(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 11 January 2001 (11.01.2001)

PCT

(10) International Publication Number WO 01/02949 A1

(51) International Patent Classification⁷: G06F 3/033

(21) International Application Number: PCT/US00/40318

(22) International Filing Date: 6 July 2000 (06.07.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 09/348,806 6 July 1999 (06.07.1999) US

(71) Applicant and

(72) Inventor: LI, Chuang [US/US]; 19884 Charters Avenue, Saratoga, CA 95070 (US). (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

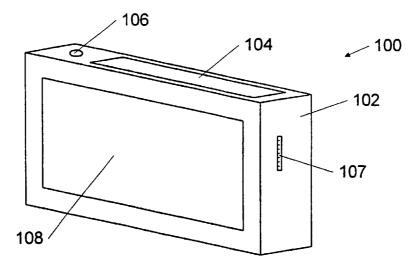
With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Avenue of the Americas, New York, NY 10020 (US).

(74) Agents: PISANO, Nicola, A. et al.; Fish & Neave, 1251

(54) Title: METHODS AND APPARATUS FOR CONTROLLING A PORTABLE ELECTRONIC DEVICE USING A TOUCHPAD



(57) Abstract: Apparatus and methods for controlling a portable electronic device, such as an MP3 player, portable radio, voice recorder, or portable CD player are disclosed. A touchpad (108, 401) is mounted on the housing of the device, and a user enters commands by tracing patterns with his finger on a surface of the touchpad (108, 401). No immediate visual feedback is provided as a command pattern is traced, and the user does not need to view the device to enter commands. A microcontroller (404) within the device matches the pattern traced by the user against a plurality of preset patterns, each of which corresponds to a predefined function or command of the device. If the pattern traced by the user is a reasonably close match to any of the preset patterns, the device performs the predefined function corresponding to the matched pattern. The touchpad (108, 401) replaces the numerous buttons that are typically used to control portable electronic devices, thereby enhancing usability and reducing manufacturing costs.



01/02949

PCT/US00/40318 WO 01/02949

METHODS AND APPARATUS FOR CONTROLLING A PORTABLE ELECTRONIC DEVICE USING A TOUCHPAD

Field Of The Invention

5

The present invention relates generally to methods and apparatus for controlling the operation of a portable electronic device, such as a portable radio, cassette player, voice recorder, or MP3 player. More particularly, the present invention relates to using a 10 touchpad to control a portable electronic device.

Background Of The Invention

As technology advances, portable electronic devices, such as portable radios, CD-players, voice recorders, and cassette tape players are becoming 15 smaller, and are integrating an increasing number of functions. With the recent introduction of portable MP3 players, and other portable devices that play music from solid state memory or other digital storage, portable electronic devices may become still smaller, 20 and even more complex to operate.

As used herein, a portable electronic device refers to one of a wide variety of small, portable consumer electronic devices that are primarily designed to perform specific predefined functions. Most of 25 these devices are small enough to fit within a pocket,

- 2 -

or be clipped onto a belt, though some, such as portable stereos that include speakers may be considerably larger. Many consumer audio devices such as portable radios, cassette players and recorders,

5 portable CD players, voice recorders, and portable MP3 players are among the types of devices that are considered portable electronic devices for purposes of this application. Other portable entertainment devices, such as portable DVD players, as well as other portable electronic devices having specific predefined functions, such as pagers, remote controls, and cellular telephones may also be within the definition of a portable electronic device.

More complex devices, such as portable 15 computers or personal digital assistants (PDAs), which provide general functionality or are extensively programmable are not within the definition of a portable electronic device, as used herein. Although such general purpose devices may include a capability 20 to play audio from storage or from a CD, or may be programmed to perform other functions similar to those performed by portable electronic devices, these are not typically the predefined functions of the device, and are not the functions for which these general purpose 25 devices were primarily designed. General purpose devices, such as portable computers and PDAs do not compete in the same market as portable electronic devices, do not typically include the same limitedpurpose components and predefined functions, and do not 30 face the same cost and usability concerns as portable electronic devices.

Typically, portable electronic devices include numerous buttons, switches, knobs, and displays to permit a user to control the operation of the

- 3 -

device. All of these components take space on the housing of the device, and thereby limit the ability to reduce the size of the device. Reducing the size of these components may cause usability problems, since pressing tiny buttons and reading miniature displays may be awkward or irritating for many users. These problems are becoming more severe, since an increased number of integrated functions typically requires an increased number of buttons to control all of the functions.

The use of numerous small buttons and switches to control portable electronic devices also results in complex and inconvenient operation.

Particularly, it may be difficult to find a desired button among the many buttons on such a device.

Finding the correct button may be particularly difficult when attempting to operate the device without looking at it, as would be typical when the device is kept in a pocket, attached to a belt, or used during physical activity, such as jogging. Since these are among the major intended uses of portable electronic devices, this usability problem is a serious concern.

The use of numerous buttons to control these devices also increases the cost and decreases the 25 manufacturing yield of the devices. Typically, assembly of the numerous buttons on a portable electronic device must be completed by hand, resulting in higher manufacturing costs and lower yields. Additionally, each button, switch, or knob is a separate mechanical component which may fail, reducing the manufacturing yield and the reliability of the devices.

Various input and control methods have been successfully used on other types of portable electronic

- 4 -

equipment. On portable computers, input devices such as trackballs, touchpads, and control sticks have been used to control the movement of a cursor on a screen. Cursor movement may be used to control the operation of 5 a variety of applications running on a portable computer. In personal digital assistants (PDAs), such as the PALMPILOT™, a tradmark of Palm Computing, Inc. (a subsidiary of 3Com Corporation), of Santa Clara, California, which have some of the same size concerns 10 as portable electronic devices, pen-based input and control is common. Typically, a stylus or pen is used to draw characters on a touch-sensitive screen to input the characters into the PDA without using a keyboard. Gestures, such a crossing out a word on the screen, or 15 tapping the stylus over a graphical icon on the screen may be used to enter and edit text, or to control the operation of a typical PDA.

On portable computers, immediate visual feedback from the pointing device, typically provided in the form of cursor motion on a screen, is critical to operation of the computer. Without this feedback, the user would have no ability to determine where the cursor is located, and no ability to position the cursor over icons or other controls on the screen, which are used to control the operation of the portable computer.

PDAs, such as the PALMPILOT[™], while less reliant on immediate visual feedback than personal computers, still rely on the user being able to view a screen built into the PDA to control the operation of the PDA. Most operations on the PALMPILOT[™], for example, are specified by tapping a stylus over an icon or control that appears on the screen. Other gestures are used to enter and edit text -- another activity

- 5 -

that demands that a user view the screen to see the text that is being entered.

While this type of visual interface may be desirable for personal computers and PDAs, most 5 portable electronic devices lack the type of display device that would permit such highly visual methods to be used. Additionally, users of most portable electronic devices are interested in operating the device with a minimal amount of difficulty. Ideally, a 10 user should be able to operate the device quickly, easily, and without having to view a display while operating the device. Since methods of using touchsensitive devices on personal computers and PDAs provide immediate visual feedback as an important part 15 of their user interface, or require interaction with visual elements on a screen, they typically demand that a user view a display on the device while the device is being operated. This renders these methods unsuitable for use on a wide variety of portable electronic 20 devices. Additionally, use of a stylus or pen to enter commands is unacceptable for most portable electronic devices, since attempting to use a pen while jogging or engaging in other physical activity would be nearly impossible for most users.

In view of the above, it would be desirable to provide a portable electronic device that is easy and convenient to use, even without looking at the device.

It also would be desirable to provide a

30 portable electronic device with a reduced number of
buttons disposed on its housing, thereby permitting the
space on the device to be used for other purposes, such
as providing a larger display, or reducing the size of
the device.

- 6 -

It would further be desirable to provide a portable electronic device with reduced manufacturing costs, and higher yields.

Summary Of The Invention

5

It is an object of the present invention to provide a portable electronic device that is easy and convenient to use, even without looking at the device.

It is also an object of the present invention to provide a portable electronic device with a reduced number of buttons disposed on its housing, thereby permitting the space on the device to be used for other purposes, such as providing a larger display, or reducing the size of the device.

It is a further object of the present invention to provide a portable electronic device with reduced manufacturing costs, and higher yields.

These and other objects of the present invention are achieved by providing apparatus and methods for using a touchpad to control a portable electronic device, such as a portable radio, CD player, cassette tape player, voice recorder, pager, portable DVD player, or MP3 player. Instead of using a plurality of buttons disposed on the housing of the device for control, the present invention teaches use of a single touchpad, on which the user traces patterns to control the device. The device does not need to provide immediate visual feedback, and the patterns are simple enough that they may be entered without requiring that the user view the portable electronic device while entering the commands.

The portable electronic device of the present invention comprises a touchpad disposed on the housing of the device. A microcontroller within the device

- 7 -

receives electrical signals from the touchpad,
representing x-y coordinates of the location at which a
user's finger contacts the touchpad. Alternatively,
the touchpad may send signals indicative of the motion
of a user's finger across the surface of the touchpad.
The microcontroller compares the data received from the
touchpad with a plurality of patterns stored in a
memory coupled to the microcontroller.

Each of the stored patterns represents a

command or function of the portable electronic device.

If the microcontroller determines that the data received from the touchpad matches one of the patterns, the microcontroller causes the device to perform the command or function associated with the matched

pattern.

In a preferred embodiment of the portable electronic device of the present invention, a pattern representing a function does not need to be matched precisely. A "close" match, in which the pattern is scaled, translated, or rotated within preset bounds will be sufficient to indicate a match. Additionally, some variation in timing or speed at which a pattern is traced may be tolerated in the matching process.

The present invention also discloses a method for controlling a portable electronic device by receiving data from a touchpad, and comparing the data with a plurality of predefined patterns, each of which represents a function of the portable electronic device. If a matching pattern is found, the function represented by the matching pattern is performed.

Brief Description Of The Drawings

The above and other objects and advantages of the present invention will be apparent upon

- 8 -

consideration of the following detailed description, taken in conjunction with the accompanying drawings, in which like reference characters refer to like parts throughout, and in which:

5 FIG. 1 shows a portable electronic device built in accordance with the principles of the present invention;

FIGS. 2A-2K show illustrative commands that may be entered through a touchpad to control a portable 10 electronic device;

FIGS. 3A-3D show a range of variation in entering a command on a touchpad;

FIG. 4 shows an alternative embodiment of a portable electronic device built in accordance with the principles of the present invention;

FIG. 5 is a block diagram of the electronics of a portable electronic device built in accordance with the principles of the present invention; and

FIG. 6 is a flowchart of a method in accordance with the present invention for receiving, matching, and performing a command.

Detailed Description Of The Invention

Referring to FIG. 1, a view of a portable electronic device constructed in accordance with the principles of the present invention is shown. The embodiment shown in FIG. 1 is a portable MP3 music player, that plays music formatted according to the MPEG audio layer 3 standard. It will be evident to one skilled in the art that the features of the present invention could be readily adapted for use in a portable CD player, cassette player/recorder, voice recorder, pager, portable DVD player, remote control, or other portable electronic device. Additionally, it

- 9 -

will be understood that use of the MPEG audio layer 3 (MP3) standard in the music player of FIG. 1 is for illustration, and that the features of the present invention could be used on a digital music player that plays music encoded in any other digital music format.

Music player 100 comprises housing 102, liquid crystal display 104, headphone jack 106, data port 107, and touchpad 108. Additionally, the housing may have a feature that permits the user to determine 10 the orientation of the device, preferably without looking at the device. For music player 100, the placement of liquid crystal display 104, headphone jack 106, or data port 107 may permit the user to determine the orientation. Other features, such as a distinctive 15 housing shape (not shown), or a belt clip (not shown) may also permit the user to determine the orientation of the device. Alternatively, electronic means may be used to specify the orientation of the device. For example, the user may tap on the top edge of touchpad 20 108 when the device is started to specify the orientation of the device.

The user controls operation of music player 100 by tracing simple patterns with his finger on the surface of touchpad 108. For example, by moving his 25 finger across touchpad 108 from left to right, the user instructs music player 100 to advance to the next song. By tapping twice on the surface of touchpad 108, the user instructs music player 100 to pause. It should be noted that unlike touchpads used for moving a cursor on 30 a computer screen, no immediate visual feedback needs to be given to the user while he or she enters a command. Only once a command is completed is there a feedback in the form of executing the command, such as

- 10 -

playing the music or stopping the music, indicating that the command was recognized.

Advantageously, since music player 100 is not controlled using a plurality of buttons, it should be 5 relatively easy for a user to control music player 100, even without looking at music player 100 or receiving any other visual feedback. To enter commands, the user need only locate and use touchpad 108 -- the only control on the face of housing 102. Additionally, 10 since music player 100 does not need a plurality of buttons and other controls, housing 102 can be made as small as the size of touchpad 108 and the functions of music player 100 permit (e.g. a cassette player could not be made smaller than the size of a cassette tape). 15 Since touchpads are a mass-produced, inexpensive component, use of touchpad 108 also may reduce the overall cost of manufacturing music player 100, as compared to a music player that uses buttons to control operation. A preferred embodiment of the present 20 invention uses a resistive touchpad, since resistive touchpads are currently less expensive than capacitive

It will be understood by one skilled in the art that various changes may be made to the arrangement and composition of controls on housing 102 without departing from the invention. For example, housing 102 may include an optional belt clip (not shown), contacts (not shown) for a battery charger or data download device, and an opening (not shown) in which a battery or additional memory may be added. Additionally, depending on the functions performed by music player 100, it may be desirable to add function switches or buttons (not show), for selecting a function, for turning music player 100 off, for disabling touchpad

touchpads.

- 11 -

108, or for performing other functions that are not selected using touchpad 108.

Referring now to FIGS 2A-K, illustrative patterns that may be traced on the surface of touchpad 108 to control the operation of music player 100 are shown. FIG. 2A shows a pattern representing the "play" command. By sweeping his or her finger rapidly across the surface of touchpad 108 in a motion such as shown by arrow 110, with a left-to-right downward diagonal motion followed by a right-to-left downward diagonal motion, the user instructs music player 100 to start playing a song, or to resume playing after the player has been paused.

FIG. 2B shows a "stop" command. A right-to15 left downward diagonal sweep followed by a left-toright downward diagonal sweep, as traced by arrow 112,
may be used to command music player 100 to stop playing
a song.

for advancing to the next song, or skipping to the previous song. By moving a finger across touchpad 108 in a left-to-right direction, as shown by arrow 114 of FIG. 2C, the user commands music player 100 to advance to the next song. By moving a finger across touchpad 108 in a right-to-left motion, as shown by arrow 116 of FIG. 2D, the user commands music player 100 to back up to the previous song.

An upward motion, as shown by arrow 118 of FIG. 2E increases the volume. A downward motion, as shown by arrow 120 of FIG. 2F may be used to decrease the volume.

Circular motions may also be used to indicate commands. A clockwise circular motion, such as is shown by arrow 122 of FIG. 2G commands the player to

- 12 -

repeat the current song or "repeat range", while a counterclockwise circular motion, as indicated by arrow 124 of FIG. 2H may be used to set the repeat range.

Tapping a finger against the surface of

5 touchpad 108 may also be used to form commands. A tap
is indicated as a dot in the figures, and although the
dots are shown at specific locations on touchpad 108,
tapping at most locations on touchpad 108 is equally
effective. FIG. 2I shows a pause command, consisting
10 of two taps on touchpad 108, indicated by dots 126 and
127.

Holding a finger on the surface of touchpad

108 may be used to specify commands or functions. This
action is shown in the figures as a short vertical

15 line. A left-to-right motion followed by holding the
finger on touchpad 108, as shown by arrow 128 and line
129 of FIG. 2J, may be used to put the player in a
"fast-forward" mode. The player keeps running in fast
forward mode while the user holds his finger on

20 touchpad 108. A right-to-left motion followed by
holding the finger on touchpad 108, as shown by arrow
130 and line 131 of FIG 2K, may be used to put the
player into a "fast-reverse" mode. The player will
remain in fast reverse mode until the user's finger is
25 lifted from touchpad 108.

Advantageously, all of these commands are relatively simple and intuitive. It should be easy for most users to learn these commands, and easy to use the commands without having to look at touchpad 108 while 30 entering the commands.

It should also be noted that there may be a timing or speed factor in commands entered into touchpad 108. In a preferred embodiment, for example, commands (with the exception of the fast forward and

- 13 -

fast reverse commands) must occur within a predetermined period of time. Thus, once a command is started, it must be completed within the predetermined period. The predetermined time period is typically between 50 and 1500 milliseconds, though other values are possible. This permits compound commands to be distinguished from commands consisting of the individual elements of the compound command.

Although the commands described above are

10 specific to a random-access music player, such as an

MP3 player (or other memory-based music player) or CD

player, it will be understood that similar commands may

be used to control the operation of other portable

electronic devices. For example, a "play" command on a

15 cassette player could be the same as the "play" command

shown above in FIG. 2A. On a portable radio, the

circular motions shown in FIGS. 2G and 2H may be used

to scan forward and backward, searching for stations,

while the left-to-right and right-to-left motions shown

20 in FIGS. 2C and 2D may be used to go to the next or

previous station in a list of pre-set stations.

It will be understood by one skilled in the art that the commands shown with reference to FIGS. 2A-2K are for illustration. Other combinations of

25 motions, tapping, and holding a finger on the surface of touchpad 108 could be used to perform the commands and functions discussed with reference to FIGS. 2A-2K without departing from the present invention.

Additional commands combining the motions

30 shown above, or using other motions may be used to
represent additional functions. For example, a
downward motion followed by a tap may be used as a
"mute" command. A repeated rapid back and forth motion
in a generally left-to-right and right-to-left

- 14 -

direction, or a motion in the form of an "X" or cross may be used by an MP3 player to remove the current song from memory. On a device combining the functions of an MP3 player with the functions of a portable radio, a clockwise circular motion followed by a tap may be used to switch between an MP3 mode and a radio mode. Nearly any number of functions may be represented by relatively simple patterns or combinations of simple patterns that may be traced using a finger or other pointing device on a touchpad.

Additionally, some commands entered into the portable electronic device of the present invention could be dependent on the position on the touchpad at which the command is entered. For example, on a multi-15 function device, such as a music player combining the functions of an MP3 player, a voice recorder, and a radio, sweeping a finger or other pointing device across a top edge of touchpad 108 may cause the player to switch to the next function, while sweeping across a 20 bottom edge of touchpad 108 causes the player to switch to the previous function. Tapping on the touchpad at the corners of the touchpad could also be used to enter commands or specify functions. Preferably, these position-dependent commands should only use positions 25 on the touchpad such as the edges or corners, that may be located without requiring the user to view the device during operation.

As shown in FIGS. 3A-3D, the entry of commands into touchpad 108 does not need to be precise.

30 Any of the left-to-right motions shown in FIGS. 3A-3C will be recognized as the same command, while the motion shown in FIG. 3D will be recognized as a downward motion, rather than a left-to-right motion.

In FIGS. 3A-3D, touchpad 108 is shown divided into

- 15 -

eight segments to illustrate the range of motions that may be recognized as the same command.

right motion (arrow 132) through the center of touchpad 108. As shown in FIG. 3B, a similar motion (arrow 134), translated to a different position on the touchpad, and adjusted slightly in scale will still be recognized. FIG. 3C shows that another similar motion (arrow 136), that is translated from the center of the pad, and rotated slightly will also be recognized. When the motion is rotated so that it is more downward than left-to-right, as shown by arrow 138 in FIG. 3D, the system will recognize the motion as a downward motion.

15 All of the commands recognized by music player 100 may be translated, rotated, scaled, and adjusted in timing and speed of tracing the pattern, within preset bounds, and still be recognized. This makes use of music player 100 more convenient, and 20 permits users to quickly enter commands without looking at touchpad 108, or being too concerned with precision in moving a finger across touchpad 108.

Referring now to FIG. 4, an alternative embodiment of a portable electronic device built in accordance with the principles of the present invention is shown. As above, a portable MP3 device is shown in the figure, though it will be understood that the features of this embodiment could be adapted, mutatis mutandis, to other portable electronic devices, such as radios, voice recorders, cassette players, portable DVD players, pagers, remote controls, or CD players.

Music player 200 comprises housing 202, touchscreen 204, headphone jack 206, and data port 207. Touchscreen 204 combines the functions of a display and

- 16 -

a touchpad, permitting the user to enter commands in the manner described hereinabove, while providing a relatively large display. Advantageously, music player 200 has a large display, without increasing the size of bousing 202 to accommodate both a display and controls.

Unlike the touchscreen used on a typical PDA, touchscreen 204 does not need to provide any immediate visual feedback (e.g. movement of a cursor or displaying the path traced by the user) while the user is entering a command, and the user's interaction with touchscreen 204 does not depend on the position of icons or controls displayed on touchscreen 204. Specifically, the same commands that were used on music player 100 of FIG. 1 may be used on music player 200.

15 The user does not need to view touchscreen 204 to operate music player 200. Thus, the touch-sensitive functionality of touchscreen 204 is effectively decoupled from the display aspects of touchscreen 204.

As discussed above, housing 202 may include
20 an optional belt clip (not shown), contacts (not shown)
for a battery charger or data download device, an
opening (not shown) in which a battery or additional
memory may be inserted, an on-off or function switch
(not shown), additional buttons (not shown), or other
25 additional features without departing from the present
invention.

FIG. 5 shows a block diagram of the electronics of a portable electronic device built in accordance with the principles of the present invention. Portable electronic device 400 comprises touchpad 401, which continuously translates a point touched by a user's finger into an x-y coordinate, which is electrically transmitted across bus 402 to microcontroller 404. Alternatively, touchpad 401 may

- 17 -

send data about the motion of the user's finger across the touchpad to microcontroller 404. Microcontroller 404 is coupled to memory 406, which may be internal to microcontroller 404, and is programmed to receive data from touchpad 401 and compare the received data with a plurality of patterns stored in memory 406.

In a preferred embodiment, touchpad 401 interrupts microcontroller 404 when the user's finger comes into contact with touchpad 401, after which

10 microcontroller 404 polls touchpad 401 to receive x-y coordinate or motion information. Microcontroller 404 collects these data over a predetermined period of time, typically ranging between 50 and 1500 milliseconds. Microcontroller 404 compares the data

15 with a plurality of preset patterns stored in memory 406, to determine if one of the preset patterns matches the data.

as described hereinabove, translation, rotation,
scaling, and timing differences between the preset
patterns and the data will be tolerated within preset
bounds. In a preferred embodiment, this is achieved by
basing the matching on a scaled difference between
adjacent data points in the coordinate data, rather
than on the coordinate data itself. This scaled
difference represents the direction and speed of motion
of the user's finger across touchpad 401, rather than
exact x-y coordinates, making it easier to match
patterns in spite of scaling, rotation, translation,
and timing differences. For touchpads that send motion
information, rather than x-y coordinates, the motion
data may be used directly in the pattern matching.

The pattern matching is performed using any one of a large number of pattern matching algorithms.

- 18 -

Depending on the algorithm used, portable electronic device 400 may not need to store the coordinate or motion information sent to microcontroller 404 by touchpad 401. Matching may be performed as the data is 5 sent, using, for example, a finite state machine-based matching algorithm. In this case, it is not necessary to store the coordinate or motion information. preferred embodiment, matching is performed using a previously known octant and quadrant-based matching 10 algorithm, such as is described in the article "Your Own Handwriting Recognition Engine," by Ron Avitzur, in Dr. Dobb's Journal, April, 1992, at pages 32-37, and 103. This type of algorithm matches patterns based on octant and quadrant information, so only octant and 15 quadrant information on the coordinate data needs to be kept.

Each of the preset patterns corresponds to a function or command of portable electronic device 400. If a sufficiently close match between a preset pattern 20 and the coordinate data collected over the predetermined time period is detected, microcontroller 404 will generate signals to cause portable electronic device 400 to carry out the function or command corresponding to the matched pattern. 25 microcontroller 404 is the main processor for portable electronic device 400, then microcontroller 404 may execute the command or function directly. Alternatively, if portable electronic device 400 includes another processor or microcontroller that 30 performs control functions, microcontroller 404 may send the other processor a signal indicating which function or command should be performed. It is also possible for microcontroller 404 to directly handle a subset of the functions or commands, while sending

- 19 -

signals for other functions or commands to another processor.

For example, if portable electronic device
400 is an MP3 player, microcontroller 404 may be
5 responsible for decoding and playing MP3 music stored
in memory 406 or other memory (not shown) connected to
microcontroller 404, as well as matching and handling
commands from touchpad 401. Advantageously, by using a
single microcontroller to decode and handle commands
10 and to play music, the component count and
manufacturing costs of portable electronic device 400
are kept relatively low. Alternatively, if portable
electronic device 400 is a cassette player, it may be
necessary for microcontroller 404 to send commands on
15 to other electronic or electromechanical components
within portable electronic device 400.

It will be understood that there are many variations on the apparatus and methods described with reference to FIG. 5. For instance, instead of being interrupt-driven, microcontroller 404 may continually poll touchpad 400 to determine when the user starts entering a command, or may wait for coordinate data to arrive across bus 402. Additionally, microcontroller 404 may wait until coordinate data have been collected for the entire predetermined time period before starting the matching process, or the matching may begin as soon as there is sufficient coordinate data to begin the matching process.

FIG. 6 shows a flowchart of the method
30 performed by microcontroller 404. At step 501, the
system waits for the user to begin entering a command
on a touchpad. Once the user's finger contacts the
touchpad, a plurality of data points corresponding to
the position of a user's finger on a touchpad are

- 20 -

collected at step 502. Alternatively, the data points may correspond to the motion of the user's finger across the touchpad. In a preferred embodiment, these data are collected over a predetermined period of time.

5 At step 503, the collected data are matched against a plurality of preset patterns, each of the patterns corresponding to a command or function of a portable electronic device. If any of the patterns are deemed to be matched (step 504), the command or function is performed by the portable electronic device (step 505). Otherwise, the system returns to step 501, and waits for the next command.

It will be understood that microcontroller 404 does not need to be idle between the steps shown in 15 FIG. 6. Microcontroller 404 could, for example, play music, decode MP3 format compressed music, or perform other normal functions of a portable electronic device between performing the steps described in FIG. 7.

Although preferred illustrative embodiments 20 of the present invention are described above, it will be evident to one skilled in the art that various changes and modifications may be made without departing from the invention. For example, although the apparatus and methods of the present invention are 25 described as using a touchpad or touchscreen, almost any touch-sensitive device capable of providing information on locations or motions of a user's finger on a surface of the touch-sensitive device may be used in accordance with the apparatus and methods of the 30 present invention. Additionally, although the described embodiments apply the apparatus and methods of the present invention to a portable MP3 player, it will be understood that the use of patterns or gestures traced on a touchpad to control the functions of a

- 21 -

portable electronic device may be used with minimal adaptation on a wide variety of portable electronic devices. Further, although the application refers to MP3 players, there are many similar music compression formats currently known or being developed that could be played on a portable audio music player in addition to, or instead of music in the MP3 format. It is intended in the appended claims to cover all such changes and modifications that fall within the true spirit and scope of the invention.

- 22 -

What Is Claimed Is:

1. A portable electronic device comprising: a housing; and

a touch-sensitive surface mounted on the housing, the portable electronic device controlled by a user tracing a command pattern on the touch-sensitive surface with a finger, the command pattern matching one of a plurality of preset patterns, each of the plurality of preset patterns corresponding to a predefined function of the portable electronic device, the command pattern being traced without requiring the user to view the portable electronic device.

- 2. The portable electronic device of claim 1, wherein the command pattern matches one of the plurality of preset patterns imprecisely, within preset bounds.
- 3. The portable electronic device of claim 2, wherein the preset bounds permit a range of variation in the translation, rotation, scale, timing, and speed of tracing the command pattern.
- 4. The portable electronic device of claim 1, wherein the touch-sensitive surface comprises a touchpad.
- 5. The portable electronic device of claim 1, wherein the portable electronic device further comprises a display.

- 23 -

- 6. The portable electronic device of claim 1, wherein the portable electronic device is a portable entertainment device.
- 7. The portable electronic device of claim 6, wherein the portable electronic device is a music player.
- 8. The portable electronic device of claim 7, wherein the portable electronic device plays music stored in MP3 format.
- 9. The portable electronic device of claim 1, wherein the portable electronic device includes predefined functions of one or more devices selected from a group consisting of an MP3 player, a cassette player, a cassette recorder, a CD player, a radio, a voice recorder, a DVD player, a pager, a remote control, and a digital music player.
- 10. The portable electronic device of claim
 1, wherein the command pattern is composed of one or
 more motions of the finger on the touch-sensitive
 surface, the one or more motions selected from a group
 of motions consisting of a left-to-right motion, a
 right-to-left motion, an upward motion, a downward
 motion, a clockwise circular motion, a counterclockwise
 circular motion, a diagonal motion, a tapping motion,
 and holding the pointing device against the touchsensitive surface.

- 24 -

11. The portable electronic device of claim 10, wherein the command pattern depends on a position at which the command pattern is traced or tapped on the touch-sensitive surface.

12. A portable electronic device comprising: a microcontroller;

a memory coupled to the microcontroller, the memory storing a plurality of preset patterns, each one of the plurality of preset patterns corresponding to a predefined function of the portable electronic device; and

a touch-sensitive device coupled to the microcontroller, the touch-sensitive device provides data indicative of the position or motion of a user's finger on a surface of the touch-sensitive device to the microcontroller,

wherein the microcontroller is programmed to: collect the data without requiring a user to view the portable electronic device;

match the data against the plurality of preset patterns to determine a matched pattern if any one of the plurality of preset patterns sufficiently matches the data; and

cause the portable electronic device to $\label{eq:cause} \mbox{perform the predefined function that corresponds to the } \\ \mbox{matched pattern.}$

13. The portable electronic device of claim 12, wherein the microcontroller is programmed to collect the data over a predetermined period of time.

- 25 **-**

- 14. The portable electronic device of claim 12, wherein the microcontroller is programmed to perform one or more of the predefined functions of the portable electronic device.
- 15. The portable electronic device of claim 12, wherein the microcontroller is programmed to permit variation, within preset bounds, in translation, rotation, scale, timing, and speed of tracing of a pattern when the data is matched against the plurality of preset patterns.
- 16. The portable electronic device of claim 12, wherein the touch-sensitive device comprises a touchpad.
- 17. The portable electronic device of claim 12, wherein the portable electronic device comprises a display.
- 18. The portable electronic device of claim 12, wherein the portable electronic device is a portable entertainment device.
- 19. The portable electronic device of claim 18, wherein the portable electronic device is a music player.
- 20. The portable electronic device of claim 19, wherein the portable electronic device plays music stored in MP3 format.

- 26 -

WO 01/02949 PCT/US00/40318

21. The portable electronic device of claim 12, wherein the portable electronic device includes predefined functions of one or more devices selected from a group consisting of an MP3 player, a cassette player, a cassette recorder, a CD player, a radio, a voice recorder, a DVD player, a pager, a remote control, and a digital music player.

22. A method of controlling a portable electronic device using a touch-sensitive device, the method comprising:

contacting a surface of the touch-sensitive device;

collecting data corresponding to a pattern traced across the surface of the touch-sensitive device without requiring a user to view the portable electronic device;

matching the data against a plurality of preset patterns, each one of the preset patterns corresponding to a predefined function of the portable electronic device;

determining whether a sufficiently close match was found; and

performing the predefined function corresponding to a matched one of the plurality of preset patterns if a sufficiently close match was found.

23. The method of claim 22, wherein collecting the data is performed over a predetermined period of time.

- 27 -

24. The method of claim 22, wherein matching the data against the plurality of preset patterns permits variation, within preset bounds, in translation, rotation, scale, timing, and speed of tracing of a pattern.

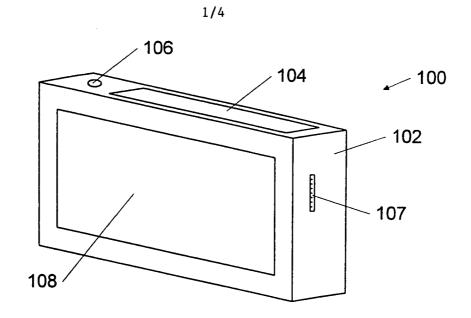
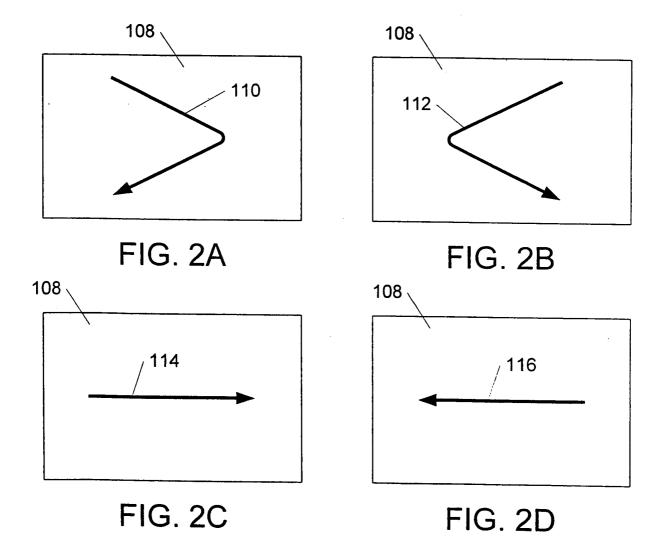
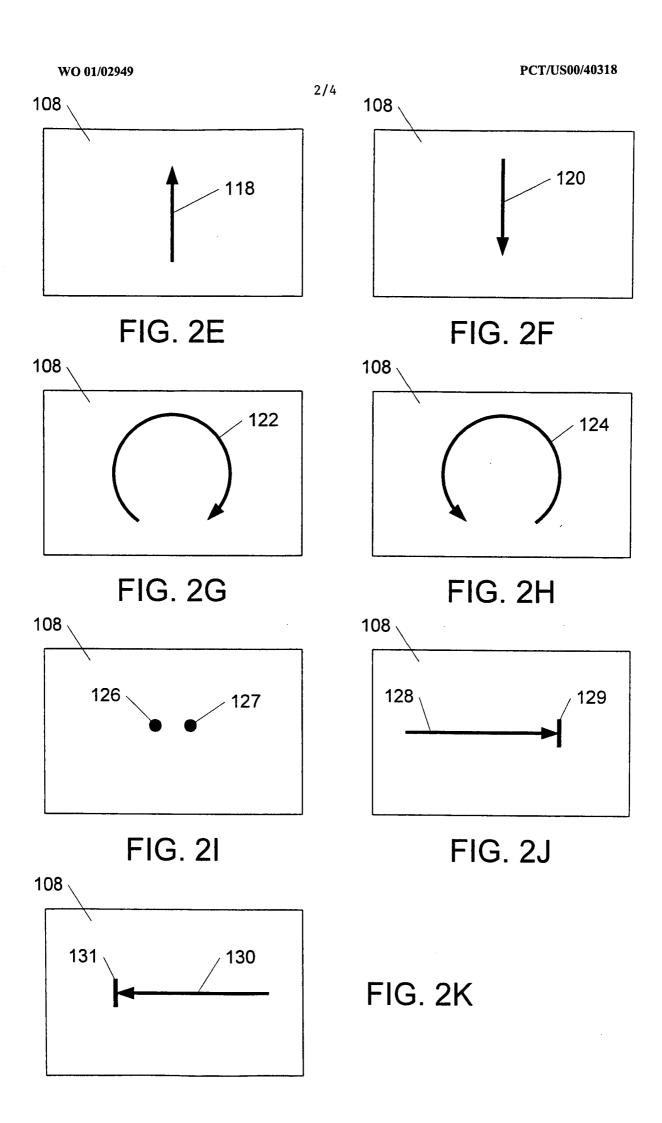


FIG. 1





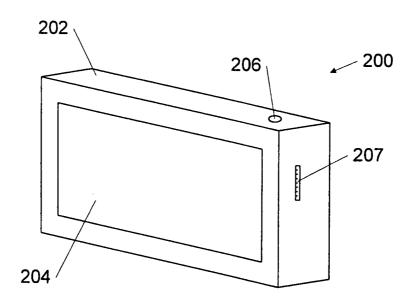


FIG. 4

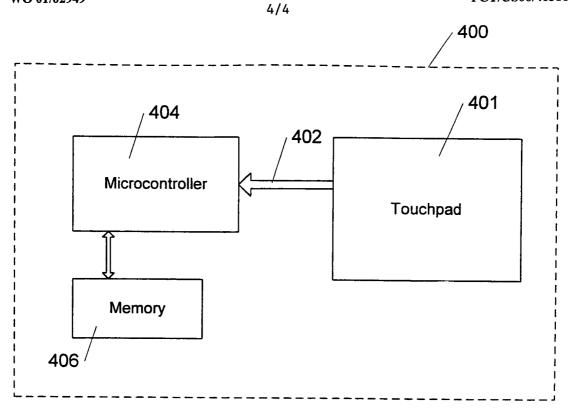


FIG. 5

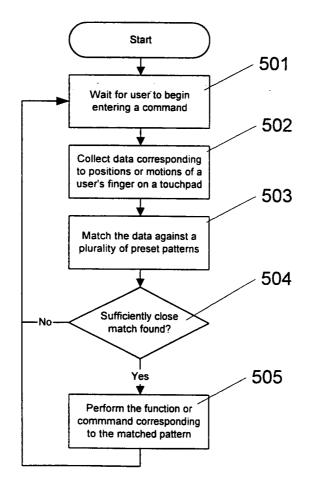


FIG. 6

INTERNATIONAL SEARCH REPORT

nal Application No PCT/US 00/40318

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G06F3/033

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) $IPC \ 7 \ G06F$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, IBM-TDB, INSPEC

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
X	GB 2 327 558 A (MOTOROLA INC) 27 January 1999 (1999-01-27) page 15, line 4 -page 18, line 13 page 19, line 11 - line 16; figure 7	1-7, 9-19, 21-24	
X	US 5 564 005 A (WEBER KARON A ET AL) 8 October 1996 (1996-10-08) column 28, line 12 -column 29, line 11	1-7, 9-19, 21-24	
X	DE 195 10 657 A (SAMSUNG ELECTRONICS CO LTD) 1 February 1996 (1996-02-01)	1-4,9, 10, 12-16, 21-24	
	column 5, line 14 - line 50; figures/		

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance E* earlier document but published on or after the international filing date L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) O* document referring to an oral disclosure, use, exhibition or other means P* document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
9 October 2000	18/10/2000
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer
NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	Durand, J

1

INTERNATIONAL SEARCH REPORT

Inter nal Application No PCT/US 00/40318

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.		
X	DE 197 29 086 A (SCHOEPF STEFAN ;WEIHRETER SVEN (DE)) 14 January 1999 (1999-01-14) the whole document	1,4,5, 9-14,16, 17,21-23		
A	EP 0 330 767 A (PIONEER ELECTRONIC CORP) 6 September 1989 (1989-09-06)	1-7, 9-19, 21-24		
	column 3, line 39 - line 54 column 9, line 40 -column 10, line 31; figure 9			
Α	"CELLULAR PHONE WITH INTEGRATED MP3 PLAYER" RESEARCH DISCLOSURE, GB, INDUSTRIAL OPPORTUNITIES LTD. HAVANT, no. 418, February 1999 (1999-02), page 184 XP000893213 ISSN: 0374-4353 the whole document	8,9,20, 21		
A	"ORGANIZER SPIELT MP3-MUSIK" CT MAGAZIN FUER COMPUTER TECHNIK,DE,VERLAG HEINZ HEISE GMBH., HANNOVER, no. 12, 7 June 1999 (1999-06-07), page 56 XP000824456 ISSN: 0724-8679 the whole document	8,9,20,		

1

INTERNATIONAL SEARCH REPORT

.nformation on patent family members

Inter nal Application No PCT/US 00/40318

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
GB 2327558	Α	27-01-1999	CN GB JP	1197360 A 2338148 A,B 10289045 A	28-10-1998 08-12-1999 27-10-1998
US 5564005	A	08-10-1996	CA JP	2129078 A,C 7192118 A	16-04-1995 28-07-1995
DE 19510657	A	01-02-1996	KR JP US	170326 B 8065539 A 5545857 A	30-03-1999 08-03-1996 13-08-1996
DE 19729086	Α	14-01-1999	NONE		
EP 0330767	A	06-09-1989	JP US	63172325 A 4899138 A	16-07-1988 06-02-1990