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(54) Titre : PROCEDE D'OBTENTION DE CYCLOPENTENONES  
(54) Title: PROCESS FOR PREPARING CYCLOPENTENONES

(57) **Abrégé/Abstract:**

A process for preparing a cyclopentenone by forming a two-phase mixture of a 1,4-diketone, a water immiscible organic solvent, and an aqueous base solution, and heating the mixture so as to convert the 1,4-diketone to a cyclopentenone which collects in the organic solvent phase.



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PROCESS FOR PREPARING CYCLOPENTENONES

ABSTRACT

5 A process for preparing a cyclopentenone by forming a two-phase mixture of a 1,4-diketone, a water immiscible organic solvent, and an aqueous base solution, and heating the mixture so as to convert the 1,4-diketone to a cyclopentenone which collects in the organic solvent phase.

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PROCESS FOR PREPARING CYCLOPENTENONES

5 This process relates generally to the preparation of cyclic ketones and more specifically to the preparation of hydrocarbyl substituted cyclopentenones from 1,4-diketones.

10 Cyclopentenones are useful compounds for preparing hydrocarbyl substituted cyclopentadienes which can be reacted with transition metal salts to form metallocene catalysts for olefin polymerization. It is known to make cyclopentenones, such as 3-methylcyclopent-2-en-1-one, by heating a 1,4-diketone, such as acetyl-  
15 acetone, with base, such as NaOH. The product is unstable in the presence of base. Consequently, either care must be taken to avoid excessive tar formation or the product must be removed as it is formed, for example, by distillation as described in U.S. Patent No. 3,907,896.

20 We have now discovered an improved process for preparing cyclopentenones, which can be carried out at lower temperatures and does not involve the contemporaneous removal of product from the reaction mixture. This invention also provides a practical process in which the reactor-loading can be 5-10 times higher than in the process according to the above mentioned patent.

25 In accordance with this invention there is provided a process for preparing a cyclopentenone, which process comprises forming a two-phase mixture of a 1,4-diketone, a water immiscible organic solvent and an aqueous base solution, and heating the mixture so as to convert the  
30 1,4-diketone to a cyclopentenone which collects in the organic solvent phase.

The 1,4-diketone reactants for use in the process of the invention can be prepared as known in the art, for example, see Rosini G. et al. Tetrahedron, 39 (24) 4127-32 (1983).

5 Preferred 1,4-diketones have the formula  
 $\text{CH}_3\text{COCH}_2\text{CH}_2\text{COCH}_2\text{R}$  where R is hydrogen or a hydrocarbyl group which contains 1 to 15 carbon atoms. Non-limiting examples of hydrocarbyl groups include alkyl, substituted alkyl,  
10 aryl, substituted aryl, alkenyl, substituted alkenyl, and the like such as methyl, ethyl, propyl, isopropyl, butyl, isobutyl, tert-butyl, pentyl, hexyl, cyclohexyl, pentenyl, benzyl, phenyl, and naphthyl.

The reaction mixture is a two-phase system which includes an aqueous phase and an organic solvent phase.

15 The aqueous phase comprises an aqueous solution of a base such as an alkali metal hydroxide solution, for example, sodium or potassium hydroxide. Preferably, sodium hydroxide is used in amounts to provide a 0.5 to 5 molar aqueous NaOH solution.

20 The organic solvent phase comprises a substantially water immiscible organic solvent, preferably hydrocarbyl or hydrocarbyl halide solvents and mixtures thereof having a boiling point of from 25 to 250°C. Non-limiting examples of solvents include aromatic solvents such as  
25 toluene, xylenes, benzene, mesitylene, and the like and aliphatic solvents such as cyclohexane, hexanes, heptanes, octanes, methylene chloride, methylene bromide, ethylene dichloride, ethylene dibromide, and the like. The lower boiling solvents, can be employed in a closed system.

30 The proportions of water and organic solvents in the two phase system preferably range from 0.5 to 10 parts

by volume water per part by volume of organic solvent. The proportion of ketone reactant to base preferably ranges from 0.5 to 5 moles of ketone per mole of base.

5 The reaction is carried out with mixing to promote contact between the phases at temperatures of from 50 to 100°C and preferably from 70 to 85°C. Typical reaction times range from 0.5 to 20 hours.

10 During the reaction, the cyclopentenone product collects in the organic solvent phase such that product contact with the base is minimized. In this way, product decomposition due to such contact is reduced. Higher base concentrations aid in the "salting out" of the product into the organic phase. When the reaction is complete, the product can be recovered from the organic phase by conventional techniques such as by washing the organic layer with 15 water or aqueous, saturated NaCl and then removing the organic solvent such as by vacuum stripping. The aqueous base layer can be extracted with an organic solvent to recover any product which remains in the aqueous layer.

20 The invention is further illustrated by, but is not intended to be limited to, the following examples.

#### Example 1

25 Acetylacetone (0.20 mol. 22.8g) and toluene (26 ml) were placed in a 100 mL 3-necked, round bottom flask equipped with a thermometer and magnetic stirring bar. A mixture of NaOH (2.0 g, 0.05 mol) and water (20.0 g) was added at 22°C into the above flask. This two-layer mixture was then stirred and heated at 80-85°C for a period of six hours. GC analysis showed a 98% conversion of acetyl- 30 acetone. The color of the two-phase mixture was dark brown and some tar formation was observed. The toluene layer was

5 separated and washed once with 10 ml of saturated NaCl solution. The toluene was stripped off at 30-40°C (20 mm Hg) and the product 3-methylcyclopent-2-en-1-one was collected by distillation at 45-70°C (5-15 mm Hg). The product weighed 9.96 g and GC analysis showed a 92% purity (48% yield) and 8% toluene. The color of product is pale yellow.

### Example 2

10 Acetonylacetone (0.10 mol. 11.4g) and CH<sub>2</sub>Br<sub>2</sub> (40 ml) were placed in a 250 mL 3-necked, round bottom flask equipped with a thermometer and magnetic stirring bar. A mixture of NaOH (4.0 g, 0.10 mol) and water (96.0 g) was added at 22°C into the flask. This two-layer mixture was stirred at 85°C over a period of two hours. GC analysis  
15 showed a complete conversion of acetonylacetone. The color of this two-phase mixture was dark brown and some tar formation was observed. The CH<sub>2</sub>Br<sub>2</sub> layer was separated and the aqueous layer was extracted once with CH<sub>2</sub>Br<sub>2</sub> (10 ml) which was then added to the CH<sub>2</sub>Br<sub>2</sub> layer. The combined  
20 CH<sub>2</sub>Br<sub>2</sub> solution was washed once with 10 ml of water and then dried over 4A molecular sieves. The CH<sub>2</sub>Br<sub>2</sub> was stripped off at 30-40°C (20 mm Hg) and the product 3-methyl-cyclopent-2-en-1-one was collected at 70-75° (15 mm Hg). The product weighed 5.44 g (57% yield) and GC analysis showed a 97%  
25 purity. The color of product is pale yellow.

THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE  
PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

5 1. A process for preparing a cyclopentenone,  
which process comprises forming a two-phase mixture of a  
1,4-diketone, a water immiscible organic solvent, and an  
aqueous base solution, and heating said mixture so as to  
convert the 1,4-diketone to a cyclopentenone which collects  
in the organic solvent phase.

10 2. The process according to claim 1 wherein  
said 1,4-diketone has the formula  $\text{CH}_3\text{COCH}_2\text{CH}_2\text{COCH}_2\text{R}$ , where R  
is hydrogen or a hydrocarbyl group which contains from 1 to  
15 carbon atoms.

15 3. The process according to claim 2 wherein  
said R is hydrogen and said cyclopentenone is 3-methyl-  
cyclopent-2-en-1-one.

4. The process according to claim 1 wherein said  
aqueous base solution is an aqueous sodium hydroxide  
solution.

20 5. The process according to claim 4 wherein said  
aqueous base solution is a 0.5 to 5 molar aqueous sodium  
hydroxide solution.

6. The process according to claim 5 wherein said  
organic solvent is a hydrocarbyl or hydrocarbyl halide  
solvent.

25 7. The process according to claim 6 wherein the  
proportions of water and organic solvent range from 0.5 to  
10 parts by volume water per part by volume of organic  
solvent.

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8. The process according to claim 1 wherein said mixture is heated to a temperature of from 50 to 100°C.

5 9. The process according to claim 1 wherein the molar proportion of 1,4-diketone to base ranges from 0.5 to 5 moles of 1,4-diketone per mole of base.