web pages may further include text, graphic images, movie files, and sounds, as well as Java applets and other small embedded software programs that execute when the user activates them by clicking on a link. In particular, multiple web pages may be linked together to form a web site. The web site is typically accessed through an organizational front web page that provides a directory to searching the rest of the web pages connected to the web site. While network **102** is described with reference to the Internet, network **102** may also operate within an intranet or other available networks.

[0038] Additionally, servers **104** and **105** may serve as communication hosts for transferring communications between clients **108** and **110**. For example, servers **104** and

105 may serve as communication hosts for e-mail communication between clients **108** and **110**. For example, client **108** may send a message intended for a recipient using client **110**. Server **104** functions as an e-mail server for client **110** and stores the e-mail until client **110** requests the e-mail originating from client **108**. For purposes of illustration, the examples following are implemented using e-mail communications, however, other types communications may be used to implement the present invention including, but not limited to, instant messaging, text messaging, chatting, video conferencing and any other form of communication made available via network **102**.

[0039] With reference now to **Figure 3**, there is depicted a block diagram of an e-mail client in accordance with the method, system, and program of the present invention. As illustrated, an e-mail client **300** includes an e-mail reader **304** and mail daemon **306**.

[0040] E-mail reader **304** also allows a user to compose, file, search and read e-mail. Mail daemon **306** receives e-mail intended for the user of e-mail client **300** and stores the e-mail in message folders **310**. A virus attached to a received e-mail stored in message folders **310** may attempt to compose an e-mail through e-mail reader **304**, while posing as the user. The virus selects addresses for intended recipients of the virus-composed e-mail from an address book **312**. Address book **312** is typically a database for storing e-mail addresses and contact information.

[0041] E-mail reader **304** gives mail daemon **306** messages to send to specified intended recipients. Mail daemon **306** uses simple mail transfer protocol (SMTP) running over TCP via the network to transmit the message to a mail daemon running on another machine, typically the mail server, that puts the message into a mailbox where it is retrievable by the intended recipient.

[0042] It is an advantageous to scan an e-mail before the e-mail is sent by mail daemon **306** to stop the transmission of an e-mail containing a virus. In order to reduce transmission of viruses, it is advantageous to apply multiple layers of security. One of these layers of security is implemented through virus mitigation controller **302** included in e-mail client **300**.

[0043] Virus mitigation controller **302** scans each e-mail to be sent before the e-mail is given to mail daemon **306**. Virus mitigation controller **302** first determines the number of intended recipient addresses in the e-mail and other characteristics of the intended recipients.

Next, virus mitigation controller **302** determines whether there is a file attachment or a file embedded in the e-mail. Thereafter, virus mitigation controller **302** will compare the number of

intended recipient addresses and other characteristics with multiple mitigation settings stored in memory as mitigation settings file **308**. If, for example, the number of intended recipient addresses in the e-mail exceeds the mitigation settings for the type of e-mail, then the e-mail is not passed to mail daemon **306** unless the user authorizes the e-mail to be sent. A blocked e-mail is stored in message folder **310** and an alert is initiated by virus mitigation controller **302** to a network administrator or other service that monitors potential viruses.

[0044] In one embodiment of the present invention, the components described within e-mail client **300** are accessible within a single computer system. However, in alternate embodiments of the present invention, the components described within e-mail client **300** are accessible via multiple computer systems across a distributed network system.

[0045] Referring now to **Figure 4**, there is illustrated a block diagram of the elements of an address book in accordance with the method, system, and program of the present invention. As depicted, address book **312** of e-mail client **300** in **Figure 3** provides a database of stored e-mail addresses and other addressing information. For purposes of illustration, address book **312** sorts e-mail address in three groups: business addresses **402**, friend addresses **404** and family addresses **406**. It will be understood that any type of database structure may be utilized by address book **312** to sort and store e-mail addresses. For purposes of example, a selection of the e-mail addresses stored in business addresses **402** are depicted at reference numeral **408**.

[0046] With reference now to **Figure 5**, there is depicted a block diagram of the mitigation settings file in accordance with the method, system, and program of the present invention. As illustrated, mitigation settings file **308** of e-mail client **300** in **Figure 3** provides a database of stored mitigating settings. In one embodiment, mitigation settings file **308** includes two types of settings: recipients per file settings **504** and recipients per message settings **506**. In alternate embodiments, other types of settings may be implemented. Further, in addition to user specified settings, default settings may be included in mitigation settings file **308**.

[0047] For purposes of example, a selection of user designated settings stored as recipients per file settings **504** is depicted at reference numeral **508**. Recipients per file settings **504** includes settings associated with an e-mail to which a file is attached or within which a file is embedded. In the selection depicted at reference numeral **508**, three examples of settings are

illustrated. The first two examples are maximum limits set based on percentages. First, a maximum of 40% of the addresses in the address book is set. Second, a maximum of 33% of the business addresses in the address book is set. Additionally, a limit is set by the type of file. For example, for .doc files, a maximum of four addresses is set. In alternate embodiments of the present invention, other values may be set as maximum limits for all e-mails containing files.

[0048] In addition, for purposes of example, a selection of user designated settings stored as recipients per message settings is depicted at reference numeral **510**. Recipients per message settings **506** includes settings associated with all e-mails. In the selection depicted at reference numeral **510**, three examples of settings are illustrated. First, a maximum limit is set based on a percentage of the addresses within the address book. Second, a maximum number of recipients that are carbon copy (cc) recipients is set. Third, a maximum number of total recipients is set. In alternate embodiments of the present invention, other values may be set as maximum limits for all e-mails.

[0049] The values set in mitigation settings file **308** may be set by the user or set remotely by a network administrator or virus detection service. Additionally, virus mitigation controller **302** may monitor the typical use of a particular user and set mitigation settings file **308** according to that use.

[0050] Referring now to **Figure 6**, there is depicted a pictorial illustration of an e-mail with a file attachment to which the present invention is applicable. As illustrated in the example, an e-mail with attachment **600** is composed by Tom Jones to be sent to the e-mail addresses indicated at reference numeral **602**. In the example, when comparing the e-mail addresses indicated at reference numeral **602** with the business e-mail addresses indicated by reference numeral **408** in **Figure 4**, it is apparent that every other e-mail address is included as intended addressees of e-mail with attachment **600**. E-mail with attachment **600** depicts an example of a behavior a virus may exhibit by selecting some, but not all of the addresses in an address book. Additionally, e-mail with attachment **600** illustrates an example of a behavior a virus may exhibit by attaching a file as indicated at reference numeral **604**. Although not depicted, as an alternative to attaching the file, a virus may embed the file within e-mail with attachment **600**.

[0051] In response to a user request to send e-mail with attachment **600**, the virus mitigation controller preferably scans e-mail with attachment **600** to determine if any of the

maximum addressing limits are exceeded. First, the virus mitigation controller counts the number of intended e-mail addresses and other characteristics in the composed e-mail with attachment **600**. Additionally, the virus mitigation controller may compare the intended e-mail addresses with the business addresses in the address book to determine the number of business addresses included in e-mail **600**. Next, the virus mitigation controller compares the number of intended e-mail addresses and other characteristics of the intended e-mail addresses with the maximum addressing settings. According to the limits set as indicated at reference numeral **508** of **Figure 5**, the number of intended e-mail addresses exceeds the maximum number of addresses (2) for a .doc file which is attached, as indicated at reference numeral **604**. Additionally, according to the limits set as indicated at reference numeral **508** of **Figure 5**, the number of intended e-mail addresses exceeds the maximum percentage (33%) of the business addresses. Although in the present example the number of intended addresses in e-mail with attachment **600** does not exceed the limits set per message as indicated at reference numeral **510** of **Figure 5**, in alternate embodiments, e-mail messages with file attachments may exceed both file based and per message based of limits.

[0052] With reference now to **Figure 7**, there is depicted a pictorial illustration of an e-mail to which the present invention is applicable. As depicted in the example, an e-mail **700** is composed by Tom Jones to be sent to the e-mail addresses indicated at reference numerals **702** and **704**. In the example, when comparing the e-mail addresses indicated at reference numerals **702** and **704** with the business e-mail addresses indicated at reference numeral **408** of **Figure 4**, it is apparent that all the business e-mail addresses are included as intended addresses of e-mail **700**. E-mail **700** illustrates an example of a behavior a virus may exhibit by sending the e-mail primarily to the sender and then carbon copying the rest of the addresses in the address book. Here, e-mail **700** is sent primarily to the sender, Tom Jones, as indicated at reference numeral **702** and carbon copied to all the business e-mail address.

[0053] In response to a user request to send e-mail **700**, the virus mitigation controller preferably scans e-mail **700** to determine if any of the maximum addressing limits are exceeded. First, the virus mitigation controller counts the number of intended e-mail addresses in the composed e-mail **700**. In the example, the characteristics of the intended e-mail addresses include a total count of each of the intended e-mail addresses and a total count of the number of carbon

copied e-mail addresses. Next, the virus mitigation controller compares the number of intended e-mail addresses with the maximum address settings. According to the limits set as indicated at reference numeral **510** of **Figure 5**, the number of cc recipients within intended e-mail addresses exceeds the maximum number of cc recipients (5) indicated at reference numeral **604**.

[0054] Referring now to **Figure 8**, there is depicted a pictorial illustration of an authorization window in accordance with the method, system, and program of the present invention. A sender authorization request window **800** or other form of sender authorization request is initiated when the virus mitigation controller determines that the maximum addressing limits are exceeded for an e-mail before it is sent. For example, in response to a request to send the e-mails depicted in **Figures 6** and **7**, an authorization request will be initiated.

[0055] The additional step of requesting a sender to provide authorization through an additional manual or verbal input before sending the e-mail will aid in mitigating the propagation of e-mail viruses. As an example of such a request, a sender is prompted with a message indicating that the maximum limit is exceeded as indicated at reference numeral **802**. The sender is then prompted to enter a password at entry block **804** to authorize the e-mail. In an alternate embodiment, the sender may only be required to select a button or provide other entry. Further, in an alternate embodiment, the message output to the sender may indicate the specific maximum limit exceeded. Furthermore, in an alternate embodiment a separate request may be made for each limit exceeded.

[0056] With reference now to **Figure 9**, there is illustrated a high level logic flowchart of a process and program for mitigating e-mail virus transmissions in accordance with the method, system, and program of the present invention. As depicted, the process starts at block **900** and thereafter proceeds to block **902**. Block **902** illustrates a determination as to whether a request to send an e-mail is received. The process iterates at block **902** until a request to send an e-mail is received, and then the process passes to block **904**. Block **904** depicts calculating the number of intended recipients. In particular, multiple characteristics of the intended recipients

may be calculated, including but not limited to, all intended recipients, all primary intended recipients, all carbon copied intended recipients, all recipient addresses to a particular mail provider, and other categories necessary to calculate for determining whether a maximum limit is

exceeded. In addition, if a maximum limit is based on the number of intended recipients whose addresses are also in the address book, then a comparison of the intended recipients and address book will also be required to determine the characteristics of the intended recipients.

[0057] Next, block **906** depicts a determination as to whether a file is attached or embedded in the e-mail. If a file is attached or embedded in the e-mail, then the process passes to block **907.** In particular, if a file is embedded in an e-mail or copied into an e-mail a flag is preferably set which is later detected at the step in the process depicted by block **906.** Block **907** illustrates comparing the number of intended recipients with the maximum limits for the file, and the process passes to block **908.**

[0058] Returning to block **906,** if a file is not attached or embedded in the e-mail, then the process passes to block **908.** Block **908** illustrates comparing the number of intended recipients with the maximum limits for a single e-mail. Thereafter, block **910** depicts a determination as to whether the number of intended recipients exceeds the maximum limits. If the number of intended recipients does not exceed the maximum limits, then the e-mail as transferred to the mail daemon as illustrated at block **912,** and the process ends. However, if the number of intended recipients exceeds the maximum parameters, then the process passes to block **914.**

[0059] Block **914** depicts requesting a sender authorization to send the e-mail. This authorization may require the sender to enter a password or to just enter authorize the sending by a manual input such as a mouse click or a keystroke. Preferably, an input is required that is not easily fabricated by a virus. Next, block **916** illustrates a determination whether the sender authorized sending the e-mail. If the sender authorizes sending the e-mail, then the process passes to block **912.** If the sender does not authorize sending the e-mail, then the process passes to block **918.** Block **918** depicts storing the e-mail. Thereafter, block **920** illustrates alerting the network administrator that an e-mail has been blocked, and the process ends.

[0060] It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will

appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular types of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type

media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog communications links, wired or wireless communications links using transmission forms, such as, for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular data processing system.

[0061] While the invention has been particularly shown and described with reference to a preferred embodiment, it will be understood by those skilled in the art that various changes in form and detail may be made therein without departing from the scope of the invention.

CLAIMS

1. A method for mitigating self-propagating electronic mail viruses, comprising:

receiving a request to send an electronic mail message with a file attachment to at least one intended recipient;

comparing a characteristic of said at least one intended recipient with a maximum recipient limit for said file attachment; and

responsive to said characteristic of said at least one intended recipient exceeding said maximum recipient limit for said file attachment, requesting a sender authorization prior to sending said electronic mail message, such that if a virus is attempting to self-propagate by sending said electronic mail message said attempt is mitigated.

2. The method according to claim 1 for mitigating self-propagating electronic mail viruses, further comprising:

comparing said characteristic of said at least one intended recipient with a maximum recipient limit for said electronic mail message; and

responsive to said characteristic of said at least one intended recipient exceeding said maximum number of recipients for said electronic mail message, requesting a sender authorization prior to sending said electronic mail message.

3. The method according to claim 1 for mitigating self-propagating electronic mail viruses, wherein receiving a request to send an electronic mail message with a file attachment further comprises:

detecting a file embedded within said electronic mail message as a file attachment.

4. The method according to claim 1 for mitigating self-propagating electronic mail viruses, wherein comparing said characteristic of said at least one intended recipient with a maximum recipient limit further comprises:

comparing at least one address for said at least one intended recipient with an address book of recipients;

calculating a number of said at least one address of said at least one intended recipient matching addresses within said address book of recipients; and

determining whether a number of said matching addresses exceeds a maximum limit of addresses within said address book of recipients.

5. The method according to claim 1 for mitigating self-propagating electronic mail viruses, wherein comparing said characteristic of said at least one intended recipient with a maximum recipient limit further comprises:

comparing a number of said at least one intended recipient with a maximum recipient limit for a type of said file attachment.

6. The method according to claim 1 for mitigating self-propagating electronic mail viruses, wherein requesting a sender authorization prior to sending said electronic mail message further comprises:

requesting at least one of an entry of a password as authorization and a manual sender input.

7. The method according to claim 1 for mitigating self-propagating electronic mail viruses, further comprising:

receiving said maximum recipient limit from at least one of a network administrator and a

user.

8. The method according to claim 1 for mitigating self-propagating electronic mail viruses, further comprising:

responsive to receiving a denial of said sender authorization, alerting a network administrator that said electronic mail message was blocked.

9. A system for mitigating self-propagating electronic mail viruses, comprising:

a computing system communicatively connected to a network;

said computing system further comprising:

means for receiving a request to send an electronic mail message with a file attachment to at least one intended recipient;

means for comparing a characteristic of said at least one intended recipient with a maximum recipient limit for said file attachment; and

means for requesting a sender authorization prior to sending said electronic mail message, responsive to said characteristic of said at least one intended recipient exceeding said maximum recipient limit for said file attachment.

10. The system according to claim 9 for mitigating self-propagating electronic mail viruses, said computing system further comprising:

means for comparing said characteristic of said at least one intended recipient with a maximum recipient limit for said electronic mail message; and

means for requesting a sender authorization prior to sending said electronic mail message,

responsive to said characteristic of said at least one intended recipient exceeding said maximum number of recipients for said electronic mail message.

11. The system according to claim 9 for mitigating self-propagating electronic mail viruses, wherein said means for receiving a request to send an electronic mail message with a file attachment further comprises:

means for detecting a file embedded within said electronic mail message as a file attachment.

12. The system according to claim 9 for mitigating self-propagating electronic mail viruses, wherein said means for comparing said characteristic of said at least one intended recipient with a maximum recipient limit further comprises:

means for comparing at least one address for said at least one intended recipient with an address book of recipients;

means for calculating a number of said at least one address of said at least one intended recipient matching addresses within said address book of recipients; and

means for determining whether a number of said matching addresses exceeds a maximum limit of addresses within said address book of recipients.

13. The system according to claim 9 for mitigating self-propagating electronic mail viruses, wherein said means for comparing said characteristic of said at least one intended recipient with a maximum recipient limit further comprises:

means for comparing a number of said at least one intended recipient with a maximum recipient limit for a type of said file attachment.

14. The system according to claim 9 for mitigating self-propagating electronic mail viruses,

wherein said means for requesting a sender authorization prior to sending said electronic mail message further comprises:

means for requesting at least one of an entry of a password as authorization and a manual sender input.

15. The system according to claim 9 for mitigating self-propagating electronic mail viruses, further comprising:

means for receiving said maximum recipient limit from at least one of a network administrator and a user.

16. The system according to claim 9 for mitigating self-propagating electronic mail viruses, further comprising:

means responsive to receiving a denial of said sender authorization, for alerting a network administrator that said electronic mail message was blocked.

17. A computer program product for mitigating self-propagating electronic mail viruses, comprising:

a recording medium;

means, recorded on said recording medium, for receiving a request to send an electronic mail message with a file attachment to at least one intended recipient;

means, recorded on said recording medium, for comparing a characteristic of said at least one intended recipient with a maximum recipient limit for said file attachment; and

means, recorded on said recording medium, for requesting a sender authorization prior to sending said electronic mail message, responsive to said characteristic of said at least one

intended recipient exceeding said maximum recipient limit for said file attachment.

18. The computer program product according to claim 17 for mitigating self-propagating electronic mail viruses, further comprising:

means, recorded on said recording medium, for comparing said characteristic of said at least one intended recipient with a maximum recipient limit for said electronic mail message; and

means, recorded on said recording medium, for requesting a sender authorization prior to sending said electronic mail message, responsive to said characteristic of said at least one intended recipient exceeding said maximum number of recipients for said electronic mail message.

19. The computer program product according to claim 17 for mitigating self-propagating electronic mail viruses, wherein said means for receiving a request to send an electronic mail message with a file attachment further comprises:

means, recorded on said recording medium, for detecting a file embedded within said electronic mail message as a file attachment.

20. The computer program product according to claim 17 for mitigating self-propagating electronic mail viruses, wherein said means for comparing said characteristic of said at least one intended recipient with a maximum recipient limit further comprises:

means, recorded on said recording medium, for comparing at least one address for said at least one intended recipient with an address book of recipients;

means, recorded on said recording medium, for calculating a number of said at least one address of said at least one intended recipient matching addresses within said address book of recipients; and

means, recorded on said recording medium, for determining whether a number of said matching addresses exceeds a maximum limit of addresses within said address book of recipients.

21. The computer program product according to claim 17 for mitigating self-propagating electronic mail viruses, wherein said means for comparing said at least one intended recipient with a maximum recipient limit further comprises:

means, recorded on said recording medium, for comparing said at least one intended recipient with a maximum recipient limit for a type of said file attachment.

22. The computer program product according to claim 17 for mitigating self-propagating electronic mail viruses, wherein said means for requesting a sender authorization prior to sending said electronic mail message further comprises:

means, recorded on said recording medium, for requesting at least one of an entry of a password as authorization and a manual sender input.

23. The computer program product according to claim 17 for mitigating self-propagating electronic mail viruses, further comprising:

means, recorded on said recording medium, for receiving said maximum recipient limit from at least one of a network administrator and a user.

24. The computer program product according to claim 17 for mitigating self-propagating electronic mail viruses, further comprising:

means, recorded on said recording medium, for alerting a network administrator that said electronic mail message was blocked, responsive to receiving a denial of said sender authorization.

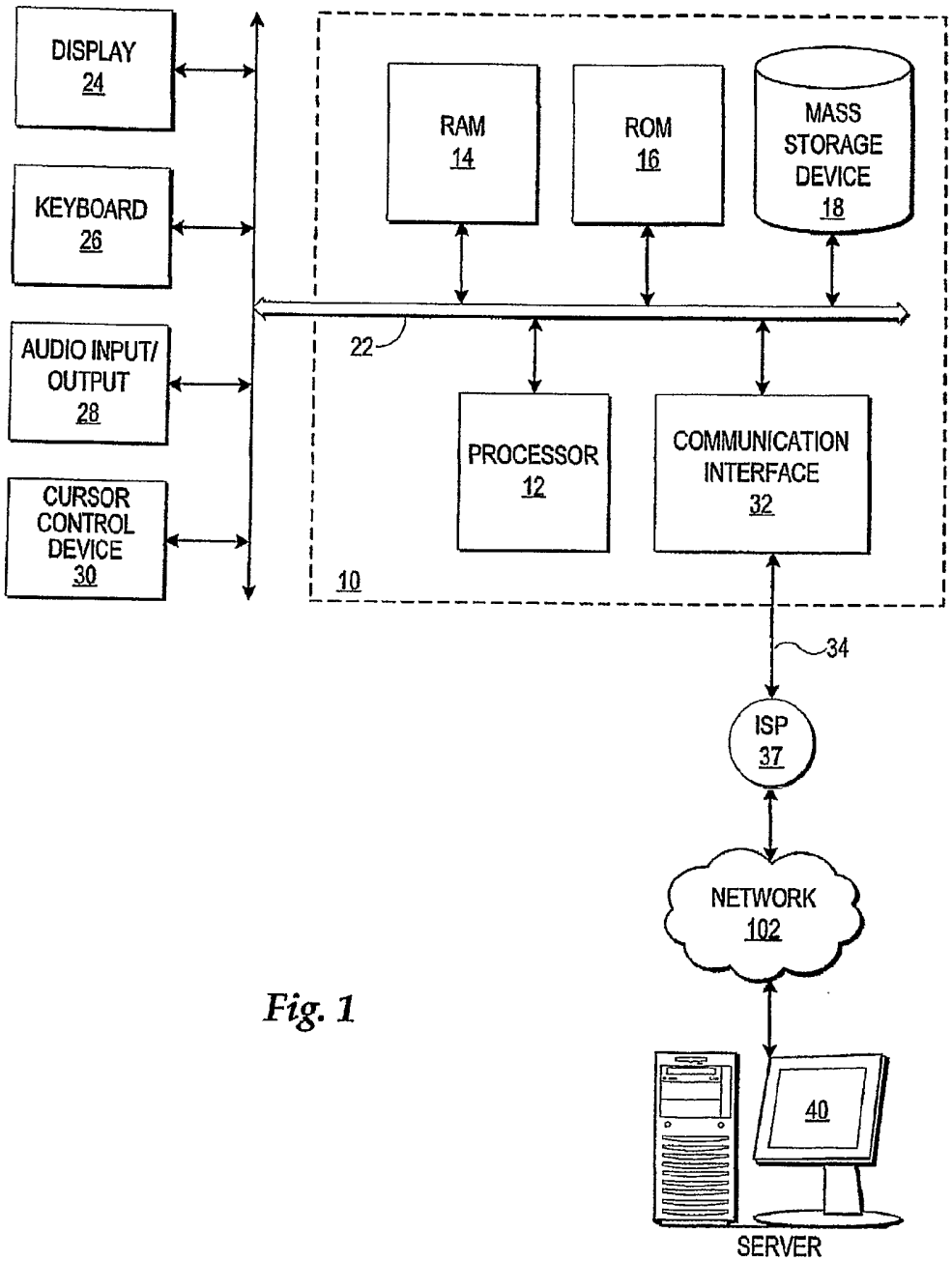
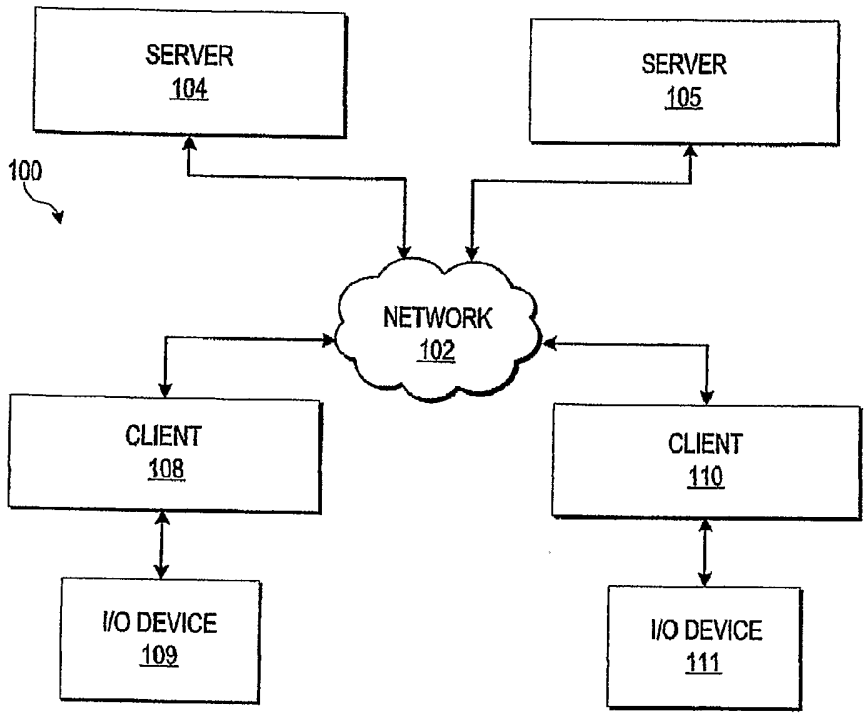

DISPLAY
24
KEYBOARD
26
AUDIO INPUT/
OUTPUT
28
CURSOR
CONTROL
DEVICE
30
RAM
14
ROM
16
MASS
STORAGE
DEVICE
18
22
PROCESSOR
12
COMMUNICATION
INTERFACE
32
10
34
ISP
37
NETWORK
102
40
SERVER

Fig. 1


SERVER
104
SERVER
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100
NETWORK
102
CLIENT
108
CLIENT
110
I/O DEVICE
109
I/O DEVICE
111

Fig. 2

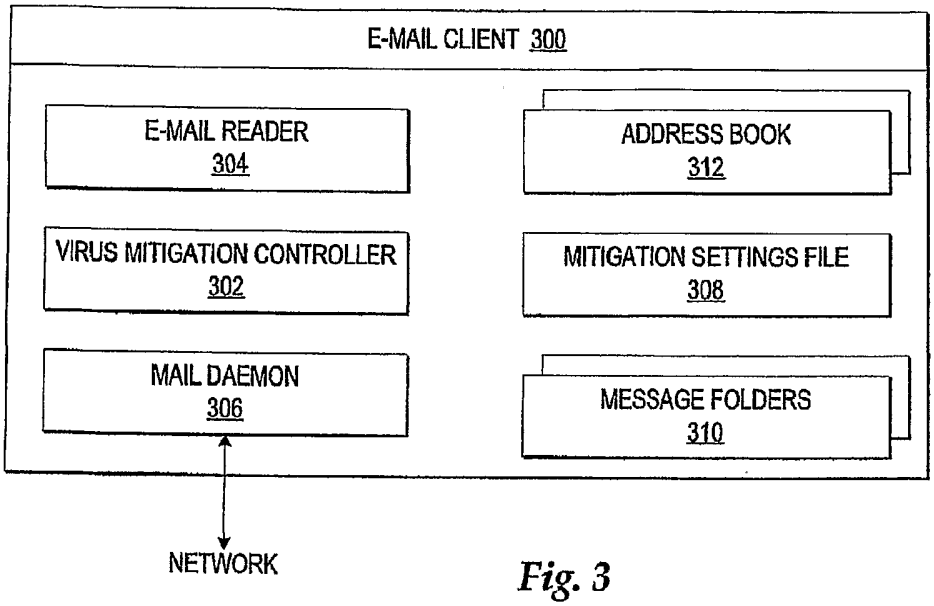

Fig. 3

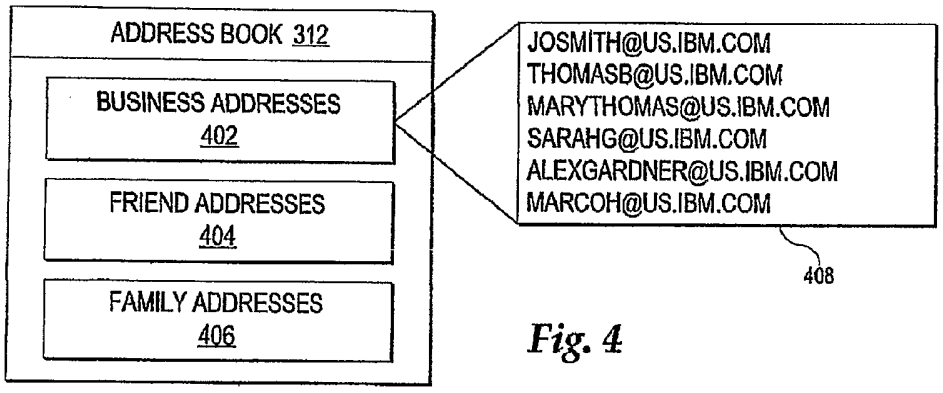

Fig. 4

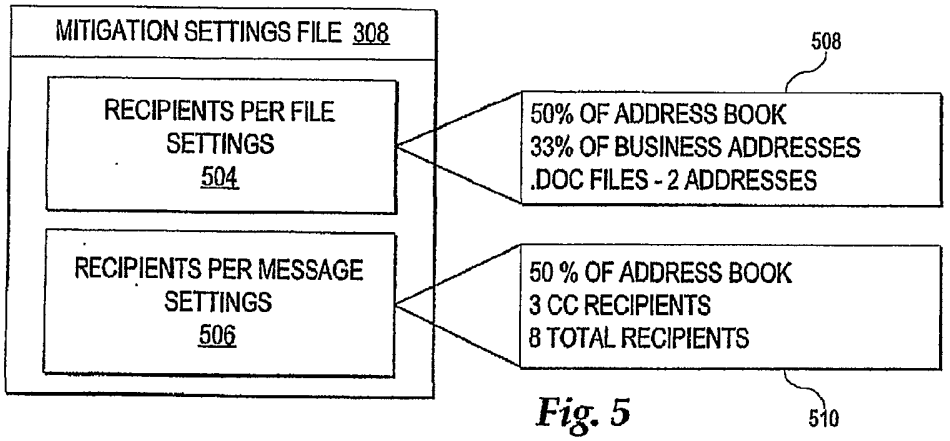

Fig. 5

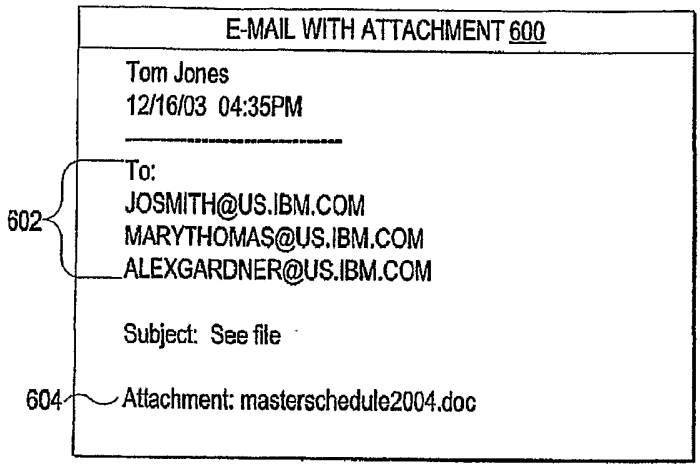

Fig. 6

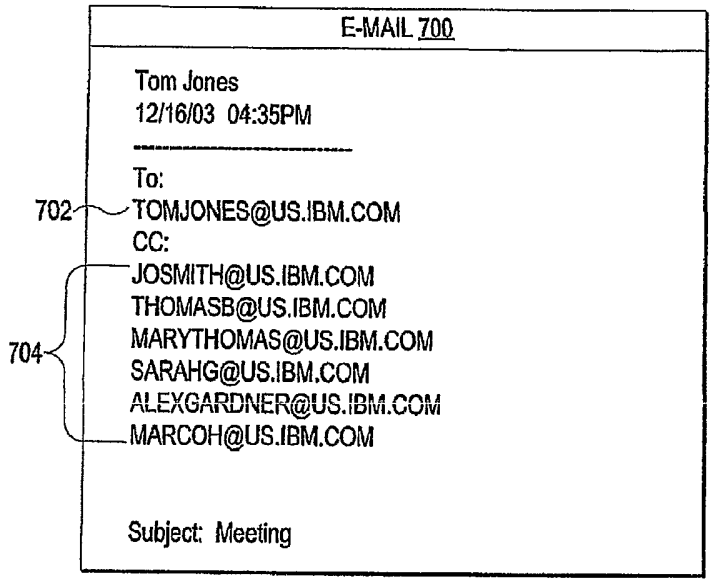

Fig. 7

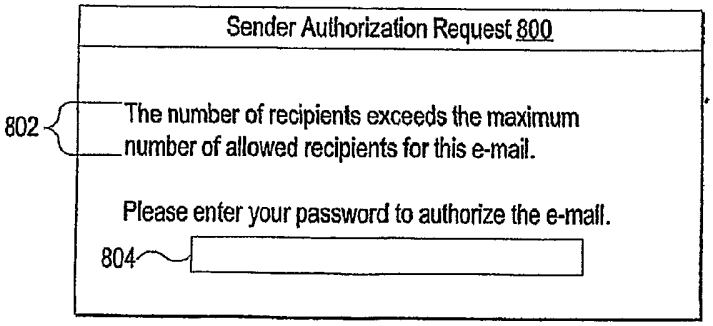

Fig. 8

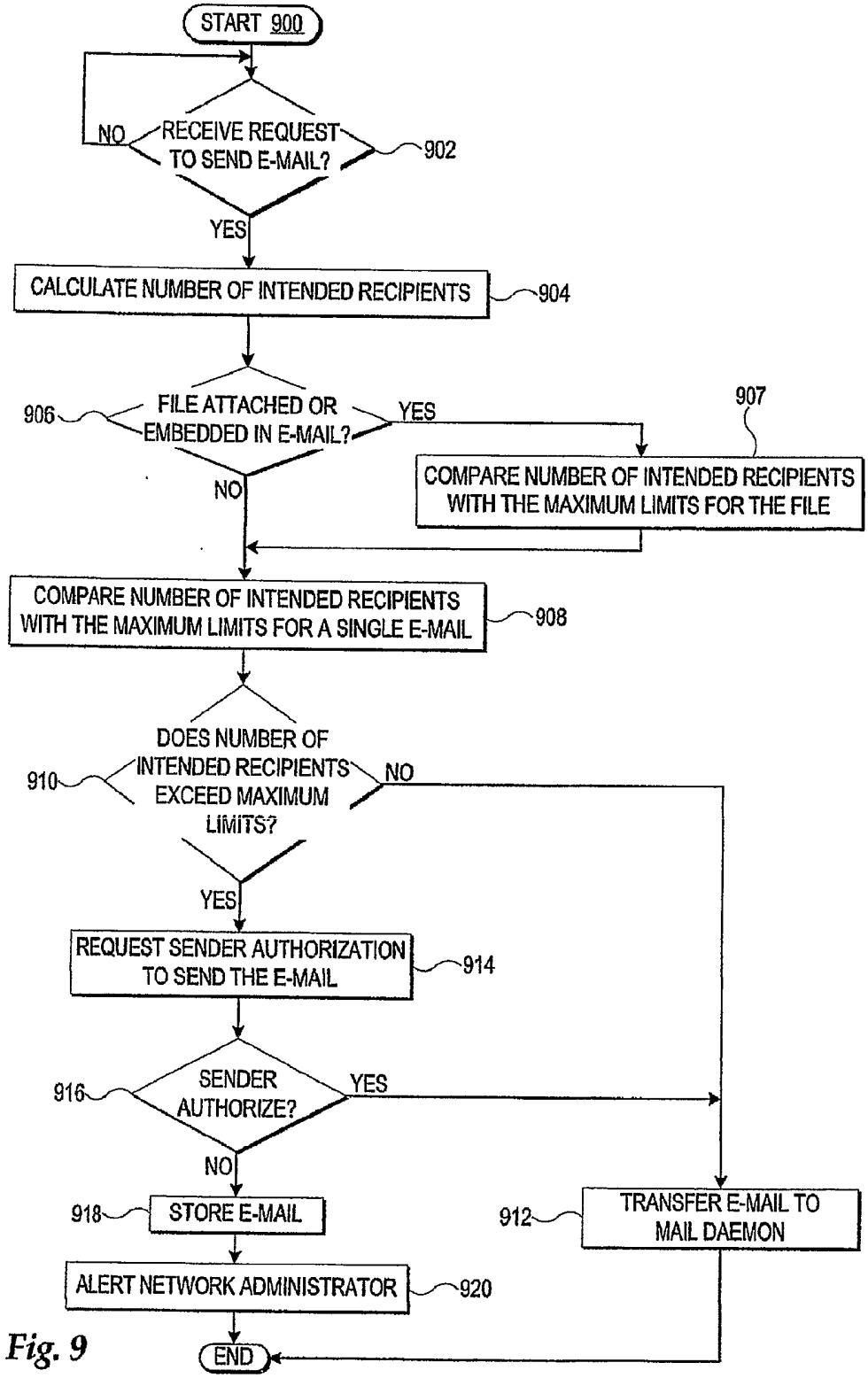
START 900
RECEIVE REQUEST TO SEND E-MAIL?
NO
902
YES
CALCULATE NUMBER OF INTENDED RECIPIENTS
904
FILE ATTACHED OR EMBEDDED IN E-MAIL?
906
YES
907
COMPARE NUMBER OF INTENDED RECIPIENTS WITH THE MAXIMUM LIMITS FOR THE FILE
NO
COMPARE NUMBER OF INTENDED RECIPIENTS WITH THE MAXIMUM LIMITS FOR A SINGLE E-MAIL
908
DOES NUMBER OF INTENDED RECIPIENTS EXCEED MAXIMUM LIMITS?
910
NO
YES
REQUEST SENDER AUTHORIZATION TO SEND THE E-MAIL
914
SENDER AUTHORIZE?
916
YES
NO
STORE E-MAIL
918
ALERT NETWORK ADMINISTRATOR
920
TRANSFER E-MAIL TO MAIL DAEMON
912
END

Fig. 9

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP2004/052153

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04L29/06 H04L12/22 H04L12/58 G06F1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04L G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)
EPO-Internal, WPI Data, PAJ, INSPEC, IBM-TDB, COMPENDEX

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 1 280 039 A (NETWORKS ASSOC TECH INC) 29 January 2003 (2003-01-29) paragraphs [0005] - [0007], [0013], [0017], [0019], [0021], [0026] figure 3	1-24
P,X	US 2004/103159 A1 (WILLIAMSON MATTHEW MURRAY ET AL) 27 May 2004 (2004-05-27) paragraphs [0009], [0010], [0017], [0080] - [0085], [0087]	1-24
P,X	EP 1 369 766 A (HEWLETT PACKARD DEVELOPMENT CO) 10 December 2003 (2003-12-10) claims 1,32	1,2,9, 10,18-20

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
27 January 2005	20/01/2005

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Lázaro, M.L.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/EP2004/052153

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