

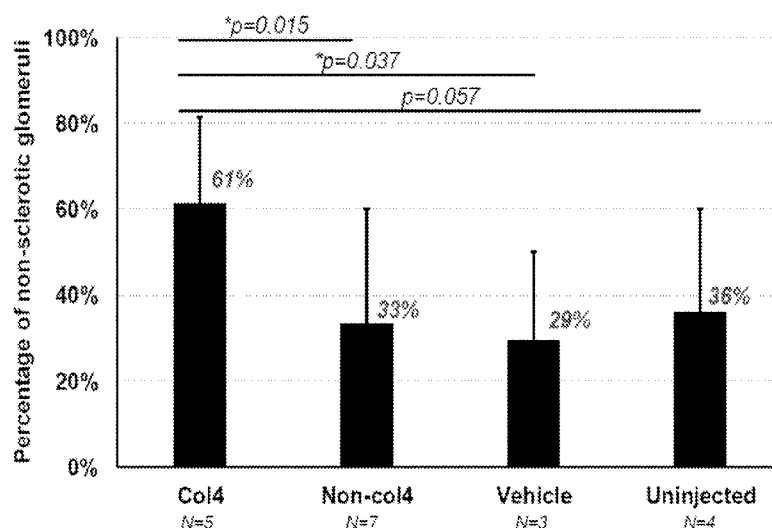


- (51) **International Patent Classification:**  
*A61K 38/39* (2006.01)
- (21) **International Application Number:**  
PCT/US2015/041712
- (22) **International Filing Date:**  
23 July 2015 (23.07.2015)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**  
62/029,135 25 July 2014 (25.07.2014) US  
62/072,490 30 October 2014 (30.10.2014) US  
62/128,729 5 March 2015 (05.03.2015) US
- (71) **Applicant:** **RGDI3, INC.** [US/US]; 29 Newbury Street, Boston, Massachusetts 02116 (US).
- (72) **Inventors:** **HODGES, Bradley Lowell**; 30 Haven Street, Milford, Massachusetts 01757 (US). **BARNES, Thomas Michael**; 12 Mason Terrace, Brookline, Massachusetts 02446 (US). **REILLY, Philip Raymond**; 145 Monument Street, Concord, Massachusetts 01742 (US). **KOWTONI-UK, Walter Eugene**; 360 West Second Street, Unit 15, South Boston, Massachusetts 02127 (US).
- (74) **Agents:** **WARD, Donna T.** et al.; 142A Main Street, Groton, Massachusetts 01450 (US).
- (81) **Designated States** (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) **Designated States** (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

**Published:**

— with international search report (Art. 21(3))

[Continued on next page]

(54) **Title:** COLLAGEN IV REPLACEMENT**Figure 9**

(57) **Abstract:** The present invention provides pharmaceutical compositions, formulations and methods for treating Alport syndrome by administering recombinant human collagen IV protein to a patient in need.



---

— with sequence listing part of description (Rule 5.2(a))

## **Collagen IV replacement**

### **CROSS REFERENCES**

[0001] This application claims priority of U.S. Provisional Application Serial No. 62/128,729 filed on March 5, 2015; U.S. Provisional Application Serial No. 62/072,490 filed on October 30, 2014; and U.S. Provisional Application Serial No. 62/029,135, filed on July 25, 2014; the content of each of which is herein incorporated by reference in their entirety.

### **REFERENCE TO THE SEQUENCE LISTING**

[0002] The present application is being filed along with a Sequence Listing in electronic format. The Sequence Listing is provided as a file entitled 20721004PCTSEQLST.txt , created on July 23, 2015, which is 100,507 bytes in size. The information in the electronic format of the sequence listing is incorporated herein by reference in its entirety.

### **FIELD OF THE INVENTION**

[0003] The present invention relates to collagen replacement for treating collagen associated diseases, in particular collagen IV and Alport syndrome. Provided are recombinant collagen IV molecules, pharmaceutical compositions and methods for treating collagen IV associated disorders such as Alport syndrome.

### **BACKGROUND OF THE INVENTION**

[0004] Alport Syndrome is an inherited disease that primarily affects the glomeruli, the tiny tufts of capillaries in the kidneys that filter wastes from the blood. The earliest symptom of the disease is blood in the urine (hematuria). Patients often present hearing loss and/or ocular complications as well. Fifty percent of Alport patients develop end stage renal disease (ESRD) by age 20 with a median time of death of 25 years of age and ninety percent by age 45. Without intervention progression to ESRD is inexorable. Alport syndrome has been reported worldwide without restriction to particular geographic areas. The prevalence is estimated to be about 1 in 5000 newborns in the United States. In UK, about 40 per million (including disease carriers) persons suffer Alport syndrome and Alport patients account for about 1% of patients on renal transplantation therapy. The incidence of Alport syndrome was found to be 1:53,000 in Finland

and 1:17,000 in southern Sweden (Pajari et al., *Acta Paediatr*, 1996, 85, 1300-1306; and Persson et al., *Clin Nephrol*, 2005, 64, 85-90). .

**[0005]** The glomerular basement membrane (GBM) is the site of the Alport lesion. Characteristic GBM ultrastructure changes in patients with Alport syndrome are irregular thickening of the GBM and multilamellation of the lamina densa forming a “basket weave” pattern. These changes are minimal in the early stages of the disease, but are widespread in adult patients. The widespread changes of the GBM are indicative of a tendency towards a progressive disease course. A good correlation between the severity of the GBM irregular thickening and the clinical course has been reported (Basta-Jovanovic et al., *Am J Kid Dis*, 1990, 16, 51-56). Young patients are likely the most amenable to therapy.

**[0006]** Alport syndrome is caused by changes in genes (mutations) that affect type IV collagen, a protein that is important to the normal structure and function of glomerular basement membrane. This disease is mainly due to recessive mutations in the Collagen IV genes (COL4A3, COL4A4 or COL4A5) that encode collagen IV  $\alpha 3$ ,  $\alpha 4$  and  $\alpha 5$  chains. Since COL4A5 is X-linked, a single defective gene in males is sufficient to produce the disease. Collagen IV  $\alpha 3$ - $\alpha 4$ - $\alpha 5$  is an important constituent of glomerular basement membranes in the kidney.

**[0007]** Diagnosis of Alport Syndrome relies on careful evaluation of the patient's signs and symptoms, along with their family history. Sometimes hearing and vision tested. The evaluation can also include blood tests, urine tests, and a kidney biopsy to determine Alport syndrome. A genetic test can help confirm the diagnosis and determine the genetic type of Alport syndrome.

**[0008]** Currently, aside from renal transplant, ACE inhibitors are the only therapy, and these can delay ESRD. Alport patients impose a heavy burden on the health care system, comprising 1-2% of all European ESRD patients and 2-3% of all US patients requiring renal transplant. Furthermore, transplantation often leads to immune rejection of the transplanted allografts. Therefore, there is an unmet medical need to develop novel therapies for this serious and life threatening rare disorder.

**[0009]** Medical researchers are very interested in understanding why people with Alport syndrome develop kidney failure, and in developing treatments that can slow or prevent the development of kidney failure. Several treatments are being tested in animals with a condition equivalent to Alport syndrome, including inhibitors of enzymes which mediate collagen IV assembly and stem cell therapy. Given the fact that collagen IV protein is the key component of

the GBM and is deficient in Alport GBM, the present invention develops a novel treatment for Alport syndrome in which functional recombinant human collagen IV (rhCol4) protein is delivered back to the affected GBM. It is shown, according to the present invention, surprisingly that a recombinant human collagen would easily exit the vasculature and embed in the affected GBM. Such collagen IV replacement could restore the filtering function of the glomeruli in the kidney, therefore treat Alport syndrome.

### **SUMMARY OF THE INVENTION**

**[00010]** The present invention relates to collagen replacement for treating collagen associated diseases, in particular collagen IV and Alport syndrome. Provided are recombinant collagen IV proteins, pharmaceutical compositions and methods for treating collagen IV associated disorders such as Alport syndrome.

**[00011]** In some embodiments, the invention provides pharmaceutical compositions and formulations that include recombinant collagen IV protein and one or more pharmaceutically acceptable excipients which facilitate collagen IV stability, delivery, penetration and/or functionality. The recombinant collagen IV protein can be collagen IV protomers, dimers, tetramers, multimers and/or the mixture thereof. The collagen IV protomer may contain three polypeptides selected from the group consisting of  $\alpha 1(\text{IV})$ ,  $\alpha 2(\text{IV})$ ,  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$ ,  $\alpha 5(\text{IV})$  and  $\alpha 6(\text{IV})$  chain polypeptides.

**[00012]** In some embodiments, the collagen IV protomer is a heterotrimer comprising an  $\alpha 3(\text{IV})$  chain polypeptide, an  $\alpha 4(\text{IV})$  chain polypeptide and an  $\alpha 5(\text{IV})$  chain polypeptide, wherein the  $\alpha 3(\text{IV})$  chain polypeptide comprises the amino acid sequence of SEQ ID NO. 3 and variants thereof; the  $\alpha 4(\text{IV})$  chain polypeptide comprises the amino acid sequence of SEQ ID NO. 4 and variants thereof; and the  $\alpha 5(\text{IV})$  chain polypeptide comprises the amino acid sequence of SEQ ID NO.5 and variants thereof.

**[00013]** In other embodiments, the collagen IV protomer is a heterotrimer comprising two copies of  $\alpha 1(\text{IV})$  chain polypeptides, and an  $\alpha 2(\text{IV})$  chain polypeptide, wherein the  $\alpha 1(\text{IV})$  chain polypeptide comprises the amino acid sequence of SEQ ID NO. 1 and variants thereof; the  $\alpha 2(\text{IV})$  chain polypeptide comprises the amino acid sequence of SEQ ID NO. 2 and variants thereof.

**[00014]** Because specific T cell epitopes that can drive immune rejection are found in the NC1 domain of the  $\alpha$ (IV) chains, in other embodiments, said collagen IV protomer is a heterotrimer comprising one, two or three chimeric collagen IV  $\alpha$  polypeptides selected from the chimeric  $\alpha$ 3(IV),  $\alpha$ 4(IV) and  $\alpha$ 5(IV) polypeptides. As disclosed in the present invention, the chimeric  $\alpha$ 3(IV) chain polypeptide is a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha$ 3(IV) chain is replaced with all or part of the NC1 domain of the  $\alpha$ 1(IV) and/or  $\alpha$ 2(IV) chains. The chimeric  $\alpha$ 4(IV) chain polypeptide is a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha$ 4(IV) chain is replaced with all or part of the NC1 domain of the  $\alpha$ 1(IV) and/or  $\alpha$ 2(IV) chains. The chimeric  $\alpha$ 5 (IV) chain polypeptide is a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha$ 5 (IV) chain is replaced with all or part of the NC1 domain of the  $\alpha$ 1(IV) and/or  $\alpha$ 2(IV) chains.

**[00015]** As an example of the recombinant collagen IV protomer containing chimeric  $\alpha$ (IV) polypeptides, a collagen IV heterotrimeric protomer may consist of one chimeric  $\alpha$ 3(IV) chain polypeptide in which all or part of the NC1 domain of the  $\alpha$ 3(IV) chain is replaced with all or part of the NC1 domain of  $\alpha$ 1(IV) or  $\alpha$ 2(IV) chains; one chimeric  $\alpha$ 4(IV) chain polypeptide in which all or part of the NC1 domain of the  $\alpha$ 4(IV) chain is replaced with all or part of the NC1 domain of  $\alpha$ 1(IV) or  $\alpha$ 2(IV) chains; and one chimeric  $\alpha$ 5(IV) chain polypeptide in which all or part of the NC1 domain of the  $\alpha$ 5(IV) chain is replaced with all or part of the NC1 domain of  $\alpha$ 1(IV) or  $\alpha$ 2(IV) chains.

**[00016]** In some aspects, the NC1 domains of  $\alpha$ 1(IV),  $\alpha$ 2(IV),  $\alpha$ 3(IV),  $\alpha$ 4(IV),  $\alpha$ 5(IV) comprise the amino acid sequences of SEQ ID NO.7, SEQ ID NO.8, SEQ ID NO.9, SEQ ID NO.10 and SEQ ID NO.11, respectively.

**[00017]** In other embodiments, said recombinant collagen IV protein is in the form of collagen IV dimers, which comprise two protomers that are dimerized non-covalently or covalently, wherein the protomers may be the heterotrimer  $\alpha$ 3(IV)- $\alpha$ 4(IV)- $\alpha$ 5(IV), or the heterotrimer comprising chimeric  $\alpha$ 3(IV),  $\alpha$ 4(IV) and/or  $\alpha$ 5(IV) chains.

**[00018]** In some embodiments, said recombinant collagen IV is recombinant human collagen IV, in particular human collagen IV  $\alpha$ 3- $\alpha$ 4- $\alpha$ 5.

**[00019]** According to the present invention, collagen IV protein may be produced via the extraction and purification of human natural collagen IV from collagen IV containing tissues and organs, or through expression of recombinant collagen IV protein in mammalian cell lines,

insects, plant cells and/or bacteria and yeast. In some aspects, the collagen IV protein is further modified to achieve a particular percentage of 3-hydroxyproline, 4-hydroxyproline and/or hydroxylysine, as compared to naturally occurring collagen IV protein. For example, the collagen IV protein of the present invention contains about 6.5% to about 14% of 4-hydroxyprolines (i.e. between 65-140 3-hydroxyproline residues/1000 AA) and/or about 0.2% to about 1.6% of 3-hydroxyprolines (i.e. between 6-16 3-hydroxyproline residues/1000 AA).

**[00020]** In a further aspect, as tested in the present invention, the collagen IV protein used in the present invention may contain modified amino acids and/or other amino acid substitutes. Such modifications and substitutes would not change the functionality of collagen IV protein, but may improve some chemical and physical features of collagen IV protein, such as increased stability, and reduced immunoreactivity.

**[00021]** In one embodiment, the pharmaceutical composition comprising recombinant human collagen IV protein may be used for improving glomerular structures and functions in a patient with Alport syndrome, wherein the recombinant human collagen IV protein comprises collagen IV protein protomers, dimers, tetramers, multimers and/or the mixture thereof, and one or more pharmaceutically acceptable excipients, wherein said collagen IV protein protomers, dimers, multimers consisting of three  $\alpha$  chain polypeptides selected from the group consisting of  $\alpha 3$  (IV),  $\alpha 4$  (IV) and  $\alpha 5$  (IV) chain polypeptides.

**[00022]** According to the present invention, the pharmaceutically acceptable excipients comprise one or more antioxidants, one or more tonicity agents, one or more chelators, and agents that can assist in collagen IV assembly in the glomerular sites, such as bromine.

**[00023]** Provided in the present inventions also include methods, vectors, chimeric cDNA constructs, cell lines and functional assays for producing normal and chimeric collagen IV  $\alpha$  polypeptides of the present invention. In some aspects, the host cells may be genetically engineered to express prolyl 3-hydroxylase and/or prolyl 4-hydroxylase. In other aspects, the host cells may be further deficient in peroxidase, lysyl oxidase, and/or native collagen IV protein or collagens other than native collagen IV.

**[00024]** In some embodiments, the present invention features methods for treating a condition characterized by one or more deficiencies of collagen IV protein in a subject in need thereof by administering to the subject in need thereof a pharmaceutical composition comprising recombinant collagen IV protein. Said condition could be characterized by one or more

deficiencies of the  $\alpha 3(\text{IV})$  chain polypeptide; one or more deficiencies of the  $\alpha 4(\text{IV})$  chain polypeptide; and/or one or more deficiencies of the  $\alpha 5(\text{IV})$  chain polypeptide. In particular, such deficiencies are due to genetic mutations in COL4A3, COL4A4 and/or COL4A5 genes.

**[00025]** In some aspects, the condition characterized by deficiencies of collagen IV protein is selected from Alport syndrome, thin basement membrane nephropathy (TBMN), familial hematuria, end stage renal disease (ESRD), progressive renal insufficiency, glomerular hematuria, proteinuria, hereditary nephritis, diabetic nephropathy, perinatal cerebral hemorrhage and porencephaly, hemorrhagic stroke, and any diseases or disorder with defects in collagen IV protein.

**[00026]** In a preferred embodiment, the disease is Alport syndrome. Alport syndrome may be X-linked Alport syndrome, autosomal recessive Alport syndrome, or autosomal dominant Alport syndrome. An X-linked Alport syndrome may be caused by any mutation in the COL4A5 gene encoding the  $\alpha 5(\text{IV})$  chain polypeptide. An autosomal recessive Alport syndrome may be caused by any mutations in COL4A3 and/or COL4A4 genes encoding the  $\alpha 4(\text{IV})$  chain polypeptide and  $\alpha 5(\text{IV})$  chain polypeptide, respectively. An autosomal dominant Alport syndrome may be caused by any mutations in COL4A3 and/or COL4A4 genes encoding the  $\alpha 4(\text{IV})$  chain polypeptide and  $\alpha 5(\text{IV})$  chain polypeptide, respectively.

**[00027]** In other aspects, the patient with Alport syndrome may be a patient without renal dysfunction findings who is diagnosed by family history or by genetic testing.

**[00028]** In some embodiments, the pharmaceutical compositions used in the present methods comprising recombinant collagen IV protomers, dimers, tetramers, multimers and the mixture thereof. In some aspects, the recombinant collagen IV consists of protomers. Collagen IV protomers are heterotrimers consisting of one  $\alpha 3(\text{IV})$  chain, one  $\alpha 4(\text{IV})$  chain and one  $\alpha 5(\text{IV})$  chain, wherein the three chains form a triple helix and wherein the  $\alpha 3(\text{IV})$  chain comprises the amino acid sequence of SEQ ID NO.3; the  $\alpha 4(\text{IV})$  chain comprises the amino acid sequence of SEQ ID NO.4 and the  $\alpha 5(\text{IV})$  chain comprises the amino acid sequence of SEQ ID NO.5.

**[00029]** In other aspects, the recombinant collagen IV protomers are heterotrimers comprising one, two or three chimeric  $\alpha(\text{IV})$  chains selected from the chimeric  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$ ,  $\alpha 5(\text{IV})$  chains, wherein the chimeric  $\alpha 3(\text{IV})$  chain comprises a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha 3(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains; the chimeric  $\alpha 4(\text{IV})$  chain comprises a chimeric polypeptide in which



all or part of the NC1 domain of the  $\alpha 4(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains; and the chimeric  $\alpha 5(\text{IV})$  chain comprises a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha 5(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains.

[00030] In other embodiments, said recombinant collagen IV are in the form of collagen IV dimers, wherein said dimers comprise two collagen IV protomers which may be recombinant collagen IV  $\alpha 3$ - $\alpha 4$ - $\alpha 5$  and/or chimeric collagen IV as disclosed herein. In some aspects, said collagen IV dimers are dimerized enzymatically or chemically *in vitro* prior to administering to the subject in need.

[00031] In some embodiments, the collagen IV protein is administered to a subject in need thereof by an intravenous injection, intraperitoneal injection, intramuscular injection, subcutaneous injection, intrathecal injection, intracerebral ventricular administration, intracranial delivery, intraocular delivery, intraaural delivery, and/or by an acute or chronically placed catheter. In a preferred embodiment, the collagen IV protein is administered to a subject in need thereof by intravenous injection.

[00032] In some embodiments, the effective dose is between about 100 ng/kg and about 100 mg/kg. In some aspects, the effective dose is between about 100 ng/kg and about 100  $\mu\text{g/kg}$ . In other aspects, the effective dose is between about 1  $\mu\text{g/kg}$  to about 1 mg/kg. In further other aspects, the effective dose is between about 1 mg/kg and about 100 mg/kg. In one embodiment, the effective dose is about 5 mg/kg.

[00033] One or more prophylactic drugs may be co-administered with the collagen IV protein composition to a subject in need, said prophylactic drugs may be anti-thrombotic agents and/or anti-inflammatory drugs.

[00034] Anti-thrombotic agents may be used to primarily prevent, or secondarily prevent acute thrombus formation induced by recombinant collagen IV replacement. An anti-thrombotic agent may be an antiplatelet drug, an anticoagulant, or a thrombolytic drug. Antiplatelet drugs may include, but are not limited to, irreversible cyclooxygenase inhibitors such as aspirin and triflusal; adenosine diphosphate (ADP) receptor inhibitors such as clopidogrel, prasugrel, ticagrelor and ticlopidine; phosphodiesterase inhibitors such as cilostazol; glycoprotein IIB/IIIA inhibitors such as abciximab, eptifibatide and tirofiban; adenosine reuptake inhibitors such as dipyridamole; thromboxane inhibitors such as thromboxane synthase inhibitors, thromboxane

receptor antagonists and teruthroban. Anticoagulants may include, but are not limited to, warfarin, heparin, acenocoumarol, atromentin, brodifacoum and phenindione. Thrombolytic drugs may include, but are not limited to, tissue plasminogen activator t-PA such as alteplase, reteplase and tenecteplase; anistreplase; streptokinase and urokinase.

[00035] Anti-inflammatory agents may include, but are not limited to, NSAIDS (non-steroidal anti-inflammatory drugs) such as aspirin, ibuprofen, naproxen; acetaminophen; and ImSAIDS (immune-selective anti-inflammatory drugs).

[00036] In some embodiments, the present invention features methods for preventing, ameliorating, reversing, slowing, halting and/or improving one or more abnormalities comprising thinning and splitting glomerular basement membrane (GBM), heavy proteinuria, mild proteinuria, hematuria, renal deficiency, progression to end stage renal disease, auditory dysfunction, ocular abnormalities, porencephaly, brain small vessel disease with hemorrhage, brain small vessel disease with Axenfeld-Rieger anomaly, hereditary angiopathy with nephropathy, aneurysms, and muscle, and/or intracerebral hemorrhage, by administering to a subject in need thereof a pharmaceutical composition that comprises recombinant collagen IV protein, such that administering collagen IV protein prevents, ameliorates, slows, halts and/or improves the phenotypic outcomes of the subject.

[00037] The collagen IV protein may be administered to a mammal. The mammal may be a mouse, a rat, a dog or a human.

[00038] In addition, assays that may be used to detect recombinant collagen IV in basement membranes are provided in the present invention. Said assays may include receptor binding, cell migration, differentiation and/or adhesion, and biomarker measurement.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

[00039] Figure 1 is a representative denaturing/non-reducing SDS-PAGE gel image of Col4 ( $\alpha 1(2)\alpha 2$ ) protein which is immune blotted with anti-Col4 antibodies: sc70246 (1:100) (Lanes 4-7), ab6586 (1:1000) (Lanes 8-11) and ab19808 (1:1000) (Lanes 12-15). Lanes 1 and 2 are molecular weight markers from Novex. For each antibody, different amounts of Col4 ( $\alpha 1(2)\alpha 2$ ) protein (250ng, 125ng, 25ng, 12.5 ng) were loaded. The bands: individual  $\alpha$ (IV) chains (I), protomers (P), dimers (D) and tetramers (T) were visualized with HRP conjugated anti-IgG secondary antibodies (1:20,000 dilution).

[00040] Figure 2 shows Col4 ( $\alpha 1(2)\alpha 2$ ) species in denaturing SDS-PAGE (4-15% gel) with or without disulfide reduction. Figure 2a is a representative denaturing SDS-PAGE gel image of Col4 ( $\alpha 1(2)\alpha 2$ ) preparation without disulfide reduction. Figure 2b a representative denaturing SDS-PAGE gel image of Col4 ( $\alpha 1(2)\alpha 2$ ) preparation with disulfide reduction. Lanes 13, 14 and 15 of Figures 2a and 2b are fully reduced LAM-111 and only the gamma1 chain of LAM-111 is assayed by a gamma1 specific antibody (Cat. No. sc5584).

[00041] Figure 3 is a representative native PAGE gel image of Col4 ( $\alpha 1(2)\alpha 2$ ) proteins with charge shift using Direct Red 80 dye. LAM-111 was used as an independent molecular weight marker.

[00042] Figure 4 is a histogram of ELISA assay for FITC- Col4 ( $\alpha 1(2)\alpha 2$ ) conjugate detection using various anti-FITC antibodies.

[00043] Figure 5a is a representative gel image that shows the detection of FITC labeled and unlabeled Col4 ( $\alpha 1(2)\alpha 2$ ). Col4 ( $\alpha 1(2)\alpha 2$ ) is reduced in lanes A-C and unreduced in lanes D-F. The same amount of protein was loaded in each lane. Lanes A and D were loaded with unlabeled Col4 ( $\alpha 1(2)\alpha 2$ ); Lanes B and E were loaded with FITC labeled Col4 ( $\alpha 1(2)\alpha 2$ ) but unpurified by a size exclusion column and Lanes C and F were loaded with FITC labeled Col4 ( $\alpha 1(2)\alpha 2$ ) and purified by a size exclusion column.

[00044] Figure 5b is a representative gel image of immunoblot using anti-FITC antibody (ab19492, 1:20,000 dilution) for detection of FITC- Col4 ( $\alpha 1(2)\alpha 2$ ).

[00045] Figure 6a is a histogram of ELISA assay for FITC- LAM-111 conjugate detection using various anti-FITC antibodies.

[00046] Figure 6b is a representative gel image that shows the detection of FITC labeled and unlabeled LAM-111. LAM-111 is reduced in lanes A-B and unreduced in lanes D-F. The same amount of protein was loaded in each lane. Lanes A and D were loaded with unlabeled LAM-111; Lanes B and E were loaded with FITC labeled LAM-111 but unpurified by a size exclusion column and Lanes C and F were loaded with FITC labeled LAM-111 and purified by a size exclusion column.

[00047] Figure 6c is a representative gel image of immunoblot using anti-FITC antibody (ab19492, 1:20,000 dilution) for detection of FITC-LAM-111.

**[00048]** Figure 7 shows the localization of FITC-Col4 ( $\alpha 1(2)\alpha 2$ ) and FITC-LAM-111 in the glomerular basement membrane (GBM) after 6 doses of intravenous injection. Figures 7a and 7b are representative confocal fluorescence microscopy images of kidneys of Heterozygous (Col4<sup>+/-</sup> (hybrid)) mouse that is un-injected (figure 7a) and Alport (Col4<sup>-/-</sup> (Hybrid)) mouse that is injected with 6 doses of FITC-Col4 ( $\alpha 1(2)\alpha 2$ ) (Figure 7b) and. The top panel are images of anti-FITC antibody staining; the middle ones are images of anti-agrin staining and the bottom panel are overlap images of anti-FITC and anti-agrin staining with a DNA marker DAPI staining. Figures 7c and 7d are representative confocal fluorescence microscopy images of kidneys of Heterozygous (Col4<sup>+/-</sup> (B6)) mouse that is un-injected (Figure 7c) and Alport (Col4<sup>-/-</sup> (B6)) mouse that is injected with 6 doses of FITC-LAM-111 (Figure 7d). The top panel are images of anti-FITC antibody staining; the middle ones are images of anti-agrin staining and the bottom panel are overlap images of anti-FITC and anti-agrin staining with a DNA marker DAPI staining.

**[00049]** Figure 8a shows representative images of glomerular morphology in un-injected Alport mouse (Col4<sup>-/-</sup> 75 days old). Figure 8b shows representative images of glomerular morphology in Col4-( $\alpha 1(2)\alpha 2$ ) dosed Alport mouse (Col4<sup>-/-</sup>, 88days old).

**[00050]** Figure 9 is a histogram of glomerular sclerosis in Alport mice (Col4<sup>-/-</sup>) either treated with Col4-( $\alpha 1(2)\alpha 2$ ) (N=5), or untreated (N=4), or treated with control vehicle only (N=3). At least 100 glomeruli from each mouse at postnatal day 70 were counted and the percentages indicate the average number of non-sclerotic glomeruli in each cohort. Bars represent range of values in each cohort. The Non-Col4 (N=7) represents the combined data from uninjected and vehicle injected Alport mice.

**[00051]** Figure 10 shows representative electron microscopy images of glomerular capillaries. Figure 10a are representative images of heterozygous mouse (Col4<sup>+/-</sup>) injected with vehicle only (day 70). Figure 10b are representative images of Alport mouse (Col4<sup>-/-</sup>) injected with vehicle (day 70). Figure 10c are representative images of Alport mouse (Col4<sup>-/-</sup>) injected with Col4-( $\alpha 1(2)\alpha 2$ ) protein (day 70).

**[00052]** Figure 11 is blood urea nitrogen (BUN) measurement in Col4-( $\alpha 1(2)\alpha 2$ ) dosed Alport mice (upper) and untreated/vehicle treated Alport mice (lower).

[00053] Figure 12 is urine albumin/creatinine ratio of Col4-( $\alpha 1(\alpha 2)$ ) dosed Alport mice (upper) and untreated/vehicle treated Alport mice (lower).

#### **DETAILED DESCRIPTION OF THE INVENTION**

[00054] The details of one or more embodiments of the invention are set forth in the accompanying description below. Although any materials and methods similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred materials and methods are now described. Other features, objects and advantages of the invention will be apparent from the description. In the description, the singular forms also include the plural unless the context clearly dictates otherwise. Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. In the case of conflict, the present description will control.

[00055] The present invention relates to pharmaceutical compositions, medications and methods for treating collagen associated diseases, in particular, diseases characterized by one or more deficiencies of collagen IV protein, such as Alport syndrome caused by genetic mutations in the COL4A3, COL4A4 and COL4A5 genes that encode collagen IV  $\alpha 3$ ,  $\alpha 4$  and  $\alpha 5$  chain polypeptides. The present invention aims to transport functional collagen IV protein back to the affected sites to restore collagen IV based structural support and other physiological functions.

[00056] Collagen is the major structural constituent of mammals. Numerous diseases and conditions are associated with excess accumulation of collagen in tissue, mutations of collagen  $\alpha$  chains, abnormal assembly, increased/decreased post-translational modifications, and/or interrupted collagen interaction with other structural proteins. Mutations in any of collagen  $\alpha$  chain polypeptides cause a variety of rare diseases due to the absence of correct collagen structures, which provide support for tissues and organs, present signals for development, and/or support physiological functions. For example, the absence of collagen IV caused by mutations in COL4A3, COL4A4 and COL4A5 genes impairs the glomerular basement membranes, which may ultimately result in renal failure.

[00057] It has been an unmet issue how to restore the absent or abnormal collagen for treatment of collagen mediated disorders. The present invention provides novel pharmaceutical compositions, medications and methods for treating collagen mediated disorders, in particular

the collagen IV mediated disorder Alport syndrome. Provided here are also methods for treating Alport syndrome, and/or preventing, slowing the process of renal failure.

**[00058]** Mutations in genes that encode collagen IV  $\alpha 3$ ,  $\alpha 4$  and  $\alpha 5$  chains (COL4A3, COL4A4 and COL4A5) could cause Alport syndrome, which is characterized by glomerulonephritis, end stage kidney disease, hearing loss and ocular dysfunction. Currently there is no specific treatment for Alport Syndrome. The same treatments that are used in people with high blood pressure and other symptoms of kidney disease are used in people with Alport syndrome. Kidney transplantation is usually very successful in people with Alport syndrome, and is considered the best treatment when end-stage kidney failure is approaching. However, many patients develop Alport post-transplant nephritis (APTn) which is an aggressive form of anti-glomerular basement membrane disease.

**[00059]** The rationale of the present invention is to transport recombinant human collagen IV protein back to the affected sites such as glomerular basement membrane to restore its normal structure and therefore its filtering function. Previously, several studies have shown that large proteins can penetrate into glomerular basement membranes. Endothelial fenestrae are about 100-150 nm, large enough to permit the passage of large proteins, such as ferritin, but it is not known whether elongated molecules, such as a collagen IV protomer, or an even more elongated collagen IV dimer, is capable of penetrating into the GBM. Nephrotic glomerular basement membrane is more permeable to ferritin than the normal glomerular basement membrane. Therefore, the present invention develops pharmaceutical compositions and methods for treating Alport syndrome by administering to the affected patient recombinant collagen IV protein, in particular collagen IV protomers, dimers, tetramers or multimers by intravenous injection. We disclose the novel finding that collagen IV protomers, dimers, tetramers or multimers will penetrate into the glomerular basement membrane in the kidney and embed into the extracellular matrix network with other components.

**[00060]** In addition, the pharmaceutical composition comprising recombinant collagen IV may also be used as part of regenerative medications. As a non-limiting example, the recombinant collagen IV from the present invention may be incorporated into artificial scaffolds and/or natural, decellularized scaffolds; mixed with other extracellular matrix proteins; employed as substrates for the *in vivo*, *ex vivo* and/or *in vitro* growth, differentiation and selection of stem cells; or employed as a thrombosis enhancing patch for acute wound pair.

**Definitions**

[00061] Unless defined otherwise, all technical and scientific terms used herein have the meaning commonly understood by a person skilled in the art to which this invention belongs. The following terms have the meanings ascribed to them unless specified otherwise. And the definitions will be helpful to understand the present invention as set forth herein.

[00062] The term “protomer”, as used herein, refers to a molecular structural subunit of a large macromolecule (i.e. oligomeric protein). In the context of collagens, the collagen protomers themselves are trimers, consisting of three  $\alpha$  chain polypeptides. For example, a collagen IV protomer is a heterotrimer of three  $\alpha$  chain polypeptides. Collagen protomers will form dimers, tetramers, oligomers and multimers.

[00063] As used herein, the term “basement membrane”, also referred to as “basal lamina”, means the thin spread of fibrils. Basement membrane is composed of at least several identified proteins and peptide derivatives, including several specific types of collagen (e.g., Type IV and Types I-V), laminin, and various types of cell adhesion molecules (CAMs), proteoglycans, and fibronectin. The basement membrane forms a thin sheet of fibers that underlies cells in various tissues (e.g., skin). Basement membrane primarily serves as the anchoring system of cells, attaching it to the connective tissue below, or provides a protective barrier against foreign objects or malignant cells, or filters blood through the glomerulus in the kidneys.

[00064] As used herein, the term “glomerular basement membrane (GBM)” refers to the basement membrane of the glomerulus in the kidneys, serving as extracellular matrix component of the glomerular filtration barrier. It is flanked by the podocyte and glomerular endothelial cell layers. The major GBM components are laminin-521, collagen  $\alpha3-\alpha4-\alpha5$  (IV), nidogen, and the heparan sulfate proteoglycan agrin.

[00065] The terms “polypeptide” “peptide” and “protein” are used interchangeably herein to refer to a polymer of amino acid residues, and not to a specific length. Thus, peptides, oligopeptides and protein fragments are included within the definition of polypeptide. The terms apply to amino acid polymers in which one or more amino acid residue is an analog or mimetic of a corresponding naturally occurring amino acid, as well as to naturally occurring amino acid polymers. Polypeptides can be modified, e.g., by the addition of carbohydrate residues to form glycoproteins. Another example of post-translation modification is hydroxylation of proline and

lysine in many collagen polypeptides. The terms “polypeptide,” “peptide” and “protein” include glycoproteins, as well as non-glycoproteins.

[00066] As used herein, the term “treating” or “treatment” refers to administering a pharmaceutical composition, e.g., a composition of the present invention comprising collagen IV protein, for prophylactic and/or therapeutic purpose. To “prevent disease” refers to prophylactic treatment of a patient who is not ill yet, but who is susceptible to, or otherwise at risk of developing a particular disease. For example, a patient, by genetic test, carries mutations in COL4A3, COL4A4 and/or COL4A5 genes. To “treat disease” refers to administering to a patient who is already suffering from a disease to ameliorate the disease and improve the patient’s condition, e.g., renal function.

[00067] Other features and advantages of the present invention are discussed in the following detailed description and the claims.

### **Collagen**

[00068] Collagen is the most abundant protein found in the mammals, constituting about 25% of total protein. It is the main fibrous component of skin, bone, tendon, cartilage and periodontium. A typical collagen molecule is a long, rod-like, rigid structure with triple stranded helix. Collagen is further cross-linked to form polymeric collagen structure/networks, such as fibrils, sheets and filaments. The collagen superfamily of proteins plays a dominant role in maintaining the integrity of various tissues and also has a number of other important functions.

[00069] Although collagen molecules are found throughout the body, their types and organization are dictated by the structural role collagen plays in a particular organ/tissue. In some organs, collagen may be dispersed as a gel that gives support to the structure, as in the extracellular matrix or the vitreous humor of the eye. In other organs, collagen may be bundled in tight, parallel fibers that provide great strength, as in tendons. The collagen fibers of bone may be arranged particularly so as to resist mechanical attack.

#### *Types of collagen*

[00070] Collagen is a large family of highly developed fibrous proteins comprising more than 25 collagen types (see Table 1) that form highly organized super molecular assemblies, as well as additional proteins that have collagen-like domains. Many genetically, chemically and immunologically distinct types of collagens have also been identified. Collagen variations may be due to differences in the assembly of basic polypeptide chains, different lengths of the helix,



various interruptions in the helix, difference in the terminations of the helical domains and/or cleavage of the non-collagenous domains.

**[00071]** Collagen can be organized into several groups, based on their locations and functions in the body. Collagen types I, II, III, V and XI are fibril-forming collagens, which form linear polymers of fibrils having characteristic banded patterns, reflecting the regular staggered packing of the individual collagen molecules in the fibrils. Collagen types IX, XII, XIV and XVI are fibril associated collagens that bind to the surface of collagen fibrils, linking these fibrils to one another and/or to other components in the extracellular matrix. Collagen types IV, VIII and X are network forming collagens, which form a three dimensional mesh, rather than fibrils. For example, collagen IV molecules assemble into a sheet that constitutes a major part of basement membranes. A fourth group of collagen includes all other collagens, such as collagen VI (beaded fibril forming collagen) and VII (anchoring fibrils).

#### *Structural features of collagen*

**[00072]** All collagen molecules consist of three polypeptides, referred to as  $\alpha$  chains, which wind around one another for at least a portion of their length to form a triple  $\alpha$  helix. The parts of collagen that do not form triple helices are called non-collagenous, or “NC” domains, and are numbered within each collagen e.g., NC1, NC2 etc. The individual  $\alpha$  chain polypeptide has similar domain organization, containing a large central triple helix forming domain with numerous Gly-X-Y repeats (i.e. collagenous domain), flanked by small N- and C-terminal global domains (i.e. non-collagenous domains). Some types of triple helical collagen protomers contain three genetically identical  $\alpha$  chains forming homotrimers, whereas others contain two or three different  $\alpha$  chains forming heterotrimers.

**[00073]** *H bonds*: The three  $\alpha$  chain polypeptides are held together and stabilized by hydrogen bonds between them. Unlike the more common  $\alpha$  helix, the collagen helix has no intrachain hydrogen bonds.

**[00074]** *Amino acid sequences*: The collagen helical domain contains specific amino acids (glycine, proline and hydroxyproline) which are important in the formation of the triple helix. These amino acids have a regular arrangement in each  $\alpha$  chain polypeptide. The sequence often follows the pattern Gly-X-Y, where X is frequently proline and Y is often hydroxyproline (it can also be hydroxylysine). Thus, most of the helical part of the  $\alpha$  chain can be regarded as a polytripeptide whose sequence can be represented as (–Gly–Pro–Hyp–)<sub>n</sub>. Proline or

hydroxyproline constitute about 1/6 of the total sequence and Glycine accounts for 1/3 of the sequence. Proline facilitates the formation of helical orientation of each  $\alpha$  chain because its ring structure causes “kinks” in the peptide chain. Glycine is found in every third position of the triple repeat. Because glycine is the smallest, nonpolar amino acid with no side chain, it plays a unique role in fibrous structural proteins. The side chain of glycine is a hydrogen atom and such a small side chain makes it easy to fit into places where no other amino acids can. For example, only glycine can be in the internal amino acid of a collagen helix.

[00075] Collagens do not contain chemically reactive side groups like those in enzymes and transport proteins.

[00076] *Triple helical structure:* Unlike most globular proteins that are folded into compact structures, collagen, a fibrous protein, has an elongated, triple-helical structure that places many of its amino acid side chains on the surface of the triple-helical molecule. Each  $\alpha$  chain forms a left-handed helix and they align together to form a triple right-handed helical protomer. The  $\alpha$  chains each are shaped into a left handed symmetry because of the high content of proline and hydroxyproline rings, with their geometrically constrained carboxyl and (secondary) amino groups along with abundance of glycine. The left handed helices are formed without any intrachain hydrogen bonding. The triple helix may be continuous stretch or it may be interrupted by non collagenous elements.

[00077] *Hydroxyproline and hydroxylysine:* Collagen contains hydroxyproline (Hyp) and hydroxylysine (Hyl), which are not present in most other proteins. These residues result from the post-translational hydroxylation of some of the proline and lysine residues. The hydroxylation reactions are catalyzed by enzymes (hydroxylase) and require ascorbic acid (vitamin C). Hydroxyproline is important in stabilizing the triple-helical structure of collagen because it maximizes interchain hydrogen bond formation.

[00078] *Glycosylation:* In some cases, the hydroxyl group of the hydroxylysine residues of collagen may be enzymatically glycosylated, making collagen a glycoprotein. Most commonly, glucose and galactose are sequentially attached to the polypeptide chain prior to triple-helix formation.

[00079] *Cross-linkage:* The tensile strength of collagen depends on the formation of covalent intermolecular cross-links between the individual protein subunits. The fibril containing collagens in higher vertebrates (e.g., types I, II, III, V and XI) are cross-linked through a

mechanism based on the reactions of aldehydes generated enzymatically from lysine (or hydroxylysine) side-chains by lysyl oxidase. Certain other collagen types (e.g. collagen IX of cartilage) are also cross-linked by the lysyl oxidase mechanism.

#### *Biosynthesis of collagen*

**[00080]** The major sites for the synthesis of the polypeptide precursors of the collagen molecules are mesenchymal cells and their derivatives including fibroblasts, chondrocytes (in cartilage), osteoblasts (in bone), odontoblasts and cementoblasts. Other cells may include, but are not limited to, epithelial cells, endothelial cells, muscle cells and Schwann cells.

**[00081]** The precursor polypeptides are formed inside cells through sequential events including translation of prepro- $\alpha$  chains from specific mRNAs, cleavage of signal peptide (pro- $\alpha$  chain), proline hydroxylation, lysine hydroxylation, hydroxylysine glycosylation and association of C-terminal peptides/disulphide bond formation/incorporation of C terminal propeptides (procollagen molecules). The collagen molecules are then secreted into the extracellular matrix. After enzymatic modification, the mature collagen monomers aggregate and become cross-linked to form collagen fibers.

**[00082]** *Formation of pro  $\alpha$  chains:* Like most proteins produced for export/secretion, the newly synthesized polypeptide precursors of  $\alpha$  chains (prepro- $\alpha$  chains) contain a special signal sequence at their N-terminal ends. The signal sequence facilitates the binding of ribosomes to the rough endoplasmic reticulum (RER), and directs the passage of the prepro- $\alpha$  chain into the lumen of the RER. The signal sequence is rapidly cleaved in the RER to yield a precursor of collagen called a pro- $\alpha$  chain.

**[00083]** *Post-translational modification:* The pro- $\alpha$  chains are processed by a number of enzymes within the lumen of the RER while the polypeptides are still being synthesized. Proline and lysine residues found in the Y-position of the –Gly–X–Y– sequence can be hydroxylated to form hydroxyproline and hydroxylysine residues. These hydroxylation reactions require molecular oxygen,  $\text{Fe}^{2+}$ , and the reducing agent ascorbic acid (vitamin C). Two hydroxylating enzymes, prolyl hydroxylase and lysyl hydroxylase, are usually involved. Lack of prolyl and lysyl hydroxylation can impair interchain H-bond formation, as is formation of a stable triple helix. Additionally, collagen fibrils cannot be cross-linked (see below), greatly decreasing the tensile strength of the assembled fiber. Hydroxyproline may also prevent denaturation of collagen fibers in temperature changes. It has been shown that non hydroxylated triple helices

undergo denaturation at temperature below 37°C. Some hydroxylysine residues are modified by glycosylation with glucose or glucosyl-galactose.

**[00084]** *Triple helix assembly:* After hydroxylation and glycosylation, three pro- $\alpha$  chains form a procollagen molecule (protomer) that has a central collagenous region of triple helix flanked by the nonhelical N- and C-terminal domains called propeptides.

**[00085]** The formation of procollagen molecule begins with a series of noncovalent interactions between the C-terminal non-collagenous domains of the three pro  $\alpha$  chains, which provide correct alignment for the nucleation of triple helix formation through the middle collagenous domains. This first recognition of C-terminal propeptides selects specific chains for the procollagen assembly. For example, procollagen types I and III are assembled in a type specific manner despite both being synthesized in skin fibroblasts and having high levels of identity in their procollagen  $\alpha$  chain sequences. While collagen I exists as a heterotrimer of two pro  $\alpha 1$  (I) and one pro  $\alpha 2$  (I) chains, collagen III is an obligate homotrimer comprising three pro  $\alpha 1$  (III) chains.

**[00086]** *Secretion:* The procollagen molecules move through the Golgi apparatus, where they are packaged in secretory vesicles. The vesicles fuse with the cell membrane, causing the release of procollagen molecules into the extracellular space.

**[00087]** Sequential biosynthetic events occur in the extracellular space through which procollagen is processed into mature collagen. Such events include N-terminal and C-terminal domain (propeptide) cleavage (by N- and C- proteinase), alignment of collagen molecules that form microfibril (lysine/hydroxylysine terminal NH<sub>2</sub> oxidation (Cu<sup>2+</sup>-containing lysyl oxidase)), and final fibril formation (reducible cross-link formation and maturation of cross-links). The fibrils are immature and lack strength. These immature fibrils are cross linked and gradually form mature collagen fibers. Cross-linkage is a slow process and tensile strength of collagen steadily increases over a long period via growth and reorganization of fibers.

**[00088]** *Extracellular cleavage of propeptides:* For most procollagen molecules, the terminal non-collagenous domains (propeptides) are cleaved off by N- and C-procollagen peptidases, after their release into the extracellular space. The cleaved tropocollagen will cross link one another to form collagen fibers or other structures.

[00089] Many of these propeptides have important functions that are distinct from those of the collagen domains. For example, endostatin, a fragment released from collagen type XVIII, potently inhibits angiogenesis and tumor growth.

[00090] *Formation of collagen fibers*: Individual tropocollagen molecules spontaneously associate to form collagen fibrils. They form an ordered, overlapping, parallel array, with adjacent collagen molecules arranged in a staggered pattern, each overlapping its neighbor by a length approximately three-quarters of a molecule. As used herein, the term “tropocollagen” refers to the collagen subunit in which the N-terminal and C-terminal propeptides are cleaved.

[00091] *Cross-linkage*: Cross linkage is catalyzed by extracellular enzyme lysyl oxidase. This  $\text{Cu}^{2+}$ -containing extra-cellular enzyme oxidatively deaminates some of lysyl and hydroxylysyl residues in collagen. The reactive aldehydes that result (allysine and hydroxyallysine) can condense with lysyl or hydroxylysyl residues in neighboring collagen molecules to form covalent cross-links and, thus, mature collagen fibers then the reactive aldehydes combine with collagen residues to form cross-links.

#### *Degradation of collagen*

[00092] Normal collagen is highly stable, having a half-life as long as several years. However, breakdown of collagen is a key component of any normal tissue that is undergoing morphogenesis and growth. Connective tissue is dynamic and is constantly being remodeled, for example, in response to injury of tissues. It is vital that this process is kept under tight control. Collagen destruction is mediated primarily by the collagenases, which are part of a large family of matrix metalloproteinase (MMPs). Collagenases are specialized enzymes that have evolved specifically to hydrolyze collagens, because the triple helix structure is resistant to most of common proteinases. For example, the cleavage site of collagen I is specific, generating three-quarter and one-quarter length fragments. These fragments are further degraded by other matrix *proteinases* to their constituent amino acids.

[00093] Collagen biosynthesis is tightly regulated during normal development and homeostasis in a cell and in a tissue specific manner. It has been shown that a variety of growth factors and cytokines regulate collagen production during development, inflammation, wound healing and other physiological conditions (e.g., PDGF, TGF-beta, FGF and IGF, IL-1, IFN-gamma, THF-alpha and glucocorticoids). Some of those post-translational enzymes may be attractive targets for the development of drugs to treat collagen accumulation in many fibrotic diseases.

### *Collagen Diseases*

**[00094]** As the main component of connective tissue, it is unavoidable that defects in collagen proteins may affect many systems of human body, from the central nervous system to the musculoskeletal and cardiovascular systems. A wide spectrum of diseases is caused by the more than 1000 mutations that thus have been identified in about 22 collagen genes. These mutations include deletions, small insertions, RNA splicing mutations, nonsense mutations, and/or missense mutations. Some examples of collagen diseases include osteogenesis imperfecta, many chondrodysplasias, several subtypes of the Ehlers-Danlos syndrome, Alport syndrome, Bethlem myopathy, certain subtypes of epidermolysis bullosa, Knobloch syndrome and also some cases of osteoporosis, arterial aneurysms, osteoarthritis, and intervertebral disc disease (See Table 1). The characterization of mutations in additional collagen genes will probably add further diseases to this list.

**Table 1.** Collagen and Diseases

Type	Gene(s)	Characteristics	Proposed function	Cells of origins	Disorders
I	COL1A1 COL1A2	The most abundant collagen of the human body; mostly present in scar tissue, the end product when tissue heals by repair; commonly found in tendons, skin, artery walls, the endomysium of myofibrils, fibrocartilage, and the organic part of bones and teeth.	Fibril forming; provide tensile strength	Fibroblasts; reticular cells; smooth muscle cells	Osteogenesis imperfecta; Ehlers-Danlos Syndrome, types 1,2, 7; Infantile cortical hyperostosis (Caffey's disease)
II	COL2A1	Hyaline cartilage; makes up 50% of all cartilage protein; vitreous humor of the eye; intervertebral disk	Fibril forming; provide tensile strength		Collagenopathy; Hypochondrogenesis; Achondrogenesis type 2; Stickler syndrome; Marshall syndrome; Spondyloepiphyseal dysplasia congenita;

					Spondyloepimetaphyseal dysplasia, strudwick type
III	COL3A1	This is the collagen of granulation tissue, and is produced quickly by young fibroblasts before the tougher type I collagen is synthesized. Reticular fiber. Also found in artery walls, skin, intestines and the uterus.	Fibril forming; fetal skin, blood vessels; provide tensile strength	Fibroblasts; Endothelial cells; reticular cells	Ehlers-Danlos Syndrome (type IV)
IV	COL4A1 COL4A2 COL4A3 COL4A4 COL4A5 COL4A6	Basement membrane; eye lens. Also serves as part of the filtration system in capillaries and the glomeruli of nephron in the kidney.	Network forming; interacts with laminin and heparan sulfate; major component of basement membranes	Podocytes; epithelial and endothelial cells	Alport syndrome; Goodpasture's syndrome
V	COL5A1 COL5A2 COL5A3	Most interstitial tissue, associated with collagen I, associated with placenta.	Connector between basement membrane and stroma, promotes cell attachment and migration	Fibroblasts; smooth muscle cells.	Ehlers-Danlos Syndrome (types 1 and 2, Classic)
VI	COL6A1 COL6A2 COL6A3	Most interstitial tissue, associate with type I collagen. Collagen VI microfibrils are found in a wide	Matrix assembly; attach cells to connective tissues	Fibroblasts	Ullrich congenital muscular dystrophy; Bethlem Myopathy

		variety of extracellular matrices, including muscle, skin, tendon, cartilage, intervertebral discs, lens, internal organs and blood vessels.			
VII	COL7A1	Forms anchoring fibrils in dermal epidermal junctions	Network forming; mostly beneath stratified squamous epithelia. Links basal surface of epithelial cells with underlying connective tissue, anchoring fibers	Fibroblasts	Epidermolysis bullosa dystrophica; recessive dystrophic epidermolysis bullosa; Bart syndrome; Transient bullous dermolysis of the newborn
VIII	COL8A1 COL8A2	Some endothelial cells; Descemet's membrane; cornea	Stabilization of cellular phenotype and maintenance of cellular integrity	Corneal fibroblasts	Posterior polymorphous corneal dystrophy 2; Fuchs' dystrophy 1
IX	COL9A1 COL9A2 COL9A3	FACIT collagen, cartilage, associates with type II and XI fibrils	Fibril associated. Attaches to type II collagen and mediates binding of other connective tissue elements.		Epiphyseal dysplasia, Multiple, 2 (EDM2); EDM 3 and EDM 6
X	COL10A	Hypertrophic	Facilitates		Schmid metaphyseal



	1	and mineralizing cartilage	removal of hypertrophic cartilage; facilitates conversion of cartilage to bone		dysplasia
XI	COL11A1 COL11A2	Cartilage	Regulates the diameter of type II collagen and mediates collagen proteoglycan interactions		Weissenbacher-Zweymuller syndrome; otospondylomegalopiphyseal dysplasia
XII	COL12A1	FACIT collagen, interacts with type I containing fibrils, decorin and glycosaminoglycans	Fibril associated; tendon; ligaments. Attaches to type I collagen and mediates binding of other connective tissue elements	Fibroblasts	Ehlers-Danlos myopathy; similar to Bethlem myopathy
XIII	COL13A1	Transmembrane collagen, interacts with integrin $\alpha1\beta1$ , fibronectin and components of basement membranes like nidogen and perlecan.	Plasma membrane		No known disease
XIV	COL14A1	FACIT collagen; all tissues		Fibroblasts	Palmoplantar keratoderma
XV	COL15A1				No known disease
XVI	COL16A1				Crohn's inflammatory bowel disease

XVII	COL17A 1	Transmembrane collagen, also known as BP180, a 180 kDa protein	Hemidesmosome	Keratinocytes	Bullous pemphigoid and certain forms of epidermolysis bullosa
XVII I	COL18A 1	Source of endostatin; plays roles in retinal structure and in neural tube closure.			Knobloch syndrome
XIX	COL19A 1	FACIT collagen			No known disease
XX	COL20A 1				No known disease
XXI	COL21A 1	FACIT collagen			No known disease
XXII	COL22A 1				No known disease
XXII I	COL23A 1	MACIT collagen			Congenital Hypertrichosis
XXI V	COL24A 1				No known disease
XXV	COL25A 1				Antisocial personality disorder
XXV I	EMID2				No known disease
XXV II	COL27A 1				Steel Syndrome
XXV III	COL28A 1				No known disease
XXI X	COL29A 1	Epidermal collagen			Atopic dermatitis

**[00095]** In addition to diseases of collagen deficiency caused by genetic mutations in genes encoding collagen polypeptides, many autoimmune disorders occur when the immune system affect collagens, such as vascular diseases. Collagen vascular diseases include, but are not limited to, ankylosing spondylitis, dermatomyositis, polyarteritis nodosa, psoriatic arthritis, rheumatoid arthritis, scleroderma and systemic lupus erythematosus.

**[00096]** Furthermore, defects in any one of the many steps in collagen fiber synthesis (e.g., collagen modifying enzyme defects) can result in a genetic disease involving an inability of collagen to form fibers properly and, thus, provide tissues with the needed tensile strength normally provided by collagen.

*Collagen medical uses*

[00097] Collagen is widely used in the medical field. The most common use of collagen is in cosmetic surgery and as wound healing aids in burn patients. Collagen can be used in the construction of artificial skin substitutes used in the management of severe burns. Collagen is widely used as reconstruction of bone, and for a wide variety of dental, orthopedic and surgical purposes. Other uses include wound dressing and as matrices for tissue growth.

[00098] Because of the biochemical features of collagen, collagen has been used in many other fields, such as applications in cell culture (for cell attachment, studying cell behavior and cellular interaction with the extracellular environment, etc.); as barrier films/sheets; for drug delivery such as collagen hydrogel, collagen-liposomes, collagen nanoparticles/nanosphere, and collagen tablets/pellets, biodegradable materials and substitutes.

[00099] Collagen medical uses are widely discussed in the art, such as collagen sponges for drug delivery (see e.g., U.S. Pat Nos. 3,157,524; 4,412, 947; and 5,512,301); collagen film (see, e.g., U.S. Pat. No. 3,014,024); collagen hydrogel (see, e.g., U.S. Pat Nos. 5, 108, 424; 5,213,701); collagen as wound healing agents (see, e.g., U.S. Pat Nos. 3,810,473; 4,841,962; 4,837,285; 4,925,924; 5,081,106; and 5,766,631); making contact lens (see, e.g., U.S. Pat Nos. 4,268,131); collagen nanoparticles (See, e.g., U.S. Pat. Nos. 5,932,245; and 8,668,926; and U.S. patent publication No. 20130323311); nerve repair (see, e.g., U.S. patent publication No. 20110276066); collagen implants for a variety of purposes such as cartilage repair, prosthetics, orthopedic grafts, tendon replacement implant, implant for soft tissue and bone implant (see, e.g., U.S. Pat. Nos. 3, 272,204; 4,424,208; 5,171,273; 5,523,291; 6,080,194; 7,544,212; and 7, 595,062; and U.S. patent publication Nos. 20080305517; 2010108945; and 20110264237); modified collagen for therapeutic and diagnostic uses (see, e.g., U.S. Pat. Nos. 7,183,383 and 8, 283,414; and U.S. patent publication No. 20130116405).

*Collagen production*

[000100] Most of collagen used for medical purpose is bovine collagen from certified BSE (Bovine spongiform encephalopathy) free cattle. Other commonly used include porcine tissue and equine tissue. In some cases, a human patient's own fat, hyaluronic acid or polyacrylamide gel are also used. Human collagen may be extracted from donor cadavers, placentas and aborted fetuses, which has a low possibility of immune reactions.

[000101] Many recombinant techniques have been developed for producing recombinant collagen proteins. Those methods for producing recombinant collagen proteins through bioengineering are well known to skilled in art. Some exemplary methods include production of human recombinant collagen in the milk of transgenic animals (see, e.g., U.S. Pat. No. 5,667,839; 5,895,833; 5,962,648; and 6,111,165); production of mammal recombinant collagen in plant cells (see, e.g., U.S. Pat. Nos. 6,617,431; 7,232,886); production of mammal recombinant collagen in mammalian cells, insects, and microorganisms such as bacteria and yeast (see, e.g., U.S. Pat. Nos. 6,150,081; 7,932,353; 8,084,579; 8,188,230; and U.S. patent publication No. 20020142391; 20140107036); production of recombinant chimeric triple helical collagen (see, e.g., PCT patent publication No. WO2010071938); fusion proteins with three  $\alpha$  chain polypeptides (see, e.g., U.S. patent publication No. 20130237486); and stimulating fibroblast cells to express native collagen proteins (see, e.g., U.S. patent publication No. 20100239556).

*Animal models for collagen associated diseases*

[000102] Mice with genetically engineered collagen mutations have proved valuable for defining the functions of various collagens and for studying many aspects of the related diseases and physiological functions of collagen. For example, COL4A3 knock-out mice are used as models for Alport syndrome (Cosgrove et al., *Genes Dev.*, 1996, 10, 1403-1413).

[000103] According to the present invention, studies are designed to inject collagen IV, either extracted from collagen IV containing tissues, or produced by recombinant methods, intravenously or by any other suitable delivery routes, to mouse models of Alport syndrome. A comprehensive analysis of collagen IV incorporation into glomerular basement membrane (GBM), histological features of GBM and other collagen IV function assays such as collagen IV receptor binding, interaction with other GBM components, cell migration and differentiation and/or biomarker measurement, are conducted after administering collagen IV to mice with Alport-like syndromes. Following administration, mice treated with collagen IV replacement are analyzed for renal functions, such as urine analysis of hematuria, proteinuria, albumin-to-creatinine ratio, or estimated glomerular filtration rate.

Collagen IV

[000104] Collagen IV is the most abundant protein found in extracellular basement membranes. There are six genetically distinct collagen IV  $\alpha$  chains,  $\alpha 1$  through  $\alpha 6$  encoded by six genes

COL4A1 to COL4A6, that assemble to form three different heterotrimers (referred to as protomers):  $\alpha 1$ - $\alpha 1$ - $\alpha 2$ ,  $\alpha 3$ - $\alpha 4$ - $\alpha 5$ , and  $\alpha 5$ - $\alpha 5$ - $\alpha 6$ . The amino acid sequence of each  $\alpha$  chain polypeptide is listed in Table 2, including their UniProt accession numbers (where more than one isoform is known, isoform 1 is shown). It is understood to one skilled in the art that the representative sequences also include any variants and derivatives that do not substantially change each polypeptide. Each collagen IV alpha chain can be divided into three domains: the 7S domain, a small non-collagenous N-terminal domain; a major collagenous domain in the middle region (about 1400 amino acid residues); and the NC1 domain, a non-collagenous globular domain constituting the C-terminal domain (about 230 residues).

**[000105]** Like all collagen chains, the collagenous domains of collagen IV chains contain numerous Gly-X-Y amino acid triplet repeats, where proline and hydroxyproline are frequently located at positions X and Y. The presence of glycine as each third amino acid is also essential, as it is the only amino acid small enough to fit into the center of the triple helix in collagenous proteins. However, unlike fibril-forming collagen of bone and cartilage, the Gly-X-Y repeat region of collagen IV displays multiple interruptions (i.e. about 20 short non-collagenous sequences), imparting flexibility to the collagen IV protomer and to the network that it forms in basement membranes.

**[000106]** The three  $\alpha$  chains of collagen IV protomers are organized into triple helices in the 7S and the major collagenous domains, but in the NC1 domain each chain is folded into a globular structure, stabilized by intrachain disulfide bonds. During the assembly of the heterotrimer, the NC1 domains initiate a molecular interaction between three  $\alpha$  chains, and protomer trimerization then proceeds in a zipper like format from the C-terminal end, resulting in a fully assembled protomer. Two collagen IV protomers form an end to end dimer through their C-terminal NC1 domains which forms a NC1 hexamer, and next, four protomers form tetramers through the dodecameric interactions of the N-terminal 7S domains and polymerize into complex collagen IV network. They are heavily linked via the disulfide bonds, unusual covalent sulfilimine (S=N) chemical bonds that cross-link methionine and hydroxylysine residues at the interface of adjoining triple helical protomers, and lysyl oxidase-mediated crosslinks (Borza et al., *PNAS*, 2014, 111(1), 331-336).

**[000107]** Collagen IV uniquely contains, among collagen types, sulfilimine bonds (S=N) (Fidler et al., *Proc Natl Acad Sci USA*. 2014, 111(1), 331-336), which are catalyzed by peroxidase, an

extracellular matrix associated peroxidase. The sulfimine bonds are located between pairs of trimeric NC1 domains, driving the formation of the collagen IV network (Vanacore et al., *Science*, 2009, 325, 1230-1234). In humans, peroxidasin is expressed most highly in the endothelium.

**Table 2.** Collagen IV  $\alpha$  chains and Sequences

$\alpha$ chain	Gene	UniProt access ion No.	SEQ ID NO	Sequence
$\alpha 1$	COL4A1	P02462	1	MGPRLSVWLLLLPAALLLHEEHSRAAAKGGCAGSGCG KCDCHGVKQKGERGLPGLQGVIQFPGMQGPEGPQGP PGQKGDGTGEPGLPGTKGTRGPPGASGYPGNPLPGIPG QDGPPGPPGIPGCNGTKGERGPLGPPGLPGFAGNPGPPG LPGMKGDPEILGHVPGMLLKGERGFPGIPGTPGPPGLP GLQGPVGPFGFTGPPGPPGPPGPPGEGKQMGLSFQGP GDKGDQGVSGPPGVPGQAQVQEKGFATKGEKGQKG EPGFQGMPPGVGEKGEPGKPGPRGKPGKDGDGKEKGS GFPGEPPGYPLIGRQGPQGEKGEAGPPGPPGIVIGTGPL GEKGERGYPGTPGPRGEPGPKGFPLPGQPGPPGLPVP GQAGAPGFPGERGEKGDRGFPGTSLPGPSGRDGLPGPP GSPGPPGQPGYTNGIVECQPGPPGDQGPPIPGQPGFIGE IGEKGQKGESCLICDIDGYRGPDPGPPGPPGEGIFPGQPG AKGDRGLPGRDGVAGVPGPQGTPLIGQPGAKGEPGE FYFDLRLKGDKGDPGFPGQPGMPGRAGSPGRDGHPL PGPKGSPGSVGLKGERGPPGGVGFPGSRGDTGPPGPPG YGPAGPIGDKGQAGFPGGPGSPGLPGPKGEPGKIVPLPG PPGAELPGSPGFPGPQGDGFGTPGPRGLPGKEGAV GQPGIGFPGPPGPKGVDGLPGDMGPPGTGPRPGFNGLP GNPGVQGGQKEPGVGLPGLKGLPGLPGIPGTPGEKGS GVPGVPGEHGAIGPPGLQGIRGEPGPPGLPGSVGSPGVP GIGPPGARGPPGGQGPGLSGPPGIKGEKGFPGFGLDM PGPKGDKGAQGLPGITGQSGPLPGPQQGAPGIPGFPGS KGEMGVMGTPGQPGSPGPGVAPGLPGKEKGDHGFPGSS GPRGDPGLKGDKGDVGLPGKPGSMDKVDMGSMKGQ KGDQGEKGQIGPIGEKGSRGDPGTPGVPGKDQAGQP GQPGPKGDPGISGTPGAPGLPGPKGSVGGMGLPGTPGE KGVPGIPGPQGSPLPGDKGAKGEKGQAGPPGIGIPGLR GEKGDQGIAGFPSPGEKGEKGSIGIPGMPGSPGLKGS GSVGYPGSPGLPGKEKGDGLPGLDGIPGVKGEAGLPGT PGPTGPAGQKGEPSDGI PGSAGEKGEPLPGRGFPGFP GAKGDKGSKGEVGFPLAGSPGIPGSKGEQGFMGPPGP QQQPGLPGSPGHATEGPKGDRGPQGQPLPGLPGMPMP PGLPGIDGVKGDKNPGWPGAPGVPGKGDPGFQGM GIGGSPGITGSKGDMGPPGVPGFQGPGLPGLQGIGKD QGDQGVPGAKGLPGPPGPPGPDIIKGEPLPGPEGPPG LKGLQGLPGPKGQQGVTVGLVGIPGPPGIPGFDGAPGQK

				GEMGPAGPTGPRGFPGPPGPDGLPGSMGPPGTPSVDHG FLVTRHSQTIDDPQCPSGKILYHGYSLLYVQGNERAH GQDLGTAGSCLRKFSTMPFLFCNINNVCNFASTRNDYSY WLSTPEPMPMSMAPITGENIRPFISRCVCEAPAMVMA VHSQTIQIPPCPSGWSSLWIGYSFVMHTSAGAEGSGQAL ASPGSCLEEFRSAPFIECHGRGTCNYYANAYSFWLATIE RSEMFKKPTPSTLKAGELRTHVSRQCQVCMRRT
$\alpha 2$	COL 4A2	P0857 2	2	MGRDQRAVAGPALRRWLLGTVTVGFLAQSVLAGVK KFDVPCGGRDCSGGCQCYPEKGGRGQPGVPQGYNG PPGLQGFPGLQGRKGDKGERGAPGVTGPKGDVARGV SGFPGADGIPGHPGQGGPRGRPGYDGCNGTQGDSPQ GPPGSEFTGPPGPQGPQGKQKGEPYALPKEERDRYRGE PGEPGLVGFQGPGRPGHVGMGPVGAPGRPGPPGPPG PKGQQGNRGLGFYGVKGEKGDVGQPGPNGIPSDLHPI IAPTGVTFHPDQYKGEKGSEGEPIRGISLKGEEGIMGF PGLRGYPGLSGEKGSPGQKGSRLDGYQGPDPGRGPK GEAGDPGPPGLPAYSPHPSLAKGARGDPGFPQAQGEPP SQGEPGDPGLPGPPGLSIGDGDQRRGLPGEMGPKGFIG DPGIPALYGGPPGPDGKRGPPGPPGLPGPPGPDGFLGL KGAKGRAGFPGLPGSPGARGPKGWKGDAAGECRCTEG DEAIKGLPGLPGPKGFAGINGEPGRKGDGDPGQHGLP GFPGLKGVPGNIGAPGPKGAKGDSRTITTKGERGQPGV PGVPGMKGDDGSPGRDGLDGFPLPGPPGDGIKGPBGD PGYPGIPGTKGTPGEMGPPGLGLPGLKGQRGFPDAGL PGPPGFLGPPGPAGTPGQIDCDTDVKRAVGGDRQEAIG PGCIGGPKGLPGLPGPPGPTGAKGLRGIPGFAGADGGPG PRGLPGDAGREGFPGPFGFIGPRGSKGAVGLPGPDGSPG PIGLPGPDGPPGERGLPGEVLGAQPGPRGDAGVPGQPG LKGLPGDRGPPGFRGSQGMPPGMPGLKGQPLPGPSGQ PGLYGPPGLHGFPGAPGQEGPLGLPIPGREGLPGDRG DPGDTGAPGPVGMKGLSGDRGDAGFTGEQGHGSPGPF KGIDGMPGTPGLKGDRGSPGMDGFQGMPLKGPRGPF GSKGEAGFFGIPGLKGLAGEPGFKGSRGDPGPPGPPVI LPGMKDIKGEKGDEGPMGLKGYLGAKGIQGMPIGL SGIPGLPGRPGHIKGVKGDIGVPGIPGLPGFPGVAGPPGI TGFPFGISRGDKGAPGRAGLYGEIGATGDFGDIGDTIN LPGRPGLKGERGTTGIPGLKGFFGEKGTEGDIGFPGITG VTGVQGPPGLKGQTGFPGLTGPPGSQGELGRIGLPGGK GDDGWPGAPGLPGFPGLRGIRGLHGLPGTKGFPGSPGS DIHGDPPGPPGERGDPGEANTLPGPVGVPGQKGDQG APGERGPPGSPGLQGFPGITPPSNISGAPGDKGAPGIFGL KGYRGPPGPPGSAALPGSKGDTGNPGAPGTPGTKGWA GDSGPQGRPGVFGLPGEKGPRGEQGFMGNTGPTGAVG DRGPKGPKGDPGFPGAPGTVGAPGIAIPQKIAVQPGT VGPQGRRGPPGAPGEMGPQGPPEGPFGRGAPGKAGPQ GRGGVSAVPGFRGDEGPIGHQGPIQEGAPGRPGSPGL PGMPGRSVSIGYLLVKHSQTDQEP MCPVGMNKLWSGY SLLYFEGQEKAHNQDLGLAGSCLARFSTMPFLYCNPGD VCYYASRNDKSYWLSTTAPLPMMPVAEDEIKPYISRCS VCEAPAIAIAVHSQDVSIHPCPAGWRSLWIGYSFLMHT

				AAGDEGGGQSLVSPGSCLEDFRATPFIECNGGRGTCHY YANKYSFWLTTIPEQSFQGSADTLKAGLIRTHISRCQ VCMKNL
$\alpha 3$	COL 4A3	Q019 55	3	MSARTAPRPQVLLLPLLLVLLAAAPAASKGCVCKDKG QCFCDGAKGEKGEKGFPGGPGSPGQKGFTGPEGLPGPQ GPKGFPGLPGLTGSKGVRGISGLPGFSGSPGLPGTPGNT GPYGLVGVPGCSGSKGEQGFPLPGTPGYPGIPGAAGL KGQKGAPAKGEDIELDAKGDPGLPGAPGPQGLPGPPGF PGPVGPPGPPGFFGFPGAMGPRGPKGHMGERVIGHKGE RGVKGLTGPPGPPGTIVIVTLTGPDNRTDLKGEKGDKGA MGEPGPPGSPGLPGESYGSEKGAPGDPGLQKPKGKDG VPGFPGSEGVKGNRGFPGLMGEDGIKQKGDIGPPGFR GPTEYYDTYQEKGDGTPGPPGPRGARGPQGSPGPPGV PGSPGSSRPGLRGAPGWPLKGSKGERGRPGKDAMGT PGSPGCAGSPGLPGSPGPPGPPGDIVFRKGPPGDHGLPG YLGSPGIPGVDGPKGEPGLLCTQCPYIPGPPGLPGLPGL HGVKGIPGRQGAAGLKGSPGSPGNTGLPGFPGFPGAQG DPGLKGEKGETLQPEGQVGVPGDPLRGQPGRKGLDG IPGTLGVKGLPGPKGELALSSEKGDQGPDPGSPGSP GPAGPAGPPGYGPQGEPLQGTQGVPGAPGPPGEAGPR GELSVSTPVPGPPGPPGPPGHPGPQGPPIGSLGKCGD PGLPGPDGEPGIPGIGFPGPPGPKGDQGFPGTKGSLGCP GKMGEPLPGKPLPGAKGEPVAMPGGPGTPGFPGGE RGNSGEHGEIGLPLPLPGTPGNEGLDGPRGDPGQPG PPGEQGPGRGIEGPRGAQGLPLNGLKGQQGRRGKTG PKGDPGIPGLDRSGFPGETGSPGIPGHQGEMGPLGQRG YPGNPILGPPGEDGVIGMMGFPGAIGPPGPPGNPGTPG QRGSPGIPGVKQQRGTPGAKGEQGDKNPGPSEISHVI GDKGEPGLKGFAGNPGEKGNRGVPGMPGLKGLKGLPG PAGPPGPRGDLGSTGNPGEPLRGIPGSMGNMGMPGS KGKRGTGLGFPGRAGRPGLPGIHGLQGDKGEPGYSEGTR PGPPGPTGDPGLPGDMGKKGEMGQPPGHLGPAGPE GAPGSPGSPGLPGKPGPHGDLGFKGIKGLLGPPIRGPP GLPGFPGSPGPMGIRGDQGRDGIPGAGEKGETGLLRA PPGPRGNPGAQGAQKGDGAPGFPGLPGRKGAMGDAGP RGPTGIEGFPGPPGLPGAIIPGQTGNRGPPGSRGSPGAPG PPGPPGSHVIGIKGDKGSMGHPGPKGPPGTAGDMGPPG RLGAPGTPGLPGPRGDPGFQGFPGVKGEKGNPGFLGSI GPPGPIGPKGPPGVRGDPGTLKIISLPGSPGPPGTPGEPG MQGEPGPPGPPGNLPGCPGPRGKPKGDKGKPGTPGPAGE KGNKGSKEPGPAGSDGLPGLKGKRGDSGSPATWTR GFVFTRHSQTTAIPSCPEGTVPVLYSGFSFLVQGNQRAH GQDLGTLGSCLQRFTTMPFLFCNVNDVCNFASTRNDYS YWLSTPALMPMNMAPITGRALEPYISRCTVCEGPAIAIA VHSQTTDIPPCPHGWISLWKGFSFIMFTSAGSEGTGQAL ASPGSCLEEFRAFPFLECHGRGTCNYYSNSYSFWLASL NPERMFRKPIPSTVKAGELEKIISRCQVCMKKRH
$\alpha 4$	COL 4A4	P5342 0	4	MWSLHIVLMRCSFRLTKSLATGPWSLILILFSVQYVYGS GKKYIGPCGGRDCSVCHCVPEKGSRGPPGPPGPQGPPIG LGAPGPIGLSGEKGMRGDRGPPGAAGDKGDKGPTGVP



				GFPGLDGIPGHPGPPGPRGKPGMSGHNGSRGDPGFPGG RGALPGGGPLGHPGEKGEKGNVSFILGAVKGIQGDRGD PGLPGLPGSWGAGGPAGPTGYPGEPGLVGPPGQPRPG LKGNPGVGVKGQMGDPGEVGGQGSPTLLVEPPDFC LYKGEKGIKGIPGMVGLPGPPGRKGESGIGAKGEKGIPG FPGPRGDPGSYGSFGFGLKGELGLVGDPLFGLIGPKG DPGNRGHPGPPGVLVTPPLPLKGPPGDPGFPGRYGETG DVGPPGPPGLLGRPGEACAGMIGPPGPQGFPGLPGLPGE AGIPGRPDSAPGKPGKPGSPGLPGAPGLQGLPGSSVIYC SVGNPGPQGIKGVGPPGGRGPKGEKNEGLCACEPGP MGPPGPPGLPGRQGSKGDGLPGWLGTKGDPGPPGAE GPPGLPGKHGASGPPGNKGAKGDMVVS RVKHKGER GPDGPPGFPGQPGSHGRDGHAGEKGDPPGPDHEDAT PGGKGFPGPLGPPGKAGPVGPPGLGFPGPPGERGHPGV PGHPGVRGPDGLKGQKGD TISCNV TYPRHGPPGFDGP PGPKGFPGPQGAPGLSGSDGHKGRPGTPTAEIPGPPGF RGDMGDPGFGGEKGSSPVGPPGPPGSPGVNGQKGIPGD PAFGHLGPPGKRGLSGVPGIKGPRGDPGCPGAEGPAGIP GFLGLKGPKGREGHAGFPVPGPPGHSCERGAPGIPGQ PGLPGYPGSPGAPGGKGQPGDVGPPGPAGMKGLPLGP GRPGAHGPPGLPGIPGPFDDGLPGPPGPKGPRGLPGFP GFPGERGKPGAEGCPGAKGEPGEKGMSGLPGDRGLRG AKGAIGPPGDEGEMAIISQKGTPEGPPGDDGFPERG DKGTPGMQGRRGELGRYGPPGFHRGEPGEKGQPGPPG PPGPPGSTGLRGGIFGFPGLPGDQGEPSGPPGFSGIDGA RGPKGNKGDPASHFGPPGPKGEPGSPGCPGHFGASGEQ GLPGIQGPRGSPGRPGPPGSSGPPGCPGDHGMPLRGQP GEMGDPGPRGLQGDPIPGPPGIKGPSGSPGLNGLHGL KGQKGTKGASGLHDVGPPGPVGIPGLKGERGDPGSPGI SPPGPRGKKGPPGPPGSSGPPGPAGATGRAPKDIPDPGP PGDQGP GPDGPRGAPGPPGLPGSVDLLRGEPGDCGLP GPPGPPGPPGPPGYKGFPGCDGKDQKGPMGFPGPQGP HGFPGPPGEKGLPGPPGRKGPTGLPGPRGEPGPPADVD DCPRIPGLPGAPGMRGPEGAMGLPGMRGPPGPGCKGEP GLDGRRGVDGVPGSPGPPGRKGDTGEDGYPGGPPG PIGDPGPKGFGPGYLGGFLLVLHSQTDQEPTCPLGMPRL WTGYSLLYLEGQEKAHNQDLGLAGSCLPVFSTLPFAYC NIHQVCHYAQRNDRSYWLASAAPLPMPLSEEAIRPY VSRCAVCEAPAQAVAVHSQDQSIPPCPQTWRSLWIGYS FLMHTGAGDQGGGQALMSPGSCLEDFRAAPFLECQGR QGTCHFFANKYSFWLTTVKADLQFSSAPAPDTLKESQA QRQKISRCQVCVKYS
$\alpha 5$	COL 4A5	P2940 0	5	MKLRGVSLAAGLFLALSLWGQPAEAAACYGCSPGSK CDCSGIKGEKGERGFPGLEGHPGLPGFPGPEGPPGPRGQ KGDDGIPGPPGPKGIRGPPGLPGFPGTGLPGMPGHDGA PGPQGIPGCNGTKGERGFPGSPGFPLQGPPGPPGIPGM KGEPGSIIMSSLPGPKGNPGYPGPPGIQGLPGTGIPGPIG PPGPPGLMGPPGPPGLPGPKGNMGLNFQGPKEKGEQ GLQGPPGPPGQISEQKRPIDVEFQKGDQGLPGDRGPPGP PGIRGPPGPPGGEKGEKGEQGEPEGKRGKPKGDGENGQP

				<p>GIPGLPGDPGYPGEPGRDGEKGQKGGDTGPPGPPGLVIPR  PGTGTIGEEKGNIGLPGLPGEKGERGFPGIQGPPGLPGPP  GAAVMGPPGPPGFPGERGQKGDEGPPGISIPGPPGLDGO  PGAPGLPGPPGAPGPHPPSDEICEPGPPGPPGSPGDKGL  QGEQGVKGDKGDTCFNCIGTGISGPPGQPLPGLPGPP  GSLGFPGQKGEKGQAGATGPKGLPGIPGAPGAPGFPGS  KGEPGDILTTPGMKGDKGELGSPGAPGLPGLPGTPGQD  GLPGLPGPKGEPGGITFKGERGPPGNPGLPGLPGNIGPM  GPPGFGPPGPVGEKGIQGVAGNPGQPGIPGPKGDPGQTI  TQPGKPLPGNPNRDRDGLVGLPGDPGLPGQPLPGIPGS  KGEPGIPGIGLPGPPGPKGFPPIPGPPGAPGTPGRIGLEGP  PGPPGFPGPKGEPGFALPGPPGPPGLPGFKGALGPKGDR  GFPGPPGPPGRTGLDGLPGPKGDVGPNGQPMPGPPGL  PGIGVQGP GPPGIPGPIGQPLHGIPGEKGDPGPPGLDV  PGPPGERGSPGIPGAPGPIGPPGSPGLPGKAGASGFPGTK  GEMGMMGPPGPPGPLGIPGRSGVPGLKGGDGLQGQPG  LPGPTGEKGSKGEPGLPGPPGMDPNLLGSKGEKGEPG  LPGIPGVSGPKGYQGLPGDPGQPLSGQPLPGPPGPKG  NPGLPGQPLIGPPGLKGTIGDMGFGPPQGVGPPGPSG  VPGQPGSPGLPGQKGDKGDPGISSIGLPGLPGPKGEPGL  PGYPGNPGIKGSVGDPLPGLPGTPGAKGQPLPGFPG  TPGPPGPKGISGPPGNPGLPGEPPVGGGGHPGQPPPG  EKGKPGQDGIPGPAGQKGEPPGQPGFNP GPPGLPGLSG  QKGDGGLPGIPGNPGLPGPKGEPGFHGFPGVQGP GPP  GSPGPALEGPKGNPGPQGPGRPLPGPEGPPGLPGNG  GIKGEKGNPGQPLPGLPGLKGDQGPGLQGNPGRPL  NGMKGDPGLPGVPGFPGMKGPSVPGSAGPEGEPLIG  PPGPPGLPGPSGQSIIKGDAGPPGIPGQPLKGLPGPQG  PQGLPGPTGPPGDPGRNGLPGFDGAGGRKGDPLPGQP  GTRGLDGP GPDGLQGPPGPPGTSSVAHGFLITRHSQTT  DAPQCPQGT LQVYEGFSLLYVQGNKRAHGQDLGTAGS  CLRRFSTMPFMFCNINNVCNFA SRNDYSYWLSTPEPMP  MSMQPLKGQSIQPFISRC AVCEAPAVVIAVHSQTIQIPH  CPQGWDSLWIGYSFMMHTSAGAEGSGQALASPGSCLE  EFRSAPFIECHGRGTCNYYANSYSFWLATVDVSDMFSK  PQSETLKAGDLRTRISRCQVCMKRT</p>
$\alpha 6$	COL 4A6	Q140 31	6	<p>MLINKLWLLVTLCLTEELAAAGEKSYGKPCGGQDCS  GSCQCFPEKGARGRPGPIGIQGPTGPQGFTGSTGLSGLK  GERGFPGLLGPGYGPKGDKGPMGVPGFLGINGIPGHPGQ  PGPRGPPGLDGCNGTQGA VGFP GPDGYPLLGPPGLPG  QKGSKGDPVLAPGSFKGMKGDPGLPGLDGITGPQGAP  GFP GAVGPAGPPGLQGPPGPPGPLGPDGNMGLGFQGEK  GVKGDVGLPGPAGPPPSTGELEFMGFPGKKGSKGEPG  PKGFPLRGPPGFPLGTTGEKGEKGEKGIPGLPGPRGP  MGSEGVQGP GQGGKGT LGFPGLNGFQGIEGQKGDI  GLPGPDVFIDIDGAVISGNPGDPGDPGLPGLKGDEGIQG  LRGPSVPGLPALSGVPGALGPQGFPGLKGDQGNPGR  TIGAAGLPGRDGLPGPPGPPGPPSPEFETETLHNKEAGFP  GLRGEQGPKNLGLKGIGKDSGFCACDGGVPNTGPPG  EPGPPGPWGLIGLPGLKGARGDQGS GGAQGPAGAPGL</p>

				VGPLGPSGPKGKKGEPILSTIQGMPGDRGDSGSQGFRG VIGEPGKDGVPGLPGLPGLPGDGGQGFPEKGLPGLPG EKGHPGPPGLPGNGLPGLPGPRGLPGDKGKDGLPGQQ GLPGSKGITLPCIIPGSYGPSGFPGTGFPGPKGSRGLPGT PGQPGSSGSKGEPGSPGLVHLPELPGFPGPRGEKGLPGF PGLPGKDGLPGMIGSPGLPGSKGATGDIFGAENGAPGE QGLQGLTGHKGFLGDSGLPGLKGVHKGKPGLLGPKGER GSPGTPGQVVGQPGTPGSSGPYGIKGSGLPGAPGFPGIS GHPGKKGTRGKKGPPGSIVKKGLPGLKGLPGNPGLVGL KGSPGSPGVAGLPALSGPKGEKGSVGFVGFPGIPGLPGI SGTRGLKGIPGSTGKMGPSGRAGTPGEKGDGRNPGPVG IPSRRPMSNLWLKGDKGSQGSAGSNGFPGPRGDKGEA GRPGPPGLPGAPGLPGIIGVSGKPGPPGFMGIRGLPGL KGSSGITGFPMPGESGSQGIRGSPGLPGASGLPGLKGD NGQTVEISGSPGPKGQPGESGFKGTKGRDGLIGNIFPG KKGEDGKVGVSVDVGLPGAPGFPGVAGMRGEPGLPGS SGHQGAIGPLGSPGLIGPKGFPFGLHGLNGLPGTKGT HGTPGPSITGVPGAPGLPGPKGEKGYPGIGIGAPGKPL RGQKGDRGFPLQGPAGLPAGPISLPSLIAGQPGDPGR PGLDGERGRPGPAGPPGPPGPSSNQGDGTGDPGFPGIPGP KGPKGDDQGIPGFSGLPGELGLKGMRGEPGFMGTPGKV GPPGDPGFPGMKGKAGPRGSSGLQGHPGQTPTAEAVQ VPPGPLGLPGIDGIPGLTGDPGAQGPVGLQGSKGLPGIP GKDGPSGLPGPPGALGDPGLPGLQGPPGFEGAPGQQGP FGMPGMPGQSMRVGYTLVKHSQSEQVPPCPIGMSQLW VGYSLLFVEGQEKAHNQDLGFAAGSCLPRFSTMPFIYCNI NEVCHYARRNDKSYWLSTTAPIPMMPVSQTQIPQYISR CSVCEAPSQAIAVHSQDITIPQCPLGWRLWIGYSFLMH TAAGAEGGGQSLVSPGSCLEDFRATPFIECSGARGTCH YFANKYSFWLTTVEERQQFGELPVSETLKAGQLHTRVS RCQVCMKSL
$\alpha 1$	NC1 doma in	P0246 2[144 5- 1669]	7	GFLVTRHSQTIDDPQCPSGTKILYHGYSLLYVQGNRA HGQDLGTAGSCLRKSTMPFLFCNINNVCNFASRNDYS YWLSTPEPMPSMAPITGENIRPFISRCVCEAPAMVM AVHSQTIQIPCPGSSWSSWIGYSFVMHTSAGAEGSGQ ALASPGSCLEEFRSAPFIECHGRGTCNYYANAYSFWLA TIERSEMFKKPTPSTLKAGELRTHVSRQVCMRRT
$\alpha 2$	NC1 doma in	P0857 2[148 9- 1712]	8	GYLLVKHSQTDQPMCPVGMNKLWSGYSLLYFEGQE KAHNQDLGLAGSCLARFSTMPFLYCNPGDVCYYASRN DKSYYWLSTTAPLPMMPVAEDEIKPYISRCVCEAPAIAI AVHSQDVSIHCPAGWRLWIGYSFLMHTAAGDEGGG QSLVSPGSCLEDFRATPFIECNGGRGTCHYYANKYSFW LTTIPEQSFQGPSADTLKAGLIRTHISRCQVCMKNL
$\alpha 3$	NC1 doma in	Q019 55[14 55- 1669]	9	GFVFTRHSQTTAIPSCPEGTVPVLYSGFSFLVQGNQRAH GQDLGTLGSLQRFTTMPFLFCNVNDVCNFASRNDYS YWLSTPALMPMNMAPITGRALEPYISRCTVCEGAIAIA VHSQTTDIPCPHGWISLWKGFSFIMFTSAGSEGTGQAL ASPGSCLEEFRASPFIECHGRGTCNYYSNSYSFWLASL NPERMFRKPIPVKAGELEKIISRCQVCMKKR
$\alpha 4$	NC1	P5342	10	GFLVLHSQTDQEPTCPLGMPRLWTGYSLLYLEGQEKA

	doma in	0[146 5- 1690]		HNQDLGLAGSCLPVFSTLPFAYCNIHQVCHYAQRNDRS YWLASAAPLPMPLSEEAIRPYVSRCAVCEAPAQAVA VHSQDQSIPPCPQTWRSWIGYSFLMHTGAGDQGGGQ ALMSPGSCLEDFRAAPFLECQGRQGTCHFFANKYSFWL TTVKADLQFSSAPAPDTLKESQAQRQKISRCQVCVKYS
$\alpha 5$	NC1 doma in	P2940 0[146 1- 1685]	11	GFLITRHSQTDDAPQCPQGTQVYEGFSLLYVQGNKRA HGQDLGTAGSCLRRFSTMPFMFCNINNVCNFASTRNDYS YWLSTPEPMPMSMQPLKGQSIQPFISRCVCEAPAVVIA VHSQTIQIPHCPQGWSLWIGYSFMMHTSAGAEGSGQ ALASPGSCLEEFRSAPFIECHGRGTCNYYANSYSFWLAT VDVSDMFSKPQSETLKAGDLRTRISRCQVCMKRT
$\alpha 6$	NC1 doma in	Q140 31[14 67- 1691]	12	GYTLVKHSQSEQVPPCPIGMSQLWVGYSLLFVEGQEK HNQDLGFAGSCLPRFSTMPFIYCNINEVCHYARRNDKS YWLSTTAPIPMMPVSQTQIPQYISRCVCEAPSQAIAVH SQDITIPQCPLGWSLWIGYSFLMHTAAGAEGGGQSLV SPGSCLEDFRATPFIECSGARGTCHYFANKYSFWLTTVE ERQQFGELPVSETLKAGQLHTRVSRQVCMSKSL

### Post-translational modifications

**[000108]** Similar to other collagen types, collagen IV molecules undergo extensive post-translational modification prior to secretion and this modification consists of the hydroxylation of appropriate proline and lysine residues, and the glycosylation of certain hydroxylysine residues to galactosylhydroxylysine and glucosylgalactosylhydroxylysine (reviewed in Bornstein and Sage, *Annu. Rev. Biochem.*, 1980, 49, 957-1004). Collagen IV molecules may also be modified by the addition of asparagine-linked oligosaccharide side chains (Cooper et al., 1981; and Kurkinen et al., 1982). The extent of intracellular modifications in collagen IV is the highest among all the collagen types. Abnormal modification of collagen IV may affect the secretion of collagen IV (Wang et al., *J. Bio.Chem.*, 1989, 264, 15556-15564).

**[000109]** Enzymes required for collagen IV modifications include prolyl-4 hydroxylase, prolyl-3-hydroxylase, lysyl hydroxylase, galactosyltransferase, glucosylgalactosyltransferase, and the asparagine-linked glycosylation machinery. Variants in the extent of modifications can also be found within the same type of collagen IV molecule, from different tissues, or even the same tissue in many physiological and pathological conditions (Kivirikko and Myllyla, *Methods Enzymol.*, 1982, 82, 245-304).

**[000110]** Properly modified collagen IV is important for cell differentiation (such as F9 stem cells) (Wang et al., *J. Bio.Chem.*, 1989, 264, 15556-15564).

**[000111]** Range of 3-hydroxyproline in Collagen IV is estimated to be between 6-16 3-hydroxyproline residues per 1000 amino acids (i.e. about 0.3% to 1.6% ). Range of 4-hydroxyproline in Collagen IV is estimated to be 65-140 4-hydroxyproline residues per 1000 amino acids (i.e. about 6.5 to 14%) ( see, e.g., Pokidysheva et al., *Proc Natl Acad Sci USA*. 2014, 111(1), 161-166; Tiainen et al., *J Biol Chem*. 2008, 283(28),19432-19439; Price and Spiro, *J Biol Chem*., 1977, 252(23), 8697-9602; and Schuppen et al., *Biochem J*. 1984, 220(1), 227-233), The content of 4-hydroxyproline (4Hyp), 3-hydroxyproline (3Hyp), and hydroxylysine residues can influence collagen IV features. It is well established that 4-hydroxyproline residues stabilize the collagen triple helix through water-bridged intramolecular hydrogen bonding (Berg et al., *Biochem. Biophys. Res. Commun.*, 1973, 52, 115–120). However, 3-hydroxyproline residues are much less abundant, as compared to 4-hydroxyproline residues. Only 1–2 residues of 3Hyp occur per chain in collagen types I and II and 3–6 residues occur per chain in collagen types V and XI. The content is highest in type IV collagen of basement membranes in which 10% of the total hydroxyproline can be 3Hyp (Gryder et al., *J. Biol. Chem.*, 1975, 250, 2470–2474). It is also speculated that 3Hyp residues could be involved in fine-tuning of collagen triple helices through inter-triple-helical hydrogen bonds. Adequate 3-hydroxyproline in collagen IV can reduce platelet aggregation.

#### *Basement Membranes (BMs)*

**[000112]** The non-fibrillar assembly of collagen IV serves as a scaffold for forming the thin, sheet-like basement membrane with other matrix molecules, including subtypes of laminin, nidogen, and perlecan, a heparan sulfate proteoglycan (Breitkreutz D et al., *Biomed. Res Int*, 2013, e179784), as well as for cell attachment. Collagen IV  $\alpha3$ - $\alpha4$ - $\alpha5$  is mainly found in the basement membrane of kidney, inner ear and eye. Collagen IV  $\alpha1$ - $\alpha1$ - $\alpha2$  is the major macromolecule of the basement membrane of certain tissues.

**[000113]** As the principal structural elements of basement membranes, laminin and collagen IV form distinct networks which become non-covalently interconnected by mono- or oligomeric nidogen and perlecan. The collagen IV molecules are covalently cross-linked by disulfide bridges via their noncollagenous C- and globular N-terminus, giving rise to a very stable “chicken-wire”-like meshwork of high chemical resistance, which largely determines the mechanical strength of the BMs. In addition to the structural features, basement membranes also are important regulators for cell behavior, tissue compartmentalization, tissue remodeling and

morphogenesis. Basement membranes are widely distributed extracellular matrices within cutaneous, muscle, ocular, vascular, neural tissue and kidney.

**[000114]** Collagen IV is primarily found in the basement membranes (BMs) of the skin, which form a barrier against environmental impacts. In the skin, collagen IV is synthesized by both epidermal keratinocytes and dermal fibroblasts. In the epidermal basement membrane, only collagen IV  $\alpha 1\text{-}\alpha 2\text{-}\alpha 2$  and collagen IV  $\alpha 5\text{-}\alpha 5\text{-}\alpha 6$  heterotrimers can be found (Hasegawa et al., *Arch. Histol. Cytol.* 2007, 70, 255–265). The inactivation of COL4A1 and COL4A2 also is incompatible with life, although only at later stages of gestation.

**[000115]** Collagen IV is also found in basement membrane of neurovascular bundles and other periodontium cells. It also plays role in maintaining the elastic system of the vasculature of the gums. For example, endothelial cells express collagen type IV for angiogenesis.

**[000116]** *Glomerular Basement Membranes (GBMs)*: In the kidney, GBMs are the central, non-cellular layers of the glomerular filtration barrier (GFB) that are situated between the two cellular components: endothelial cells and podocytes (unique epithelial cells). The GBM is composed primarily of four extracellular matrix macromolecules – laminin-521, collagen IV  $\alpha 3\text{-}\alpha 4\text{-}\alpha 5$ , the heparan sulfate proteoglycan (primarily agrin), and nidogen which are secreted by the endothelial cells and podocytes. These extracellular matrix proteins in the GBMs produce an interwoven meshwork thought to impart both size- and charge-selective properties.

**[000117]** During mammalian kidney development, collagen IV  $\alpha 1\text{-}\alpha 1\text{-}\alpha 2$ , the embryonic form of collagen IV present in the developing GBM, is normally replaced in the adult mature GBM by collagen IV  $\alpha 3\text{-}\alpha 4\text{-}\alpha 5$ . This isoform substitution occurs coincidentally with the transition of laminin chains in the GBM. It is hypothesized that the collagen IV transition might be required to accommodate the increased blood pressure in the adult, since  $\alpha 3\text{-}\alpha 4\text{-}\alpha 5$  type IV collagen produces a more heavily cross-linked and more protease-resistant network compared to the  $\alpha 1\text{-}\alpha 1\text{-}\alpha 2$  type IV collagen network.

**[000118]** Recent studies with improved microscopy techniques (e.g. STORM), uncovered the ultrastructure of the GBM and the distribution of collagen IV proteins in the kidney GBM. The GBM's collagen IV ( $\alpha 3\text{-}\alpha 4\text{-}\alpha 5$ ) is secreted solely by podocytes (Abrahamson et al., *J Am Soc Nephrol*, 2009, 20, 1471-1479; and Abrahamson DR, *Semin Nephrol*, 2012, 32(4), 342-349) which eventually locate to the center of the GBM, away from podocytes (Suleiman et al., *elife*, 2013, e01149), suggesting that the GBM is permeable for the migration of collagen IV protomers

from podocytes to the center of the GBM. It is shown that the endothelial fenestrae are about 100-150 nm, large enough to foster transport of large proteins such as collagen IV protomers which are rod-like heterotrimers with a diameter of about 12 nm. Other studies further demonstrated that the GBM is permeable to other large molecules that are larger than 400 kDa, such as ferritin and large antigen-antibody complexes (Farquhar et al., *J Exp Med.*, 1961, 113, 47-66; Vogt et al., *Kidney Int.* 1982, 22(1): 27-35; and Fujigaki et al., *Am J pathol.*, 1993, 142(3), 831-842). However, no evidence has been reported that exogenous collagen protein (such as recombinant collagen IV molecules) could be successfully transported to the GBM in the kidney via *in vivo* delivery. Furthermore, it is unanticipated that such exogenous collagen IV molecules can integrate into the GBM and form a correct basement network with other components of the GBM. Accordingly, the present invention will administer recombinant collagen IV protein, in particular collagen IV protomers, dimers, tetramers or multimers to the GBM sites that are impaired by collagen IV defects via systemic or local delivery. The collagen IV protomers, dimers, tetramers or multimers will then be embedded into the defective GBM and restore the normal matrix protein network in the GBM in the kidney.

**[000119]** Deficiencies in collagen IV, such as the absence of the  $\alpha3\text{-}\alpha4\text{-}\alpha5$  type IV collagen network, caused by mutations in the COL4A3, COL4A4 and/or COL4A5 genes, often impair basement membranes (e.g. GBM), causing many diseases including Alport syndrome, as well as several rheumatologic and dermatological diseases such as acquired epidermolysis bullosa, and the vascular complications of nephropathy and retinopathy in diabetes. Similarly, deficiencies in other components of the GBM (e.g., laminin and agrin) can impair basement membranes, causing nephrotic disease. For example, mutations in the laminin beta2 gene (LAMB2) cause Pierson syndrome, a rare autosomal recessive disease characterized by renal failure from nephrotic syndrome and diffuse mesangial sclerosis (Bull et al., *J Pathol.*, 2014, 233(1), 18-26). Laminin  $\beta2$  is one of the three chains of the heterotrimeric LAM-521 ( $\alpha5\beta2\gamma1$ ), the major laminin heterotrimer in the mature GBM.

#### Alport syndrome (AS)

**[000120]** Alport syndrome is an inherited disorder of glomerular basement membranes, resulting in progressive renal failure due to glomerulonephropathy. Alport syndrome typically presents in childhood as hematuria or proteinuria which may be associated with hearing loss and ocular dysfunction, and the disease gradually progresses to renal failure (such as end stage of

renal disease (ESRD)) in adulthood. Renal biopsy test of patient's kidney confirms the absence of collagen IV alpha chains as well as pathological alterations of the GBM. Hearing loss and ESRD progress at near unity and the timing of stage of each symptom slightly varies per a genotype-phenotype correlation (see, e.g., Kashtan et al., *J of Clinical Invest.*, 1999, 78, 1035-1044). Hearing loss, in some patients, is associated with renal pathology. Burke et al. (Burke et al., *Acta Ophthal.*, 1991, 69: 555-557) described bilateral corneal epithelial erosions in Alport syndrome. Patients may develop sensorineural hearing loss.

[000121] Ocular abnormalities have been observed in some Alport syndrome patients. Typical ocular associations are a dot-and-fleck retinopathy, which occurs in approximately 85% of affected adult males, anterior lenticonus, which occurs in approximately 25%, and rare posterior polymorphous corneal dystrophy. Govan et al described that anterior lenticonus (abnormal shape of lens) and retinal flecks in the macular and midperipheral retina as characteristic ophthalmic findings in Alport syndrome (Govan et al., *Brit. J. Ophthal.*, 1983, 67: 493-503). The ocular manifestations were identical in the X-linked and autosomal forms of Alport syndrome. These abnormalities correlate with a defect in the collagen IV molecule.

[000122] The ultrastructural features on kidney biopsy that are diagnostic of Alport syndrome consist of (i) irregular thickening and thinning of the glomerular basement membrane (GBM); (ii) splitting or lamellation of the GBM; (iii) 'basket weaving' of the GBM and (iv) foot process fusion in regions of an abnormal GBM. Furthermore, the earliest ultrastructural finding in Alport syndrome is diffuse thinning of the GBM, which sometimes results in girls or women being misdiagnosed with thin basement membrane nephropathy (TBMN). The collagen IV  $\alpha 3$ ,  $\alpha 4$ , and  $\alpha 5$  chains are absent biochemically from the GBM of patients with Alport syndrome.

[000123] Although in Alport syndrome GBM embryonic collagen IV  $\alpha 1$ - $\alpha 1$ - $\alpha 2$  continues to exist and is believed to delay the progression of disease, it is hypothesized that the anomalous persistence of these fetal isoforms in the GBM confers an increase in susceptibility to proteolytic attack by collagenases and cathepsins. Collagen IV  $\alpha 3$ - $\alpha 4$ - $\alpha 5$  forms a more rigid disulfide network of hexamers and is more resistant to proteolytic degradation at the site of glomerular filtration. The absence of these potentially protective collagen IV isoforms in the GBM from Alport syndrome patients may explain the progressive basement membrane splitting and increased damage as the kidneys deteriorate in these patients.



[000124] Alport Syndrome is genetically heterogeneous, caused by mutations in the genes encoding the  $\alpha 3$ ,  $\alpha 4$  or  $\alpha 5$  chain of collagen IV (COL4A3, COL4A4 and/or COL4A5). Mutations in COL4A3 and COL4A4 cause autosomal recessive Alport syndrome which account for ~15% of Alport syndrome, while the COL4A5 mutations cause X-linked Alport syndrome which account for the remaining 85%. Autosomal dominant inheritance is rare. Some examples of mutations in COL4A3, COL4A4 and COL4A5 that cause Alport syndrome are listed in Table 3. More mutations in COL4A5 may be found in the COL4A5 database ([http://www.arup.utah.edu/database/ALPORT/ALPORT\\_display.php](http://www.arup.utah.edu/database/ALPORT/ALPORT_display.php)).

[000125] It is important to distinguish between X-linked and autosomal recessive inheritance to properly assess the risk of renal failure in other family members. Autosomal recessive inheritance is suspected when disease occurs in a single generation and where female and male individuals are affected with equal frequency and severity. Molecular testing is often employed to confirm the clinical diagnosis.

[000126] Alport syndrome is also a feature of two other disorders caused by gene deletion involving COL4A5 gene: Alport syndrome and diffuse leiomyomatosis; and Alport syndrome, mental retardation, midface hypoplasia, and elliptocytosis.

[000127] *X-linked Alport syndrome (XLAS)*: eighty-five percent of Alport syndrome results from mutations in X-linked, COL4A5 gene encoding the  $\alpha 5$ -chain of collagen IV and is associated with hematuria, ocular abnormalities and high-tone sensorineural hearing loss. Nearly all affected males have decreased kidney function resulting in end-stage renal disease (ESRD) as early as the second decade of life. Affected females are too at risk for developing nephrotic syndrome, decreased kidney function and ESRD. Temporal macular thinning is also associated with XLAS (Ahmed et al., *JAMA ophthalmol.* 2013, 131(6), 777-782).

[000128] GBM lamellation is usually widespread in men with XLAS. The GBM is initially thinned in boys, but there is focal lamellation that becomes more extensive with time.

[000129] Immunostaining for the  $\alpha 3$ ,  $\alpha 4$  and  $\alpha 5$  chains of collagen IV demonstrates the complete absence of these collagen chains in the GBM, distal tubular basement membrane (dTBM) and Bowman's capsule in essentially all males with XLAS, whereas women who are heterozygous carriers of XLAS demonstrate a segmental or 'mosaic' absence due to variable X-chromosome inactivation. These immunohistologic features help to distinguish XLAS from autosomal-recessive AS (ARAS), where expression of the  $\alpha 5$  chain of collagen IV by

immunostaining is negative in the GBM but positive in the dTBM and Bowman's capsule. The epidermal membrane of the skin also has no  $\alpha 5(\text{IV})$  chain.

**[000130]** Mutations are different in each family with X-linked Alport syndrome, and more than 700 variants have been described

([https://grenada.lumc.nl/LOVD2/COL4A/home.php?select\\_db=COL4A5](https://grenada.lumc.nl/LOVD2/COL4A/home.php?select_db=COL4A5)). About 50% result in stop codon either directly or downstream, and 40% of mutations are missense. Large deletions/insertions, rearrangements, nonsense mutations and other genetic changes are also reported. Some examples of the identified mutations include missense mutations (G123E, Guo et al., *Mol Biol Rep.* 2014, 4196, 3631-3635); G1205V); nonsense mutations (Q379X); missense mutations in the collagenous domain of COL4A5; hypomorphic mutations (G624D, P628L; Pierides A et al., *Hippokratia*, 2013, 17(3), 207-213); complex deletion/insertion mutations (c.359\_363delGTATTinsATAC) in the COL4A5 gene (Wang et al., *Gene*, 2013, 512(2), 482-485), mutations at splice sites; and deep intronic mutations in the COL4A5 gene (King K et al., *Human Genet.* 2002, 111, 548-554).

**[000131]** *Autosomal recessive Alport syndrome (ARAS)*: about fifteen percent of Alport syndrome results from autosomal recessive homozygous or compound heterozygous mutations in both copies (in trans) of COL4A3 or COL4A4 genes (Mochizuki T et al., *Nat. Genet.*, 1994, 8, 77-81). Mutations in COL4A3 or COL4A4 genes include missense changes, frameshift changes, small deletions/insertions, duplications, intronic variants, splicing mutations and nonsense mutations.

**Table 3.** Examples of collagen IV mutations and Alport syndrome

Phenotype	Mutation
Alport Syndrome, Autosomal recessive	COL4A3, 5-BP DEL, NT4414
Alport Syndrome, Autosomal recessive	COL4A3, ARG1481TER
Alport Syndrome, Autosomal recessive	COL4A3, SER1524TER
Alport Syndrome, Autosomal recessive	COL4A3, 5-BP DEL
Alport Syndrome, Autosomal recessive	COL4A3, EX5, C-T, ARG-TER
Alport Syndrome, Autosomal recessive	COL4A3, ALU INS, EX6
Hematuria, benign familial	COL4A3, GLY1015GLU
Hematuria, benign familial	COL4A3, GLY985VAL
Alport Syndrome, Autosomal dominant	COL4A3, IVS21DS, G-A, -1
Alport Syndrome, Autosomal dominant	COL4A3, GLY1167ARG
Alport Syndrome, X-linked	COL4A5, EX5-10DEL
Alport Syndrome, X-linked	COL4A5, CYS108SER

Alport Syndrome, X-linked	COL4A5, 10-15-KB INS, 40-KB DEL
Alport Syndrome, X-linked	COL4A5, 450-KB DEL
Alport Syndrome, X-linked	COL4A5, 38-KB DEL
Alport Syndrome, X-linked	COL4A5, GLY1143ASP
Alport Syndrome, X-linked	COL4A5, GLY325ARG
Alport Syndrome, X-linked	COL4A5, 3-PRIME AND PARTIAL 5-PRIME DELETION
Alport Syndrome, X-linked	COL4A5, TRP1538SER
Alport Syndrome, X-linked	COL4A5, GLY521CYS
Alport Syndrome, X-linked	COL4A5, GLY325GLU
Alport Syndrome, X-linked	COL4A5, GLY289VAL AND ARG1421CYS
Alport Syndrome, X-linked	COL4A5, GLY54ASP
Alport Syndrome, X-linked	COL4A5, CYS1638TYR
Alport Syndrome, X-linked	COL4A5, LEU1649ARG
Alport Syndrome, X-linked	COL4A5, ARG1677GLN
Alport Syndrome, Autosomal recessive	COL4A4, GLY1201SER
Alport Syndrome, Autosomal recessive	COL4A4, SER1238TER
Alport Syndrome, Autosomal recessive	COL4A4, ARG1377TER
Alport Syndrome, Autosomal recessive	COL4A4, CYS1641TER
Alport Syndrome, Autosomal recessive	COL4A4, PRO1572LEU
Hematuria, benign familial	COL4A4, GLY897GLU
Hematuria, benign familial	COL4A4, 1-BP INS, 3222A
Hematuria, benign familial	COL4A4, GLY960ARG

**[000132]** *Autosomal dominant Alport Syndrome*: Autosomal dominant inheritance, resulting from heterozygous COL4A3 or COL4A4 variants, is very rare (van der Loop FT et al., *Kidney Int.*, 2000, 58, 1870-1875.)

*Current treatment of Alport syndrome*

**[000133]** There is no satisfactory and curative treatment available for Alport syndrome. Patients developing end stage renal disease (ESRD) are treated by hemodialysis, and also by kidney transplantation. However, about 5% of transplanted males develop Alport post-transplant anti-GBM nephritis and lose the transplanted kidneys. Many studies have focused on developing novel treatments that can slow or prevent the development of kidney failure.

**[000134]** Treatments of Alport syndrome patients to date primarily address proteinuria, including calcineurin inhibition with cyclosporine (see, e.g., Sigmundsson et al., *Scand J Urol Nephrol*, 2006, 40, 522-525) and the blockage of the renin-angiotensin aldosterone system (RAAS) by angiotensin-converting enzyme (ACE) inhibitors, angiotensin receptor blockers (ARBs) and aldosterone inhibitors. Recent evidence has shown that it can significantly delay the

time to onset of renal replacement therapy and ESRD (See e.g. Noone and Licht, *Pediatr Nephrol.* 2013, 28, 1025-1036).

**[000135]** ACE inhibitors that have been used to treat Alport Syndrome patients include, but are not limited to, enalapril, fosinopril, lisinopril, quinapril. ACE inhibitors are relatively well tolerated by most individuals. Nevertheless, they are not free of side effects, and some patients should not use ACE inhibitors. The most common side effects are cough, elevated blood potassium levels, low blood pressure, dizziness, headache, drowsiness, weakness, abnormal taste (metallic or salty taste), and rash. The most serious, but rare, side effects of ACE inhibitors are kidney failure, allergic reactions, a decrease in white blood cells, and swelling of tissues (angioedema).

**[000136]** ARBs that have been used to treat Alport Syndrome patients include, but are not limited to, losartan and candesartan.

**[000137]** Some studies in Alport mouse model suggest that vasopeptidase inhibitors (e.g., AVE688) and 3-hydroxy-3-methylglutaryl-coenzyme (HMG-CoA) reductase inhibitors showed significant improvement in COL4A3<sup>-/-</sup> mice (Reviewed by Katayama et al., Searching for a treatment for Alport Syndrome using mouse models, *World J Nephrol*, 2014, 3(4): 230-236).

**[000138]** Because the downstream effect of the pathological proteinuria, together with complete activation of peroximal tubular epithelial cells (PTECs), often causes tubulointerstitium transmission *via* inflammation and fibrosis, treatment strategies that attempt to inhibit these processes are also employed to limit disease progression in Alport syndrome, in combination with above mentioned antiproteinuria therapies. These treatments may include chemokine receptor antagonists such as a CCR1 (chemokine (C-C motif) receptor 1) antagonist (e.g., BX471).

**[000139]** Researchers have focused on developing novel treatments for Alport syndrome. Such new treatments include gene therapy (see, e.g., review by Tryggvason et al., *Kidney International.*, 1997, 51, 1493-1499), microRNA regulation (see, e.g., U.S. patent publication No. 20140100263; Gomez et al., Anti-microRNA-21 oligonucleotides prevent Alport nephropathy progression by stimulating metabolic pathways, *J Clin Invest.* 2015, 125(1): 141–156); stem cells (see, e.g., U.S. patent publication No. 20090214488); collagen metalloprotease inhibitors (see, e.g., U.S. patent publication Nos. 20080187508; 20090318511; 20110112076; and 20110014186); targeted therapy such as RAC1/CDC42 inhibitors (see, e.g., PCT patent

publication No. 2014028059) and collagen IV receptor integrin inhibitors (see, e.g., U.S. Pat No. 6,492,325); the content of each of which is herein incorporated by reference in their entirety.

**[000140]** Most recently, strategies to restore the normal collagen  $\alpha3$ - $\alpha4$ - $\alpha5$ (IV) network in the GBM, by either cell- or gene-based therapy are proposed (Lin et al., *J Am Soc nephrol.*, 2014, 25(4), 687-692).

#### Collagen IV and other diseases

**[000141]** Recent studies have demonstrated that deficits in collagen IV protein are associated with many other diseases. Mutations in COL4A1 cause perinatal cerebral hemorrhage and porencephaly (Gould DB et al. *Science*, 2005, 308(5725), 1167-1171) and muscle-eye-brain disease (MEB) and Walker Warburg Syndrome (WWS) (Labelle-Dumais C et al. *Plos Genet.* 2011, 7(5), e1002062). MEB/WWS belong to a spectrum of autosomal recessive diseases characterized by ocular dysgenesis, neuronal migration defects, and congenital muscular dystrophy.

**[000142]** Mutations in COL4A2 cause intracerebral hemorrhage and leukoencephalopathy (hemorrhagic stroke) (Gunda B et al., *J Neurol.*, 2014, 261(3), 500-503), and familial porencephaly and small vessel disease (Verbeek E et al., *Eur. J. Hum. Genet.*, 2012, 20(8), 844-851). Mutations in COL4A5 and COL4A6 cause Alport syndrome with oesophageal leiomyomatosis.

**[000143]** Some deficits in functional collagen IV protein, in particular ,  $\alpha3$ ,  $\alpha4$  and  $\alpha5$  chains, may also be associated with, but not limited to, familial microhematuria with thin basement membranes; microhematuria; thin basement membrane nephropathy (TBMN); nephrotic-range proteinuria; progressive renal insufficiency; glomerular hematuria, heavy or mild proteinuria, and diabetic nephropathy (DN).

**[000144]** A rare autoimmune kidney disease called Goodpasture syndrome (also known as anti-glomerular basement antibody disease) is mediated by autoantibodies against the NC1 domain of the  $\alpha3$ (IV) chain. The binding of autoantibodies usually cause rapidly progressive glomerulonephritis (Olaru et al., *J Immunology*, 2013, 190, 1424-1432).

**[000145]** The important role of Collagen IV in the GBM and its tight association with various diseases raise the possibility of using collagen IV to treat diseases. For example, U.S. Pat. No. 7,183,383 discloses the use of collagen IV protein to recover a cellular function (e.g.  $\text{Na}^+/\text{K}^+$  ATPase activity, oxygen consumption and integrin localization to the basal membrane) following

a renal epithelial cell injury (e.g. toxin-induced injury and drug-induced injury). The methods include the step of contacting directly the injured cells with an effective amount of collagen IV protein.

#### Permeability of nephrotic GBM

**[000146]** Further studies demonstrated that nephritic GBM is more permeable to large molecules than the normal GBM (Farquhar and Palade, *J Exp Med.*, 1061, 114, 699-716). For example, a study (Schneeberger et al., *J Exp Med.*, 1974, 139(5), 1283-1302) has shown that gamma globulin in the blood, injected horse radish peroxidase and catalase (about 240 kDa), and ferritin (480 kDa) can penetrate into renal glomerulus in a rat model of autologous immune complex (AIC) nephritis. Fujigaki also demonstrated that ferritin-anti-ferritin immune complexes can translocate across the GBM in nephritis rats (Fujigaki et al., *Am J pathol.*, 1993, 142(3), 831-842). It is further shown that the penetrated ferritin can be retained in the GBM for about 3 days. The increased permeability of the GBM could enhance the penetration of large molecules through the GBM. Collagen IV ( $\alpha3$ - $\alpha4$ - $\alpha5$ ) protomers are about 480KDa and it is assumed that molecules around this size may be readily enter the nephritic GBM, such as the impaired GBM in Alport syndrome. According to the present invention, recombinant collagen IV molecules are systemically or locally delivered to a subject with the defective GBM equivalent to that in Alport syndrome. It is found that recombinant collagen IV can be transported to the GBM, where they form correct networks and interact with other components of the GBM, restoring the structure of the GBM and virtually the filtering function of the GBM in the kidney.

**[000147]** As discussed herein, the present invention provides methods for treating diseases characterized by one or more collagen IV deficiencies by adding recombinant collagen IV protein back to the body, in particular, the glomerular basement membrane in the kidney. The collagen IV replacement will be embedded into affected GBM and restore their functions. In particular, the invention relates to Alport syndrome caused by mutations in COL4A3, COL4A4 and COL4A5 genes which encode the  $\alpha3$ (IV),  $\alpha4$ (IV) and  $\alpha5$ (IV) chain polypeptides. In the context of the present invention, the recombinant collagen IV protein may be protomers, dimers, tetramers, and multimers, and the mixture thereof. A collagen IV protomer in accordance with the present invention is a heterotrimer of collagen IV  $\alpha3$ - $\alpha4$ - $\alpha5$ , the heterotrimer mainly found in the glomerular basement membrane. Additionally a collagen IV protomer may be a

heterotrimer of the chimeric  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$  and  $\alpha 5(\text{IV})$  chains in each of which all or part of the NC1 domain is replaced with all or part of the NC1 domain of  $\alpha 1(\text{IV})$  and  $\alpha 2(\text{IV})$  chains.

[000148] In some aspects, the recombinant collagen IV protein of the present invention may be formulated as a pharmaceutical composition with other suitable excipients. Such pharmaceutical compositions are discussed below. In particular, the recombinant collagen IV is recombinant human collagen IV.

### **Pharmaceutical compositions**

[000149] Provided in the present invention are pharmaceutical compositions comprising recombinant collagen IV protomers, dimers, tetramers, multimers and/or the mixture thereof and pharmaceutically acceptable excipients. Such pharmaceutical compositions are suitable for administration and/or injection into a human patient in need thereof. Such compositions are often formulated as to permit the active ingredients (i.e. recombinant collagen IV) to be effective, and which contains no additional components which are toxic to the subjects to which the formulation would be administered.

### **Collagen IV protein**

[000150] In some embodiments, the active ingredients are collagen IV protomers, dimers, tetramers, multimers and/or the mixture thereof. In some aspects, the collagen IV is a procollagen comprising three  $\alpha$  chain polypeptides selected from the group consisting of  $\alpha 1(\text{IV})$ ,  $\alpha 2(\text{IV})$ ,  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$ ,  $\alpha 5(\text{IV})$ , and  $\alpha 6(\text{IV})$ , wherein each  $\alpha$  chain is encoded by gene COL4A1, COL4A2, COL4A3, COL4A4, COL4A5, and COL4A6.

[000151] In some aspects, said collagen IV protomer is a heterotrimer of one  $\alpha 3(\text{IV})$  chain polypeptide, one  $\alpha 4(\text{IV})$  chain polypeptide and one  $\alpha 5(\text{IV})$  chain polypeptide, wherein the  $\alpha 3(\text{IV})$  chain polypeptide comprises the amino acid sequence of SEQ ID NO. 3 and/or variants thereof; the  $\alpha 4(\text{IV})$  chain polypeptide comprises the amino acid sequence of SEQ ID NO. 4 and/or variants thereof; and the  $\alpha 5(\text{IV})$  chain polypeptide comprises the amino acid sequence of SEQ ID NO. 5 and/or variants thereof.

[000152] In some embodiments of the present invention, the recombinant collagen IV may comprise chimeric  $\alpha(\text{IV})$  polypeptides, in particular, chimeric  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$  and  $\alpha 5(\text{IV})$  polypeptides. It has been shown that in Alport post-transplant nephritis (APTn), an aggressive form of anti-glomerular basement membrane disease that targets the allograft in transplanted patients with Alport syndrome, the alloantibodies in patients target alloepitopes within the NC1

domain of the  $\alpha 3(\text{IV})$  chain and/or alloepitopes that depend on the quaternary structure of the NC1 hexamers of collagen IV  $\alpha 3\text{-}\alpha 4\text{-}\alpha 5$  protomer (Olaru et al., *J Am Soc Nephrol.* 2013, 24(6), 889-895). Furthermore, the NC1 domains of collagen IV  $\alpha 3\text{-}\alpha 4\text{-}\alpha 5$  are the main autoantigens in Goodpasture syndrome, a rapidly progressive renal disease with lung hemorrhage. It is expected that the substitutes of the NC1 domains of the  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$  and/or  $\alpha 5(\text{IV})$  chains will reduce the autoimmune reaction induced by the administration of the recombinant collagen IV.

**[000153]** In other embodiments, said collagen IV protomer is a heterotrimer comprising one, two or three chimeric collagen IV  $\alpha$  polypeptides selected from the chimeric  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$  and  $\alpha 5(\text{IV})$  polypeptides. As disclosed in the present invention, a chimeric  $\alpha 3(\text{IV})$  chain polypeptide is a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha 3(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  and/or  $\alpha 2(\text{IV})$  chains. A chimeric  $\alpha 4(\text{IV})$  chain polypeptide is a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha 4(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  and/or  $\alpha 2(\text{IV})$  chains. A chimeric  $\alpha 5(\text{IV})$  chain polypeptide is a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha 5(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  and/or  $\alpha 2(\text{IV})$  chains.

**[000154]** As a non-limiting example, a recombinant collagen IV protomer comprises one chimeric  $\alpha 3(\text{IV})$  chain polypeptide in which all or part of the NC1 domain of the  $\alpha 3(\text{IV})$  chain is replaced by all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  chain polypeptide, one  $\alpha 4(\text{IV})$  chain polypeptide and one  $\alpha 5(\text{IV})$  chain polypeptide, wherein the three polypeptides form a triple helix. As another non-limiting example, a recombinant collagen IV protomer may comprise one chimeric  $\alpha 3(\text{IV})$  chain polypeptide in which all or part of the NC1 domain of the  $\alpha 3(\text{IV})$  chain is replaced by all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  chain polypeptide, one chimeric  $\alpha 4(\text{IV})$  chain polypeptide in which all or part of the NC1 domain of the  $\alpha 4(\text{IV})$  chain is replaced by all or part of the NC1 domain of the  $\alpha 2(\text{IV})$  chain polypeptide, and one chimeric  $\alpha 5(\text{IV})$  chain polypeptide in which all or part of the NC1 domain of the  $\alpha 5(\text{IV})$  chain is replaced by all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  chain polypeptide, wherein the three polypeptides form a triple helix.

**[000155]** In some embodiments, said collagen IV protein of the present invention may be a dimer comprising two collagen IV protomer as disclosed above. In some aspects, two collagen IV protomers disclosed in the present invention may be dimerized via enzymatic and/or chemical dimerization, or through non-covalent association.



[000156] In some embodiments, the collagen IV protein used for the present invention may contain certain percentage of 3-hydroxyproline, 4-hydroxyproline and/or lysyl hydroxylysine residues. In some aspects, the collagen IV protein may contain about 6.5% to about 14% of 4-hydroxyprolines (i.e. between 65-140 4-hydroxyproline residues/1000 AA) and/or about 0.3% to about 1.6% of 3-hydroxyprolines (i.e. between 6-16 3-hydroxyproline residues/1000 AA).

[000157] In other aspects, said collagen IV protein is human collagen IV protein. Collagen IV used for treatment/replacement may be obtained from a variety of sources, including extraction and purification from tissues that contain collagen IV (e.g. human and other mammals). Collagen IV may also be produced via genetic engineering such as recombinant collagen IV, particularly human recombinant collagen IV.

[000158] In some embodiments, the collagen IV protein, including collagen IV  $\alpha 3$ - $\alpha 4$ - $\alpha 5$  and/or chimeric collagen IV protomers, dimers, tetramers, multimers and/or the mixture thereof, is formulated as pharmaceutical compositions. Said pharmaceutical compositions comprising recombinant collagen IV are suitable to administering to a subject in need, such as an Alport syndrome patient.

#### *Purification of collagen IV*

[000159] Collagen IV protomers, dimers, multimers and/or the mixture thereof, can be extracted from collagen IV containing tissues, such as basement membranes, placenta, eye lens, etc. Basically, collagen preparation methods involve extraction with diluted organic acids, precipitation with salts, optional gelation and/or lyophilization, tangential filtration and purification, etc. (see, e.g., U.S. Pat. Nos. 4,148,664; 5,028,695; 5,670,369; 5,814,328; 7,964,704; the content of each of which is hereby incorporated by reference in their entirety). It is known in the art that different collagen types can be extracted and separated for their solubility in solution with different ionic strengths and pH. Many methods for extracting collagen IV are in accordance with the method of Sage et al. (*J. Biol. Chem.*, 1979, 254 (19), 9893-9900), which involves solubilization of collagen by pepsin hydrolysis. JP Patent Publication No. 11-171898 (1999) discloses a technique of isolating a polymer fraction of collagen IV, the content of which is herein incorporated by reference in its entirety.

[000160] As used herein, the term "collagen IV-containing tissues" refers to any tissue that contains collagen IV, including but not limited to tendon, skin, cornea, bone, cartilage, teeth, intervertebral disc, fetal skin, cardiovascular system, basement membrane, placenta, eye lens and

anchoring fibrils beneath any epithelia. Collagen IV is most abundantly in the epithelial and endothelial basal lamina, glomerular basement membranes, fetal membranes, blood vessels, placental basement membrane. It may also be found in small amounts in other tissues.

[000161] U.S. Pat. No. 5,436,135 describes an extraction process of collagen IV from human and/or animal placenta. Said method combines enzymatic digestion (e.g. pepsin) and acid pH treatment, and can extract uncontaminated collagen type IV with very high efficiency; the content of which is herein incorporated by reference in its entirety.

[000162] US Pat. No. 7,396,912 described a method for extracting collagen from tissues using fermentation. Microorganisms such as bacteria, yeast are provided to the collagen containing tissues to ferment the tissues. Collagens extracted via fermentation have an increased purity, comprising mostly of well-preserved collagen monomers with natural configurations; the content of which is incorporated by reference in its entirety.

[000163] US Pat. No. 7,741,441 describes methods for extracting collagen IV from lens capsule without contamination by other proteins and without degradation or denaturation. Such methods involve in using aqueous acid solution to extract collagen IV content from lens capsule without using enzyme treatment, the content of which is hereby incorporated by reference in its entirety.

[000164] In some embodiments, collagen producing cells such as fibroblast cells may be used to express collagen IV. It is discussed in the art that collagen producing cells (e.g., fibroblast cells) may be stimulated with different agents to increase collagen expression/synthesis, including collagen IV. See, e.g., PCT patent publication No. WO1995031473; WO2008070893 and WO2008070892, the content of each of which is incorporated by reference in their entirety.

[000165] Many references in the art disclose other methods for extracting and purifying other types of collagens from a variety of resources, some including collagen IV. Such methods may be employed if needed (see, e.g., U.S. Pat. Nos. 2,979,438; 5,064,941; 5,436,135; 5,814,328; 7,964,704; and U.S. patent publication Nos. 20140147400 and 20130123468). Other methods that stimulate the production of collagens (including collagen IV) from fibroblast cells may also be used if needed (see, e.g. U.S. patent publication Nos. 20100239556 and 20080306001).

#### *Production of recombinant collagen IV*

[000166] Recombinant technologies may also be used to produce recombinant human collagen IV. Recombinant collagen IV may be produced by culturing suitable host cells to express the recombinant DNA encoding the same, which may be purified from culture media since collagen

IV is secreted outside of cells. Various mammalian cell lines may be employed to express recombinant collagen IV because mammalian secretory pathways are known to facilitate the assembly and folding of biologically active proteins. Other hosts such as yeast cells, plant cells, insect cells and/ or bacteria may also be used to produce recombinant collagen IV protein of the present invention.

[000167] In order to produce the secreted collagen IV that will be released into the culture supernatants, either the natural signal peptide of collagen IV is used, or a heterologous signal peptide, for example, a signal peptide derived from another secreted protein being efficient in the particular expression system is used. An example of such recombinant collagen protein is discussed in U.S. Pat. No. 8,470,555, which teaches a recombinant collagen protein having collagen triple helix structure comprising a signal peptide of human collectin; the content of which is herein incorporated by reference in its entirety.

[000168] In the context of the present invention, conventional molecular biology, recombinant DNA techniques and protein biochemistry are within the skills of the art. Such techniques are well explained in the literatures, e.g., Sambrook et al. (2001) *Molecular Cloning: A Laboratory Manual*. 3<sup>rd</sup> ed. Cold Spring Harbor Laboratory Press: Cold Spring Harbor, New York; Ausubel et al. eds. (2005) *Current Protocols in Molecular Biology*, John Wiley and Sons, Inc.: Hoboken, NJ; Bonifacino et al. eds. (2005) *Current Protocols in Cell Biology*, Hoboken, NJ; and Coligan et al. eds. (2005) *Current Protocols in Protein Science*, John Wiley and Sons, Inc.: Hoboken, NJ.

[000169] Nucleic acids that encode collagen IV  $\alpha$  chain polypeptides may be cloned into any expression vectors that are suitable for expressing proteins. The general nature of the vectors is not crucial to collagen IV production in accordance with the present invention. In general, suitable expression vectors and expression constructs will be apparent to those skilled in the art. Suitable expression vectors may be based on plasmid and phages which may be either host specific, or engineered for other hosts of interest. Other suitable vectors may include cosmids, retroviruses, and many other vehicles. Other control and regulatory sequences such as promoter, operators, inducer, terminator and other sequences will be apparent to those skilled in the art. The vectors and constructs for producing recombinant collagen IV may be modified and/or engineered in any suitable manner. Suitable vectors may be selected as a matter of course by those skilled in the art according to the desired expression system.

[000170] Many methods well known in the art can be used to produce the collagen IV  $\alpha$  chain polypeptides of the present invention. As a non-limiting example, one straightforward method may include steps of obtaining the nucleic acids encoding the collagen IV  $\alpha$  chain polypeptides, inserting them into a suitable expression vector (e.g. plasmids), transforming a suitable host (e.g. mammalian cell lines), culturing the transformed host, and obtaining the polypeptide of the invention by any suitable means, such as fragmentation and centrifugation.

[000171] In some aspects, said three collagen IV  $\alpha$  chain polypeptides may be inserted into a common vector. In other aspects, said three collagen IV  $\alpha$  chain polypeptides may be inserted to separate vectors and then co-transformed into a host to express simultaneously.

[000172] Other suitable cloning methods will be apparent to those skilled in the art.

[000173] In accordance with the present invention, recombinant collagen IV may be produced in eukaryotic expression system including mammalian cells and glycoengineered yeast cells. As a non-limiting example, CHO cell lines are of choice because they offer well-characterized, selectable and amplifiable gene expression systems which facilitate high level protein expression. In addition, these cells are easy to manipulate as adherent or suspension cultures and exhibit relatively good genetic stability. CHO cells and recombinant proteins expressed in them have been extensively characterized and have been approved for use in clinical manufacturing by regulatory agencies.

[000174] Other cell lines may include human embryonic kidney cell line 293 (HEK293 cells), human fibroblasts. For example, HEK 293 cells may be stably transfected with vectors that express  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$ , and  $\alpha 5(\text{IV})$  chain polypeptides. Cell extracts and culture media of these transfected cells may be used to detect the assembly of collagen heterotrimers, for example via co-immunoprecipitation of  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$ , and  $\alpha 5(\text{IV})$  chain polypeptides (e.g., Kobayashi et al., *Kidney International.*, 2003, 64(6), 1986-1996; and Kobayashi and Uchiyama, *Biomed Res.*, 2010, 31(6), 371-377).

[000175] It has been demonstrated that cells cultured in a vitamin C-free medium produce the single-chain collagen IV  $\alpha$  polypeptide in a much larger amount than that of the type IV collagen protein (see Yoshikawa, K. et al., *J. Biochem.*, 2001, 129, 929-936). In some aspects of the present invention, cells may be transfected with a single construct comprising a single  $\alpha$  chain polypeptide such as  $\alpha 3$  chain, and cultured in vitamin C free medium to produce  $\alpha 3$  chain

polypeptide only. Such  $\alpha 3$  chain may be mixed with other two  $\alpha$  chain polypeptides or chimeric polypeptides (i.e.  $\alpha 4$  and  $\alpha 5$ ) produced by the same way, to form the collagen IV heterotrimer.

**[000176]** Cultures suitable for any living cells may be useful for cultures of the present invention. Culture system may vary from prokaryotic expression systems (e.g., *E. coli* cells) up to eukaryotic expression systems (e.g., CHO cells and HEK293 cells).

**[000177]** *Escherichia coli* may be used to express recombinant expression of hydroxylated human collagen IV. The characterization of new prolyl and lysyl hydroxylase genes encoded by the giant virus mimivirus reveals a method for production of hydroxylated collagen. The coexpression of a human collagen type IV construct together with mimivirus prolyl and lysyl hydroxylases in *Escherichia coli* may produce hydroxylated collagen IV. The respective levels of prolyl and lysyl hydroxylation may be similar to the hydroxylation levels of native human collagen type IV. The distribution of hydroxyproline and hydroxylysine along recombinant collagen IV may also be similar to that of native collagen as determined by mass spectrometric analysis.

**[000178]** In some embodiments, host cells that are defective in native collagen IV expression, or expression of other collagens, either artificially or naturally, may be used to produce recombinant collagen IV of the present invention.

**[000179]** Collagen IV synthesis involves many unusual co-translational and post-translational modifications, as discussed above, including the formation of 4-hydroxyproline, 3-hydroxyproline, and hydroxylysine in -X-Pro-Gly-, -Pro-4Hyp-Gly-, and -X-Lys-Gly-sequences, respectively. In some embodiments, cells used to produce recombinant collagen IV protein may be engineered to express collagen prolyl 4-hydroxylases (P4Hs), prolyl 3-hydroxylases (P3Hs), and/or lysyl hydroxylases (LHs).

**[000180]** In some aspects, cells used to produce recombinant collagen IV may be co-transfected with constructs that contain nucleic acid sequences encoding prolyl-3 hydroxylase (P3H) and recombinant collagen IV  $\alpha$  chains, respectively. The P3H will increase the content of 3-hydroxyproline of recombinant collagen IV, wherein the higher numbers of 3-hydroxyproline residues of recombinant collagen IV can reduce platelet induced aggregation. In other aspects, cells used to produce recombinant collagen IV may be co-transfected with constructs that contain nucleic acid sequences encoding prolyl-4 hydroxylase (P4H) and recombinant collagen IV  $\alpha$  chains, respectively. The P4H will increase the content of 4-hydroxyproline of recombinant

collagen IV, wherein the higher content of 4-hydroxyproline residues of recombinant collagen IV will increase collagen thermal stability and/or decrease susceptibility to proteolytic digestion.

**[000181]** In yet other aspects, cells used to produce recombinant collagen IV may be co-transfected with constructs that contain nucleic acid sequences encoding lysyl hydroxylases (LH) and recombinant collagen IV  $\alpha$  chains, respectively. The LH will increase the content of lysyl hydroxylysine of recombinant collagen IV, wherein the higher content of lysyl hydroxylysine residues of recombinant collagen IV will further increase the stability and provide sites for glycosylation modification.

**[000182]** Collagen IV contains a unique sulfilimine (S=N) bond between a methionine sulfur and hydroxylysine nitrogen which could reinforce the collagen IV network. Peroxidase, an enzyme found in basement membranes, catalyzes formation of the sulfilimine bond (Bhave et al., *Nature Chem. Biol.*, 2012, 8, 784-790). According to the present invention, collagen IV protomers may be used as the active ingredients of the pharmaceutical compositions given its relative small size. In this context, cells used to produce recombinant collagen IV may be engineered to deplete peroxidase, therefore preventing dimerization of collagen IV protomers. In other aspects, a peroxidase inhibitor may be applied to the host cells to prevent the formation of the sulfilimine bonds during recombinant collagen IV protomer synthesis. The peroxidase inhibitor may be a nucleic acid such as a siRNA or antisense nucleic acid that inhibits synthesis of peroxidase; an antibody that binds specifically to peroxidase; a peptide that is a fragment of peroxidase or a peroxidase substrate, a small molecule, and/or an anion such as iodide or thiocyanate. Inhibition of peroxidase may also occur by removal of bromide in cultured cells or by application of a neutralizer of hypochlorous acid and/or hypobromous acid such as taurine.

**[000183]** In some embodiments, such cell systems may be used to produce the chimeric  $\alpha$ (IV) chain polypeptides selected from the chimeric  $\alpha$ 3(IV),  $\alpha$ 4(VI) and  $\alpha$ 5(IV) polypeptides. The chimeric  $\alpha$ 3(IV) chain polypeptide may be encoded by a chimeric cDNA in which a nucleic acid sequence that encodes the amino acid sequence of all or part of the NC1 domain of the  $\alpha$ 3(IV) chain is replaced with a nucleic acid sequence that encodes the amino acid sequence of all or part of the NC1 domain of the  $\alpha$ 1(IV) and/or  $\alpha$ 2(IV) chains. The chimeric  $\alpha$ 4(IV) chain polypeptide may be encoded by a chimeric cDNA in which a nucleic acid sequence that encodes the amino acid sequence of all or part of the NC1 domain of the  $\alpha$ 4(IV) chain is replaced with a nucleic acid sequence that encodes the amino acid sequence of all or part of the NC1 domain of the

$\alpha 1(\text{IV})$  and/or  $\alpha 2(\text{IV})$  chains. The chimeric  $\alpha 5(\text{IV})$  chain polypeptide may be encoded by a chimeric cDNA in which a nucleic acid sequence that encodes the amino acid sequence of all or part of the NC1 domain of the  $\alpha 5(\text{IV})$  chain is replaced with a nucleic acid sequence that encodes the amino acid sequence of all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  and/or  $\alpha 2(\text{IV})$  chains.

[000184] In other aspects, said chimeric cDNAs encoding chimeric  $\alpha(\text{IV})$  polypeptides may be codon optimized for expression in mammalian cells, bacteria, insects, plant cells and/or yeast. Codon optimization is well known in the art for optimizing expression of recombinant polypeptides.

[000185] Said chimeric cDNAs may be transfected into mammalian cells, bacteria, insect cells, plant cells and/or yeast to produce chimeric  $\alpha(\text{IV})$  polypeptides. Also provided in the present invention are transformed host cells, bacteria, insects, plant cells and/or yeasts that contain the chimeric cDNA encoding chimeric  $\alpha(\text{IV})$  polypeptides.

[000186] In some embodiments, the recombinant collagen IV protein of the present invention may further contain non-natural amino acids and/or other amino acid substitutes, such as those that may enhance the stability of a polypeptide.

#### Pharmaceutically acceptable excipients

[000187] In some embodiments, the pharmaceutical compositions of the present invention may further comprise other pharmaceutically acceptable excipients.

[000188] The term “pharmaceutically acceptable excipient” refers to any ingredient having no therapeutic activity and having acceptable toxicity such as buffers, solvents, tonicity agents, stabilizers, antioxidants, surfactants or polymers used in formulating pharmaceutical products. They are generally safe for administering to humans according to established governmental standards, including those promulgated by the United States Food and Drug Administration.

[000189] *Buffers*: As used herein, the term “buffer” encompasses those agents which maintain the solution pH in an acceptable range. A buffer is an aqueous solution consisting of a mixture of a weak acid and its conjugate base or a weak base and its conjugate acid. Its pH changes very little when a small amount of strong acid or base is added to it and thus it is used to prevent any change in the pH of a solution. Buffer solutions are used in collagen IV protein formulations as a means of keeping proteins stable within a narrow pH range.

[000190] A buffer can stabilize the pH of a pharmaceutical composition. Suitable buffers are well known in the art and can be found in the literature. Preferred pharmaceutically acceptable

buffers comprise, but are not limited to, histidine-buffers, arginine-buffers, citrate-buffers, succinate-buffers, acetate-buffers and phosphate-buffers or mixtures thereof. Most preferred buffers comprise citrate, L-arginine, L-histidine or mixtures of L-histidine and L-histidine hydrochloride. Other preferred buffer is acetate buffer. Independently from the buffer used, the pH can be adjusted with an acid or a base known in the art, e.g. hydrochloric acid, acetic acid, phosphoric acid, sulfuric acid and citric acid, sodium hydroxide and potassium hydroxide. The pH is adjusted in range to provide acceptable stability, to maintain the solubility and insulinotropic activity of the collagen IV protomer, dimer, tetramer and/or multimer, and be acceptable for parenteral administration. The pH may be from about pH 4 to about pH 7.0, or about pH 5 to about pH 6, such as about pH 5, about pH 5.5, about pH 6, about pH 6.5, or about pH 7.0.

**[000191]** *Tonicity agents:* The term “tonicity agent”, as used herein, recites pharmaceutically acceptable excipient used to modulate the tonicity of a pharmaceutical composition and formulation. Tonicity in general relates to the osmotic pressure of a solution usually relative to that of human blood serum. Osmotic pressure is the pressure that must be applied to a solution to prevent the inward flow of water across a semi-permeable membrane. Osmotic pressure and tonicity are influenced only by solutes that cannot cross the membrane, as only these exert an osmotic pressure. A formulation can be hypotonic, isotonic or hypertonic, but is typically preferably isotonic. An isotonic formulation is liquid or liquid reconstituted from a solid form, e.g. from a lyophilized form and denotes a solution having the same tonicity as some other solution with which it is compared, such as physiologic salt solution and the blood serum.

**[000192]** Tonicity agent excipients are added to injectable, ocular or nasal preparations to reduce local irritation by preventing osmotic shock at the site of application. For comfort during administration, many injectable dosage forms must have the same salt (isotonic) concentration as the normal cells of the body and the blood.

**[000193]** Suitable tonicity agents include sugars, salts and amino acids. Some examples of tonicity agents include, but are not limited to, corn syrup, hydrous dextrose, anhydrous dextrose, trehalose, sucrose, glycerin, arginine, mannitol, potassium chloride and sodium chloride.

**[000194]** The term “sugar” as used herein denotes a monosaccharide or an oligosaccharide, which is water soluble. A monosaccharide is a monomeric carbohydrate which is not hydrolysable by acids, including simple sugars and their derivatives. Examples of



monosaccharides include glucose, fructose, galactose, mannose, sorbose, ribose, deoxyribose, neuraminic acid. An oligosaccharide is a carbohydrate consisting of more than one monomeric saccharide unit connected via glycosidic bond(s) either branched or in a chain. The monomeric saccharide units within an oligosaccharide can be identical or different. Examples of oligosaccharides include sucrose, trehalose, lactose, maltose and raffinose.

**[000195]** The term “amino acid” in context with tonicity agent or stabilizer, denotes a pharmaceutically acceptable organic molecule possessing an amino moiety located at an  $\alpha$ -position to a carboxylic group. Examples of amino acids include arginine, glycine, ornithine, lysine, histidine, glutamic acid, asparagic acid, isoleucine, leucine, alanine, phenylalanine, tyrosine, tryptophane, methionine, serine, proline. Preferred amino acid in context with tonicity agent or stabilizer is arginine, tryptophane, methionine, histidine or glycine. For example, arginine is a protein solubilizer and also a stabilizer that reduces collagen IV aggregation.

**[000196]** Inorganic salts are effective tonicity agents and also commonly used as protein stabilizers. Inorganic salts may include, but are not limited to, sodium chloride (NaCl), sodium sulfate (Na<sub>2</sub>SO<sub>4</sub>), sodium thiocyanate (NaSCN), magnesium chloride (MgCl<sub>2</sub>), magnesium sulfate (MgSO<sub>4</sub>), ammonium thiocyanate (NH<sub>4</sub>SCN), ammonium sulfate ((NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>), ammonium chloride (NH<sub>4</sub>Cl), calcium chloride (CaCl<sub>2</sub>), calcium sulfate (CaSO<sub>4</sub>), zinc chloride (ZnCl<sub>2</sub>) and the like, or combinations thereof.

**[000197]** It is well known that if a formulation requires a high concentration of one or more sugars to stabilize a protein, the inorganic salt concentration should be zero or kept very low in order to maintain the formulation's osmolality such that injection pain is reduced upon administration. In some embodiments, the collagen IV formulations are non-salt formulations in which inorganic salts are substantially excluded from addition to the formulations described herein. These non-salt formulations may maintain the osmolality of the collagen IV formulations with increased stability, and reduced phase change, such as precipitation or aggregation. It will be understood by those skilled in the art that the presence of inorganic salts within the presently disclosed formulations that are introduced by pH adjustment are not considered to be added inorganic salts.

**[000198]** In other embodiments, if a high concentration of collagen IV protein is not desired, the pharmaceutical compositions comprising collagen IV protein may be in any of a variety of physiologically acceptable salt forms, and/or with an acceptable pharmaceutical carrier and/or

additives. Pharmaceutically acceptable salts include, e.g., acetate, benzenesulfonate, benzoate, bicarbonate, bitartrate, bromide, calcium edetate, camsylate, carbonate, chloride, citrate, dihydrochloride, edetate, edisylate, estolate, esylate, fumarate, gluceptate, gluconate, glutamate, glycolylarsanilate, hexylresorcinate, hydrabamine, hydrobromide, hydrochloride, hydroxynaphthoate, iodide, isethionate, lactate, lactobionate, malate, maleate, mandelate, mesylate, methylbromide, methylnitrate, methylsulfate, mucate, napsylate, nitrate, pamoate (embonate), pantothenate, phosphate/disphosphate, polygalacturonate, salicylate, stearate, subacetate, succinate, sulfate, tannate, tartrate, and teoclate/triethiodide anions; benzathine, chlorprocaine, choline, diethanolamine, ethylenediamine, meglumine, and procaine (organic) cations; and aluminium, calcium, lithium, magnesium, potassium, sodium, and zinc (metallic) cations. Pharmaceutically acceptable salts also include those salts described in, e.g., Berge et al., *J. Pharm. Sci.* 1977, 66, 1-19.

**[000199]** In some embodiments of the present invention, the collagen IV composition may further comprise mannitol as an isotonicity agent. The mannitol concentration is in the range of about 3.0 to about 6.3% w/v.

**[000200]** *Surfactant:* surfactants may be used to protect protein formulations against mechanical stresses like agitation and shearing without causing denaturation of the collagen IV protein, and also to reduce the adsorption on the surfaces during processing and storage. Surfactants may include, but are not limited to, poloxamers, polysorbates, polyoxyethylene alkyl ethers (Brij), alkylphenylpolyoxyethylene ethers (Triton-X) or sodium dodecyl sulphate (SDS). Preferred surfactants are polysorbates and poloxamers.

**[000201]** Polysorbates are oleate esters of sorbitol and its anhydrides, typically copolymerized with ethylene oxide. Commonly used polysorbates including Polysorbate 20 (poly(ethylene oxide) (20) sorbitan monolaurate, Tween 20) or Polysorbate 80 (poly(ethylene oxide) (80) sorbitan monolaurate, Tween 80), and Pluronic® polyols, can stabilize protein during processing and storage by reducing interfacial interaction and prevent protein from adsorption.

**[000202]** In some embodiments of the present invention, the collagen IV compositions may further comprise polysorbate-80 as a solubilizer and/or stabilizer. The concentration of polysorbate-80 is in the range of about 0.01 to 0.05% (w/v) (or expressed in terms of mg/ml, about 0.1 to 0.5 mg/mL). This concentration of polysorbate-80 is determined in combination

with the collagen IV protein and mannitol to minimize the formation of soluble aggregates and insoluble particles.

**[000203]** Poloxamer means non-ionic triblock copolymers composed of a central hydrophobic chain of polypropylene oxide) (PPO) flanked by two hydrophilic chains of poly(ethylene oxide) (PEO), each PPO or PEO chain can be of different molecular weights.

**[000204]** Amounts of surfactants effective to provide stable high concentration collagen IV formulations are usually in the range of about 50 ppm to about 200 ppm. The collagen IV protein formulations of the present invention include, without limitation, formulations having one or more non-ionic surfactant(s) including, for example, one or more polysorbate(s), such as polysorbate 20 or 80; one or more polyoxamers, such as poloxamer 184 or 188; one or more Pluronic® polyol(s); and/or one or more ethylene/polypropylene block polymer(s). Exemplified herein are formulations having a polysorbate, such as polysorbate 20 (Tween 20) or polysorbate 80 (Tween 80).

**[000205]** *Antioxidant*: Antioxidant may be used to prevent oxidation of the active pharmaceutical ingredient, in particular, the recombinant collagen IV protein. This includes chelating agents, reactive oxygen scavengers and chain terminators. Antioxidants include, but are not limited to, EDTA, citric acid, ascorbic acid, butylated hydroxytoluene (BHT), butylated hydroxy anisole (BHA), sodium sulfite, *p*-amino benzoic acid, glutathione, propyl gallate, cysteine, methionine, ethanol and N-acetyl cysteine. In particular, metal chelators such as EDTA, ALA, BAPTA, EGTA, DTPA and DMSA may be used to inhibit lysyl oxidase mediated collagen IV cross-linking among collagen IV protomers, dimers and/or multimers.

**[000206]** Collagen IV proteins may be produced as powder, suitable for solution and infusion, or formulated as solutions suitable for injection and other administration routes of such collagen IV proteins.

**[000207]** In some embodiments, the pharmaceutical composition of the present invention may contain a high concentration of collagen IV protein without loss of the stability of recombinant protein.

**[000208]** Standard pharmaceutical formulation techniques are well known to those skilled in the art (*see, e.g.,* 2005, Physicians' Desk Reference®, Thomson Healthcare: Montvale, NJ, 2004; Remington: The Science and Practice of Pharmacy, 20th ed., Gennado et al., Eds. Lippincott Williams & Wilkins: Philadelphia, PA, 2000). Suitable pharmaceutical additives include those

discussed above, e.g., mannitol, starch, glucose, lactose, sucrose, gelatin, malt, rice, flour, chalk, silica gel, sodium stearate, glycerol monostearate, talc, sodium chloride, dried skim milk, glycerol, propylene, glycol, water, ethanol, and the like. The compositions may also contain pH buffering reagents and wetting or emulsifying agents. The compositions may or may not contain preservatives.

**[000209]** The formulation of pharmaceutical compositions may vary depending on the intended routes of administration and other parameters (see, e.g., Rowe et al., Handbook of Pharmaceutical Excipients, 4th ed., APhA Publications, 2003). In some embodiments, the composition may be a sterile, non-pyrogenic, white to off-white lyophilized cake or powder to be administered by intravenous injection upon reconstitution with sterile water for injection. In other embodiments, the formulation itself may be a sterile, non-pyrogenic solution.

**[000210]** *Lyophilized formulation:* In some embodiments, the pharmaceutical composition of the present invention may be formulated as lyophilized mixture, in the presence of lyoprotectant.

**[000211]** In other embodiments, the pharmaceutical composition of the present invention may be encapsulated in biodegradable polymers.

**[000212]** *Aqueous formulation:* As used herein, the term "aqueous formulation" refers to a solution or liquid preparation that contains collagen IV protein in combination with one or more excipients (e.g., chemical additives) dissolved in a suitable solvent. In some embodiments, the collagen IV composition may be formulated as stable aqueous formulation comprising an effective amount of soluble collagen IV protein, a buffer such as a citrate- phosphate or citrate buffer with a desired pH, sucrose or trehalose, sodium chloride and either L- histidine or L- aspartic acid.

**[000213]** In some embodiments, formulations of collagen IV protein may contain, among others, excipients which inhibit adsorption, prevent oxidation, maintain pH, stabilize the collagen IV protein and control the osmolality of the pharmaceutical composition. In general, excipients that stabilize collagen IV can be chosen on the basis of the mechanisms by which they stabilize proteins against various chemical and physical stresses that could occur during a manufacturing process, under particular storage conditions, or associated with a particular mode of administration.

**[000214]** The concentration or amount of an excipient to use in a formulation will vary depending on, for example, the amount of collagen IV protein included in the formulation, the

amount of other excipients included in the desired formulation, the amount or volume of other components in the formulation and the desired tonicity or osmolality that is desired to be achieved. In various embodiments, different types of excipients can be combined in a single formulation. Accordingly, a single formulation can contain a single excipient, two, three or more different types of excipients. The use of excipients in liquid formulations is an established practice to stabilize proteins against degradation or aggregation processes attributed for instance, to stresses that occur during manufacturing, shipping, storage, pre-use preparation, or administration. In practice, the presence of a particular excipient in a formulation may have more than one effect or purpose.

**[000215]** A variety of publications and reviews are available on protein stabilization, e.g. Arakawa, et al, *Pharm. Res.*, 1991, 8(3), 285-91 (1991); Kendrick, et al, *Pharmaceutical Biotechnology*, 2002, 13, 61-84, and Randolph, et al., *Pharmaceutical Biotechnology*, 2002, 13, 159-175, the content of each of which is herein incorporated by reference in their entirety.

**[000216]** Accordingly, a variety of references in the art discuss protein formulations for pharmaceutical purposes, see, e.g., U.S. Pat. Nos. 6,821,515; 6,685,940; 8,420,081; and 8,613,919; and U.S. patent publication No. 20120294866; and 20130156760; and PCT patent publication No. WO2013096791; the content of each of which is herein incorporated by reference in their entirety.

**[000217]** In one embodiment, the collagen IV protein formulation of the present invention comprises collagen IV protomer, dimer, tetramer, multimer and/or the mixture thereof, wherein the collagen IV protomer is a heterotrimer comprising three  $\alpha$  chain polypeptides selected from collagen IV  $\alpha 1$ ,  $\alpha 2$ ,  $\alpha 3$ ,  $\alpha 4$ ,  $\alpha 5$  and  $\alpha 6$  chains. In a preferred embodiment, said collagen IV protomer is a heterotrimer consisting of one  $\alpha 3$  chain, one  $\alpha 4$  chain and one  $\alpha 5$  chain polypeptide.

**[000218]** In some aspects, the collagen IV formulations contain recombinant collagen IV protein comprising  $\alpha 3$  (IV) chain polypeptide comprising the amino acid sequence of SEQ ID NO. 3 and/or variants thereof,  $\alpha 4$  (IV) chain polypeptide comprising the amino acid sequence of SEQ ID NO.4 and/or variants thereof,  $\alpha 5$  (IV) chain polypeptide comprising the amino acid sequence of SEQ ID NO. 5 and/or variants thereof. In other aspects, the collagen IV formulations contain collagen IV protein comprising chimeric  $\alpha$  (IV) chain polypeptides selected from

chimeric  $\alpha 3$  (IV) chain polypeptide, chimeric  $\alpha 4$  (IV) chain polypeptide and chimeric  $\alpha 5$  (IV) chain polypeptide.

[000219] As a non-limiting example, a collagen IV protein formulation in accordance with the present invention may contain a pharmaceutically effective amount of collagen IV protein (e.g. recombinant human collagen IV protein), suitable concentration of a non-ionic surfactant, one or more amino acids selected from histidine, arginine, lysine, glycine and alanine, polysorbate-80, and/or one or more sugars selected from selected from mannitol, dextrose, glucose, trehalose and sucrose, wherein the concentration of collagen IV protein is from about 10 ng/ml to about 10 mg/ml, and wherein said collagen IV protein formulation has a pH of pH 4.5 to pH 6.5 and wherein said collagen IV protein formulation contains substantially no inorganic salt.

[000220] In a further embodiment, the collagen IV formulations may further include a metal chelator such as EDTA to inhibit cross linking of collagen IV protomers, dimers, multimers and the mixture thereof.

#### **Administration and dosage**

[000221] According to the present invention, recombinant human collagen IV protein, pharmaceutical compositions comprising collagen IV protein, or collagen IV protein formulations may be administered to a patient in need by intravenous injection, and/or other systemic or local administrations, such as intramuscular, subcutaneous, intracerebral, intracerebral ventricular, intracranial, intraocular, intra-aural delivery and delivery by acutely or chronically placed catheters.

[000222] The administration route of the pharmaceutical compositions of the present invention is preferably a parenteral route including intravenous, subcutaneous, intraperitoneal, and intramuscular routes. Intravenous administration is preferred. In addition to injection, implants and transdermal patches may be used, or an active compound may be prepared using a controlled-release preparation (see Sustained and Controlled Release Drug Delivery Systems, J. R. Robinson ed., Marcel Dekker, Inc., New York, 1978) including microcapsule delivery systems. A biodegradable or biocompatible polymer can be used, such as ethylene-vinyl acetate, polyethylene glycol (PEG), polyanhydride, polyglycolic acid, collagen, polyorthoester, or polylactic acid.

[000223] The dosage form of the pharmaceutical composition is not particularly limited. The pharmaceutical drug is, for example, in any of liquid, semisolid, and solid dosage forms. Specific

examples thereof include solutions (e.g., injectable solutions and insoluble solutions), dispersions, suspensions, tablets, pills, powders, liposomes, and nanoparticles.

**[000224]** The dosage form is appropriately selected according to an administration route or indications. An injectable dosage form is preferred. Examples of preferable composition of the injectable dosage form include dosage forms of injectable solutions or insoluble solutions and specifically include those suitable for intravenous, subcutaneous, and intramuscular injection, preferably intravenous injection.

**[000225]** In addition, the pharmaceutical compositions of the present invention can be in any of solution, microemulsion, dispersion, liposome forms and nanoparticles, and other forms suitable for administration without limitations as long as the pharmaceutical drug is sterile and stable under production and storage conditions. The collagen IV protomer, dimer, tetramer, multimer, and/or mixtures thereof, is incorporated in a necessary amount of an appropriate solvent, if necessary together with one or the combination of the ingredients listed above. Subsequently, the mixture can be sterilized by filtration to prepare an injectable sterile solution.

**[000226]** In general, the pharmaceutical compositions are incorporated in a sterile medium containing a basic dispersion medium and necessary additional ingredient(s) listed above to prepare a dispersion. In the case of a sterile powder for preparing the injectable sterile solution, a preferable preparation method involves obtaining, by vacuum drying and freeze drying, a powder of an active ingredient with arbitrary desired additional ingredients from the solution already sterilized by filtration. For example, a particle size necessary for a dispersion can be maintained by use of a coating agent such as lecithin, while the appropriate flowability of a solution can be maintained by use of a surfactant. Absorption-delaying agents such as mono-stearate and gelatin can be contained in the composition and thereby achieve the sustained absorption of the injectable composition.

**[000227]** A single dose for administration is not particularly limited and can be selected appropriately according to the purpose. The single dose is usually about 10 ng/kg to about 250 mg/kg, more preferably about 10 ng/kg to about 1 µg/kg, or about 100 ng/kg to about 100 µg/kg, or about 1 µg/kg to about 1 mg/kg, or about 10 ng/kg to about 50 mg/kg, or about 1 mg/kg to about 100 mg/kg, or about 10 mg/kg to about 50 mg/kg, particularly preferably approximately about 5 mg/kg to about 10 mg/kg. In some embodiments, the single dose is about 0.1 mg/kg, about 0.5 mg/kg, about 1 mg/kg, about 1.5 mg/kg, about 2 mg/kg, about 2.5 mg/kg, about 3

mg/kg, about 3.5 mg/kg, about 4 mg/kg, about 4.5mg/kg, about 5 mg/kg, about 6 mg/kg, about 7 mg/kg, about 8 mg/kg, about 9 mg/kg, about 10 mg/kg, or about 20 mg/kg. As used herein, the term “about” when referring to a measurable value such as a drug dose, is meant to encompass variations of  $\pm 20\%$  or  $\pm 10\%$ , more preferably  $\pm 5\%$ , even more preferably  $\pm 1\%$ , and still more preferably  $\pm 0.1\%$  from the specified amount, as such variations are appropriate to the disclosed compositions. The dose can be adjusted for each administration according to a symptom to be treated. Alternatively, a dose that falls outside this range may be applied in consideration of the symptom, general status, route of administration, etc. of a patient.

[000228] The administration schedule of the pharmaceutical compositions may be any of single-dose administration and continuous administration.

[000229] The pharmaceutical compositions of the present invention may be used in combination with one or more additional pharmaceutical medications. The pharmaceutical medications to be combined therewith are appropriately selected in consideration of symptoms or adverse reaction. In the present invention, such combined use also includes the administration of the pharmaceutical medications of the present invention simultaneously or almost simultaneously with the additional pharmaceutical medications as well as the formulation of the pharmaceutical medication of the present invention together with the additional pharmaceutical medications.

[000230] The pharmaceutical medications that can be combined with the pharmaceutical composition of the present invention are appropriately selected according to symptoms. Examples of medications include, but are not limited to, anti-thrombotic agents, anti-inflammatory agents, and/or histamine antagonist.

[000231] The dosage form, administration route, dose, and administration schedule of the pharmaceutical medication used as a pharmaceutical drug or a pharmaceutical composition for prevention are the same as in use for treatment.

[000232] The data obtained from *in vitro* assays and animal studies, for example, can be used in formulating a range of dosage for use in humans. The dosage of such compositions lies preferably within a range of circulating concentrations that include the  $ED_{50}$  with low, little, or no toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. The therapeutically effective dose of the pharmaceutical compositions can be estimated initially from *in vitro* assays. A dose may be formulated in mouse models to achieve a circulating plasma concentration range that includes that required to achieve



a half-maximal inhibition of symptoms. Protein levels in plasma may be measured, for example, by ELISA, immuno-blot, mass spectrometry, etc. The effects of any particular dosage can be monitored by a suitable bioassay of endpoints.

[000233] Unless otherwise indicated, the pharmaceutical compositions of the present invention may be administered at a dose of approximately from about 1.0 ng/kg to about 500 mg/kg, depending on the severity of the symptoms and the progression of the renal pathology. As non-limiting examples, the pharmaceutical compositions may be administered by slow intravenous infusion in an outpatient setting every, e.g., 1, 2, 3, 4, 5, or more days, or by, e.g., weekly, biweekly, monthly, or bimonthly administration. The appropriate therapeutically effective dose of a compound may range approximately from about 1 ng/kg to about 100 mg/kg, from about 1 ng/kg to about 50 mg/kg, from about 1 ng/kg to about 10 mg/kg, from about 1 µg/kg to about 1 mg/kg, from about 10 µg/kg to about 1 mg/kg, from about 10 µg/kg to about 100 µg/kg, from about 100 µg to about 1 mg/kg, and from about 500 µg/kg to about 5 mg/kg. In some embodiments, the appropriate therapeutic dose is chosen from, e.g., about 0.1 mg/kg, about 0.25 mg/kg, about 0.5 mg/kg, about 0.75 mg/kg, about 1 mg/kg, about 2 mg/kg, about 3 mg/kg, about 4 mg/kg, about 5 mg/kg, about 6 mg/kg, about 7 mg/kg, about 8 mg/kg, about 9 mg/kg, about 10 mg/kg, about 15 mg/kg, about 20 mg/kg, about 30 mg/kg, about 40 mg/kg, about 50 mg/kg, about 60 mg/kg, about 70 mg/kg, and about 100 mg/kg.

[000234] In some embodiments, the pharmaceutical compositions of the present invention may be administered by intravenous injection at a dose of, e.g., 1.0 mg/kg body weight every two weeks or four weeks at an infusion rate of, e.g., less than or equal to 10, 13, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, or 33 mg/hour. In another example, the pharmaceutical composition comprising collagen IV protein may be administered by intravenous injection at a dose of, e.g., 20 mg/kg or 40 mg/kg every two or four weeks, over approximately, e.g., 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 hours.

#### **Methods for treating Alport syndrome**

[000235] In some embodiments, the present invention provides methods for treating a disease condition characterized by one or more deficiencies of collagen IV protein in a subject in need thereof by administering to the subject a pharmaceutical composition that contains an pharmaceutically effective amount of recombinant collagen IV protein. The condition may be associated with any deficiencies in any one of collagen IV  $\alpha$  chain polypeptides selected from

$\alpha 1$ ,  $\alpha 2$ ,  $\alpha 3$ ,  $\alpha 4$ ,  $\alpha 5$ , and  $\alpha 6$  chains. Preferably, the deficiencies are related to collagen IV  $\alpha 3$ ,  $\alpha 4$  and  $\alpha 5$  chains.

**[000236]** In some aspects, the condition characterized by deficiencies of collagen IV protein is selected from Alport syndrome, thin basement membrane nephropathy (TBMN), familial hematuria, end stage renal disease (ESRD), progressive renal insufficiency, glomerular hematuria, proteinuria, hereditary nephritis, diabetic nephropathy, perinatal cerebral hemorrhage and porencephaly, hemorrhagic stroke, and any diseases or disorder with defects in collagen IV protein, and/or any diseases or disorder with defects in collagen IV protein

**[000237]** In a preferred embodiment, the disease is Alport syndrome. Alport syndrome may be X-linked Alport syndrome, autosomal recessive Alport syndrome, or autosomal dominant Alport syndrome. An X-linked Alport syndrome may be caused by any mutation in COL4A5 gene encoding the  $\alpha 5(\text{IV})$  chain polypeptide. An autosomal recessive Alport syndrome may be caused by any mutations in COL4A3 and/or COL4A4 genes encoding the  $\alpha 4(\text{IV})$  chain polypeptide and  $\alpha 5(\text{IV})$  chain polypeptide. An autosomal dominant Alport syndrome may be caused by any mutations in COL4A3 and/or COL4A4 genes encoding the  $\alpha 4(\text{IV})$  chain polypeptide and  $\alpha 5(\text{IV})$  chain polypeptide.

**[000238]** In one embodiment, the subject with Alport syndrome is diagnosed with Alport syndrome with heavy proteinuria, Alport syndrome with mild proteinuria, Alport syndrome with hematuria only, Alport syndrome without renal dysfunction findings who are diagnosed by family history and genetic screening, X-linked syndrome, autosomal recessive Alport syndrome, or autosomal dominant Alport syndrome.

**[000239]** In another embodiment, the condition characterized by one or more deficiencies in COL4A3, COL4A4 and COL4A5 genes further include auditory dysfunction, ocular dysfunction, brain small vessel disease with hemorrhage, brain small vessel disease with Axenfeld-Rieger anomaly or intracerebral hemorrhage.

**[000240]** In some embodiments, the pharmaceutical compositions used in the present methods comprise recombinant collagen IV protomers, dimers, tetramers, multimers and/or a mixture thereof. In some aspects, compositions comprise recombinant collagen IV protomers, wherein protomers are heterotrimers comprising three  $\alpha(\text{IV})$  chains selected from the group consisting of the  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$  and  $\alpha 5(\text{IV})$  chains, wherein the three chains form a triple helix. In a preferred embodiment, compositions comprise recombinant collagen IV heterotrimers with one  $\alpha 3(\text{IV})$

chain, one  $\alpha 4(\text{IV})$  chain and one  $\alpha 5(\text{IV})$  chain, wherein the  $\alpha 3(\text{IV})$  chain comprises the amino acid sequence of SEQ ID NO.3 and variants thereof; the  $\alpha 4(\text{IV})$  chain comprises the amino acid sequence of SEQ ID NO.4 and variants thereof, and the  $\alpha 5(\text{IV})$  chain comprises the amino acid sequence of SEQ ID NO.5 and variants thereof.

**[000241]** In other embodiments, recombinant collagen IV protomers may be heterotrimers comprising one, two or three chimeric  $\alpha$  chains selected from the chimeric  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$ ,  $\alpha 5(\text{IV})$  chains, wherein the chimeric  $\alpha 3(\text{IV})$  chain comprises a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha 3(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains; the chimeric  $\alpha 4(\text{IV})$  chain comprises a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha 4(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains; and the chimeric  $\alpha 5(\text{IV})$  chain comprises a chimeric polypeptide in which all or part of the NC1 domain of the  $\alpha 5(\text{IV})$  chain is replaced with all or part of the NC1 domain of the  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains.

**[000242]** In some cases, compositions comprise recombinant collagen IV dimers, wherein said dimers comprise two collagen IV protomers which may be recombinant collagen IV  $\alpha 3\text{-}\alpha 4\text{-}\alpha 5$  and/or chimeric collagen IV as disclosed herein. In some aspects, collagen IV dimers are dimerized enzymatically or chemically *in vitro* prior to administering to the subject in need.

**[000243]** In some embodiments, the pharmaceutical composition comprising collagen IV protein is administered to a subject in need thereof by an intravenous injection, intraperitoneal injection, intramuscular injection, subcutaneous injection, intrathecal injection, intracerebral ventricular administration, intracranial delivery, intraocular delivery, intraaural delivery, and/or by an acute or chronically placed catheter. In a preferred embodiment, the recombinant collagen IV protein is administered to a subject in need thereof by intravenous injection.

**[000244]** In some embodiments, the pharmaceutical composition comprising collagen IV protein may be co-administered to a subject in need with one or more prophylactic agents to void thrombosis and inflammatory, and/or other anaphylactic reactions induced by the administration of recombinant collagen IV protein to the subject. Such prophylactic agents may include anti-thrombotic agents and/or anti-inflammatories. Anti-thrombotic agents are drugs that reduce thrombus formation. As described herein, anti-thrombotic agents may be used to primarily prevent, or secondarily prevent acute thrombus formation induced by collagen IV replacement. An anti-thrombotic agent may be an antiplatelet drug which limits the aggregation of platelets,

an anticoagulant that limits the ability of the blood to clot, or a thrombolytic drug that acts to dissolve clots after they have formed. Antiplatelet drugs may include, but are not limited to, irreversible cyclooxygenase inhibitors such as aspirin and triflusal; adenosine diphosphate (ADP) receptor inhibitors such as clopidogrel, prasugrel, ticagrelor and ticlopidine; phosphodiesterase inhibitors such as cilostazol; glycoprotein IIB/IIIA inhibitors such as abciximab, eptifibatide and tirofiban; adenosine reuptake inhibitors such as dipyridamole; thromboxane inhibitors such as thromboxane synthase inhibitors, thromboxane receptor antagonists and teruthroban.

Anticoagulants may include, but are not limited to, warfarin, heparin, acenocoumarol, atromentin, brodifacoum and phenindione. Thrombolytic drugs may include, but are not limited to, tissue plasminogen activator t-PA such as alteplase, reteplase and tenecteplase; anistreplase; streptokinase and urokinase.

**[000245]** In some embodiments, the pharmaceutical composition comprising collagen IV protein may be co-administered to a subject in need with one or more anti-inflammatory agents. Anti-inflammatory agents may include, but are not limited to, NSAIDS (non-steroidal anti-inflammatory drugs) such as aspirin, ibuprofen, naproxen; acetaminophen; ImSAIDs (immune-selective anti-inflammatory drugs); phosphorylated dendrimers (see, e.g., U.S. Patent application publication No. 20100173871). Many other NSAIDS are disclosed in U.S. Pat. No. 5,385,941; 5,373,022; 6,730,696; 7,173,018; 7,417,035; 7,741,359; 8,314,140; and 8,541,398; the content of each of which is herein incorporated by reference in their entirety.

**[000246]** In addition to medical drugs, some health/food supplements which are anti-inflammatory may also be used together with the pharmaceutical composition of the present invention, for example, food that create anti-inflammatory prostaglandins (PGE1 and PGE3). Herbs and health supplements having anti-inflammatory qualities may include ginger, turmeric, arnica montana, willow bark, green tea, pineapple bromelain and indian olibanum.

**[000247]** In some embodiments, the anti-thrombotic agents and/or anti-inflammatories may be administered to the subject in need concomitantly, substantially concomitantly, or sequentially, substantially sequentially with the recombinant human collagen IV protein of the present invention.

**[000248]** It is known in the art that protein based medicines often induce innate immune response when administering to a subject. In some embodiments, other agents that can reduce the immune response may be used together the present pharmaceutical compositions comprising

collagen IV protein. As non-limiting examples, such drugs may be steroids (e.g. corticosteroids); anti-histamines; antibodies to the complement cascade; and/or those discussed in e.g., U.S. Pat. Nos. 3,167,475; 4,829,077; and 4,902,688.

**[000249]** In some embodiments, the method for treating collagen IV deficiencies further comprise a step of administering to the subject in need one or more agents that promote intravenous extravasation, said agents including hyaluronidase and histamine agonist.

**[000250]** Recent studies have shown that bromine is ubiquitously present in animals as ionic bromide ( $\text{Br}^-$ ) and is a required cofactor for peroxidase-catalyzed formation of sulfilimine crosslinks, a posttranslational modification essential for tissue development and architecture found within the collagen IV scaffold of basement membranes (BMs). Bromide, converted to hypobromous acid, forms a bromosulfonium-ion intermediate that energetically selects for sulfilimine formation within collagen IV, an event critical for BM assembly and tissue development (McCall et al., *Cell*, 2014, 157(6), 1380-1392). Bromine is an essential trace element for animals and bromine dietary supplement can facilitate collagen IV network formation in the GBM.

**[000251]** In accordance with some embodiments of the present invention, one or more cofactors of peroxidase may be administered to the subject after or substantially after the administration of the recombinant human collagen IV protomers. For example, the patient may have a special diet containing bromide.

**[000252]** In some embodiments, the present invention features methods for preventing, ameliorating one or more abnormalities comprising thinning and splitting glomerular basement membrane (GBM), heavy proteinuria, mild proteinuria, hematuria, renal deficiency, progression to end stage renal disease, auditory dysfunction, ocular abnormalities, porencephaly, brain small vessel disease with hemorrhage, brain small vessel disease with Axenfeld-Rieger anomaly, hereditary angiopathy with nephropathy, aneurysms, and muscle, and/or intracerebral hemorrhage, by administering to a subject in need thereof a pharmaceutical composition that comprises collagen IV protein, such that administering collagen IV protein prevents and/or ameliorates the phenotypic outcomes of the subject.

**[000253]** The collagen IV protein may be administered to a mammal. The mammal may be a mouse, a rat, a dog or a human.

[000254] In some further embodiments, the host cells that express chimeric  $\alpha$ (IV) polypeptides and/or chimeric cDNA constructs that encode chimeric  $\alpha$ (IV) polypeptides may be used in the present methods. Said chimeric  $\alpha$ (IV) polypeptides may be selected from chimeric  $\alpha$ 3(IV),  $\alpha$ 4(IV), and  $\alpha$ 5(IV) polypeptides in which all or part of the NC1 domain of each of  $\alpha$ 3(IV),  $\alpha$ 4(IV), and  $\alpha$ 5(IV) polypeptides is replaced with all or part of the NC1 domain of the  $\alpha$ 1(IV) and/or  $\alpha$ 2(IV) polypeptides.

#### *ELISA assays*

[000255] ELISA will be used to test the concentration of recombinant collagen IV in the serum or tissues. Collagen IV levels in serum or tissues are altered in many conditions. Serum collagen IV may be indicative of collagen IV degradation in the tissue and may correlate with collagen IV in basement membranes, including GBM. The quantitative measurement of collagen IV may assist in the monitoring of the effectiveness of recombinant collagen IV treatment. An ELISA analysis such as Echelon's collagen IV ELISA Kit may be used for this purpose. According to the manufacturer's proposal, the user simply adds the provided standard curve and their samples to a collagen IV capture plate, following an incubation and plate wash, then adds an HRP labeled detection reagent. After an additional incubation and plate wash, TMB substrate is added to the plate and the colorimetric reaction stopped by the addition of 1N sulfuric acid. The absorbance at 450 nm is measured and the concentration of samples determined by comparison to the standard curve.

#### *Biomarker assays*

[000256] According to the present invention, endogenous molecules present within the blood, tissues and urine may be used to measure the effectiveness of collagen IV replacement. In particular blood and urine samples obtained from the recombinant human collagen IV treated patients are used to test the presence and/or concentrations of biomarkers such as albumin, immunoglobulins A, E, G and M, DBP, RBP,  $\alpha$ 1 microglobulin,  $\beta$ 2 microglobulin, cubulin, apolipoprotein A-1 and megalin.

#### *Collagen IV receptor binding assay*

[000257] Integrins are major receptors for extracellular matrix proteins including collagens. Integrin receptors are heterodimers composed of an  $\alpha$  and  $\beta$  transmembrane subunit, which are noncovalently bound. Collagen binding is primarily provided by integrins  $\alpha$ 1 $\beta$ 1,  $\alpha$ 2 $\beta$ 1,  $\alpha$ 10 $\beta$ 1 and  $\alpha$ 11 $\beta$ 1. Integrin  $\alpha$ 10 $\beta$ 1 preferentially binds collagen IV, but also binds collagen VI and II.

Cells may also express other collagen receptors such as discoidin domain receptor type 1 (DDR1), discoidin domain receptor type 2 (DDR2), glycoprotein VI (GPVI) and/or mannose receptors. Cells are engineered to present collagen IV receptor integrin (e.g. integrin  $\alpha 10\beta 1$ ) with any techniques well known in the art. Collagen IV proteins at different concentrations are added into the culture media of integrin positive cells, the kinetics of integrin-collagen IV binding, cell migration, adherent morphology of treated cells, and differentiation are analyzed.

#### *Blood cell assays*

[000258] In some embodiments, blood cells obtained from the subject being treated with recombinant collagen IV may also be used for cell adhesion assays such as focal adhesion kinase (FAK) cell assays. In some embodiments, other cells may be used for cell adhesion assays including human pulmonary fibroblasts. For example, human pulmonary fibroblasts are transfected with vectors expressing a collagen IV integrin receptor and cultured in the collagen IV pre-coated 48 well plates. Cells are cultured in the pre-coated wells for a desired period of time, then unbounded cells are washed away, and the adhered cells are fixed and stained, followed by an extraction step which leads to dye elution from stained cells into supernatant. Thus cell adhesion can be quantified using a colorimetric ELISA plate reader at 595 nm.

[000259] Monoclonal antibodies (mAbs) against collagen IV may be used to detect collagen IV protein. Such as mAbs may include those disclosed in U.S. Pat. No. 5,741,652. A collagen IV immunoreactive peptide disclosed in US pat No. 8,420,331 may also be used to detect collagen IV.

#### *Signaling pathway assays*

[000260] Blood cells may be obtained from the subject being treated with recombinant collagen IV protein. The intracellular signaling cascades that relates to collagen IV interaction, and gene expression induced by collagen IV protein may be used to test collagen IV incorporation in the basement membrane.

#### *Protein interaction in cell free system*

[000261] The ability of collagen IV to bind other basement membrane components such as laminin-111, collagen VI and biglycan are tested in *in vitro* binding assays.

[000262] Such assays could include ELISA based methods in which laminin-111, collagen VI and biglycan are coated onto a plate, followed by incubation of recombinant collagen IV, followed by detection of collagen IV using an anti-collagen IV antibody chemically conjugated

to HRP or other reporter molecule. Other assays such as BiaCore could measure the affinities of laminin-111, collagen VI and biglycan to recombinant collagen IV.

## EXAMPLE

### Example 1: Administration of collagen IV protein to collagen IV deficiency animal models

#### *Animal model (COL4A3/COL4A4 knock out model)*

[000263] Cosgrove et al., produced a mouse model for the autosomal form of Alport syndrome by a COL4A3 knockout (Cosgrove et al., *Genes Dev.*, 1996, 10, 2981-2992). The mice developed progressive glomerulonephritis with microhematuria and proteinuria. End-stage renal disease developed at about 14 weeks of age. Transmission electron microscopy (TEM) of glomerular basement membranes (GBM) during development of renal pathology revealed focal multilaminated thickening and thinning beginning in the external capillary loops at 4 weeks and spreading throughout the GBM by 8 weeks. By 14 weeks, half of the glomeruli were fibrotic with collapsed capillaries. Immunofluorescence analysis of the GBM showed the absence of type IV collagen  $\alpha 3$ ,  $\alpha 4$ , and  $\alpha 5$  chains and a persistence of  $\alpha 1$  and  $\alpha 2$  chains, which are normally localized to the mesangial matrix. Northern blot analysis using probes specific for the collagen chains demonstrated the absence of COL4A3 in the knockout, whereas mRNAs for the remaining chains were unchanged. The progression of Alport renal disease was correlated in time and space with the accumulation of fibronectin, heparan sulfate proteoglycan, laminin-1, and entactin in the GBM of the affected animals.

[000264] COL4A3-deficient mice had normal expression of podocyte- and slit diaphragm-associated proteins until 4 weeks after birth, despite significant structural defects in the glomerular basement membrane. At week 5, there were alterations within the slit diaphragm, podocyte effacement, and altered expression of nephrin, a slit diaphragm-associated protein. These findings suggest that defects in glomerular basement membrane proteins lead to an insidious plasma protein leak, while breakdown of the slit diaphragms leads to precipitous plasma protein leak (Hamano et al., *J. Biol. Chem.*, 2002, 277, 31154-31162).

[000265] Recently, another mouse Alport syndrome model was identified by mutation in COL4A4 and these mice exhibit a rapid increase of urinary albumin at an early age associated with glomerulosclerosis, interstitial nephritis, and tubular atrophy (Korstanje et al., *Kidney International*, 2014, 85, 1461-1468).



[000266] In one experiment, wild type, Collagen IV  $\alpha 3$  chain knockout mice (COL4A3<sup>-/-</sup>) and/or Collagen IV  $\alpha 4$  chain knockout mice (COL4A4<sup>-/-</sup>) are obtained and maintained under standard conditions, and fed standard mouse chow and water ad libitum. Homozygous deletion of COL4A3 gene is confirmed by PCR reaction as described previously (Cosgrove et al., *Genes Dev.*, 1996, 10, 2981-2992). Mice (wild type, COL4A3<sup>+/-</sup>, COL4A3<sup>-/-</sup>) are injected intravenously with collagen IV at various concentrations from 1 ng/kg to 100 mg/kg every day, every other day, weekly or biweekly until a urinalysis demonstrates reduced progression of proteinuria, stabilized proteinuria, or reduced proteinuria, or as long as animal lifespan is maintained.

*Animal Model (COL4A5 model)*

[000267] Canine X-linked hereditary nephritis is an animal model for human X-linked Alport syndrome characterized by the presence of a premature stop codon in the  $\alpha 5$  (IV) chain polypeptide (Zheng et al., *Proc. Nat. Acad. Sci.*, 1994, 91, 3989-3993). The expression of the canine collagen type IV genes in the kidney indicates that, in addition to a significantly reduced level of COL4A5 gene expression (approximately 10% of normal), expression of the COL4A3 and COL4A4 genes was also decreased to 14-23% and 11-17%, respectively. These findings suggested to a mechanism which coordinates the expression of these 3 basement membrane proteins (Thorner et al., *J. Biol. Chem.*, 1996, 271, 13821-13828). Similarly, the canine X-linked Alport syndrome and control animals are purchased and are injected intravenously with collagen IV at various concentrations from 1 ng/kg to 100 mg/kg every day, every other day, weekly or biweekly until a urinalysis demonstrates reduced progression of proteinuria, stabilized proteinuria, or reduced proteinuria, or as long as animal lifespan is maintained.

*Mice phenotypic measurements after administering collagen IV protein intravenously*

[000268] Urinary albumin and creatinine concentration are estimated using colorimetric assay using commercially available assay kits (e.g., Sigma, St. Louis, MO). Urine albumin excretion is estimated as the quotient of urine albumin and urine creatinine as previously described (Sugimoto et al., *J Clin Lab Anal.*, 2003, 17(2), 37-43).

*Histological assessment of renal tissues*

[000269] Kidney tissues are fixed and stained with Hematoxylin-Eosin (H&E). The extent of renal pathology is assessed by morphometry of the glomerular diseases, tubular atrophy and interstitial fibrosis as previously described. Transmission electron microscopy (TEM) and

scanning electron microscopy (SEM) are used to examine the structure of glomerular basement membrane. It is anticipated that improvements in proteinuria may not be coincident with normalization of the GBM architecture and morphology; such as decreased splitting or decreased thickening of the GBM, or reestablishment of foot processes of podocytes, yet the amelioration of such morphological phenotypes in Alport syndrome provide a measure of efficacy. It is anticipated that early treatment of Alport syndrome with recombinant collagen IV will result in normalization of GBM architecture.

*Immunohistochemistry (collagen IV expression)*

**[000270]** Immunofluorescent staining is performed as described previously (Cosgrove et al., *Genes Dev.*, 1996, 10, 2981-2992). Antibodies specific to either  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$  or  $\alpha 5(\text{IV})$  chain are used to stain collagen IV protein in mice administered with collagen IV. Mice are perfused with 2% PBS buffered formalin before organs are harvested. Cryosectioned tissue specimens are stained with primary antibodies against either  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$  or  $\alpha 5(\text{IV})$  chain for 1h at room temperature and sections are reacted with fluorescent (e.g., FITC, GFP) conjugated secondary antibodies. Recombinant collagen IV proteins presented in the GBM are fluorescently labeled and analyzed.

**Example 2: Administration of  $(\alpha 1)_2/\alpha 2(\text{IV})$  collagen to Alport mouse model**

**[000271]** As described herein, in addition to the major collagen isoform  $\alpha 3/4/5(\text{IV})$  in the GBM, collagen isoform  $(\alpha 1)_2/\alpha 2(\text{IV})$  network exists in the subendothelial region of the GBM and plays an important role in GBM development and function. It is hypothesized that the defect in Alport GBM is because there is not enough isoform  $(\alpha 1)_2/\alpha 2(\text{IV})$  present to provide the needed stability of collagen network. Experiments are designed to test the hypothesis that infusing isoform  $(\alpha 1)_2/\alpha 2(\text{IV})$  intravenously can increase collagen  $(\alpha 1)_2/\alpha 2(\text{IV})$  levels in the GBM and prevent further development and progression of lesions, and will significantly slow kidney disease progression to kidney failure.

**[000272]** Wild type, Collagen IV  $\alpha 3$  chain knockout mice (COL4A3<sup>-/-</sup>) and/or Collagen IV  $\alpha 4$  chain knockout mice (COL4A4<sup>-/-</sup>) are obtained and maintained under standard conditions, and fed standard mouse chow and water ad libitum. Additionally, mice may be either on the 129S1/SvImJ strain background, or on the B6 background, or on the 129S1/B6 hybrid background. Kidney dysfunction progresses rapidly on the 129S1 background (about 10 weeks),

slowly on the B6 background (about 8 months) and intermediately on the 129S1/B6 hybrid background (about 4 months).

[000273] In one experiment, *Col4a3*<sup>-/-</sup> Alport mice on the 129S1/SvImJ strand background are divided into 3 treatment groups of 7 to 10 mice in each group. Each group is treated by intravenous injection with vehicle only, collagen isoform ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$ (IV) at low dose and collagen isoform ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$ (IV) at high dose, respectively. Treatment begins at 3 to 4 weeks of age and continues weekly until at least 10 weeks of age, or longer if the treatment proves to be effective at slowing kidney disease progression.

[000274] Similar to Example 1, the morphology of GBM, collagen incorporation and kidney function are analyzed after administering collagen isoform ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$ (IV). A few mice injected with labeled collagen isoform ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$ (IV) at either low dose or high dose are sacrificed at various ages to determine whether the label is concentrated in the GBM.

[000275] Urine is analyzed every 1 to 2 weeks for protein and creatinine beginning at 4 weeks of age. Animal weights are determined every 7 to 10 days beginning at 6 weeks of age as a general measure of overall health, as weight loss usually precedes kidney failure. Treated mice are sacrificed at various ages (depending on the results of urine and weight analyses) or at the time of renal failure so that kidney histology and glomerular ultrastructure can be investigated and the effects of the treatments on fibrosis and glomerular basement membrane architecture can be determined.

[000276] The results of the analysis allow a determination of whether intravenous collagen isoform ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$ (IV) treatment is beneficial for slowing progression of kidney disease. Furthermore, the most effective dose will be determined by the experiment.

### **Example 3: Characterization of mouse Col4 ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$ preparation**

[000277] Collagen type IV proteins (Col4 ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$ )) were purified and prepared from mouse tissues. To test species and the relative ratio of protomers, dimers, tetramers and aggregates within the Col4 ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$  preparation, denaturing and native gel electrophoresis was used and the size of each band was analyzed.

[000278] Several commercial antibodies were evaluated for their capability of binding to Col4 ( $\alpha 1$ )<sub>2</sub>/ $\alpha 2$  proteins, the antibodies including rabbit polyclonal antibodies (Cat. No. sc70246, Santa Cruz, Dallas, TX, USA), which recognize internal epitope of human Collagen Type IV  $\alpha 2$  chain; rabbit polyclonal antibodies (Cat. No. ab6586, Abcam, Cambridge, MA, USA), which are raised

using immunogen of a full length native purified protein corresponding to human collagen IV  $\alpha 1$ -1669 from human placenta; and rabbit polyclonal antibodies (Cat. No. ab19808, Abcam, Cambridge, MA, USA), which are raised using an immunogen of a full length native collagen IV extracted and purified from tumor tissues of mouse EHS.

**[000279]** Purified Col4 ( $\alpha 1(2)\alpha 2$ ) proteins from mouse (Cat. No. sc-29010, Santa Cruz, Dallas, TX, USA) were separated using denaturing/non reducing SDS-Polyacrylamide gel electrophoresis (PAGE) and immune blotted with sc-70246 (1:100 dilution), ab6586 (1:1000 dilution) and ab19808 (1:1000 dilution), respectively. HRP conjugated anti-rabbit IgG secondary antibody (1: 20,000 dilution) was used to visualize the bands. As shown in Figure 1, mouse Col4 ( $\alpha 1(2)\alpha 2$ ) proteins naturally contain four major species of Col4 ( $\alpha 1(2)\alpha 2$ ) including individual  $\alpha 1$  and  $\alpha 2$  chains (I) (about 180KDa), protomers (P) (about 480KDa), dimers (D) (about 900KDa) and tetramer (T) (larger than 900KDa). The purification and preparation reserved the full length of polynucleotides and proteins with very little degradation products observed in the Col4 ( $\alpha 1(2)\alpha 2$ ) preparation. Among all three anti-Col4 antibodies tested, Antibody ab6586 from Abcam is most sensitive in detecting Col4 ( $\alpha 1(2)\alpha 2$ ) proteins.

**[000280]** As discussed above, collagen IV proteins are linked and stabilized via the disulfide bonds. A size characterization was further analyzed to test if disulfide reduction resolves Col4 ( $\alpha 1(2)\alpha 2$ ) species into individual alpha chains, protomers, dimers and tetramers. Denaturing SDS-PAGEs with or without disulfide reduction were carried and compared. TCEP (Tris (2-carboxyethyl) phosphine) was used to selectively reduce disulfide. As shown in the representative gel images of Figures 2A and 2B, Denaturing PAGE without disulfide reduction resolves Col4 ( $\alpha 1(2)\alpha 2$ ) species into individual alpha chains (I), protomers (P), dimers (D) and tetramers (T) (Figure 2A). Denaturing PAGE with disulfide reduction resolves Col4 ( $\alpha 1(2)\alpha 2$ ) species mostly into individual alpha chains (I) with some protomers (P), dimers (D) and tetramers (T) (Figure 2B). This result suggests that native Col4 ( $\alpha 1(2)\alpha 2$ ) proteins contain mixtures of disulfide bonded and non-disulfide bonded species, the vast majority of which can be reduced to individual alpha chains. LAM-111 (Cat. No. 23017-015, Life Technologies, Carlsbad, CA, USA), another structural protein of the GBM, was tested in parallel as an accurate molecular weight standard to compare to the individual alpha chains of Col4 ( $\alpha 1(2)\alpha 2$ ).

[000281] pH conditions were tested for its effect on the formation of Col4 ( $\alpha 1(2)\alpha 2$ ) protomers, dimers and tetramers. Col4 ( $\alpha 1(2)\alpha 2$ ) proteins from Santa Cruz (Cat. No. sc-29010) were diluted in acidic solution (50mM HCl, pH~2.0), neutral TBS (20mM Tris-HCl and 500mM NaCl, pH~7.5) and basic Tris-HCl (100mM Tris-HCl, pH~9.0), respectively, and were analyzed by denaturing SDS-PAGE with or without disulfide reduction. All preparations were assembled for 17 minutes at room temperature before adding the loading sample buffer. The separate bands were visualized by silverstain or immunoblotting using antibody sc6586. No or very little aggregation was observed in all three (acidic, neutral and basic) conditions with or without disulfide reduction. In all three pH conditions, disulfide reduction treatment almost completely reduces high molecular weight dimers (D) and tetramers (T) to protomers (P) and individual alpha chains (I)(data not shown). The results suggest that autocatalytic disulfide formation among individual alpha chains, protomers, dimers and tetramers is a pH dependent process and is reversible.

[000282] Different charges on alpha polynucleotide chains may affect Collagen IV assembly. We tested if Direct Red 80 charges can shift the ratio of Col4 ( $\alpha 1(2)\alpha 2$ ) species. Col4 ( $\alpha 1(2)\alpha 2$ ) preparation and LAM-111 were diluted in acidic buffer (50mM HCl) and loaded in gel sample buffer containing 0.01% Direct Red 80 dye (Cat. No. 365548, Sigma-Aldrich ) and analyzed by native PAGE using acidified running buffer containing 0.01% Direct Red 80 dye, with or without disulfide reduction. Native PAGE separation generates a similar Col4 banding to that of denaturing-SDS PAGE. It was demonstrated that Direct Red 80 can charge shift Col 4 and separate the Col4 ( $\alpha 1(2)\alpha 2$ ) preparation by native-PAGE. Disulfide reduction of the Col4 ( $\alpha 1(2)\alpha 2$ ) preparation at 70°C can separate protomers (P), dimers (D) and tetramers (T). In unreduced Col4 ( $\alpha 1(2)\alpha 2$ ) native preparations, protomers (P), dimers (D) and tetramers (T) are evident, but no aggregations larger than 2MD (Figure 3).

[000283] Altogether, these results indicate that Col4- $\alpha 1(2)\alpha 2$  is able to dimerize and tetramerize *in vitro*.

#### **Example 4: Platelets aggregation *In Vitro***

##### *Preparation of resting platelets*

[000284] Mouse platelet-rich plasma (PRP) was prepared as described previously (Hoffmeister et al., the clearance mechanism of chilled blood platelets. *Cell* 2003; 10(1):87-97). All centrifuge steps

included prostaglandin E1 to prevent platelet activation. Mouse strain CD-1 was used for the preparation of resting platelets.

[000285] Human blood from healthy volunteers, drawn into 0.1 volume of Aster-Jandlanticoagulant, was centrifuged at 100g for 10 minutes. None of the volunteers had ingested aspirin or other non-steroidal anti-inflammatory drugs for at least 10 days before blood collection. The isolated platelet rich plasma suspension was incubated at 37°C for up to 1 hour.

*Activation of resting platelets*

[000286] The resting platelets prepared from human blood were incubated with Col4 ( $\alpha_1(\alpha_2)\alpha_2$ ) proteins at different concentrations (Table 4) for 5-10 minutes and activated using 8uM thrombin receptor-activating peptide (TRAP) (Cat. No. T1573, Sigma-Aldrich, USA).

[000287] The resting platelets prepared from mouse were incubated with 4  $\mu$ l of Col4 ( $\alpha_1(\alpha_2)\alpha_2$ ) protein first and then with additional 40 $\mu$ l of Col4 ( $\alpha_1(\alpha_2)\alpha_2$ ) protein for 5-10 minutes and activated using 25uM ADP (Cat. No. 101312, BIO/DATA Corp. USA)

[000288] Platelets aggregation begins minutes after activation, and occurs as a result of turning on the GPIIa/b receptor, which allows these receptors to bind the von Willebrand Factor (vWF) or fibrinogen. Activation of platelets change their shapes from curved to straight, and such activation can be detected using Aggregometer (BIO/DATA Corp. Horsham, PA, USA)

**Table 4.** Platelet aggregation assay

<b>Col4-<math>\alpha_1(\alpha_2)\alpha_2</math></b>	<b>PRP (human)</b>	<b>Total amount of Col4-<math>\alpha_1(\alpha_2)\alpha_2</math></b>	<b>Col4-<math>\alpha_1(\alpha_2)\alpha_2</math> induced Platelet aggregation</b>	<b>TRAP induced Platelet aggregation</b>
4 $\mu$ l	400 $\mu$ l	5.6 $\mu$ g/ml	NO	Yes
40 $\mu$ l	360 $\mu$ l	56 $\mu$ g/ml	NO	Yes
80 $\mu$ l	320 $\mu$ l	112 $\mu$ g/ml	NO	Yes
<b>Col4-<math>\alpha_1(\alpha_2)\alpha_2</math></b>	<b>PRP (mouse)</b>	<b>Total amount of Col4-<math>\alpha_1(\alpha_2)\alpha_2</math></b>	<b>Col4-<math>\alpha_1(\alpha_2)\alpha_2</math> induced Platelet aggregation</b>	<b>ADP induced Platelet aggregation</b>
4 $\mu$ l then an additional 40 $\mu$ l 1 minute later	400 $\mu$ l	61.6 $\mu$ g/ml	NO	Yes

[000289] The result shows that Col4 ( $\alpha 1_{(2)}\alpha 2$ ) does not activate platelets or induce the aggregations. Furthermore, The Col4 ( $\alpha 1_{(2)}\alpha 2$ ) preparation does not inhibit platelet aggregation induced by agonists TRAP or ADP.

**Example 5: *In vitro* labeling of Col4 ( $\alpha 1_{(2)}\alpha 2$ ) and LAM-111**

[000290] To visualize the deposit of injected Col4 ( $\alpha 1_{(2)}\alpha 2$ ), in particular the high molecule weigh species of Col4 ( $\alpha 1_{(2)}\alpha 2$ ) (about 900KDa) in the GBM *in vivo*, Col4 ( $\alpha 1_{(2)}\alpha 2$ ) and LAM-111 proteins were first labeled with fluorescein (FITC). In this experiment, 5(6)-SFX (6-(Fluorescein-5-(and-6)-Carboxamido) HexanoicAcid, SuccinimidylEster), mixed isomers (Cat. No. F2181, Molecular Probes), which contains a hexanoic acid spacer, was used for labeling Col4 ( $\alpha 1_{(2)}\alpha 2$ ) and LAM-111. 10mg/ml of 5(6)-SFX was dissolved in 1ml anhydrous Dimethyl Formamide (10mg/ml). 2.5mg Col4 ( $\alpha 1_{(2)}\alpha 2$ ) and 1.2mg LAM-111 was first buffer exchanged to 0.2M Carbonate (pH 8.3) using ZebaSpin Desalting 2 ml Columns (Cat No. 89890, Thermo, USA). 5(6)-SFX solution was then added to 10% (Volume/Volume) and the mixture was stirred at room temperature for 1 hour for the reaction. The mixture was then buffer exchanged to 1x PBS using ZebaSpin Desalting 2 ml Columns.

[000291] FITC labeled Col4 ( $\alpha 1_{(2)}\alpha 2$ ) and FITC-LAM-111 conjugates were tested for the stability using ELISA assay. A rabbit or goat polyclonal anti-FITC-HRP antibody was used to detect FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) and FITC-LAM-111conjugates, whereas a rabbit anti Col4 ( $\alpha 1_{(2)}\alpha 2$ ) antibody, together with an anti-rabbit HRP secondary antibody was used to detect both FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) conjugate and unlabeled Col4 ( $\alpha 1_{(2)}\alpha 2$ ). Figure 4 illustrates that the tested anti-FITC antibodies ab19492 (rabbit) and ab6656 (goat) from Abcam only detect FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) conjugates. The comparison of the staining of anti-FITC and anti- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) antibodies indicates that FITC labeled Col4 ( $\alpha 1_{(2)}\alpha 2$ ) is diminished, suggesting that extensive FITC labeling may have either masked Col4 ( $\alpha 1_{(2)}\alpha 2$ ) epitopes or reduced Col4 ( $\alpha 1_{(2)}\alpha 2$ ) stability.

[000292] The quality of FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) conjugates was analyzed by SDS-PAGE. A representative gel image is shown in Figure 5a. Consistent with the results of ELISA assays, the band size analysis indicates that detection of FITC-Col4 ( $\alpha 1_{(2)}\alpha 2$ ) is greatly diminished, suggesting that extensive FITC labeling may have either masked Col4 epitopes or reduced its stability. However, anti-FITC immunoblot with ab19492 (1:20,000 dilution) revealed sensitive

detection of FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ), which predominantly are dimers and individual chains (shown in Figure 5b). These results suggest that FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) conjugates are suitable for injection if the quantitation of protein concentration and injected amounts are estimated and adjusted.

[000293] FITC -LAM-111 conjugates are similar to FITC-Col4 ( $\alpha 1_{(2)}\alpha 2$ ) conjugates when tested by ELISA assays and immunoblot (Figures 6a-6c).

**Example 6: *In vivo* administration of Col4- $\alpha 1_{(2)}\alpha 2$  and detection in the GBM**

[000294] FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) and FITC-LAM-111 conjugates, prepared as described in previous examples, were systemically administrated to the wild type, heterogeneous and Alport mice, and the localization of FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) and FITC-LAM-111 conjugates in the GBM of kidney was examined.

[000295] Col4<sup>+/+</sup> and Col4<sup>-/-</sup> mice at either B6 or 129S, or hybrid background were intravenously injected with either one or 6 doses of FITC- Col4 ( $\alpha 1_{(2)}\alpha 2$ ) or FITC-LAM-111 conjugates, respectively. Mice were observed and recorded for any abnormalities and tissue samples were collected at either end of the study or during the intervals of dosing. The dosing schedule and time intervals are listed in Table 5.

[000296] Collected tissue samples were processed following standard procedures described in the art for immunofluorescent (IF) staining. Anti-agrin antibody LG1123 (Schlötzer-Schrehardt et al., *Exp Eye Res.*, 2007, 85(6): 845-860) and anti -FITC-HRP antibody ab6656 (Abcam) were used for double staining. Stained samples were examined and staining images were taken and analyzed using confocal microscopy. For each staining, sections of kidney were stained with anti-agrin antibody LG1123 only as a control and FITC signal was examined. No or very weak FITC signals were observed in glomeruli, indicating FITC signals seen in FITC- Col4- $\alpha 1_{(2)}\alpha 2$  and FITC-LAM-111 conjugates injected tissue samples are specific to these FITC conjugates. The staining patterns of FITC- Col4- $\alpha 1_{(2)}\alpha 2$  protein in glomeruli from each mouse were also summarized in Table 5.

[000297] These results demonstrate that systemic administered FITC- Col4- $\alpha 1_{(2)}\alpha 2$  and FITC-LAM-111 conjugates (e.g., intravenous injection) can be delivered to kidney and penetrate into the GBM of the mouse kidney. It also suggests that the FITC- Col4- $\alpha 1_{(2)}\alpha 2$  deposition into the GBM appears potent.



[000298] Interestingly, confocal images (Figures 7a-7d) show that the FITC-Col4 signals detected are mainly overlapping with Agrin signals but only part of the FITC-LAM-111 signals are overlapping with Agrin signals. That is, the FITC- Col4- $\alpha 1(2)\alpha 2$  injected kidney showed more localization of FITC signals to the GBM than the FITC-LAM-111 injected kidney.

[000299] No toxicities were observed following up to 6 injections administered over three days. The data demonstrates that the Col4 product, as well as the LAM-111 comparator (each is high molecular weight proteins) are able to deposit into the mouse GBM following systemic delivery.

[000300] Such deposition of FITC- Col4- $\alpha 1(2)\alpha 2$  and FITC-LAM-111 in the GBM can be further investigated to examine if the deposited Col4- $\alpha 1(2)\alpha 2$  proteins can integrate into the collagen network in the GBM and rescue the functionality of Alport GBM. Evaluation of whether chronic repeat dosing of Col4- $\alpha 1(2)\alpha 2$  is therapeutic in the Alport mouse model will be studied, such as described in Examples 1 and 2.

[000301] In addition, Deposition of FITC-LAM-111 into the GBM indicates that other laminin isoforms, such as LAM-521, may be therapeutic for other kidney diseases such as Pierson Syndrome.

**Table 5.** Systematic administration of FITC labeled Col4- $\alpha 1(2)\alpha 2$  and LAM-111

Genotype and strain background	Gender	Age	Injection	Sample collection	Dual FITC and agrin IF staining results in kidney
Col4+/- (129S)	M	4.4m	No injection	<ul style="list-style-type: none"> <li>• Urine collected at 0 hr;</li> <li>• Urine, kidney, lung, liver, quadriceps muscle collected at 4 hrs</li> </ul>	no FITC signals in glomeruli
Col4+/- (B6)	M	3.2m	No injection	<ul style="list-style-type: none"> <li>• Urine collected at 0hr;</li> <li>• kidney, lung, liver, quadriceps muscle collected at end of study (at 71hrs)</li> </ul>	no FITC signals in glomeruli
Col4+/- (Hybrid)	F	2.4m	No injection	<ul style="list-style-type: none"> <li>• Urine collected at 4hrs, 28hrs;</li> <li>• kidney, lung, liver, quadriceps muscle collected at end of study (at 71</li> </ul>	no FITC signals in glomeruli

				hrs)	
Col4 <sup>-/-</sup> (B6)	M	5.4m	1 dose of FITC-LAM-111 conjugate at 0hr	<ul style="list-style-type: none"> <li>• Urine collected at 0hr;</li> <li>• Urine, kidney, lung, liver, quadriceps muscle collected at 4hrs</li> </ul>	moderate or distinct FITC signals in glomeruli with some signals seen in agrin-positive GBM and the rest seen in mesangium
Col4 <sup>-/-</sup> (B6)	F	2.9m	6 doses of FITC-LAM-111 conjugates at 0hr, 7hrs, 22hrs, 31hrs, 46hrs and 55hrs	<ul style="list-style-type: none"> <li>• Urine before each dose and dose interval ( at 0 hr, 7hrs, 22hrs and 46hrs);</li> <li>• kidney, lung, liver, quadriceps muscle collected after last dose (at 71hrs)</li> </ul>	distinct FITC signals in all glomeruli with some signals seen in agrin-positive GBM and the rest seen in mesangium
Col4 <sup>-/-</sup> (Hybrid)	F	2.4m	6 doses of FITC- Col4- $\alpha 1(2)\alpha 2$ conjugates at 0hr, 7hr, 22hr, 31hrs, 46hrs and 55hrs	<ul style="list-style-type: none"> <li>• Urine collected at dose intervals (at 4hrs, 28hrs;</li> <li>• kidney, lung, liver, quadriceps muscle collected after last dose (at 71hrs)</li> </ul>	moderate FITC signals in glomeruli with most signals seen in agrin-positive GBM; some clumps with bright FITC signals seen in lumen of tubules
Col4 <sup>(-/-)</sup> (B6)	F	3m	1 dose of FITC-FITC-Col4 conjugate at 0hr	<ul style="list-style-type: none"> <li>• Urine collected at 0hr and 4hr after Col4 dosing;</li> <li>• kidney, lung, liver, quadriceps muscle collected at 4hrs</li> </ul>	moderate FITC signals in all glomeruli: in some agrin-positive GBM and in mesangium
Col4 <sup>(-/-)</sup> (B6)	F	2m	1 dose of FITC-FITC-Col4 conjugate at 0hr	<ul style="list-style-type: none"> <li>• Urine collected at 0hr and 4hr after Col4 dosing;</li> <li>• kidney, lung, liver, quadriceps muscle collected at 4hrs</li> </ul>	Distinct FITC signals in all glomeruli: in some agrin-positive GBM and in mesangium
Col4 <sup>-/-</sup> (B6)	F	4.5m	6 doses of FITC- Col4- $\alpha 1(2)\alpha 2$ conjugates at 0hr, 7hr, 24hr,	<ul style="list-style-type: none"> <li>• Urine collected before dosing and 8hrs after last dose</li> <li>• kidney, lung, liver, quadriceps muscle</li> </ul>	Distinct FITC signals in all glomeruli: in agrin positive GBM and in

			31hrs, 48hrs and 55hrs	collected 8hrs after last dose	mesangium
Col4-/- (B6)	F	3m	6 doses of FITC- Col4- $\alpha$ 1(2) $\alpha$ 2 conjugates at 0hr, 7hr, 24hr, 31hrs, 48hrs and 55hrs	<ul style="list-style-type: none"> <li>• Urine collected before dosing and 8hrs after last dose</li> <li>• kidney, lung, liver, quadriceps muscle collected 8hrs after last dose</li> </ul>	Distinct FITC signals in all glomeruli: in agrin positive GBM and in mesangium
Col4-/- (B6)	F	2m	6 doses of FITC- Col4- $\alpha$ 1(2) $\alpha$ 2 conjugates at 0hr, 7hr, 24hr, 31hrs, 48hrs and 55hrs	<ul style="list-style-type: none"> <li>• Urine collected before dosing and 8hrs after last dose</li> <li>• kidney, lung, liver, quadriceps muscle collected 8hrs after last dose</li> </ul>	Distinct FITC signals in all glomeruli: in agrin positive GBM and in mesangium
Col4-/- (B6)	M	3m	6 injections of vehicle at 0hr, 7hr, 24hr, 31hrs, 48hrs and 55hrs	<ul style="list-style-type: none"> <li>• Urine collected before injection and 8hrs after last injection</li> <li>• kidney, lung, liver, quadriceps muscle collected 8hrs after last injection</li> </ul>	No FITC signals in glomeruli

**Example 7: chronic repeat dosing of Col4- $\alpha$ 1(2) $\alpha$ 2 and therapeutic effect in Alport mice**

[000302] The therapeutic efficacy of collagen IV replacement was tested using Alport mice. Alport and control mice were repeatedly dosed with Col4- $\alpha$ 1(2) $\alpha$ 2 protein at a dose of 5mg/kg over a period of time. The injection solution was prepared by mixing 130 $\mu$ l FITC- Col4- $\alpha$ 1(2) $\alpha$ 2 (0.5mg/ml) and 14.5  $\mu$ l 10X Tris buffered saline. As illustrated in Table 6, mice were dosed twice per week starting at postnatal day 28 (p28) for at least six weeks and the dosing continued if lifespan of a test animal is maintained. Each animal was monitored for their general health and daily lifespan was recorded. Urine samples from each treated animal were regularly collected and further analyzed.

**Table 6:** Chronic repeat dosing efficacy study

Group	NO. of animal (N=)	Genotype	Dose	Weeks of dosing	Route of administration
1	9	Alport	5.0mg/kg	6+	Retroorbital injection
2	6	Alport	Vehicle	6+	Retroorbital injection
3	5	Het/WT	vehicle	6+	Retroorbital injection

## Results

### *Col4- $\alpha 1(2)\alpha 2$ protein deposit*

**[000303]** Similar staining was carried out by dual immunofluorescence immunostaining of Col4- $\alpha 1(2)\alpha 2$  and agrin, a known GBM protein in kidney, of mice after repeat dosing of Col4- $\alpha 1(2)\alpha 2$  or control vehicle for at least six weeks. Consistent with the previous observations (as discussed in Example 6 and shown in Figures 7a-7d), Col4- $\alpha 1(2)\alpha 2$  proteins deposit into the glomeruli in kidney and co-localize with other proteins of the GBM (e.g., agrin).

### *Morphology of Glomeruli*

**[000304]** The morphology of glomeruli of experimental mice was also examined. It is indicated that Col4- $\alpha 1(2)\alpha 2$  injected Alport mice (Col4-/-) retain open capillary loops and crisp linear staining of the GBM (Figure 8a and 8b) and have fewer sclerotic glomeruli and reduced inflammation, as compared to control (i.e. uninjected or vehicle injected) Alport mice (Col4-/-). As shown in Figure 8, at least 100 glomeruli from each mouse after 6 weeks of Col4- $\alpha 1(2)\alpha 2$  dosing (at postnatal day 70) were counted and analyzed statistically. The statistical data indicates that Col4- $\alpha 1(2)\alpha 2$  treated Alport mice (Col4-/-) have 61% of non-sclerotic glomeruli, while untreated Alport mice (col4-/-) and vehicle treated Alport mice (Col4-/-) have 36% and 29% of non-sclerotic glomeruli, respectively.

### *Lifespan*

**[000305]** Survival data indicates that Alport mice (Col4 -/-) treated with Col4- $\alpha 1(2)\alpha 2$  lived longer than vehicle treated Alport mice (Col4 -/-). The lifespan of an Alport mouse is the day it must be humanely terminated because its body weight has dropped 15% of its peak weight. Among seven Alport mice treated with Col4- $\alpha 1(2)\alpha 2$  two remained alive for 97 and 105 days; well past the ~90 day lifespan of vehicle treated Alport mice (Table 9). Col4- $\alpha 1(2)\alpha 2$ , an embryonic isoform of COL4, is already expressed within the adult Alport kidney and yet is known to be more susceptible to proteolytic digestion than Col4- $\alpha 3/\alpha 2/\alpha 5$  ((Kalluri et al, *J. Clin. Invest.* 99(10), 1997, 2470-2478; and Gunwar, et al, *J. Biol. Chem.*, 273(15), 1998, 8767-8775). Therefore, the administration and deposition of exogenous Col4- $\alpha 1(2)\alpha 2$  into the glomerulus; in conjunction with preexisting Col4- $\alpha 1(2)\alpha 2$  appeared to maintain the glomerular basement membrane and delay glomerular sclerosis. Given the resistance of Col4- $\alpha 3/\alpha 2/\alpha 5$  to

proteolytic digestion, treatment of Alport mice with COL4-345 is expected to result in greater efficacy and lifespan than Col4- $\alpha 1(2)\alpha 2$ , particularly if treatment begins sooner in life.

Additionally, no signs of toxicity in treated Alport mice were observed, suggesting that repeat dosing of the highest dose of Col4- $\alpha 1(2)\alpha 2$  chronically is safe.

#### *Glomerular capillaries*

[000306] Detailed glomerular capillaries in Alport mice were further analyzed by electron microscopy. The capillary networks in vehicle treated and Col4- $\alpha 1(2)\alpha 2$  treated Alport mice (Col4-/-) share similar patterns. The lessons in the glomerular basement membrane don't show significant differences in Col4- $\alpha 1(2)\alpha 2$  injected Alport mice (Figure 9c), as compared to those in vehicle injected Alport mice (Figure 9b) and both are significantly different from control mice (heterozygous Col4+/- mice) (Figure 9a).

#### *Blood urea nitrogen (BUN) analysis*

[000307] Though no significant difference in glomerular capillaries was observed in Alport mice (Col4-/- injected with Col4-( $\alpha 1(2)\alpha 2$ ), blood urea nitrogen (BUN) test indicates a benefit of exogenous collagen IV proteins in some treated Alport mice, as shown in Figures 11. Table 7 lists BUN measurements in each treated Alport mouse at different time points during repeat dosing.

**Table 7:** BUN measurements in each treated Alport mouse

Genotype/ Gender	Treat- ment	~7 weeks		~9 weeks		~10 weeks		~11 weeks		~12 weeks		~13 weeks		~14 weeks	
		Age/ Day	BUN (mg/dl)	Age/ Day	BUN (mg/dl)	Age/ Day	BUN (mg/dl)	Age/ Day	BUN (mg/dl)	Age/ Day	BUN (mg/dl)	Age/ Day	BUN (mg/dl)	Age/ Day	BUN (mg/dl)
COL-/- (129)/(M)	Col4- 112			61	35.2			74	48.1	84	60.9				
COL-/- (129)/(M)	Col4- 112			61	29.3			74	45.3	84	58.9				
COL-/- (129)/(M)	Col4- 112			61	38.3			74	32.8	84	55.0	92	52.1		
COL-/- (129)/(M)	Col4- 112			61	30.6			74	37.1	84	57.7	88	42.0		
COL-/- (129)/(F)	Col4- 112			61	29.5			74	37.8	84	62.1	88	61.9		
COL-/- (129)/(M)	Col4- 112	48	20.3	62	27.7	70	25.6								
COL-/- (129)/(F)	Col4- 112	48	15.1	62	20.7	70	21.8								
COL-/- (129)/(F)	Col4- 112	48	16.2	62	16.9			76	18.3	83	19.7	90	38.3	97	50.7
COL-/- (129)/(F)	Col4- 112	48	13.6	62	22.2			76	24.3	83	37.0	90	60.8	97	65.1
COL-/- (129)/(F)	Col4- 112	48	22.3	62	26.5	69	40.8								
COL-/-	Col4-	49	16.1	63	26.0	70	35.1								

(129)/(M)	112														
COL-/- (129)/(F)	Col4- 112	49	20.5	63	31.4	70	51.2								
COL-/- (129)/(F)	Col4- 112	49	25.6	60	46.7										
COL-/- (129)/(M)	vehic le	49	15.8	63	18.2			77	34.1	84	54.1	91	64.3		
COL-/- (129)/(M)	vehic le	49	17.0	63	21.8			77	41.3	84	56.7	88	68.0		
COL-/- (129)/(M)	vehic le	47	18.2	61	24.9	70	50.5								
COL-/- (129)/(F)	vehic le	49	19.3	63	48.7	7	63.2								
COL-/- (129)/(F)	vehic le	49	26.5	60	66.8										
COL-/- (129)/(F)	vehic le	49	23.5	63	27.9	70	42.7								
COL-/- (129)/(M)	un- inject ed	48	19.2	62	27.7	69	42.3								
COL-/- (129)(M)	un- inject ed	48	19.9	62	28.4	69	34.8								
COL+/(1 29)/(F)	vehic le			61	17.2			74	15.8	84	13.2	92	30.4		
COL+/(1 29)/(M)	vehic le	48	17.8	62	15.1	70	20.9								
COL+/(1 29)/(M)	Col4- 112	48	16.3	62	20.2	70	23.0								
COL+/(1 29)/(M)	vehic le	47	16.1	61	16.8	70	19.5								
COL+/(1 29)/(F)	vehic le	49	17.1	63	19.1	70	21.9								
COL+/(1 29)/(M)	un- inject ed	48	21.3	62	23.0	69	24.9								
COL+/(1 29)/(M)	Col4- 112	49	17.4	63	40.8	70	34.4								

#### Urine albumin to creatinine ratio (UACR) analysis

**[000308]** Similarly, urine albumin and creatinine ratios of Alport mice (Col4-/-) injected with Col4-( $\alpha 1(2)\alpha 2$ ), as compared to Alport mice (Col4-/-) injected with vehicle, suggest a benefit of exogenous collagen IV treatment (Figure 12). Table 8 lists urine Albumin/Creatinine ratios in each treated Alport mouse at different time points during repeat dosing.

**Table 8:** Urine Albumin/Creatinine ratios of each treated Alport mouse

Genotype/Gen der	Treatm ent	~7 weeks		~9 weeks		~10 weeks		~12 weeks		~14 weeks	
		Age	albumin /CRE (g/mg)	Age	albumi n/CRE (g/mg)	Age	albumin/C RE (g/mg)	Age	albumin /CRE (g/mg)	Age	albumin/C RE (g/mg)
COL-/- (129)/(M)	Col4- 112	49d	0.017	62d	0.036	69d	0.054	83d	0.054		
COL-/- (129) (M)	Col4- 112	49d	0.021	62d	0.027	69d	0.037	83d	0.048		
COL-/- (129)/(M)	Col4- 112	49d	0.014	62d	0.027	69d	0.041	83d	0.039		
COL-/-	Col4-	49d	0.017	62d	0.038	69d	0.042	83d	0.056		

(129)/(M)	112										
COL-/- (129)/(F)	Col4- 112	49d	0.013	62d	0.040	69d	0.064	83d	0.064		
COL-/- (129)/(M)	Col4- 112	46d	0.007	60d	0.018	67d	0.029				
COL-/- (129)/(F)	Col4- 112	46d	0.007	60d	0.015	67d	0.025				
COL-/- (129)/(F)	Col4- 112	46d	0.001	60d	0.009	67d	0.025	81d	0.027	96d	0.033
COL-/- (129)/(F)	Col4- 112	46d	0.008	60d	0.020	67d	0.037	81d	0.035	96d	0.091
COL-/- (129)/(F)	Col4- 112	47d	0.010	61d	0.033	68d	0.035				
COL-/- (129)/(M)	Col4- 112	48d	0.014	62d	0.023	69d	0.035				
COL-/- (129)/(F)	Col4- 112	48d	0.018	62d	0.038	69d	0.046				
COL-/- (129)/(F)	Col4- 112	48d	0.022	60d	0.029						
COL-/- (129)/(M)	vehicle	47d	0.010	61d	0.021	68d	0.028	82d	0.042		
COL-/- (129)/(M)	vehicle	47d	0.011	61d	0.018	68d	0.039	82d	0.038		
COL-/- (129)/(M)	vehicle	46d	0.023	60d		67d	0.044				
COL-/- (129)/(F)	vehicle	48d	0.015	62d	0.019	69d	0.046				
COL-/- (129)/(F)	vehicle	48d	0.036	60d	0.031						
COL-/- (129)/(F)	vehicle	48d	0.016	62d	0.025	69d	0.028				
COL-/- (129)/(M)	uninjec ted	47d	0.006	61d	0.015	68d	0.023				
COL-/- (129)/(M)	uninjec ted	47d	0.012	61d	0.024	68d	0.031				

[000309] As summarized in table 9, exogenous collagen IV protein replacement in Alport (Col4-/-) mice suggests a significant benefit to the syndrome.

**Table 9:** The effects of Col4-( $\alpha 1(2)\alpha 2$  replacement in Alport (Col4-/-) mice

	Alport (Col4-/-) un-injected	Alport (Col4-/-) Vehicle injected	Alport (Col4-/-) Col 4 $\alpha$ 112 injected
FITC-Col4 IF staining	Negative	Negative	Positive
Glomerular morphology/pathology (on Day 70)	36% non- sclerotic glomeruli	29% non- sclerotic glomeruli	61% non-sclerotic glomeruli
Lifespan (days; individual mice)	Not Determined	88, 91	83, 84, 88, 90, 92, 92 97, 105
BUN	NO benefit (See detailed measurements in Table 7)	NO benefit (See detailed measurements in Table 7)	Improvements (See detailed measurements in Table 7)
Urine albumin/Creatinine ratio	NO benefit (See detailed measurements	NO benefit (See detailed measurements in	Improvements (See detailed measurements in Table 8)

	in Table 8)	Table 8)	
--	-------------	----------	--

### Equivalents and Scope

**[000310]** Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments in accordance with the invention described herein. The scope of the present invention is not intended to be limited to the above Description, but rather is as set forth in the appended claims.

**[000311]** In the claims, articles such as “a,” “an,” and “the” may mean one or more than one unless indicated to the contrary or otherwise evident from the context. Claims or descriptions that include “or” between one or more members of a group are considered satisfied if one, more than one, or all of the group members are present in, employed in, or otherwise relevant to a given product or process unless indicated to the contrary or otherwise evident from the context. The invention includes embodiments in which exactly one member of the group is present in, employed in, or otherwise relevant to a given product or process. The invention includes embodiments in which more than one, or the entire group members are present in, employed in, or otherwise relevant to a given product or process.

**[000312]** It is also noted that the term “comprising” is intended to be open and permits but does not require the inclusion of additional elements or steps. When the term “comprising” is used herein, the term “consisting of” is thus also encompassed and disclosed.

**[000313]** Where ranges are given, endpoints are included. Furthermore, it is to be understood that unless otherwise indicated or otherwise evident from the context and understanding of one of ordinary skill in the art, values that are expressed as ranges can assume any specific value or subrange within the stated ranges in different embodiments of the invention, to the tenth of the unit of the lower limit of the range, unless the context clearly dictates otherwise.

**[000314]** In addition, it is to be understood that any particular embodiment of the present invention that falls within the prior art may be explicitly excluded from any one or more of the claims. Since such embodiments are deemed to be known to one of ordinary skill in the art, they may be excluded even if the exclusion is not set forth explicitly herein. Any particular embodiment of the compositions of the invention (e.g., any antibiotic, therapeutic or active ingredient; any method of production; any method of use; etc.) can be excluded from any one or more claims, for any reason, whether or not related to the existence of prior art.



**[000315]** It is to be understood that the words which have been used are words of description rather than limitation, and that changes may be made within the purview of the appended claims without departing from the true scope and spirit of the invention in its broader aspects.

**[000316]** While the present invention has been described at some length and with some particularity with respect to the several described embodiments, it is not intended that it should be limited to any such particulars or embodiments or any particular embodiment, but it is to be construed with references to the appended claims so as to provide the broadest possible interpretation of such claims in view of the prior art and, therefore, to effectively encompass the intended scope of the invention.

## CLAIMS

### What is claimed is:

1. A pharmaceutical composition comprising recombinant collagen IV protein and one or more pharmaceutically acceptable excipients.
2. The pharmaceutical composition of claim 1, wherein the recombinant collagen IV protein is a collagen IV protomer, dimer, tetramer, multimer and/or a mixture thereof.
3. The pharmaceutical composition of claim 2, wherein the recombinant collagen IV protein is a collagen IV protomer.
4. The pharmaceutical composition of claim 3, wherein said collagen IV protomer is a heterotrimer consisting of three  $\alpha$ (IV) polypeptides selected from the group consisting of the  $\alpha$ 3(IV),  $\alpha$ 4(IV), and  $\alpha$ 5(IV) chain polypeptides.
5. The pharmaceutical composition of claim 4, wherein said collagen IV protomer is a heterotrimer consisting of one  $\alpha$ 3(IV) chain polypeptide comprising the amino acid sequence of SEQ ID NO.3 and variants thereof; one  $\alpha$ 4(IV) chain polypeptide comprising the amino acid sequence of SEQ ID NO.4 and variants thereof; and one  $\alpha$ 5(IV) chain polypeptide comprising the amino acid sequence of SEQ ID NO.5 and variants thereof.
6. The pharmaceutical composition of claim 4, wherein said collagen IV protomer is a heterotrimer comprising one, two, or three chimeric  $\alpha$ 3(IV),  $\alpha$ 4(IV), and  $\alpha$ 5(IV) chain polypeptides, wherein the chimeric  $\alpha$ 3(IV) chain polypeptide is a chimeric peptide in which all or part of the NC1 domain of the  $\alpha$ 3(IV) chain is replaced with all or part of the NC1 domain of  $\alpha$ 1(IV) or  $\alpha$ 2(IV) chains; the chimeric  $\alpha$ 4(IV) chain polypeptide is a chimeric peptide in which all or part of the NC1 domain of the  $\alpha$ 4(IV) chain is replaced with all or part of the NC1 domain

of  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains; and the chimeric  $\alpha 5(\text{IV})$  chain polypeptide is a chimeric peptide in which all or part of the NC1 domain of the  $\alpha 5(\text{IV})$  chain is replaced with all or part of the NC1 domain of  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains.

7. The pharmaceutical composition of claim 6, wherein said collagen IV protomer is a heterotrimer consisting of one chimeric  $\alpha 3(\text{IV})$  chain polypeptide in which all or part of the NC1 domain of the  $\alpha 3(\text{IV})$  chain is replaced with all or part of the NC1 domain of  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains; one chimeric  $\alpha 4(\text{IV})$  chain polypeptide in which all or part of the NC1 domain of the  $\alpha 4(\text{IV})$  chain is replaced with all or part of the NC1 domain of  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains; and one chimeric  $\alpha 5(\text{IV})$  chain polypeptide in which all or part of the NC1 domain of the  $\alpha 5(\text{IV})$  chain is replaced with all or part of the NC1 domain of  $\alpha 1(\text{IV})$  or  $\alpha 2(\text{IV})$  chains.

8. The pharmaceutical composition of claim 7, wherein the NC1 domains of  $\alpha 1(\text{IV})$ ,  $\alpha 2(\text{IV})$ ,  $\alpha 3(\text{IV})$ ,  $\alpha 4(\text{IV})$ ,  $\alpha 5(\text{IV})$  comprise the amino acid sequences of SEQ ID NO. 7, SEQ ID NO. 8, SEQ ID NO. 9, SEQ ID NO. 10 and SEQ ID NO. 11, respectively.

9. The pharmaceutical composition of claim 2, wherein said recombinant collagen IV protein is a collagen IV dimer, wherein the dimer comprises two protomers selected from any one of claims 4-8.

10. The pharmaceutical composition of any one of claims 1-9, wherein said recombinant collagen IV protein contains 3-hydroxyproline, 4-hydroxyproline and/or hydroxylysine residues.

11. The pharmaceutical composition of claim 10, wherein said recombinant collagen IV protein comprises about 0.3% to 1.6% of 3-hydroxyproline residues and about 6.5% to 14% 4-hydroxyproline residues.

12. The pharmaceutical composition of claim 11, wherein said recombinant collagen IV protein further comprises non-natural amino acids, and/or other amino acid substitutes.

13. The pharmaceutical composition of any one of claims 1-12, wherein said one or more pharmaceutically acceptable excipients comprise one or more antioxidants, one or more tonicity agents, and/or one or more chelators.

14. A pharmaceutical composition for improving glomerular structures and functions in a patient with Alport syndrome comprising recombinant collagen IV protein according to any one of claims 1-13.

15. A method for treating a condition characterized by one or more deficiencies of collagen IV protein in a subject in need thereof, the method comprising administering said subject an effective amount of the pharmaceutical composition of any one of claims 1-14.

16. The method of claim 15, wherein said condition is characterized by one or more deficiencies selected from the group consisting of one or more deficiencies of  $\alpha 3(\text{IV})$  chain; one or more deficiencies of  $\alpha 4(\text{IV})$  chain; and one or more deficiencies of  $\alpha 5(\text{IV})$  chain.

17. The method of claim 16, wherein said one or more deficiencies of  $\alpha 3(\text{IV})$  chain are caused by mutations in the COL4A3 gene ; said one or more deficiencies of  $\alpha 4(\text{IV})$  chain are caused by mutations in the COL4A4 gene; and said one or more deficiencies of  $\alpha 5(\text{IV})$  chain are caused by mutations in the COL4A5 gene.

18. The method of claim 17, wherein said condition comprises thin basement membrane nephropathy (TBMN), Alport syndrome, familial hematuria, end stage renal disease (ESRD), progressive renal insufficiency, glomerular hematuria, proteinuria, perinatal cerebral hemorrhage and porencephaly, and hemorrhagic stroke.

19. The method of claim 18, wherein said condition is Alport syndrome.

20. The method of claim 19, wherein Alport syndrome is selected from the group consisting of X-linked Alport syndrome, autosomal recessive Alport syndrome and autosomal dominant Alport syndrome.

21. The method of claim 20, wherein said subject is a male and said Alport Syndrome is X-linked Alport syndrome.

22. The method of claim 20, wherein said subject is a female and said Alport Syndrome is X-linked Alport syndrome.

23. The method of claim 20, wherein said subject is a male or a female and said Alport syndrome is autosomal recessive Alport syndrome.

24. The method of claim 20, wherein said subject is a male or a female and said Alport syndrome is autosomal dominant Alport syndrome.

25. The method of claim 20, wherein Alport syndrome is diagnosed by family history.

26. The method of claim 20 further comprising co-administering said subject one or more prophylactic drugs, said prophylactic drugs comprising anti-thrombics and/or anti-inflammatory drugs.

27. The method of claim 26, wherein said anti-thrombotic agent is an antiplatelet drug, an anticoagulant, or a thrombolytic drug selected from the group consisting of aspirin, triflusal, clopidogrel, prasugrel, ticagrelor, ticlopidine, cilostazol, abciximab, eptifibatide, tirofiban, dipyridamole, thromboxane synthase inhibitors, thromboxane receptor antagonists, teruthroban, warfarin, heparin, acenocoumarol, atromentin, brodifacoum, phenindione, alteplase, reteplase, tenecteplase, anistreplase, streptokinase and urokinase.

28. The method of claim 26, wherein said anti-inflammatory drugs are selected from the group consisting of NSAIDS, acetaminophen, heparin, coumadin, corticosteroids, anti-histamines, and/or antibodies to the complement cascade.

29. The method of claim 15, wherein said administration to said subject is delivered by an intravenous injection, intraperitoneal injection, intramuscular injection, subcutaneous injection, intrathecal injection, intracerebral ventricular administration, intracranial delivery, intraocular delivery, intraaural delivery, and/or by an acute or chronically placed catheter.

30. The method of claim 29, wherein said administration to said subject is delivered by an intravenous injection.

31. The method of claim 30, wherein said effective dose is between about 100 ng/kg and about 100 mg/kg.

32. A method for reversing, ameliorating, slowing, halting, improving or preventing one or more abnormalities in a mammal, the method comprising administering said mammal the pharmaceutical composition of any one of claims 1-14.

33. The method of claim 32, wherein said one or more abnormalities comprising thinning and splitting glomerular basement membrane (GBM), heavy proteinuria, mild proteinuria, hematuria, renal deficiency, progression to end stage renal disease, auditory dysfunction, ocular abnormalities, porencephaly, brain small vessel disease with hemorrhage, brain small vessel disease with Axenfeld-Rieger anomaly, hereditary angiopathy with nephropathy, aneurysms, and muscle, and/or intracerebral hemorrhage.

34. The method of claim 32, wherein said mammal is a mouse, a rat, a dog or a human.

35. A method for producing recombinant collagen IV protein, said method comprising modifying proline residues to generate 3-hydroxyproline and/or 4-hydroxyproline.

36. A cell line for producing recombinant collagen IV protein, wherein said cell line is genetically engineered.

37. The cell line of claim 36, wherein said cell line is genetically engineered to be deficient in peroxidase, lysyl oxidase, native collagen IV protein, and/or other collagens; and/or to express prolyl 4-hydroxylase and/or prolyl 3-hydroxylase, and lysine hydroxylase.

38. A chimeric cDNA construct for expressing a chimeric  $\alpha$ (IV) chain polypeptide, wherein the chimeric  $\alpha$ (IV) chain polypeptide is selected from the group consisting of the chimeric  $\alpha$ 3(IV),  $\alpha$ 4(IV) and  $\alpha$ 5(IV) chain polypeptides.

39. The chimeric cDNA construct of claim 38, wherein the chimeric  $\alpha$ 3(IV),  $\alpha$ 4(IV) and  $\alpha$ 5(IV) chain polypeptides are chimeric peptides in which all or part of the NC1 domain of each of the  $\alpha$ 3(IV),  $\alpha$ 4(IV) and  $\alpha$ 5(IV) chain polypeptides is replaced with all or part of the NC1 domains of  $\alpha$ 1(IV) and/or  $\alpha$ 2(IV) chains.

40. The chimeric cDNA construct of claim 39, wherein the NC1 domains of  $\alpha$ 1(IV),  $\alpha$ 2(IV),  $\alpha$ 3(IV),  $\alpha$ 4(IV),  $\alpha$ 5(IV) comprise the amino acid sequences of SEQ ID NO.7, SEQ ID NO.8, SEQ ID NO. 9, SEQ ID NO. 10 and SEQ ID NO. 11, respectively.

41. An expression system for producing a chimeric  $\alpha$ (IV) polypeptide, wherein the expression system contains the chimeric cDNA of any one of claims 38-40.

42. An assay for detecting recombinant collagen IV protein in basement membranes, said assay is selected from receptor binding assay, cell migration, differentiation and/or adhesion assay, and/or biomarker measurement.

43. The pharmaceutical composition of claim 3, wherein said collagen IV protomer is a heterotrimer consisting of two copies of the  $\alpha$ 1(IV) chain polypeptide and one copy of the  $\alpha$ 2(IV) chain polypeptide.

44. The pharmaceutical composition of claim 43, wherein said collagen IV protomer is a heterotrimer consisting of two copies of the  $\alpha 1(\text{IV})$  chain polypeptide comprising the amino acid sequence of SEQ ID NO.1 and variants thereof; one copy of the  $\alpha 2(\text{IV})$  chain polypeptide comprising the amino acid sequence of SEQ ID NO.2 and variants thereof.

45. A method for treating a condition characterized by one or more deficiencies of collagen IV protein in a subject in need thereof, the method comprising administering said subject an effective amount of the pharmaceutical composition of any one of claims 43-44.

46. The method of claim 45, wherein the condition is Alport syndrome.

47. The method of claim 31, wherein the effective dose is between about 1mg/kg and about 10 mg/kg.

48. The method of claim 47, wherein the effective dose is about 5mg/kg.

49. The pharmaceutical composition of claim 3, wherein said collagen IV protomer is a heterotrimer consisting of two copies of  $\alpha 1(\text{IV})$  chain polypeptides and an  $\alpha 2(\text{IV})$  chain polypeptide.

50. The pharmaceutical composition of claim 49, wherein said collagen IV protomer is a heterotrimer consisting of two copies of  $\alpha 1(\text{IV})$  chain polypeptides each comprising the amino acid sequence of SEQ ID NO.1 and variants thereof; and one  $\alpha 2(\text{IV})$  chain polypeptide comprising the amino acid sequence of SEQ ID NO.2 and variants thereof.



Figure 1

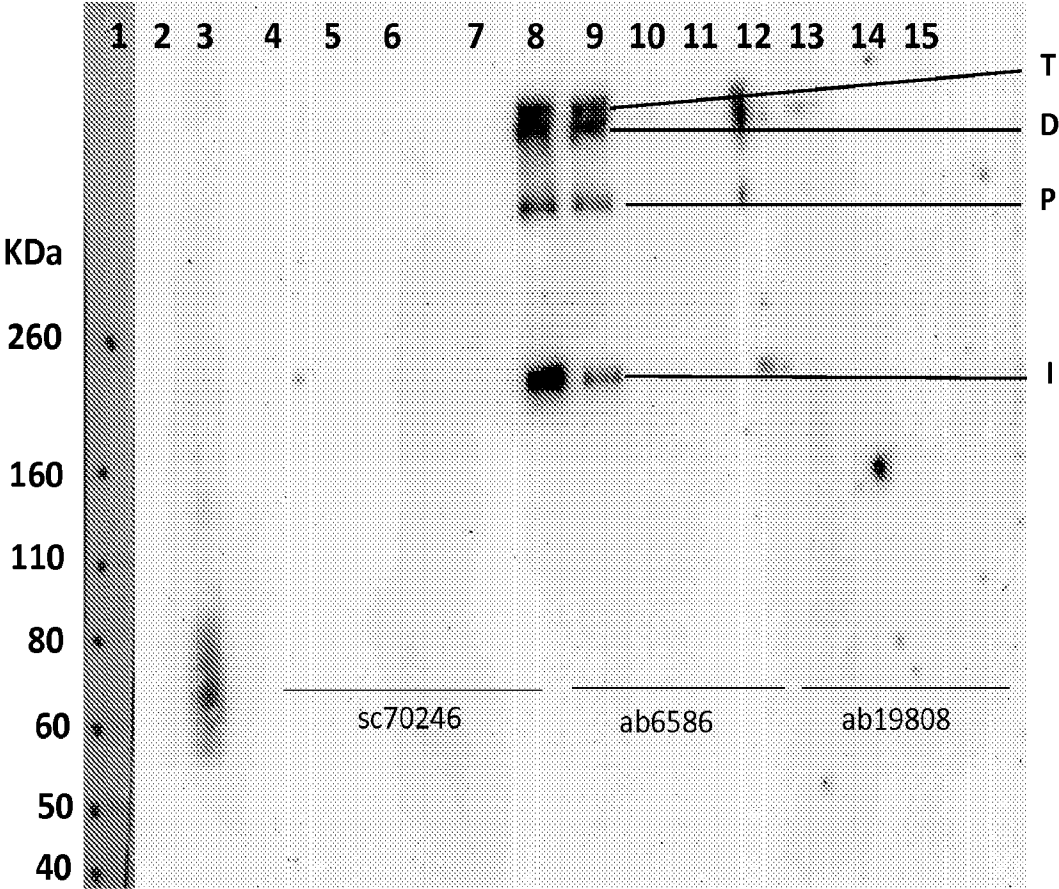


Figure 2a

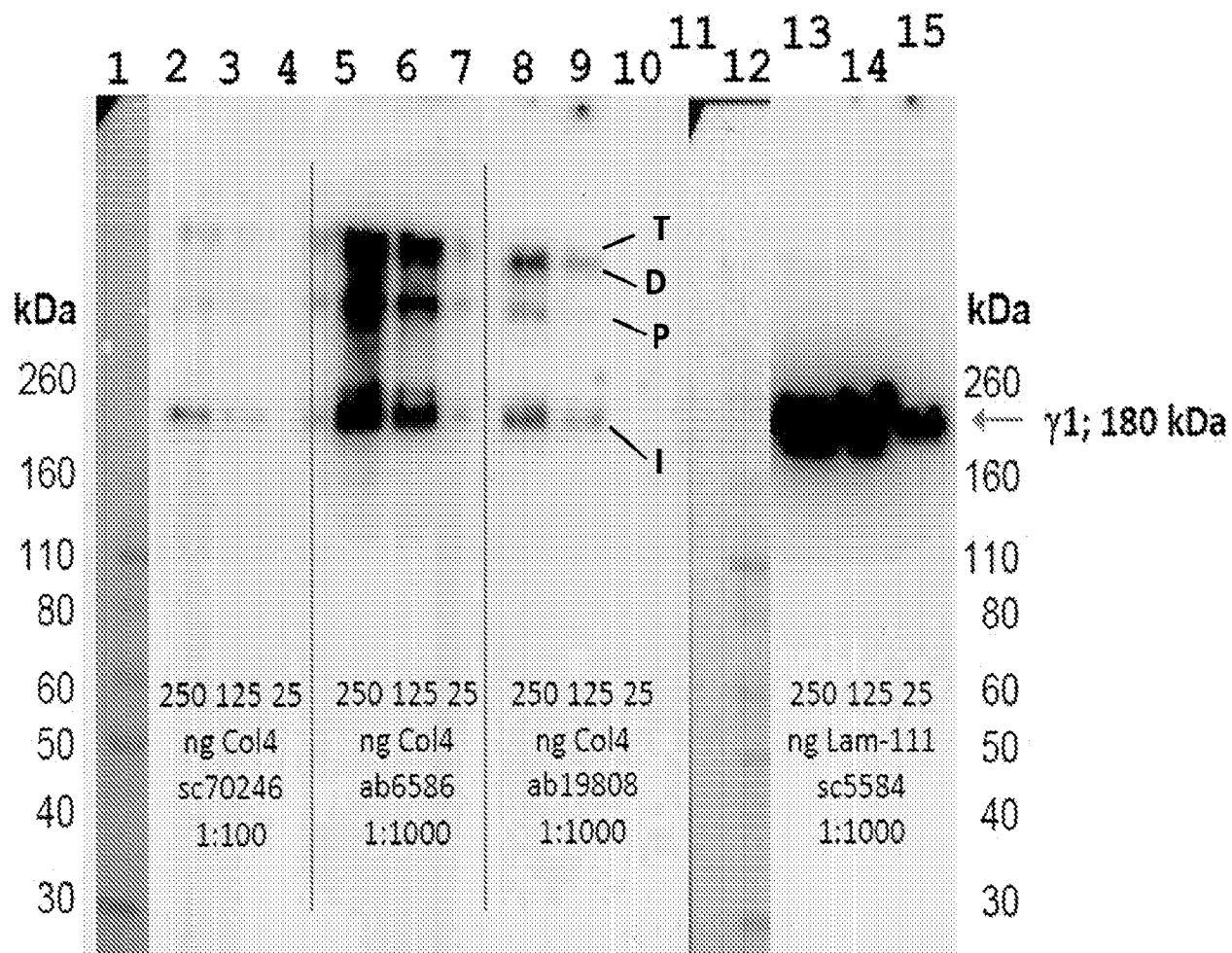


Figure 2b

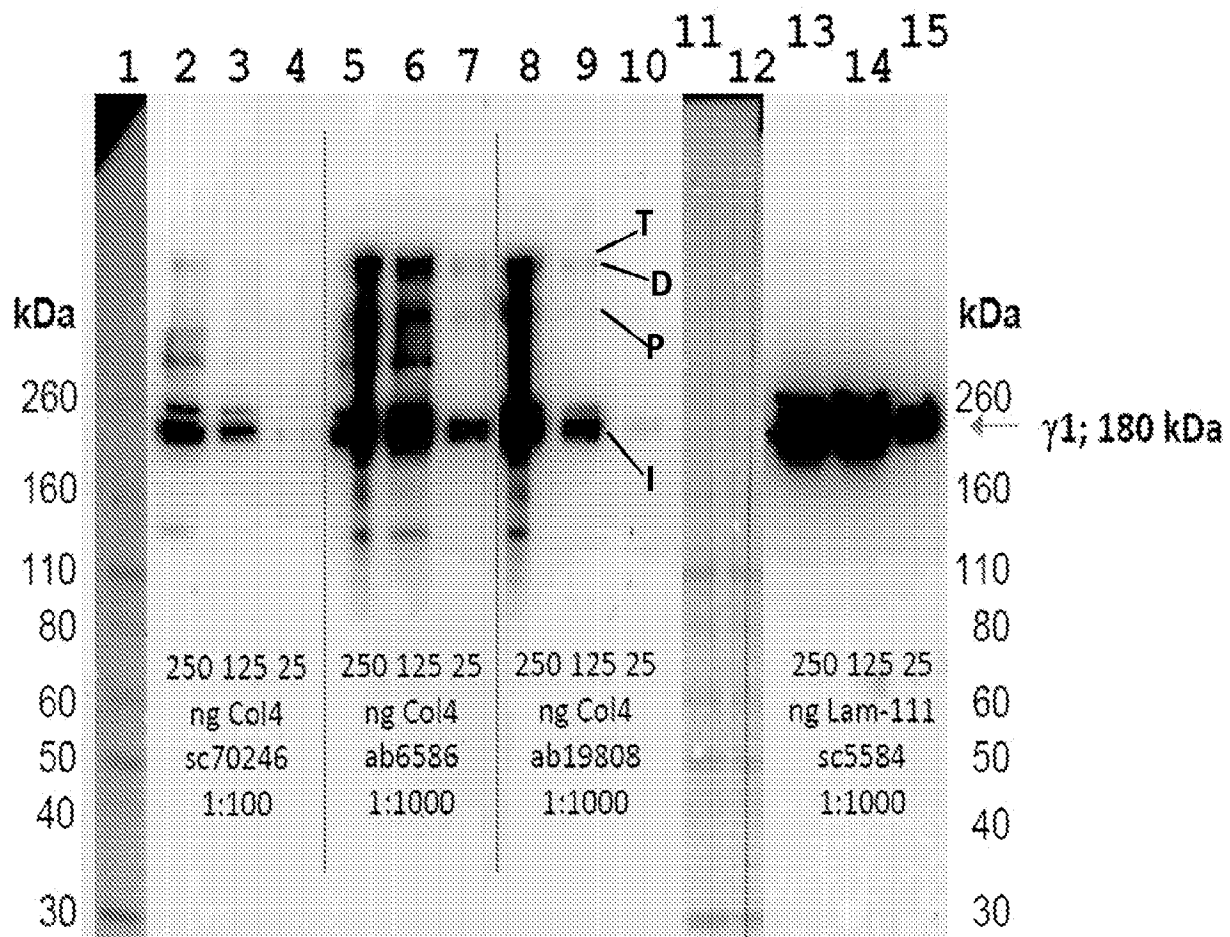


Figure 3

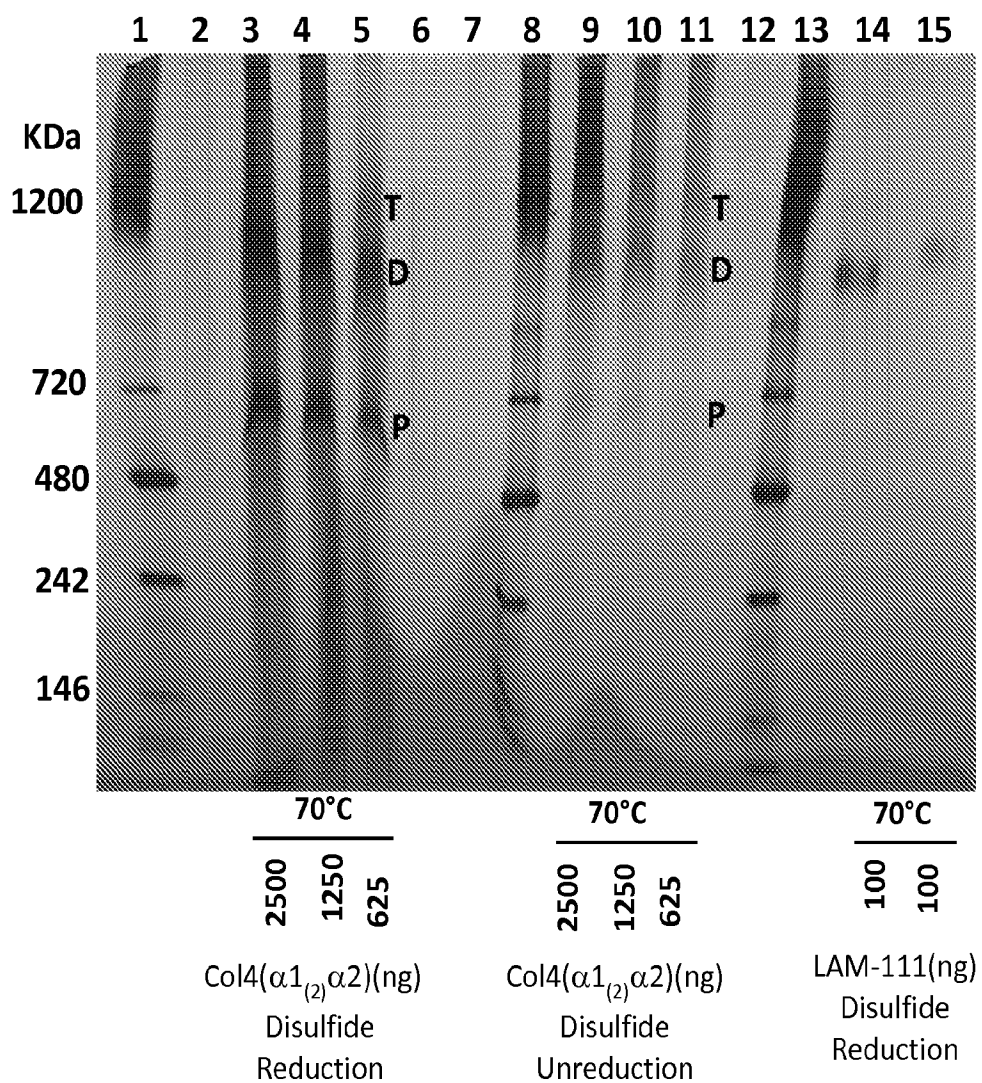


Figure 4

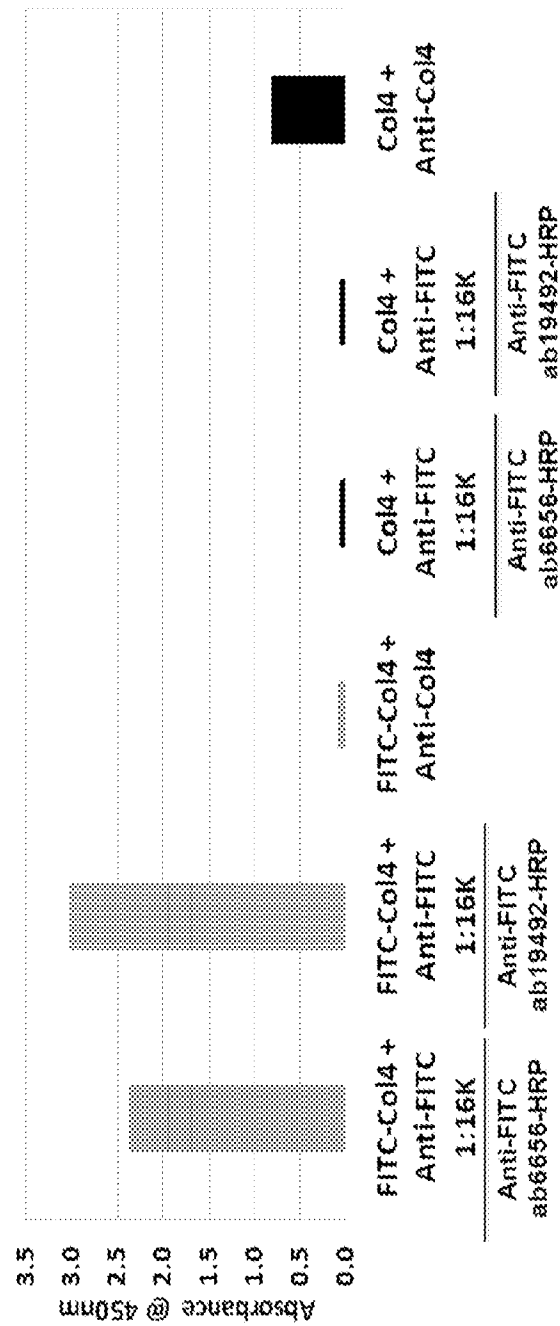


Figure 5a

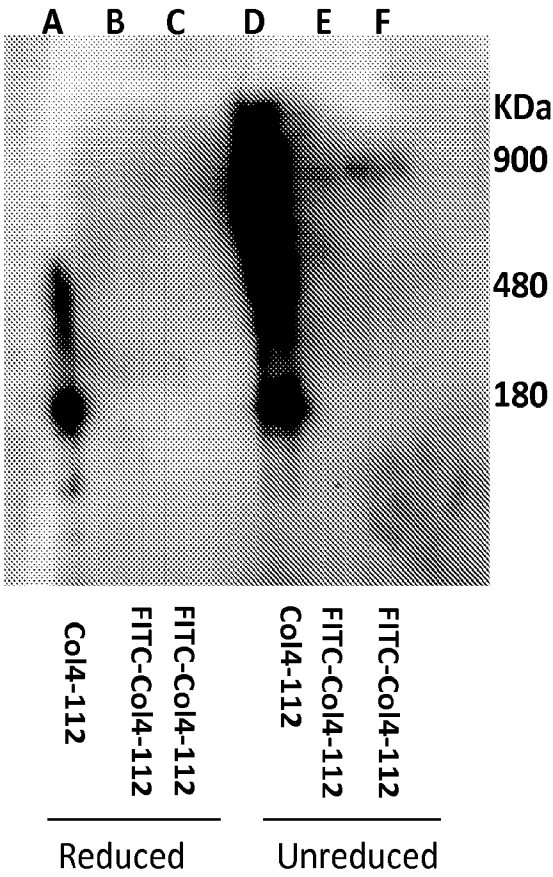


Figure 5b

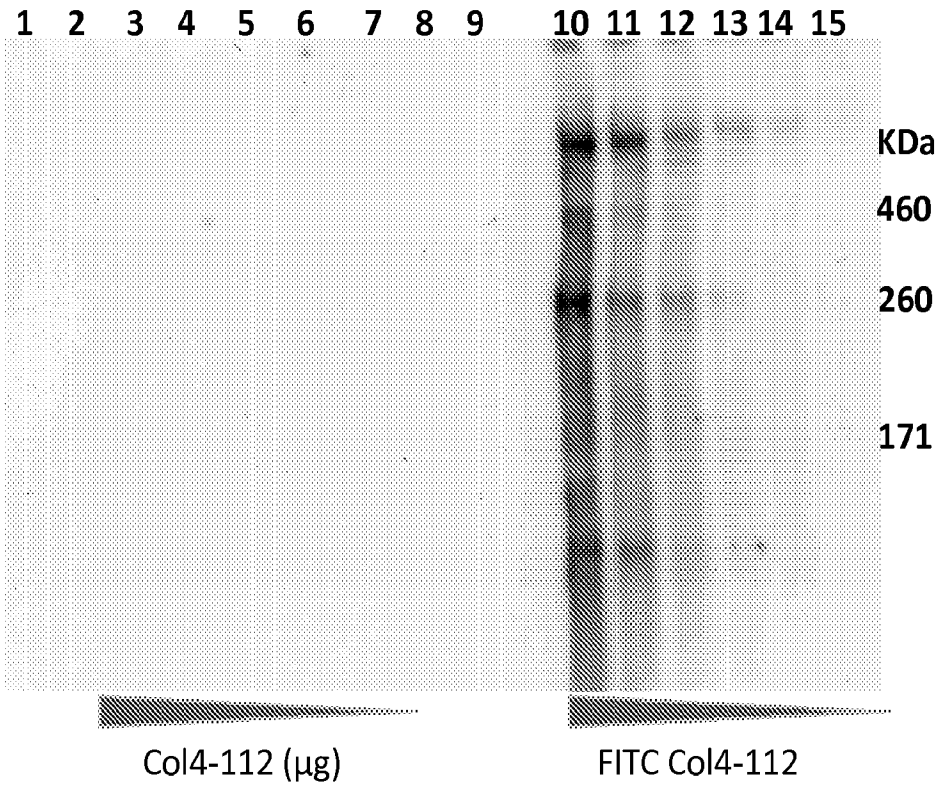


Figure 6a

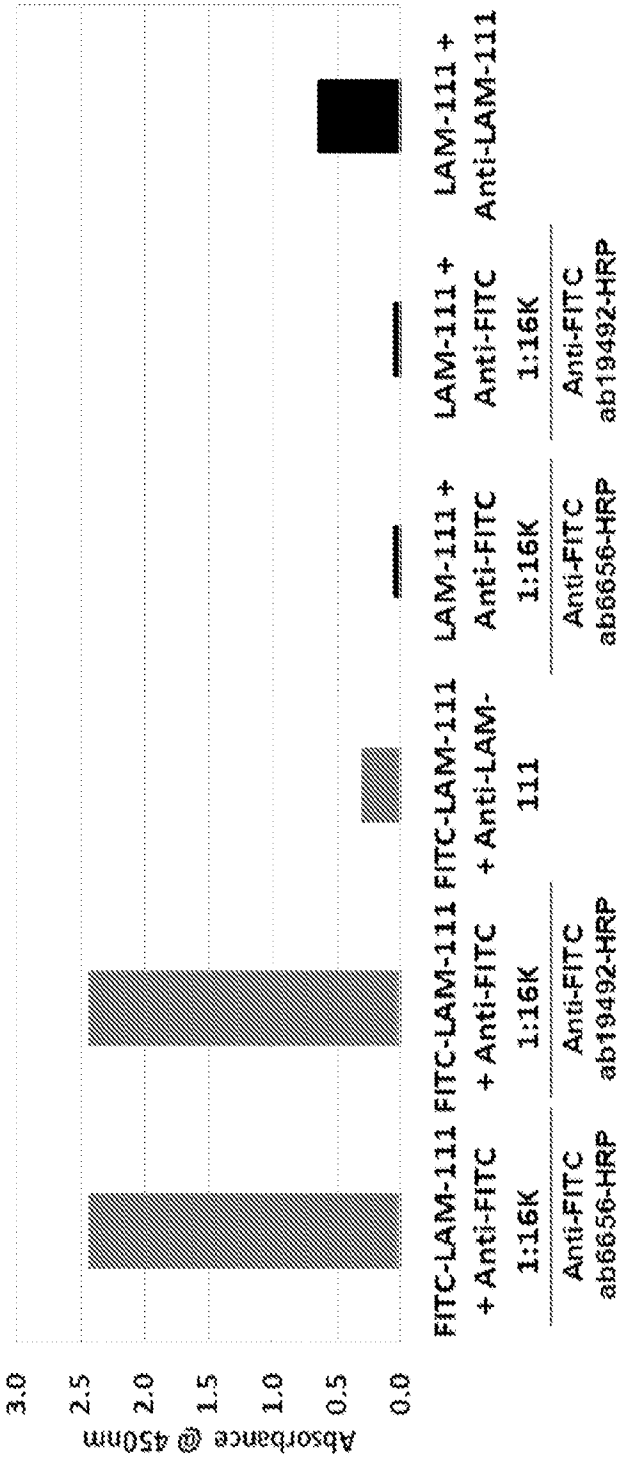




Figure 6b

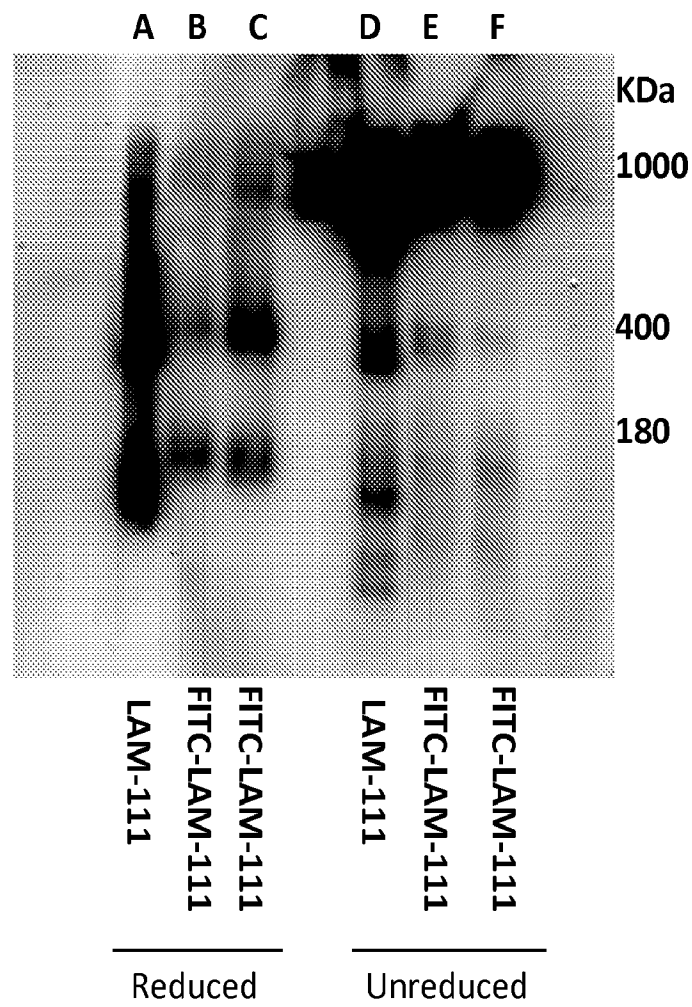


Figure 6c

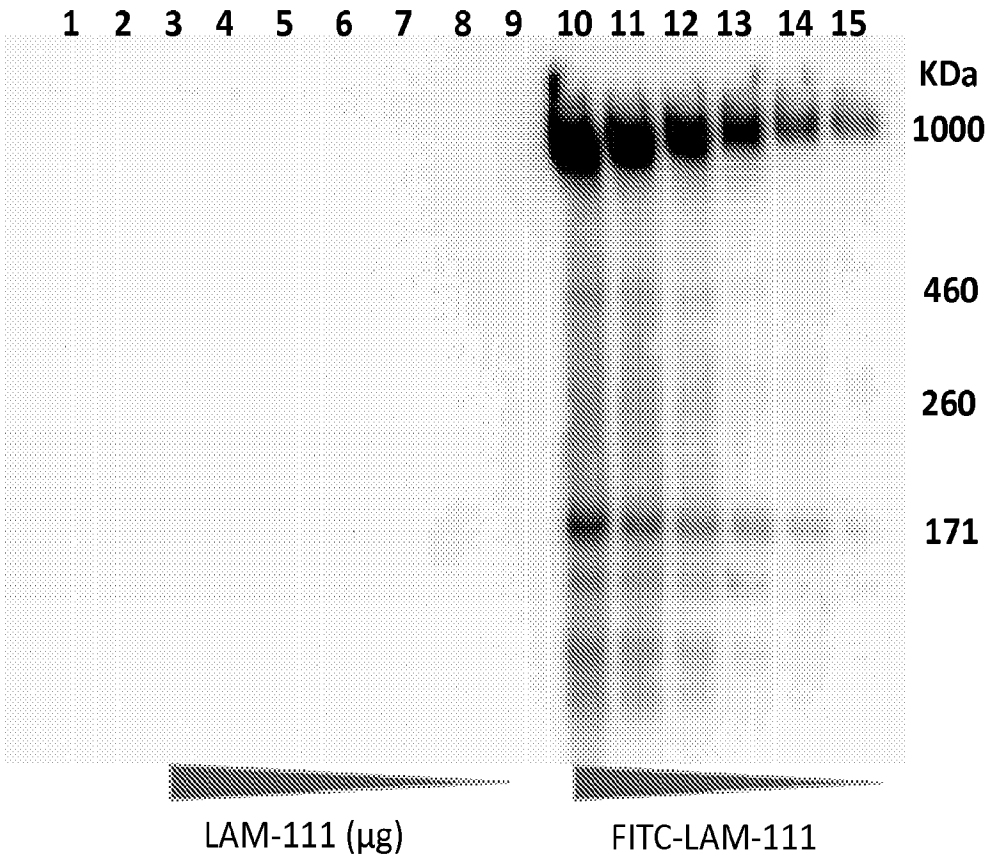


Figure 7

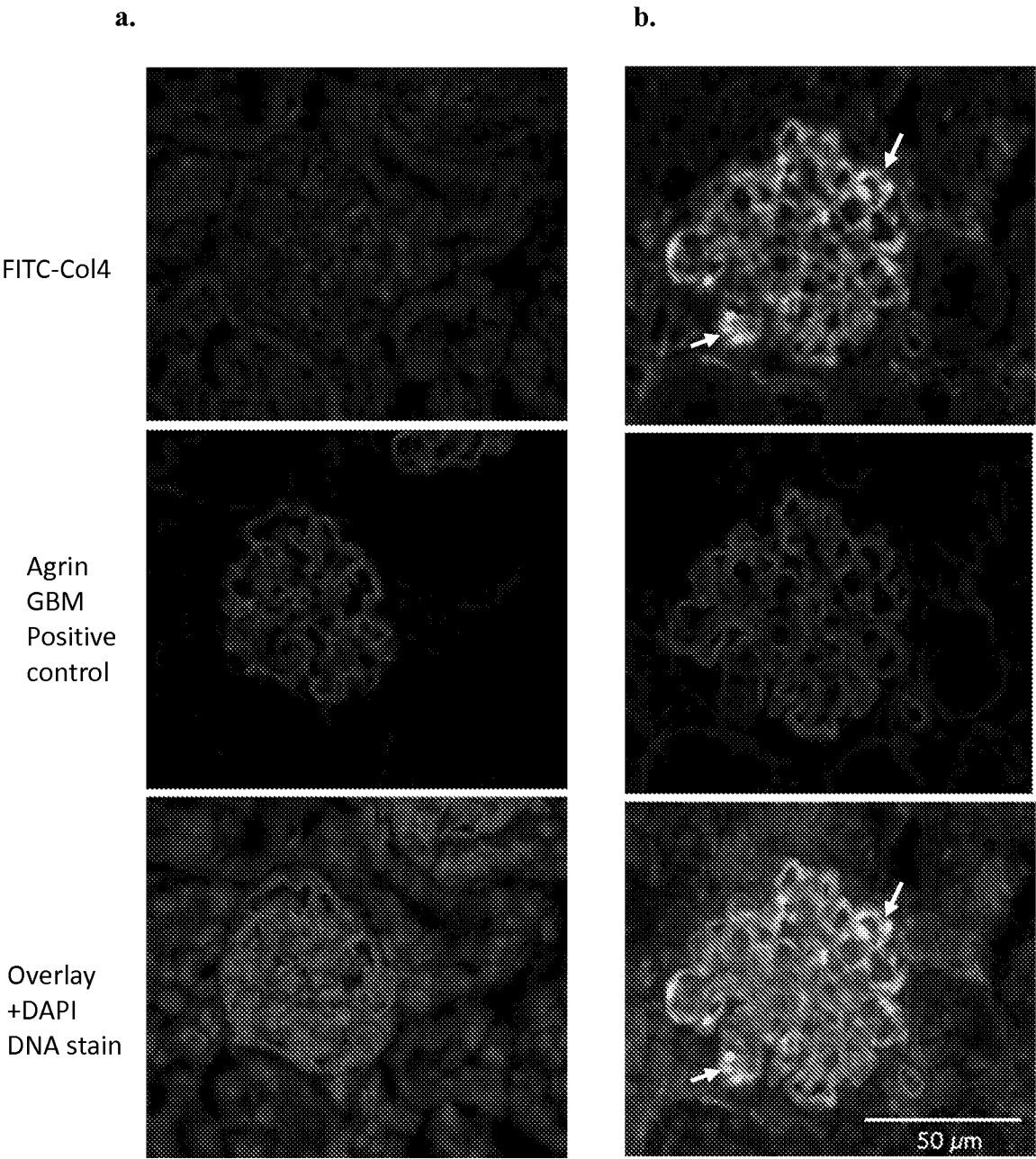


Figure 7 (Continued)

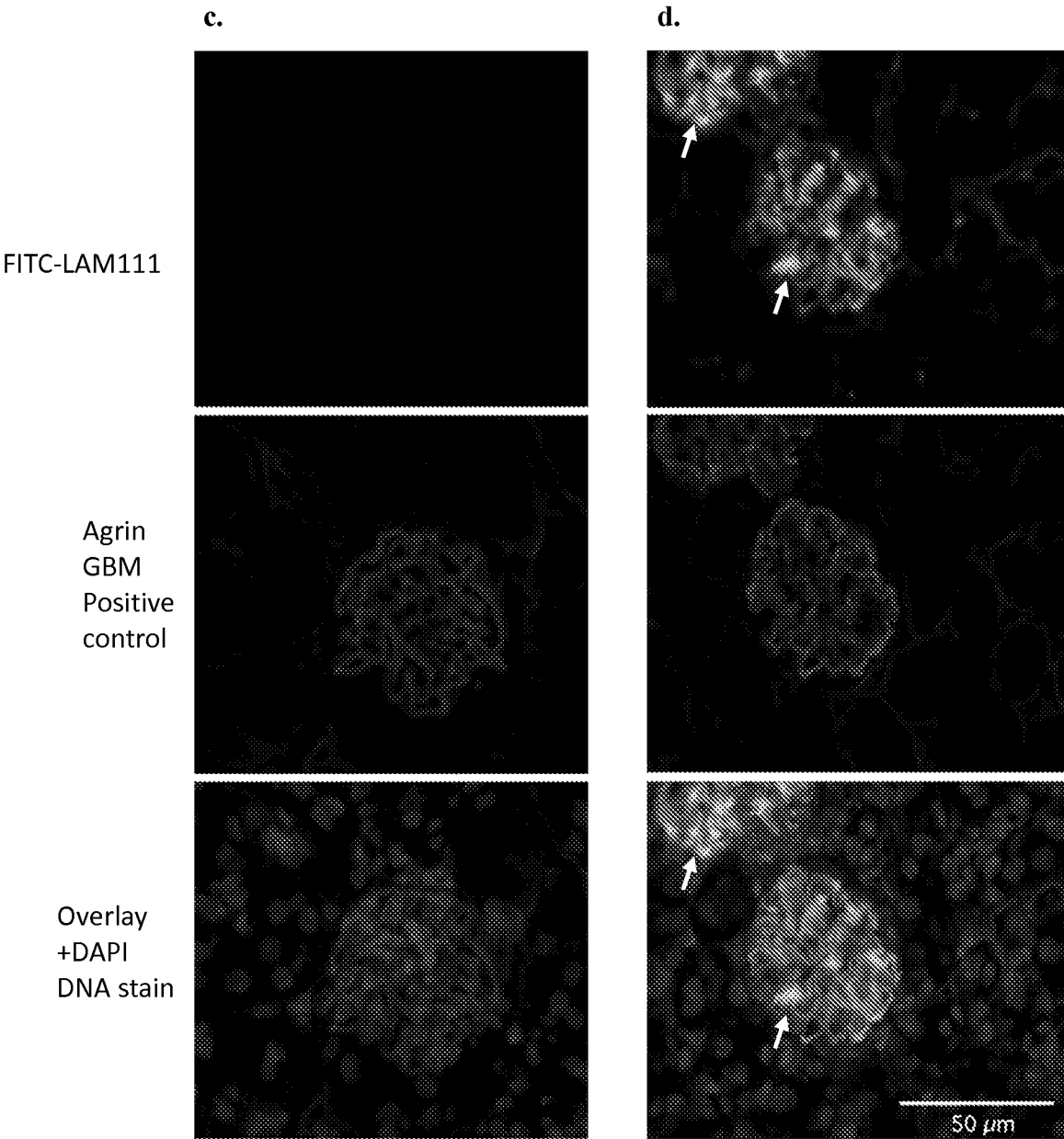


Figure 8

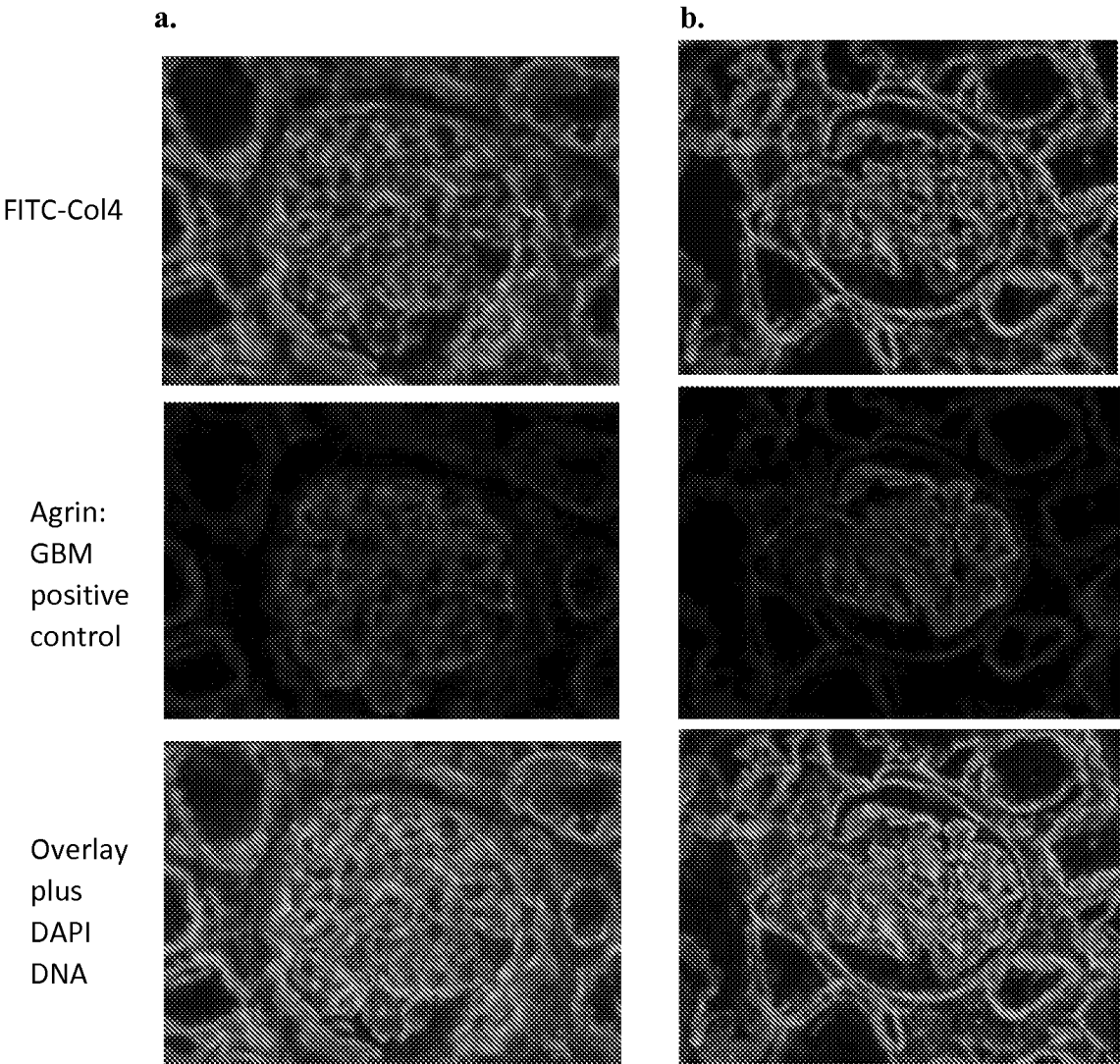


Figure 9

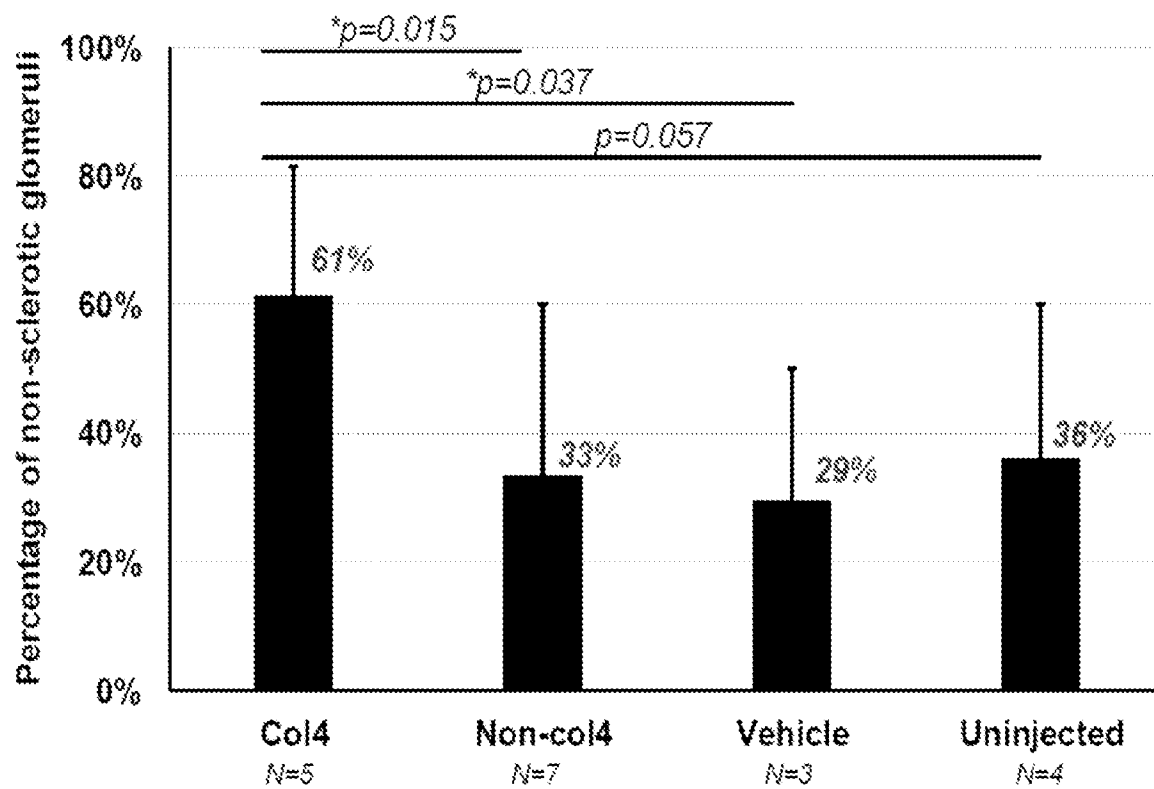
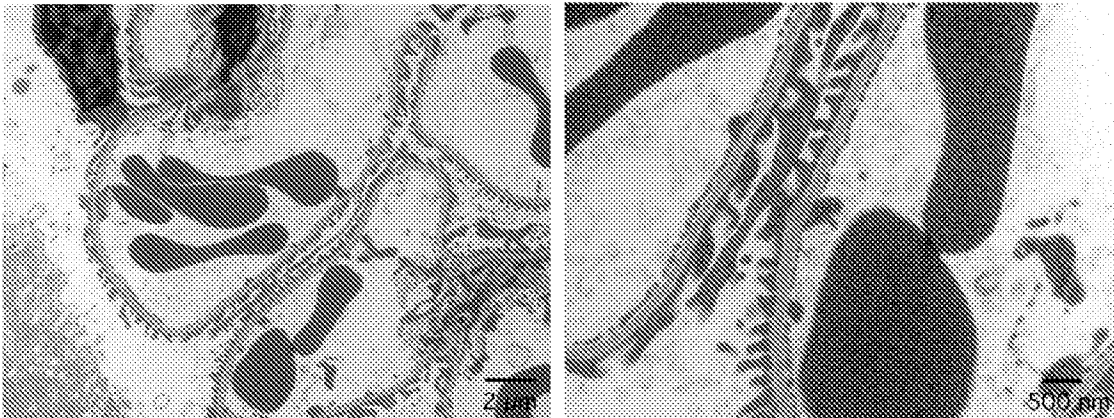
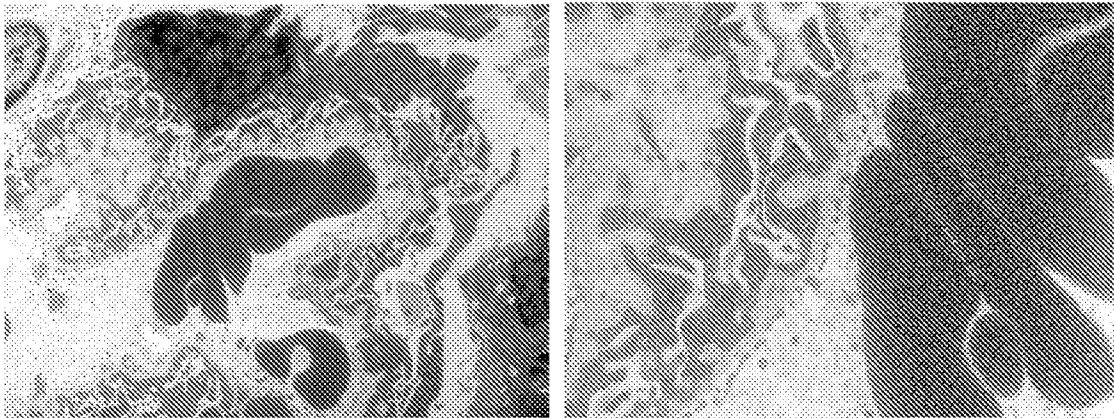


Figure 10

a.



b.



c.

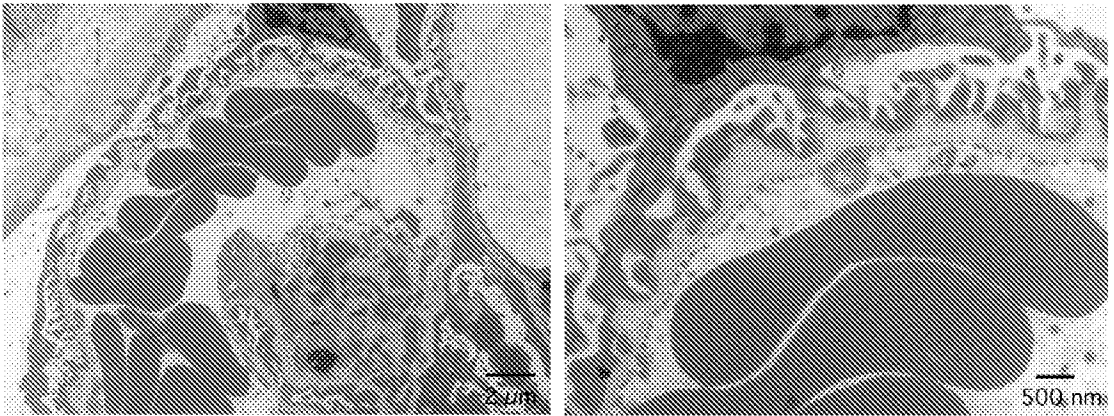


Figure 11

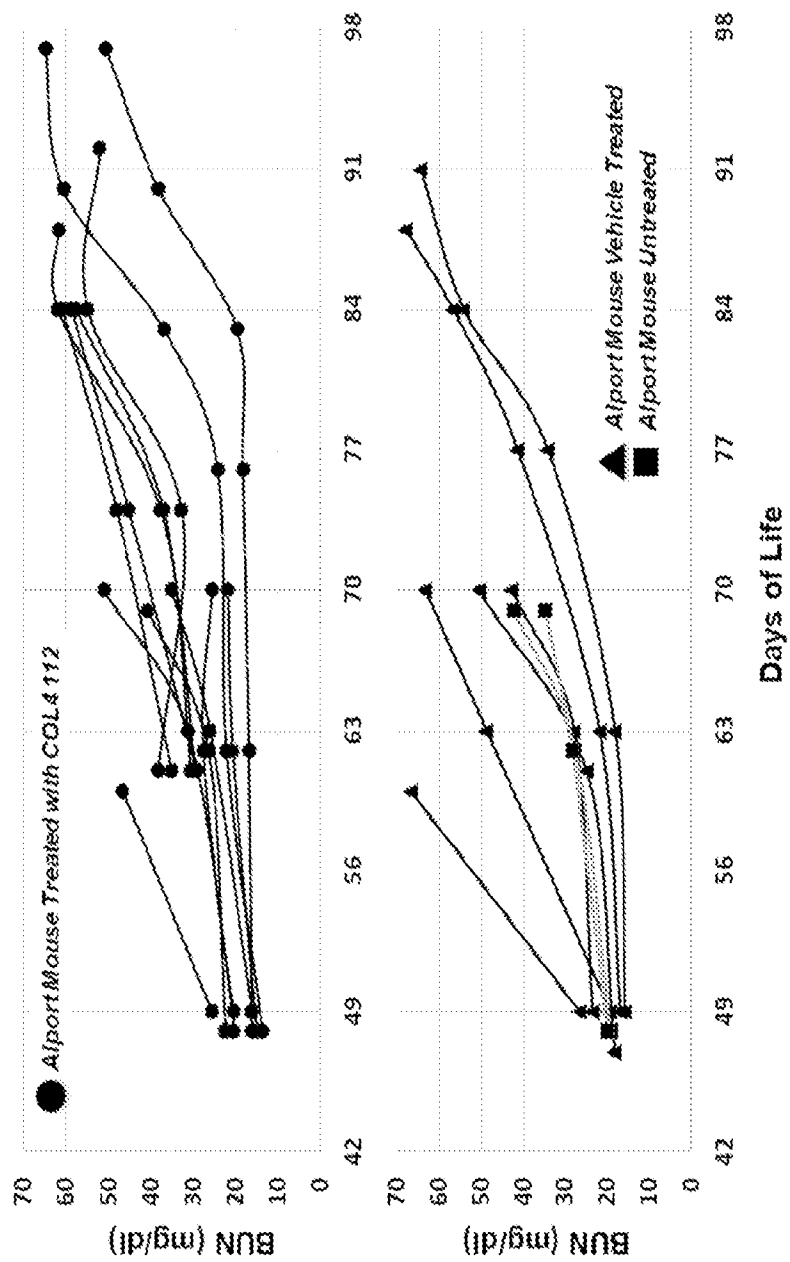
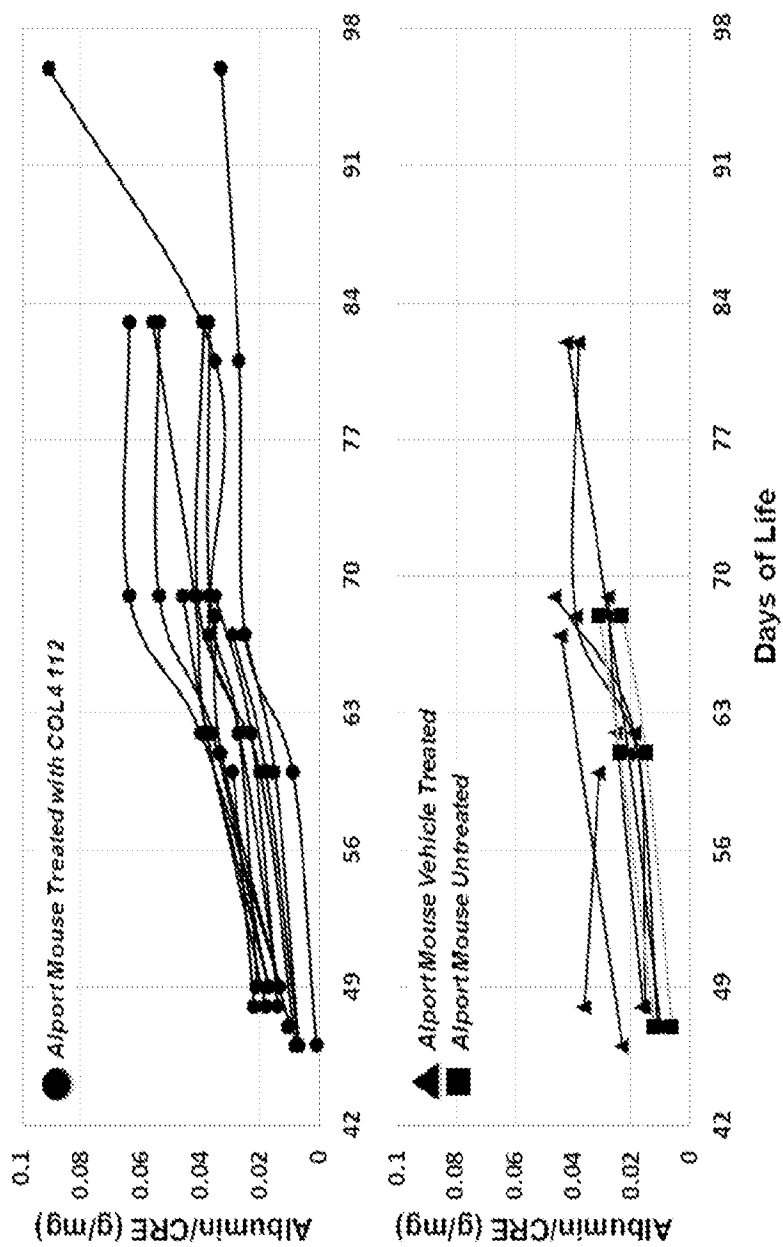




Figure 12



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US15/41712

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61K 38/39 (2015.01)

CPC - A61K 38/39

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8): A61K 38/39; A61P 19/02, 17/02, 31/10, 3/10; C07K 5/097 (2015.01)

CPC: C12N 2501/998; A61K 38/39, 2039/505; C07K 16/18, 2299/00, 14/78

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PatSeer (US, EP, WO, JP, DE, GB, CN, FR, KR, ES, AU, IN, CA, INPADOC Data); Google/Google Scholar; NCBI/PubMed/BLAST; ProQuest;

Search terms used: collagen IV, pharmaceutical, recombinant, protomer, heterotrimer, dimer, chimera, NC1, chain, polypeptide, peptide, hydroxyproline, alport syndrome

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X — Y	US 2004/0132662 A1 (HUDSON, B et al.); July 8, 2004; paragraphs [0008], [0030], [0031], [0038]-[0041]	1-4, 43, 49 ----- 5-8, 9/4-9/8, 10/1-10/4, 10/6-10/7, 10/9/5-10/9/8, 44, 45/43-45/44, 46/45/43-46/45/44, 50
X — Y	US 2013/0116412 A1 (PINKAS, DM et al.); May 9, 2013; paragraphs [0007], [0012], [0023], [0027], [0139]	35-37 ----- 10/1-10/4, 10/6-10/7, 10/9/5-10/9/8
X — Y	US 2007/0042965 A1 (HUDSON, B et al.); February 22, 2007; paragraphs [0050], [0059], [0098], [0101]	38, 39, 41/38-41/39, 42 ----- 6-8, 9/4-9/8, 10/6-10/7, 10/9/5-10/9/8, 40, 41/40
Y	US 7608413 B1 (JOSELOFF, E et al.); October 27, 2009; SEQ ID NOs: 93, 603, 2730	5, 8, 9/5, 9/8, 10/9/5, 10/9/8, 40, 41/40, 44, 45/44, 46/45/44, 50
Y	US 2014/0100263 A1 (REGULUS THERAPEUTICS INC.); April 10, 2014; paragraphs [0004], [0005]	45/43-45/44, 46/45/43-46/45/44
Y	US 6812339 B1 (VENTER, JC et al.); November 2, 2004; SEQ ID NOs: 5883-5884	5, 8, 9/5, 9/8, 10/9/5, 10/9/8, 40, 41/40

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

09 October 2015 (09.10.2015)

Date of mailing of the international search report

04 NOV 2015

Name and mailing address of the ISA/

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents  
P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-8300

Authorized officer

Shane Thomas

PCT Helpdesk: 571-272-4300  
PCT OSP: 571-272-7774

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US15/41712

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 13-34, 47, 48  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.