

# A U S T R A L I A

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### NOTICE OF ENTITLEMENT

We, **REGENERON PHARMACEUTICALS, INC** of 77 Old Saw Mill River Road, Tarrytown, New York, 10591, UNITED STATES OF AMERICA state the following in connection with Australian Patent Application No. 82337/91:

1. The persons nominated for the grant of the patent has entitlement from the actual inventors by assignment.
2. The actual inventors are:  
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MARK E FURTH                      GEORGE D YANCOPOULOS
3. The person nominated for the grant of the patent are entitled to rely on the applications listed in the Declaration under Article 8 of the PCT.
4. The basic applications listed on the patent request form referred to in the Declaration under Article 8 of the PCT are the applications first made in a convention country in respect of the invention.

DATED: 11 November 1994

By **PHILLIPS ORMONDE & FITZPATRICK**  
Patent Attorneys for the Applicant  
By:

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To: The Commissioner of Patents

Our Ref: IRN 312106



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 THE CILIARY NEUROTROPHIC FACTOR RECEPTOR
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## (57) Claim

1. An essentially purified and isolated nucleic acid molecule having a nucleotide sequence substantially as depicted in Figure 2 (SEQ. ID NO:1).

14. A method for identifying a cell which binds to CNTF ~~comprising~~ <sup>including</sup> detecting the presence of CNTF receptor-encoding RNA by a method comprising hybridizing a sample from the cell suspected of containing CNTF-receptor encoding RNA to a nucleic acid probe, which probe comprises at least a six nucleotide portion of the sequence depicted in Figure 2 (SEQ ID NO:1), and detecting any hybridization to the probe.

19. A plurality of cells which contain a recombinant nucleic acid encoding a human CNTF receptor and which express, on their surface, an increased number of CNTF receptors relative to the same type of cells which do not contain a recombinant nucleic acid encoding a CNTF receptor.

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20. A <sup>non-human</sup> transgenic animal <sup>including</sup> ~~comprising~~ the cells of claim 19.

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WORLD I

pages 1-70, description, and page 71, form concerning microorganisms, replaced by new pages bearing the same number; pages 73-82, sequence listing, added; pages 72-79, claims, replaced by new pages 83-90

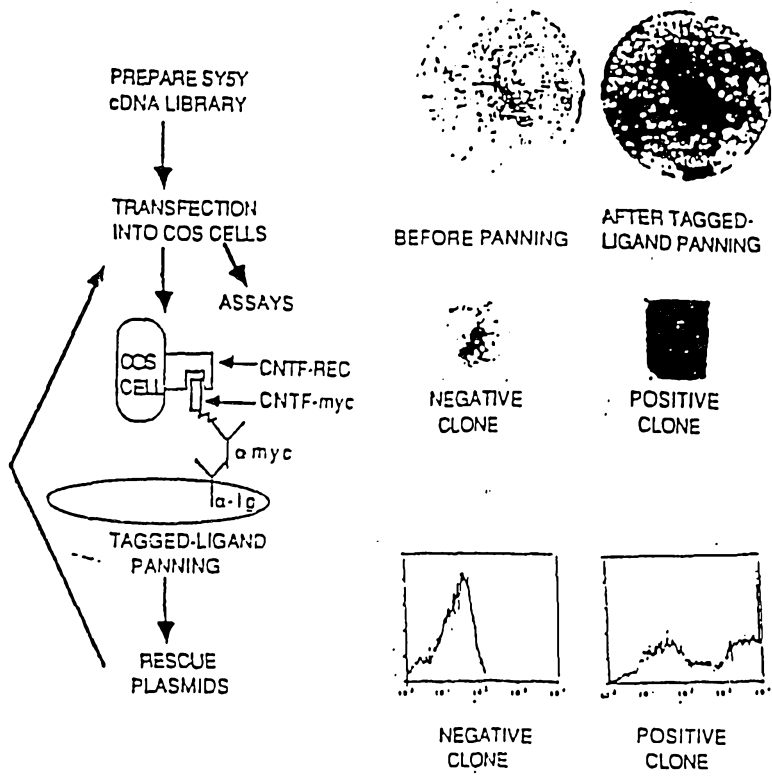
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<p>(21) International Application Number: PCT/US91/03896</p> <p>(22) International Filing Date: 3 June 1991 (03.06.91)</p> <p>(30) Priority data:</p> <table border="0"> <tr><td>532,285</td><td>1 June 1990 (01.06.90)</td><td>US</td></tr> <tr><td>676,647</td><td>28 March 1991 (28.03.91)</td><td>US</td></tr> <tr><td>700,677</td><td>15 May 1991 (15.05.91)</td><td>US</td></tr> </table> <p>(71) Applicant: REGENERON PHARMACEUTICALS, INC. [US/US]; 777 Old Saw Mill River Road, Tarrytown, NY 10591 (US).</p> <p>(72) Inventors: DAVIS, Samuel ; 332 West 88th Street, Apt. B2, New York, NY 10024 (US). SQUINTO, Stephen, P. ; 281 Birch Lane, Ivington, NY 10533 (US). FURTH, Mark, E. ; 54 Highbrood Avenue, Pelham, NY 10803 (US). YANCOPOULOS, George, D. ; 428 Sleepy Hollow Road, Briarcliff Manor, NY 10510 (US).</p>	532,285	1 June 1990 (01.06.90)	US	676,647	28 March 1991 (28.03.91)	US	700,677	15 May 1991 (15.05.91)	US	<p>(74) Agent: MISROCK, S., Leslie; Pennie &amp; Edmonds, 1155 Avenue of the Americas, New York, NY 10036 (US).</p> <p>(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), HU, IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent), SU.</p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> <p style="font-size: 2em; text-align: center;"><b>656732</b></p>
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(54) Title: THE CILIARY NEUROTROPHIC FACTOR RECEPTOR

(57) Abstract

The present invention relates to the ciliary neurotrophic factor (CNTF) receptor, and provides for CNTF receptor nucleic acid and amino acid sequences. It also relates to (i) assay systems for detecting CNTF activity; (ii) experimental model systems for studying the physiologic role of CNTF; (iii) diagnostic techniques for identifying CNTF-related neurologic conditions; (iv) therapeutic techniques for the treatment of CNTF-related neurologic and muscular conditions, and (v) methods for identifying molecules homologous to CNTF and CNTFR.



\* (Referred to in PCT Gazette No. 03/1992, Section II)

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THE CILIARY NEUROTROPHIC FACTOR RECEPTOR1. INTRODUCTION

The present invention relates to the ciliary  
5 neurotrophic factor receptor (CNTFR), and provides for CNTF  
receptor encoding nucleic acid and amino acid sequences.  
It also relates to (i) assay systems for detecting CNTF  
activity; (ii) experimental model systems for studying the  
physiological role of CNTF; (ii) diagnostic techniques for  
10 identifying CNTF-related neurologic conditions; (iv)  
therapeutic techniques for the treatment of CNTF-related  
neurologic conditions, and (v) methods for identifying  
molecules homologous to CNTFR.

15 2. BACKGROUND OF THE INVENTION2.1. CILIARY NEUROTROPHIC FACTOR

Ciliary neurotrophic factor (CNTF) is a protein that  
is specifically required for the survival of embryonic  
chick ciliary ganglion neurons in vitro (Manthorpe et al.,  
20 1980, J. Neurochem. 34:69-75). The ciliary ganglion is  
anatomically located within the orbital cavity, lying  
between the lateral rectus and the sheath of the optic  
nerve; it receives parasympathetic nerve fibers from the  
oculomotor nerve which innervate the ciliary muscle and  
25 sphincter pupillae.

Ciliary ganglion neurons have been found to be among  
the neuronal populations which exhibit defined periods of  
cell death. In the chick ciliary ganglion, half of the  
neurons present at embryonic day 8 (E8) have been observed  
30 to die before E14 (Landmesser and Pilar, 1974, J. Physiol.  
241:737-749). During this same time period, ciliary  
ganglion neurons are forming connections with their target  
tissues, namely, the ciliary body and the choroid coat of  
the eye. Landmesser and Pilar (1974, J. Physiol. 241:751-  
35

736) observed that removal of an eye prior to the period of cell death results in the complete loss of ciliary ganglion neurons in the ipsilateral ganglion. Conversely, Narayanan and Narayanan (1978, J. Embryol. Ex. Morphol. 44:53-70) observed that, by implanting an additional eye primordium and thereby increasing the amount of available target tissue, ciliary ganglion neuronal cell death may be decreased. These results are consistent with the existence of a target derived neurotrophic factor which acts upon ciliary ganglion neurons.

In culture, ciliary ganglion (CG) neurons have been found to require a factor or factors for survival. Ciliary neurotrophic factor(s) (CNTF) activity has been identified in chick muscle cell conditioned media (Helfand et al., 1976, Dev. Biol. 50:541-547; Helfand et al., 1978, Exp. Cell Res. 113:39-45; Bennett and Nurcome, 1979, Brain Res. 173:543-548; Nishi and Berg, 1979, Nature 277:232-234; Varon et al., 1979, Brain Res. 173:29-45), in muscle extracts (McLennan and Hendry, 1978, Neurosci. Lett. 10:269-273); in chick embryo extract (Varon et al., 1979, Brain Res. 173:29-45; Tuttle et al., 1980, Brain Res. 183:161-180), and in medium conditioned by heart cells (for discussion, see also Adler et al., 1979, Science 204:1434-1436 and Barbin et al., 1984, J. Neurochem. 43:1468-1478).

Adler et al. (1979, Science 204:1434-1436) used an assay system based on microwell cultures of CG neurons to demonstrate that a very rich source of CNTF was found in the intraocular target tissues the CG neurons innervate. Out of 8000 trophic units (TU) present in a twelve-day embryo, 2500 TU were found present in eye tissue; activity appeared to be localized in a fraction containing the ciliary body and choroid coat.

Subsequently, Barbin et al. (1984, J. Neurochem. 43:1468-1478) reported a procedure for enriching CNTF from chick embryo eye tissue. CNTF activity was also found to

be associated with non-CG tissues, including rat sciatic nerve (Williams et al., 1984, Int. J. Develop. Neurosci 218:460-470). Manthorpe et al. (1986, Brain Res. 367:282-286) reported partial purification of mammalian CNTF activity from extracts of adult rat sciatic nerve using a  
5 fractionation procedure similar to that employed for isolating CNTF activity from chick eye. In addition, Watters and Hendry (1987, J. Neurochem. 49:705-713) described a method for enriching CNTF activity approximately 20,000-fold from bovine cardiac tissue under  
10 non-denaturing conditions using heparin-affinity chromatography. CNTF activity has also been identified in damaged brain tissue (Manthorpe et al., 1983, Brain Res. 267:47-56; Nieto-Sampedro et al., 1983, J. Neurosci. 3:2219-2229).

15 Carnow et al. (1985, J. Neurosci. 5: 1965-1971) and Rudge et al., (1987, Develop. Brain Res. 32:103-110) describe methods for identifying CNTF-like activity from Western blots of tissue extracts and then identifying protein bands containing CNTF activity by inoculating the  
20 nitrocellulose strips in a culture dish with CG neurons and identifying areas of cell survival using vital dyes. Using this method, Carnow et al. (1985, J. Neurosci. 5:1965-1971) observed that adult rat sciatic nerve and brain-derived  
25 CNTF activities appear to exhibit a different size (24kD) than chick CNTF (20.4 kD).

Recently, CNTF has been cloned and synthesized in bacterial expression systems, as described in <sup>Australian</sup> U. S. Patent  
Application No. 67402/90  
Application Serial No. 07/570,651, entitled "Ciliary  
30 Neurotrophic Factor," filed August 20, 1990 by Sendtner et al. incorporated by reference in its entirety herein. Using recombinant probes, CNTF-mRNA in tissues of adult rat appeared to be about 1.2 kb in size. Rat brain CNTF was cloned and found to be encoded by a mRNA having a short 5'  
35 untranslated region of 77 bp and an open reading frame of



600 bp, predicting a protein of about 200 amino acids (Stockli et al., 1989, Nature 342:920-923). Human CNTF was also cloned and sequenced (~~U. S. Patent Application~~ <sup>Australian Application</sup> ~~Serial No. 67402/90~~ ~~No. 07/570,651~~, entitled "Ciliary Neurotrophic Factor," filed August 20, 1990 by Sendtner et al.); its coding sequences were substantially conserved relative to rat sequences, whereas noncoding sequences were less conserved.

## 2.2. FUNCTIONAL PROPERTIES OF CILIARY NEUROTROPHIC FACTOR

A number of biological effects have been ascribed to CNTF. As discussed above, CNTF was originally described as an activity which supported the survival of neurons of the E8 chick ciliary ganglion, a component of the parasympathetic nervous system. A description of other biological properties of preparations known to contain CNTF activity follows:

Saadat et al. (1989, J. Cell Biol. 108:1807-1816) observed that their most highly purified preparation of rat sciatic nerve CNTF induced cholinergic differentiation of rat sympathetic neurons in culture. Also, Hoffman (1988, J. Neurochem. 51:109-113) found that CNTF activity derived from chick eye increased the level of choline-O-acetyltransferase activity in retinal monolayer cultures.

Hughes et al. (1988, Nature 335:70-73) studied a population of bipotential glial progenitor cells in cultures derived from the perinatal rat optic nerve and brain; these progenitor cells have been shown to give rise to, first, oligodendrocytes and then, to type 2 astrocytes. Under the culture conditions used, oligodendrocyte differentiation appeared to occur directly from an oligodendrocyte-type 2-astrocyte (O-2A) progenitor cell, whereas type 2 astrocyte differentiation appears to require the presence of an inducing protein similar or identical to CNTF (see also Anderson, 1989, Trends Neurosci. 12:83-85).



Heymanns and Unsicker (1979, Proc. Natl. Acad. Sci. U.S.A. 4:7758-7762) observed that high-speed supernatants of neuroblastoma cell extracts produced effects similar to those associated with CNTF activity from chick eye or rat sciatic nerve; the presence of a protein similar but not  
5 identical to CNTF (by molecular weight) was indicated.

Ebendal (1987, J. Neurosci. Res. 17:19-24) looked for CNTF-like activity in a variety of rat and chicken tissues. He observed CNTF-like activity among a fairly wide range of rat, but not in chicken tissues; rat liver, spleen T cells,  
10 and submandibular gland cells were found to be associated with low levels of CG survival promoting activity, whereas heart, brain, and skeletal muscle tissues were associated with higher survival promoting activity. Among tissues  
15 tested the highest CNTF-like activity was observed to be associated with rat kidney.

While the above studies have shown that many tissue and cell extracts contain activities which support the survival of neuronal populations which are also responsive to CNTF, (i.e. they support the survival of E8 chick  
20 ciliary ganglion neurons in a tissue culture bioassay), it cannot be assumed that a single or identical protein is responsible for these activities. As shown for the family of fibroblast growth factors (FGFs) (Dionne et al. , 1990,  
25 EMBO J. 9:2685-2692), for example, a number of distinct polypeptides or proteins possess identical biological activity in a single bioassay.

The neuronal specificity of chick eye and rat sciatic nerve CNTF were initially found to have some overlap with neuronal populations responsive to NGF. Although CNTF was  
30 observed to have some overlapping neuronal specificity with NGF, distinguishing characteristics between them became most apparent in studies of the roles of CNTF and NGF in populations of developing neurons (Skaper and Varon, 1986,  
35 Brain Res. 389:39-46). In addition to their differing

roles in development, CNTF may also be distinguished from NGF by molecular weight, isoelectric point, inability to be inactivated by antibodies to NGF, and by CNTF's ability to support the in vitro survival of CGF neurons (Barbin et al., 1984, J. Neurochem. 43:1468-1478). Lin et al. (1989),  
5 Science 246:1023-1026 have reported that CNTF is without sequence homology to any previously reported proteins. Sendtner et al. (<sup>Australian Application No. 67401/90</sup>~~U. S. Patent Application Serial No. 07/570,651~~, entitled "Ciliary Neurotrophic Factor," filed  
10 August 20, 1990) observed that recombinant CNTF promoted survival of mediodorsal and ventral spinal cord neurons, and also that purified rat sciatic nerve CNTF appeared to prevent cell death of motoneurons in lesioned facial nerve (VIIth cranial nerve) of newborn rat (Sendtner et al.,  
15 1990, Nature 345:440-441).

The cloning and expression of CNTF using recombinant DNA technology has led to the discovery of a number of CNTF activities.

### 2.3. GROWTH FACTOR RECEPTORS

20 A number of receptors which mediate binding and response to protein factors have been characterized and molecularly cloned over the last few years, including receptors for insulin, for platelet derived growth factor, for epidermal growth factor and its relatives, for the  
25 fibroblast growth factors, and for various interleukins and hematopoietic growth factors. Recent data reveal that certain receptors can bind to multiple (related) growth factors, while in other cases the same factor can act on multiple (related) receptors (e.g. Lupu et al., 1990,  
30 Science 249:1552-1555; Dionne et al., 1990, EMBO J. 9:2685-2692; Miki et al., 1991, Science 251:72-75). Most receptors that bind protein factors can broadly be characterized as having extracellular portions responsible for specifically binding the factor, transmembrane regions  
35 which span the membrane, and intracellular domains that are



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often involved in initiating signal transduction upon binding of the protein factor to the receptor's extracellular portion. Interestingly, although many receptors are comprised of a single polypeptide chain, other receptors apparently require (at least) two separate subunits in order to bind to their factor with high-affinity and to allow functional response following binding (e.g. Hempstead et al., 1989, Science 243:373-375; Hibi et al., 1990, Cell 63:1149-1157). The extracellular and intracellular portions of a given receptor often share common structural motifs with the corresponding regions of other receptors, suggesting evolutionary and functional relationships between different receptors. These relationships can often be quite distant and may simply reflect the repeated use of certain general domain structures. For example, a variety of different receptors that bind unrelated factors make use of "immunoglobulin" domains in their extracellular portions, while other receptors utilize "cytokine receptor" domains in their factor-binding regions (e.g. Akira et al., 1990, The FASEB J. 4:2860-2867). A large number of receptors with distinct extracellular binding domains (which thus bind different factors) contain related intracytoplasmic domains encoding tyrosine-specific protein kinases that are activated in response to factor binding (e.g. Ullrich and Schlessinger, 1990, Cell 61:203-212). The mechanisms by which factor-binding "activates" the signal transduction process is poorly understood, even in the case of receptor tyrosine kinases. For other receptors, in which the intracellular domain encodes a domain of unknown function or in which the binding component associates with a second protein of unknown function (e.g. Hibi et al., 1990, Cell 63:1149-1157), activation of signal transduction remains even more mysterious.

35

### Summary of the Invention

The present invention relates to CNTF receptor (CNTFR) genes and proteins. It is based, in part, on the cloning and characterization of the human CNTFR gene and its expression in transfected COS cells.

5 The present invention provides for nucleic acid sequences which encode the CNTFR, as well as fragments derived therefrom. Accordingly, the present invention provides an essentially purified and isolated nucleic acid molecule having a nucleotide sequence substantially as depicted in Figure 2 (SEQ. ID NO:1). The present invention, also provides for substantially purified CNTFR protein, and for peptide fragments  
10 thereof.

In a further aspect of the present invention, there is provided an essentially purified and isolated nucleic acid molecule in figure 2 (SEQ. ID NO: 1) which encodes a protein having the amino acid sequence substantially as depicted in Figure 2 (SEQ. ID NO: 2).

15 In a further aspect of the invention, CNTFR probes, including nucleic acid as well as antibody probes, may be used to identify CNTFR-related molecules. For example, the present invention provides for such molecules which form a complex with CNTFR and thereby participate in CNTFR function. As another example, the present invention provides for receptor molecules which are homologous or cross-reactive antigenically,  
20 but not identical to CNTFR. These particular embodiments are based on the discovery that the CNTFR bears homology to other biologically relevant molecular, including, most particularly, the IL-6 receptor, but also the PDGF receptor, the CSF-1 receptor, the prolactin receptor, the IL-2 and IL-4 receptors, the GM-CSF granulocyte macrophage colony stimulation factor receptor, pregnancy-specific alpha 1-beta  
25 glycoprotein, and carcinoembryonic antigen, a tumor marker.

The present invention also provides for assay systems for detecting CNTF activity, comprising cells which express high levels of CNTFR, and which are therefore extremely sensitive to even very low concentrations of CNTF or CNTF-like molecules.

30 There is provided a method for identifying a cell which binds to CNTF including detecting the presence of CNTF receptor-encoding RNA by a method comprising hybridizing a sample from the cell suspected of containing CNTF-receptor encoding RNA to nucleic acid probe, which probe comprises at least a six nucleotide

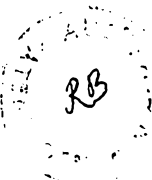
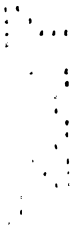


portion of the sequence depicted in Figure 2 (SEQ ID NO: 1), and detecting any hybridization to the probe.

In addition, the present invention provides for experimental model systems for studying the physiological role of CNTF. Such systems include animal models, such as

- 5 (i) animals exposed to circulating CNTFR peptides which compete with cellular receptor for CNTF binding and thereby

10



produce a CNTF-depleted condition, (ii) animals immunized with CNTFR; (iii) transgenic animals which express high levels of CNTFR and therefore are hypersensitive to CNTF; and (iv) animals derived using embryonic stem cell technology in which the endogenous CNTFR genes were deleted  
5 from the genome.

In yet further embodiments of the invention, CNTFR probes may be used to identify cells and tissues which are responsive to CNTF in normal or diseased states. For example, a patient suffering from a CNTF-related disorder  
10 may exhibit an aberrancy of CNTFR expression.

In addition, the CNTFR genes and proteins of the invention may be used therapeutically. For example, and not by way of limitation, a circulating CNTFR may be used to deplete CNTF levels in areas of trauma to the central  
15 nervous system. Alternatively, a recombinant CNTFR gene may be inserted in tissues which would benefit from increased sensitivity to CNTF, such as motorneurons in patients suffering from amyotrophic lateral sclerosis.

#### 20 4. DESCRIPTION OF THE FIGURES

Figure 1. A. Schematic diagram of expression cloning using tagged ligand binding strategy. B. Secondary iodinated antibody assay showed that in contrast to COS cells transfected with the original cDNA library, many COS  
25 cells transfected with DNA obtained after one round of panning expressed CNTF-binding sites (radioautograph done on 60 mm plate of transfected COS cells; each black dot represents a single transfected COS cell expressing a CNTF-binding site). C. The same assay as described in (B), but where COS cells had been  
30 transfected with a non-CNTFR encoding plasmid (negative clone) or a CNTFR encoding plasmid (positive clone). Only small sections of each plate are shown. D.  
35 Results of fluorescence activated cell sorting (FACS)

analysis of COS cells transfected with the negative clone or the positive clone of (C).

Figure 2. Nucleic acid sequence (SEQ ID NO:1) of CNTFR-encoding cDNA and deduced amino acid sequence (SEQ ID NO:2).

5 Figure 3. Alignment of the human CNTFR showing homologies in the immunoglobulin-like domain and the cytokine receptor-like domain. Numbers on the left indicate the amino acid number starting from the first methionine. Identical residues and conserved substitutions are  
10 marked by solid boxes. Gaps are introduced to maximize homology. IL-6 = interleukin 6 (IgG-like domain = SEQ ID NO:3, cytokine-like domain = SEQ ID NO:8); CEA = carcinoembryonic antigen (IgG-like domain = SEQ ID  
15 NO:4), PDGF = platelet derived growth factor (IgG-like domain = SEQ ID NO:5), CSF-1 = colony stimulating factor 1 (IgG-like domain = SEQ ID NO:6); alpha 1- $\beta$  GP = alpha 1  $\beta$  glycoprotein (IgG-like domain = SEQ ID NO:7), PRL = prolactin (cytokine domain = SEQ ID NO:9), EPO =  
20 erythropoietin (cytokine domain = SEQ ID NO:10); IL-2 = interleukin 2 (cytokine domain = SEQ ID NO:11); IL-4 = interleukin 4 (cytokine domain = SEQ ID NO:12), GM-CSF = granulocyte macrophage colony stimulating factor  
(cytokine domain = SEQ ID NO:13).

25 Figure 4. Structural relationships between the CNTFR and other related receptors. The human IL-6 receptor and CNTFR have an immunoglobulin domain fused to the N-terminus of the proposed factor binding domain. A short acidic tether (zig zag line) connects the  
30 globular immunoglobulin and proposed factor binding domain. A proposed protein similar to gp130 is shown in association with the CNTFR, as discussed in the text. HuGRHR - human growth hormone receptor; RbPRLR - rabbit prolactin receptor; MoEPOR - mouse  
35 erythropoietin receptor; HuIL2R $\beta$  - human interleukin-2

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receptor  $\beta$ -chain; HuIL6R - human interleukin 6 receptor; HuCNTFR - human ciliary derived neurotrophic factor receptor; C - cysteine; X- unknown amino acid; W - tryptophan; S - serine; F - phenylalanine.

5 Figure 5. Tissue localization of CNTFR message. RNA was prepared from the indicated tissues of rat as described in section 8.1. DNA fragments of CNTFR were derived from expression constructs containing these genes in pCMX as described in section 8.1. Tissues: cerebellum (CB); hindbrain (HB); midbrain (MB); thalamus (TH/HYP); 10 striatum (STRI); hippocampus B (HIP B); hippocampus A (HIP A); cortex (CORT); olfactory bulb (OLF); adult brain (AD BR); skin (SK); heart (HRT); muscle (MUS), lung (LUNG); intestine (INT); kidney (KID); liver (LIV); spleen (SPL); thymus (THY); E17 liver (E17 LIV).

15 Figure 6. pCMX with hCNTF-R gene insert. Construction of pCMX in copending application.

Figure 7. Northern blot analysis of CNTF receptor expression in skeletal muscle. 10  $\mu$ g of total RNA was run in each lane. Lane 1, mouse myoblast cell line C2C12 mb; lane 2, mouse myotube cell line C2C12 mt; 20 lane 3, rat myotube cell line H9C2 mt; lane 4, rat myotube cell line L6 mt; lane 5, rat soleus muscle; lane 6, rat extensor digitorum longus (EDL) muscle; lane 7, denervated skeletal muscle; lane 8, purified 25 human myotubes; lane 9, skeletal muscle (this RNA sample was degraded); lane 10, adult rat cerebellum; lane 11, sham-operated soleus muscle; lane 12, 72 hour denervated rat soleus muscle; lane 13, sham-operated EDL muscle; lane 14, 72 hour denervated EDL muscle.

30 Figure 8. Anatomical diagram of the right hindlimb subjected to denervation surgery.

Figure 9. UNOP = unoperated soleus muscles from animal group 1 (Table IV); solid bar represents right side and 35 stippled bar represents left side; NONE = lesioned

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(denervated, right side) and control (sham-operated, left side) soleus muscles without any injection, from animal group 2; ALB = lesioned (right) and control (left) soleus muscles treated with PBS/BSA (SC) from animal group 6; CNTF=lesioned (right) and control (left) soleus muscles treated with CNTF/BSA (SC) from animal group 5. Solid bars: lesioned; stippled bars: control.

## 5. DETAILED DESCRIPTION OF THE INVENTION

For purposes of clarity of disclosure, and not by way of limitation, the detailed description of the invention will be divided into the following subsections:

- (i) cloning of the CNTF receptor;
- (ii) nucleic acid encoding the CNTF receptor;
- (iii) CNTFR peptides;
- (iv) expression of CNTF receptor;
- (v) identification of molecules related to the CNTF receptor; and
- (vi) utility of the invention.

### 5.1. CLONING OF THE CILIARY NEUROTROPHIC FACTOR RECEPTOR

The present invention enables the cloning of the CNTF receptor (CNTFR) by providing a method for selecting target cells which express CNTFR. By providing a means of enriching for CNTFR encoding sequences, the present invention enables the purification of CNTFR protein and the direct cloning of CNTFR-encoding DNA.

For example, CNTFR-bearing target cells may be selected, and CNTFR protein may be purified using methods known to one skilled in the art for the purification of a receptor molecule. For example, and not by way of limitation, CNTF or CNTF attached to a detectable molecule, as described in section 5.6.3, infra, in which the tag may

be, for example, a radiolabel, antigenic determinant, or antibody, to name a few, (CNTF/tag) may be reversibly crosslinked to target cells, and membrane associated proteins from said target cells may be subjected to purification methods. Such purification methods may  
5 include SDS-PAGE, followed by detection of the position of CNTF or CNTF/tag in the gel; for example, radiolabeled CNTF could be used, and, crosslinked to its receptor, may be visualized in the gel by autoradiography. Alternatively, anti-CNTF or anti-tag antibody could be used in the Western  
10 blot technique to identify the position of the CNTF/receptor complex in such gels. Preparative gel electrophoresis could be used to isolate sufficient amounts of protein to enable amino acid sequencing of peptide fragments of the receptor, or to enable production of  
15 anti-CNTFR antibody which could be used to purify CNTFR molecules from target cell extracts. Amino acid sequence obtained from purified CNTFR may be used to design degenerate oligonucleotide probes which may be used to  
20 identify CNTFR encoding cloned nucleic acid in a genomic DNA library or, preferably, in a cDNA library constructed from CNTFR producing target cells.

Alternatively, the CNTFR may be cloned by subtractive hybridization methods, in which mRNA may be prepared from target cells which express CNTFR, and then non-CNTFR  
25 encoding sequences may be subtracted by hybridizing the mRNA (or cDNA produced therefrom) with mRNA or cDNA derived from cells such as neuronal cells which do not express the CNTFR. The nucleic acid remaining after subtraction is  
30 likely to be enriched in CNTFR-encoding sequences.

Nucleic acid prepared, preferably, from target cells enriched in CNTFR encoding sequences due to endogenous expression of CNTFR and/or due to subtraction techniques discussed supra, may also be used in expression cloning  
35 techniques to directly clone the CNTFR. For example, and

not by way of limitation, total genomic DNA from target cells which express CNTFR may be prepared and then transfected into a cell line which does not express CNTFR and which is preferably derived from a different species from the target cell species (for example, DNA from a human CNTFR-encoding cell may be transfected into a mouse cell, such as an L cell). Although a relatively small number of transfected cells may express CNTFR, such cells may be identified by rosetting techniques or immunofluorescence techniques as described in section 5.6.3, infra and may be isolated, for example, by fluorescence-activated cell sorting or using antibody-coupled magnetic beads or "panning" techniques, known to one skilled in the art. The CNTFR encoding DNA may be cloned from receptor-producing transfectants by producing a genomic library from the transfectants and then isolating and propagating clones that contain either sequences conforming to CNTFR amino acid sequence or sequences homologous to species specific genetic elements; for example, human DNA may be identified via Alu repeated sequences, which are distributed at high frequency throughout the human genome. For example, and not by way of limitation, cultured non-human cells comprising transfected human DNA encoding the CNTFR and which express human CNTFR may be selected, propagated, and then genomic DNA prepared from these cells may be used to transfect cultured non-human cells, and CNTFR expressing cells may be selected. This process may be repeated; its purpose is to decrease, by each transfection step, the amount of human DNA present in CNTFR encoding cells. Accordingly, when the genomic DNA of transfected, human CNTFR expressing cells is cloned to generate a library, clones which include human DNA (and are identified, for example, by screening for distinctly human sequence elements) are more likely to comprise CNTFR-encoding sequences when repeated transfections have been performed.

RNA from a CNTFR expressing cell line or tissue source, or a cDNA expression library obtained from such a source may be introduced in pools into *Xenopus* oocytes by direct injection; oocytes injected with pools encoding the CNTFR may be identified by assaying for functional  
5 responses (e.g. ion fluxes) that may be induced by exposing such oocytes to CNTF, or alternatively by detecting the presence of CNTF-binding sites on the surface of such injected oocytes. Repetitively dividing positive pools  
10 into smaller and smaller pools may lead to the identification of individual clones encoding the CNTFR.

Alternatively, a cDNA expression library may be derived from CNTFR bearing target cells and then utilized in transient expression assays. In a preferred embodiment  
15 of the invention, said expression library may incorporate the SV40 origin of replication and transient expression assays may be performed using COS cells. CNTFR-expressing transfectants may be identified as set forth above, and CNTFR encoding DNA may be retrieved using standard methods.  
20 The nucleic acid sequence encoding the CNTFR may then be propagated and/or utilized in expression systems using methods substantially as set forth for nucleic acid encoding CNTF, as described in <sup>Australian Patent Application</sup> ~~U.S. Patent Application~~  
~~No. 67402/90~~  
~~Serial No. 07/570,651~~, entitled "Ciliary Neurotrophic  
25 Factor," filed August 20, 1990 by Sendtner et al.

In a specific embodiment of the invention, exemplified in Section 6, infra, (and see Figure 1) expression cloning of the CNTFR may be performed as follows. A cDNA library may be prepared from a cell line or tissue which expresses  
30 CNTFR such as SH-SY5Y, such that the cDNA is inserted into an expression vector. This library may then be transfected into a suitable cell line, such as COS M5 cells, using, for example, a DEAE/chloroquine transfection protocol. Several days after transfection, the cells may be detached from  
35 their culture dishes and subjected to the Aruffo/Seed



panning procedure (Seed and Aruffo, 1987, Proc. Natl. Acad. Sci. U.S.A. 84:365-3369), with the following modifications:

- 5 (i) instead of incubating the transfected cells with anti-receptor antibodies, the cells may be incubated first with tagged CNTF (for example, CNTF myc) on ice for about 30 minutes, centrifuged through phosphate buffered saline (PBS)/2% Ficoll to remove excess ligand, and then incubated with anti-tag antibody (for example, the anti-myc antibody 9E10) for about 30 minutes on ice.
- 10 (ii) the cells may then be spun through PBS/2% Ficoll and then "panned" on plates coated with antibody that recognizes the anti-tag antibody (for example, if the anti-tag antibody is 9E10, anti-mouse antibody.
- 15

Then, after washing nonadherent cells from the plates, Hirt supernatants may be prepared from the adherent cells, and plasmid DNA may be precipitated in the presence of about 10-20 $\mu$ g of tRNA. The resulting plasmid DNA may then be introduced into suitable bacteria (for example DH10 B bacteria) by standard techniques, including, but not limited to, electroporation. The cultures grown from transformed bacteria may then be used to prepare plasmid DNA for another round of eukaryotic transfection and panning. After this second transfection, panning and plasmid DNA preparation and transformation, the bacterial transformants may be plated out on selective media, individual colonies may be picked and used for the preparation of plasmid DNA, and DNA prepared from a number of such clones may be used individually for COS cell transfection. Alternatively, more rounds of enrichment may be necessary before individual colonies are tested. Resulting COS cells expressing CNTF binding sites may be identified by a number of techniques, including, but not

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limited to, indirect binding assays using radioactively  
labeled or fluorescently labeled indicator antibodies. An  
example of a CNTFR-encoding nucleic acid is comprised in  
pCMX-hCNTFR (I2), Figure 6, which has been deposited with  
the NRRL and assigned accession number B-18789, and which  
5 is described in ~~pending United States patent application~~  
<sup>PCT/US92/02492</sup>  
~~Serial No.~~ entitled "Mammalian Expression Vector" by Davis  
and Yancopoulos. Clones identified in this manner may then  
be analyzed by restriction fragment mapping and nucleic  
acid sequencing using standard techniques. Fragments of  
10 the CNTFR-encoding cDNA may then be used to identify  
genomic DNA sequences which comprise the CNTFR gene, for  
example, from a genomic DNA library using standard  
hybridization techniques.

Once obtained, a CNTFR gene may be cloned or subcloned  
15 using any method known in the art. A large number of  
vector-host systems known in the art may be used. Possible  
vectors include, but are not limited to, cosmids, plasmids  
or modified viruses, but the vector system must be  
compatible with the host cell used. Such vectors include,  
20 but are not limited to, bacteriophages such as lambda  
derivatives, or plasmids such as pBR322, pUC, or  
Bluescript® (Stratagene) plasmid derivatives. Recombinant  
molecules can be introduced into host cells via  
25 transformation, transfection, infection, electroporation,  
etc.

The CNTFR gene may be inserted into a cloning vector  
which can be used to transform, transfect, or infect  
appropriate host cells so that many copies of the gene  
30 sequences are generated. This can be accomplished by  
ligating the DNA fragment into a cloning vector which has  
complementary cohesive termini. However, if the  
complementary restriction sites used to fragment the DNA  
are not present in the cloning vector, the ends of the DNA  
35 molecules may be enzymatically modified. It may prove



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advantageous to incorporate restriction endonuclease cleavage sites into the oligonucleotide primers used in polymerase chain reaction to facilitate insertion into vectors. Alternatively, any site desired may be produced by ligating nucleotide sequences (linkers) onto the DNA termini; these ligated linkers may comprise specific chemically synthesized oligonucleotides encoding restriction endonuclease recognition sequences. In an alternative method, the cleaved vector and CNTFR gene may be modified by homopolymeric tailing.

In specific embodiments, transformation of host cells with recombinant DNA molecules that incorporate an isolated CNTFR gene, cDNA, or synthesized DNA sequence enables generation of multiple copies of the gene. Thus, the gene may be obtained in large quantities by growing transformants, isolating the recombinant DNA molecules from the transformants and, when necessary, retrieving the inserted gene from the isolated recombinant DNA.

#### 5.2. NUCLEIC ACID ENCODING CILIARY NEUROTROPHIC FACTOR RECEPTOR

Using the methods detailed supra and in Example Section 6, infra, the following nucleic acid sequence was determined, and the corresponding amino acid sequence deduced. The sequence of the human CNTFR is depicted in Figure 2 (SEQ ID NO:1). This sequence, its functional equivalent, or fragments of this sequence at least 6 nucleotides in length may be used in accordance with the invention. Additionally, the invention relates to CNTFR genes isolated from porcine, ovine, bovine, feline, avian, equine, or canine, as well as primate sources and any other species in which CNTF activity exists. Subsequences comprising hybridizable portions of the CNTFR sequence have use, e.g., in nucleic acid hybridization assays, Southern and Northern blot analyses, etc.

For example, the nucleic acid sequence depicted in Figure 2 (SEQ ID NO:1) can be altered by mutations such as substitutions, additions or deletions that provide for sequences encoding functionally equivalent molecules.

5 According to the present invention, a molecule is functionally equivalent or active compared with a molecule having the sequence depicted in Figure 2 (SEQ ID NO:2) if it has the ability to bind CNTF, but it does not necessarily bind CNTF with an affinity comparable to that of natural CNTFR. Due to the degeneracy of nucleotide  
10 coding sequences, other DNA sequences which encode substantially the same amino acid sequence as depicted in Figure 2 (SEQ ID NO:2) may be used in the practice of the present invention. These include but are not limited to  
15 nucleotide sequences comprising all or portions of the CNTFR gene depicted in Figure 2 (SEQ ID NO:1) which is altered by the substitution of different codons that encode a functionally equivalent amino acid residue within the sequence, thus producing a silent change.

20 In addition, the recombinant CNTFR-encoding nucleic acid sequences of the invention may be engineered so as to modify processing or expression of CNTFR. For example, and not by way of limitation, the CNTFR gene may be combined with a promoter sequence and/or a ribosome binding site, or  
25 a signal sequence may be inserted upstream of CNTFR encoding sequences to permit secretion of CNTFR and thereby facilitate harvesting or bioavailability.

30 Additionally, a given CNTFR can be mutated in vitro or in vivo, to create and/or destroy translation, initiation, and/or termination sequences, or to create variations in coding regions and/or form new restriction endonuclease sites or destroy preexisting ones, to facilitate further in vitro modification. Any technique for mutagenesis known in the art can be used, including but not limited to, in vitro  
35 site-directed mutagenesis (Hutchinson, et al., 1978, J.

Biol. Chem. 253:6551), use of TAB<sup>®</sup> linkers (Pharmacia), etc.

### 5.3. CILIARY NEUROTROPHIC FACTOR RECEPTOR PEPTIDES

5           The invention also provides for CNTFR proteins, fragments and derivatives thereof, having the amino acid sequence set forth in Figure 2 (SEQ ID NO:2) or its functional equivalents and for proteins homologous to such protein, such homology being of at least about 30 percent.  
10 The invention also provides fragments or derivatives of CNTFR proteins which comprise at least six amino acids, comprise an antigenic determinant(s), or which are functionally active. The CNTFR protein having the amino acid sequence depicted in Figure 2 (SEQ ID NO:2) has a  
15 molecular weight of approximately 42 kd.

          CNTFR proteins, or fragments or derivatives thereof, of the invention include, but are not limited to, those containing, as a primary amino acid sequence, all or part of the amino acid sequence substantially as depicted in  
20 Figure 2 (SEQ ID NO:2) including altered sequences in which functionally equivalent amino acid residues are substituted for residues within the sequence resulting in a silent change. For example, one or more amino acid residues within the sequence can be substituted by another amino  
25 acid of a similar polarity which acts as a functional equivalent, resulting in a silent alteration. Substitutes for an amino acid within the sequence may be selected from other members of the class to which the amino acid belongs. For example, the nonpolar (hydrophobic) amino acids include  
30 alanine, leucine, isoleucine, valine, proline, phenylalanine, tryptophan and methionine. The polar neutral amino acids include glycine, serine, threonine, cysteine, tyrosine, asparagine, and glutamine. The  
35 positively charged (basic) amino acids include arginine,

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lysine and histidine. The negatively charged (acidic) amino acids include aspartic acid and glutamic acid. Also included within the scope of the invention are CNTFR proteins or fragments or derivatives thereof which are differentially modified during or after translation, e.g.,  
5 by phosphorylation, glycosylation, crosslinking, acylation, proteolytic cleavage, linkage to an antibody molecule, membrane molecule or other ligand, (Ferguson et al., 1988, Ann. Rev. Biochem. 57:285-320).

10 The CNTFR peptides of the invention may be prepared by recombinant nucleic acid expression techniques or by chemical synthesis using standard peptide synthesis techniques.

15 5.4. EXPRESSION OF CILIARY NEUROTROPHIC FACTOR RECEPTOR

In order to express recombinant CNTFR, the nucleotide sequence coding for a CNTFR protein, or a portion thereof, can be inserted into an appropriate expression vector, i.e., a vector which contains the necessary elements for  
20 the transcription and translation of the inserted protein-coding sequence. The necessary transcription and translation signals can also be supplied by the native CNTFR gene and/or its flanking regions. A variety of host-vector systems may be utilized to express the  
25 protein-coding sequence. These include but are not limited to mammalian cell systems infected with virus (e.g., vaccinia virus, adenovirus, etc.); insect cell systems infected with virus (e.g., baculovirus); microorganisms such as yeast containing yeast vectors, or bacteria  
30 transformed with bacteriophage DNA, plasmid DNA, or cosmid DNA. The expression elements of these vectors vary in their strengths and specificities. Depending on the host-vector system utilized, any one of a number of suitable  
35 transcription and translation elements may be used.

In a preferred specific embodiment of the invention, the CNTFR gene may be comprised in the pCMX expression vector, as deposited with the NRRL and assigned accession no. B-18790.

5 Any of the methods previously described for the insertion of DNA fragments into a vector may be used to construct expression vectors containing a chimeric gene consisting of appropriate transcriptional/translational control signals and the protein coding sequences. These methods may include in vitro recombinant DNA and synthetic techniques and in vivo recombinations (genetic recombination). Expression of nucleic acid sequence encoding CNTFR protein or peptide fragment may be regulated by a second nucleic acid sequence so that CNTFR protein or peptide is expressed in a host transformed with the recombinant DNA molecule. For example, expression of CNTFR may be controlled by any promoter/enhancer element known in the art. Promoters which may be used to control CNTFR expression include, but are not limited to, the SV40 early promoter region (Bernoist and Chambon, 1981, Nature 20 290:304-310), the CMV promoter, the promoter contained in the 3' long terminal repeat of Rous sarcoma virus (Yamamoto, et al., 1980, Cell 22:787-797), the herpes thymidine kinase promoter (Wagner et al., 1981, Proc. Natl. Acad. Sci. U.S.A. 78:144-1445), the regulatory sequences of the metallothionine gene (Brinster et al., 1982, Nature 296:39-42); prokaryotic expression vectors such as the  $\beta$ -lactamase promoter (Villa-Kamaroff, et al., 1978, Proc. Natl. Acad. Sci. U.S.A. 75:3727-3731), or the tac promoter (DeBoer, et al., 1983, Proc. Natl. Acad. Sci. U.S.A. 80:21-25), see also "Useful proteins from recombinant bacteria" in Scientific American, 1980, 242:74-94; promoter elements from yeast or other fungi such as the Gal 4 promoter, the ADC (alcohol dehydrogenase) promoter, PGK (phosphoglycerol kinase) promoter, alkaline phosphatase 35

promoter, and the following animal transcriptional control regions, which exhibit tissue specificity and have been utilized in transgenic animals: elastase I gene control region which is active in pancreatic acinar cells (Swift et al., 1984, *Cell* 38:639-646; Ornitz et al., 1986, Cold Spring Harbor Symp. Quant. Biol. 50:399-409; MacDonald, 1987, *Hepatology* 7:425-515); insulin gene control region which is active in pancreatic beta cells (Hanahan, 1985, *Nature* 315:115-122), immunoglobulin gene control region which is active in lymphoid cells (Grosschedl et al., 1984, *Cell* 38:647-658; Adames et al., 1985, *Nature* 318:533-538; Alexander et al., 1987, *Mol. Cell. Biol.* 7:1436-1444), mouse mammary tumor virus control region which is active in testicular, breast, lymphoid and mast cells (Leder et al., 1986, *Cell* 45:485-495), albumin gene control region which is active in liver (Pinkert et al., 1987, *Genes and Devel.* 1:268-276), alpha-fetoprotein gene control region which is active in liver (Krumlauf et al., 1985, *Mol. Cell. Biol.* 5:1639-1648; Hammer et al., 1987, *Science* 235:53-58); alpha 1-antitrypsin gene control region which is active in the liver (Kelsey et al., 1987, *Genes and Devel.* 1:161-171), beta-globin gene control region which is active in myeloid cells (Mogram et al., 1985, *Nature* 315:338-340; Kollias et al., 1986, *Cell* 46:89-94); myelin basic protein gene control region which is active in oligodendrocyte cells in the brain (Readhead et al., 1987, *Cell* 48:703-712); myosin light chain-2 gene control region which is active in skeletal muscle (Sani, 1985, *Nature* 314:283-286), and gonadotropic releasing hormone gene control region which is active in the hypothalamus (Mason et al., 1986, *Science* 234:1372-1378).

Expression vectors containing CNTFR gene inserts can be identified by three general approaches: (a) DNA-DNA hybridization, (b) presence or absence of "marker" gene functions, and (c) expression of inserted sequences. In

the first approach, the presence of a foreign gene inserted in an expression vector can be detected by DNA-DNA hybridization using probes comprising sequences that are homologous to an inserted CNTFR gene. In the second approach, the recombinant vector/host system can be identified and selected based upon the presence or absence of certain "marker" gene functions (e.g., thymidine kinase activity, resistance to antibiotics, transformation phenotype, occlusion body formation in baculovirus, etc.) caused by the insertion of foreign genes in the vector. For example, if the CNTFR gene is inserted within the marker gene sequence of the vector, recombinants containing the CNTFR insert can be identified by the absence of the marker gene function. In the third approach, recombinant expression vectors can be identified by assaying the foreign gene product expressed by the recombinant. Such assays can be based, for example, on the physical or functional properties of the CNTFR gene product, for example, by binding of the receptor to CNTF or to an antibody which directly recognizes the CNTFR.

In an additional embodiment, cells which do not normally express CNTFR may be transfected with recombinant-CNTFR encoding nucleic acid and then tested for the expression of functional CNTFR by exposing the transfectants to CNTF and then testing for an increase in cAMP levels.

Once a particular recombinant DNA molecule is identified and isolated, several methods known in the art may be used to propagate it. Once a suitable host system and growth conditions are established, recombinant expression vectors can be propagated and prepared in quantity. As previously explained, the expression vectors which can be used include, but are not limited to, the following vectors or their derivatives: human or animal viruses such as vaccinia virus or adenovirus; insect

viruses such as baculovirus; yeast vectors; bacteriophage vectors (e.g., lambda), and plasmid and cosmid DNA vectors, to name but a few.

In addition, a host cell strain may be chosen which modulates the expression of the inserted sequences, or  
5 modifies and processes the gene product in the specific fashion desired. Expression from certain promoters can be elevated in the presence of certain inducers; thus, expression of the genetically engineered CNTFR protein may be controlled. Furthermore, different host cells have  
10 characteristic and specific mechanisms for the translational and post-translational processing and modification (e.g., glycosylation, cleavage) of proteins. Appropriate cell lines or host systems can be chosen to ensure the desired modification and processing of the  
15 foreign protein expressed. For example, expression in a bacterial system can be used to produce an unglycosylated core protein product. Expression in yeast may be used to produce a glycosylated product. Expression in mammalian cells can be used to ensure "native" glycosylation of the  
20 heterologous CNTFR protein. Furthermore, different vector/host expression systems may effect processing reactions such as proteolytic cleavages to different extents.

25 Once a recombinant which expresses the CNTFR gene is identified, the gene product should be analyzed. This can be achieved by assays based on the physical or functional properties of the product.

Once the CNTFR protein is identified, it may be  
30 isolated and purified by standard methods including chromatography (e.g., ion exchange, affinity, and sizing column chromatography), centrifugation, differential solubility, or by any other standard technique for the purification of proteins. In particular, CNTFR protein may

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be isolated by binding to an affinity column comprising CNTF bound to a stationary support.

Nucleic acid sequences complementary to DNA or RNA sequences encoding CNTFR or a functionally active portion thereof are also provided. In a particular aspect, antisense oligonucleotides can be synthesized, which are complementary to at least a portion of CNTFR mRNA.

#### 5.5. IDENTIFICATION OF MOLECULES RELATED TO THE CILIARY NEUROTROPHIC FACTOR RECEPTOR

Multiple receptor-factor systems have been defined in which the same factor can bind to multiple receptors (see supra). As this may be the case for CNTF, the present invention allows for the identification of any additional CNTF receptors by the identical scheme used to obtain the CNTFR described here, except for the source of RNA used to prepare the cDNA expression library. A source may be chosen that would be likely to be expressing a distinct CNTF receptor; sources may be evaluated for the presence of CNTF-binding not attributable to the CNTFR (genetic probes and antibody reagents generated from the CNTFR sequence may be used to compare the protein responsible for CNTF binding in cell lines or tissue sources with the CNTF described here). In addition, because receptors are known which bind to more than one related factor (see supra), identification of the CNTFR should allow identification of any additional native ligands which bind this receptor.

In a further aspect of the invention, the CNTFR sequence may be used in the identification of CNTFR-related molecules. The CNTFR contains motifs which are shared with a variety of other receptors. The extracellular portion of the CNTFR contains both an "immunoglobulin" domain at its N-terminus, as well as a "cytokine receptor" domain which is separated from the "immunoglobulin" domain by a short hinge region. Although many receptors have homology to

either the "immunoglobulin" or "cytokine receptor" domains, only one receptor - the IL-6 receptor - shares the same particular arrangement of these domains with the CNTFR. The IL-6 receptor is thus the protein most related to the CNTFR. Interestingly, the IL-6 receptor is also similar to  
5 the CNTFR in that it has a very short intracytoplasmic domain which is apparently not required for initiating responses upon IL-6 binding (Hibi et al., 1990, Cell 63:1149-1157). Recently, a novel signal transducer for the IL-6 receptor, termed gp 130, was molecularly cloned. This  
10 transducer does not bind IL-6 by itself, but it does confer high affinity binding to the IL-6 receptor and it is required to transduce the IL-6 signal (Hibi et al., 1990, Cell 63:1149-1157). Cloning of the CNTFR reveals that it  
15 shares important features with the IL-6 receptor that are not found in other known receptors, thus defining a new family of receptors. Homologies between these first two members of this receptor family, as defined by the present invention, may be used to identify additional related  
20 receptors by using DNA or antibody probes corresponding to homologous regions, or by using a polymerase chain reaction strategy together with degenerate oligonucleotides corresponding to shared regions of amino acid homology (e.g. Maisonpierre et al., 1990, Science 247:1146-1451).  
25 The present invention may also be used for the testing of whether the CNTFR utilizes the same signal transducer as the IL-6 receptor, or whether it utilizes a related molecule. Finally, the identification of CNTFR-related receptors should aid in the identification of novel ligands  
30 that would bind to these receptors.

According to the present invention, by screening a DNA library (comprising genomic DNA or, preferably, cDNA) with oligonucleotides corresponding to CNTFR sequence derived either from protein sequence data or from the nucleic acid  
35 sequence set forth in Figure 2 (SEQ ID NO:1), clones may be

identified which encode new members of the family described above. By decreasing the stringency of hybridization, the chances of identifying somewhat divergent members of the family may be increased. It may also be desirable to use sequences substantially shared by members of the family  
5 which have been sequenced; such highly conserved regions may be particularly useful in identifying additional members of the family. Library screening may be performed using, for example, the hybridization technique of Benton and Davis (1977, Science 196:180) or Grunstein and Hogness  
10 (1975, Proc. Natl. Acad. Sci. U.S.A. 72:3961-3965). Clones identified by hybridization may then be further analyzed, and new family members may be identified by restriction fragment mapping and sequencing techniques according to methods well known in the art.

15 It may be desirable to utilize polymerase chain reaction (PCR) technology (Saiki et al., 1985, Science 230:1350-1354) to identify additional members of the CNTFR superfamily. For example, sense and antisense primers corresponding to known CNTFR sequence may be used in PCR,  
20 preferably using cDNA as template. It may be desirable to design these primers such that they include restriction enzyme cleavage sites which may facilitate the insertion of the products of PCR into appropriate cloning vectors. The products of PCR may be inserted into suitable vectors and  
25 the resulting clones may then be screened for new family members. Such screening may be performed using standard techniques, including hybridization analysis using probes corresponding to known sequence. For example, a series of  
30 probes representing different regions of a characterized CNTFR protein may be hybridized at low stringency to duplicate filters carrying DNA from clones generated using PCR, as outlined above. It may be observed that various clones may hybridize to some probes, but not others. New  
35 family members may also be identified by increasing the

stringency of the hybridization conditions, wherein new members not identical to probes derived from known members would hybridize less strongly at higher stringency.

Alternatively, new family members may be identified by restriction mapping or sequencing analysis using standard techniques to reveal differences in restriction maps or sequences relative to known family members.

In additional embodiments, the present invention provides for molecules which form a complex with CNTFR and thereby may participate in CNTFR function. For example, it has been found that CNTFR does not, by sequence analysis, appear to possess a cytoplasmic domain; it may, in fact, be joined to a membrane through GPI linkage glycosylphosphatidylinositol (reviewed in Ferguson et al., 1988, Ann. Rev. Biochem. 57:285-320). This suggests that at least one other molecule forms an association with CNTFR to participate in signal transduction across the cell membrane. Such a molecule may be, for example, a protein such as GP130 that is found associated with IL-6R (Taga et al., 1989, Cell 58:573-581); this is particularly likely in light of the homology between CNTFR and the IL-6 receptor. Molecules which are associated with CNTFR at the cell membrane may be isolated and identified by any method known in the art, including but not limited to chemical cross-linkage, coprecipitation with anti-CNTFR antibody, or via a CNTF/tag, and/or by protein or lipid purification techniques.

Further, the present invention provides for molecules other than CNTF which may bind to CNTFR. Such molecules are defined as molecules which compete with CNTF, including other normal ligands, for CNTFR binding, and include peptides, peptide derivatives and non-peptide (e.g. peptidomimetic) compounds.

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## 5.6. UTILITY OF THE INVENTION

### 5.6.1. ASSAY SYSTEMS

The present invention provides for assay systems in which CNTF activity or activities similar to CNTF activity resulting from exposure to a peptide or non-peptide compound may be detected by measuring a physiological response to CNTF in a cell or cell line responsive to CNTF which expresses the CNTFR molecules of the invention. A physiological response may comprise any of the biological effects of CNTF, including but not limited to, those described in Section 2.2, supra, as well as the transcriptional activation of certain nucleic acid sequences (e.g. promoter/enhancer elements as well as structural genes), CNTF-related processing, translation, or phosphorylation, the induction of secondary processes in response to processes directly or indirectly induced by CNTF, and morphological changes, such as neurite sprouting, or the ability to support the survival of cells such as ciliary ganglion cells, motoneurons, Purkinje cells, or hippocampal neurons, to name but a few.

In a preferred specific embodiment of the invention, the functional interaction between CNTF and the CNTFR may be observed by detecting an increase in the production of "immediate early" primary response genes activated in response to many growth factor-stimulated transmembrane signals, including, but not limited to, c-fos and c-jun. For example, the activation of immediate early genes may be detected by Northern blot analysis of immediate early gene mRNA levels. In a preferred embodiment of the invention, c-fos or c-jun mRNA levels may be determined by Northern blot analysis of mRNA prepared from target cells incubated with CNTF, wherein CNTF activity is evidenced by an increase in levels of c-fos or c-jun. Of note, in particular embodiments of the invention, once target cells have been produced that contain recombinant CNTFR-encoding

nucleic acid or selected by virtue of binding to CNTF, it may be desirable to ensure that the target cells respond characteristically to CNTF or compounds with CNTF-like activity. In the context of the present invention, the term CNTF-like activity is construed to mean biological activity which is similar but may or may not be identical to that of CNTF; such activities would include but are not limited to those described in Section 2.2, supra or the activation of particular immediate early promoters such as the fos or jun promoters.

The present invention provides for the development of novel assay systems which may be utilized in the screening of compounds for CNTF- or CNTF-like activity. Target cells which bind to CNTF may be produced by transfection with CNTFR-encoding nucleic acid or may be identified and segregated by, for example, fluorescent-activated cell sorting, sedimentation of rosettes, or limiting dilution as described in Section 5.6.3, infra.

Once target cell lines are produced or identified, it may be desirable to select for cells which are exceptionally sensitive to CNTF. Such target cells may bear a greater number of CNTFRs; target cells bearing a relative abundance of CNTFRs could be identified by selecting target cells which bind to high levels of CNTF, for example cells which when incubated with CNTF/tag and subjected to immunofluorescence assay produce a relatively higher degree of fluorescence. Alternatively, cells which are exceptionally sensitive to CNTF may exhibit a relatively strong biological response, such as a sharp increase in immediate early gene products such as c-fos or c-jun, in response to CNTF binding. By developing assay systems using target cells which are extremely sensitive to CNTF, the present invention provides for methods of screening for CNTF or CNTF-like activity which are capable of detecting low levels of CNTF activity.

In particular, using recombinant DNA techniques, the present invention provides for CNTF target cells which are engineered to be highly sensitive to CNTF. For example, the CNTF-receptor gene, cloned according to the methods set forth in Section 5.1, may be inserted into cells which are naturally CNTF responsive such that the recombinant CNTFR gene is expressed at high levels and the resulting engineered target cells express a high number of CNTFRs on their cell surface.

Alternatively, or additionally, the target cells may be engineered to comprise a recombinant gene which is expressed at high levels in response to CNTF/receptor binding. Such a recombinant gene may preferably be associated with a readily detectable product. For example, and not by way of limitation, transcriptional control regions (i.e. promoter/enhancer regions) from an immediate early gene may be used to control the expression of a reporter gene in a construct which may be introduced into target cells. The immediate early gene/reporter gene construct, when expressed at high levels in target cells by virtue of a strong promoter/enhancer or high copy number, may be used to produce an amplified response to CNTFR binding. For example, and not by way of limitation, a CNTF-responsive promoter (such as the c-fos or c-jun promoter) may be used to control the expression of detectable reporter genes including  $\beta$ -galactosidase, growth hormone, chloramphenicol acetyl transferase, neomycin phosphotransferase, luciferase, or  $\beta$ -glucuronidase. Detection of the products of these reporter genes, well known to one skilled in the art, may serve as a sensitive indicator for CNTF or CNTF-like activity of pharmaceutical compounds.

The CNTFR-encoding or reporter gene constructs discussed above may be inserted into target cells using any method known in the art, including but not limited to

transfection, electroporation, calcium phosphate/DEAE dextran methods, and cell gun, as well as the production of transgenic animals bearing the above-mentioned constructs as transgenes, and from which CNTF target cells may be selected using the methods discussed supra.

5           Assay systems of the present invention enable the efficient screening of pharmaceutical compounds for utility in the treatment of CNTF-associated diseases. For example, and not by way of limitation, it may be desirable to screen a pharmaceutical agent for CNTF activity and therapeutic  
10 efficacy in cerebellar degeneration. In a specific embodiment of the invention, Purkinje cells responsive to CNTF may be identified and isolated, and then cultured in microwells in a multiwell culture plate. Culture medium  
15 with added test agent, or added CNTF, in numerous dilutions may be added to the wells, together with suitable controls. The cells may then be examined for improved survival, neurite sprouting, and so forth, and the activity of test agent and CNTF, as well as their relative  
20 activities, may be determined. As another example, motorneuron lesions have been shown to respond favorably to CNTF (Sendtner et al., 1990, Nature 345:440). It may, therefore, be desirable to identify CNTF-like compounds which can, like CNTF, prevent motorneuron cell death  
25 following axotomy. CNTF responsive motorneurons could be utilized in assay systems to identify compounds useful in treating motorneuron diseases. Considering that CNTF has been found to be effective in preventing motorneuron cell death following axotomy, which clearly is an extremely  
30 important observation when contemplating treatments for spinal cord injuries, amyotrophic lateral sclerosis, and diabetic neuropathy, in designing drugs which would be effective in treating these disorders, including drugs which may be required to pass the blood brain barrier, it  
35 is essential to have access to a reliable and sensitive

screening system such as the methods the present invention provide. For another example, if a particular disease is found to be associated with a defective CNTF response in a particular tissue, a rational treatment for the disease would be supplying the patient with exogenous CNTF.

5 However, it may be desirable to develop molecules which have a longer half-life than endogenous CNTF, or which act as CNTF agonists, or which are targeted to a particular tissue. Accordingly, the methods of the invention can be used to produce efficient and sensitive screening systems  
10 which can be used to identify molecules with the desired properties. Similar assay systems could be used to identify CNTF antagonists.

#### 15 5.6.2. EXPERIMENTAL MODEL SYSTEMS

The present invention also provides for experimental model systems for studying the physiological role of CNTF. In these model systems, CNTFR protein, peptide fragment, or a derivative thereof, may be either supplied to the system or produced within the system. Such model systems could be  
20 used to study the effects of CNTF excess or CNTF depletion. The experimental model systems may be used to study the effects of increased or decreased response to CNTF in cell or tissue cultures, in whole animals, in particular cells or tissues within whole animals or tissue culture systems,  
25 or over specified time intervals (including during embryogenesis) in embodiments in which CNTFR expression is controlled by an inducible or developmentally regulated promoter. In particular embodiments of the invention, the  
30 CMV promoter may be used to control expression of CNTFR in transgenic animals. The term "transgenic animals," as used herein, refers to non-human transgenic animals, including transgenic mosaics, which carry a transgene in some or all of their cells, which include any non-human species, and  
35 which are produced by any method known in the art,

including, but not limited to microinjection, cell fusion, transfection, electroporation, etc. For example, the animals may be produced by a microinjection of zygotes method such as that set forth in "Brinster et al, 1989, Proc. Natl. Acad. Sci. U.S.A. 82:4438-4442.

5 The present invention also provides for model systems for autoimmune disease in which an autoimmune response is directed toward CNTFR. Such models comprise animals which have been immunized with immunogenic amounts of CNTFR and preferably found to produce anti-CNTFR antibodies and/or  
10 cell-mediated immunity. To produce such a model system, it may be desirable to administer the CNTFR in conjunction with an immune adjuvant, such as Bacille Calmette Guerin (BCG).

15

#### 5.6.2.1. MODELS FOR INCREASED CNTF ACTIVITY

For example, and not by way of limitation, an experimental model system may be created which may be used to study the effects of excess CNTF activity. In such a system, the response to CNTF may be increased by  
20 engineering an increased number of CNTFRs on cells of the model system relative to cells which have not been so engineered. It may be preferable to provide an increased number of CNTFRs selectively on cells which normally  
25 express CNTFRs.

25

Cells may be engineered to produce increased numbers of CNTFR by infection with a virus which carries a CNTFR gene of the invention. Alternatively, the CNTFR gene may be provided to the cells by transfection.

30

If the model system is an animal, a recombinant CNTFR gene may be introduced into the cells of the animal by infection with a virus which carries the CNTFR gene. Alternatively, a transgenic animal may be created which carries the CNTFR gene as a transgene.

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-36-

In order to ensure expression of CNTFR, the CNTFR gene should be placed under the control of a suitable promoter sequence. It may be desirable to put the CNTFR gene under the control of a constitutive and/or tissue specific promoter, including but not limited to the CNS neuron specific enolase, neurofilament, and tyrosine hydroxylase promoter, an inducible promoter, such as the metallothionein promoter, the UV activated promoter in the human immunodeficiency virus long terminal repeat (Valeri et al., 1988, Nature 333:78-81), or the CMV promoter (as contained in pCMX, infra) or a developmentally regulated promoter.

By increasing the number of cellular CNTFRs, the response to endogenous CNTF may be increased. If the model system contains little or no CNTF, CNTF may be added to the system. It may also be desirable to add additional CNTF to the model system in order to evaluate the effects of excess CNTF activity. Over expressing CNTF (or secreted CNTF) may be the preferable method for studying the effects of elevated levels of CNTF on cells already expressing CNTFR. More preferably would be to express CNTFR in all cells (general expression) and determine which cells are then endowed with functional responsiveness to CNTF, thus allowing the potential identification of a second receptor component, if one exists.

#### 5.6.2.2. MODELS FOR DECREASED CNTF ACTIVITY

Alternatively, as an example, and not by way of limitation, an experimental model system may be created which may be used to study the effects of diminished CNTF activity. This system may permit identification of processes or neurons which require CNTF, and which may represent potential therapeutic targets. In such a system, the response to CNTF may be decreased by providing recombinant CNTFRs which are not associated with a cell

surface or which are engineered so as to be ineffective in transducing a response to CNTF.

For example, CNTFR protein, peptide, or derivative may be supplied to the system such that the supplied receptor may compete with endogenous CNTFR for CNTF binding, thereby  
5 diminishing the response to CNTF. The CNTFR may be a cell free receptor which is either added to the system or produced by the system. For example, a CNTFR protein which lacks the transmembrane domain may be produced by cells within the system, such as an anchorless CNTFR that may be  
10 secreted from the producing cell. Alternatively, CNTFR protein, peptide or derivative may be added to an extracellular space within the system.

In additional embodiments of the invention, a recombinant CNTFR gene may be used to inactivate or "knock out"  
15 the endogenous gene by homologous recombination, and thereby create a CNTFR deficient cell, tissue, or animal. For example, and not by way of limitation, a recombinant CNTFR gene may be engineered to contain an insertional mutation, for example the neo gene, which inactivates  
20 CNTFR. Such a construct, under the control of a suitable promoter, may be introduced into a cell, such as an embryonic stem cell, by a technique such as transfection, transduction, injection, etc. Cells containing the construct may then be selected by G418 resistance. Cells  
25 which lack an intact CNTFR gene may then be identified, e.g. by Southern blotting or Northern blotting or assay of expression. Cells lacking an intact CNTFR gene may then be fused to early embryo cells to generate transgenic animals deficient in CNTFR. A comparison of such an animal with an  
30 animal not expressing endogenous CNTF would reveal that either the two phenotypes match completely or that they do not, implying the presence of additional CNTF-like factors or receptors.

35

Such an animal may be used to define specific neuronal populations, or any other in vivo processes, normally dependent upon CNTF. Thus, these populations or processes may be expected to be effected if the animal did not express CNTFR and therefore could not respond to CNTF.

5 Alternatively, a recombinant CNTFR protein, peptide, or derivative which competes with endogenous receptor for CNTF may be expressed on the surface of cells within the system, but may be engineered so as to fail to transduce a response to CNTF binding.

10 The recombinant CNTFR proteins, peptides or derivatives described above may bind to CNTF with an affinity that is similar to or different from the affinity of endogenous CNTFR to CNTF. To more effectively diminish the response to CNTF, the CNTFR protein, peptide, or  
15 derivative may desirably bind to CNTF with a greater affinity than that exhibited by the native receptor.

If the CNTFR protein, peptide, or derivative is produced within the model system, nucleic acid encoding the CNTFR protein, peptide, or derivative may be supplied to  
20 the system by infection, transduction, transfection, etc. or as a transgene. As discussed supra, the CNTFR gene may be placed under the control of a suitable promoter, which may be, for example, a tissue-specific promoter or an inducible promoter or developmentally regulated promoter.  
25

In a specific embodiment of the invention the endogenous CNTFR gene of a cell may be replaced by a mutant CNTFR gene by homologous recombination.

In a further embodiment of the invention, CNTFR  
30 expression may be reduced by providing CNTFR expressing cells with an amount of CNTFR anti-sense RNA or DNA effective to reduce expression of CNTFR protein.

35

### 5.6.3. DIAGNOSTIC APPLICATIONS

According to the present invention, CNTFR probes may be used to identify cells and tissues which are responsive to CNTF in normal or diseased states. The present invention provides for methods for identifying cells which are responsive to CNTF comprising detecting CNTFR expression in such cells. CNTFR expression may be evidenced by transcription of CNTFR mRNA or production of CNTFR protein. CNTFR expression may be detected using probes which identify CNTFR nucleic acid or protein.

One variety of probe which may be used to detect CNTFR expression is a nucleic acid probe, which may be used to detect CNTFR-encoding RNA by any method known in the art, including, but not limited to, in situ hybridization, Northern blot analysis, or PCR related techniques.

Another variety of probe which may be used is tagged CNTF, as set forth in U. S. Serial No. 07/532,285, the complete text of which is incorporated by reference herein.

According to the present invention, the term "tagged" CNTF should be construed to mean a CNTF molecule which is attached to a second detectable compound (the "tag"). The detectable compound may comprise radioisotope, a fluorescent moiety, or a ligand capable of binding to a receptor, or a substance which may be detected colorimetrically or which has catalytic activity. In preferred embodiments, the tag may comprise an antigenic determinant such that antibody is capable of binding to the tag. In alternative embodiments the tag itself may be an antibody; in a specific embodiment of the invention the tag is monoclonal antibody RP3-17. It is desirable that the tag not interfere with the biological activity of CNTF and that the methods of detection of the tag would not substantially interfere with the binding of CNTF to its receptor.

The tag may be attached to CNTF using any method known in the art. In preferred embodiments of the invention, the tag is covalently linked to CNTF but in some cases it may be desirable that the tag be attached by noncovalent forces (for example, if the tag comprises an immunoglobulin molecule).

The tag may be of any molecular size suitable for preserving its detector function without substantially altering the biological activity of the attached CNTF. If the tag is to provide an antigenic determinant, it may be desirable that it comprise at least about 5-15 amino acids.

For purposes of illustration, and not by way of limitation, in one preferred specific method of the invention, CNTF may be tagged using a "patch" polymerase chain reaction in which recombinant neurotrophic factor (CNTF) is engineered to carry at its C-terminal end ten amino acids corresponding to a known antigenic determinant. For example, and not by way of limitation, this antigenic determinant may correspond to a defined epitope of the human c-myc proto-oncogene protein.

For example, and not by way of limitation, the "patch" PCR method may be used to attach the ten amino acid myc tag as follows (the present invention provides for any amino acid tag attached by analogous methods). A 5' PCR primer corresponding to an CNTF sequence upstream of a unique restriction enzyme cleavage site in a bacterial expression construct may be utilized in PCR reaction with a "patch" primer comprising nucleic acid sequence corresponding to 3' terminal CNTF sequence and nucleic acid sequence encoding the peptide tag, using cDNA from CNTF-responsive cells as template.

The PCR reaction should also comprise a 3' primer corresponding to the patch primer sequence and including nucleic acid sequence which incorporates unique restriction endonuclease cleavage sites. In preferred embodiments, the

5' and 3' primers may be used in excess of patch primer, such that PCR amplification between 5' and patch primers may cease after a few PCR cycles whereas amplification between the 5' and 3' primers may initiate and continue to produce a high yield of full length CNTF/tag sequence. The "patch" technique overcomes the need for long primers whose synthesis may be difficult and time consuming. The amplified CNTF/tag product may be gel purified, digested with restriction enzymes which cleave at the sites engineered into the termini of the product, and then subcloned into the corresponding restriction sites of an expression vector. For example, to produce CNTF-myc tag, the following primers may be used: 5' primer = 5' GAC TCG AGT CGA CAT CGG AGG CTG ATG GGA TGCC 3' (SEQ ID:14); patch primer = 3' CTA AAG ACT CCT CCT AGA CAT CGC CGG CGT ATCG 5' (SEQ ID NO:15); primers may be used in a ratio of 100 ng 5' primer/100 ng 3' primer/1ng patch primer; for details see Section 6, *infra*. The expression of CNTF/tag may be carried out as described for the expression of recombinant CNTF in ~~U. S. Patent Application Serial No. 07/570,651,~~ <sup>Australian Patent Application 674,02/90</sup> entitled "Ciliary Neurotrophic Factor," filed August 20, 1990 or PCT Publication No WO91/04316, published April 4, 1991 by Sendtner et al.

The present invention also provides for a tag which comprises an immunoglobulin molecule, or a portion thereof, e.g. an Fc, F(ab)<sub>2</sub>, or F(ab)' fragment of an antibody molecule. The tag should bind to CNTF, and may be a polyclonal or monoclonal antibody.

According to the invention, tagged CNTF may be incubated with cells under conditions which would promote the binding or attachment of CNTF to said cells. In most cases, this may be achieved under standard culture conditions. For example, in a preferred embodiment of the invention, cells may be incubated for about 30 minutes in the presence of tagged CNTF. If the tag is an antibody



molecule, it may be preferable to allow CNTF to bind to cells first and subsequently wash cells to remove unbound ligand and then add anti-CNTF antibody tag.

In particular embodiments of the invention, tagged CNTF on the surface of CNTF-responsive cells, hereafter  
5 called target cells, may be detected by rosetting assays in which indicator cells that are capable of binding to the tag are incubated with cells bearing CNTF/tag such that they adhere to CNTF/tag on the target cells and the bound  
10 indicator cells form rosette-like clusters around CNTF-tag bearing cells. These rosettes may be visualized by standard microscopic techniques on plated cells, or, alternatively, may allow separation of rosetted and non-rosetted cells by density centrifugation. In a preferred  
15 specific embodiment of the invention, target cells, such as neuronal cells, may be harvested and plated at a concentration of about 200 cells/well in a multiple well (e.g. 60 well) culture plate in medium such as RPM1 1640 with 10% fetal bovine serum and 2 mM glutamine. Plated  
20 cells may be incubated for about 16 to 24 hours at 37°C in a humidified 5% CO<sub>2</sub> atmosphere incubator to allow cells to attach. Next, excess cell culture media may be removed and the cells may be incubated for about 30 minutes at room temperature with tagged CNTF. The cells may then be washed  
25 several times with PBS (with calcium and magnesium) supplemented with 1% bovine serum albumin (BSA) to remove unbound ligand and then incubated for about 30 minutes at room temperature with about 10 µg/ml of antibody which recognizes the tag molecule. Cells may then be washed  
30 several times with PBS to remove unbound antibody. Then, the target cells (bearing CNTF/tag bound to anti-tag antibody) may be incubated at room temperature for 1 hour with about a 0.2% (v/v) suspension of rosetting indicator cells which bind to the anti-tag antibody (such as  
35 indicator cells bearing rabbit-anti-mouse immunoglobulin).

The plates may then be washed with PBS and examined under a phase contrast microscope for rosettes. For example, if the anti-tag antibody is produced by a mouse, indicator cells may be produced by coating erythrocytes (such as human O+ erythrocytes) with anti-(mouse immunoglobulin) antibody produced by another species. Indicator cells may be prepared by incubating erythrocytes with anti-immunoglobulin antibody (at a concentration greater than about 1 mg/ml) in the presence of 0.01%  $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$  diluted in saline according to the procedure of Albino et al. (1981, J. Exp. Med. 154:1764-1778). Alternatively, magnetic beads or other methods known in the art may be used.

In alternative embodiments of the invention, tagged CNTF on the surface of target cells may be detected using immunofluorescent techniques in which a molecule which reacts with the tag, preferably an antibody, directly or indirectly produces fluorescent light. The fluorescence may either be observed under a microscope or used to segregate CNTF/tag-bearing cells by fluorescence activated cell sorting techniques. In a preferred specific embodiment of the invention presented by way of example, target cells may be triturated and resuspended in assay buffer containing CNTF/tag (in excess concentration) and sodium azide (0.05%) for about 30 minutes at 4°C. Cells may then be washed three times in assay buffer by centrifugation at 800 rpm for 5 minutes. Cells may then be incubated with anti-tag antibody at a concentration of about 10  $\mu\text{g}/\text{ml}$  for about 30 minutes at 4°C, washed as above, and then incubated for about 30 minutes at 4°C with biotinylated anti-immunoglobulin and streptavidin-Texas Red conjugate. The cells may then be washed, resuspended in mounting solution, coverslipped, and then examined by fluorescent microscopy.

35

The present invention also provides for methods for detecting other forms of tags, such as chromogenic tags, catalytic tags, etc. The detection methods for any particular tag will depend on the conditions necessary for producing a signal from the tag, but should be readily discernible by one skilled in the art.

Yet another variety of probe which may be used is anti-CNTFR antibody.

According to the invention, CNTFR protein, or fragments or derivatives thereof, may be used as an immunogen to generate anti-CNTFR antibodies. By providing for the production of relatively abundant amounts of CNTFR protein using recombinant techniques for protein synthesis (based upon the CNTFR nucleic acid sequences of the invention), the problem of limited quantities of CNTFR has been obviated.

To further improve the likelihood of producing an anti-CNTFR immune response, the amino acid sequence of CNTFR may be analyzed in order to identify portions of the molecule which may be associated with increased immunogenicity. For example, the amino acid sequence may be subjected to computer analysis to identify surface epitopes which present computer-generated plots of hydrophilicity, surface probability, flexibility, antigenic index, amphiphilic helix, amphiphilic sheet, and secondary structure of CNTFR. Alternatively, the deduced amino acid sequences of CNTFR from different species could be compared, and relatively non-homologous regions identified; these non-homologous regions would be more likely to be immunogenic across various species.

For preparation of monoclonal antibodies directed toward CNTFR, any technique which provides for the production of antibody molecules by continuous cell lines in culture may be used. For example, the hybridoma technique originally developed by Kohler and Milstein

(1975, Nature 256:495-497), as well as the trioma technique, the human B-cell hybridoma technique (Kozbor et al., 1983, Immunology Today 4:72), and the EBV-hybridoma technique to produce human monoclonal antibodies (Cole et al., 1985, in "Monoclonal Antibodies and Cancer Therapy," Alan R. Liss, Inc. pp. 77-96) and the like are within the scope of the present invention.

The monoclonal antibodies for therapeutic use may be human monoclonal antibodies or chimeric human-mouse (or other species) monoclonal antibodies. Human monoclonal antibodies may be made by any of numerous techniques known in the art (e.g., Teng et al., 1983, Proc. Natl. Acad. Sci. U.S.A. 80:7308-7312; Kozbor et al., 1983, Immunology Today 4:72-79; Olsson et al., 1982, Meth. Enzymol. 92:3-16). Chimeric antibody molecules may be prepared containing a mouse antigen-binding domain with human constant regions (Morrison et al., 1984, Proc. Natl. Acad. Sci. U.S.A. 81:6851, Takeda et al., 1985, Nature 314:452).

Various procedures known in the art may be used for the production of polyclonal antibodies to epitopes of CNTFR. For the production of antibody, various host animals can be immunized by injection with CNTFR protein, or fragment or derivative thereof, including but not limited to rabbits, mice, rats, etc. Various adjuvants may be used to increase the immunological response, depending on the host species, and including but not limited to Freund's (complete and incomplete), mineral gels such as aluminum hydroxide, surface active substances such as lysolecithin, pluronic polyols, polyanions, peptides, oil emulsions, keyhole limpet hemocyanins, dinitrophenol, and potentially useful human adjuvants such as BCG (Bacille Calmette-Guerin) and, Corynebacterium parvum.

A molecular clone of an antibody to a CNTFR epitope can be prepared by known techniques. Recombinant DNA methodology (see e.g., Maniatis et al., 1982, Molecular

Cloning, A Laboratory Manual, Cold Spring Harbor Laboratory, Cold Spring Harbor, New York) may be used to construct nucleic acid sequences which encode a monoclonal antibody molecule, or antigen binding region thereof.

5 Antibody molecules may be purified by known techniques, e.g., immunoabsorption or immunoaffinity chromatography, chromatographic methods such as HPLC (high performance liquid chromatography), or a combination thereof, etc.

10 The present invention provides for antibody molecules as well as fragments of such antibody molecules. Antibody fragments which contain the idiotype of the molecule can be generated by known techniques. For example, such fragments include but are not limited to: the  $F(ab')_2$  fragment which can be produced by pepsin digestion of the antibody  
15 molecule; the  $Fab'$  fragments which can be generated by reducing the disulfide bridges of the  $F(ab')_2$  fragment, and the  $Fab$  fragments which can be generated by treating the antibody molecule with papain and a reducing agent.

20 The abovementioned probes may be used experimentally to identify cells or tissues which hitherto had not been shown to express CNTFR. Furthermore, these methods may be used to identify the expression of CNTFR by aberrant tissues, such as malignancies. In additional embodiments,  
25 these methods may be used diagnostically to compare the expression of CNTFR in cells, fluids, or tissue from a patient suffering from a disorder with comparable cells, fluid, or tissue from a healthy person. Fluid is construed to refer to any body fluid, but particularly blood or  
30 cerebrospinal fluid. A difference in the levels of expression of CNTFR in the patient compared to a healthy person may indicate that the patient's disorder may be primarily or secondarily related to CNTF metabolism. An increase in levels of CNTFR, for example, could either  
35 indicate that the patient's disorder is associated with an

increased sensitivity to normal levels of CNTF or, alternatively, may suggest that the patient's CNTF levels are low such that the number of receptors is increased by way of compensation. These etiologies may be distinguished from one another by administering CNTF to the patient. If  
5 his condition worsens, he may suffer from CNTF hypersensitivity; if it improves, he may be suffering from a CNTF deficiency. CNTF or CNTF antagonist-based therapeutic regimens may be chosen accordingly. Differences in expression can be detected at the protein  
10 and/or RNA level; i.e. by measuring amounts of CNTFR protein or CNTFR RNA in a patient relative to those amounts in healthy persons.

The abovementioned probes may also be used to select  
15 CNTF-responsive cells for use in assay systems, as described above, or in U. S. Application Serial No. 07/532,285, or according to standard methods of cell selection or cell sorting.

#### 20 5.6.4. THERAPEUTIC APPLICATIONS

The present invention also provides for methods in which a patient suffering from a disorder, such as neurologic disorder is treated with an effective amount of CNTFR protein, peptide fragment, or derivative of the  
25 invention. Therapeutic methods comprising administering CNTFR, CNTFR agonists, CNTFR antagonists (which compete with endogenous CNTF), or anti-CNTFR antibodies are within the scope of the present invention.

The present invention also provides for pharmaceutical  
30 compositions comprising CNTFR protein, peptide fragment, or derivative in a suitable pharmacologic carrier.

The CNTFR protein, peptide fragment, or derivative may be administered systemically or locally. Any appropriate mode of administration known in the art may be used,  
35 including, but not limited to, intravenous, intrathecal,

intraarterial, intranasal, oral, subcutaneous, intraperitoneal, or by local injection or surgical implant. Sustained release formulations are also provided for.

5 As our understanding of neurodegenerative disease/neurotrauma becomes clearer, it may become apparent that it would be beneficial to decrease the trophic effect of endogenous CNTF. Therefore, in areas of nervous system trauma, it may be desirable to provide CNTF antagonists, including, but not limited to, cell-free CNTFR which may compete with endogenous cellular receptor for CNTF binding. 10 Under such circumstances, it may be desirable to provide CNTF antagonist locally at the injury site rather than systemically. Use of a CNTFR providing implant may be desirable.

15 Alternatively, certain conditions may benefit from an increase in CNTF responsiveness. It may therefore be beneficial to increase the number or binding affinity of CNTFRs in patients suffering from such conditions. This could be achieved through gene therapy. Selective expression of recombinant CNTFR in appropriate cells could be achieved using CNTFR genes controlled by tissue specific or inducible promoters or by producing localized infection with replication defective viruses carrying a recombinant CNTFR gene. Conditions which may benefit from increased sensitivity to CNTF include particularly but are not 20 limited to motorneuron disorders including amyotrophic lateral sclerosis, Werdnig-Hoffmann disease, chronic proximal spinal muscular atrophy, and post-polio syndrome. Such treatment may also be used for treatment of neurological disorders associated with diabetes, 25 Parkinson's disease, Alzheimer's disease, and Huntington's chorea.

30 Further, the invention provides for treatment of disorders of a specific tissue or cell-type by administration of CNTF, which tissue or cell-type has been 35

identified as expressing CNTF receptors. In a specific embodiment, it has been shown that the CNTFR gene is expressed in muscle cells (see Section 8, *infra*), and that CNTF prevents the loss of both muscle weight and myofibrillar protein content associated with denervation atrophy in vivo (see Section 9, *infra*). Accordingly, the present invention provides for methods of treating muscle cell disorders, or disorders involving the neuromuscular unit, comprising administering to a patient in need of such treatment (i) a nucleic acid molecule comprising a nucleotide sequence which encodes CNTFR or a functionally active portion or derivative thereof, such that it can be expressed, or (ii) CNTF, or a functionally active portion or derivative thereof. Such disorders include but are not limited to those in which atrophic or dystrophic change of muscle is the fundamental pathological finding. For example, such muscle atrophy can result from denervation (loss of contact by the muscle with its nerve) due to nerve trauma; degenerative, metabolic, or inflammatory (e.g., Guillian-Barre syndrome) peripheral neuropathy, or damage to nerves caused by environmental toxins or drugs. In another embodiment, the muscle atrophy results from denervation due to a motor neuronopathy. Such motor neuronopathies include, but are not limited to: adult motor neuron disease, including Amyotrophic Lateral Sclerosis (ALS or Lou Gehrig's disease); infantile and juvenile spinal muscular atrophies, and autoimmune motor neuronopathy with multifocal conduction block. In another embodiment, the muscle atrophy results from chronic disuse. Such disuse atrophy may stem from conditions including, but not limited to: paralysis due to stroke, spinal cord injury, brain trauma or other Central Nervous System injury; skeletal immobilization due to trauma (such as fracture, sprain or dislocation) or prolonged bed rest. In yet another embodiment, the muscle atrophy results from

metabolic stress or nutritional insufficiency, including, but not limited to, the cachexia of cancer and other chronic illnesses, fasting or rhabdomyolysis, endocrine disorders such as, but not limited to, disorders of the thyroid gland and diabetes. The muscle atrophy can also be  
5 due to a muscular dystrophy syndrome, including but not limited to the Duchenne, Becker, myotonic, Fascioscapulohumeral, Emery-Dreifuss, oculopharyngeal, scapulohumeral, limb girdle, and congenital types, and the dystrophy known as Hereditary Distal Myopathy. In a  
10 further embodiment, the muscle atrophy is due to a congenital myopathy, including, but not limited to Benign Congenital Hypotonia, Central Core disease, Nemaline Myopathy, and Myotubular (centronuclear) myopathy. In  
15 addition, CNTFR-encoding nucleic acids or CNTF and its active fragments or derivatives may be of use in the treatment of acquired (toxic or inflammatory) myopathies. Myopathies which occur as a consequence of an inflammatory disease of muscle, include, but are not limited to  
20 polymyositis and dermatomyositis. Toxic myopathies may be due to agents including, but not limited to amiodarone, chloroquine, clofibrate, colchicine, doxorubicin, ethanol, hydroxychloroquine, organophosphates, perihexiline, and vincristine.

25 In a further embodiment of the invention, patients that suffer from an excess of CNTFR, hypersensitivity to CNTF, excess CNTF, etc. may be treated by administering an effective amount of anti-sense RNA or anti-sense oligodeoxyribonucleotides corresponding to the CNTFR gene  
30 coding region thereby decreasing expression of CNTFR.

35

6. EXAMPLE: EXPRESSION CLONING OF THE CILIARY  
NEUROTROPHIC FACTOR RECEPTOR

6.1. MATERIALS AND METHODS

6.1.1. CONSTRUCTION OF A CNTF-RECEPTOR  
EXPRESSION LIBRARY

5 SH-SY5Y cells (originally obtained from Dr. June  
Biedler) were used as a source of mRNA for construction of  
a cDNA library using the pCMX expression vector (described  
in ~~copending U.S. patent Application Serial No. 07/678,408~~  
PCT/US 92/02492 filed March 28, 1991, see supra), a derivative of the pCDM8  
10 vector (Seed, 1987, Nature 329:840-842). Inserts for the  
cDNA library were selected on an agarose gel for sizes  
larger than 1 kb.

6.1.2. "PANNING" METHOD

15 The "panning" method developed by Seed and Aruffo  
(1987, Proc. Natl. Acad. Sci. U.S.A. 84:3365-3369) was  
modified as follows: instead of incubating the cells with  
antibodies recognizing the receptor, cells were incubated  
first with CNTF/myc (1 µg/ml) on ice for 30 minutes, spun  
20 through PBS/2% Ficoll to remove excess ligand, and then  
incubated with 9E10 antibody obtained from Oncogene  
Sciences, Manhasset, N.Y. for 30 minutes on ice. This was  
followed by another spin through PBS/2% Ficoll and  
"panning" on plates coated with anti-myc peptide mouse  
25 monoclonal antibody obtained from Sigma. The plates were  
prepared as follows: bacteriological 60 mm plates (Falcon  
1007 or the equivalent), or 10 cm dishes such as Fisher 8-  
757-12 were coated with anti-myc mouse monoclonal antibody,  
diluted to 10 micrograms per ml in 50 mM Tris HCl pH 9.5.  
30 3 ml of antibody was used to coat each 6 cm dish or 10 ml  
was used per 10 cm dish; plates were exposed to antibody  
for about 1.5 hrs, then antibody was removed to the next  
dish, allowed to stand for 1.5 hrs, and then removed again  
to a third dish. Plates were washed three times with 0.15

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M NaCl (a wash bottle is convenient for this), and incubated with 3 ml 1 mg/ml BSA in PBS overnight. In particular "panning" was performed as follows: cells were cultured in 100 mm dishes. Medium was aspirated from each dish, and 2 ml PBS/0.5 mM EDTA/0.02% azide was added and the mixture was incubated at 37° for 30 min to detach cells from the dish. The cells were triturated vigorously with a short pasteur pipet, collected from each dish in a centrifuge tube, and spun 4 min at a setting of 2.5 (200 x g). Cells were resuspended in 0.5-1.0 ml PBS/EDTA/azide/5% FBS and incubated with CNTF/myc for 30 min on ice. An equal volume of PBS/EDTA/azide was added, layered carefully on 3 ml PBS/EDTA/azide/2% Ficoll, spun 4 min at a setting of 2.5, and the supernatant was aspirated in one smooth movement. The cells were then incubated with 9E10 antibody for 30 minutes on ice, and the spin through PBS/EDTA/azide/2% Ficoll was repeated. The cells were taken up in 0.5 ml PBS/EDTA/azide and aliquots were added to anti-myc mouse monoclonal antibody-coated dishes containing 3 ml PBS/EDTA/azide/5% FBS. Cells were added from at most two 60 mm dishes to one 60 mm antibody coated plate, and allowed to sit at room temperature 1-3 hours. Excess cells not adhering to dish were removed by gentle washing with PBS/5% serum or with medium (2 or 3 washes of 3 ml were usually sufficient).

### 6.1.3. IDENTIFICATION OF CLONES CONTAINING THE CILIARY NEUROTROPHIC FACTOR RECEPTOR GENE

Plasmid DNA from the expression library was transfected into COSM5 cells (approximately 250-500 ng per 100 mm dish; 2 dishes were transfected), using DEAE/chloroquine according to standard procedures. Two days after transfection, cells were detached from their

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dishes and subjected to the Aruffo/Seed panning procedure modified as described supra.

After washing nonadhering cells from the plates, Hirt supernatants (Hirt, 1967, J. Mol. Biol. 26:365-369) were prepared, and plasmid DNA was precipitated in the presence of 10-20  $\mu$ g of tRNA. The resulting DNA was introduced into DH10B bacteria (Electromax, BRL) by electroporation according to the manufacturer's instructions. Cultures grown from the electroporated bacteria were used to prepare plasmid DNA for another round of transfection and panning; a plate of COS cells transfected with this plasmid DNA clearly revealed a large number of COS cells expressing the CNTFR by an indirect iodinated-antibody binding assay (see Figure 1B for representative data, see below for assay methods). After a second round of panning/plasmid DNA isolation/electroporation on these transfectants, the bacterial transformants resulting from the electroporation step were plated out on ampicillin plates. Individual bacterial colonies were picked, and plasmid DNA prepared from each of the clones was transfected individually into COS cells for assay. Out of 15 plasmids tested, 14 resulted in transfected COS cells expressing CNTF binding sites by a variety of assays, including the indirect antibody binding assay and fluorescence activated cell sorting (FACS) analysis described infra.

#### 6.1.4. DIRECT $^{125}$ I-hCNTF BINDING ASSAY

COS cells were transfected with plasmid DNA from the library, the enriched library, or individual clones. After 48 hours, the media was removed and replaced with 0.25 ml of binding buffer (RPMI 1640 with 10% FBS and 0.1%  $\text{NaN}_3$ ) containing  $^{125}$ I-hCNTF alone or with unlabelled hCNTF. Incubations with  $^{125}$ I-hCNTF were for 60 minutes at room temperature. After incubations were complete, the  $^{125}$ I-hCNTF solution was removed and the cells were washed three

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times with 1.0 ml of binding buffer and then lysed with 0.25 ml of 0.1 N NaOH. This lysate was transferred to a 12 x 75 mm polystyrene tube and placed in a gamma counter. Non-specific binding was determined by the addition of at least 100 fold excess unlabelled hCNTF. After the last wash the plates were autoradiographed.

#### 6.1.5. FLUORESCENCE ACTIVATED CELL-SORTING ANALYSIS

Transfected COS cells were incubated sequentially with CNTF/myc, 9E10 antibody, and FITC-labelled goat anti-mouse antibody. Then they were detached from dishes and subjected to FACS analysis. The results of transfections with a negative and positive plasmid are depicted in Figure 1D; COS cells transfected with a CNTF-receptor expressing plasmid contain a large subpopulation displaying greatly increased fluorescence by this assay.

#### 6.1.6. IODINATION OF hCNTF

10  $\mu$ g hCNTF (560  $\mu$ g/ml in 10 mM NaPO<sub>4</sub> pH7.4) was iodinated with 1 mCi <sup>125</sup>I Na using lactoperoxidase 6 ng/ $\mu$ l (Sigma) for 15 minutes at 20°C. After 15 minutes the reaction was quenched with an equal volume of buffer containing 0.1 M NaI, 0.1% BSA and 0.1% cytochrome C, 0.3% HOAc, 0.05% phenol red and 0.02% NaN<sub>3</sub>. Aliquots were removed for determination of TCA precipitable counts. The remainder was loaded onto a BioRad PD - 10 biogel column equilibrated with 0.05 M NaPO<sub>4</sub>, 0.1 M NaCl, 0.5 mg/ml protamine sulfate and 1 mg/ml BSA. Fractions were collected and TCA precipitable counts determined.

#### 6.1.7. SEQUENCING OF CNTFR

Sequencing was performed using a kit (U.S. Biochemical) for dideoxy double stranded DNA using Sequenase™, according to the manufacturer's instructions.

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6.1.8. INDIRECT <sup>125</sup>I GOAT ANTI-MOUSE  
ANTIBODY BINDING ASSAY

COS cells were transfected with plasmid DNA from the library, the enriched library, or individual clones. After 48 hours, cells were incubated sequentially for 30 minutes on ice with PBS (with Ca, Mg)/5% FBS containing:

- 1) 1  $\mu$ g/ml CNTF-myc
- 2) 10  $\mu$ g/ml 9E10;
- 3) <sup>125</sup>I goat anti-mouse antibody (GaM) (0.5-1  $\mu$ Ci/ml).

Cells were washed 3 x 5 minutes in PBS/5% FBS after each step. After the last wash, the plates were autoradiographed.

For the individual clones, a quantitative estimate of total radioactivity bound was made with a hand-held gamma counter.

6.2. RESULTS AND DISCUSSION

6.2.1. RESTRICTION ANALYSIS

On restriction analysis, the 14 positive clones fell into four classes:

- a) I2=I7 (2kb)
  - b) I1=I5=I6 (2kb)
  - c) I4=I8=I9=I11=I14=I15 (4kb)
  - d) I10=I12=I13 (1.6kb)
- (I3 was negative))

Members of each class produced an identical pattern of bands on digestion with the enzyme PstI. Further restriction analysis revealed that the four classes of clones overlapped, and preliminary sequence data confirmed that they shared overlapping sequences at their 5' ends. Curiously, class (b) proved to have its insert in the wrong orientation in the vector with respect to the eukaryotic promoter element. As can be seen from Table I, these clones were low expressors relative to the other clones.

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Transcription in these clones may arise from a weak cryptic promoter in the region downstream of the vector's polylinker.

5           6.2.2. IN VITRO TRANSCRIPTION AND TRANSLATION

To characterize the proteins coded for by the four classes of clones, they were all transcribed from the T7 promoter in the 5' region of the vector polylinker. After in vitro translation, the products were electrophoresed on a polyacrylamide gel. Class (a) produced no protein, since it is in the wrong orientation with respect to the T7 promoter. The other three classes all produced proteins of identical sizes (approximately 42 kd), verifying that they encoded the same protein.

15

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TABLE I.

Quantitation of <sup>125</sup>I-G<sub>α1</sub> binding in CNTFR clones.

20	Clone	CPM bound
	I1	2000
	I2	8500
	I3	600
	I4	9000
	I5	2000
	I6	1600
25	I7	6000
	I8	7500
	I9	7000
	I10	4500
	I11	7000
	I12	5000
	I13	8000
30	I14	10000
	I15	8000
	Negative Control	500
	Background	250

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### 6.2.3. BINDING ANALYSIS WITH CNTF

The results of the indirect CNTF-myc binding assay using 9E10 anti-myc antibody and  $^{125}\text{I}$  goat anti-mouse antibody are shown in Figure 1B and 1C as well as in Table I. In Figure 1B, the plate on the left results from  
5 transfection of the unenriched library, while the plate on the right is from transfection of the enriched library plasmid DNA rescued after one round of panning (using approximately the same amount of DNA as for the unenriched library). Note the large number of dark spots seen only in  
10 the plate on the right, each representing a single COS cell expressing CNTF-myc binding site detected by radioautography.

For the individual positive clones discussed in Section 6.2.1, a quantitative estimate of total  
15 radioactivity was made with a hand-held gamma counter. The results of this assay for the individual clones I1-I15 are shown in Table I and demonstrate that 14 out of 15 clones express CNTF binding sites, as determined by indirect  
20 antibody binding assay. In addition, fragments of the plates from some of the individual clones were autoradiographed, as shown in Figure 1C.

A second demonstration of indirect binding utilized CNTF-myc followed by 9E10 antibody, FITC-labelled goat  
25 anti-mouse antibody, and FACS analysis, as shown in Figure 1D. COS cells transfected with positive clones demonstrated a 100-fold increase in expression of CNTFR as compared with cells transfected with negative clones.

The indirect binding data obtained using CNTF-myc was  
30 verified using direct  $^{125}\text{I}$ -CNTF binding, as shown in Table II. The receptor expressed on transfected COS cells specifically binds to iodinated CNTF as well as to the CNTF-myc ligand, as did the SH-SY5Y cells from which the CNTFR was cloned. Each transfected COS cell expresses  
35 about 30-fold more receptor per cell than SH-SY5Y cells.

TABLE II.  
Binding Analysis With Iodinated CNTF

Conc. $^{125}\text{I}$ -CNTF	<u>COS I2</u>		<u>SH-SY5Y</u>	
	Specific cpm bound	cpm/cell*	Specific cpm bound	cpm/cell
2.16 nM	1412	$2.17 \times 10^{-2}$	1284	$4.28 \times 10^{-3}$

Monolayer binding assays were performed in 24 well culture plates using  $3 \times 10^5$  SH-SY5Y cells/well or  $6.5 \times 10^4$  COS cells/well. Specific cpm bound was calculated by subtracting cpm bound in the presence of 1000-fold excess of unlabelled CNTF from the cpm bound only in the presence of  $^{125}\text{I}$ -CNTF at the concentration indicated. No specific binding was detected in untransfected COS cells.

\*COS cells were assayed 48 hours after transfection by DEAE Dextran in which typically only 20-40% of the cells are transfected. Assuming 20% COS cells are transfected, the specific cpm bound indicate that each transfected COS cell expresses about 30-fold more receptors per cell than SH-SY5Y cells.

#### 6.2.4. SEQUENCE OF CNTFR AND HOMOLOGY TO OTHER GROWTH FACTOR RECEPTORS

The CNTFR contains motifs which are shared with a variety of other receptors. The extracellular portion of the CNTFR contains both an "immunoglobulin" domain at its N-terminus, as well as a "cytokine receptor" domain which is separated from the "immunoglobulin" (SEQ ID NOS: 2, 3, 4, 5, 6, 7) domain by a short hinge region. Although many receptors have homology to either the "immunoglobulin" or "cytokine receptor" (SEQ ID NOS: 2, 8, 9, 10, 11, 12, 13) domains (Figure 3), only one receptor - the IL-6 receptor -

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shares the same particular arrangement of these domains with the CNTFR (Figures 3 and 4). The IL-6 receptor is thus the protein most related to the CNTFR (Figure 4). Interestingly, the IL-6 receptor is also similar to the CNTFR in that it has a very short intracytoplasmic domain which is apparently not required for initiating responses upon IL-6 binding (Hibi et al., 1990, Cell 63:1149-1157). Recently, a novel signal transducer for the IL-6 receptor, termed gp 130, was molecularly cloned. This transducer does not bind IL-6 by itself, but it does confer high affinity binding to the IL-6 receptor and it is required to transduce the IL-6 signal (Hibi et al., 1990, Cell 63:1149-1157). Our cloning of the CNTFR reveals that it shares important features with the IL-6 receptor that are not found in other known receptors, thus defining a new family of receptors. The similarities between IL-6R and CNTFR suggest that CNTFR is likely to utilize the same signal transducer as the IL-6 receptor, or a related molecule. Finally, the identification of CNTFR-related receptors should aid in the identification of novel ligands that would bind to these receptors.

## 7. EXAMPLE: TISSUE LOCALIZATION OF MESSAGE FOR CNTFR

### 7.1. MATERIALS AND METHODS

#### 7.1.1. CNTFR PROBE PREPARATION

Molecular cloning of the coding region for hCNTFR into the pCMX expression vector is described in U.S. patent application 92/02492, <sup>PCT/US</sup> entitled "Mammalian Expression Vector" filed concurrently herewith, and the resulting expression vector is depicted in Figure 6. A PCR probe extending from base 889 to base 1230 of the CNTFR sequences was synthesized and used as a probe for Northern analysis.



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### 7.1.2. RNA PREPARATION AND NORTHERN BLOTS

Selected tissues were dissected from Sprague-Dawley rats and immediately frozen in liquid nitrogen. RNAs were isolated by homogenization of tissues in 3 M LiCl, 6 M urea, as described in Bothwell et al. 1990 (Methods of Cloning and Analysis of Eukaryotic Genes, Boston, MS, Jones and Bartlett). RNAs (10  $\mu$ g) were fractionated by electrophoresis through quadruplicate 1% agarose-formaldehyde gels (Bothwell et al., 1990, Methods of Cloning and Analysis of Eukaryotic Genes, Boston, MS, Jones and Bartlett) followed by capillary transfer to nylon membranes (MagnaGraph, Micron Separations Inc.) with 10xSSC (pH 7). RNAs were UV-cross-linked to the membranes by exposure to ultraviolet light (Stratalinker, Stratagen, Inc.) and hybridized at 68°C with radiolabeled probes in the presence of 0.5 M NaPO<sub>4</sub> (pH 7), 1% bovine serum albumin (fraction V, Sigma, Inc.) 7% SDS, 1 mM EDTA (Mahmoudi et al., 1989, Biotechniques 7:331-333), 100  $\mu$ g/ml sonicated, denatured salmon sperm DNA. Filters were washed at 68°C with 3xSSC, 0.1% SDS and subjected to autoradiography for 1 day to 2 weeks with one or two intensifying screens (Cronex, DuPont) and X-ray film (SAR-5, Kodak) at 70°C. Ethidium bromide staining of the gels demonstrated that equivalent levels of total RNA were being assayed for the different samples (as in Maisonpierre et al., 1990, Science 247:1446-1451).

### 7.2. RESULTS

As shown in Figure 5, CNTFR mRNA was detectable in tissues of the central nervous system at low levels in sciatic nerve and adrenals, and in muscle. This would indicate that CNTF possesses not only neurotrophic activity, but myotrophic activity as well, and may explain the involvement of both the central nervous system and muscle in certain disorders, such as Duchennes muscular

dystrophy and congenital myotonic dystrophy, in which patients may suffer from mental retardation. Expression of CNTFR in muscle suggests CNTF may have a role in muscle physiology. Thus, in addition to action on neurons, CNTF may have important action in muscle such as functioning as a myotrophic agent, or otherwise effect muscle development and/or differentiation.

8. EXAMPLE: EVIDENCE THAT THE CNTF RECEPTOR IS LINKED TO THE CELL SURFACE VIA A GLYCOSYL-PHOSPHATIDYLINOSITOL (GPI) LINKAGE

10

8.1. MATERIALS AND METHODS

SH-SY5Y cells were cultured in a 24-well plate (Falcon) in RPMI supplemented with 10% inactivated fetal bovine serum. For experiments in which phospholipase (and control) treatments were done prior to CNTF-binding, the media was aspirated, cells were rinsed twice in PBS(+Ca/Mg), and then incubated with PBS(+Ca/Mg) supplemented with or without phosphatidylinositol-specific phospholipase (PI-PLC) at final concentration of 500 mU/ml (purchased from Boehringer Mannheim, catalogue # 1143-069) for 45 minutes at 37°C. Cells were then washed three times with binding buffer (PBS(+Ca/Mg) and 5% fetal bovine serum) and then incubated with 250 microliters binding buffer containing iodinated CNTF (approximately 100 picomolar) with or without a thousand-fold excess of unlabelled CNTF for 30 minutes at room temperature. For experiments in which the iodinated CNTF was bound prior to PI-PLC treatment, cells were first incubated in binding buffer containing iodinated CNTF with or without excess unlabelled CNTF at 37°C for 45 minutes. Cells were then washed two times with PBS(+Ca/Mg) and then incubated for 45 minutes with PBS(+Ca/Mg) supplemented with or without PI-PLC (final concentration 500 mU/ml). Cells were then rinsed three times with binding buffer. In all cases cells were

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solubilized prior to counting in 0.1N NaOH, and then counted.

## 8.2. RESULTS AND DISCUSSION

5 The sequence of the CNTF receptor revealed that the encoded protein ended within a hydrophobic region that followed the extra-cytoplasmic domains, without any apparent stop transfer sequence or intra-cytoplasmic domain. This structure seemed reminiscent of the C-  
10 terminals found on membrane proteins which lack transmembrane domains and are attached to the cell surface via GPI-linkages (Ferguson and Williams, 1988). Thus, experiments were performed to test whether the CNTF receptor was linked to the cell surface via a GPI-linkage. As shown in Table III, treatment of SH-SY5Y cells with PI-  
15 PLC completely eliminated the ability of SH-SY5Y cells to subsequently bind CNTF, consistent with the notion that the CNTF receptor is linked to the cell surface via a GPI-linkage. However, CNTF already bound to SH-SY5Y cells cannot be released by PI-PLC treatment (Table III).  
20 Interestingly, a soluble form of the IL-6 receptor can tightly associate with a second membrane protein (GP130) required for IL-6 signal transduction. Thus, prevention of CNTF receptor release by prior binding to CNTF may be due to an association between the CNTF, its receptor, and its  
25 signal transducer (GP130 or a GP130 analog). Alternatively, CNTF-binding may alter the structure of the CNTF receptor, making it less susceptible to PI-PLC (several GPI-linked proteins have PI-PLC resistant forms).  
30 The finding that the CNTF receptor is attached to the cell surface via a GPI-linkage has important ramifications. It represents the first known growth factor receptor to be linked to the membrane in this fashion, raising the possibility that additional receptors may be GPI-linked.  
35 Because several proteins have both GPI-linked forms as well

as forms that contain conventional transmembrane domains, our findings raise the possibility that the CNTF receptor has an alternative C-terminus that could encode a transmembrane domain, and similarly that the IL-6 receptor has a GPI-linked form. The GPI-linked forms of growth factor receptors may be able to utilize novel mechanisms of receptor regulation and release. For example, down-regulation of surface receptors could rapidly occur by releasing the GPI-linked receptors by activating extra-cytoplasmic phospholipase activities. These released receptors might also act on other cells, either alone or when bound to CNTF in much the same way that soluble IL-6 receptor has been shown to bind IL-6 and activate cells expressing GP130.

The possibility that release of CNTF receptors using PI-PLC could block CNTF action may have important implications. It could be used to verify that observed effects of CNTF are due to the cloned CNTF receptor. Therapeutically, PI-PLC could be used to release CNTF receptors and possibly block CNTF action in cases where CNTF activity is thought to be detrimental.

If the CNTF-blockable PI-PLC release of the CNTF receptor is due to the formation of a tertiary complex between the CNTF, its receptor, and the potential signal transducing protein, then this feature of the receptor could be used to define and molecularly clone the transducing molecule.

TABLE III

Analysis Of PI-PLC Treatment  
On CNTF Binding To SH-SY5Y Cells

		CPM Bound	
		<u>No Cold Excess</u>	<u>Cold Excess</u>
<u>Pre-Treat with PI-PLC</u>			
10	No PI-PLC	1440	370
	With PI-PLC	420	310
<u>Bind CNTF Before PI-PLC</u>			
	No PI-PLC	1250	310
15	With PI-PLC	1060	300

9. THE EFFECTS OF CNTF ON DENERVATED  
RAT SKELETAL MUSCLE IN VIVO

20 The goal of the experiments described herein was to  
examine the effects of purified recombinant CNTF on  
denervated skeletal muscle in vivo and to determine whether  
CNTF could prevent some of the phenotypic changes  
associated with denervation atrophy such as muscle weight  
25 and myofibrillar protein loss. We found that the CNTF  
receptor is expressed in skeletal muscle on both myotubes  
and myoblasts, and that CNTF prevents the loss of both  
muscle weight and myofibril protein content associated with  
denervation atrophy.

30

9.1. THE CNTF RECEPTOR IS EXPRESSED IN SKELETAL  
MUSCLE ON BOTH MYOTUBES AND MYOBLASTS

Northern blot analysis was performed on RNA samples  
derived from a variety of rat tissues in order to identify  
35 the primary cellular targets of CNTF as shown in Figure 5.

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A probe derived from the human CNTF receptor coding region identified a 2 kb transcript whose expression was generally restricted to the central nervous system, except for surprisingly high levels found in skeletal muscle and low levels in adrenal gland and sciatic nerve.

5 A more detailed analysis of the CNTF receptor expression specifically in skeletal muscle was carried out as described supra by Northern blotting using mRNA prepared from specific rat muscle types, purified human muscle  
10 myotubes, and several skeletal muscle cell lines of both mouse and rat origin (Figure 7). Using a human probe for the CNTF receptor, two mRNA species (2.0 and 1.7 kb) were detected in several muscle RNA samples. Figure 7  
15 demonstrates that the CNTF receptor is expressed in both myotube and myoblast muscle cell lines of either mouse (lanes 1 and 2) or rat (lanes 3 and 4) origin, as well as in both red slow-twitch soleus muscle and white fast-twitch extensor digitorum longus (EDL) muscle of the rat (lanes 5 and 6, respectively). It appeared that the level of CNTF  
20 receptor mRNA was increased in both soleus (lane 12) and EDL muscle (lane 14) that were first denervated for 72 hours relative to their sham-operated contralateral controls (lanes 11 and 13 respectively). Interestingly,  
25 the highest level of expression was observed in RNA samples from myotubes derived from human fetal skeletal muscle. These myotubes were cultured and then purified away from fibroblasts and other non-muscle cells by fluorescence-activated cell sorting prior to RNA isolation (lane 8). We  
30 noted that two distinct CNTF receptor mRNA species were identified on the muscle cell Northern blot and that the 1.7 kb CNTF receptor message was preferentially expressed in the myoblast cell line C2C12 mb (lane 1) and may represent an alternatively spliced form of the receptor.

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9.2. CNTF PREVENTS THE LOSS OF BOTH MUSCLE WEIGHT  
AND MYOFIBRILLAR PROTEIN CONTENT ASSOCIATED  
WITH DENERVATION ATROPHY

9.2.1. DENERVATION SURGERY

The various animal groups used for these studies are  
5 described in Table IV, infra. Generally, three animals  
comprised a single group. For all experimental groups, an  
initial incision of approximately 20 cm was made through  
the skin of the right hindlimb at midhigh level.  
Following this surgical procedure, the 20 cm cut was also  
10 performed on the left hindlimb at midhigh in order to  
carry out the sham-operation.

In animal groups 2-6, the soleus muscle of the right  
hindlimb was denervated by surgically removing a 2-5 mm  
segment of the right sciatic nerve at midhigh level to  
15 leave a distal nerve stump of 32 to 35 mm (labeled as A in  
Figure 8). The left soleus muscle served as the control in  
that a sham-operation was performed on this muscle by  
gently pulling on the sciatic nerve 32 to 35 mm from its  
point of innervation of the soleus muscle. All surgeries  
20 were carried out while the animals were under light  
chloro-pentobarbital anesthesia (0.3 g/kg). Animal group 1  
(controls) did not receive any denervation and were not  
injected. All animals weighed between 100 and 150 grams.

25 9.2.2. TREATMENTS

Animals in group 1 and 2 were not treated. Animals  
in group 3 were injected daily for a total of 4 days  
intramuscular (IM) with phosphate-buffered saline (PBS)  
containing 1 mg/ml of BSA (PBS/BSA). Multiple injections  
30 were made into the muscles of the midhigh on both sides of  
the animals. Animals in group 4 were injected daily for 4  
days IM with recombinant rat CNTF (1 mg/kg) containing 1  
mg/ml of BSA (CNTF/BSA). Multiple injections were made on  
both sides of the animal as described above. Animals in  
35

group 5 were also injected daily with rCNTF/BSA but subcutaneously (SC) rather than IM. Animals in group 6 were injected daily (SC) with PBS/BSA.

TABLE IV

5

<u>Group</u>	<u># Animals</u>	<u>Surgical Protocol</u>	<u>Denervation Time</u>	<u>Treatment</u>
1	3	None	96 hours	None
2	3	R-Den/L-Sham	96 hours	None
10 3	3	R-Den/L-Sham	96 hours	PBS/BSA (1 mg/ml)
4	3	R-Den/L-Sham	96 hours	CNTF/BSA (1 mg/kg) (IM)
5	3	R-Den/L-Sham	96 hours	CNTF/BSA (1 mg/kg) (SC)
6	3	R-Den/L-Sham	96 hours	PBS/BSA (SC)

15 R-Den=right hindlimb denervated; L-Sham=left hindlimb sham-operated

### 9.2.3. MUSCLE WEIGHT AND PROTEIN ANALYSIS

20 96 hours after the denervation surgery was performed, the animals were sacrificed by decapitation, and the soleus muscles were carefully excised from tendon to tendon. The soleus muscles were placed on a weigh boat on ice, tendons were removed with a scalpel, and the muscles were then weighed immediately so as to prevent any drying. To  
25 prepare myofibrillar protein homogenates, the excised soleus muscles were pooled, minced while on ice in a cold room, and then homogenized in PBS containing 0.32 M sucrose and 3 mM MgCl<sub>2</sub> (2.5% w/v). The homogenate was centrifuged  
30 at approximately 800 x g and the supernatants were assayed for total myofibril protein per muscle by using the Bio-Rad Dye Binding procedure according to the manufacturers recommendations.

35 Figure 9 demonstrates that denervated soleus muscle decreased significantly (p<0.01) in wet weight

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approximately 25% at 96 hours. Daily injection of PBS/BSA had no effect on this denervation-dependent muscle weight loss. However, denervated soleus muscles from rats injected daily with CNTF (1 mg/kg)/BSA weighed approximately 5% less than their contralateral sham-operated controls. The wet weights of the CNTF treated denervated and sham-operated soleus muscles were not significantly different from unoperated controls. CNTF, when injected SC daily for 4 days (group 5), also appeared to significantly prevent the denervation-induced loss of myofibrillar protein, and the loss of protein paralleled the decrease in muscle wet weight (Table V).

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TABLE V

## Effect Of CNTF On Denervated Soleus Muscle Protein Content

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	<u>Muscle Sample</u>	<u>Total Myofibril Protein (mg per Muscle)</u>	<u>% of Sham</u>
10	Group 1 - No denervation	7.5	
	Group 2 - den. - no injection	5.8	80
	- sham - no injection	7.2	
15	Group 3 - den+PBS (IM)	5.2	75
	- sham+PBS (IM)	6.9	
	Group 4 - den+CNTF (IM)	6.5	83
	- sham+CNTF (IM)	7.8	
20	Group 5 - den+CNTF (SC)	6.6	93
	- sham+CNTF (SC)	7.1	
	Group 6 - den+PBS (SC)	5.3	67
25	- sham+PBS (SC)	7.9	

(IM) = intramuscular injection; (SC) = subcutaneous injection; data presented represent the total protein content of 3 pooled soleus muscles

30

When injected daily IM, a less pronounced effect of CNTF on total myofibril protein was observed.

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We found that the CNTF receptor is expressed in skeletal muscle on both myotubes and myoblasts, and that CNTF prevents the loss of both muscle weight and myofibril protein content associated with denervation atrophy.

5

#### 10. DEPOSIT OF MICROORGANISM

The following deposit has been made on March 26, 1991 with The Agricultural Research Culture Collection (NRRL), 1815 North University Street, Peoria, Illinois, 61604:

10 E. coli carrying plasmid pCMX-hCNTFR (I2), an expression plasmid comprising hCNTFR encoding sequences, assigned accession number NRRL B-18789.

15 Various references are cited herein, the disclosures of which are incorporated by reference in their entireties.

20 The present invention is not to be limited in scope by the construct deposited or the embodiments disclosed in the examples which are intended as illustrations of a few aspects of the invention and any embodiments which are functionally equivalent are within the scope of this invention. Indeed, various modifications of the invention in addition to those shown and described herein will become apparent to those skilled in the art and are  
25 intended to fall within the scope of the appended claims.

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International Application No: PCT/

<b>MICROORGANISMS</b>	
Optional Sheet in connection with the microorganism referred to on page <u>70</u> , line <u>5</u> of the description *	
<b>A. IDENTIFICATION OF DEPOSIT *</b>	
Further deposits are identified on an additional sheet <input type="checkbox"/>	
Name of depository institution *	
Agricultural Research Culture Collection	
Address of depository institution (including postal code and country) *	
1815 North University Street Peoria, Illinois 61604	
Date of deposit *	Accession Number *
March 26, 1991	NRRL B-18789
<b>B. ADDITIONAL INDICATIONS *</b> (leave blank if not applicable). This information is continued on a separate attached sheet <input type="checkbox"/>	
<b>C. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE *</b> (If the indications are not for all designated States)	
<b>D. SEPARATE FURNISHING OF INDICATIONS *</b> (leave blank if not applicable)	
The indications listed below will be submitted to the International Bureau later * (Specify the general nature of the indications e.g., "Accession Number of Deposit")	
<b>E.</b> <input type="checkbox"/> This sheet was received with the international application when filed (to be checked by the receiving Office)	
..... (Authorized Officer)	
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## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: Davis, Samuel  
Squinto, Stephen P.  
Furth, Mark E.  
Yancopoulos, George D.
- (ii) TITLE OF INVENTION: The Ciliary Neurotrophic Factor Receptor
- (iii) NUMBER OF SEQUENCES: 15
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Pennie & Edmonds
  - (B) STREET: 1155 Avenue of the Americas
  - (C) CITY: New York
  - (D) STATE: New York
  - (E) COUNTRY: U.S.A.
  - (F) ZIP: 10036
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: PCT/US91/03896
  - (B) FILING DATE: 03-JUNE-1991
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Misrock, S. Leslie
  - (B) REGISTRATION NUMBER: 18,872
  - (C) REFERENCE/DOCKET NUMBER: 6526-065-228
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: 212 790-9090
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  - (C) TELEX: 66141 PENNIE

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 1591 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: unknown
- (ii) MOLECULE TYPE: cDNA
- (ix) FEATURE:
  - (A) NAME/KEY: CDS
  - (B) LOCATION: 289..1404
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

```

CCTCGAGATC CATTGTGCTC AAAGGGCGGC GGCAGCGGAG GCGGCGGCTC CAGCCGGCGC      60
GGCGCGAGGC TCGGCGGTGG GATCCGGCGG GCGGTGCTAG CTCCGCGCTC CCTGCCTCGC      120
TCGCTGCCGG GGGCGGTCGG AAGGCGCGGC GCGAAGCCCG GGTGGCCCGA GGGCGCGACT      180
CTAGCCTTGT CACCTCATCT TGCCCCCTTG GTTTTGAAG TCCTGAAGAG TTGGTCTGGA      240

```

GGAGGAGGAG	GACATTGATG	TGCTTGGTGT	GTGGCCAGTG	GTGAAGAG	ATG	GCT	GCT	297								
					Met	Ala	Ala									
								1								
CCT	GTC	CCG	TGG	GCC	TGC	TGT	GCT	GTG	CTT	GCC	GCC	GCC	GCC	GCA	GTT	345
Pro	Val	Pro	Trp	Ala	Cys	Cys	Ala	Val	Leu	Ala	Ala	Ala	Ala	Ala	Val	
	5					10					15					
GTC	TAC	GCC	CAG	AGA	CAC	AGT	CCA	CAG	GAG	GCA	CCC	CAT	GTG	CAG	TAC	393
Val	Tyr	Ala	Gln	Arg	His	Ser	Pro	Gln	Glu	Ala	Pro	His	Val	Gln	Tyr	
20					25					30					35	
GAG	CGC	CTG	GGC	TCT	GAC	GTG	ACA	CTG	CCA	TGT	GGG	ACA	GCA	AAC	TGG	441
Glu	Arg	Leu	Gly	Ser	Asp	Val	Thr	Leu	Pro	Cys	Gly	Thr	Ala	Asn	Trp	
				40					45					50		
GAT	GCT	GCG	GTG	ACG	TGG	CGG	GTA	AAT	GGG	ACA	GAC	CTG	GCC	CCT	GAC	489
Asp	Ala	Ala	Val	Thr	Trp	Arg	Val	Asn	Gly	Thr	Asp	Leu	Ala	Pro	Asp	
			55					60					65			
CTG	CTC	AAC	GGC	TCT	CAG	CTG	GTG	CTC	CAT	GGC	CTG	GAA	CTG	GGC	CAC	537
Leu	Leu	Asn	Gly	Ser	Gln	Leu	Val	Leu	His	Gly	Leu	Glu	Leu	Gly	His	
		70					75					80				
AGT	GGC	CTC	TAC	GCC	TGC	TTC	CAC	CGT	GAC	TCC	TGG	CAC	CTG	CGC	CAC	585
Ser	Gly	Leu	Tyr	Ala	Cys	Phe	His	Arg	Asp	Ser	Trp	His	Leu	Arg	His	
	85					90					95					
CAA	GTC	CTG	CTG	CAT	GTG	GGC	TTG	CCG	CCG	CGG	GAG	CCT	GTG	CTC	AGC	633
Gln	Val	Leu	Leu	His	Val	Gly	Leu	Pro	Pro	Arg	Glu	Pro	Val	Leu	Ser	
100					105					110					115	
TGC	CGC	TCC	AAC	ACT	TAC	CCC	AAG	GGC	TTC	TAC	TGC	AGC	TGG	CAT	CTG	681
Cys	Arg	Ser	Asn	Thr	Tyr	Pro	Lys	Gly	Phe	Tyr	Cys	Ser	Trp	His	Leu	
				120					125					130		
CCC	ACC	CCC	ACC	TAC	ATT	CCC	AAC	ACC	TTC	AAT	GTG	ACT	GTG	CTG	CAT	729
Pro	Thr	Pro	Thr	Tyr	Ile	Pro	Asn	Thr	Phe	Asn	Val	Thr	Val	Leu	His	
			135					140					145			
GGC	TCC	AAA	ATT	ATG	GTC	TGT	GAG	AAG	GAC	CCA	GCC	CTC	AAG	AAC	CGC	777
Gly	Ser	Lys	Ile	Me	Val	Cys	Glu	Lys	Asp	Pro	Ala	Leu	Lys	Asn	Arg	
		150					155					160				
TGC	CAC	ATT	CGC	TAC	ATG	CAC	CTG	TTC	TCC	ACC	ATC	AAG	TAC	AAG	GTC	825
Cys	His	Ile	Arg	Tyr	Met	His	Leu	Phe	Ser	Thr	Ile	Lys	Tyr	Lys	Val	
	165					170					175					
TCC	ATA	AGT	GTC	AGC	AAT	GCC	CTG	GGC	CAC	AAT	GCC	ACA	GCT	ATC	ACC	873
Ser	Ile	Ser	Val	Ser	Asn	Ala	Leu	Gly	His	Asn	Ala	Thr	Ala	Ile	Thr	
180					185					190				195		
TTT	GAC	GAG	TTC	ACC	ATT	GTG	AAG	CCT	GAT	CCT	CCA	GAA	AAT	GTG	GTA	921
Phe	Asp	Glu	Phe	Thr	Ile	Val	Lys	Pro	Asp	Pro	Pro	Glu	Asn	Val	Val	
				200					205					210		
GCC	CGG	CCA	GTG	CCC	AGC	AAC	CCT	CGC	CGG	CTG	GAG	GTG	ACG	TGG	CAG	969
Ala	Arg	Pro	Val	Pro	Ser	Asn	Pro	Arg	Arg	Leu	Glu	Val	Thr	Trp	Gln	
			215					220					225			
ACC	CCC	TCG	ACC	TGG	CCT	GAC	CCT	GAG	TCT	TTT	CCT	CTC	AAG	TTC	TTT	1017
Thr	Pro	Ser	Thr	Trp	Pro	Asp	Pro	Glu	Ser	Phe	Pro	Leu	Lys	Phe	Phe	
		230					235					240				
CTG	CGC	TAC	CGA	CCC	CTC	ATC	CTG	GAC	CAG	TGG	CAG	CAT	GTG	GAG	CTG	1065
Leu	Arg	Tyr	Arg	Pro	Leu	Ile	Leu	Asp	Gln	Trp	Gln	His	Val	Glu	Leu	
	245					250					255					
TCC	GAC	GGC	ACA	GCA	CAC	ACC	ATC	ACA	GAT	GCC	TAC	GCC	GGG	AAG	GAG	1113
Ser	Asp	Gly	Thr	Ala	His	Thr	Ile	Thr	Asp	Ala	Tyr	Ala	Gly	Lys	Glu	

260	265	270	275	
TAC ATT ATC CAG GTG GCA GCC AAG GAC AAT GAG ATT GGG ACA TGG AGT				1161
Tyr Ile Ile Gln Val Ala Ala Lys Asp Asn Glu Ile Gly Thr Trp Ser	280	285	290	
GAC TGG AGC GTA GCC GCC CAC GCT ACG CCC TGG ACT GAG GAA CCG CGA				1209
Asp Trp Ser Val Ala Ala His Ala Thr Pro Trp Thr Glu Glu Pro Arg	295	300	305	
CAC CTC ACC ACG GAG GCC CAG GCT GCG GAG ACC ACG ACC AGC ACC ACC				1257
His Leu Thr Thr Glu Ala Gln Ala Ala Glu Thr Thr Thr Ser Thr Thr	310	315	320	
AGC TCC CTG GCA CCC CCA CCT ACC ACG AAG ATC TGT GAC CCT GGG GAG				1305
Ser Ser Leu Ala Pro Pro Pro Thr Thr Lys Ile Cys Asp Pro Gly Glu	325	330	335	
CTG GGC AGC GGC GGG GGA CCC TGC GCA CCC TTC TTG GTC AGC GTC CCC				1353
Leu Gly Ser Gly Gly Gly Pro Cys Ala Pro Phe Leu Val Ser Val Pro	340	345	355	
ATC ACT CTG GCC CTG GCT GCC GCT GCC GCC ACT GCC AGC AGT CTC TTG				1401
Ile Thr Leu Ala Leu Ala Ala Ala Ala Ala Thr Ala Ser Ser Leu Leu	360	365	370	
ATC TGAGCCCGGC ACCCCATGAG GACATGCAGA GCACCTGCAG AGGAGCAGGA				1454
Ile				
GGCCGGAGCT GAGCCTGCAG ACCCCGGTTT CTATTTTGCA CACGGGCAGG AGGACCTTTT				1514
GCATTCTCTT CAGACACAAT TTGTGGAGAC CCCGGCGGGC CCGGGCCTGC CGCCCCCAG				1574
CCCTGCCGCA CCAAGCT				1591

(2) INFORMATION FOR SEQ ID NO:2:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 372 amino acids
  - (B) TYPE: amino acid
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met	Ala	Ala	Pro	Val	Pro	Trp	Ala	Cys	Cys	Ala	Val	Leu	Ala	Ala	Ala
1				5					10					15	
Ala	Ala	Val	Val	Tyr	Ala	Gln	Arg	His	Ser	Pro	Gln	Glu	Ala	Pro	His
		20						25					30		
Val	Gln	Tyr	Glu	Arg	Leu	Gly	Ser	Asp	Val	Thr	Leu	Pro	Cys	Gly	Thr
		35					40					45			
Ala	Asn	Trp	Asp	Ala	Ala	Val	Thr	Trp	Arg	Val	Asn	Gly	Thr	Asp	Leu
	50					55					60				
Ala	Pro	Asp	Leu	Leu	Asn	Gly	Ser	Gln	Leu	Val	Leu	His	Gly	Leu	Glu
	65				70					75					80
Leu	Gly	His	Ser	Gly	Leu	Tyr	Ala	Cys	Phe	His	Arg	Asp	Ser	Trp	His
				85					90					95	
Leu	Arg	His	Gln	Val	Leu	Leu	His	Val	Gly	Leu	Pro	Pro	Arg	Glu	Pro
			100					105					110		
Val	Leu	Ser	Cys	Arg	Ser	Asn	Thr	Tyr	Pro	Lys	Gly	Phe	Tyr	Cys	Ser



Xaa Xaa Xaa Xaa Xaa Arg Leu Leu Leu Arg Ser Val Gln Leu His Asp  
 35 40 45  
 Ser Gly Asn Tyr Ser Cys Tyr  
 50 55

(2) INFORMATION FOR SEQ ID NO:4:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 46 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

Leu Asn Leu Ser Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Tyr Ser  
 1 5 10 15  
 Trp Arg Ile Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Val Leu Phe Ile  
 20 25 30  
 Ala Lys Ile Thr Pro Asn Asn Asn Gly Thr Tyr Ala Cys Phe  
 35 40 45

(2) INFORMATION FOR SEQ ID NO:5:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 62 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

Ile Thr Ile Arg Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Phe Gln  
 1 5 10 15  
 Trp Thr Tyr Pro Arg Met Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 20 25 30  
 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Ile Leu His Ile  
 35 40 45  
 Pro Thr Ala Glu Leu Ser Asp Ser Gly Thr Tyr Thr Cys Asn  
 50 55 60

(2) INFORMATION FOR SEQ ID NO:6:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 60 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

Ala Gln Ile Val Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Phe Asp Val

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```

1           5           10           15
Ser Leu Arg His Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
                20                25                30
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Thr Leu Asn Leu Asp His
                35                40                45
Val Ser Phe Gln Asp Ala Gly Asn Tyr Ser Cys Thr
                50                55                60
    
```

2) INFORMATION FOR SEQ ID NO:7:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 54 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

```

Val Thr Leu Thr Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Phe Gln Leu
1           5           10
Arg Arg Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
                20                25                30
Xaa Xaa Xaa Xaa Phe Phe His Leu Asn Ala Val Ala Leu Gly Asp Gly
                35                40                45
Gly His Tyr Thr Cys Arg
                50
    
```

2) INFORMATION FOR SEQ ID NO:8:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 188 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

```

Cys Phe Arg Lys Ser Pro Leu Ser Asn Val Val Cys Glu Trp Xaa Xaa
1           5           10           15
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
                20                25                30
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Cys Xaa Xaa Xaa
                35                40                45
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
                50                55                60
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
                65                70                75                80
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Phe Gln Gly Cys Gly Ile Leu Gln
                85                90                95
Pro Asp Pro Pro Ala Asn Ile Thr Val Thr Ala Val Ala Arg Asn Pro
    
```

	100		105		110												
Arg	Trp	Leu	Ser	Val	Thr	Trp	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
	115						120					125					
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
	130						135					140					
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
	145					150				155							160
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Val	Val	Gln	Leu	Arg	Ala	Gln	
				165						170					175		
Glu	Glu	Phe	Gly	Gln	Gly	Glu	Trp	Ser	Glu	Trp	Ser						
			180					185									

(2) INFORMATION FOR SEQ ID NO:9:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 185 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

Cys	Arg	Ser	Pro	Asp	Lys	Glu	Thr	Phe	Thr	Cys	Trp	Trp	Xaa	Xaa	Xaa		
1				5					10						15		
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
			20					25					30				
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Cys	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
			35					40					45				
Xaa	Xaa	Cys	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
		50					55						60				
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
					70					75							80
Xaa	Xaa	Xaa	Xaa	Val	Asp	Val	Thr	Tyr	Ile	Val	Glu	Pro	Glu	Pro	Pro		
				85					90					95			
Arg	Asn	Leu	Thr	Leu	Glu	Val	Lys	Gln	Leu	Lys	Asp	Lys	Lys	Thr	Tyr		
			100					105						110			
Leu	Trp	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
		115						120						125			
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
							135						140				
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa
					150					155							160
Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Xaa	Val	Gln	Thr	Arg	Cys	Lys	Pro	
				165						170					175		
Asp	His	Gly	Tyr	Trp	Ser	Arg	Trp	Ser									
			180					185									

(2) INFORMATION FOR SEQ ID NO:10:

- (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 185 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

```

Cys Phe Thr Gln Arg Leu Glu Asp Leu Val Cys Phe Trp Xaa Xaa Xaa
1           5          10          15
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
20          25          30
Xaa Xaa Xaa Xaa Xaa Xaa Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
35          40          45
Xaa Xaa Xaa Xaa Xaa Xaa Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
50          55          60
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
65          70          75          80
Xaa Xaa Xaa Xaa Ile His Ile Asn Glu Val Val Leu Leu Asp Ala Pro
85          90          95
Ala Gly Leu Leu Ala Arg Arg Ala Glu Glu Gly Ser His Val Val Leu
100         105
Arg Trp Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
115        120        125
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
130        135        140
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
145        150        155        160
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Val Arg Ala Arg Met Ala Glu Pro Ser
165        170        175
Phe Ser Gly Phe Trp Ser Ala Trp Ser
180        185
    
```

(2) INFORMATION FOR SEQ ID NO:11:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 199 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

```

Cys Phe Tyr Asn Ser Arg Ala Asn Ile Ser Cys Val Trp Xaa Xaa Xaa
1           5          10          15
Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
20          25          30
Xaa Xaa Xaa Xaa Xaa Xaa Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
35          40          45
    
```



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Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Val Arg Val Arg Ser Gln Ile Leu  
 165 170 175

Thr Gly Thr Trp Ser Glu Trp Ser  
 180

(2) INFORMATION FOR SEQ ID NO:13:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 185 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

Cys Phe Ile Tyr Asn Ala Asp Leu Met Asn Cys Thr Trp Xaa Xaa Xaa  
 1 5 10 15  
 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 20 25 30  
 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 35 40 45  
 Xaa Xaa Xaa Xaa Cys Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 50 55 60  
 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 65 70 75 80  
 Xaa Xaa Xaa Xaa Leu Asp Thr Lys Lys Ile Glu Arg Phe Asn Pro Pro  
 85 90 95  
 Ser Asn Val Thr Val Arg Cys Asn Thr Thr His Cys Leu Val Arg Trp  
 100 105 110  
 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 115 120 125  
 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 130 135 140  
 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa  
 145 150 155 160  
 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Val Lys Ile Arg Ala Ala Asp Val  
 165 170 175  
 Arg Ile Leu Asn Trp Ser Ser Trp Ser  
 180 185

(2) INFORMATION FOR SEQ ID NO:14:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 34 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: cDNA

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

JACTCGAGTC GACATCGGAG GCTGATGGGA TGCC

34

2) INFORMATION FOR SEQ ID NO:15:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 34 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: cDNA

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:

JTAAAGACTC CTCCTAGACA TCGCCGGCGT ATCG

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WHAT IS CLAIMED IS:

1. An essentially purified and isolated nucleic acid molecule having a nucleotide sequence substantially as depicted in Figure 2 (SEQ. ID NO:1).
2. An essentially purified and isolated nucleic acid molecule which encodes a protein having the amino acid sequence substantially as depicted in Figure 2 (SEQ. ID NO:1).
3. An organism into which the nucleic acid molecule of claim 1 or 2 has been introduced, or the progeny of said organism.
4. The organism of claim 3 which is a microorganism.
5. The microorganism of claim 4 which is a bacterium.
6. The microorganism of claim 4 which is a yeast.
7. The organism of claim 3 which is a transgenic animal.
8. A first cell into which the nucleic acid molecule of claim 1 or 2 has been introduced, or another cell arising through the division of said first cell.

35



9. An immortalized cell line into which the nucleic acid molecule of claim 1 or 2 has been introduced.

5 10. The nucleic acid molecule of claim 1 or 2 which further <sup>includes</sup> ~~comprises~~ a nucleotide sequence capable of controlling gene expression.

10 11. An essentially purified and isolated protein having an amino acid sequence substantially as depicted in Figure 2 (SEQ ID NO:2).

12. A monoclonal antibody which recognizes the protein of claim 11.

15

13. A method for identifying a cell which binds to CNTF <sup>including</sup> ~~comprising~~ detecting the expression of CNTF receptor by the cell using an immunoassay with the monoclonal antibody of claim 12.

20

14. A method for identifying a cell which binds to CNTF <sup>including</sup> ~~comprising~~ detecting the presence of CNTF receptor-encoding RNA by a method comprising hybridizing a sample from the cell suspected of  
25 containing CNTF-receptor encoding RNA to a nucleic acid probe, which probe comprises at least a six nucleotide portion of the sequence depicted in Figure 2 (SEQ ID NO:1), and detecting any hybridization to the probe.

30

15. The method of claim 13 further <sup>including</sup> ~~comprising~~ detecting the presence of CNTF receptor protein by (i) exposing the cell to anti-CNTF receptor antibody under conditions which permit immunospecific binding to

35



occur, and then (ii) detecting the binding of antibody to the cell.

16. A cell which contains a recombinant nucleic acid molecule having a nucleotide sequence substantially as depicted in Figure 2 (SEQ ID NO:1).

17. A cell which expresses a recombinant CNTF receptor protein encoded by the nucleic acid molecule of claim 1.

18. A cell which expresses a recombinant CNTF receptor protein encoded by the nucleic acid molecule of claim 2.

19. A plurality of cells which contain a recombinant nucleic acid encoding a human CNTF receptor and which express, on their surface, an increased number of CNTF receptors relative to the same type of cells which do not contain a recombinant nucleic acid encoding a CNTF receptor.

20. A <sup>non-human</sup> transgenic animal <sup>including</sup> comprising the cells of claim 19.

21. A non-human transgenic animal that carries a transgene that encodes a recombinant human CNTF receptor that is substantially not associated with the surface of the cells of the animal.

22. A non-human transgenic animal that carries a transgene that encodes a recombinant human CNTF receptor that is ineffective in transducing a biological response to CNTF.

23. A method of diagnosing a CNTF-related disorder in a patient ~~comprising~~ <sup>including</sup> detecting a difference in the amount of CNTF receptor 'n cells or tissue from the patient relative to the amount of CNTF  
5 receptor in comparable cells or tissue from a healthy person, in which the difference indicates the presence of a CNTF-related disorder in the patient.

24. A method of diagnosing a CNTF-related  
10 disorder in a patient ~~comprising~~ <sup>including</sup> detecting a difference in the amount of CNTF receptor or fragment thereof in a fluid from the patient relative to the amount of CNTF receptor or fragment thereof in a  
15 comparable fluid from a healthy person, in which the difference indicates the presence of a CNTF-related disorder in the patient.

25. A method of diagnosing a CNTF-related disorder in a patient ~~comprising~~ <sup>including</sup> detecting a  
20 difference in the amount of CNTF receptor RNA in a sample from the patient relative to the amount of CNTF receptor RNA in a comparable sample from a healthy person, in which the difference indicates the presence of a CNTF-related disorder in the patient.  
25

26. The method of claim 25 in which the amount of CNTF receptor RNA is measured by a method  
~~comprising~~ <sup>including</sup> hybridizing a sample containing nucleic acid to a nucleic acid probe which comprises at least  
30 a six nucleotide portion of the sequence depicted in Figure 2 (SEQ ID NO:1).

27. The method of claim 23 in which the amount of CNTF receptor or fragment thereof is measured by a  
35 method ~~comprising~~ <sup>including</sup> (i) exposing the cells or tissue to

anti-CNTF receptor antibody under conditions which allow immunospecific binding to CNTF receptor to occur, and (ii) measuring the amount of antibody binding.

5

28. The method of claim <sup>23</sup>~~25~~ in which the amount of CNTF receptor is measured by (i) exposing the cells or tissue to tagged CNTF under conditions which allow binding to CNTF receptor to occur and (ii) measuring  
10 the amount of tagged CNTF.

29. A method of treating a patient suffering from a neurologic disorder <sup>including</sup>~~comprising~~ administering to the patient a therapeutically effective amount of CNTF  
15 receptor protein.

30. The method of claim 29 in which the CNTF receptor protein is able to bind CNTF.

20 31. The method of claim 29 in which the CNTF receptor is administered to produce a local effect.

32. A method of treating a patient suffering from a neurologic disorder <sup>including</sup>~~comprising~~ administering to  
25 the patient a nucleic acid molecule comprising a nucleotide sequence which encodes CNTF receptor or a functionally active portion thereof, such that the nucleotide sequence is expressed within the patient to produce a therapeutically effective amount of CNTF  
30 receptor or portion thereof.

33. The method of claim 29, 30, or 31 in which the neurologic disorder is trauma.

35

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34. The method of claim 29 in which the neurologic disorder is a disorder of motorneurons.

35. The method of claim 34 in which the disorder  
5 is amyotrophic lateral sclerosis.

36. A pharmaceutical composition <sup>including</sup> ~~comprising~~ an essentially purified and isolated protein having an amino acid sequence substantially as depicted in  
10 Figure 2 (SEQ ID NO:2).

37. A method of identifying molecules related to the CNTF receptor which are members of the family of molecules which include the IL-6 receptor comprising:  
15 (i) screening a DNA library for clones which hybridize to portions of CNTF receptor-encoding nucleic acid;  
(ii) isolating and propagating clones which hybridize to CNTF receptor encoding  
20 nucleic acid;  
(iii) determining the nucleic acid sequence of the clones isolated and propagated in step (ii);  
(iv) comparing the nucleic acid sequences  
25 determined in step (iii) with the nucleic acid sequences of known members of the family selected from the group consisting of the IL-6 receptor, and the CNTF receptor; and  
(v)  
30 (v) excluding those molecules with sequences identical to the known members of the family.

38. A method of treating a patient suffering  
35 from a muscle cell disorder <sup>including</sup> ~~comprising~~ administering

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to the patient a nucleic acid molecule having a nucleotide sequence as depicted in Figure 2 (SEQ ID NO:1), such that the nucleotide sequence is expressed within the patient to produce a therapeutically  
5 effective amount of CNTF receptor.

39. A substantially pure molecule which competes with CNTF for binding to the CNTF receptor, with the proviso that said molecule does not <sup>include</sup> ~~comprise~~ a CNTF  
10 protein.

40. <sup>A non-human</sup> ~~An~~ animal that has been immunized with an immunogenic amount of recombinant CNTF receptor.

41. A substantially pure second nucleic acid molecule complementary to the nucleic acid molecule of claim 1.  
15

42. A composition of substantially purified  
20 cells which express recombinant CNTF receptor protein.

43. A method of treating a patient suffering from a neuromuscular or muscle disorder <sup>including</sup> ~~comprising~~ administering to the patient a therapeutically  
25 effective amount of CNTF protein.

44. A method of treating a patient with a disorder <sup>in tissues and cells</sup> comprising administering to the patient an effective amount of  
30 CNTF protein or a functionally active portion or derivative thereof, in which the type of tissue or cell in the patient or in a normal individual expresses a CNTF receptor protein.

35



45. The method of claim 43 in which the disorder involves muscle atrophy.

46. The method of claim 45 in which the atrophy results from a condition selected from the group including denervation, chronic disease, metabolic stress and nutritional  
5 insufficiency.

47. The method of claim 45 in which the atrophy results from a condition selected from the group including muscular dystrophy syndrome, congenital myopathy, inflammatory disease of muscle, and toxic myopathy.

10

48. The method of claim 45 in which the atrophy results from a condition selected from the group including nerve trauma, peripheral neuropathy, drug or toxin induced damage, or motor neuronopathy.

15 49. A nucleic acid comprising a CNTF receptor nucleotide sequence as contained in plasmid pCMX-hCNTFR, deposited with the NRRL and having accession number B-18789.

20 50. A nucleic acid molecule according to claim 1 substantially as hereinbefore described with reference to the examples.

51. A method according to claim 14 substantially as hereinbefore described with  
• • reference to the examples.

25 52. A method of diagnosis according to any one of claims 23, 24 or 25 substantially as hereinbefore described with reference to the examples.

53. A method of treating a patient according to claim 29 substantially as hereinbefore described with reference to the examples.

30

54. A method according to claim 37 substantially as hereinbefore described with reference to the examples.



55. A method according to claim 38 substantially as hereinbefore described with reference to the examples.

56. A method according to claim 44 substantially as hereinbefore described with  
5 reference to the examples.

DATED this 14 November, 1994

10 PHILLIPS ORMONDE & FITZPATRICK  
ATTORNEYS FOR:  
REGENERON PHARMACEUTICALS, INC.

*David B Fitzpatrick*

15

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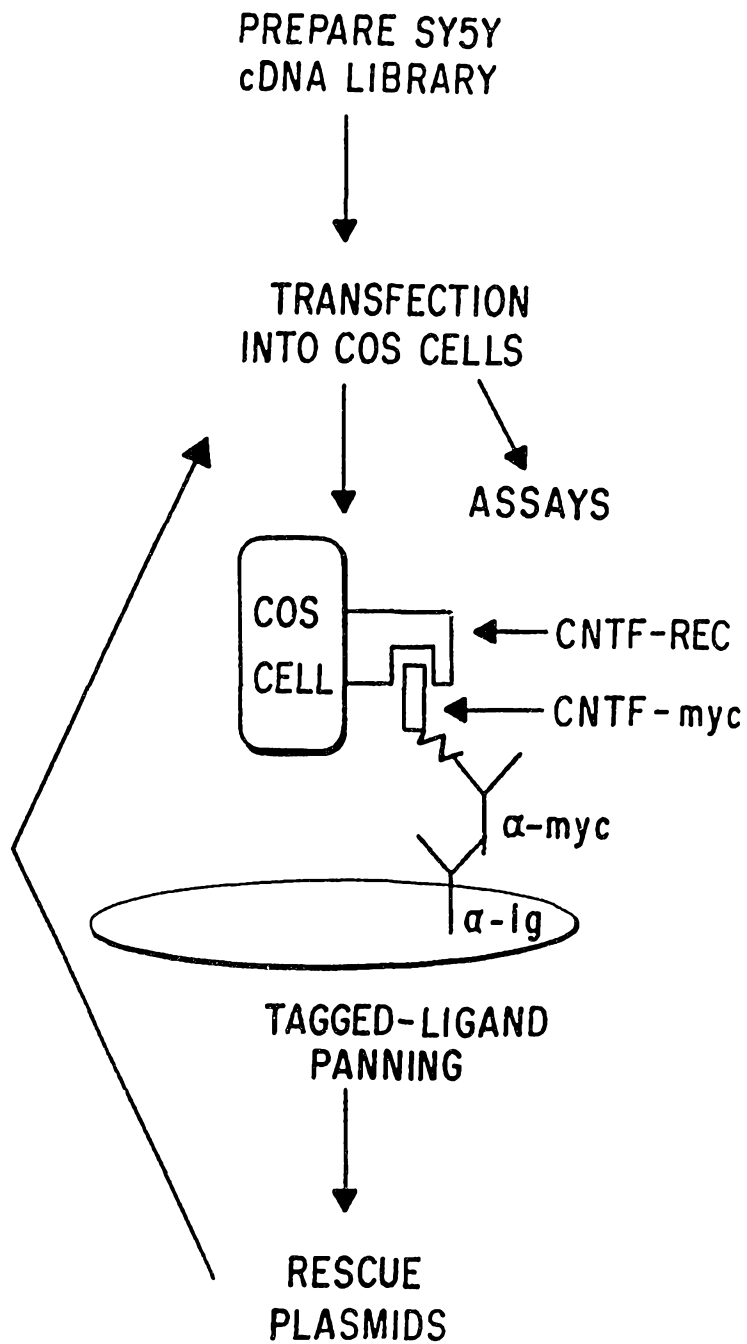


FIG. 1A

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BEFORE PANNING



AFTER TAGGED-LIGAND PANNING

FIG. 1B (i)

FIG. 1B (ii)

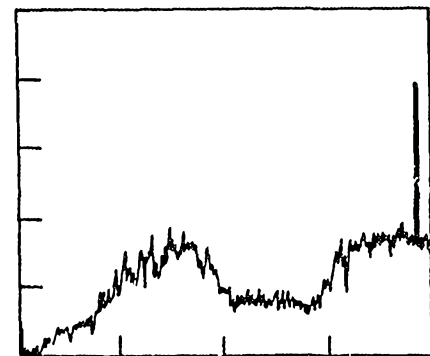
NEGATIVE CLONE



POSITIVE CLONE

FIG. 1C (i)

FIG. 1C (ii)



10<sup>0</sup> 10<sup>1</sup> 10<sup>2</sup> 10<sup>3</sup> 10<sup>4</sup>

10<sup>0</sup> 10<sup>1</sup> 10<sup>2</sup> 10<sup>3</sup> 10<sup>4</sup>

NEGATIVE CLONE

POSITIVE CLONE

FIG. 1D (i)

FIG. 1D (ii)

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10 20 30 40 50 60 70 80  
 CCTCGAGATC CATTGTGCTC AAAGGGCGGC GGCAGCGGAG GCGCGGGCTC CAGCCGGCGC GGC GCGAGGC TCGGCGGTGG  
 GGAGCTCTAG GTAACACGAG TTTCCCGCCG CCGTCGCCTC CGCCGCGGAG GTCGGCCGCG CCGCGCTCCG AGCCGCCACC  
 90 100 110 120 130 140 150  
 GATCCGGCGG GCGGTGCTAG CTCCGCGCTC CCTGCCTCGC TCGCTGCCGG GGGCGGTCCG AAGGCGCGGC  
 CTAGGCCGCC CGCCACGATC GAGGCGCGAG GGACGGAGCG AGCGACGGCC CCCGCCAGCC TTCCGCGCCG

160 170 180 190 200 210 220 230  
 GCGAAGCCCG GGTGSCCCGA GGGCGCGACT CTAGCCTTGT CACCTCATCT TGCCCCCTTG GTTTTGAAG TCCTGAAGAG  
 CGCTTCGGGC CCACCGGGCT CCCGCGCTGA GATCGGAACA GTGGAGTAGA ACGGGGGAAC CAAAACCTTC AGGACTTCTC  
 240 250 260 270 280 290 300  
 TTGGTCTGGA FGAGGAGGAG GACATTGATC TGCTTGGTGT GTGGCCAGTG GTGAAGAG ATG GCT GCT CCT GTC  
 AACCAGACCT CCTCCTCCTC CTGTA ACTAC ACGAACCACA CACCGGTAC CACTTCTC TAC CGA CGA GGA CAG  
 Met Ala Ala Pro Val>

310 320 330 340 350 360  
 CCG TGG GCC TCG TGT GCT GTG CTT GCC GCC GCC GCC GCA GTT GTC TAC GCC CAG AGA CAC AGT CCA  
 GGC ACC CGG ACG ACA CGA CAC GAA CGG CGG CGG CGG CGT CAA CAG ATG CGG GTC TCT GTG TCA GGT  
 Pro Trp Ala Cys Cys Ala Val Leu Ala Ala Ala Ala Ala Val Val Tyr Ala Gln Arg His Ser Pro  
 370 380 390 400 410 420 430  
 CAG GAG GCA CCC CAT GTG CAG TAC GAG CGC CTG GGC TCT GAC GTG ACA CTG CCA TGT GGG ACA  
 GTC CTC CGT GGG GTA CAC GTC ATG CTC GCG GAC CCG AGA CTG CAC TGT GAC GGT ACA CCC TGT  
 Gln Glu Ala Pro His Val Gln Tyr Glu Arg Leu Gly Ser Asp Val Thr Leu Pro Cys Gly Thr>

440 450 460 470 480 490  
 GCA AAC TGG GAT GCT GCG GTG ACG TGG CGG GTA AAT GGG ACA GAC CTG GCC CCT GAC CTG CTC AAC  
 CGT TTG ACC CTA CGA CGC CAC TGC ACC GCC CAT TTA CCC TGT CTG GAC CGG GGA CTG GAC GAG TTG  
 Ala Asn Trp Asp Ala Ala Val Thr Trp Arg Val Asn Gly Thr Asp Leu Ala Pro Asp Leu Leu Asn  
 500 510 520 530 540 550 560  
 GGC TCT CAG CTG GTG CTC CAT GGC CTG GAA CTG GGC CAC AGT GGC CTC TAC GCC TGC TTC CAC  
 CCG AGA GTC GAC CAC GAG GTA CCG GAC CTT GAC CCG GTG TCA CCG GAG ATG CGG ACG AAG GTG  
 Gly Ser Gln Leu Val Leu His Gly Leu Glu Leu Gly His Ser Gly Leu Tyr Ala Cys Phe His>

FIG.2A

570                      580                      590                      600                      610                      620  
 CGT GAC TCC TGG CAC CTG CGC CAC CAA GTC CTG CTG CAT GTG GGC TTG CCG CCG CGG GAG CCT GTG  
 GCA CTG AGG ACC GTG GAC GCG GTG GTT CAG GAC GAC GTA CAC CCG AAC GGC GGC GCC CTC GGA CAC  
 Arg Asp Ser Trp His Leu Arg His Gln Val Leu Leu His Val Gly Leu Pro Pro Arg Glu Pro Val  
 630                      640                      650                      660                      670                      680                      690  
 CTC AGC TGC CGC TCC AAC ACT TAC CCC AAG GGC TTC TAC TGC AGC TGG CAT CTG CCC ACC CCC  
 GAG TCG ACG GCG AGG TTG TGA ATG GGG TTC CCG AAG ATG ACG TCG ACC GTA GAC GGG TGG GGG  
 Leu Ser Cys Arg Ser Asn Thr Tyr Pro Lys Gly Phe Tyr Cys Ser Trp His Leu Pro Thr Pro>  
  
 700                      710                      720                      730                      740                      750  
 ACC TAC ATT CCC AAC ACC TTC AAT GTG ACT GTG CTG CAT GGC TCC AAA ATT ATG GTC TGT GAG AAG  
 TGG ATG TAA GGG TTG TGG AAG TTA CAC TGA CAC GAC GTA CCG AGG TTT TAA TAC CAG ACA CTC TTC  
 Thr Tyr Ile Pro Asn Thr Phe Asn Val Thr Val Leu His Gly Ser Lys Ile Met Val Cys Glu Lys  
 760                      770                      780                      790                      800                      810  
 GAC CCG GCC CTC AAG AAC CGC TGC CAC ATT CGC TAC ATG CAC CTG TTC TCC ACC ATC AAG TAC  
 CTG GGT CGG GAG TTC TTG GCG ACG GTG TAA GCG ATG TAC GTG GAC AAG AGG TGG TAG TTC ATG  
 Asp Pro Ala Leu Lys Asn Arg Cys His Ile Arg Tyr Met His Leu Phe Ser Thr Ile Lys Try>  
  
 820                      830                      840                      850                      860                      870                      880  
 AAG GTC TCC ATA AGT GTC AGC AAT GCC CTG GGC CAC AAT GCC ACA GCT ATC ACC TTT GAC GAG TTC  
 TTC CAG AGG TAT TCA CAG TCG TTA CGG GAC CCG GTG TTA CGG TGT CGA TAG TGG AAA CTG CTC AAG  
 Lys Val Ser Ile Ser Val Ser Asn Ala Leu Gly His Asn Ala Thr Ala Ile Thr Phe Asp Glu Phe  
 890                      900                      910                      920                      930                      940  
 ACC ATT GTG AAG CCT GAT CCT CCA GAA AAT GTG GTA GCC CGG CCA GTG CCC AGC AAC CCT CGC  
 TGG TAA CAC TTC GGA CTA GGA GGT CTT TTA CAC CAT CGG GCC GGT CAC GGG TCG TTG GGA GCG  
 Thr Ile Val Lys Pro Asp Pro Pro Glu Asn Val Val Ala Arg Pro Val Pro Ser Asn Pro Arg>  
  
 950                      960                      970                      980                      990                      1000                      1010  
 CGG CTG GAG GTG ACG TGG CAG ACC CCC TCG ACC TGG CCT GAC CCT GAG TCT TTT CCT CTC AAG TTC  
 GCC GAC CTC CAC TGC ACC GTC TGG GGG AGC TGG ACC GGA CTG GGA CTC AGA AAA GGA GAG TTC AAG  
 Arg Leu Glu Val Thr Trp Gln Thr Pro Ser Thr Trp Pro Asp Pro Glu Ser Phe Pro Leu Lys Phe

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FIG.2B

1020            1030            1040            1050            1060            1070  
 TTT CTG CGC TAC CGA CCC CTC ATC CTG GAC CAG TGG CAG CAT GTG GAG CTG TCC GAC GGC ACA  
 AAA GAC GCG ATG GCT GGG GAG TAG GAC CTG GTC ACC GTC GTA CAC CTC GAC AGG CTG CCG TGT  
 Phe Leu Arg Tyr Arg Pro Leu Ile Leu Asp Gln Trp Gln His Val Glu Leu Ser Asp Gly Thr>

1080            1090            1100            1110            1120            1130            1140  
 GCA CAC ACC ATC ACA GAT GCC TAC GCC GGG AAG GAG TAC ATT ATC CAG GTG GCA GCC AAG GAC AAT  
 CGT GTG TGG TAG TGT CTA CGG ATG CGG CCC TTC CTC ATG TAA TAG GTC CAC CGT CGG TTC CTG TTA  
 Ala His Thr Ile Thr Asp Ala Tyr Ala Gly Lys Glu Tyr Ile Ile Gln Val Ala Ala Lys Asp Asn

1150            1160            1170            1180            1190            1200  
 GAG ATT GGG ACA TGG AGT GAC TGG AGC GTA GCC GCC CAC GCT ACG CCC TGG ACT GAG GAA CCG  
 CTC TAA CCC TGT ACC TCA CTG ACC TCG CAT CGG CGG GTG CGA TGC GGG ACC TGA CTC CTT GGC  
 Glu Ile Gly Thr Trp Ser Asp Trp Ser Val Ala Ala His Ala Thr Pro Trp Thr Glu Glu Pro>

1210            1220            1230            1240            1250            1260            1270  
 CGA CAC CTC ACC ACG GAG GCC CAG GCT GCG GAG ACC ACG ACC AGC ACC ACC AGC TCC CTG GCA CCC  
 GCT GTG GAG TGG TGC CTC CGG GTC CGA CGC CTC TGG TGC TGG TCG TGG TGG TCG AGG GAC CGT GGG  
 Arg His Leu Thr Thr Glu Ala Gln Ala Ala Glu Thr Thr Thr Ser Thr Thr Ser Ser Leu Ala Pro

1280            1290            1300            1310            1320            1330  
 CCA CCT ACC ACG AAG ATC TGT GAC CCT GGG GAG CTG GGC AGC GGC GGG GGA CCC TGC GCA CCC  
 GGT GGA TGG TGC TTC TAG ACA CTG GGA CCC CTC GAC CCG TCG CCG CCC CCT GGG ACG CGT GGG  
 Pro Pro Thr Thr Lys Ile Cys Asp Pro Gly Glu Leu Gly Ser Gly Gly Gly Pro Gys Ala Pro>

1340            1350            1360            1370            1380            1390            1400  
 TTC TTG GTC AGC GTC CCC ATC ACT CTG GCC CTG GCT GCC GCT GCC GCC ACT GCC AGC AGT CTC TTG  
 AAG AAC CAG TCG CAG GGG TAG TGA GAC CGG GAC CGA CGG CGA CGG CGG TGA CGG TCG TCA GAG AAC  
 Phe Leu Val Ser Val Pro Ile Thr Leu Ala Leu Ala Ala Ala Ala Ala Thr Ala Ser Ser Leu Leu

1410            1420            1430            1440            1450            1460            1470  
 ATC TGAGCC CGGCACCCCA TGAGGACATG CAGAGCACCT GCAGAGGAGC AGGAGGCCGG AGCTGAGCCT  
 TAG ACTCGG GCCGTGGGGT ACTCCTGTAC GTCTCGTGGA CGTCTCCTCG TCCTCCGGCC TCGACTCGGA  
 Ile>

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FIG.2C

1480	1490	1500	1510	1520	1530	1540	1550
GCAGACCCCG	GTTTCTATTT	TGCACACGGG	CAGGAGGACC	TTTTGCATTC	TCTTCAGACA	CAATTTGTGG	AGACCCCGGC
CGTCTGGGGC	CAAAGATAAA	ACGTGTGCC	GTCCTCCTGG	AAAACGTAAG	AGAAGTCTGT	GTAAACACC	TCTGGGGCCG
1560	1570	1580	1590				
GGGCCC GG C	CTGCCGCCC	CCAGCCCTGC	CGCACCAAGC	T			
CCCGGGCCCG	GACGGCGGGG	GGTCGGGACG	GCGTGGTTCG	A			

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FIG.2D

hCNTFR	42	VTLP	C	-8 aa-	V	T	W	---	R	V
hIL6R	43	VTLT	C	-10aa-	V	H	W	VL-	R	K
hCEA	611	LNLS	C	-9 aa-	Y	S	W	---	R	I
PDGFR		ITIR	C	-9 aa-	F	Q	W	TYP	R	M
CSF-1R		AQIV	C	-8 aa-	F	D	V	SL-	R	H
ALPHA1 B-GP		VTLT	C	-8 aa-	F	Q	L	---	R	R

-13aa-	Q	L	V	L	HGLELGHS	G	L	Y	A	C	F
-15aa-	R	L	L	L	RSVQLHDS	G	N	Y	S	C	Y
-9 aa-	V	L	F	I	AKITPNNN	G	T	Y	A	C	F
-22aa-	I	L	H	I	PTAELSDS	G	T	Y	T	C	N
-22aa-	T	L	N	L	DHVSFQDA	G	N	Y	S	C	T
-18aa-	F	F	H	L	NAVALGDG	G	H	Y	T	C	R

FIG. 3A

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hCNTFR	116	C	RSNTYPKGSY	C	S	W	-24aa-	C	-9 aa-	C	-33aa-	FDEFTI	V
hIL6R	121	C	FRKSPLSNVV	C	E	W	-30aa-	C	-10aa-	C	-32aa-	FQGCGI	L
rPRLR	31	C	RSPD-KETFT	C	W	W	-26aa-	C	-10aa-	C	-33aa-	VDVTYI	V
mEPOR	52	C	FTQR-LEDLV	C	F	W	-25aa-	C	-15aa-	C	-29aa-	IHINEV	V
hIL2R	36	C	FYNS-RANIS	C	V	W	-25aa-	C	-11aa-	C	-37aa-	FKPFEN	L
mIL4R	34	C	FSDY-IRTST	C	E	W	-28aa-	C	-11aa-	C	-28aa-	FSPSGN	V
hGM-CSFR	126	C	FIYN-ADLMN	C	T	W	-26aa-	C	-12aa-	C	-31aa-	LDTKKI	E

KPDP	P	EN	V	VARPVPSNPRRLE	V	T	W	-53aa-	V	AAK----	DNEIGT	WS	D	WS
QPDP	P	AN	I	TVTAVARNPRWLS	V	T	W	-50aa-	V	VQLRAQEEFGQGE	WS	E	WS	
EPEP	P	RN	L	TLEVKQLKDKKTY	L	-	W	-55aa-	V	QTRCKPDH---GY	WS	R	WS	
LLDA	P	AG	L	-LARRAEEGSHVV	L	R	W	-53aa-	V	RARMA-EPFSGF	WS	A	WS	
RLMA	P	IS	L	QV-VHVETHRCN-	I	S	W	-55aa-	V	RVKPL--QGEFTT	WS	P	WS	
KPLA	P	DN	L	TLHTNVSD-EWL-	L	T	W	-57aa-	V	RVRS---QILTGT	WS	E	WS	
RFNP	P	SN	V	TV---RCNTTHCL	V	R	W	-56aa-	V	KIRAA-D-VRILN	WS	S	WS	

FIG. 3B

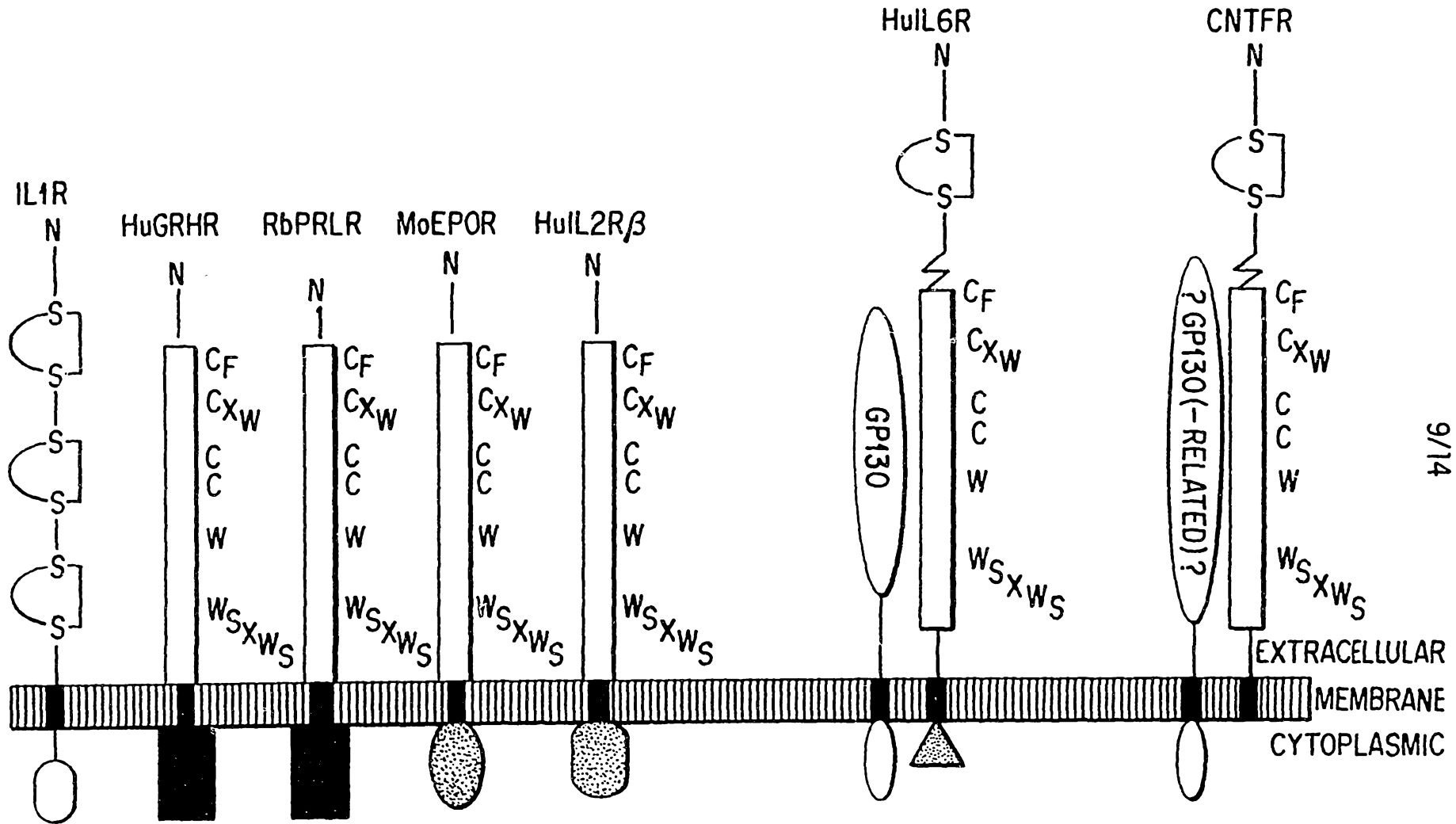
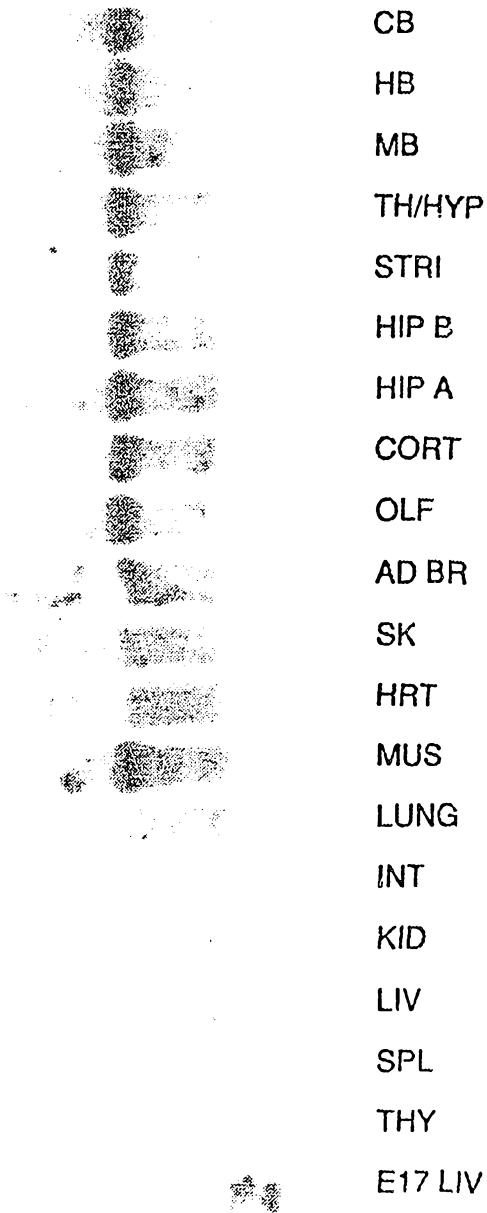


FIG. 4

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**FIG. 5**

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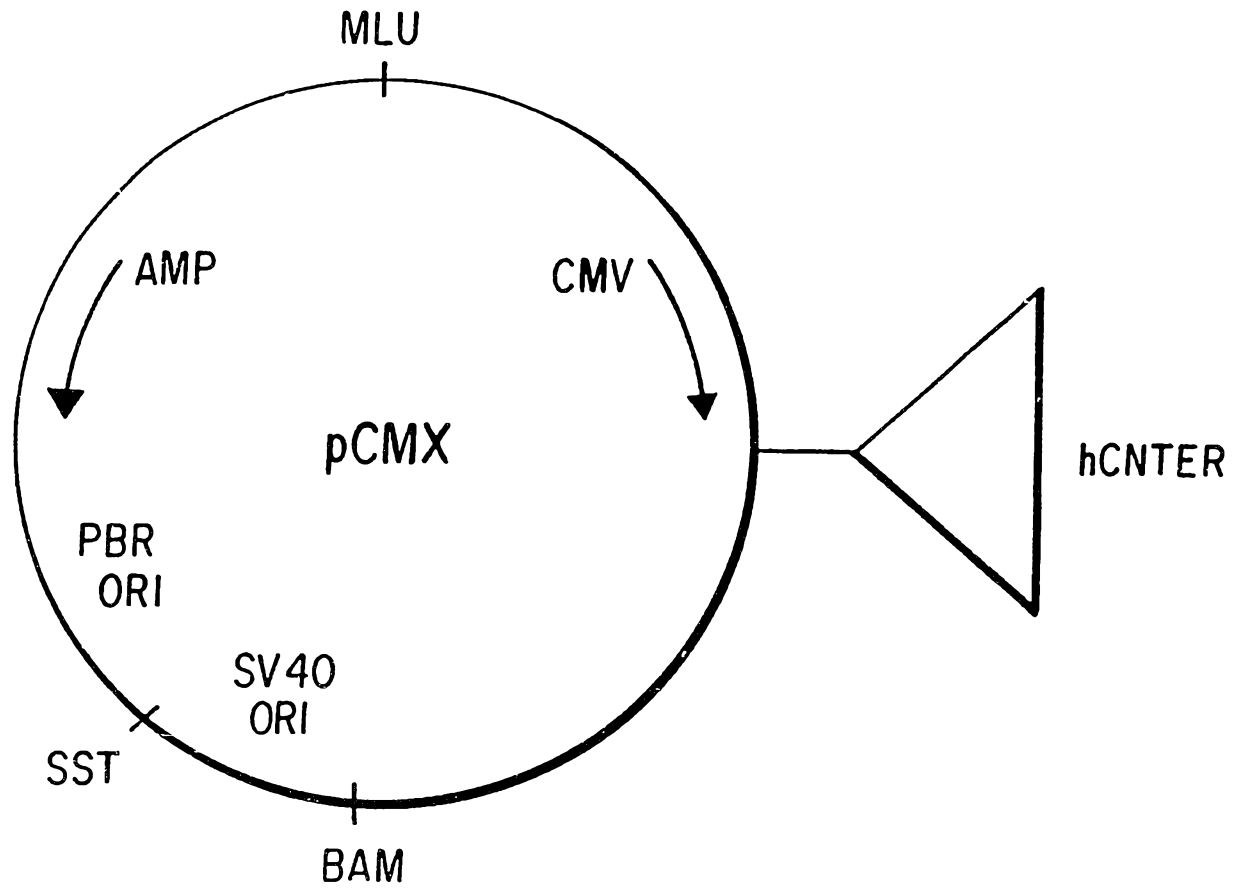


FIG. 6

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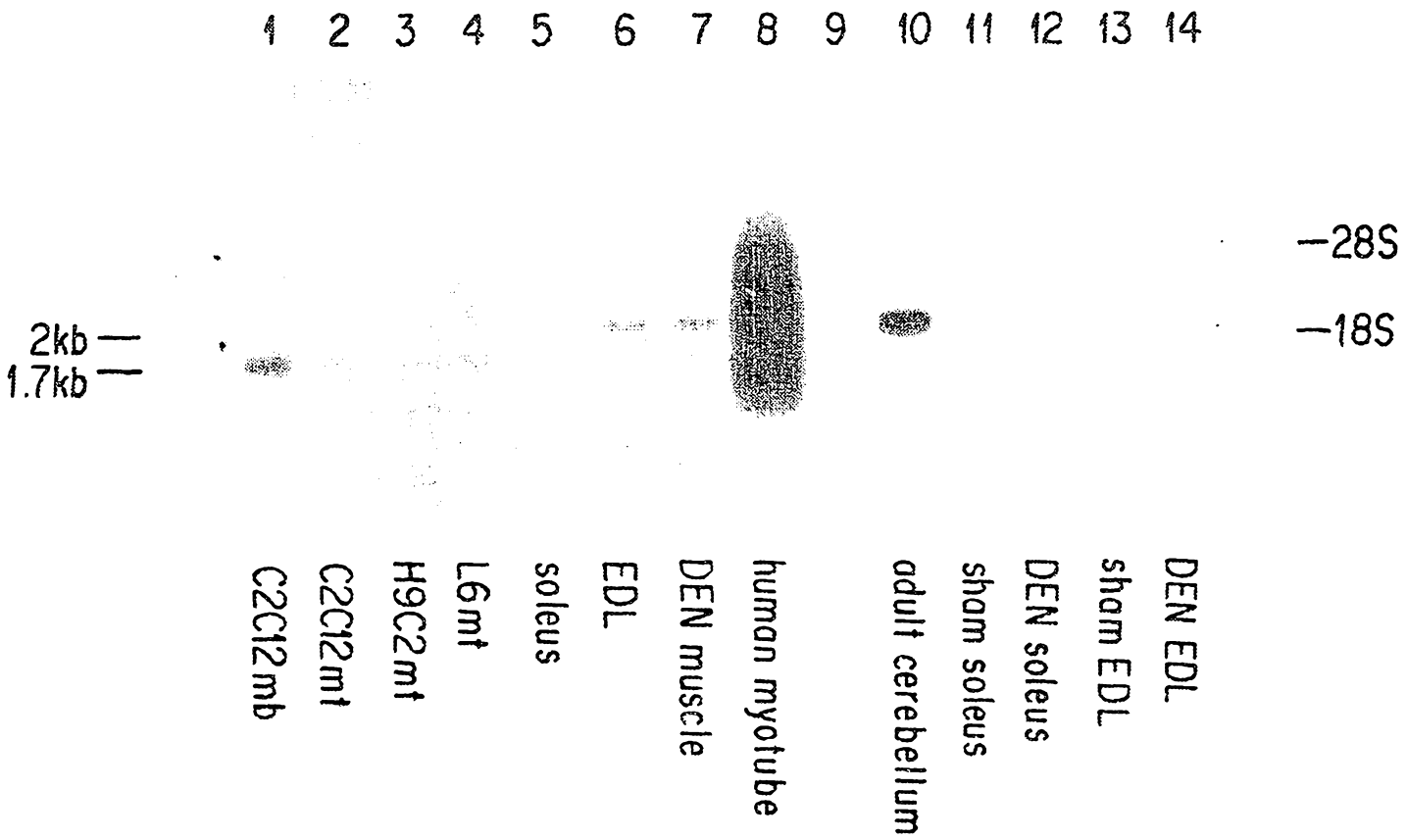


FIG. 7

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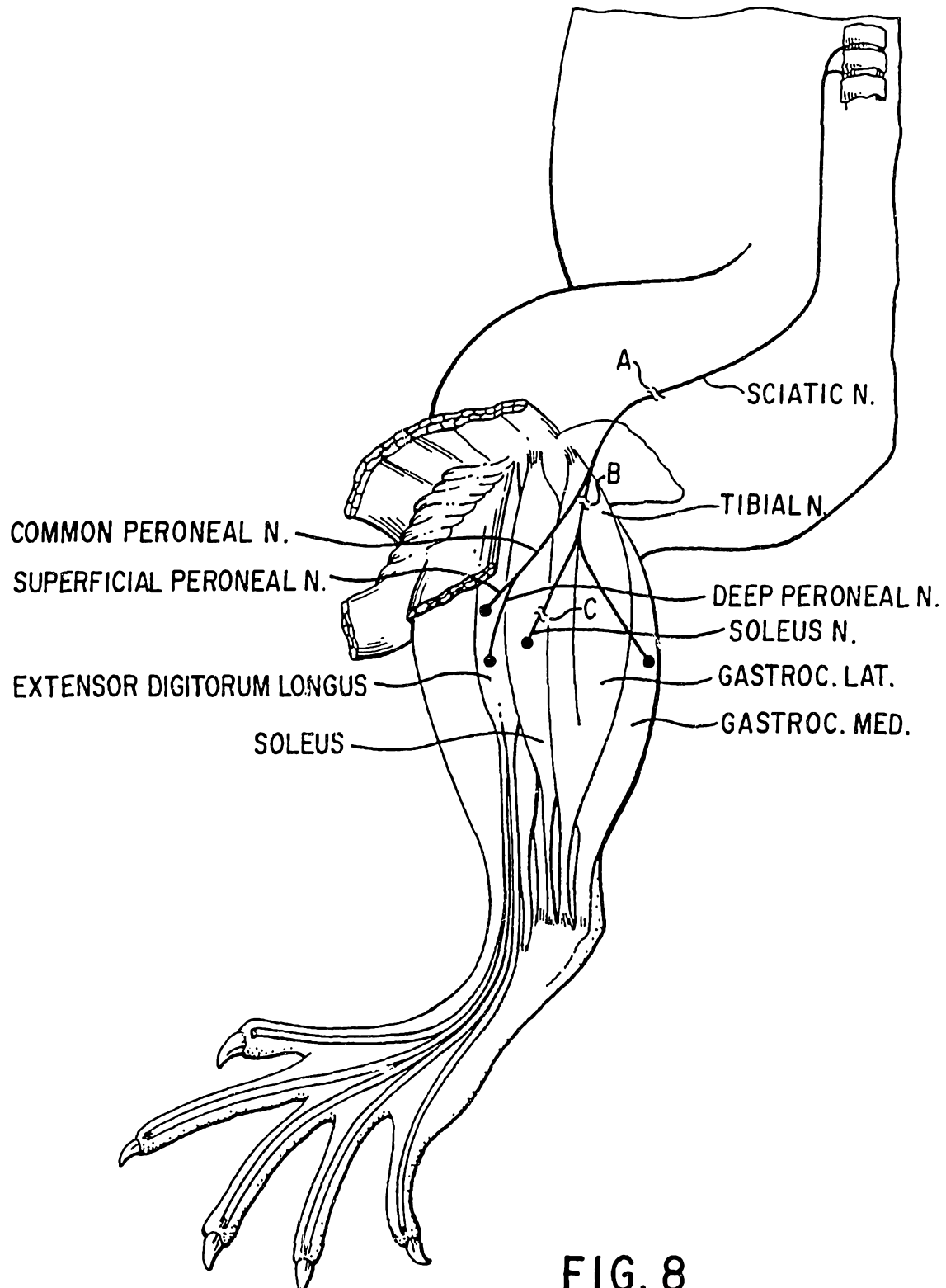


FIG. 8

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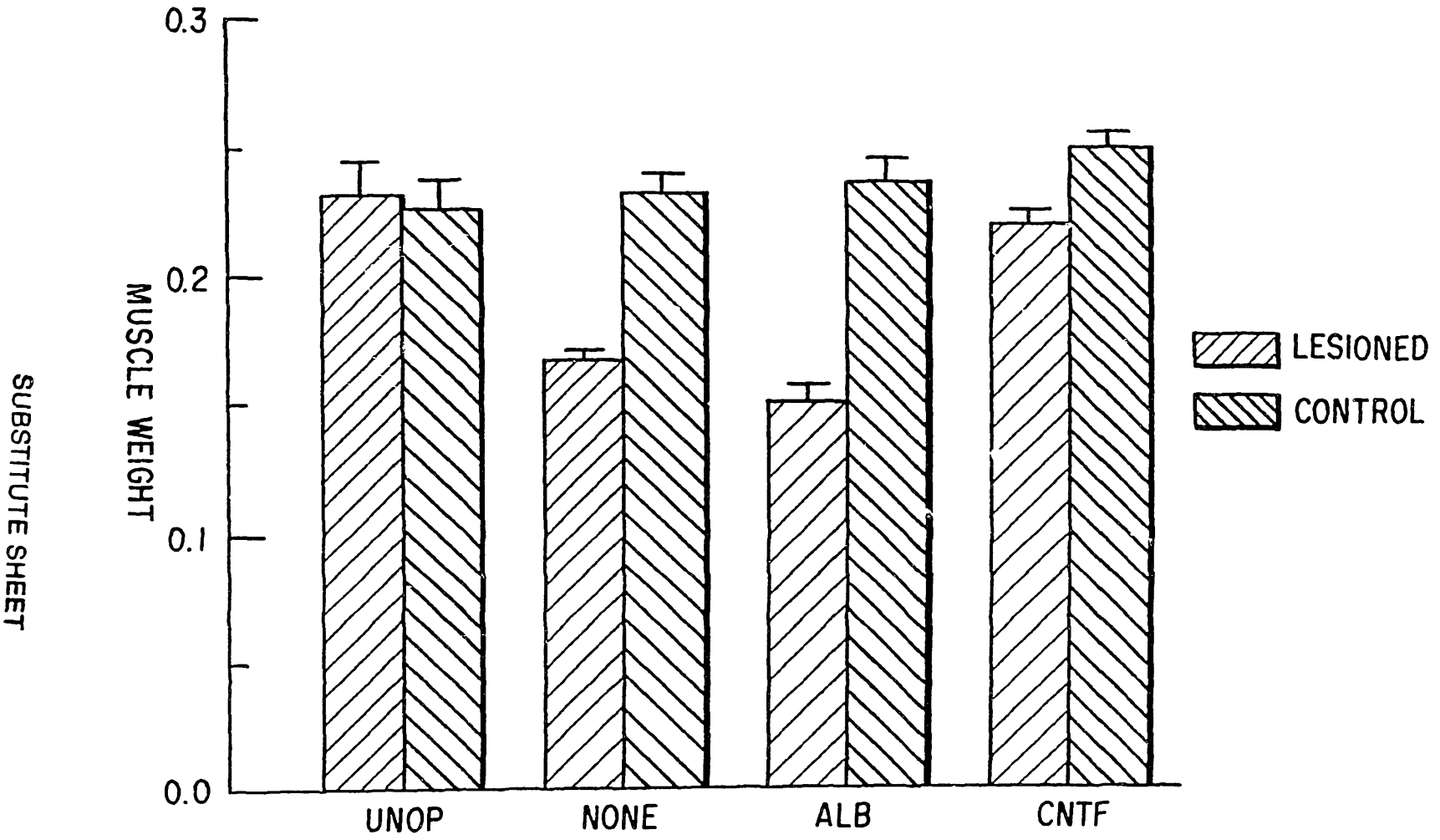


FIG. 9

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# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/03896

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC(5): C12Q 1/68; G01N 33/53 U.S. CL.: 435/6, 7.1		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
U.S.	435/6, 7.1, 7.2, 7.21, 7.92, 69.1, 91, 172.3, 240.1, 320.1, 971; 436/501, 86, 811; 514/44, 2; 530/350, 359, 806, 812, 839; 935/9, 11, 12, 23, 71, 72, 78, 99, 102, 110; 536/27; 424/88	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category <sup>9</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
T	Science, Volume 253, issued 05 July 1991, <b>DAVIS ET AL.</b> , "The Receptor for Ciliary Neurotrophic Factor", pages 59-63, see entire document.	1-56
X	The Journal of Neuroscience, Volume 9, Number 10, issued October 1989, <b>HALVORSEN ET AL.</b> , "Specific Down-Regulation of the $\alpha$ -Bungarotoxin Binding Component on Chick Autonomic Neurons by Ciliary Neurotrophic factor", pages 3673-3680, see especially the abstract.	8,13,45
<u>X</u> A	Science, Volume 204, issued 29 June 1979, <b>ADLER ET AL.</b> , "Cholinergic Neurotrophic Factors: Intraocular Distribution of Trophic Activity for Ciliary Neurons" pages 1434-1436, see entire document.	<u>8,13,18</u> 1-7,9-12, 14-17,19-56
<u>X</u> <u>Y</u>	Nature, Volume 342, issued 21 December 1989, <b>STOCKLI AL.</b> , "Molecular cloning, expression and regional distribution of rat ciliary neurotrophic factor", pages 920-923, see especially the abstract and first paragraph thereafter.	<u>8,13,18</u> 50-55
(cont.)		
<p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
30 September 1991	<b>10 OCT 1991</b>	
International Searching Authority	Signature of Authorized Officer	
ISA/US	<i>Ardin Marschel</i> Ardin Marschel (vsh)	

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
X Y	Science, Volume 246, issued 24 November 1989, <b>Lih ET AL.</b> , "Purification, Cloning and Expression of Ciliary Neurotrophic Factor (CNTF)", pages 1023-1025, see especially the first paragraph after the abstract.	<u>8,13,18</u> 50-55
P,Y	US, A, 4,997,929 ( <b>COLLINS ET AL.</b> ) 05 March 1991, see especially column 2, lines 22-42.	8,13,15,18, 33,50-55
P,Y	US, A, 5,011,914 ( <b>COLLINS ET AL.</b> ) 30 April 1991, see especially column 2, lines 21-39.	8,13,15,18,
P,A	US, A, 5,017,375 ( <b>APPEL ET AL.</b> ) 21 May 1991, see the entire document.	1-56
A	US, A, 4,923,696 ( <b>APPEL ET AL.</b> ) 08 May 1990, see the entire document.	1-56