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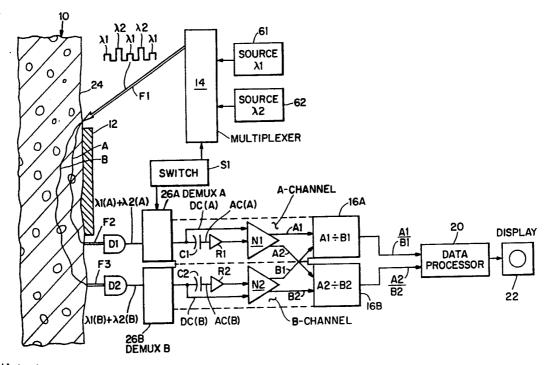
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(54) Title: NONINVASIVE MEASUREMENT OF HEMATOCRIT AND HEMOGLOBIN CONTENT BY DIFFERENTIAL OPTICAL ANALYSIS



(57) Abstract

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The present invention relates to the noninvasive measurement of blood hematocrit and hemoglobin content using differential optical absorption of two or more wavelengths of light during blood volume changes. The method is also useful for noninvasive measurements of other blood analytes, such as glucose, where variations in hematocrit or blood hemoglobin concentration cause errors in the measurement.

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AT AU BB BE BF BG BJ BR CA CF CG CH CI CM CS CZ DE DK ES FI	Austria Australia Barbados Belgium Burkina Faso Bulgaria Benin Brazil Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon Czechoslovakia Czech Republic Germany Denmark Spain Finland	FR GA GB GN GR HU IE IT JP KP KR KZ LJ LK LU MC MG MI MN	France Gabon United Kingdom Guinea Greece Hungary Ireland Italy Japan Democratic People's Republic of Korea Republic of Korea Kazakhstan Liechtenstein Sri Lanka Luxembourg Monaco Madagascar Mali Mongolia	MR MW NL NO NZ PL PT RO RU SD SE SK SN TD TG UA US VN	Mauritania Malawi Netherlands Norway New Zealand Poland Portugal Romania Russian Federation Sudan Sweden Slovak Republic Senegal Soviet Union Chad Togo Ukraine United States of America Viet Nam
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NONINVASIVE MEASUREMENT OF HEMATOCRIT AND HEMOGLOBIN CONTENT BY DIFFERENTIAL OPTICAL ANALYSIS

Field of the Invention

This invention relates generally to optical systems

used for the measuring properties of materials in
suspension in fluids. In particular, this invention
relates to the noninvasive measurement of blood hematocrit
and hemoglobin content. Hemoglobin content is a measure of
the quantity of hemoglobin in a given volume of blood.

Hematocrit is a relative measure of the volume percentage
of erythrocytes versus the total volume of blood. Both
variables are used for diagnoses of various cardiovascular
and pulmonary abnormalities.

Background of the Invention

15 Optical oximetry, which is based upon the difference in the absorption properties of oxyhemoglobin and deoxyhemoglobin, was used by Donahoe et al. to measure tissue, as well as arterial oxygen saturation. ("A New Noninvasive Backscattering Oximeter", T.M. Donahoe and R.L. 20 Longini, Proceedings of the IEEE/Seventh Annual Conference

Longini, <u>Proceedings of the IEEE/Seventh Annual Conference</u>
of the Engineering in <u>Medicine and Biology Society</u>, pp.
144-147, 1985.)

The Donahoe et al. paper describes a noninvasive backscattering oximeter which utilizes photon diffusion

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theory to analyze the optics of blood in perfused tissue. In backscattering oximetry, a light source and light detector are placed side by side on the same tissue surface, whereas in transmission oximetry, two or more 5 wavelengths of light are transmitted through the tissue and the effect on the transmitted light is measured to evaluate the degree of oxygen saturation of hemoglobin. Specifically, the Donahoe oximeter had the ability to detect changes in the volume of blood cells relative to 10 that of tissue which the authors conveniently defined as "tissue hematocrit". Although "tissue hematocrit" and blood hematocrit may be related, the exact relationship is not known and can not be accurately defined or predicted for each individual. Furthermore, the accepted parameter 15 which is most commonly used in clinical medicine is referred to as "blood hematocrit", which is defined as the volume percentage of erythrocytes in whole blood, and not "tissue hematocrit", as defined by Donahoe, et al. Moreover, the method described by Donahoe, et al. requires 20 careful calibration to correct for variations in tissue scattering and absorption among individuals and among different sites, even on one individual.

The calibration method proposed by Donahoe et al.
requires the application of pressure to the tissue site

where the measurement is performed in order to render the
tissue site bloodless. This calibration procedure results
in large errors for two primary reasons: 1) it is difficult
to know exactly the amount of blood in the tissue during

calibration or whether the tissue was rendered completely bloodless, and 2) the application of pressure to biological tissues deforms the tissue structures which, in turn, results in different optical properties compared to that of the undeformed blood perfused tissue.

Sperinde et al., in U.S. 4,523,279 issued June 11, 1985, disclose an invasive oximeter technique in which light at three different wavelengths is optically integrated and coupled through an optical fiber to an aperture in a distal tip of a catheter disposed within a blood vessel. Back-scattered and reflected radiation is received through a separate optical fiber to a second aperture in the tip and coupled to a central processor. Blood oxygen saturation is measured as a function of the radiation intensities from the back-scattered light at the three different wavelengths normalized with respect to a reference light intensity measurement.

Takatani et al., in U.S. 4,867,557 issued September 19, 1989, disclose a non-invasive reflection type oximeter 20 which requires light beams at six different wavelengths to be applied to the body tissue. Light received from the six different beams, after being absorbed in the tissue, is detected by a single detector and processed in accordance with a predetermined function to determine the quantity of 25 hemoglobin and oxygen saturation of the body tissue. A light interception barrier separates the transmit light beams from the single light receiving element to prevent direct light cross-talk (or coupling) of light on the receiving element. This oximeter is directed to body parts 30 which do not contain a pulsatile component and requires constant light intensity.

Summary of the Invention

A need exists for a relatively simple non-invasive method of simultaneous measurement of hemoglobin content and hematocrit in tissue. Various noninvasive 5 spectrophotometric methods for measuring biochemical variables in tissues and blood are based on light transmission through, or reflection from, peripheral tissues. The measurement of biochemical variables, such as glucose, oxygen, bilirubin and other important 10 physiological variable, are essential for clinical diagnosis. Variations in several biochemical substances in the body has a direct effect on the shape of the red blood cells. This, in turn, will change the hematocrit of the blood and cause a change in the optical absorption 15 properties of the blood and tissue when attempting to perform noninvasive measurements through the skin. For example, variations in blood glucose and salt concentrations can cause the red blood cells to change their volume and shape due to changes in the hypotonicity 20 or hypertonicity of the plasma in which the red blood cells are suspended. Since these changes have a direct effect on the optical scattering properties of the tissue, large errors occur when trying to quantify relatively small changes in the concentration of various biochemical 25 analytes in the blood from changes in the optical

absorption of tissue using transmission or reflection

spectroscopy. The present invention overcomes this problem by providing a method to compensate for undesired variations in blood hematocrit or hemoglobin concentration within the optical path. The method can also be used to independently measure blood hematocrit or hemoglobin concentration noninvasively.

The present invention is based on photoplethsmography, which is the study of volume changes in the body. In particular, the relative magnitude of the photoplethysmographic signal measured, at different times of the cardiac cycle, with two different wavelengths, each detected by two spatially separated photodetectors, is utilized to determine blood hematocrit and hemoglobin

15 Brief Description of the Drawings

content.

Fig. 1 is a block diagram of a first embodiment of the invention.

Fig. 2 is a plot of light intensity versus time illustrating the modulation of light intensity by changes in arterial blood volume each time the heart contracts.

Detailed Description of the Invention

Fig. 1 illustrates the invention in detail. A portion of bodily tissue 10, such as the web of the hand between the thumb and index fingers, the forehead or any other portion of the skin, is illuminated by a light beam

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generated by two sources 61 and 62 of equal or unequal intensity and respective wavelengths $\lambda 1$ and $\lambda 2$. Both wavelengths are selected so as to be substantially insensitive to variations in oxygen saturation or any other blood constituent other than hematocrit or hemoglobin content. The wavelengths 815 nm and 950 nm are preferred examples. Light sources L1 and L2 may comprise fixed or tuneable lasers or LED's. The light from sources L1 and L2 is time multiplexed in multiplexer 14 under control of 10 timing switch S1 to produce a single beam of light comprising time multiplexed pulses $\lambda 1$ and $\lambda 2$. The beam from multiplexer 14 may be butt-coupled to the skin 24 of tissue 10 or may be coupled to the skin by optical fiber F1, as shown. The light beam undergoes scattering and 15 absorption in the tissue according to certain path A or B determined by the constituents in the tissue. Ultimately the light beam exits the tissue either by transmission, transflection or reflection and is detected by detectors D1 and D2 which are shown located for reflectance or 20 transflectance measurement.

By placing the two photodetectors D1 and D2 on or near the surface of the skin 24 at two different locations corresponding to a shorter pathlength A and a longer pathlength B, it is possible to detect two photoplethysmographic waveforms λ1 (A) & λ2 (A) and λ1 (B) & λ2(B) corresponding to the light intensities emanating from the tissue 10, respectively. Note: If the detectors are not disposed on the skin, optical fibers F2 and F3 may be used to couple the received light to a respective detector D1 or D2. The detected waveforms are demultiplexed in demultiplexer 26 which is comprised of an

A-demultiplexer 26A and a B-demultiplexer 26B to which the respective diode detector outputs are coupled. The demultiplexed signals $\lambda 1(A)$ and $\lambda 2(A)$ travelling the A-path are coupled to signal processing A-channel. demultiplexed signals travelling the B-path through tissue 10 (λ 1 (B) and λ 2(B)) are coupled to signal processing Bchannel. The waveforms demultiplexed in demultiplexers 26A and 26B are synchronized with multiplexer 14 by switch S1. The relative intensities of the four photoplethysmographic 10 waveforms $\lambda 1(A)$ & $\lambda 2(A)$ and $\lambda 1(B)$ & $\lambda 2(B)$ is proportional to the separation distances of the two photodetectors D1 and D2, respectively, from the point of entry of the beam into the tissue. An optical shield 12 is placed between the light source 14 (or fiber optic F1) and the two 15 photodetectors D1 and D2, (or fiber optics F1 & F2), in order to eliminate the possibility of detecting light directly coupled between the light source and the photodetectors.

The light intensity absorbed by the tissue 10 is 20 modulated by the change in arterial blood volume each time the heart contracts. Fig. 2 is a plot of the intensity detected by one of the detectors versus time after it has been time demultiplexed. When more blood is present in the tissue during peak systole (point 2), more light will be 25 absorbed and less light will be detected by the photodetector. Likewise, during peak diastole (point 3), less blood will be present in the tissue, and the photodetectors will detect a stronger signal. The relative magnitude of this signal, which is given by the peak-to-30 peak value of the photoplethysmographic waveform 4, is a function of the incremental amount of blood that enters the

tissue during systole with each heart beat. In addition to the time variant (AC) component of the photoplethysmogram, which is a function of the incremental increase in blood volume during systole, there is also a time-invariant (DC) component (5) in the photoplethysmogram. This DC component corresponds to the average amount of blood present in the tissue between heart beats and is also proportional to the amount of light Io entering the tissue. The detected optical photoplethysmogram waveforms \$\lambda1(A) & \$\lambda2(A)\$ and 10 λ 1(B) & λ 2(B) after conversion into electrical intensity signals by respective detectors D1 and D2 and demultiplexing in 26A and 26B are separated into AC waveform components AC(A) and AC(B) by blocking capacitors C1 and C2. These AC components are subsequently converted 15 into respective DC voltages corresponding to the amplitude of the photoplethysmographic signals by respective rectifiers and (if necessary amplifiers) R1 and R2. components DC(A) and DC(B) are coupled directly to respective normalization circuits N1 and N2 along with the 20 outputs from R1 and R2.

The magnitude of each photoplethysmogram is normalized by dividing its AC component by its DC component in the normalization circuits N1 and N2. This cancels out the effect of variations in the output light intensity of the 25 light sources used to illuminate the tissue 10. calculating the ratios between the normalized magnitudes of the two photoplethysmograms detected by the two differently spaced photodetectors in ratio circuits 16A and 16B, a relationship is obtained which provides information on the

hematocrit. The ratio between A_1 and B_1 (one pair of outputs of the normalization circuit N_1 and N_2) is computed by the ratio circuit 16A and this value, which is computed for $\lambda 1$ (or 850 nm), is empirically correlated against hemoglobin content to provide a mathematical relationship for predicting hemoglobin content values.

In like manner, the de-multiplexed $\lambda 2(A)$ and $\lambda 2(B)$ signals for the second wavelength $\lambda 2$ are then processed in the same manner in channels A and B, respectively, to 10 produce a second set of normalized photoplethysmograms A2 The second wavelength, $\lambda 2$ (for example 950 nm) is not only sensitive to variations in hemoglobin, but is also sensitive to variations in the plasma content in the tissue. This wavelength may be used to obtain hematocrit 15 information, as indicated above. The scattered and absorbed light from $\lambda 2$ is also detected in detectors D1 and D2 and split into DC and AC components AC(B) and DC(B) by rectifying amplifying and filtering, as above in R1 and C1 and R2 and C2. This process provides information on the 20 optical absorption of tissue as a function of the total light absorbed by both hemoglobin and plasma. The ratio of the normalized AC/DC components A2/B2 of each photoplethysmogram detected at respective wavelength $\lambda 2$ is empirically correlated against known hematocrit values to 25 find a mathematical relationship for predicting hematocrit values. It is thus possible to obtain a quantitative measure of hemocrit by computing the relative concentrations of hemoglobin content to plasma content. Data processor 20 has stored in it the aforesaid 30 mathematical relationship to provide an absolute indication of blood hematocrit and hemoglobin content noninvasively. These values may be displayed on display 22.

An example of a mathematical relationship which could be stored in the data processor 20 is as follows:

5 Hemoglobin Content =
$$K_0 + K_1 \left(\frac{\underline{A}_1}{B_1} \right) + K_2 \left(\frac{\underline{A}_1}{B_1} \right)^2$$

Hematocrit =
$$K_3 + K_4 \left(\frac{A_2}{B_2}\right) + K_5 \left(\frac{A_2}{B_2}\right)^2$$

Where K_0 , K_1 , K_2 , K_3 , K_4 and K_5 are six empirically determined regression coefficients. These coefficients can be determined by a calibration study in which $\frac{A_1}{B_1}$ & $\frac{A_2}{B_2}$ are

- 10 measured in different patients having different known hemoglobin content and hematocrit values. These values may have been obtained by prior in vivo or in vitro tests.

 After these six coefficients are determined, they are permanently programmed into the data processor (20) and
- 15 used to predict hemoglobin content and hematocrit by measuring the values of $\frac{A_1}{B_1}$ and $\frac{A_2}{B_2}$ in the patient under test.

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CLAIMS

- A method of noninvasive measurement of blood parameters in living tissue comprising the steps of:
 - a) illuminating the tissue with light of a first wavelength \$\lambda\$1 at an incident tissue site, such that the light is scattered and absorbed by the tissue;
 - b) while the volume of blood in the tissue is changing, detecting the scattered light at two separate detection tissue sites remote from said incident site and generating first and second electrical signals proportional to the intensity of the detected light;
- c) normalizing the first and second electrical
 signals to produce first and second normalized
 signals; and
 - d) forming a ratio of the first and second normalized signals.
- The method of Claim 1 wherein λ1 is about 815 nm and
 the parameter measured is hemoglobin.
 - 3. The method of Claim 1 wherein the volume of blood is changing due to the cardiac cycle, such that the first and second electrical signals represent photoplethysmographic waveforms.
- 25 4. The method of Claim 2 wherein the wavelength $\lambda 1$ is a wavelength which is insensitive to all tissue parameters except hemoglobin.

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5.	A method of noninvasive measurement of blood							
J.	constituents in living tissue comprising	the	steps	of				
	Constituents III II III	_						

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a) illuminating the tissue with light of a first wavelength \$\lambda\$1 at an incident tissue site \$1, such that the light is scattered and absorbed in the tissue;

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- b) while the volume of blood in the tissue is changing, detecting the illuminated light, after it is scattered and absorbed at two separate tissue sites remote from the incident site and generating first and second electrical signals proportional thereto;
- c) separating a respective DC component of the first and second electrical signals from a respective AC component of the first and second electrical signals;
- d) dividing the AC component of the respective first and second electrical signals by the DC component of the first and second electrical signals to produce a respective normalized first and second electrical signals;
- e) forming a ratio by dividing the normalized first signal by the normalized second signal.
- f) comparing said ratio with a predetermined value
 to determine the relative concentration of a
 predetermined blood constituent in the tissue.
- The method of Claim 5 wherein the predetermined blood constituent is hemoglobin content λ1 is a wavelength chosen to be relatively insensitive to tissue
 constituents other than hemoglobin content.

- 7. The method of Claim 6 wherein an optical shield is disposed between the incident sites and the detection sites to prevent non-scattered light from being detected.
- 5 8. The method of Claim 6 wherein the ratio formed in step (e) is processed to determine hemoglobin content.
 - 9. The method of Claim 6 wherein λ 1 is about 815 nm.
 - 10. The method of Claim 5 wherein the blood volume in the tissue is changing due to the cardiac cycle.
- 10 11. The method of Claim 5 wherein a second wavelength λ2, which is insensitive to tissue constituents other than hemoglobin content and plasma content, is time multiplexed with the first wavelength prior to illuminating the tissue with both wavelengths in succession.
 - 12. A method of noninvasive measurement of blood constituents in living tissue comprising the steps of:
 - a) illuminating the tissue with light of a first wavelength λ1 followed closely in time by light of a second wavelength λ2 at an incident tissue site, such that the illuminating light is scattered and absorbed in the tissue;
- b) while the volume of blood in the tissue is changing, detecting the scattered light from \$\lambda\$1 at two separate tissue sites remote from the incident site and generating first and second

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		electrical signals proportional thereto;
	c)	while the volume of blood in the tissue is
		changing, detecting the scattered light from $\lambda 2$
		at two separate tissue sites remote from the
5		incident site and generating third and fourth
		electrical signals proportional thereto;
	đ)	separating a respective DC component of the first
	•	and second electrical signals from a respective
•		AC component of the first and second electrical
10		signals;
	e)	separating a respective DC component of the third
	•	and fourth electrical signals from a respective
		AC component of the third and fourth electrical
		signals;
15	f)	dividing the AC component of the respective first
		and second electrical signals by the DC component
		of the first and second electrical signals to
		produce a respective normalized first and second
		electrical signals;
20	g)	dividing the AC component of the respective third
		and fourth electrical signals by the DC component
		of the third and fourth electrical signals to
		produce a respective normalized third and fourth
		electrical signals;
25	h)	dividing the normalized first electrical signal
		by the normalized second electrical signal to
		produce a first electrical intensity ratio signal
		related to the hemoglobin content of blood in the
		tissue and dividing the normalized third
30		electrical signal by the normalized fourth
		electrical signal to produce a second electrical

intensity ratio signal; and wherein $\lambda 1$ is a wavelength which is relatively insensitive to tissue constituents other than hemoglobin content and $\lambda 2$ is wavelength which is relatively insensitive to tissue constituents other than hemoglobin content and plasma content.

- 13. The method of Claim 12 wherein λ 1 is about 815 nm and λ 2 is about 950 nm.
- 14. The method of Claim 12 wherein the volume of blood is changing due to the cardiac cycle, such that the first, second, third and fourth electrical signals represent photoplethysmorgraphic waveforms.
- 15. The method of Claim 12 wherein the first and second electrical intensity ratio signal derived in step (h) are processed to produce a measure of blood hematocrit.
 - 16. The method of Claim 12 wherein the first electrical intensity signal derived in step (h) is processed to produce a measure of hemoglobin content.
- 20 17. The method of Clam 12 wherein the two separate sites in b) are the same as the sites in c) and the detected waveforms are demultiplexed after being detected.

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18. Apparatus for noninvasive measurement of blood parameters in living tissue comprising:

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- a light source for illuminating the tissue with light of a first wavelength λ1 at an incident tissue site, such that the light is scattered and absorbed by the tissue;
- b) light detectors for detecting the scattered light from $\lambda 1$ at two separate detection tissue sites remote from said incident site and generating first and second electrical signals proportional to the intensity of the
- detected light;
 normalizing means for normalizing the first and second electrical signals to produce first and second normalized signals; and
- d) ratio means for forming a ratio of the first and second normalized signals.
- 19. The apparatus of Claim 18 wherein $\lambda 1$ is about 815 nm.
- 20. The apparatus of Claim 18 wherein the volume of blood is changing during measurement due to the cardiac cycle, such that the first and second electrical signals represent photoplethysmographic waveforms.
- 21. The apparatus of Claim 18 wherein the ratio derived in step (d) is processed in a signal processor to produce a measure of blood hemoglobin.

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- 22. Apparatus for noninvasive measurement of blood parameters in living tissue comprising:
 - a) a light source for illuminating the tissue with light of a first wavelength \$1 and a second wavelength \$2 at a respective tissue site, such that the light is scattered and absorbed within the tissue;
 - b) a light detector for detecting, while the volume of blood in the tissue is changing, the scattered light from \$1 at two separate tissue sites remote from said incident site and generating first and second electrical signals proportional to the intensity of the detected light;
 - c) a second light detector for detecting, while the volume of blood in the tissue is changing, the scattered light from 12 at two separate detection tissue sites remote from said incident site and generating third and fourth electrical signals proportional to the intensity of the detected light;
 - d) first normalizing means for normalizing the first and second electrical signals to produce a first normalized signal;
 - e) second normalizing means for normalizing the third and fourth electrical signals to produce a second normalized signal; and
 - f) ratio means for forming a ratio of the first and second normalized signals.

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23. The apparatus of Claim 22 wherein λ1 is a wavelength chosen to be relatively insensitive to tissue constituents other than hemoglobin content and λ2 is a wavelength chosen to be relatively insensitive to tissue constituents other than hemoglobin content and plasma content.

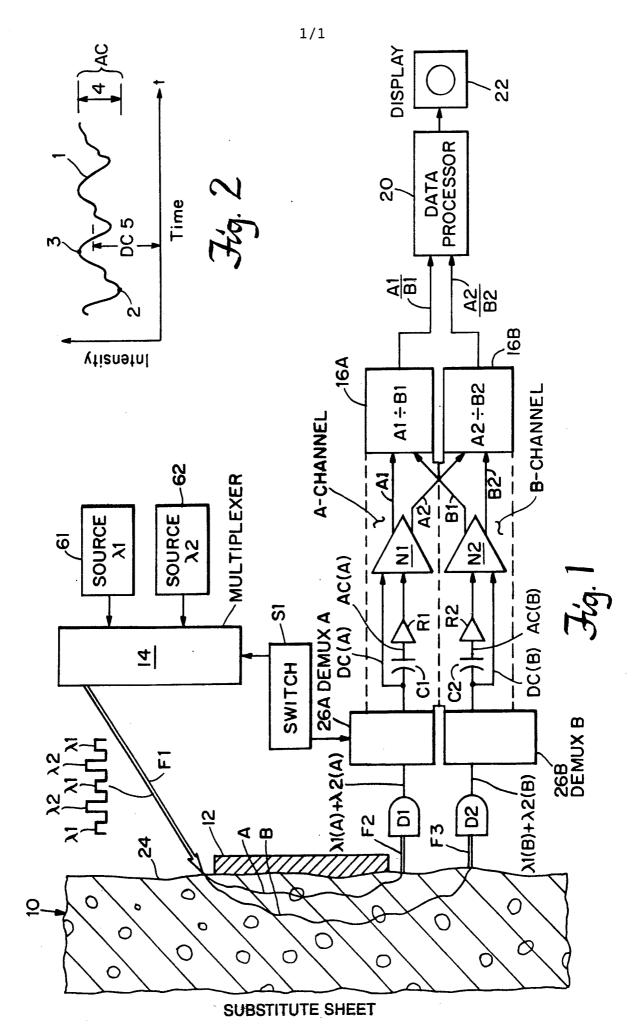
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- 24. The apparatus of Claim 22 including an optical shield disposed between the incident sites and the detection sites to prevent non-scattered light from being detected along with scattered light.
 - 25. The apparatus of Claim 22 including signal processor means wherein the ratio formed in part (f) is processed to determine hemoglobin content.
- 26. The apparatus of Claim 22 wherein the ratio formed in part (f) is processed to determine blood hematocrit.
 - 27. The apparatus of Claim 22 wherein the lights of wavelengths $\lambda 1$ and $\lambda 2$ are multiplexed before illuminating the tissue and are demultiplexed after detection.
- 20 28. Apparatus for noninvasive measurement of blood constituents in living tissue comprising:
 - a) light means for illuminating the tissue with light of a first wavelength λ1 and a second wavelength λ2 at an incident site, such that the light is scattered within the tissue;

	b)	first and second detectors for detecting the
	•	scattered light from $\lambda 1$ at two separate tissue
		sites remote from the incident site and
		generating first and second electrical signals
5		proportional thereto;
	c)	third and fourth detectors for detecting the
	•	scattered light from 12 at two separate tissue
		sites remote from the incident site and
		generating third and fourth electrical signals
10		proportional thereto;
	d)	first and second rectifier means for separating
		respective DC component of the first and second
		electrical signals from a respective AC component
		of the first and second electrical signals;
15	e)	second and third rectifier means for separating
		respective DC component of the third and fourth
		electrical signals from a respective AC componen
		of the third and fourth electrical signals;
	f)	first and second normalizing means for dividing
20		the AC component of the respective first and
		second electrical signals by the DC component of
		the first and second electrical signals to
		produce a respective normalized first and second
		electrical signals;
25	g)	third and fourth normalizing means for dividing
		the AC component of the respective third and
		fourth electrical signals by the DC component of
		the third and fourth electrical signals to
		produce a respective normalized third and fourth
30		electrical signals;

- first ratio means for dividing the normalized h) first electrical signal by the normalized second electrical signal to produce a first ratio electrical intensity signal related to the hemoglobin content of blood in the tissue and 5 second ratio means for dividing the normalized third electrical signal by the normalized fourth electrical signal to produce a second ratio electrical intensity signal related to the blood hematocrit; and wherein $\lambda 1$ is a wavelength which 10 is relatively insensitive to tissue constituents other than hemoglobin content and λ is wavelength which is relatively insensitive to tissue constituents other than hemoglobin content and plasma content. 15
 - 29. The apparatus of Claim 28 wherein $\lambda 1$ is about 815 nm and $\lambda 2$ is about 950 nm.
- 30. The method of Claim 28 wherein the ratio electrical intensity signals derived in step (h) are processed to produce a measure of blood hematocrit.
 - 31. The method of Claim 28 wherein the first ratio electrical intensity signal derived in step (h) is processed to produce a measure of hemoglobin content.

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INTERNATIONAL SEARCH REPORT

International Application No PCT/US 92/00785

I. CLASS	SIFICATIO	N OF SUBJECT MATTER (if several classific	ation symbols apply, indicate all) ⁶			
According	to Interna	tional Patent Classification (IPC) or to both Nat	ional Classification and IPC			
IPC5: A	# PT R	3/00				
II. FIELDS	S SEARCH	IED				
	04	Minimum Document	ation Searched assification Symbols			
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IPC5		A 61 B				
		Documentation Searched other t to the Extent that such Documents	han Minimum Documentation are Included in Fields Searched ⁸			
III. DOCU	MENTS C	ONSIDERED TO BE RELEVANT ⁹				
Category *	Citat	ion of Document, ¹¹ with indication, where appr	opriate, of the relevant passages ¹²	Relevant to Claim No. ¹³		
A	S	, 4694833 (KENJI HAMAGURI) ee column 3, line 1 - line laim 1	22 September 1987, 40;	1-31		
		•••				
A		, 4907594 (EDWIN MUZ) 13 Ma ee claim 6	rch 1990,	1-31		
A	2	, 4714080 (REUBEN W. EDGAR, 2 December 1987, ee the whole document	JR E1 AL)	1-31		
A	2	, 4832484 (TAKUO AOYAGI ET 3 May 1989, ee the whole document	AL)	1-31		
						
"A" do	cument de nsidered to	ries of cited documents: ¹⁰ fining the general state of the art which is not be of particular relevance	"T" later document published after or priority date and not in confl cited to understand the principl invention	the international filing date ict with the application but e or theory underlying the		
"F" ea		nent but published on or after the international	"X" document of particular relevant cannot be considered novel or	ce, the claimed invention cannot be considered to		
"L" do wh cit	cument wh nich is cite tation or ot	ich may throw doubts on priority claim(s) or d to establish the publication date of another her special reason (as specified)	"Y" document of particular relevant cannot be considered to involve the control of the control o	ce, the claimed invention e an inventive step when the		
[oti	her means	erring to an oral disclosure, use, exhibition or	ments, such combination being in the art.	obvious to a person skilled		
"P" do	cument pu ter than the	blished prior to the international filing date but e priority date claimed	"&" document member of the same	patent family		
IV. CERT	IFICATIO	N	Date of Mailing of this International S	Search Report		
	Date of the Actual Completion of the International Search 28th September 1992 1992					
Internatio	nal Search	ing Authority	Signature of Authorized Officer			
Form PCT/I	EURC	PEAN PATENT OFFICE acond sheet) (January 1985)	ANDERS HOLMBERG			

	International Application No. PC170	
	MENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET) Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
Category *	US, A, 4927264 (TOSHIKAZU SHIGA ET AL) 22 May 1990, see the whole document	1-31
A	US, A, 4523279 (JOHNIE M. SPERINDE ET AL) 11 June 1985, cited in the application	1-31
A	WO, A1, 9004353 (DIETRICH GRAVENSTEIN ET AL) 3 May 1990, see the whole document	1-31
A	WO, A1, 9115991 (WORCESTER POLYTECHNIC INSTITUTE) 31 October 1991, see figure 1; claim 1	1-31
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ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.PCT/US 92/00785

SA 61742

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 28/08/92. The European Patent office is in no way liable for these particulars which are merely given for the purpose of information.

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For more details about this annex: see Official Journal of the European patent Office, No. 12/82