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(54) Title: STABLE PHARMACEUTICAL COMPOSITION

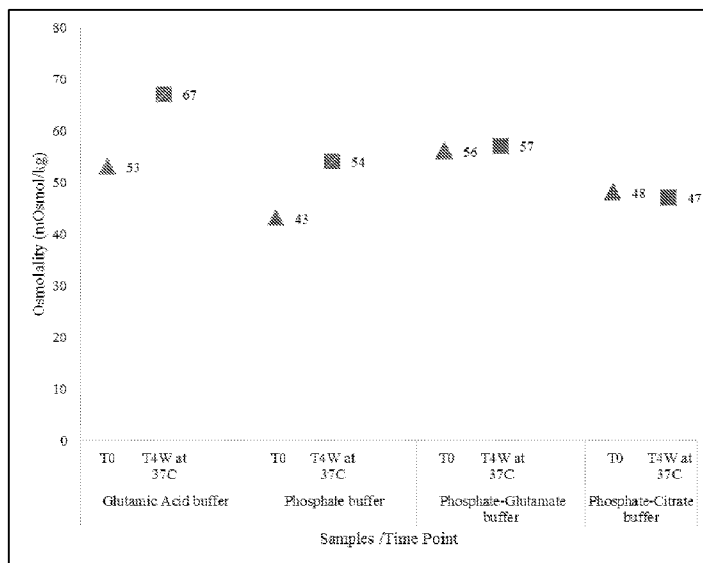


Figure 1

(57) Abstract: This present invention relates to a method of stabilizing an aqueous pharmaceutical preparation susceptible to degradation by formulating in a dual buffer system wherein the individual buffers are selected from phosphate, aspartate, glutamate, and succinate buffer.

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The state of the art lists the use of excipients in formulations to prevent aggregation, denaturation or similar other degradations. Sugars such as sucrose, glucose, raffinose and trehalose, and polyols such as glycerol, sorbitol and mannitol have been used as protein stabilizers. The concentration of sugars and polyols in any protein composition is directly proportional to the stability of the protein. (Foster et al, 5 Int. J. Pharm.(1996) 134(1,2): 193-201). Other excipients used in protein formulation include use of amino acids, amino sugars, salts and polaxamers etc.

The choice of excipients while formulating a protein is governed by various factors including, their compatibility with the protein, as well as other components in the 10 formulation., mode of administration, dosage, therapeutic indication etc. Therefore rational behind a formulation development involves screening and selection of suitable buffer conditions and excipients, as well as their concentrations.

The present invention addresses the challenges in the art by developing a stable pharmaceutical formulation that maintain protein solubility, stability and bioactivity of the 15 active ingredient.

SUMMARY OF THE INVENTION

The present invention discloses a stable aqueous formulation for an antibody comprising a dual buffer system wherein the individual buffers are selected from phosphate, aspartate, glutamate, and succinate. The disclosed antibody formulation 20 provides improved stability for extended period of time even under accelerated stability conditions. The stable formulation inhibits the formation of undesirable antibody variants and thus maintains the physical, chemical or biological properties of the antibody composition. Furthermore, the formulation so disclosed can be used for a number of different therapeutic antibodies.

25 BRIEF DESCRIPTION OF DRAWINGS

Figure 1: Illustrates osmolality shift for A-mab formulation at T0 and T4W at 37°C as disclosed in example-1.

Figure 2: Illustrates trends in % high molecular weight species (HMWS) in SEC over time under stressed conditions (50° C for 2 weeks) for A-mab composition.

Figure 3: Illustrates trends in % of monomer loss in SEC over time under stressed conditions (50° C for 2 weeks) for A-mab composition.

5 **Figure 4:** Illustrates trends in IEX % of basic variants over time under stressed conditions (50° C for 2 weeks) for A-mab composition.

Figure 5: Illustrates trends in Light scattering using nanodrop over time under stressed conditions (50° C for 2 weeks). Here, T0 represents data at day '0' and T1W represents one week data at 50°C for A-mab composition.

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DETAILED DESCRIPTION OF THE INVENTION

The present invention discloses a stable aqueous pharmaceutical formulation for an antibody wherein the antibody is formulated in a dual buffer system.

In one embodiment of the invention, the dual buffer system comprises the combination of any of the two buffers selected from a group consisting of phosphate,
15 aspartate, succinate and glutamate.

In another embodiment of the invention, the dual buffer system consists of phosphate buffer and glutamate buffer.

An embodiment of the invention discloses a stable aqueous pharmaceutical formulation for an antibody wherein the antibody is formulated in a dual buffer system,
20 and which further comprises pharmaceutically acceptable excipients.

In any of the above mentioned embodiments the antibody formulated in a dual buffer system is stable at 2-8°C for at least 2 years.

In any of the above mentioned embodiments of the invention, the antibody formulated in a dual buffer system is stable at 25°C for at least 3 months.

In any of the above mentioned embodiments of the invention, the antibody formulated in a dual buffer system is stable at about 40°C for at least 2 weeks, more preferably for at least 4 weeks.

5 In any of the above mentioned embodiments of the invention, the antibody formulated in a dual buffer system is stable at about 50°C for at least 1 week, more preferably for at least 2 weeks.

In any of the above mentioned embodiments of the invention, the antibody formulated in dual buffer system is stable following three freeze-thaw cycles, preferably five freeze-thaw cycles.

10 In another embodiment, the invention discloses an antibody formulation comprising excipients wherein the excipients comprise amino acids, preferably the amino acids are arginine and/or glycine or derivatives and their combination thereof.

15 In yet another embodiment, the invention discloses an antibody formulation comprising excipients wherein the excipients comprise sugars or sugar alcohol, preferably the sugars are mannitol, sorbitol, sucrose and trehalose or derivatives and their combination thereof.

In a further embodiment, the invention discloses an antibody formulation comprising excipients wherein the excipients comprise surfactant, preferably the surfactant is polysorbate 80.

20 In another embodiment, the invention discloses an antibody formulation comprising excipients wherein the excipients comprise salts, more preferably the salt is sodium chloride.

25 In any of the above mentioned embodiments of the invention, the pH of the dual buffer system is from about 5 to about 7, more preferably the pH of the dual buffer system is about 5.2 to about 6.0.

In any of the above mentioned embodiments of the invention, the antibody is present at a concentration of at least 20 mg/ml in the formulation, more preferably at least 50 mg/ml and further more preferably at least 100 mg/ml.

5 In any of the above mentioned embodiments of the invention, the antibody in the formulation is a therapeutic antibody.

In any of the above mentioned embodiments, the antibody in the formulation is selected from an anti-TNF α antibody, an anti-IL-6R antibody, an anti-HER2 antibody, more preferably selected from a group consisting of adalimumab, tocilizumab or trastuzumab.

10 In an embodiment, the invention discloses an aqueous pharmaceutical formulation of a therapeutic antibody comprising a dual buffer system, wherein individual buffer in the dual buffer system are selected from a group consisting of phosphate, glutamate, aspartate, and succinate, and wherein the formulation is stable and retains its biological activity.

15 In another embodiment, the invention discloses an aqueous pharmaceutical formulation for a therapeutic antibody comprising a dual buffer system, wherein individual buffer in the dual buffer system are selected from the group consisting of phosphate, glutamate, aspartate, and succinate, and wherein the formulation is stable at 2-8°C for at least 2 years or at 25°C for at least 3 months or at about 40°C for at least 2 weeks, or at
20 about 50°C for at least 1 week, and the formulation inhibits the reduction in monomer content of the antibody composition .

Another embodiment of the invention discloses an aqueous pharmaceutical formulation comprising a therapeutic antibody and a dual buffer system, wherein individual buffer in the dual buffer system are selected from the group consisting of
25 phosphate, glutamate, aspartate, and succinate, and wherein the formulation is stable at 2-8°C for at least 2 years or at 25°C for at least 3 months or at about 40°C for at least 2 weeks, or at about 50°C for at least 1 week, and the formulation inhibits the reduction in main peak content of the antibody composition.

In an embodiment, the invention discloses an aqueous pharmaceutical formulation comprising a therapeutic antibody and a dual buffer system, wherein individual buffer in the dual buffer system are selected from the group consisting of phosphate, glutamate, aspartate, and succinate, and wherein the percentage recovery of the therapeutic antibody in the dual buffer is increased when compared with the single buffer system.

In yet another embodiment, the invention discloses an aqueous pharmaceutical formulation of adalimumab comprising a phosphate-glutamate dual buffer system, wherein the formulation is stable at 25°C for 3 months and retains its biological activity.

An embodiment, the invention discloses an aqueous pharmaceutical formulation of adalimumab comprising a dual buffer system selected from phosphate-glutamate buffer or succinate-glutamate buffer, wherein the formulation is stable at 25°C for 3 months or 37°C for 4 weeks or 40°C for 4 weeks or 50°C for 2 weeks, and wherein the percentage of monomer content is not less than 90%, more preferably not less than 98%.

In another embodiment, the invention discloses an aqueous pharmaceutical formulation of adalimumab comprising a dual buffer system selected from phosphate-glutamate buffer or succinate-glutamate buffer, wherein the formulation is stable at 25°C for 3 months or 37°C for 4 weeks or 40°C for 4 weeks or 50°C for 2 weeks, and wherein the reduction in monomer content of the antibody composition is less than 7.5%, and more preferably less than 2.5%.

Yet another embodiment, the invention discloses an aqueous pharmaceutical formulation of adalimumab comprising a dual buffer system selected from phosphate-glutamate buffer or succinate-glutamate buffer, wherein the formulation is stable at 25°C for 3 months or 37°C for 4 weeks or 40°C for 4 weeks or 50°C for 2 weeks, and wherein the percentage reduction in monomer content of the antibody composition is not greater than 10%, and more preferably not greater than 2.5%.

In another embodiment, the invention discloses an aqueous pharmaceutical formulation of adalimumab comprising phosphate-glutamate dual buffer system, wherein the formulation is stable even after subjecting to multiple freeze-thaw cycles. The

formulation is stable post three freeze-thaw cycles and/or stable post five freeze-thaw cycles. The concentration of adalimumab herein is about 50 mg/ml to about 100 mg/ml.

5 An embodiment of the invention discloses, an aqueous pharmaceutical formulation of adalimumab comprising dual buffer system selected from phosphate- glutamate buffer or succinate-glutamate buffer, wherein the formulation is stable at 25°C for 3 months or 37°C for 4 weeks or 40°C for 4 weeks or 50°C for 2 weeks, and wherein the percentage of main peak of the antibody composition is greater than 35%, and preferably greater than 65 %.

10 In another embodiment of the invention discloses, an aqueous pharmaceutical formulation of adalimumab comprising a dual buffer system selected from phosphate- glutamate buffer or succinate-glutamate buffer, wherein the formulation is stable at 25°C for 3 months or 37°C for 4 weeks or 40°C for 4 weeks or 50°C for 2 weeks, and wherein reduction in the main peak of the antibody composition is in the range of about 10- 40 %.

15 An embodiment of the invention discloses an aqueous pharmaceutical formulation of adalimumab comprising a dual buffer system selected from phosphate-glutamate buffer or succinate-glutamate buffer, wherein the formulation is stable at 25°C for 3 months or 37°C for 4 weeks or 40°C for 4 weeks or 50°C for 2 weeks, and wherein the percentage reduction in the main peak of the antibody composition is in the range of about 15-55%.

20 Another embodiment discloses an aqueous pharmaceutical formulation of tocilizumab comprising succinate-aspartate dual buffer system, wherein the formulation is stable at 40°C for 2 weeks, and wherein the monomer content of the antibody composition is not less than 95%.

25 Yet another embodiment, the invention discloses an aqueous pharmaceutical formulation of tocilizumab comprising succinate-aspartate dual buffer system, wherein the formulation is stable at 40°C for 2 weeks, and wherein the reduction in monomer content of the antibody composition is not more than 2.5%.

Further embodiment, the invention discloses an aqueous pharmaceutical formulation of tocilizumab comprising succinate-aspartate dual buffer system, wherein the formulation is stable at 40°C for 2 weeks, and wherein the percentage reduction in monomer content of the antibody composition is not more than 2.5%.

5 In another embodiment, the invention discloses an aqueous pharmaceutical formulation of tocilizumab comprising succinate-aspartate dual buffer system, wherein the formulation is stable at 40°C for 2 weeks, and wherein the main peak content of the antibody composition is not less than 60%.

Another embodiment of the invention discloses, an aqueous pharmaceutical
10 formulation of tocilizumab comprising succinate-aspartate dual buffer system, wherein the formulation is stable at 40°C for 2 weeks, and wherein the reduction in main peak content is not more than 5%.

Yet another embodiment, the invention discloses an aqueous pharmaceutical
15 formulation of tocilizumab comprising succinate-aspartate dual buffer system, wherein the formulation is stable at 40°C for 2 weeks, and wherein the percentage reduction in main peak content of the antibody composition is not more than 10 %.

In another embodiment of the invention discloses, an aqueous pharmaceutical
20 formulation of trastuzumab comprising a dual buffer system selected from the group consisting of phosphate-glutamate or succinate-glutamate, and the formulation is stable at 37°C for 2 weeks or 50°C for 1 week, and wherein the monomer content of the antibody composition is not less than 95%.

Yet another embodiment, the invention discloses an aqueous pharmaceutical
25 formulation of trastuzumab comprising a dual buffer system selected from the group consisting of phosphate-glutamate and succinate-glutamate, and the formulation is stable at 37°C for 2 weeks or 50°C for 1 week, and wherein the reduction in monomer content of the antibody composition is not more than 5.0%.

Further embodiment of the invention discloses, an aqueous pharmaceutical formulation of trastuzumab comprising a dual buffer system selected from the group

consisting of phosphate-glutamate and succinate-glutamate, wherein the formulation is stable at 37°C for 2 weeks or 50°C for 1 week and inhibits the reduction in monomer content of the antibody composition, wherein the percentage reduction in monomer content is not more than 5.0%.

- 5 In an embodiment, the invention discloses an aqueous pharmaceutical formulation of trastuzumab comprising a dual buffer system selected from a group consisting of phosphate-glutamate and succinate-glutamate, wherein the percentage recovery in the final formulation dual buffer is greater than 50%.

Definitions

- 10 The term "antibody" as used herein encompasses whole antibodies and any antigen binding fragment (i.e., "antigen-binding portion") or single chains or fusion protein thereof. An "antibody" refers to a glycoprotein comprising at least two heavy (H) chains and two light (L) chains inter-connected by disulfide bonds, or an antigen binding portion thereof.

- 15 The term "stable" formulation refers to the formulation wherein the antibody therein retains its physical stability and/or chemical stability and/or biological activity upon storage.

- Stability studies provides evidence of the quality of an antibody under the influence of various environmental factors during the course of time. ICH's "Q1A: Stability Testing of New Drug Substances and Products," states that data from accelerated stability studies can be used to evaluate the effect of short-term excursions higher or lower than label storage conditions that may occur during the shipping of the antibodies.
- 20

- The term 'freeze-thaw cycle' as used herein describes a process of freezing a drug substance or drug product to lower temperatures such as -50° C or even lower temperatures such as -80 C and followed by thawing at room temperature.
- 25

Various analytical methods are available for measuring the physical and chemical degradation of the antibody in the pharmaceutical formulations. An antibody "retains its

physical stability" in a pharmaceutical formulation if it shows substantially no signs of aggregation, precipitation and/or denaturation upon visual examination of color and/or clarity, or as measured by UV light scattering or by size exclusion chromatography. An antibody is said to "retain its chemical stability" in a pharmaceutical formulation when its
5 shows no or minimal formation of product variants which may include variants as a result of chemical modification of antibody of interest such as deamination, oxidation etc. Analytical methods such as ion exchange chromatography and hydrophobic ion chromatography may be used to investigate the chemical product variants.

The term 'monomer' as used herein describes antibodies consisting of two light
10 chains and two heavy chains. The monomer content of an antibody composition is typically analyzed by size exclusion chromatography (SEC). . As per the separation principle of SEC the large molecules or molecules with high molecular weight (HMW) elute first followed by smaller or lower weight molecules. In a typical SEC profile for an antibody composition, aggregates that may include dimers, multimers, etc., elute first,
15 followed by monomer, and the clipped antibody variants or degradants may be eluted last. In some circumstances the aggregate peak or the degradant peaks may not elute as a baseline separated peaks but instead as a shoulder or abnormal broad peaks. In order to maintain the appropriate activity of an antibody, in particular of a therapeutic antibody, it is desirable to reduce the formation of aggregate or degradant products and hence
20 control the monomer content to a target value. Ability to inhibit the formation of aggregate and degradant content as measured at various time points during stability studies may indicate the suitability of the candidate formulation for antibody of interest. TSK-GEL G3000SWXL (7.8mm x 30cm) column from TOSCH can be used on water HPLC to perform SEC.

25 The term 'main peak' as used herein refers to the peak that elutes in abundance (major peak) during a cation exchange chromatography. The peak that elutes earlier than the main peak, during a cation exchange chromatography, with a charge that is acidic relative to the main peak is termed acidic variant peak. The peak that elutes later than the main peak, during a cation exchange chromatography, with a charge that is relatively
30 basic than the main peak is termed as basic variant peak. The main peak content can be

determined by Ion exchange chromatography (IEC). There are two modes of IEC available cation and anion exchange chromatography. Positively charged molecules bind to anion exchange resins while negatively charged molecules bind to cation exchange resins. In a typical cation exchange chromatographic profile of an antibody composition acidic variants elute first followed by the main peak) and thereafter lastly the basic variants will be eluted. The acidic variants are a result of antibody modifications such as deamidation of asparagine residues. The basic variants are a result of incomplete removal of C-terminal lysine residue(s). In general, in an antibody a lysine residue is present at the C-terminal end of both heavy and light chain. An antibody molecule containing lysine at both heavy and light chain is referred to as K2 variant, the antibody molecule containing lysine residue at either one of heavy and light chain is referred to as K1 variant and antibody molecule having none is K0 molecule. Carboxypeptidase B (CP-B enzyme) enzyme acts on the C-terminal lysine residues present on K2 and K1 variants and thus converting them as K0 molecules. As per circumstances of the case, the IEC analysis can be carried out for samples digested with carboxypeptidase B (CP-B) enzyme. In a typical stability study it is expected that a stable formulation leads to reduction in formation of chargevariants (acidic and basic variants), during the study, and hence minimize any reduction in main peak content.

An antibody "retains its biological activity" in a pharmaceutical formulation, if the antibody is biologically functional to perform its intended purpose. For example, the biological activity of an antibody can be determined by *in vitro* cell based assays such as antigen binding/neutralization assays, in case of anti-TNF antibody, biological activity is determined by a TNF α cytotoxicity neutralization assay.

The term "percentage recovery" refers to the proportion of the antibody concentration obtained in the final formulation buffer to the antibody concentration in the process buffer which precedes the formulation step for example the last downstream process elution buffer.

The high concentration formulation for an antibody refers to a formulation, which enables higher dose to be administered to a subject using a volume, which is equal to, or less than the formulation for standard treatment.

5 Pharmaceutically acceptable excipients refer to the additives or carriers, which may contribute to stability of the antibody in formulation. The excipients may encompass stabilizers and tonicity modifiers. Examples of stabilizers and tonicity modifiers include but not limited to sugars, amino acids, polyols, salts or surfactants, and derivatives and combination thereof.

10 Sugars and polyols can be referred to monosaccharides, disaccharide, and polysaccharides. Examples of sugars include, but are not limited to, sucrose, glucose, dextrose, and others. Additionally, polyol refers to an alcohol containing multiple hydroxyl groups. Examples of polyols include, but are not limited to, mannitol, sorbitol, and others.

15 Surfactant refers to pharmaceutically acceptable excipients used to protect the protein formulations against various stress conditions, like agitation, shearing, exposure to high temperature etc. The suitable surfactants include but are not limited to polyoxyethylensorbitan fatty acid esters such as Tween 20™ or Tween 80™, polyoxyethylene-polyoxypropylene copolymer (e.g. Poloxamer, Pluronic), sodium dodecyl sulphate (SDS) and the like or combination thereof.

20 Salts are used as tonicity modifiers and examples of salts include but not limited to sodium chloride, potassium chloride, magnesium chloride, arginine hydrochloride, sodium thiocyanate, ammonium thiocyanate, ammonium sulfate, ammonium chloride, calcium chloride, zinc chloride and/or sodium acetate.

25 One or more amino acids may also be part of an antibody formulation and can be selected from a basic amino acids or hydrophobic amino acids or a combination thereof. The basic amino acid can be selected from the group consisting of arginine, lysine, histidine and their salts or derivatives thereof whereas hydrophobic amino acid can be selected from the group consisting of glycine, alanine, valine, leucine, phenyl alanine, methionine, tryptophan and their salts or derivatives thereof.

Certain specific aspects and embodiments of the invention are more fully described by reference to the following examples. However, these examples should not be construed as limiting the scope of the invention in any manner.

Examples

5 To achieve a stable aqueous formulation for an antibody, as part of experimental design, various dual buffers as disclosed in the present invention were evaluated. Different dual buffers were assessed for a range of therapeutic antibodies. The stable formulation may further comprises pharmaceutically acceptable excipients. The stability of the formulations of antibodies in the dual buffer system was estimated at real time as well as under accelerated conditions. The stability of the antibodies in the dual buffer formulation was investigated by analytical assays for any chemical and physical degradation. This formulation of antibodies in dual buffer system is particularly advantageous for high concentration antibodies.

Example 1: Single buffer vs. dual buffer system

15 50 mg/ml of Adalimumab (A-mab) was formulated in single buffer systems and dual buffer systems. The details of single and dual buffer system are as given in Table-1.

Table-1

Formulation Composition	Final pH
20 mM Glutamic Acid	5.20
19.20 mM Phosphate buffer	5.23
23 mM Phosphate-Glutamate Buffer	5.25
20 mM Phosphate-Citrate Buffer	5.21

20 All of the above formulations further comprise polysorbate 80 in the final concentration of 0.1%.

The above formulations of adalimumab were evaluated for stability at 37°C for 4 weeks and thereafter analyzed for monomer content by size exclusion chromatography and also main peak content using ion exchange chromatography and the results of the same are given in Table 2 & 3. T0 in table represents the monomer/ main peak content

at starting time point. Also, the osmolality shift was observed for formulations as disclosed in Figure-1.

Table-2

Formulation Composition	Time Point	% High molecular weight	% Monomer	% Monomer Shoulder	% Low Molecular weight
A-mab in Glutamic Acid buffer	T0	0.6	99.4	ND	0.0
	T4W at 37°C	3.9	92.9	2.2	1.03
A-mab in Phosphate buffer	T0	0.7	99.3	ND	0.0
	T4W at 37°C	1.4	93.8	3.1	1.7
A-mab in Phosphate Glutamate buffer	T0	0.7	99.3	ND	0.0
	T4W at 37°C	2.1	97.4	ND	0.6
A-mab in Phosphate Citrate buffer	T0	0.7	99.2	ND	0.1
	T4W at 37°C	2.2	97.3	ND	0.5

ND-Not detected

5

Table-3

Formulation Composition	Time Point	% Acidic peak	% Main peak	% Basic peak
A-mab in Glutamic Acid buffer	T0	23.9	74.8	1.2
	T4W at 37°C	68.4	28.0	3.6
A-mab in Phosphate buffer	T0	25.9	72.3	1.8
	T4W at 37°C	45.9	46.5	7.6
A-mab in Phosphate Glutamate buffer	T0	24.2	74.3	1.6
	T4W at 37°C	46.4	50.0	3.6
A-mab in Phosphate Citrate buffer	T0	23.3	74.9	1.8
	T4W at 37°C	49.5	47.3	3.2

As can be noted from table 2 and table 3 that the reduction in the monomer content as well as main peak content is minimum in A-mab formulated in phosphate glutamate buffer as compared to A-mab in single buffer systems i.e. phosphate or glutamic acid buffer as well as A-mab in other dual buffer system i.e. citrate and phosphate dual buffer

system. Figure 1 represents the osmolality shift at T0 and T4 weeks at 37°C for A-mab in formulations disclosed in Table-1.

Example 2: Formulation of adalimumab in different dual buffers & excipients

50 mg/ml of adalimumab was formulated in two different dual buffer combinations containing various concentration and combination of excipients as detailed in table 4. Further, same concentration of adalimumab was formulated in citrate-phosphate dual buffer as a control.

Table-4

Formulations ID	Formulation Composition
Formulation-1 (Control_50mg/ml)- (F1)	50 mg/ml adalimumab 20 mM Citrate-Phosphate buffer 1.2% mannitol 105.45 mM NaCl 0.1% Polysorbate-80, pH 5.2
Formulation-2 (F2)	50 mg/ml adalimumab 23 mM Phosphate-glutamate buffer 50 mg/ml of sorbitol 15 mM NaCl 0.1% Polysorbate-80 pH 5.2
Formulation-3 (F3)	50 mg/ml adalimumab 23 mM Phosphate-glutamate buffer 80 mg/ml of sucrose 15 mM NaCl 0.1% Polysorbate-80 pH 5.2
Formulation-4 (F4)	50 mg/ml adalimumab 23 mM Succinate-glutamate buffer 80 mg/ml of sucrose 15 mM NaCl 0.1% Polysorbate-80 pH 5.2
Formulation-4 (F5)	50 mg/ml adalimumab 23 mM Succinate-glutamate buffer 80 mg/ml of trehalose 15 mM NaCl 0.1% Polysorbate-80 pH 5.2

The above formulations of adalimumab evaluated for stability at 50°C for 2 weeks and F2 and F4 were also evaluated for stability at 25°C for 3 months. Furthermore, F2 was also evaluated for stability at 37°C for 4 weeks.

The formulation were thereafter analyzed for monomer content by size exclusion chromatography and also main peak content using ion exchange chromatography and results are given in Table 5 to 11. T0 in table represents the monomer/ main peak content at starting time point. Figure 2 demonstrates % of high molecular weight species (HMWS)/ aggregate content for formulations F1-F5 stored at 50°C for T0, T1 (week 1) and T2 (week 2). Figure 3 demonstrates % of monomer loss for formulations F1-F5 stored at 50°C at 1 week and 2 week. Figure 4 demonstrates % of basic variants for formulations F1-F5 stored at 50°C for 0W and 2W (2 weeks). Figure 5 demonstrates light scattering data for formulations F1-F5 stored at 50°C for T0 and T1W (1 weeks).

Table 5

Formulation ID	Time point	Average % Relative Potency	Standard Deviation
F1	T0	92.0	5.8
	T3 month@ 25°C	99.4	4.9
F2	T0	98.6	4.9
	T3 month@ 25°C	91.7	2.5
F4	T0	93.6	5.0
	T3 month@ 25°C	100.4	2.3

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Table-6

Formulation ID	Monomer Peak	
	T0	T2 weeks at 50°C
Formulation-1 (Control)-F1	97.3	82.3
Formulation-2 (F2)	97.0	89.7
Formulation-3 (F3)	97.1	92.2
Formulation-4 (F4)	97.0	92.1
Formulation-4 (F5)	97.1	91.1

Table-7

Formulation ID	Main Peak	
	T0	T2 weeks at 50°C
Formulation-1 (Control)-F1	75.4	36.9
Formulation-2 (F2)	76.0	36.1
Formulation-3 (F3)	75.9	37.8
Formulation-4 (F4)	76.8	35.5
Formulation-4 (F5)	77.0	34.0

Table-8

Formulation ID	Monomer Peak	
	T0	T3 months at 25°C
Formulation-1 (Control)-F1	99.1	96.9
Formulation-2 (F2)	99.1	97.3
Formulation-4 (F4)	99.2	97.0

5

Table-9

Formulation ID	Main Peak	
	T0	T3 months at 25°C
Formulation-1 (Control)-F1	73.8	63.5
Formulation-2 (F2)	73.5	63.5
Formulation-4 (F4)	73.1	53.1

Table-10

Formulation ID	Monomer Peak	
	T0	T4 weeks at 37°C
Formulation-1 (Control)-F1	99.1	95.9
Formulation-2 (F2)	99.1	96.5

Table-11

Formulation ID	Main Peak	
	T0	T4 weeks at 37°C
Formulation-1 (Control)-F1	73.8	54.8
Formulation-2 (F2)	73.5	55.4

Furthermore, F1-F5 samples were subjected for multiple freeze-thaw cycles by freezing the said samples to -80° C using a deep freezer and thawed at room temperature.

- 5 These freeze-thaw cycles were repeated for five times then the samples were subjected for SEC and IEC to check effect of freeze-thaw cycles on monomer content and main peak of the adalimumab 50 mg/ml respectively and results of the same has been given in table 12 and table 13.

Table 12

Formulation ID	Monomer Peak			
	0 X	1 X	3X	5X
Control (50 mg/ml)-F1	98.65	98.68	98.68	98.73
F2	98.67	98.73	98.77	98.77
F3	98.68	98.70	98.74	98.73
F4	98.69	98.73	98.75	98.75
F5	98.73	98.73	98.76	98.76

- 10 X-indicates number of freeze-thaw cycles

Table 13

Formulation ID	Main Peak	
	0 X	5X
Control (50 mg/ml)-F1	60.1	61.8
F2	61.19	59.95
F3	63.15	60.23
F4	61.46	60.44
F5	60.62	60.66

X-indicates number of freeze-thaw cycles.

50 mg/ml of adalimumab was formulated in F6 and F7 buffers, the composition of the same is disclosed in Table 14.

Table 14

Formulations ID	Formulation Composition
Formulation-6 (F6)	50 mg/ml adalimumab 23 mM Phosphate-Glutamate buffer 30 mg/ml Mannitol 30mM Arginine 10mM Glycine 15mM Sodium chloride pH 5.2
Formulation-6 (F7)	50 mg/ml adalimumab 23 mM Phosphate-Glutamate buffer 30 mg/ml Mannitol 50mM Sodium chloride pH 5.2

5 The above formulations of adalimumab in F6 and F7 were evaluated for stability at 40°C for 4 weeks. F1, F6 and F7 samples stored at 25°C for 3 months were also subjected for evaluation of their biological activity using standard assay procedure of TNF- α cytotoxicity neutralization assay wherein L929 cells expressing TNF receptors were used and average relative potency of the samples were calculated and results are represented in table 15.

10

Table 15

Formulation ID	Time point	Average % Relative Potency	Standard Deviation
F1	T0	92.0	5.8
	T3 month@ 25°C	99.4	4.9
F6	T0	98.6	4.9
	T3 month@ 25°C	91.7	2.5
F7	T0	93.6	5.0
	T3 month@ 25°C	100.4	2.3

The formulation were thereafter analyzed for monomer content by size exclusion chromatography and also main peak content using ion exchange chromatography and

results are given in Table 16 and 17 respectively. The data in Table 14 is for samples which had not been treated with carboxypeptidase-B.

Table 16

Formulation ID	Monomer Peak	
	T0	T4 weeks at 40°C
F6	99.1	96.3
F7	99.0	95.7

5

Table 17

Formulation ID	Main Peak	
	T0	T4 weeks at 40°C
F6	48.6	39.7
F7	49.3	39.3

From, the above results it is evident that, 50 mg/ml of adalimumab formulated in a dual buffer systems such as phosphate-glutamate buffer and succinate-glutamate buffer exhibits stability even under accelerated stability conditions.

10

Example 3: High concentration antibody formulations

100 mg/ml of adalimumab was formulated in 20 mM phosphate-glutamate buffer system and further comprising other pharmaceutical acceptable excipients as represented in table 18. Further, pharmaceutically acceptable excipients were added in following concentration/concentration ranges such as arginine at a concentration range of about 40 to 120 mM; glycine at a concentration of about 50 mM and polyols at a concentration of 5 -10 mg/ml and NaCl at a concentration of 5-10 mM. 100 mg/ml of adalimumab approved formulation is used as control in this experiment.

15

Table 18

Formulation ID	Formulation Composition
Control (100 mg/ml)_F8	100 mg/ml A-mab , 42 mg/mL Mannitol , 0.1% Polysorbate 80
F9	100 mg/ml A-mab , Phosphate glutamate buffer, Glycine, Arginine, NaCl, 0.1% Polysorbate 80

F10	100 mg/ml A-mab, Phosphate glutamate buffer, Glycine, Arginine, Mannitol
F11	100 mg/ml A-mab, Phosphate glutamate buffer, Arginine, 0.1% Polysorbate 80
F12	100 mg/ml A-mab, Phosphate glutamate buffer, Glycine, Arginine, Sorbitol

The above formulations of adalimumab were evaluated for stability at 40°C for 4 weeks. The formulations were thereafter analyzed for monomer content by size exclusion chromatography and also main peak content using ion exchange chromatography and results are as given in Table 17 & 18. T0 in table represents the monomer/ main peak content at starting time point. The data in Table 17 is for samples which had not been treated with carboxypeptidase-B. F8, F9 and F11 samples were further subjected for multiple freeze-thaw cycles by freezing the said samples to -80° C using a deep freezer and thawed at room temperature. These freeze-thaw cycles were repeated for five times then the samples were checked for particulate matter by visual inspection and results are given in table 19. Further, the samples were subjected for SEC to check effect of freeze-thaw cycles on monomer content of the adalimumab 100 mg/ml and results of the same has been given in table 20.

Table 19

Formulation ID	Freeze-Thaw Cycles			
	0 X	1 X	3X	5X
Control (100 mg/ml)-F8	Clear	Clear	Clear	Clear
F9	Clear	Clear	Clear	Clear
F11	Clear	Clear	Clear	Clear

X-indicates number of freeze-thaw cycles

Table 20

Formulation ID	Monomer Peak			
	0 X	1 X	3X	5X
Control (100 mg/ml)-F8	98.4	98.4	98.4	98.4
F9	99.0	99.0	99.0	99.1

F11	99.1	99.1	99.0	99.0
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X-indicates number of freeze-thaw cycles

Table 21

Formulation ID	Monomer Peak	
	T0	T4 weeks at 40°C
Control (100 mg/ml)-F8	98.2	88.0
F9	98.6	94.9
F10	98.7	94.2
F11	98.8	94.2
F12	98.7	93.7

Table 22

Formulation ID	Main Peak	
	T0	T4 weeks at 40°C
Control (100 mg/ml)-F8	77.2	52.5
F9	55.8	49.1
F10	56.1	46.5
F11	54.5	44.9
F12	56.2	49.6

5 From the above results, it is very evident that 100 mg/ml of adalimumab formulated in a dual buffer system such as phosphate-glutamate buffer exhibits better stability under accelerated conditions as compared to the approved 100 mg/ml of adalimumab formulation.

Example 4: Other antibodies formulated in dual buffer system

10 180 mg/ml of tocilizumab (Toc-mab) was formulated in a dual buffer system comprising Succinate-Aspartate buffer system as represented in below table 23. Approved 180 mg/ml of tocilizumab is formulated in histidine buffer and the same had been used as control in this experiment.

Table 23

Formulation ID	Formulation Composition
Toc- (Control)	180mg/ml Toc-mab, 20 mM L-Histidine-L-Histidine monohydrochloride monohydrate
Toc -1	180mg/ml Toc-mab, 20mM Succinate-Aspartate buffer

The above formulations of tocilizumab were stored at 40°C for 2 weeks. The formulations were thereafter analyzed for monomer content by size exclusion chromatography and also main peak content using ion exchange chromatography and results are given in Table 24 & 25. T0 in table represents the monomer/ main peak content at starting time point.

Table 24

Formulation ID	Monomer Peak	
	T0	T2 weeks at 40°C
Toc (Control)	98.0	96.1
Toc 1	97.7	95.4

10

Table 25

Formulation ID	Main Peak	
	T0	T2 weeks at 40°C
Toc (Control)	66.2	58.3
Toc 1	64.0	59.9

From the above results, it is evident that 180 mg/ml of tocilizumab formulated in a dual buffer system such as succinate-aspartate provides equivalent stability as that of tocilizumab formulated in histidine buffer under accelerated conditions.

15

Further, as part of evaluating dual buffer system for different therapeutic antibodies, 21 mg/ml of trastuzumab (T-mab) was formulated in a buffer system comprising a dual buffer systems such as phosphate-glutamate buffer and succinate-

glutamate buffer which further comprises pharmaceutically acceptable excipients as represented in Table 26 below. Further, the pharmaceutically acceptable excipients were added in following concentration ranges in trastuzumab, trehalose at a concentration of about 150 mM, more specifically 200 mM; methionine at a concentration of 5 mM; Arginine at a concentration of about 25 mM; sucrose and sorbitol at a concentration range of 1.2 to 2% and 0.04 mg/ml of polysorbate 20.

Prior to the formulation of trastuzumab in the dual buffer systems, buffer exchange step has been performed to transfer the trastuzumab drug substance obtained from downstream process present in a different buffer background to the said dual buffer and percentage recovery of the same has been calculated and represented in table 27.

Table 26

Formulation ID	Buffer Composition	Excipients	pH of the buffer
T-mab_Control	20mM Histidine, Histidine HCl buffer	Trehalose, methionine, polysorbate 20,	5.5
T-mab-1	20 mM Phosphate-Glutamate	Trehalose, methionine, polysorbate 20	6.0
T-mab-2	20 mM Succinate-Glutamate	Trehalose, methionine, polysorbate 20	5.2
T-mab-3	20 mM Succinate-Glutamate	Trehalose, methionine, polysorbate 20	6.0
T-mab-4	20 mM Phosphate-Glutamate	Sucrose, Arginine.HCl, methionine, polysorbate 20	5.5
T-mab-5	20 mM Phosphate - Glutamate	Sorbitol, Arginine. HCl, methionine, Polysorbate 20	5.5

Table 27

Formulation ID	% Recovery
T-mab_Control	49.6
T-mab_1	59.9
T-mab_2	73.9
T-mab_3	62.8
T-mab_4	51.1
T-mab_5	65.8

The above formulations of trastuzumab were subjected for accelerated stability studies by keeping the said samples at 37°C for 2 weeks and 50°C for 1 week. The formulations were thereafter analyzed for monomer content by size exclusion and results are given in Table 28. T0 in table represents the monomer/ main peak content at starting time point.

Table 28

Formulation ID	Monomer Peak		
	T0	T2 weeks at 37°C	T1 weeks at 50°C
T-mab_Control	99.6	95.9	96.8
T-mab_1	99.6	98.8	97.1
T-mab_2	99.6	97.8	95.9
T-mab_3	99.6	96.6	95.6
T-mab_4	99.6	96.9	96.5
T-mab_5	99.6	96.5	96.6

Dated this: 10th January 2017

Signature: _____

10

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CLAIMS

- 1) A stable aqueous pharmaceutical formulation for an antibody comprising a dual buffer system comprising buffers selected from the group consisting of phosphate, aspartate, succinate, and glutamate.
- 2) The formulation of claim 1, wherein the formulation further comprises pharmaceutically acceptable excipients such as amino acids, sugars, polyols, salts or surfactants.
- 3) The formulation of claim 1, wherein the antibody is a therapeutic antibody.
- 4) The formulation of claim 1, wherein the antibody is stable under at least one of the following accelerated stability conditions such as 25° C for 3 months or 37°C for 4 weeks or 40° C for 2 weeks or 50° C for 1 week.
- 5) The formulation of claim 1, wherein the antibody is stable even after subjecting to multiple freeze-thaw cycles.
- 6) A stable aqueous pharmaceutical formulation of adalimumab comprising a dual buffer selected from the group consisting of phosphate-glutamate buffer or succinate-glutamate buffer, and wherein the formulation inhibits a reduction in monomer content and main peak content of the antibody composition.
- 7) A stable aqueous pharmaceutical formulation of adalimumab comprising a dual buffer selected from the group consisting of phosphate-glutamate buffer or succinate-glutamate buffer, and wherein the formulation is stable after subjecting to at least three freeze-thaw cycles.
- 8) A stable aqueous pharmaceutical formulation of tocilizumab comprising succinate-aspartate buffer, and wherein the formulation inhibits a reduction in monomer content and main peak content of the antibody composition.

- 9) A stable aqueous pharmaceutical formulation of trastuzumab comprising a dual buffer system selected from succinate-glutamate or phosphate glutamate buffer, and wherein the formulation inhibits a reduction in monomer content of the antibody composition.
- 10) The formulation of claim 1-7, wherein the pH of the dual buffer system is between 5-7.
- 11) The formulation of claim 1-7, wherein the concentration of the antibody is at least 20 mg/ml.
- 12) The formulation of claim 1-7, wherein the concentration of the antibody is at least 100 mg/ml.

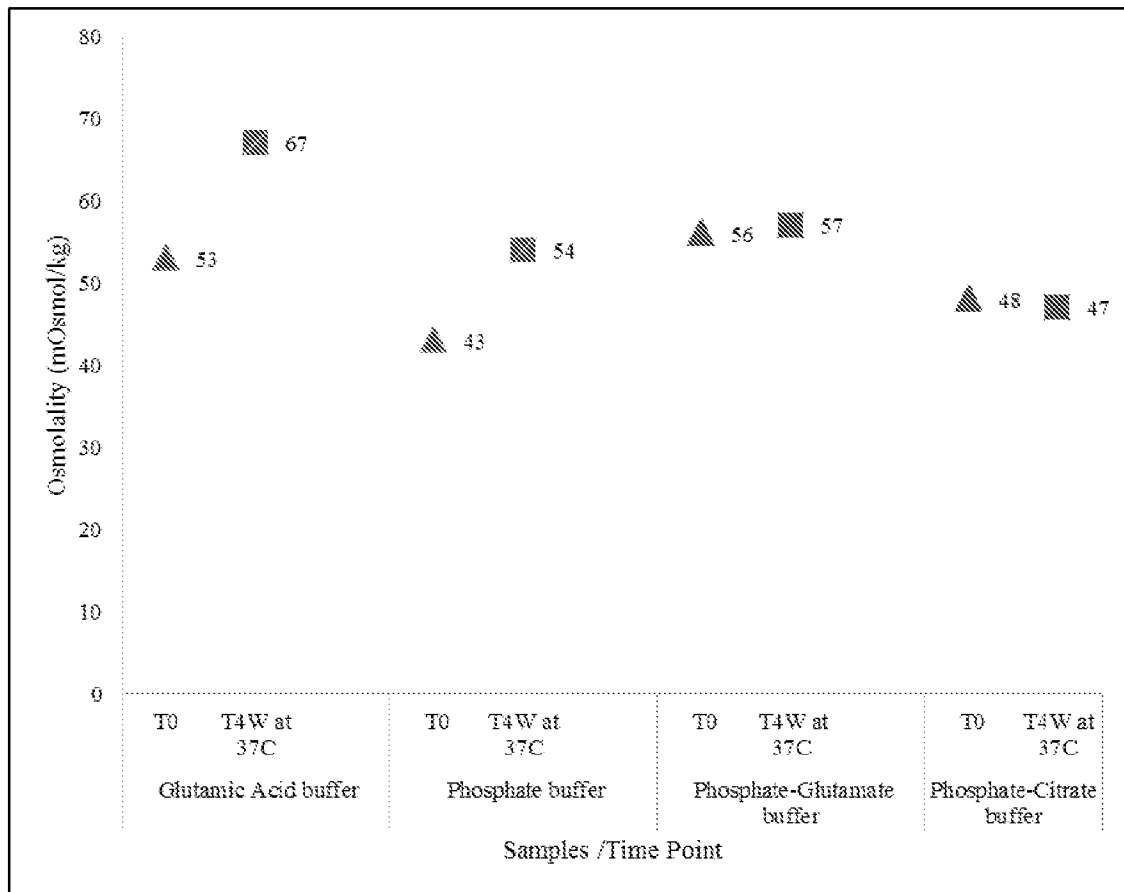


Figure 1

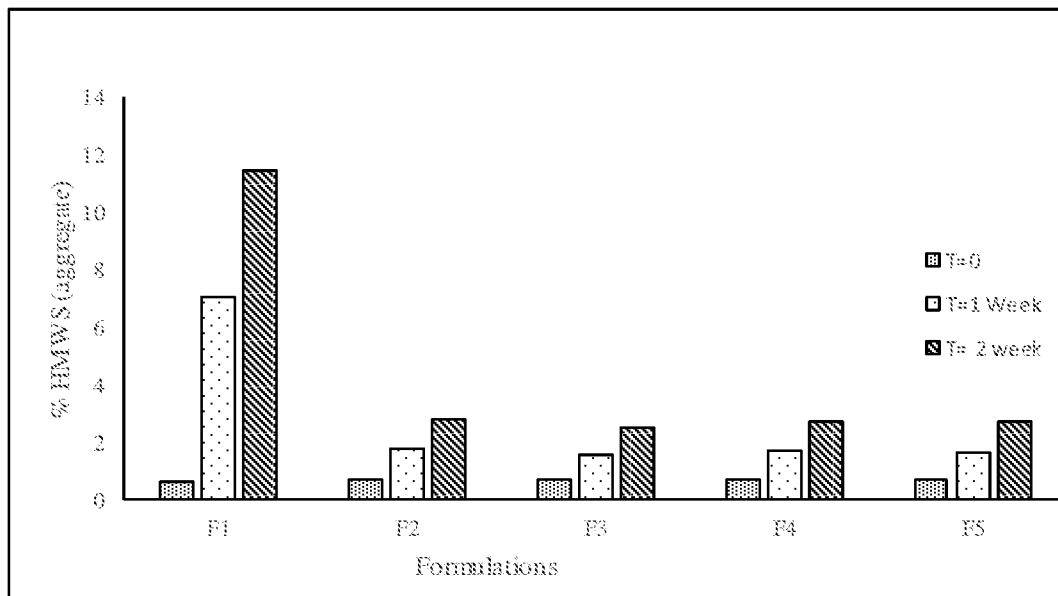


Figure 2

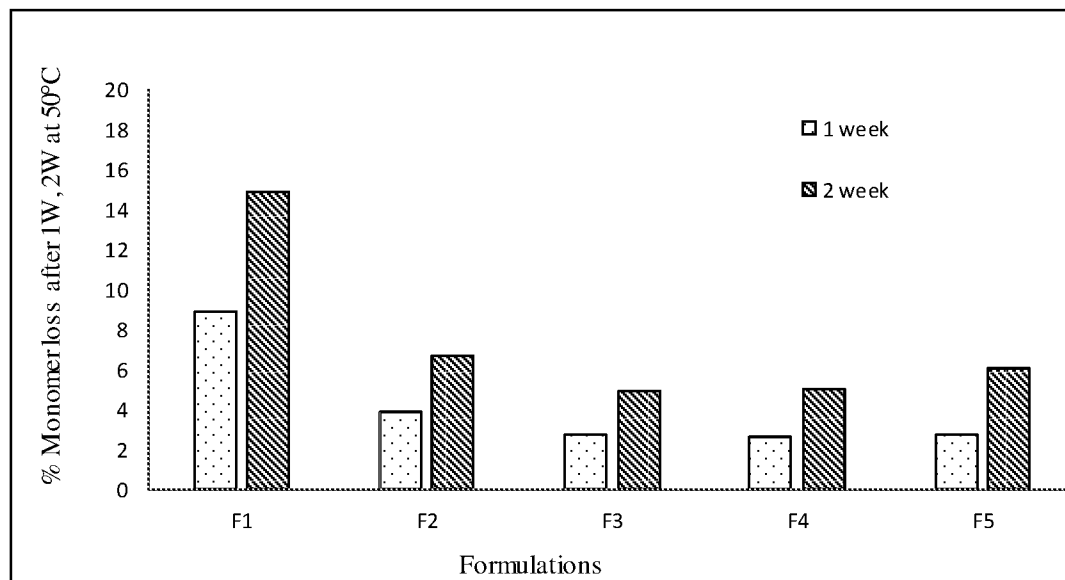


Figure 3

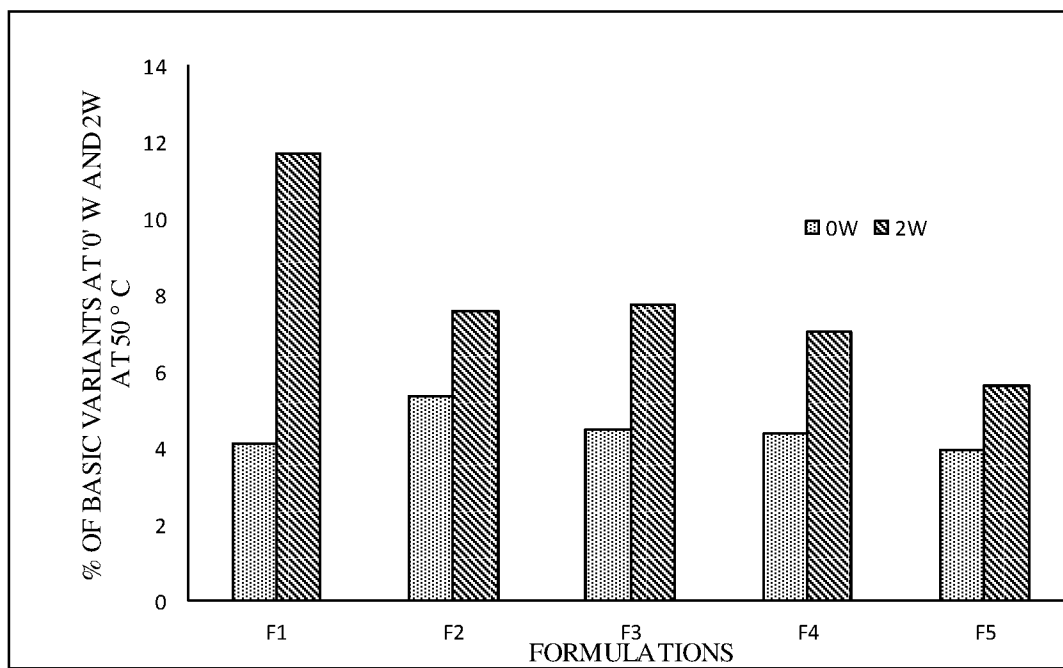


Figure 4

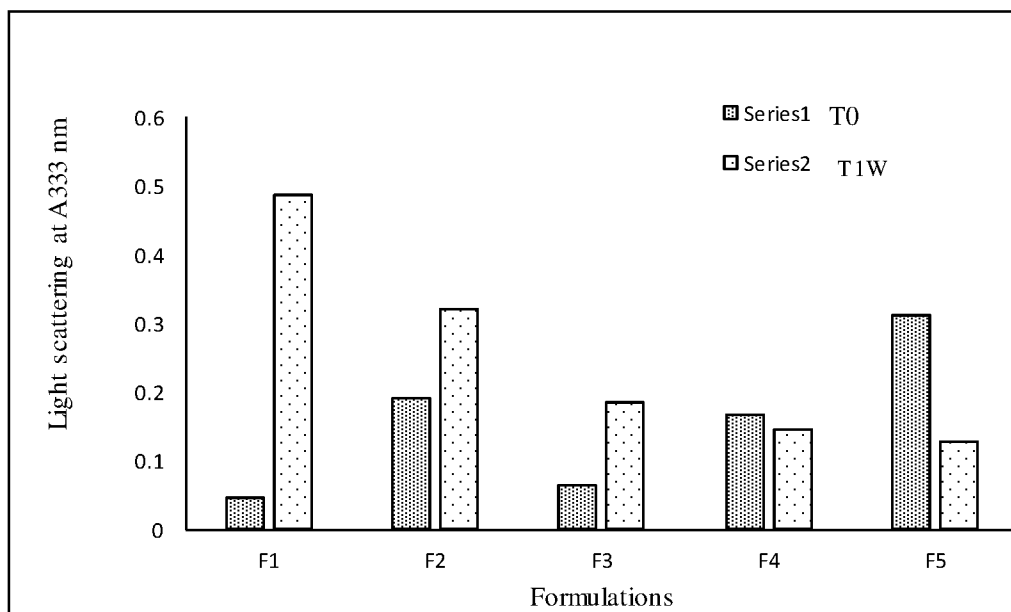


Figure 5

INTERNATIONAL SEARCH REPORT

International application No.
PCT/IB2017/050116

A. CLASSIFICATION OF SUBJECT MATTER
A61K31/00, A61K9/00, C07C229/00, C07K16/00 Version=2017.01

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61K, C07C, C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Patseer, IPO Internal Database

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO2008084237A2 (ARECOR LIMITED) 17 July 2008 (17.07.2008) *Whole document*	1-12
X	WO2014177568A1 (SANOFI) 06 November 2014 (06.11.2014) *Whole document*	1-12
A	Jan Jezek: "SMART FORMULATIONS OF LIQUID BIOTHERAPEUTICS"; ONdrugDelivery; July 2013; Issue No. 43; Pages 4-7; ISSN-2049-145X. (http://www.ondrugdelivery.com/publications/Injectable%20Formulations%202013/Injectable_Formulations_2013_Low_Res.pdf) *Whole document*	1-12

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 05-05-2017	Date of mailing of the international search report 05-05-2017
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Name and mailing address of the ISA/ Indian Patent Office Plot No.32, Sector 14, Dwarka, New Delhi-110075 Facsimile No.	Authorized officer Harish Raj Telephone No. +91-1125300200
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INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/IB2017/050116

Citation	Pub.Date	Family	Pub.Date
WO 2008084237 A2	17-07-2008	CA 2674765 C	05-05-2015
		EP 2114456 B1	04-03-2015
		US 20100028372 A1	04-02-2010
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		EP 2991678 A1	09-03-2016
		US 20160075777 A1	17-03-2016