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[Continued on next page]

(54) **Title:** ANTIBODY FC MUTANTS WITH ABLATED EFFECTOR FUNCTIONS

Fig. 1

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218                                     267
hIgG2 KCC---VECP P P P P V A - G P S V F L F P P K P K D T L M I S R T P E V T C V V V D V S
hIgG4 KYG---P P C P S C P A P E F L G G P S V F L F P P K P K D T L M I S R T P E V T C V V V D V S
hIgG1 K S C D K T H T C P P C P A P E L L G G P S V F L F P P K P K D T L M I S R T P E V T C V V V D V S
*          **   ****   *****

268                                     317
hIgG2 H E D P E V Q F N W Y V D G V E V H N A K T K P R E E Q F N S T F R V V S V L T V V H Q D W L N G K
hIgG4 Q E D P E V Q F N W Y V D G V E V H N A K T K P R E E Q F N S T Y R V V S V L T V L H Q D W L N G K
hIgG1 H E D P E V K F N W Y V D G V E V H N A K T K P R E E Q Y N S T Y R V V S V L T V L H Q D W L N G K
*****

318                                     367
hIgG2 E Y K C K V S N K G L P A P I E K T I S K T K G Q P R E P Q V Y T L P P S R E E M T K N Q V S L T C
hIgG4 E Y K C R V S N K G L P S S I E K T I S K A K G Q P R E P Q V Y T L P P S Q E E M T K N Q V S L T C
hIgG1 E Y K C K V S N K A L P A P I E K T I S K A K G Q P R E P Q V Y T L P P S R D E L T K N Q V S L T C
*****

368                                     417
hIgG2 L V K G F Y P S D I A V E W E S N G Q P E N N Y K T T P P M L D S D G S F F L Y S K L T V D K S R W
hIgG4 L V K G F Y P S D I A V E W E S N G Q P E N N Y K T T P P V L D S D G S F F L Y S R L T V D K S R W
hIgG1 L V K G F Y P S D I A V E W E S N G Q P E N N Y K T T P P V L D S D G S F F L Y S K L T V D K S R W
*****

418                                     447
hIgG2 Q Q G N V F S C S V M H E A L H N H Y T Q K S L S L S P G K
hIgG4 Q E G N V F S C S V M H E A L H N H Y T Q K S L S L S L G K
hIgG1 Q Q G N V F S C S V M H E A L H N H Y T Q K S L S L S P G K
* *****

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(57) **Abstract:** Antibody and other Fc-containing molecules with variations in the Fc region reduce binding to Fc gamma receptors and resulting activity and can be used in the treatment of various diseases and disorders.

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**ANTIBODY Fc MUTANTS WITH ABLATED EFFECTOR FUNCTIONS****BACKGROUND****Field of the Invention**

5           The invention relates to human antibody IgG2 constant regions (Fc regions) mutated such that they substantially lose the capacity to specifically bind Fc $\gamma$  receptors or activate mitogenic responses by immune cells by Fc receptor mediated cross-linking of surface target antigens. The invention also provides novel antibodies into which the mutated IgG2 constant regions can be incorporated.

**Discussion of the Field**

10           Antibodies that target cell surface antigens trigger unwanted immunostimulatory and effector functions associated with Fc receptor (FcR) engagement on immune cells and the activation of complement. As therapeutic antibodies and Fc-fusion constructs intended to target and activate or neutralize target ligand functions but not damage or destroy local cells or tissues that are needed, Fc mutants with ablated effector functions have been sought.

15           Human IgG isotypes (the subclasses of mature gamma globulin class G antibodies; IgG1, IgG2, IgG3 and IgG4) exhibit differential capacity to recruit immune functions, such as antibody-dependent cellular cytotoxicity (ADCC, e.g., IgG1 and IgG3), antibody-dependent cellular phagocytosis (ADCP, e.g., IgG1, IgG2, IgG3 and IgG4), and complement dependent cytotoxicity (CDC, e.g., IgG1, IgG3). Isotype-specific engagement of such immune functions is based on selectivity for Fc receptors on distinct  
20 immune cells and the ability to bind C1q and activate the assembly of a membrane attack complex (MAC). Among the various isotypes, relative affinity for Fc $\gamma$  receptors (e.g., Fc $\gamma$ RI, Fc $\gamma$ RIIa/b/c, Fc $\gamma$ RIIIa/b) is high for IgG1 and IgG3, however, there is minimal affinity for IgG2 (restricted to the Fc $\gamma$ RIIa 131H polymorphism), and IgG4 only has measurable affinity for Fc $\gamma$ RI. Using comparative sequence analysis and co-crystal structures, the key contact residues for receptor binding have been  
25 mapped to the amino acid residues spanning the lower hinge and CH2 region. Using standard protein engineering techniques, some success in enhancing or reducing the affinity of an antibody preparation for Fc receptors and the C1q component of complement has been achieved.

          Among the isotypes, IgG2 is least capable of binding the family of Fc receptors. Using IgG2 as the starting point, efforts have been made to find a mutagen with diminished effector functions but which  
30 retains FcRn binding, prolonged stability, and low immunogenicity. Improved mutants of this nature may provide improved antibody therapeutics with retained safety.

## SUMMARY OF THE INVENTION

The present invention provides the compositions of modified, glycosylated immunoglobulin constant domains useful in engineering of antibody or antibody-like therapeutics, such as those comprising an Fc region, and targeting cell surface ligands. The composition of the invention is an IgG2 Fc mutant exhibiting diminished Fc $\gamma$ R binding capacity but having conserved FcRn binding. These IgG2 Fc mutants enable therapeutic targeting of soluble or cell surface antigens while minimizing Fc-associated engagement of immune effector function and complement mediated cytotoxicity. In one aspect, the IgG2 Fc mutant comprises V234A, G237A, P238S according to the EU numbering system. In another aspect, the IgG2 Fc mutant comprises V234A, G237A, H268Q or H268A, V309L, A330S, P331S according to the EU numbering system. In a particular aspect, the the IgG2 Fc mutant comprises V234A, G237A, P238S, H268A, V309L, A330S, P331S, and, optionally, P233S according to the EU numbering system.

In one embodiment, the IgG2 Fc mutant compositions are used in indications where retention of therapeutic antibody (or Fc-fusion) half-life is conserved through interactions with FcRn, while potential toxicity derived from activation of Fc $\gamma$ Rs associated with immune and effector functions such as i) antibody dependent cytotoxicity (ADCC), ii) complement dependent cytotoxicity (CDC), iii) antibody dependent cellular phagocytosis (ADCP), iv) FcR-mediated cellular activation (e.g. cytokine release through FcR cross-linking), and v) FcR-mediated platelet activation/depletion is minimized or eliminated. In one aspect, the IgG2 Fc mutations are incorporated into therapeutic antibodies or Fc-fusions of binders, such as multivalent binders, targeting ligands on cells involved in neurological disorders, such as basal cell ganglion; immune system disorders such as those related to B-cell or T-cell activation, or to cells involved in tissue repair or healing, such as fibroblasts or stem cells.

In another embodiment, the IgG2 Fc mutant comprises a pharmaceutical composition. In another embodiment the IgG2 Fc mutant comprises a portion of a pharmaceutically active molecule. The pharmaceutical compositions comprising the IgG2 Fc mutant or active IgG2 Fc mutant-comprising molecules are useful for the treatment of diseases characterized by the migration and concentration of macrophages or monocytes. In one aspect, the IgG2 Fc mutant-comprising molecules are useful for binding a target within a neurological tissue, an endocrine tissue, a vascular tissue, a cardiac tissue, a synovial tissue, a dermal tissue, or a mucosal tissue. One of the many uses of the IgG2 Fc mutants of the invention is in the treatment of Graft-v.-host disease; host-v.- graft disease; organ transplant rejection; bone-marrow transplant rejection; autoimmunity such as vasculitis, autoimmune haemolytic anaemia, autoimmune thrombocytopenia and arthritis; alloimmunity, such as fetal/neonatal alloimmune thrombocytopenia; asthma and allergy; chronic or acute inflammatory diseases such as Crohn's Disease or scleroderma; Alzheimer's Disease, coronary artery occlusion.

**BRIEF DESCRIPTION OF THE DRAWING**

**Figure 1** shows an alignment of the amino acid sequences of wild type human IgG2 (SEQ ID NO: 1), IgG4 (SEQ ID NO: 2), and IgG1 (SEQ ID NO: 3) showing the corresponding EU numbering for each residue; the hinge region of the IgG2 begins at EU residue 218.

**Figure 2** shows the structure of an Fc fragment showing the surface positions of the residues modified (EU numbering).

**Figures 3A-C** are graphs showing the competition of each isotype and mutant constructed as an anti-Her2/neu binding antibody with antibody of the human IgG1 wildtype isotype using the AlphaScreen bead assay platform: FcgRI (not shown), FcgRIIa (A), FcgRIIb (B), FcgRIIIa (not shown), and FcRn (C).

**Figures 4A-C** are graphs showing the direct binding of each isotype and mutant constructed as an anti-Her2/neu binding antibody using the AlphaScreen bead assay: FcgRIIIa (A), FcgRI (B), and FcgRIIa (C).

**Figure 5** shows the results of an ADCC assay and selected isotypes and mutants constructed as an anti-Her2/neu binding antibody and using human PBMCs (25X excess) and SK-Br3 breast cancer cells as targets.

**Figure 6** shows the results of a CDC assay of selected anti-CD20 constructs using human complement and WIL2-S lymphoma cells as targets.

**Figure 7** shows the results of an ADCP assay from a flow cytometry analysis of selected anti-Her2/neu constructs using Sk-Br3 target cells and GM-CSF-differentiated macrophages.

**Figure 8** is a graph showing the cytokine ( $\text{TNF}\alpha$ ) release from 24 hours after stimulation of PBMCs with beads bound to IgG isotypes for various constructs, including IgG2 wildtype and six mutants.

**Figure 9** is a graph of the mean circulating B-cell numbers over time in groups of cynomolgous monkeys injected with anti-CD20 binding domain antibody constructs with various Fc regions or lacking an Fc-region (Fab')<sub>2</sub>.

25

**BRIEF DESCRIPTION OF THE SEQUENCE LISTING**

<u>SEQ ID NO:</u>	<u>Description</u>	<u>Features</u>
1	IgG2 – Fc; Human Ig gamma class, subclass 2 hinge, CH2 and CH3 domains	Residue 1 corresponds to EU 218, residues 13-17 may be PVAGP (wt), PAAAP, PAAAS, and SAAAS; Residue 47 may be May be H (wt), Q, or A; residue 109-110 may be AP (wt) or SS.
2	IgG4– Fc; Human Ig gamma class, subclass 4, hinge, CH2 and CH3 domains	Residue 1 corresponds to EU 218
3	IgG1– Fc; Human Ig gamma class, subclass , hinge, CH2 and CH3 domains	Residue 1 corresponds to EU 218
4	PAAAP	mutated IgG constant region residues 233, 234, 235, 237, and 238
5	PAAAS	mutated IgG constant region residues 233, 234, 235, 237, and 238
6	SAAAS	mutated IgG constant region residues 233, 234, 235, 237, and 238

**DETAILED DESCRIPTION OF THE INVENTION**

**Abbreviations**

5 ADCC = antibody-dependent cellular cytotoxicity; ADCP, antibody-dependent cellular phagocytosis; CDC = complement-dependent cytotoxicity; IgG = immunoglobulin G; ITAM = immunoreceptor tyrosine activating motif; ITIM = immunoreceptor tyrosine inhibitory motif; Mab =

monoclonal antibody; FDCR = Fc-dependent cytokine release; Fc $\gamma$ R, Fc $\gamma$ R, or Fc $\gamma$ R = Fc gamma receptor

### Definitions & Explanation of Terminology

"Antibody-dependent cell-mediated cytotoxicity" or ADCC" refers to a form of cytotoxicity in which secreted Ig bound onto Fc receptors (FcRs) present on certain cytotoxic cells (e.g., Natural Killer (NK) cells, neutrophils, and macrophages) that enables these cytotoxic effector cells to bind specifically to an antigen-bearing target cell and subsequently kill the target cell with cytotoxins. Ligand specific high-affinity IgG antibodies directed to the surface of target cells stimulate the cytotoxic cells and are absolutely required for such killing. Lysis of the target cell is extracellular, requires direct cell-to-cell contact, and does not involve complement.

The ability of any particular antibody to mediate lysis of the target cell by ADCC can be assayed. To assess ADCC activity, an antibody of interest is added to target cells displaying the target ligand in combination with immune effector cells, which may be activated by the antigen antibody complexes resulting in cytolysis of the target cell. Cytolysis is generally detected by the release of label (e.g., radioactive substrates, fluorescent dyes or natural intracellular proteins) from the lysed cells. Useful effector cells for such assays include peripheral blood mononuclear cells (PBMC) and Natural Killer (NK) cells. Specific examples of in vitro ADCC assays are described in Wisecarver et al, 1985, 19:211; Bruggemann et al, 1987, J Exp Med 166:1351; Wilkinson et al, 2001, J Immunol Methods 258:183; Patel et al, 1995 J Immunol Methods 184:29 (each of which is incorporated by reference). Alternatively, or additionally, ADCC activity of the antibody of interest may be assessed in vivo, e.g., in an animal model, such as that disclosed in Clynes et al, 1998, PNAS USA 95:652, the contents of which are incorporated by reference in its entirety.

"Complement-directed cytotoxicity" or CDC refers to the form of cytotoxicity in which the complement cascade is activated by the complement component C1q binding to antibody Fc.

The terms "Fc," "Fc-containing protein" or "Fc-containing molecule" as used herein refer to a monomeric, dimeric or heterodimeric protein having at least an immunoglobulin CH2 and CH3 domain. The CH2 and CH3 domains can form at least a part of the dimeric region of the protein/molecule (e.g., antibody).

The term "monoclonal antibody" as used herein is a specific form of Fc-containing protein comprising at least one ligand binding domain which retains substantial homology to at least one of a heavy or light chain antibody variable domain of at least one species of animal antibody.

"Wild type human IgG2 Fc region" refers to a human IgG Fc region that comprises the amino acid sequence of SEQ ID NO: 1 or a fragment thereof, which is from residue K218 to residue K447 of the human IgG heavy chain, according to the EU numbering of Kabat. Amino acids in the constant region are numbered by alignment with the human IgG1 antibody, EU IgG1 (SEQ ID NO: 3) (see Cunningham et al., 1970 J. Biol. Chem., 9: 3161-70). That is, the heavy and light chains of an antibody are aligned with the heavy and light chains of EU to maximize amino acid sequence identity and each amino acid in the antibody is assigned the same number as the corresponding amino acid in EU. The EU numbering system is conventionally used in the art (see generally, Kabat et al, Sequences of Protein of Immunological Interest, NIH Publication No. 91-3242, US Department of Health and Human Services (1991)). According to this convention, the "wildtype IgG2" constant region described lacks an amino acid at position 236 (Fig. 1, SEQ ID NO: 1).

### Overview

The present invention was motivated by an interest in identifying an Fc domain for use in the manufacture of therapeutic antibodies, Fc-fusions, and like biopharmaceuticals with improved safety in terms of the inability to cause cytokine release or damage or kill target ligand displaying cells and tissues surrounding targeted cells.

Human IgG4 isotype antibodies and Fc-fusion proteins do not elicit significant ADCC (by NKs, expressing exclusively FcγRIIIa), but do retain the ability to induce phagocytosis (ADCP) by macrophages (expressing FcγRI, IIa and IIIa) and possibly activate monocytes when in an immune complex and attributable to the distribution of activating FcγRs on specific immune cells. Efforts to minimize the residual activity resulted in a development of and use of mutant comprising V234A, L235A (ala/ala) in the wildtype IgG4 Fc (SEQ ID NO: 2).

Armour et al generated multiple point mutations in IgG2 to minimize binding to FcγRI (Armour et al, 1999) and FcγRIIa and IIIa (Armour et al. 2003). Additional mutations disclosed in Mueller et al.'s patent application (PCTWO97/11971) are derived from hybrids of IgG2/IgG4, consisting of an IgG2 CH2 and an IgG4 CH3 domain, specifically residues 330 and 331 are from IgG4 in the IgG2 mutants of the present invention. Muting or silencing efforts to diminish immunostimulatory effects have also used IgG2 or IgG4 or domain swapping among the two subclasses to generate mAbs with minimal effector function in IgG1 (SEQ ID NO: 3) (Tao et al, 1991). The patent application of Strohl (US2007/0148167) discloses four mutations in IgG2 at residues EU positions 268, 309, 330 and 331. Shields et al. (2001) discloses an additional substitution, H268A.



The present invention is a demonstration for the first time of substitutions in multiple positions of the IgG2 constant regions (Fc) with IgG4 residues H268Q or A, V309L, A330S and P331S according to Kabat/EU numbering system. The directed selection of multiple residue substitutions unexpectedly provided a functional Fc domain for use in antibody engineering and used as a fusion polypeptide as well as the possibility of providing a therapeutic entity which is devoid of measurable effector function.

The multi-substituted IgG2 mutants were selected on the bases of their relative affinities for human FcRs (FcγRI, FcγRIIa, FcγRIIb, FcγRIIIa and FcRn) assessed by Alpha Screen competition assays and SPR/Biacore analyses. These mutants were further tested and ranked in the appropriate cellular systems for their ability to induce CDC, ADCC and ADCP as well as trigger TNF-α secretion by PBMCs. In the set of experimental data provided herein, the IgG2 mutants were compared to known preparations or mutants including; IgG1 ag and IgG4 Ala/Ala in addition to wildtype IgG1 and IgG2. Further analyses of these mutants in several *in vitro* bioassays demonstrated that minimal to undetectable levels of activity and greatly ablated binding affinity for FcRs. Based on these screens, an IgG2 Fc mutant, designated as IgG2c4d, has been identified that has no detectable affinity or avidity for FcRs (monomeric v. bi- multimeric ligand binding) and is devoid of activity in the various aforementioned effector/immunostimulatory bioassays. The IgG2c4d Fc comprises the alanine, serine, and leucine substitutions: V234A, G237A, P238S, H28A, V309L, A330S, P331S (EU numbering). The seven residue substituted IgG2, IgG2c4d, may be considered the first truly “silenced” Fc in its inability to bind FcRs, mediate effector functions, or engage Fc-mediated cytokine release.

Based on the present discovery, subsets of the seven mutations of IgG2c4d can be used, or combined with other amino acid mutants, or the mutations can be used in another IgG isotype to achieve similar or selective silencing of effector functions as taught herein and combined with what is known in the art.

As specifically exemplified herein, the triplet V234A, G237A, P238S substitutions reduce FcγRIIa binding affinity and FcγRIIa binding avidity and ablate ADCP and Fc-dependent cytokine release while maintaining the FcRn binding affinity of the molecule.

Table 1. Summary of Biacore relative binding affinity.

Isotype / Variant	FcγRI	FcγRIIa	FcγRIIIa
IgG1	+++++	+++	+++
IgG1 agly (N297A)	+++	-	+/-
IgG4 S>P, ala/ala	+++	-	+/-
IgG2	-	+++	+/-
IgG2m4	-	+++	+/-
IgG2c4a	-	+++	+/-
IgG2c4b	+	-	+/-
IgG2c4d	-	-	-
IgG2c4e	-	-	-

Table 2. Summary of relative avidity.

20

Isotype / Variant	FcγRI avidity	FcγRIIa avidity	FcγRIIIa avidity
IgG1	+++++	++++	+++
IgG1 agly (N297A)	+++	-	-
IgG4 S>P, ala/ala	+++	++	-
IgG2	-	+++	-
IgG2m4	-	+++	-
IgG2c4d	-	-	-

Table 3. Summary of effector functions and cytokine release (CR).

Isotype / Variant	ADCC	ADCP	CDC	Cytokine Release
IgG1	+++++	+++++	+++++	+++++ <sup>5</sup>
IgG1 agly (N297A)	-	+++	-	++
IgG4 S>P, ala/ala	-	++	-	++
IgG2	-	++	-	+++ <sub>10</sub>
IgG2m4	-	++	-	++
IgG2c4d	-	-	-	-

### Method of Making the Altered Fc-Containing Molecules

15 The sites for substitution were chosen based on the desire produce a composition having the structural features of a native antibody Fc, retained FcRn binding, good stability, and a diminished capacity to stimulate the complement cascade, cell lysis, cell phagocytosis or cytokine release.

As IgG class antibodies are bivalent, having two complete Fv domains consisting of the heavy and light variable domains in functional association. Bivalency provides avidity effects as well as the  
 20 ability to cross-link target antigen or Fc receptors on the same or distinct cells, thereby provoking a spectrum of the non-target specific receptor binding driven bioactivities of antibodies. For this reason, the Fc mutants of the present invention were tested within an “avidity context” meaning that the Fc mutants of IgGs were multimerized on a surface and tested for interaction with a multimer of specific Fc receptors.

### 25 Biological Characterization of the Mutants

Fc-containing proteins can be compared for functionality by several well-known in vitro assays. In particular, affinity for members of the Fc $\gamma$ RI, Fc $\gamma$ RII, and Fc $\gamma$ RIII family of Fc $\gamma$  receptors is of interest. These measurements can be made using recombinant soluble forms of the receptors or cell-associated forms of the receptors. In addition, affinity for FcRn, the receptor responsible for the prolonged  
 30 circulating half-life of IgGs, can be measured, for example, using a ligand bound bead format of

“ALPHASCREEN” using recombinant soluble FcRn. ALPHASCREEN, used in high throughput screening, is a homogenous assay technology which allows detection of molecular events such as binding. Coated "Donor" and "Acceptor" beads are the basis of the assay technology. As a bead based assay, ALPHASCREEN works through the interaction of the beads in close proximity, resulting in a cascade of chemical reactions that act to produce a greatly amplified signal. Direct or indirect, e.g., competitive binding, measurements can be applied for assessing relative affinities and avidities among and between proteins.

The natural evolution of human IgG isotypes (e.g., IgG1, IgG2, IgG3 and IgG4), has allowed each to exhibit a different spectrum of capacities to recruit immune functions, such as antibody-dependent cellular cytotoxicity (ADCC, e.g., IgG1 and IgG3), antibody-dependent cellular phagocytosis (ADCP, e.g., IgG1, IgG2, IgG3 and IgG4), and complement dependent cytotoxicity (CDC, e.g., IgG1, IgG3). The isotype-specific engagement of these functions is based on differential selectivity for Fc receptors which resides on distinct immune cells, as well as the ability to bind C1q and activate the assembly of a membrane attack complex (MAC) resulting in CDC and CDP (complement dependent phagocytosis) through specific receptor binding complement components on effector macrophages. The hierarchy of ability to bind the initial component, C1q, of the complement cascade, of human isotypes is IgG1>IgG3>IgG2>IgG4 although complement activation by IgG2 and IgG4 in microbial infection is well-documented.

Cell-based functional assays, such as ADCC and CDC, provide insights into the likely functional consequences of particular variant structures. Antibody-dependent cell-mediated cytotoxicity (ADCC) is a cell-mediated reaction in which nonspecific cytotoxic cells that express Fc receptors (FcRs) (e.g., Natural Killer (NK) cells, neutrophils, and macrophages) recognize bound antibody on a target cell and subsequently cause lysis of the target cell. In one embodiment, the ADCC assay is configured to have NK cells as the primary effector cell, reflecting the functional effects on the FcγRIIIA which is the only Fcγ-type receptor known to be expressed by these cells.

Phagocytosis assays may also be used to compare immune effector functions of different mutants, as can assays that measure cellular responses, such as superoxide or inflammatory mediator release. In vivo models have proved useful in the study of Fc variants. For example, as demonstrated using mutants of anti-CD3 antibodies to measure T cell activation in mice, an activity that is dependent on Fc domains engaging specific ligands, such as Fcγ receptors. Antibody directed activation of macrophages mediates antibody-dependent cellular phagocytosis (ADCP), causing opsonized target cells to be engulfed and digested by macrophages. *In vitro*, differentiated macrophages expressing high levels of FcRs can be differentiated into the M1 phenotype using IFNγ or GM-CSF to expressed elevated levels of all FcRs

(Fc $\gamma$ RI, Fc $\gamma$ RIIa, Fc $\gamma$ RIIIa) relative to monocytes. Such assays are known to those skilled in the art of antibody engineering.

### Method of Making the Antibody

5 Routine recombinant processes were used to create directed mutations in the sequences for the human IgG2 constant domains used as the starting point in the generation and testing of Fc mutants. It will be appreciated to those skilled in the art that various techniques for creating changes in coding sequences can be used to create vectors suitable for the expression of the desired amino acid sequences in a variety of host cells for recovery and testing.

### Host Cell Selection or Host Cell Engineering

10 As described herein, the host cell chosen for expression of the recombinant Fc-containing protein or monoclonal antibody is an important contributor to the final composition, including, without limitation, the variation in composition of the oligosaccharide moieties decorating the protein in the immunoglobulin CH2 domain. Thus, one aspect of the invention involves the selection of appropriate host cells for use and/or development of a production cell expressing the desired therapeutic protein.

15 Further, the host cell may be of mammalian origin or may be selected from COS-1, COS-7, HEK293, BHK21, CHO, BSC-1, Hep G2, 653, SP2/0, 293, HeLa, myeloma, lymphoma, yeast, insect or plant cells, or any derivative, immortalized or transformed cell thereof.

20 Alternatively, the host cell may be selected from a species or organism incapable of glycosylating polypeptides, e.g. a prokaryotic cell or organism, such as natural or engineered *E. coli spp.*, *Klebsiella spp.*, or *Pseudomonas spp.*

### Antibodies

25 An antibody described in this application can include or be derived from any mammal, such as, but not limited to, a human, a mouse, a rabbit, a rat, a rodent, a primate, a goat, or any combination thereof and includes isolated human, primate, rodent, mammalian, chimeric, humanized and/or CDR-grafted antibodies, immunoglobulins, cleavage products and other specified portions and variants thereof.

30 The antibodies, Fc-comprising proteins, or Fc fragments described herein can be derived in several ways well known in the art. In one aspect, the antibodies are conveniently obtained from hybridomas prepared by immunizing a mouse or other animal with the target peptides, cells or tissues extracts. The antibodies can thus be obtained using any of the hybridoma techniques well known in the art, see, e.g., Harlow and Lane, antibodies, a Laboratory Manual, Cold Spring Harbor, NY (1989) entirely incorporated herein by reference.

The antibodies or Fc-fusion proteins or components and domains thereof may also be obtained from selecting from libraries of such domains or components, e.g., a phage library. A phage library can be created by inserting a library of random oligonucleotides or a library of polynucleotides containing sequences of interest, such as from the B-cells of an immunized animal or human (Smith, G.P. 1985. Science 228: 1315-1317). Antibody phage libraries contain heavy (H) and light (L) chain variable region pairs in one phage allowing the expression of single-chain Fv fragments or Fab fragments (Hoogenboom, et al. 2000, Immunol. Today 21(8) 371-8). The diversity of a phagemid library can be manipulated to increase and/or alter the immunospecificities of the monoclonal antibodies of the library to produce and subsequently identify additional, desirable, human monoclonal antibodies. For example, the heavy (H) chain and light (L) chain immunoglobulin molecule encoding genes can be randomly mixed (shuffled) to create new HL pairs in an assembled immunoglobulin molecule. Additionally, either or both the H and L chain encoding genes can be mutagenized in a complementarity determining region (CDR) of the variable region of the immunoglobulin polypeptide, and subsequently screened for desirable affinity and neutralization capabilities. Antibody libraries also can be created synthetically by selecting one or more human framework sequences and introducing collections of CDR cassettes derived from human antibody repertoires or through designed variation (Kretzschmar and von Ruden 2000, Current Opinion in Biotechnology, 13:598-602). The positions of diversity are not limited to CDRs, but can also include the framework segments of the variable regions or may include other than antibody variable regions, such as peptides.

Other libraries of target binding components which may include other than antibody variable regions are ribosome display, yeast display, and bacterial displays. Ribosome display is a method of translating mRNAs into their cognate proteins while keeping the protein attached to the RNA. The nucleic acid coding sequence is recovered by RT-PCR (Mattheakis, L.C. et al. 1994. Proc. Natl. Acad. Sci. USA 91, 9022). Yeast display is based on the construction of fusion proteins of the membrane-associated alpha-agglutinin yeast adhesion receptor, *aga1* and *aga2*, a part of the mating type system (Broder, et al. 1997. Nature Biotechnology, 15:553-7). Bacterial display is based on fusion of the target to exported bacterial proteins that associate with the cell membrane or cell wall (Chen and Georgiou 2002. Biotechnol Bioeng, 79:496-503).

In comparison to hybridoma technology, phage and other antibody display methods afford the opportunity to manipulate selection against the antigen target in vitro and without the limitation of the possibility of host effects on the antigen or vice versa.

The invention also provides for nucleic acids encoding the compositions of the invention as isolated polynucleotides or as portions of expression vectors including vectors compatible with

prokaryotic, eukaryotic or filamentous phage expression, secretion and/or display of the compositions or directed mutagens thereof.

### Use of the Fc-Containing Molecules

5 The compositions (antibody, Fc-fusions, Fc fragments) generated by any of the above described methods may be used to diagnose, treat, detect, or modulate human disease or specific pathologies in cells, tissues, organs, fluid, or, generally, a host. As taught herein, modification of the Fc portion of an antibody, Fc-fusion protein, or Fc fragment to reduce or ablate Fc gamma receptor binding and specified effector functions, but where the antibody retains the original targeting properties, provides antibodies and Fc-constructs with a superior spectrum of activities, biophysical properties, stability and ability to  
10 persist in the body of a host.

The diseases or pathologies that may be amenable to treatment using a composition provided by the invention include, but are not limited to: neurological disorders, such as but not limited to Alzheimer's disease and including neuropathic pain; dermatological disease; metabolic diseases; osteoarthritis; and conditions resulting from burns or injury; cardiovascular disorders including but not  
15 limited to myocardial infarction, congestive heart failure, stroke, ischemic stroke, and hemorrhage; as well as general immune mediated disorders, including the rheumatic diseases, psoriasis, and scleroderma.

While having described the invention in general terms, the embodiments of the invention will be further disclosed in the following examples that should not be construed as limiting the scope of the  
20 claims.

### EXAMPLE 1. CONSTRUCTION OF AND TESTING OF Fc MUTANTS

A series of constructs with mutated derived from a human IgG2 antibody as shown in Table 4 were constructed using standard recombinant methods. For antibodies with complete variable domains, the known CDR sequences of anti-HER2 and anti-CD20 antibodies were used to construct isotype and Fc  
25 mutants as indicated. The antibody mutants were expressed transiently in 293T cells using standard cloning and expression procedures. MAbs were purified using protein A columns to greater than 95% homogeneity prior to further experimental analyses.

30

Table 4.

Subclass & designation	Mutations (EU Numbering)
IgG1	
IgG1 Ag	N297A
IgG4 A/A	S228P, F234A, L235A
IgG2	
IgG2m4	H268Q, V309L, A330S, P331S
IgG2c4a	H268A, V309L, A330S, P331S
IgG2c4b	V234A, G237A, H268Q, V309L, A330S, P331S
IgG2c4c	V234A, G237A, H268A, V309L, A330S, P331S
IgG2c4d	V234A, G237A, P238S, H268A, V309L, A330S, P331S
IgG2c4e	P233S, V234A, G237A, P238S, H268A, V309L, A330S, P331S

#### Biacore studies of affinities

Surface plasmon resonance experiments were performed using a Biacore 3000 optical biosensor (Biacore AB, Uppsala, Sweden; currently part of GE Healthcare). The experiments were performed at 25°C in D-PBS buffer containing 3 mM EDTA and 0.01% surfactant P20. To analyze the interaction of the receptors with Fc mutants a capture surface was generated by covalent coupling of mouse anti-His IgG (R&D systems cat#MAB050) to a CM-5 sensor chip. The anti-His Ab was diluted into 10 mM sodium acetate buffer pH 4.5 (Biacore AB) and coupled to the carboxymethylated dextran surface of the CM-5 chip (~3000 RU) using the manufacturer instructions for amine-coupling chemistry. The remaining reactive groups on the surface were deactivated using ethanolamine-HCl. To perform kinetics experiments 165, 351 and 208 response units (RU) of FcγRI, FcγRII and FcγRIII, respectively were captured on this surface. Receptor capture was followed by injection of a serial dilution of wild type or Fc mutants (from 4000 nM to 3.9 nM in 4-fold dilution steps) at 30 uL/min. The association phase was



monitored for 3 minutes. This was followed by buffer flow for 20 minutes to monitor binding dissociation. The capture surface was regenerated using a 9 seconds pulse of 100 mM phosphoric acid at 100 uL/min followed by injection of running buffer.

5 Double reference subtraction of the data was performed to correct for buffer contribution to the signal and instrument noise (Myszka 1999) using the Scrubber software version 1.1g (BioLogic Software) for referencing. After this initial data processing, kinetic analysis of the data was performed using the BIA evaluation software, version 4.0.1 (Biacore, AB) assuming a simple 1:1 binding model.

#### AlphaScreen and binding studies

10 Both competition and direct binding of IgGs to various Fc $\gamma$ Rs was assessed using the homogeneous bead-based binding assay, AlphaScreen™ (PerkinElmer, Waltham, MA ). In brief, experiments were carried out as previously described (Lazar et al 2006 Proc Natl Acad Sci U S A 103(11): 4005-10) with minor modifications. Fc $\gamma$ RI, IIa, were purchased from R&D systems. Fc $\gamma$ RIIIa and FcRn were cloned, expressed and purified. IgG Fc mutants were tested in competition binding against biotinylated CNT06234 ( a nonspecific control human IgG1 subclass antibody) or anti-Her2/neu (a 15 human IgG2 antibody) which were biotinylated using NLS-biotin, Pierce, 2:1 ratio.

In competition binding studies, biotinylated antibodies were added to a final assay concentration of 200 ng/ml, followed by competing test antibodies at designated final concentrations specified in each experimental figure. Fc $\gamma$ Rs were added at 200 ng/ml final concentration to 96-well plates, followed by the serial addition of streptavidin donor and Ni-chelate acceptor beads. After sealing plates and shaking 20 at room temperature, the plates were read using the Envision plate reader and data was graphed and plotted in GraphPad Prism. Avidity studies were carried out similar to the completion studies, with the exception that test IgG molecules were biotinylated at a 2:1 ratio and direct FcR binding was assessed in the absence of competition against a control antibody.

#### Results

25 The relative affinities of the IgG variants for human FcRs (Fc $\gamma$ RI, Fc $\gamma$ RIIa, Fc $\gamma$ RIIb, and Fc $\gamma$ RIIIa) assessed by SPR/Biacore analyses and derived from the sensograms are shown in Table 5.

30

Table 5. Biacore data for the interaction of Fc mutants with Fc receptors.

Fc	Fcg RI K <sub>D</sub> (uM)	Fcg RIIa K <sub>D</sub> (uM)	Fcg RIIIa K <sub>D</sub> (uM)
huIgG1	0.013*	1.7	0.16*
huIgG1 - Ag	3.7	>170	>95
huIgG4 Ala-Ala, Ser-Pro	15	150	55
huIgG2	120	1	41
huIgG2m4	210	2.7	95
huIgG2c4a	160	2.2	85
huIgG2c4b	38	170	>95
huIgG2c4c	0.044*	19	0.64*
huIgG2c4d	>210	>170	>95
huIgG2c4e	>210	>170	>95

These numbers correspond to the parameters generated for the global fit of one experiment.

5 For these 4 sets of data the affinities were obtained by performing a fit using a simple 1:1 binding model kinetic fit. For all others, the affinities were obtained by performing a fit using a simple 1:1 binding steady state affinity analysis.

10 The relative affinities of the IgG mutants for human FcRs (FcγRIIa, FcγRIIb, and FcRn) in an avidity context, that is for bivalent antibody binding to a dense target field, measured using Alpha Screen™ competition assays with human IgG1 (CNTO6234) are shown in Figures 3A-C, respectively.

15 The experimental data demonstrated that IgG2 mutants as well as IgG1 ag and IgG4 Ala/Ala show significantly decreased binding affinity to Fc gamma receptors compared to IgG1, while retaining their capacity to bind to FcRn (the neonatal Fc receptor conferring *in vivo* half-life). Specifically, in competition binding against IgG2 and in binding to FcgRIIa ranking from high to lowest affinity, the sequence is as follows: IgG1>IgG2c4a>IgG2m4>IgG2=IgGc4c>IgG2c4b>IgG4 ala/ala>IgG4 agly>IgG2c4d. This sequence is consistent in competition against IgG1 (CNTO6234) in binding to

FcγRIIb. Further competition binding analysis against IgG1 (CNTO6234) in binding to FcRn at pH 6.4 indicated that all isotypes and mutants bind relatively equally to FcRn. Importantly, IgG2c4d and IgG1 agly show minimal, if any, detectable binding to FcγRIIa and FcγRIIb.

#### EXAMPLE 2: ADCC AND CDC

5 CDC is initiated in three categories of pathway: antibody-dependent (classical pathway), polysaccharide dependent (lectin-dependent), and foreign surface structures (alternative pathway), all producing a cascade of proteolytic steps leading to the assembly of a membrane attack complex that culminates in target cell or microbial lysis (ref W.E. Paul Immunology). A subset of isotype and Fc mutants described in Example 1 were prepared using the variable domains of an anti-CD20 antibody and  
10 evaluated for their ability to lyse WIL2-S B-cell lymphoma target cells in the presence of human serum. Commercially available Rituxan®, a therapeutic anti-CD20 known to mediate CDC of WIL2-S cells was used a positive control for lysis. For CDC analysis, Wil2-S target cells were seeded in a 96-well plate, incubated with human serum complement (1/6 dilution) and relative cell viability was assessed using AlamarBlue.

15 The ADCC assay was performed using the anti-HER2/neu variable domain combined with the various Fc domains of the different IgG isotype or variants as described in Example 1 and SkBr3 breast cancer cells as targets. The assays were carried out as previously described using the EuTDA method of cell lysis detection (PerkinElmer, Waltham, MA). TDA-loaded SkBr3 breast cancer target cells were seeded in U-bottom 96-well plates, opsonized with designated concentrations of antibodies and  
20 co-cultured with 25x excess of PBMCs isolated from leukopacks at 37°C. After 3 hours, the plates were centrifuged, and supernatants were analyzed for TDA release according to manufacturer's instructions. Raw data was normalized and plotted using GraphPad Prism. For CDC analysis, Wil2-S target cells were seeded at 50,000 per well of a 96-well plate, incubated with human serum complement (1/6 dilution) and relative cell viability was assessed using AlamarBlue.

#### 25 Results

ADCC, which primarily engages FcγRIIIa on NK cells, was undetectable for the series of constructs tested which included IgG2, IgG2m4, IgG2c4d and e, IgG1 agly and IgG4 ala/ala (Figure 5), consistent with the diminished binding characteristics shown for the higher affinity FcγRIIIa (V) receptor as well as the lack of avidity binding in the AlphaScreen™ assay (Figure 4A). The use of high density  
30 targets, such as HER2/neu on breast cancer cells as in this study, demonstrated that Fc affinity for FcγRIIIa (lower than 40 μM) appears insufficient to induce target cell lysis. Similarly, none of the antibodies or Fc-mutants, aside from IgG1, demonstrated a significant level of CDC against WIL2-S

target cells in the anti-CD20 constructs tested (Fig. 6). Among the IgG subclasses and mutants tested, only IgG1 demonstrated detectable levels of CDC, suggesting that independent of C1q binding, none of the remaining mutants can trigger CDC (Figure 6). While previous efforts have pointed to IgG2 as having minimal CDC through C1q binding and activation of the classical pathway, we did not observe significant levels of activity in the anti-CD20 context, consistent with a previous observation (Idusogie, Presta et al. 2000 J Immunol 164(8): 4178-84). Moreover, previous indications of IgG1 agly having residual complement activity were also not detected using anti-CD20 and human serum (Dorai, Mueller et al. 1991 Hybridoma 10(2): 211-7). An explanation for this discrepancy is that while low levels of complement activation may be mediated by IgG2, activation may be insufficient to trigger the assembly of a membrane attack complexes (MAC) sufficient to lyse opsonized cells.

These data suggest that, regardless of the level of complement activation, the assembly of membrane attack complexes culminating in target cell lysis are deficient or insufficient for antibodies of isotypes other than bound IgG1.

### EXAMPLE 3: ANTIBODY-DEPENDENT CELL PHAGOCYTOSIS

The anti-HER2/neu binding Fc mutants were evaluated for their ability to mediate antibody-dependent cellular phagocytosis (ADCP), using opsonized target breast cancer cells, Sk-Br3 and macrophages.

#### Antibody-Dependent Cellular Phagocytosis (ADCP)

Peripheral blood mononuclear cells were isolated by standard Ficoll-Paque (GE Healthcare) density-gradient preparations from leukopacks (Biological Specialty Corporation), and cells were aliquoted and stored in nitrogen. PBMCs were thawed and CD14 positive cells were isolated by negative depletion using a CD14 Isolation kit without CD16 depletion (Stem Cell Technologies) per manufacturer instructions. Cells were plated at  $0.1 \times 10^6$  cells/cm<sup>2</sup> in RPMI/5% heat-inactivated FBS/50 $\mu$ g/ml gentamicin in the presence of 20ng/ml GM-CSF (R&D Systems) for 7 days to generate monocyte-derived macrophages. SK-BR-3 tumor cells were labeled with PKH67 (Sigma) according to the manufacturer's instructions. Target cells were washed and incubated with monocyte-derived macrophages at a ratio of 1 target cell to 4 effector cells in the presence of antibodies for 4 hours at 37°C in a 5% CO<sub>2</sub> incubator. After incubation, cells were detached with Accutase (Millipore), and macrophages were labeled with anti-CD11b antibodies (BD Biosciences) conjugated to AlexaFluor-647 (Invitrogen). Cells were analyzed by flow cytometry to determine tumor cells alone (PKH67<sup>pos</sup>, CD11b<sup>neg</sup>), macrophages alone (PKH67<sup>neg</sup>, CD11b<sup>pos</sup>), and phagocytosed tumor cells (PKH67<sup>pos</sup>, CD11b<sup>pos</sup>). Percent phagocytosis was determined by the following equation: (phagocytosed tumor cells)/(phagocytosed tumor cells plus tumor cells alone)

x 100%. Cells were acquired on a FACS Calibur (Becton Dickinson), and the results were analyzed with FloJo Software (Tree Star).

Isolated monocytes were differentiated *in vitro* using GM-CSF and further characterized for the expression levels of FcRs by flow-cytometric analysis. As noted in previous studies by others, the GM-CSF activated macrophages expressed elevated levels of all FcRs (FcγRI, FcγRIIa, FcγRIIIa) relative to the parent monocytes (data not shown). The anti-HER2/neu IgG Fc mutant constructs were subsequently tested in phagocytosis assays using the M1 macrophages.

#### Results

After 4 hours of co-culture with SkBr3 cells in the presence of each mAb, significant levels of ADCP was apparent for IgG1, however minimal levels of ADCP were also observed for aglycosylated IgG1, IgG2, IgG2m4, and IgG4 S>P ala/ala at higher concentrations of antibody (Fig. 7). In contrast, IgG2c4d demonstrated no detectable levels of ADCP. This observation is consistent with the previously demonstrated binding profiles of the various IgGs against FcRs. For example, while IgG1, IgG agly and IgG4 ala/ala demonstrated binding in using the multiple ligand displaying beads (e.g using the AlphaScreen® system) to FcγRI, all three also demonstrated ADCP. IgG2 and IgG2M4 and IgG4 ala/ala showed minimal ADCP at higher concentrations. Engagement of FcγRIIa by IgG2 and IgG2m4, as shown by avidity studies suggests that the contribution of FcγRIIa may in and of itself be insufficient to drive significant levels of ADCP. The BiaCore and AlphaScreen™ results (Table 5 and Figs. 4B and 4C) further indicated that while IgG agly has retained binding to FcγRI, IgG4 ala/ala shows avidity for both FcγRI and FcγRIIa, yet, ADCP is comparatively more robust for IgG1 agly than IgG4 ala/ala. Because IgG1 agly has minimal, if any, binding to FcγRIIa and by extension to the highly similar inhibitory FcγRIIb (based on sequence identity >95% in the extracellular domain) the activation of ITAMs through FcγRI signaling is not countered by signaling through ITIMs associated with FcγRIIb activation. In contrast, IgG4 S>P ala/ala shows a dampened phagocytosis, likely due to activation of FcγRIIb. Finally, the complete lack of detectable monomeric or avidity based binding of IgG2c4d to various FcRs further lends support to the unique abolished phagocytotic capacity of this Fc backbone.

#### EXAMPLE 4: ANTIBODY-MEDIATED CYTOKINE RELEASE

Fc-engagement of FcR on immune cells promotes cytokine release when cross-linked. In order to mimic the avidity-based engagement of FcRs on immune cells, mAbs were bound to polystyrene beads.

Cytokine release using anti-HER2/neu IgG mutants was performed after direct binding of IgGs to latex beads after overnight incubation. Washed beads were added to isolated human PBMCs at various

concentrations as specified from about 1500 to 250,000 per ml and incubated overnight before removing co-culture supernatant to measure secreted TNF $\alpha$  using the standard AlphaELISA kit from PerkinElmer (Waltham, MA).

The tested IgG isotypes and Fc mutants possess differential ability to stimulate cytokine release through Fc receptor mediated TNF $\alpha$  secretion from PBMCs (Fig. 8). Accordingly, levels of TNF secretion by various isotypes and their Fc mutants from high to low, are as follows:

IgG1>IgG2>IgG2m4>IgG2c4a>IgG1agly>IgG4ala/ala> IgG2c4b> IgG2c4c> IgG4c4d and e. Of note, both IgG4d and e Fc mutants possess minimal, if any, capacity to induce detectable cytokine (TNF) release.

#### EXAMPLE 5: Ex vivo B CELL DEPLETION

To better understand the capacity of the isotypes and mutants in their level of silencing in vivo, *ex vivo* depletion of WIL2-S B-cells in the presence of heparinized whole human blood was determined. As an anti-CD20 IgG1 is known to engage all effector functions (ADCC, CDC, ADCP), the whole blood system, including the presence of PMNs (neutrophils, basophils, etc.), human complement, and excess IgG were considered to be representative of the level of 'silencing' conferred by each variant.

Briefly, whole human blood provided the effector cells and WIL2-S cells served as target cells for ADCC assays. Target cells were pre-labeled with BATDA (PerkinElmer) for 30 minutes at 37°C, washed twice and resuspended in DMEM/5% heat-inactivated FBS, then 50 $\mu$ l of target ( $2 \times 10^4$  cells per well) were added to the wells of 96-well U-bottom plates. An additional 50 $\mu$ l was added with or without antibodies of various concentrations, and cells were incubated at room temperature for 20 minutes. Whole human blood (100 $\mu$ l) was then added to the wells. All samples were performed in triplicate. The plates were centrifuged at 200g for 3 minutes, incubated at 37°C in a 5% CO<sub>2</sub> incubator for 3 hours, and then centrifuged again at 200g for 3 minutes. A total of 20 $\mu$ l of supernatant was removed per well and cell lysis was measured by the addition of 200 $\mu$ l of the DELPHIA Europium-based reagent (PerkinElmer). Fluorescence was measured using an Envision 2101 Multilabel Reader (PerkinElmer). Data were normalized to maximal cytotoxicity obtained by treating cells with Triton X-100 (Sigma Aldrich) and minimal control determined by spontaneous release of BATDA from target cells alone. Data were fit to a sigmoidal dose-response model using GraphPad Prism v5.01.

Co-culturing of WIL2-S in the presence of human blood revealed severe effector-mediated depletion of labeled WIL2-S using IgG1 and to some extent by IgG2 and IgG2M4. Of note, (Fab')<sub>2</sub> and Fab anti-CD20 fragments both induced some level of WIL2-S depletion which may indicate the presence of a cleaved IgG autoantibody in the serum (see e.g. Breski et al. 2008 J Immunol 181:3183-3192)

capable of restoring the effector function. Consistent with previous ADCC, CDC and ADCP data, no significant or detectable levels of cytokine release were observed with IgG2c4e.

#### EXAMPLE 6: In vivo B CELL SURVIVAL WITH CD20 TARGETING

In vivo effector function associated with IgG2c4e was assessed using an established  
5 Cynomologous B-cell depletion model of anti-CD20 (Reff et al, 1994, Blood 83:435–445).

Cynomologous monkeys (n=3/group) were injected with saline 7 days prior to single bolus  
intravenous doses of either IgG1 or IgG2Σ at 0.2 mg/Kg or 2 mg/Kg. On designated days following the  
injections, B cell levels from whole blood samples were determined by flow cytometry analysis of B and  
T cells using anti-CD20 and anti-CD3 as markers, respectively. The average B-cell levels (CD20+/CD3-)  
10 for each group were plotted during three weeks after injection (Figure 9). While low doses of IgG1 (0.2  
mg/kg) induced near complete depletion of all B-cells 1 day after injection (99%), no significant depletion  
was induced by anti-CD20 IgG2c4e (mean of 15% within the group). B cell levels remained relatively  
normal for the anti-CD20 IgG2c4e treated animals over the subsequent days, and there was a gradual  
trend toward recovery of B cell levels observed for IgG1 treated monkeys during subsequent weeks. Of  
15 note, both IgG2c4e and IgG1 induced near complete depletion of B-cells at the higher dose of 2 mg/Kg.

Anti-CD20 mediated B-cell depletion is thought to be mediated by several mechanisms, including  
ADCC, CDC and apoptosis. In view of the monkey B-cell depletion data indicating depletion of B-cells  
at the higher dose (2 mg/Kg) by IgG2c4e, the mechanistic basis of B-cell depletion was further evaluated  
by measuring levels of apoptosis induced by the antibodies in isolated B-cells.

20 Isolated B-cells were treated with 0, 0.26, 2.6 and 26 µg/ml concentrations of IgG1, IgG2c4e,  
(Fab')<sub>2</sub> and IgG2 as well as a non-binding control mAb (BM21) for 4 hrs and Annexin V positive, 7AAD  
negative cells were quantified by flow cytometry. Specific final concentrations of 2.6 and 26 µg/ml were  
selected to reflect estimated maximal in vivo concentrations of serum IgG after bolus injections of 0.2 and  
2 mg/Kg.

25 For all three binding antibodies, a dose dependent induction of apoptosis was observed for all  
IgGs, including (Fab')<sub>2</sub>, indicating that anti-CD20 mediated crosslinking is sufficient for induction of cell  
death at the higher dose, but not the lower dose for IgG2c4e. Of note, (Fab')<sub>2</sub> also demonstrated  
significant apoptosis in the absence of an Fc, confirming the notion that anti-IgG mediated apoptosis can  
be induced independent of Fc mediated cross-linking as previously observed.

30 Thus, the normal functions associated with cross-linking of target antigen on cells are not ablated  
by the modified Fc variant.

**WHAT IS CLAIMED:**

1. An Fc-containing molecule having decreased affinity for at least one Fc $\gamma$  receptor as compared to a wildtype Fc, comprising an antibody Fc domain with a mutated IgG constant region, wherein amino acid residues 233, 234, 235, 237, and 238 defined by the EU numbering system, comprise a sequence selected from PAAAP (SEQ ID NO: 4), PAAAS (SEQ ID NO:5), and SAAAS (SEQ ID NO:6).
2. The Fc-containing molecule of claim 1, wherein the Fc domain further comprises the mutations H268A or H268Q, V309L, A330S and P331S as defined by the EU numbering system.
3. The Fc-containing molecule of claim 1, wherein the domain is capable of specifically binding FcRn.
4. The Fc-containing molecule of claim 1, wherein the Fc domain sequence is at least 90% identity with the human IgG2 heavy chain CH2 domain.
5. The Fc-containing molecule of claim 1, wherein the Fc-containing molecule is an antibody or Fc fusion protein.
6. The Fc-containing molecule of claim 1, wherein residue 228 is mutated from S to P.
7. A recombinant polypeptide based binding molecule comprising: (i) a binding domain capable of binding a target molecule, and (ii) an Fc domain having an amino acid sequence substantially homologous to all or part of a CH2 and CH3 constant domains of a human immunoglobulin heavy chain and wherein residues 233, 234, 235, 237, and 238 defined by the EU numbering system, comprise an amino acid sequence selected from PAAAP, PAAAS, and SAAAS; wherein the binding molecule is capable of binding the target molecule without triggering significant complement dependent lysis, or cell mediated destruction of the target.
8. The binding molecule of claim 7, wherein the Fc domain is capable of specifically binding FcRn.



9. The binding molecule of claim 8, wherein the binding domain is selected from the binding site of an antibody; an enzyme; a hormone; a receptor; a cytokine; an immune cell surface antigen; a ligand and an adhesion molecule.
10. The binding molecule of claim 9, wherein the molecule exhibits avidity.
11. The binding molecule according to any of claims 7-10, wherein the binding domain specifically binds a target within a neurological tissue, an endocrine tissue, a vascular tissue, a cardiac tissue, a synovial tissue, a dermal tissue, or a mucosal tissue.
12. A method for treating a disease characterized by the migration and concentration of macrophages, comprising administering to a subject or patient an Fc-containing protein preparation according to any of claims 1-7.
13. A method of treating Graft-vs-host disease; host-vs- graft disease; organ transplant rejection; bone-marrow transplant rejection; autoimmunity, vasculitis, autoimmune haemolytic anaemia, autoimmune thrombocytopenia and arthritis; alloimmunity such as fetal/neonatal alloimmune thrombocytopenia; asthma and allergy; chronic or acute inflammatory diseases, Crohn's Disease or scleroderma; Alzheimer's Disease, or coronary artery occlusion comprising administering to a subject or patient an Fc-containing molecule according to any of claims 1-7.
14. A method for treating a condition comprising administering to a subject or patient an Fc-containing molecule according to any of claims 1-7, wherein the binding molecule is administered to a patient, or optionally wherein the patient is an unborn infant, to the mother of the patient.
15. An Fc-containing molecule having decreased affinity for Fc $\gamma$  receptors as compared to a wildtype Fc, comprising an antibody Fc domain based on an IgG2 constant region, wherein amino acid residues 233, 234, 235, 237, and 238 defined by the EU numbering system, comprise a sequence selected from PAAAP, PAAAS, and SAAAS and further comprising mutations H268A or H268Q, V309L, A330S and P331S as defined by the EU numbering system.

16. A method of altering binding of an IgG2 based Fc-containing molecule to Fc $\gamma$  receptors as compared to a wildtype IgG2 based Fc, comprising altering the sequence of an Fc domain based on an IgG2 constant region at residues 233, 234, 235, 237, and 238 defined by the EU numbering system, to comprise a sequence selected from PAAAP, PAAAS, and SAAAS, and comprise mutations H268A or H268Q, V309L, A330S and P331S.
17. Any invention described herein.

**Fig. 1**

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                218                                     267
hIgG2  KCC---VECPPCPAPPVA-GPSVFLFPPKPKDTLMISRTPEVTCVVDVS
hIgG4  KYG---PPCPSCPAPEFLGGPSVFLFPPKPKDTLMISRTPEVTCVVDVS
hIgG1  KSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVDVS
        *           **   ***          *****
                268                                     317
hIgG2  HEDPEVQFNWYVDGVEVHNAKTKPREEQFNSTFRVVSVLTVVHQDWLNGK
hIgG4  QEDPEVQFNWYVDGVEVHNAKTKPREEQFNSTYRVVSVLTVLHQDWLNGK
hIgG1  HEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGK
        ***** ***** ** *****
                318                                     367
hIgG2  EYKCKVSNKGLPAPIEKTISKTKGQPREPQVYTLPPSREEMTKNQVSLTC
hIgG4  EYKCKVSNKGLPSSIEKTISKAKGQPREPQVYTLPPSQEEMTKNQVSLTC
hIgG1  EYKCKVSNKALPAPIEKTISKAKGQPREPQVYTLPPSRDELTKNQVSLTC
        ***** ** ***** ***** * *****
                368                                     417
hIgG2  LVKGFYPSDIAVEWESNGQPENNYKTTPMLDSDGSFFLYSKLTVDKSRW
hIgG4  LVKGFYPSDIAVEWESNGQPENNYKTTPVLDSGFFLYSRLTVDKSRW
hIgG1  LVKGFYPSDIAVEWESNGQPENNYKTTPVLDSGFFLYSKLTVDKSRW
        ***** ***** *****
                418                                     447
hIgG2  QQGNVFSCSVMHEALHNHYTQKSLSLSPGK
hIgG4  QEGNVFSCSVMHEALHNHYTQKSLSLSPGK
hIgG1  QQGNVFSCSVMHEALHNHYTQKSLSLSPGK
        * ***** **
    
```

*Fig. 2*

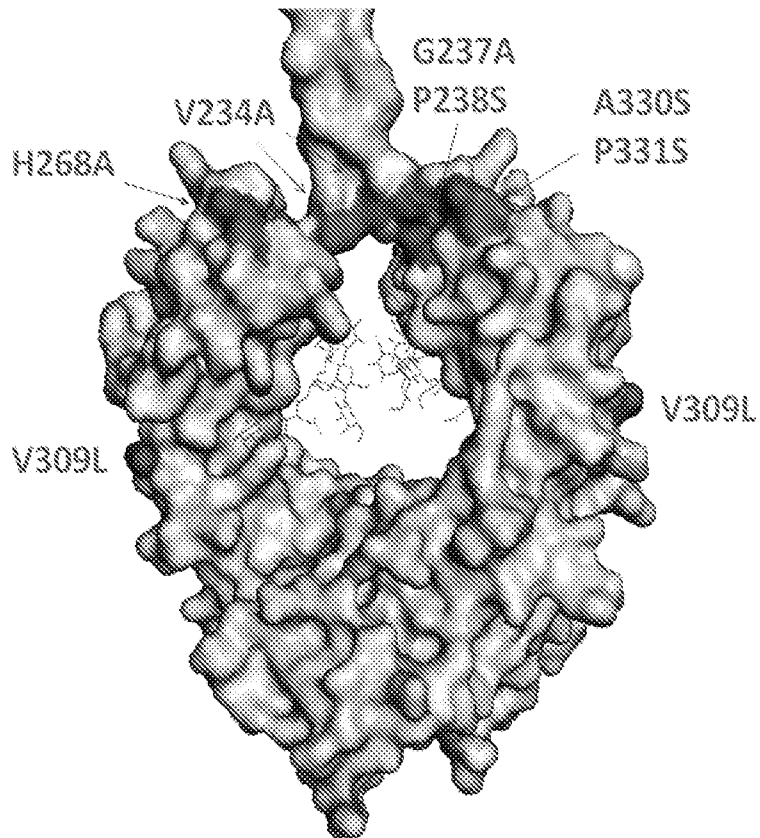
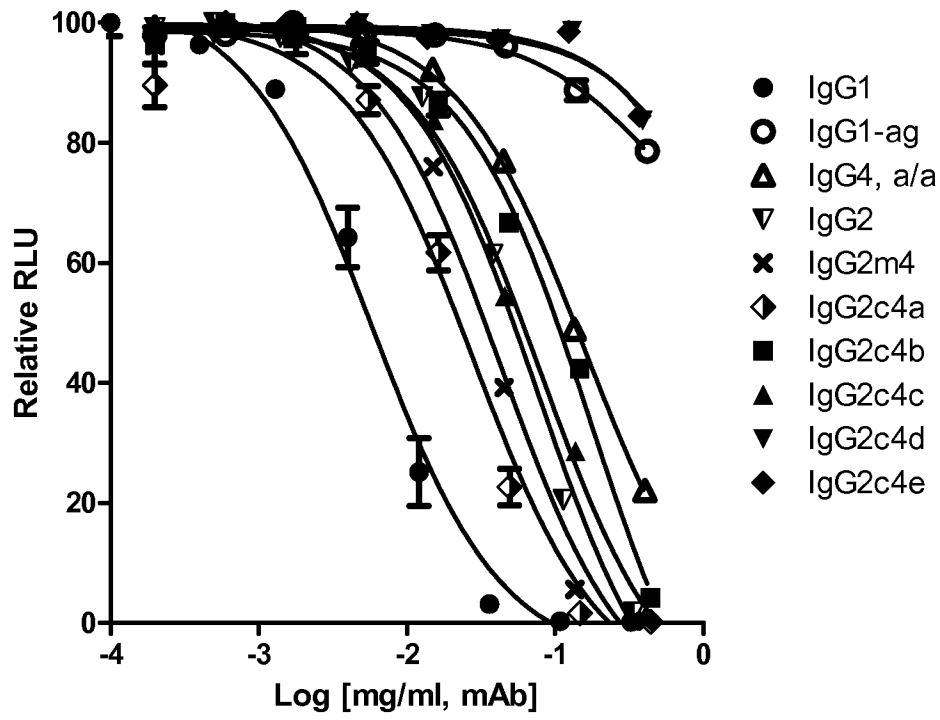


Fig. 3A





*Fig. 3C*

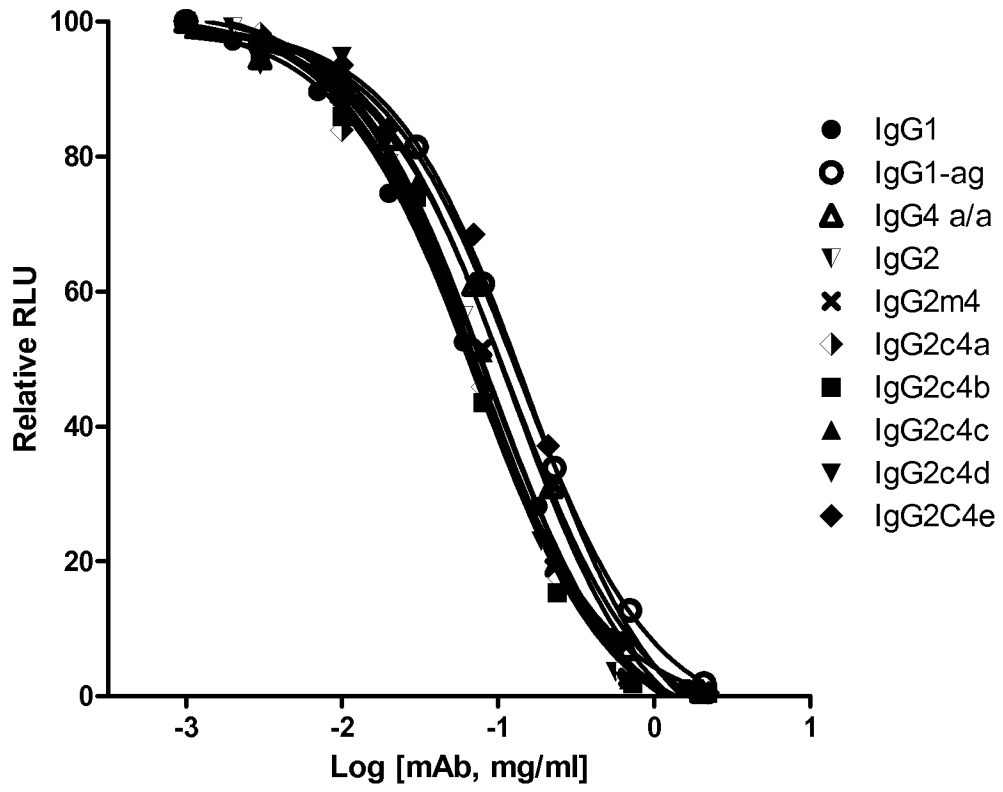
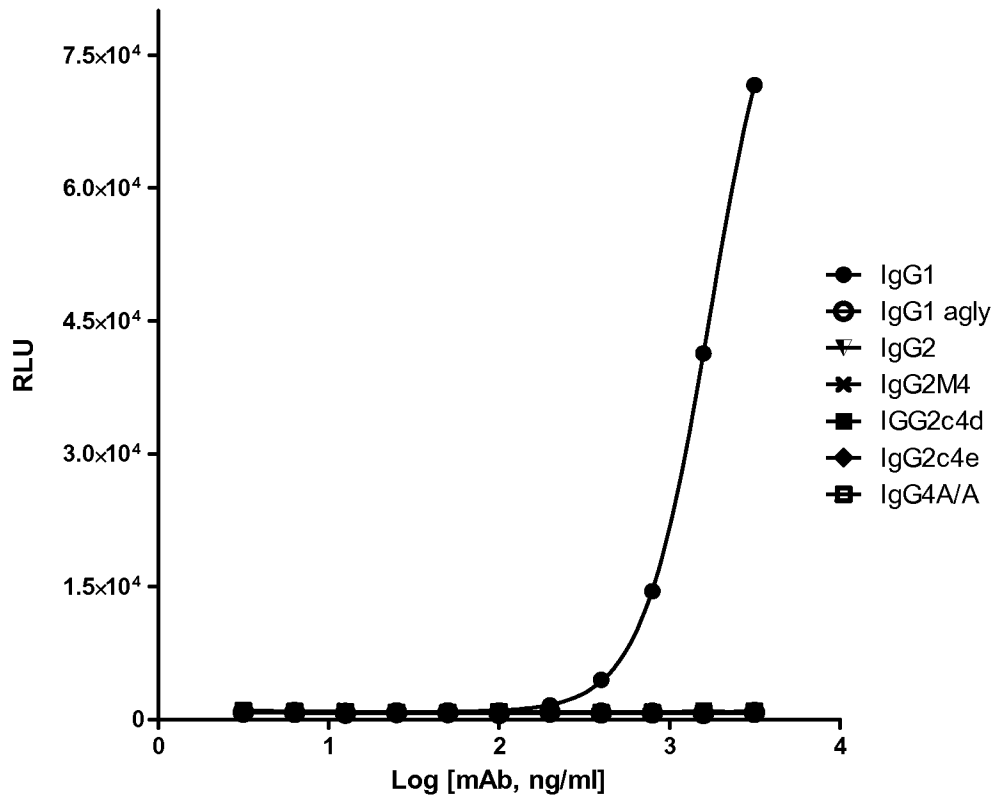


Fig. 4A





*Fig. 4B*

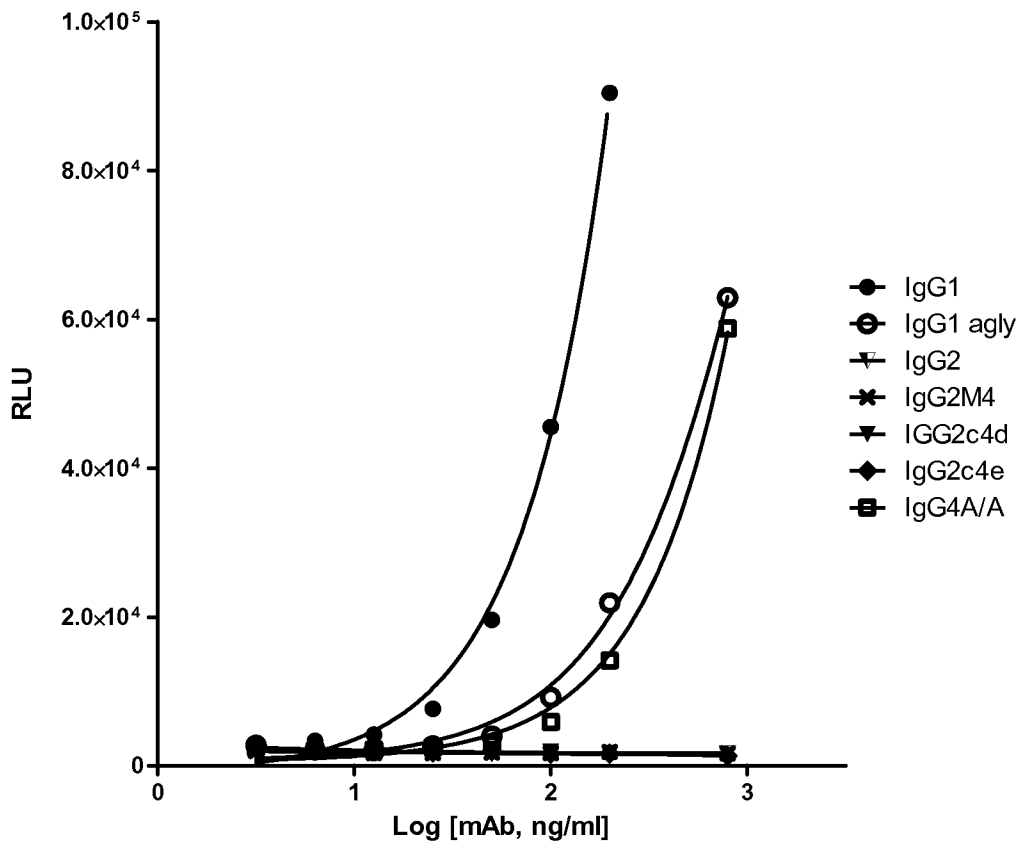


Fig. 4C

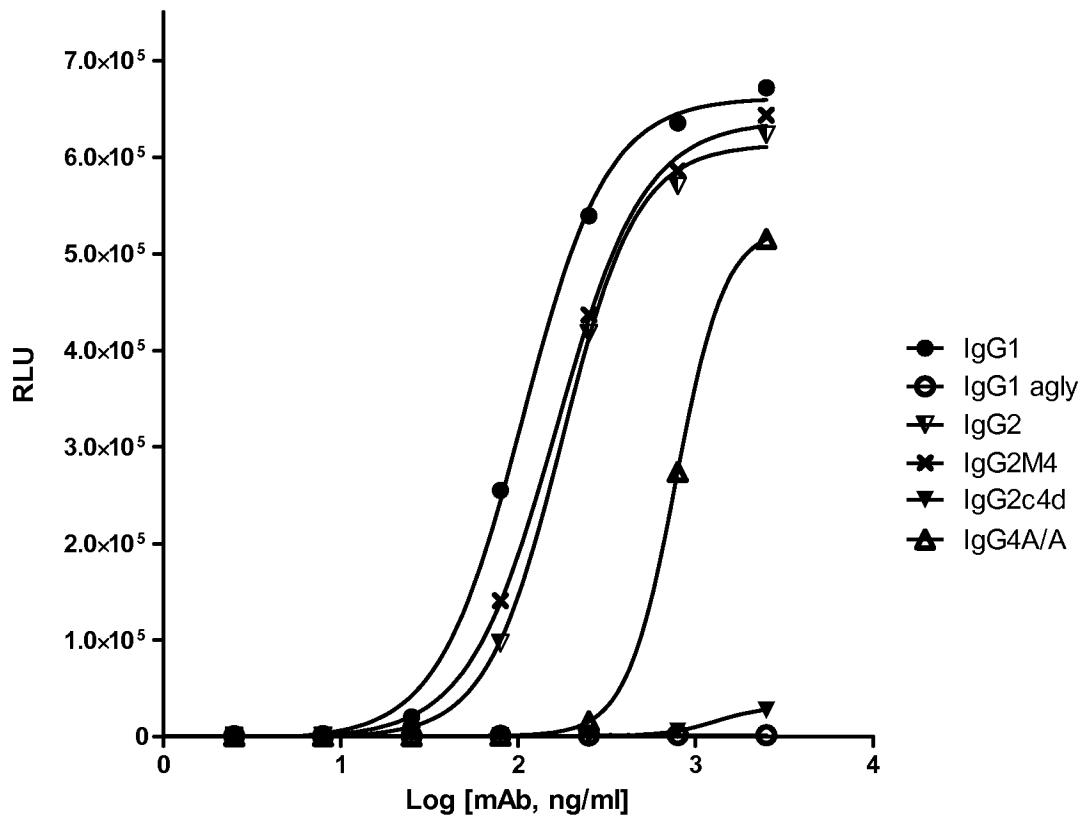


Fig. 5

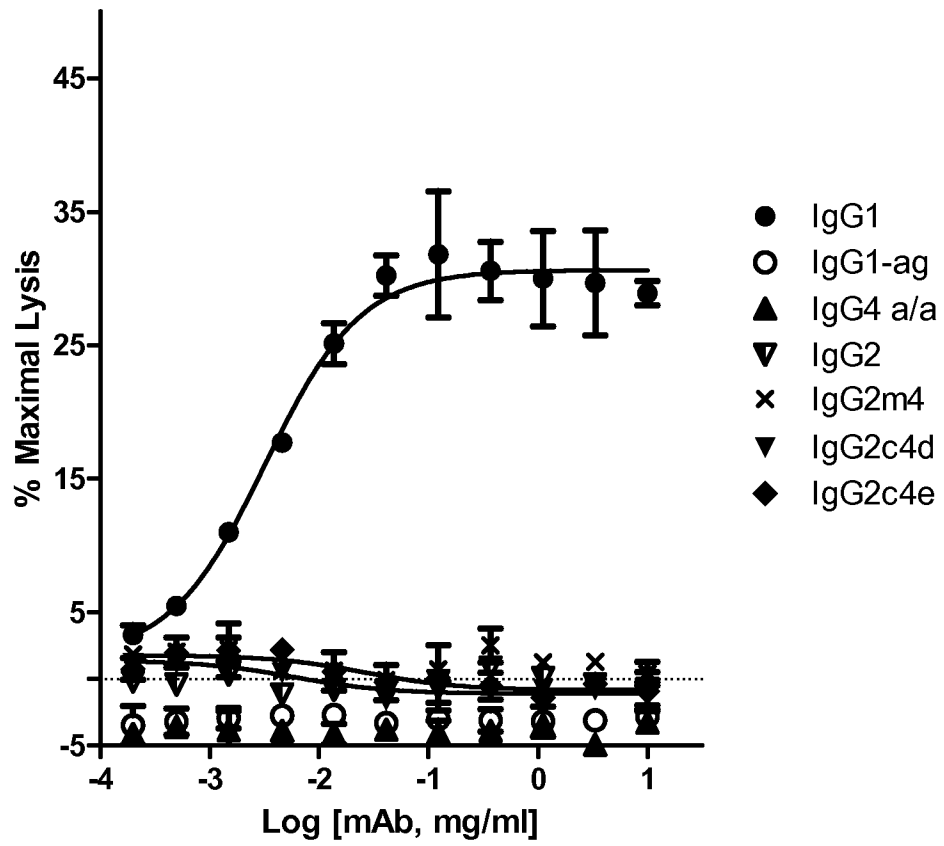


Fig. 6

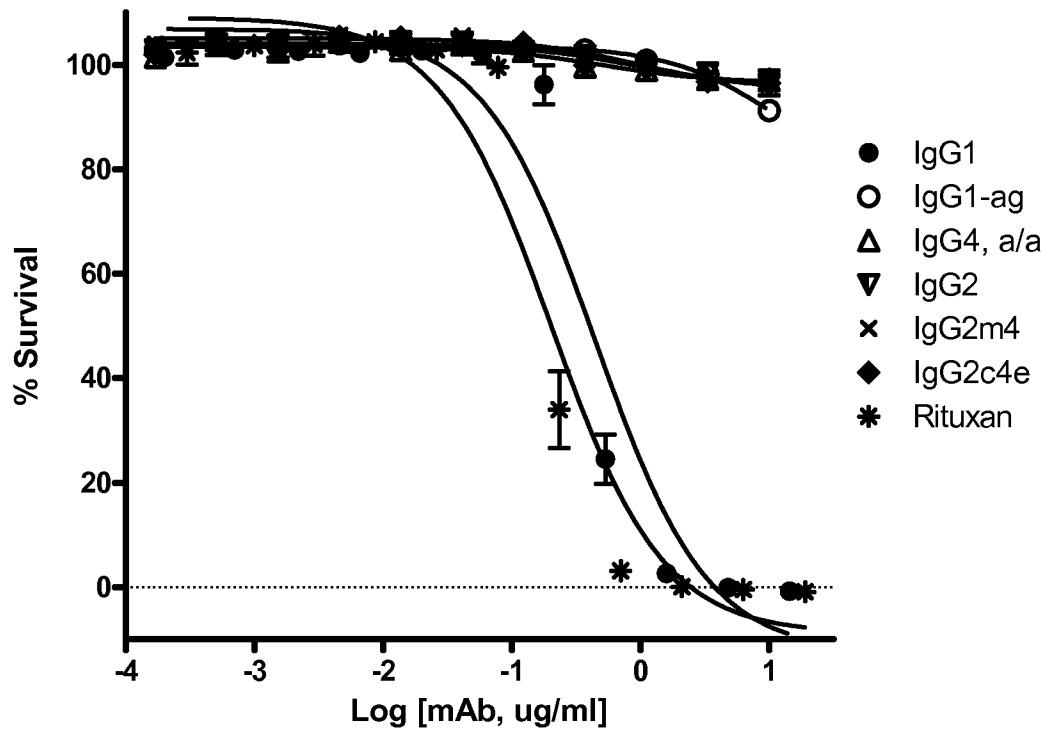


Fig. 7

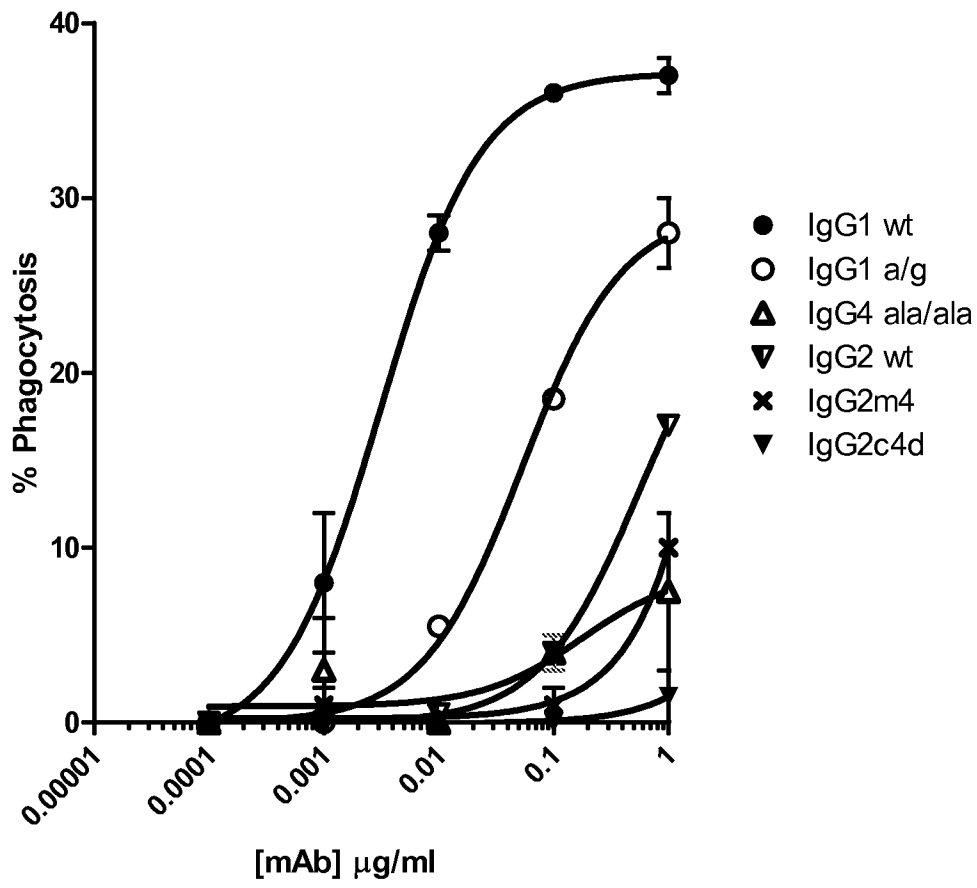


Fig. 8

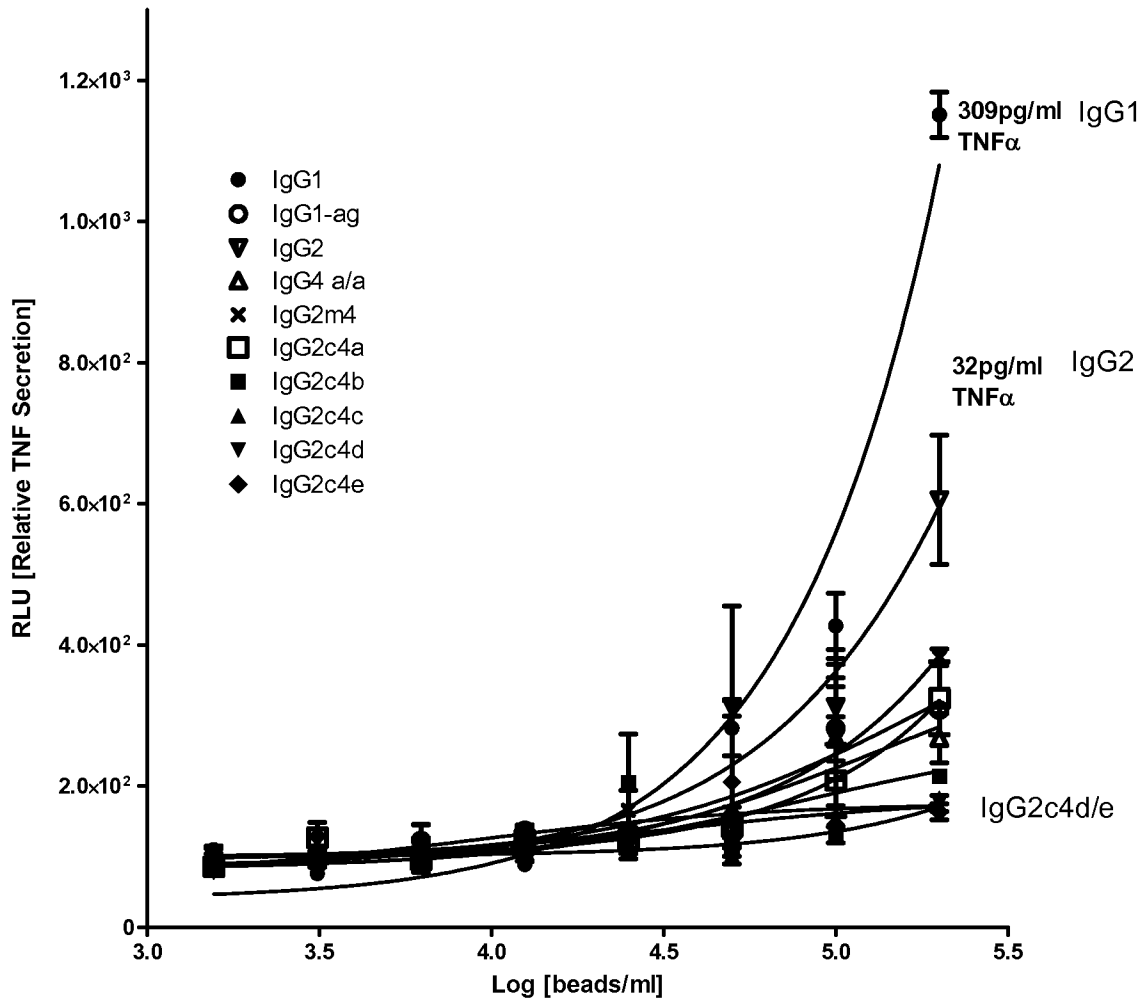
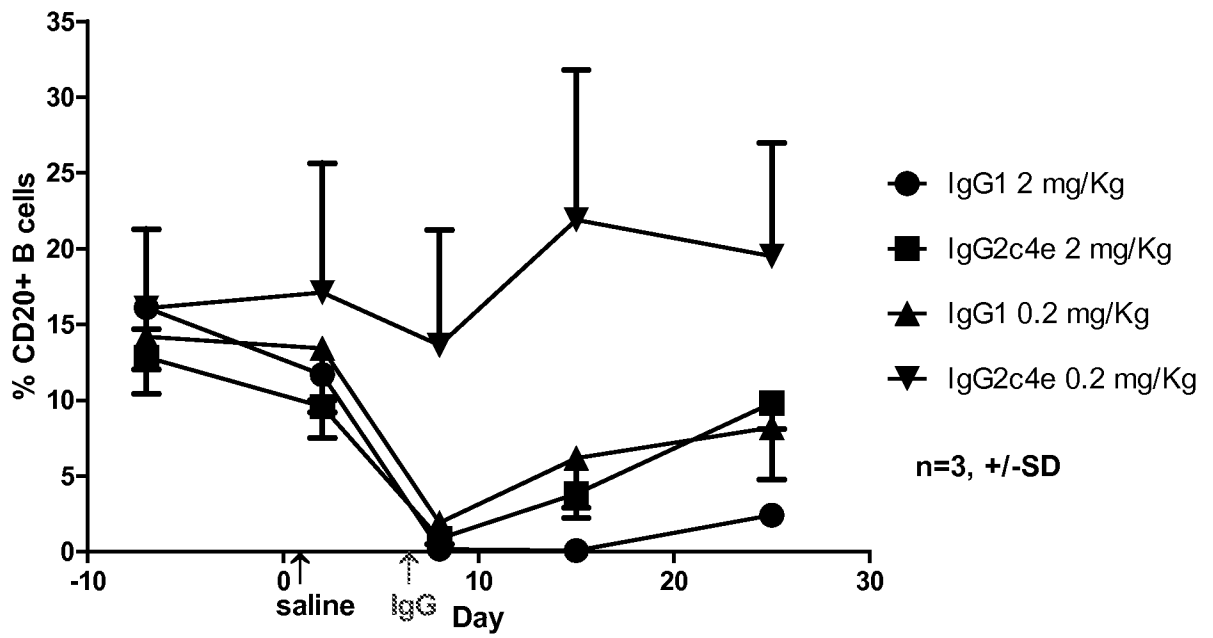


Fig. 9



INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 10/58188

<p>A. CLASSIFICATION OF SUBJECT MATTER                  IPC(8) - A61K 39/00; C12P 21/08 (2010.01)                  USPC - 424/133.1; 530/37.3                  According to International Patent Classification (IPC) or to both national classification and IPC</p>												
<p>B. FIELDS SEARCHED</p> <p>Minimum documentation searched (classification system followed by classification symbols)                  USPC - 424/133.1; 530/37.3</p> <p>Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched                  USPC - 424/130.1 (text search, see terms below)</p> <p>Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)                  PubWEST(PGPB,USPT,EPAB,JPAB); Google/Scholar (text search, see terms below)                  Search Terms: Fc variant, Fc mutant, Fc chimeric, Fc chimera, EU, aa, amino acid, 234, 237, V234A, G237A, 268, 309, 330, 331, H268A, H268Q, V309L, A330S, P331S, FK734, FR255734</p>												
<p>C. DOCUMENTS CONSIDERED TO BE RELEVANT</p> <table border="1"> <thead> <tr> <th>Category*</th> <th>Citation of document, with indication, where appropriate, of the relevant passages</th> <th>Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td>X --- Y</td> <td>US 5,834,597 A (TSO et al.) 10 November 1998 (10.11.1998); Abstract, Figure 4, (col 1, ln 15 - col 2, ln 31), (col 3, ln 16-26), (col 5, ln 65 - col 6 ln 13), (col 6, ln 66-67), (col 7, ln 8-33), (11, ln 56-64), (col 12, ln 50-61), (col 16, ln 32-50)</td> <td>1, 4, 5, 7, 11-14 ----- 2, 3, 6, 8-10, 15, 16</td> </tr> <tr> <td>Y</td> <td>US 2007/0148167 A1 (STROHL) 28 June 2007 (28.06.2007); Figure 1, paras [0015], [0018], [0050]</td> <td>2, 3, 6, 8-10, 15, 16</td> </tr> </tbody> </table>			Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	X --- Y	US 5,834,597 A (TSO et al.) 10 November 1998 (10.11.1998); Abstract, Figure 4, (col 1, ln 15 - col 2, ln 31), (col 3, ln 16-26), (col 5, ln 65 - col 6 ln 13), (col 6, ln 66-67), (col 7, ln 8-33), (11, ln 56-64), (col 12, ln 50-61), (col 16, ln 32-50)	1, 4, 5, 7, 11-14 ----- 2, 3, 6, 8-10, 15, 16	Y	US 2007/0148167 A1 (STROHL) 28 June 2007 (28.06.2007); Figure 1, paras [0015], [0018], [0050]	2, 3, 6, 8-10, 15, 16	
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X --- Y	US 5,834,597 A (TSO et al.) 10 November 1998 (10.11.1998); Abstract, Figure 4, (col 1, ln 15 - col 2, ln 31), (col 3, ln 16-26), (col 5, ln 65 - col 6 ln 13), (col 6, ln 66-67), (col 7, ln 8-33), (11, ln 56-64), (col 12, ln 50-61), (col 16, ln 32-50)	1, 4, 5, 7, 11-14 ----- 2, 3, 6, 8-10, 15, 16										
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<p><input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/></p>												
<p>* Special categories of cited documents:</p> <table border="0"> <tr> <td>“A” document defining the general state of the art which is not considered to be of particular relevance</td> <td>“T” later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td> </tr> <tr> <td>“E” earlier application or patent but published on or after the international filing date</td> <td>“X” document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td> </tr> <tr> <td>“L” document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td> <td>“Y” document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td> </tr> <tr> <td>“O” document referring to an oral disclosure, use, exhibition or other means</td> <td>“&amp;” document member of the same patent family</td> </tr> <tr> <td>“P” document published prior to the international filing date but later than the priority date claimed</td> <td></td> </tr> </table>			“A” document defining the general state of the art which is not considered to be of particular relevance	“T” later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	“E” earlier application or patent but published on or after the international filing date	“X” document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	“L” document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	“Y” document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	“O” document referring to an oral disclosure, use, exhibition or other means	“&” document member of the same patent family	“P” document published prior to the international filing date but later than the priority date claimed	
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<p>Date of the actual completion of the international search 24 January 2011 (24.01.2011)</p>		<p>Date of mailing of the international search report <b>10 FEB 2011</b></p>										
<p>Name and mailing address of the ISA/US                  Mail Stop PCT, Attn: ISA/US, Commissioner for Patents                  P.O. Box 1450, Alexandria, Virginia 22313-1450                  Facsimile No. 571-273-3201</p>		<p>Authorized officer: Lee W. Young</p> <p>PCT Helpdesk: 571-272-4300                  PCT OSP: 571-272-7774</p>										



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 10/58188

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: 17  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
Claim 17, claiming "Any invention described herein" is not drafted in accordance with Rule 6.2(a) because it relies solely on a reference to the description and does not set forth a specific inventive concept upon which a meaningful international search can be carried out.
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.