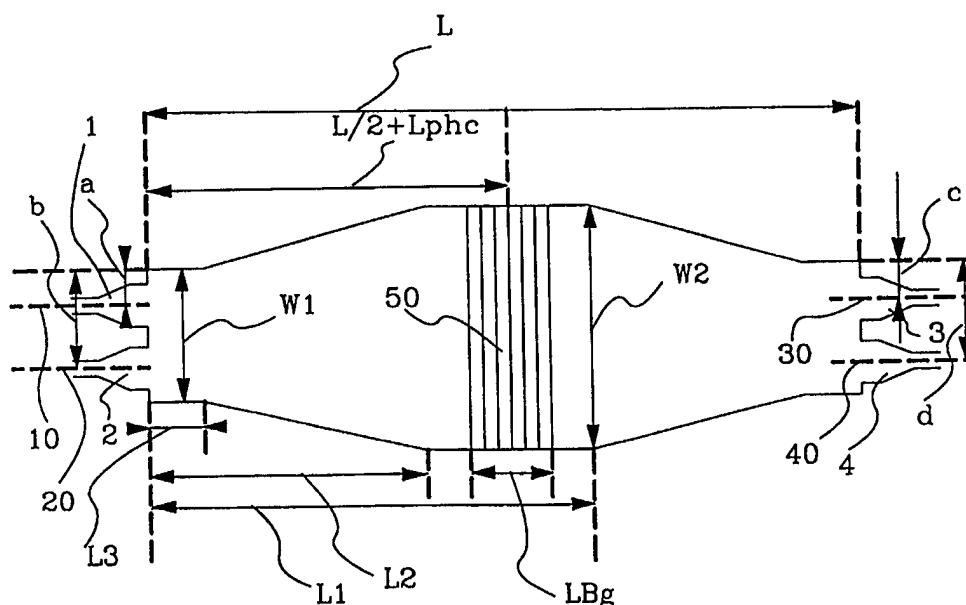




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/SE98/00393 <b>(22) International Filing Date:</b> 5 March 1998 (05.03.98) <b>(30) Priority Data:</b> 9700829-6 7 March 1997 (07.03.97) SE <b>(71) Applicant:</b> TELEFONAKTIEBOLAGET LM ERICSSON (publ) [SE/SE]; S-126 25 Stockholm (SE). <b>(72) Inventor:</b> AUGUSTSSON, Torsten; Jaktvägen 48, S-194 61 Upplands Väsby (SE). <b>(74) Agent:</b> ERICSSON COMPONENTS AB; Dept. for Intellectual Property Rights, S-164 81 Stockholm (SE).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** OPTICAL WAVELENGTH SELECTIVE DEVICE INCLUDING AT LEAST ONE BRAGG-GRATING STRUCTURE\_\_\_\_\_

**(57) Abstract**

The present invention relates to an optical device that includes at least one MMI-waveguide and at least one Bragg-grating structure. At least one so-called access waveguide is disposed on a first side of the MMI-waveguide and at least one access waveguide is disposed on a second side of the MMI-waveguide, wherein the first and second sides are the short sides of the MMI-waveguide. The access waveguide has a so-called taper structure and the Bragg-grating structure is arranged in the MMI-waveguide.

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## OPTICAL WAVELENGTH SELECTIVE DEVICE INCLUDING AT LEAST ONE BRAGG-GRATING STRUCTURE

## FIELD OF INVENTION

5 The present invention relates to an optical wavelength selective device, and then particularly to a device for multiplexing/demultiplexing optical transmission channels in an optical network, such as an add/drop multiplexor.

## 10 BACKGROUND OF THE INVENTION

A number of different methods of increasing the capacity of existing optical fibres in a network are known to the art. One method is to use wavelength division multiplexing (WDM)  
15 to improve the extent to which available bandwidths can be utilized on the optical fibre in the optical network. However, this technique requires the provision of means that can multiplex and demultiplex transmission channels that lay on different so-called optical carrier wavelengths in the  
20 optical network.

One type of multiplexing of particular interest with regard to so-called bus networks or ring networks is add/drop multiplexing, i.e. a process in which one or more so-called  
25 information channels disposed on the aforesaid carrier wavelengths are dropped from or added to an information flow.

## SUMMARY OF THE INVENTION

30 It is known that the capacity of an optical transmission system can be increased in many different ways. For instance, in wavelength multiplexing, transmission channels are

multiplexed and demultiplexed on different carrier wavelengths to obtain an information flow.

5 High power losses in respect of both add/drop channels and of transmission channels is an example of one problem encountered with known techniques.

Another problem is one of maintaining an acceptable channel cross-talk level.

10

The present invention attacks these problems with the aid of an optical device that includes at least one MMI-structure, at least one Bragg-grating, and at least two so-called access waveguides for connection to external optical devices or  
15 optical fibres.

20

The aforesaid MMI-structure (Multi Mode Interference) has the ability of enabling light intensity distribution at one of the inputs of the MMI-structure to be imaged on all outputs  
25 of said MMI-structure. MMI-structures can therefore be used to split light. In the case of the present invention, the length of the MMI waveguide is chosen to obtain 1:1 imaging, in other words in the optimal case all light incoming from a first access waveguide provided on the MMI waveguide is  
30 focused out on a second access waveguide disposed on the opposite side relative to the first access waveguide. A more fundamental theory behind MMI-structures is treated in Patent Specification DE 2506272 and in L.B. Soldano and E.C.M. Pennings, "Optical Multi-Mode Interference Devices Based on Self-Imaging: Principles and Application", J. Lightwave Technol., Vol. 13(4), pp. 615-627, 1995.

Bragg-grating is used to filter light. This filtering process involves permitting light of certain wavelengths to pass through the grating while reflecting light of other wavelengths. A Bragg-grating can be said to constitute some form of wavelength selective mirror. Reflection of certain wavelengths can be achieved in several different ways. However, it is typical of such methods that reflection takes place by changing a so-called material index periodically in the waveguide.

The inventive device may also include a so-called phase control element. The phase control element influences a so-called optical wavelength in a waveguide. This is effected by applying an external signal to the waveguide.

One method of achieving said phase control is to subject the waveguide to an electric field that changes the effective refraction index in the waveguide.

The phase control can also be achieved by subjecting the waveguide to thermal changes.

One method of permanently changing the index in a waveguide is to expose the waveguide to ultraviolet light. This is normally referred to as the waveguide being UV-written. The technique is most often used to achieve periodic refractive index variation, so-called UV-writing. The technique can also be used for adjusting or trimming purposes.

The aforesaid filtration methods and methods of effecting phase control in a waveguide are only given by way of example

and do not therefore exclude the application of unmentioned methods in respect to the invention.

5 The invention includes an MMI-structure in which a Bragg-grating is arranged. The Bragg-grating is preferably arranged in the centre of the MMI-structure. Access waveguides are provided on the MMI-structure. The placement of these access waveguides on the MMI-structure is decisive to the function of the optical device. The invention solves the aforesaid  
10 problem, by virtue of a number of different embodiments of the MMI-structure on the one hand and of the access waveguides together with the Bragg-grating on the other.

15 The object of the present invention is thus to provide an optical device that has smaller power losses, less channel cross-talk and smaller power variations between different transmission channels in comparison with known technology.

20 One advantage afforded by the present invention is that the device is more compact than known devices.

Another advantage is that the inventive optical device can be produced relatively cheaply.

25 The invention will now be described in more detail with reference to preferred embodiments thereof and also with reference to the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

30 Figure 1 illustrates an embodiment of an optical wavelength selective device according to the invention.

Figure 2 illustrates another embodiment of an inventive optical wavelength selective device.

5 Figure 3 illustrates a further embodiment of an inventive optical wavelength selective device.

Figure 4 illustrates still another embodiment of an inventive optical wavelength selective device.

10

Figure 5 illustrates yet another embodiment of an inventive optical wavelength selective device.

15 Figure 6 illustrates still another embodiment of an inventive optical wavelength selective device.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

20 Figure 1 illustrates an embodiment of an inventive optical wavelength selective device. The optical wavelength selective device includes a Bragg-grating 50 and an MMI-waveguide. The Bragg-grating 50 may be arranged in the MMI-waveguide so that its centre line coincides with the centre line of the MMI-waveguide. As evident from Figure 1, the Bragg-grating may  
25 also be arranged at a distance of  $L/2 + L_{phc}$  from one short side of the MMI-waveguide, where  $L_{phc}$  indicates said displacement from the centre of the MMI-waveguide.  $L_{phc}$  may either be positive or negative. The Bragg-grating is offset from the centre of the MMI-waveguide so as to compensate out  
30 the mode-dependent phase shift that would otherwise threaten the function of the device. The Bragg-grating has a given

width, referenced LBg. The MMI-waveguide has a given length, which is referenced L in Figure 1.

5 So-called access waveguides 1, 2, 3, 4 may be provided on the short sides of the MMI-waveguide. The Figure 1 embodiment includes four access waveguides, i.e. two access waveguides on each short side. The number of access waveguides may vary from one embodiment to another, depending on the intended use of the optical wavelength selective device. The centre lines  
10 10, 20, 30 and 40 of the access waveguides have been shown in the Figure. The distance from one long side of the MMI-waveguide to the centre line 10 of the access waveguide 1 is referenced a in Figure 1. The distance from the same said long side of the MMI-waveguide to the centre line 20 of the  
15 access waveguide 2 is referenced b in Figure 1. Similarly, the distance from said long side of the MMI-waveguide to remaining access waveguides 3 and 4 is referenced c and d respectively. The distances a and c may be equal and the distances b and d may also be equal. The distances a, b, c  
20 and d will depend on the effective width  $W_e$  of the MMI-waveguide, the number of images and the type of MMI-waveguide concerned. A profound theory behind different MMI-waveguides is treated in an article by Pierre A. Besse et al, entitled  
25 Optical Bandwidth and Fabrication Tolerances of Multimode Interference Couplers, J. Lightwave Technology, Vol. 12(4), pp. 1004-1009, 1994.

The effective width  $W_e$  of the MMI-waveguide depends on the wavelength  $\lambda$ , the refractive index step in the MMI-waveguide,  
30 the physical width of the MMI-waveguide and the polarization of the light.



The length of the MMI-waveguide will depend on the effective width  $W_e$  of said waveguide and the desired power.

In the Figure 1 embodiment, the access waveguides are broader at their connections to the MMI-waveguide than at their free ends. This structure is normally referred to as a tapered structure. The effect of this structure is to change the optical field in comparison with a straight access waveguide. This results in a larger error tolerance with respect to error correction of the access waveguides. In addition, the effect will lie to a great extent in the lower order modes, which is beneficial because the Bragg-grating will give a mode-dependent phase shift for reflected channels.

The illustrated optical wavelength selective device may also include a phase control element. This phase control element may be arranged in any one of a number of different ways. A number of conceivable ways of arranging the phase control element have been dealt with under the heading Summary of the Invention and are known to one of normal skill in this field and will not therefore be described in more detail in this document.

Figure 2 illustrates another embodiment of the inventive optical wavelength selective device. As with the earlier described embodiment, the Figure 2 embodiment includes a Bragg-grating 50 and an MMI-waveguide. The width of the Bragg-grating is referenced  $L_{Bg}$ . The length of the MMI-waveguide is referenced  $L$ , as in the case of the aforescribed embodiment. The difference between this embodiment and the first embodiment resides in the form of the MMI-waveguide. The waveguide is tapered, similar to the

access waveguides 1, 2, 3 and 4. The long sides of the MMI-waveguide are mutually parallel for a short distance on both sides around the Bragg-grating in the longitudinal direction of the MMI-waveguide and orthogonal to an imaginary centre line in the longitudinal direction of the MMI-waveguide. The width of the MMI-waveguide immediately adjacent the Bragg-grating is referenced W2. The width of the short sides of the MMI-waveguide is referenced W1, where  $W1 < W2$ .

As will be evident from Figure 2, the MMI-waveguide may include a final part having a length L3. In another embodiment, the length L3 may be equal to zero. The structure is tapered between the width W1 and W2 of the MMI-waveguide. The taper structure may be linear, parabolic or some other shape. In the illustrated case, the object of the taper structure is to reduce the difference between the propagation modes and therewith reduce the difference in the so-called effective penetration depth of the reflected modes in the grating.

Access waveguides 1, 2, 3 and 4 are arranged on the short sides of the MMI-waveguide. In the Figure 2 embodiment, two such access waveguides are arranged on each short side. The centre lines 10, 20, 30 and 40 of respective access waveguides 1, 2, 3 and 4 have been shown in the Figure, as in the illustration of the former embodiment. The distance from one end of the short side to the centre line 10 of the access waveguide 1 is referenced a. The distance from the same one end of the short side to the centre line 20 of the access waveguide 2 is referenced b. Similarly, the distances of the remaining access waveguides from the other short side are referenced c and d. The distances a and c may be equal, and

the distances  $b$  and  $d$  may also be equal. As mentioned with reference to the former embodiment, the Bragg-grating may either be arranged in the centre of the MMI-waveguide or may be offset slightly from said centre. The Bragg-grating is offset from the centre of the waveguide for precisely the same reason as that mentioned with reference to the former embodiment, in other words in order to compensate out any mode-dependent phase shift.

Figure 3 illustrates another embodiment of an inventive optical wavelength selective device. The only difference between this embodiment and the Figure 2 embodiment is that the optical so-called pathlength has been in respect of a number of access waveguides. In the Figure 3 embodiment, the optical pathlength has been extended for access waveguides 2 and 3, by arranging the waveguides on an outwardly projecting part of the MMI-waveguide. The width of these outwardly projecting parts has been referenced  $e$  and  $f$  respectively in Figure 3. The distances  $e$  and  $f$  may be equal or different, depending on the desired result. It is, of course, possible to arrange any of the access waveguides, one or more of said waveguides, on some form of means on the MMI-waveguide that will change the optical pathlength. The purpose of changing the pathlength of given access waveguides is to compensate for mode-dependent phase shifts. If we assume that the length  $L$  of the MMI-waveguide corresponds to a so-called cross-mode, it is possible to obtain a so-called bar-mode by increasing the length of the MMI-waveguide to  $2L$ . As the term infers, by cross-mode is meant a mode in which at least one wavelength channel incoming from one side of the MMI-waveguide is transmitted through the MMI-waveguide so as to be focused on an access waveguide on the other side of the MMI-waveguide

that is offset laterally in relation to the access waveguide from which the signal exited. An example of a cross-mode is when a wavelength channel is transmitted from access waveguide 10 and focused on access waveguide 40. By bar-mode is meant that a wavelength channel is transmitted from one access waveguide on one side of the MMI-waveguide and focused on a corresponding access waveguide disposed on the other side of said MMI-waveguide. An example of a bar-mode is when a wavelength channel is transmitted from access waveguide 10 and focused on access waveguide 30.

Figure 4 illustrates a further embodiment of an inventive optical wavelength selective device. In this embodiment, two MMI-waveguides are arranged one after the other. The MMI-waveguides have been joined together either by a waveguide or by an optical fibre. The structure of respective MMI-waveguides is essentially similar to the structure shown in Figure 2, except at the ends at which they are joined together. It will be seen from Figure 4 that these ends include only one access waveguide. Furthermore, a part  $p$ ,  $q$  of respective short sides does not lie orthogonal to the centre line of the access waveguide. The reason for this is to enable undesirable light in the MMI-waveguide to be refracted at this part of the structure and disappear therefrom. A cascade of two sequentially arranged MMI-waveguides has the effect of reducing cross-talk. It is also feasible to include in this embodiment a phase control element of the kind mentioned in the Summary of the Invention. Any required number of access waveguides may be arranged on the two MMI-waveguides, although the access waveguides will preferably be two in number on one side and two in number on the other, opposite side. As evident from

the Figure, the Bragg-grating may be offset from the centre of the MMI-waveguide, or may be arranged in the centre of said waveguide.

5 Figure 5 illustrates another embodiment of an inventive optical wavelength selective device in which two MMI-waveguides have been directly combined.

10 As will be seen from Figure 5, the MMI-waveguide of this embodiment is tapered solely on the side on which the access waveguides are arranged. The respective long sides of the MMI-waveguides are mutually parallel between the two Bragg-gratings. The centre line of one MMI-waveguide is laterally offset in parallel in relation to the centre line of the  
15 other MMI-waveguide. In order to eliminate undesirable light reflections in the MMI-waveguides, the parts *p* and *q* have been angled on respective MMI-waveguides, said parts being left over in the aforesaid lateral parallel displacement of said centre line so to speak. This embodiment may also  
20 include a phase control element of the kind mentioned under the heading Summary of the Invention. Any desired number of access waveguides may be arranged in the free ends of respective MMI-waveguides, a practical limit with respect to this number being determined by the dimensions of the MMI-  
25 waveguides.

As an alternative to arranging the access waveguides on the aforesaid outwardly projecting parts, the refractive index of the MMI-waveguide can be changed in connection with? suitable  
30 access waveguides while achieving the same effect, that is to say change the optical pathlength within the MMI-waveguide with the purpose of compensating for mode-dependent phase

shifts. This alternative is illustrated in Figure 6. In this embodiment, the refractive index of the MMI-waveguide has been increased in a rectangular area 60, immediately adjacent a pair of access waveguides with the longitudinal centre line of the rectangle coinciding with the centre lines of  
5      of the rectangle coinciding with the centre lines of respective access waveguides. This change in refractive index can be achieved by transforming existing material in the MMI-waveguide by UV writing, for instance. The form and dimensions of said refractive index change are decisive in  
10     achieving this effect.

The inventive device may suitably be produced from such materials as quartz ( $\text{SiO}_2$ ), polymeric materials, some semiconductor system, or lithiumniobate ( $\text{LiNbO}_3$ ), although  
15     preferably quartz is used.

It will be understood that the invention is not restricted to the aforescribed and illustrated exemplifying embodiments thereof and that modifications can be made within the scope  
20     of the following Claims.

## CLAIMS

1. An optical device that includes at least one MMI-waveguide and at least one Bragg-grating structure,  
5 characterized in that at least one so-called access waveguide is arranged on a first side of the MMI-waveguide and that at least one access waveguide is arranged on a second side of the MMI-waveguide, said first and said second sides being the short sides of said MMI-waveguide; in that said access  
10 waveguides are arranged with a so-called taper structure; and in that the Bragg-grating structure is arranged in the MMI-waveguide.
2. An optical device according to Claim 1, characterized in  
15 that the Bragg-grating is arranged in the centre of the MMI-waveguide.
3. An optical device according to Claim 1, characterized in  
20 that the Bragg-grating is offset in relation to the centre of the MMI-waveguide.
4. An optical device according to Claim 2 or 3,  
characterized in that the device includes a thermally,  
optically or electrically active phase control element.  
25
5. An optical device according to Claim 4, characterized in  
that the MMI-waveguide has a taper structure on each side of the Bragg-grating structure.
- 30 6. An optical device according to Claim 5, characterized in  
that the taper structure on the MMI-waveguide is linear.

7. An optical device according to Claim 5, **characterized** in that the taper structure on the MMI-waveguide is parabolic.

8. An optical device according to Claim 6 or 7, **characterized** in that at least one access waveguide is so arranged on said one side of the MMI-waveguide that the pathlength of this access waveguide will differ from the pathlengths of remaining access waveguides.

9. An optical device according to Claim 6 or 7, **characterized** in that at least one access waveguide is arranged on the first and the second side of the MMI-waveguide such that the pathlength differs from the remaining access waveguides.

10. An optical device according to Claim 8 or 9, **characterized** in that an access waveguide on a first MMI-waveguide is coupled to an access waveguide on a second MMI-waveguide.

11. An optical device according to Claim 10, **characterized** in that a part adjacent at least one access waveguide in the MMI-waveguide has a refractive index that differs from the refractive index of remaining parts of the MMI-waveguide.

12. An optical device according to Claim 11, **characterized** in that the device includes at least two MMI-waveguides and at least two Bragg-gratings, wherein at least one so-called access waveguide is arranged on a first side of a first MMI-waveguide and at least one access waveguide is arranged on a second side of a second MMI-waveguide, and wherein said first and said second sides are the short sides of the MMI-



waveguide; in that a second short side of the first MMI-waveguide and a first side of the second MMI-waveguide are mutually coupled; in that said access waveguide has a so-called taper structure; and in that the Bragg-grating structures are arranged in the MMI-waveguide.

13. An optical device according to Claim 12, characterized in that the second side of the first MMI-waveguide and the first side of the second MMI-waveguide are laterally offset in relation to one another.

14. An optical device according to Claim 13, characterized in that the MMI-waveguides have a taper structure on each side of the Bragg-grating structures.

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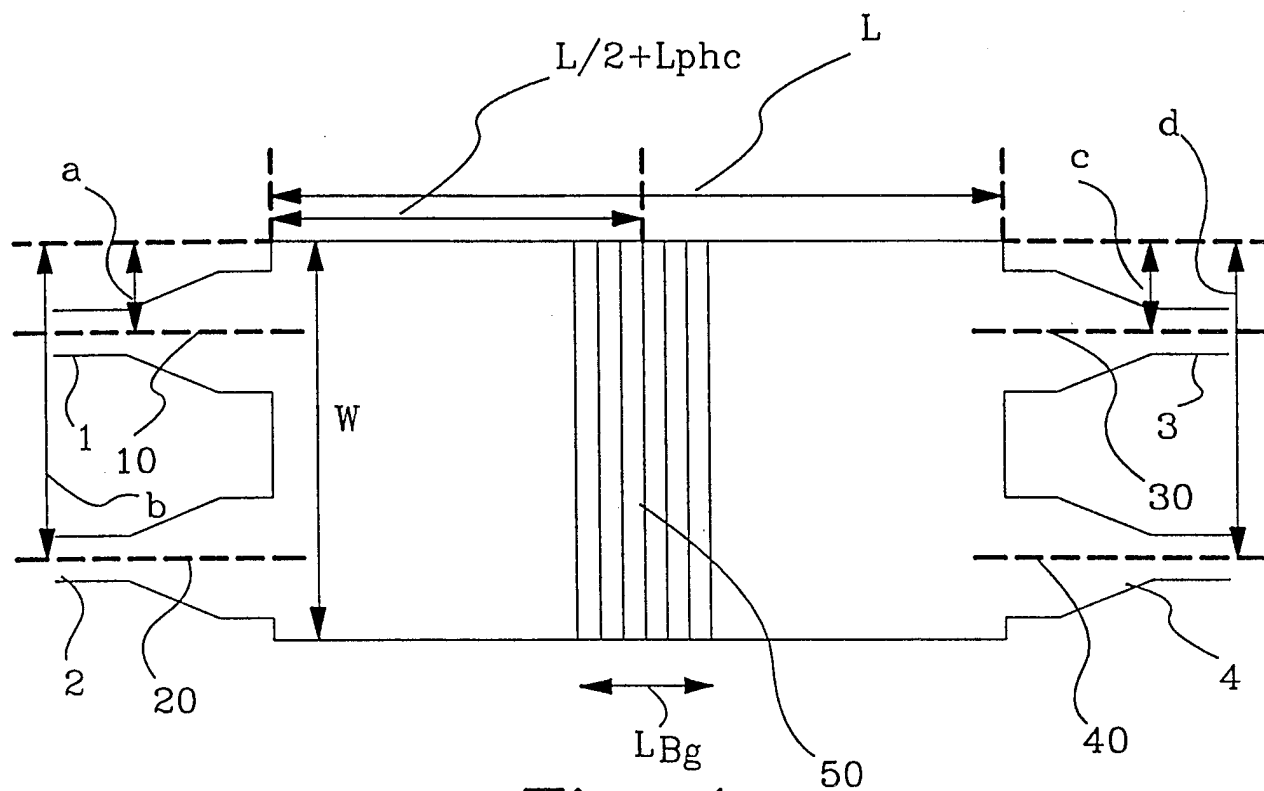


Fig. 1

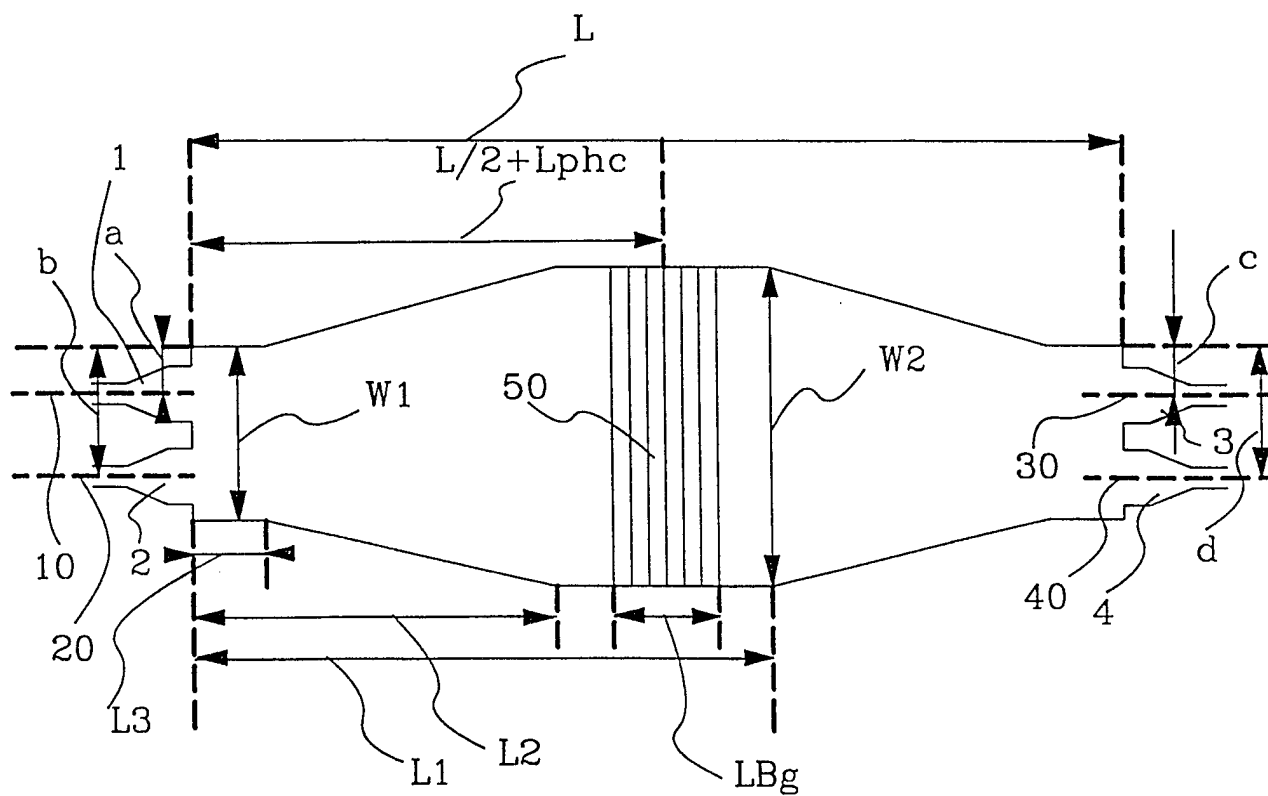


Fig. 2

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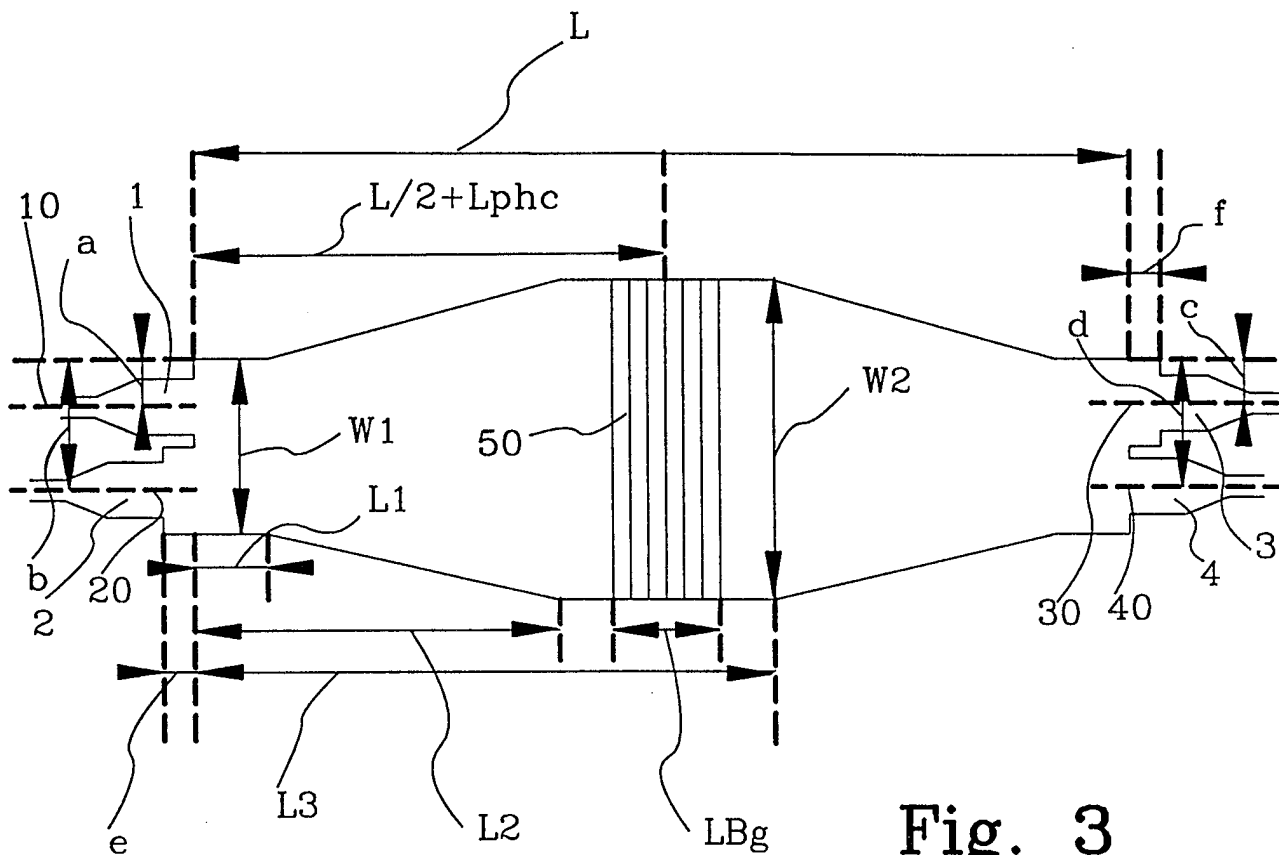


Fig. 3

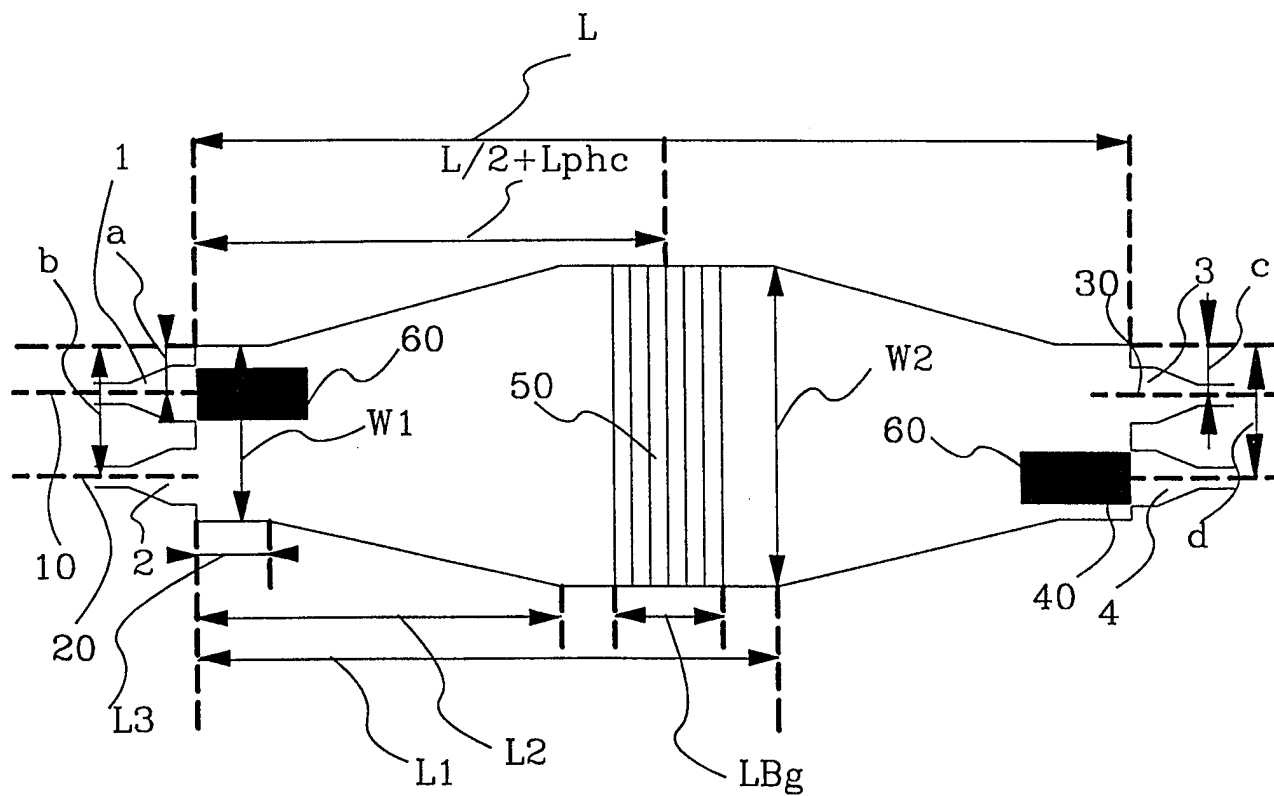


Fig. 6

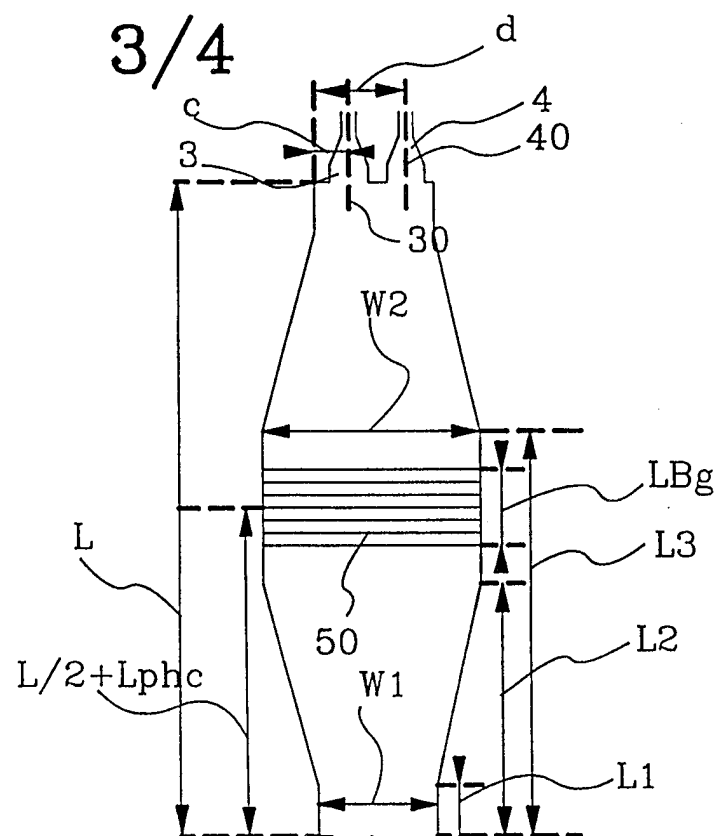
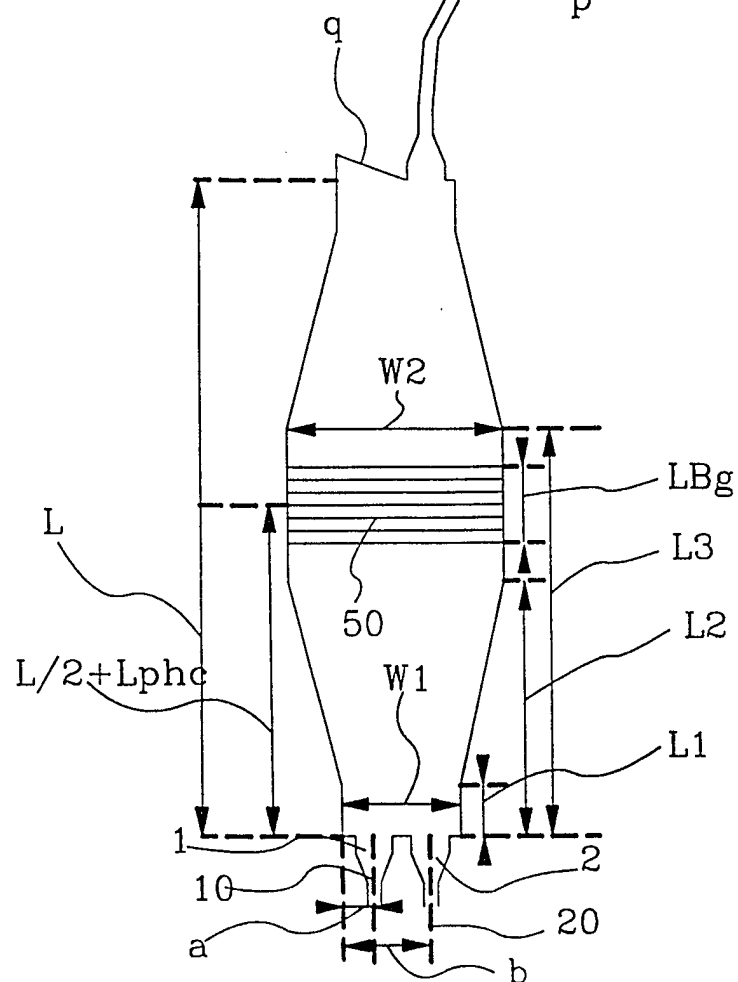


Fig. 4



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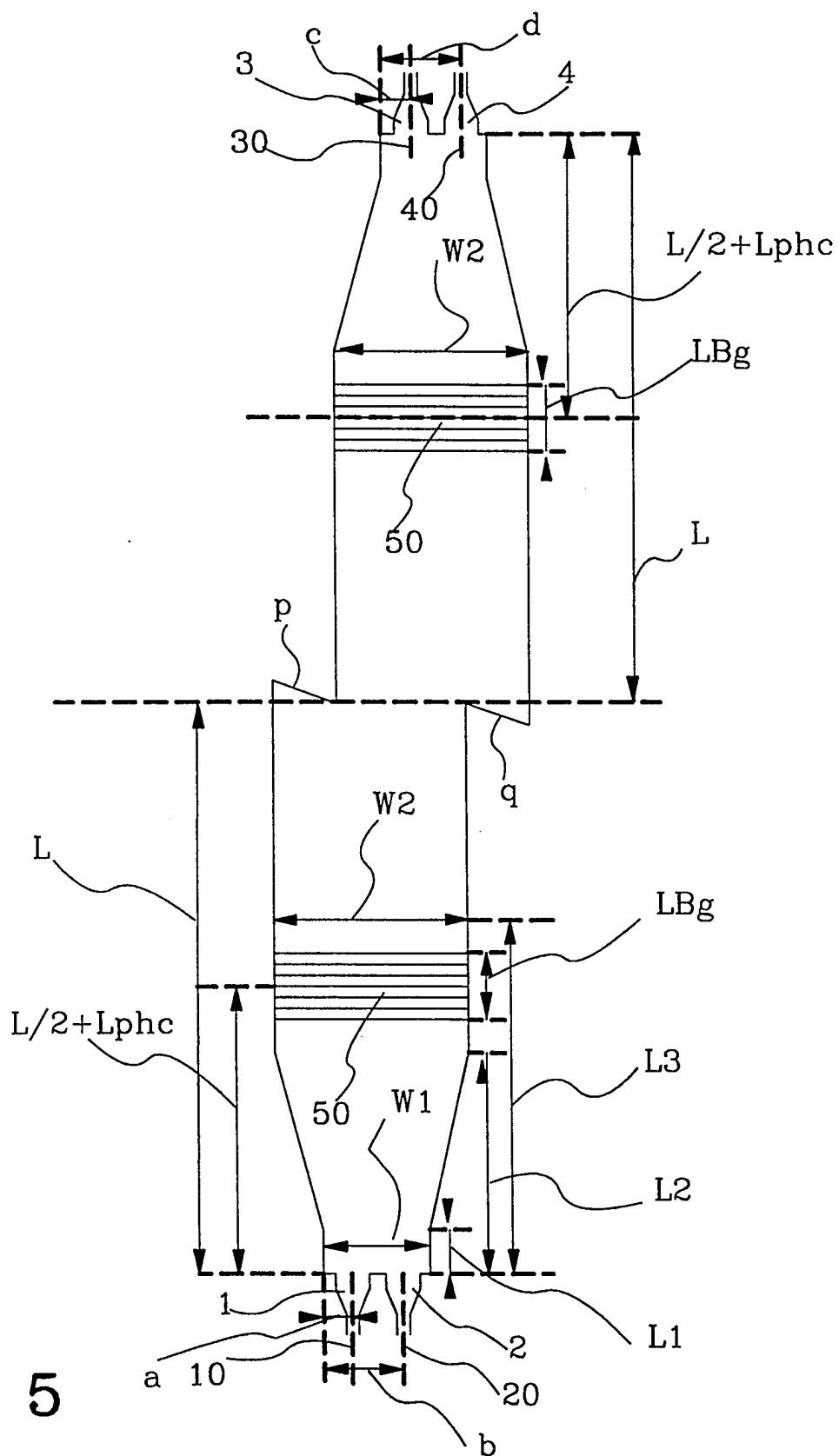


Fig. 5

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 98/00393

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: G02B 6/34, H04B 10/20 // H04J 14/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: G02B, H04B, H04J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPAT, WPI, JAPIO

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0713110 A1 (NORTHERN TELECOM LIMITED), 22 May 1996 (22.05.96) --	1-14
A	EP 0706270 A1 (NORTHERN TELECOM LIMITED), 10 April 1996 (10.04.96) --	1-14
A	US 5093876 A (CHARLES H. HENRY ET AL), 3 March 1992 (03.03.92) --	1-14
A	EP 0652452 A1 (NORTHERN TELECOM LIMITED), 10 May 1995 (10.05.95) -- -----	1-14

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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**INTERNATIONAL SEARCH REPORT**

Information on patent family members

30/06/98

International application No.

PCT/SE 98/00393

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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