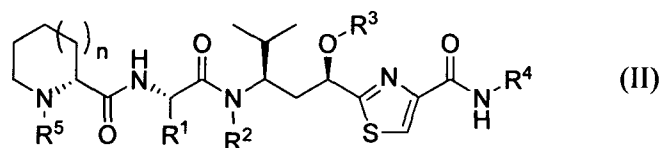


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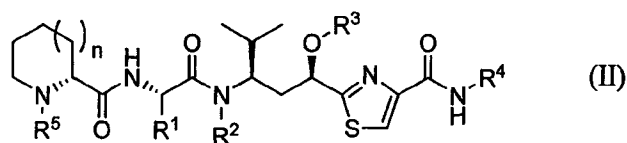
ABSTRACT OF THE DISCLOSURE

Antiproliferative compounds having a structure represented by formula (II), where  $n$ ,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ , and  $R^5$  are as defined herein, can be used to treat tumors, optionally when conjugated to a ligand such as an antibody:



What is claimed is:

1. A compound having a structure represented by formula (II)

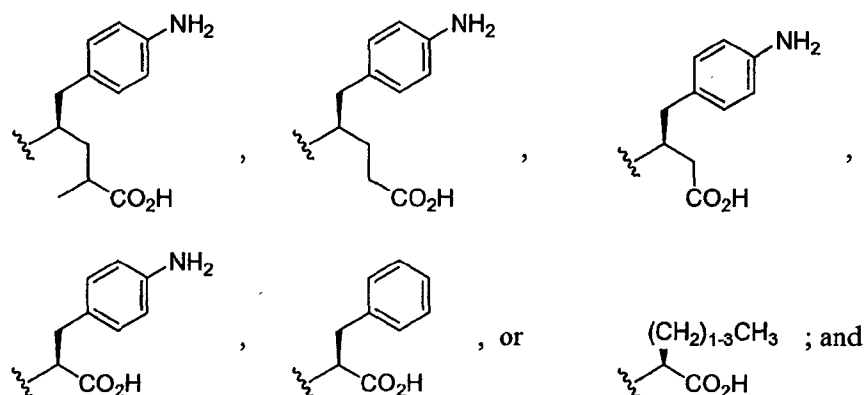


wherein

n is 0, 1, or 2;

$R^1$ ,  $R^2$  and  $R^3$  are independently H, unsubstituted or substituted  $C_1$ - $C_{10}$  alkyl, unsubstituted or substituted  $C_2$ - $C_{10}$  alkenyl, unsubstituted or substituted  $C_2$ - $C_{10}$  alkynyl, unsubstituted or substituted aryl, unsubstituted or substituted heteroaryl, unsubstituted or substituted  $(CH_2)_{1-2}O(C_1$ - $C_{10}$  alkyl), unsubstituted or substituted  $(CH_2)_{1-2}O(C_2$ - $C_{10}$  alkenyl), unsubstituted or substituted  $(CH_2)_{1-2}O(C_2$ - $C_{10}$  alkynyl),  $(CH_2)_{1-2}OC(=O)(C_1$ - $C_{10}$  alkyl), unsubstituted or substituted  $(CH_2)_{1-2}OC(=O)(C_2$ - $C_{10}$  alkenyl), unsubstituted or substituted  $(CH_2)_{1-2}OC(=O)(C_2$ - $C_{10}$  alkynyl), unsubstituted or substituted  $C(=O)(C_1$ - $C_{10}$  alkyl), unsubstituted or substituted  $C(=O)(C_2$ - $C_{10}$  alkenyl), unsubstituted or substituted  $C(=O)(C_2$ - $C_{10}$  alkynyl), unsubstituted or substituted cycloaliphatic, unsubstituted or substituted heterocycloaliphatic, unsubstituted or substituted arylalkyl, or unsubstituted or substituted alkylaryl;

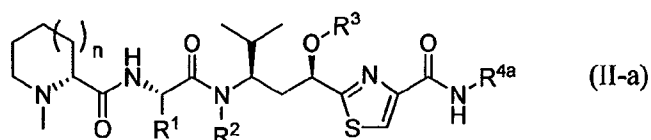
$R^4$  is



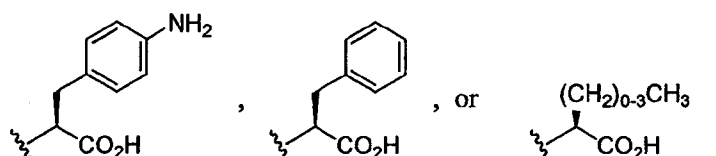
$R^5$  is H, C<sub>1</sub>-C<sub>5</sub> alkyl, C<sub>2</sub>-C<sub>5</sub> alkenyl, C<sub>2</sub>-C<sub>5</sub> alkynyl, CO(C<sub>1</sub>-C<sub>5</sub> alkyl), CO(C<sub>2</sub>-C<sub>5</sub> alkenyl), or CO(C<sub>2</sub>-C<sub>5</sub> alkynyl);

or a pharmaceutically acceptable ester thereof, a pharmaceutically acceptable amide thereof at the carboxyl group of  $R^4$  with the  $\alpha$ -amino group of an  $\alpha$ -amino acid, or a pharmaceutically acceptable salt thereof.

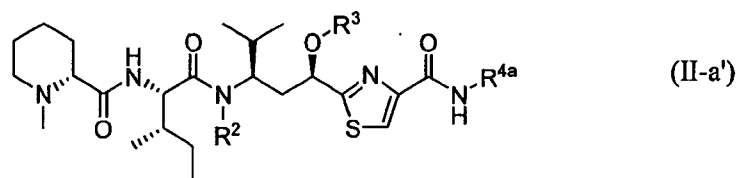
2. A compound according to claim 1, having a structure represented by formula (II-a)



wherein  $R^{4a}$  is



3. A compound according to claim 2, having a structure represented by formula (II-a'):

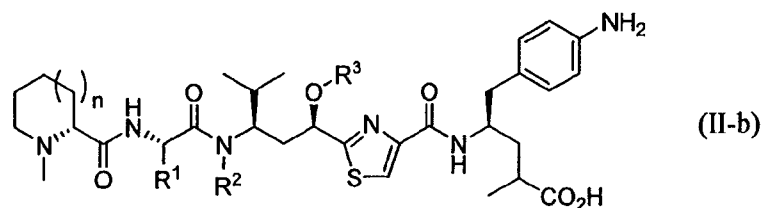


wherein

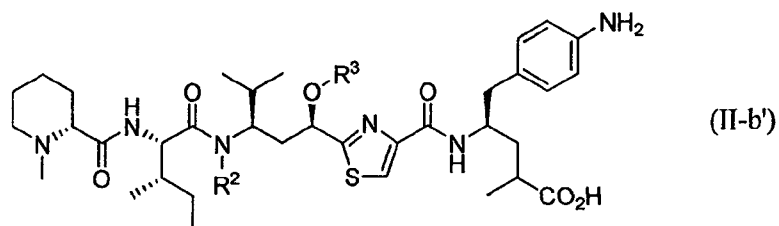
$R^2$  is H, C<sub>1</sub>-C<sub>5</sub> alkyl, C<sub>2</sub>-C<sub>5</sub> alkenyl, CH<sub>2</sub>O(C<sub>1</sub>-C<sub>5</sub> alkyl), CH<sub>2</sub>O(C<sub>2</sub>-C<sub>5</sub> alkenyl), CH<sub>2</sub>O(C=O)(C<sub>1</sub>-C<sub>5</sub> alkyl), or CH<sub>2</sub>OC(=O)(C<sub>2</sub>-C<sub>5</sub> alkenyl); and

$R^3$  is H, C<sub>1</sub>-C<sub>5</sub> alkyl, C<sub>2</sub>-C<sub>5</sub> alkenyl, C(=O)C<sub>1</sub>-C<sub>5</sub> alkyl, or C(=O)C<sub>2</sub>-C<sub>5</sub> alkenyl.

4. A compound according to claim 1, having a structure represented by formula (II-b):



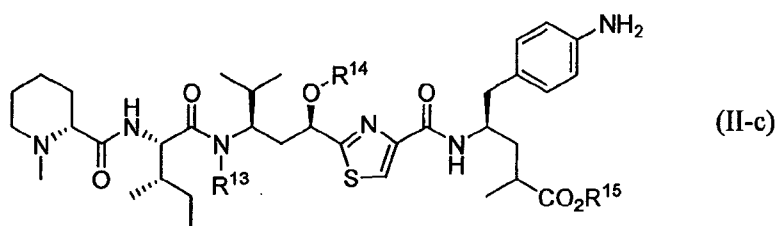
5. A compound according to claim 4, having a structure represented by formula (II-b'):



wherein

- $R^2$  is H,  $C_1$ - $C_5$  alkyl,  $C_2$ - $C_5$  alkenyl,  $CH_2O(C_1$ - $C_5$  alkyl),  $CH_2O(C_2$ - $C_5$  alkenyl),  $CH_2O(C=O)(C_1$ - $C_5$  alkyl), or  $CH_2OC(=O)(C_2$ - $C_5$  alkenyl); and  
 $R^3$  is H,  $C_1$ - $C_5$  alkyl,  $C_2$ - $C_5$  alkenyl,  $C(=O)C_1$ - $C_5$  alkyl, or  $C(=O)C_2$ - $C_5$  alkenyl.

6. A compound according to claim 1, having a structure according to formula (III-a), (III-b), (III-c), (III-d), (III-e), (III-f), (III-g), (III-h), (III-i), (III-j), (III-k), (III-l), (III-m), (III-n), (III-o), (III-p), (III-q), (III-r), (III-s), (III-t), (III-u), (III-v), (III-w), or (III-y).
7. A compound according to claim 1, having a structure represented by formula (II-c)



where  $R^{13}$  is Me, n-Pr,  $CH_2OMe$ , or  $CH_2OC(=O)CH_2CH(Me)_2$ ;  $R^{14}$  is Me or  $C(=O)Me$ ; and  $R^{15}$  is H or  $C_1$ - $C_5$  alkyl.

8. A conjugate comprising a compound according to claim 1 conjugated to an antibody.

9. A conjugate having a structure represented by formula (IV):



wherein

D is a compound according to claim 1;  
 Z is an antibody;  
 $X^D$  and  $X^Z$  are spacer groups;  
 C is a group cleavable at the site of intended biological action of D;  
 each of a and b is independently 0 or 1; and  
 m is 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10.

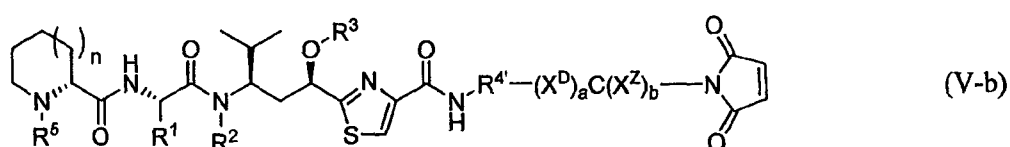
10. A composition of matter having a structure represented by formula (V-a)



wherein

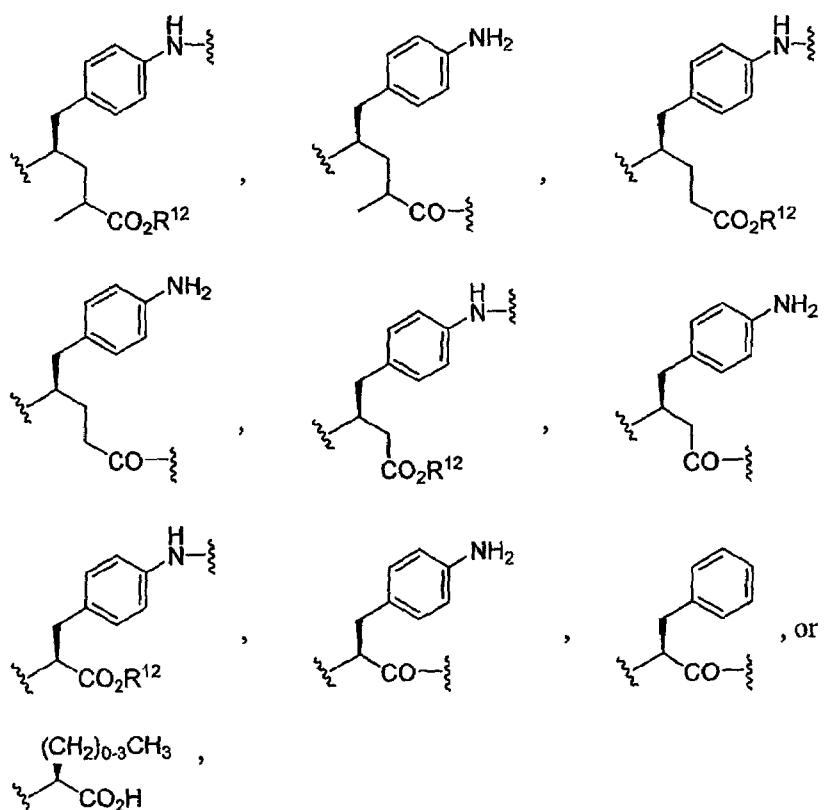
$R^{31}$  is a functional group suitable for reacting with a functional group on an antibody;  
 D is a compound according to claim 1;  
 $X^D$  and  $X^Z$  are spacer groups;  
 C is a group cleavable at the site of intended biological action of D; and  
 each of a and b is independently 0 or 1.

11. A composition of matter having a structure represented by formula (V-b)



wherein

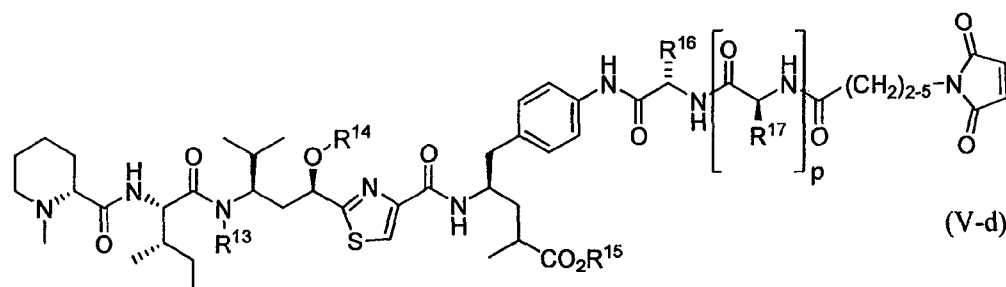
n is 0, 1, or 2;  
 $R^1$ ,  $R^2$  and  $R^3$  are independently H, unsubstituted or substituted  $C_1$ - $C_{10}$  alkyl, unsubstituted or substituted  $C_2$ - $C_{10}$  alkenyl, unsubstituted or substituted  $C_2$ - $C_{10}$  alkynyl, unsubstituted or substituted aryl, unsubstituted or substituted heteroaryl, unsubstituted or substituted  $(CH_2)_{1-2}O(C_1$ - $C_{10}$  alkyl), unsubstituted or substituted  $(CH_2)_{1-2}O(C_2$ - $C_{10}$  alkenyl), unsubstituted or

$R^{4'}$  is

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wherein group  $R^{4'}$  is linked via a carboxyl or amine group therein to either group  $X^D$  in the event a is 1 or to group C in the event a is 0.

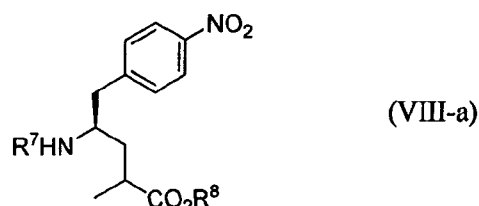
12. A composition of matter having a structure represented by formula (V-d):



where  $R^{13}$  is Me, n-Pr,  $CH_2OMe$ , or  $CH_2OC(=O)CH_2CH(Me)_2$ ;  $R^{14}$  is Me or  $C(=O)Me$ ;  $R^{15}$  is H or  $C_1$ - $C_5$  alkyl;  $R^{16}$  is  $(CH_2)_4NH_2$  or  $(CH_2)_3NHC(=O)NH_2$ ;  $R^{17}$  is  $C(Me)_2$  or Me; and p is 0 or 1.

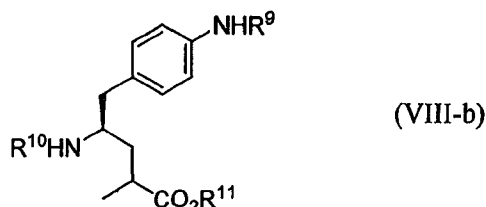
13. A method for treating a cancer in a subject suffering from such cancer, comprising administering to the subject a therapeutically effective amount of a compound according to claim 1, or a conjugate thereof with a ligand, in particular an antibody.

14. A compound having a structure according to formula (VIII-a)



wherein  $R^7$  is H or an amine protecting group and  $R^8$  is H,  $C_1$ - $C_{10}$  alkyl,  $C_2$ - $C_{10}$  alkenyl,  $C_2$ - $C_{10}$  alkynyl, aryl, cycloaliphatic, alkylcycloaliphatic, arylalkyl, or alkylaryl.

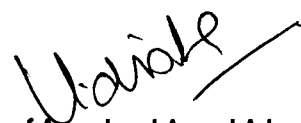
15. A compound having a structure according to formula (VIII-b)



wherein R<sup>9</sup> and R<sup>10</sup> are independently H or an amine protecting group and R<sup>11</sup> is H, C<sub>1</sub>-C<sub>10</sub> alkyl, C<sub>2</sub>-C<sub>10</sub> alkenyl, C<sub>2</sub>-C<sub>10</sub> alkynyl, aryl, cycloaliphatic, alkylcycloaliphatic, arylalkyl, or alkylaryl.

\*\*\*\*\*

Dated this the 31<sup>st</sup> day of January, 2012

  
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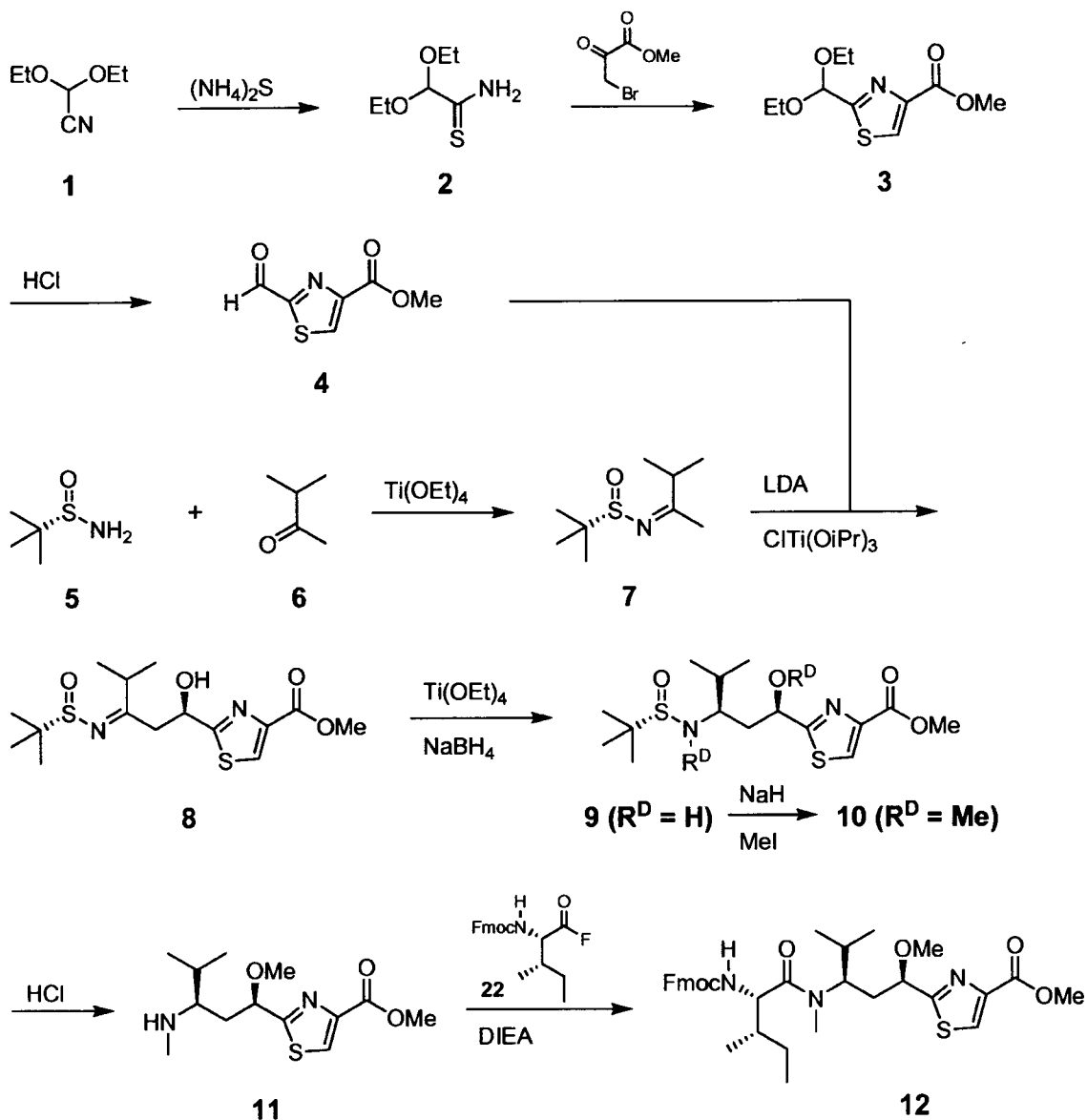
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**Fig. 1a**

Scheme 1 (part 1 of 2)

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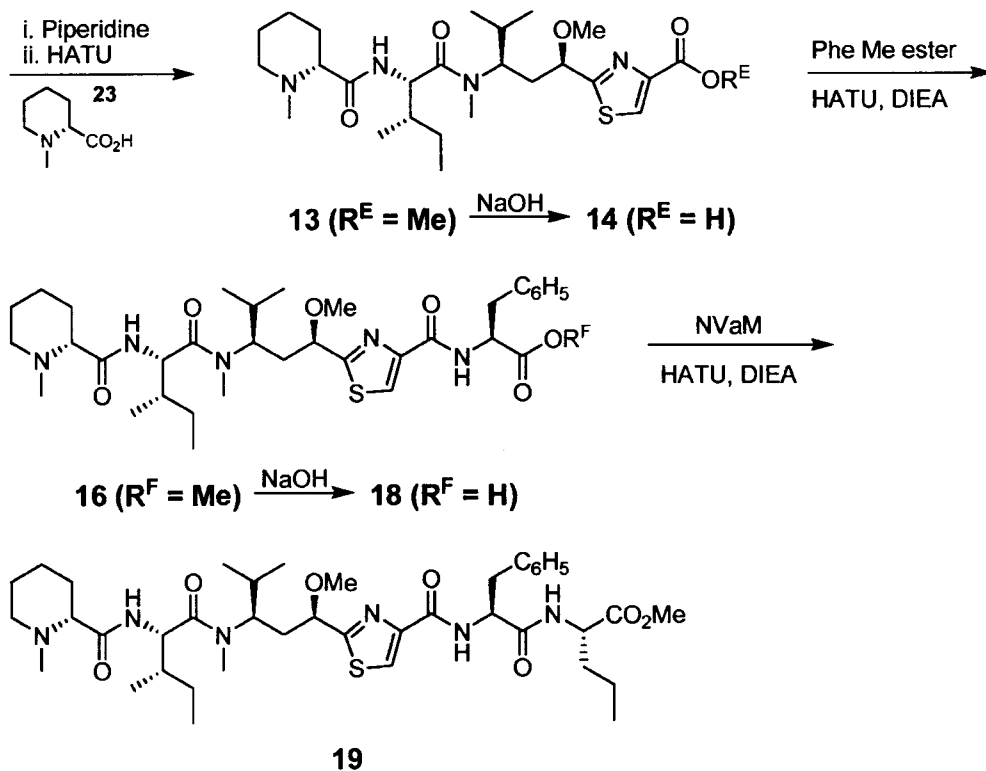
ORIGINAL

**Fig. 1b**

Scheme 1 (part 2 of 2)

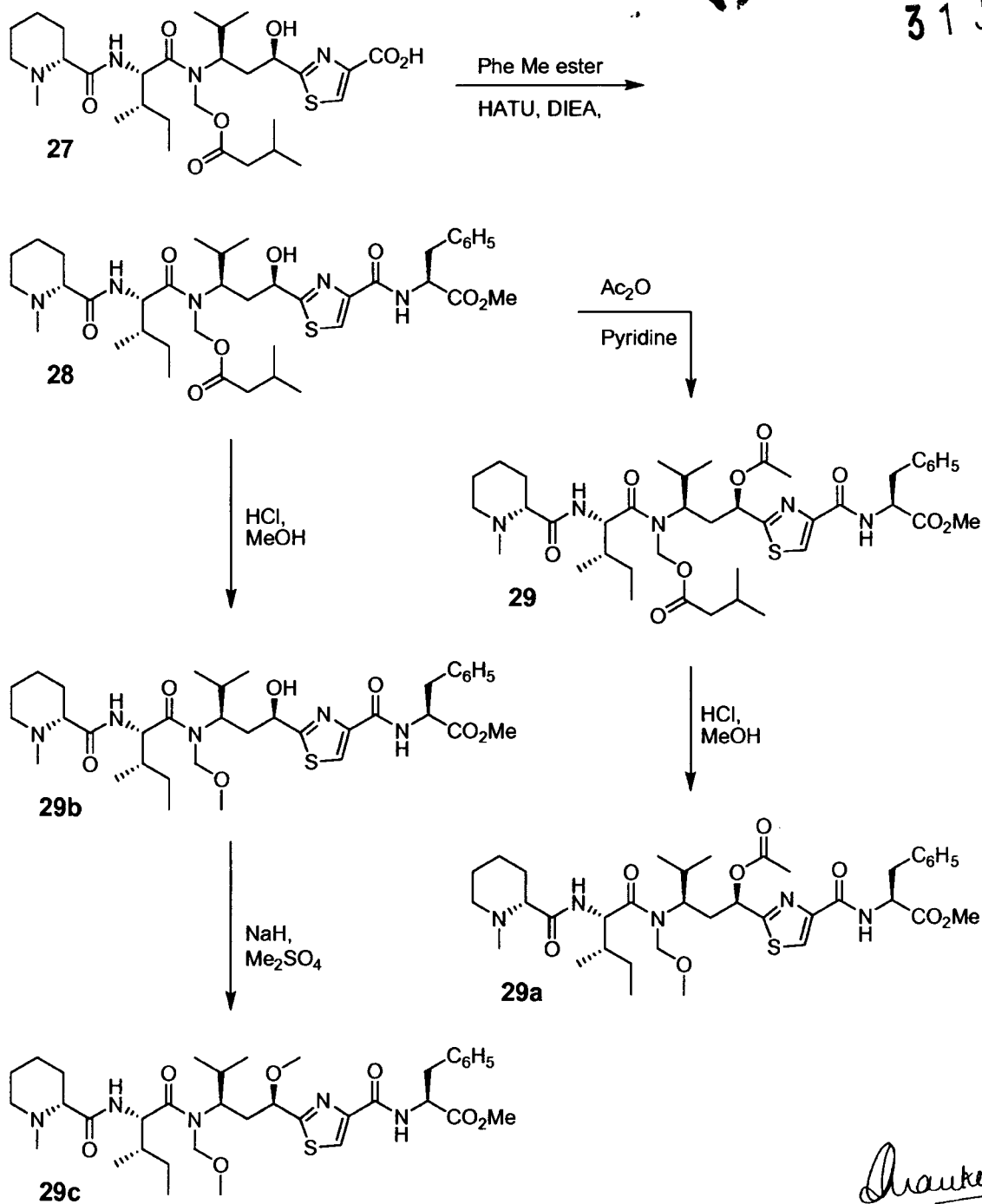
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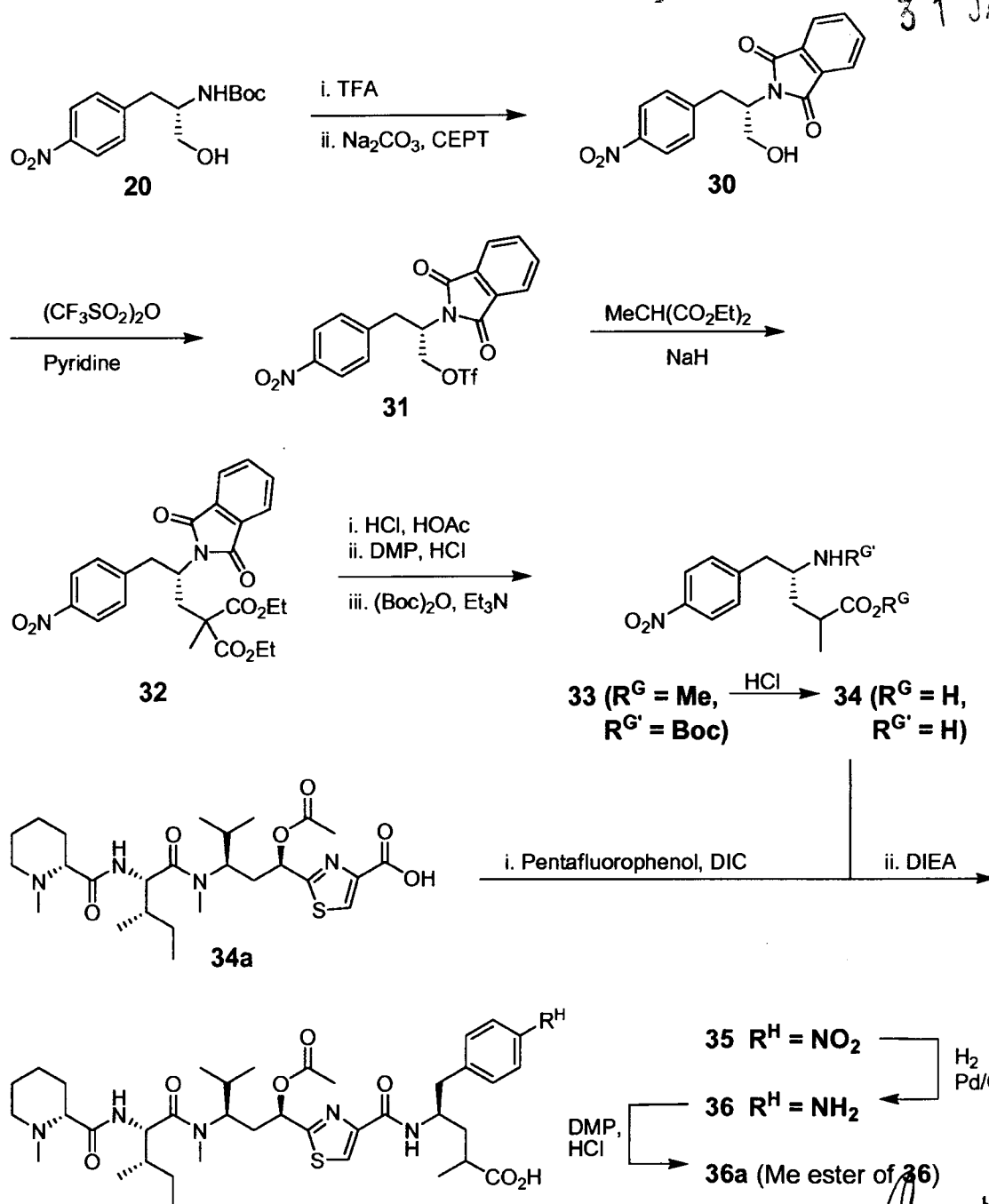
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**Fig. 2**Scheme 2

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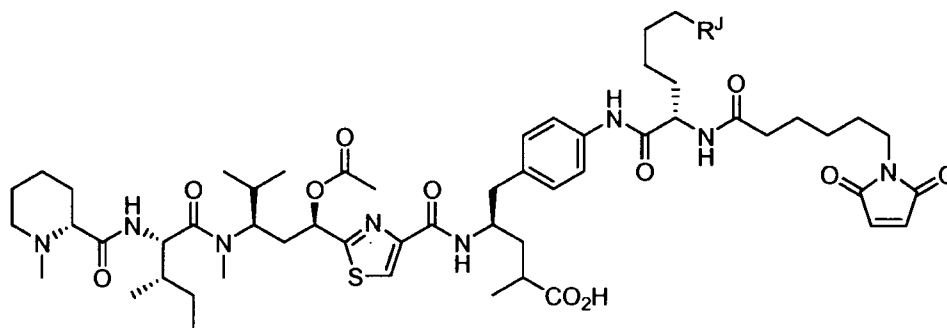
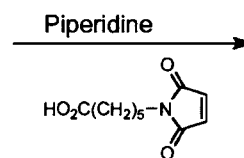
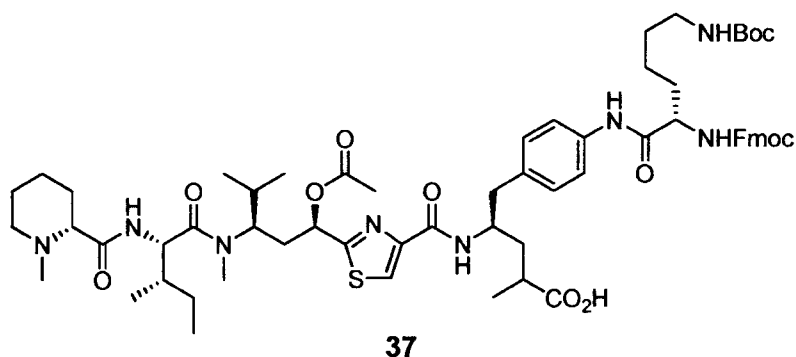
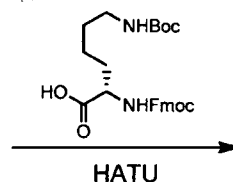
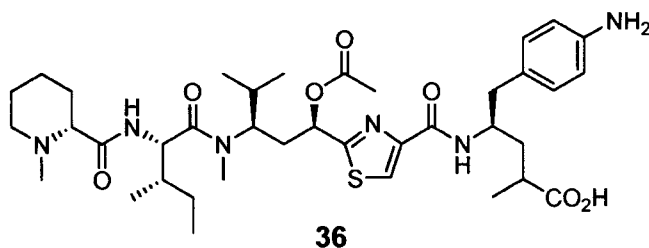
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**Fig. 3**Scheme 3

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**Fig. 4**Scheme 438  $R^J = \text{NHBoc}$ 39  $R^J = \text{NH}_2 \bullet \text{TFA}$ 

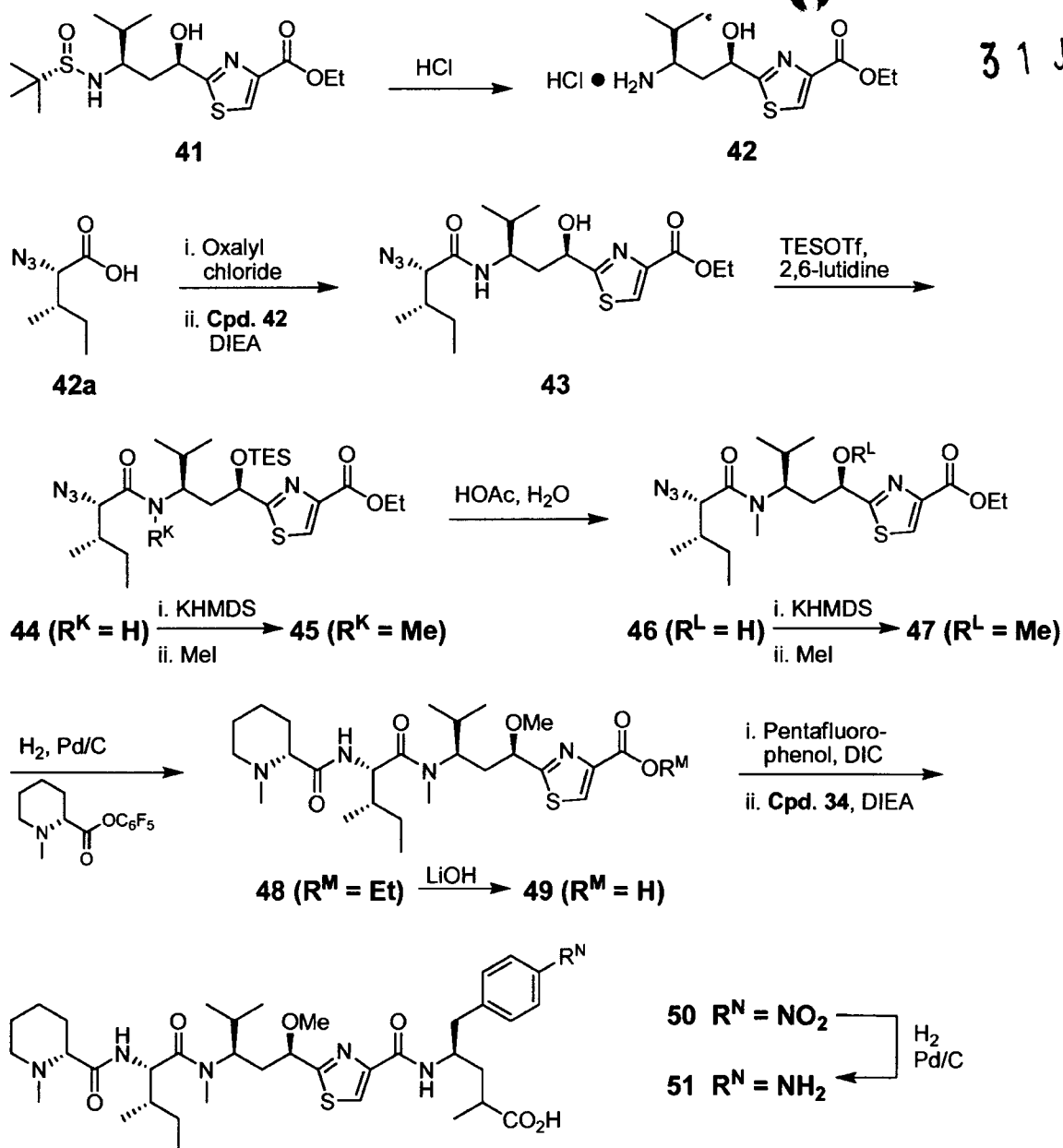
TFA

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**Fig. 5**

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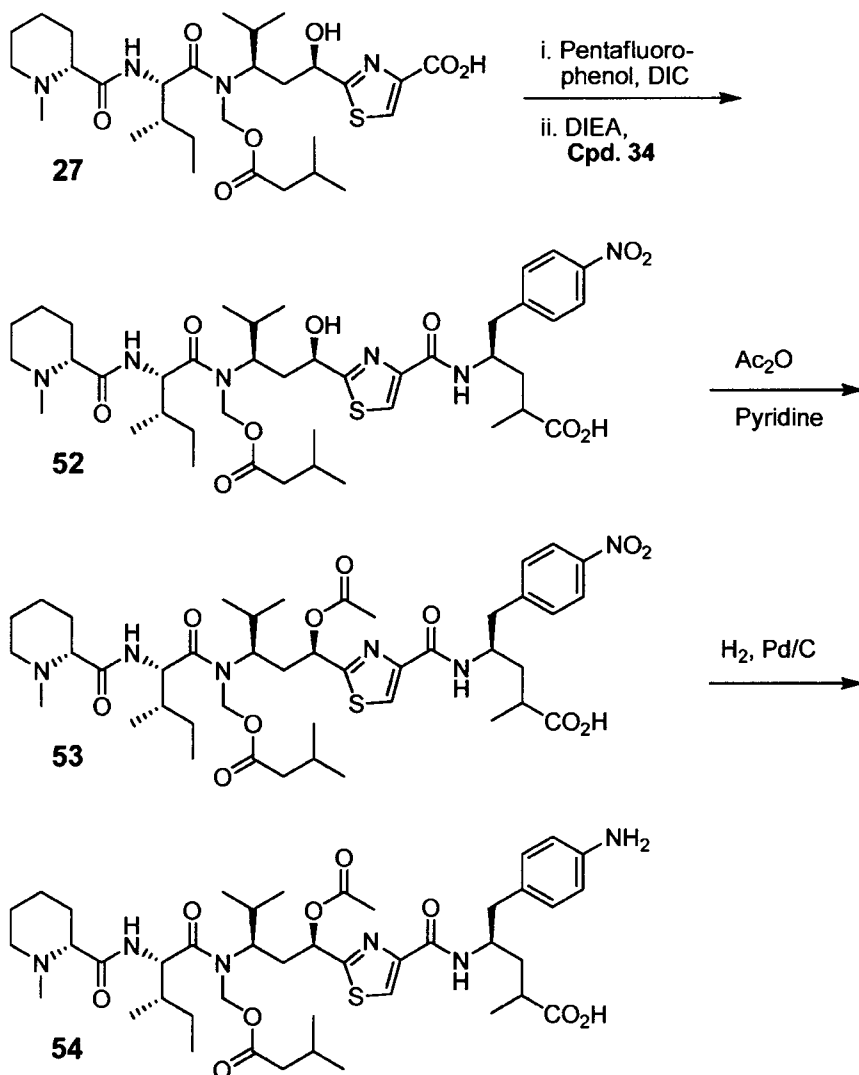
Scheme 5

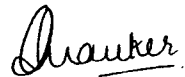


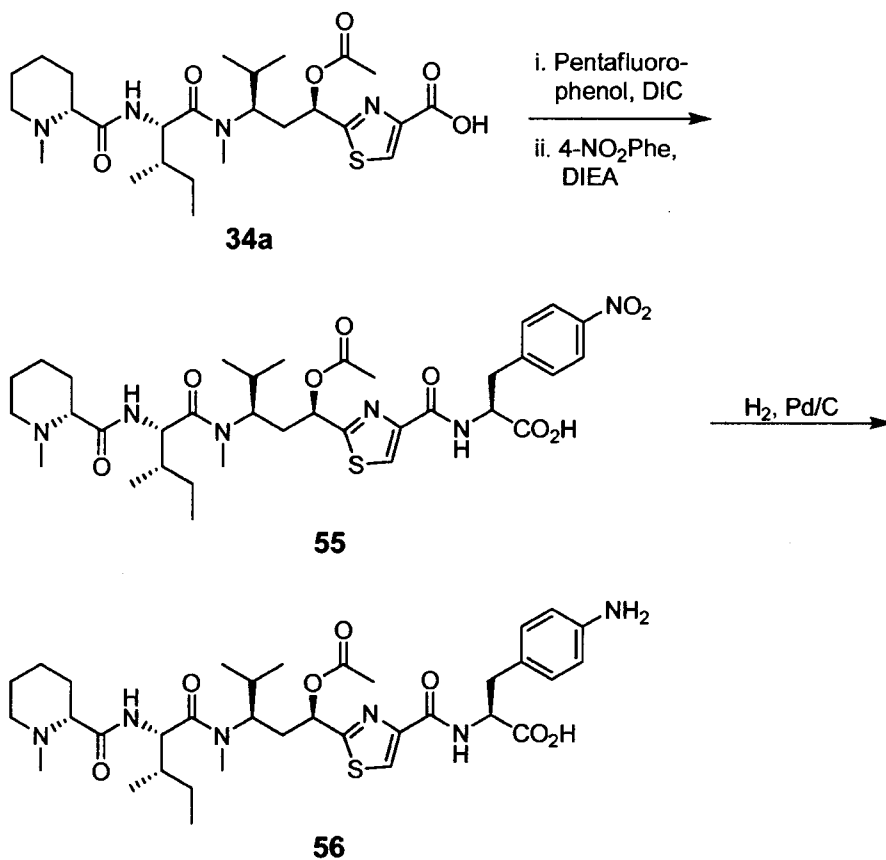
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**Fig. 6**

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Scheme 6

  
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Agents for the Applicant

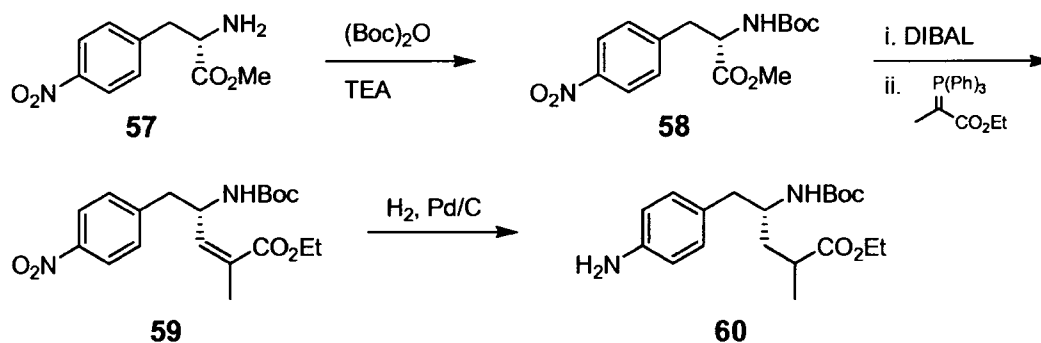
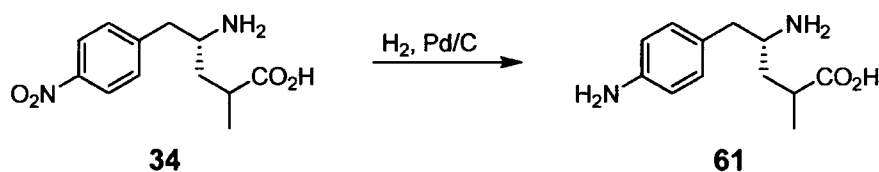
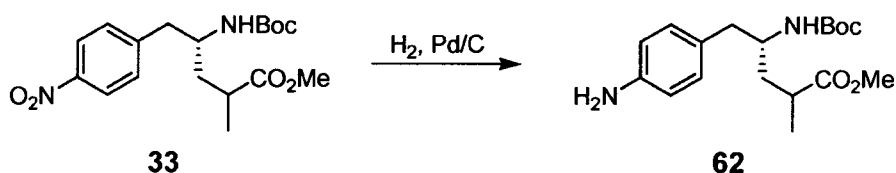
**Fig. 7**Scheme 7

  
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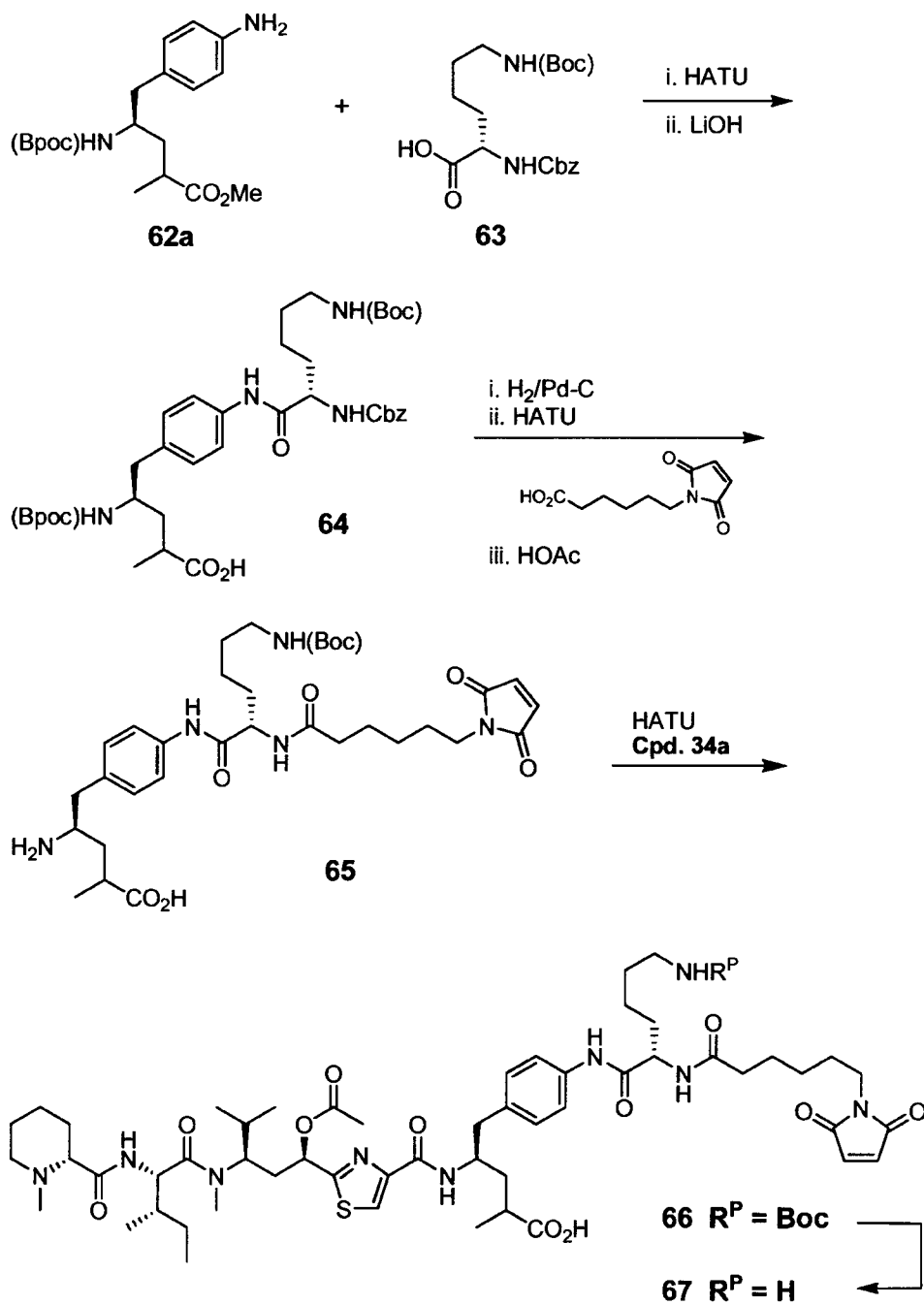
M. J. J. J.

0860 DELNO 12  
31 JAN 2012**Fig. 8a**Scheme 8**Fig. 8b**Scheme 9**Fig. 8c**Scheme 10

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**Fig. 9**

Scheme 11



TFA



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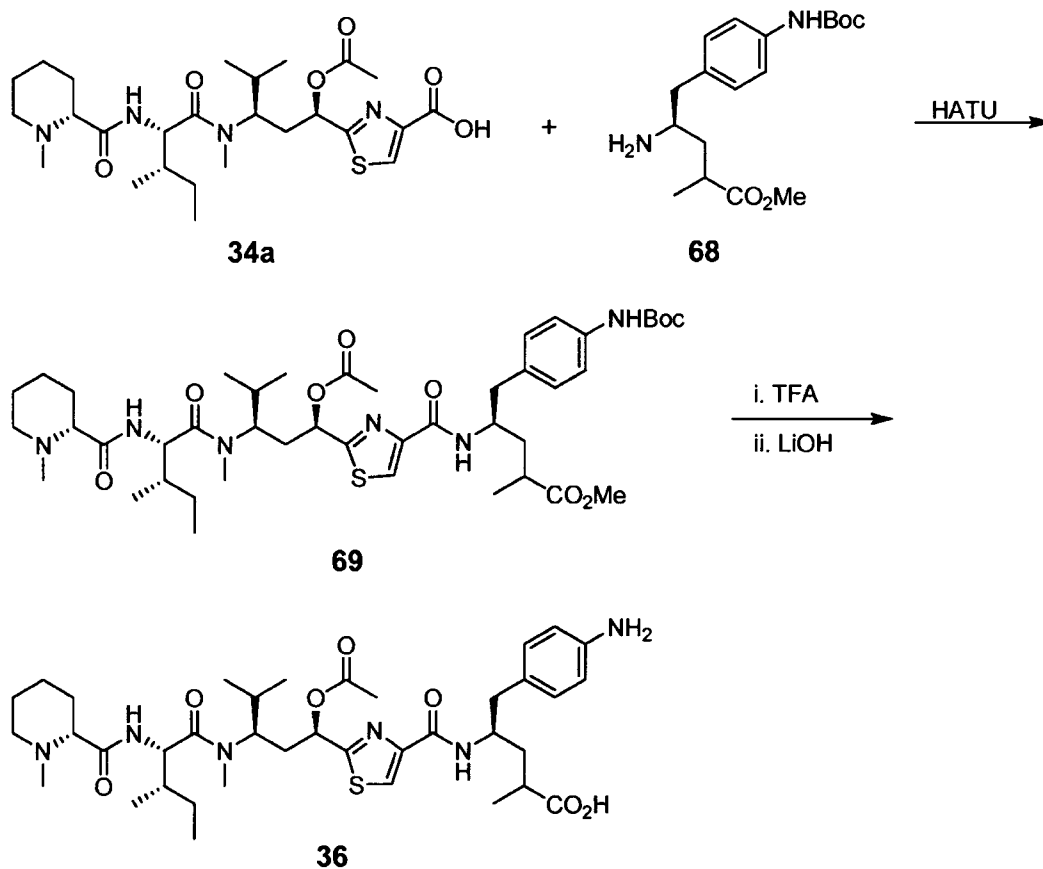
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**Fig. 10**Scheme 12

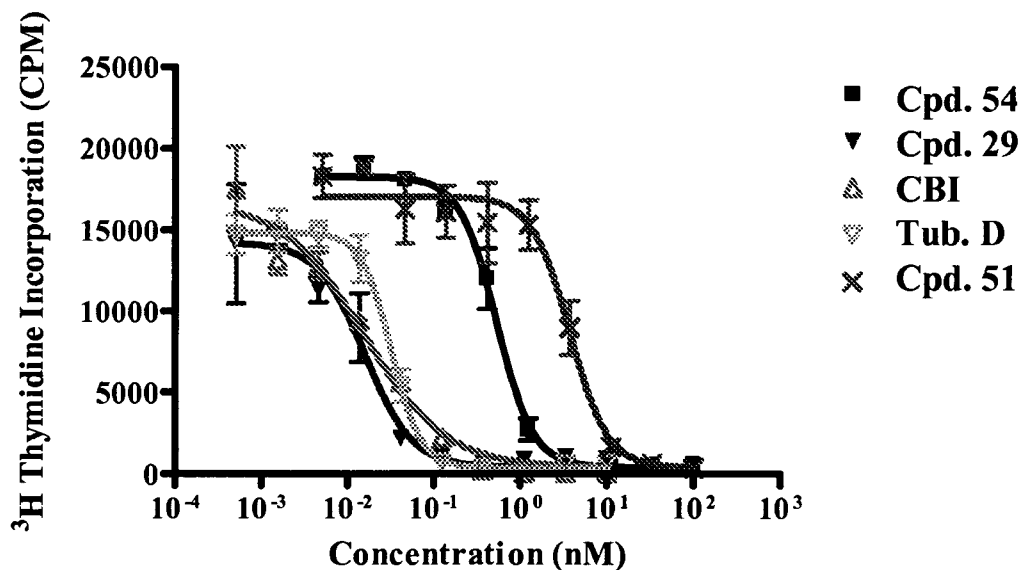
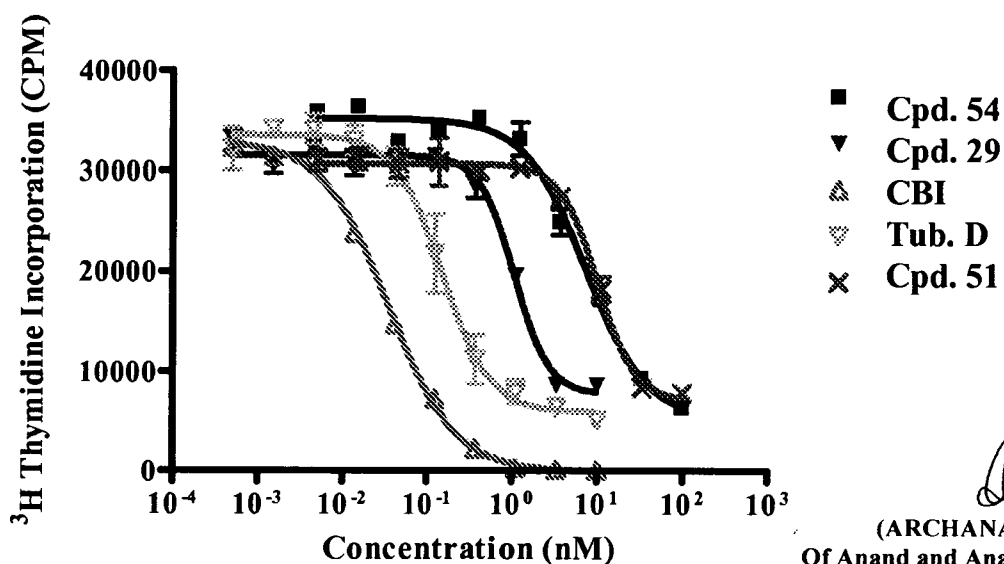
0860 DELMP 12

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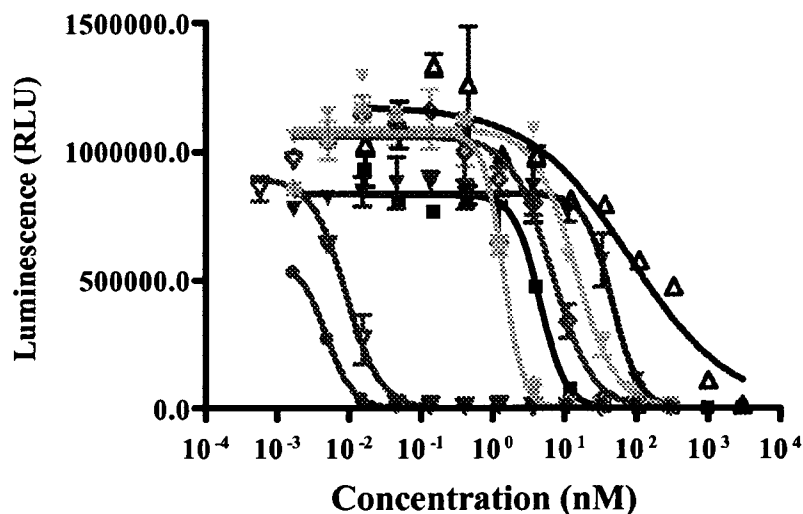
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**Fig. 11a**HL-60  $^3\text{H}$  Thymidine Proliferation Assay**Fig. 11b**786-0  $^3\text{H}$  Thymidine Proliferation Assay

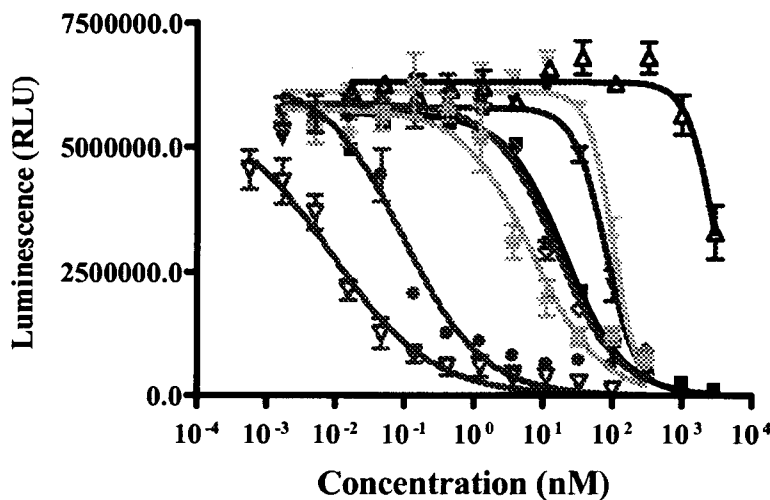
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**Fig. 12a****HL-60 ATP Luminescence Assay**

- Dox
- ▼ Cpd (III-p)
- ▽ Cpd (III-q)
- △ Cpd 56
- ▽ Tub D
- ◇ Cpd 36
- ◆ Cpd 51
- Cpd 29a

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**Fig. 12b****786-0 ATP Luminescence Assay**

- Dox
- ▼ Cpd (III-p)
- ▽ Cpd (III-q)
- △ Cpd 56
- ▽ Tub D
- ◇ Cpd 36
- ◆ Cpd 51
- Cpd 29a

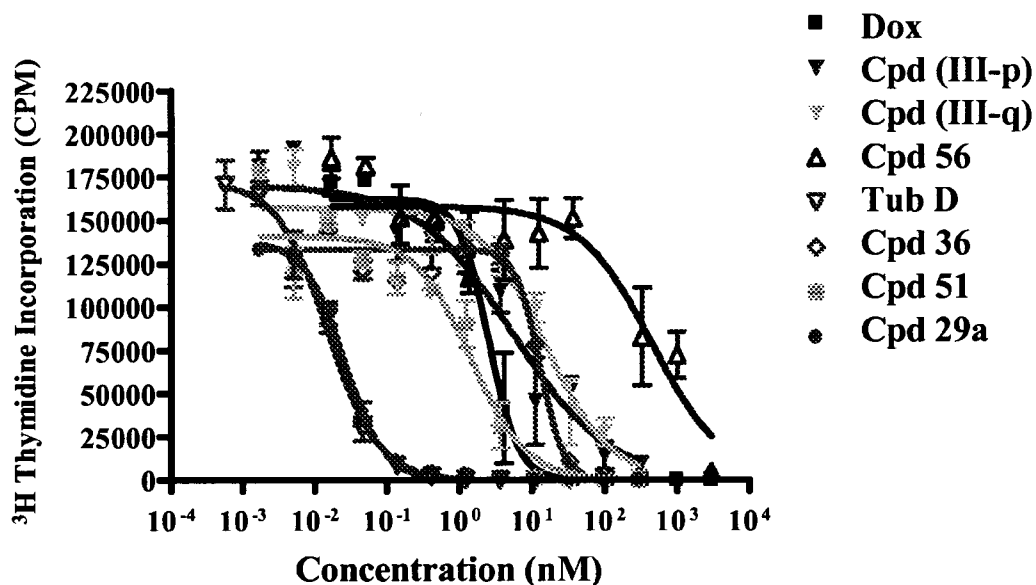
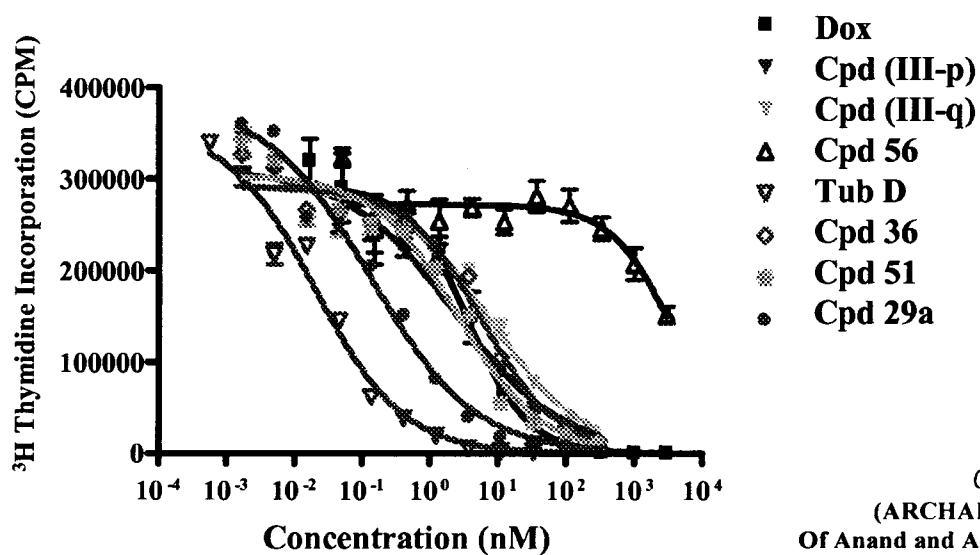
*Shanker*

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**Fig. 12c**

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**HL-60  $^3\text{H}$  Thymidine Assay****Fig. 12d****786-0  $^3\text{H}$  Thymidine Assay**

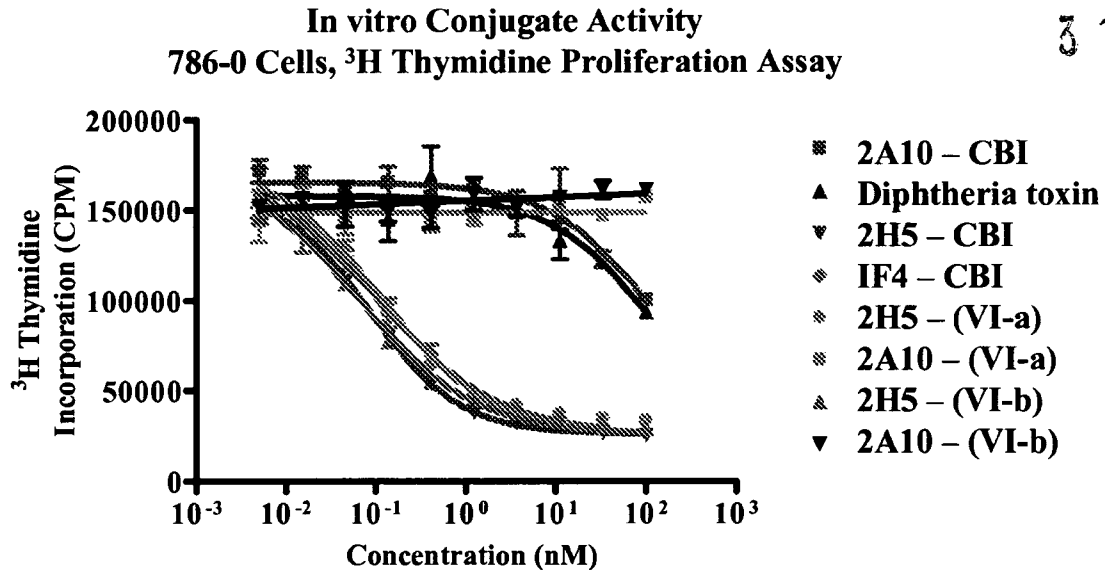
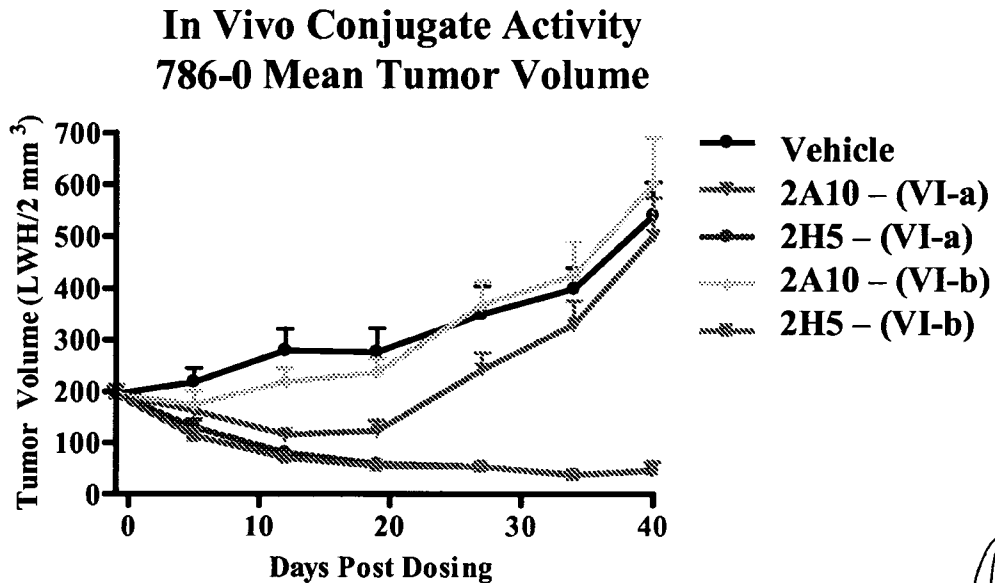
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**Fig. 13**

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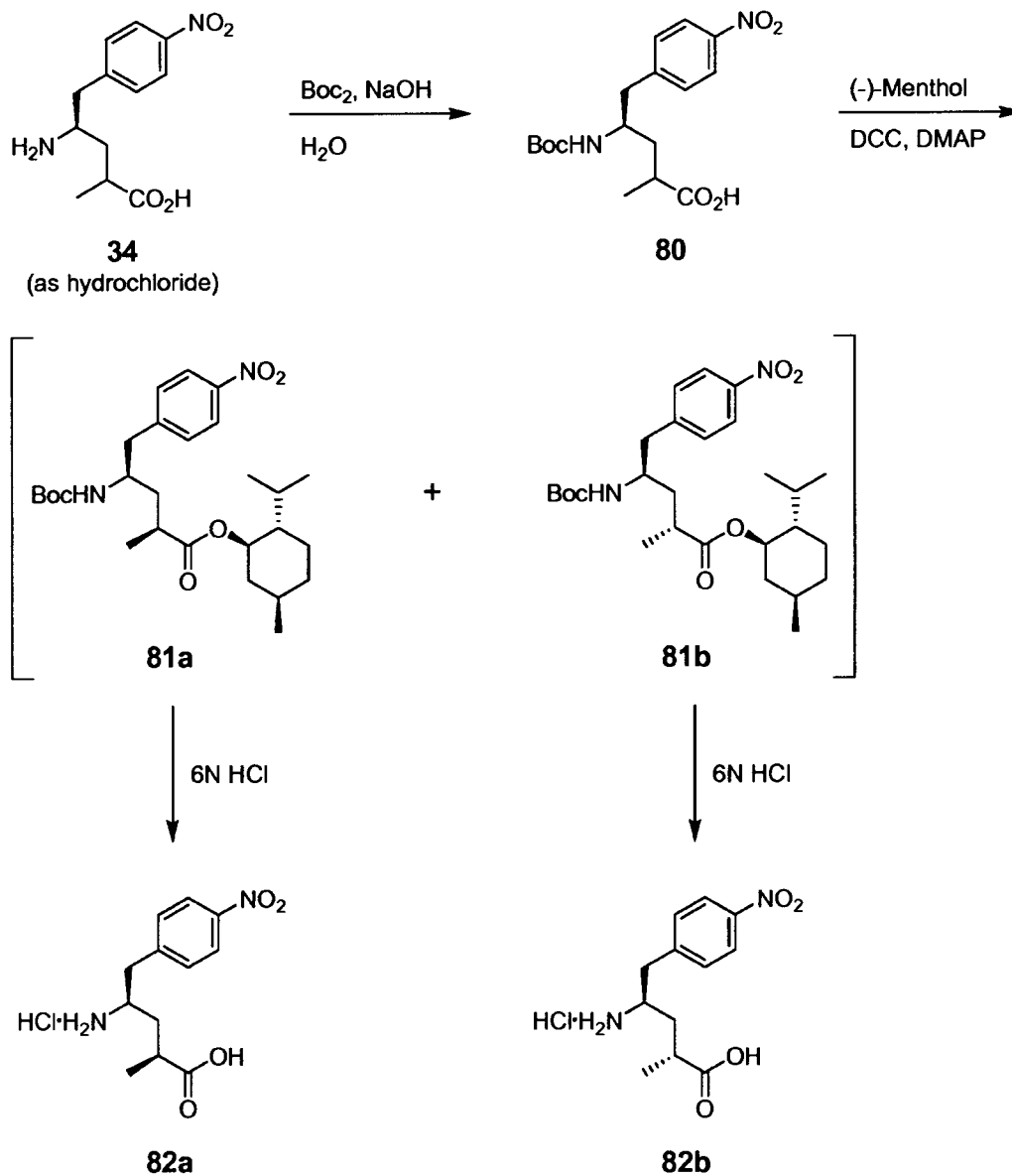
0860 DELMP 12

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**Fig. 14***Shanker*

(ARCHANA SHANKER)  
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**Fig. 15**Scheme 13

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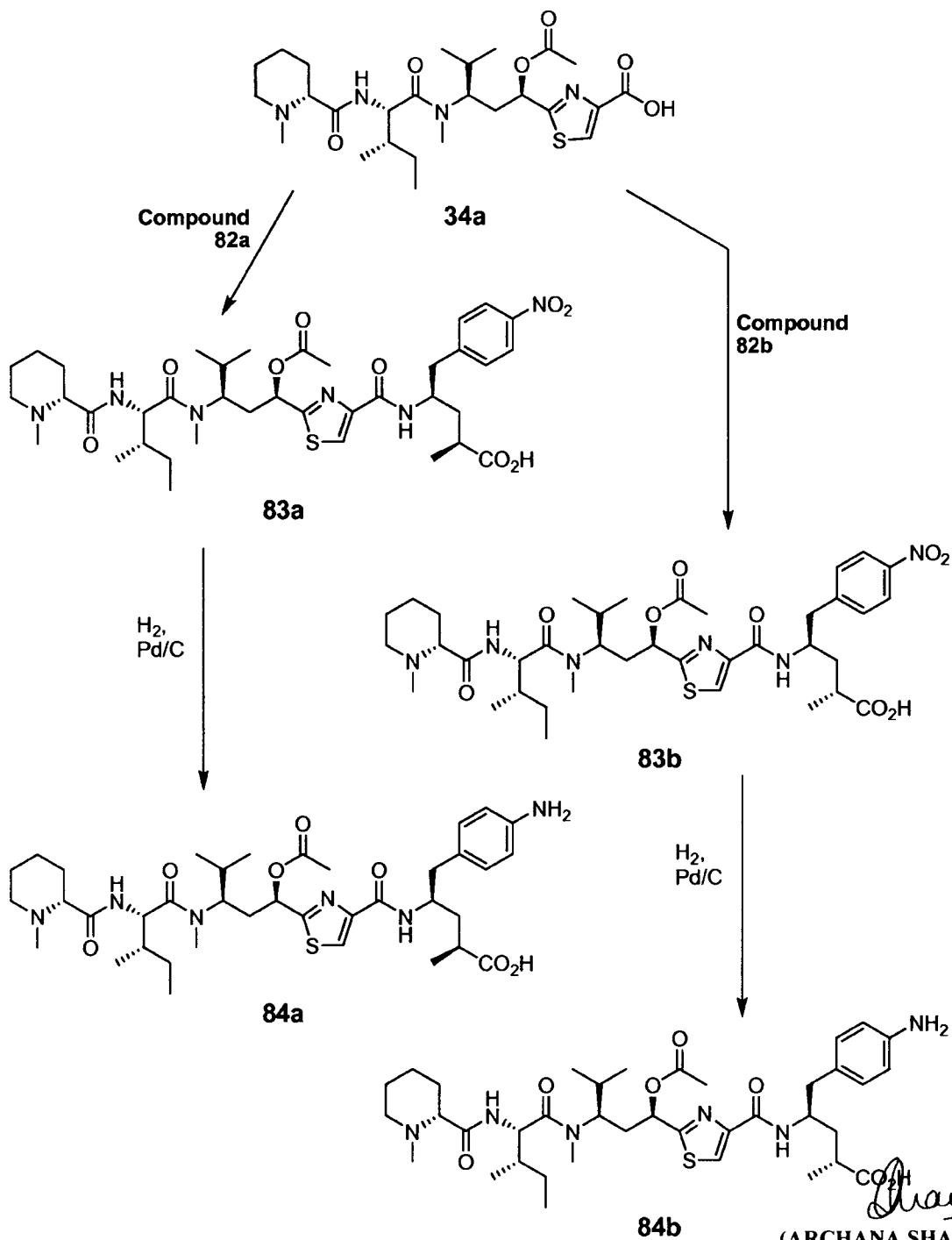
ORIGINAL

**Fig. 16**

Scheme 14

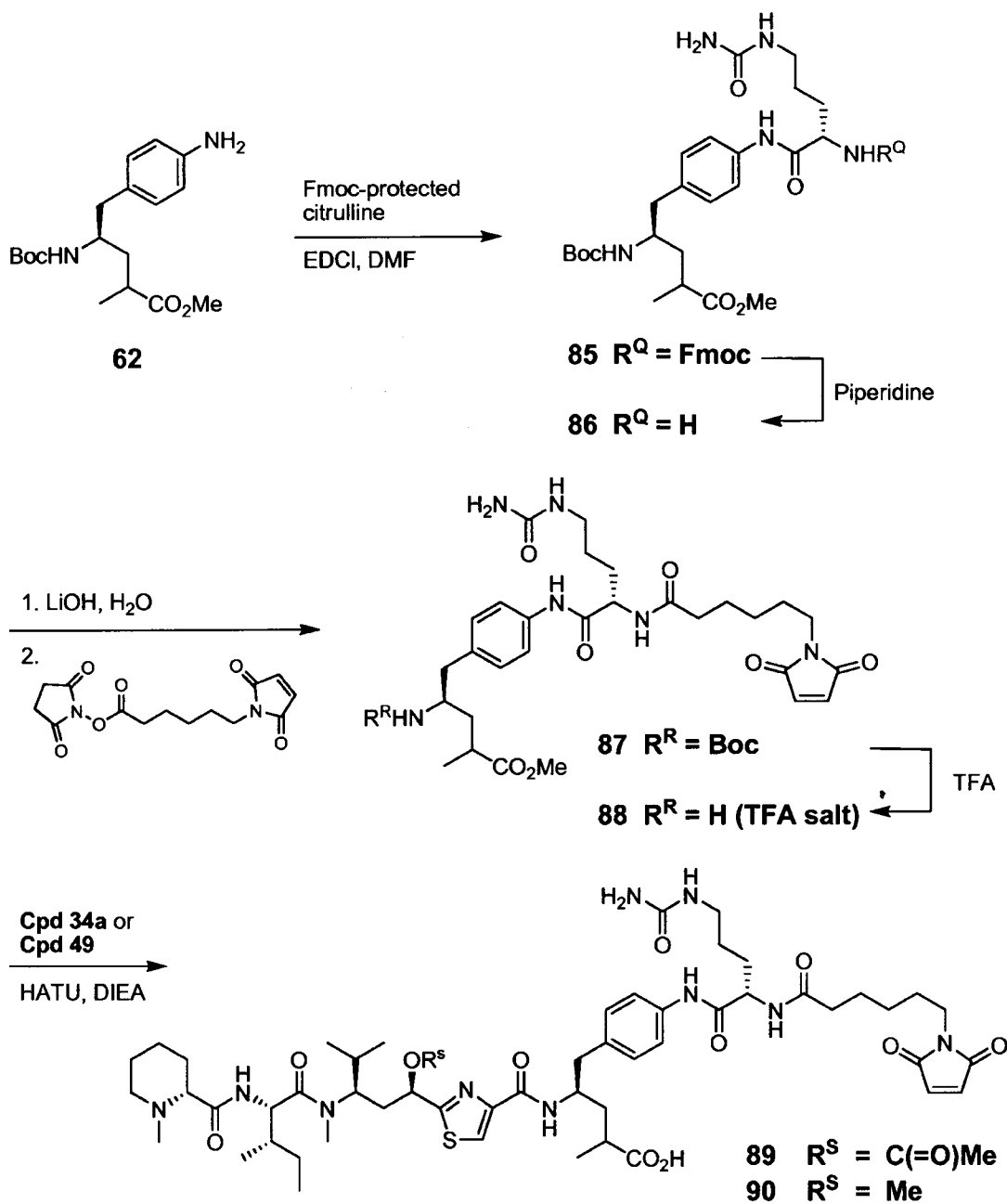
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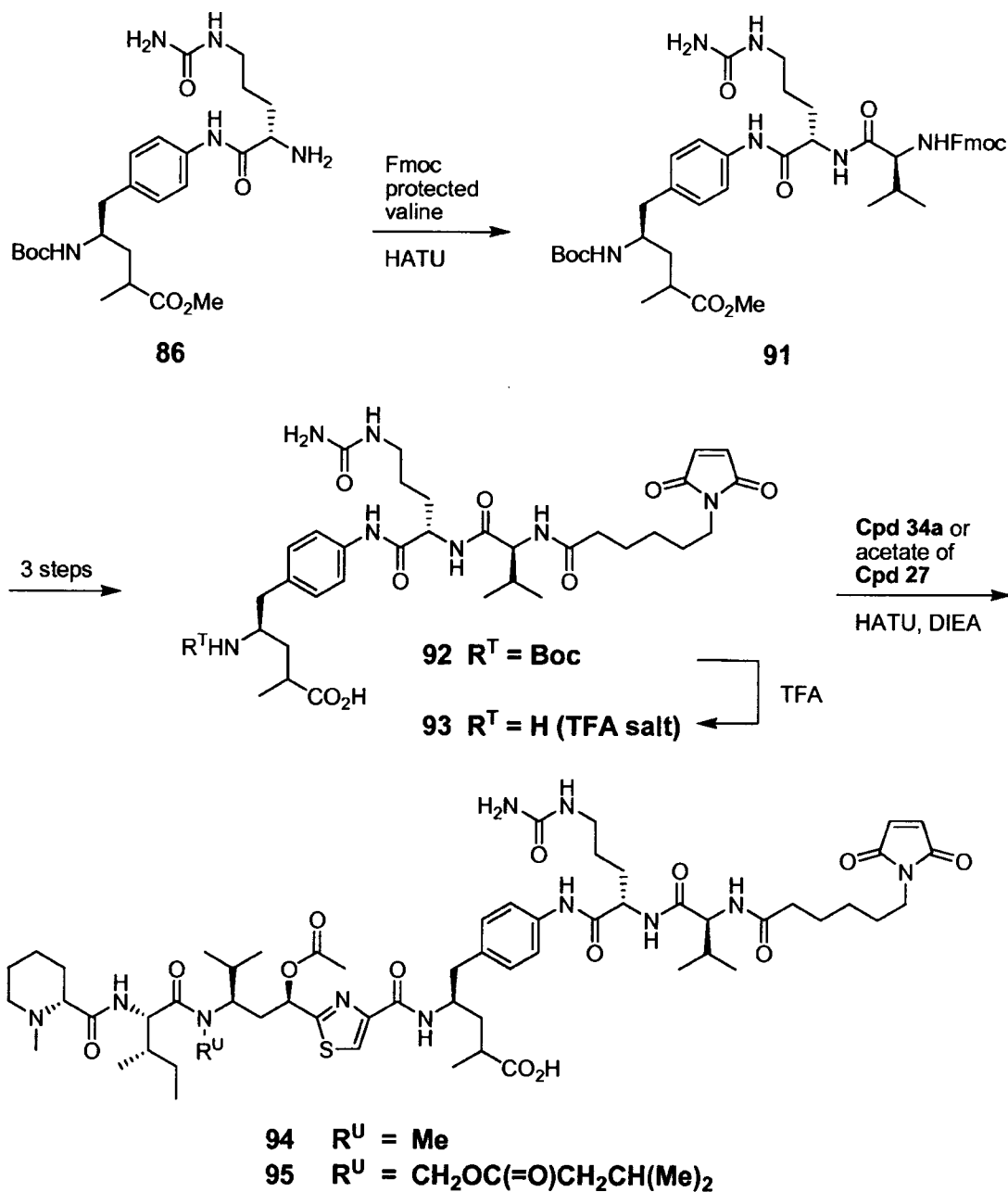
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0860 DELP 12  
31 JAN 2012**Fig. 17**Scheme 15*Shanker*(ARCHANA SHANKER)  
Of Anand and Anand Advocates  
Agents for the Applicant

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**Fig. 18**

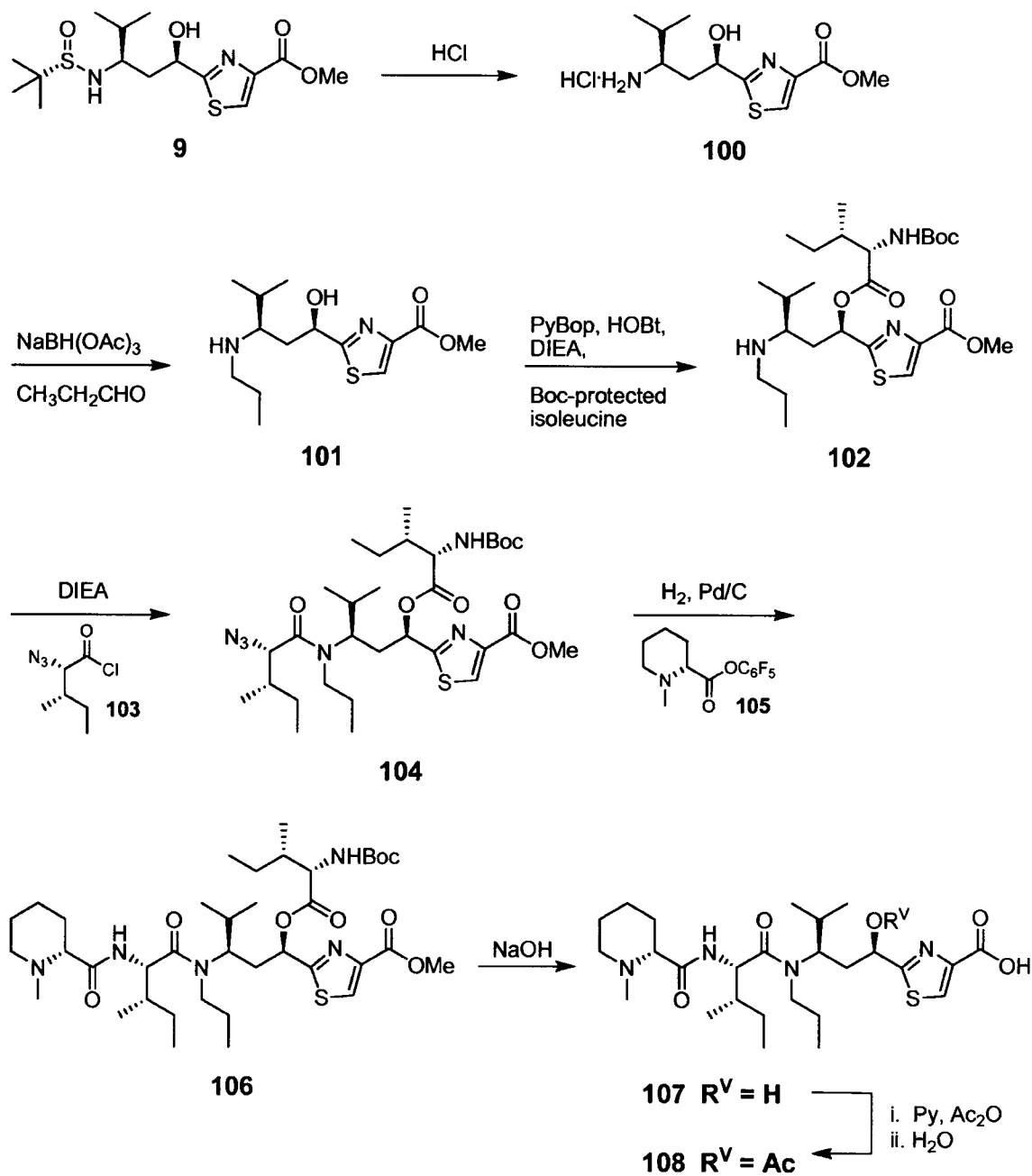
Scheme 16

*Shanker*(ARCHANA SHANKER)  
Of Anand and Anand Advocates  
Agents for the Applicant

**Fig. 19**

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Scheme 17

*Shanker*  
(ARCHANA SHANKER)  
Of Anand and Anand Advocates  
Agents for the Applicant

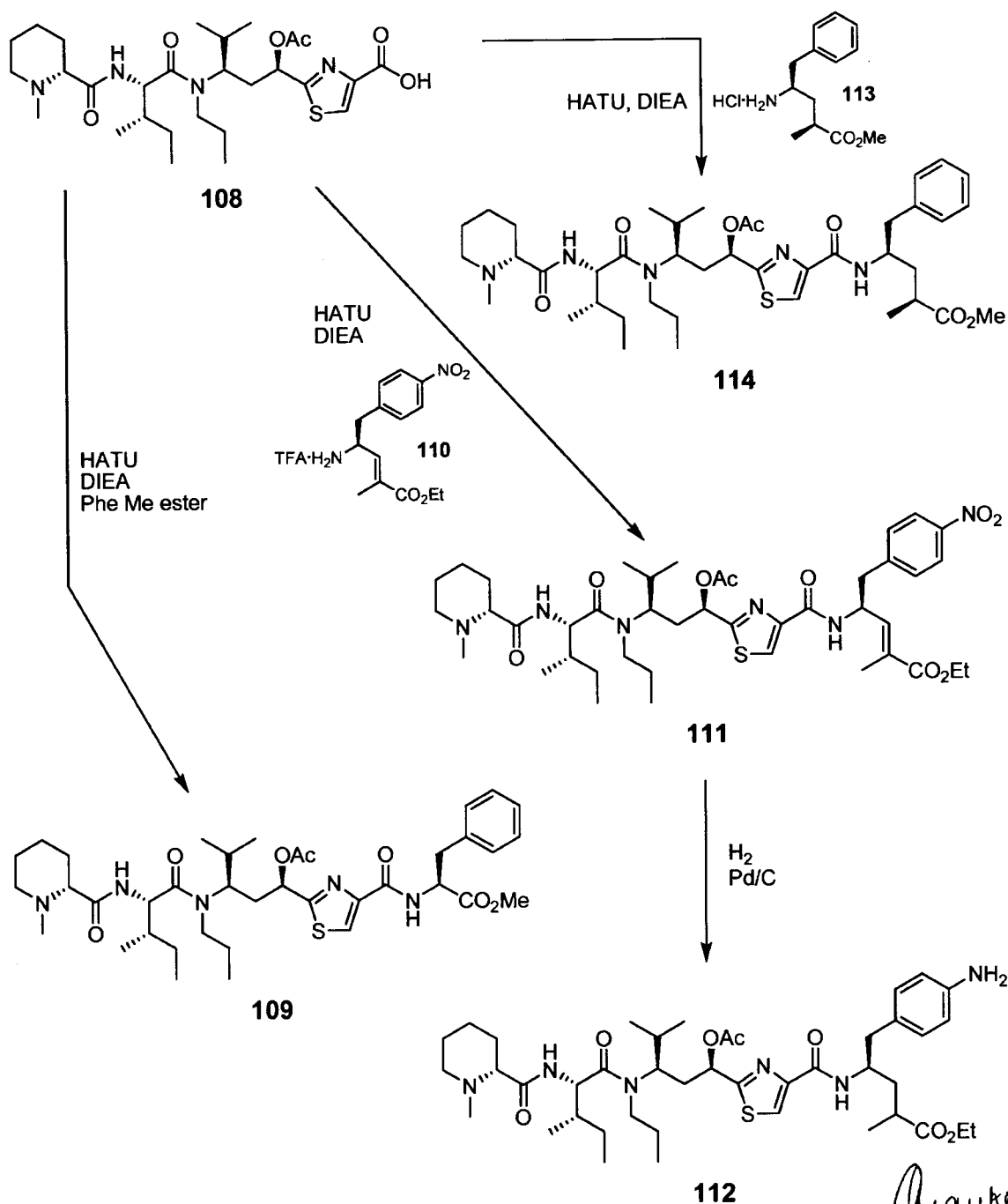
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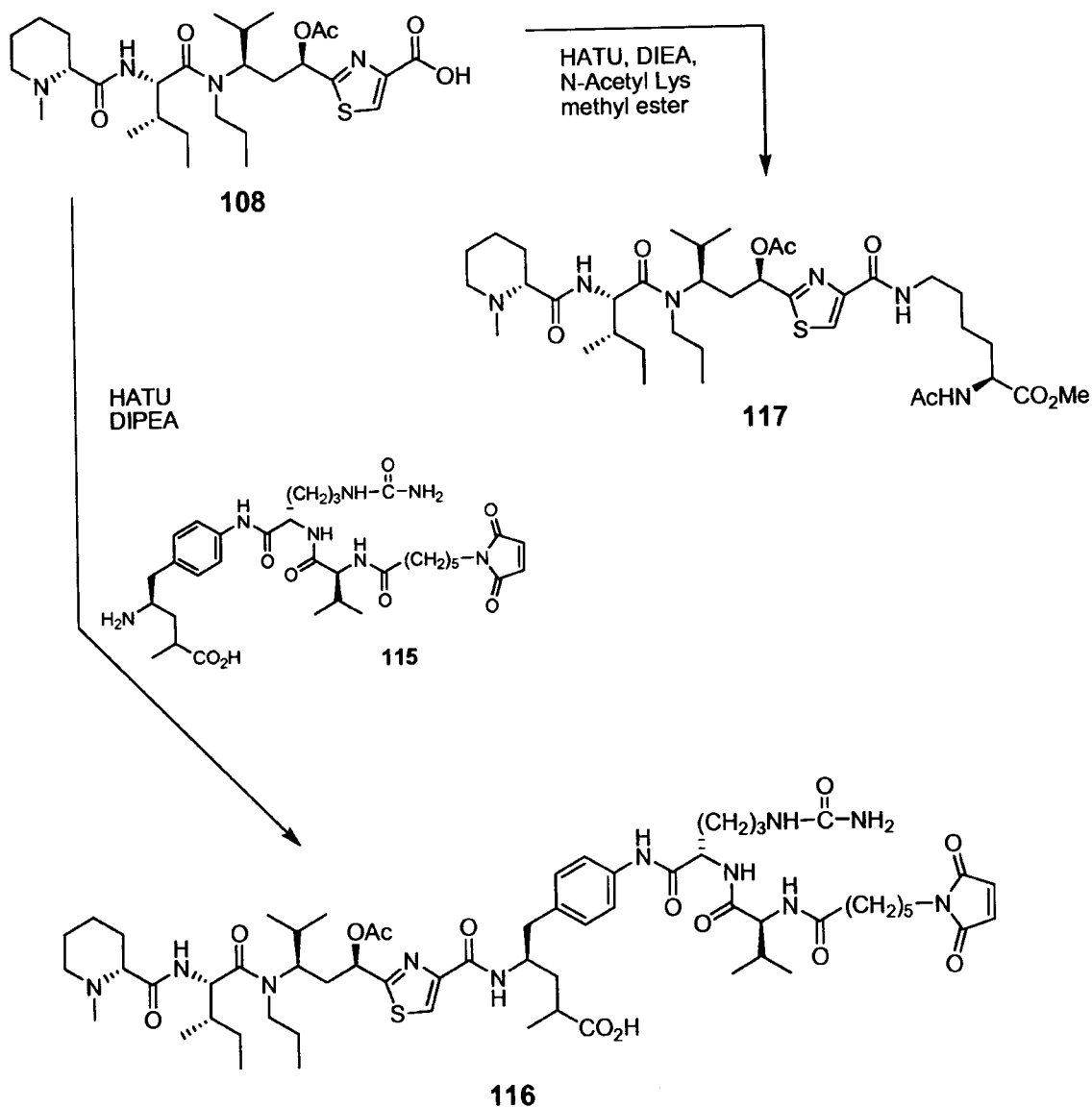
**Fig. 20a**

Scheme 18 (part 1 of 2)

*Shanker*(ARCHANA SHANKER)  
Of Anand and Anand Advocates  
Agents for the Applicant

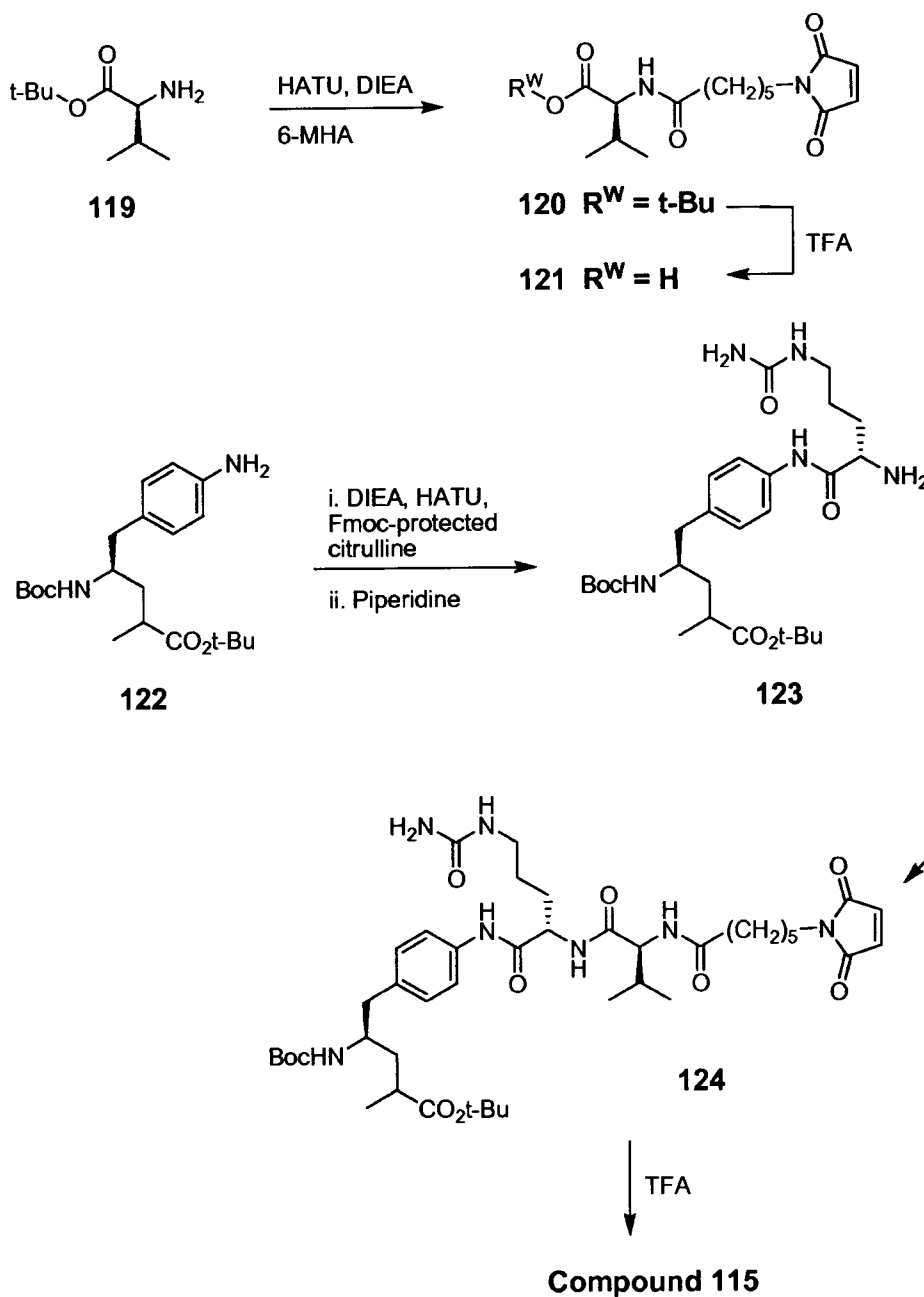
**Fig. 20b**

Scheme 18 (part 2 of 2)

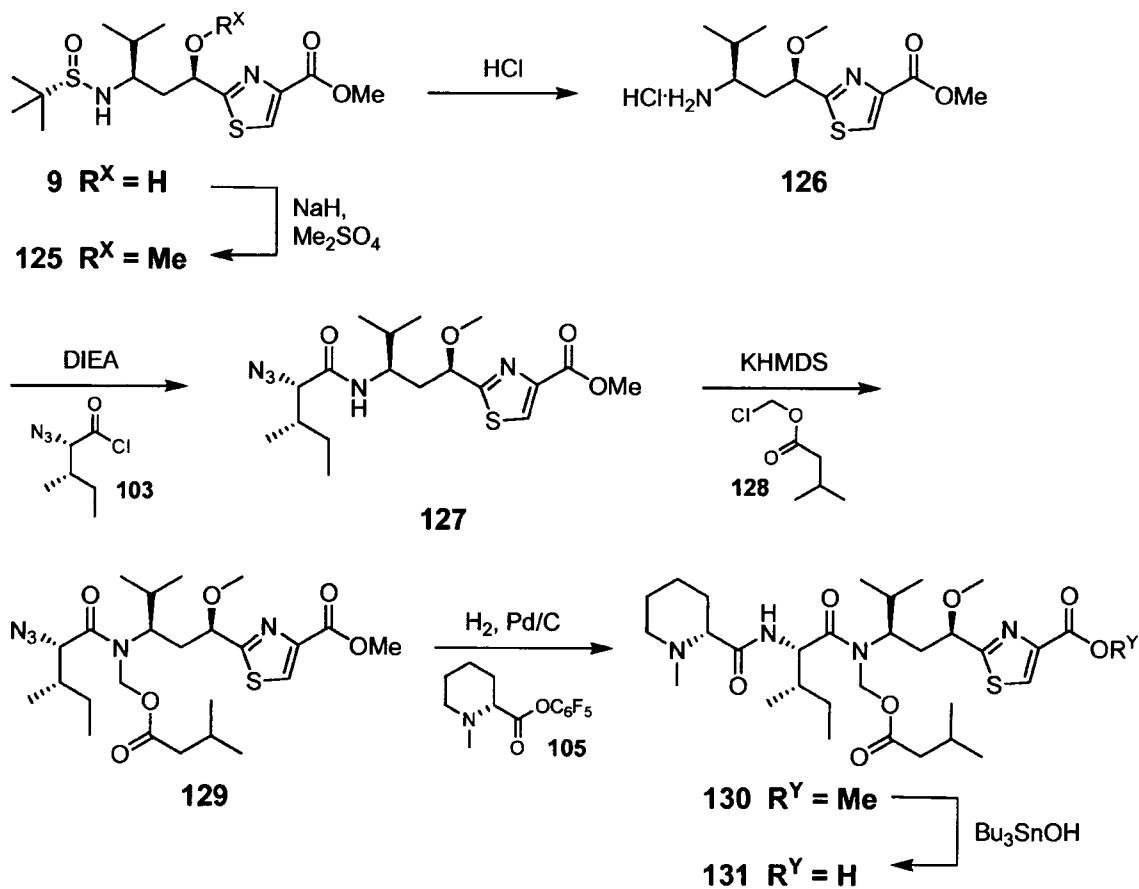


*Archer*  
(ARCHANA SHANKER)  
Of Anand and Anand Advocates  
Agents for the Applicant

ORIGINAL

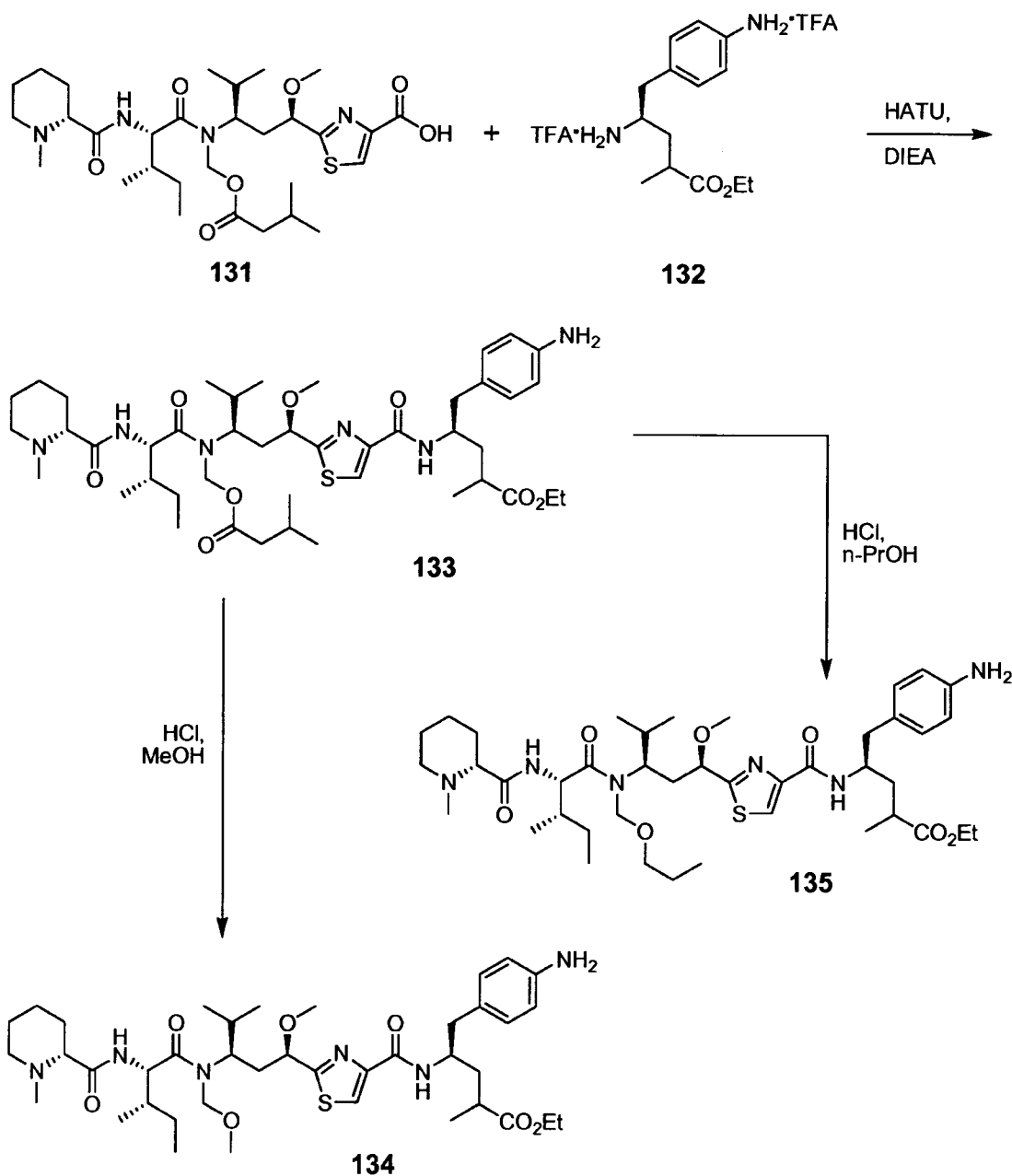
**Fig. 21**Scheme 19

*Shanker*  
(ARCHANA SHANKER)  
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**Fig. 22**Scheme 20

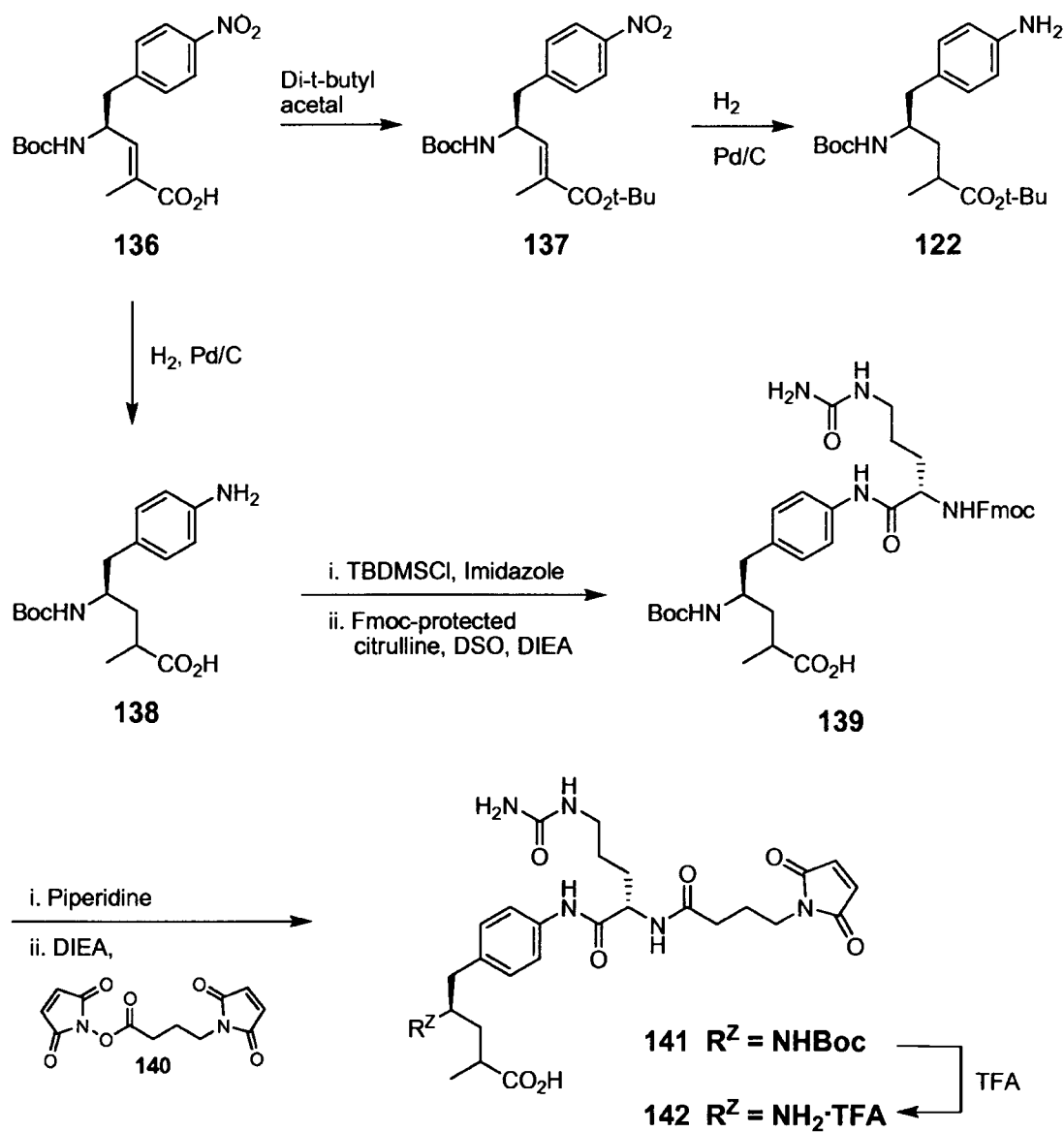
*Shanker*  
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Agents for the Applicant



**Fig. 23**Scheme 21

*Shanker*  
(ARCHANA SHANKER)  
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Agents for the Applicant

ORIGINAL

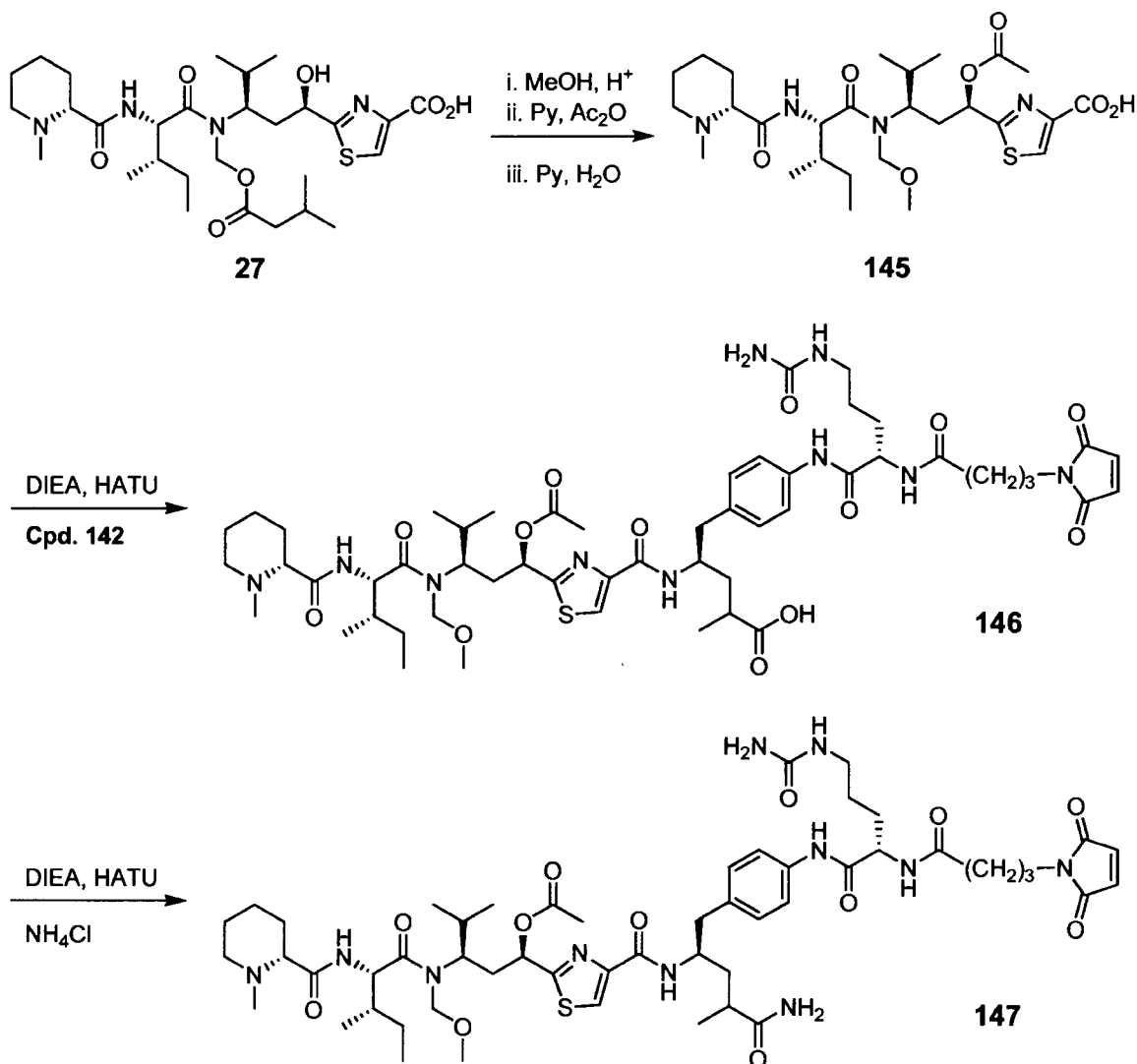
**Fig. 24**Scheme 22

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**Fig. 25**Scheme 23

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# ANTIPROLIFERATIVE COMPOUNDS, CONJUGATES THEREOF, METHODS THEREFOR, AND USES THEREOF

## BACKGROUND OF THE INVENTION

**[0001]** This invention relates to compounds structurally related to the tubulysins, conjugates thereof with a ligand, methods for making and using such compounds and conjugates, and compositions comprising such compounds and conjugates.

**[0002]** The tubulysins are cytotoxins originally isolated from cultures of the myxobacteria *Archangium gephyra* or *Angiococcus disciformis*, with each organism producing a different mixture of tubulysins (Sasse et al. 2000; Reichenbach et al. 1998). Their crystal structure and biosynthetic pathway have been elucidated (Steinmetz et al. 2004) and their biosynthesis genes have been sequenced (Hoefle et al. 2006b). Pretubulysin, a biosynthetic precursor of the tubulysins, also has been shown to possess significant activity in its own right (Ullrich et al. 2009). (Full citations for the documents cited herein by first author or inventor and year are listed at the end of this specification.)

**[0003]** The tubulysins belong to a group of naturally occurring antimitotic polypeptides and depsipeptides that includes the phomopsins, the dolastatins, and the cryptophycins (Hamel 2002). Antimitotic agents other than polypeptides or depsipeptides also exist, for example paclitaxel, the maytansines, and the epothilones. During mitosis, a cell's microtubules reorganize to form the mitotic spindle, a process requiring the rapid assembly and disassembly of the microtubule constituent proteins  $\alpha$ - and  $\beta$ -tubulin. Antimitotic agents block this process and prevent a cell from undergoing mitosis, although at the molecular level the exact blockage mechanism may differ from one agent to another. The tubulysins prevent the assembly of the tubulins into microtubules, causing the affected cells to accumulate in the G<sub>2</sub>/M phase and undergo apoptosis (Khalil et al. 2006). Conversely, paclitaxel effects the same end result by binding to microtubules and preventing their disassembly.

**[0004]** The tubulysins have a tetrapeptidyl scaffold constructed from one proteinogenic and three non-proteinogenic amino acid subunits: N-methylpipecolinic acid (Mep), isoleucine (Ile), tubuvaline (Tuv), and either tubuphenylalanine (Tup, R<sup>A</sup> equals H in formula (I) below) or tubutyrosine (Tut, R<sup>A</sup> equals OH). About a dozen naturally

occurring tubulysins (named A, B, etc.) are known, the sites of structural variation among them being at residues R<sup>A</sup>, R<sup>B</sup> and R<sup>C</sup> as shown in Formula (I) and Table 1:

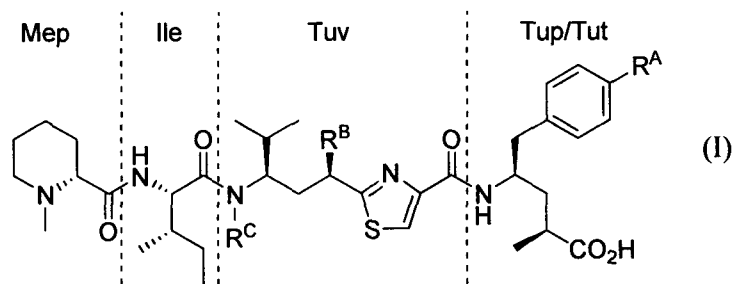


Table 1 – Naturally Occurring Tubulysins			
Tubulysin	R <sup>A</sup>	R <sup>B</sup>	R <sup>C</sup>
A	OH	OC(=O)Me	CH <sub>2</sub> OC(=O) <i>i</i> -Bu
B	OH	OC(=O)Me	CH <sub>2</sub> OC(=O) <i>n</i> -Pr
C	OH	OC(=O)Me	CH <sub>2</sub> OC(=O)Et
D	H	OC(=O)Me	CH <sub>2</sub> OC(=O) <i>i</i> -Bu
E	H	OC(=O)Me	CH <sub>2</sub> OC(=O) <i>n</i> -Pr
F	H	OC(=O)Me	CH <sub>2</sub> OC(=O)Et
G	OH	OC(=O)Me	CH <sub>2</sub> OC(=O)CH=CH <sub>2</sub>
H	H	OC(=O)Me	CH <sub>2</sub> OC(=O)Me
I	OH	OC(=O)Me	CH <sub>2</sub> OC(=O)Me
U	H	OC(=O)Me	H
V	H	OH	H
Z	OH	OH	H
Pretubulysin	H	H	Me

[0005] Kaur et al. 2006 studied the antiproliferative properties of tubulysin A and found that it was more potent than other antimitotic agents such as paclitaxel and vinblastine and was active in xenograft assays against a variety of cancer cell lines. Further, tubulysin A induced apoptosis in cancer cells but not normal cells and showed significant potential antiangiogenic properties in *in vitro* assays. The antimitotic properties of other tubulysins have also been evaluated and generally have been found to compare favorably against those of non-tubulysin antimitotic agents (see, e.g., Balasubramanian et al. 2009;

Steinmetz et al. 2004; Wipf et al. 2004). For these reasons, there is considerable interest in the tubulysins as anti-cancer agents (see, e.g., Domling et al. 2005c; Hamel 2002).

**[0006]** Numerous publications describe efforts directed at the synthesis of tubulysins, including: Balasubramanian et al. 2009; Domling et al. 2006; Hoefle et al. 2003; Neri et al. 2006; Peltier et al. 2006; Sani et al. 2007; Sasse et al. 2007; Shankar et al. 2009; Shibue et al. 2009; and Wipf et al. 2004. Other publications describe structure-activity relationship (SAR) studies, via the preparation and evaluation of tubulysin analogs or derivatives: Balasubramanian et al. 2008 and 2009; Domling 2006; Domling et al. 2005a; Ellman et al. 2009; Hoefle et al. 2001 & 2006a; Patterson et al. 2007 & 2008; Richter 2008; Vlahov et al. 2009; Wang et al. 2007; and Wipf et al. 2007 and 2010. The SAR studies mainly explored structural variations in the Mep ring, residues R<sup>B</sup> and R<sup>C</sup> of the Tuv subunit, and the aromatic ring or aliphatic carbon chain of the Tup/Tut subunit.

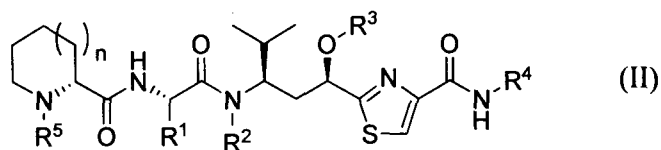
**[0007]** Domling et al. 2005 disclose conjugates of tubulysins with a partner molecule generically described as a polymer or a biomolecule, but with actual examples limited to polyethylene glycol (PEG) as the partner molecule. Other documents disclosing conjugates of tubulysins are Boyd et al. 2008 and 2010; Vlahov et al. 2008a, 2008b and 2010; Leamon et al. 2008 and 2009; Reddy et al. 2009; and Low et al. 2009. Leung et al. 2002 disclose polyanionic polypeptides that can be conjugated to drugs (including tubulysins) to improve their bioactivity and water solubility.

**[0008]** Davis et al. 2008 and Schluep et al. 2009 disclose cyclodextrin based formulations in which tubulysins are covalently attached to a cyclodextrin via a hydrazide-disulfide linker moiety bonded to the Tup/Tut carboxyl group.

#### BRIEF SUMMARY OF THE INVENTION

**[0009]** The present invention discloses novel antiproliferative compounds that are structurally related to the tubulysins, are cytotoxic or cytostatic against many cancer cells, and are believed to act by an antimitotic mechanism. These compounds can be conjugated to ligands such as antibodies for targeted delivery against cancer cells.

**[0010]** In one embodiment, this invention provides a compound having a structure represented by formula (II)

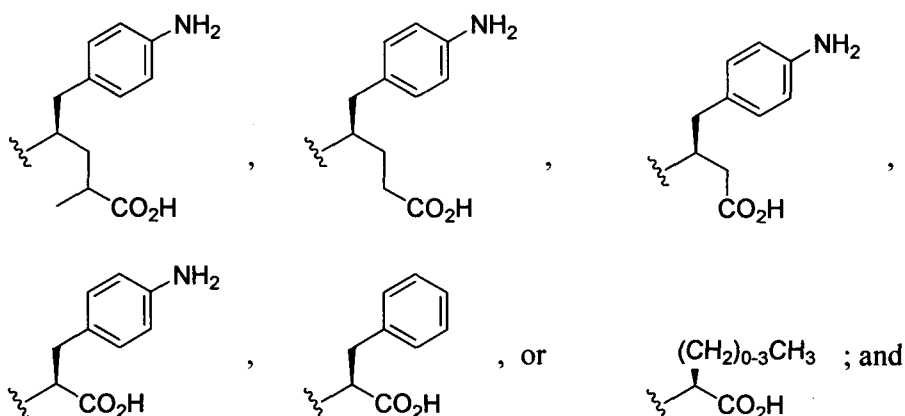


wherein

n is 0, 1, or 2;

$R^1$ ,  $R^2$  and  $R^3$  are independently H, unsubstituted or substituted  $C_1$ - $C_{10}$  alkyl, unsubstituted or substituted  $C_2$ - $C_{10}$  alkenyl, unsubstituted or substituted  $C_2$ - $C_{10}$  alkynyl, unsubstituted or substituted aryl, unsubstituted or substituted heteroaryl, unsubstituted or substituted  $(CH_2)_{1-2}O(C_1$ - $C_{10}$  alkyl), unsubstituted or substituted  $(CH_2)_{1-2}O(C_2$ - $C_{10}$  alkenyl), unsubstituted or substituted  $(CH_2)_{1-2}O(C_2$ - $C_{10}$  alkynyl),  $(CH_2)_{1-2}OC(=O)(C_1$ - $C_{10}$  alkyl), unsubstituted or substituted  $(CH_2)_{1-2}OC(=O)(C_2$ - $C_{10}$  alkenyl), unsubstituted or substituted  $(CH_2)_{1-2}OC(=O)(C_2$ - $C_{10}$  alkynyl), unsubstituted or substituted  $C(=O)(C_1$ - $C_{10}$  alkyl), unsubstituted or substituted  $C(=O)(C_2$ - $C_{10}$  alkenyl), unsubstituted or substituted  $C(=O)(C_2$ - $C_{10}$  alkynyl), unsubstituted or substituted cycloaliphatic, unsubstituted or substituted heterocycloaliphatic, unsubstituted or substituted arylalkyl, or unsubstituted or substituted alkylaryl;

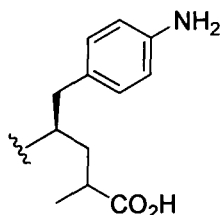
$R^4$  is



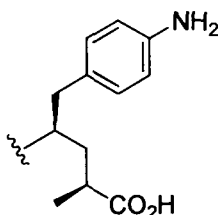
$R^5$  is H,  $C_1$ - $C_5$  alkyl,  $C_2$ - $C_5$  alkenyl,  $C_2$ - $C_5$  alkynyl,  $CO(C_1$ - $C_5$  alkyl),  $CO(C_2$ - $C_5$  alkenyl), or  $CO(C_2$ - $C_5$  alkynyl);

or a pharmaceutically acceptable ester thereof, a pharmaceutically acceptable amide thereof at the carboxyl group of R<sup>4</sup> with the  $\alpha$ -amino group of an  $\alpha$ -amino acid, or a pharmaceutically acceptable salt thereof.

[0011] A preferred R<sup>4</sup> is



with the stereochemistry at the methyl group alpha to the carboxyl being more preferably that corresponding to the natural tubulysins, that is:



[0012] This invention also provides novel intermediates useful for synthesizing compounds according to formula (II).

[0013] In another embodiment, this invention provides a compound of this invention conjugated via a linker moiety to a ligand (preferably an antibody, more preferably a monoclonal antibody, and most preferably a human monoclonal antibody) for its selective delivery to a target cell such as a cancer cell.

[0014] In another embodiment, there is provided a composition of matter comprising a compound of this invention and a linker moiety, suitable for conjugation to a ligand.

[0015] In another embodiment, this invention provides a method for inhibiting the proliferation of cancer cells in a subject suffering from cancer, comprising administering to the subject a therapeutically effective amount of a compound of this invention or a conjugate thereof with a ligand (particularly an antibody). In another embodiment, there is provided a method for inhibiting the proliferation of cancer cells, comprising contacting such cells with a compound of this invention or a conjugate thereof with a ligand (particularly an antibody), under conditions sufficient to inhibit the growth of such cancer cells. The cancer cells can be colorectal cancer, liver cancer, prostate cancer, breast cancer,



