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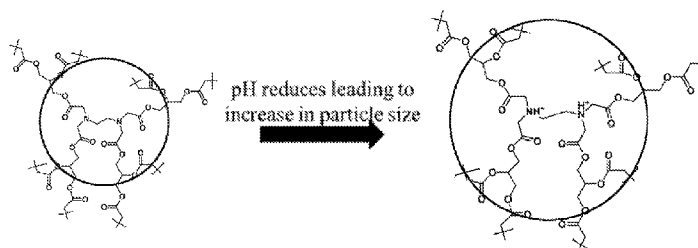


FIG. 1

(57) **Abstract:** Nanoparticles and compositions thereof are provided for detecting and/or preventing food spoilage. Methods of making the nanoparticles and compositions, and methods of using the nanoparticles and compositions, e.g. in food packaging, are also provided. The nanoparticles can have a hydrophobic core containing a hydrophobic active agent, e.g. a hydrophobic dye and/or an antimicrobial agent, for detecting and/or preventing food spoilage. The nanoparticles can also have a copolymer of a hydrophobic polymer repeat unit, e.g. styrene or lactic acid, and a pH responsive dendrimer repeat unit. The pH responsive dendrimer repeat unit can have a pH responsive amine core having a plurality of branched acrylate arms extending therefrom. The nanoparticles can be chemically stable at neutral pH, and then release the hydrophobic active agent at a pH range indicative of food spoilage. By releasing the hydrophobic dye and/or antimicrobial agent, the nanoparticles can detect and/or inhibit food spoilage.



WO 2017/007552 A1

## **PH-SENSITIVE NANOPARTICLES FOR DETECTING AND PREVENTING FOOD SPOILAGE**

### **CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims priority to, and the benefit of, co-pending U.S. provisional application entitled "PH-SENSITIVE NANOPARTICLES FOR DETECTING AND PREVENTING FOOD SPOILAGE" having serial no. 62/188,952 filed July 6, 2015, the contents of which are incorporated by reference in their entirety.

### **STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT**

This invention was made with government support under award DE-PI000003 awarded by the U.S. Department of Energy's Office of Energy Efficiency and Renewable Energy, Bioenergy Technologies Office. The government has certain rights in the invention.

### **BACKGROUND**

Food safety is an important issue and a high priority globally placing human and animal health and care under the spotlight. It has been observed that the spoilage of food occurs in stages one indicator of which is a variation in pH as the food material undergoes changes in palatability. The deterioration of food material can therefore be indicated through the various stages of its shelf life when packaged with suitable pH-sensitive smart materials. Stimulus-responsive or polymers are high performance polymeric structures that have specific responses to variations in their physical and/or chemical environment. Current research is moving in the direction of nano-scale bio materials for reliable and cost-effective detection of diseases, pathogens, chemicals, and contaminants of food and other consumables.

It is therefore an object of this disclosure to provide improved materials, compositions, and methods for detecting, inhibiting, and/or preventing food spoilage.

It is a further object of this disclosure to provide methods of making the materials and compositions for detecting, inhibiting, and/or preventing food spoilage.

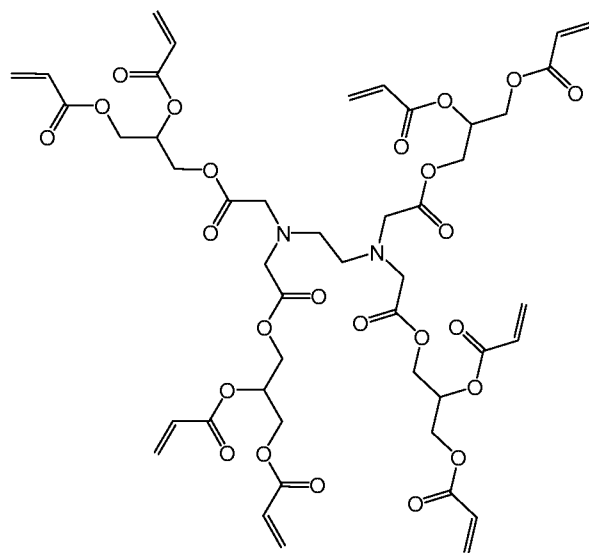
It is an additional object of this disclosure to provide improved packaged food products containing the materials and compositions for increasing the life of the food product.

### **SUMMARY**

In various aspects nanoparticles and films and compositions thereof are provided for detecting and/or preventing food spoilage. Methods of making the nanoparticles and

compositions thereof are also provided, as well as methods of use for detecting and/or preventing food spoilage, e.g. for detecting the presence of one or more food spoilage microorganisms or inhibiting the outgrowth of one or more food spoilage microorganisms.

In one or more exemplary embodiments, nanoparticles for the detection and/or prevention of food spoilage are provided containing a hydrophobic core to encapsulate an hydrophobic active agent, and a copolymer of a hydrophobic polymer repeat unit and a pH responsive dendrimer repeat unit. The hydrophobic active agent can be, for example, a hydrophobic dye, a hydrophobic antimicrobial agent, or a combination thereof. The hydrophobic polymer repeat unit can be a styrene, a lactic acid, or a derivative thereof. The pH responsive dendrimer repeat unit can have a structure according to the following formula or a derivative thereof



The pH responsive dendrimer repeat unit can be present at an amount from about 5 wt% to 20 wt% based upon the weight of the copolymer. The nanoparticle can be chemically stable and have a diameter of about 50 nm to 200 nm at neutral pH. However, the nanoparticle can release the hydrophobic active agent at a pH range of about 4.5 to 6.7 to indicate or prevent the food spoilage.

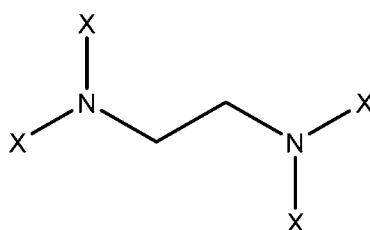
In various aspects, nanoparticles are provided having a hydrophobic core containing a hydrophobic active agent; and a copolymer of a hydrophobic polymer repeat unit and a pH responsive dendrimer repeat unit. The pH responsive dendrimer repeat unit can contain a pH responsive amine core having a plurality of branched acrylate arms extending therefrom. Although nanoparticles having a variety of sizes can be prepared, in various aspects the nanoparticles have a diameter of about 50 nm to 250 nm.

A variety of hydrophobic active agents can be in the nanoparticle. In some aspects, the hydrophobic active agent is a hydrophobic therapeutic agent, a hydrophobic prophylactic

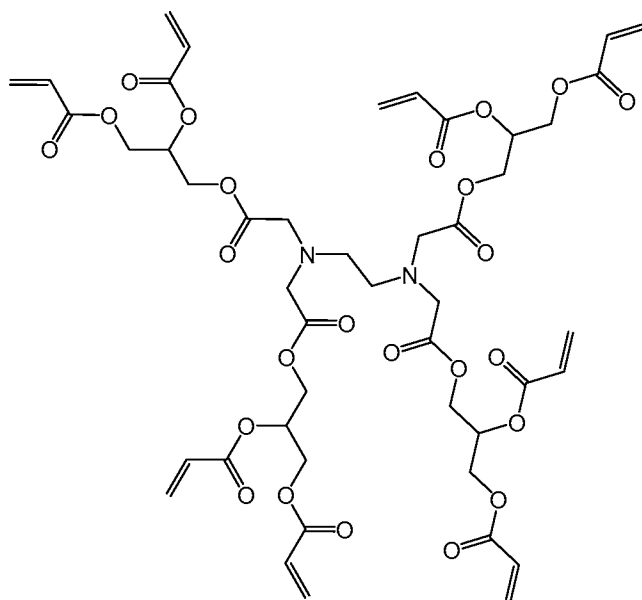
agent, or a hydrophobic diagnostic agent. The hydrophobic active agent can be a dye, an antimicrobial agent, or a combination thereof.

In various aspects, the hydrophobic polymer repeat unit is selected from the group consisting of a fluorocarbon, a tetrafluoroethylene, a vinylfluoride, a siloxane, a dimethylsiloxane, butadiene, ethylene, an olefin, styrene, propylene, and an oligomer thereof.

The pH responsive dendrimer repeat unit can have a pH responsive amine core having a plurality of branched acrylate arms extending therefrom. In some aspects, the pH responsive amine core is selected from the group consisting of ethylene diamine, propane-1,3-diamine, propane-1,2-diamine, butane-1,4-diamine, pentane-1,5-diamine, and o-phenylene diamine. In various aspects, the pH responsive dendrimer repeat unit has a structure according to the following formula



wherein each X is independently a branched acrylate arm having from 2 to 6 acrylate groups and having 2 to 20 carbon atoms. For example, in some aspects, each X is independently selected from the group consisting of trimethylpropane triacrylate, trimethylpropane ethoxylate triacrylate, glycerol triacrylate, glycerol ethoxylate triacrylate, and other small molecule branched acrylates. In one or more aspects, the pH responsive dendrimer repeat unit has a structure according to the following formula or a derivative thereof :



In various aspects, methods of making nanoparticles and compositions thereof are provided. The methods can include forming an oil phase comprising a hydrophobic monomer, a pH responsive dendrimer, and an active agent; adding the oil phase to an aqueous phase to form an emulsion; and polymerizing the hydrophobic monomer and the pH responsive dendrimer to form the nanoparticle having a hydrophobic core comprising the hydrophobic active agent. In various aspects, the hydrophobic monomer and the pH responsive dendrimer can be polymerized by the addition of heat, light, or a catalyst to the emulsion.

A variety of packaged food products are also provided containing a food product; a packaging containing the food product; and a composition comprising a plurality of one or more nanoparticles provided herein. In various aspects, the food product is beef, pork, poultry, or fish. In one or more aspects, the packaged food product is a ready-to-eat food product. In various aspects, the composition containing the nanoparticles is part of the packaging and/or is in contact with the food product inside the packaging. The hydrophobic active agent in the packaged food product can be released from the nanoparticles at the initiation of spoilage of the food product. In one or more aspects, the nanoparticles are chemically stable and have an average diameter of about 50 nm to 200 nm at neutral pH, and then the nanoparticles release the hydrophobic active agent at a pH range of about 4.5 to 6.7 to indicate spoilage of the food product. In various aspects, the release of the hydrophobic active agent indicates the food has spoiled, inhibits or prevents the growth of a food spoilage microorganism, or both.

## BRIEF DESCRIPTION OF THE DRAWINGS

Further aspects of the present disclosure will be readily appreciated upon review of the detailed description of its various embodiments, described below, when taken in conjunction with the accompanying drawings.

FIG. 1 is a schematic representation of a pH-sensitive dendrimer demonstrating one way a decreasing pH can lead to increased particle size and controlled release of agents contained therein.

FIGS. 2A-2D are transmission electron microscope (TEM) images of pH-responsive latex particles at neutral (pH=7, FIG. 2A and FIG. 2B) and acidic (pH= 5.4, FIG. 2C and FIG. 2D) conditions.

FIG. 3 is a graph of the fluorescence spectra of suspensions of latex dendrimers containing fluorescent Nile red dye as a function of pH. As the pH is decreased from 6 to 3.7 using 0.1 N HCl, the dye is released into the acetone solvent leading to an increase in the fluorescence intensity at around 743 nm.

FIG. 4 is a bar graph of the fluorescence intensity (a.u.) as a function of the pH (pH = 6, 6.5, 5, 4.7, 4.5, 4, and 3.7) and the percentage of dendrimer (wt% = 5, 10, 15, 20, and 25 wt%) in the polymer.

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## DETAILED DESCRIPTION

Nanoparticles are provided containing a polymer having pH responsive dendrimer repeat units. The nanoparticles can have a hydrophobic core containing one or more hydrophobic active agents. The active agent can be any hydrophobic active agent, for example a hydrophobic dye indicating a condition of food spoilage, a hydrophobic antimicrobial agent that eradicates or prevents the outgrowth of one or more bacteria, or both. The nanoparticles contain pH responsive dendrimers that can include pH responsive crosslinking groups.

Compositions are also provided containing the nanoparticles. The compositions can be a polymer film, a suspension, or a gel. The compositions can be a gel or a suspension reinforced by a microporous fabric. The compositions can contain an effective amount of the nanoparticles to detect and/or prevent the outgrowth of one or more spoilage microorganisms. In particular, the compositions can contain an effective amount of the nanoparticles to detect and/or prevent the outgrowth of one or more spoilage microorganisms in a food product in which the compositions are in contact.

Methods of making the nanoparticles and compositions thereof are provided. The methods can include synthesis of a branched polyol and crosslinking of the polyol by a pH responsive cross-linking group to form a pH responsive dendrimer. The methods can include miniemulsion polymerization of a pH responsive dendrimer and another polymer repeat unit, for example a hydrophobic polymer repeat unit to form the nanoparticles encapsulating a hydrophobic active agent.

Methods of using the nanoparticles and compositions thereof are also provided. The methods can include placing an effective amount of the nanoparticles or a composition thereof in contact with a food product to detect and/or prevent the outgrowth of one or more spoilage microorganisms. The methods can include incorporating the nanoparticles or a composition thereof into the packaging of a food product. The methods can include simply placing compositions containing the nanoparticles inside the packaging with the food product. Packaged food products are provided containing an effective amount of the nanoparticles or compositions thereof. The packaged food products can be packaged meat products.

Before the present disclosure is described in greater detail, it is to be understood that this disclosure is not limited to particular embodiments described, and as such may, of

course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting. The skilled artisan will recognize many variants and adaptations of the embodiments described herein. These variants and adaptations are intended to be included in the teachings of this disclosure and to be encompassed by the claims herein.

All publications and patents cited in this specification are herein incorporated by reference as if each individual publication or patent were specifically and individually indicated to be incorporated by reference and are incorporated herein by reference to disclose and describe the methods and/or materials in connection with which the publications are cited. The citation of any publication is for its disclosure prior to the filing date and should not be construed as an admission that the present disclosure is not entitled to antedate such publication by virtue of prior disclosure. Further, the dates of publication provided could be different from the actual publication dates that may need to be independently confirmed.

Although any methods and materials similar or equivalent to those described herein can also be used in the practice or testing of the present disclosure, the preferred methods and materials are now described. Functions or constructions well-known in the art may not be described in detail for brevity and/or clarity. Embodiments of the present disclosure will employ, unless otherwise indicated, techniques of nanotechnology, organic chemistry, material science and engineering and the like, which are within the skill of the art. Such techniques are explained fully in the literature.

It should be noted that ratios, concentrations, amounts, and other numerical data can be expressed herein in a range format. It is to be understood that such a range format is used for convenience and brevity, and thus, should be interpreted in a flexible manner to include not only the numerical values explicitly recited as the limits of the range, but also to include all the individual numerical values or sub-ranges encompassed within that range as if each numerical value and sub-range is explicitly recited. To illustrate, a concentration range of "about 0.1% to about 5%" should be interpreted to include not only the explicitly recited concentration of about 0.1 wt% to about 5 wt%, but also include individual concentrations (e.g., 1%, 2%, 3%, and 4%) and the sub-ranges (e.g., 0.5%, 1.1%, 2.2%, 3.3%, and 4.4%) within the indicated range. Where the stated range includes one or both of the limits, ranges excluding either or both of those included limits are also included in the disclosure, e.g. the phrase "x to y" includes the range from 'x' to 'y' as well as the range greater than 'x' and less than 'y'. The range can also be expressed as an upper limit, e.g. 'about x, y, z, or less' and should be interpreted to include the specific ranges of 'about x', 'about y', and 'about z' as well as the ranges of 'less than x', 'less than y', and 'less than z'. Likewise, the phrase 'about x, y, z, or greater' should be interpreted to include the specific

ranges of 'about x', 'about y', and 'about z' as well as the ranges of 'greater than x', greater than y', and 'greater than z'. In some embodiments, the term "about" can include traditional rounding according to significant figures of the numerical value. In addition, the phrase "about 'x' to 'y'" includes "about 'x' to about 'y'".

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### Definitions

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this disclosure belongs. It will be further understood that terms, such as those defined in  
10 commonly used dictionaries, should be interpreted as having a meaning that is consistent with their meaning in the context of the specification and relevant art and should not be interpreted in an idealized or overly formal sense unless expressly defined herein.

The term "small molecule", as used herein, generally refers to an organic molecule that is less than about 2,000 g/mol in molecular weight, less than about 1,500 g/mol, less  
15 than about 1,000 g/mol, less than about 800 g/mol, or less than about 500 g/mol. Small molecules are non-polymeric and/or non-oligomeric.

The term "polymer", as used herein, is used as generally understood in the literature and refers to a macromolecule made from many repeat units covalently bonded together. The polymer can be a "homopolymer" meaning it is made entirely of one type of repeat unit,  
20 optionally having different end-groups, including capped or acidic end groups. The polymer can be a "copolymer", meaning a single polymeric material that is comprised of two or more different types of monomers. The copolymer can be of any form, including random, block, and graft copolymers. The copolymers can have any end-group, including capped or acid end groups. The polymer can be linear or branched. The polymer can be characterized by a  
25 degree of polymerization that can be either a number-averaged degree of polymerization or a weight-average degree of polymerization. The polymer can have a degree of polymerization that is on the order of 100, 1,000, or even 10,000; for example the polymer can have a degree of polymerization that is about 50, 100, 150, 200, 250, 500, 750, 1,000, 1,500, 2,000, 3,000, 4,000, 5,000, 10,000 or greater. The polymer can have a degree of  
30 polymerization that is up to about 80,000, 60,000, 50,000, 40,000, 30,000, 25,000, 20,000, or less. The polymer can be characterized by an average molecular weight, e.g. a weight-averaged molecular weight or a number-average molecular weight. The polymer can have a variety of molecular weights depending upon the size of the repeat units and the degree of polymerization. The polymer can have a molecular weight of about 500 g/mol to 10,000,000  
35 g/mol, about 800 g/mol to 1,000,000 g/mol, about 1,000 g/mol to 1,000,000 g/mol, about 2,000 g/mol to 1,000,000 g/mol, about 2,000 g/mol to 500,000 g/mol, about 2,000 g/mol to

250,000 g/mol, about 2,000 g/mol to 200,000 g/mol, about 2,000 g/mol to 100,000 g/mol, or about 5,000 g/mol to 50,000 g/mol.

The term “oligomer”, as used herein, refers to a larger molecule formed from more than one repeat unit covalently bonded in a manner analogous to a polymer but that is still not large enough to be considered a polymer. Oligomers will typically have from a few to tens of repeat units, for example about 2, 3, 4, 5, 6, 7, 8, 9, 10, or more repeat units. Oligomers can have about 80, 60, 50, 40, 30, 25, 20, 18, 17, 16, 15, or fewer repeat units. The oligomer can have a molecular weight from

The term “dendrimer”, as used herein, refers to a larger molecule or oligomer having a highly branched structure of 1, 2, 3, 4, or more branched arms extending from and attached to, either directly or through a linking moiety, one or more chemically addressable cores. A dendrimer can be symmetric around the core, and can adopt a spherical three-dimensional morphology. The dendrimer can be monodisperse. The dendrimer can be characterized by the “generation”, referring to the number of layers or successive branches extending from the core to the surface along an arm of the dendrimer. The dendrimer can have a generation of 1, 2, 3, 4, 5, 6, or more. For a dendrimer synthesized via regular, sequential addition of branched layers, the generation can refer to the number of distinct addition steps. The term dendrimer can include a “dendron”, meaning a dendrimer having branches emanating from a single core, either directly or through a linking moiety, to form a dendrimer.

The term “monodisperse”, as used herein, refers to a population of particles (e.g., oligomers, dendrimers, or polymers) wherein the particles have substantially identical size and shape. A “monodisperse” population of particles can mean that at least about 60%, 70%, 80%, 90%, 95%, 99%, 99.5%, or more of the particles fall within a specified particle size range that is within plus or minus about 25%, 20%, 15%, 10%, 5%, 3%, 2%, 1%, or less of the root-mean-square (rms) size of the particles in the population.

The term “molecular weight”, as used herein, generally refers to the mass or average mass of a material. If a polymer or oligomer, the molecular weight can refer to the relative average chain length or relative chain mass of the bulk polymer. In practice, the molecular weight of polymers and oligomers can be estimated or characterized in various ways including gel permeation chromatography (GPC) or capillary viscometry. GPC molecular weights are reported as the weight-average molecular weight ( $M_w$ ) as opposed to the number-average molecular weight ( $M_n$ ). Capillary viscometry provides estimates of molecular weight as the inherent viscosity determined from a dilute polymer solution using a particular set of concentration, temperature, and solvent conditions.

The terms "active agent", as used herein, includes, without limitation, substances that act to detect, prevent, inhibit, or otherwise slow the progression of spoilage or of a food spoilage microorganism when applied to a surface or to a substance such as a food product.

The term "antimicrobial agent", as used herein, refers to an agent that destroys, inhibits or prevents the propagation, growth and multiplication of unwanted microbial organisms.

The term "microbial organisms" or "microbes" includes, but is not limited to, microorganisms, bacteria, undulating bacteria, spirochetes, spores, spore-forming organisms, gram-negative organisms, gram-positive organisms, yeasts, fungi, moulds, viruses, aerobic organisms, anaerobic organisms and mycobacteria. Non-limiting examples of microbial organisms that can be controlled using the formulations and methods described herein include bacteria from the genus *Aeromonas* (e.g. *A. hydrophilia*), *Arcobacter*, *Bacillus* (e.g. *B. cereus*), *Brochothrix* (e.g. *B. thermosphacta*), *Campylobacter* (e.g. *C. jejuni*), *Carnobacterium* (e.g. *C. piscicola*), *Chlostridium* (e.g. *C. perfringens*, *C. botulinum*), Enterobacteriaceae, *Escherichia* (e.g. *E. coli* O157:H7), *Listeria* (e.g. *L. monocytogenes*), *Pseudomonas* (e.g. *P. putida*, *P. fluorescens*), *Salmonella* (e.g. *S. Typhimurium*), *Serratia* (e.g. *S. liquefaciens*), *Shigella*, *Staphylococcus* (e.g. *S. aureus*), *Vibrio* (e.g. *V. parahaemolyticus*, *V. cholerae*) and *Yersinia* (e.g. *Y. enterocolitica*); fungi such as *Aspergillus flavus* and *Penicillium chrysogenum*; parasites such as *Amoebiasis* (*Entamoeba histolytica*), *Balantidiosis* (*Balantidiosis coli*), *Entamoeba histolytica*, *Cryptosporidiosis* (e.g. *Cryptosporidium parvum*), *Cyclosporidiosis* (e.g. *Cyclospora cayetanensis*), *Giardiasis* (e.g. *Giardia lamblia*, *Giardia intestinalis*), *Isosporiasis* (*Isosporiasis belli*), *Microsporidiosis* (*Enterocytozoon bieneusi*, *S. intestinalis*), *Trichinella spiralis* and *Toxoplasma gondii*. The term microbial organism also refers to vegetative or dormant forms of bacteria and fungi, such as spores wherein activation of the growth cycle may be controlled using the methods provided herein.

The terms "spoilage micro-organism" and "spoilage microbial organism", as used interchangeably herein refer to a micro-organism that acts to spoil food. Spoilage microorganisms may grow and proliferate to such a degree that a food product is made unsuitable or undesirable for human or animal consumption. The production of undesirable by-products by the microorganism, such as carbon dioxide, methane, nitrogenous compounds, butyric acid, propionic acid, lactic acid, formic acid, sulfur compounds, and other gases and acids can cause detrimental effects on the foodstuff alteration of the color of meat surfaces to a brown, grey or green color, or creation of an undesirable odor. The color and odor alterations of food products due to the growth of spoilage micro-organisms frequently result in the product becoming unfit for sale or consumption.

The term "pathogenic micro-organism" as used herein refers to a micro-organism capable of causing disease or illness in an animal or a human, for example, by the

production of endotoxins, or by the presence of a threshold level of micro-organisms to cause food poisoning, or other undesirable physiological reactions in humans or animals.

The term "derivative" refers to any compound having the same or a similar core structure to the compound but having at least one structural difference, including substituting, deleting, and/or adding one or more atoms or functional groups. The term "derivative" does not mean that the derivative is synthesized from the parent compound either as a starting material or intermediate, although this may be the case. The term "derivative" can include salts, prodrugs, or metabolites of the parent compound. Derivatives include compounds in which free amino groups in the parent compound have been derivatized to form amine hydrochlorides, p-toluene sulfoamides, benzoxycarboamides, t-butylloxycarboamides, thiourethane-type derivatives, trifluoroacetyl amides, chloroacetyl amides, or formamides. Derivatives include compounds in which carboxyl groups in the parent compound have been derivatized to form salts, methyl and ethyl esters or other types of esters or hydrazides. Derivatives include compounds in which hydroxyl groups in the parent compound have been derivatized to form O-acyl or O-alkyl derivatives. Derivatives include compounds in which a hydrogen bond donating group in the parent compound is replaced with another hydrogen bond donating group such as OH, NH, or SH. Derivatives include replacing a hydrogen bond acceptor group in the parent compound with another hydrogen bond acceptor group such as esters, ethers, ketones, carbonates, tertiary amines, imine, thiones, sulfones, tertiary amides, and sulfides.

The terms "biocompatible" and "biologically compatible", as used interchangeably herein, refer to materials that are, with any metabolites or degradation products thereof, generally non-toxic to the recipient, and cause no significant adverse effects to the recipient. Generally speaking, biocompatible materials are materials which do not elicit a significant inflammatory or immune response when administered to a patient. In some embodiments a biocompatible material elicits no detectable change in one or more biomarkers indicative of an immune response. In some embodiments, a biocompatible material elicits no greater than a 10% change, no greater than a 20% change, or no greater than a 40% change in one or more biomarkers indicative of an immune response.

The terms "sufficient" and "effective", as used interchangeably herein, refer to an amount (e.g. mass, volume, dosage, concentration, and/or time period) needed to achieve one or more desired result(s). For example, a diagnostically effective amount refers to an amount needed to achieve one or more diagnostic effects or to indicate the occurrence of a diagnostic criteria.

Biodegradable polymers can include polymers that are insoluble or sparingly soluble in water that are converted chemically or enzymatically in the body into water-soluble materials. Biodegradable polymers can include soluble polymers crosslinked by hydrolyzable

cross-linking groups to render the crosslinked polymer insoluble or sparingly soluble in water. Biodegradable polymers in the conjugate can include polyamides, polycarbonates, polyalkylenes, polyalkylene glycols, polyalkylene oxides, polyalkylene terephthalates, polyvinyl alcohols, polyvinyl ethers, polyvinyl esters, polyvinyl halides, polyvinylpyrrolidone, polyglycolides, polysiloxanes, polyurethanes and copolymers thereof, alkyl cellulose, hydroxyalkyl celluloses, cellulose ethers, cellulose esters, nitro celluloses, polymers of acrylic and methacrylic esters, methyl cellulose, ethyl cellulose, hydroxypropyl cellulose, hydroxy-propyl methyl cellulose, hydroxybutyl methyl cellulose, cellulose acetate, cellulose propionate, cellulose acetate butyrate, cellulose acetate phthalate, carboxylethyl cellulose, cellulose triacetate, cellulose sulphate sodium salt, poly (methyl methacrylate), poly(ethylmethacrylate), poly(butylmethacrylate), poly(isobutylmethacrylate), poly(hexylmethacrylate), poly(isodecylmethacrylate), poly(lauryl methacrylate), poly (phenyl methacrylate), poly(methyl acrylate), poly(isopropyl acrylate), poly(isobutyl acrylate), poly(octadecyl acrylate), polyethylene, polypropylene poly(ethylene glycol), poly(ethylene oxide), poly(ethylene terephthalate), poly(vinyl alcohols), poly(vinyl acetate, poly vinyl chloride polystyrene and polyvinylpyrrolidone, derivatives thereof, linear and branched copolymers and block copolymers thereof, and blends thereof.

The term "food products", as used herein, is to be understood in a broad sense and includes meat products, fish products, dairy products, beverage products, baking products, unpasteurized food products, salads, and sauces, marinades, salsas and seasonings. In some embodiments, the food product contains one or more meat products such as beef, pork, poultry, or fish. The food products can be ready-to-eat food products. The term "ready-to-eat" means the food product is distributed to be consumed without further preparation by the consumer or distributed to not require cooking or preparation to achieve food safety prior to consumption.

The term "meat product", as used herein, includes any food product that primarily contains animal tissue, e.g. contains at least 70%, at least 80%, at least 90%, or at least 95% animal tissue including, but not limited to, beef, pork, poultry, and fish. Other animal tissues can include the tissue of many ungulates that can be used for human consumption such as deer, oxen, antelope, sheep, and goat. The term "meat product" as used herein encompasses processed meats (such as sausages, hamburgers, luncheon meats and cold cuts) and pre-prepared meat dishes such as meat pies, fish pies, game pies, stews, lasagnas and other meat-containing pasta dishes, chicken kiev, chicken cordon-bleu, chicken-a-la-king, meat rolls, meatloaf, pates, sushi, sashimi, salmon mousses, fishcakes, stir-fries etc. The term "ready-to-eat meat product" should include any meat product, which is distributed to be consumed without further preparation by the consumer or distributed to not require cooking prior to consumption. Ready-to-eat meat products include, but are not

limited to, pates, hot dogs, bologna, ham, salami, sausages, deli meats, cold cuts, and dried or cured meat products. Ready-to-eat meat products can include ready-to-eat beef products, ready-to-eat pork products, ready-to-eat poultry products, and ready-to-eat fish products.

5           The term "beef product", as used herein, refers to any food that primarily contains cow tissue, e.g. contains at least 70%, at least 80%, at least 90%, or at least 95% cow tissue. The term "cow" refers to any animal of the genus *Bos*, such as for example the *Bos Taurus*, which is used as a food source for human consumption. Exemplary cow breeds used as commercial livestock include the Holstein, Ayrshire, Angus, and Limousin.

10           The term "poultry product", as used herein, refers to any food that primarily contains poultry tissue, e.g. contains at least 70%, at least 80%, at least 90%, or at least 95% poultry tissue. The term "poultry" refers to any edible birds such as chickens, turkeys, ducks, geese, and squab. Poultry can include animals of the genus *Gallus*, for example the *Gallus gallus domesticus*, which is used as a food source for human consumption. Poultry can include  
15 animals of the genus *Meleagris*, for example the *Meleagris gallopavo*, which is used as a food source for human consumption.

          The term "pork product", as used herein, refers to any food product that primarily contains pig tissue, e.g. contains at least 70%, at least 80%, at least 90%, or at least 95% pig tissue. The term "pig" refers to any animal of the genus *Sus*, such as for example *Sus*  
20 *Scrofa*, which is used as a food source for human consumption. Exemplary pig breeds used as commercial livestock include Berkshire, Large White, Duroc, Hampshire, Landrace, Meishan, Pietrain, and many others.

          As used herein, the term "fish product" should include any food product that primarily contains tissue from an aquatic animal, e.g. contains at least 70%, at least 80%, at least  
25 90%, or at least 95% tissue from an aquatic animal. Aquatic animals can include lobster, crab, fresh water fish, smoked salmon, smoked other fish, salted fish, saltwater fish and other seafood.

          As used herein, the term "dairy product" should include any food product made using milk or milk products, including, but not limited to, milk, yogurt, ice cream, cheese, skimmed  
30 milk, acidified milk, butter milk, condensed milk, spreads, margarines, milk powder, butter, EMC (Enzyme Modified Cheese), dulce de leche, coffee whitener; coffee creamer, cream, sour cream, ghee, and dairy analogue. Cheese may be any cheese, e.g. fresh cheese, hard cheese, curd cheese, cream cheese, white mould cheese, blue mould cheese and process cheese.

35           As used herein, the term "unpasteurized food product" should include any food product, whereby at least one ingredient is unpasteurized and which undergoes no final heat treatment.

As used herein, an "effective amount" is at least the minimum concentration required to have the desired effect, e.g. the minimum concentration required to indicate the presence of a detectable level of one or more microorganisms or the minimum concentration required to cause a measurable decrease in the growth rate of one or more microorganisms or a measurable decrease in the amount of one or more microorganisms. An effective amount can substantially prevent the growth of one or more microorganisms for a period of time up to about 5 days, 7 days, 10 days, 14 days, 21 days, 25 days, 30 days, or 45 days.

The term "safe", as used herein with reference to food, refers to a state wherein the food is sufficiently free of pathogenic micro-organisms or the toxic products of microbial growth to be fit for human or animal consumption.

As used herein, the term "shelf life" refers to the period of time that a food product remains saleable to retail customers and remains fit and safe for use or consumption. Changes including, but not limited to, oxidation, odor development, discoloration in addition to microbial changes can alter the shelf life of the food product. In traditional meat processing, the shelf life of fresh meat and meat by-products is about 30 to 40 days after an animal has been slaughtered. Refrigeration of meat during this period of time largely arrests and/or retards the growth of microorganisms. Microorganisms present on meat products may have proliferated to a great extent and/or have generated unacceptable levels of undesirable by-products. Spoilage microorganisms may also act to discolor meat, making such meat unappealing and undesirable for human consumption. Pathogenic microorganisms may have proliferated in this time period to a level wherein they can cause disease in an animal that consumes the food product.

As used herein, the term "usable life" refers to the period of time that a high-pressure processed food product remains fit for human consumption, e.g. safe and substantially free of food spoilage, after having been removed from the original packaging. For example, deli-style ready-to-eat meat products may be opened and sliced at the deli counter. The unsliced meat at the deli counter will have a usable life different from the sliced deli meat. The usable life will depend upon factors such as the storage temperature, the surface area, and the handling conditions.

"Food spoilage", as used herein, refers to organoleptic changes in the food, i.e. alterations in the condition of food which makes it less palatable, for example, changes in taste, smell, texture or appearance which are related to contamination of the food with one or more spoilage microorganisms. Spoiled food may or may not be safe for consumption.

"Food preservation", as used herein, refers to methods which maintain or enhance food safety for example, by controlling the growth and proliferation of pathogenic and spoilage micro-organisms, thus guarding against food poisoning and delaying or preventing food spoilage. Food preservation helps food remain safe for consumption for longer periods

of time (i.e. improves the shelf life) and inhibits or prevents nutrient deterioration and/or organoleptic changes which cause food to become less palatable.

The term "neutral pH," as used herein refers to a pH of about 6.8 to 7.2, about 6.9 to 7.1, about 6.95 to 7.05, or about 7.0.

5       The term "chemical stability", as generally used herein, refers to the ability of the nanoparticles to resist degradation via chemical pathways, such as oxidation, deamidation, or hydrolysis and/or to contain the contents of the nanoparticle for an extended period without substantially releasing the contents. A nanoparticle formulation is typically considered chemically stable if about 25%, 20%, 15%, 10%, 5%, or less of the components  
10       are degraded or if less than about 25%, 20%, 15%, 10%, 5%, or 1% of the contents of the nanoparticle are released after 1, 2, 3, 6, 9, 12, 24 months at about room temperature, e.g. about 20°C to 25°C.

      The term "alkyl" refers to the radical of saturated aliphatic groups (i.e., an alkane with one hydrogen atom removed), including straight-chain alkyl groups, branched-chain alkyl  
15       groups, cycloalkyl (alicyclic) groups, alkyl-substituted cycloalkyl groups, and cycloalkyl-substituted alkyl groups.

      In preferred embodiments, a straight chain or branched chain alkyl has 30 or fewer carbon atoms in its backbone (e.g., C<sub>1</sub>-C<sub>30</sub> for straight chains, and C<sub>3</sub>-C<sub>30</sub> for branched chains), preferably 20 or fewer, more preferably 15 or fewer, most preferably 10 or fewer.  
20       Likewise, preferred cycloalkyls have 3-10 carbon atoms in their ring structure, and more preferably have 5, 6, or 7 carbons in the ring structure. The term "alkyl" (or "lower alkyl") as used throughout the specification, examples, and claims is intended to include both "unsubstituted alkyls" and "substituted alkyls", the latter of which refers to alkyl moieties having one or more substituents replacing a hydrogen on one or more carbons of the  
25       hydrocarbon backbone. Such substituents include, but are not limited to, halogen, hydroxyl, carbonyl (such as a carboxyl, alkoxycarbonyl, formyl, or an acyl), thiocarbonyl (such as a thioester, a thioacetate, or a thioformate), alkoxyl, phosphoryl, phosphate, phosphonate, phosphinate, amino, amido, amidine, imine, cyano, nitro, azido, sulfhydryl, alkylthio, sulfate, sulfonate, sulfamoyl, sulfonamido, sulfonyl, heterocyclyl, aralkyl, or an aromatic or  
30       heteroaromatic moiety.

      Unless the number of carbons is otherwise specified, "lower alkyl" as used herein means an alkyl group, as defined above, but having from one to ten carbons, more preferably from one to six carbon atoms in its backbone structure. Likewise, "lower alkenyl" and "lower alkynyl" have similar chain lengths. Throughout the application, preferred alkyl  
35       groups are lower alkyls. In preferred embodiments, a substituent designated herein as alkyl is a lower alkyl.

It will be understood by those skilled in the art that the moieties substituted on the hydrocarbon chain can themselves be substituted, if appropriate. For instance, the substituents of a substituted alkyl may include halogen, hydroxy, nitro, thiols, amino, azido, imino, amido, phosphoryl (including phosphonate and phosphinate), sulfonyl (including sulfate, sulfonamido, sulfamoyl and sulfonate), and silyl groups, as well as ethers, alkylthios, carbonyls (including ketones, aldehydes, carboxylates, and esters), -CF<sub>3</sub>, -CN and the like. Cycloalkyls can be substituted in the same manner.

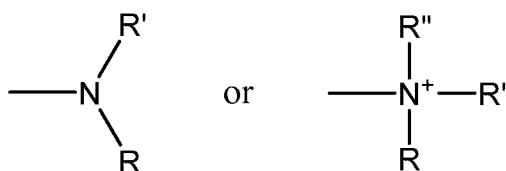
The term "heteroalkyl", as used herein, refers to straight or branched chain, or cyclic carbon-containing radicals, or combinations thereof, containing at least one heteroatom. Suitable heteroatoms include, but are not limited to, O, N, Si, P, Se, B, and S, wherein the phosphorous and sulfur atoms are optionally oxidized, and the nitrogen heteroatom is optionally quaternized. Heteroalkyls can be substituted as defined above for alkyl groups.

The term "alkylthio" refers to an alkyl group, as defined above, having a sulfur radical attached thereto. In preferred embodiments, the "alkylthio" moiety is represented by one of -S-alkyl, -S-alkenyl, and -S-alkynyl. Representative alkylthio groups include methylthio, ethylthio, and the like. The term "alkylthio" also encompasses cycloalkyl groups, alkene and cycloalkene groups, and alkyne groups. "Arylthio" refers to aryl or heteroaryl groups. Alkylthio groups can be substituted as defined above for alkyl groups.

The terms "alkenyl" and "alkynyl", refer to unsaturated aliphatic groups analogous in length and possible substitution to the alkyls described above, but that contain at least one double or triple bond respectively.

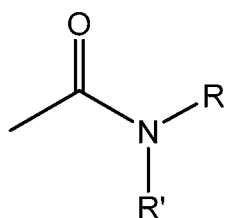
The terms "alkoxyl" or "alkoxy" as used herein refers to an alkyl group, as defined above, having an oxygen radical attached thereto. Representative alkoxyl groups include methoxy, ethoxy, propyloxy, tert-butoxy and the like. An "ether" is two hydrocarbons covalently linked by an oxygen. Accordingly, the substituent of an alkyl that renders that alkyl an ether is or resembles an alkoxyl, such as can be represented by one of -O-alkyl, -O-alkenyl, and -O-alkynyl. The terms "aroxy" and "aryloxy", as used interchangeably herein, can be represented by -O-aryl or O-heteroaryl, wherein aryl and heteroaryl are as defined below. The alkoxy and aroxy groups can be substituted as described above for alkyl.

The terms "amine" and "amino" (and its protonated form) are art-recognized and refer to both unsubstituted and substituted amines, e.g., a moiety that can be represented by the general formula:



wherein R, R', and R'' each independently represent a hydrogen, an alkyl, an alkenyl, -  
 (CH<sub>2</sub>)<sub>m</sub>-R<sub>C</sub> or R and R' taken together with the N atom to which they are attached complete a  
 heterocycle having from 4 to 8 atoms in the ring structure; R<sub>C</sub> represents an aryl, a  
 cycloalkyl, a cycloalkenyl, a heterocycle or a polycycle; and m is zero or an integer in the  
 5 range of 1 to 8. In preferred embodiments, only one of R or R' can be a carbonyl, e.g., R, R'  
 and the nitrogen together do not form an imide. In still more preferred embodiments, the  
 term "amine" does not encompass amides, e.g., wherein one of R and R' represents a  
 carbonyl. In even more preferred embodiments, R and R' (and optionally R'') each  
 independently represent a hydrogen, an alkyl or cycloalkyl, an alkenyl or cycloalkenyl, or  
 10 alkynyl. Thus, the term "alkylamine" as used herein means an amine group, as defined  
 above, having a substituted (as described above for alkyl) or unsubstituted alkyl attached  
 thereto, i.e., at least one of R and R' is an alkyl group.

The term "amido" is art-recognized as an amino-substituted carbonyl and includes a  
 moiety that can be represented by the general formula:



wherein R and R' are as defined above.

"Aryl", as used herein, refers to C<sub>5</sub>-C<sub>10</sub>-membered aromatic, heterocyclic, fused  
 aromatic, fused heterocyclic, biaromatic, or biheterocyclic ring systems. Broadly defined,  
 "aryl", as used herein, includes 5-, 6-, 7-, 8-, 9-, and 10-membered single-ring aromatic  
 20 groups that may include from zero to four heteroatoms, for example, benzene, pyrrole, furan,  
 thiophene, imidazole, oxazole, thiazole, triazole, pyrazole, pyridine, pyrazine, pyridazine,  
 pyrimidine, and the like. Those aryl groups having heteroatoms in the ring structure may  
 also be referred to as "aryl heterocycles" or "heteroaromatics". The aromatic ring can be  
 substituted at one or more ring positions with one or more substituents including, but not  
 25 limited to, halogen, azide, alkyl, aralkyl, alkenyl, alkynyl, cycloalkyl, hydroxyl, alkoxyl, amino  
 (or quaternized amino), nitro, sulfhydryl, imino, amido, phosphonate, phosphinate, carbonyl,  
 carboxyl, silyl, ether, alkylthio, sulfonyl, sulfonamido, ketone, aldehyde, ester, heterocyclyl,  
 aromatic or heteroaromatic moieties, -CF<sub>3</sub>, -CN, and combinations thereof.

The term "aryl" also includes polycyclic ring systems having two or more cyclic rings  
 30 in which two or more carbons are common to two adjoining rings (i.e., "fused rings") wherein  
 at least one of the rings is aromatic, e.g., the other cyclic ring or rings can be cycloalkyls,  
 cycloalkenyls, cycloalkynyls, aryls and/or heterocycles. Examples of heterocyclic rings  
 include, but are not limited to, benzimidazolyl, benzofuranyl, benzothiofuranyl,

benzothiophenyl, benzoxazolyl, benzoxazoliny, benzthiazolyl, benztriazolyl, benztetrazolyl, benzisoxazolyl, benzisothiazolyl, benzimidazoliny, carbazolyl, 4aH carbazolyl, carboliny, chromanyl, chromenyl, cinnoliny, decahydroquinoliny, 2H,6H-1,5,2-dithiaziny, dihydrofuro[2,3 b]tetrahydrofuran, furanyl, furazanyl, imidazolidiny, imidazoliny, imidazolyl, 1H-indazolyl, indolenyl, indoliny, indoliziny, indolyl, 3H-indolyl, isatinoyl, isobenzofuranyl, isochromanyl, isoindazolyl, isoindoliny, isoindolyl, isoquinoliny, isothiazolyl, isoxazolyl, methylenedioxyphenyl, morpholiny, naphthyridiny, octahydroisoquinoliny, oxadiazolyl, 1,2,3-oxadiazolyl, 1,2,4-oxadiazolyl, 1,2,5-oxadiazolyl, 1,3,4-oxadiazolyl, oxazolidiny, oxazolyl, oxindolyl, pyrimidiny, phenanthridiny, phenanthroliny, phenaziny, phenothiaziny, phenoxathiny, phenoxaziny, phthalaziny, piperaziny, piperidiny, piperidonyl, 4-piperidonyl, piperonyl, pteridiny, puriny, pyranly, pyraziny, pyrazolidiny, pyrazoliny, pyrazolyl, pyridaziny, pyridooxazole, pyridoimidazole, pyridothiazole, pyridiny, pyridyl, pyrimidiny, pyrrolidiny, pyrroliny, 2H-pyrrolyl, pyrrolyl, quinazoliny, quinoliny, 4H-quinoliziny, quinoxaliny, quinuclidiny, tetrahydrofuranyl, tetrahydroisoquinoliny, tetrahydroquinoliny, tetrazolyl, 6H-1,2,5-thiadiaziny, 1,2,3-thiadiazolyl, 1,2,4-thiadiazolyl, 1,2,5-thiadiazolyl, 1,3,4-thiadiazolyl, thianthrenyl, thiazolyl, thienyl, thienothiazolyl, thienooxazolyl, thienoimidazolyl, thiophenyl, and xanthenyl. One or more of the rings can be substituted as defined above for "aryl".

The term "aralkyl", as used herein, refers to an alkyl group substituted with an aryl group (e.g., an aromatic or heteroaromatic group).

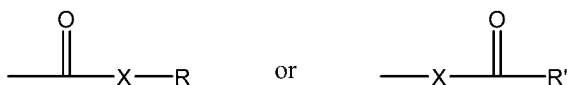
The term "aralkyloxy" can be represented by -O-aralkyl, wherein aralkyl is as defined above.

The term "carbocycle", as used herein, refers to an aromatic or non-aromatic ring(s) in which each atom of the ring(s) is carbon.

"Heterocycle" or "heterocyclic", as used herein, refers to a monocyclic or bicyclic structure containing 3-10 ring atoms, and preferably from 5-6 ring atoms, consisting of carbon and one to four heteroatoms each selected from the group consisting of non-peroxide oxygen, sulfur, and N(Y) wherein Y is absent or is H, O, (C<sub>1</sub>-C<sub>10</sub>) alkyl, phenyl or benzyl, and optionally containing 1-3 double bonds and optionally substituted with one or more substituents. Examples of heterocyclic rings include, but are not limited to, benzimidazolyl, benzofuranyl, benzothiofuranyl, benzothiophenyl, benzoxazolyl, benzoxazoliny, benzthiazolyl, benztriazolyl, benztetrazolyl, benzisoxazolyl, benzisothiazolyl, benzimidazoliny, carbazolyl, 4aH-carbazolyl, carboliny, chromanyl, chromenyl, cinnoliny, decahydroquinoliny, 2H,6H-1,5,2-dithiaziny, dihydrofuro[2,3-b]tetrahydrofuran, furanyl, furazanyl, imidazolidiny, imidazoliny, imidazolyl, 1H-indazolyl, indolenyl, indoliny, indoliziny, indolyl, 3H-indolyl, isatinoyl, isobenzofuranyl, isochromanyl, isoindazolyl, isoindoliny, isoindolyl, isoquinoliny, isothiazolyl, isoxazolyl, methylenedioxyphenyl,

morpholinyl, naphthyridinyl, octahydroisoquinolinyl, oxadiazolyl, 1,2,3-oxadiazolyl, 1,2,4-oxadiazolyl, 1,2,5-oxadiazolyl, 1,3,4-oxadiazolyl, oxazolidinyl, oxazolyl, oxepanyl, oxetanyl, oxindolyl, pyrimidinyl, phenanthridinyl, phenanthrolinyl, phenazinyl, phenothiazinyl, phenoxathinyl, phenoxazinyl, phthalazinyl, piperazinyl, piperidinyl, piperidonyl, 4-piperidonyl, 5 piperonyl, pteridinyl, purinyl, pyranyl, pyrazinyl, pyrazolidinyl, pyrazolinyl, pyrazolyl, pyridazinyl, pyridooxazole, pyridoimidazole, pyridothiazole, pyridinyl, pyridyl, pyrimidinyl, pyrrolidinyl, pyrrolinyl, 2H-pyrrolyl, pyrrolyl, quinazolinyl, quinolinyl, 4H-quinoliziny, quinoxaliny, quinuclidinyl, tetrahydrofuranyl, tetrahydroisoquinolinyl, tetrahydropyranyl, tetrahydroquinolinyl, tetrazolyl, 6H-1,2,5-thiadiazinyl, 1,2,3-thiadiazolyl, 1,2,4-thiadiazolyl, 10 1,2,5-thiadiazolyl, 1,3,4-thiadiazolyl, thianthrenyl, thiazolyl, thienyl, thienothiazolyl, thienooxazolyl, thienoimidazolyl, thiophenyl, and xanthenyl. Heterocyclic groups can optionally be substituted with one or more substituents at one or more positions as defined above for alkyl and aryl, for example, halogen, alkyl, aralkyl, alkenyl, alkynyl, cycloalkyl, hydroxyl, amino, nitro, sulfhydryl, imino, amido, phosphate, phosphonate, phosphinate, 15 carbonyl, carboxyl, silyl, ether, alkylthio, sulfonyl, ketone, aldehyde, ester, a heterocycle, an aromatic or heteroaromatic moiety, -CF<sub>3</sub>, -CN, or the like.

The term "carbonyl" is art-recognized and includes such moieties as can be represented by the general formula:



20 wherein X is a bond or represents an oxygen or a sulfur, and R and R' are as defined above. Where X is an oxygen and R or R' is not hydrogen, the formula represents an "ester". Where X is an oxygen and R is as defined above, the moiety is referred to herein as a carboxyl group, and particularly when R is a hydrogen, the formula represents a "carboxylic acid". Where X is an oxygen and R' is hydrogen, the formula represents a "formate". In 25 general, where the oxygen atom of the above formula is replaced by sulfur, the formula represents a "thiocarbonyl" group. Where X is a sulfur and R or R' is not hydrogen, the formula represents a "thioester." Where X is a sulfur and R is hydrogen, the formula represents a "thiocarboxylic acid." Where X is a sulfur and R' is hydrogen, the formula represents a "thioformate." On the other hand, where X is a bond, and R is not hydrogen, the 30 above formula represents a "ketone" group. Where X is a bond, and R is hydrogen, the above formula represents an "aldehyde" group.

The term "heteroatom" as used herein means an atom of any element other than carbon or hydrogen. Preferred heteroatoms are boron, nitrogen, oxygen, phosphorus, sulfur, and selenium. Other heteroatoms include silicon and arsenic.

As used herein, the term "nitro" means -NO<sub>2</sub>; the term "halogen" designates -F, -Cl, -Br, or -I; the term "sulfhydryl" means -SH; the term "hydroxyl" means -OH; and the term "sulfonyl" means -SO<sub>2</sub>-.

The term "substituted" as used herein, refers to all permissible substituents of the compounds described herein. In the broadest sense, the permissible substituents include acyclic and cyclic, branched and unbranched, carbocyclic and heterocyclic, aromatic and nonaromatic substituents of organic compounds. Illustrative substituents include, but are not limited to, halogens, hydroxyl groups, or any other organic groupings containing any number of carbon atoms, preferably 1-14 carbon atoms, and optionally include one or more heteroatoms such as oxygen, sulfur, or nitrogen grouping in linear, branched, or cyclic structural formats. Representative substituents include alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, phenyl, substituted phenyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, halo, hydroxyl, alkoxy, substituted alkoxy, phenoxy, substituted phenoxy, aroxy, substituted aroxy, alkylthio, substituted alkylthio, phenylthio, substituted phenylthio, arylthio, substituted arylthio, cyano, isocyano, substituted isocyano, carbonyl, substituted carbonyl, carboxyl, substituted carboxyl, amino, substituted amino, amido, substituted amido, sulfonyl, substituted sulfonyl, sulfonic acid, phosphoryl, substituted phosphoryl, phosphonyl, substituted phosphonyl, polyaryl, substituted polyaryl, C<sub>3</sub>-C<sub>20</sub> cyclic, substituted C<sub>3</sub>-C<sub>20</sub> cyclic, heterocyclic, substituted heterocyclic, amino acid, peptide, and polypeptide groups.

Heteroatoms such as nitrogen may have hydrogen substituents and/or any permissible substituents of organic compounds described herein which satisfy the valences of the heteroatoms. It is understood that "substitution" or "substituted" includes the implicit proviso that such substitution is in accordance with permitted valence of the substituted atom and the substituent, and that the substitution results in a stable compound, i.e., a compound that does not spontaneously undergo transformation such as by rearrangement, cyclization, elimination, etc.

#### Nanoparticles

Nanoparticles and compositions containing a plurality of the nanoparticles are provided. The nanoparticles can have a hydrophobic core containing one or more hydrophobic active agents. The nanoparticles can also contain a copolymer of a hydrophobic polymer repeat unit and a pH responsive dendrimer repeat unit. The nanoparticles can have a diameter, or the plurality of nanoparticles can have an average diameter, that is from about 50 nm to 500 nm, from about 50 nm to 250 nm, or from about 100 nm to 250 nm at a neutral pH. The nanoparticles can be useful to release the hydrophobic active agent at a specific pH while maintaining stability and integrity at an elevated pH. The nanoparticles can therefore

be useful in a variety of contexts to deliver an active agent in response to a change in pH, for example in the monitoring and preventing of food spoilage. The nanoparticles can be biocompatible and or safe for human consumption.

The nanoparticles can have a variety of sizes adapted to the particular needs. The nanoparticles can have a diameter of about 10 nm to 1000 nm, about 20 nm to 1000 nm, about 20 nm to 900 nm, about 20 nm to 800 nm, about 30 nm to 800 nm, about 30 nm to 750 nm, about 40 nm to 750 nm, about 50 nm to 750 nm, about 50 nm to 700 nm, about 50 nm to 650 nm, about 50 nm to 600 nm, about 50 nm to 550 nm, or about 50 nm to 500 nm. In some embodiments of plurality of the nanoparticles are provided in a composition, the plurality of nanoparticles having an average diameter of about 10 nm to 1000 nm, about 20 nm to 1000 nm, about 20 nm to 900 nm, about 20 nm to 800 nm, about 30 nm to 800 nm, about 30 nm to 750 nm, about 40 nm to 750 nm, about 50 nm to 750 nm, about 50 nm to 700 nm, about 50 nm to 650 nm, about 50 nm to 600 nm, about 50 nm to 550 nm, or about 50 nm to 500 nm. The plurality of nanoparticles can be monodisperse.

The nanoparticles can be used to deliver or release the active agent(s) in response to a change in the pH. The nanoparticles can release the active agent(s) as a function of changes in the pH. In some embodiments the nanoparticles release the active agent(s) in response to changes in the pH associated with food spoilage. The pH associated with food spoilage, e.g. at which the nanoparticles release the active agent(s), can be about 6.8, 6.7, 6.6, 6.5, 6.4, 6.3, 6.2, 6.1, 6.0, 5.9, 5.8, 5.7, 5.6, or less. In some embodiments the nanoparticles release the active agent(s) over a pH range of about 4 to 7, about 4.5 to 7, about 5 to 7, about 5 to 6.8, about 5.5 to 6.8, about 5.6 to 6.8, about 5.6 to 6.7, about 5.6 to 6.6, about 5.8 to 6.6, or about 6.0 to 6.6.

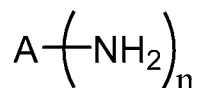
#### ***pH responsive dendrimer***

The nanoparticle can include a pH responsive dendrimer. A variety of pH responsive dendrimers can be used. The pH responsive dendrimer can have a pH responsive amine core and a branched acrylate arm. The pH responsive amine core can change shape, size, and/or conformation in response to a change in the pH. For example, protonation of the amines on the amine core can induce structural changes in the pH responsive amine core that induce structural changes in the arms of the dendrimer.

The pH responsive dendrimer can include a pH responsive amine core. The pH responsive amine core can be any substituted or unsubstituted, linear or branched alkyl amine having two or more amine group and having from about 2 to 20, 2 to 12, 2 to 10, 2 to 8, or 2 to 6 carbon atoms. The pH responsive amine core can be a polyamine, e.g. a diamine, a triamine, or a tetramine. The pH responsive amine core can be a diamine such as ethylene diamine, propane-1,3-diamine, propane-1,2-diamine, butane-1,4-diamine, pentane-

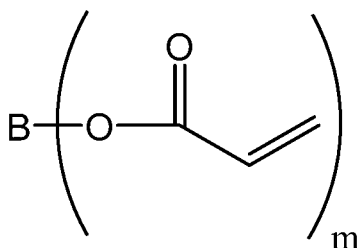
1,5-diamine, or o-phenylene diamine. In some embodiments the pH responsive amine core is ethylene diamine.

The pH responsive amine core can have a structure defined by the formula



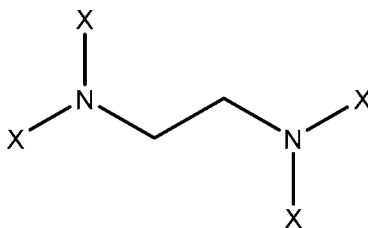
5 where A is a substituted or unsubstituted, linear or branched alkyl, heteroalkyl, alkenyl, alkynyl, carbocyclic, or heterocyclic group, preferably having about 1 to 20, 1 to 15, 1 to 12, 2 to 12, 2 to 10, 2 to 8, 2 to 6, or 2 to 5 carbon atoms; and where n is an integer greater than 2, preferably from 2 to 10, 2 to 8, 2 to 6, 2 to 5, 2 to 4, 2 to 3, or wherein n is 2.

10 The pH responsive dendrimer can include a branched acrylate arm. The branched acrylate arm can include any acrylate structure, preferably having about 6 to 30, about 6 to 25, about 8 to 25, about 8 to 20, about 10 to 20, about 10 to 15, or about 12 carbon atoms. The pH responsive dendrimer can be an acrylate formed by the esterification of acryloyl chloride with a polyol. The polyol can be, for example, ethylene glycol, propylene glycol, glycerol, 1,2-butanediol, 1,3-butanediol, pentaerythritol, malitol, sorbitol, or other small  
15 molecule polyol. The acrylate can have a structure according the formula



where B is a substituted or unsubstituted, linear or branched alkyl, heteroalkyl, alkenyl, alkynyl, carbocyclic, or heterocyclic group, preferably having about 1 to 20, 1 to 15, 1 to 12,  
20 2 to 12, 2 to 10, 2 to 8, 2 to 6, or 2 to 5 carbon atoms; and where m is an integer greater than 2, preferably from 2 to 10, 2 to 8, 3 to 8, 3 to 6, 3 to 5, 3 to 4, or wherein n is 3.

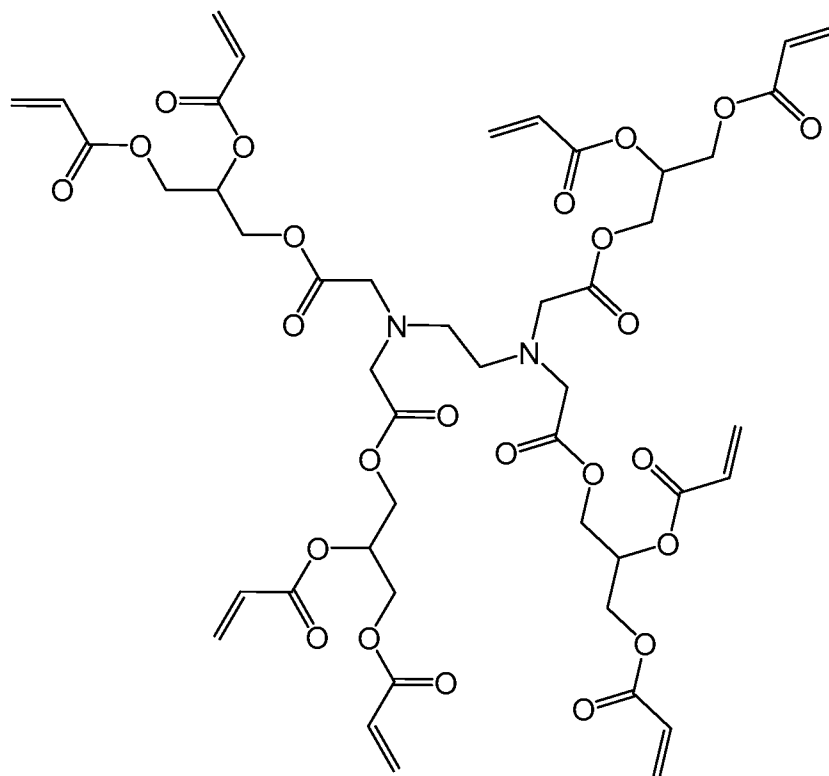
The pH responsive dendrimer can have an ethylene diamine core having branched acrylate arms attached thereto. For example, the pH responsive dendrimer can have a structure according to the formula



25 where each X is independently a branched acrylate arm, including any of the branched acrylate structures described herein. For example, each X can be a branched acrylate

having from 2 to 6, 2 to 5, 2 to 4, or about 3 acrylate groups and having about 2 to 20, 2 to 12, 3 to 12, or 3 to 8 carbon atoms. In some embodiments each X is independently selected from trimethylpropane triacrylate, trimethylpropane ethoxylate triacrylate, glycerol triacrylate, glycerol ethoxylate triacrylate, and other small molecule branched acrylates.

- 5           The pH responsive dendrimer can have a structure according to the formula shown below or a derivative thereof.



- 10           The pH responsive dendrimer can be a first-generation dendrimer, a second-generation dendrimer, a third-generation dendrimer, or higher. For example, the dendrimer can have a generation from about 1 to 10, about 1 to 8, about 1 to 6, about 1 to 5, or about 1 to 4.

### ***copolymers***

- 15           The nanoparticle can include a copolymer of the pH responsive dendrimer with a hydrophobic monomer, i.e. the copolymer can contain pH responsive dendrimer repeat units and hydrophobic polymer repeat units. The copolymers can include additional repeat units. For example, the copolymers can include biodegradable polymer repeat units, hydrophobic polymer repeat units, hydrophilic polymer repeat units, stealth polymer repeat units, or the like.
- 20           The copolymer can be a block copolymer or a random copolymer. The hydrophobic polymer repeat unit can be a fluorocarbon, a tetrafluoroethylene, a vinylfluoride, a siloxane, a dimethylsiloxane, butadiene, ethylene, an olefin, styrene, propylene, or an oligomer thereof,

preferably having from about 2 to 30 carbon atoms, 2 to 20 carbon atoms, 2 to 12 carbon atoms, or 2 to 10 carbon atoms. In some embodiments the hydrophobic polymer repeat unit is styrene or a derivative or oligomer thereof.

The ratio of the monomers can be used to adjust the properties such as the chemical and physical stability of the nanoparticle, and physical properties of the composite polymer film (strength and rigidity, temperature and humidity effects, stiffness and flexibility). In some embodiments the ratio (w/w) of the pH responsive dendrimer repeat unit to the hydrophobic monomer repeat unit is about 25:75, 20:80, 15:85, 10:90, or 5:95. For example, the pH responsive dendrimer repeat unit can be about 2% to 30%, about 5% to 25%, about 10% to 20%, or about 15% by weight of the copolymer.

### ***hydrophobic active agents***

The nanoparticle can include a hydrophobic active agent. The active agent can be a hydrophobic therapeutic agent, a hydrophobic prophylactic agent, or a hydrophobic diagnostic agent.

The hydrophobic active agent can be a hydrophobic dye. For example, in detecting food spoilage the nanoparticles can release the dye in response to a change in the pH. When the food reaches a pH near the initiation of food spoilage, e.g. a pH of about 6.7, 6.6, 6.5, 6.4, 6.3, 6.2, 6.1, 6.0, 5.9, or 5.8, the nanoparticles can begin to release the dye as an indicator of food spoilage. Hydrophobic dyes can be organic compounds with a maximum extinction coefficient greater than 1000 L/mol/cm in the wavelength range of 400 to 750 nm and that are uncharged in aqueous solution at a pH in the range from 6 to 9. The hydrophobic dyes can be devoid of polar solubilizing groups. In some embodiments, the hydrophobic dye does not contain any sulphonic acid, carboxylic acid, or quaternary ammonium groups. The hydrophobic dye chromophore can contain an azo; methine, pyrazole naphthoquinone, phthalocyanine; and, triphenylmethane chromophores. Suitable dyes can include pH indicator dyes such as Bromocresol green, Bromophenol blue, Methyl red, Ethyl orange, Solvent Blue 59, Nile red, and combinations thereof. The dye can be biocompatible and/or safe for human consumption.

The hydrophobic active agent can include a hydrophobic antimicrobial agent. For example, in preventing food spoilage the nanoparticles can release the antimicrobial agent in response to a change in the pH. When the food reaches a pH near the initiation of food spoilage, e.g. a pH of about 6.7, 6.6, 6.5, 6.4, 6.3, 6.2, 6.1, 6.0, 5.9, or 5.8, the nanoparticles can begin to release the antimicrobial agent in an effective amount to slow or prevent the growth of spoilage microorganisms. The antimicrobial agent can be an edible organic acid or a consumable salt thereof. The antimicrobial agent can be an oxidizing agent such as acidified sodium chlorite, chlorine dioxide, per-acid solutions, hydrogen peroxide, chlorine

compounds such as hypochlorite, metal hypochlorites; quaternary ammonium surfactant compounds; organic carboxylic acids such as GRAS or food grade acids including but not limited to citric acid, lactic acid, malic acid, acetic acid and the like; phenol and cresol compounds; halogens and halogenated compounds such as iodine, iodine liberating compounds and complexes such as iodophors, and compounds having covalently bound iodine, chlorine, bromine, chlorine or bromine liberating compounds and complexes, and compounds having covalently bound chlorine or bromine; natural plant or animal extracts such as grapefruit seed extract and tea tree oil; enzymatic products, surface-active agents, parabens, alcohols, solutions of heavy metals, chlorhexidine, peroxygen compounds, triazines, and aldehydes, and fatty acid monoesters; as well as active derivatives and/or combinations of all of the above. The antimicrobial agent can be released in an effective amount to slow or prevent the outgrowth of a spoilage microorganism.

### Compositions

The nanoparticles are provided in a variety of compositions, the properties of which can be tailored to the specific application. The compositions can be in permeable, semi-permeable, or impermeable films, granular solids or powders, sheets, or the like. The compositions can be provided as granular solids or powders and packaged in a permeable or semi-permeable packets to be used for food preservation and/or the detection of spoilage in packaged food products.

Packaged food products are also provided containing an effective amount of the nanoparticle compositions to detect and/or prevent food spoilage. The nanoparticle compositions can be formed into films that are part of the food packaging or that can be incorporated inside the packaging such that they nanoparticles are in fluid contact with the food product. For example, food preservation packets containing the nanoparticle compositions and having a permeable or semi-permeable packaging can be included inside the food packaging with the food product. The compositions can be used to detect and/or prevent food spoilage in a variety of food products. In some embodiments the food product is a ready to eat food product. The food product can be a meat product such as a beef product or a pork product, fish product, dairy product, beverage product, baking product, unpasteurized food product, salad, sauce, marinade, salsa or seasoning. The compositions can substantially prevent the growth of one or more microorganisms for a period of time up to about 5 days, 7 days, 10 days, 14 days, 21 days, 25 days, 30 days, or 45 days. The compositions can increase the shelf life and/or increase the usable life of the food product.

**Table 1. Comparison of the pH at the initiation of food spoilage and final pH at food spoilage for various meat products.**

| Food                         | pH at initiation of spoilage | Final pH |
|------------------------------|------------------------------|----------|
| Ham                          | 6.3                          | 5.1      |
| Turkey fillet                | 6.4                          | 5.2      |
| Pork loin                    | 6                            | 5.6      |
| Bacon                        | 5.9                          | 5.8      |
| Turkey breast                | 6.4                          | 5.3      |
| Sausage                      | 6.3                          | 4.5      |
| Chicken                      | 6.7                          | 5.7      |
| Fresh fish (min. processing) | 6                            | 11       |
| Frozen fish                  | 6                            | 5.1      |
| Cooked fish (cod)            | 6.6                          | 6.6      |
| Beef                         | 5.8                          | 3.9      |

#### Methods of Making

5           Methods of making the nanoparticles and nanoparticle compositions are provided. The methods can include miniemulsion polymerization to form the nanoparticles. The methods can include forming an oil phase containing a hydrophobic monomer, a pH responsive dendrimer, and an active agent. The methods can also include adding costabilizers to the oil phase. The methods can include sonication of the oil phase. The methods can include adding the oil phase to a water phase including the emulsifier, optionally including sonication, to form an emulsion.

10           The methods can include the addition of heat, light, or a catalyst to the emulsion to induce the polymerization to form the nanoparticles. The methods can include forming the emulsion at a first temperature below the polymerization temperature of the monomer and the dendrimer, followed by increasing the temperature to a second temperature above the polymerization temperature to induce the polymerization and form the nanoparticles. The first temperature can be at or around room temperature. The second temperature can be about 70°C to 80°C, about 72°C to 68°C, or about 73°C to 67°C.

15           The methods can include adding a costabilizer or emulsifier such as modified gum Arabic, lecithin, agar, modified ghatti gum, pectin, Kara gum, xanthan gum, modified starch (especially modified food starch, e.g., modified corn starch), polyoxyethylene sorbitan, polyoxyethylene sorbitol esters (e.g. Polysorbate 20, Polysorbate 80, etc.), sugar esters, fatty alcohols (e.g., stearyl alcohol, palmityl alcohol, hexadecane - stearyl alcohol, etc.),

single - and / or di - glycerides, proteins, and combinations thereof. The stabilizer can include hexadecane.

#### Methods of Using

5 Methods of using the nanoparticles, nanoparticle compositions, and the packaged food products containing the nanoparticle compositions are provided. The methods can include delivering and/or releasing an active agent in response to a change in the pH. The skilled artisan will recognize a variety of applications where delivery of a hydrophobic active agent in response to a decrease in the pH would be used.

10 The methods can include detecting, inhibiting, and/or preventing food spoilage. The methods can include placing the nanoparticles or compositions containing the nanoparticles in contact with a food product and visually detecting the presence of the dye in the packaging or on the food product indicating the presence of food spoilage microorganisms or indicating that the food is not safe for human consumption. The methods can include  
15 inhibiting or preventing the spoilage of a food product. The methods can include placing the nanoparticles or compositions containing the nanoparticles in contact with a food product, whereby the nanoparticles release an antimicrobial agent at the pH indicative of the onset of food spoilage. The antimicrobial agent can be released in an effective amount to inhibit or prevent the outgrowth of the spoilage microorganism.

#### **EXAMPLES**

20 Now having described the embodiments of the present disclosure, in general, the following examples describe some additional embodiments of the present disclosure. While embodiments of the present disclosure are described in connection with the following examples and the corresponding text and figures, there is no intent to limit embodiments of  
25 the present disclosure to this description. On the contrary, the intent is to cover all alternatives, modifications, and equivalents included within the spirit and scope of embodiments of the present disclosure.

#### **Summary**

30 Biobased dendrimeric oligomer was successfully synthesized from glycerol, the waste from biodiesel process using abundant biomass as the feedstock. This dendrimer responds by expanding upon protonation, imparting pH sensitivity to the composite copolymer upon polymerization. Miniemulsion polymerization was used to synthesize pH sensitive core shells using styrene as a co-monomer. NMR data proved the successful  
35 incorporation of the amine dendrimer in the latex and its protonation upon pH change. Hydrophobic dye was encapsulated as a model compound which increased the particle size

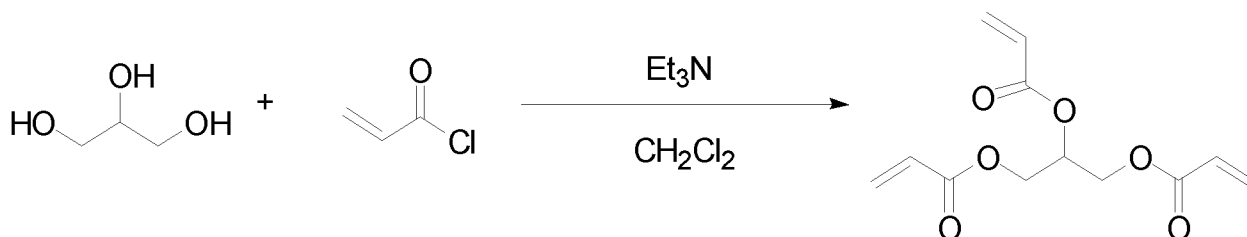
of the latex formed. The dye was released as a response to changing the pH of the latex solution and film. The pH sensitive polymers can be used as an indicator for the health of fresh meats and produce for food safety during storage, transport and sales. Strong visual indicators of poor food quality can immediately warn the consumers of poor quality packaged material.

### Materials:

Glycerol (>99% pure); Acryloyl chloride and trimethylamine were provided by Sigma Aldrich and were used to synthesize the bio based triacrylate monomer. Solvent Blue 59 (fat soluble model dye) and Nile Red (fluorescent hydrophobic dye) was also purchased from Sigma Aldrich. Dichloromethane (99.9% pure); ethylene diamine; methanol (HPLC grade); 2, 2 Azo-isobutyronitrile (AIBN); Triton 405 (TX-405, (C<sub>8</sub>H<sub>17</sub>) C<sub>6</sub>H<sub>4</sub> (OCH<sub>2</sub>CH<sub>2</sub>)<sub>40</sub>OH, 70% solution in water; and hexadecane (>99%) were purchased from Fisher Scientific. Styrene (99%) was purchased from Arcos Organics. Styrene was washed with 5% (wt.) NaOH, followed by de-ionized water until pH 7 was reached, vacuum distilled at 74°C and then used.

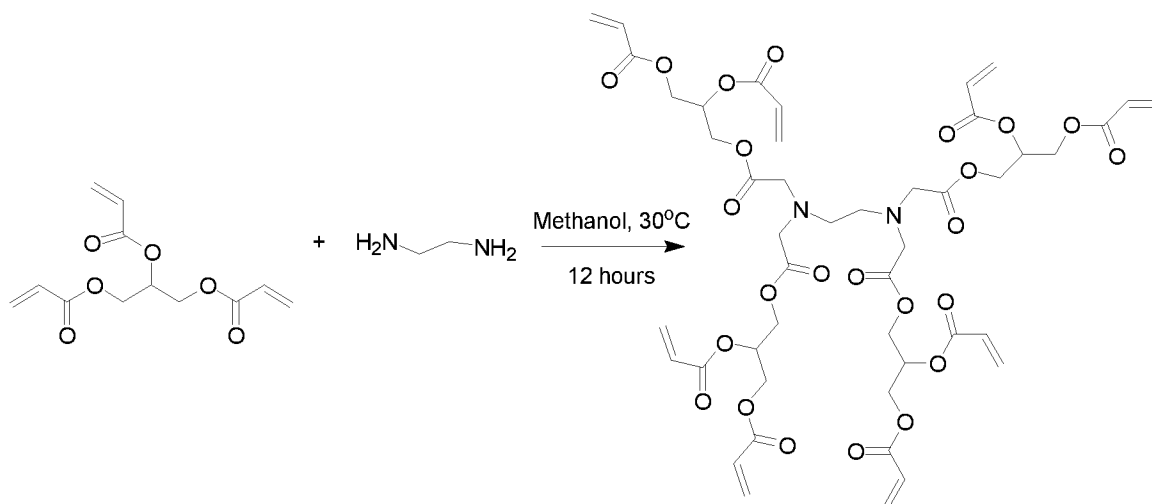
### Synthesis of glycerol triacrylate monomer

A dry three neck flask (placed in an ice bath) was connected to a Schlenk line and purged three times alternating between Nitrogen and vacuum to remove all traces of oxygen and moisture. Next, under a nitrogen atmosphere, a solution of 50 m mol glycerol, 250 m mol triethylamine and 50 ml of dichloromethane was added using syringes. Next, 250 m mol of Acryloyl chloride was carefully added dropwise within 5 min; keeping the entire solution constantly stirring. The reaction mixture was stirred at room temperature for 36 hr under Nitrogen atmosphere. Upon completion of the reaction, the mixture was filtered and the solid was further washed with dichloromethane solvent. The combined organic phase was washed with dil. HCl, NaHCO<sub>3</sub> and water successively to remove unreacted base. The washed organic phase was then dried overnight with anhydrous MgSO<sub>4</sub> and dichloromethane solvent was removed using vacuum distillation to yield pure triacrylate monomer (83% yield by wt.)



### Synthesis of glycerol based dendrimeric oligomer

In a three neck flask connected with a condenser, glycerol triacrylate (synthesized) and ethylene dimaine (4:1 mole ratio) was mixed with 50 ml of methanol as solvent. This reaction was stirred for 12 hr at 30°C, filtered and organic phase collected and further washed with methanol. This solution was then dried under vacuum at 30°C for 24 hr to yield sticky, hydrophobic dendritic oligomer used for synthesis of pH sensitive polymer.



### Miniemulsion polymerization using styrene-co-dendritic oligomer encapsulating hydrophobic Solvent blue 59 dye.

In a typical run, the oil phase contains 0.762 g of co-stabilizer hexadecane, 0.24 g AIBN, 0.024 g dye, 10 g of monomer styrene, 2 g of pH sensitive dendrimer (monomer ratio varied as 5%, 10%, 15%, 20% and 25% by wt.) were stirred at room temperature for 30 minutes followed by ultrasonication in ice bath for 4 minutes. This mixture was poured into water phase containing 1.0 g TX-405 in 100 g water and then placed in an ice bath and magnetically stirred for 30 minutes. After that, the miniemulsion was formed by ultrasonication for another 3 minutes. The resulting miniemulsion was poured into a three-neck flask equipped with a condenser and a temperature sensor and magnetically stirred for another 30 minutes at room temperature by degassing with nitrogen. The polymerization was conducted by increasing temperature to  $75 \pm 2^\circ\text{C}$  and keeping at that temperature for 8 hours under continuous magnetically stirring. The reaction was terminated by adding a few drops of 2% 4-methoxy-phenol into the miniemulsion. Low percentage of dendrimer addition is due to its extremely high viscosity and the corresponding effects on the miniemulsion hence formed. The amounts of the monomer and dendrimer for the various miniemulsion polymerization reactions are shown in Table 2. 1

**Table 2. Components for miniemulsion polymerization of styrene-co-dendritic oligomer encapsulating hydrophobic Solvent blue 59 dye with varying amount of dendrimer (wt%)**

| Mixtures      | Component                            | Amount added (g)      | Percentage in total (%wt) | Percentage in monomer (wt%) |
|---------------|--------------------------------------|-----------------------|---------------------------|-----------------------------|
| Oil phase A   | Styrene                              | 11.4, 10.8, 10.2, 9.6 | 9.94, 9.43, 8.9, 8.37     | 95,90,85,80                 |
|               | Dendrimer                            | 0.6, 1.2, 1.8, 2.4    | 0.52, 1.04, 1.57, 2.09    | 5,10,15,20                  |
|               | Hexadecane                           | 0.762                 | 0.66                      | 6.35                        |
|               | Solvent blue 59/<br>Nile red<br>AIBN | 0.024<br><br>0.24     | 0.02<br><br>0.2           | 0.2<br><br>2                |
| Water phase B | TX-405                               | 1.0                   | 0.87                      | 8.33                        |
|               | DI water                             | 100                   | 87.31                     | 833                         |

## 5 Characterization

Nuclear magnetic resonance ( $^1\text{H}$  NMR) proton spectra were observed in Varian Mercury 300 NMR spectrometer using  $\text{CDCl}_3$  and DCI solvents. Monomer and polymer samples were dissolved in  $\text{CDCl}_3$  for analysis; DCI was used to change the pH of the dendrimer and polymer synthesized to observe the spectra. TEM imaging was performed on

10 JEOL 200CX microscope to study the morphology of polymer particles using 200 keV accelerating voltage and beam current 120  $\mu\text{A}$ . A thin film of stable latex was dried on carbon type B grids and used for imaging empty core shells and dye encapsulated miniemulsion core shells. Morphology of the particles were studied at pH 7 and pH 5.4 (maintained using a phosphate buffer). Dynamic light scattering (DLS) (Zetasizer ZS3600

15 with a non-invasive back scatter under 500 mw, 532 nm laser, Malven, UK) was used to estimate the size and the size distribution of miniemulsion. Zeta potential of latex suspensions in pH 7 buffer and pH 5.4 buffer were estimated using Brookhaven Zetaplus instrument by phase analysis light scattering technique and using Samulchowski mobility relations at  $90^\circ$  incidence angle. Fluorescent spectrometry was collected using a Perkin

20 Elmer LS 45 Fluorescent spectrometer using a 120V pulsed Xenon lamp at  $\lambda_{\text{ex}}$  (371nm) and  $\lambda_{\text{em}}$  (600nm-800nm).

## NMR analysis of glycerol triacrylate, dendrimer and polymer

To facilitate miniemulsion polymerization, glycerol was converted to a triacrylate

25 monomer. Acryloyl chloride was used as the acrylic acid salt during this water-sensitive esterification reaction with a triethyl amine base and dichloromethane solvent, under a steady nitrogen stream. The use of highly acidic and organic environment was to prevent the formation of water so as to push chemical equilibrium with the forward reaction. The monomer synthesized was viscous, hydrophobic and highly crosslinking. NMR analysis of

the monomer in  $\text{CDCl}_3$  showed high purity of monomer with the peaks that correspond to the five backbone protons of glycerol (between 4 and 4.2 ppm) and nine acrylic protons (between 5.9 and 6.2 ppm) of added side chains.

This glycerol triacrylate was used as the acidic precursor for the Michael addition reaction with a diamine. This provided the pH sensitive amine core for the dendritic structure. This dendrimer was also hydrophobic and highly viscous. After the synthesis of the dendrimeric oligomer, its NMR spectrum was also analyzed in  $\text{CDCl}_3$  solvent. The results were similar to previously published reports

The original peaks are seen from the acrylate system. The peak at 3.4 is the methanol peak from solvent. The amine shift is not seen due to its unprotonated neutral state.

In order to assess if the nitrogen group on the dendrimer system is incorporated in the final polymer; and if protonation is possible after polymerization, the stable latex polymer was dried, and dissolved in  $\text{CDCl}_3$  to observe neutral spectrum. At neutral pH, the shift observed at 7.2 is from the chloroform solvent, the shift at 7.1 is from linear polystyrene and the shift at 3.8 is from the protons on the polymerized acrylic groups. The large peak at 1.25 is from the  $\text{CH}_2\text{-C-NR}_2$  unprotonated amine group at neutral pH.

Next DCI was added to protonate the dissolved polymer and the organic phase was re analyzed. This deuteron is noticed in the NMR at an added peak at 1.6 as a characteristic peak for  $\text{CH}_2\text{-C-NR}_3^+$  protonated group at low pH. This proves the presence and change in the polymer structure upon pH change and proves pH sensitivity.

### **Morphology of latex particles and stability**

Zeta potential of the latexes formed was studied to evaluate the stability of the latexes at neutral and acidic pH. As expected, the zeta potential increases significantly upon changing the pH of the latex solution and the results reported are similar to previous reports by Houillot et al., 2005<sup>37</sup>. The zeta potentials and (Z- average) particle sizes of each latex sample is reported in Table 3. As expected, average particle sizes decrease upon increasing the concentration of cross linker.

**Table 3. Zeta potential and average particle size of latex particles with varying amounts of dendrimer at pH 7 and pH 5.4.**

| Sample               | Zeta potential<br>(mV) | Particle size<br>(z-average, nm) |
|----------------------|------------------------|----------------------------------|
| Empty polymer pH 7   | -21.46                 | 87                               |
| 5% Dendrimer pH 7    | -12.53                 | 170.2                            |
| 10% Dendrimer pH 7   | -12.05                 | 142.6                            |
| 15% Dendrimer pH 7   | -14.6                  | 141.1                            |
| 20% Dendrimer pH 7   | -10.8                  | 121.1                            |
| Empty polymer pH 5.4 | -6.06                  | 110                              |
| 5% Dendrimer pH 5.4  | -3.14                  | 175.2                            |
| 10% Dendrimer pH 5.4 | -3.02                  | 142.6                            |
| 15% Dendrimer pH 5.4 | -1.34                  | 141.0                            |
| 20% Dendrimer pH 5.4 | -2.88                  | 121.6                            |

TEM imaging was performed by placing a drop of the miniemulsion on carbon B grids and drying at room temperature prior to observation. Phosphate buffer (pH 7 and pH 5.4) were used to dilute the neutral and acidic samples. The following images clearly distinguish the morphology of the particles at neutral and acidic pH. Polymer spheres are uniform in size at pH 7 as seen Fig. 2A and Fig. 2B. Upon changing the pH (Fig. 2C and Fig. 2D), the particles enlarge and the released dye is seen as spots (5-7nm dye aggregates) on the image. The reduction in pH causes the protonation of the amine groups at each dendritic core. This protonation causes an increase in dendrimer size leading to pore formation and subsequent dye release. The rate of dye released however depended on the concentration of dendrimer and the external acidity as shown by Fluorescent spectrometry.

### Fluorescent spectrometry

The latex suspension encapsulating fluorescent Nile red dye was first dried at room temperature to form films. This dried polymer was then dissolved in acetone (0.1g in 4ml) and used to estimate fluorescent activity of the dye. Variation of pH was achieved using dil. HCl (0.1N) at various amounts and measured using a pH meter. As seen in Fig. 3, as the acidity of the polymer (dispersed in acetone) increased, the dye was released into the acetone phase and fluorescence increased. Maximum release of dye for each sample with varying concentration of dendrimer was seen at pH 3.7 (Fig. 4). These results are in conjunction with other similar work that deal with a one-step change in particle morphology<sup>42</sup>. As indicated by the results, increase fluorescence was seen by samples with high dendrimer concentrations owing to larger number of pores formed per particle and increased dye release. The films were visually inspected at varying pH (pH = 7, 6.5, 5.5., 5, 4.5, 4, and 3)

demonstrating the variation of the color of the films with decreasing pH. In this example, the clear progression of the red color of the Nile red dye was observed with decreasing pH.

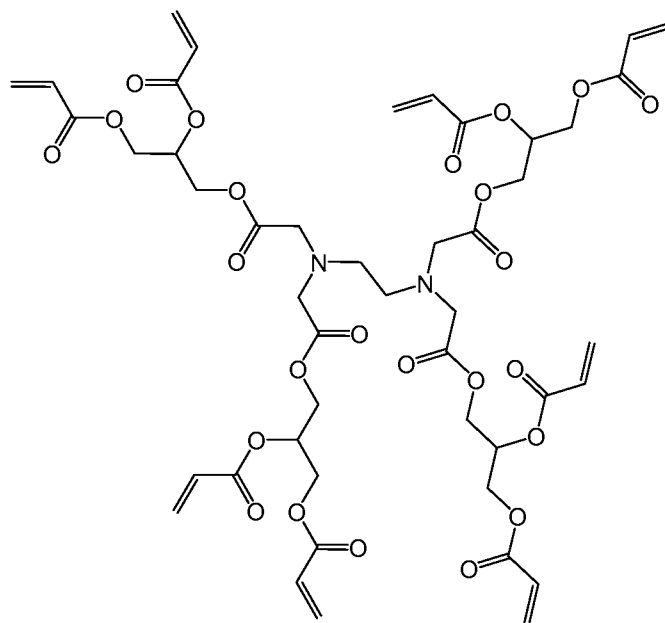
5 It should be emphasized that the above-described embodiments of the present disclosure are merely possible examples of implementations, and are set forth only for a clear understanding of the principles of the disclosure. Many variations and modifications may be made to the above-described embodiments of the disclosure without departing substantially from the spirit and principles of the disclosure. All such modifications and variations are intended to be included herein within the scope of this disclosure.

We claim:

1. A nanoparticle for the detection of food spoilage, the nanoparticle comprising:
  - a hydrophobic core comprising a hydrophobic dye; and
  - a copolymer of a hydrophobic polymer repeat unit and a pH responsive dendrimer repeat unit,

wherein the hydrophobic polymer repeat unit is selected from the group consisting of styrene, lactic acid, and a derivative thereof,

wherein the pH responsive dendrimer repeat unit has a structure according to the following formula or a derivative thereof



wherein the pH responsive dendrimer repeat unit is present at an amount from about 5 wt% to 20 wt% based upon the weight of the copolymer,

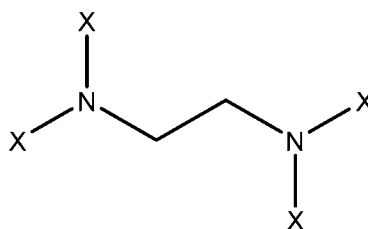
wherein the nanoparticle is chemically stable and has a diameter of about 50 nm to 200 nm at neutral pH, and

wherein the nanoparticle releases the hydrophobic dye at a pH range of about 4.5 to 6.7 to indicate the food spoilage.

2. A nanoparticle comprising:
  - a hydrophobic core comprising a hydrophobic active agent; and
  - a copolymer of a hydrophobic polymer repeat unit and a pH responsive dendrimer repeat unit,

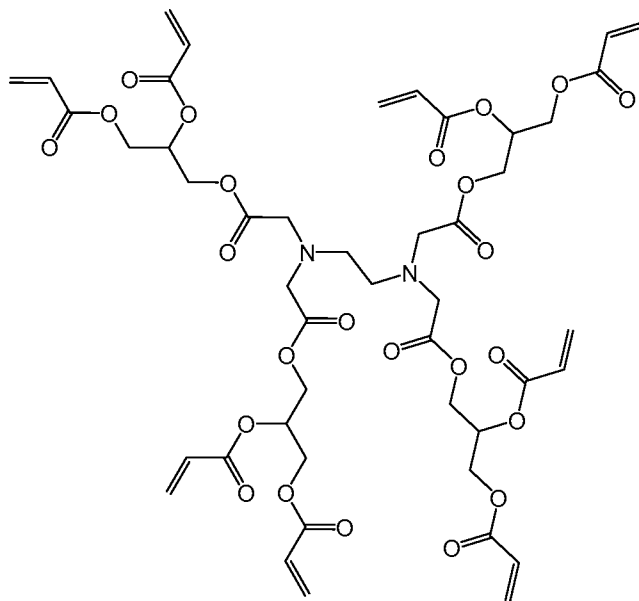
wherein the pH responsive dendrimer repeat unit comprises a pH responsive amine core and a plurality of branched acrylate arms extending therefrom.

3. The nanoparticle of claim 2, wherein the nanoparticle has a diameter of 50 nm to 250 nm.
4. The nanoparticle of claim 2 or claim 3, wherein the hydrophobic active agent is selected from the group consisting of a hydrophobic therapeutic agent, a hydrophobic prophylactic agent, and a hydrophobic diagnostic agent.
5. The nanoparticle of any one of claims 2-4, wherein the hydrophobic active agent is selected from the group consisting of a dye, an antimicrobial agent, and a combination thereof.
6. The nanoparticle of any one of claims 2-5, wherein the hydrophobic polymer repeat unit is selected from the group consisting of a fluorocarbon, a tetrafluoroethylene, a vinylfluoride, a siloxane, a dimethylsiloxane, butadiene, ethylene, an olefin, styrene, propylene, and an oligomer thereof.
7. The nanoparticle of any one of claims 2-6, wherein the pH responsive amine core is selected from the group consisting of ethylene diamine, propane-1,3-diamine, propane-1,2-diamine, butane-1,4-diamine, pentane-1,5-diamine, and o-phenylene diamine.
8. The nanoparticle of any one of claims 2-7, wherein the pH responsive dendrimer repeat unit has a structure according to the following formula



wherein each X is independently a branched acrylate arm having from 2 to 6 acrylate groups and having 2 to 20 carbon atoms.

9. The nanoparticle of claim 8, wherein each X is independently selected from the group consisting of trimethylpropane triacrylate, trimethylpropane ethoxylate triacrylate, glycerol triacrylate, glycerol ethoxylate triacrylate, and other small molecule branched acrylates.
10. The nanoparticle of any one of claims 2-9, wherein the pH responsive dendrimer repeat unit has a structure according to the following formula or a derivative thereof :



11. A method of making the nanoparticle of any one of claims 1-10, the method comprising forming an oil phase comprising a hydrophobic monomer, a pH responsive dendrimer, and an active agent;
  - adding the oil phase to an aqueous phase to form an emulsion; and
  - polymerizing the hydrophobic monomer and the pH responsive dendrimer to form the nanoparticle having a hydrophobic core comprising the hydrophobic active agent.
12. The method of claim 11, wherein the hydrophobic monomer and the pH responsive dendrimer are polymerized by the addition of heat, light, or a catalyst to the emulsion.
13. A packaged food product comprising:
  - a food product;
  - a packaging containing the food product; and
  - a composition comprising a plurality of the nanoparticles according to any one of claims 1-10.
14. The packaged food product of claim 13, wherein the food product is selected from the group consisting of beef, pork, poultry, and fish.
15. The packaged food product of claim 13 or claim 14, wherein the packaged food product is a ready-to-eat food product.

16. The packaged food product of any one of claims 13-15, wherein the composition is part of the packaging.
17. The packaged food product of any one of claims 13-16, wherein the composition is in contact with the food product inside the packaging.
18. The packaged food product of any one of claims 13-17, wherein the hydrophobic active agent is released at the initiation of spoilage of the food product.
19. The packaged food product of any one of claims 13-18, wherein the nanoparticles are chemically stable and have an average diameter of about 50 nm to 200 nm at neutral pH, and  
wherein the nanoparticles release the hydrophobic active agent at a pH range of about 4.5 to 6.7 to indicate spoilage of the food product.
20. The packaged food product of claim 19, wherein the release of the hydrophobic active agent indicates the food has spoiled, inhibits or prevents the growth of a food spoilage microorganism, or both.

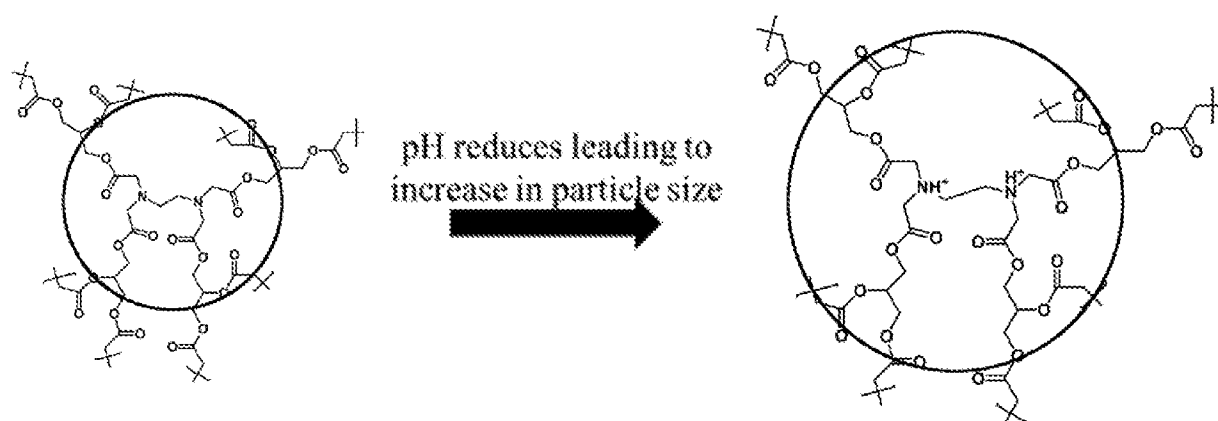
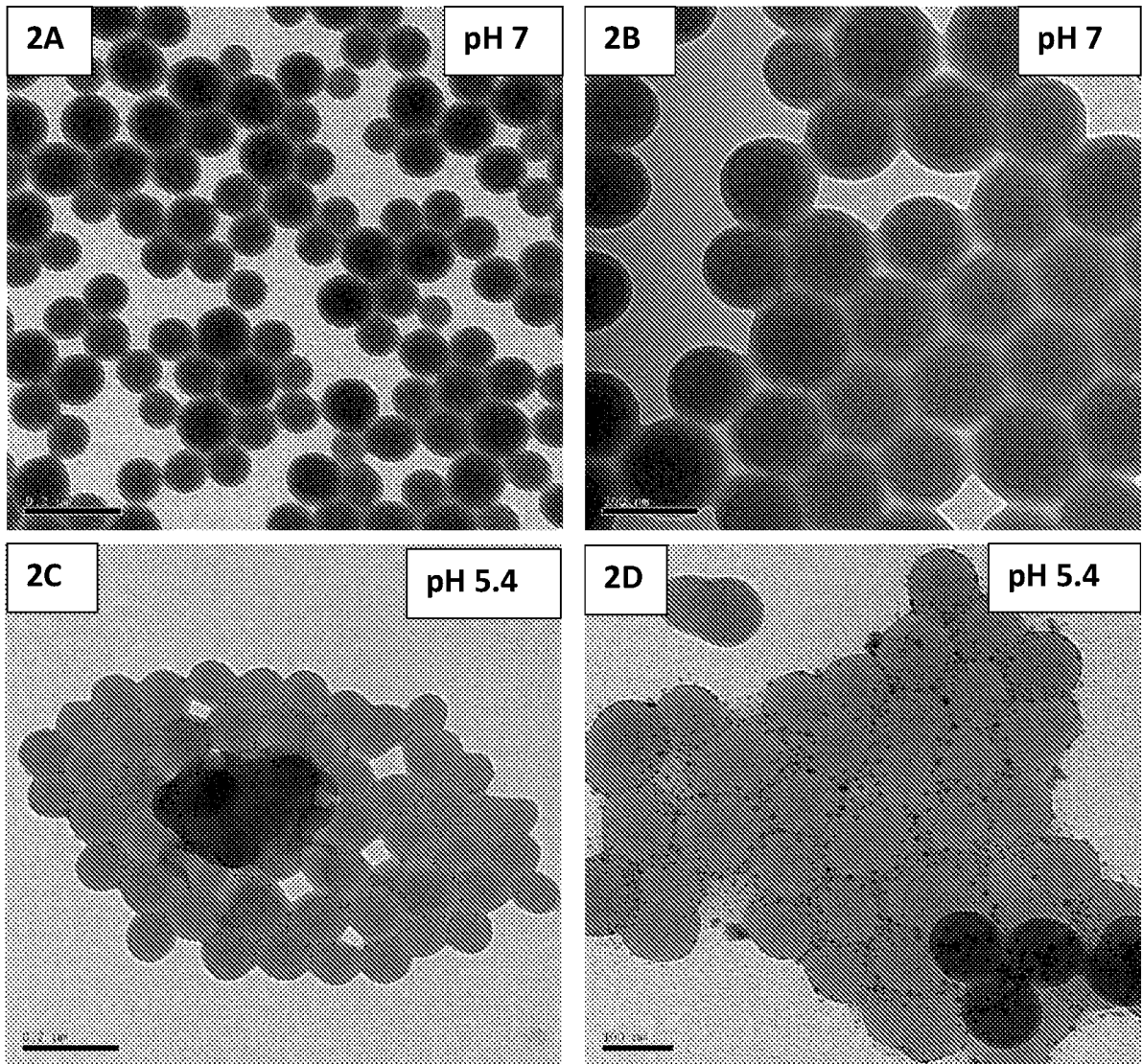


FIG. 1



FIGS. 2A-2D

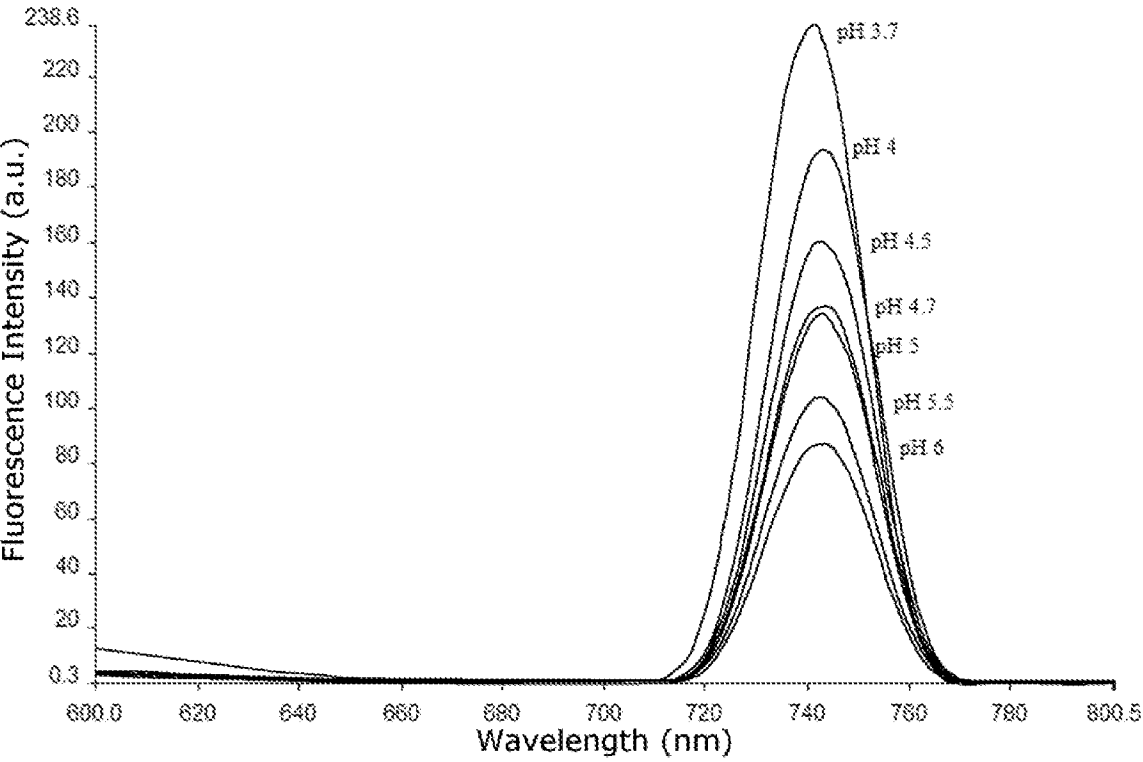


FIG. 3

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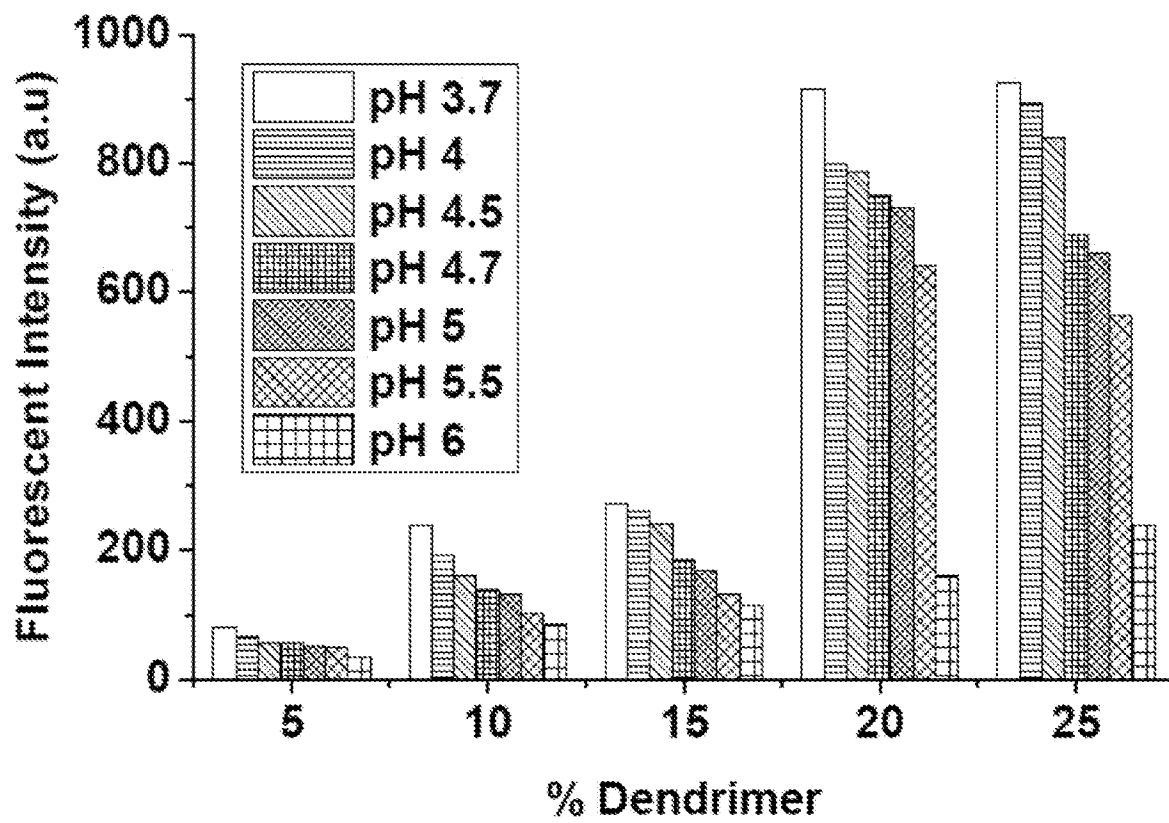


FIG. 4

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 16/35706

| <b>A. CLASSIFICATION OF SUBJECT MATTER</b><br>IPC(8) - G01N 33/02; G01N 21/78; B65D 77/24 (2016.01)<br>CPC - G01N33/02; G01N21/78; B65B25/001; C08G83/003<br>According to International Patent Classification (IPC) or to both national classification and IPC                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                         |                                                                                            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <b>B. FIELDS SEARCHED</b><br>Minimum documentation searched (classification system followed by classification symbols)<br>IPC(8): G01N 33/02; G01N 21/78; B65D 77/24 (2016.01)<br>CPC: G01N33/02; G01N21/78; B65B25/001; C08G83/003<br>Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched<br>USPC: 426/231<br>Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)<br>PatBase, Google Scholar, PubWEST<br>food spoilage, detect/sensor, pH sensitive/responsive, nanoparticle, dendritic oligomer, ethylene diamine, glycerol triacrylate<br>hydrophobic dye                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                         |                                                                                            |
| <b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                         |                                                                                            |
| Category*                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Citation of document, with indication, where appropriate, of the relevant passages                                      | Relevant to claim No.                                                                      |
| A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | US 2012/0183452 A1 (SCHALKHAMMER) 19 July 2012 (19.07.2012) abstract; para [0001], [0014]-[0017], [0049], [0053]-[0055] | 1                                                                                          |
| A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | US 2011/0009641 A1 (ANDERSON et al.) 13 January 2011 (13.01.2011) Abstract; para [0191]                                 | 1-4                                                                                        |
| A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | US 2012/0076994 A1 (HERLIHY et al.) 29 March 2012 (29.03.2012) para [0012]-[0013], [0030], [0040], [0051]               | 1-4                                                                                        |
| A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | US 4,180,632 A (IILENDA) 25 December 1979 (25.12.1979) col 1, ln 55-63; col 2, ln 1-17; col 2, ln 46-55                 | 1-4                                                                                        |
| A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | US 2011/0189291 A1 (YANG et al.) 04 August 2011 (04.08.2011) para [0033], [0035]-[0039], [0047]                         | 2-4                                                                                        |
| <input type="checkbox"/> Further documents are listed in the continuation of Box C.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                         |                                                                                            |
| * Special categories of cited documents:<br>"A" document defining the general state of the art which is not considered to be of particular relevance<br>"E" earlier application or patent but published on or after the international filing date<br>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)<br>"O" document referring to an oral disclosure, use, exhibition or other means<br>"P" document published prior to the international filing date but later than the priority date claimed<br>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention<br>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone<br>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art<br>"&" document member of the same patent family |                                                                                                                         |                                                                                            |
| Date of the actual completion of the international search<br>27 July 2016 (27.07.2016)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                         | Date of mailing of the international search report<br><b>01 SEP 2016</b>                   |
| Name and mailing address of the ISA/US<br>Mail Stop PCT, Attn: ISA/US, Commissioner for Patents<br>P.O. Box 1450, Alexandria, Virginia 22313-1450<br>Facsimile No. 571-273-8300                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                         | Authorized officer:<br>Lee W. Young<br>PCT Helpdesk: 571-272-4300<br>PCT OSP: 571-272-7774 |

# INTERNATIONAL SEARCH REPORT

International application No.

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## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☒ Claims Nos.: 5-20  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims, it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.