

**(12) STANDARD PATENT**  
**(19) AUSTRALIAN PATENT OFFICE**

(11) Application No. **AU 2007266675 B2**

(54) Title  
**Probiotic strain and antimicrobial peptide derived therefrom**

(51) International Patent Classification(s)  
**C12N 1/20** (2006.01) **C07K 14/315** (2006.01)  
**A61K 35/74** (2006.01) **C12R 1/46** (2006.01)  
**A61K 38/16** (2006.01)

(21) Application No: **2007266675** (22) Date of Filing: **2007.05.25**

(87) WIPO No: **WO07/138538**

(30) Priority Data

(31)	Number	(32)	Date	(33)	Country
	<b>2006/04035</b>		<b>2006.05.28</b>		<b>ZA</b>

(43) Publication Date: **2007.12.06**

(44) Accepted Journal Date: **2012.09.06**

(71) Applicant(s)  
**Cipla Medpro Research and Development (Pty) Ltd**

(72) Inventor(s)  
**Brink, Marelize;Todorov, Svetoslav Dimitrov;Dicks, Leon Milner Theodore;Knoetze, Hendriette**

(74) Agent / Attorney  
**Pizzeys Patent and Trade Mark Attorneys, Level 20 324 Queen Street, Brisbane, QLD, 4000**

(56) Related Art  
**US 2006/0018879 A1**  
**Saavedra, L. et al., Antimicrobial Agents and Chemotherapy, 2004, 48 (7), 2778-2781.**  
**Bennik, M. H. J. et al., Biochimica et Biophysica Acta, 1998, 1373, 47-58.**  
**JP 2003-339377 A**  
**Kawamoto, S. et al., Applied and Environmental Microbiology, 2002, 68 (8), 3830-3840 and Database Uniprot accession number Q8RR65 1 June 2002.**

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
6 December 2007 (06.12.2007)

PCT

(10) International Publication Number  
**WO 2007/138538 A3**

(51) International Patent Classification:

C12N 1/20 (2006.01) A61K 38/16 (2006.01)  
C07K 14/315 (2006.01) C12R 1/46 (2006.01)  
A61K 35/74 (2006.01)

(21) International Application Number:

PCT/IB2007/051982

(22) International Filing Date: 25 May 2007 (25.05.2007)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

2006/04035 28 May 2006 (28.05.2006) ZA

(71) Applicant (for all designated States except US): **CIPLA MEDPRO RESEARCH AND DEVELOPMENT (PTY) LTD** [ZA/ZA]; Rosen Heights, Pasita Street Rosen Park, 7530 Bellville (ZA).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **DICKS, Leon Milner Theodore** [ZA/ZA]; co Department of Microbiology, Stellenbosch University, Private Bag X1, 7602 Matieland (ZA). **TODOROV, Svetoslav Dimitrov** [ZA/ZA]; co Department of Microbiology, Stellenbosch University, Private

Bag X1, 7602 Matieland (ZA). **KNOETZE, Hendriette** [ZA/ZA]; co Department of Microbiology, Stellenbosch University, Private Bag X1, 7602 Matieland (ZA). **BRINK, Marelize** [ZA/ZA]; co Department of Microbiology, Stellenbosch University, Private Bag X1, 7602 Matieland (ZA).

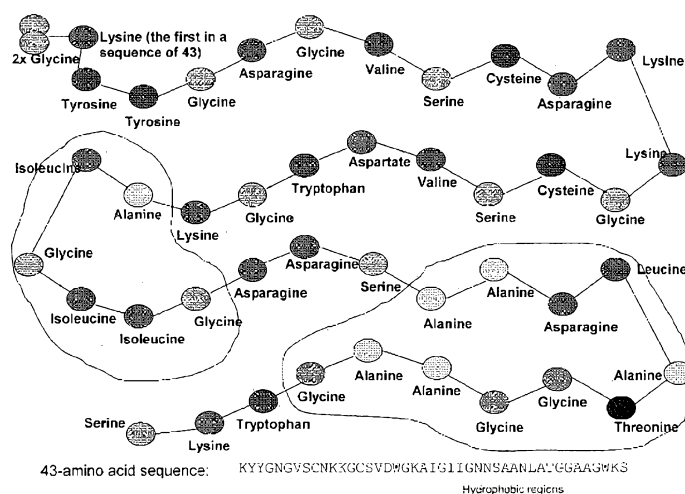
(74) Agent: **TRUTER, Kenneth Colin**; 2nd Floor Mariendahl House, Newlands on Main, PO Box 45060 Claremont, 7735 Cape Town (ZA).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,

[Continued on next page]

(54) Title: PROBIOTIC STRAIN AND ANTIMICROBIAL PEPTIDE DERIVED THEREFROM



(57) Abstract: The invention relates to a strain of *Enterococcus mundtii* having probiotic qualities. The strain of *E. mundtii* (ST4SA) produces an antimicrobial peptide which exhibits antimicrobial activity against a broad range of bacteria. The invention also provides an isolated nucleotide sequence which codes for the antimicrobial peptide (peptide ST4SA). Another aspect of the invention relates to a process for the production of a peptide of the invention which comprises cultivating *Enterococcus mundtii* strain ST4SA in a nutrient medium under micro-aerophilic conditions at a temperature of between 100C and 45°C, until a recoverable quantity of said peptide is produced, and recovering said peptide. The isolated peptide of the invention may be used as an antimicrobial agent in a liquid formulation or a gel formulation as a topical treatment and may also be used as an antimicrobial agent following encapsulation in a polymer.



FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL,  
PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

— *with sequence listing part of description published sepa-  
rately in electronic form and available upon request from  
the International Bureau*

**Published:**

- *with international search report*
- *before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments*

**(88) Date of publication of the international search report:**  
17 April 2008

**PROBIOTIC STRAIN AND ANTIMICROBIAL PEPTIDE DERIVED THEREFROM****FIELD OF THE INVENTION**

5           THIS INVENTION relates to a peptide obtained from a strain of *Enterococcus mundtii* and to a probiotic composition of a strain of *Enterococcus mundtii*. More particularly, the invention relates to a peptide obtained from a strain of *Enterococcus mundtii*, the gene coding for the peptide and various applications of the strain which produces the peptide and the peptide itself.

**BACKGROUND TO THE INVENTION**

10           Lactic acid bacteria play an important role in maintaining the balance of normal gastro-intestinal microflora. Diet, stress, microbial infection and intestinal diseases disturb the microbial balance, which often leads to a decrease in the number of viable lactic acid bacteria (especially lactobacilli and bifidobacteria) in the intestinal tract. The subsequent uncontrolled proliferation of pathogenic bacteria then leads to diarrhoea and other clinical disorders such as cancer, inflammatory disease and ulcerative colitis.

20           One of the key properties of probiotic lactic acid bacteria is the adhesion of cells to epithelial cells or intestinal mucus. This requires strong interaction between receptor molecules on epithelial cells and bacterial surfaces. Adhesion of probiotic cells prevent the adhesion of pathogens and stimulate the immune system. The latter is achieved by interacting with mucosal membranes, which in turn sensitises the lymphoids. Another important characteristic of probiotics is survival at low pH and high bile salts.

25           Certain strains of bifidobacteria, lactobacilli and enterococci associated with the intestines of humans and animals are known to produce bacteriocins (antimicrobial peptides). The role of these peptides, and their significance in controlling

30

the proliferation of pathogenic bacteria in the intestinal tract, is uncertain. However, as concluded from recent reports on bacteriocins active against Gram-negative bacteria, there may be renewed interest in these peptides and their interaction with intestinal pathogens. Many of the latter bacteriocins are produced by lactic acid bacteria normally present in the intestinal tract, viz. the *Lactobacillus acidophilus*-group, *Lactobacillus reuteri*, *Lactobacillus casei*, *Lactobacillus fermentum*, *Lactobacillus plantarum*, *Lactobacillus pentosus*, *Lactobacillus paracasei* subsp. *paracasei* and *Enterococcus faecalis*.

The invention describes a strain of *Enterococcus mundtii* that resists intestinal stress conditions (e.g. low pH, bile salts, salts, pancreatic enzymes) and produces a broad-spectrum antibacterial peptide (peptide ST4SA).

#### **BRIEF DESCRIPTION OF THE INVENTION**

According to one aspect of the present invention there is provided an isolated peptide from the bacterium *Enterococcus mundtii*, said peptide having the following amino acid sequence:

MSQVVGKKYYGNGVSCNKKGCSVDWGKAIGIIGNNSAANLATGGAAGWKS

(SEQ. ID. NO. 12);

a fragment thereof having antimicrobial activity;  
mimetics and derivatives thereof having antimicrobial activity;  
covalently bound bridge constructs thereof having antimicrobial activity; or  
sequences having more than about 95% homology, preferably more than  
85% homology, most preferably more than about 75% homology to said  
amino acid sequence, having antimicrobial activity.

According to another aspect of the present invention there is provided an isolated nucleotide sequence which codes for peptide ST4SA of the bacterium *Enterococcus mundtii*.

The isolated nucleotide sequence may comprise the following nucleotide sequence:

ATGTCACAAGTAGTAGGTGGAAAATACTACGGTAATGGAGTCTCATGTAA  
TAAAAAAGGGTGCAGTGTTGATTGGGGAAAAGCTATTGGCATTATTGGAAATAA  
5 TTCTGCTGCGAATTTAGCTACTGGTGGAGCAGCTGGTTGGAAAAGT (SEQ. ID.  
NO. 11);

the complement thereof;

a fragment thereof capable of producing, following expression thereof, a peptide having antimicrobial activity; or

10 nucleotide sequences which hybridize under strict hybridization conditions thereto.

Strict hybridization conditions correspond to a wash of 2 x SSC, 0.1% SDS, at 50°C.

15 The nucleotide sequence may be included in a vector, such as an expression vector or transfer vector in the form of a plasmid. The vector may include nucleic acid sequences encoding selection attributes, such as antibiotic resistance selection attributes, or other marker genes. Accordingly, the invention extends to a  
20 recombinant plasmid adapted for transformation of a microbial host cell, said plasmid comprising a plasmid vector into which a nucleotide sequence which codes for the antimicrobial peptide of the invention has been inserted.

25 The cell may be a prokaryotic or a eukaryotic cell, such as a bacterial cell or yeast cell. The nucleotide sequence may be incorporated transiently or constitutively into the genome of the cell. Accordingly, the invention extends to a transformed microbial cell which includes a recombinant plasmid, said plasmid comprising a plasmid vector into which a nucleotide sequence which codes for the antimicrobial peptide of the invention has been inserted.

30 According to a further aspect of the invention there is provided an isolated

peptide from the bacterium *Enterococcus mundtii*, the peptide having antimicrobial activity.

The bacterium *Enterococcus mundtii* which produces the isolated peptide identified above has been deposited with the ATCC under the assigned number PTA-7278. The specific strain of the bacterium *Enterococcus mundtii* that produces the isolated peptide identified above has been designated as strain ST4SA. Accordingly, the invention extends to a substantially pure culture of *Enterococcus mundtii* strain ST4SA deposited with the ATCC under the assigned number PTA-7278, said culture capable of producing peptide ST4SA in a recoverable quantity upon fermentation in a nutrient medium containing assimilable sources of carbon, nitrogen, and inorganic substances.

Another aspect of the invention relates to a process for the production of a peptide of the invention which comprises cultivating *Enterococcus mundtii* strain ST4SA in a nutrient medium under micro-aerophilic conditions at a temperature of between 10°C and 45°C, until a recoverable quantity of said peptide is produced, and recovering said peptide. Preferably the cultivation occurs at a temperature of about 37°C.

The nutrient medium may be selected from any one or more of the group including: corn steep liquor; cheese whey powder; MRS broth; yeast extract; and molasses. Preferably, the nutrient medium is MRS broth at pH 6 to 6.5.

The isolated peptide of the invention may be used as an antimicrobial agent in a liquid formulation or a gel formulation as a topical treatment for, for example, ear infections, such as mid-ear infections, and also throat, eye, or skin infections. The liquid formulation may also be for use as a liquid supplement to meals. The peptide may also further be used as an antimicrobial agent in a spray for treatment of, for example, sinus infections, sinusitis, tonsillitis, throat infections or rhinitis. The peptide may also be in the form of a lyophilized powder.

The isolated peptide may also be used as an antimicrobial agent following

encapsulation in a polymer. The invention extends, according to another aspect thereof, to a polymer having incorporated therein an antimicrobial amount of peptide ST4SA. This polymer may then be used in a formulation for topical treatment of infections such as, for example, skin infections, or may be used for incorporation in implants or medical devices such as, for example, ear grommets, catheters, ostomy tubes and pouches, stents, suture material, hygiene products such as feminine hygiene products, contact lenses, contact lens solution, or for incorporation in wound dressings. The encapsulation of the antimicrobial peptide in the polymer leads to a slow release of the antimicrobial peptide and an extended period of treatment of a microbial infection.

The isolated peptide may be included in a concentration of 100 000 AU/ml (Arbitrary Units) to 300 000 AU/ml of polymer, preferably about 200 000 AU/ml of polymer.

The peptide further may be used as an antimicrobial agent by being incorporated into ointment, lotion, or cream formulations. These ointment, lotion, or cream formulations may be used to treat infections. The peptide may also further be used as an antimicrobial agent by being incorporated into liquid formulations such as, for example, contact lens rinsing fluid, or it may be incorporated into the contact lenses themselves. Accordingly, the invention provides, as another aspect thereof, an antimicrobial ointment, lotion, or cream containing an antimicrobial peptide of the invention.

In another form of the invention the peptide may be used as an antimicrobial agent by being incorporated into packaging material such as, for example, plastic, for use in the manufacture of aseptic packaging.

In an even further form of the invention the peptide may be used as part of a broad-spectrum probiotic of the bacterium *Enterococcus mundtii* in a tablet form or in a capsule form or it may be in an edible form such as, for example, a sweet or chewing gum.



According to a further aspect of the invention there is provided a probiotic composition including a biologically pure culture comprising a therapeutically effective concentration of *Enterococcus mundtii* strain ST4SA. The strain may be included in a concentration of about  $10^6$  to  $10^9$ , preferably about  $10^8$  viable cells (cfu) per ml of probiotic composition.

In one form of the invention the probiotic composition may be used to reduce pathogenic bacteria in an animal or a human.

In another form of the invention the probiotic composition may be used to reduce pathogenic viruses in an animal or a human.

The probiotic composition may be in the form of a powder, a liquid, a gel, a tablet, or may be incorporated into a foodstuff. The probiotic composition in a liquid form may be used as a liquid supplement to meals. The strain may be included in a concentration of about  $10^6$  to  $10^9$ , preferably about  $10^8$  viable cells (cfu) per ml of liquid supplement.

The probiotic composition may be administered to an animal or human at a final concentration of between  $10^3$  and  $10^6$  cfu/ml, preferably between  $10^5$  and  $10^6$  cfu/ml, most preferably about  $3 \times 10^5$  cfu/ml.

According to another aspect of the invention there is provided a method of treating a bacterial infection condition in an animal or human, the method including the step of exposing an infected area of the animal or human to a substance or composition selected from the group comprising any one or more of:

a pharmaceutically effective amount of the *Enterococcus mundtii* strain of the invention; and

a pharmaceutically effective amount of the antimicrobial peptide of the invention.

Accordingly, the invention extends also to use of a therapeutically effective

amount of the *Enterococcus mundtii* strain of the invention in the manufacture of a medicament for use in a method of treating a bacterial infection in an animal or human.

The method may include the step of exposing bacterial species to the antimicrobial peptide of the invention at a concentration of 100 000 to 300 000 AU/ml, preferably about 200 000 AU/ml.

The invention extends also to use of a therapeutically effective amount of the antimicrobial peptide of the invention in the manufacture of a medicament for use in a method of treating a bacterial infection in an animal or human.

The invention further provides a substance or composition for use in a method of treating a bacterial infection in an animal or human, said substance or composition comprising the *Enterococcus mundtii* strain of the invention, and said method comprising administering a therapeutically effective amount of the substance or composition to the animal or human.

The invention further provides a substance or composition for use in a method of treating a bacterial infection in an animal or human, said substance or composition comprising the antimicrobial peptide of the invention, and said method comprising administering a therapeutically effective amount of the substance or composition to the animal or human.

The bacterial infection may be an infection caused by any one or more of: *Acinetobacter baumannii*; *Bacillus cereus*; *Clostridium tyrobutyricum*; *Enterobacter cloacae*; *Escherichia coli*; *Klebsiella pneumoniae*; *Listeria innocua*; *Pseudomonas aeruginosa*; *Staphylococcus aureus*; *Staphylococcus carnosus*; *Streptococcus caprinus*; *Streptococcus (Enterococcus) faecalis*; or *Streptococcus pneumoniae*.

According to a still further aspect of the invention there is provided a method of inhibiting growth of bacterial species, the method including the step of exposing bacterial species to an effective amount of the antimicrobial peptide of the

invention. The bacterial species may be selected from the group including:

*Acinetobacter baumannii*; *Bacillus cereus*; *Clostridium tyrobutyricum*;  
*Enterobacter cloacae*; *Escherichia coli*; *Klebsiella pneumoniae*; *Listeria innocua*;  
*Pseudomonas aruginosa*; *Staphylococcus aureus*; *Staphylococcus carnosus*;  
5 *Streptococcus caprinus*; *Streptococcus (Enterococcus) faecalis*; and *Streptococcus pneumoniae*.

The method may include the step of exposing bacterial species to the antimicrobial peptide of the invention at a concentration of 100 000 to 300 000 AU/ml,  
10 preferably about 200 000 AU/ml.

According to one aspect of the present invention there is provided an isolated transporter peptide from the bacterium *Enterococcus mundtii*, said peptide having the amino acid sequence of SEQ. ID. NO. 14:

15 a fragment thereof;  
moteins and derivatives thereof; or  
sequences having more than about 90% homology, preferably more than 80% homology, most preferably more than about 70% homology to said amino acid sequence, having antimicrobial activity.

20 According to another aspect of the present invention there is provided an isolated nucleotide sequence which codes for ST4SA transporter peptide of the bacterium *Enterococcus mundtii*. The isolated nucleotide sequence may comprise the nucleotide sequence of SEQ. ID. NO. 13;

25 the complement thereof;  
a fragment thereof; or  
nucleotide sequences which hybridize under strict hybridization conditions thereto.

30 According to one aspect of the present invention there is provided an isolated immunity peptide from the bacterium *Enterococcus mundtii*, said peptide having

the amino acid sequence of SEQ. ID. NO. 16:

a fragment thereof;

muteins and derivatives thereof; or

sequences having more than about 90% homology, preferably more than

5 80% homology, most preferably more than about 70% homology to said amino acid sequence, having antimicrobial activity.

According to another aspect of the present invention there is provided an isolated nucleotide sequence which codes for ST4SA immunity/adhesion peptide of the  
10 bacterium *Enterococcus mundtii*. The isolated nucleotide sequence may comprise the nucleotide sequence of SEQ. ID. NO. 15;

the complement thereof;

a fragment thereof; or

nucleotide sequences which hybridize under strict hybridization conditions  
15 thereto.

The invention extends, according to another aspect thereof, to a primer selected from the group consisting of SEQ. ID. NO. 1 to SEQ. ID. NO. 10. Accordingly, the invention extends also to a primer pair selected from the following group:

20 primer pair comprising SEQ. ID. NO. 1 and SEQ. ID. NO. 2;  
primer pair comprising SEQ. ID. NO. 3 and SEQ. ID. NO. 4;  
primer pair comprising SEQ. ID. NO. 5 and SEQ. ID. NO. 6;  
primer pair comprising SEQ. ID. NO. 7 and SEQ. ID. NO. 8; and  
primer pair comprising SEQ. ID. NO. 9 and SEQ. ID. NO. 10.

25

The invention extends also to the use of the primer pair of SEQ. ID. NO. 1 and SEQ. ID. NO. 2 in the identification of *Enterococcus mundtii*.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

30

For a better understanding of the present invention, and to show how the same may be carried into effect, reference will now be made, by way of example only,

to the accompanying drawings and tables in which:

Figure 1 is an image of cell morphology of *Enterococcus mundtii* strain ST4SA as observed by SEM (scanning electron microscopy);

5        Figure 2 is a photograph of an agarose gel showing a PCR product (DNA fragment) obtained by using genus-specific primers. A genus-specific DNA band of 112 kb, specific for *Enterococcus*, was obtained;

10       Figure 3 is a photograph of an agarose gel showing a PCR product (DNA fragment) obtained by using species-specific primers. A species-specific DNA band of 306 bp, specific for *Enterococcus mundtii*, was obtained;

Figure 4 is a graphic representation of peptide ST4SA separated by gel filtration (AKTA-purifier);

Figure 5 is a photograph of a SDS-polyacrylamide gel showing the position of peptide ST4SA;

15       Figure 6A is a photograph of an agarose gel showing the plasmid profile of *E. mundtii* ST4SA;

Figure 6B is a Southern blot showing the location of the structural gene on the chromosome (genome) of strain ST4SA;

20       Figure 7 is a diagrammatic representation of the amino acid sequence of *E. mundtii* peptide ST4SA;

Figure 8 is a DNA sequence of the peptide ST4SA structural gene (A), transporter gene (B) and immunity gene (C);

Figures 9A and 9B show the influence of growth medium components on peptide ST4 production;

25       Figure 10 is a graphic presentation of the production of peptide ST4SA in MRS broth;

Figure 11 is a photograph of an agarose gel showing a DNA fragment which may contain the putative adhesion gene;

30       Figure 12 is a graph showing the stability of peptide ST4SA (crude extract suspended in sterile distilled water) at different temperatures;

Figure 13 is a photograph of inhibition zones recorded against *Pseudomonas* sp.

on an agar plate;

Figure 14 is a photograph of *Streptococcus pneumoniae* treated with peptide ST4SA (409 600 AU/ml) and leakage of the cytoplasm is indicated by the arrows;

Figure 15 is a graph showing the growth inhibition of *Streptococcus pneumoniae* (pathogen 27);

Figure 16 is a graph showing the growth inhibition of *Streptococcus pneumoniae* (pathogen 29);

Figure 17 is a graph showing the growth inhibition of *Klebsiella pneumoniae* (pathogen 30);

Figure 18 is a graph showing the growth inhibition of middle ear isolate (pathogen 40);

Figure 19 is a graph showing the growth inhibition of middle ear isolate (pathogen BW);

Figure 20 is a graph showing the growth inhibition of middle ear isolate (pathogen E);

Figure 22 is a graph showing the growth inhibition of middle ear isolate (pathogen GW);

Figure 23 is a graph showing growth inhibition of middle ear isolate (pathogen HW);

Figure 24 is a graph showing growth of strain ST4SA in the presence of *Listeria innocua* LMG 13568 and production of peptide ST4SA. Symbols: -◆- = growth of strain ST4SA in the presence of *L. innocua* LMG 13568, -■- = growth inhibition of *L. innocua* LMG 13568; -●- = changes in pH, ■ = production of peptide ST4SA;

Figure 25 is a schematic presentation of a gastro-intestinal model (GIM) developed for evaluation of probiotic strain ST4SA;

Figure 26 is a schematic presentation showing blocking of the Lipid II target site with vancomycin and its affect on the mode of action (antimicrobial activity) of peptide ST4SA. % Leakage refers to cytoplasmic leakage, as recorded by increased DNA and  $\beta$ -galactosidase activity levels.

Figure 27 is a schematic representation of a disulfide bridge that was introduced into the peptide that covalently linked amino acids 9 (cysteine) and 14 (cysteine);

Figure 28 shows a hydrophobicity plot of ST4SA;

Figure 29 shows the results of *L. monocytogenes* challenge with ST4SA and survival in an *in vitro* GIT model;

Figure 30 shows growth curves of *E. mundtii* ST4SA in MRS broth and CSL media;

Figure 31 shows hemolysin and eosin stained sections of (A) Control Colon (B) Strain ST4SA fed colon (C) Control Ileum (D) Strain ST4SA fed Ileum (E) Control Liver (F) Strain ST4SA fed liver (G) Control Spleen (H) Strain ST4SA Spleen at 60X magnification;

Figure 32 shows a graph representing the daily weight measurements of each experimental group of rats. The arrow indicates the time of *Listeria* infection;

Figure 33 shows a graph representing daily measurements of feed intake of rats;

Figure 34 shows a graph representing the daily measurement of water consumed by each experimental group of rats;

Figure 35 shows a graph representing the faecal moisture content of each experimental groups of rats to which strain ST4, strain 423 and water controls had been administered; and

Figure 36 shows *Listeria* counts in faecal samples collected 1 day pre-infection and 2 days post-infection from each experimental group of rats.

Table 1 shows phenotypic characteristics of strain ST4SA (sugar fermentation reactions);

Table 2 shows differential characteristics of strain ST4SA;

Table 3 shows the effect of enzymes, temperature, pH and detergents on peptide ST4SA;

Table 4 shows an activity spectrum of peptide ST4SA;

Table 5 shows activity of peptide ST4SA on paper disks;

Table 6 shows the comparison of the activity of peptide ST4SA to commonly used antibiotics;

Table 7 shows adhesion of peptide ST4SA to pathogens;

Table 8 shows extracellular DNA recorded after treatment of pathogens with peptide ST4SA;

Table 9 shows  $\beta$ -galactosidase activity recorded after the treatment of pathogens with peptide ST4SA;

Table 10 shows operation of the GIM (computerized);

Table 11 shows survival of strain ST4SA and production of peptide ST4SA in the GIM - *Listeria monocytogenes* was used as target organism (pathogen). The values are an average of three trials. The nutrients used were NAN Pelargon (Nestlé) and MRS (De Man Rogosa medium, Biolab), respectively;

Table 12 shows antibiotic resistance of strain ST4SA;

Table 13 shows growth of strain ST4SA in the presence of different concentrations of Trimethoprim-sulfamethoxazole (TMP-SMX) and Metronidazole;

Table 14 shows virulence factors that were assayed;

Table 15 shows the adhesion of strain ST4SA to Caco-2 cell lines by plate counting (control);

Table 16 shows competitive exclusion of strain ST4SA and *L. monocytogenes*;

Table 17 shows weight figures for rats administered strain ST4SA, Lactovita or unsupplemented water;

Table 18 shows  $\beta$ -glucuronidase activity in faecal samples of male Wistar rats during a (A) 107 day Lactovita study and (B) a 50 day strain ST4SA study. Values are mM p-nitrophenol released per 2 hours. Standard error values are shown in parenthesis;

Table 19 shows the purification of peptide ST4SA in MRSf (MRS filtrate) medium;

Table 20 shows results for pure CSL, molasses and CWp tested as growth media for peptide ST4SA production;

Table 21 shows a full factorial design (FFD) with CSL and CWp as components to determine which media had the most significant effect on peptide ST4SA production;

Table 22 shows the results of CSL supplemented with MRS components for ST4SA activity optimization;

Table 23 shows high and low concentrations of MRS components and CSL;

Table 24 shows the  $2^{10-5}$  FrFD design;

Table 25 shows an analysis of Variance Table for a  $2^{10-5}$  FrFD design;

Table 26 shows a  $2^2$  FFD with 3 centre points for determination of CSL and YE concentrations;

Table 27 shows the uptake of carbon during fermentation;



Table 28 shows the results of resistance testing of *E. mundtii* ST4 against antibiotics and anti-inflammatory drugs;

Table 29 shows the effect of antibiotics and anti-inflammatory medicaments on adhesion of ST4SA to Caco-2 cells;

5

### **DETAILED DESCRIPTION OF THE INVENTION**

The invention describes a strain of the bacterium *Enterococcus mundtii* that resists intestinal stress conditions (e.g. low pH, bile salts, salts, pancreatic enzymes) and produces a broad-spectrum antibacterial peptide, namely peptide ST4SA. The strain of *Enterococcus mundtii* which produces the peptide ST4SA has been deposited with the ATCC (American Type Culture Collection) and the assigned number is PTA-7278. Activity has been observed against a large number of Gram-positive and Gram-negative bacteria, most of these isolated from middle-ear infections and the intestine. Examples of bacteria inhibited are as follows: *Acinetobacter baumannii*, *Bacillus cereus*, *Clostridium tyrobutyricum*, *Enterobacter cloacae*, *Escherichia coli*, *Klebsiella pneumoniae*, *Listeria innocua*, *Pseudomonas aruginosa*, *Staphylococcus aureus*, *Staphylococcus carnosus*, *Streptococcus caprinus*, *Streptococcus* (*Enterococcus*) *faecalis*, *Streptococcus pneumoniae*, and a number of clinical strains of unidentified Gram-positive and Gram-negative bacteria isolated from infected middle-ear fluid samples. This is a large spectrum of activity, specifically against such a variety of human pathogens.

A number of broad-spectrum antibacterial peptides with activity against Gram-negative bacteria have been described, e.g. pentocin 35d produced by *Lactobacillus pentosus*, bacteriocin ST151BR produced by *Lactobacillus pentosus* ST151BR, a bacteriocin produced by *Lactobacillus paracasei* subsp. *paracasei*, thermophylin produced by *Streptococcus thermophilus*, peptide AS-48 produced by *Enterococcus faecalis*, a bacteriocin produced by *Lactococcus lactis* KCA2386 and enterocin CRL35 produced by *Enterococcus faecium*. However, the activity spectrum of these peptides are much narrower than that recorded for peptide ST4SA, as may be

30

seen from the species sensitive to peptide ST4SA listed above. The broad spectrum of activity, especially against pathogenic bacteria, renders peptide ST4SA ideal for the control of bacterial infections, especially middle-ear infections (since the peptide is active against a large variety of middle-ear pathogens), as well as pathogens involved in secondary wound infections (the peptide inhibits the growth of a number of these pathogens). Pathogens isolated from infected middle-ear fluid were *Acinetobacter baumannii*, *Escherichia coli*, *Klebsiella pneumoniae*, *Pseudomonas aeruginosa*, *Staphylococcus aureus*, *Staphylococcus carnosus*, *Streptococcus pneumoniae*, and a number of unidentified Gram-positive and Gram-negative bacteria.

## Materials and Methods

### *Isolation of strain ST4SA and identification to species level*

Soy bean extract, obtained from soy beans that have been immersed in sterile distilled water for 4h, was serially diluted and plated onto MRS Agar (Biolab, Biolab Diagnostics, Midrand, SA), supplemented with 50 mg/l Natamycin (Delvolid<sup>®</sup>, Gist-brocades, B.V., Delft, The Netherlands) to prevent fungal growth. The plates were incubated at 30°C and 37°C for two days.

Colonies were at random selected from plates with between 50 and 300 cfu (colony forming units) and screened for antimicrobial activity by overlaying them with a second layer of MRS Agar, supplemented with Delvolid<sup>®</sup> (50 mg/l). The plates were incubated at 30°C and 37°C for 48 h, in an anaerobic flask (Oxoid, New Hampshire, England) in the presence of a Gas Generation Kit (Oxoid). The colonies were covered with a third layer (ca. 10 ml) semi-solid (1.0 % agar, w/v) BHI medium (Merck, Darmstadt, Germany), supplemented with 1 ml active growing cells (ca. 10<sup>6</sup> cfu/ml) of *Lactobacillus casei*, *Enterococcus faecalis*, *Staphylococcus aureus*, *Pseudomonas aeruginosa*, *Klebsiella pneumoniae*, *Streptococcus pneumoniae* and *Staphylococcus aureus*, respectively. The plates were incubated at 37°C for 24 h.

One of the colonies inhibited the largest variety of pathogens included in the test panel (Table 4) and was re-inoculated into MRS broth (Biolab), followed by re-streaking to obtain pure cultures. The isolate was designated strain ST4SA. Antimicrobial activity was confirmed by using the agar-spot test method.

The cell morphology of strain ST4SA was studied by scanning electron microscopy (SEM) (see Figure 1). Ten ml of an early-exponential phase ( $OD_{600nm} = 0.2$ ) culture of strain ST4SA was harvested by centrifugation and washed five times with sterile distilled water ( $3\ 000 \times g$ , 10 min,  $4^{\circ}C$ ). The pellet was resuspended in 500  $\mu$ l sterile MilliQ water (Waters, Millipore) and then subjected to microscopy.

Further identification was accomplished by physiological (Table 2) and biochemical characteristics. Pigment formation was tested on Tryptic Soy Broth (Merck). Test for motility was also done. Sugar fermentation reactions (Table 1) were recorded by using the API 50 CHL and API 20 Strep test strips (Biomérieux, Marcy-l'Étoile, France) and compared with reactions listed for enterococci.

**Table 1**

Compound/Derivative	<i>E. mundtii</i> ST4SA fermentation
Control	
Glycerol	+
Erythritol	
D-arabinose	
L-arabinose	++
Ribose	+++
D-xylose	++
L-xylose	
Adonitol	
Methyl-xyloside	
Galactose	++
D-glucose	+++
D-fructose	+++
D-mannose	++
L-sorbose	
Rhamnose	++
Dulcitol	
Inositol	
Mannitol	++
Sorbitol	++
Methyl-D-mannoside	++

Methyl-D-glucoside	
Acetyl glucosamine	++
Amygdaline	+++
Arbutine	+++
Esculine	+++
Salicine	+++
Cellobiose	+++
Maltose	+++
Lactose	+++
Melibiose	++
Saccharose	+++
Trehalose	++
Inuline	
Melezitose	
D-raffinose	
Amidon	+
Glycogen	
Xylitol	
B Gentiobiose	+++
D-turanose	
D-lyxose	
D-tagatose	
D-fucose	
L-fucose	
D-arabitol	
L-arabitol	
Gluconate	
2 ceto-gluconate	
5 ceto-gluconate	

Table 2

Characteristic	Strain ST4SA
CO <sub>2</sub> from glucose	-
Growth at 10°C	+
Growth at 45°C	+
Growth in 6.5% NaCl	+
Growth in 18% NaCl	-
Growth at pH 4.4	+
Growth at pH 9.6	+
Lactic acid configuration	L

Further identification was by DNA banding patterns generated with

primers specific for *Enterococcus* (Figure 2) and *Enterococcus mundtii* (Figure 3). A 50 bp DNA fragment (Amersham Bioscience, UK Limited, UK) was used as marker. Primers used to generate the 306 bp fragment (shown in Figure 3) were designed from suitably conserved regions on 16S rDNA.

SEQ. ID. NO. 1: Forward primer: AACGAGCGCAACCC;

SEQ. ID. NO. 2: Reverse primer: GACGGGCGGTGTGTAC

Sequencing of the DNA fragment revealed 98% homology with conserved 16S rDNA fragments in GenBank.

#### *Expression of antimicrobial activity*

Antimicrobial activity was expressed as arbitrary units (AU) per ml cell-free supernatant. One AU is defined as the reciprocal of the highest dilution showing a clear zone of growth inhibition and is calculated as follows:

$a^b \times 100$ , where "a" represents the dilution factor and "b" the last dilution that produces an inhibition zone of at least 2 mm in diameter. Activity is expressed per ml by multiplication with 100. *Lactobacillus casei* LHS was used as indicator strain.

#### *Characterization of the antimicrobial substance*

Strain ST4SA was cultured in MRS broth (Biolab) for 24 and 48 h at 30°C. The cells were harvested (8 000 x g, 4°C, 15 min) and the cell-free supernatants adjusted to pH 6.0 with 6M NaOH. One ml of each supernatant was treated with catalase (Boehringer Mannheim) at 0.1 mg/ml (final concentration), Proteinase K (Roche, Indianapolis, IN, USA), pronase, papain, pepsin and trypsin (Boehringer Mannheim GmbH, Germany), at 1 mg/ml and 0.1 mg/ml (final concentration), respectively. After incubation the enzymes were heat-inactivated (3 min at 100°C) and tested for antimicrobial activity, as described before. The results are listed in Table 3.

Aliquots of peptide ST4SA were exposed to heat treatments of 30°C, 60°C and 100°C for 10, 30 and 90 min, respectively, and 121°C for 20 min (Table 3). The

samples were then tested for antimicrobial activity, as described before.

**Table 3**

Treatment	Peptide ST4SA
<u>Enzymes:</u> $\alpha$ -amylase Proteinase K pepsin pronase	 + - - -
<u>pH:</u> 2.0 – 12.0	 +
<u>Heat:</u> 30, 60, 100 °C for 10 min 30, 60, 100 °C for 30 min 30, 60, 100 °C for 90 min 121 °C for 20 min	 + + + -
<u>Detergents (1%):</u> SDS Tween 20, Tween 80 urea Triton X-100 Triton X-114	 + + + + -
<u>EDTA:</u> 0.1 mM, 1.0 mM, 2.0 mM	 +

5 Key: - = no activity; + = activity zones of at least 5mm in diameter

10 In a separate experiment, samples of peptide ST4SA were adjusted to pH values ranging from 2-12, incubated at 37°C for 30 min, neutralised to pH 7, and then tested for antimicrobial activity (Table 3).

#### *Isolation and purification of peptide ST4SA*

15 A 24h-old culture of strain ST4SA was inoculated (2%, v/v, OD<sub>600nm</sub> = 8.0) into MRS broth (Biolab). Incubation was at 37°C, without agitation, for 20h. The cells were harvested (8 000 x g, 10 min, 4°C) and the peptide precipitated from the cell-free supernatant with 80% saturated ammonium sulphate. The precipitate was resuspended in one tenth volume 25 mM ammonium acetate (pH 6.5), desalted against distilled water by using a 1000 Da cut-off dialysis membrane (Spectrum Inc., CA, USA) and loaded on

a SepPak C18 column (Water Millipore, MA, USA). The column was washed with 20% (v/v) iso-propanol in 25 mM ammonium acetate (pH 6.5) and the bacteriocin eluted with 40% iso-propanol in 25 mM ammonium acetate (pH 6.5). After drying under vacuum (Speed-Vac; Savant), the fractions were pooled and dissolved in 50 mM phosphate buffer, pH 6.5. The active fractions were further separated by gel filtration chromatography in a Superdex<sup>™</sup> 75 column (Amersham Pharmacia Biotech) linked to an ÄKTA<sup>™</sup>purifier (Amersham) (Figure 4). Elution of the peptide from the column was with 50 mM phosphate buffer and 400 mM NaCl, pH 6.5. Peptides were detected at 254 nm and 280 nm, respectively. Fractions containing the active peptide were collected, dried under vacuum and stored at -20°C. Activity tests were performed by using the agar spot method.

#### *Size determination*

Strain ST4SA was grown in MRS broth (Biolab) for 20h at 30°C. The cells were harvested by centrifugation (8 000 x g, 10 min, 4°C) and peptide ST4SA precipitated from the cell-free supernatants with 80% saturated ammonium sulphate. The precipitate was resuspended in one tenth volume 25 mM ammonium acetate (pH 6.5), desalted against distilled water by using a 1000 Da cut-off dialysis membrane (Spectrum Inc., CA, USA) and separated by tricine-SDS-PAGE (Figure 5A). A low molecular weight marker with sizes ranging from 2.35 to 46 kDa (Amersham International, UK) was used. The gels were fixed and one half overlaid with *L. casei* LHS (10<sup>6</sup> cfu/mL), embedded in Brain Heart Infusion (BHI) agar (Biolab), to determine the position of the active bacteriocin (Figure 5B).

#### *Cytotoxicity tests on peptide ST4SA*

To determine if peptide ST4SA has cytotoxic properties, triplicate wells of confluent monolayers of monkey kidney Vero cells were grown in tissue culture plates for 48 h and then exposed to various concentrations of the antimicrobial peptide. After 48 h of incubation, cell viability was tested by treating the cells with tetrazolium salt MTT

[3-(4,5-dimethylthiazol-2-gamma-2,5-diphenyl tetrazolium bromide)] (Sigma). Production of a blue product (formazan), which forms after cleaving of MTT by succinate dehydrogenase was recorded.

5                   The 50% cytotoxicity level ( $CC_{50}$ ) was defined as the peptide concentration ( $\mu\text{g/ml}$ ) required to reduce cell viability by 50%. According to the  $CC_{50}$  results (cytotoxicity level), no cytotoxicity was recorded, that is, the peptide has zero (0)  $CC_{50}$ .

#### 10    *Plasmid isolation*

Total DNA was isolated. Plasmid DNA was isolated, after which the DNA was further purified by CsCl density gradient centrifugation. The DNA was separated on an agarose gel (Figure 6). Lambda DNA digested with *EcoRI* and *HindIII* (Promega, Madison, USA) was used as molecular weight marker.

#### 15    *Plasmid curing*

20                   Curing experiments were conducted. Cells of *Ent. mundtii* ST4SA were incubated in the presence of novobiocin ( $1 - 25 \mu\text{g ml}^{-1}$ ) for 72 h at  $37^{\circ}\text{C}$ . The culture which grew at the highest concentration of novobiocin was serially diluted with sterile saline and plated out onto MRS agar plates. After overnight incubation at  $37^{\circ}\text{C}$ , the colonies were replica-plated and the original plates overlaid with cells of *Enterococcus faecalis* LMG 13566. After a further 16 h of incubation at  $37^{\circ}\text{C}$ , the colonies were  
25                   checked for loss of antimicrobial activity.

#### 30    *Conjugative transfer experiments*

Filter mating experiments were done. An overnight grown culture (0.25 ml) of *Ent. mundtii* ST4SA and *Ent. faecalis* FA2-2 were added to 4.5 ml MRS, mixed and filtered through a  $0.45 \mu\text{m}$  pore-size sterile membrane filter (HAWP, Millipore). The membrane was placed onto a MRS agar plate and incubated overnight



at 37°C. The cells were washed from the filter into 1 ml MRS, serially diluted and plated onto MRS agar plates containing 25 µg ml<sup>-1</sup> fusidic acid, 25 µg ml<sup>-1</sup> rifampicin and 2000 AU ml<sup>-1</sup> crude peptide ST4SA. The experiment was repeated with *Ent. faecalis* OGX1 as recipient. In this case the selection was done on plates containing 1 mg ml<sup>-1</sup> streptomycin and 2000 AU ml<sup>-1</sup> crude peptide ST4SA. Colonies were selected at random and checked for production of peptide ST4SA and screened for plasmid content, as described before. A colony of conjugated cells of *Ent. faecalis* OGX1 which contained pST4SA was cultured and the cell-free supernatant was subjected to activity tests, as described before.

*Detection of the genetic elements encoding peptide ST4SA and DNA sequencing.*

Total DNA was isolated. The DNA primers used to amplify the structural gene, transporter gene and immunity gene (listed below) by PCR, were designed based on partial sequences published for other antimicrobial peptides. Sequencing was performed with an ABI Prism<sup>TM</sup> BigDye<sup>TM</sup> Terminator Cycle Sequencing Ready Reaction kit on a ABI Prism<sup>TM</sup> 377 DNA Sequencer (PE Applied Biosystem, Foster City, USA). A database search was performed by using the BLASTN and BLASTX programs of the National Center for Biotechnology Information (NCBI), Bethesda, MD 20894, USA.

Structural gene Primers:

SEQ. ID. NO. 3: SG-a: TGAGAGAAGGTTTAAGTTTTGAAGAA (forward)

SEQ. ID. NO. 4: SG-b: TCCACTGAAATCCATGAATGA (reverse)

ABC transporter primers:

SEQ. ID. NO. 5: ABC1-a: TGATGGATTTCAGTGGAAGT (forward)

SEQ. ID. NO. 6: ABC1-b: ATCTCTTCTCCGTTTAATCG (reverse)

SEQ. ID. NO. 7: ABC2-a: GTCATTGTTGTGGGGATTAT (forward)

SEQ. ID. NO. 8: ABC2-b: TCTAGATACGTATCAAGTCC (reverse)

Immunity primers:

SEQ. ID. NO. 9: Immun-a: TTCCTGATGAACAAGAACTC (forward)

SEQ. ID. NO. 10: Immun-b: GTCCCCACAACCAATAACTA (reverse)

*Production of peptide ST4SA in different growth media and at different initial growth pH values*

An 18 h-old culture of strain ST4SA was inoculated (2 %, v/v) into MRS broth, BHI broth and M17 broth (Merck, Darmstadt, Germany), respectively. Incubation was at 30 °C and 37 °C, respectively, without agitation, for 28 h. Samples were taken every hour and examined for bacterial growth (OD at 600nm), changes in culture pH, and antimicrobial activity (AU/ml) against *L. casei* LHS. The agar-spot test method was used as described before.

In a separate experiment, the effect of initial medium pH on the production of peptide ST4SA was determined. Volumes of 300 ml MRS broth were adjusted to pH 4.5, 5.0, 5.5, 6.0 and 6.5, respectively, with 6 M HCl or 6 M NaOH and then autoclaved (Figure 9A). Each flask was inoculated with 2 % (v/v) of an 18 h-old culture of strain ST4SA and incubated at 30 °C for 20 h without agitation. Changes in culture pH and production of peptide ST4SA, expressed as AU/ml, were determined every hour as described hereinbefore. All experiments were done in triplicate.

*Effect of medium composition on the production of peptide ST4SA*

Strain ST4SA was grown in 10 ml MRS broth for 18 h at 30 °C, the cells harvested by centrifugation (8000 x g, 10 min, 4 °C), and the pellet resuspended in 10 ml sterile peptone water. Four ml of this cell suspension was used to inoculate 200 ml of the following media: (a) MRS broth (De Man, Rogosa and Sharpe), without organic nutrients, supplemented with tryptone (20.0 g/l), meat extract (20.0 g/l), yeast extract (20.0 g/l), tryptone (12.5 g/l) plus meat extract (7.5 g/l), tryptone (12.5 g/l) plus yeast extract (7.5 g/l), meat extract (10.0 g/l) plus yeast extract (10.0 g/l), or a combination of tryptone (10.0 g/l), meat extract (5.0 g/l) and yeast extract (5.0 g/l), respectively; (b) MRS broth (Biolab), i.e. with 20.0 g/l glucose; (c) MRS broth (De Man, Rogosa and Sharpe) without glucose, supplemented with 20.0 g/l fructose, sucrose, lactose, mannose, and maltose, respectively; (d) MRS broth with 0.1 – 40.0 g/l fructose

as sole carbon source; (e) MRS broth (De Man, Rogosa and Sharpe) with 2.0 – 50.0 g/l  $K_2HPO_4$  or 2.0 – 50.0 g/l  $KH_2PO_4$ ; and (f) MRS broth (De Man, Rogosa and Sharpe) supplemented with 0 – 50.0 g/l glycerol. In a separate experiment, the vitamins cyanocobalamin (Sigma, St. Louis, Mo.), L-ascorbic acid (BDH Chemicals Ltd, Poole, UK), thiamine (Sigma), DL-6,8-thioctic acid (Sigma) and Vitamin K<sub>1</sub> (Fluka Chemie AG, CH-9471 Buchs, Switzerland) were filter-sterilised and added to MRS broth at 1.0 mg/ml (final concentration). Incubation for all tests was at 30°C for 20 h. Activity levels of peptide ST4SA were determined as described before. All experiments were done in triplicate.

Strain ST4SA was identified as a strain of the genus *Enterococcus*, based on morphology, as shown in Figure 1, non-motility, absence of pigment formation, and PCR with genus-specific primers (Figure 2) and species-specific primers (Figure 3). Further identification to species level was by sugar fermentation patterns as shown in Table 1 and physiological characteristics as shown in Table 2 above.

Peptide ST4SA was inactivated by treatment with proteolytic enzymes (Proteinase K, pepsin, pronase, papain and trypsin), Triton X-114 and after 20 min at 121°C, as can be seen in Table 3. The peptide remained active after treatment for 90 min at 100°C and when treated with Tween 20, Tween 80, urea, EDTA and Triton X-100 (Table 3). No activity was lost after incubation at pH values ranging from 2.0 to 12.0 (Table 3).

Peptide ST4SA inhibited a large number of Gram-positive and Gram-negative bacteria as shown in Table 4.

Table 4

Organism	Temp.(°C)	Medium, incubation	Peptide activity
<i>Acinetobacter baumannii</i> AB16	37	BHI, aerobic	+
<i>Bacillus cereus</i> LMG 13569	37	BHI, aerobic	+
<i>Clostridium tyrobutyricum</i> LMG 13571	30	RCM, anaerobic	+
<i>Enterobacter cloacae</i> 26	37	BHI	+
<i>Enterococcus faecalis</i> LMG 13566, E77, E80, E9 FA2, 20, 21	37	MRS, aerobic	+
<i>Escherichia coli</i> EC1	37	BHI	+
<i>Klebsiella pneumoniae</i> KP31	37	BHI	+
<i>Lactobacillus acidophilus</i> LMG 13550	37	MRS, anaerobic	-
<i>Lactobacillus bulgaricus</i> LMG 13551	37	MRS, anaerobic	-
<i>Lactobacillus casei</i> LMG 13552	37	MRS, anaerobic	-
<i>Lactobacillus curvatus</i> LMG 13553	30	MRS, anaerobic	-
<i>Lactobacillus fermentum</i> LMG 13554	37	MRS, anaerobic	-
<i>Lactobacillus helveticus</i> LMG 13555	42	MRS, anaerobic	-
<i>Lactobacillus plantarum</i> LMG 13556	37	MRS, anaerobic	-
<i>Lactobacillus reuteri</i> LMG 13557	37	MRS, anaerobic	-
<i>Lactobacillus sakei</i> LMG 13558	30	MRS, anaerobic	+
<i>Leuconostoc mesenteroides</i> subsp. <i>cremoris</i> LM	30	MRS, anaerobic	-
<i>Listeria innocua</i> LMG 13568	30	BHI, aerobic	+
<i>Pediococcus pentosaceus</i> LMG 13560	30	MRS, anaerobic	-
<i>Prop. acidipropionici</i> LMG 13572	32	GYP, anaerobic	-
<i>Propionibacterium</i> sp. LMG 13574	32	GYP, anaerobic	+
<i>Pseudomonas aeruginosa</i> 1, 7	37	BHI, aerobic	+
<i>Staphylococcus aureus</i> 6, 13, 33-38	37	BHI	+
<i>Staphylococcus carnosus</i> LMG 13567	37	BHI, aerobic	+
<i>Streptococcus caprinus</i> TS1, TS2	37	BHI, aerobic	+
<i>Streptococcus pneumoniae</i> 3, 4, 27, 29	37	BHI, aerobic	+
<i>Streptococcus thermophilus</i> LMG 13565	42	MRS, anaerobic	-

Peptide ST4SA was purified by gel filtration shown in Figure 4 and is in the range of 3400 Da, as seen from Figures 4 and 5. The peptide had no cytotoxicity when tested on Vero cells as described hereinbefore.

Strain ST4SA has two plasmids as shown in Figure 6A. Curing the strain of these plasmids did not result in loss of antimicrobial activity. Probing of the chromosome and plasmid DNA with a DNA fragment encoding the structural gene showed clear hybridization with the chromosome (genome) (Figure 6B). This proved that the structural gene encoding peptide ST4SA is located on the genome. Furthermore, conjugation of these plasmids to *E. faecalis* (not shown) did not convert the strain to a peptide ST4SA producer, confirming that the genes encoding peptide ST4 production are located on the genome of strain ST4SA.

The sequence of peptide ST4SA is indicated in Figure 7 and the sequence listing and is indexed as SEQ. ID. NO. 12 for the purposes of this invention. The sequence of the transporter and immunity peptides are indexed as SEQ. ID. NOs 14 and 16, respectively, for the purposes of this invention.

The DNA sequences of the structural, transporter and immunity genes are indicated in Figure 8 and the sequence listing, and are indexed as SEQ. ID. NOs 11, 13 and 15, respectively, for the purposes of this specification.

Production of peptide ST4SA in different growth media is indicated in Figures 9A and 9B. The influence of initial growth pH on peptide ST4SA production is indicated in Figure 9A. From these results, it is evident that peptide ST4SA is optimally produced in MRS broth at an initial pH of 6.0 and 6.5, and is stimulated by the presence of 10 – 20 g/l  $K_2HPO_4$ , 15.0-20.0 g/l fructose, or in the presence of vitamins C, B<sub>1</sub> and DL-6,8-thioctic acid.

Production of peptide ST4SA is indicated in Figure 10. Maximal production was recorded after 14 h of growth.

A DNA fragment containing an adhesion/immunity gene is indicated in Figure 11, and is indexed as SEQ. ID. NO. 15 for the purposes of this specification.

*Enterococcus mundtii* ST4SA produces a broad-spectrum antimicrobial peptide (peptide ST4SA) with activity against a number of pathogens. The structural gene encoding the leader peptide and propeptide (collectively known as the prepeptide) is located on the genome of strain ST4SA (see Figure 6B). Only one such gene structure was detected, suggesting that only one peptide is responsible for the broad spectrum of antimicrobial activity. Efforts were made to cure strain ST4SA from its 50 kb and 100 kb plasmids (Figure 1), but they failed. This suggests that the plasmid may play a major role in rendering immunity to peptide ST4SA, or harbours genes important in key metabolic reactions.

Further evidence that ST4SA is a strain of *Enterococcus mundtii* was obtained by species-specific PCR (polymerase chain reaction) with primers designed from conserved regions on 16S rDNA using:

SEQ. ID. NO. 16: Forward primer: AACGAGCGCAACCC;

5 SEQ. ID. NO. 17 Reverse primer: GACGGGCGGTGTGTAC.

A 306 base-pair fragment was amplified (shown in Figure 3). Sequencing of the DNA fragment yielded 98% homology with conserved 16S rDNA fragments in GenBank.

10

The structural gene (encoding the propeptide ST4SA), the transporter genes and the immunity gene have been sequenced and their respective amino acid sequences translated from the DNA sequences (Figure 8).

15

The stability of peptide ST4SA, suspended in sterile distilled water, was tested at temperatures ranging from -20°C to 60°C over 42 days (Figure 12). The peptide remained stable at -20°C for 42 days and for three weeks at 4°C. Stability decreased after one week at 37°C. Peptide ST4SA in powder form did, however, remain stable for 6 months at room temperature (25°C). Peptide ST4SA will be produced in powder form and marketed as such. Once dissolved in sterile distilled water, peptide ST4SA may be kept for three weeks at 4°C (see Figure 12).

20

The activity of peptide ST4SA on paper disks is listed in Table 5. Comparison of activity with antibiotics is listed in Table 6. Activity was tested against a *Pseudomonas* sp. isolated from infected middle-ear fluid. Images of the inhibition zones are shown in Figure 13.

25

30

**Table 5.** Activity of peptide ST4SA on paper disks.

	<b>Peptide ST4SA (AU/ml)</b>
<i>Freeze dried (AU/ml)</i>	409600
<i>Paper disk (2 cm x 2 cm; 700 µl)</i>	286720
<b>Paper disk (0.6 cm diameter)</b>	20263

**Table 6.** Comparison of the activity of peptide ST4SA to commonly used antibiotics.

<b>Antibiotic</b>	<b>Diameter of inhibition zones (mm)</b>
<i>Ampicillin (10 µg)</i>	24
<b>Bacitracin (10 units)</b>	10
<b>Chloramphenicol (30 µg)</b>	28
<b>Erythromycin (15 µg)</b>	No zone
<b>Tetracycline (30 µg)</b>	35
<b>Peptide ST4SA</b>	25

5

Binding of peptide ST4SA to target cells is important to ensure penetration of the peptide. The ability of peptide ST4SA to adhere to pathogens was studied and the results were expressed as a percentage binding to the pathogens (Table 7). Concluded from these results, at least 75% of peptide ST4SA binds to the pathogens tested. Adhesion of peptide ST4SA to the target cell leads to penetration and disruption of the cell membrane (Figure 14).

10

**Table 7.** Adhesion of peptide ST4SA to pathogens.

<b>Pathogen</b>	<b>% Binding of peptide ST4SA to the target cell (pathogen)</b>											
	<b>Peptide ST4SA at 6 400 AU/ml</b>						<b>Peptide ST4SA at 204 800 AU/ml</b>					
	0	50	75	88	94	100	0	50	75	88	94	100
<b>Streptococcus pneumoniae</b>												
27			+						+			
29			+						+			
D		+							+			
<b>Streptococcus pyogenes</b>												
20			+						+			
21			+						+			

<b>Pseudomonas auruginosa</b>			
E	+		+
<b>Klebsiella pneumoniae</b>			
30		+	+
<b>Middle ear isolates</b>			
(unknown)			
13	+		+
17		+	+
25			+
40		+	+
BW		+	+
CW	+		+
DW			
F		+	+
HW	+		+
I	+		+
J	+		+
GW		+	+
K		+	+
L	+		+
M	+		+

5

Further evidence of cell membrane damage and leakage of cellular constituents was obtained by testing for extracellular DNA and extracellular  $\beta$ -galactosidase activity. The results are shown in Tables 8 and 9, respectively. The results indicate that DNA and  $\beta$ -galactosidase leaked from cells damaged by peptide ST4SA.  $\beta$ -galactosidase leakage was not recorded for all pathogens, due to the fact

10



that not all species have high intracellular levels of this enzyme.

**Table 8.** Extracellular DNA recorded after treatment of pathogens with peptide ST4SA.

Pathogen	DNA-leakage (O.D. readings at 260 nm)
<b>Streptococcus pneumoniae</b>	
27	1.670
29	1.182
D	1.160
<b>Streptococcus pyogenes</b>	
20	0.930
<b>Pseudomonas auringinosa</b>	
E	0.187
<b>Staphylococcus aureus</b>	
36	0.892
<b>Klebsiella pneumoniae</b>	
30	1.01
<b>Middle ear isolates</b>	
(unknown)	
40	0.801
BW	1.186
DW	1.153
F	1.428
HW	1.559
GW	0.751
K	0.455
L	0.592
M	0.326
<b>Control</b>	
Enterococcus spp. HKLHS	1.395

Table 9

<u>Pathogen</u>	$\beta$ -galactosidase recorded at OD <sub>420</sub>
<b>Streptococcus pneumoniae</b>	
27	No leakage
29	No leakage
D	No leakage
<b>Streptococcus pyogenes</b>	
20	No leakage
<b>Staphylococcus aureus</b>	
36	No leakage
<b>Klebsiella pneumoniae</b>	
30	No leakage

<b>Middle ear isolates (unknown)</b>	
40	
BW	0.370
DW	0.293
F	0.318
HW	0.235
GW	0.373
K	No leakage
L	No leakage
M	No leakage
<b>Control</b>	
<i>Enterococcus</i> spp. HKLHS	No leakage

Cell lysis of different pathogens after treatment with peptide ST4SA are shown in Figures 15 to 23. A 0.2% (v/v) inoculum of an overnight pathogen was inoculated into BHI broth. Peptide ST4SA was added to each pathogen at mid-exponential phase. From the results presented herein (Figures 15 to 23), it is clear that peptide ST4SA acted in a bactericidal manner against most pathogens.

Production of peptide ST4SA was studied in the presence of *Listeria innocua* (Figure 24). Based on these results, *L. innocua* stimulated strain ST4SA to produce peptide ST4SA, during which *L. innocua* was inhibited.

*Enterococcus mundtii* ST4SA as a probiotic

The survival of *Enterococcus mundtii* ST4SA was evaluated in a computerized gastro-intestinal model (GIM, shown in Fig 25).

The first vessel in the GIM simulates the stomach, the second vessel the duodenum, vessel number three the jejunum and ileum, and vessel four the colon. Flow of the nutrients was regulated by dedicated software. The pH in the different sections of the GIM was regulated by the peristaltic addition of sterile 1N HCl or 1N NaOH. Each section was fitted with a highly sensitive pH probe, linked to a control unit and computer. The flow rate of nutrients through the GIM was adjusted according to an infant's intestinal tract. Conditions simulating a short digestive tract were chosen to allow greater fluctuation and thus increased stress onto the probiotic. Four infant formulas (Lactogen2, NAN Pelargon, ALL110 and Alfare) were evaluated. Dosage with the probiotic bacterium (ST4SA) was according to prescription, i.e.  $10^8$  viable cells (cfu) per ml. The probiotic cells were suspended in saliva. The pancreatic-bile solution contained  $\text{NaHCO}_3$ , pancreatin and oxgall, dissolved in distilled water. The conditions used in the GIM were as summarized in Tables 10 and 11.

**Table 10**

Time (min.)	pH	Growth medium	Pancreatic-bile solution
0 – 4		Nutrients pumped into stomach vessel. Dosage with strain ST4SA ( $10^8$ cfu/ml)	Pancreatic-bile solution pumped into the duodenum
4 – 44	5.2	Incubation in stomach	
44 – 64	4.9		
64 – 108	4.5		
108 – 128	4.1		
128 – 154	3.7		
154 – 156			
154 – 158		Stomach contents pumped to duodenum	
158 – 274	6.5	Incubation in duodenum	
274 – 280		Duodenum contents pumped to jejunum	
280 – 394	6.5	Incubation in jejunum	
394 – 401		Jejunum contents pumped to ileum	
401 – 520	6.0	Incubation in ileum	

Table 11

GIM section	Cfu <sup>a</sup> /ml in NAN Pelargon	Bacteriocin activity (> 25 600 AU <sup>b</sup> /ml)	Cfu/ml in MRS broth	Bacteriocin activity (> 25 600 AU/ml)
Inoculum	$1.6 \times 10^8$	+	$6.0 \times 10^7$	+
Stomach	$1.2 \times 10^7$	+	$3.7 \times 10^7$	+
Duodenum	$2.5 \times 10^6$	+	$1.0 \times 10^8$	+
Jejunum	$1.0 \times 10^7$	+	$1.3 \times 10^8$	+
Ileum	$5.0 \times 10^7$	+	$1.0 \times 10^8$	+

5     <sup>a</sup>Cfu = colony forming units (i.e. number of viable cells)

<sup>b</sup>AU = arbitrary units (thus reflecting antimicrobial activity)

Survival of strain ST4SA against a pathogen was tested by infecting the GIM with *Listeria monocytogenes*. The survival of strain ST4SA and its ability to produce the antimicrobial peptide ST4SA in the GIM was monitored (results shown in Table 12).

Table 12

Antibiotics	ST4
Ampicillin	+++
Bacitracin	++
Cephazolin	+
Chloramphenicol	+++
Ciprofloxacin	+++
Cd. Sulphonamides	-
Cloxacillin	-
Erythromycin	+++

Metronidazole	-
Methicillin	-
Neomycin	-
Novobiocin	+++
Nystatin	-
Oflaxacin	++
Oxacillin	-
Rifampicin	+++
Tetracyclin	+++
Streptomycin	-
Vancomycin	++
Penicillin	+++

Key: - = no zones (resistance to antibiotic); + = inhibition zone, diameter 1 to 11mm; ++ = inhibition zone, diameter 12 to 16mm; +++ = inhibition zone, diameter larger than 17mm.

5 As may be concluded from the results presented in Table 12, strain ST4SA survived in all sections of the intestinal tract. Antimicrobial peptide production was in excess of 25 600 AU (arbitrary units) per ml in all sections of the intestinal tract. Growth in NAN Palargon was slightly less optimal than in MRS. However, in both experiments the cell numbers did not decrease by more than one logarithmic cycle (see  
10 Table 13).

**Table 13**

Antibiotic (mg)	Number of viable cells of strain ST4SA
Control	$1 \times 10^8$
TMP-SMX 20/100	$7 \times 10^4$
TMP-SMX 40/200	$8 \times 10^4$
TMP-SMX 60/300	$8 \times 10^4$
TMP-SMX 80/400	$1.8 \times 10^4$
Metronidazole 50	$1.3 \times 10^4$
Metronidazole 100	$6 \times 10^4$
Metronidazole 200	$3 \times 10^4$

15

Resistance of strain ST4SA to various antibiotics has been tested according to standard procedures (antibiotic discs, Oxoid). Concluded from these

results, strain ST4SA is resistant to sulphonamides, cloxacillin, metronidazole, methicillin, neomycin, nystatin, oxacillin and streptomycin (Table 13).

Children with HIV/AIDS are treated with Trimethoprim-sulfamethoxazole (TMP-SMX) and/or Metronidazole to prevent diarrhea. NAN Palargon was supplemented with different concentrations of the latter antibiotics and the effect on strain ST4SA determined after 18h at optimal growth temperature (37°C).

As may be concluded from the results presented in Table 4, TMP-SMX and Metronidazole decreased the cell numbers of strain ST4SA with four log cycles. However, increased concentrations had no drastic effect on viability.

#### *The incidence of virulence factors in ST4SA*

To develop strain ST4SA as a probiotic, it is important to know if there are any virulence factors associated therewith. Primers have been designed for the genes encoding the following virulence factors: Vancomycin resistance (VanA/VanB); production of gelatinase (Gel); aggregation substance (AS); adhesin of collagen from enterococci (Ace), enterococcus surface protein (Esp); haemolysin/bacteriocin (Cyl) and non-cytolysin beta hemolysin genes. The DNA of strain ST4SA amplified with the latter primers indicated that the strain does not have the genes encoding vancomycin resistance, gelatinase activity, an aggregation substance, and collagen adhesion. Based on present results, strain ST4SA is not pathogenic and should not cause allergic reactions when ingested.

As peptide ST4SA has a broad spectrum of antimicrobial activity, acting against a number of Gram-positive and Gram negative bacteria, the mode of action of peptide ST4SA appears to be different from other antimicrobial peptides thus far described for lactic acid bacteria. In addition, the site of recognition on the cell walls of sensitive cells may differ from the usual Lipid II anchor site used by other peptides of which the Applicant is aware (Figure 26).

To test this hypothesis, the Lipid II target site of *Lactobacillus sakei* DSM 20017 (sensitive to peptide ST4SA) was blocked with vancomycin (see schematic presentation, Figure 26). Vancomycin adheres to the amino acid side chain of the Lipid II molecule, which would, theoretically, prevent peptide ST4SA from binding to the same site (that is if Lipid II acts as receptor). Treatment of target cells (*L. sakei* DSM 20017) with a combination of vancomycin and peptide ST4SA, however, resulted in growth inhibition (leakage of cytoplasm; see Figure 26), suggesting that target sites *other* than Lipid II are acting as receptors for peptide ST4SA. The fact that peptide ST4SA binds to more than one anchoring site on the sensitive cell may explain why it has such a broad spectrum of antimicrobial activity (inhibitory to Gram-positive and Gram-negative bacteria). The Applicant is aware of the fact that Nisin (a lantibiotic) binds to Lipid II, as shown in Figure 26 in which treatment of *L. sakei* DSM 20017 with vancomycin prevented the binding of Nisin to the Lipid II target site.

Peptide ST4SA has two hydrophobic regions (Figure 27) which can intercalate with phospholipid-rich cell membranes after it has formed a reaction with the receptor site on the cell wall. The area between the two hydrophobic regions, more specifically between amino acid 29 (serine) and amino acid 30 (alanine) (Figure 27) is more flexible than the rest of the structure and may act as a hinge area, which would allow the C-terminal half of the peptide to bend and incorporate itself into the phospholipid-rich cell membrane. To test this hypothesis, the two sections of peptide ST4SA (Figure 27) were synthesized (listed hereinafter as Fragment 1 and Fragment 2), based on the amino acid sequence deduced from the DNA sequence of the structural gene.

As shown below, Fragment 1 consisted of the first 29 amino acids (from lysine to serine), just before the hinge area (viz. between the serine at position 29 and alanine at position 30). A disulfide bridge was introduced (connecting line, shown below) that covalently linked amino acids 9 (cysteine) and 14 (cysteine), also shown below in the full length peptide sequence:



KYYGNGVSCNKKGC<sup>S</sup>VDWGKAIGIIGNNS.



5           The disulfide bridge served to stabilize the structure of the peptide. Fragment 1 contained the first hydrophobic region, indicated by the encircled region (six amino acids from position 21 to 26, viz. AIGIIG). This part of the molecule recognizes the receptor on the cell wall of the target (sensitive) organism.

10           Fragment 2 comprised the last 13 C-terminal amino acids in the second hydrophobic loop downstream of the hinge area, plus the preceding 11 amino acids just before the hinge area (between serine and alanine):

Fragment 2: KAIGIIGNNSAANLATGGAAGWKS

15           Treatment of sensitive cells with these two fragments of peptide ST4SA indicated that both fragments (sections) of the molecule are needed for antimicrobial activity. It would thus seem that disruption of the cellular membrane is only possible once the N-terminal is anchored to the receptor on the cell surface.

#### 20           *Binding of peptide ST4SA to polyethylene (PE)*

25           Binding of peptide ST4SA to polyethylene (PE) was tested. PE film was cut into sections of 5 x 5 cm and soaked for 1 h in a solution of 60% isopropanol and peptide ST4SA (activity level: 102 400 AU/ml). The PE sections were air-dried (20 min at 60°C) and assayed for antimicrobial activity by using the standard agar diffusion method. The plates, seeded with *L. sakei* DSM 20017, were incubated for 24 h at 30°C and examined for zones of growth inhibition.

30           Peptide ST4SA adsorbed to PE within 60 seconds to produce a film with high antimicrobial activity (large inhibition zones surrounding the PE section). The strength of binding was determined by soaking the peptide-coated PE film in SDS-buffer, followed by electro-eluting peptide ST4SA from the film with a current of 30 mA

for 2h (room temperature, i.e. ca. 25°C). Samples were taken at regular time intervals and tested for antimicrobial activity. Protein concentrations were determined by using the Bradford method. Electro-eluted film was tested for antimicrobial activity by using the agar diffusion method as before.

Peptide ST4SA adhered strongly, and it would seem irreversibly, to the PE film. Release of peptide ST4SA from the surface of PE was only possible after 1h with a current of 30mA. In a challenge study with red meat as model, surface (PE)-bound peptide ST4SA prevented the growth of pathogenic bacteria (*Listeria*, *Bacillus* and *Staphylococcus*). This suggests that peptide ST4SA is usable in wound dressings to prevent or reduce microbial infections and also finds uses in other medical or aseptic applications such as, for example, implants, ear grommets, catheters, ostomy tubes and pouches, stents, suture material, hygiene products such as feminine hygiene products, contact lenses, contact lens rinsing solutions. The encapsulation of the antimicrobial peptide in the polymer leads to a slow release of the antimicrobial peptide and an extended period of treatment of a microbial infection. Furthermore, strong adherence of peptide ST4SA to PE shows that it acts as a slow-release antimicrobial agent.

Peptide ST4SA binds to more than one receptor site on a microbial cell and is typical of what one would expect from a broad-spectrum antimicrobial agent. The first section of peptide ST4SA (in front of the hinge area) binds to the receptor. The second part (downstream of the hinge area) has a bigger hydrophobic region and intercalates into the cell membrane and distorts the permeability, leading to cell death (visualized by DNA and enzyme leakage). Peptide ST4SA binds to PE in a stable and slow-release fashion and is suited for incorporation into wound dressings.

#### *Development of Enterococcus mundtii ST4SA into a probiotic*

The survival of *Enterococcus mundtii* ST4SA was evaluated in a computerized gastro-intestinal model as shown. This phase comprised further *in vitro* and *in vivo* evaluation of strain ST4SA as a probiotic. Adhesion to mucus and epithelial

cells were studied *in vitro* with human cell lines. *In vivo* studies were performed in rats.

The human intestinal cell lines used in the study were from the human colon adenocarcinoma and included Caco-2 cell lines, HT-29 and HT29-MTX (mucus-secreting cells). The cell-lines express morphological and functional differentiation when grown under standard culture conditions and show characteristics of mature enterocytes, which include polarization, a functional brush border and apical intestinal hydrolases.

Adherence of strain ST4SA to host cells is influenced by cell surface carbohydrates, the Efa A protein, the Ace (adhesin of collagen from enterococci) protein and aggregation substance (AS), related to pathogenicity. AS is an adhesin which mediates the formation of cell clumps that allows the highly efficient transfer of the sex pheromone plasmid on which AS is encoded.

In this study, strain ST4SA was screened for virulence traits, bile salt hydrolase (BSH) activity, and the ability to adhere to the human enterocyte-like cell line Caco-2, competitive exclusion while adhering to the Caco-2 cell line, and in the *in vitro* gastrointestinal (GIT) model. In addition, cell surface hydrophobicity of ST4SA was determined.

#### *Virulence factors*

The presence of virulence factors, viz. vancomycin resistance (VanA/VanB/VanC1/VanC2/VanC3), production of gelatinase (Gel), aggregation substance (AS), adhesin of collagen from enterococci (Ace), enterococcus surface protein (Esp), haemolysin/bacteriocin (Cyl) and non-cytolysin beta hemolysin genes were studied by *in vitro* tests and/or PCR screening. DNA was extracted from *E. mundtii* ST4 according to standard methods. The primers designed for amplification of the latter genes were as described. The results are shown in Table 14.

**Table 14**

	Van A	Van B	Van C1/2/ 3	Gel	Efa- Afm	Efa- Afs	AS	Ace	Cyl	Esp	Non- cyt
ST4 SA	-	-	-	-	-	-	-	-	+	-	+

**Key:**

VanA/VanB: Vancomycin resistance

Gel: Gelatinase production

EfaAfm: *E. faecium* endocarditis antigen5 EfaAfs: *E. faecalis* endocarditis antigen

As: Aggregation substance

Ace: Adhesin to collagen from *E. faecalis*Cyl: Cytolysin ( $\beta$ -hemolysin activity)

Esp: Enterococcus surface protein

10 Non-Cyt: non-cytolysin beta hemolysin III

*Production of Aggregation Substance (AS)*

15 Production of AS was studied in a clumping assay in the presence of a sex pheromone. Sex pheromone was obtained by growing the pheromone producer, *Enterococcus faecalis* JH2-2, in MRS broth at 37°C for 18 h. The supernatant of a pheromone producer, *E. faecalis* OG1X, was used in clumping assays in a microtiter plate. Two-hundred microliters were inoculated (0.5%, v/v) with strain ST4SA and

20 microscopically examined for cell clumping after 2, 4, 8 and 24h. No cell clumping was observed

*Production of gelatinase*

25 Production of gelatinase was tested on MRS agar containing 30 g gelatin/liter. A clear zone surrounding the colonies after 18 h at 37 °C was considered a positive reaction. No production of gelatinase was detected.

*Production of  $\beta$ -hemolysin*

Production of  $\beta$ -hemolysin was indicated by the formation of clear zones surrounding the colonies on blood agar plates. Blood agar plates were prepared using  
5 Columbia Blood Agar Base with 5% sheep blood. No production of  $\beta$ -hemolysin was observed.

It is evident that the Applicant has shown that strain ST4SA does not have the genes encoding vancomycin resistance, gelatinase production, production of the  
10 endocarditis antigen, forming of cell aggregates, adherence to collagen, and/or production of a surface protein. Genes encoding  $\beta$ -hemolysin activity and non-cytolysin beta hemolysin III were detected. However, the latter two genes are not expressed (no haemolytic activity was observed on blood agar plates – see below)

*Determination of bile-salt hydrolytic (BSH) activity*  
15

Strain ST4SA was tested for BSH activity by spotting 10 $\mu$ l of an overnight culture on MRS agar plates supplemented with 0.5% (w/v) sodium salt of taurodeoxycholic acid (TCDA) and 0.37 g/L CaCl<sub>2</sub>. Plates were incubated in anaerobic  
20 jars for 72h at 37 °C. The formation of precipitation zones were regarded BSH positive.

No bile salt hydrolytic (BSH) activity was observed for strain ST4SA. BSH activity may contribute to resistance of lactic acid bacteria to the toxicity of conjugated bile salts in the duodenum. However, bile salt hydrolase activity and resistance to bile  
25 salts are generally accepted as being unrelated.

*Determination of cell surface hydrophobicity*

An overnight culture of *E. mundtii* ST4SA was washed twice with quarter-strength Ringer's solution (QSRS) and the OD<sub>580nm</sub> determined. Equal volumes of  
30 suspension and *n*-hexadecane was added together and mixed for 2 min. The phases were allowed to separate at room temperature for 30 min., after which 1ml of the water-

phase was removed and the OD<sub>580nm</sub> determined. The OD<sub>580nm</sub> of duplicate assessments was averaged and used to calculate hydrophobicity:

$$\% \text{ Hydrophobicity} = [(OD_{580nm} \text{ reading1} - OD_{580nm} \text{ reading 2}) / OD_{580nm} \text{ reading1}] \times 100.$$

5 From the results shown in Figure 28 figure it is evident that strain ST4SA did not show signs of hydrophobicity. Accordingly, the cells do not stick permanently to surfaces in the GIT. The cells move freely (as planctonic cells) in the gut, which enhances the usefulness thereof as a good probiotic.

#### 10 Adhesion of *E. mundtii* ST4SA and *Listeria monocytogenes* to Caco-2 cell lines

Caco-2 cells (Highveld Biological Sciences) were seeded at a concentration of  $5 \times 10^5$  cells per well to obtain confluence. Adherence was examined by adding 100  $\mu$ l of bacterial suspension ( $1 \times 10^5$  cfu/ml of strain ST4SA and  $1 \times 10^3$  cfu/ml of *L. monocytogenes*) to the wells. After incubation at 37°C for 2h, the cell lines were washed twice with PBS. The bacterial cells were lysed with Triton-X 100 and plated onto MRS and BHI agar, respectively. Adhesion was calculated from the initial viable counts and the cell lysates.

20 Competitive exclusion of strain ST4SA and *Listeria monocytogenes* was determined by inoculating 100  $\mu$ l of each strain to the cell monolayer. After a 2h incubation period the cells were lysed and plated out on Enterococcus and Listeria specific medium, respectively.

25 Caco-2 cell monolayers were prepared on glass coverslips in 12-well tissue culture plates. A suspension of ST4SA cells was added to the wells. After a 2h adhesion period, the cell lines were washed twice with PBS, fixed with 10% formalin and gram-stained. Adherent bacteria were examined microscopically. The results are shown in Table 15 and Table 16.

30

#### Table 15

Cfu/ml	Inoculum of ST4	Adhesion of ST4	Inoculum of <i>L. Monocytogenes</i>	Adhesion of <i>L. monocytogenes</i>
Experiment 1	$1 \times 10^5$	$2 \times 10^4$	$1 \times 10^5$	$3 \times 10^2$
Experiment 2	$1 \times 10^5$	$1 \times 10^4$	$3 \times 10^4$	$1 \times 10^3$

Table 16

Cfu/ml	Inoculum of ST4	Adhesion of ST4	Inoculum of <i>L. Monocytogenes</i>	Adhesion of <i>L. monocytogenes</i>
Experiment 1	$1 \times 10^5$	$8 \times 10^3$	$1 \times 10^5$	$4 \times 10^1$
Experiment 2	$1 \times 10^5$	$1 \times 10^4$	$3 \times 10^4$	$3 \times 10^3$

From the results presented in Tables 15 and 16 it is clear that *E. mundtii* ST4SA cells prevented the adhesion of *Listeria* to Caco-2 cells and that the cells successfully competed against *Listeria* for recognition sites on the human cell line. Strain ST4SA is thus able to out-compete *Listeria*.

*L. monocytogenes* challenged with ST4SA and survival in an in vitro gastro-intestinal (GIT) model

The antimicrobial activity of ST4SA against *L. monocytogenes* in an in vitro gastro-intestinal model was determined. ST4SA was inoculated in the stomach vessel as described hereinbefore. *L. monocytogenes* ( $1 \times 10^4$ ) was inoculated in the duodenum vessel of the GIT. Samples were taken from each vessel and plated out on Enterococcus and Listeria specific medium, respectively. As control, only *L. monocytogenes* was inoculated in the GIT model.

The *L. monocytogenes* challenge with ST4SA in the *in vitro* GIT model revealed that ST4 had an antimicrobial effect on *L. monocytogenes* (see graphs on next page). Growth was reduced from  $8 \times 10^4$  to  $2 \times 10^4$  in the jejunum vessel and *L. monocytogenes* was  $1 \times 10^1$  cfu/ml lower in the ileum vessel when compared with the control, as shown in Figure 29.

*In vivo* assessment of the safety, bacterial translocation, survival and immune modulation capacities of orally administered *E. mundtii* ST4SA: comparison to Lactovita.

## Materials and Methods

### Animals

Ten male Wistar rats weighing between 318 g and 335 g were housed in groups of five in plastic cages with 12-hour light/dark cycle, in a controlled atmosphere (temperature  $20^\circ\text{C} \pm 3^\circ\text{C}$ ). The animals were fed a standard diet, as well as autoclaved, reverse osmosis water either supplemented with probiotic or unsupplemented *ad lib*.

### Bacterial strains and probiotic

Strain ST4SA was cultured in MRS Broth at  $30^\circ\text{C}$  for 18 hours; the optical density was adjusted to 1.8 at 600 nm. Cells were harvested by centrifugation and washed in 20 mM phosphate buffer (pH 7.5). Washed cells were re-suspended in 100 mM sucrose, frozen in liquid nitrogen and lyophilized overnight. The cfu/ml value was determined by suspending a given mass of cells in 1 ml of phosphate buffer and spread plating dilutions on MRS solid media. Strain ST4SA was added to drinking water at a concentration of approximately  $2 \times 10^8$  cfu/ml.

Three capsules containing a probiotic commercially available under the trade name Lactovita were opened and added to each bottle of drinking water. This resulted in approximately  $3 \times 10^5$  cfu/ml being administered.



*Experimental Design*

Two separate studies were conducted. In each study 10 rats were randomly divided into two groups of five each. One group acted as a control and received unsupplemented water; the second group received water supplemented with either Lactovita capsules or lyophilized strain ST4SA. Each rat received a total of either  $9.89 \times 10^{11}$  cfu of strain ST4 or  $3.1 \times 10^9$  cfu of Lactovita over the study period. The rats were monitored daily for any abnormal activities, behaviours and general health status including ruffled coat, hunched posture, unstable movement, tremors or shaking, coughing or breathing difficulties, colour of extremities. Activity was monitored using a three-scale method: 1 = lazy, moving slowly; 2 = intermediate; 3 = active moving or searching. Live weights and volume of water consumed were measured daily. The strain ST4SA study was conducted over 50 days and the Lactovita study over 108 days and the results are shown in Table 17.

**Table 17**

	Control	ST4	Control	Lactovita
Increase (g)	144 (7.22)	150.60 (9.34)	255.2 (21.88)	246 (44.42)
Increase (%)	69.46 (1.10)	69.19 (1.05)	56.04 (2.59)	57.21 (5.60)

 *$\beta$ -Glucuronidase Activity*

Rats were placed in single cages overnight at specified sampling points and the 24 h faecal samples were collected to determine bacterial counts and  $\beta$ -glucuronidase activity. Faecal samples were homogenized in 20 mM phosphate buffer at a concentration of 100 mg faeces/ml buffer. Samples were stored at  $-20^{\circ}\text{C}$  until analysis. The faecal samples were pelleted by centrifugation and resuspended in 1 ml GUS extraction buffer (50 mM  $\text{NaHPO}_4$ , 5 mM DTT, 1 mM EDTA, 0.1% Triton X-100), mixed and incubated at  $22^{\circ}\text{C}$  for 10 minutes. Samples were frozen overnight at  $-20^{\circ}\text{C}$  and thawed before determining  $\beta$ -glucuronidase activity.  $\beta$ -Glucuronidase activity was

assayed by incubating 100 µl of treated faecal suspension with 900 µl of reaction buffer (extraction buffer containing 1mM p-nitrophenyl glucuronide) and incubating at 37°C for 2 hours. The reaction was stopped by adding 2.5 ml 1M Tris, pH 10.4. The absorbance was determined at 415 nm and a standard curve was constructed using concentrations of 0.1, 0.2, 0.5, 1 and 10 mM p-nitrophenol.

#### *Bacterial translocation, toxicological study and histology*

After feeding with test strains for the specified time periods, animals were euthanized by pentobarbitone sodium overdose. Blood samples were obtained by cardiac puncture of the right ventricle. The gross anatomy of the visceral organs of each rat was checked and recorded. A sample of the liver, spleen, ileum, caecum and colon tissues was excised. The tissue samples were collected in cassettes and placed in a 4% formaldehyde (PBS) solution and then embedded in paraffin wax for histological analysis and FISH studies. A section of the ileum and colon were frozen in liquid nitrogen. Blood samples were spread plated on BHI solid media and incubated at 37°C overnight.

#### *Immune modulation*

Total RNA was extracted from the frozen ileum and colon samples using Trizol reagent. The concentration of RNA was determined by measuring the absorbance at 260 nm using a Nanodrop spectrophotometer, after DNase treatment had been completed. Each RNA sample was diluted to a concentration of 42 ng/µl. A total of 5 µg of each sample was transferred in triplicate onto a nylon membrane using a slot blot apparatus. The membrane was then probed with either a tumour necrosis factor (TNF), interferon γ (IFN) or β-actin DNA probe amplified from rat genomic DNA and labeled with DIG. The membrane was exposed to X-ray film overnight and developed.

## Results

### *General health status and weight gain*

5           Throughout the study period there were no noticeable behavioural or activity changes observed in the rats and there were no observable differences between experimental and control groups. No treatment-related illness or death occurred.

### *$\beta$ -Glucuronidase Activity*

10           Bacterial enzymes, including  $\beta$ -glucuronidase, are known to be involved in generating mutagens, carcinogens and tumour promoters from precursors found in the GIT and their presence may indicate toxicity of bacterial strains and can therefore be used as an indication of the safety of potential probiotics. Both strain ST4SA and a  
15   Lactovita suspension exhibited no  $\beta$ -glucuronidase activity *in vitro* (data not shown). However, rats given a Lactovita suspension showed an increase in  $\beta$ -glucuronidase activity in faecal samples compared to control rats (Table 18A). Control animals also showed a decrease in activity at day 107 of the study compared to activity at day 56, experimental animals showed slightly increase values at day 107 compared to day 56.  
20   Rats given a ST4 supplement showed higher  $\beta$ -glucuronidase activity compared to control groups (Table 18B), however, this activity was still lower at the end of the study compared to the values on day 0 of the study. The control group also showed lower activity at the end of the study compared to day 0.

**Table 18**

Table 18A

	<i>Day 56</i>	<i>Day 77</i>	<i>Day 107</i>
<b>Lactovita</b>	6.58 (0.34)	7.49 (0.23)	7.88 (0.36)
<b>Control</b>	7.52 (0.26)	8.17 (0.08)	6.70 (0.32)

Table 18B

	<i>Day 0</i>	<i>Day 14</i>	<i>Day 30</i>	<i>Day 50</i>
<b>ST4</b>	6.43 (0.52)	1.78 (0.45)	5.25 (0.57)	5.14 (0.83)
<b>Control</b>	5.78 (0.50)	2.40 (0.76)	4.02 (0.62)	2.68 (0.15)

*Bacterial translocation, toxicological study and histology*

No bacteraemia was detected in any of the groups of animals. Macroscopic examination of the visceral organs indicated that the rats given strain ST4SA had significantly more pronounced MLN compared to control rats. The MLN were extremely difficult to identify in control rats. The spleen in the control group was narrower and both muscle mass and abdominal fat were less in the control group compared to the experimental group. In animals given Lactovita the MLN were less pronounced and the spleen smaller compared to the control group.

Lymphocytes enter the spleen and facilitate immune responses against blood antigens. An enlarged spleen may indicate increase immune system activity. This also correlates with pronounced MLN. MLN are also involved in immune function and may enlarge as a result of inflammation or infection. This enlargement is an indication that they are performing their function as expected. Therefore strain ST4SA may act as an immune stimulant. Rats receiving Lactovita supplements showed a smaller spleen and less pronounced MLN compared to the control rats. This indicates that Lactovita does not induce an immune reaction. However, the MLN and spleen of the control rats were enlarged and no symptoms of disease were observed in the absence of any immune stimulant.

*Immune modulation*

Northern hybridization studies did not indicate any cytokine (TNF or IFN) expression in either the experimental ST4SA group or control group. Positive signals were detected of relatively the same intensity when RNA was probed with a  $\beta$ -actin DNA probe indicating the presence of RNA.

Cytokines are secreted proteins that mediate cell growth, inflammation, immunity, differentiation and repair. They often only require femtomolar concentrations to produce their required effects. Often they act in combination with cell surface receptors to produce changes in the pattern of RNA and protein synthesis. Because they are usually present in such low concentrations it may be more beneficial to determine the expression of additional genes that are under the influence of these proteins.

The results presented herein indicate that neither of the probiotics tested have any negative effects on the general health status of male Wistar rats.

In summary, strain ST4SA does not possess genes encoding vancomycin resistance. Genes encoding  $\beta$ -hemolysin activity and non-cytolysin beta hemolysin III were detected, but they remained silent (not expressed). Strain ST4SA has no bile salt hydrolytic (BSH) activity. This indicates that the strain would perform better in the lower sections of the GIT (ileum and colon). As shown above, the data indicate that *E. mundtii* strain ST4SA moves freely through the GIT and prevents the adhesion of *Listeria* to Caco-2 cells, while also successfully competing against *Listeria* from binding to receptors on human cells. Strain ST4SA had an antimicrobial effect on *L. monocytogenes* in gastro-intestinal model (GIM) studies. Strain ST4SA is not toxic, as shown with rat studies. No treatment-related illness or death occurred. Strain ST4SA also performed better than Lactovita as such, with rats being given Lactovita showing an increase in  $\beta$ -glucuronidase activity; strain ST4SA therefore stimulates the immune response in rats. Rats given Lactovita supplements showed a smaller spleen and less pronounced MLN compared to the control rats, which suggest that Lactovita does not induce an immune reaction.

As indicated above, peptide ST4SA inhibits the growth of a variety of bacteria and maximal production of peptide ST4SA (51 200 AU/ml) was recorded after 20 h of growth in MRS broth (Biolab), which was maintained throughout fermentation.

An 18-h-old culture of strain ST4SA was inoculated (2%, v/v,  $OD_{600nm} = 2.0$ ) into MRS broth (Biolab) and filtered MRS broth, respectively. MRS broth (Biolab) was filtered through a Minitan™ system (Millipore, BioSciences International), equipped with nitrocellulose membranes of 6000 – 8000 Da in pore size. The MRS filtrate (MRSf), containing proteins smaller than 8 000 Da was then autoclaved and inoculated as described before. Incubation in MRS broth and MRSf was at 30 °C and 37 °C, without agitation, for 29 h. Peptide ST4SA activity was determined two-hourly.

#### *Purification of peptide ST4SA*

One liter of MRSf was inoculated with *Enterococcus mundtii* ST4SA ( $OD_{600nm} = 1.8$ ) and incubated at 30° C for 24 h. The cells were harvested by centrifugation (8 000 x g, 4° C, 15 min) and the cell-free supernatant incubated at 80° C for 10 min. Ammonium sulfate was gently added to the cell-free supernatant at 4° C to a saturation level of 60%. After 4 h of slow stirring, the precipitate was collected by centrifugation (20 000 x g, 1 h, 4° C). The pellet was resuspended in one-tenth volume 25 mM ammonium acetate (pH 6.5) and desalted against sterile MilliQ water using a 1.0 kDa cut-off Spectra/Por dialysis membrane (Spectrum Inc., CA, USA). Further separation was by cation exchange chromatography in a 1 ml Resource S column (Amersham Biosciences) with an ÄKTApurifier (Amersham), as described hereinbefore.

Peptide ST4SA activity levels obtained after each purification step are indicated in Table 19.

**Table 19**

Sample	Activity (AU/ml)	Total protein (µg/ml)	Specific activity (AU/µg protein)	Yield (%)	Purification Factor
Cell-free supernatant (450ml)	12 800	10.77	1 188.49	100	1
Ammonium sulphate precipitate (45ml)	102 400	82.31	1 244.08	80	1.1
Dialysate (45ml)	102 400	35.77	2 862.73	80	2.4

Active fractions collected from the ÄKTA<sup>®</sup>purifier corresponded to the ST4SA peak and produced a 42-amino acid peptide, the expected size of peptide ST4SA.

#### *Iso-electric focusing of peptide ST4SA*

Iso-electric focusing of peptide ST4SA was done by using the Rotofor<sup>®</sup> electro-focusing cell (Bio-Rad, Hercules, California, USA). One liter of cell-free supernatant, obtained from strain ST4SA cultured in MRSf, and prepared as described before, was lyophilized and resuspended in 50 ml sterile distilled water with ampholytes (pH-range 3 to 10, Bio-Rad), according to instructions of the manufacturer. A constant current of 12 W was applied for 5 h at 8°C. After separation, a small volume from each of the collected fractions was adjusted to pH 7.0 with 3 N NaOH or 3 N HCl and tested for antimicrobial activity against *Lactobacillus casei* LHS. The fractions that tested positive were pooled, resuspended in de-ionized water to a total volume of 50 ml, again subjected to electro-focusing, the fractions collected and tested for antimicrobial activity. Samples were stored at -20°C.

Cost reduction of cultivation media forms an important part of media optimization. Media costs can be lowered by using industrial media as a carbon and/or nitrogen source. Corn steep liquor (CSL), cheese whey powder (CWp) and molasses

are regularly used as part of growth media. CSL is a by-product formed during the milling of corn. It is mainly a nitrogen source, but also contains sugars (mainly sucrose), vitamins and minerals. Cheese whey, a by-product in the dairy industry, is the most common fermentation medium for lactic acid (LA) production, and contains mainly lactose, proteins and salts. Molasses is a by-product containing mainly sucrose with traces of other minerals.

#### *Culture and media*

Strain ST4SA was cultured in MRS broth (Biolab) and inoculated (2% v/v) into CSL, CWp and molasses, respectively.

#### *Fermentations*

Fermentations were conducted in test tubes containing 10 ml of media. The pH was adjusted with NaOH before sterilization (121°C for 15 min). The concentration of NaOH depended on the buffer capacity of the media. A 24-hour-old culture was used for inoculation (2% v/v). Batch fermentations were conducted in test tubes at 30 °C for 16 hours, pH 6.5 – 7.0. The pH was not controlled. Optical density (OD) was measured at 600 nm.

Molasses and CSL at 10, 20 and 50 g.l<sup>-1</sup> were evaluated as possible media for peptide ST4SA production (Table 20). Although the pH in molasses dropped during fermentation (thus indicating cell growth), no peptide ST4SA activity was recorded in the supernatant. CSL, on the other hand, produced a supernatant with activity of up to 3200 AU.ml<sup>-1</sup> at a minimum concentration of 20 g.l<sup>-1</sup>. As may be concluded from these results, a nitrogen source (CSL) is required to sustain peptide ST4SA production.



Table 20

No.	Media	g l <sup>-1</sup>	pH		AU ml <sup>-1</sup>
			Initial	End	
1	MRS (Control)		6.50	4.65	12800
2	CSL	10	6.50	4.38	1600
3		20	6.50	4.41	3200
4		50	6.50	4.56	3200
5	Molasses	10	6.50	4.82	0
6		20	6.50	5.01	0
7		50	6.50	4.97	0
g TS l <sup>-1</sup>					
8	CWp	10	6.27	4.14	800
9		20	6.55	4.44	800
10		50	6.47	4.82	1600

5 In follow-up experiments, CWp was added to the list of industrial media and fermented at 10, 20 and 50 g TS.l<sup>-1</sup> (Table 20). Peptide ST4SA activity of up to 1600 AU.ml<sup>-1</sup> was recorded in CWp (Table 20).

10 A full factorial design (FFD) with CSL and CWp as components was used to determine which media had the most significant effect on peptide ST4SA production (Table 21). The FFD would also give an indication as to whether there was any interaction between the nutrients.

**Table 21**2<sup>2</sup> FFD with CSL and CWp

No.	CSL	CWp	pH		AU ml <sup>-1</sup>
			Initial	End	
1	1	1	6.490	5.510	12800
2	-1	1	6.490	5.100	12800
3	1	-1	6.450	5.430	12800
4	-1	-1	6.480	5.090	12800
<b>MRS</b>			6.86	4.53	51200
<b>CSL 5 g TS l<sup>-1</sup></b>			6.51	5.04	12800
<b>CSL 10 g TS l<sup>-1</sup></b>			6.47	5.12	12800

5

The utilization of sugars was determined by using the following methods:

*Phenol-sulphuric acid assay for total sugars*

10

Phenol (500 µl of a 5%, v/v) and concentrated sulphuric acid (2.5 ml) were added to 500 µl of diluted sample in a test tube. The solutions were thoroughly vortexed and absorbancy readings taken at 490 nm (room temperature). Sugar concentration (g glucose.l<sup>-1</sup>) was calculated using a standard graph.

15

*Dionex determination of monomer sugars*

20

A Dionex DX500 analyser with a CarboPac PA100 column was used to analyse the samples for monomer sugars. The eluent used was 250 mM NaOH, H<sub>2</sub>O and 1M NaOAc at a flow rate of 1 ml.min<sup>-1</sup>. The Dionex was equipped with an auto sampler and the injection volume was 10 µl.

Total sugar concentrations varied between 5 and 10 g TS.l<sup>-1</sup> (represented by "-1" and "1" respectively). The results (experiment performed in duplicate) suggested

that the concentration of CSL and CWp had no effect on peptide ST4SA production (Table 21). CSL at 5 and 10 g TS.l<sup>-1</sup> yielded peptide ST4SA activity similar to that recorded in the CSL and CWp combination (Table 21) and four-fold higher than recorded with 50 g.l<sup>-1</sup> CSL (Table 20). As is evident from these results, CSL had the most significant effect on peptide ST4SA production.

### Optimizing CSL

Peptide ST4SA activity of up to 12800 AU ml<sup>-1</sup> was recorded in pure CSL at a concentration of 10 g TS.l<sup>-1</sup>. This was, however, low compared to peptide ST4SA activity recorded in MRS (51200 AU.ml<sup>-1</sup>). In a preliminary experiment, MRS components (except glucose) were added to 10 and 40 g TS.l<sup>-1</sup> CSL and CWp, respectively (Table 22). A two-fold increase in activity was observed for 10 g TS.l<sup>-1</sup> CSL with supplements compared to pure CSL. In contrast, CSL at 40 g TS.l<sup>-1</sup> with supplements yielded low activity. A high concentration of CSL inhibited growth (small decrease in pH) and, subsequently, also peptide ST4SA production. This may be due to inhibiting components in CSL. The same experiment was done with CWp (Table 22). With an increase in CWp concentration (from 10 to 40 g TS.l<sup>-1</sup>), no effect on peptide ST4SA production was recorded.

**Table 22**  
CSL supplemented with MRS components

No.	Carbon source	g TS l <sup>-1</sup>	pH		AU ml <sup>-1</sup>
			Initial	End	
1	CSL	10	6.52	5.47	25600
2		40	6.55	6.26	800
3	CWp	10	6.51	4.92	12800
4		40	6.50	5.07	12800

At 10 g TS.l<sup>-1</sup> CSL produced peptide ST4SA at twice the activity compared

to CWp (25600 AU.ml<sup>-1</sup>). CSL was therefore chosen as medium for future experiments.

As not all of the MRS components played a role in improving peptide ST4SA production, a screening experiment was done to identify the significant components. This screening experiment was done via a 2<sup>10-5</sup> fractional factorial design (FrFD). The design made it possible to test 10 factors in only 32 runs, instead of the normal 1024 runs (Tables 23 and 24). It was also possible to determine if there were any interactions between components. Results were analyzed with ANOVA (Table 25).

**Table 23**  
High and low concentrations of MRS components and CSL

	Component	MRS broth		
		g l <sup>-1</sup>	1	-1
A	Special peptone	10	10	0
B	Beef extract	5	5	0
C	Yeast extract	5	5	0
D	CSL	---	6	3
E	Potassium phosphate	2	2	0
F	Tween80	1	1	0
G	tri-Ammonium citrate	2	2	0
H	MgSO <sub>4</sub>	0.1	0.1	0
J	MnSO <sub>4</sub>	0.05	0.05	0
K	Sodium acetate	5	5	0

*Linear model in terms of coded values:*

Activity = -1431.2\*B + 956.2\*C + 3831.2\*D - 2781.3\*E - 2243.8\*G - 2006.2\* K + 7668.8 [Equation 2]

R<sup>2</sup> = 0.73

Peptide ST4SA production was described using a linear model. Although the linear model could not accurately predict the activity (R<sup>2</sup> = 0.73), it could still be used to evaluate the effect (positive or negative) of the components. Beef extract (B), potassium phosphate (E), tri-ammonium citrate (G) and sodium acetate (K) had

negative coefficients in the linear model (equation 2) causing a decrease in the response variable. This reflected their negative effect on peptide ST4SA production. This meant that only yeast extract (YE) (C) and CSL (D) increased peptide ST4SA production.

Table 24.  $2^{10-5}$  FrFD design

No.	A	B	C	D	E	F = ABCD	G = ABCE	H = ABDE	J = ACDE	K = BCDE
1	-1	-1	-1	-1	-1	1	1	1	1	1
2	1	-1	-1	-1	-1	-1	-1	-1	-1	1
3	-1	1	-1	-1	-1	-1	-1	-1	1	-1
4	1	1	-1	-1	-1	1	1	1	-1	-1
5	-1	-1	1	-1	-1	-1	-1	1	-1	-1
6	1	-1	1	-1	-1	1	1	-1	1	-1
7	-1	1	1	-1	-1	1	1	-1	-1	1
8	1	1	1	-1	-1	-1	-1	1	1	1
9	-1	-1	-1	1	-1	-1	1	-1	-1	-1
10	1	-1	-1	1	-1	1	-1	1	1	-1
11	-1	1	-1	1	-1	1	-1	1	-1	1
12	1	1	-1	1	-1	-1	1	-1	1	1
13	-1	-1	1	1	-1	1	-1	-1	1	1
14	1	-1	1	1	-1	-1	1	1	-1	1
15	-1	1	1	1	-1	-1	1	1	1	-1
16	1	1	1	1	-1	1	-1	-1	-1	-1
17	-1	-1	-1	-1	1	1	-1	-1	-1	-1
18	1	-1	-1	-1	1	-1	1	1	1	-1
19	-1	1	-1	-1	1	-1	1	1	-1	1
20	1	1	-1	-1	1	1	-1	-1	1	1
21	-1	-1	1	-1	1	-1	1	-1	1	1
22	1	-1	1	-1	1	1	-1	1	-1	1
23	-1	1	1	-1	1	1	-1	1	1	-1
24	1	1	1	-1	1	-1	1	-1	-1	-1
25	-1	-1	-1	1	1	-1	-1	1	1	1
26	1	-1	-1	1	1	1	1	-1	-1	1
27	-1	1	-1	1	1	1	1	-1	1	-1
28	1	1	-1	1	1	-1	-1	1	-1	-1
29	-1	-1	1	1	1	1	1	1	-1	-1
30	1	-1	1	1	1	-1	-1	-1	1	-1
31	-1	1	1	1	1	-1	-1	-1	-1	1
32	1	1	1	1	1	1	1	1	1	1

**Table 25.** Analysis of Variance Table for a  $2^{10-5}$  FrFD design

<b>Response: Activity (AU ml<sup>-1</sup>)</b>			
<b>Component</b>	<b>Df</b>	<b>F value</b>	<b>Pr (&gt;F)</b>
A	1	0.950	0.334
B	1	8.858	0.004 **
C	1	3.954	0.052 .
D	1	63.474	1.435e-10 ***
E	1	33.450	4.221e-07 ***
F	1	0.162	0.689
G	1	21.770	2.191e-05 ***
H	1	0.207	0.651
J	1	0.008	0.928
K	1	17.405	0.000 ***
Reproducibility	1	1.338	0.253
Residuals	52		
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1			

The concentrations of CSL and YE were set with a  $2^2$  FFD (Table 26). The critical dilution method used for determination of peptide ST4SA activity did not yield accurate results. This made it impossible to fit a model relating the concentrations of CSL and YE to activity. Experimental results were used to fix the component concentrations. From Table 26 it can be seen that there was no clear effect when using low or high concentrations of CSL and YE. The average value was selected as the best, with a composition of CSL 7.5 g TS.l<sup>-1</sup> and YE 6.5 g.l<sup>-1</sup>.

**Table 26**2<sup>2</sup> FFD with 3 centre points for determination of CSL and YE concentrations

No.	C	D	AU ml <sup>-1</sup>		Component	g l <sup>-1</sup>		
			Repl.1	Repl.2		1	0	-1
1	-1	-1	25600	25600	C CSL	10	6.5	3
2	-1	1	25600	25600	D YE	10	7.5	5
3	1	-1	36204	12800				
4	1	1	25600	36204				
5	0	0	25600	25600				
6	0	0	25600	36204				
7	0	0	51200	25600				

A growth curve with optimal media is shown in Figure 30. CSL-based media and MRS yielded similar growth kinetics.

#### *Batch to batch variation*

The composition of CSL may vary on a daily basis, as it is a waste product. A difference in composition has the potential of significantly affecting results. This was investigated by performing the same experiments on a second batch of CSL. This time ANOVA analysis identified YE, CSL, Tween80, MnSO<sub>4</sub>, and sodium acetate as the components having the most significant effect on bacteriocin production ( $P < 0.1$ ). Continued optimization showed that MnSO<sub>4</sub> was insignificant while sodium acetate caused a decrease in activity.

The final media consisted of the following three components: YE (C), CSL (D) and Tween80 (F). It was not surprising to find that yeast extract was once again one of the significant components. Tween80 has emulsifying properties. The final concentrations, as set in a 2<sup>3</sup> FFD design, was: YE 7.5 g.l<sup>-1</sup>, CSL 10 g.l<sup>-1</sup> and Tween80 2 g.l<sup>-1</sup>.

#### *Growth limitations*

The sugar content of the CSL medium was measured before and after

fermentation. At the start of fermentation, CSL was made up to a concentration of 7.5 g TS.l<sup>-1</sup>. The main monomer sugars present were glucose and fructose at a total concentration of 3.883 g.l<sup>-1</sup>. Only about 51.8% of the total sugar was therefore in a fermentable form and not all sugars were metabolized during fermentation. At the end of fermentation (constant OD), the pH was 4.6 and the residual sugars left were 8.8%. Growth limitations were due to low pH and not due to a lack of nitrogen, minerals, vitamins or any other nutrients, and Table 27 shows the carbon uptake during fermentation.

**Table 27**  
Carbon uptake during fermentation

Time [h]	Glucose [g/l]	Fructose [g/l]	Total sugar [g/l]	% Sugar fermented
0	1.747	2.136	3.883	0.0
10	0.391	0.899	1.290	66.8
19	0.041	0.301	0.342	91.2

Accordingly, it is evident that CSL supplemented with YE could match high peptide ST4SA levels obtained in commercial MRS broth. Advantageously, this has the potential of significantly reducing costs associated with cultivation media. CSL was selected as growth medium for industrial production of peptide ST4SA.

#### *Development of Enterococcus mundtii ST4SA into a probiotic*

An important criterion for the selection of probiotic strains is adhesion to epithelial cells. Probiotic bacteria may also compete with pathogenic bacteria for adhesion sites. Caco-2 cells, which originate from human colon adenocarcinoma, were used as an *in vitro* model to investigate the adhesion and competitive exclusion abilities of *E. mundtii* ST4SA to epithelial cells.

The results are expressed as percentage of adherence compared to the inoculum. *E. mundtii* ST4SA and *L. monocytogenes* Scott A were able to adhere and both showed 5% adhesion to the Caco-2 cells. The adhesion of *E. mundtii* ST4SA was lowered to 1% when the incubation time was only 1h. Food material stays in the small



intestine for 2h and therefore would allow sufficient time for *E. mundtii* ST4 to adhere to the epithelial cells. However, *E. mundtii* ST4SA did not have an effect on the adhesion of *L. monocytogenes* Scott A regardless of whether the strain was added before, during or after the incubation with the pathogen. In the exclusion and displacement tests, *L. monocytogenes* Scott A showed 5% adhesion. The competition test showed no competition for adhesion as the percentage adhesion stayed the same as in the control. This can be explained by the fact that *E. mundtii* ST4SA and *L. monocytogenes* Scott A might bind to different receptors on the epithelial cell. *E. mundtii* ST4SA and *L. monocytogenes* Scott A would, therefore, not compete for similar receptors.

#### *Resistance of E. mundtii ST4 against antibiotics and anti-inflammatory drugs*

*E. mundtii* ST4SA showed resistance against two antibiotics (Cefasyn and Utin) and the inflammatory medicaments Rheugesic, Coxflam and Pynmed. The results are shown in Table 28. Antibiotics with the greatest growth inhibition on *E. mundtii* ST4SA are Promoxil, Cipadur, Roxibidd and Doximal.

Promoxil, Doximal, Cefasyn and Utin had no effect on the adhesion of ST4SA cells to Caco-2 cells compared to the control. Adhesion decreased with 10% in the presence of Cipadur, Roxibudd and Ibugestic syrup and 14% with a higher concentration of Cefasyn, as may also be seen in Table 29.

5

Table 28

Medicament	Strain ST4SA
Ibuprofen, 5mg/ml	++
Aspirin, 5mg/ml	+
Promoxil, 100mg/ml	++++
Cipadur, 50mg/ml	+++
Cefasyn, 100mg/ml	-
Roxibidd, 30mg/ml	+++
Doximal, 20mg/ml	+++
Ciploxx, 100mg/ml	+
Utin, 80mg/ml	-
Rheugesic, 4mg/ml	-
Coxflam, 1.5mg/ml	-
K-fenak, 5mg/ml	++
Preflam, 3mg/ml	+
Ibugesic	++
Pynmed	-

- = no zones; + = diameters between 1 mm and 11 mm; ++ = diameters between 12 mm and 16 mm;  
 +++ = diameters of 17 mm and more.

Table 29

Medicament	Strain ST4SA adhesion
Inoculum	$1 \times 10^7$
Control	$5 \times 10^4$
Cipadur (5 mg/ml)	$6 \times 10^3$
Roxibidd (10 mg/ml)	$3 \times 10^3$
Promoxil (8 mg/ml)	$1.25 \times 10^4$
Doximal (2 mg/ml)	$1 \times 10^4$
Cefasyn (10 mg/ml)	$1.2 \times 10^4$
Cefasyn (50 mg/ml)	$7 \times 10^2$
Utin (8 mg/ml)	$4 \times 10^4$
Utin (40 mg/ml)	$3 \times 10^4$
Ibugestic syrup (100 $\mu$ l)	$6 \times 10^3$
K-fenak (0.5 mg/l)	$3 \times 10^4$

- 5 *In vivo assessment of the safety, toxicity, bacterial translocation and survival, immune modulation capacities and efficacy of orally administered E. mundtii ST4SA cells – comparisons with Lactovita.*

#### *Animals*

- 10 Thirty six male Wistar rats weighing between 150 g and 180 g were housed in groups of six in plastic cages with 12-hour light/dark cycle, in a controlled atmosphere (temperature  $20 \pm 3^\circ\text{C}$ ). The animals were fed a standard diet and *ad lib*.

#### *Bacterial strains and probiotic*

- 15 *E. mundtii* strain ST4SA was cultured in MRS Broth at  $30^\circ\text{C}$  for 18 hours; the optical density was adjusted to 1.8 at 600 nm. Cells were harvested by centrifugation and washed in 20 mM phosphate buffer (pH 7.5). Washed cells were resuspended in 100 mM sucrose, frozen at  $-80^\circ\text{C}$  and lyophilized overnight. The

cfu/vial values were determined by suspending a given mass of cells in 1 ml of phosphate buffer and spread plating dilutions on MRS solid media. Lyophilized cells were resuspended in sterile skim milk (10%) and  $2 \times 10^8$  cfu strain ST4SA was administered via intragastric gavage.

5

Lactovita capsules were emptied into a vial and resuspended in sterile skim milk (10%) and  $2 \times 10^8$  cfu was administered via intragastric gavage.

10

*Listeria monocytogenes* Scott A was cultured in BHI Broth at 37°C for 18 hours. Cells were harvested by centrifugation and washed in 20 mM phosphate buffer (pH 7.5). Washed cells were resuspended in 100 mM sucrose, frozen at -80°C and lyophilized overnight. The number of cfu/vial was determined as described above. Lyophilised cells were resuspended in sterile skim milk (10%) and  $10^4$  cfu were administered via intragastric gavage.

15

#### *Experimental design*

20

Two groups of animals received strain ST4 via intragastric gavage, two groups received Lactovita and the remaining two control groups received water for 7 consecutive days. On day 8, both control groups were infected with *Listeria monocytogenes* and one group from each of the strain ST4SA and Lactovita groups was also infected with *L. monocytogenes* via intragastric gavage. At this time the animals also received *E. mundtii* strain ST4SA, Lactovita or water. Probiotic or water was administered again on day 9 and 10. When the control groups began presenting symptoms, *E. mundtii* strain ST4SA was administered via intragastric gavage to one of the groups. This group was then monitored for alleviation of symptoms.

25

30

Animals were monitored twice daily for symptoms of listeriosis. Animals were sacrificed on day 11 (except the control group now receiving *E. mundtii* strain ST4SA). Blood was sampled from the right ventricle. Total blood counts were determined by a pathologist laboratory, a sample of blood was inoculated onto BHI solid media and *Listeria* selective agar base and the cfu/ml was determined. Plasma was

collected and endotoxin levels as well as cytokine (IL-6 and IFN- $\alpha$ ) levels were determined. The liver, spleen and sections of the gastrointestinal tract were excised and fixed in formaldehyde prior to paraffin embedding.

5                   Sections were stained with hemolysin and eosin for histological analysis. The hemolysin and eosin analysis indicated that oral administration of strain ST4SA does not result in any structural damage to the liver, spleen or GIT (see Figure 31). No differences were observed between animals that had been administered strain ST4SA and those receiving only unsupplemented water.

10                   As described herein, the *Enterococcus mundtii* strain, ST4SA, isolated from soy bean extract, has been found by the Applicant to produce a useful antibacterial peptide, peptide ST4SA, which is active against a number of pathogenic organisms such as those isolated from human middle-ear infections and the human  
15                   intestine. Advantageously, peptide ST4SA is not cytotoxic. The *Enterococcus mundtii* ST4SA strain has been distinguished by the Applicant from other strains of *E. mundtii* by sugar fermentation reactions, a number of biochemical tests, the presence of two plasmids (pST4SA1 and pST4SA2), and a unique gene (*AdhST4SA*), encoding  
20                   adhesion to intestinal epithelial cells and mucus. Peptide ST4SA has been purified and sequenced, and contains two large hydrophobic regions. The Applicant has isolated and sequenced the nucleic acid sequence encoding peptide ST4SA, as well as the nucleic acid sequence encoding the transport of peptide ST4SA across the cell  
25                   membrane, which serves to render immunity to the producer cell against its own peptide. All latter genes are located on the genome, since curing of the strain of its plasmids did not lead to loss in antimicrobial activity. Furthermore, transformation of the  
30                   plasmids to a strain of *Enterococcus faecalis* did not convert the recipient into a peptide ST4SA producer, which is further evidence that the genes are not located on the plasmids. Loss in antimicrobial activity after treating the peptide with proteolytic enzymes confirmed that the activity is due to the peptide structure and not lipids or carbohydrates linked to the molecule. Surprisingly, the peptide remained active after 30 min of incubation in buffers between pH 2.0 and 12.0, and after 90 min at 100°C. Even more surprisingly, treatment with EDTA, SDS, Tween 20, Tween 80 and Triton X-100

did not lead to loss in antimicrobial activity. Strain ST4SA survives low pH conditions (pH 2.5), grows in medium adjusted from pH 4.0 to 9.6 in the presence of 6.5% NaCl, and is resistant to 1.0% (w/v) bile salts and pancreatic juice. Growth of strain ST4SA occurs at 10°C (although slowly) and at 45°C, with optimal growth at 37°C. Only L(+)-lactic acid is produced from glucose. These strain characteristics, the rapid and stable production of peptide ST4SA, even when the strain is exposed to environmental stress, the fact that the peptide is non-cytotoxic, and that it is produced by an organism with GRAS (generally regarded as safe) status, renders the strain a useful probiotic.

The peptide also has use as an antimicrobial agent in a liquid formulation, as a topical treatment for, for example, middle-ear infections. This liquid formulation may be applied to the ear using an ear drop applicator. The liquid formulation is also included in a liquid form of the probiotic of *Enterococcus mundtii* for use as a liquid supplement to meals. The peptide further finds use as an antimicrobial agent in either a liquid or aspirated form in a nasal spray for treatment in, for example, sinus infections or sinusitis. The peptide is also used as an antimicrobial agent encapsulated in a polymer. This polymer is then used in a formulation for topical treatment of infections such as, for example, skin infections, or it may be used for incorporation in implants such as, for example, ear grommets, or for incorporation in wound dressings. The encapsulation of the antimicrobial peptide in the polymer leads to a slow release of the antimicrobial peptide and an extended period of treatment of a microbial infection. The peptide also finds use as an antimicrobial agent by being incorporated into ointment, lotion, or cream formulations and used to treat infections. The peptide may also further be used as an antimicrobial agent by being incorporated into liquid formulations such as, for example, contact lens rinsing fluid, or it may be incorporated into the contact lenses themselves. The peptide may also be used as an antimicrobial agent by being incorporated into packaging material such as plastic, for use in the manufacture of aseptic packaging. In an even further form of the invention the peptide may be used as part of a broad-spectrum probiotic of *Enterococcus mundtii* in a tablet form or in a capsule form or it may be in an edible form such as, for example, a sweet or chewing gum.

In the specification the term "comprising" shall be understood to have a broad meaning similar to the term "including" and will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integers or steps. This definition also applies to variations on the term "comprising" such as "comprise" and "comprises."

The reference to any prior art in this specification is not, and should not be taken as an acknowledgement or any form of suggestion that the referenced prior art forms part of the common general knowledge in Australia.

**CLAIMS**

1. A substantially pure culture of *Enterococcus mundtii* strain ST4SA deposited with the ATCC under the assigned number PTA-7278, said culture capable of producing peptide ST4SA in a recoverable quantity upon fermentation in a nutrient medium containing assimilable sources of carbon, nitrogen, and inorganic substances.
2. Use of a therapeutically effective amount of the cultured *Enterococcus mundtii* strain as claimed in claim 1 in the manufacture of a medicament for treating a bacterial infection in an animal or human.
3. Use as claimed in claim 2, wherein the cultured strain is for administration in a concentration of  $10^6$  to  $10^9$  cfu/ml.
4. Use as claimed in claim 3, wherein the cultured strain is for administration in a concentration of  $10^8$  cfu/ml.
5. Use of a therapeutically effective amount of an isolated peptide selected from the group including:
  - SEQ. ID. NO. 12;
  - a fragment thereof having antimicrobial activity;
  - muteins and derivatives thereof having antimicrobial activity;
  - covalently bound bridge constructs thereof having antimicrobial activity;
  - andsequences having more than about 75% homology to said amino acid sequence, such sequences having antimicrobial activity, in the manufacture of a medicament for treating a bacterial infection in an animal or human.
6. Use as claimed in claim 5, wherein the peptide is for administration in a concentration of 100 000 AU/ml to 300 000 AU/ml.
7. Use as claimed in claim 6, wherein the peptide is for administration in a concentration of 200 000 AU/ml.



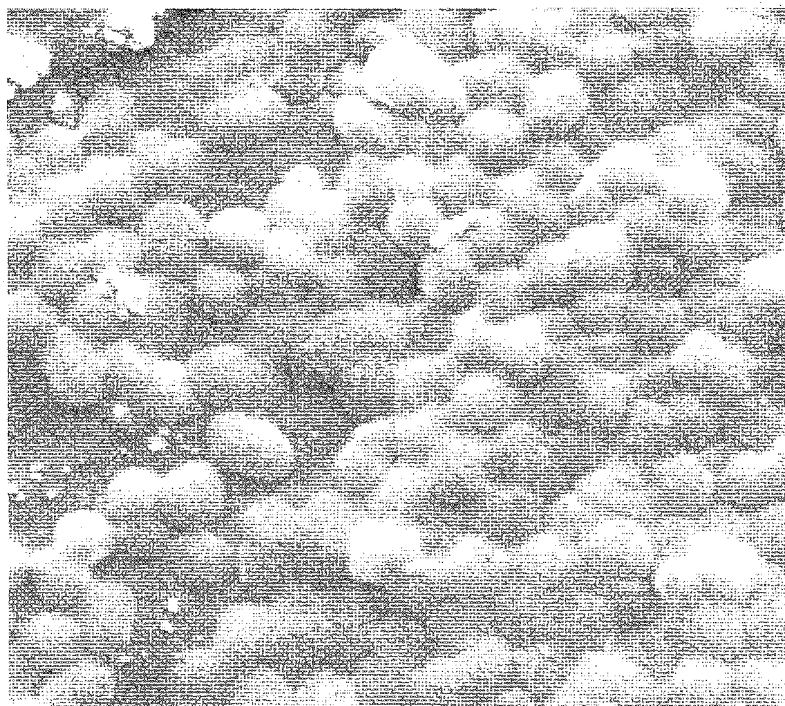
8. A substance or composition for use in a method of treating a bacterial infection in an animal or human, said substance or composition comprising the cultured *Enterococcus mundtii* strain as claimed in claim 1, and said method comprising administering a therapeutically effective amount of the substance or composition to the animal or human.
9. The substance or composition as claimed in claim 8, wherein the cultured strain is administered in a concentration of  $10^6$  to  $10^9$  cfu per ml.
10. The substance or composition as claimed in claim 9, wherein the cultured strain is administered in a concentration of  $10^8$  cfu/ml.
11. A substance or composition for use in a method of treating a bacterial infection in an animal or human, said substance or composition comprising an isolated peptide selected from the group including:
  - SEQ. ID. NO. 12;
  - a fragment thereof having antimicrobial activity;
  - muteins and derivatives thereof having antimicrobial activity;
  - covalently bound bridge constructs thereof having antimicrobial activity;
  - andsequences having more than about 75% homology to said amino acid sequence, such sequences having antimicrobial activity, and said method comprising administering a therapeutically effective amount of the substance or composition to the animal or human.
12. The substance or composition as claimed in claim 11, wherein the isolated peptide is administered in a concentration of 100 000 AU/ml to 300 000 AU/ml.
13. The substance or composition as claimed in claim 12, wherein the isolated peptide is administered in a concentration of 200 000 AU/ml.
14. The substance or composition as claimed in any one of claims 8 to 13,

wherein the substance or composition is in the form of a liquid formulation, ointment formulation, lotion formulation, cream formulation, gel formulation, liquid spray, lyophilized powder, lyophilized spray, mouth wash, or gargle.

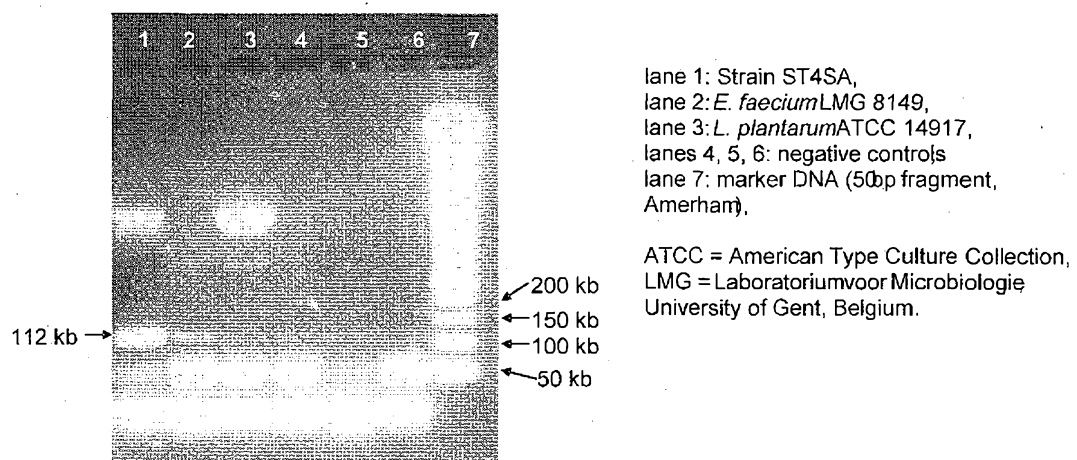
15. A substance or composition as claimed in any one of claims 8 to 14, wherein the bacterial infection is an infection caused by any one or more of: *Acinetobacter baumannii*; *Bacillus cereus*; *Clostridium tyrobutyricum*; *Enterobacter cloacae*; *Escherichia coli*; *Klebsiella pneumoniae*; *Listeria innocua*; *Pseudomonas aruginosa*; *Staphylococcus aureus*; *Staphylococcus carnosus*; *Streptococcus caprinus*; *Streptococcus (Enterococcus) faecalis*; or *Streptococcus pneumoniae*.
16. Use of an isolated peptide selected from the group including:
  - SEQ. ID. NO. 12;
  - a fragment thereof having antimicrobial activity;
  - muteins and derivatives thereof having antimicrobial activity;
  - covalently bound bridge constructs thereof having antimicrobial activity;
  - andsequences having more than about 75% homology to said amino acid sequence, such sequences having antimicrobial activity in the manufacture of an antimicrobial agent in the form of a liquid, ointment, lotion, or cream formulation, liquid spray, lyophilized spray, mouth wash, or gargle.
17. Use of an isolated peptide selected from the group including:
  - SEQ. ID. NO. 12;
  - a fragment thereof having antimicrobial activity;
  - muteins and derivatives thereof having antimicrobial activity;
  - covalently bound bridge constructs thereof having antimicrobial activity;
  - andsequences having more than about 75% homology to said amino acid sequence, such sequences having antimicrobial activity in the manufacture of an antimicrobial spray for treatment of sinus infections, sinusitis, rhinitis, tonsillitis, or throat infections.

18. A probiotic composition including a therapeutically effective concentration of a biologically pure culture of *Enterococcus mundtii* strain ST4SA as claimed in claim 1.
19. A probiotic composition as claimed in claim 18 wherein the bacterial strain is included in a concentration of about  $10^5$  to  $10^9$  viable cells (cfu) per ml of probiotic composition.
20. A probiotic composition as claimed in claim 19, wherein the bacterial strain is included in a concentration of about  $2 \times 10^8$  viable cells (cfu) per ml of probiotic composition.
21. The probiotic composition as claimed in any one of claims 18 to 20 wherein the composition is in the form of a liquid, tablet, capsule, sweet, chewing gum, or other edible foodstuff.
22. Use of a probiotic composition as claimed in any one of claims 18 to 21, in the manufacture of a medicament for reducing the levels of pathogenic bacteria in an animal or human.
23. Use as claimed in claim 22, wherein the probiotic composition is for administration in a final concentration of between  $10^3$  and  $10^6$  cfu/ml to an animal or human.
24. Use as claimed in claim 23, wherein the probiotic composition is for administration in a final concentration of between  $10^5$  and  $10^6$  cfu/ml to an animal or human.
25. Use as claimed in claim 24, wherein the probiotic composition is for administration in a final concentration of about  $3 \times 10^5$  to an animal or human.
26. Use of a biologically pure culture of strain *Enterococcus mundtii* as claimed in claim 1 in the manufacture of a probiotic for reducing the levels of pathogenic bacteria in an animal or human.

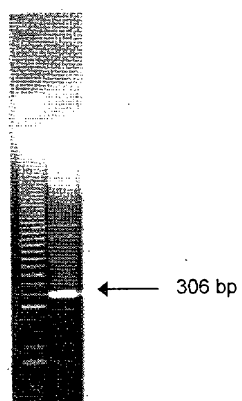
27. Use as claimed in claim 26, wherein the cultured strain is for administration in a concentration of  $10^6$  to  $10^9$  cfu/ml.
28. Use as claimed in claim 27, wherein the cultured strain is for administration in a concentration of  $10^8$  cfu/ml.



**Figure 1**

**Figure 2**

3 / 25



**Figure 3**

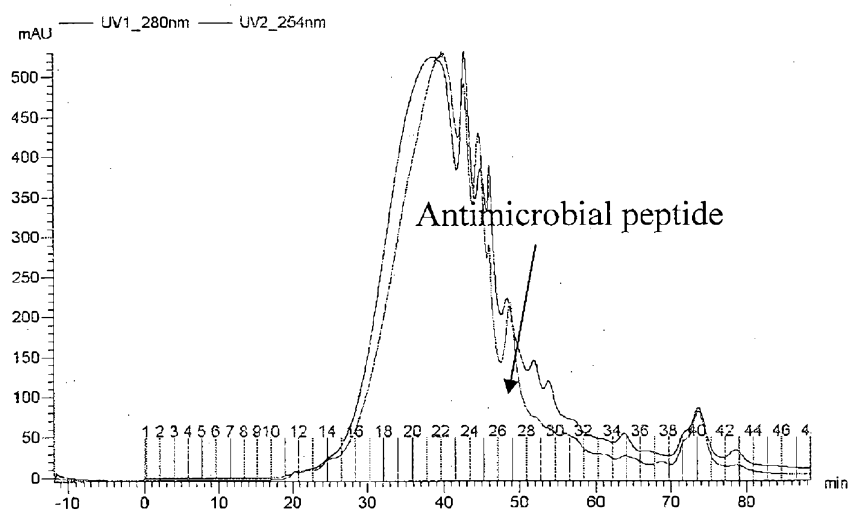
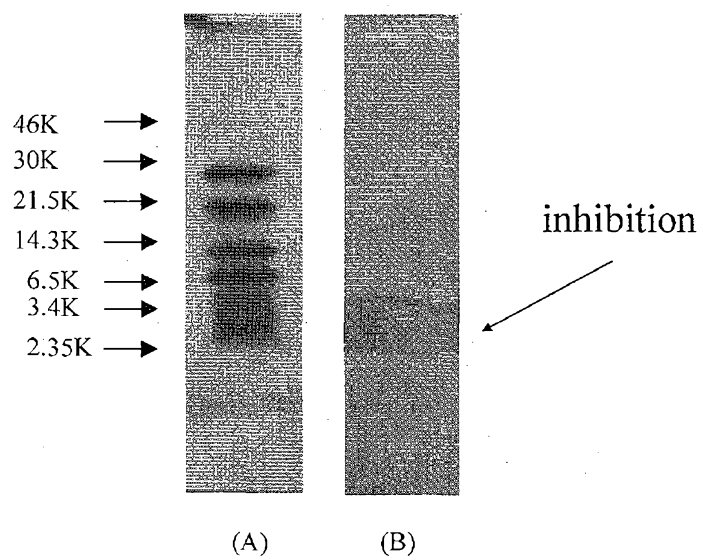


Figure 4



**Figure 5**

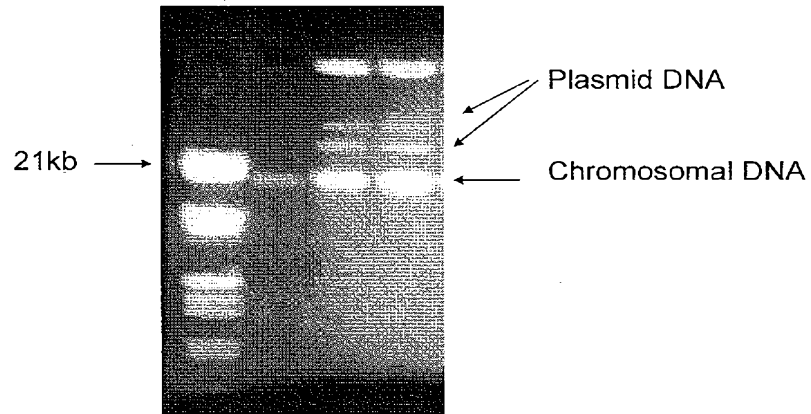


Figure 6A

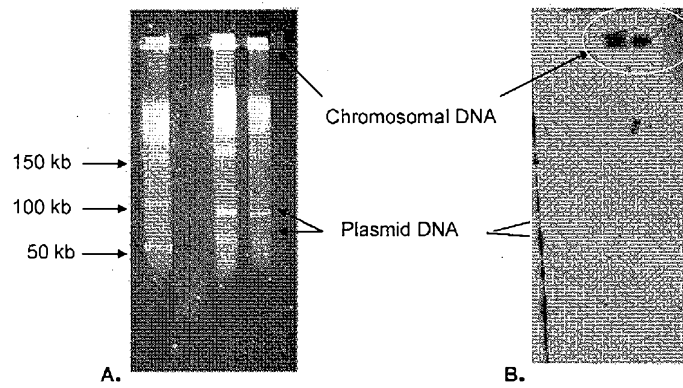


Figure 6B

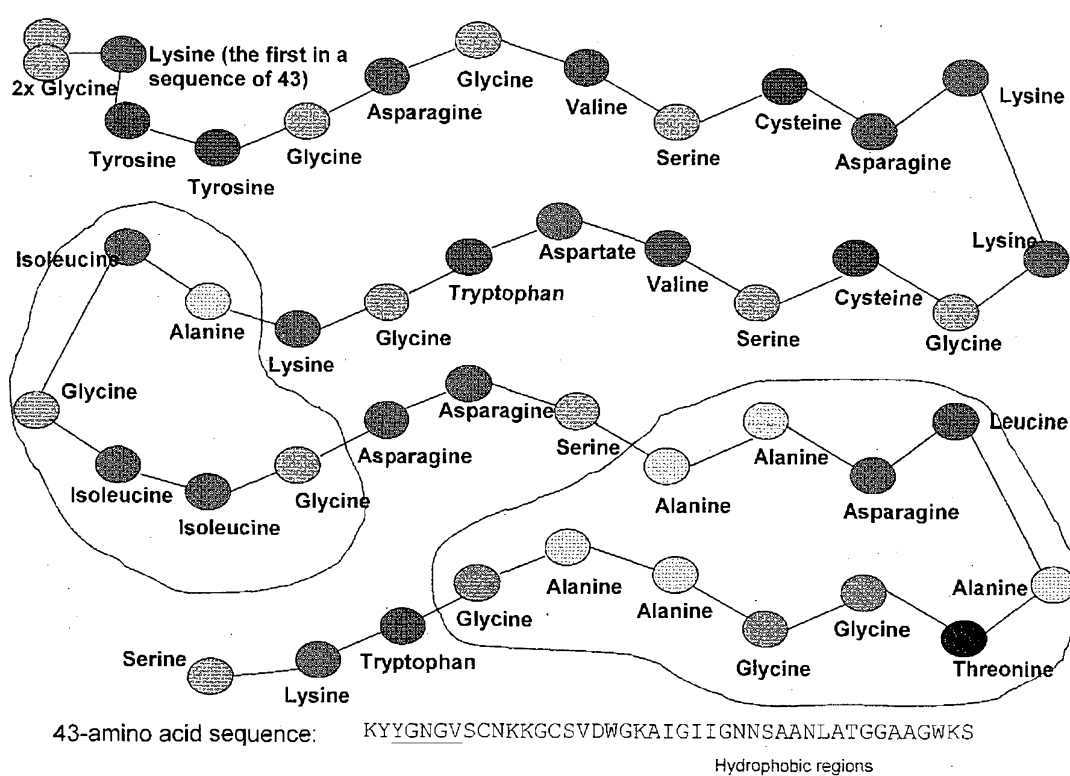


Figure 7

## SEQUENCE LISTING OF E. MUNDTII POLYNUCLEOTIDES AND POLYPEPTIDES

## SEQ. ID. NO. 11

Polynucleotide encoding *Enterococcus mundtii* (ATCC PTA-7278) antimicrobial peptide ST4SA

ATGTCACAGTAGTAGGTGGAAAACTACTACGGTAATGGAGTCTCATGTAATAAAAAAGGGTGCAGTGTTGATTGGGGAAAAAGCTATTGGCATTATTG  
GAAATAATTCTGCTGCCAATTTAGCTACTGGTGGAGCAGCTGGTTGGAAAAAGTTAA

## SEQ. ID. NO. 12

Polypeptide encoded by *Enterococcus mundtii* (ATCC PTA-7278) antimicrobial peptide ST4SA

MSQVVGKKYVNGVSCNKKGCSVDWGKAIGIIGNNSAANLATGGAAGWKS

## SEQ. ID. NO. 13

Polynucleotide encoding *Enterococcus mundtii* ST4SA (ATCC PTA-7278) ABC transporter gene

ATGCAGATGATTTTAAATAATTTTCATTCATGGATTTTCAGTGGAAAGTTTAAAGAGACTTAACTGAAACCGATTCTGAAGGTACCTGTGCATTAGGTA  
TAGTTAACGGATTTCGCAAAATAGGAATAAATGTGAAGCCTATAAAGCTAATAGTGATGTATGGAAAGAAAAATGAGTTCAATTATCCCGTAATTGC  
TAATATAGTAACGAATAATCAATTTCTTCATTATTGTATTGTATATGGTGTGAAAAAGAGAAATGTTAATAGCTGACCCCTGCGATTGGAAAAATAC  
AAAGAATCAATAGAAAAAGTTCAACAACAAATGGACTGGTGTATTTTAGTTGCTGAAAAGACTCCTGATTCCAACCCATAAATAATACAAAAAAA  
GTTTTTTTTCTTCAATAAGTTTATTAAGATCAATATAAAAAAATTTTATGGTGATATTATCTTCATTAATAATAACAATTATAGGAATACTATC  
AAGTACTATTTTAGAAATTTAATAGATTGGTTACTTCCTGAAAAGACTTTTAAATCTATTTATGATATCAATTAGCTATATCATAGGCATTTT  
ATAACAAGTATATTGCAATTTACCGAAGATATAATTTAGAAAAGCTAGGACAAGATGTAGGTAGAAGCATTTTATTTAAATATTTAGAACATATTT  
TCATTTTACCAGCTTCCTTTTCTTCTAAAAGAAAACTGGAGATATTGTCTCTAGATTTTCTGATGCTAATAAAATATAGAAAGCTTTAGCTAGCTT  
TACTATATCTATTTTGTAGTTTAAAGTTCAGTCATTGTTTGGGGATTATATTGATCAATATTAATAAACAATTTATTTTAAACGTTAAGTTCT  
ATTCATTTTATATATAATTTATATTAGGATCAATAAAAAATGAGTCGATTAAACGGAGAAGAGATGCAACAAATTCATAGTTGATTCTAAT  
TTATTGAAGGATTAAACGGAATATATACTATAAAGCACTTGTAGTGAGAATAAGATTGTAAATCAAAATATATAGAAGTTTAAATAAATTTTGA  
TGTATCACTAAAGAGAAATATGTATGATTCTATAATTCAAAATTTAAATTTTGGTTTCTCTTTAACCTCGGCTTTTGTATTATGGCTTGGTTG  
TATTATGTTATCAATGGAGAAATTAATAGGAGAACTAATACTTCAATTCATTATCTATATTTTCTACACCTCTACAAAATATAATAAATC  
TACAAGAAAAATTCAAAAAGCACAAAGTTGCAATAATCGGCTTAACGATGATTTTCTATAAATAATGAAAAATAAGACAAGTTTATTCATTGGC  
TAAATTAAGTAAAAAGCAACGATTACATTTGAAATGTATATTTAGTTATCTACTAAATATCTAATGTGTAGATAATATGAGTTTCTCTA  
CCTGTGAGTAAAAATATAGGAATAAAAGGTGATAGTGGTGTGGGAAATCAACTTTAGCACAACTCTAGCTGGATTCTCTCCAGATAATGGAA  
GAATTTGTATAAATGAGCAAAATATTGAAATATTAATAGAAAAGATTACGTAAGTTGATTACCTATGTGCTCAAGAATCTTTTATTATGAGTGG  
AACTATTTAAAGACAATTTATTTTGGTTTGAAGATTTCTGTGTAACAAGAACTCGAAAAAGTACTGAAAGATACCTGTTTATGGAGTTATATT  
ACTGCCCTCTCTAGGACTTGATACGTATCTAGAAGAAATGGTGGGATTTATCAGGTGGTCAAAAGCAAAGAAATGCTTTAGCAAGAGTTTAT  
TATTAGGAAGTAAATTTTATATTAGATGAAGCTACGAGTCTCTAGATTCTAAACTGAAATGCTGATTAGAAAAATTATTAAGTACCCATAA  
TAAGTCAATCATTTATGATATCTCATAATGATAAATTAATAGACAAGTGTGACTTAATCATTTGATTAGACGAAAGGGATTCTATA

## SEQ. ID. NO. 14

Polypeptide encoded by *Enterococcus mundtii* ST4SA (ATCC PTA-7278) ABC transporter gene

MQMILNNFHSWISVEVLRDLTETDSEGTALGIIVNGFAKLGINCEAYKANSVDWKENEFNPVIANIVTNNQFLHYCIVYGVKKEKLLIADPAIGKY  
KESIEKFNKWTGVILVAEKTPDFQPINNTKKSFPSSISLLKQYKILLVILSSLIITIGILSSYYFRILIDWLLPEKDFLNLFMISISYIIGF  
ITSIFEITRRYNLEKLGQDVGRSILFKYLEHIFILPASFFSKRKTDIVSRFSDANKLIEALASFTISIFLDLSSVIVVGIIILININKQLFLITLSS  
IPFYILLILGNSKKMSRLNGEEMQTNISIVDSNFIENGLNGIYTIKALCSENKIVNQIYRSLNKFFDVSLKRNMYDSIIQNLKILVSLTSAFVLWLG  
YVINGEITIGELITFNSLSIFFSTPLQNIINLQEKFKQAQVANNRLNDVFSINNENKDKFIHLAKLTEKATITFENVYFSYSTKYPNVLDNMSFSL  
PVSKNIGIKGDSGAGKSTLAQLLAGFPSPDNGRICINEQNIENINRKDLRLITYVPQESFIMSGTIKDNLFGLSIPDEQLEKVLKDTCLWSYI  
TAPPLGLDITYLEENGANLSSGQKQRIALARVLLLGSKILLDEATSALDSKTEMLILEKLLKYPNKSIIIMSHNDKLDKDLIIDLDERDS

## SEQ. ID. NO. 15

Polynucleotide encoding *Enterococcus mundtii* ST4SA (ATCC PTA-7278) immunity gene

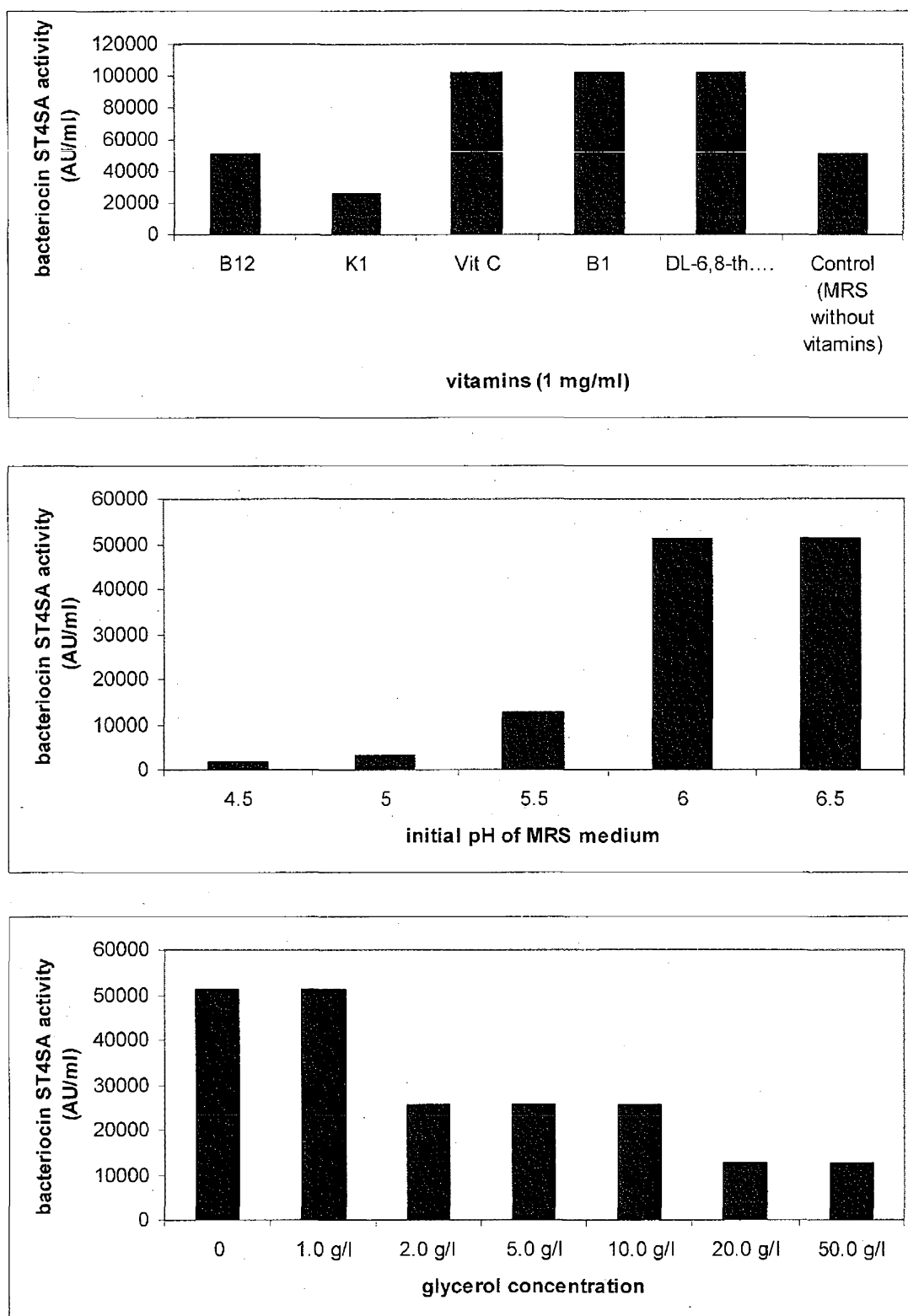
ATGAGTAATTTAAAGTGGTTTCTGGTGGAGACGATCGACGTAAAAAGCAGAAGTGATTATTACTGAATTATTAGATGATTTAGAGATAGATCTTG  
GAAATGAATCTCTTCGAAAAGTATTAGGCTCCTATCTTGAAGAGTTGAAAAATGAAGGAAGTCAAGTTCCATTAGTTTAAAGTCGTATGAATATAGA  
GATATCTAATGCAATAAAAAAGACGGTGTATCGTTAAATGAAATCAATCTAAAAAATTAAGAAGCACTATATCTATATCTAATATTAGATATGGA  
TATTAG

## SEQ. ID. NO. 16

Polypeptide encoded by *Enterococcus mundtii* ST4SA immunity gene

MSNLKWFSGGDDRRKKAIEVIIITELLDDLEIDLGNESLRKVLGSSYLEKLKNEGTSVPLVLSRMNIEISNAIKKDGVSLENQSKKLKELISISNIRYG  
Y

Figure 8



**Fig. 9A.** Influence of growth medium components on peptide ST4 production

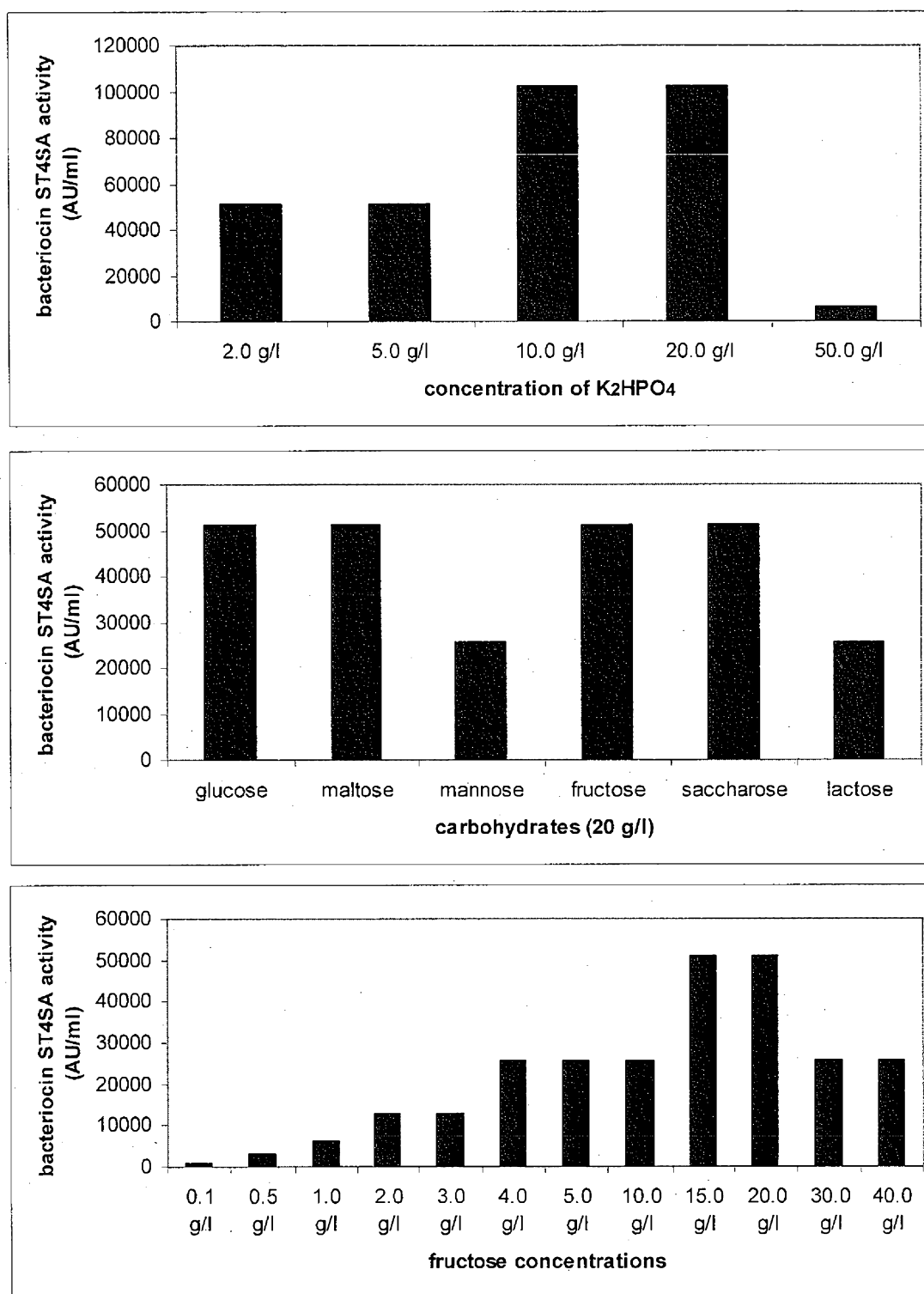
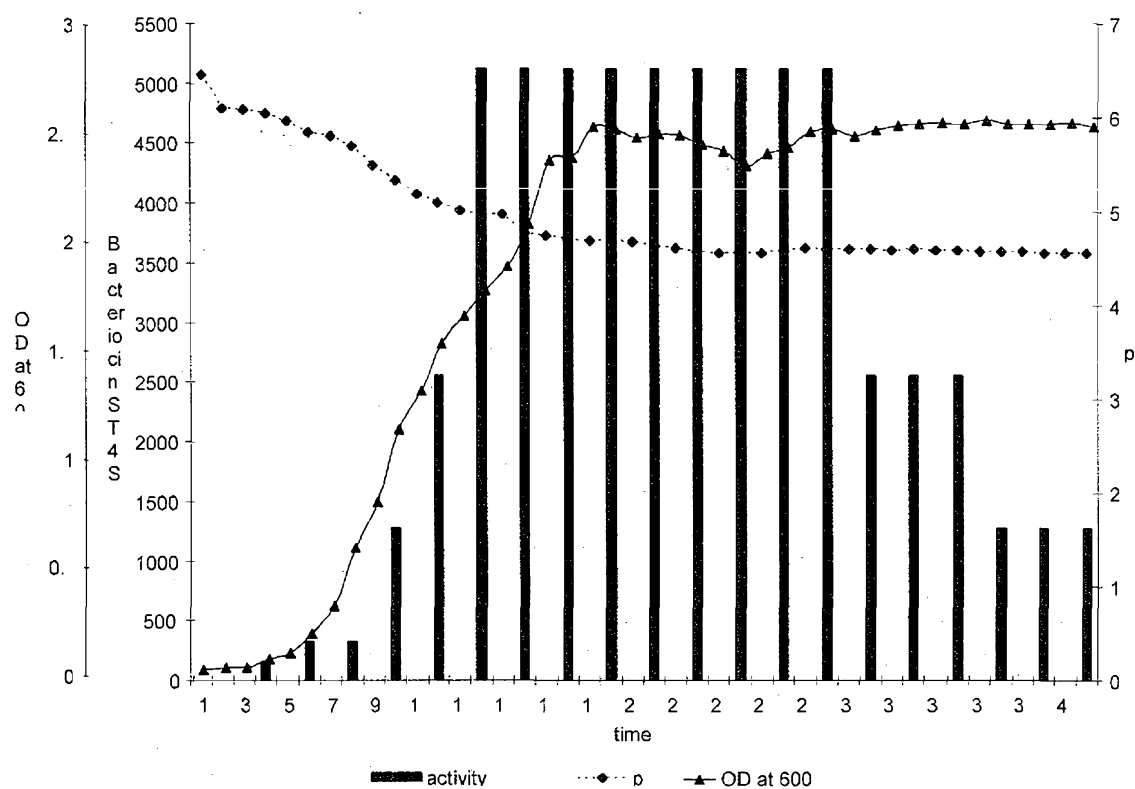


Fig. 9B. Influence of growth medium components on peptide ST4 production



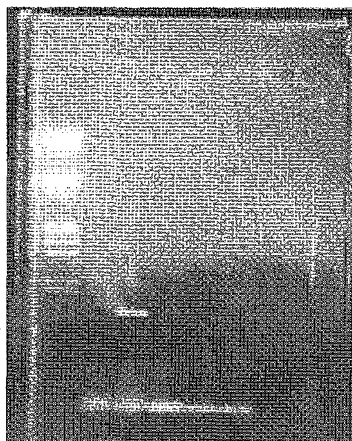


Figure 11

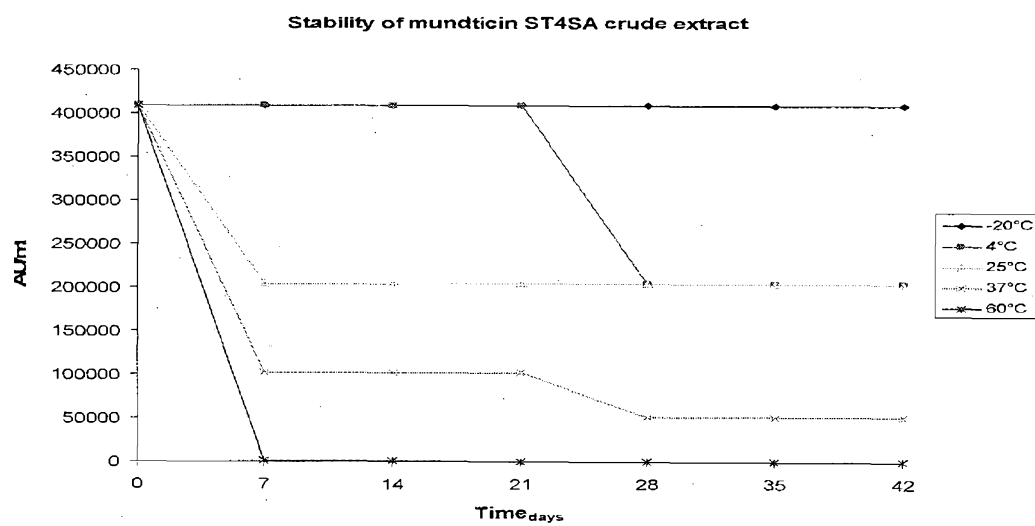


Figure 12



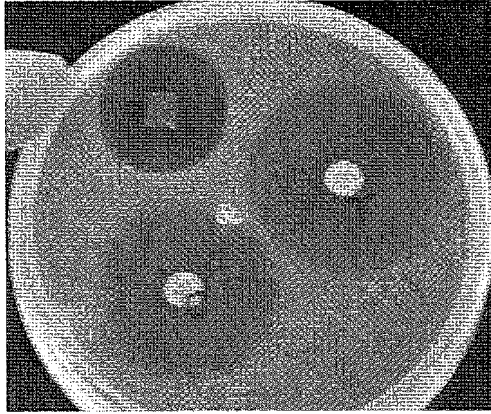


Fig. 13

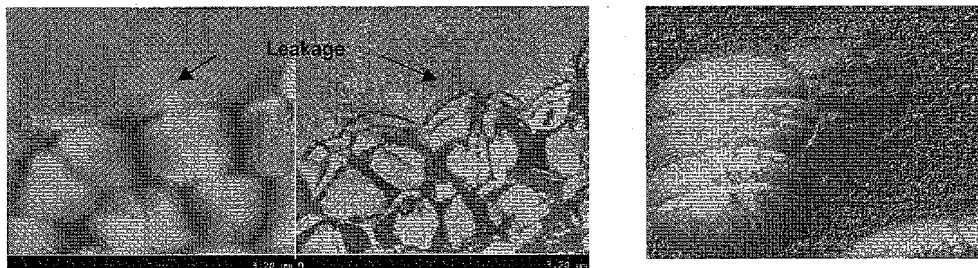


Fig. 14

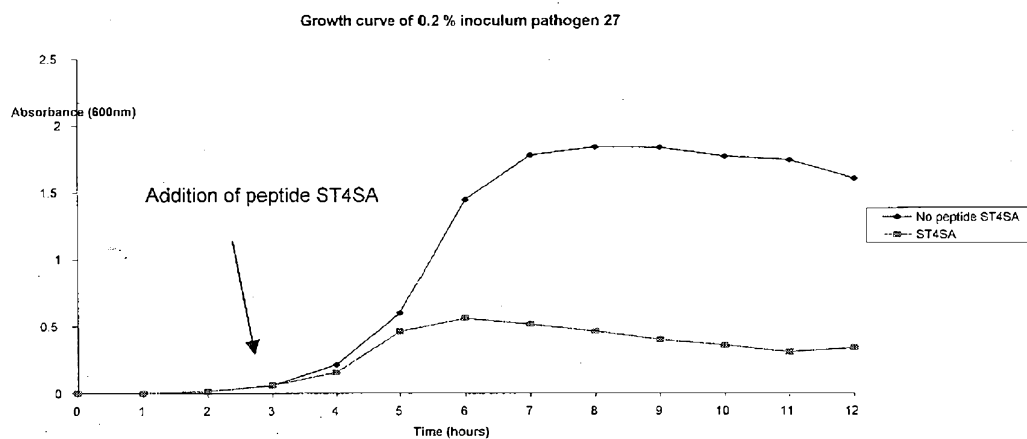


Figure 15

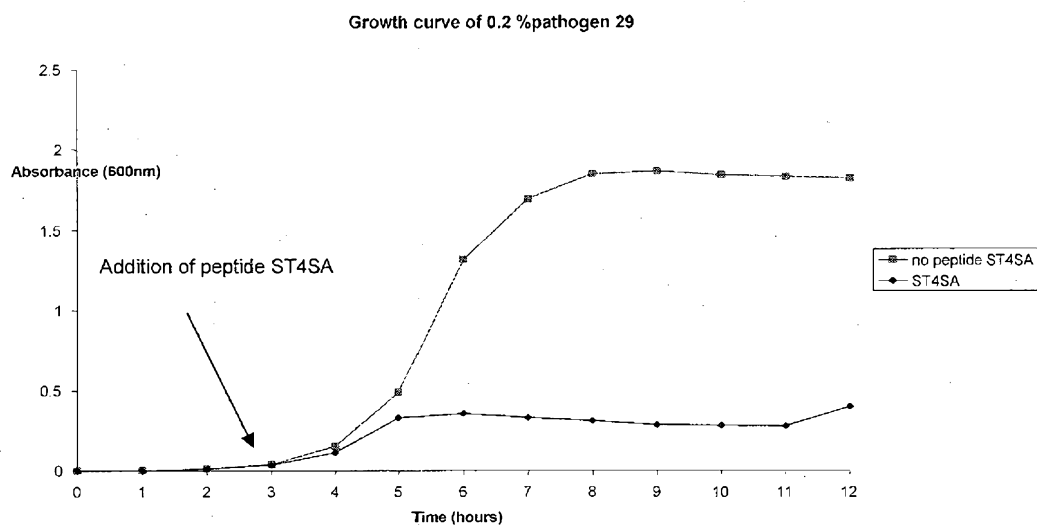


Figure 16

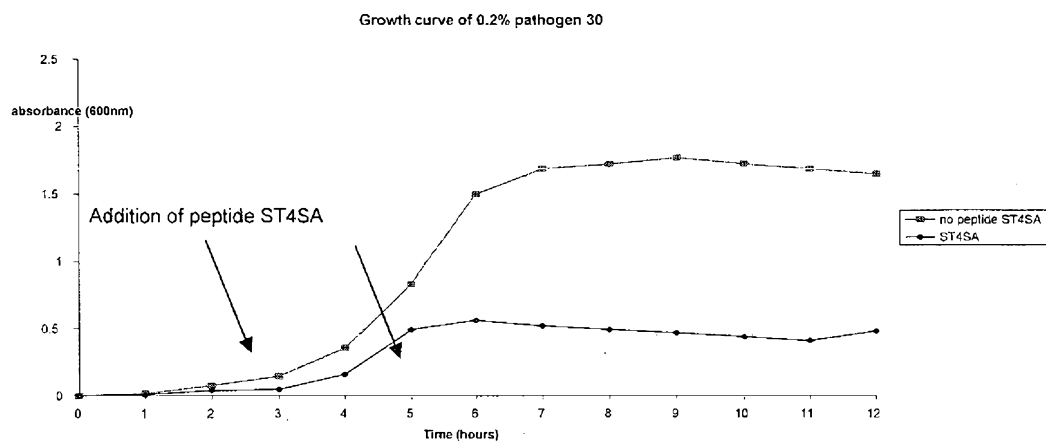


Figure 17

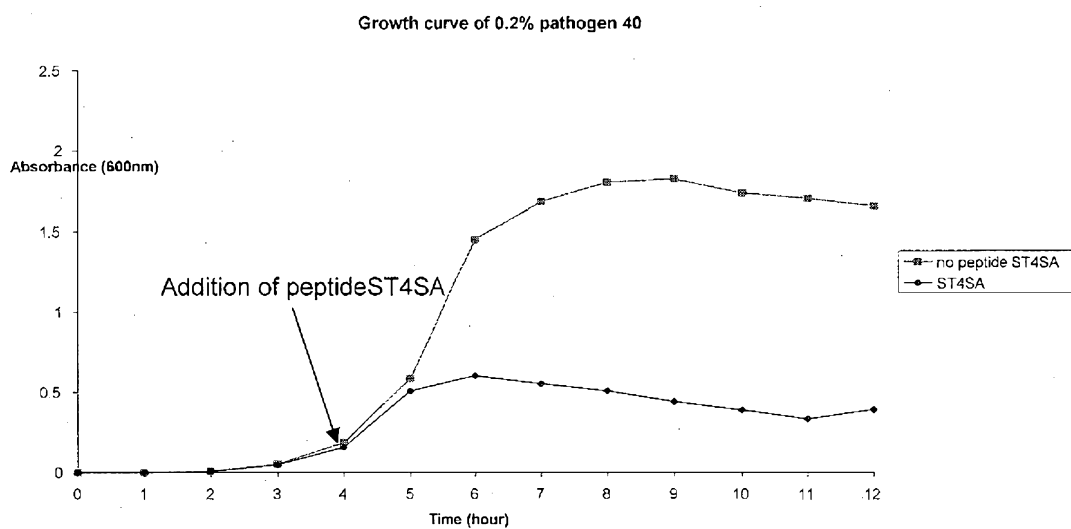


Figure 18

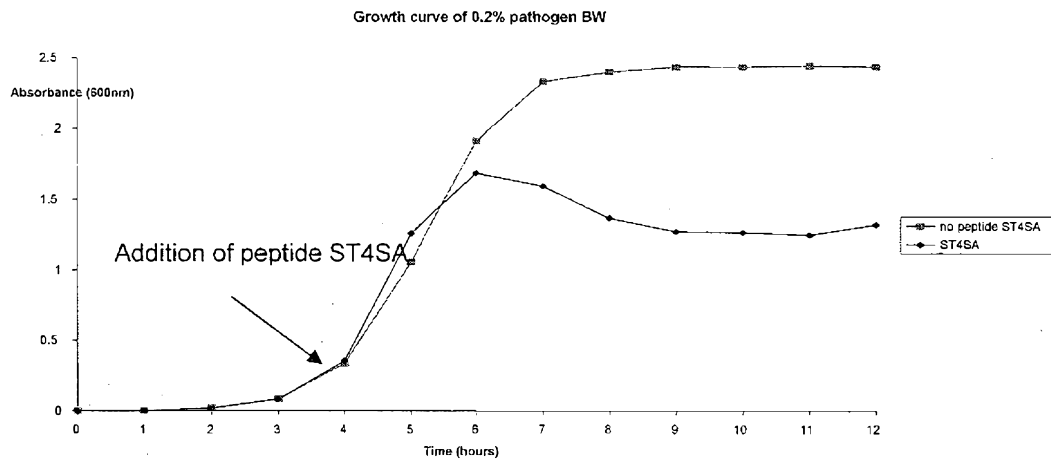


Figure 19

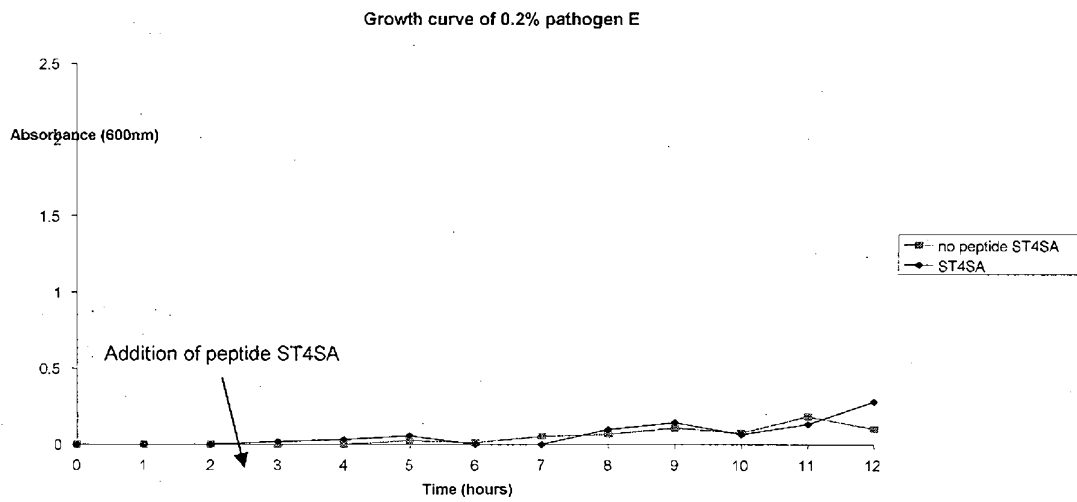


Figure 20

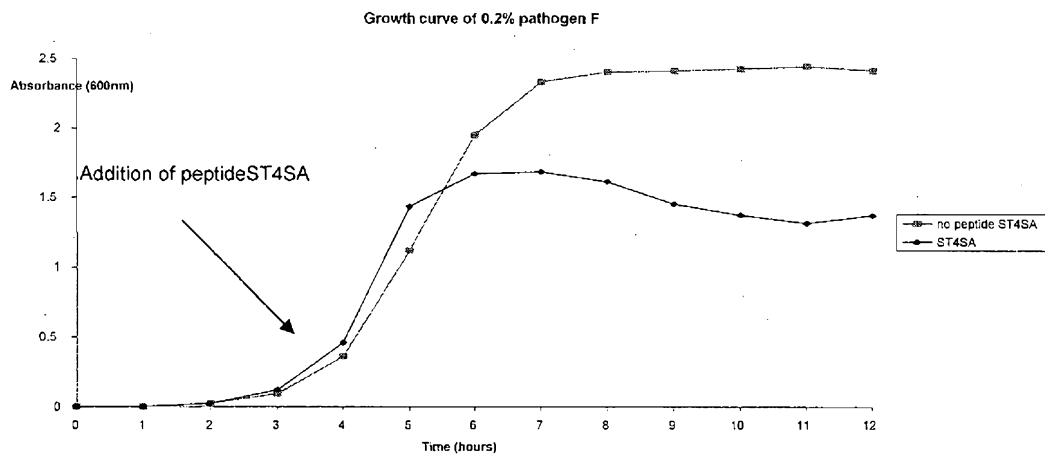


Figure 21

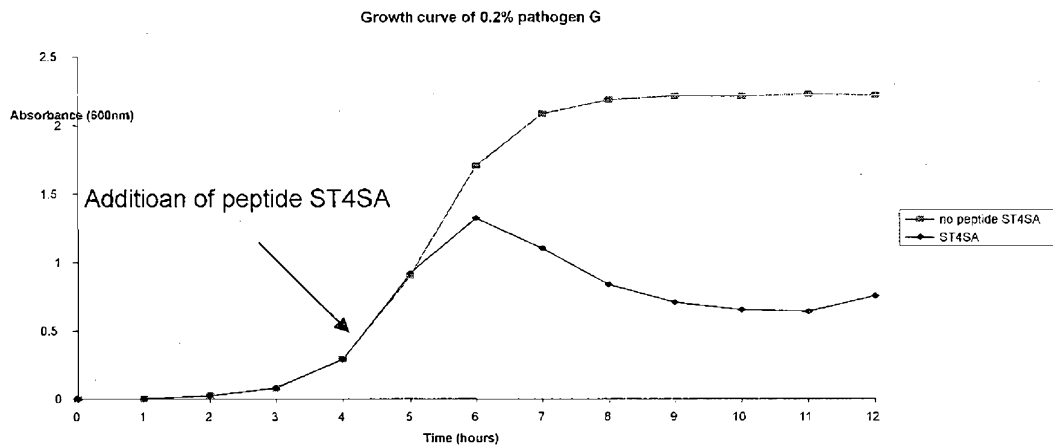


Figure 22

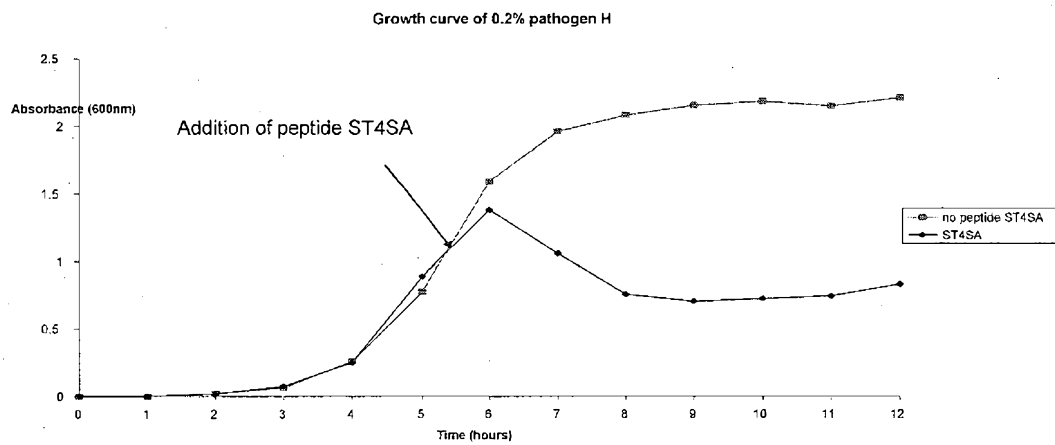


Figure 23

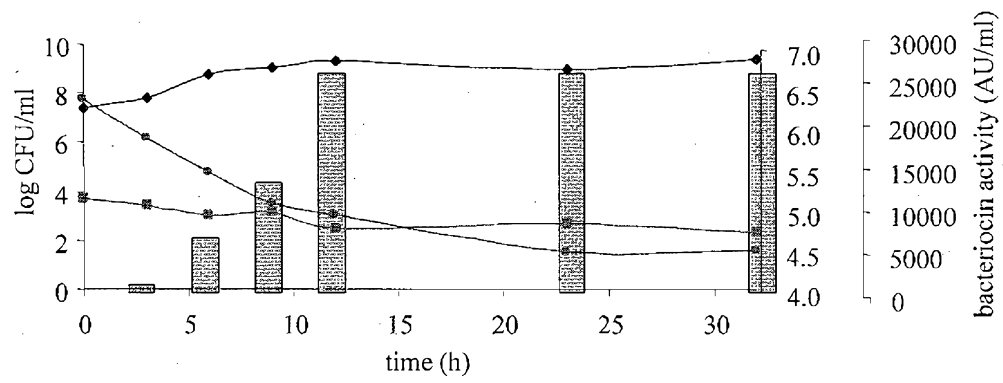


Figure 24

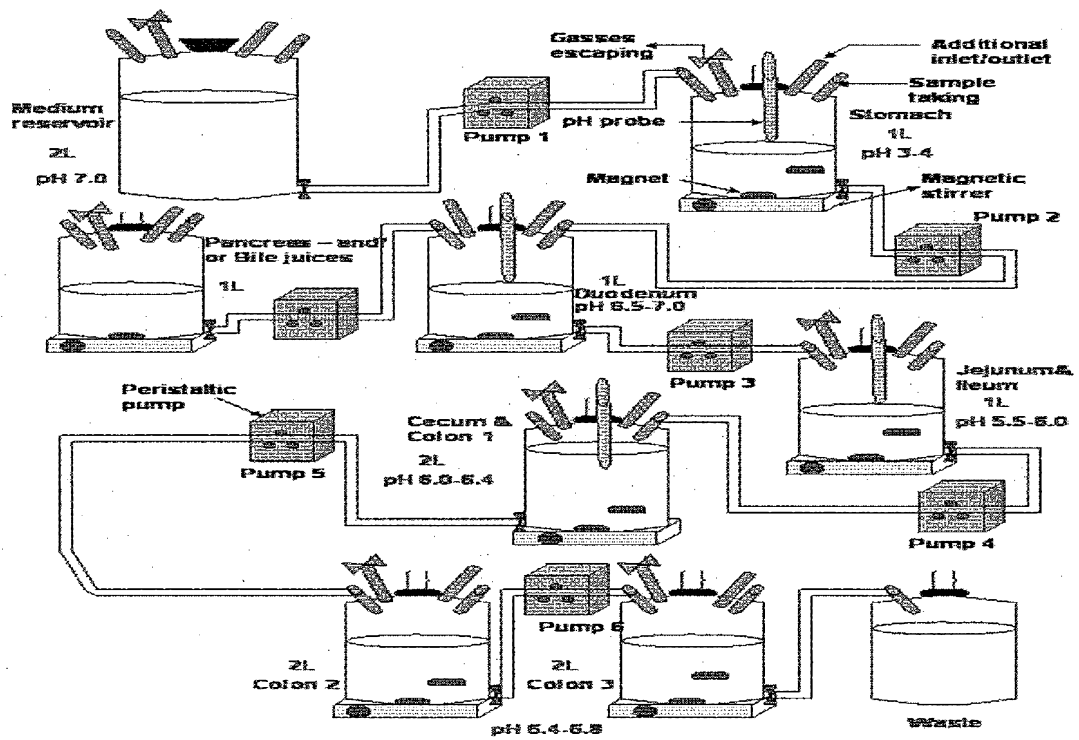


Figure 25

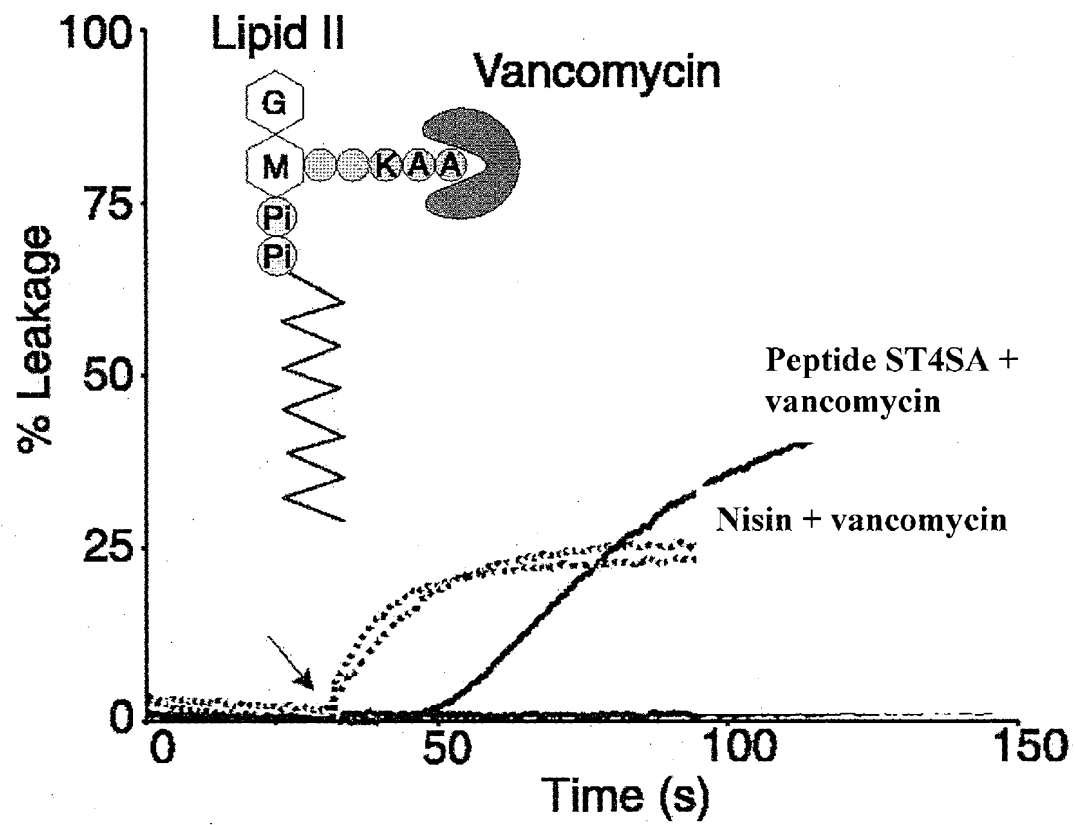


Figure 26



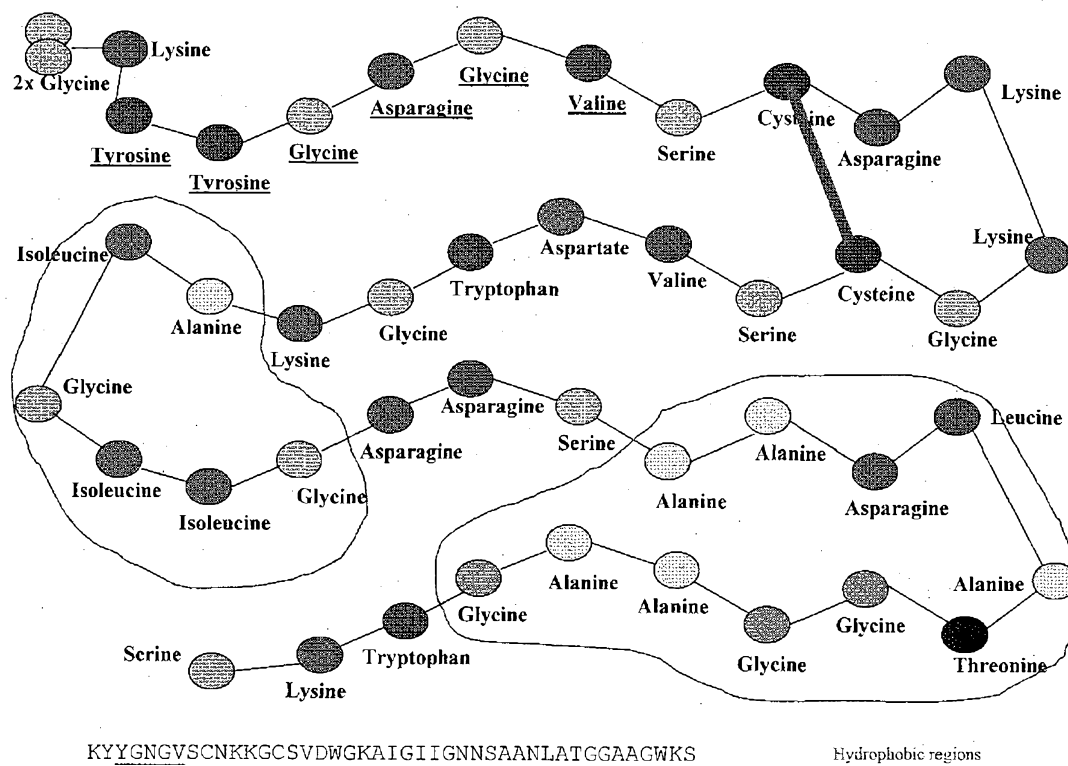


Figure 27.

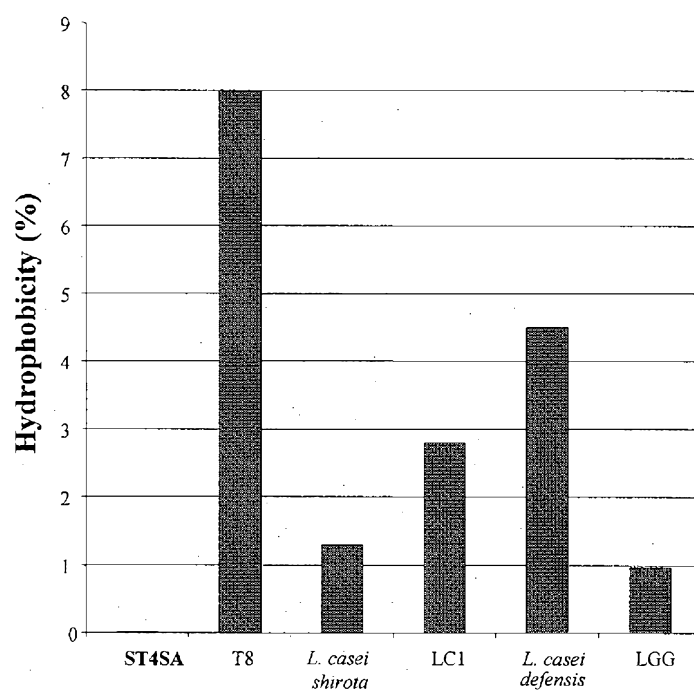


Figure 28

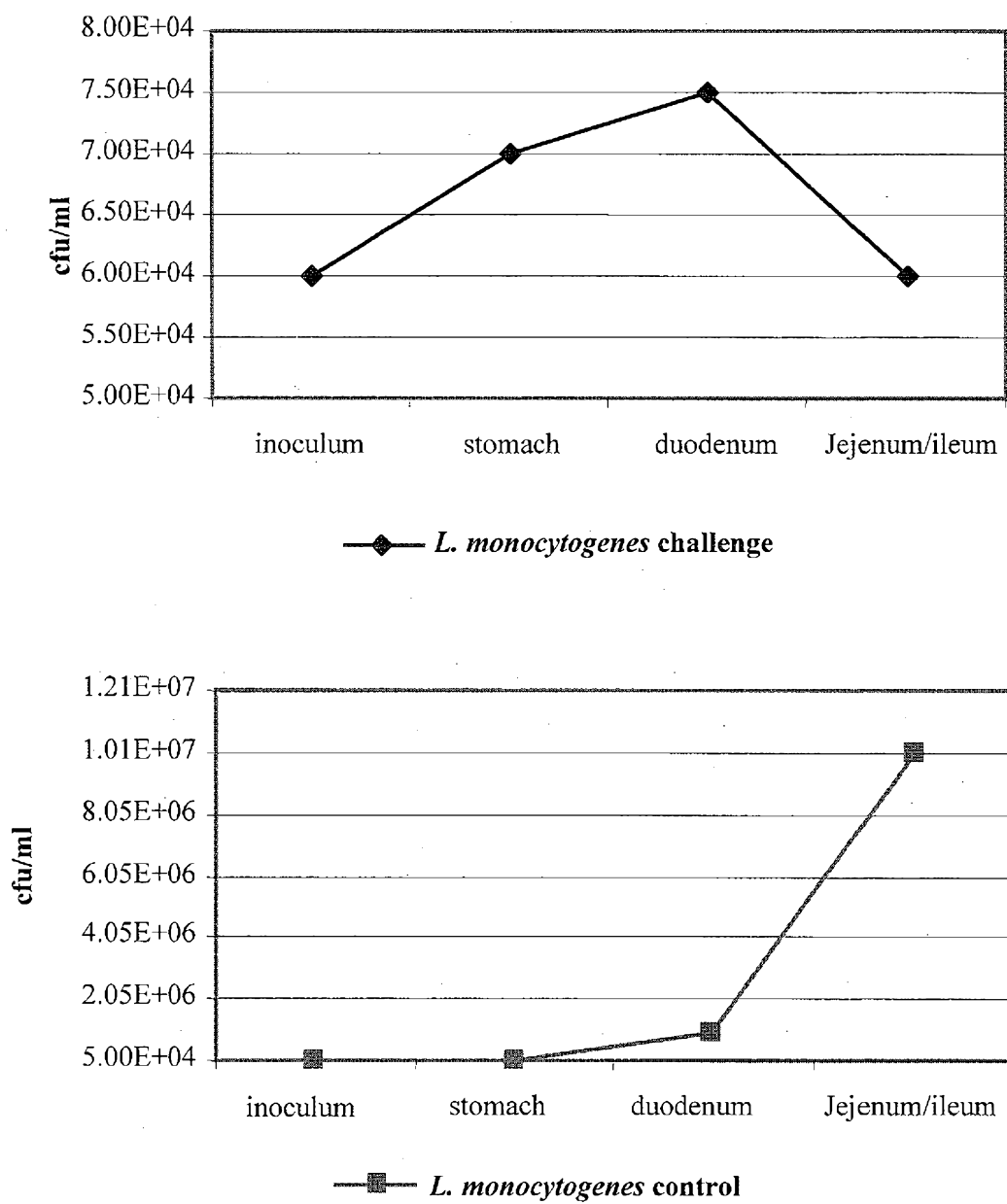


Figure 29

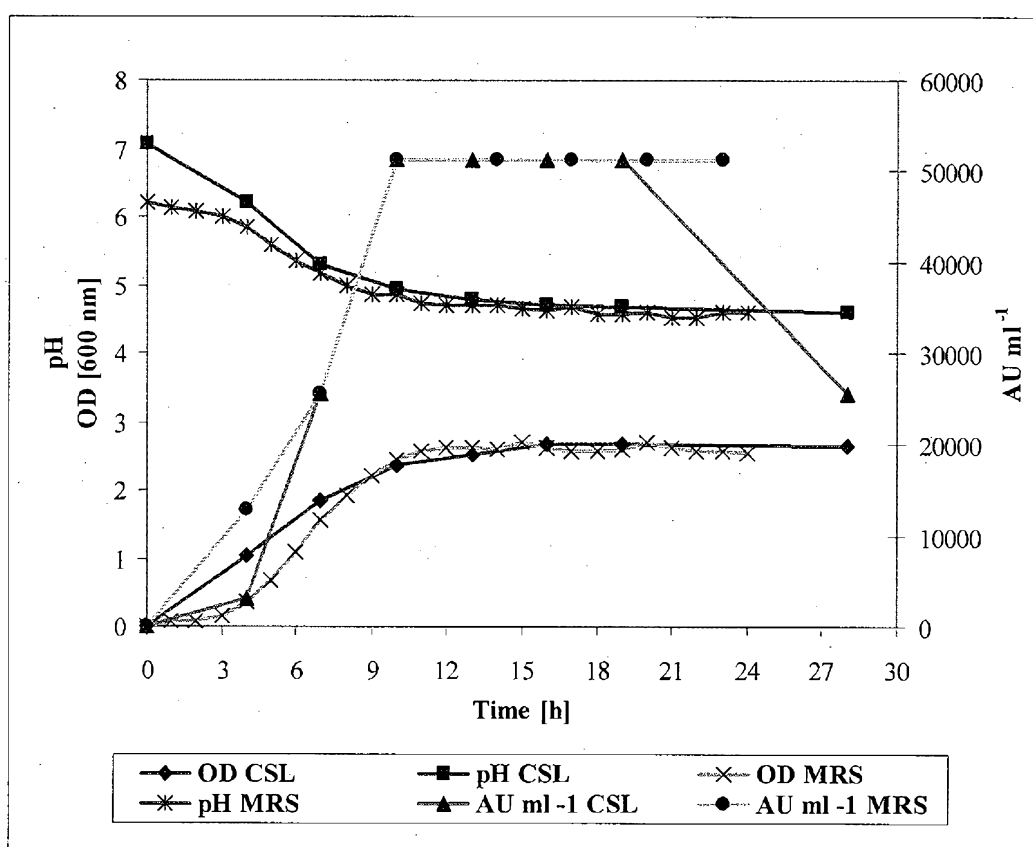


Figure 30

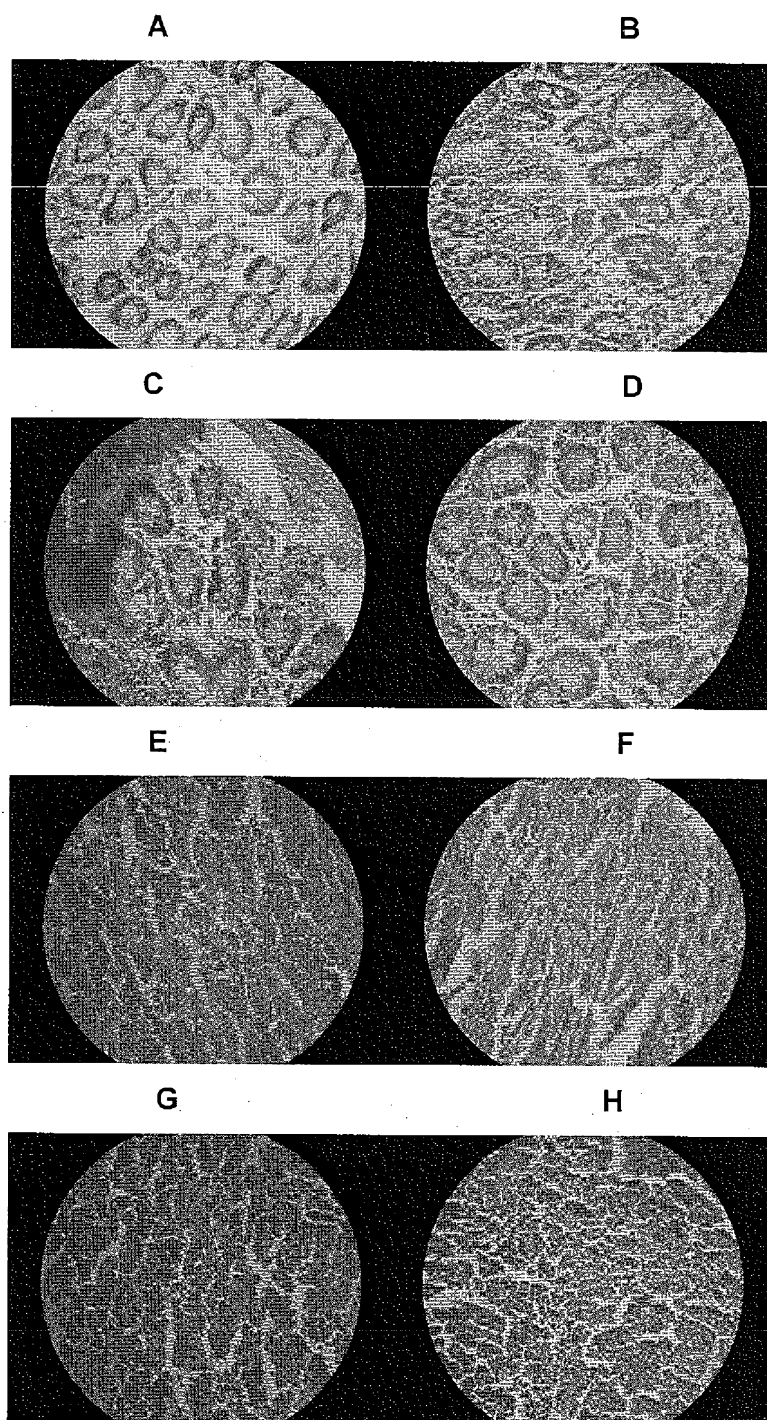


Figure 31

## SEQUENCE LISTING

<110> Cipla Medpro Research and Development (Proprietary) Limited

<120> PROBIOTIC STRAIN AND ANTIMICROBIAL PEPTIDE DERIVED THEREFROM

<130> 10511

<160> 16

<170> PatentIn version 3.4

<210> 1

<211> 14

<212> DNA

<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 1

aacgagcgca accc

14

<210> 2

<211> 16

<212> DNA

<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 2

gacgggcggt gtgtac

16

<210> 3

<211> 26

<212> DNA

<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 3

tgagagaagg ttaagttt gaagaa

26

<210> 4

<211> 21

<212> DNA

<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 4

tccactgaaa tccatgaatg a 21

<210> 5  
<211> 20  
<212> DNA  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 5  
tgatggattt cagtgaagt 20

<210> 6  
<211> 20  
<212> DNA  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 6  
atctcttctc cgtttaatcg 20

<210> 7  
<211> 20  
<212> DNA  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 7  
gtcattgttg tggggattat 20

<210> 8  
<211> 20  
<212> DNA  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 8  
tctagatacg tatcaagtcc 20

<210> 9  
<211> 20

<212> DNA  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 9  
ttcctgatga acaagaactc 20

<210> 10  
<211> 20  
<212> DNA  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 10  
gtccccacaa ccaataacta 20

<210> 11  
<211> 153  
<212> DNA  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 11  
atgtcacaag tagtaggtgg aaaatactac ggtaatggag tctcatgtaa taaaaaaggg 60

tgcagtgttg attggggaaa agctattggc attattggaa ataattctgc tgcgaattta 120

gctactggtg gagcagctgg ttggaaaagt taa 153

<210> 12  
<211> 50  
<212> PRT  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 12

Met Ser Gln Val Val Gly Gly Lys Tyr Tyr Gly Asn Gly Val Ser Cys  
1 5 10 15

Asn Lys Lys Gly Cys Ser Val Asp Trp Gly Lys Ala Ile Gly Ile Ile  
20 25 30

Gly Asn Asn Ser Ala Ala Asn Leu Ala Thr Gly Gly Ala Ala Gly Trp  
35 40 45



Lys Ser  
50

<210> 13  
<211> 2025  
<212> DNA  
<213> ENTEROCOCCUS MUNDII ST4SA

<400> 13  
atgcagatga ttttaataa tttcattca tggattcag tggaagttt aagagactta 60  
actgaaaccg attctgaagg tacctgtgca ttaggtatag ttaacggatt tgctaaatta 120  
ggaataaatt gtgaagccta taaagctaag agtgatgtat ggaaagaaaa tgagttcaat 180  
tatcccgtaa ttgctaatat agtaacgaat aatcaatttc ttcattattg tattgtatat 240  
ggtgtgaaaa aagagaaatt gttaatagct gaccctgcga ttggaaaata caaagaatca 300  
atagaaaagt tcaacaacaa atggactggt gttattttag ttgctgaaaa gactoctgat 360  
ttccaacca taaataatac aaaaaaaagt ttttttctt caataagttt attaaaagat 420  
caatataaaa aaattttatt ggtgatatta tcttcattaa taataacaat tataggaata 480  
ctatcaagtt actatttttag aattttaata gattgggttac ttctgaaaa agacttttta 540  
aatctattta tgatatcaat tagctataac ataggcattt ttataacaag tatatttgaa 600  
attaccagaa gatataattt agaaaagcta ggacaagatg taggtagaag cattttattt 660  
aaatatttag aacatatttt cattttacca gcttcctttt ttctaaaag aaaaactgga 720  
gatattgtct ctagatttcc tgatgctaag aaaattatag aagcttttag tagctttact 780  
atatctattt ttttagattt aagttcagtc attgtgtggt ggattatatt gatcaatatt 840  
aataaacaat tatttttaac aacgttaagt tctattccat ttatataact aattatatta 900  
ggatcaaata aaaaaatgag tcgattaaac ggagaagaga tgcaaacaaa ttcaatagtt 960  
gattctaatt ttattgaagg attaaacgga atatatacta taaaagcact ttgtagttag 1020

aataagattg taaatcaaat atatagaagt ttaaataaat ttttgatgt atcactaaag 1080  
agaaatatgt atgattctat aattcaaaaat ttaaaaattt tggtttctct tttacctcg 1140  
gcttttgat tatggcttgg ttcgtattat gttatcaatg gagaaattac aataggagaa 1200  
ctaataactt tcaattcatt atctatattt tttctacac ctctacaaaa tataataaat 1260  
ctacaagaaa aattcaaaaa agcacaagtt gcaaataatc ggcttaacga tgtattttct 1320  
ataaataatg aaaataaaga caagtttatt catttggcta aattaactga aaaagcaacg 1380  
attacattg aaaatgtata ttttagttat tctactaaat atcctaattg gttagataat 1440  
atgagttttt ctctacctgt gagtaaaaat ataggaataa aaggtgatag tggctgctggg 1500  
aatcaactt tagcacaact tctagctgga tttactctc cagataatgg aagaatttgt 1560  
ataaatgagc aaaatattga aaatattaat agaaaagatt tacgtaagtt gattacctat 1620  
gtgcctcaag aatcttttat tatgagtgga actattaaag acaatttatt tttaggttta 1680  
gaaagtattc ctgatgaaca agaactcgaa aaagtactga aagatacttg tttatggagt 1740  
tatattactg cgctctctct aggacttgat acgtatctag aagaaaatgg tgcgaattta 1800  
tcaggtggtc aaaagcaaag aattgcttta gcaagagttt tattattagg aagtaaaatt 1860  
ttattattag atgaagctac gagtgcctca gattctaaaa ctgaaatgct gattttagaa 1920  
aaattattaa agtaccctaa taagtcaatc attatgatat ctcataatga taaattaata 1980  
gacaagtggt acttaatcat tgatttagac gaaagggatt cataa 2025

<210> 14  
<211> 674  
<212> PRT  
<213> ENTEROCOCCUS MUNDII ST4SA

<400> 14

Met Gln Met Ile Leu Asn Asn Phe His Ser Trp Ile Ser Val Glu Val  
1            5            10            15

Leu Arg Asp Leu Thr Glu Thr Asp Ser Glu Gly Thr Cys Ala Leu Gly  
20 25 30

Ile Val Asn Gly Phe Ala Lys Leu Gly Ile Asn Cys Glu Ala Tyr Lys  
35 40 45

Ala Asn Ser Asp Val Trp Lys Glu Asn Glu Phe Asn Tyr Pro Val Ile  
50 55 60

Ala Asn Ile Val Thr Asn Asn Gln Phe Leu His Tyr Cys Ile Val Tyr  
65 70 75 80

Gly Val Lys Lys Glu Lys Leu Leu Ile Ala Asp Pro Ala Ile Gly Lys  
85 90 95

Tyr Lys Glu Ser Ile Glu Lys Phe Asn Asn Lys Trp Thr Gly Val Ile  
100 105 110

Leu Val Ala Glu Lys Thr Pro Asp Phe Gln Pro Ile Asn Asn Thr Lys  
115 120 125

Lys Ser Phe Phe Ser Ser Ile Ser Leu Leu Lys Asp Gln Tyr Lys Lys  
130 135 140

Ile Leu Leu Val Ile Leu Ser Ser Leu Ile Ile Thr Ile Ile Gly Ile  
145 150 155 160

Leu Ser Ser Tyr Tyr Phe Arg Ile Leu Ile Asp Trp Leu Leu Pro Glu  
165 170 175

Lys Asp Phe Leu Asn Leu Phe Met Ile Ser Ile Ser Tyr Ile Ile Gly  
180 185 190

Ile Phe Ile Thr Ser Ile Phe Glu Ile Thr Arg Arg Tyr Asn Leu Glu  
195 200 205

Lys Leu Gly Gln Asp Val Gly Arg Ser Ile Leu Phe Lys Tyr Leu Glu  
210 215 220

His Ile Phe Ile Leu Pro Ala Ser Phe Phe Ser Lys Arg Lys Thr Gly  
225 230 235 240

Asp Ile Val Ser Arg Phe Ser Asp Ala Asn Lys Ile Ile Glu Ala Leu  
245 250 255

Ala Ser Phe Thr Ile Ser Ile Phe Leu Asp Leu Ser Ser Val Ile Val  
260 265 270

Val Gly Ile Ile Leu Ile Asn Ile Asn Lys Gln Leu Phe Leu Ile Thr  
275 280 285

Leu Ser Ser Ile Pro Phe Tyr Ile Leu Ile Ile Leu Gly Ser Asn Lys  
290 295 300

Lys Met Ser Arg Leu Asn Gly Glu Glu Met Gln Thr Asn Ser Ile Val  
305 310 315 320

Asp Ser Asn Phe Ile Glu Gly Leu Asn Gly Ile Tyr Thr Ile Lys Ala  
325 330 335

Leu Cys Ser Glu Asn Lys Ile Val Asn Gln Ile Tyr Arg Ser Leu Asn  
340 345 350

Lys Phe Phe Asp Val Ser Leu Lys Arg Asn Met Tyr Asp Ser Ile Ile  
355 360 365

Gln Asn Leu Lys Ile Leu Val Ser Leu Leu Thr Ser Ala Phe Val Leu  
370 375 380

Trp Leu Gly Ser Tyr Tyr Val Ile Asn Gly Glu Ile Thr Ile Gly Glu  
385                    390                    395                    400

Leu Ile Thr Phe Asn Ser Leu Ser Ile Phe Phe Ser Thr Pro Leu Gln  
                  405                    410                    415

Asn Ile Ile Asn Leu Gln Glu Lys Phe Gln Lys Ala Gln Val Ala Asn  
                  420                    425                    430

Asn Arg Leu Asn Asp Val Phe Ser Ile Asn Asn Glu Asn Lys Asp Lys  
                  435                    440                    445

Phe Ile His Leu Ala Lys Leu Thr Glu Lys Ala Thr Ile Thr Phe Glu  
                  450                    455                    460

Asn Val Tyr Phe Ser Tyr Ser Thr Lys Tyr Pro Asn Val Leu Asp Asn  
465                    470                    475                    480

Met Ser Phe Ser Leu Pro Val Ser Lys Asn Ile Gly Ile Lys Gly Asp  
                  485                    490                    495

Ser Gly Ala Gly Lys Ser Thr Leu Ala Gln Leu Leu Ala Gly Phe Tyr  
                  500                    505                    510

Ser Pro Asp Asn Gly Arg Ile Cys Ile Asn Glu Gln Asn Ile Glu Asn  
                  515                    520                    525

Ile Asn Arg Lys Asp Leu Arg Lys Leu Ile Thr Tyr Val Pro Gln Glu  
                  530                    535                    540

Ser Phe Ile Met Ser Gly Thr Ile Lys Asp Asn Leu Phe Leu Gly Leu  
545                    550                    555                    560

Glu Ser Ile Pro Asp Glu Gln Glu Leu Glu Lys Val Leu Lys Asp Thr  
                  565                    570                    575

Cys Leu Trp Ser Tyr Ile Thr Ala Pro Pro Leu Gly Leu Asp Thr Tyr  
580 585 590

Leu Glu Glu Asn Gly Ala Asn Leu Ser Gly Gly Gln Lys Gln Arg Ile  
595 600 605

Ala Leu Ala Arg Val Leu Leu Leu Gly Ser Lys Ile Leu Leu Leu Asp  
610 615 620

Glu Ala Thr Ser Ala Leu Asp Ser Lys Thr Glu Met Leu Ile Leu Glu  
625 630 635 640

Lys Leu Leu Lys Tyr Pro Asn Lys Ser Ile Ile Met Ile Ser His Asn  
645 650 655

Asp Lys Leu Ile Asp Lys Cys Asp Leu Ile Ile Asp Leu Asp Glu Arg  
660 665 670

Asp Ser

<210> 15

<211> 297

<212> DNA

<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 15

atgagtaatt taaagtgggtt ttctgggtgga gacgatcgac gtaaaaaagc agaagtgatt 60

attactgaat tattagatga tttagagata gatcttggaa atgaatctct tcgaaaagta 120

ttaggtcct atcttgaaaa gttgaaaaat gaaggaactt cagttccatt agttttaagt 180

cgtatgaata tagagataatc taatgcaata aaaaaagacg gtgtatcggtt aaatgaaaat 240

caatctaaaa aattaaaaga actcatatct atatctaata ttagatatgg atattag 297

<210> 16  
<211> 98  
<212> PRT  
<213> ENTEROCOCCUS MUNDTII ST4SA

<400> 16

Met Ser Asn Leu Lys Trp Phe Ser Gly Gly Asp Asp Arg Arg Lys Lys  
1            5            10            15

Ala Glu Val Ile Ile Thr Glu Leu Leu Asp Asp Leu Glu Ile Asp Leu  
          20            25            30

Gly Asn Glu Ser Leu Arg Lys Val Leu Gly Ser Tyr Leu Glu Lys Leu  
          35            40            45

Lys Asn Glu Gly Thr Ser Val Pro Leu Val Leu Ser Arg Met Asn Ile  
          50            55            60

Glu Ile Ser Asn Ala Ile Lys Lys Asp Gly Val Ser Leu Asn Glu Asn  
65            70            75            80

Gln Ser Lys Lys Leu Lys Glu Leu Ile Ser Ile Ser Asn Ile Arg Tyr  
          85            90            95

Gly Tyr