



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : C08L 1/28, C08J 3/03</p>	<p>A1</p>	<p>(11) International Publication Number: <b>WO 96/18676</b> (43) International Publication Date: 20 June 1996 (20.06.96)</p>
<p>(21) International Application Number: PCT/SE95/01362 (22) International Filing Date: 16 November 1995 (16.11.95) (30) Priority Data: 9404373-4 15 December 1994 (15.12.94) SE (71) Applicant (for all designated States except US): AKZO NOBEL N.V. [NL/NL]; P.O. Box 9300, NL-6800 SB Arnhem (NL). (72) Inventors; and (75) Inventors/Applicants (for US only): ANDERSSON, Lars [SE/SE]; Måsgatan 1, S-444 45 Stenungsund (SE). BOSTRÖM, Peter [SE/SE]; Oskarsbergsgatan 10, S-442 53 Kungälv (SE). (74) Agent: ANDERSSON, Rolf; Akzo Nobel Surface Chemistry AB, S-444 85 Stenungsund (SE).</p>		<p>(81) Designated States: BR, CA, CN, FI, JP, KR, MX, NO, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: METHOD FOR PRODUCING A SUSPENSION IN WATER OF A CELLULOSE ETHER, AND DRY MIXTURE SUITABLE FOR USE IN THE PRODUCTION OF THE SUSPENSION</p>		
<p>(57) Abstract</p> <p>In a method for producing a suspension of a nonionic cellulose ether that has no turbidity point, the water forming part of the suspension is mixed with a dry mixture containing a cellulose ether, which is cross-linked with glyoxal, and an electrolyte salt. This electrolyte salt consists of a salt or a mixture of salts which, in water and at the concentration employed, results in a pH value below 8.0. Preferably, the cellulose ether is a hydroxyethylcellulose.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

<b>AT</b>	Austria	<b>GB</b>	United Kingdom	<b>MR</b>	Mauritania
<b>AU</b>	Australia	<b>GE</b>	Georgia	<b>MW</b>	Malawi
<b>BB</b>	Barbados	<b>GN</b>	Guinea	<b>NE</b>	Niger
<b>BE</b>	Belgium	<b>GR</b>	Greece	<b>NL</b>	Netherlands
<b>BF</b>	Burkina Faso	<b>HU</b>	Hungary	<b>NO</b>	Norway
<b>BG</b>	Bulgaria	<b>IE</b>	Ireland	<b>NZ</b>	New Zealand
<b>BJ</b>	Benin	<b>IT</b>	Italy	<b>PL</b>	Poland
<b>BR</b>	Brazil	<b>JP</b>	Japan	<b>PT</b>	Portugal
<b>BY</b>	Belarus	<b>KE</b>	Kenya	<b>RO</b>	Romania
<b>CA</b>	Canada	<b>KG</b>	Kyrgyzstan	<b>RU</b>	Russian Federation
<b>CF</b>	Central African Republic	<b>KP</b>	Democratic People's Republic of Korea	<b>SD</b>	Sudan
<b>CG</b>	Congo	<b>KR</b>	Republic of Korea	<b>SE</b>	Sweden
<b>CH</b>	Switzerland	<b>KZ</b>	Kazakhstan	<b>SI</b>	Slovenia
<b>CI</b>	Côte d'Ivoire	<b>LI</b>	Liechtenstein	<b>SK</b>	Slovakia
<b>CM</b>	Cameroon	<b>LK</b>	Sri Lanka	<b>SN</b>	Senegal
<b>CN</b>	China	<b>LU</b>	Luxembourg	<b>TD</b>	Chad
<b>CS</b>	Czechoslovakia	<b>LV</b>	Latvia	<b>TG</b>	Togo
<b>CZ</b>	Czech Republic	<b>MC</b>	Monaco	<b>TJ</b>	Tajikistan
<b>DE</b>	Germany	<b>MD</b>	Republic of Moldova	<b>TT</b>	Trinidad and Tobago
<b>DK</b>	Denmark	<b>MG</b>	Madagascar	<b>UA</b>	Ukraine
<b>ES</b>	Spain	<b>ML</b>	Mali	<b>US</b>	United States of America
<b>FI</b>	Finland	<b>MN</b>	Mongolia	<b>UZ</b>	Uzbekistan
<b>FR</b>	France			<b>VN</b>	Viet Nam
<b>GA</b>	Gabon				

**METHOD FOR PRODUCING A SUSPENSION IN WATER OF A CELLULOSE ETHER,  
AND DRY MIXTURE SUITABLE FOR USE IN THE PRODUCTION OF THE  
SUSPENSION**

5           The present invention relates to a method for producing  
a suspension of a nonionic cellulose ether that has no turbidity  
point by mixing the water forming part of the suspension with a  
dry mixture that contains a cellulose ether, which is cross-  
linked with glyoxal, and an electrolyte salt.

10           Water-soluble, nonionic cellulose ethers are used in many  
industrial processes as well as in various consumer goods. The  
fields of application include mining, paper production, water  
treatment, textile treatment, colour compositions, detergents  
and cosmetic products. However, it is difficult to dissolve  
15           pulverulent, water-soluble and nonionic cellulose ethers, owing  
to the powder having a tendency towards gelling and  
agglomeration. One way of solving this problem is to suspend the  
water-soluble cellulose ethers in water containing a  
considerable amount of electrolyte and, optionally, a dispersant  
20           and/or a stabiliser. When water is mixed in, the electrolyte  
content is reduced and the cellulose ethers are dissolved  
without any gelling or lump formation. The cellulose ethers  
suspended in water have proved to be well suited for use as  
intermediary products in industrial processes, as well as in the  
25           production of consumer goods.

30           Thus, European Patent Application 413 274 teaches an  
aqueous suspension of hydroxyethylcellulose, i.e. a cellulose  
ether having no turbidity point in water. This suspension  
contains a water-soluble hydroxyethylcellulose in an amount of  
8-28% by weight and further contains 20-35% by weight of  
potassium carbonate, based on the weight of potassium carbonate  
and water, 0.2-8% by weight of a detergent having a HLB value of  
6-9, as well as 0.08-0.6% by weight of a water-soluble or water-  
swellable thickener, both contents being based on the weight of

the total suspension.

European Patent Application 482 533 further discloses the suspension of a nonionic cellulose ether, such as hydrophobe-modified hydroxyethylcellulose, hydrophobe-modified ethyl-  
5 hydroxyethylcellulose, methylcellulose and hydroxyethylcellulose, in an aqueous sodium formate solution. It appears from the Examples that the amount of sodium formate should be at least 30% by weight, if one is to obtain a suspension.

Further, European Patent Application 3 582 discloses a  
10 method of reducing the electrolyte content of the suspension of water-soluble nonionic cellulose ethers. According to the European application, this is achieved by adding not only an electrolyte salt but also an aluminium oxide compound. Owing to the addition of aluminium oxide, the electrolyte content may,  
15 according to the patent application, be reduced from about 25% to 10%. The presence of aluminium oxide in solid or colloidal state may, however, have adverse effects when the suspension is used at a later stage.

One object of the present invention is to provide a  
20 method for suspending a cellulose ether, which has no turbidity point in water, together with an electrolyte salt and optionally other ingredients, such as a stabiliser and/or a dispersant, directly in the aqueous phase without there being any gelling. The invention thus provides a simple and reliable method for  
25 producing the suspension.

According to the invention, it has now been found that this object can be attained by producing an aqueous suspension of a water-soluble nonionic cellulose ether, which has no turbidity point in water. This is achieved by preparing a dry  
30 mixture which contains the nonionic cellulose ether cross-linked with glyoxal, as well as an electrolyte salt consisting of a salt or a mixture of salts which, in water and at the concentration used in the suspension, results in a pH value below 8.0, preferably below 7.5, and mixing the water forming

part of the suspension with the dry mixture. Conveniently, the cellulose ether constitutes 8-25% by weight of the suspension, whereas the electrolyte salt is present in an amount of 20-45% by weight of the suspension, preferably 25-40%. Apart from the cellulose ether and the electrolyte salt, the suspension suitably contains a stabiliser, which consists of a viscosity-enhancing polymer soluble in the electrolyte solution and present in an amount of 0.01-3% by weight of the suspension. Conveniently, the suspension also contains a dispersant, such as a surfactant, in an amount of 0.05-4% by weight. As a rule, the dispersant has a stabilising and viscosity-enhancing effect.

In a preferred mode of implementation of the inventive method, the suspension is produced by mixing the water with a dry mixture which, apart from the cellulose ether and the electrolyte salt, contains all the dry ingredients of the suspension. In the event that one of the ingredients of the suspension is liquid, this ingredient is suitably applied to a carrier and incorporated in the dry mixture in this form. If so desired, one or more of the ingredients, excepting the cellulose ether and the electrolyte salt, may also be added to the water after and/or before the dry mixture containing the cellulose ether and the electrolyte salt has been mixed with the water. Thorough tests have shown that the inventive method cannot be implemented unless the cellulose ether is cross-linked, since minor amounts of the cellulose ether would otherwise dissolve and form a gel. A number of important advantages are gained by preparing a dry mixture of all the ingredients, excepting water, in a first stage, since a suspension of the cellulose ether can then be prepared by adding water to a single dry composition.

Such a dry mixture suitably contains 20-45% by weight of the cellulose ether, preferably 30-40% by weight, and 50-80% by weight of the electrolyte salt, preferably 55-70% by weight. In addition, the dry composition may contain 0.02-6% by weight of a stabiliser and 0.1-8% by weight of a dispersant.

As indicated in the foregoing, the electrolyte salt consists of a salt or a mixture of salts which, at the contemplated electrolyte content of the aqueous suspension, results in a pH value below 8.0, preferably below 7.5. The reason for this is that the cross-linked cellulose ether is partly hydrolysed at high pH values, thus forming a gel. Examples of suitable salts are sodium formate, NaCl, Na<sub>2</sub>SO<sub>4</sub>, K<sub>2</sub>SO<sub>4</sub>, NaH<sub>2</sub>PO<sub>4</sub>, NaHCO<sub>3</sub>, NaNO<sub>3</sub> and MgSO<sub>4</sub>. Sodium formate is especially preferred owing to its high solubility, as are alkali salts with bivalent anions, such as Na<sub>2</sub>SO<sub>4</sub> and K<sub>2</sub>SO<sub>4</sub>. A preferred electrolyte salt contains at least 50% by weight of sodium formate, one or more acid salts, such as a NaH<sub>2</sub>PO<sub>4</sub>, in such an amount that the suspension preferably obtains a pH value of 5.5-7.0, and 0-25% by weight of a bivalent alkali salt. By selecting an electrolyte salt which at least partly is made up of salts with bivalent anions, one is able to slightly reduce the salt content of the suspension. In addition, the amount of salt required depends on the degree of cross-linkage of the cellulose ether and on the temperature of the suspension. Thus, the salt requirement diminishes with an increasing temperature and an increasing degree of cross-linkage.

Conveniently, the nonionic cellulose ether is a hydroxyethylcellulose that is cross-linked with glyoxal. Apart from the hydroxyethyl substituent, the cellulose ether may contain other substituents, such as hydroxypropyl and methyl groups, provided that the content thereof is so restricted that the cellulose ethers do not obtain any turbidity point in water, as measured in a 1% aqueous solution. The molecular substitution of hydroxyethyl suitably is 1.0-3.0, whereas the molecular substitution of hydroxypropyl and methyl suitably is respectively 0.0-0.4 and 0.0-0.8. The cross-linkage with glyoxal is carried out in a manner known per se. The cellulose ether has a suitable degree of cross-linkage when a 1% aqueous solution of the cross-linked cellulose ether at a temperature of 20°C and a

pH value of 7.0 results, after 5 min of agitation, in a viscosity increase of less than 5%, preferably less than 1%, of the viscosity obtained when the cross-linked cellulose ether is completely dissolved. Cellulose ethers having a suitable degree of cross-linkage are obtained when 0.05-2 parts by weight of glyoxal is caused to react with 100 parts by weight of dry cellulose ether.

In order to enhance the stability of the suspension, it has been found suitable to add polymeric stabilisers that are soluble in the electrolyte solution, such as xanthan gum and CMC.

Examples of suitable dispersants are ionic low-molecular polymers having a molecular weight of 1000-15000, such as low-molecular polyacrylic acids; nonionic surfactants, such as ethyleneoxy adducts of alcohols having 10-18 carbon atoms and alkyl phenols having a total of 14-18 carbon atoms and block polymers of ethylene oxide and propylene oxide; anionic surfactants, such as linear alkylbenzene sulphate, lauryl ether sulphate and phosphate esters of fatty alcohol ethoxylate; cationic surfactants, such as tertiary or quaternary mono- or di-C<sub>8-18</sub>alkylamines; and amphoteric surfactants, such as betaines.

Apart from the components indicated above, the composition may contain biocides, foam inhibitors, corrosion inhibitors, pH-adjusting agents, and so forth.

The present invention will now be further elucidated with the aid of a few Examples.

#### Example 1

Three compositions according to the invention were produced. These compositions contained a hydroxyethylcellulose cross-linked with glyoxal and having an  $MS_{\text{hydroxyethyl}}$  of 2.5. There was further produced a comparative composition, which contained a hydroxyethylcellulose that was not cross-linked. The compositions contained the following ingredients.

Table 1

Ingredients	Composition			
	1	2	3	A
HEC <sup>1)</sup>	36.7	-	-	-
HEC <sup>2)</sup>	-	36.7	-	-
HEC <sup>3)</sup>	-	-	36.7	-
HEC <sup>4)</sup>	-	-	-	36.7
Sodium formate	61.2	61.2	61.2	61.2
NaH <sub>2</sub> PO <sub>4</sub>	1.1	1.1	1.1	1.1
Xanthan gum	0.3	0.3	0.3	0.3
C <sub>9-11</sub> -alcohol+5.5 EO	0.4	0.4	0.4	0.4
(on carrier)	0.3	0.3	0.3	0.3

1) The viscosity according to Brookfield in 2% aqueous solution at 25°C = 400 mPa's

2) The viscosity according to Brookfield in 2% aqueous solution at 25°C = 6,500 mPa's

3) The viscosity according to Brookfield in 1% aqueous solution at 25°C = 2,000 mPa's

4) The same substitution and viscosity as in the case of the cellulose ether in composition 1.

Thus, 49 parts by weight of each of the above compositions was dispersed in 51 parts by weight of water. The viscosity and the stability of the aqueous formulations were determined, and the following results were obtained.

Table 2

Composition No.	Viscosity mPa's 23°C		Stability <sup>1)</sup>
	1 day	10 days	
1	800	1,000	+++
2	1,400	1,900	+++
3	1,800	2,400	+++
A	Gel	-	-

1) Stability: + = stable for less than 6 h, ++ = stable for more than 6 h but less than 10 days, +++ = stable for more than 10 days.

It is evident from these results that the comparative composition formed a gel and thus was useless, whereas the compositions according to the invention showed no tendency towards gelling during the 10 days of the test period.

**Example 2**

The following compositions according to the invention were produced by mixing the ingredients listed below. Then, 49 parts by weight of each of the compositions was suspended in 51 parts by weight of water.

Table 3

Ingredients	Composition, parts by weight			
	4	5	6	7
HEC <sup>1)</sup>	36.7	-	-	-
HEC <sup>2)</sup>	-	36.7	36.7	36.7
Sodium formate	53.1	31.7	53.1	58.2
Na <sub>2</sub> SO <sub>4</sub>	8.2	-	8.2	-
NaH <sub>2</sub> PO <sub>4</sub>	1.0	30.6	1.0	1.0
NaHCO <sub>3</sub>	-	-	-	3.1
Xanthan gum	0.3	0.3	0.3	0.3
C <sub>9-11</sub> -alcohol+5 EO	0.4	0.4	0.4	0.4
(on carrier)	0.3	0.3	0.3	0.3

1) The same cellulose ether as in composition 2.

2) The same cellulose ether as in composition 1.

The suspensions obtained after suspending the compositions in water were then tested with respect to viscosity and stability. The following results were obtained.

Table 4

Composition No.	pH	Viscosity mPa's 23°C		Stability <sup>1)</sup>
		1 day	10 days	
4	6.4	2,400	2,600	+++
5	5.0	30	-	++
6	6.0	700	900	+++
7	7.1	1,100	1,300	+++

1) Stability: + = stable for less than 6 h, ++ = stable for more than 6 h but less than 10 days, +++ = stable for more than 10 days.

It is evident from these results that none of the suspensions gelled, but that all had a suitable viscosity. As regards the suspension which separated after a period of storage, a homogeneous suspension could again be obtained by slight agitation.

**CLAIMS**

1. A method for producing an aqueous suspension of a water-soluble nonionic cellulose ether having no turbidity point in water, **characterised by** preparing a dry mixture which contains the nonionic cellulose ether cross-linked with glyoxal and an electrolyte salt consisting of a salt or a mixture of salts which, in water and at the concentration used in the suspension, results in a pH value below 8.0, preferably below 7.5; and mixing the water forming part of the suspension with the dry mixture in such an amount that the cellulose ether constitutes 8-25% by weight of the suspension and the electrolyte salt constitutes 20-45% by weight of the suspension.
2. A method as claimed in claim 1, **characterised by** the cross-linked cellulose ether incorporated in the dry mixture resulting, in a 1% aqueous solution at a temperature of 20°C and a pH value of 7.0, in a viscosity increase which is less than 5%, preferably less than 1%, of the viscosity obtained when the cellulose ether is completely dissolved.
3. A method as claimed in claim 1 or 2, **characterised by** preparing the dry mixture from an electrolyte salt which contains at least 50% by weight of sodium formate, one or more acid salts in such an amount that the suspension obtains a pH value of 5.5-7.0, and 0-25% by weight of a bivalent alkali salt; and by the cellulose ether being a hydroxyethylcellulose.
4. A method as claimed in claim 1, 2 or 3, **characterised by** adding all the components forming part of the aqueous phase to the dry mixture.
5. A method as claimed in claim 4, **characterised by** adding a dispersant and/or a stabiliser to the dry mixture.
6. A method as claimed in any one of claims 1-3, **characterised by** adding a dispersant and/or a stabiliser to the water.

7. A dry mixture suitable for use in the production of a suspension of a water-soluble nonionic cellulose ether, **characterised in that** it contains 20-45% by weight of a cellulose ether, which is cross-linked with glyoxal and has no turbidity point in water, and 50-80% by weight of an electrolyte salt, which consists of a salt or a mixture of salts which, in water and at the concentration used in the suspension, results in a pH value below 8.0, preferably below 7.5.

8. A dry mixture as claimed in claim 7, **characterised in that** the cross-linked cellulose ether incorporated in the dry mixture results, in a 1% aqueous solution at a temperature of 20°C and a pH value of 7.0, in a viscosity increase which is less than 5%, preferably less than 1%, of the viscosity obtained when the cellulose ether is completely dissolved.

9. A dry mixture as claimed in claim 7 or 8, **characterised in that** the electrolyte salt contains at least 50% by weight of sodium formate, one or more acid salts in such an amount that the suspension obtains a pH value of 5.5-7.0, and 0-25% by weight of a bivalent alkali salt, and that the cellulose ether consists of a hydroxyethylcellulose.

10. A dry mixture as claimed in any one of claims 7-9, **characterised in that** it contains a dispersant and/or a stabiliser.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 95/01362

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: C08L 1/28, C08J 3/03

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC, CA, WPI, CLAIMS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 3356519 A (WILLIAM C. CHAMBERS ET AL), 5 December 1967 (05.12.67), column 1, line 16 - line 23; column 2, line 23 - line 26, last paragraph; claim --	1-10
A	Chemical Abstracts, Volume 79, No 6, 13 August 1973 (13.08.73), (Columbus, Ohio, USA), page 32855, THE ABSTRACT No 32843z, JP, 7326235, A, (Tonedachi, Masayuki et al) 6 April 1973 (06.04.73), the whole abstract --	1-10
A	EP 0413274 A2 (AQUALON COMPANY), 20 February 1991 (20.02.91), page 3, line 9 - line 10, abstract --	1-10

 Further documents are listed in the continuation of Box C. See patent family annex.

## \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

28 March 1996

Date of mailing of the international search report

11 -04- 1996

Name and mailing address of the ISA/  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Agneta Österman Wallin  
Telephone No. +46 8 782 25 00

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 95/01362

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	WO 9530705 A1 (BEROL NOBEL AB), 28 March 1996 (28.03.96), the whole document  -- -----	1-10

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

05/02/96

International application No.  
PCT/SE 95/01362

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A- 3356519	05/12/67	NONE	
JP-A- 7326235	06/04/73	NONE	
EP-A2- 0413274	20/02/91	SE-T3- 0413274 AT-T- 130336 AU-B- 636377 AU-A- 6110290 CA-A- 2020934 DE-D- 69023573 JP-A- 3114526	15/12/95 29/04/93 21/02/91 19/02/91 00/00/00 15/05/91
WO-A1- 9530705	28/03/96	NONE	