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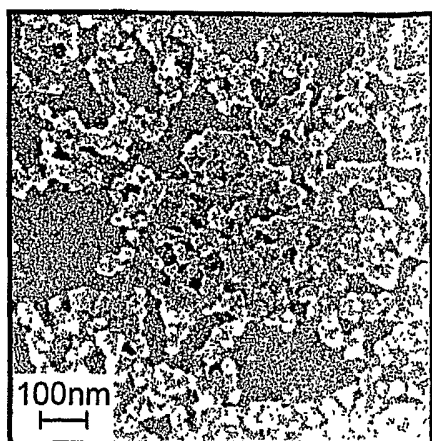
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(54) Title: PHOTOLUMINESCENT SILICA-BASED SENSORS AND METHODS OF USE



(57) Abstract: Sensor particles comprise a silica-based core and at least one photoluminescent dye. The silica-based core may comprise a plurality of pores and the at least one photoluminescent dye may comprise a reference dye, insensitive to its environment and analytes and a sensor dye, sensitive to either or both of the foregoing. The sensor particles may be employed to sense unknown environmental conditions or analytes in biological or non-biological systems, *in vitro* or *in vivo*.

APPLICATION FOR
UNITED STATES LETTERS PATENT
FOR

**PHOTOLUMINESCENT SILICA-BASED SENSORS
AND METHODS OF USE**

SPECIFICATION

To Whom It May Concern:

Be it known that we, Andrew A. Burns, Erik Herz and Tara C. Zedayko, all citizens of the United States of America and residents of Ithaca, New York and Ulrich Wiesner, a citizen of Germany and a resident of Ithaca, New York, have invented certain new and useful compositions and methods in:

**PHOTOLUMINESCENT SILICA-BASED SENSORS AND METHODS OF
USE, OF WHICH THE FOLLOWING IS A SPECIFICATION:**

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Field of Invention

The present invention relates to silica-based particles and more particularly to silica-based particles comprising photoluminescent species adapted for use as sensing agents.

Background

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The technology behind photoluminescent imaging has evolved substantially in recent years, towards high resolution, real time, molecular-level analysis to visualize and understand life and its processes. The appeal of photoluminescence-based assays stems from their excellent contrast, high specificity and fast response times.

15

Although the majority of photoluminescent dyes are designed to be insensitive to their surroundings, a variety of dyes have been synthesized with specific affinity and response to chemical analytes or environmental stimuli. Certain types of photoluminescent dyes, for example, have been used free in solution as sensors responsive to chemical analytes or environmental stimuli.

20

Although both sensing and insensitive photoluminescent compounds are often used free in solution, in some cases, these dyes have been integrated into sensing platforms such as optical fibers, thin films and membranes. The composition of the sensing platform as well as the identity of the photoluminescent dye may impact the properties of the dye in addition to determining the types of unknown conditions subject to investigation its sensing capabilities.

25

There is a need for an environmental or analyte sensor that can optimize the variable properties of photoluminescent dyes and sense unknown conditions in a variety of environments.

5

Summary of Invention

The present invention concerns improved sensor particles for detecting a variety of environmental conditions and analytes. The present invention also concerns methods of using these particles in sensing applications.

Briefly, the sensor particles of the present invention comprise a core and at least one photoluminescent dye.

In certain embodiments, the core is a silica-based nanoparticle and the at least one photoluminescent dye includes a reference dye and a sensor, at least one of which may be covalently bonded to the core. The reference dye may be positioned within the core and the sensor dye may be positioned on at least a portion of a surface of the core. Alternatively, the reference dye and the sensor dye may be positioned on or over the core. Further, the silica-based particle may comprise a silica-based shell surrounding the core, wherein the reference dye is positioned within the core and the silica-based shell is interposed between the reference dye and the sensor dye. In other embodiments, one of the reference and sensor dyes comprises between about 1.0 and about 1000.0 dye molecules per sensor particle and the reference and sensor dyes emit photons in response to a single or multiple wavelength excitation source. The wavelengths of peak emission of the reference and sensor dyes in response to appropriate excitation are typically separated by a sufficient gap to individually discern their emission peaks.

In still other embodiments, the core comprises a plurality of pores, at least one of which includes a diameter between about 0.1 nm and about 100.0 nm and more particularly between about 2.0 nm and about 50.0 nm. The diameter of the silica-based particle may be between about 1.0 nm. and about 250.0 nm.

5 Methods of the present invention comprise use of the sensor particles to sense unknown environmental conditions or analytes.

 In one embodiment, the method comprises the steps of providing at least one silica-based particle comprising two or more photoluminescent dyes, introducing the at least one silica-based particle into an environment comprising an unknown condition
10 or analyte, exposing the at least one silica-based particle to excitation photons, such that each of the two or more photoluminescent dyes emits photons, recording the photons emitted by the two or more photoluminescent dyes, and determining the unknown environmental condition or analyte from these data. This method may further comprise excitation of the two or more photoluminescent dyes with photons in
15 the presence of a known environmental condition or analyte and comparing resulting emission waves of the two or more photoluminescent dyes in the presence of the known environmental condition or analyte. Under these circumstances, the emission taken in the presence of the known environmental condition or analyte may be used to generate comparison data, which is correlated to the known condition or analyte.
20 Thus, the determining step may include using the comparison data to determine the unknown condition or analyte. The determining step may also comprise simultaneous interrogation of multiple sensor dyes whose emission peaks may be deconvolved to yield the status of multiple unknown conditions or analytes.

 In another embodiment, a method of determining an unknown condition in an
25 organism comprises the steps of providing a silica-based nanoparticle comprising a sensor dye that emits photons, inserting the silica-based nanoparticle into the organism exciting the sensor dye with photons, detecting the photoluminescent emission of the sensor dye, and determining the unknown condition of the organism. The sensor dye may emit photons at infrared or near infrared wavelengths. The silica-based

- 5 nanoparticle may be positioned within a cell, tissue, blood or other bodily fluids of the organism.

Brief Description of the Drawings

Certain embodiments of the presently claimed invention are illustrated by the accompanying figures. It should be understood that the figures are not necessarily to
10 scale and that details that are not necessary for an understanding of the invention or which render other details difficult to perceive may be omitted. It should be understood, of course, that the invention is not necessarily limited to the particular embodiments illustrated herein.

Fig. 1A is a perspective view of one embodiment of the silica-based particles of
15 the present invention in partial cross-section;

Fig. 1B is a perspective view of another embodiment of the silica-based particles of the present invention in partial cross-section;

Fig. 1C is a perspective view of yet another embodiment of the silica-based particles of the present invention in partial cross-section;

20 Fig. 1D is a perspective view of yet another embodiment of the silica-based particles of the present invention in partial cross-section;

Fig. 2A is a scanning electron microscope image of the silica-based particles of Fig. 1A;

Fig. 2B is scanning electron microscope image of the silica-based particles of
25 Fig. 1B;

Fig. 2C is scanning electron microscope image of the silica-based particles of Fig. 1C;

5 Fig. 3 is a plot of photoluminescent intensity against peak wavelength measurements using the silica-based particles of the present invention in known pH, and

 Fig. 4 is a calibration curve depicting the ratios of peak wavelength emissions for the reference and sensor dyes correlated to pH, based on the data collected in Fig.

10 3.

Detailed Description

 The silica-based particles of the present invention are typically nanoparticles and comprise a silica-based core and two or more photoluminescent dyes. As used herein, the term core means a central or foundational part. Silica used for forming the

15 silica-based matrix may include the general formula $R_{4-n}SiX_n$, where X can be a hydrolyzable group, such as ethoxy, methoxy or 2-methoxy-ethoxy, R can be an organic group (for example methyl or ethyl) and n is an integer from 1 to 4. The two or more photoluminescent dyes comprise a reference dye and a sensor dye. As used herein, the term reference dye means a dye that is substantially insensitive to its

20 environment and/or any analytes present therein or exhibits the same or substantially the same photon emissions upon exposure to excitation photons in different environments. The term sensor dye means any dye that is sensitive or responsive to its environment and/or analytes or exhibits different photon emissions upon exposure to photons in different environments or analytes. In certain embodiments, the reference

25 and sensor dyes may be covalently bound to the silica-based matrix. In other embodiments, the dyes may be incorporated into the silica-based matrix through physical entrapment without covalent linkages or adsorbed onto the surface of the matrix.

5 In one embodiment, associating the reference and sensor dyes with a silica-based matrix can enhance brightness of the dyes and discourage photobleaching (*i.e.* the dyes stay brighter longer). In these embodiments, silica-based particles of the present invention may exhibit enhanced brightness levels as compared to the free dye in solution, as measured, for example, by photoluminescent correlation spectroscopy.

10 In another embodiment, the silica-based matrix comprises pores or interstices, which allow fluids or analytes to penetrate the silica-based matrix and interact directly with the sensor dye positioned therein. In this way, sensing capability may be enhanced. The pores typically include diameters between about 0.1 nanometers and about 100.0 nanometers. Pore size may display a heterogeneous distribution, ranging
15 in size from 2.0 nanometers to about 50 nanometers and up.

 In another embodiment, use of a silica-based matrix enables excitation of two or more dyes with a single excitation wavelength. For reference and sensor dyes which exhibit discernable emission peaks, varying the number of molecules of each dye per particle may allow dye combinations that normally require multiple excitation
20 wavelengths to respond to a single excitation wavelength. For instance, if the reference dye requires a longer excitation wavelength than the sensor dye for emission, additional reference dye molecules may be associated with the silica-based matrix to increase its relative absorption, so that both dyes respond measurably to the same excitation wavelength. The silica-based matrix can incorporate between about 1.0 and
25 about 1000.0 dye molecules per particle, with between 2.0 and 100.0 dye molecules being typical.

 In yet another embodiment, the silica-based matrix may include more than one sensor dye for sensing more than one environmental condition or analyte. Assuming that the emission wavelengths of the incorporated dyes are far enough apart to discern

5 separate emission peaks, between about one and about seven and more particularly
between about two and five distinct sensor dyes may be associated with the silica-
based matrix for separate sensing applications.

 Silica-based particles of the present invention may also incorporate additives.
A core of superparamagnetic material, such as nano-sized iron oxide or other
10 magnetic alloys or oxides and/or one or more of a multitude of functional groups may
be positioned on particle surfaces for various purposes. For example, the particles can
be conjugated with antibodies or therapeutic agents to identify and treat disease states
or conditions. The therapeutic agent can be absorbed into the pores of the silica-based
matrix or coated on a surface of the particles.

15 The surface of the final silica-based particles can be functionalized with a wide
variety of surface modifiers, including amines, antibodies, microorganisms, viruses,
enzymes, receptors, haptens, hormones, or combinations thereof. The use of amine
groups, such as aminopropyl and methylaminopropyl groups, creates positive surface
charges which are attracted to the negative charge of a cell surface. The use of alkyl
20 groups, such as methyl or dodecyl chains, creates a non-charged surface, allowing the
particles to exist in hydrophobic environments – within a cell membrane for example.
Further, functional silica-conjugated groups are available to react with a variety of
species, including polymers for example: polyethylene glycol (PEG), cross-linkers and
other surface modifiers, such as carboxylic acids, esters or biotin, which may be used
25 to attach other moieties to the particle surface, including peptides, DNA, antibodies and
avidin/streptavidin. The surface modifier can comprise a layer surrounding the
particle, which is selectively permeable to certain analytes. The layer is interposed
between the sensor dye and the environment.

5 Functionalizing the surface of the particles with the appropriate surface
 modifier may also allow use of the particles in a multitude of target environments. For
 example, the addition of a hydrophobic coating allows the particles to be dispersed in a
 hydrophobic environment. Along these lines, selection of the appropriate surface
 modifier can lead to preferential interaction, defined as a relative increase in the
 10 likelihood of a particular desired interaction. Examples include functionalization of
 particle surfaces with at least one antibody having an affinity for antigens on cell
 surfaces and/or use of a coating comprising biotin and avadin/streptavidin, which
 preferentially interacts with biotin in target environments.

As previously mentioned, the two or more photoluminescent dyes may
 15 comprise a reference dye and a sensor dye. The peak emission wavelengths of the
 reference and sensor dyes are typically separated by a sufficient wavelength gap to
 individually discern their peaks upon excitation at the same wavelength.

The reference dye is typically sequestered within the silica-based particle,
 usually in its core. Although the reference dye is substantially insensitive to its
 20 environment and analytes, sequestering the reference dye within the particle serves as a
 precautionary measure to avoid interaction with the target environment or analyte, to
 the extent some sensitivity does exist. Suitable reference dyes include, but are not
 limited to, the following:

Reference Dye Name	$\lambda_{ex}/\lambda_{em}(nm)$	Integration Method	Emission
Molecular Probes AlexaFluor 350	350/445	NHS Ester	Near UV
Molecular Probes Pacific Blue	416/451	NHS Ester	Visible
Molecular Probes AlexaFluor 488	488/520	NHS Ester	Visible
Molecular Probes AlexaFluor 532	530/555	NHS Ester	Visible
Rhodamine B Isothiocyanate	540/573	Isothiocyanate	Visible
Tetramethylrhodamine Isothiocyanate	550/580	Isothiocyanate	Visible
Molecular Probes AlexaFluor 568	580/602	NHS Ester	Visible

Dyomics DY 610	609/629	NHS Ester	Visible
Dyomics DY 615	621/641	NHS Ester	Visible
Molecular Probes AlexaFluor 647	651/672	NHS Ester	Visible
Dyomics DY 675	674/699	NHS Ester	Near IR
Dyomics DY 700	702/723	NHS Ester	Near IR
Dyomics DY 731	736/759	NHS Ester	Near IR
Dyomics DY 776	771/801	NHS Ester	Near IR
Sigma Aldrich NIR 797	795/835	Isothiocyanate	Near IR
Dyomics DY 485 XL	488/560	NHS Ester	MegaStokes TM
Dyomics DY 510 XL	488/630	NHS Ester	MegaStokes TM

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So-called MegaStokes TM dyes are defined here as dyes that exhibit differences between their excitation and emission wavelengths between about 30.0 nm to about 200.0 nm.

The sensor dye is typically placed at or near a surface of the silica-based particle to increase its interaction with the environment and/or any analytes. Under this construction, the sensor dye is more likely to come into direct contact with the environmental condition or analyte undergoing investigation. Emissions of sensor dyes are subject to environmental or analyte stimuli. Depending on pH of the environment, pH sensor dyes, for example, exhibit varying emission spectra based on changes in the sensor dye's electronic state, through the addition or subtraction of protons. The presence of metal ions may cause diminished emissions by quenching the sensor dye.

The following table is a non-exhaustive list of a number of suitable sensor dyes as well as their environmental or analyte sensitivities.

Sensor Dye Name	$\lambda_{ex}/\lambda_{em}(nm)$	Sensitivity	Integration Method	Emission
Fluorescein Isothiocyanate	485/500	pH (pKa = 6.4)	Amine Conjugation	Visible
β -5-carboxyfluorescein-bis-(5- carboxymethoxy-2-nitrobenzyl) ether-alanine-carboxamide, succinimidyl	490/520	Caged Fluorophore + pH	Amine Conjugation	Visible

ester				
Fluo-4 Iodoacetamide	494/516	Ca ⁺²	Thiol Conjugation	Visible
5-carboxy-2',7'-dichlorosulfonefluorescein	500/530	pH (pKa = 6.4)	Amine Conjugation	Visible
Carboxy Seminaphthofluorescein (SNAFL-1) NHS ester	510-575/545-636	Spectral Shift pH (pKa = 7.7)	Amine Conjugation	Visible
Oregon Green 514 Carboxylic Acid, NHS ester	511/530	pH (pKa = 4.6)	Amine Conjugation	Visible
Erythrosin B Isothiocyanate	530/555	Oxygen	Amine Conjugation	Visible
Sigma Aldrich NIR667-NHS	667/685	Amino Acids	Amine Conjugation	Near IR
Fluorescein	485/500	pH (pKa = 6.4)	Dextran-bound	Visible
Fluo-4	488/530	Ca ⁺²	Dextran-bound	Visible
Calcium Green	488/535	Ca ⁺²	Dextran-bound	Visible
2',7'-bis-(2-carboxyethyl)-(5/6)-carboxyfluorescein	488/535	pH (pKa = 7.0)	Dextran-bound	Visible
Seminaphthorhodafluor-1 (SNARF-1)	514/560-640	Spectral Shift pH (pKa = 7.5)	Dextran-bound	Near IR
4',5'-dichloro-2',7'-dimethoxyfluorescein	520/550	pH (pKa = 6.4)	Dextran-bound	Visible
X-Rhod	575/620	Ca ⁺²	Dextran-bound	Visible
PBFI (spectral Shift)	338/557-507	K ⁺	Physical Entrapment	Mega Stokes
6-methoxy-N-(3-sulfopropyl)quinolinium)	344/443	Cl ⁻	Physical Entrapment	Near UV
Zinquin Ethyl Ester	364/385	Zn ⁺²	Physical Entrapment	Near UV

Diaminonaphthalene	370/440	Nitric Oxide	Physical Entrapment	Visible
Calcium Yellow	435/550	Ca ⁺²	Physical Entrapment	Visible
4,5 - Diaminofluorescein	488/530	Nitric Oxide	Physical Entrapment	Visible
4-amino-5-methylamino-2',7'- difluorofluorescein	488/525	Nitric Oxide	Physical Entrapment	Visible
Calcium Green	490/540	Ca ⁺²	Physical Entrapment	Visible
Magnesium Green	490/540	Mg ⁺²	Physical Entrapment	Visible
Dihydrorhodamine	500/536	Nitric Oxide	Physical Entrapment	Visible
Calcium Orange	540/580	Ca ⁺²	Physical Entrapment	Visible
CoroNa Red	547/576	Na ⁺	Physical Entrapment	Visible
RhodZin-3	550/575	Zn ⁺²	Physical Entrapment	Visible
Resorufin ethers (benzyl, ethyl, methyl, etc.)	560/580	Enzyme Activity + pH Lifetime	Physical Entrapment	Visible
Sulforhodamineamidoethyl mercaptan Boron	561/581	Selenium	Physical Entrapment	Visible
dipyrrromethane(BODIPY 665-676)	665/676	Reactive Oxygen	Physical Entrapment	Near IR

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So-called caged dyes may be employed as the sensor dye. Caged dyes are a class of photoluminescent dyes whose photoluminescent state is activated by their environment. In other words, caged dyes enter their working environment in a non-photoluminescent state and are turned "on" by external events that modify the

10 chemical structure of the dye. Caged dyes typically comprise a covalent attachment of

5 particular groups to a main conjugated ring structure. Activation may occur when the covalent attachment is cleaved due to environmental stimuli. For example, resorufin (7-hydroxy-3H-phnoxazin-3-one) is the parent dye of a family of caged dyes that utilize a cleavable ether attachment.

The dyes may be incorporated into a silica-based matrix in various configurations. Referring now to Figs. 1A, 1B, 1C and 1D, different architectures for the silica-based particles of the present invention are shown. As seen in Fig. 1A, the silica-based particles include an internal core 10 comprising a silica-based matrix and the reference dye, an intermediate silica-based shell 12, and an external shell 14 comprising the sensor dye. In this embodiment, the intermediate silica-based shell is interposed between the reference and sensor dyes. The intermediate silica-based shell may solely comprise a silica-based material without the presence of photoluminescent dye or in the presence of minimal photoluminescent dye (*e.g.*, less than 5% by weight). As seen in Fig. 1B, the silica-based particles include an internal core, 10, comprising a silica-based matrix and the reference dye and an external shell 14 comprising the sensor dye. As seen in Fig. 1C, the silica-based particles comprise an internal core 10 comprising a silica-based matrix, a first shell 16 comprising the reference dye and a second shell 14 surrounding the first shell and comprising the sensor dye. As seen in Fig 1D, the silica-based particles include an internal core 10, comprising a silica-based matrix, a first shell 16 comprising the reference dye, an intermediate shell 12 surrounding the first shell and comprising another silica-based matrix and an outer shell 14 surrounding the inner shells and comprising the sensor dye. In these embodiments, the diameter of the core may be between about 2.0 nanometers and tens of microns and typically between about 25.0 nanometers and 200.0 nanometers. The thickness of the first and subsequent shells may be between about 1.0 nanometer to

5 about 400.0 nanometers and typically between about 10.0 nanometers to about 50.0 nanometers. The first and subsequent shells may cover from about 10.0 percent to about 100.0 percent of the surface area of the particles. Thus, the size of the particles may be between about 10.0 nm and tens of microns, and more particularly between about 10.0 nm and about 1.0 micron.

10 There are a number of ways to synthesize the silica-based particles of the present invention. The particles may, for example, be created using the sol-gel process. Sol-gel chemistry allows the synthesis of silica-based particles under benign conditions, such as room temperature and without the use of harmful chemicals. Alternatively, reverse micelles may be employed as reactors for particle synthesis.

15 Reverse micelles are stable suspensions of a polar aqueous phase within a hydrophobic solvent, mediated by amphiphilic surfactant molecules.

 The Stöber sol-gel process typically yields silica-based particles that include covalent linkages to the reference and sensor dyes. In general, silica-based particles of the present invention can be prepared through the Stöber sol-gel process by mixing a

20 reactive reference dye with a co-reactive organosilane compound to form a reactive photoluminescent organosilane compound that can be co-condensed to form a core, followed by conjugation of a sensor dye to the core by mixing the core particle with the sensor dye and additional co-reactive organo/alkoxysilanes.

 The sol-gel process may, however, be modified depending on the desired

25 architecture of the particles. As previously mentioned, the silica-based particles of the present invention may exhibit various configurations, including an internal core comprising a silica-based matrix and a reference dye and an external shell comprising a sensor dye (Figs. 1A and 1B) and an internal core comprising a silica-based matrix, a

- 5 first shell comprising the reference dye and an outer shell surrounding the first shell and comprising the sensor dye (Fig. 1C and 1D).

Preparation of the silica-based particles of Figs. 1A comprises synthesis of the core, subsequent growth of a silica intermediate shell and formation of the sensor dye-rich silica shell. Synthesis of the core typically occurs in three steps. First, in an inert
10 gas atmosphere, a silane compound is coupled to the reference dye at a mole ratio of silane to reference dye of about 50:1 in degassed ethanol to form a photoluminescent silane compound. This product – the reference dye conjugate – is then added under ambient conditions to an absolute ethanolic solution of ammonia and water, under vigorous stirring. A silica precursor, such as tetraethoxysilane, is then promptly added
15 to yield a final reaction solution of reference dye conjugate, silica precursor, ammonia and water in concentrations of 1.7×10^{-5} M, 0.05 M, 0.2 M and 0.855 M. This reaction proceeds for 12-18 hrs and produces dye-rich silicate cores, with diameters of about 5.0 nanometers. To this solution, additional silica precursor is slowly added to grow a silica shell, in an amount of about 5.55×10^{-6} M per minute, to reach a final reaction
20 condition of reference dye conjugate, silica precursor, ammonia and water of 1.7×10^{-5} M, 1.055 M, 0.5 M and 0.855 M.

To prepare the core/shell particles for conjugation with the sensor dye, the particles are dialyzed once against ethanol for approximately 24 hours. The dialyzed solution is then diluted to avoid agglomeration of the particles during the sensor dye
25 coupling reaction. The sensor dye is conjugated to the silane in a similar manner as above for the reference dye to form sensor dye conjugate, though in this case the molar ratio is much lower (*i.e.*, 2:1 molar ratio of silane to sensor dye), to avoid excess amination of the particles during functionalization. This serves the dual purposes of avoiding agglomeration of particles and helping to prevent amines on the surface from

5 inhibiting the sensor dye's ability to sense. Thereafter, the sensor dye conjugate is mixed with a silica precursor in ethanol at a molar ratio of sensor dye conjugate to silica precursor of about 1.2×10^{-5} M to 0.0125 M. This mixture is added drop-wise to the dialyzed solution of core/shell particles. After the solution is allowed to react, it is dialyzed against absolute ethanol, where it remains stable for at least several months
10 without agglomeration. The final particles, shown in a scanning electron microscope at Fig. 2A are approximately 40.0 nanometers in diameter.

The size of the core as well as the size of the particles made with the sol-gel process can be altered. The synthesis that leads to the particle architecture shown in Fig 1B follows. Silica and a reference dye conjugate, per above, are co-condensed in
15 an ethalonic solution at concentrations of 2.13×10^{-5} M reference dye conjugate, 0.2 M silica precursor, 0.625 M ammonia and 1.45 M water to yield monodisperse core particles of about 55.0 nanometers in diameter. To this mixture, sensor dye conjugate and additional silica precursor are added drop wise to yield a final reaction condition of 2.13×10^{-5} M reference dye conjugate, 2.13×10^{-5} M sensor dye conjugate, 0.254M
20 silica precursor, 0.625 M ammonia and 1.45 M water. These particles, which lack an intermediate silica shell between the core and the sensor dye-containing outer shell, are then centrifuged and re-suspended twice in ethanol and twice in water to remove excess reagents. As shown in Fig. 2B, the final particles are approximately 60.0 to 80.0 nanometers in size and comprise a relatively large reference dye-rich core and a
25 sensor dye-rich shell.

Preparation of the silica particle architecture shown in Fig. 1C entails slight modifications to foregoing processes. Silica precursor, ammonia and water at ratios of 0.17 M to 1.0M to 2.5M are combined in absolute ethanol under ambient conditions, with vigorous stirring. After the solution reacts, the reaction product is collected by

5 centrifugation and re-suspended by ultrasonication with water and ethanol. The seed particles, comprised of silica, are then re-suspended in absolute ethanol with water and ammonia, followed by drop-wise addition of a pre-combined solution of silica precursor, reference conjugate and ethanol to yield a final reaction condition of reference conjugate, silica precursor, ammonia and water of 1.16M, 0.027M, 0.2M,
10 and 2.0M, respectively. Following reaction for 12-18 hours, the particles are centrifuged and washed repeatedly with absolute ethanol and deionized water. A similar synthesis was performed to create a sensor dye shell on these particles, using a sensor dye conjugate synthesized in ethanol under inert atmosphere and a 50.0 to 1.0 molar ratio of organosilane to sensor dye. The final particles, shown at Fig. 2C, are
15 approximately 200.0 nanometers in size and comprise a silica-rich core surrounded by a first reference dye rich shell surrounded by a second sensor dye rich shell. In the fourth particle architecture, Fig. 1D, an intermediate silica shell, between the reference and sensing dye layers is introduced by condensing pure silica precursors onto the first shell to ensure further protection of the encapsulated reference dye.

20 To prepare the silica-based particles of the present invention using reverse micelles to incorporate dyes which lack appropriate conjugation chemistries, a microemulsion synthesis protocol is followed. Silica-based seed particles, with reference dye, are first synthesized using the Stöber sol-gel process. These seed particles are transferred from ethanol into an aqueous environment via dialysis or
25 centrifugation and washing. The reactive concentrations required for sufficient surface coating are calculated based on the number of available surface silanol groups which is dependent upon particle size as well as concentration. The particles and any dextran-bound or otherwise hydrophilic dyes are added in aqueous solution into a reverse microemulsion formed in cyclohexane in the presence of a charged or uncharged

5 amphiphilic surfactant with or without co-surfactants, as needed. A concentrated solution of ammonia is added to catalyze condensation of a silica precursor, which is added last. One suitable surfactant/co-surfactant combination is Triton X-100® and n-hexanol.

A coating of about 2.0 to about 10.0 nanometers in thickness may be
10 effectively attached to the particle surface in the presence of free dye molecules or dextran-bound dyes, which become trapped in the silica matrix as it forms, thus encapsulating the sensor dye molecules and creating a particle based sensor. In other words, rather than being covalently bonded to the silica-based matrix, the
15 based matrix. By adjusting the reaction pH and silica precursor concentrations, it is possible to tailor the porosity of the silica matrix to allow analytes to interface with entrapped dye molecules.

After the silica-based particles of the present invention have been synthesized, they may be used for sensing in both biological and non-biological systems. A number
20 of sensing methodologies, including ratiometric sensing, spectral shift sensing and lifetime sensing are possible.

Environmental conditions as well as the presence and concentration of certain analytes, may be explored in biological and non-biological systems. The silica-based particles may be introduced into cells, cell membranes, tissues, prokaryotic systems
25 (*e.g.*, *E. coli* biofilms), solutions, reactions and bodies of water, like rivers and lakes. Several methods exist for introducing the silica-based particles into cells, including commercially available lipid vesicle based methods, microinjection, and antibody-specific uptake (during which a cell surface receptor mediates endocytosis of the particle). In phagocytic cells and some endocytic cells, uptake may occur

5 spontaneously. Based on the brightness levels exhibited by the particles, measurements may advantageously be taken through incorporation of only a single silica-based particle of the present invention into the target environment. Multiple silica-based particles may, however, be employed.

Through the introduction of multiple classes of analyte-specific sensors to an
10 unknown environment, multiplexed measurements may be achieved. Multiplexing allows simultaneous interrogation of multiple photoluminescent species whose emission peaks may be deconvolved to yield the status of multiple analytes. This approach would be well suited to high-throughput analysis of the effects of stimuli (such as novel therapeutics) on cellular function.

15 The silica-based particles may also be employed as sensors in various organisms, on animal cells or bodily fluids, including human cells or bodily fluids, such as blood or serum. Cells or fluids may be harvested and tested outside the body or a whole body imager may excite the dyes within the body and measure emission. *In vitro* sensing typically relies upon sensors comprising photoluminescent dyes in the
20 visible spectrum. With *in vivo* testing, the sensors may be locally injected into a particular area or functionalized with antibodies that exhibit preferential interactions with certain cell surface receptors. Sensor dyes used for *in vivo* testing typically exhibit infrared or near infrared emission wavelengths.

The environmental conditions or analytes undergoing sensing may also vary.
25 Environmental conditions, like pH levels and hydrophobic or hydrophilic states may be determined. The presence and concentration of ions, such as potassium, phosphate, sodium, calcium, copper, magnesium, chromium, chloride, fluoride and iron, heavy metals, such as cadmium, zinc, lead, selenium, mercury, nickel, as well as biomolecular substances, such as vitamins and amino acids, may also be evaluated.

5 Further, *in vitro* and *in vivo* testing with the silica-based particles of the present invention may advantageously be employed for ascertaining the redox status of cells within the body of a living organism. The redox status of a cell describes its oxidation-reduction potential (*i.e.*, the potential of the cell to lose electrons (oxidation) versus its potential to gain electrons (reduction)). The energy on which cells rely is derived
10 mainly from energy released through oxidation from organic molecules to oxygen, yielding an overall reducing environment within cells, which is carefully regulated. Externally or internally opposed challenges to the normal oxidative/reductive balance – redox stresses – have been shown to be indicative of negative cellular events, specifically tumorigenesis, and may be important in disease or injury states such as
15 cancer and strokes.

The foregoing unknown conditions or analytes may be investigated through various sensing methodologies.

Ratiometric sensing, as used herein, means the determination of unknown environmental conditions based on ratios established for and corresponding to known
20 environmental conditions. Major emission peak intensities of two or more photoluminescent dyes are measured under known environmental or analyte conditions. A calibration is performed by calculating ratios of peak emission for the two dyes (sensor dye/reference dye); the ratios correspond to known conditions. The calibration forms a basis for determinations of unknown conditions through excitation
25 and emission intensity measurement of particles comprising the same reference and sensor dyes under the unknown condition.

Spectral-shift based measurements operate under similar principles. Unknown environmental or analyte conditions are investigated through the use of calibration curves that are established based on the wavelengths of maximal emission exhibited by

5 reference and sensor dyes upon excitation under known conditions. Rather than relying upon ratios of peak emission intensities, however, the difference between maximum peak wavelengths ($\lambda_{\max}$) for the reference and sensor dyes is used as the dependent variable.

Lifetime-based sensing measures the excited state lifetimes of two or more
10 photoluminescent dyes, one of which whose lifetime is known to be environmentally insensitive, and at least one of which whose lifetime is dependent upon a specific environmental condition or analyte. The fluorescence lifetime (τ) is the length of time that a photoluminescent dye spends in an excited state, from initial absorption of a photon until its emission; it is most often in the range from 10 ps to several
15 nanoseconds. A calibration may be performed by measurement of the fluorescence lifetimes for multiple analyte concentrations, creating a basis for further concentration determinations.

To establish a baseline for the sensing, one or more particles are first introduced into an environment having known conditions. The particles are then
20 excited by one or more selected wavelengths of a multi-photon light source, typically exhibiting an excitation wavelength between about 250.0 nm and about 800.0 nm, as appropriate for the absorption profiles of the reference and sensor dyes in the particle. Multiphoton excitation utilizes lasers (often Ti-Sapphire), which can be tuned to a variety of emission wavelengths. The long wavelength (700.0 nm to >1500.0 nm)
25 photons are not readily absorbed by tissue or media but may induce multiphoton excitation in dye molecules at very high photon flux (*i.e.*, at the focal point of the beam). While the reference dye typically exhibits a relatively constant wavelength and intensity of emission, the sensor dye exhibits emissions that correspond to environmental stimuli or to the presence or concentration of certain analytes.

5 Thus, after excitation of each of the reference and sensor dyes at specific levels, photon emissions may be measured. Measurement may be carried out using spectrofluorometry or fluorescence microscopy. Photoluminescent lifetime is measured using a pulsed laser source and a gated detector which measures the emission as a function of time following irradiation. Photoluminescent emission is
10 collected in quartz or plastic cuvettes as a function of emission wavelength at 90 degrees to the excitation beam via photon counting on a photomultiplier tube or avalanche photodiode. With photoluminescent microscopy, images of individual cell-free samples comprising sensors subjected to different known conditions are collected. After photon emissions for the reference and sensor dyes are measured, emission ratios
15 ($\gamma = I_{\text{sensor}}/I_{\text{reference}}$), spectral shifts or photoluminescent lifetimes are plotted versus the known environmental condition to establish calibration curves. That is, the emissions taken in the presence of the known environmental condition or analyte are used to generate comparison data, which is correlated to the known condition. For example, calibration curves indicate the range of ratios that correspond to the known
20 environmental conditions (*e.g.*, in one embodiment, ratios between about 0.5 and about 0.8 could correspond to a pH between 6 and 7).

 After the comparison data have been generated, they may be used to ascertain unknown environmental conditions or the presence and concentration of analytes. Particle emissions are recorded in the presence of the unknown condition or analyte,
25 and the data are used to determine the unknown condition by comparing it with the comparison data established for the known conditions.

 The silica-based particles of the present invention can be used in a variety of other applications, such as those disclosed in co-owned co-pending U.S. App. Serial

- 5 No. 10/306,614, entitled "Fluorescent Silica Based Nanoparticles." All uses disclosed therein are hereby incorporated by reference.

EXAMPLES

The following examples are intended to be illustrative, but in no way limiting of the present invention. These examples are not intended to limit the invention or its
10 protection in any way.

Example 1 (pH sensing)

A 200.0 nanometer silica-based particle comprising a reference dye and a sensor dye based upon the architecture shown in Figure 1C was synthesized for use as a ratiometric sensor to detect environmental pH.

15 Synthesis

In an inert gas atmosphere, 3-aminopropyl-triethoxy silane (APTS – Sigma Aldrich Chem. Corp.) was coupled to the isothiocyanate group of the reference dye tetramethylrhodamine (TRITC) at a mole ratio of APTS:TRITC = 50:1 in degassed ethanol (Pharmco 200 proof, degassed) to form a TRITC-conjugate. The TRITC
20 conjugate was added under ambient atmosphere to an absolute ethanolic solution of ammonia (2.0M ethanol – Sigma Aldrich Chem. Corp.) and water to which is promptly added the silica precursor tetraethoxysilane (TEOS – 99% Sigma Aldrich Chem. Corp.) to yield a final reaction solution of [TRITC-conjugate], [TEOS], [NH₃] and [H₂O] of 1.7×10^{-5} M, 0.17 M, 1.0 M and 2.5M. This reaction proceeded for 12-18
25 hrs and a hazy product was collected by centrifugation and resuspended by ultrasonication with water and ethanol.

The particles were resuspended at 0.787 mg/ml in absolute ethanol with appropriate water and NH₃ concentrations and a pre-combined solution of TEOS, TRITC-conjugate and ethanol was added drop wise (7.5×10^{-7} M_{TEOS}/min) to yield a

5 final reaction condition of [TRITC-conjugate], [TEOS], [NH₃] and [H₂O] of 1.16mM, 0.2 7M, 0.2 M and 2.0 M. Following reaction for 12-18 hours, the particles were centrifuged and washed repeatedly with absolute ethanol and deionized water.

A similar synthesis was performed to create a fluorescein (FITC) shell on these particles, using a FITC conjugate synthesized under inert atmosphere at 4.25 mM
10 FITC and a 50:1 molar ratio of APTS to FITC. This conjugate was then added under similar conditions to the TRITC conjugate mixture prepared above with final concentrations of [FITC-conjugate], [TEOS], [NH₃] and [H₂O] of 0.4mM, 0.029mM, 0.2M and 2.0 M for a particle density of 0.787 mg/ml. The particles were approximately 200.0 nanometers in size (Fig 2C) and comprised a relatively large
15 reference dye rich core and a sensor dye rich shell.

Calibration

The particles were diluted from deionized water into sodium phosphate buffers between pH 5 and 9 at 3:20 (v/v) dilutions. The particles were then excited through introduction of a single photon light source at 540.0 nm to excite the
20 tetramethylrhodamine and 490.0 nm to excite the fluorescein. The emission wavelengths were measured by spectrofluorometry (with a Photon Technologies International Spectrofluorometer); maximum peaks are shown in Fig. 3. The larger wavelength peaks correspond to the fluorescein sensor dye while the smaller peaks correspond to the tetramethylrhodamine reference dye. The ratio of peak maxima for
25 emission wavelengths ($\gamma = I_{\text{FITC}}/I_{\text{TRITC}}$) was then plotted versus pH to give the calibration curves shown in Fig. 4. The calibration curves provide linear calibration over the range of pH from 5-9 for each set of tested particles.

Sensing

5 The silica-based particles synthesized above may be introduced into a solution of unknown pH. The particles may be excited with the same single photon light source used during calibration at the same excitation wavelengths. The ratio of peak maxima ($\gamma = I_{\text{FITC}}/I_{\text{TRITC}}$) for emission wavelengths may then be calculated and compared against the calibration curves of Fig. 4. In this way, the pH of the solution
10 may be determined.

Variations, modifications and other implementations of what is described herein will occur to those of ordinary skill in the art without departing from the spirit and scope of the invention. Accordingly, the invention is in no way limited by the preceding illustrative description.

5 What is claimed is:

1. A silica-based particle comprising a silica-based core and two or more photoluminescent dyes, the two or more photoluminescent dyes comprising at least one reference dye and at least one sensor dye.
2. The silica-based particle of claim 1, wherein the silica-based particle is
10 a nanoparticle.
3. The silica-based particles of claim 1, wherein the silica-based particle comprises pores including diameters between about 0.1 nm and about 100.0 nm.
4. The silica based particle of claim 1, wherein at least one of the reference and sensor dyes is covalently bonded to the silica-based core.
- 15 5. The silica particle of claim 1, wherein one of the reference and sensor dyes comprises between about 1.0 and about 1000.0 dye molecules and the reference and sensor dyes emit photons in response to a single excitation source emitting at a single predetermined wavelength.
6. The silica-based particle of claim 1, wherein the reference dye is
20 positioned within the core and the sensor dye is positioned on at least a portion of a surface of the silica-based particle.
7. The silica-based particle of claim 1, wherein the reference and sensor dyes are positioned on or over the core.
8. The silica-based particle of claim 1, further comprising a silica-based
25 shell surrounding the core, wherein the reference dye is positioned within the core and the silica-based shell is interposed between the reference dye and the sensor dye.
9. The silica-based particle of claim 1, wherein the reference and sensor dyes emit photons in response to a single excitation source emitting at a single predetermined wavelength.

- 5 10. The silica-based particle of claim 1, wherein peak wavelengths of the reference and sensor dyes in response to photon exposure are separated by a sufficient gap to individually discern the emission peaks.
11. The silica-based particle of claim 1, wherein exposure of the reference or sensor dye to photon excitation results in infra-red or near infra-red emissions.
- 10 12. The silica particle of claim 1, wherein the sensor dye is responsive to at least one of pH, the presence and concentration of ions and chemical or biochemical analytes.
13. The silica-based particle of claim 1, further comprising a surface modifier that exhibits preferential interaction with a target analyte.
- 15 14. The silica particle of claim 1, further comprising a therapeutic agent responsive to a disease state or injury.
15. A photoluminescent nanoparticle comprising two or more photoluminescent dyes and a plurality of pores, the two or more photoluminescent dyes comprising at least one reference dye and at least one sensor dye.
- 20 16. The photoluminescent nanoparticle of claim 15, wherein at least one of the pores includes a diameter between about 0.1 nanometers to about 100.0 nanometers.
17. The photoluminescent nanoparticle of claim 15, wherein a diameter of the nanoparticle is between about 1.0 and 250.0 nanometers.
- 25 18. A method comprising the steps of:
- providing at least one silica-based particle comprising two or more photoluminescent dyes;

- 5 introducing the at least one silica-based particle into an environment
comprising one or more unknown conditions or analytes;
 exposing the at least one silica-based particle to excitation photons, such that
each of the two or more photoluminescent dyes emits photons;
 recording each of the photons emitted by the two or more photoluminescent
10 dyes;
 determining the one or more unknown environmental conditions or analytes.
19. The method of claim 18, further comprising excitation of the two or
more photoluminescent dyes with photons in the presence of a known environmental
condition or analyte.
- 15 20. The method of claim 19, further comprising comparing the emissions of
the two or more photoluminescent dyes taken in the presence of the known
environmental condition or analyte to generate comparison data and correlating the
comparison data to the known condition or analyte.
21. The method of claim 20, wherein the determining step includes using
20 the comparison data to determine the unknown condition or analyte.
22. The method of claim 18, wherein the determining step comprises
ratiometric sensing.
23. The method of claim 18, wherein the determining step comprises
spectral shift sensing.
- 25 24. The method of claim 18 wherein the determining step comprises
lifetime-based sensing.
25. The method of claim 18, wherein the determining step occurs *in vitro* or
in vivo.

- 5 26. The method of claim 18, wherein the determining step is adapted to ascertain a redox state.
27. The method of claim 18, wherein the two or more photoluminescent dyes comprise a reference dye and a sensor dye and a single silica-based particle is used during the determining step.
- 10 28. The method of claim 18, wherein a whole body imager is utilized during the determining step.
29. The method of claim 18, further comprising introducing the at least one silica-based particle into a cell.
30. The method of claim 18, further comprising the step of treating a
15 disease state with the at least one silica-based particle.
31. The method of claim 18, wherein the unknown condition or analyte is selected from the group consisting of pH, hydrophobic or hydrophilic environment, redox status, analyte identity and analyte concentration.
32. The method of claim 18, wherein the determining step comprises
20 simultaneous interrogation of multiple sensor dyes whose emission peaks may be deconvolved to yield the status of multiple unknown conditions or analytes.
33. A method of determining an unknown condition in an organism, comprising the steps of:
- providing a silica-based nanoparticle comprising a sensor dye adapted to emit
25 photons; inserting the silica-based nanoparticle into the organism; exciting the sensor dye with photons; detecting the emission of the sensor dye; and determining the unknown condition of the organism.
34. The method of claim 33, wherein the silica-based nanoparticle further comprises a reference dye.

- 5 35. The method of claim 33, wherein the silica-based nanoparticle further comprises a therapeutic agent for treating a disease state.
36. The method of claim 33 wherein the unknown condition is a redox state.
37. The method of claim 33, wherein the silica based nanoparticle is
10 positioned within a cell or tissue of the organism.
38. The method of claim 33, wherein the silica-based nanoparticle is positioned within blood or other bodily fluids of the organism.
39. The method of claim 33, wherein the sensor dye emits at near infrared or infrared wavelengths.

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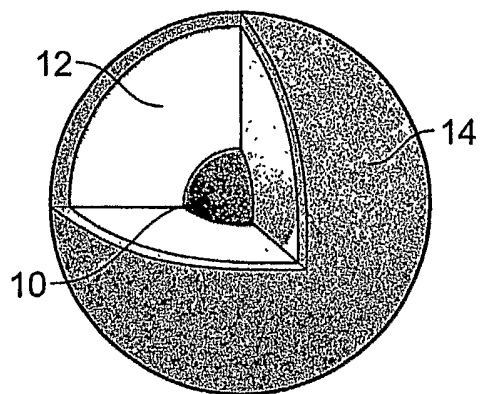


FIG. 1A

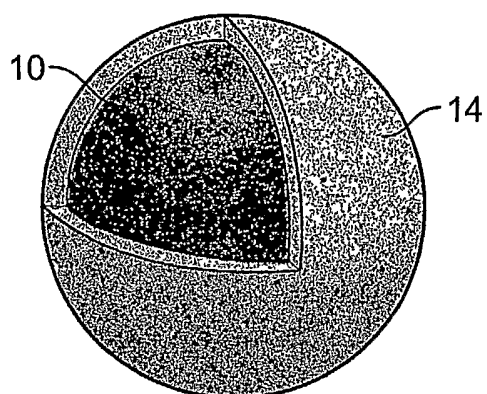


FIG. 1B

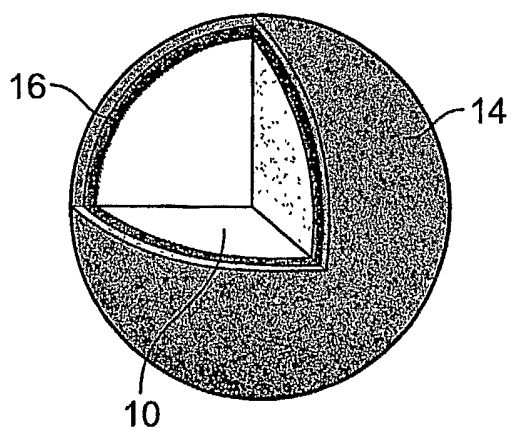


FIG. 1C

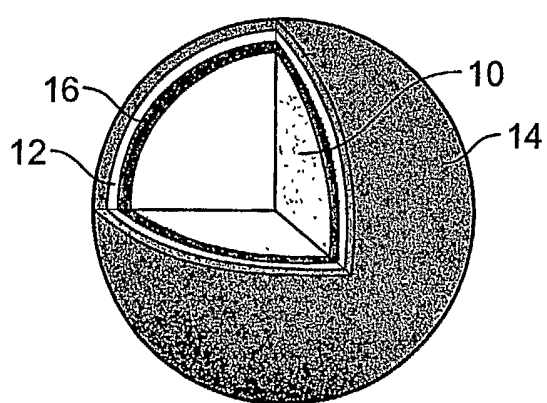


FIG. 1D

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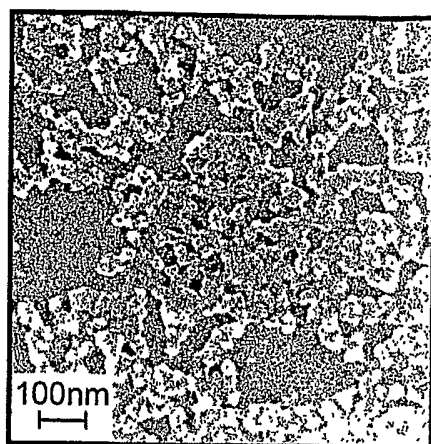


FIG. 2A

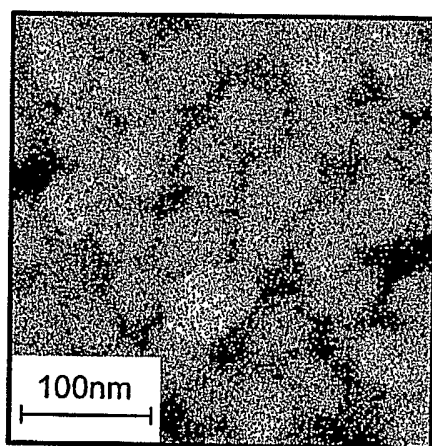


FIG. 2B

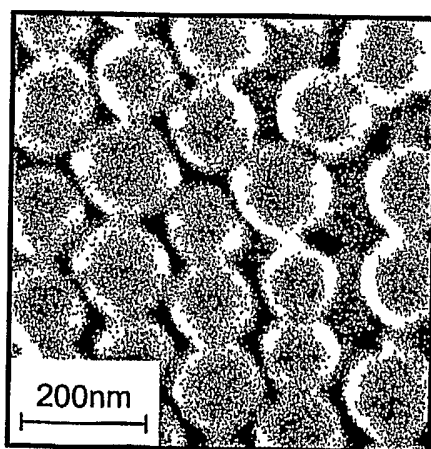


FIG. 2C

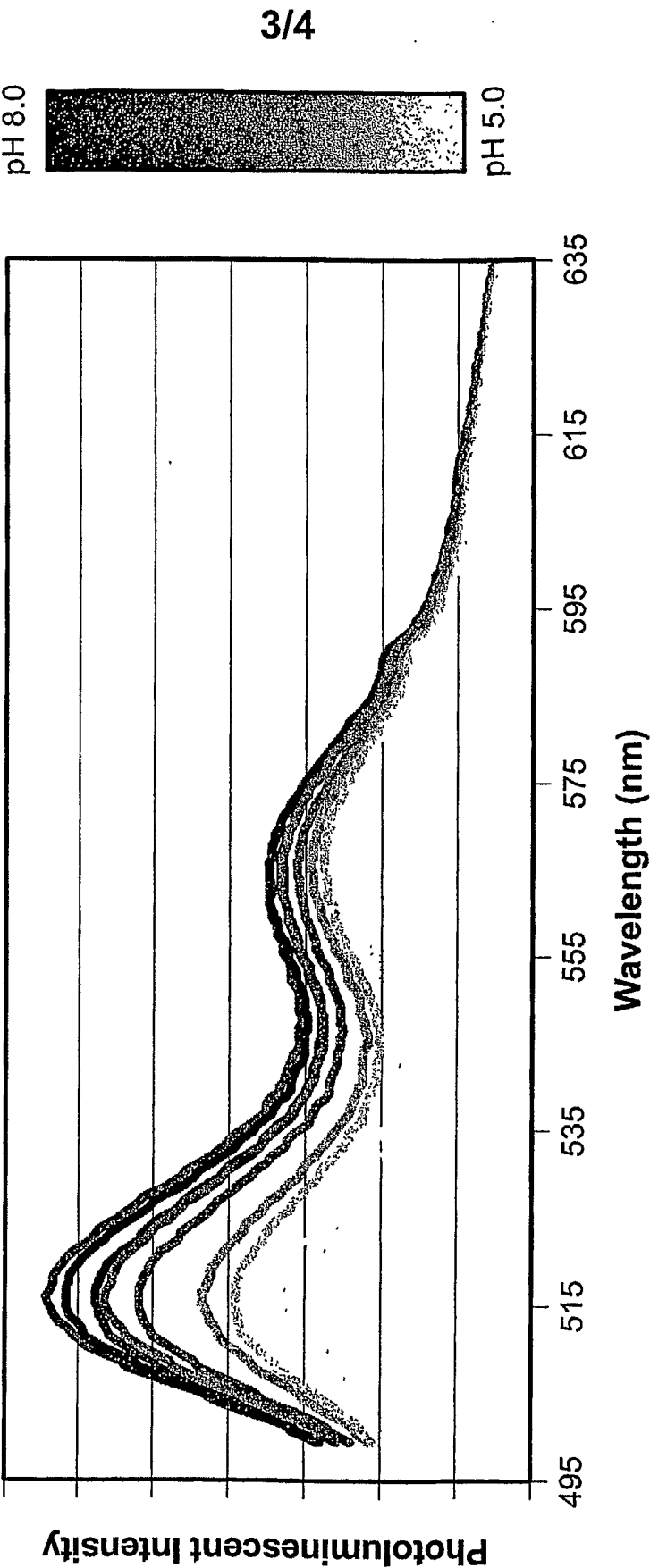


FIG. 3

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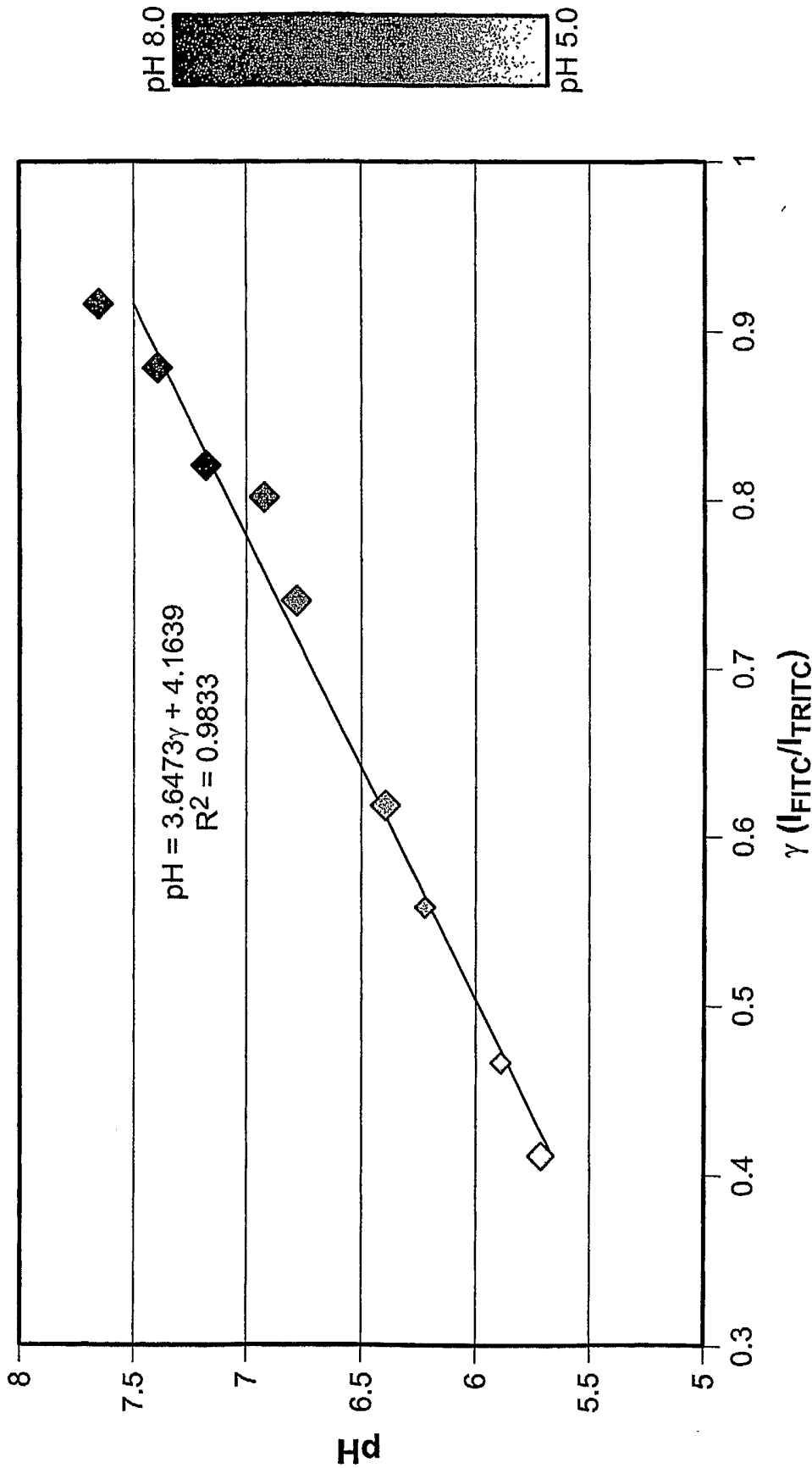


FIG. 4