

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
15 October 2009 (15.10.2009)

PCT

(10) International Publication Number
WO 2009/126952 A3

- (51) International Patent Classification:
C23C 16/00 (2006.01) B05D 1/32 (2006.01)
- (21) International Application Number:
PCT/US2009/040346
- (22) International Filing Date:
13 April 2009 (13.04.2009)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
61/123,822 11 April 2008 (11.04.2008) US
- (71) Applicant (for all designated States except US):
NORTHEASTERN UNIVERSITY [US/US]; 360 Hunt-
ington Avenue, Boston, MA 02115 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): SRIDHAR, Srinivas [US/US]; 41 Esty Farm Road, Newton, MA 02459 (US). GULTEPE, Evin [TR/US]; 892 Huntington Avenue, Apt. #7, Boston, MA 02115 (US). NAGESHA, Dattatri [IN/US]; 55 Linden Street, Apt. #15, Allston, MA 02134 (US).
- (74) Agents: HYMEL, Lin, J. et al.; Weingarten Schurgin Gagnebin & Lebovici, LLP, Ten Post Office Square, Boston, MA 02109 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ,

EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
- of inventorship (Rule 4.17(iv))

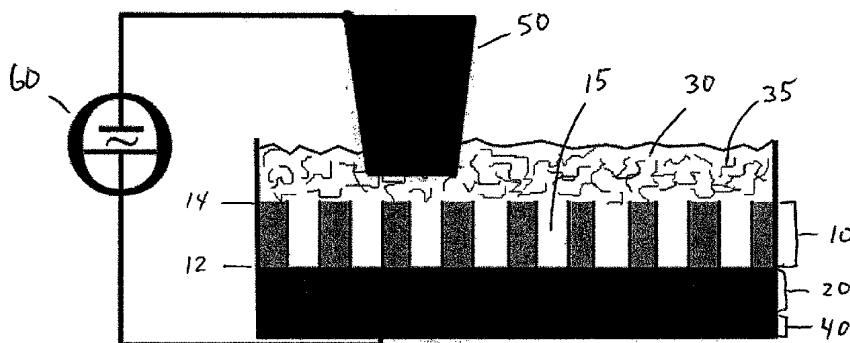
Published:

- with international search report (Art. 21(3))

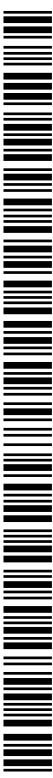
(88) Date of publication of the international search report:
21 January 2010

(54) Title: LARGE SCALE NANOELEMENT ASSEMBLY METHOD FOR MAKING NANOSCALE CIRCUIT INTERCONNECTS AND DIODES

Fig. 1



(57) Abstract: Nanoelements such as single walled carbon nanotubes are assembled in three dimensions into a nanoscale template on a substrate by means of electrophoresis and dielectrophoresis at ambient temperature. The current-voltage relation indicates that strong substrate-nanotube interconnects carrying mA currents are established inside the template pores. The method is suitable for large-scale, rapid, three-dimensional assembly of 1,000,000 nanotubes per square centimeter area using mild conditions. Circuit interconnects made by the method can be used for nanoscale electronics applications.




WO 2009/126952 A3

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 09/40346

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - C23C 16/00, B05D 1/32 (2009.01) USPC - 427/249.1, 427/180, 427/255.11 According to International Patent Classification (IPC) or to both national classification and IPC																									
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC(8) - C23C 16/00, B05D 1/32 (2009.01) USPC - 427/249.1, 427/180, 427/255.11 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC - 427/249.1, 427/180, 427/255.11 (keyword-limited:see terms below)																									
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Google, Google Scholar, Google Patents, PUBWEST (PGPB, USPT, USOC, EPAB, JPAB) Search Terms Used: Carbon, nanotube, silicon, heterojunction, nanoporous, alumina, template, diode, interconnect, current density, electrophoresis, deposition, vertical, cathode, anode, solvent, ethanol, pn, schottky, junction, single, walled.																									
C. DOCUMENTS CONSIDERED TO BE RELEVANT																									
<table border="1"> <thead> <tr> <th>Category*</th> <th>Citation of document, with indication, where appropriate, of the relevant passages</th> <th>Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td>X ---- Y</td> <td>US 2006/0103287 A1 (TSUEI) 18 May 2006 (18.05.2006), Abstract, para [0018] - para [0020], para [0022], Figure 5</td> <td>1-3, 6, 8, 12 ----- 4, 5, 7, 9-11, 13, 14</td> </tr> <tr> <td>X ---- Y</td> <td>TZOLOV et al., "Carbon Nanotube-Silicon Heterojunction Arrays and Infrared Photocurrent Responses." J. Phys. Chem. C, 2007, 111, 5800-5804, 05 July 2007 (05.07.2007), Abstract, Pg 5801, section 2, para 1, para 2, Figure 2</td> <td>15, 16, 18, 20, 21, 24, 26 -28 ----- 17, 19, 22, 23, 25</td> </tr> <tr> <td>Y</td> <td>ZHAO et al., "Electrophoretic deposition and field emission properties of patterned carbon nanotubes." Applied Surface Science 251 (2005) 242-244, 15 September 2005 (15.09.2005) Pg 243, section 3, para 3</td> <td>4, 7, 11, 19</td> </tr> <tr> <td>Y</td> <td>US 6,673,717 B1 (BROUSSEAU et al.) 06 January 2004 (06.01.2004), col 9, ln 41-53</td> <td>5</td> </tr> <tr> <td>Y</td> <td>GULTEPE et al. "High through-put assembly of nanoelements in nanoporous alumina templates." APPLIED PHYSICS LETTERS 90, 163119, 20 April 2007 (20.04.2007), Pg 163119-2, Figure 2, para 2, Figure 3, Pg 163119-3, para 2</td> <td>9, 10, 13, 14, 22, 25</td> </tr> <tr> <td>Y</td> <td>TZOLOV et al. "Electronic Transport in a Controllably Grown Carbon Nanotube-Silicon Heterojunction Array." PHYSICAL REVIEW LETTERS, VOL. 92, NUMBER 7, 20 February 2004 (20.02.2004), Abstract, Figure 1, Figure 3a</td> <td>17</td> </tr> <tr> <td>Y</td> <td>US 6,958,216 B2 (KELLEY et al.) 25 October 2005 (25.10.2005), col 3, ln 1 - ln 20</td> <td>23</td> </tr> </tbody> </table>	Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	X ---- Y	US 2006/0103287 A1 (TSUEI) 18 May 2006 (18.05.2006), Abstract, para [0018] - para [0020], para [0022], Figure 5	1-3, 6, 8, 12 ----- 4, 5, 7, 9-11, 13, 14	X ---- Y	TZOLOV et al., "Carbon Nanotube-Silicon Heterojunction Arrays and Infrared Photocurrent Responses." J. Phys. Chem. C, 2007, 111, 5800-5804, 05 July 2007 (05.07.2007), Abstract, Pg 5801, section 2, para 1, para 2, Figure 2	15, 16, 18, 20, 21, 24, 26 -28 ----- 17, 19, 22, 23, 25	Y	ZHAO et al., "Electrophoretic deposition and field emission properties of patterned carbon nanotubes." Applied Surface Science 251 (2005) 242-244, 15 September 2005 (15.09.2005) Pg 243, section 3, para 3	4, 7, 11, 19	Y	US 6,673,717 B1 (BROUSSEAU et al.) 06 January 2004 (06.01.2004), col 9, ln 41-53	5	Y	GULTEPE et al. "High through-put assembly of nanoelements in nanoporous alumina templates." APPLIED PHYSICS LETTERS 90, 163119, 20 April 2007 (20.04.2007), Pg 163119-2, Figure 2, para 2, Figure 3, Pg 163119-3, para 2	9, 10, 13, 14, 22, 25	Y	TZOLOV et al. "Electronic Transport in a Controllably Grown Carbon Nanotube-Silicon Heterojunction Array." PHYSICAL REVIEW LETTERS, VOL. 92, NUMBER 7, 20 February 2004 (20.02.2004), Abstract, Figure 1, Figure 3a	17	Y	US 6,958,216 B2 (KELLEY et al.) 25 October 2005 (25.10.2005), col 3, ln 1 - ln 20	23	<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/>
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.																							
X ---- Y	US 2006/0103287 A1 (TSUEI) 18 May 2006 (18.05.2006), Abstract, para [0018] - para [0020], para [0022], Figure 5	1-3, 6, 8, 12 ----- 4, 5, 7, 9-11, 13, 14																							
X ---- Y	TZOLOV et al., "Carbon Nanotube-Silicon Heterojunction Arrays and Infrared Photocurrent Responses." J. Phys. Chem. C, 2007, 111, 5800-5804, 05 July 2007 (05.07.2007), Abstract, Pg 5801, section 2, para 1, para 2, Figure 2	15, 16, 18, 20, 21, 24, 26 -28 ----- 17, 19, 22, 23, 25																							
Y	ZHAO et al., "Electrophoretic deposition and field emission properties of patterned carbon nanotubes." Applied Surface Science 251 (2005) 242-244, 15 September 2005 (15.09.2005) Pg 243, section 3, para 3	4, 7, 11, 19																							
Y	US 6,673,717 B1 (BROUSSEAU et al.) 06 January 2004 (06.01.2004), col 9, ln 41-53	5																							
Y	GULTEPE et al. "High through-put assembly of nanoelements in nanoporous alumina templates." APPLIED PHYSICS LETTERS 90, 163119, 20 April 2007 (20.04.2007), Pg 163119-2, Figure 2, para 2, Figure 3, Pg 163119-3, para 2	9, 10, 13, 14, 22, 25																							
Y	TZOLOV et al. "Electronic Transport in a Controllably Grown Carbon Nanotube-Silicon Heterojunction Array." PHYSICAL REVIEW LETTERS, VOL. 92, NUMBER 7, 20 February 2004 (20.02.2004), Abstract, Figure 1, Figure 3a	17																							
Y	US 6,958,216 B2 (KELLEY et al.) 25 October 2005 (25.10.2005), col 3, ln 1 - ln 20	23																							
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family																								
Date of the actual completion of the international search 25 June 2009 (25.06.2009)	Date of mailing of the international search report 																								
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	Authorized officer: Lee W. Young PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774																								