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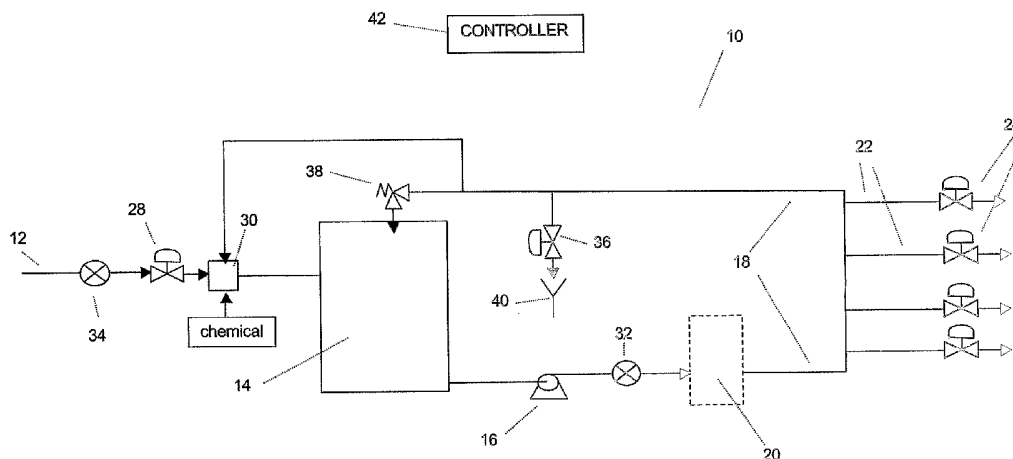
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(54) Title: AUTOMATED SANITATION



(57) Abstract: An automated method for determining the cleaning operation of a water treatment or water distribution apparatus or system to which one or more substances are added, the method comprising the steps of: (a) conducting one or more measurements of a property of the or an added substance; (b) comparing the property measurement(s) from step (a) with at least one reference value for that property; and (c) determining when the comparison in step (b) is within, above or below a pre-determined range or level for that property. The determination in step (c) can consider whether the cleaning operation has been successfully carried out. The present invention automates and therefore optimises the cleaning operation of the water treatment or distribution apparatus or system such that expensive periods of non-operation during sanitisation or cleaning are minimised both in terms of frequency and duration.

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AUTOMATED SANITATION

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3 The present invention relates to an automated
4 method for verifying the cleaning of a water
5 treatment or distribution apparatus, particularly
6 but not exclusively sanitisation of such
7 apparatus.

8

9 In the field of water treatment and distribution
10 for applications such as laboratories, medical,
11 clinical, research, manufacturing and others, it
12 is important that the water treatment and
13 distribution system is maintained either free
14 from microbial contamination, or that the
15 contamination is controlled to below a specified
16 level.

17

18 One way of carrying this out is by thermal means.
19 However, the high temperatures involved require
20 the use of expensive components which have high
21 fabrication and finishing costs, remembering also

1 the high purity requirements. Many water
2 treatment and distribution units, apparatus and
3 other such type equipment can now be made from or
4 use much less expensive plastic components. This
5 greatly reduces cost, but means that thermal
6 sanitisation cannot be used on all treatment
7 devices, such that chemical sanitisation is used
8 instead.

9
10 Cleaning of such apparatus and systems, in
11 particular sanitisation, has traditionally been
12 carried out manually, and whenever the system
13 operator remembers. This can often be irregular
14 or infrequent. Moreover, the addition of the
15 potentially hazardous chemicals required for the
16 cleaning also usually involves the coupling or
17 attachment of temporary equipment, such as pumps
18 or tanks, which may be 'unclean' or contain
19 residual chemicals. The cleaning chemical(s) are
20 generally re-circulated for a set period and then
21 a rinse is carried out before the system is
22 returned to normal usage.

23
24 Naturally, it is important that all the chemical
25 or chemicals are removed prior to return to
26 normal usage, as cleaning chemicals are generally
27 damaging or otherwise dangerous to the activities
28 that the water is being applied to.

29
30 The present method of determining when all the
31 cleaning chemical has been removed, is to
32 manually sample the rinse water every now and

1 then. However this is not methodical and can be
2 a slow or intermittent exercise.

3

4 Meanwhile, the current standard way of checking
5 that a microbial sanitisation has been
6 successfully carried out is by monitoring the
7 bacterial loading of the water from the system
8 only once it has been returned to use. However,
9 this monitoring can take up to seven days whilst
10 bacterial colonies are grown prior to counting.
11 In the meantime, water which is believed to be
12 pure is being circulated and used for their
13 applications.

14

15 It is an object of the present invention to
16 provide a method for overcoming these
17 disadvantages.

18

19 Thus, according to one aspect of the present
20 invention, there is provided an automated method
21 for determining the cleaning operation of a water
22 treatment or water distribution apparatus or
23 system to which one or more substances are added,
24 the method comprising the steps of:

- 25 (a) conducting one or more measurements of a
26 property of the or an added substance;
27 (b) comparing the property measurement(s) from
28 step (a) with at least one reference value for
29 that property; and
30 (c) determining when the comparison in step (b)
31 is within, above or below a pre-determined range
32 or level for that property.

1
2 Once the determination in step (c) is carried
3 out, it can be considered whether the cleaning
4 operation has been successfully carried out.

5
6 The cleaning operation may be for a complete
7 cleaning or sanitisation cycle for the apparatus
8 or system. Alternatively, the cleaning operation
9 may be a part of a cleaning or sanitisation
10 cycle. In certain cleaning procedures or cycles,
11 more than one substance may be used, usually
12 sequentially. In other circumstances, the
13 cleaning procedure or cycle may require the
14 addition of a further amount or amounts of
15 substance such as a cleaning chemical, either as
16 part of the procedure or cycle, or because an
17 insufficient amount of the substance was
18 initially added, either unintentionally, or
19 unexpectantly.

20
21 The present invention provides a method able to
22 determine when each of the above circumstances
23 has occurred, or is ready to occur, through
24 measurement of a level of a substance in the
25 apparatus or system. In one example, the method
26 of invention can indicate if an insufficient
27 amount of a cleaning chemical has been added,
28 such that more could be added by the user.

29
30 The substance could be any suitable substance
31 which has a property such as conductivity, pH, or
32 ionic concentration, which is able to be measured

1 by one or more measuring means, such as sensors.
2 The substance may be the same as or additional to
3 one or more cleaning agents. The substance may
4 include one or more additives such as a tracer
5 compound, adapted either to enhance the ability
6 of a substance to provide or to generate a signal
7 or property which is measurable, or to produce or
8 generate a signal or measurement that is
9 different than that of another chemical or
10 substance that may be in the apparatus or system.

11

12 According to one embodiment of the present
13 invention, the substance is the chemical agent
14 suitable for cleaning the apparatus.

15

16 The comparison of step (b) may be conducted
17 periodically, regularly or continuously.

18

19 The measuring of the property of the substance
20 may be singular. When a plurality of
21 measurements are conducted, they may be
22 periodical, regular, or even continuous.

23 Conducting a series of measurement, preferably
24 over a time period, provides a form of monitoring
25 the substance property.

26

27 Thus, according to a second aspect of the present
28 invention, there is provided an automated process
29 for monitoring the level of a substance in a
30 cleaning operation acting on a water treatment or
31 distribution apparatus,

1 wherein at least one property of the substance is
2 automatically measured by one or more measuring
3 means, and the measured property is automatically
4 compared with one or more reference values for
5 that or each property.

6

7 The reference value or values are generally
8 standard or pre-determined value or values which
9 could be programmed into a suitable comparator,
10 such as any computer or controller apparatus.

11 Such reference value or values could be
12 determined by prior experimentation with the
13 apparatus or equipment, or other routine trial
14 and error measurement operations.

15

16 The reference value or values may include or be a
17 time element, or may be intended to be compared
18 or determined over time. According to one
19 embodiment of the present invention, a series of
20 measurements of the property of the substance are
21 conducted over time. In another embodiment, the
22 invention requires a measurement value, or
23 comparison determination, to occur or have
24 occurred in or over a pre-determined time period,
25 e.g. 30 minutes, before considering that that
26 feature of the cleaning operation has been
27 completed.

28

29 In one embodiment, steps (a) and (b), and
30 optionally step (c), are carried out whilst the
31 cleaning operation is occurring, optionally
32 continuously.

1
2 The reference value or values may be the same or
3 different over time. The pre-determined range or
4 level for step (c) may also be the same or
5 different over time.

6
7 The water treatment or distribution apparatus may
8 include a reservoir of water. It may also
9 include a ringmain around which or through which
10 water is pumped, and is available for take off at
11 one or more ports for use in relevant
12 applications.

13
14 Water treatment apparatus as defined in the
15 present application includes any apparatus
16 designed to in some way treat water, particularly
17 but not exclusively to purify water, usually by
18 the extraction and/or exchange of one or more
19 substances in the water. Such substances may or
20 may not be deemed impurities, and their removal
21 and/or exchange may or may not improve the
22 quality of the water with regard to known water
23 treatment or purification processes. Such
24 apparatus may include one or more treatment
25 and/or purification units or operations such as
26 provided by filters, membranes and ultra-violet
27 light.

28
29 A water treatment system as defined in the
30 present invention is a system involving a water
31 treatment apparatus, usually but not exclusively
32 in combination with one or more other apparatus,

1 units or equipment, which may be integral or
2 separate therewith.

3

4 The present invention provides an automated
5 method for monitoring a sanitisation or cleaning
6 operation whilst it is occurring, and can also
7 confirm that the sanitisation of the cleaning
8 operation has been carried out satisfactorily,
9 if, for instance, the determination in step (c)
10 is that the level of substance in the apparatus
11 is within a pre-determined value, values or
12 range.

13

14 The present invention also provides an automated
15 method for determining that all the chemical or
16 chemicals used for the cleaning operation have
17 been removed, obviating the need for repeated
18 manual sampling and analysis. It is of course
19 still possible for an operator to carry out a
20 final confirmation acceptance test on the water
21 before the water apparatus or system is returned
22 to use, but, significantly, repeated analyses are
23 not required.

24

25 Indeed, the shutting down of a water apparatus or
26 system can have cost implications on the
27 application the apparatus or system is being used
28 for, such as interrupting manufacturing.

29 Naturally therefore, it is important that the
30 length of any shutdown of the water system or
31 apparatus is minimised. The time for any such
32 shutdown may be the result of failing to meet the

1 required specification, or the amount of time
2 taken for the sanitisation or cleaning procedure
3 to be confirmed. In particular, the present
4 invention provides a method for monitoring which
5 gives a high degree of confidence that the water
6 system or apparatus is suitable for reuse in the
7 relevant applications without the need for an
8 extended period of shutdown whilst
9 bacteriological samples are analysed.

10

11 The present invention is automated in comparison
12 with previous manual sampling and consideration
13 of rinse water after a cleaning operation, thus
14 in particular avoiding the requirement for
15 operator involvement or intervention. This
16 provides several significant advantages.
17 Firstly, it can be ensured that the water
18 treatment or water distribution apparatus or
19 system is properly cleaned before re-use,
20 avoiding any inappropriate or accidental operator
21 reliance. Secondly, the present invention can be
22 adapted to providing information other than just
23 whether the cleaning operation has been
24 concluded. Thirdly, the present invention can
25 provide a profile of the cleaning operation,
26 especially where steps (a) and (b) are carried
27 out regularly and/or continuously, which profile
28 can be used by the apparatus or system in further
29 operations or for comparison with other cleaning
30 operations, and/or by the operator, user,
31 manufacturer or a regulatory body, to ensure
32 correct use of the apparatus or system.

1
2 The present invention further provides the
3 ability to measure the property or properties of
4 one or more substances, such as sanitising or
5 cleaning chemicals, that may be being used
6 simultaneously in the apparatus or system.

7
8 The reference value or values are preferably
9 stored in the memory of a programmable unit. If
10 the property measurement, such as a sensor
11 profile, does not match that recorded in the
12 memory, then a warning can be given that the
13 sanitisation has not proceeded satisfactorily,
14 and, depending on the comparison obtained,
15 various warnings may be given, for example that
16 at lower strength of chemical has been used or
17 that no chemical has been added at all. Aural
18 and/or visible alarms can be raised at any stage
19 of the sanitisation if it is appropriate to do
20 so.

21
22 It is another feature of the present invention
23 that it can be combined with the use of a
24 security device such as a code, a pass key or the
25 like, to help prevent unauthorised operation of
26 the water apparatus or system routines. This
27 also improves verification of correct cleaning
28 procedures of the system or apparatus or system.

29
30 The pre-determined range required for step (c)
31 could be a comparison figure such as 5 or 10%
32 difference between the measured property of the

1 substance and the reference value for that
2 property. The substance may be added to the
3 apparatus or system through a transfer port.

4

5 In a preferred embodiment, the transfer port is
6 also flushed with the water so that no residual
7 substance or chemical is left within the system.
8 The port should also be devoid of stagnant areas
9 which could harbour or encourage the growth of
10 micro-organisms.

11

12 In another embodiment of the present invention,
13 the measured property, such as a sanitisation
14 profile, could be stored and used for future
15 comparisons. The storage means may be any
16 suitable electronic unit or device, and such
17 storage means may be integral or separate from
18 the water treatment or water distribution
19 apparatus or system. The comparison may be as an
20 additional check or as part of the main
21 verification process as a record of historical
22 events. This may be particularly useful for
23 review by third parties such as regulatory bodies
24 or authorities, to provide an (independent)
25 verification of the proper use of the equipment
26 by the user. Such verifications can take place
27 at the requirement of national or regional
28 pharmacopoeia or by other independent monitoring
29 authorities to confirm that the water treatment
30 apparatus or water distribution apparatus or
31 system is being properly used and cleaned, such
32 that the water from the apparatus or system is

1 compliant with relevant local regulations or
2 water quality levels.

3

4 According to a third aspect of the present
5 invention, there is provided apparatus to
6 automatically monitor a sanitisation or cleaning
7 of a water treatment or water distribution
8 apparatus or system, which apparatus is adapted
9 to generate a profile which is used to determine
10 if sanitisation or cleaning has been carried out.

11

12 An embodiment of the present invention will now
13 be described by way of example only, and with
14 reference to the accompanying schematic drawing,
15 Figure 1.

16

17 Referring to the drawing, Figure 1 shows a water
18 distribution system 10, which delivers water from
19 the inlet 12. The water is recirculated from a
20 reservoir 14 by a recirculation pump 16 around a
21 ringmain 18. The ringmain 18 may contain water
22 purification equipment 20 such as ion exchange
23 resins, ultrafilters or ultra violet tubes,
24 before being taken off by users at a number of
25 points 22. Excess water to that required by users
26 is returned to the reservoir 14. A pressure
27 sustaining valve 38 maintains pressure in the
28 system 10.

29

30 To carry out a sanitisation operation, a code is
31 required by the system 10 which may be input into
32 the controller 44 by known mechanical, keypad or

1 coded key means. This initiates the sanitation
2 mode. During the sanitisation procedure the take
3 off points 22 can be prevented from use by take
4 off valves 24 being held closed.

5
6 The amount of water in the reservoir 14 is
7 lowered to a pre-determined level by opening the
8 drain valve 36. When this is reached, valve 36 is
9 closed and the system awaits the chemical
10 substance to be placed into the chemical transfer
11 port 30. Addition of the chemical may be prompted
12 by a display and confirmation provided by the
13 operator via a user interface such as a button or
14 coded key.

15
16 Once confirmation is received the re-circulation
17 pump 16 is re-started and the chemical is flushed
18 from the chemical transfer port 30 into the
19 reservoir 14. Alternatively, the chemical may be
20 flushed using feedwater 12.

21
22 The chemical is then recirculated around the
23 ringmain 18 for a pre-determined period. During
24 this time a property of the diluted chemical such
25 as conductivity or pH is measured via sensor 32.
26 A profile against time is recorded by the
27 controller 42 and this is compared with reference
28 profiles stored in the memory of the controller.
29 This comparison may be via peak values,
30 integration, the value being within boundaries
31 for a specific time period or by any other
32 mathematical means. Alteration of the

1 recirculation period may occur depending on the
2 value measured by the sensor.

3

4 In certain cleaning procedures, further chemical
5 additions may be required or desired, either of
6 the same chemical or a different chemical
7 substance, to provide further or additional
8 cleaning as is known in the art.

9

10 Once the measured property of the chemical
11 substance and the reference profile are within a
12 pre-determined range, or have been within the
13 range for a predetermined period, this step of
14 the procedure is deemed completed, and the
15 procedure moves to the next stage.

16

17 When the period of recirculation is completed
18 drain valve 36 is opened and feedwater 12 is
19 allowed to flow into the system through feed
20 valve 28.

21

22 If desired, another sensor 34 in the feed line
23 measures the same property of the chemical
24 substance as measured by sensor 32 and comparison
25 of the two values by the controller 42 takes
26 place for the rinsing out operation also. As the
27 system is rinsed the values increasingly equalise
28 and once this has been achieved to acceptable
29 limits, plus potentially an extra time period if
30 required, the controller 42 advises the user to
31 test that all the chemical is out of the system

1 and once confirmed, that the system can be
2 returned to operation.

3

4 If the profile from the sensor recorded by the
5 controller 42 does not match that stored, then
6 the operator is informed and the operator can
7 then investigate the failure and carry out a
8 repeat sanitisation or cleaning operation, cycle,
9 or procedure as required.

10

11 EXAMPLE

12 Figure 2 is a sanitisation profile as recorded by
13 apparatus based on that shown in Figure 1,
14 containing a water purification system with a 350
15 litre reservoir, a recirculation pump and a
16 ringmain. In-line conductivity sensors as known
17 in the art were installed in the feedwater to the
18 reservoir and in the ringmain. The sensors
19 provided a signal to a control board containing
20 an enhanced FLASH microcontroller.

21

22 The amount of water in the reservoir was lowered
23 to 40 litres and a sanitisation solution of 0.9
24 litre of 22% hydrogen peroxide and 4.5%
25 peroxyacetic acid was added to the chemical
26 transfer port. The solution was allowed to
27 recirculate for a period of 45 minutes.

28

29 The controller recorded the conductivity profile
30 of the solution being recirculated as shown in
31 Figure 2. The controller was able to compare the
32 peak conductivity as the sanitant enters the

1 recirculation loop (900 $\mu\text{S}/\text{cm}$) with limits set in
2 its memory. This initial peak may also be
3 integrated to provide an amount added. It was
4 then able to compare a section of the
5 conductivity profile with profile pre-set values
6 or limits, for example a minimum of 250 $\mu\text{S}/\text{cm}$ and
7 a maximum of 400 $\mu\text{S}/\text{cm}$ between times $t=15$ mins
8 and $t=45$ mins to determine that the sanitisation
9 continues to proceed correctly.

10

11 The displacement rinse procedure was then
12 activated and the unit controller continued to
13 monitor the conductivity of the recirculating
14 solution until such time that the recirculation
15 conductivity matched that of the feedwater to
16 within pre-set limits.

17

18 The present invention, along with good operating
19 practice of carrying out sanitisations and cleans
20 when routine monitoring indicates that action is
21 required, optimises the operation of the water
22 treatment or distribution system such that
23 expensive periods of non-operation during
24 sanitisation or cleaning are minimised both in
25 terms of frequency and duration.

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Claims

- 1
2
3 1. An automated method for determining the
4 cleaning operation of a water treatment or water
5 distribution apparatus or system to which one or
6 more substances are added, the method comprising
7 the steps of:
8 (a) conducting one or more measurements of a
9 property of the or an added substance;
10 (b) comparing the property measurement(s) from
11 step (a) with at least one reference value for
12 that property; and
13 (c) determining when the comparison in step (b)
14 is within, above or below a pre-determined range
15 or level for that property.
16
17 2. A method as claimed in claim 1 wherein the
18 cleaning operation is a complete cleaning or
19 sanitisation cycle for the apparatus or system.
20
21 3. A method as claimed in claim 1 wherein the
22 cleaning operation is a part of a cleaning or
23 sanitisation cycle for the apparatus or system.
24
25 4. A method as claimed in any one of the
26 preceding claims wherein one substance is a
27 cleaning chemical.
28
29 5. A method as claimed in any one of claims 1 to
30 4 wherein two or more substances are added, each
31 substance being a cleaning chemical, and

1 optionally the substances being added

2 sequentially.

3 6. A method as claimed in any one of the
4 preceding claims adapted to determine whether the
5 quantity of one or more of the substance(s) added
6 is within, above or below a pre-determined
7 quantity.

8

9 7. A method as claimed in any one of the
10 preceding claims wherein one or more of the
11 substances includes one or more additives adapted
12 either to enhance the ability of a substance to
13 provide or generate a property which is
14 measurable, or to provide or generate a
15 measurable property that is different from that
16 of another substance in the apparatus or system.

17

18 8. A method as claimed in claim 7 wherein one
19 additive is a tracer compound.

20

21 9. A method as claimed in any one of the
22 preceding claims wherein a series of measurements
23 is conducted.

24

25 10. A method as claimed in claim 9 wherein the
26 measurements are conducted periodically,
27 regularly or continuously.

28

29 11. A method as claimed in claim 9 or claim 10
30 wherein the measurements are conducted over a
31 time period to monitor a substance property.

32

1 12. A method as claimed in any one of the
2 preceding claims wherein the comparison of step
3 (b) is conducted periodically, regularly or
4 continuously.

5

6 13. A method as claimed in any one of the
7 preceding claims wherein the reference value or
8 values are standard or pre-determined value or
9 values.

10

11 14. A method as claimed in claim 13 wherein the
12 reference value or values are programmed into a
13 comparator.

14

15 15. A method as claimed in any of the preceding
16 claims wherein the reference value or values are
17 determined by use of the apparatus or system.

18

19 16. A method as claimed in any of the preceding
20 claims wherein the comparison in step (b) with
21 the reference value or values includes a time
22 element.

23

24 17. A method as claimed in any one of the
25 preceding claims wherein the comparison in step
26 (b) with the reference value or values is
27 compared or determined over a time period.

28

29 18. A method as claimed in any one of the
30 preceding claims wherein steps (a) and (b), and
31 optionally step (c), are carried out whilst the
32 cleaning operation is occurring.

1

2 19. A method as claimed in claim 18 wherein the
3 steps are carried out continuously.

4

5 20. A method as claimed in any one of the
6 preceding claims wherein the determination in
7 step (c) includes a comparison in step (b) to
8 occur in a pre-determined time period for
9 completion of the cleaning operation.

10

11 21. A method as claimed in any one of the
12 preceding claims wherein the reference value or
13 values may be the same or different over time.

14

15 22. A method as claimed in any one of the
16 preceding claims wherein the pre-determined range
17 or level for step (c) is the same or different
18 over time.

19

20 23. A method as claimed in any one of the
21 preceding claims wherein the water treatment or
22 water distribution apparatus or system includes a
23 reservoir of water.

24

25 24. A method as claimed in any one of the
26 preceding claims wherein the method is able to
27 determine that all the substance or substances
28 used for the cleaning operation have been removed
29 from the apparatus or system.

30

31 25. A method as claimed in any one of the
32 preceding claims wherein the comparison in step

1 (b) provides an alarm if the property measurement
2 does not compare with a reference value in the
3 determination in step (c).

4

5 26. A method as claimed in any one of the
6 preceding claims wherein the method includes the
7 operation of a security unit, device or
8 apparatus.

9

10 27. A method as claimed in claim 26 wherein the
11 security unit, device or apparatus is a code or
12 pass key.

13

14 28. An automated process for monitoring the level
15 of a substance in a cleaning operation acting on
16 a water treatment or water distribution
17 apparatus,
18 wherein at least one property of the substance is
19 automatically measured by one or more measuring
20 means, and the or each measured property is
21 automatically compared with at least one
22 reference values for that or each property.

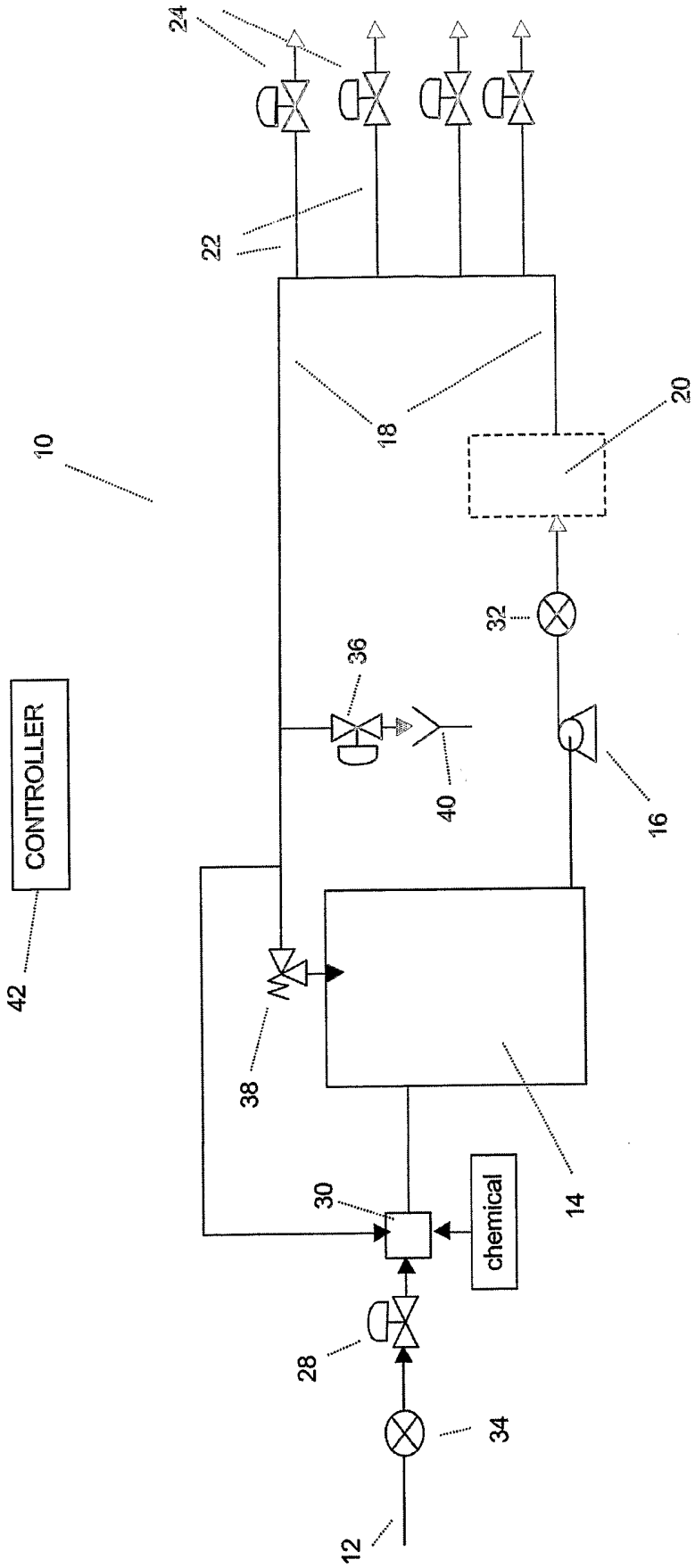
23

24 29. A process as claimed in claim 28 wherein the
25 process is continuous.

26

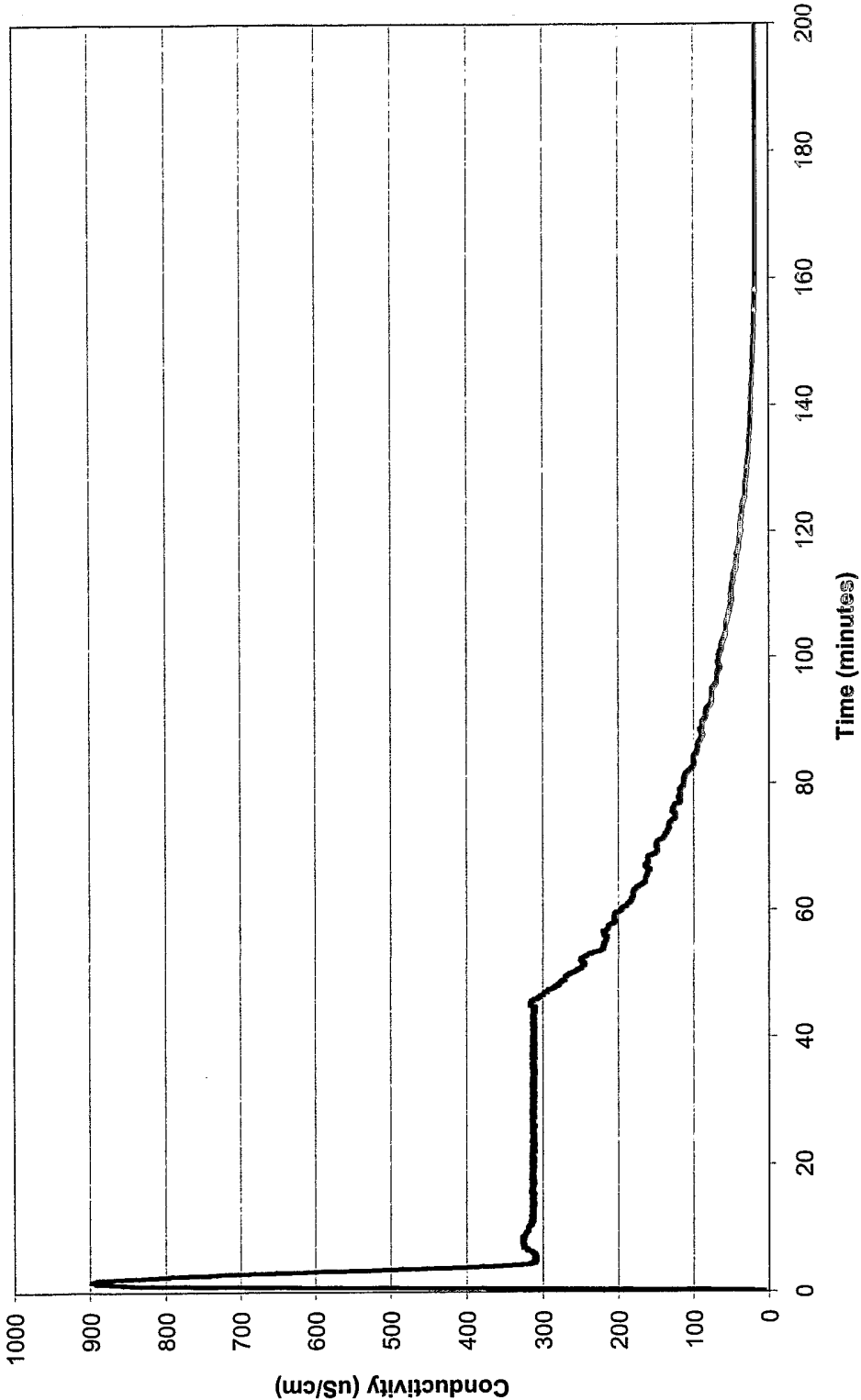
27 30. Apparatus to automatically monitor a
28 sanitisation or cleaning of a water treatment or
29 water distribution apparatus or system, which
30 apparatus is adapted to generate a profile to
31 determine whether sanitisation or cleaning has
32 been carried out.

Figure 1



Conductivity during Sanitisation

FIGURE 2



INTERNATIONAL SEARCH REPORT

Int. Application No.
PCT/GB2005/003116

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C02F1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C02F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 057 229 A (SCHULENBURG ET AL) 15 October 1991 (1991-10-15) column 1, line 44 - line 60; figure 1 column 2, line 25 - line 38 column 2, line 44 - line 47 column 4, line 40 - column 5, line 10 -----	1-7, 9-20, 23, 24, 28-30
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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INTERNATIONAL SEARCH REPORT

Inter: 1 Application No
PCT/GB2005/003116

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
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X	WO 2004/040389 A (HYDRO AUDITING SYSTEMS PTY LTD; CARTER, PETER, JOHN) 13 May 2004 (2004-05-13) page 4, line 31 - page 5, line 11; figure 1 page 6, line 13 - line 16 page 7, line 8 - line 26 page 9, line 5 - line 13 page 10, line 9 - line 11 page 10, line 29 - page 11, line 8 page 12, line 25 - line 32	1-7, 9-20, 23-25, 28-30
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