PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶:
A61F 2/44, 2/46, F16B 37/14, A61B 17/28

| A3

WO 97/31517

(43) International Publication Date:

(11) International Publication Number:

28 August 1997 (28.08.97)

(21) International Application Number:

PCT/US97/02672

(22) International Filing Date:

19 February 1997 (19.02.97)

(30) Priority Data:

08/603,674 08/799,114 19 February 1996 (19.02.96)

11 February 1997 (11.02.97)

US US

(71) Applicant: DANEK MEDICAL, INC. [US/US]; 1800 Pyramid Place, Memphis, TN 38132 (US).

(72) Inventors: ZDEBLICK, Thomas; 9 Hodgeson Court, Madison, WI 53717 (US). MCKAY, William, F.; 3870 McElrie Cove, Memphis, TN 38133 (US). BOYD, Larry; 5105 Lynbar Avenue, Memphis, TN 38117 (US). RAY, Eddie, F., III; 8691 Cedar Farms Drive, Cordova, TN 38018 (US). McGAHAN, Thomas; 4904 Harvest Field Circle, Memphis, TN 38125 (US).

(74) Agents: KNOLL, Deborah, R. et al.; Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).

(81) Designated States: AL, AU, BA, BB, BG, BR, CA, CN, CU, CZ, EE, GE, HU, IL, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, RU, SG, SI, SK, TR, TT, UA, UZ, VN, YU, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

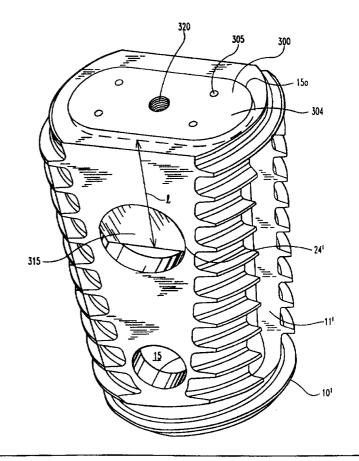
Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report: 23 October 1997 (23.10.97)

(54) Title: SPINAL FUSION IMPLANTS AND TOOLS FOR INSERTION AND REVISION

(57) Abstract

An interbody fusion device (10') in one embodiment includes a load bearing body (11') defining a hollow interior or chamber (15) for receiving an osteogenic material. In another embodiment, the tapered body is solid and formed of a porous biocompatible material having sufficient structural integrity to maintain the intradiscal space and normal curvature. The material is preferably a porous tantalum composite having fully interconnected pores to facilitate complete bone tissue ingrowth into the implant. A cap (300) is also provided to block the opening (15a) of the hollow fusion device (10'). The cap (300) includes an occlusion body (301) and an elongated anchor (310). In some embodiments the anchor includes a lip (315) which is engageable to openings (24') in the body wall. Tools are also provided for manipulating caps for interbody fusion devices. In one embodiment the tool includes a cap-engaging tip for engaging a threaded tool hole (320) in the cap (300).



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
ΑT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA.	Gabon	MR	Mauritania	VN	Viet Nam

Internat Application No PCT/US 97/02672

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 A61F2/44 A61F2/46 A61B17/28 F16B37/14 According to International Patent Classification (IPC) or to both national classification and IPC Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61F F16B A61B Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages Χ DE 44 09 836 A (DRAENERT) 28 September 1,15,19, 20 Υ see column 4, line 1 - line 22; figures 11,12, 21,22 2,8-10, A see claims 23-26 13,14, 23,24 EP 0 623 323 A (HÄRLE) 9 November 1994 Υ 11,12, 21,22 see abstract; figures US 5 458 638 A (KUSLICH) 17 October 1995 25,26. Χ 28-31 see column 5, line 57 - line 67; figures 10,11 -/--Further documents are listed in the continuation of box C. Patent family members are listed in annex. X IX I Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 1 1. 09. 97 25 August 1997 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Steenbakker, J

4

Internat Application No
PCT/US 97/02672

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Televate to claim 765
4	WO 95 15133 A (CALCITEC) 8 June 1995 see the whole document	47,48
A	EP 0 307 241 A (BRANTIGAN) 15 March 1989 see the whole document	1,15
A	WO 91 06261 A (SURGICAL DYNAMICS) 16 May 1991	
A	US 3 486 505 A (MORRISON) 30 December 1969	

rnational application No.

PCT/US 97/02672

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
 Claims: 1-24 A fusion device. Claims: 25-46 A cap for blocking the opening of a fusion device. Claims: 47-61 A tool for manipulating a cap.
1. X As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

Internat Application No PCT/US 97/02672

				101700	37702072
Patent document cited in search report	rt	Publication date	Patent famil member(s)	y	Publication date
DE 4409836	Α 2	28-09-95	WO 952548	3 A	28-09-95
EP 62332?	Α (99-11-94	AT 12063 AT 13855 DE 410152 DE 5910511 DE 5910787 EP 049369 ES 207119 ES 208869	2 T 6 A 4 D 1 D 8 A 5 T	15-04-95 15-06-96 02-07-92 11-05-95 04-07-96 08-07-92 16-06-95 16-08-96
US 5458638	Α	17-10-95	US 548930 CA 201550		06-02-96 06-01-91
WO 9515133	Α	08-06-95	NONE		
EP 307241	A	15-03-89	US 483475 CA 129259 DE 387696 US 487891 AU 61466 AU 343638 JP 350313 WO 890903	6 A 9 A 5 A 9 B 9 A 3 T	30-05-89 03-12-91 04-02-93 07-11-89 05-09-91 16-10-89 18-07-91 05-10-89
WO 9106261	A	16-05-91	US 502637 AU 64804 AU 653479 CA 207299 EP 049883 JP 550150	7 B 00 A 02 A 16 A	25-06-91 14-04-94 31-05-91 07-05-91 19-08-92 25-03-93
US 3486505	Α	30-12-69	NONE		