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[Continued on next page]

(54) Title: INTERNALLY PATTERNED MULTILAYER OPTICAL FILMS USING SPATIALLY SELECTIVE BIREFRINGENCE REDUCTION

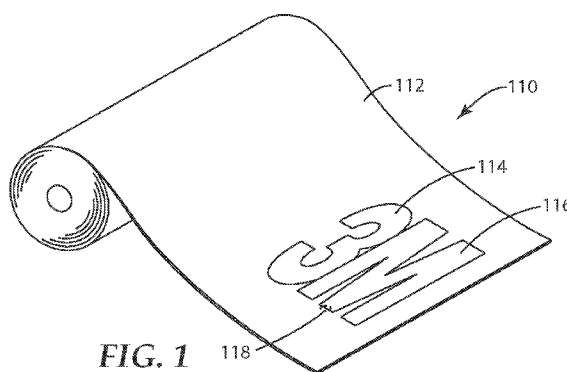


FIG. 1

(57) Abstract: A reflective film includes interior layers arranged to selectively reflect light by constructive or destructive interference, the layers extending from a first zone to a second zone of the film. The film has a first thickness and the interior layers provide a first reflective characteristic in the first zone; the film has a second thickness and the interior layers provide a second reflective characteristic in the second zone. The difference between the first and second reflective characteristics is not substantially attributable to any difference between the first and second thicknesses, which difference may be zero. Rather, the difference in the reflective characteristics is substantially attributable to reduced birefringence of at least some of the interior layers in one zone relative to the other zone. The film may also incorporate absorbing agents to assist in the manufacture or processing of the film. Related methods and articles are also disclosed.



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**INTERNALLY PATTERNED MULTILAYER OPTICAL FILMS  
USING SPATIALLY SELECTIVE BIREFRINGENCE REDUCTION**

5                                    **CROSS REFERENCE TO RELATED APPLICATIONS**

This application claims the benefit of U.S. Provisional Application No. 61/139,736, "Internally Patterned Multilayer Optical Films Using Spatially Selective Birefringence Reduction", filed Dec. 22, 2008, and U.S. Provisional Application No. 61/157,996, "Multilayer Optical Films Having Side-by-Side Mirror/Polarizer Zones", filed 10 March 6, 2009, and U.S. Provisional Application No. 61/158,006, "Multilayer Optical Films Suitable for Bi-Level Internal Patterning", filed March 6, 2009, the disclosures of which are incorporated herein by reference.

**FIELD OF THE INVENTION**

15                    This invention relates generally to optical films, with particular application to such films whose reflection characteristics are determined in large part by constructive and destructive interference of light reflected from interfaces between layers disposed within the film, i.e., internal to the film. The invention also relates to associated systems and methods.

20

**BACKGROUND**

Multilayer optical films, i.e., films that contain a multiplicity of distinct layers of different refractive index and of suitable thicknesses to selectively reflect and transmit light as a result of constructive and destructive interference of light reflected at the 25 interfaces between the layers, are known. In some cases, such films are formed by vacuum depositing alternating layers of a high refractive index inorganic material, such as titanium dioxide, and a low refractive index inorganic material, such as silicon dioxide, onto a glass substrate or other rigid substrate.

In other cases, such films are formed by coextruding different organic polymer 30 materials in an alternating layer arrangement through a die, cooling the extrudate to form a cast web, and stretching the cast web in order to thin the web to a suitable final thickness. In some cases the stretching may also be carried out in such a way as to cause one or both

of the alternating polymer materials to become birefringent, i.e., wherein a given material has a refractive index for light polarized along one direction that differs from a refractive index for light polarized along a different direction. This birefringence may result in the finished film having a large refractive index mismatch between adjacent layers along a first in-plane direction (sometimes referred to as an x-axis), and a substantial refractive index match between adjacent layers along a second in-plane direction (sometimes referred to as a y-axis), whereupon normally incident light polarized along the first direction is highly reflected and normally incident light polarized along the second direction is highly transmitted. See, e.g., U.S. Patents 3,610,729 (Rogers), 4,446,305 (Rogers et al.), and 5,486,949 (Schrenk et al.). The birefringence may also result in a refractive index difference between adjacent layers along an out-of-plane direction (i.e., along an axis perpendicular to the film) that differs significantly from a refractive index difference between adjacent layers along one or both in-plane directions. An example of this latter situation is a film having substantially the same large refractive index mismatch between adjacent layers along both orthogonal in-plane directions (x and y), such that normally incident light of any polarization is highly reflected, but where the refractive indices of adjacent layers along the out-of-plane direction (z) are substantially matched, such that the reflectivity of the interfaces for so-called “p-polarized” light (light polarized in the plane of incidence) is substantially constant. See, e.g., U.S. Patent 5,882,774 (Jonza et al.). Jonza et al. teach, among other things, how a z-axis mismatch in refractive index between adjacent microlayers, more briefly termed the z-index mismatch or  $\Delta n_z$ , can be tailored to allow the construction of multilayer stacks for which the Brewster angle – the angle at which reflectance of p-polarized light at an interface goes to zero – is very large or is nonexistent. This in turn allows for the construction of multilayer mirrors and polarizers whose interfacial reflectivity for p-polarized light decreases slowly with increasing angle of incidence, or is independent of angle of incidence, or increases with angle of incidence away from the normal direction. As a result, multilayer films having high reflectivity for both s-polarized light (light polarized perpendicular to the plane of incidence) and p-polarized light for any incident direction in the case of mirrors, and for the selected direction in the case of polarizers, over a wide bandwidth, can be achieved.

It is also known to impart a pattern to multilayer optical films to form indicia. See, e.g., U.S. Patents 6,045,894 (Jonza et al.) “Clear to Colored Security Film”, 6,531,230

(Weber et al.) “Color Shifting Film”, and 6,788,463 (Merrill et al.) “Post-Formable Multilayer Optical Films and Methods of Forming”. Pressure is selectively applied to the film, such as with an embossing die, to thin the film in selected areas or zones to produce the desired pattern. The selective thinning, which may produce a thickness reduction  
5 greater than 5% or greater than approximately 10%, is effective throughout the thickness of the film in the selected areas, such that the stack of optically thin layers (“microlayers”) internal to the film, which microlayers are responsible for the observed reflective and transmissive characteristics, is also thinned in the selected areas relative to neighboring areas of the film. This thinning of the microlayers shifts any reflection bands associated  
10 with the microlayers to shorter wavelengths as a result of the shortened optical path length difference through the microlayers. The shift in the reflection band is manifested to the observer as a difference in reflected or transmitted color between the embossed and unembossed areas, so that the pattern is readily perceived.

For example, the ‘463 Merrill et al. patent describes an embossed color shifting  
15 security film in which a multilayer polymer film containing 418 internal microlayers (two packets of 209 microlayers each) was embossed in selected regions. Before embossing, and in unembossed areas after embossing, the microlayers had refractive indices and thicknesses that produced a reflection band whose short wavelength band edge shifted with incidence angle (viewing angle) from 720 nm at normal incidence, to 640 nm at 45  
20 degree viewing, to an even shorter wavelength at 60 degree viewing, corresponding to a clear appearance at normal, to cyan at 45 degrees, to a brilliant cyan at 60 degrees. In these unembossed areas the film had a thickness of 3.4 mils, i.e., 0.0034 inches. The film was then embossed between a roll at 149 degrees C and a pre-heated embossing plate to thin the film down to about 3.0 mils in the selected areas. The embossed areas exhibited a  
25 bright gold color at normal incidence, indicative of a band edge shift from 720 nm to shorter wavelengths. The observed color in the embossed areas changed to cyan or deeper blue at oblique viewing angles.

#### BRIEF SUMMARY

30 We describe herein, among other things, methods of internally patterning multilayer optical films that do not require the selective application of pressure, and do not rely on a selective thinning of the film to accomplish the patterning. Thus, in some cases,

the internal patterning discussed herein can be accomplished without any selective application of pressure to the film, and/or without any significant thinning of the film. Rather, at least some of the disclosed methods accomplish the patterning by selectively reducing, in a second zone but not in a neighboring first zone, the birefringence of at least  
5 some of the interior layers of the film. In other cases, the internal patterning may be accompanied by a substantial change in thickness, the thickness change being either thicker or thinner depending on processing conditions.

The selective birefringence reduction can be performed by the judicious delivery of an appropriate amount of energy to the second zone so as to selectively heat at least some  
10 of the interior layers therein to a temperature high enough to produce a relaxation in the material that reduces or eliminates a preexisting optical birefringence, but low enough to maintain the physical integrity of the layer structure within the film. The reduction in birefringence may be partial or it may be complete, in which case interior layers that are birefringent in the first zone are rendered optically isotropic in the second zone. In  
15 exemplary embodiments, the selective heating is achieved at least in part by selective delivery of light or other radiant energy to the second zone of the film. The light may comprise ultraviolet, visible, or infrared wavelengths, or combinations thereof. At least some of the delivered light is absorbed by the film to provide the desired heating, with the amount of light absorbed being a function of the intensity, duration, and wavelength  
20 distribution of the delivered light, and the absorptive properties of the film. Such a technique for internally patterning a multilayer optical film is compatible with known high intensity light sources and electronically addressable beam steering systems, thus allowing for the creation of virtually any desired pattern or image in the film by simply steering the light beam appropriately, without the need for dedicated hardware such as image-specific  
25 embossing plates or photomasks.

We also describe a multilayer optical film that includes a plurality of interior layers arranged to selectively reflect light by constructive or destructive interference, the layers extending from a first zone to a neighboring second zone of the film. In the first zone, the film has a first thickness and the plurality of layers provides a first reflective characteristic.  
30 In the second zone, the film has a second thickness and the plurality of layers provides a second reflective characteristic different from the first reflective characteristic. The difference between the first and second reflective characteristics is not substantially

attributable to any difference between the first and second thicknesses, which difference in thickness may be zero. In one example, the film may exhibit a variability in thickness  $\Delta d$  over the first zone, such as may be expected from normal processing variability, and the second thickness may differ from the first thickness by no more than  $\Delta d$ , where the first and second thicknesses are taken to be spatial averages of the film thickness over the first and second zones, respectively. In some cases, the film may include one or more absorbing agents in one or more constituent layers thereof to promote heating during the patterning procedure.

We also describe a multilayer optical film that includes a plurality of interior layers arranged to selectively reflect light by constructive or destructive interference, the layers extending from a first zone to a neighboring second zone of the film. At least some of the layers exhibit different amounts of birefringence in the first zone relative to the second zone, and the plurality of layers exhibits differing first and second reflective characteristics in the first and second zones respectively, substantially as a result of the differing birefringence.

We also describe laminate constructions and other optical bodies that include one or more selectively treatable multilayer optical films joined or combined in some fashion with another film or substrate with different optical properties. In some cases the “another film or substrate” may be or comprise an otherwise conventional optical film or substrate, such as an absorbing polarizer, a colored light transmissive film (e.g. a single layer polymer that has been dyed), a retarding film, a glass plate, white or colored cardstock, and the like, and combinations thereof. In some cases the “another film or substrate” may also or alternatively be or comprise a different type of multilayer optical film, whether a polarizer, mirror, window, or combinations thereof.

We also describe a method of making a patterned multilayer optical film, that includes providing a multilayer optical film that comprises a plurality of interior layers arranged to provide a first reflective characteristic associated with constructive or destructive interference of light, the interior layers extending from a first zone to a neighboring second zone of the film, and the first and second zones each exhibiting the first reflective characteristic. The method also includes selectively heating the film in the second zone in an amount sufficient to cause the second zone to exhibit a second reflective characteristic that is also associated with constructive or destructive interference of light,

but that differs from the first reflective characteristic. The selective heating can be applied without any substantial reduction in thickness of the film in the second zone. The selective heating can also be applied without any selective application of pressure to the film.

5           We also describe a method of making a patterned multilayer optical film, in which a multilayer optical film is provided that comprises a plurality of interior layers arranged into optical repeat units to selectively reflect light by constructive or destructive interference, the layers extending from a first zone to a neighboring second zone of the film, and at least some of the optical repeat units each including a first layer that is  
10   birefringent in both the first and second zones. The method includes selectively heating the film in the second zone in an amount sufficient to reduce or eliminate the birefringence of the first layers in the second zone while maintaining the birefringence of the first layers in the first zone, the heating being low enough to maintain the structural integrity of the layers in the second zone, and the heating being applied without any selective application  
15   of pressure to the second zone.

Related methods, systems, and articles are also discussed.

These and other aspects of the present application will be apparent from the detailed description below. In no event, however, should the above summaries be construed as limitations on the claimed subject matter, which subject matter is defined  
20   solely by the attached claims, as may be amended during prosecution.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view of a roll of multilayer optical film that has been internally patterned to provide different reflective characteristics in different portions or  
25   zones of the film so as to form indicia;

FIG. 2 is a schematic side view of a portion of a multilayer optical film;

FIG. 3 is schematic sectional view of a portion of the multilayer optical film of FIG. 1;

FIG. 4 is a schematic sectional view of a portion of another multilayer optical film  
30   with internal patterning;



FIGS. 5A-J are idealized plots showing each refractive index ( $n_x$ ,  $n_y$ ,  $n_z$ ) of each layer of a 2-layer optical repeat unit, for different stages of manufacture of various internally patterned multilayer optical films;

FIG. 6 is a schematic diagram that summarizes various transformations that can be achieved using the techniques discussed herein for multilayer optical films;

FIG. 7 is a schematic side view of an arrangement for selectively heating a multilayer optical film to accomplish internal patterning;

FIGS. 8A-C are schematic top views of different second zones of a patterned multilayer film, and superimposed thereon possible paths of a light beam relative to the film capable of forming the depicted zones;

FIG. 9A is an idealized plot showing the relative intensity of a beam of light as a function of the depth the light beam propagates into the film, with three curves provided for three different multilayer optical films;

FIG. 9B is an idealized plot showing the local absorption coefficient as a function of the depth or axial position within the film, with three curves corresponding to the three curves of FIG. 9A;

FIG. 10 is a graph of percent transmission versus wavelength for different cast multilayer webs that were fabricated;

FIG. 11 is a graph of percent transmission versus wavelength for a multilayer optical film made using one of the cast webs of FIG. 10;

FIG. 12 is a graph of percent transmission versus wavelength for two different multilayer optical films made using another one of the cast webs of FIG. 10;

FIG. 13A is a graph of percent transmission versus wavelength for an internally patterned multilayer optical film made using one of the (unpatterned) multilayer optical films of FIG. 12, with one curve showing the transmission of a treated zone and another curve showing the transmission of an untreated zone of the patterned film, and FIG. 13B is a graph of percent reflection versus wavelength for the same treated and untreated zones of FIG. 13A; and

FIG. 14 is a graph of percent transmission versus wavelength for an internally patterned multilayer optical film made using the (unpatterned) multilayer optical film of FIG. 11 and one of the (unpatterned) multilayer optical films of FIG. 12, with one curve

showing the transmission of an untreated zone of the patterned film and the other curve showing the transmission of a treated zone of the patterned film.

In the figures, like reference numerals designate like elements.

## 5 DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

FIG. 1 depicts a multilayer optical film **110** that has been internally patterned or spatially tailored using spatially selective birefringence reduction of at least some of the internal layers (not shown in FIG. 1). The internal patterning defines distinct zones **112**, **114**, **116** that are shaped so as to form the indicia “3M” as shown. The film **110** is shown  
10 as a long flexible material wound into a roll because the methodology described herein is advantageously compatible with high volume roll-to-roll processes. However, the methodology is not limited to flexible roll goods and can be practiced on small piece parts or samples as well as non-flexible films and articles.

The “3M” indicia is visible because the different zones **112**, **114**, **116** have  
15 different reflective characteristics. In the depicted embodiment, zone **112** has a first reflective characteristic and zones **114**, **116** have a second reflective characteristic different from the first reflective characteristic. Typically, but not necessarily, the film **110** will be at least partially light transmissive, in which case the zones **112**, **114**, **116** will also have different transmissive characteristics that correspond to their respective  
20 reflective characteristics. In general, of course, transmission (T) plus reflection (R) plus absorption (A) = 100%, or  $T + R + A = 100\%$ . In some embodiments the film is composed entirely of materials that have low absorption over at least a portion of the wavelength spectrum. This may be the case even for films that incorporate an absorbing dye or pigment to promote heat delivery, since some absorbing materials are wavelength-  
25 specific in their absorptivity. For example, infrared dyes are available that selectively absorb in the near-infrared wavelength region but that have very little absorption in the visible spectrum. At the other end of the spectrum, many polymer materials that are considered to be low loss in the multilayer optical film literature *do* have low loss over the visible spectrum *but also* have significant absorption at certain ultraviolet wavelengths.  
30 Thus, in many cases the multilayer optical film **110** may have an absorption that is small or negligible over at least a limited portion of the wavelength spectrum, such as the visible

spectrum, in which case the reflection and transmission over that limited range take on a complementary relationship because  $T + R = 100\% - A$ , and since  $A$  is small,

$$T + R \approx 100\%.$$

As will be explained further below, the first and second reflective characteristics  
5 are each the result of structural features that are internal to the film **110**, rather than the result of coatings applied to the surface of the film or other surface features. This aspect of the disclosed films makes them advantageous for security applications (e.g. where the film is intended for application to a product, package, or document as an indicator of authenticity) because the interior features are difficult to copy or counterfeit.

10 The first and second reflective characteristics differ in some way that is perceptible under at least some viewing conditions to permit detection of the pattern by an observer or by a machine. In some cases it may be desirable to maximize the difference between the first and second reflective characteristics at visible wavelengths so that the pattern is conspicuous to human observers under most viewing and lighting conditions. In other  
15 cases it may be desirable to provide only a subtle difference between the first and second reflective characteristics, or to provide a difference that is conspicuous only under certain viewing conditions. In either case the difference between the first and second reflective characteristics is preferably attributable primarily to a difference in the refractive index properties of interior layers of the multilayer optical film in the different neighboring  
20 zones of the film, and is not primarily attributable to differences in thickness between the neighboring zones.

The zone-to-zone differences in refractive index can produce various differences between the first and second reflective characteristics depending on the design of the multilayer optical film. In some cases the first reflective characteristic may include a first  
25 reflection band with a given center wavelength, band edge, and maximum reflectivity, and the second reflective characteristic may differ from the first by having a second reflection band that is similar in center wavelength and/or band edge to the first reflection band, but that has a substantially different maximum reflectivity (whether greater or lesser) than the first reflection band, or the second reflection band may be substantially absent from the  
30 second reflection characteristic. These first and second reflection bands may be associated with light of only one polarization state, or with light of any polarization state depending on the design of the film.

In some cases the first and second reflective characteristics may differ in their dependence with viewing angle. For example, the first reflective characteristic may include a first reflection band that has a given center wavelength, band edge, and maximum reflectivity at normal incidence, and the second reflective characteristic may  
5 include a second reflection band that is very similar to these aspects of the first reflection band at normal incidence. With increasing incidence angle, however, although both the first and second reflection bands may shift to shorter wavelengths, their respective maximum reflectivities may deviate from each other greatly. For example, the maximum reflectivity of the first reflection band may remain constant or increase with increasing  
10 incidence angle, while the maximum reflectivity of the second reflection band, or at least the p-polarized component thereof, may decrease with increasing incidence angle.

In cases where the differences discussed above between the first and second reflective characteristics relate to reflection bands that cover a portion of the visible spectrum, the differences may be perceived as differences in color between the first and  
15 second zones of the film.

Turning now to FIG. 2, we see there a portion of a multilayer film **210** in schematic side view to reveal the structure of the film including its interior layers. The film is shown in relation to a local x-y-z Cartesian coordinate system, where the film extends parallel to the x- and y-axes, and the z-axis is perpendicular to the film and its  
20 constituent layers and parallel to a thickness axis of the film. Note that the film **210** need not be entirely flat, but may be curved or otherwise shaped to deviate from a plane, and even in those cases arbitrarily small portions or regions of the film can be associated with a local Cartesian coordinate system as shown. The film **210** may in general be considered to represent the film **110** of FIG. 1 in any of its zones **112**, **114**, **116**, since the individual  
25 layers of the film **110** preferably extend continuously from each such zone to the next.

Multilayer optical films include individual layers having different refractive indices so that some light is reflected at interfaces between adjacent layers. These layers, sometimes referred to as “microlayers”, are sufficiently thin so that light reflected at a plurality of the interfaces undergoes constructive or destructive interference to give the  
30 multilayer optical film the desired reflective or transmissive properties. For multilayer optical films designed to reflect light at ultraviolet, visible, or near-infrared wavelengths, each microlayer generally has an optical thickness (a physical thickness multiplied by

refractive index) of less than about 1  $\mu\text{m}$ . However, thicker layers can also be included, such as skin layers at the outer surfaces of the multilayer optical film, or protective boundary layers (PBLs) disposed within the multilayer optical film to separate coherent groupings (known as “stacks” or “packets”) of microlayers. In FIG. 2, the microlayers are labeled “A” or “B”, the “A” layers being composed of one material and the “B” layers being composed of a different material, these layers being stacked in an alternating arrangement to form optical repeat units or unit cells ORU 1, ORU 2, ... ORU 6 as shown. Typically, a multilayer optical film composed entirely of polymeric materials would include many more than 6 optical repeat units if high reflectivities are desired. Note that all of the “A” and “B” microlayers shown in FIG. 2 are interior layers of film **210**, except for the uppermost “A” layer whose upper surface in this illustrative example coincides with the outer surface **210a** of the film **210**. The substantially thicker layer **212** at the bottom of the figure can represent an outer skin layer, or a PBL that separates the stack of microlayers shown in the figure from another stack or packet of microlayers (not shown). If desired, two or more separate multilayer optical films can be laminated together, e.g. with one or more thick adhesive layers, or using pressure, heat, or other methods to form a laminate or composite film.

In some cases, the microlayers can have thicknesses and refractive index values corresponding to a  $\frac{1}{4}$ -wave stack, i.e., arranged in optical repeat units each having two adjacent microlayers of equal optical thickness (f-ratio = 50%, the f-ratio being the ratio of the optical thickness of a constituent layer “A” to the optical thickness of the complete optical repeat unit), such optical repeat unit being effective to reflect by constructive interference light whose wavelength  $\lambda$  is twice the overall optical thickness of the optical repeat unit, where the “optical thickness” of a body refers to its physical thickness multiplied by its refractive index. In other cases, the optical thickness of the microlayers in an optical repeat unit may be different from each other, whereby the f-ratio is greater than or less than 50%. In the embodiment of FIG. 2, the “A” layers are depicted for generality as being thinner than the “B” layers. Each depicted optical repeat unit (ORU 1, ORU 2, etc.) has an optical thickness (OT<sub>1</sub>, OT<sub>2</sub>, etc.) equal to the sum of the optical thicknesses of its constituent “A” and “B” layer, and each optical repeat unit reflects light whose wavelength  $\lambda$  is twice its overall optical thickness. The reflectivity provided by microlayer stacks or packets used in multilayer optical films in general, and in the

internally patterned multilayer films discussed herein in particular, is typically substantially specular in nature, rather than diffuse, as a result of the generally smooth well-defined interfaces between microlayers, and the low haze materials that are used in a typical construction. In some cases, however, the finished article may be tailored to  
5 incorporate any desired degree of scattering, e.g., using a diffuse material in skin layer(s) and/or PBL layer(s), and/or using one or more surface diffusive structures or textured surfaces, for example.

In some embodiments, the optical thicknesses of the optical repeat units in a layer stack may all be equal to each other, to provide a narrow reflection band of high  
10 reflectivity centered at a wavelength equal to twice the optical thickness of each optical repeat unit. In other embodiments, the optical thicknesses of the optical repeat units may differ according to a thickness gradient along the z-axis or thickness direction of the film, whereby the optical thickness of the optical repeat units increases, decreases, or follows some other functional relationship as one progresses from one side of the stack (e.g. the  
15 top) to the other side of the stack (e.g. the bottom). Such thickness gradients can be used to provide a widened reflection band to provide substantially spectrally flat transmission and reflection of light over the extended wavelength band of interest, and also over all angles of interest. Thickness gradients tailored to sharpen the band edges at the wavelength transition between high reflection and high transmission can also be used, as  
20 discussed in U.S. Patent 6,157,490 (Wheatley et al.) "Optical Film With Sharpened Bandedge". For polymeric multilayer optical films, reflection bands can be designed to have sharpened band edges as well as "flat top" reflection bands, in which the reflection properties are essentially constant across the wavelength range of application. Other layer arrangements, such as multilayer optical films having 2-microlayer optical repeat units  
25 whose f-ratio is different from 50%, or films whose optical repeat units include more than two microlayers, are also contemplated. These alternative optical repeat unit designs can be configured to reduce or to excite certain higher-order reflections, which may be useful if the desired reflection band resides in or extends to near infrared wavelengths. *See*, e.g., U.S. Patents 5,103,337 (Schrenk et al.) "Infrared Reflective Optical Interference Film",  
30 5,360,659 (Arends et al.) "Two Component Infrared Reflecting Film", 6,207,260 (Wheatley et al.) "Multicomponent Optical Body", and 7,019,905 (Weber) "Multi-layer Reflector With Suppression of High Order Reflections".

As mentioned above, adjacent microlayers of the multilayer optical film have different refractive indices so that some light is reflected at interfaces between adjacent layers. We refer to the refractive indices of one of the microlayers (e.g. the “A” layers in FIG. 2) for light polarized along principal x-, y-, and z-axes as  $n_{1x}$ ,  $n_{1y}$ , and  $n_{1z}$ , respectively. The x-, y-, and z- axes may, for example, correspond to the principal directions of the dielectric tensor of the material. Typically, and for discussion purposes, the principle directions of the different materials are coincident, but this need not be the case in general. We refer to the refractive indices of the adjacent microlayer (e.g. the “B” layers in FIG. 2) along the same axes as  $n_{2x}$ ,  $n_{2y}$ ,  $n_{2z}$ , respectively. We refer to the differences in refractive index between these layers as  $\Delta n_x (= n_{1x} - n_{2x})$  along the x-direction,  $\Delta n_y (= n_{1y} - n_{2y})$  along the y-direction, and  $\Delta n_z (= n_{1z} - n_{2z})$  along the z-direction. The nature of these refractive index differences, in combination with the number of microlayers in the film (or in a given stack of the film) and their thickness distribution, controls the reflective and transmissive characteristics of the film (or of the given stack of the film) in a given zone. For example, if adjacent microlayers have a large refractive index mismatch along one in-plane direction ( $\Delta n_x$  large) and a small refractive index mismatch along the orthogonal in-plane direction ( $\Delta n_y \approx 0$ ), the film or packet may behave as a reflective polarizer for normally incident light. In this regard, a reflective polarizer may be considered for purposes of this application to be an optical body that strongly reflects normally incident light that is polarized along one in-plane axis (referred to as the “block axis”) if the wavelength is within the reflection band of the packet, and strongly transmits such light that is polarized along an orthogonal in-plane axis (referred to as the “pass axis”). “Strongly reflects” and “strongly transmits” may have different meanings depending on the intended application or field of use, but in many cases a reflective polarizer will have at least 70, 80, or 90% reflectivity for the block axis, and at least 70, 80, or 90% transmission for the pass axis.

For purposes of the present application, a material is considered to be “birefringent” if the material has an anisotropic dielectric tensor over a wavelength range of interest, e.g., a selected wavelength or band in the UV, visible, and/or infrared portions of the spectrum. Stated differently, a material is considered to be “birefringent” if the principal refractive indices of the material (e.g.,  $n_{1x}$ ,  $n_{1y}$ ,  $n_{1z}$ ) are not all the same.

In another example, adjacent microlayers may have a large refractive index mismatch along both in-plane axes ( $\Delta n_x$  large and  $\Delta n_y$  large), in which case the film or packet may behave as an on-axis mirror. In this regard, a mirror or mirror-like film may be considered for purposes of this application to be an optical body that strongly reflects normally incident light of any polarization if the wavelength is within the reflection band of the packet. Again, “strongly reflecting” may have different meanings depending on the intended application or field of use, but in many cases a mirror will have at least 70, 80, or 90% reflectivity for normally incident light of any polarization at the wavelength of interest. In variations of the foregoing embodiments, the adjacent microlayers may exhibit a refractive index match or mismatch along the z-axis ( $\Delta n_z \approx 0$  or  $\Delta n_z$  large), and the mismatch may be of the same or opposite polarity or sign as the in-plane refractive index mismatch(es). Such tailoring of  $\Delta n_z$  plays a key role in whether the reflectivity of the p-polarized component of obliquely incident light increases, decreases, or remains the same with increasing incidence angle. In yet another example, adjacent microlayers may have a substantial refractive index match along both in-plane axes ( $\Delta n_x \approx \Delta n_y \approx 0$ ) but a refractive index mismatch along the z-axis ( $\Delta n_z$  large), in which case the film or packet may behave as a so-called “p-polarizer”, strongly transmitting normally incident light of any polarization, but increasingly reflecting p-polarized light of increasing incidence angle if the wavelength is within the reflection band of the packet.

In view of the large number of permutations of possible refractive index differences along the different axes, the total number of layers and their thickness distribution(s), and the number and type of microlayer packets included in the multilayer optical film, the variety of possible multilayer optical films and packets thereof is vast. Exemplary multilayer optical films are disclosed in: U.S. Patent 5,486,949 (Schrenk et al.) “Birefringent Interference Polarizer”; U.S. Patent 5,882,774 (Jonza et al.) “Optical Film”; U.S. Patent 6,045,894 (Jonza et al.) “Clear to Colored Security Film”; U.S. Patent 6,179,949 (Merrill et al.) “Optical Film and Process for Manufacture Thereof”; U.S. Patent 6,531,230 (Weber et al.) “Color Shifting Film”; U.S. Patent 6,939,499 (Merrill et al.) “Processes and Apparatus for Making Transversely Drawn Films with Substantially Uniaxial Character”; U.S. Patent 7,256,936 (Hebrink et al.) “Optical Polarizing Films with Designed Color Shifts”; U.S. Patent 7,316,558 (Merrill et al.) “Devices for Stretching Polymer Films”; PCT Publication WO 2008/144136 A1 (Nevitt et al.) “Lamp-Hiding



Assembly for a Direct Lit Backlight”; PCT Publication WO 2008/144656 A2 (Weber et al.) “Backlight and Display System Using Same”.

We note that at least some of the microlayers in at least one packet of the multilayer optical film are birefringent in at least one zone of the film (e.g., zones **112**, **114**, **116** of FIG. 1). Thus, a first layer in the optical repeat units may be birefringent (i.e.,  $n_{1x} \neq n_{1y}$ , or  $n_{1x} \neq n_{1z}$ , or  $n_{1y} \neq n_{1z}$ ), or a second layer in the optical repeat units may be birefringent (i.e.,  $n_{2x} \neq n_{2y}$ , or  $n_{2x} \neq n_{2z}$ , or  $n_{2y} \neq n_{2z}$ ), or both the first and second layers may be birefringent. Moreover, the birefringence of one or more such layers is diminished in at least one zone relative to a neighboring zone. In some cases, the birefringence of these layers may be diminished to zero, such that they are optically isotropic (i.e.,  $n_{1x} = n_{1y} = n_{1z}$ , or  $n_{2x} = n_{2y} = n_{2z}$ ) in one of the zones but birefringent in a neighboring zone. In cases where both layers are initially birefringent, depending on materials selection and processing conditions, they can be processed in such a way that the birefringence of only one of the layers is substantially diminished, or the birefringence of both layers may be diminished.

Exemplary multilayer optical films are composed of polymer materials and may be fabricated using coextruding, casting, and orienting processes. Reference is made to U.S. Patent 5,882,774 (Jonza et al.) “Optical Film”, U.S. Patent 6,179,949 (Merrill et al.) “Optical Film and Process for Manufacture Thereof”, and 6,783,349 (Neavin et al.) “Apparatus for Making Multilayer Optical Films”. The multilayer optical film may be formed by coextrusion of the polymers as described in any of the aforementioned references. The polymers of the various layers are preferably chosen to have similar rheological properties, e.g., melt viscosities, so that they can be co-extruded without significant flow disturbances. Extrusion conditions are chosen to adequately feed, melt, mix, and pump the respective polymers as feed streams or melt streams in a continuous and stable manner. Temperatures used to form and maintain each of the melt streams may be chosen to be within a range that avoids freezing, crystallization, or unduly high pressure drops at the low end of the temperature range, and that avoids material degradation at the high end of the range.

In brief summary, the fabrication method may comprise: (a) providing at least a first and a second stream of resin corresponding to the first and second polymers to be used in the finished film; (b) dividing the first and the second streams into a plurality of

layers using a suitable feedblock, such as one that comprises: (i) a gradient plate comprising first and second flow channels, where the first channel has a cross-sectional area that changes from a first position to a second position along the flow channel, (ii) a feeder tube plate having a first plurality of conduits in fluid communication with the first  
5 flow channel and a second plurality of conduits in fluid communication with the second flow channel, each conduit feeding its own respective slot die, each conduit having a first end and a second end, the first end of the conduits being in fluid communication with the flow channels, and the second end of the conduits being in fluid communication with the slot die, and (iii) optionally, an axial rod heater located proximal to said conduits; (c)  
10 passing the composite stream through an extrusion die to form a multilayer web in which each layer is generally parallel to the major surface of adjacent layers; and (d) casting the multilayer web onto a chill roll, sometimes referred to as a casting wheel or casting drum, to form a cast multilayer film. This cast film may have the same number of layers as the finished film, but the layers of the cast film are typically much thicker than those of the  
15 finished film. Furthermore, the layers of the cast film are typically all isotropic.

Many alternative methods of fabricating the cast multilayer web can also be used. One such alternative method that also utilizes polymer coextrusion is described in U.S. Patent 5,389,324 (Lewis et al.).

After cooling, the multilayer web can be drawn or stretched to produce the near-  
20 finished multilayer optical film, details of which can be found in the references cited above. The drawing or stretching accomplishes two goals: it thins the layers to their desired final thicknesses, and it orients the layers such that at least some of the layers become birefringent. The orientation or stretching can be accomplished along the cross-web direction (e.g. via a tenter), along the down-web direction (e.g. via a length orienter),  
25 or any combination thereof, whether simultaneously or sequentially. If stretched along only one direction, the stretch can be “unconstrained” (wherein the film is allowed to dimensionally relax in the in-plane direction perpendicular to the stretch direction) or “constrained” (wherein the film is constrained and thus not allowed to dimensionally relax in the in-plane direction perpendicular to the stretch direction). If stretched along both in-  
30 plane directions, the stretch can be symmetric, i.e., equal along the orthogonal in-plane directions, or asymmetric. Alternatively, the film may be stretched in a batch process. In

any case, subsequent or concurrent draw reduction, stress or strain equilibration, heat setting, and other processing operations can also be applied to the film.

The multilayer optical films and film bodies can also include additional layers and coatings selected for their optical, mechanical, and/or chemical properties. For example, a  
5 UV absorbing layer can be added at one or both major outer surfaces of the film to protect the film from long-term degradation caused by UV light. Additional layers and coatings can also include scratch resistant layers, tear resistant layers, and stiffening agents. *See*, e.g., U.S. Patent 6,368,699 (Gilbert et al.).

In some cases, the natural or inherent absorptivity of one, some, or all of the  
10 constituent polymer materials that make up the multilayer optical film may be utilized for the absorptive heating procedure. For example, many polymers that are low loss over the visible region have substantially higher absorptivity at certain ultraviolet wavelengths. Exposing portions of the film to light of such wavelengths may be used to selectively heat such portions of the film.

15 In other cases, absorbing dyes, pigments, or other agents can be incorporated into some or all of the individual layers of the multilayer optical film to promote absorptive heating as mentioned above. In some cases, such absorbing agents are spectrally selective, whereby they absorb in one wavelength region but not in another. For example, some of the disclosed films may be intended for use in the visible region, such as with anti-  
20 counterfeiting security labels or as a component of a liquid crystal display (LCD) device or other display device, in which case an absorbing agent that absorbs at infrared or ultraviolet wavelengths but not substantially at visible wavelengths may be used. Further, an absorbing agent may be incorporated into one or more selected layers of a film. For example, the film may comprise two distinct microlayer packets separated by an optically  
25 thick layer such as a protective boundary layer (PBL), a laminating adhesive layer, one or more skin layers, or the like, and an absorbing agent may be incorporated into one of the packets and not the other, or may be incorporated into both packets but at a higher concentration in one relative to the other.

A variety of absorbing agents can be used. For optical films operating in the  
30 visible spectrum, dyes, pigments, or other additives that absorb in the ultraviolet and infrared (including near infrared) regions may be used. In some cases it may be advantageous to select an agent that absorbs in a spectral range for which the polymer

materials of the film have a substantially lower absorption. By incorporating such an absorbing agent into selected layers of a multilayer optical film, directed radiation can preferentially deliver heat to the selected layers rather than throughout the entire thickness of the film. Exemplary absorbing agents may be melt extrudable so that they can be  
5 embedded into a selected layer set of interest. To this end, the absorbers are preferably reasonably stable at the processing temperatures and residence times required for extrusion. For further information on suitable absorbing agents, reference is made to U.S. Patent 6,207,260 (Wheatley et al.) "Multicomponent Optical Body".

We turn now to FIG. 3, which shows a schematic sectional view of a portion of the  
10 multilayer optical film **110** of FIG. 1 in the vicinity of area **118** at a boundary of zone **112** and zone **116**. In this expanded view of the film **110**, a narrow transition zone **115** can be seen separating the zone **112** from the neighboring zone **116**. Such a transition zone may or may not be present depending on processing details, and if it is not present then zone **116** may be immediately adjacent to zone **112** with no significant intervening features.  
15 Construction details of film **110** can also be seen: the film includes optically thick skin layers **310**, **312** on opposite sides thereof, with a plurality of microlayers **314** and another plurality of microlayers **316** disposed between the skin layers **310**, **312**. All of the microlayers **314**, **316** are interior to the film **110** by virtue of the outer skin layers. The space between microlayers **314** and **316** is left open in the drawing, to allow for the case  
20 where the microlayers **314**, **316** are portions of a single microlayer packet that begins at one skin layer **310** and ends at the opposite skin layer **312**, and also the case where the microlayers **314**, **316** are portions of two or more distinct microlayer packets that are separated from each other by one or more optically thick protective boundary layers (PBLs) or other optically thick interior layer(s). In either case, the microlayers **314**, **316**  
25 preferably each comprise two alternating polymer materials arranged into optical repeat units, each of the microlayers **314**, **316** extending continuously in a lateral or transverse fashion from the zone **112** to the neighboring zone **116** as shown. The microlayers **314**, **316** provide a first reflective characteristic in the zone **112** by constructive or destructive interference, and at least some of the microlayers **314**, **316** are birefringent. The zones  
30 **115**, **116** may have previously had the same characteristics as zone **112**, but have been processed by the selective application of heat thereto in an amount sufficient to reduce or eliminate the birefringence of some of the microlayers **314**, **316** in the zone **116** while

maintaining the birefringence of the microlayers in zone **112**, the heat also being low enough to maintain the structural integrity of the microlayers **314**, **316** in the treated zone **116**. The reduced birefringence of the microlayers **314**, **316** in the zone **116** is primarily responsible for a second reflective characteristic for the zone **116** that is different from the first reflective characteristic for the zone **112**.

The film **110** has characteristic thicknesses  $d_1$ ,  $d_2$  in zone **112**, and characteristic thicknesses  $d_1'$ ,  $d_2'$  in zone **116**, as shown in the figure. The thicknesses  $d_1$ ,  $d_1'$  are physical thicknesses measured from a front outer surface of the film to a rear outer surface of the film in the respective zones. The thicknesses  $d_2$ ,  $d_2'$  are physical thicknesses measured from the microlayer (at one end of a microlayer packet) that is disposed nearest the front surface of the film to the microlayer (at an end of the same or a different microlayer packet) that is disposed nearest the rear surface of the film. Thus, if one wishes to compare the thickness of the film **110** in zone **112** with the thickness of the film in zone **116**, one may choose to compare  $d_1$  to  $d_1'$ , or  $d_2$  to  $d_2'$ , depending on which measurement is more convenient. In most cases the comparison between  $d_1$  and  $d_1'$  may well yield substantially the same result (proportionally) as the comparison between  $d_2$  and  $d_2'$ . (Of course, in cases where the film contains no outer skin layers, and where microlayer packets terminate at both outer surfaces of the film,  $d_1$  and  $d_2$  become the same.) However, where a significant discrepancy exists, such as where a skin layer experiences a significant thickness change from one place to another but no corresponding thickness change exists in the underlying microlayers, or *vice versa*, then it may be desirable to use the  $d_2$  and  $d_2'$  parameters as being more representative of the overall film thickness in the different zones, in view of the fact that the skin layers typically have a minor effect on the reflective characteristics of the film compared to the microlayer packet(s).

Of course, for multilayer optical films containing two or more distinct microlayer packets separated from each other by optically thick layers, the thickness of any given microlayer packet can also be measured and characterized as the distance along the z-axis from the first to the last microlayer in the packet. This information may become significant in a more in-depth analysis that compares the physical characteristics of the film **110** in the different zones **112**, **116**.

As mentioned, the zone **116** has been treated with the selective application of heat to cause at least some of the microlayers **314**, **316** to lose some or all of their birefringence relative to their birefringence in neighboring zone **112**, such that zone **116** exhibits a reflective characteristic, resulting from constructive or destructive interference of light from the microlayers, that differs from a reflective characteristic of zone **112**. The selective heating process may involve no selective application of pressure to zone **116**, and it may result in substantially no thickness change (whether using the parameters  $d1/d1'$  or the parameters  $d2/d2'$ ) to the film. For example, the film **110** may exhibit an average thickness in zone **116** that deviates from an average thickness in zone **112** by no more than the normal variability in thickness that one observes in the zone **112**, or in the untreated film. Thus, the film **110** may exhibit in zone **112**, or over an area of the film encompassing a portion of zone **112** and zone **116** before the heat treatment of zone **116**, a variability in thickness (whether  $d1$  or  $d2$ ) of  $\Delta d$ , and the zone **116** may have spatially averaged thicknesses  $d1'$ ,  $d2'$  which differ from spatially averaged thicknesses  $d1$ ,  $d2$  (respectively) in zone **112** by no more than  $\Delta d$ . The parameter  $\Delta d$  may represent, for example, one, two, or three standard deviations in the spatial distribution of the thickness  $d1$  or  $d2$ .

In some cases, the heat treatment of zone **116** may give rise to certain changes to the thickness of the film in zone **116**. These thickness changes may result from, for example, local shrinkage and/or expansion of the different materials that constitute the multilayer optical film, or may result from some other thermally-induced phenomenon. However, such thickness changes, if they occur, play only a secondary role in their effect on the reflective characteristic of the treated zone **116** compared to the primary role played by the reduction or elimination of birefringence in the treated zone. Note also that in many cases it may be desirable to hold the film by its edges under tension during the selective heat treatment that accomplishes the internal patterning, in order to avoid wrinkling of the film, or for other reasons. The amount of tension applied and details of the heat treatment may also result in some amount of thickness change in the treated zones.

In some cases it is possible to distinguish the effect of a thickness change from a change in birefringence by analyzing the reflective properties of the film. For example, if the microlayers in an untreated zone (e.g. zone **112**) provide a reflection band

characterized by a left band edge (LBE), right band edge (RBE), center wavelength  $\lambda_c$ , and peak reflectivity  $R_1$ , a given thickness change for those microlayers (with no change in the refractive indices of the microlayers) will produce a reflection band for the treated zone having a peak reflectivity  $R_2$  about the same as  $R_1$ , but having an LBE, RBE, and center wavelength that are proportionally shifted in wavelength relative to those features of the reflection band of the untreated zone, and this shift can be measured. On the other hand, a change in birefringence will typically produce only a very minor shift in wavelength of the LBE, RBE, and center wavelengths, as a result of the (usually very small) change in optical thickness caused by the change in birefringence. (Recall that optical thickness equals physical thickness multiplied by refractive index.) The change in birefringence can, however, have a large or at least a significant effect on the peak reflectivity of the reflection band, depending on the design of the microlayer stack. Thus, in some cases, the change in birefringence may provide a peak reflectivity  $R_2$  for the reflection band in the modified zone that differs substantially from  $R_1$ , where of course  $R_1$  and  $R_2$  are compared under the same illumination and observation conditions. If  $R_1$  and  $R_2$  are expressed in percentages,  $R_2$  may differ from  $R_1$  by at least 10%, or by at least 20%, or by at least 30%. As a clarifying example,  $R_1$  may be 70%, and  $R_2$  may be 60%, 50%, 40%, or less. Alternatively,  $R_1$  may be 10%, and  $R_2$  may be 20%, 30%, 40%, or more.  $R_1$  and  $R_2$  may also be compared by taking their ratio. For example,  $R_2/R_1$  or its reciprocal may be at least 2, or at least 3.

A significant change in peak reflectivity, to the extent it is indicative of a change in the interfacial reflectivity (sometimes referred to as optical power) resulting from a change in refractive index difference between adjacent layers due to a change in birefringence, is also typically accompanied by at least some change in the bandwidth of the reflection band, where the bandwidth refers to the separation between the LBE and RBE.

As we have discussed, in some cases the thickness of the film **110** in the treated zone **116**, i.e.,  $d1'$  or  $d2'$ , may differ somewhat from the thickness of the film in the untreated zone **112**, even if no selective pressure was in fact applied to the zone **116** during heat treatment. For this reason, FIG. 3 depicts  $d1'$  as being slightly different from  $d1$ , and  $d2'$  as being slightly different from  $d2$ . A transition zone **115** is also shown for generality, to show that a "bump" or other detectable artifact may exist on the outer surface of the film as a consequence of the selective heat treatment. In some cases,

however, the treatment may result in no detectable artifact between the neighboring treated and untreated zones. For example, in some cases an observer who slides his or her finger across the boundary between the zones may detect no bump, ridge, or other physical artifact between the zones.

5 Under some circumstances it is possible for thickness differences between treated and untreated zones to be non-proportional through the thickness of the film. For example, in some cases it is possible for an outer skin layer to have a relatively small thickness difference, expressed as a percentage change, between the treated and untreated zones, while one or more internal microlayer packets may have a larger thickness  
10 difference, also expressed as a percentage change, between the same zones.

FIG. 4 shows a schematic sectional view of a portion of another multilayer optical film **410** that incorporates internal patterning. Film **410** comprises outer optically thick skin layers **412**, **414**, and a packet of microlayers that reside in a stratum or layer **416** sandwiched between the skin layers. All of the microlayers are internal to the film **410**.

15 (In alternative embodiments, one or both skin layers may be omitted, in which case one or both PBLs or outermost microlayers in the packet may become external layers.) The microlayers include at least some microlayers that are birefringent in at least some zones or areas of the film and that extend in a lateral or transverse fashion at least between neighboring zones of the film. The microlayers provide a first reflective characteristic  
20 associated with constructive or destructive interference of light at least in a first untreated zone **422** of the film. The film **410** has been selectively heated in the neighboring zones **420**, **424**, without applying any pressure selectively to these zones, so as to provide a second reflective characteristic also associated with constructive or destructive interference of light, but that differs from the first reflective characteristic. These  
25 differences in reflective characteristics may be manifested to an observer as differences in color between the treated and untreated zones in reflected or transmitted light. The respective colors and the differences therebetween also typically change or shift with angle of incidence. The film **410** may have substantially the same film thickness in the zones **420**, **422**, **424**, or the film thickness may vary somewhat between these zones, but  
30 any difference in film thickness between the zones is not primarily responsible for the differences between the first and second reflective characteristics. The zones **420**, **422**, **424** form a pattern that is internal or interior to the film, as indicated by the crosshatching



in the stratum or layer **416**. The crosshatching indicates that at least some of the microlayers in the crosshatched region have a reduced birefringence (including zero birefringence) compared to their birefringence in the zone **422** or in other untreated zones.

We now turn our attention to the idealized graphs of FIGS. 5A-J. These graphs

5 help to explain the process of patterning the multilayer optical films. They also help explain some of the different possible combinations of first and second reflective characteristics in the untreated and treated zones, respectively, and how they are achieved. For descriptive purposes, the reflective characteristics of both the untreated and treated zones of an optical film may be categorized into one of following three types: mirror-like  
10 reflective characteristics, window-like reflective characteristics, and polarizer-like reflective characteristics. A mirror-like reflective characteristic exhibits high reflectivity (e.g., in some cases greater than 50%, 60%, 70%, 80%, 90%, 95%, or 99%) for all polarization states of normally incident light, a window-like reflective characteristic exhibits low reflectivity (e.g., in some cases less than 20%, 10%, 5%, 3%, or 1%) for all  
15 polarization states of normally incident light, and a polarizer-like reflective characteristic exhibits high reflectivity (e.g., in some cases greater than 50%, 60%, 70%, 80%, 90%, 95%, or 99%) for normally incident light of one polarization state and low reflectivity (e.g., in some cases less than 30%, 20%, 10%, 5%, 3%, or 1%) for normally incident light of a different polarization state. (The reflective polarizer-like characteristic may  
20 alternatively be expressed in terms of the difference in reflectivity of one polarization state relative to the other polarization state.) The reader will keep in mind that reflectivity values discussed herein that are associated with multilayer optical films or stacks should be considered to *not* include the Fresnel reflections at the outer air/polymer interfaces, unless otherwise indicated.

25 The boundaries or limits of these different characteristics -- e.g., what is considered to be "high" reflectivity and what is considered to be "low" reflectivity -- and the distinctions therebetween may depend on the end-use application and/or on system requirements. For example, a multilayer optical film, or a microlayer packet thereof, that exhibits moderate levels of reflectivity for all polarization states may be considered to be a  
30 mirror for purposes of some applications and a window for purposes of other applications. Similarly, a multilayer optical film, or a microlayer packet thereof, that provides moderately different levels of reflectivity for different polarization states of normally

incident light may be considered to be a polarizer for some applications, a mirror for other applications, and a window for still other applications, depending on the exact reflectivity values and on the sensitivity of a given end-use application to differences in reflectivity for different polarization states. Unless otherwise indicated, the mirror, window, and polarizer categories are specified for normally incident light. The reader will understand that oblique-angle characteristics may in some cases be the same as or similar to, and in other cases may be drastically different from, the characteristics of an optical film at normal incidence.

In each of the graphs of FIGS. 5A-J, relative refractive index “n” is plotted on the vertical axis. On the horizontal axis, a position or mark is provided for each of the six refractive indices that characterize a two-layer optical repeat unit: “1x”, “1y”, and “1z” represent the refractive indices of the first layer along the x-, y-, and z-axes, which were referred to above as  $n_{1x}$ ,  $n_{1y}$ , and  $n_{1z}$ . Likewise, “2x”, “2y”, and “2z” represent the refractive indices of the second layer along the x-, y-, and z-axes, which were referred to above as  $n_{2x}$ ,  $n_{2y}$ , and  $n_{2z}$ . Diamond-shaped symbols ( $\diamond$ ) in the figures represent refractive indices of the materials in a first processing stage. This first stage may correspond to polymer layers that have been extruded and quenched or cast onto a casting wheel, for example, but that have not yet been stretched or otherwise oriented. Open (unfilled) circle-shaped symbols ( $\circ$ ) in the figures represent refractive indices of materials in a second stage of processing, later than the first stage. The second stage may correspond to polymer layers that have been stretched or otherwise oriented into a multilayer optical film that reflects light by constructive or destructive interference from interfaces between microlayers within the film. Small filled circle-shaped symbols or dots ( $\bullet$ ) in the figures represent refractive indices of the materials in a third stage of processing, later than the first and second stages. The third stage may correspond to polymer layers that, after being extruded and oriented, have been selectively heat treated, as discussed further below. Such heat treatment is typically limited to one or more particular portions or zones of a film, referred to as treated zones.

By comparing the vertical coordinates of the various symbols in a given figure, the reader can readily ascertain a great deal of information about the optical film, its method of manufacture, and the optical properties of its treated and untreated portions. For example, the reader can ascertain: if one or both material layers are or were birefringent

before or after the selective heat treatment, and whether the birefringence is uniaxial or biaxial, and whether the birefringence is large or small. The reader can also ascertain from FIGS. 5A-J relative magnitudes of each of the refractive index differences  $\Delta n_x$ ,  $\Delta n_y$ ,  $\Delta n_z$  between the two layers, for each of the three processing stages (cast state, stretched state, and treated state).

As discussed above, a precursor article to the finished, internally patterned multilayer optical film can be a cast web of polymer material. The cast web may have the same number of layers as the finished film, and the layers may be composed of the same polymer materials as those used in the finished film, but the cast web is thicker and its layers are usually all isotropic. In some cases, however, not depicted in the figures, the casting process may itself impart a level of orientation and birefringence in one or more of the materials. The diamond-shaped symbols in FIGS. 5A-J represent the refractive indices of the two polymer layers in the cast web that, after a subsequent stretching procedure, become the microlayers in the optical repeat units of the multilayer optical film. After stretching, at least some of the layers become oriented and birefringent, and an oriented (but still unpatterned) multilayer optical film is formed. This is exemplified in FIGS. 5A-J by open circles that may be vertically displaced from their respective original values represented by the diamond-shaped symbols. For example, in FIG. 5A, the stretching procedure raises the refractive index of the second layer along the x-axis, but lowers its refractive index along the y- and z-axis. Such a refractive index shift may be obtained by suitably uniaxially stretching a positively birefringent polymer layer along the x-axis while allowing the film to dimensionally relax along the y- and z-axes. In FIGS. 5B-D, the stretching procedure raises the refractive index of the first layer along the x- and y-axes, but lowers its refractive index along the z-axis. Such a refractive index shift may be obtained by suitably biaxially stretching a positively birefringent polymer layer along the x- and y-axes. In FIG. 5E, the stretching procedure raises the refractive index of the first layer along the x-axis, lowers its refractive index along the z-axis, and maintains about the same refractive index along the y-axis. In some cases, this refractive index shift may be obtained by biaxially stretching a positively birefringent polymer layer *asymmetrically* along the x- and y-axes, using a higher degree of stretch along the x-axis compared to the y-axis. In other cases, this may be approximately obtained by uniaxially stretching along an x-axis while constraining the film in the y-axis (constrained uniaxial stretching). Note

that in each of FIGS. 5B-E, the first layer in the oriented but untreated state (open circles) is birefringent, because at least two of the open circles for  $n_{1x}$ ,  $n_{1y}$ , and  $n_{1z}$  have different values of refractive index  $n$ . In these depicted embodiments, the second polymer layer remains isotropic after stretching as indicated by the same refractive index values ( $n_{2x} =$   
5  $n_{2y} = n_{2z}$ ) for the cast state and for the oriented but untreated state.

After formation of the at least partially birefringent multilayer optical film having the microlayers arranged into optical repeat units to provide the first reflective characteristic, the film is ready for the selective heating discussed above. The heating is carried out selectively in a second zone which neighbors a first zone of the multilayer  
10 optical film, and is tailored to selectively melt and disorient in part or in whole at least one birefringent material in the microlayer packet in order to reduce or eliminate the birefringence in at least some of the microlayers while leaving their birefringence unchanged in the first (untreated) zone. The selective heating is also carried out to maintain the structural integrity of the layers in the second zone. If the birefringent  
15 material in the treated second zone is disoriented in whole, i.e., *completely*, then the birefringent microlayers return to the isotropic state (e.g. of the cast web), while remaining optically thin. This can be seen in FIGS. 5B-D, where heat treatment causes the refractive indices of the first layer (see the small dark dots associated with  $n_{1x}$ ,  $n_{1y}$ , and  $n_{1z}$ ) to revert to their values in the cast web state (see the diamond-shaped symbols for the same  
20 refractive indices  $n_{1x}$ ,  $n_{1y}$ ,  $n_{1z}$ ). Recall that the diamond-shaped symbols represent the refractive indices of layers in the isotropic state (e.g., the cast web), the small dark dots represent the refractive indices of microlayers in the treated or selectively heated zones in the finished, internally patterned film, and the open circles represent the refractive indices of microlayers in untreated zones of the finished, internally patterned film.

25 If the birefringent material in the treated second zone is disoriented only in part, i.e., *incompletely*, then the birefringent microlayers relax to a state of birefringence that is less than the birefringent state before heating but is not isotropic. In this case, the refractive indices of the birefringent material in the treated second zone acquire values somewhere between the diamond-shaped symbols and the open circles shown in FIGS.  
30 5A-J. Some examples of such incomplete birefringent relaxation are explained in more detail in commonly assigned International Application No. PCT/US2009/XXXXXX

(Attorney Docket No. 65848WO002), "Internally Patterned Multilayer Optical Films With Multiple Birefringent Layers", incorporated herein by reference.

In FIG. 5A, a first polymer material is selected that has a relatively low refractive index, and a second polymer material is selected that has a higher refractive index and that has a positive stress-optic coefficient. The materials are coextruded in an alternating layer arrangement with a suitable number of layers to form a multilayer cast web, having indices shown by the diamond-shaped symbols. The cast web is then uniaxially stretched along the x-axis under suitable conditions to induce birefringence in the second polymer material while the first polymer material remains isotropic. The refractive index value  $n_{2x}$  increases further to form a large index difference  $\Delta n_x$  with  $n_{1x}$ . The refractive index values  $n_{2y}$  and  $n_{2z}$  decrease to form small index differences  $\Delta n_y$  and  $\Delta n_z$  with  $n_{1y}$  and  $n_{1z}$  respectively. The values  $\Delta n_y$  and  $\Delta n_z$  may be zero, for example. This set of refractive indices, when implemented in a microlayer packet with an adequate number of layers, can provide a reflective polarizer with the x-axis being a block axis and the y-axis being a pass axis. The reflective polarizer may be broad band or narrow band, depending on the layer thickness distribution of the microlayers.

This reflective polarizing film can then be internally patterned in a second zone as described above, while leaving the reflective polarizing film intact in a first zone. Selective heating by selective delivery of radiant energy to the second zone causes the birefringent layers to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete. If relaxation is complete, the second zone can become a mirror-like film (if the microlayer packet has an adequate number of layers) with  $\Delta n_x \approx \Delta n_y \approx \Delta n_z$ . The finished film thus combines in a unitary film a reflective polarizer in one zone and a mirror-like film in a neighboring zone, with microlayers that extend continuously from one zone to the next. For this FIG. 5A, the selective heat treatment process is able to change a multilayer reflective polarizer film to a multilayer reflective mirror film, i.e.: polarizer  $\rightarrow$  mirror.

In FIG. 5B, first and second polymer materials are selected that have substantially the same refractive index, but where the first polymer material has a positive stress-optic coefficient. The materials are coextruded in an alternating layer arrangement with a suitable number of layers to form a multilayer cast web, having indices shown by the diamond-shaped symbols. The cast web is then biaxially stretched along the x- and y-axes

under suitable conditions to induce birefringence in the first polymer material while the second polymer material remains isotropic. The refractive index values  $n_{1x}$ ,  $n_{1y}$  increase to form substantial refractive index differences  $\Delta n_x$ ,  $\Delta n_y$  with  $n_{2x}$ ,  $n_{2y}$  respectively. The refractive index value  $n_{1z}$  decreases to form a substantial refractive index difference  $\Delta n_z$  with  $n_{2z}$  that is opposite in polarity or sign to  $\Delta n_x$  and  $\Delta n_y$ . This set of refractive indices, when implemented in a microlayer packet with an adequate number of layers, can provide a mirror-like film. The reflection provided by the film may be broad band or narrow band, depending on the layer thickness distribution of the microlayers.

This mirror-like film can then be internally patterned in a second zone as described above, while leaving the mirror-like film intact in a first zone. Selective heating by selective delivery of radiant energy to the second zone causes the birefringent layers to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete. If relaxation is complete, the second zone becomes a window-like film with  $\Delta n_x \approx \Delta n_y \approx \Delta n_z \approx 0$ . The reflective characteristic for this portion of the film is virtually no reflection and virtually complete transmission (excluding Fresnel reflections at the two outer surfaces), even though the layer structure of the different material layers is preserved. (In some practical embodiments, refractive index matching may not be perfect, and a small reflectivity may be advantageously detected, e.g. with a spectrophotometer, in at least one polarization state, confirming and revealing details of the preserved multilayer structure.) The finished film thus combines in a unitary film a mirror-like reflector in one zone and a substantial window in a neighboring zone, with microlayers that extend continuously from one zone to the next. For this FIG. 5B, the selective heat treatment process is able to change a multilayer reflective mirror film to a multilayer window film (mirror  $\rightarrow$  window).

A straightforward alternative to the embodiment of FIG. 5B is shown in FIG. 5C, where the second (isotropic) material is replaced with a different isotropic material, whose refractive index substantially matches  $n_{1x}$  and  $n_{1y}$  in the oriented condition (open circles), while leaving the first (birefringent) material unchanged and using the same stretch conditions. In this case, the stretched film, before internal patterning, may have a very low reflectivity and high transmission – for a window-like appearance – at normal incidence. When this film is internally patterned by the delivery of radiant energy selectively to a second zone, while leaving the window-like film intact in a first zone, the selective heating

causes the birefringent layers to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete. If relaxation is complete, the second zone becomes a mirror-like film with large values for  $\Delta n_x \approx \Delta n_y \approx \Delta n_z$ . The finished film thus combines in a unitary film a substantial window-like film in one zone and a substantial mirror-like film in a neighboring zone, with microlayers that extend continuously from one zone to the next. For this FIG. 5C, the selective heat treatment process is able to change a multilayer window film to a multilayer reflective mirror film (window  $\rightarrow$  mirror).

In FIG. 5D, a second polymer material is selected that has a relatively low refractive index, and a first polymer material is selected that has a higher refractive index and that has a positive stress-optic coefficient. The materials are coextruded in an alternating layer arrangement with a suitable number of layers to form a multilayer cast web, having indices shown by the diamond-shaped symbols. The cast web is then biaxially stretched along the x- and y-axes under suitable conditions to induce birefringence in the first polymer material while the second polymer material remains isotropic. The refractive index values  $n_{1x}$ ,  $n_{1y}$  increase further to form substantial refractive index differences  $\Delta n_x$ ,  $\Delta n_y$  with  $n_{2x}$ ,  $n_{2y}$  respectively. The refractive index value  $n_{1z}$  decreases to form a substantial refractive index match ( $\Delta n_z \approx 0$ ) with  $n_{2z}$ . This set of refractive indices, when implemented in a microlayer packet with an adequate number of layers, can provide a first mirror-like film. The reflection provided by the film may be broad band or narrow band, depending on the layer thickness distribution of the microlayers. This first mirror-like film maintains high reflectivity with increasing incidence angle due to the substantial z-index match  $\Delta n_z \approx 0$ .

This first mirror-like film can then be internally patterned in a second zone as described above, while leaving the first mirror-like film intact in a first zone. Selective heating by selective delivery of radiant energy to the second zone causes the birefringent layers to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete. If relaxation is complete, the second zone becomes a second mirror-like film with a somewhat reduced reflectivity due to the smaller values of  $\Delta n_x$ ,  $\Delta n_y$ ,  $\Delta n_z$  in the second zone relative to the first zone. The second mirror-like film also has different angle-dependent properties compared to the first zone. In particular, the reflectivity of the second mirror-like film decreases with increasing incidence angle due to

the relatively large  $\Delta n_z$  and the Brewster effect. The finished film thus combines in a unitary film a first mirror-like reflector in one zone and a second mirror-like reflector in a neighboring zone, with microlayers that extend continuously from one zone to the next.

For this FIG. 5D, the selective heat treatment process is able to change a multilayer  
5 reflective mirror film to a different multilayer reflective mirror film (mirror1  $\rightarrow$  mirror2).

FIG. 5E depicts an alternative embodiment to that of FIG. 5B, where the same first and second polymer materials as those of FIG. 5B may be used, and the same or a similar cast web is produced, but wherein the cast web is processed under different orientation conditions so as to produce a polarizing film instead of a mirror film. The same polymer  
10 materials as those of FIG. 5B are coextruded and cast onto a casting wheel to produce the cast web. One difference relative to the procedure of FIG. 5B may be to adjust the overall thickness of the cast web so that the finished stretched film is the same nominal thickness as that of FIG. 5B despite the differences in stretch conditions between the two embodiments. In the embodiment of this FIG. 5E, the cast web is oriented with a  
15 constrained uniaxial stretch – stretched along the x-axis, constrained along the y-axis – under suitable conditions to induce birefringence in the first polymer material while the second polymer material remains isotropic. This polarizing film can then be internally patterned in a second zone as described above, while leaving the polarizing film intact in a first zone. Selective heating by delivery of radiant energy to the second zone causes the  
20 birefringent layers to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete. If relaxation is complete, the second zone becomes a window-like film with  $\Delta n_x \approx \Delta n_y \approx \Delta n_z \approx 0$ . The finished film thus combines in a unitary film a reflective polarizer in one zone and a substantial window in a neighboring zone, with microlayers that extend continuously from one zone to the next.

For this FIG. 5E, the selective heat treatment process is able to change a multilayer  
25 reflective polarizer film to a multilayer window film (polarizer  $\rightarrow$  window).

FIG. 5F, which depicts an alternative embodiment to that of FIG. 5C, provides a window-to-mirror film by using a negatively birefringent material rather than a positively birefringent material for the first polymer material. Just as in FIG. 5C, a second polymer  
30 material is chosen for the embodiment of FIG. 5F that has an isotropic refractive index that substantially matches the in-plane refractive indices of the first material ( $n_{1x}$  and  $n_{1y}$ ) in the oriented condition (open circles). And just as in FIG. 5C, the first and second



polymer materials are coextruded and cast onto a casting wheel to produce a cast web. The cast web is then biaxially stretched along the x- and y-axes under suitable conditions to induce birefringence in the first polymer material while the second polymer material remains isotropic. The refractive index values  $n_{1x}$ ,  $n_{1y}$  decrease to substantially match  
5 the isotropic refractive index of the second material, such that  $\Delta n_x \approx \Delta n_y \approx 0$ . The refractive index value  $n_{1z}$  increases to form a substantial refractive index difference  $\Delta n_z$  with  $n_{2z}$ . This set of refractive indices, when implemented in a microlayer packet with an adequate number of layers, can provide a multilayer window film whose reflectivity for p-polarized obliquely incident light increases with increasing angle of incidence. Such a  
10 film is sometimes referred to as a p-polarizer.

This window film can then be internally patterned in a second zone as described above, while leaving the window film intact in a first zone. Selective heating by delivery of radiant energy to the second zone causes the birefringent layers to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete.  
15 If relaxation is complete, the second zone becomes a mirror-like film with  $\Delta n_x \approx \Delta n_y \approx \Delta n_z \neq 0$ . The finished film thus combines in a unitary film a multilayer window film in one zone and a microlayer mirror film in a neighboring zone, with microlayers that extend continuously from one zone to the next. For this FIG. 5F, the selective heat treatment process is able to change a multilayer window film to a multilayer mirror film (window →  
20 mirror).

In each of FIGS. 5A-F, one of the optical materials remains isotropic after stretching (and after the selective heat treatment). This, however, need not be the case in general, and many interesting and useful multilayer optical film designs that can be converted into internally patterned optical films using the selective heat treatment  
25 techniques disclosed herein comprise two different optical materials for the constituent layers of the optical repeat unit, and both (rather than only one) of these constituent material layers become birefringent when the cast web is stretched or otherwise oriented. Such multilayer optical films are referred to herein as “doubly birefringent” optical films, since optical repeat units in such a film each includes at least two constituent microlayers  
30 that are birefringent after stretching. When such a doubly birefringent multilayer optical film is exposed to the selective heat treatment, a number of different responses are possible in the treated zone depending on the material properties and the heating

conditions: both material layers may completely relax to become isotropic, or one material layer may relax completely or partially while the other material layer maintains its birefringence, or both material layers may relax by different amounts (e.g., one material layer may relax completely to become isotropic, while the other material relaxes partially so as to maintain only a portion of its birefringence), for example. In any case, the change in birefringence of one or both material layers results in a reflective characteristic in the second (treated) zone of the optical film that differs substantially from a reflective characteristic in the first (untreated) zone of the film. Further details of doubly birefringent multilayer optical films, and selective heating techniques used to internally pattern them, are provided in the following commonly assigned international applications, which are filed on even date herewith and incorporated herein by reference:

PCT/US2009/XXXXXX (Attorney Docket No. 65848WO002), "Internally Patterned Multilayer Optical Films With Multiple Birefringent Layers"; and International Application No. PCT/US2009/XXXXXX (Attorney Docket No. 65849WO002),

"Multilayer Optical Films Having Side-by-Side Polarizer/Polarizer Zones". Some examples of doubly birefringent multilayer optical films suitable for internal patterning by selective heat treatment are shown in the present application in FIGS. 5G-J.

In FIG. 5G, first and second polymer materials are selected that have the same or similar isotropic refractive indices, and that have the same or similar stress-optic coefficients (shown as positive in FIG. 5G although negative coefficients may also be used), but that have different melting or softening temperatures. The materials are coextruded in an alternating layer arrangement with a suitable number of layers to form a multilayer cast web, having indices shown by the diamond-shaped symbols. The cast web is then biaxially stretched along the x- and y-axes under suitable conditions to induce birefringence in both the first and second polymer materials. The stretching causes the refractive index values  $n_{1x}$ ,  $n_{1y}$  to increase, and also causes the values  $n_{2x}$ ,  $n_{2y}$  to increase similarly, while also causing  $n_{1z}$  and  $n_{2z}$  to decrease by amounts that are similar to each other as shown, such that the refractive indices of the two material layers are substantially matched along all three principal directions ( $\Delta n_x \approx 0$ ,  $\Delta n_y \approx 0$ , and  $\Delta n_z \approx 0$ ) even though each material layer is strongly uniaxially birefringent. This set of refractive indices, when implemented in a microlayer packet with an adequate number of layers, can

provide a multilayer window-like film that has little or no reflectivity for normally incident and obliquely incident light.

This multilayer window film can then be internally patterned in a second zone as described above, while leaving the window film intact in a first zone. Selective heating by selective delivery of radiant energy to the second zone causes at least some of the birefringent layers to relax, becoming less birefringent (which includes becoming isotropic). In the case of FIG. 5G, the heating is carefully controlled to a temperature that is above the melting or softening point of the first material layers, but below the melting or softening point of the second material layers. In this way, the selective heating causes the first birefringent layers in the second zone to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete, while causing the second birefringent layers in the second zone to substantially maintain their birefringence. If relaxation of the first material is complete, the second zone is characterized by relatively large in-plane refractive index differences ( $\Delta n_x$  and  $\Delta n_y$ ) and a relatively large out-of-plane refractive index difference  $\Delta n_z$  of opposite polarity or sign compared to  $\Delta n_x$  and  $\Delta n_y$ . These refractive index relationships, when implemented in a microlayer packet with an adequate number of layers, can provide a mirror-like film in the second zone. The reflection provided by this film may be broad band or narrow band, depending on the layer thickness distribution of the microlayers. In either case, the reflectivity of the mirror film increases with increasing incidence angle due to the opposite polarity of  $\Delta n_z$ . The finished film thus combines in a unitary film a multilayer window film in one zone and a mirror-like reflector in a neighboring zone, with microlayers that extend continuously from one zone to the next. For this FIG. 5G, the selective heat treatment process is able to change a multilayer window film to a multilayer reflective mirror film (window  $\rightarrow$  mirror).

FIG. 5H depicts an embodiment that is similar to that of FIG. 5G. Again, first and second polymer materials are selected that have the same or similar isotropic refractive indices, and that have the same or similar stress-optic coefficients (shown as positive in FIG. 5H although negative coefficients may also be used), and that have different melting or softening temperatures. The materials are coextruded in an alternating layer arrangement with a suitable number of layers to form a multilayer cast web, having indices shown by the diamond-shaped symbols. Rather than being biaxially drawn, the cast web of FIG. 5H is then uniaxially stretched along the x-axis (while constraining the film along

the y-axis) under suitable conditions to induce birefringence in both the first and second polymer materials. The stretching causes the refractive index values  $n_{1x}$  and  $n_{2x}$  to increase by similar amounts, while causing  $n_{1z}$  and  $n_{2z}$  to decrease by similar amounts, and while causing  $n_{1y}$  and  $n_{2y}$  to remain relatively constant. This results in refractive indices of the two material layers that are substantially matched along all three principal directions ( $\Delta n_x \approx 0$ ,  $\Delta n_y \approx 0$ , and  $\Delta n_z \approx 0$ ), even though each material layer is strongly biaxially birefringent. This set of refractive indices, when implemented in a microlayer packet with an adequate number of layers, can provide a multilayer window-like film that has little or no reflectivity for normally incident and obliquely incident light.

This multilayer window film can then be internally patterned in a second zone as described above, while leaving the window film intact in a first zone. Selective heating by selective delivery of radiant energy to the second zone causes at least some of the birefringent layers to relax, becoming less birefringent. In the case of FIG. 5H, the heating is again carefully controlled to a temperature that is above the melting or softening point of the first material layers, but below the melting or softening point of the second material layers. In this way, the selective heating causes the first birefringent layers in the second zone to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete, while causing the second birefringent layers in the second zone to substantially maintain their birefringence. If relaxation of the first material is complete, the second zone is characterized by a relatively large refractive index difference ( $\Delta n_x$ ) in one in-plane direction, a zero or near-zero refractive index difference ( $\Delta n_y$ ) in the other in-plane direction, and a relatively large out-of-plane refractive index difference ( $\Delta n_z$ ) of opposite polarity or sign compared to  $\Delta n_x$ . These refractive index relationships, when implemented in a microlayer packet with an adequate number of layers, can provide a reflective polarizer film in the second zone. This polarizer film has a pass axis parallel to the y-direction and a block axis parallel to the x-direction. The reflection provided by this film for block-state polarized light may be broad band or narrow band, depending on the layer thickness distribution of the microlayers. In either case, the reflectivity of the polarizer film for block-state polarized light (for both the s-polarized component and the p-polarized component) increases with increasing incidence angle due to the opposite polarity of  $\Delta n_z$ . The finished film thus combines in a unitary film a multilayer window film in one zone and a reflective polarizer film in a neighboring zone, with microlayers

that extend continuously from one zone to the next. For this FIG. 5H, the selective heat treatment process is able to change a multilayer window film to a multilayer reflective polarizer film (window → polarizer).

FIG. 5I depicts an embodiment that is also similar in some respects to that of FIG.

5 5G but different from FIG. 5H. Again, first and second polymer materials are selected that have the same or similar isotropic refractive indices, and that both become birefringent after drawing. The first and second materials may have different melting or softening temperatures, or they may be substantially the same. Significantly, however, the materials of FIG. 5I are chosen to have stress-optic coefficients of different polarities or  
10 signs. In the depicted embodiment, the first material has a positive stress-optic coefficient and the second material has a negative stress-optic coefficient, although the opposite selection can also be made. The materials are coextruded in an alternating layer arrangement with a suitable number of layers to form a multilayer cast web, having indices shown by the diamond-shaped symbols. Similar to FIG. 5G, the cast web of FIG. 5I is  
15 then biaxially stretched along the x- and y-axes under suitable conditions to induce birefringence in both the first and second polymer materials. The stretching causes the refractive index values  $n_{1x}$  and  $n_{1y}$  to increase by similar amounts, while causing a larger decrease in  $n_{1z}$ . The stretching also causes the refractive index values  $n_{2x}$  and  $n_{2y}$  to decrease by similar amounts, while causing a larger increase in  $n_{2z}$ . This results in  
20 refractive indices of the two material layers that have substantially equal in-plane refractive index mismatches ( $\Delta n_x \approx \Delta n_y$ ) and an even larger out-of-plane refractive index mismatch  $\Delta n_z$  of opposite polarity or sign. This set of refractive indices, when implemented in a microlayer packet with an adequate number of layers, can provide a mirror-like film. The reflection provided by the film may be broad band or narrow band,  
25 depending on the layer thickness distribution of the microlayers. The reflectivity of this multilayer mirror film increases with increasing incidence angle due to the opposite polarity of  $\Delta n_z$ .

This mirror film can then be internally patterned in a second zone as described above, while leaving the mirror film intact in a first zone. Selective heating by selective  
30 delivery of radiant energy to the second zone causes at least some of the birefringent layers to relax, becoming less birefringent. In the case of FIG. 5I, the heating is controlled to a temperature that is above the melting or softening point of the both the first and

second material layers. Accordingly, this heating causes both the first and the second birefringent layers in the second zone to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete. If relaxation of the materials is complete, the second zone is characterized by a substantial match of refractive indices along all three principal directions, i.e.,  $\Delta n_x \approx \Delta n_y \approx \Delta n_z \approx 0$ . These refractive index relationships, when implemented in a microlayer packet with an adequate number of layers, can provide a multilayer window film in the second zone. The finished film thus combines in a unitary film a multilayer mirror film in one zone and a multilayer window film in a neighboring zone, with microlayers that extend continuously from one zone to the next. For this FIG. 5I, the selective heat treatment process is able to change a multilayer mirror film to a multilayer window film (mirror  $\rightarrow$  window).

The embodiment of FIG. 5J makes use of a two-step drawing process that is described in U.S. Patent 6,179,948 (Merrill et al.). In this process, the stretching or orientation of the cast film is carried out using a two-step drawing process that is carefully controlled so that one set of layers (e.g., the first material layer of each optical repeat unit) substantially orients during both drawing steps, while the other set of layers (e.g., the second material layer of each optical repeat unit) only substantially orients during one drawing step. The result is a multilayer optical film having one set of material layers that are substantially biaxially oriented after drawing, and having another set of material layers that are substantially uniaxially oriented after drawing. The differentiation is accomplished by leveraging the different visco-elastic and crystallization characteristics of the two materials by using one or more suitably different process conditions such as temperature, strain rate, and strain extent for the two process drawing steps. Thus, for example, a first drawing step may substantially orient the first material along a first direction while at most only slightly orienting the second material along this direction. After the first drawing step, one or more process conditions are suitable changed such that in a second drawing step, both the first and the second materials are substantially oriented along a second direction. Through this method, the first material layers can assume an essentially biaxially-oriented character (for example, the refractive indices may satisfy the relationship  $n_{1x} \approx n_{1y} \neq n_{1z}$ , sometimes referred to as a uniaxially birefringent material), while the second material layers in the very same multilayer film can assume an essentially uniaxially-oriented character (for example, the refractive indices may satisfy

the relationship  $n_{2x} \neq n_{2y} \neq n_{2z} \neq n_{2x}$ , sometimes referred to as a biaxially birefringent material).

With this background, FIG. 5J depicts an embodiment in which the first and second polymer materials are selected to have the same or similar isotropic refractive indices, and to both become birefringent after drawing, and to have the same polarity of stress-optic coefficient (in the drawing they are both depicted as positive, but they can instead both be negative). The first and second materials have different melting or softening temperatures, and have different visco-elastic and/or crystallization characteristics such that the two-step drawing process discussed above can be implemented. The materials are coextruded in an alternating layer arrangement with a suitable number of layers to form a multilayer cast web, having indices shown by the diamond-shaped symbols. The cast web is then biaxially stretched along the x- and y-axes using the above-described two-step drawing process, such that the first material is oriented comparably along both the x- and y-axes, whereas the second material is oriented preferentially along the y-axis, with little or no orientation along the x-axis. The net result is a multilayer optical film whose first and second microlayers are both birefringent, but the first material layers have a substantially biaxially-oriented character, whereas the second material layers have a substantially uniaxially-oriented character. As shown, the materials and process conditions are selected so that the stretching causes the refractive index values  $n_{1x}$  and  $n_{1y}$  to increase by similar amounts, while causing  $n_{1z}$  to decrease by a larger amount. The stretching also causes the refractive index value  $n_{2y}$  to increase to a value equal to or close to that of  $n_{1x}$  and  $n_{1y}$ , and causes the refractive index  $n_{2z}$  to decrease, and causes the refractive index  $n_{2x}$  to remain about the same (if the second material orients to a small degree during the x-axis orientation step, then  $n_{2x}$  may increase slightly as shown in the figure). This results in refractive indices of the two material layers that have one large in-plane refractive index mismatch ( $\Delta n_x$ ), one significantly smaller in-plane refractive index mismatch ( $\Delta n_y \approx 0$ ), and an intermediate out-of-plane refractive index mismatch ( $\Delta n_z$ ) of opposite polarity from  $\Delta n_x$ . This set of refractive indices, when implemented in a microlayer packet with an adequate number of layers, can provide a first reflective polarizing film with a block axis along the x-direction and a pass axis along the y-direction. The reflection provided by the film (for light polarized parallel

to the block axis) may be broad band or narrow band, depending on the layer thickness distribution of the microlayers.

This first multilayer reflective polarizer film can then be internally patterned in a second zone as described above, while leaving the polarizer film intact in a first zone.

5 Selective heating by selective delivery of radiant energy to the second zone causes at least some of the birefringent layers to relax, becoming less birefringent. In the present case, the heating is carefully controlled to a temperature that is above the melting or softening point of the first material layers, but below the melting or softening point of the second material layers. In this way, the selective heating causes the first birefringent layers in the  
10 second zone to relax to their original isotropic states, or to an intermediate birefringent state if the disorientation is incomplete, while causing the second birefringent layers in the second zone to substantially maintain their birefringence. If relaxation of the first material is complete, the second zone is characterized by a relatively large refractive index difference ( $\Delta n_y$ ) in one in-plane direction, a zero or near-zero refractive index difference  
15 ( $\Delta n_x$ ) in the other in-plane direction, and a relatively large out-of-plane refractive index difference ( $\Delta n_z$ ) of opposite polarity or sign compared to  $\Delta n_y$ . These refractive index relationships, when implemented in a microlayer packet with an adequate number of layers, can provide a second reflective polarizer film in the second zone. Notably, this second polarizer has a pass axis parallel to the x-direction and a block axis parallel to the  
20 y-direction, i.e., it is perpendicularly oriented relative to the first reflective polarizer. The reflection provided by this second polarizer film for block-state polarized light will be broad band or narrow band, depending on the layer thickness distribution of the microlayers, to the same extent that the first reflective polarizer is broad band or narrow band for the orthogonal polarization state. In any case, the reflectivity of the second  
25 polarizer film for block-state polarized light (for both the s-polarized component and the p-polarized component) increases with increasing incidence angle due to the opposite polarity of  $\Delta n_z$  in the second zone. The finished film thus combines in a unitary film a first reflective polarizer film in one zone and a second reflective polarizer film in a neighboring zone, the second reflective polarizer film being oriented perpendicular to the  
30 first reflective polarizer film, with microlayers that extend continuously from one zone to the next. For this FIG. 5J, the selective heat treatment process is able to change a first



multilayer reflective polarizer film to a second multilayer reflective polarizer film (polarizer1 → polarizer2).

Of course, a multitude of possible combinations of reflector types for the first zone and reflector types for the second zone can be selected, and the embodiments described in connection with FIGS. 5A-J demonstrate only some such combinations and should not be considered to be limiting. Note that not just positively birefringent but also negatively birefringent materials, and combinations thereof, can be used. Note also that in cases where the combination of a birefringent and isotropic polymer is used, the birefringent polymer may have a pre-stretch isotropic refractive index that is less than, greater than, or equal to the refractive index of the isotropic polymer. In fact, the pre-stretch isotropic refractive indices of the materials, regardless of the type of materials used, may be matched or substantially matched, or may be substantially mismatched as needed to produce the desired reflective characteristics in the finished film.

FIG. 6 is a schematic diagram that summarizes various transformations that can be achieved using the birefringent-relaxation techniques discussed herein for multilayer optical films. As such, the diagram also summarizes a variety of combinations of reflector types for the first (untreated) zone and the second (heat treated) zone of an internally patterned multilayer optical film. The arrows in the figure represent transformations from a first reflective characteristic to a second reflective characteristic that differs substantially from the first reflective characteristic. Note that the diagram of FIG. 6 is provided for illustrative purposes and should not be construed as limiting.

Arrow **610a** represents a transformation from a multilayer mirror film to a multilayer window film, e.g., as described in connection with FIGS. 5B and 5I. Such a transformation can be used to provide an internally patterned multilayer optical film with one or more first (untreated) zones characterized by a mirror film and one or more second (treated) zones characterized by a window film. Arrow **610b** represents an opposite transformation, from a multilayer window film to a multilayer mirror film, e.g., as described in connection with FIGS. 5C, 5F, and 5G. Such a transformation can be used to provide an internally patterned multilayer optical film with one or more first (untreated) zones characterized by a window film and one or more second (treated) zones characterized by a mirror film.

Arrow **612a** represents a transformation from a multilayer window film to a multilayer polarizer film, e.g., as described in connection with FIG. 5H. Such a transformation can be used to provide an internally patterned multilayer optical film with one or more first (untreated) zones characterized by a window film and one or more second (treated) zones characterized by a polarizer film. Arrow **612b** represents an opposite transformation, from a multilayer polarizer film to a multilayer window film, e.g., as described in connection with FIG. 5E. Such a transformation can be used to provide an internally patterned multilayer optical film with one or more first (untreated) zones characterized by a polarizer film and one or more second (treated) zones characterized by a window film.

Although many reflective polarizing films are designed to have little or no reflectivity for normally incident light polarized parallel to the pass axis, in some applications it is desirable for the a reflective polarizing film to exhibit a small or even a substantial reflectivity for such light. Such films may have first and second layers in its optical repeat units that have substantial values for both of the in-plane refractive index differences  $\Delta n_x$  and  $\Delta n_y$  in the drawn (stretched) film, although one of these index differences is significantly larger than the other one so as to provide a block axis and a pass axis. If these refractive index relationships are implemented in a microlayer packet with an adequate number of layers, the result may be an asymmetric reflecting film referred to herein as a partial polarizer. Such a film provides a high degree of reflectivity for normally incident light of one polarization, and a much smaller, yet substantial, degree of reflectivity for normally incident light of the opposite polarization. Such polarizing films can be particularly useful in certain high efficiency, low loss display applications, for example, and in light recycling and spatial homogenization systems, and in other applications. Reference is made to PCT Publication WO 2008/144656 (Weber et al.), "Backlight and Display System Using Same" for further disclosure of such films, which are referred to in that publication as Asymmetric Reflecting Films (ARF), and applications of such films.

Arrow **614a** represents a transformation from a multilayer polarizer film to a multilayer mirror film, e.g., as described in connection with FIG. 5A. Such a transformation can be used to provide an internally patterned multilayer optical film with one or more first (untreated) zones characterized by a polarizer film and one or more

second (treated) zones characterized by a mirror film. Arrow **614b** represents an opposite transformation, from a multilayer mirror film to a multilayer polarizer film. Such a transformation can be used to provide an internally patterned multilayer optical film with one or more first (untreated) zones characterized by a polarizer film and one or more  
5 second (treated) zones characterized by a window film.

Arrows **616**, **618**, and **620** represent transformations from one type of mirror to another type of mirror (see e.g. FIG. 5D), from one type of window to another type of window, and from one type of polarizer to another type of polarizer (see e.g. FIG. 5J). Window-to-window type transformations (window1 -> window2) may be achieved using  
10 any of the refractive index transformations discussed above (including but not limited to those depicted in FIGS. 5A-J), but where the number of layers used in the microlayer stack is small enough so that, for any given layer-to-layer refractive index difference, the stack provides a low enough reflectivity to be considered a window-like reflective characteristic. Recall from the discussion above that very faint reflectivities can be  
15 detected with an instrument such as a spectrophotometer even in cases where the film appears to be substantially clear or transparent to the ordinary observer. The reader is again reminded that the diagram of FIG. 6 is provided for illustrative purposes and should not be construed in a limiting fashion.

At this point, after having reviewed FIGS. 5A-J and FIG. 6, the reader will  
20 appreciate that the selective heat treatment discussed herein to reduce the birefringence of at least some of the layers in a multilayer optical film can be used to “turn on” the multilayer optical film, i.e., change it from an initial reflectivity (for at least one polarization state), which may be relatively low, to a substantially higher reflectivity, or it can be used to “turn off” the multilayer optical film, i.e., change it from an initial  
25 reflectivity (for at least one polarization state), which may be relatively high, to a substantially lower reflectivity. Stated differently, the selective heat treatment can be used to increase the refractive index mismatch along one or more axes between layers in an optical repeat unit, or it can be used to decrease the refractive index mismatch.

In FIG. 7, we show one arrangement **700** that can be used to selectively heat the  
30 second zone of the multilayer optical films to provide the disclosed internally patterned films. Briefly, a multilayer optical film **710** is provided that comprises at least one microlayer packet that extends throughout the film, or at least from a first to a second zone

thereof. The microlayers are internal to the film and provide the film with a first reflective characteristic. A high radiance light source **720** provides a directed beam **722** of suitable wavelength, intensity, and beam size to selectively heat an illuminated portion **724** of the film by converting some of the incident light to heat by absorption. Preferably, the

5 absorption of the film is great enough to provide sufficient heating with a reasonably-powered light source, but not so high that an excessive amount of light is absorbed at the initial surface of the film, which may cause surface damage. This is discussed further below. In some cases it may be desirable to orient the light source at an oblique angle  $\theta$ , as shown by the obliquely positioned light source **720a**, directed beam **722a**, and

10 illuminated portion **724a**. Such oblique illumination may be desirable where the multilayer optical film **710** contains a microlayer packet having a reflection band at normal incidence that substantially reflects the directed beam **722** in a way that prevents the desired amount of absorption and concomitant heating. Thus, taking advantage of the shift of the reflection band to shorter wavelengths with increasing incidence angle, the

15 directed beam **722a** can be delivered at an oblique angle  $\theta$  that avoids the (now shifted) reflection band to allow the desired absorption and heating.

In some cases, the directed beam **722** or **722a** may be shaped in such a way that the illuminated portion **724** or **724a** has the desired shape of the finished second zone. In other cases, the directed beam may have a shape that is smaller in size than the desired

20 second zone. In the latter situation, beam steering equipment can be used to scan the directed beam over the surface of the multilayer optical film so as to trace out the desired shape of the zone to be treated. Spatial and temporal modulation of the directed beam can also be utilized with devices such as beam splitters, lens arrays, pockels cells, acousto-optic modulators, and other techniques and devices known to those of ordinary skill in the

25 art.

FIGS. 8A-C provide schematic top views of different second zones of a patterned multilayer film, and superimposed thereon possible paths of a directed light beam relative to the film capable of forming the depicted zones. In FIG. 8A, a light beam is directed at a multilayer optical film **810** and scanned at a controlled speed from a starting point **816a** to an ending point **816b** along a path **816** to selectively heat the film in an arbitrarily-shaped zone **814** to distinguish it from a first zone **812**. FIGS. 8B and 8C are similar. In FIG. 8B,

30 a light beam is directed at a multilayer optical film **820** and scanned at a controlled speed

from a starting point **826a** along a path **826** to selectively heat the film in a rectangular-shaped zone **824** to distinguish it from a neighboring first zone **822**. In FIG. 8C, a light beam is directed at a multilayer optical film **830** and scanned at controlled speeds along the discontinuous paths **836-842**, and so on, to selectively heat the film in a rectangular-shaped zone **834** to distinguish it from a neighboring first zone **832**. In each of FIGS. 8A-C, the heating is sufficient to reduce or eliminate birefringence of at least some interior microlayers in the second zone while maintaining the birefringence of those layers in the first zone, and is accomplished while maintaining the structural integrity of the microlayers in the second zone and without any selective application of pressure to the second zone.

FIGS. 9A and 9B address the topic of how the absorption of the multilayer optical film can or should be tailored to provide optimal localized heating. The graphs of FIGS. 9A and 9B are plotted on the same horizontal scale, which represents the depth or position of the radiant light beam as it propagates through the film. A depth of 0% corresponds to the front surface of the film, and a depth of 100% corresponds to the rear surface of the film. FIG. 9A plots along the vertical axis the relative intensity  $I/I_0$  of the radiant beam. FIG. 9B plots the local absorption coefficient (at the selected wavelength or wavelength band of the radiant beam) at each depth within the film.

Three curves are plotted in each figure, for three different multilayer optical film embodiments. In a first embodiment, the film has a substantially uniform and low absorption throughout its thickness at the wavelength of the directed light beam. This embodiment is plotted as curve **910** in FIG. 9A and curve **920** in FIG. 9B. In a second embodiment, the film has a substantially uniform and high absorption throughout its thickness. This embodiment is plotted as curve **912** in FIG. 9A and curve **922** in FIG. 9B. In a third embodiment, the film has a relatively low absorption throughout regions **915a** and **915c** of its thickness, but has a higher, intermediate absorption in region **915b** of its thickness.

The first embodiment has an absorption coefficient that is too low for many situations. Although the directed light beam is absorbed uniformly as a function of depth as indicated by the constant slope of the curve **910**, which may be desirable in some cases, very little of the light is actually absorbed as shown by the high value of the curve **910** at a depth of 100%, meaning that a high percentage of the directed light beam is wasted.

Nevertheless, in some cases this first embodiment may still be quite useful in the treatment of some films. The second embodiment has an absorption coefficient that is too high for many situations. Although substantially all of the directed light beam is absorbed, and none is wasted, the high absorption causes an excessive amount of light to be absorbed at the front surface of the film, which may cause surface damage to the film. If the absorption is too high, an adequate amount of heat cannot be delivered to interior layers of interest without damaging layers at or near the front surface of the film. The third embodiment utilizes a non-uniform absorption profile that may be achieved, for example, by incorporating an absorbing agent into selected interior layers of the film. The level of absorptivity (controlled by the local absorption coefficient) is desirably set to an intermediate level so that an adequate portion of the directed light beam is absorbed in the tailored absorbing region **915b** of the film, but the absorptivity is not so high that an excessive amount of heat is delivered to the incident end of the region **915b** relative to the opposite end. In many instances, the absorptivity in absorbing region **915b** is still reasonably weak, e.g. the relative intensity profile **914** over that region may appear more as a straight line with merely a steeper slope than the other regions, e.g. **915a** and **915c**. As further described later, the adequacy of the absorption is determined by balancing that absorptivity against the power and duration of the incoming directed light beam to achieve the desired effect.

In an elementary example of the third embodiment, the multilayer film may have a construction of two thick skin layers with one or more packets of microlayers therebetween (separated by protective boundary layers if two or more microlayer packets are included), and the film may be composed of only two polymer materials A and B. An absorbing agent is incorporated into polymer material A to increase its absorptivity to a moderate level but no absorbing agent is incorporated into polymer B. Both materials A and B are provided in alternating layers of the microlayer packet(s), but the skin layers and the protective boundary layers, if present, are composed only of polymer B. Such a construction will have a low absorptivity at the outer surfaces, i.e. the skin layers, of the film, due to the use of the weakly absorbing material B, and will also have a low absorptivity at the optically thick PBLs if they are present. The construction will have a higher absorptivity in the microlayer packet(s) due to the use of the more strongly absorbing material A in alternating microlayers (along with alternating microlayers of the

more weakly absorbing material B). Such an arrangement can be used to preferentially deliver heat to interior layers of the film, in particular to the interior microlayer packet(s), rather than to outer surface layers. Note that with an appropriately designed feedblock the multilayer optical film can comprise three or more different types of polymer materials (A, B, C, ...), and an absorptive agent may be incorporated into one, some, or all of the materials in order to provide a wide variety of different absorption profiles so as to deliver heat to selected interior layers, packets, or regions of the film. In other cases, it may be useful to include an absorbing agent in the PBL(s) or even in the skin layer, if present. In either case, the loading or concentration may be same or different, either higher or lower, than in the microlayers.

Similar absorption profiles as those of the foregoing embodiments may be obtained using the inherent absorption characteristics of the various native materials used in the multilayer optical film. Thus, the multilayer film construction may comprise different materials having different absorption characteristics among the various layers or packets of the film, and those various layers or packets may have been formed together during film formation (e.g. by coextrusion), or may have been formed as separate precursor films which were later combined e.g. by lamination.

We now reiterate and embellish upon aspects of the foregoing teachings and disclosure.

The foregoing disclosure may be considered to describe, among other things, "write-able" multilayer optical films that can be altered by noncontact, radiative means after its initial manufacture. The multilayer optical film (MOF) may comprise at least two materials of alternating layers and at least one optical packet of such layers tuned to reflect a selected portion of the spectrum, such as a visible spectral band, at a first selected angle of incidence, furthermore optionally comprising an absorbing agent which may for purposes of the present discussion be referred to as a third material, dispersed in either or both layers of a selected optical packet, that preferentially absorbs electro-magnetic radiation not principally reflected by the MOF reflective band at a second selected angle of incidence nor significantly absorbed by the other materials of the MOF. We also disclose a process using a directed radiant energy treatment of a specified spectral band to selectively melt and disorient in part or in whole, at least one birefringent material in the optical packet containing the absorbing material in order to reduce or eliminate the

birefringence in these layers. The treatment is applied to chosen spatial locations across the film plane. Also disclosed is the finished optical film itself with the spatially tailored optical variations after treatment. The disclosed films can be used in business processes in which an originally uniformly cast and drawn optical body is spatially tailored to conform to the individual requirements of a given application.

One aspect of particular interest is the controlled spatial patterning of multilayer optical films containing, for example, near-IR absorbing dyes or other absorbing agents by selectively removing the birefringence of chosen interior optical layers while leaving other interior or surface layers relatively unchanged by subsequent treating with pulsed IR laser sources or other suitable high radiance light sources.

The films disclosed herein (both prior to selective heat treatment and after selective heat treatment), in which the birefringence of at least some of its internal microlayers can be reduced in one or more zones of the film to provide a second reflective characteristic, different from the initial or first reflective characteristic, may be referred to as STOF:

#### Spatially Tailored Optical Films.

The films, methods, and business processes may be generally useful in any application in which a spatially controlled level of orientation is desired. Fields of interest may include, for example, display, decorative, and security applications. Some applications may overlap multiple fields. For example, some articles may incorporate the internally patterned films disclosed herein in combination with a film, substrate, or other layer that includes conventional patterning in the form of indicia, for example. The resulting article may be useful in security applications, but versions of it may also be considered decorative. Selectively heat treating such an article may produce zones in the internally patterned film that selectively obstruct (by increasing reflectivity) or reveal (by decreasing reflectivity) portions of the conventional patterning of the other film, depending on the design of the internally patterned film. Color shifting characteristics of the disclosed internally patterned films may also be exploited in combination with colored or black-and-white background indicia as disclosed for example in U.S. Patent 6,045,894 (Jonza et al.) "Clear to Colored Security Film", and U.S. Patent 6,531,230 (Weber et al.) "Color Shifting Film".

Further in regard to security applications, the disclosed films may be used in a variety of security constructions including identification cards, driver's licenses, passports,



access control passes, financial transaction cards (credit, debit, pre-pay, or other), brand protection or identification labels, and the like. The film may be laminated or otherwise adhered as interior or exterior layers to other layers or portions of the security construction. When the film is included as a patch, it may cover only a portion of the major surface of the card, page, or label. In some cases, it may be possible to use the film as the base substrate or the only element of the security construction. The film may be included as one of many features in the security construction such as holograms, printed images (intaglio, offset, barcode, etc.), retroreflective features, UV or IR activated images and the like. In some instances, the disclosed films may be layered in combination with these other security features. The film may be used to provide a personalizable feature to the security construction, for example, a signature, an image, an individual coded number, etc. The personalizable feature may be in reference to the individual document holder or a specific product entity, such as in the case of a manufacturer tag, a lot verification tag, a tamper-proof coding, or the like. The personalizable feature can be made with a variety of scanning patterns including lines and dot patterns. Patterns can be the same or different among writable packets, depending on the film construction.

Consider, for example, the case of a first writable packet that initially exhibits a perceptible color but then becomes clear upon treatment or patterning. One or more such color packets can be used. Consider the addition of a second multilayer optical film packet to form the film construction to be included in the security construction. Patterning or writing the first packet will create a design or image in the color of the second packet in a background representing the color characteristics of the two packets combined. When the spectral bands are sufficiently narrow, both the foreground (patterned area) and background can color shift with viewing angle. The variation of the perceived color with background, e.g. white or black backgrounds, to favor transmitted or reflected light viewing can be used as a security feature. For example, a page or leaf of the film in a document, such as a passport, can be flipped to view the film against different backgrounds or portions of the document.

The film may provide both overt (e.g. clearly visible to an ordinary observer) and covert security features to the security construction. For example, a writable (color) reflective polarizer layer can provide a covert feature viewable with a polarizing analyzer, e.g. a feature that changes color or disappears depending on the polarization state of the

analyzer. An infrared reflecting packet can be patterned to make an IR detectable, e.g. machine readable, personalized coding feature.

A particularly interesting film construction for security applications is a very far red or near IR reflector, e.g., with a lower (left) reflection band edge between 650 and 800 nm (depending on film construction) as described in U.S. Patent 6,045,894 (Jonza et al.), which can provide a clear-to-colored appearance as the observation angle changes from normal incidence to glancing incidence. Other interesting constructions, including optical polarizing films with designed color shifts, are described in U.S. Patent 7,064,897 (Hebrink et al.). Using the patterning methods of the present application, films such as those described in the '894 Jonza reference and those described in the '897 Hebrink reference can be made that are writable, for example, with a laser. For example, personalized information can be written into such a film by the alteration of reflection packets in the visible, UV, or IR portion of the spectrum, where the altered portions (treated zones) of the film may have a lower reflectivity than untreated portions of the film, or vice versa.

Finally, it should be noted that many of the features described here for security applications are likewise useful for decorative applications. For example, a personalized logo can be thus embedded in a consumer article.

Laminate constructions have been mentioned above, but they, and other types of optical bodies that incorporate a STOF-type film as disclosed herein joined or combined in some fashion with another film or substrate with different optical properties, deserve further discussion. In some cases the "another film or substrate" may be or comprise an otherwise conventional optical film or substrate, such as an absorbing polarizer, a colored light transmissive film (e.g. a single layer polymer that has been dyed), a retarding film, a glass plate, white or colored cardstock, and the like, and combinations thereof. In some cases the "another film or substrate" may also or alternatively be or comprise a different type of multilayer optical film, whether a polarizer, mirror, window, or combinations thereof.

Optical bodies such as this may include a first plurality of layers, e.g. a stack or packet of alternating microlayers, that provide a first reflective characteristic by constructive or destructive interference. This first plurality of layers is preferably a STOF-type film, i.e., tailored such that the birefringence of at least some of its microlayers can be

reduced in one or more zones of the film to provide a second reflective characteristic, different from the first reflective characteristic, in such zones. In some embodiments the optical bodies can also include at least a second plurality of layers, e.g. a second stack or packet of alternating microlayers different from the first such stack, which provides a third  
5 reflective characteristic. Third, fourth, and additional pluralities of layers may also be included as desired, and/or other functional layers such as one or more thick substrates, for example. The second plurality of layers may be part of a second optical film that may or may not be a STOF-type film, and that may be joined to the first STOF-type film by a lamination process (e.g. with a suitable light transmissive adhesive layer) or by other  
10 suitable means.

In such optical bodies, the first and second reflective characteristics may be selected from any of the wide variety of reflective characteristic combinations disclosed herein. The third reflective characteristic, i.e., the reflective characteristic of the second plurality of layers, may also be selected from any of the reflective characteristics disclosed  
15 herein. The first and third reflective characteristics may have associated therewith first and third reflection bands respectively, and these bands may have substantially no overlap in wavelength in some embodiments, while in other embodiments they may overlap partially or fully.

One such optical body of interest is one in which the first and third reflective  
20 characteristics have overlapping reflection bands, and wherein the first plurality of layers is a multilayer mirror-like film and the second plurality of layers is a multilayer reflective polarizer. Light that impinges on such an article at the mirror-like film will be substantially reflected. If the reflectivity of the mirror-like film is high enough, the presence of the underlying reflective polarizer may have little or no effect on the overall  
25 reflectivity of the article, since little or no light passes from the mirror film to the polarizer film. However, the mirror film can be selectively heat treated in one or more second zones as disclosed herein to relax the birefringence of at least some of its layers in such zones to provide the second reflective characteristic. The second reflective characteristic may be a multilayer window-like film. In this case, the treated areas (second zones) of the  
30 first film allow light to penetrate to the second film. The result is an internally patterned article that may have the function of a mirror film in the untreated (first) zones, and the function of a reflective polarizer film in the treated (second) zones (since the window-like

portions of the first film expose the underlying polarizer film). Such a film may be used in some applications as a substitute for the unitary mirror/polarizer films disclosed in International Application No. PCT/US2009/XXXXXX (Attorney Docket No. 65037WO003), "Multilayer Optical Films Having Side-by-Side Mirror/Polarizer Zones".

5 If desired, the second film may also be selectively treated to convert its third reflective characteristic to a fourth reflective characteristic in the treated zones (second zones). (In connection with both the first and second film, we use the terms "first zone" to refer to untreated areas and "second zone" to refer to treated areas, with the understanding that the first zones of the first film may be completely different from the first zones of the second  
10 film.) The fourth reflective characteristic may be a window-like characteristic or any other characteristic different from the third reflective characteristic. Treatment of the first and second films may be such that the treated zones of the first film and the treated zones of the second film have any desired spatial relationship, e.g., they may be substantially coincident, complementary, overlapping, non-overlapping, or otherwise as desired, and  
15 similarly with the untreated zones of these films.

Another optical body of interest is one in which the first and third reflective characteristics have overlapping reflection bands, and wherein the first plurality of layers is a first multilayer polarizer film and the second plurality of layers is a second multilayer reflective polarizer. These polarizer films may or may not be identical in construction,  
20 and may be arranged in any desired orientation, for example, their respective pass axes may be parallel, or orthogonal, or have any other relative orientation angle. Of particular interest is the case where the polarizer films are oriented orthogonally. The first plurality of layers, having the first reflective polarizer characteristic, may be selectively heat treated in one or more second zones as disclosed herein to relax the birefringence of at least some  
25 of its layers in such zones to provide the second reflective characteristic. The second reflective characteristic may be a multilayer window-like film. In this case, the treated areas (second zones) of the first film allow light of all polarization states to penetrate to the second film. If the second film is also a STOF-type film, it too may be selectively heat treated (either before or after lamination to the first film) to also form a multilayer  
30 window-like characteristic in its treated areas (second zones). By arranging the treated areas of the first film to be complementary to the treated areas of the second film (e.g. by analogy to a checkerboard pattern, the treated areas of the first film may constitute the

dark squares of the pattern, and the treated areas of the second film may constitute the light squares of the pattern), the resulting article may have the function of a first reflective polarizer film in the untreated zones of the first film (beneath which the window-like treated zones of the second film lie), and the function of a second reflective polarizer film in the treated zones of the first film (which are window-like but underneath which lie the untreated zones of the second film). Such a film may be used in some applications as a substitute for the unitary polarizer/polarizer films disclosed in International Application No. PCT/US2009/XXXXXX (Attorney Docket No. 65849WO002), "Multilayer Optical Films Having Side-by-Side Polarizer/Polarizer Zones".

Multilayer optical films may include at least one selected reflection band for at least one state of linear polarization formed by at least one selected packet of optical interference layers, which may comprise a radiatively absorbing material. The patterning method allows the removal or reduction of the birefringence among a selected set of material layers thus altering the interference characteristics of the optical stack over a selected spectral band. In this manner, the film may be spatially tailored for a desired application, e.g. a pixilated display. The optical film can thus be made to be spatially changing color filters, or can be made to vary between transmissive, reflective mirror and/or reflective polarizers, or in combination of color filtering and these reflective states, or strengths or quality of these states (e.g. from a strong to weak mirror, or from a polarizer or partial polarizer to a mirror). One useful application may be color filters used in liquid crystal display (LCD) devices. Another application may be to use the disclosed materials and techniques to create or "write" structures in the interior or inside of films and similar optical bodies for purposes besides or in addition to wavelength-selective transmission or reflection. The spatial tailoring of optical properties and materials described herein may be used to effect a light guiding structure inside film, for instance bringing the guide through the film and intermittently drawing to the surface. The combination of anisotropic and selectively absorbing materials and laser writing process can produce highly functioning optical structures with the added processing advantage of using longer laser pulse widths, reduced numeric aperture, and potentially greater speed of writing.

A particularly useful class of constructions is a polymeric optical body comprising two or more sets of optically functional layers, each set having a similar function (e.g.

optical transform, whether it be reflection or transmission, for example) but wherein each particular set is included to act on a particular portion of the spectral band. At least one set may comprise radiant absorbers, and at least one other set does not. In some cases, more than one set may comprise radiant absorbers. For example, a multilayer optical film may  
5 comprise two or more optical interference packets. These packets (sets of optically functional layers) may comprise many layers of alternating materials. One optical packet may contain radiant absorbers and another may not.

A variety of optical absorbers can be used. For optical films operating in the visible spectrum, ultraviolet and infrared absorbing dyes, pigments, or other additives may  
10 be useful. It may be desirable to choose a spectral range for absorption that is not highly absorbed by the polymers of the construction. In this manner, the directed radiation can be concentrated in the selected regions of interest throughout the thickness of the optical body. The absorbers are desirably melt extrudable so that they can be embedded in the selected layer set of interest. To this end, the absorbers should be reasonably stable at the  
15 processing temperatures and residence times required for extrusion.

The disclosed films and optical bodies can be radiatively treated in a chosen spectral range that is outside the spectral band normally transformed by the optical body for a chosen application of interest. The radiative treatment may be achieved by any variety of means which can focus light of the selected spectral band at a sufficient  
20 intensity on a chosen location of the film. A particularly suitable means for the radiative treatment is a pulsed laser. This may be an amplified pulsed laser. In some circumstances, the laser may be tunable. For example, an optical film operationally reflective in the visible band may have an absorber in the near IR, or the near UV if the polymer is not particularly absorptive there. For a multilayer optical film, the selection of  
25 the absorption band for treatment may be chosen with regard to the optical band of the film. Preferably, the film should not reflect the directed radiant energy for the angle of incidence chosen for this directed radiant energy, although treatment may still be possible if the reflection is sufficiently low. Radiant energy from lasers is frequently substantially polarized. It can be useful to orient the impinging beam at an angle coincident with the  
30 Brewster's angle at the outer surface to minimize energy loss. Because the MOF reflection band also shifts to shorter wavelengths with higher incident angles, shorter

absorption wavelengths can be used than would be expected solely by the band placement at the normal incident angle.

For example, an MOF mirror film with a biaxially oriented skin layer of index 1.75 (at a wavelength of 632 nm) and corresponding Brewster's angle of about 60 degrees and a sharp normal incident right band edge at about 800 nm can accept a directed light beam above about 700 nm at the Brewster's angle, allowing for processing using this wavelength even though it is reflected at normal incidence. The right band edge is chosen in part to ensure reflection at all angles of interest. At 880 nm, the reflection band still covers to about 700 nm at grazing incidence. At this band position, the band covers up to 750 nm near the Brewster's angle of this case. Some headroom may be desirable between the band edge and the wavelength of the directed radiation. If it is desired that the beam be directed through any of the potential layers, then this puts a practical lower limit in this case of about 750 to 800 nm (vacuum) for the directed energy above the optical band. Alternatively, one may choose to direct the radiant energy through a preferred side of the film so that the intervening wavelength bands in the film do not block the particular energy of interest. For example, a green laser at 532 nm may be used to process a blue packet as long as it does not need to pass at normal incidence through a green reflecting packet, or if the beam could pass through it at sufficiently oblique angle to no longer be reflected by that packet due to the band shift.

If near UV radiation is used for patterning (which again depends on the material absorption characteristics), the band shifting of packets with a longer wavelength reflection band may obstruct the beam. Normally incident directed radiation can then have the highest wavelength relative to the fixed left band edge of the film, whereas oblique angle processing could be obstructed by band shifting. Left band edge shifting is also relevant for other visible or IR beams used with constructions that have band shifting reflection bands higher than the beam vacuum wavelength.

Management of the absorbed radiant energy through the thickness of the film and the resulting thermal pulse through the thickness, is one aspect of the present disclosure. Controlled melting resulting in reduced or eliminated birefringence of materials in the selective layers spanning a selected portion of the film thickness calls for a reasonably low level of absorption of the directed radiation to ensure uniform effect. The materials in the selected layers(s) should not over-heat either from a time pulse or thermal standpoint

resulting in either excessive ionization or thermal decomposition. For example, if one considers a purely heat capacity driven situation, a material brought from 25 degrees C to a desired 300 degrees C heats up 275 degrees C. If the selected layer absorbs 10% of the directed radiation, then the front portion closest to the source of the directed radiation  
5 needs to heat up to about 330 degrees C in order for the back portion to heat up to the desired 300 degrees C. Sufficient headroom between the hottest portion of the film and deleterious temperatures or ionizing conditions should be maintained. Through-thickness temperature control may be important to selectively remove birefringence from only one material, e.g., to prevent overheating. In some cases, preheating may be desired.

10 Efficiency of the process, from a laser energy perspective, can be increased by preheating the film before and during laser exposure. The preheat temperature of the film should be above room temperature but below the melting temperature for the polymers used in the optical packet. Typically, when the film is pre-heated throughout its thickness, then a larger amount of the directed radiation may be absorbed for the same level of thermal  
15 headroom. For example, when a back portion of a selected film region at 200 degrees C is heated to 300 degrees C for a difference of 100 degrees C, the front portion will only be overheated to 310 degrees C when about 10% of the incident energy of the beam is absorbed. In this case, the selected region could absorb up to about 23% of the directed radiation, to again result in heating up to about 330 degrees C with a temperature rise of  
20 130 degrees C for the front portion and a 100 degree C rise for the back portion to again reach the desired 300 degrees C. The amount of preheating may need to be controlled to prevent wash-out of the thermal pulse during cooling resulting in significant melting beyond the selected region. Generally, the higher the pre-heating, the closer the remainder of the film thickness is to melting. These non-selected portions can become liable to  
25 melting as the thermal pulse spreads. The maximum temperatures induced by the directed radiation, the sidedness of the film construction with its various layer thicknesses, the pre-heating gradient through the film, and the path of the directed radiation, all may need to be considered together to optimize the film and process. In fact, the thermal management is even more complicated, because sufficient energy is preferably absorbed not only to raise  
30 the material into its melting range but also to actually cause the melting. The management of the energy pulse(s) of the directed radiation should include the time factor to ensure that melting can in fact occur and that the thermal wave is adequately contained along the



thickness- or z-axis to prevent undesired melting, such as to melt birefringent layers in one microlayer packet without melting birefringent layers in another microlayer packet. In particular, the sequence and duration of the pulses may need to be carefully controlled.

The power, scan speed, and beam shape of a laser source (if a laser source is used for the selective heating) and the dye loading (or loading of another absorbing agent, if in fact any absorbing agent is used) combine to provide an effective energy transmitted to the processed region of the film under adiabatic conditions. Although thermal conditions are not generally adiabatic in practice, approximate laser processing conditions can be estimated by determining the required energy for conversion assuming adiabatic conditions with a specification of the film construction, background temperature, and knowledge of the various heat capacities, heats of fusion, and melting points of the pertinent materials. Dispersion of the IR absorber or other absorbing agent may be an important consideration, including dye solubility limits and dissolution mechanics. For undissolved dyes and pigments, particle size and shape distributions may be important. For example, excessively large absorbing particles can over-heat relative to their surrounding film matrix, causing film defects such as degradation, wrinkling, blistering, layer delamination, or other damage. Film cleanliness may also be important, since surface and embedded dust and similar particulate matter may also cause random or unanticipated defects. Other considerations include the beam shape and frequency (if a pulsed source is used) of the laser source, the scanning pattern, the mounting of the film (e.g. onto a card or other substrate by lamination such as with an adhesive or by other means), and the heat transfer, e.g. as controlled by the various thermal conductivities within and heat transfer coefficients from the film.

Management of the absorbed radiant energy across the film plane may also be important to ensure the desired spatial feature. Beam size and focus can also be important process controls. In some cases, it may be desirable to place the film at a location where the beam is focused to its smallest size, while in other cases the film may be deliberately placed at a location where the beam is defocused by a desired amount. The manner of scanning the film and how quickly the directed light beam path can overlap or turn on itself during processing of an area can alter surface roughness, smoothness, haze, wrinkling and other phenomena. With regard to the film preheating discussion above, the beam may be controlled in such a way that a portion of the film currently being irradiated

is close to a portion of the film that was recently irradiated, so that the heat provided by the laser itself can be considered to preheat the portion of the film currently being irradiated. This may occur, for example, where the beam is scanned along a first path, and soon thereafter (while the portion of the film along and close to the first path is still at an elevated temperature) scanned along a second path that is adjacent to, or even somewhat overlapping with, the first path.

Time-related aspects such as the duration of the directed radiation may also be important. We have found that relatively short, pulsed operation is often advantageous. For example, in some typical cases we have found that the heating time, as determined by the duration of the laser exposure, is preferably in the range of 10 nanoseconds to 10 milliseconds. The upper exposure duration is a function of the amount of thermal diffusion into other portions of the film through the thickness that can be tolerated for the given application. The shorter the duration, the more compact the delivery of the energy into the desired film region of interest; for example, it may be possible to establish a momentary heat pulse mostly contained within the desired packet. The details of the thermal diffusion are a function of the materials, the anisotropic thermal conductivities at the particular material orientation conditions, the densities, the heat capacities, the thickness of the regions of interest, the beam durations, and the like. In exemplary embodiments, the energy absorbed by the optical packet is of sufficient intensity and duration to melt the optical repeat units in the optical packet but of insufficient intensity and duration to evaporate, significantly chemically modify, or ablate components of the film.

In order for the laser exposure to modify the packet birefringence in the second zone, both high intensity (high power/unit area) and high energy density are desirable, but not necessary. These characteristics help to ensure that a substantial amount of heat generated by absorption of the directed radiation by the materials in the packet stays in the packet, by reducing the time needed for treatment. Thermal diffusion reduces the concentration of energy in the packet and therefore may reduce the efficiency of the process. In this regard, it is often desirable for only a small amount of heat to be dissipated outside of the packet, either laterally into the first (untreated) zone or within the (treated) second zone to other layers of the film. The more heat dissipated outside of the

absorbing packet or packets in the second zone, the less efficient the process in those cases where it is desired to heat only a portion of the thickness of the film in the second zone.

The manner of cooling may also need to be carefully considered. Rapid cooling may be useful in some instances. Cooling from one or both sides of the film may be  
5 desirable.

Reasonably low levels of absorption of the directed radiation may also be important for end-use applications. It is desirable that environmental exposure not unduly overheat the film. In particular, near IR absorption may result in film heating when exposed to direct sunlight. Preferably, the expected flux does not raise the film  
10 temperature unduly. For example, it may be desirable to maintain the temperature of the system below the glass transition temperature of the film under normal use. The amount of energy absorption is in part related to the amount of energy that must be captured from the pulses to achieve the needed temperature difference from the given level of pre-heat.

The desired absorption in the system may thus be optimized, balancing the flux  
15 levels, thermal diffusion (wash-out), preheating, and cooling to achieve the desired uniformity and extent of treatment while minimizing end-use concerns, such as color, grayness, or environmental radiant absorption.

It may be useful to incorporate energy absorbing buffer layers or regions between the functional layers or regions of the film. These buffer regions can heat up and even  
20 partially or totally melt while protecting another functional region of the film from heating via thermal diffusion (wash-out). In one example, this buffer region could be layers (e.g. PBLs) between packets of a similar or different material than that used in the optical layers. In another example, a lower melting temperature material can be used as “thermal speed bump” between functional layers of higher melting temperature materials. In a  
25 multilayer optical film, one simple example is a PEN:PMMA or PEN:isotropic coPEN mirror construction comprising optical packets separated by a lower melting and oriented coPEN protective boundary layer (PBL) such as a so-called low-melt PEN (LmPEN) which e.g. may comprise 90%/10% naphthalate/terephthalate carboxalate subunits.

The melting point and/or softening point (e.g., the glass transition temperature) of a  
30 material layer in a polymer film can be measured and analyzed using differential scanning calorimeter (DSC) techniques. In such techniques, the film sample may first be suitably dried, e.g. under vacuum of less than 200 mTorr for about 48 hours at 60 degrees C before

testing. A sample of about 5 mg may then be weighed and sealed in a hermetically sealed aluminum Tzero pan. A heating-cooling-heating ramp may then be conducted over a suitable temperature range, e.g., 30-290 degrees C. A constant heating rate of 20 degrees C/min, or other suitable heating rate, can be used for the ramp. After the scan, the first heating thermal trace may be analyzed for softening step changes and melting peaks. The analysis may reveal both the melting temperature and the characteristic bandwidth associated with the melting temperature, the bandwidth referred to as the peak width at half height (PWHH). Finite values of PWHH reflect the fact that a material can melt over a finite range of the temperatures rather than at a single precise temperature. The PWHH may become important for articles whose different materials have (peak) melting temperatures that are close to each other. The DSC technique was used to measure the melting temperature and PWHH for three exemplary materials suitable for use in multilayer optical films: polyethylene naphthalate (PEN); a naphthalate-based copolymer of PEN, described in Example 7, so-called PEN-CHDM10 of U.S. Patent Application Publication US 2009/0273836 (Yust et al.), referred to herein as "PEN-Gb"; and a PEN-based polymer in which 20% of the dimethyl 2,6-naphthalene dicarboxylate (NDC) is substituted with 4,4'-Biphenyldicarboxylic acid dimethyl ester, referred to herein as "PENBB20". Samples of these materials were measured, and exhibited melting points of 261, 248, and 239 degrees C for the PEN, PEN-Gb, and PENBB20 samples respectively. The PWHH of the samples were also measured. The PWHH of the PEN sample was 7 degrees C, but depending on processing conditions of the polymer this may range from 5 to 10 degrees C. The PWHH of the PEN-Gb sample was 6 degrees C, but depending on processing conditions this may range from 5 to 15 degrees C. The PWHH of the PENBB20 sample was 10.4 degrees C, but depending on processing conditions this may range from 5 to 15 degrees C. In general, the PWHH of a polymer film may be decreased by heat setting the film at a suitable temperature below the melting point for a suitable time.

In general, for any particular wavelength band for the directed radiation, the absorptive power of the remainder of the film along the thickness direction may be tailored to be sufficiently low, relative to the selected portion of the film for this radiation, to prevent undesired over-heating and undesired alteration of these non-selected portions. The film extrusion process may be designed to ensure that migration of the actively

absorbing material of a selected portion of the film from that portion to another functional portion of the film does not happen to a significant degree. Again, a buffer layer that blocks such migration, e.g. through chemical nonaffinity, can be used. Processing methods, including residence times for layer contact and the like, can also be used.

5           The directed radiant treatment may be achieved directly after or even during film manufacture, separately but still in roll form, after sheeting, or after mounting to another substrate such as a glass plate, or plastic or paper cardstock. The level of precision should be balanced against the process variations. For example, web flutter should be sufficiently handled for a roll process. The directed radiant treatment could be achieved as the film  
10 moves over a roller while the film is under tension, perhaps between nips. A protective film can be placed between the film and the rollers to continuously clean the rollers and otherwise prevent cosmetic defects such as scratches. In another example, the film can be mounted on a fixed substrate after sheeting, or mounted or fixed over a temporary backing in a semibatch manner. For example, portions of the film roll can successively contact a  
15 protective film and slide over a plate. The film roll conveyance could stop, the designated portion over the plate could be slightly tensioned as desired, and then the directed radiant treatment applied over the designated portion backed by the plate. The finished roll portion may then be moved off the plate treatment zone by a successive conveyance by which the successive portion of the roll may be treated, and so on until the entire roll is  
20 treated.

The interior patterning method described herein may also be combined with known techniques, e.g. ablative, surface amorphization techniques, focusing methods, embossing, thermoforming, etc.

25           A variety of melt-extrudable absorbing additives are available from a variety of sources. The additives may be organic, inorganic, or a hybrid. They may be dyes, pigments, nano-particles, or the like. Some potential IR dyes include any of the Nickel, Palladium, and Platinum-based dyes available from Epolin, Inc. under the tradename Epolight™. Other suitable candidates include Amaplast™-brand dyes, available from ColorChem International Corp., Atlanta, Georgia. Both linear and non-linear absorbing  
30 additives may be considered.

Several factors in combination may make a dye particularly suitable for the present application. Thermal stability through the extrusion process is particularly desirable. In

general, the extrusion process is desirably hot enough to both melt and allow the conveyance of the melt streams at suitably manageable pressure drops. For example, polyester based systems may require very high stability up to about 280 degrees C. These requirements can be reduced by using co-polymers of various polymers, such as coPENs, e.g. with processing around 250 degrees C. Olefinic systems like polypropylene, and polystyrene, are typically less demanding. The choice of resins in a particular multilayer optical film construction can narrow the choices of potential absorbing material candidates, as can dye migration tendencies, the ability to be uniformly dispersed in the desired materials layers, the chemical affinities of the dye to the various materials, and so forth.

## EXAMPLES

### CAST WEBS 1, 2, and 3

The term “cast web” refers to a cast and formed multilayer body prior to subsequent drawing and orientation but after the initial casting process. A first multilayer polymer web, or cast web, was constructed using naphthalate-based co-polymers. The first such co-polymer is referred to as PEN-Gb and the second such co-polymer is referred to as coPEN 55/45 HD. The first co-polymer, PEN-Gb, is described in Example 7, so-called PEN-CHDM10, of U.S. Patent Application Publication US 2009/0273836 (Yust et al.). PEN-Gb is thus a co-polymer made with initial monomer charges in the proportion of 38.9 lbs NDC (dimethyl 2,6-naphthalene dicarboxylic acid – e.g. as available from BP Amoco, Naperville, Illinois) to 20.8 lbs of EG (ethylene glycol – e.g. as available from ME Global, Midland, Michigan) and 2.23 lbs of CHDM (cyclohexane dimethanol – e.g. as available from Eastman Chemical, Kingsport, Tennessee), according to Table 1 of the referenced U.S. patent application. The second co-polymer, coPEN 55/45 HD, is the copolyethylenenaphthlate, comprising 55 mol % naphthalene dicarboxylate, 45 mol % terephthalate as carboxylates and 95.8 mol % ethylene glycol, 4 mol % hexane diol and 0.2% trimethylol propane as glycols, as described in Example 10 of U.S. Patent 6,352,761 (Hebrink et al.).

The multilayer polymer web was formed using a coextrusion process as generally described in U.S. Patent 6,830,713 (Hebrink et al). The various polymers were generally

dried, e.g. at 85 degrees C for 60 hours, and then fed into separate extruders of either a single screw or twin screw configuration. The first and second polymers forming the optical layers were co-extruded, each with its own extruder with a final extruder zone temperature, and fed through a melt train including a 151 alternating layer feedblock using  
5 a gradient feed plate that provides a layer thickness gradient through the film thickness. These layers form the so-called optical stack or microlayer packet of the finished multilayer film. To improve flow quality of the layers, two thicker, so-called protective boundary layers (PBLs) adjoin the outermost layers of the optical stack and protect the optical stack from the highest shear rates at the feedblock walls. The PBLs are also fed by  
10 one of the extruders. For purposes of these examples, the material feeding the PBLs will be referred to as material 1, the other as material 2, and likewise for the extruders. Each PBL is about 4% of the thickness of the optical stack. Prior to spreading in the die at a die set point temperature, an additional skin layer fed by extruder 3, with a material 3, is joined to the coextruding multilayer flow, top and bottom, for additional layer stability  
15 during flow in the die. In these described examples, material 3 may be the same as material 1 or 2. (The terminology “material 1”, “material 2”, and “material 3” used in connection with the examples should not be construed in any predetermined fashion with respect to the “first material” and “second material” terminology used elsewhere in this document, e.g. in connection with FIGS. 5A-J. For example, the “material 1” of the  
20 present examples may correspond to the “first material” of FIGS. 5A-J, or alternatively the “material 2” of the present examples may correspond to such “first material”.) In the case of this first cast multilayer web, material 1 was the first co-polymer, so called PEN-Gb, material 2 was the second co-polymer, so-called coPEN 55/45 HD, and material 3 was again PEN-Gb. The multilayer construction was cast from a die, quenched and electro-  
25 statically pinned to a casting wheel to form rolls of the first cast multilayer optical web. This first cast web was wound upon disposable cores having widths of at least 10 cm with a desired approximate thickness (or caliper) over a central portion in width dimension. Other details of construction of the first cast web are provided in Table A below.

Table A: Processing Conditions for Cast Web 1

Conditions	Cast Web 1
Material 1	PENGb
Material 2	coPEN 55/45 HD
Material 3	PENGb
Extruder 1 Final Temperature	254 degrees C
Extruder 2 Final Temperature	249 degrees C
Extruder 3 Final Temperature	254 degrees C
Melt Train Temperature	260 degrees C
Die Temperature	249 degrees C
Material 1 relative weight fed	8
Material 2 relative weight fed	9
Material 3 relative weight fed	15
Stream fed Masterbatch	none
weight % dye in Master batch	NA
Masterbatch fraction of stream	NA
Cast thickness, center (microns)	420

Cast webs 2 and 3 were made in like fashion to Cast Web 1, except that an absorbing agent was added to the extruder feed of material 2, and the casting wheel speed was adjusted to adjust the overall thickness of the cast webs. The absorbing agent was an IR dye used to capture radiant energy in the subsequent writing process. The IR dye was compounded into material 2 in a so-called “masterbatch,” and this masterbatch was then introduced into the extruder feed stream in a prescribed proportion to virgin material 2.

For Cast Webs 2 and 3, the prescribed proportion was 1:13 (1 part master batch to 13 parts virgin material). Just as with Cast Web 1, material 1 was the so-called PEN-G, material 2 the so-called coPEN 55/45 HD and material 3 was also the PEN-G for the Cast Webs 2 and 3.

Masterbatches were made prior to the multi-layer co-extrusion by feeding the dye and polymer resin in prescribed weight ratios into a twin screw extruder with or without an additional vacuum applied as needed to ensure minimal degradation of the resin. The extrudate was then chopped into pellets for feeding. The dye used in these example Cast Webs 2 and 3 was a platinum-based dye, Epolite™ 4121 available from Epolin, Inc., Newark, NJ. The peak absorption wavelength for this dye is around 800 nm. For the masterbatch compounding with the Epolite™ 4121 dye, nominal final zone extrusion temperatures were between 240 degrees C and 260 degrees C. A typical masterbatch nominal dye concentration was 1.0 wt % dye in polymer.



Extrusion and process details for the Cast Webs 2 and 3 are provided in Table B below. Note that the difference in thickness between Cast Web 2 and cast web 3 was achieved by simply changing the speed of the casting wheel.

5

Table B: Processing Conditions for Cast Webs 2 and 3

Conditions	Cast Web 2	Cast Web 3
Material 1	PENGb	PENGb
Material 2	coPEN 55/45 HD	coPEN 55/45 HD
Material 3	PENGb	PENGb
Extruder 1 Final Temperature	254 degrees C	254 degrees C
Extruder 2 Final Temperature	249 degrees C	249 degrees C
Extruder 3 Final Temperature	254 degrees C	254 degrees C
Melt Train Temperature	260 degrees C	260 degrees C
Die Temperature	249 degrees C	249 degrees C
Material 1 relative weight fed	8	8
Material 2 relative weight fed	7	7
Material 3 relative weight fed	15	15
Stream fed Masterbatch	Material 2	Material 2
weight % dye in Master batch	1	1
Masterbatch fraction of stream	0.07	0.07
Cast thickness, center (microns)	365	115

The surface indices of refraction (of the PEN Gb material at the outside of the cast webs) were measured using a Metricon Prism Coupler. The index of refraction was essentially isotropic, with values of 1.638, 1.639, and 1.634 in the casting (MD), width (TD), and thickness directions respectively. The refractive index of the coPEN 55/45 HD layers was nominally 1.610 in all directions. The transmission characteristics of Cast Webs 1, 2, and 3 were also measured, using a Perkin-Elmer Lambda 950 spectro-photometer. The results are provided in FIG. 10, where percent transmission is plotted against wavelength, and where curve **1010** is for Cast Web 1, curve **1012** is for Cast Web 2, and curve **1014** is for cast web 3. The infrared absorption provided by the absorbing agent in Cast Webs 2 and 3 is readily apparent at about 800 nm, with the absorption of Cast Web 2 being stronger than cast web 3 due to the greater thickness of cast web 2 compared to Cast Web 3. Note also that the IR dye has a secondary absorption peak around 530 nm, which gives Cast Webs 2 and 3 a rosy hue.

# BIREFRINGENT MULTILAYER FILMS MADE USING CAST WEBS 1, 2, and 3

The multilayer cast webs were then drawn or stretched so as to form multilayer optical films containing birefringent microlayers. A laboratory stretcher such as the KARO IV (available from Bruekner) was used for the present examples. The cast webs were generally pre-heated (with a pre-heat time and temperature) and then stretched at a uniform separation speed of the grippers corresponding to an initial strain rate (given by a % strain rate) to nominal draw ratios (the ratio of initial to final gripper separation) in two in-plane orthogonal directions (referred to as “x” and “y” directions). Some of the films were subsequently heat set after drawing at elevated temperature (heat set temperature) over a prescribed duration (heat set time). The processing conditions used for stretching the Cast Webs 1, 2, and 3 were selected such that, in the resulting multilayer optical films (referred to below as Multilayer Optical Films 1, 2, 3, 4, 5, 6, and 7), the PEN Gb microlayers were positively birefringent, and the coPEN 55/45 HD microlayers remained substantially isotropic with a refractive index of about 1.610.

Multilayer films 1 and 2 were made in this fashion from Cast Web 1 under the following conditions:

Table C: Multilayer Optical Films 1 and 2

Conditions	Film 1	Film 2
Cast Web	1	1
Preheat time (seconds)	60	60
Pre-heat temperature (degrees C)	125	125
Draw temperature (degrees C)	125	125
Initial strain rate along x per second	10%	10%
Initial strain rate along y per second	10%	10%
Final Nominal x Draw Ratio	4	3.2
Final Nominal y Draw Ratio	4	3.2
Heat set time (seconds)	30	30
Heat set temperature (degrees C)	180	160
Visible Appearance of transmitted light	cyan	white/clear
Visible Appearance of reflected light	pink	white/clear

The final films could then be analyzed, before and/or after radiant energy processing, using a variety of physical and optical methods. The refractive indices of the outside of the skin layer (composed of the oriented PEN Gb material), where indicated, were measured at 632.8 nm using a Prism Coupler available from Metricon (Piscataway,

New Jersey). In summary, these values were measured as:  $n_x$  and  $n_y$  in a range from 1.69 to 1.75 and  $n_z$  in a range from 1.50 to 1.52 (for all of Multilayer Optical Films 3 through 6, discussed below);  $n_x = 1.723$ ,  $n_y = 1.733$ ,  $n_z = 1.507$  for Multilayer Optical Film 6, discussed below); and  $n_x = 1.841$ ,  $n_y = 1.602$ ,  $n_z = 1.518$  for Multilayer Optical Film 7, discussed below).

The transmission band characteristics of the various cast webs and final films were measured with a Perkin-Elmer Lambda 950 spectrophotometer. Color characteristics were also observed visually under conditions that favored perception of transmitted and/or reflected light.

FIG. 11 illustrates typical spectra for Film 1 of this Example 1, where curves **1110** and **1112** were taken at different positions on the film. Both curves are fairly representative of Film 1. Differences between the curves may be the result of thickness variations as well as layer profile variations due to flow variations down and crossweb during extrusion, film casting, and drawing. The major portion of the reflection band, defined by left and right band edges at 50% of the half minimum relative to the baseline transmission of around 85%, generally lie between 560 nm and 670 nm. The baseline transmission drop from 100% is the result of surface reflection from the two major surfaces of the constructions (front and back).

Cast webs 2 and 3 were then also drawn or stretched to form other multilayer optical films containing birefringent microlayers. The resulting multilayer optical films, referred to as Films 3-7, and their processing conditions are as described in Table D:

Table D: Multilayer Optical Films 3 through 7

Conditions	Film 3	Film 4	Film 5	Film 6	Film 7
Cast Web	2	2	2	2	3
Preheat time (seconds)	60	60	60	60	60
Pre-heat temperature (degrees C)	125	125	125	125	125
Draw temperature (degrees C)	125	125	125	125	125
Initial strain rate along x per second	20%	10%	10%	10%	10%
Initial strain rate along y per second	20%	10%	10%	10%	1%
Final Nominal x Draw Ratio	4	4.25	4	4.25	6
Final Nominal y Draw Ratio	4	4.25	4	4.25	1.1
Heat set time (seconds)	none	None	30	30	none
Heat set temperature (degrees C)	NA	NA	180	180	NA
Visible Appearance of transmitted light	magenta	yellow	magenta	yellow	yellow
Visible Appearance of reflected light	green	purple/blue	green	purple/blue	purple/blue

Typical transmission spectra for Films 5 and 6, as measured by a Perkin-Elmer  
 5 Lambda 950 spectrophotometer, are presented in FIG. 12 as curve **1210** and curve **1212** respectively. Spectra are not shown for Films 3 and 4, but they were similar to the spectra of Films 5 and 6 respectively.

## MULTILAYER FILMS WITH INTERNAL PATTERNING

### 10 Patterned Film 1

Film 6, made from Cast Web 2, was treated with a Coherent Micra ultrafast oscillator laser (wavelength = 800 nm, pulse rate = 70 MHz) at a scanning speed of 20 mm/sec. An average laser power of 400 mW was used. A square-shaped zone, approximately 1.5 cm<sup>2</sup>, was fully treated with overlapping sweeps to form a clear  
 15 appearing area which no longer strongly reflected blue light. The resulting internally patterned film is referred to herein as Patterned Film 1. The treated area or zone now appeared colorless. The surface of the film remained smooth and no discernable variation could be felt by running a finger from the untreated to treated area across the outer surface.

When measured with a caliper drop gauge, e.g. as available from Mitutoyo of  
 20 Japan, the thickness of the untreated film in the neighborhood of the treated square was 24

microns with variations between 23 and 25 microns. The thickness of the treated zone was likewise measured as 24 microns over various locations, with variations between 24 and 25 microns. Thus the physical thickness of the film construction was substantially unchanged by the treatment.

5           The transmission and reflection spectra of the treated zone and a neighboring untreated zone of Patterned Film 1, for normally incident light, were measured using the Perkin-Elmer 950 spectrophotometer as presented in FIGS. 13A and 13B respectively. In those figures, curve **1310** shows the transmission of the untreated zone, curve **1312** shows the transmission of the treated zone, curve **1320** shows the reflection of the untreated zone, and curve **1322** shows the reflection of the treated zone. The actual portion of each zone that was sampled or measured by the spectrophotometer was approximately the same, but not necessarily identical, for the transmission measurement and the reflection measurement. The absorption feature in the vicinity of 800 nm, characteristic of the IR absorber used in Film 6 (from which the Patterned Film 1 was made), can be easily identified in the transmission curves for both the untreated and treated zones, i.e., curves **1310** and **1312** respectively. Comparison of the reflection curves **1320**, **1322** of FIG. 13B shows that the reflection band extending from about 400 to 500 nm, which was originally resident in Film 6 and is still resident in the untreated zone of Patterned Film 1, has been substantially altered by the selective heating treatment, even though the physical thickness of the film has been substantially unchanged by the treatment. Note that selective heat treatment has greatly diminished the peak reflectivity of the reflection band, from about 70-80% or more in the untreated zone to less than 25% in the treated zone, while producing at most only a very small spectral shift of the reflection band as can be seen by comparing the right band edge of the reflection bands of curves **1320**, **1322**. These characteristics confirm that the birefringence of some of the microlayers has been greatly diminished without substantially changing the thickness of the microlayer packet. The transmission curves of FIG. 13A exhibit features that are approximately complementary to the reflection curves of FIG. 13B. Although the transmission and reflection spectra are roughly complementary, residual absorption by the polymer film on the order of 1% or less, and variations in the actual spot measured, the spot size of the two tests and other calibration variances, preclude an exact summation of the two spectra to unity in the spectral regions far from the absorption region around 800 nm.

The indices of refraction on the exposed side of the Patterned Film 1—i.e., one of its outer skin layers, composed of PEN Gb—were also measured at 632.8 nm using the Metricon Prism Coupler in both the treated and untreated zones. The indices in the untreated zone were 1.723, 1.733 and 1.507 in the two in-plane principal directions (x, y) and the thickness direction (z), respectively, while the indices in the treated zone were 1.712, 1.743 and 1.508 along those same respective directions. Comparing these refractive index values in the treated versus the untreated zones shows that, although the interior microlayers of the film in the treated area had substantial elimination of orientation and birefringence as evidenced by the changes in the reflection characteristics, the orientation and concomitant birefringence of the thick exterior layers of the film was substantially maintained.

#### Patterned Film 2

Film 3 made from Cast Web 2 was laminated to PVC cardstock using an ethylene acrylic acid hot melt adhesive available from 3M Company, St. Paul. Film 3 was a green reflector which looked magenta under visible conditions favoring the perception of transmitted light through the film.

Various portions or zones of the film were treated (selectively heated) with the Micra ultrafast oscillator at a scanning speed of 20 mm/sec. An average laser power of 400 mW was used. The final treated film exhibited internal patterning and is referred to herein as Patterned Film 2.

A first square-shaped zone, approximately 1 cm<sup>2</sup> in area, was fully treated with overlapping sweeps to form a clear appearing area which no longer reflected green light. This first treated zone now appeared colorless. The surface of the film remained smooth and no discernable variation could be felt by running a finger from the untreated to treated zone across the outer surface.

Using the same power settings, a second zone was treated with non-overlapping stripes or paths that covered only half of the area to form a region of different reflective characteristics, in analogy to a half tone printing process. This second zone appeared more light pink in color at normal incidence. The color became more intense in off-normal viewing and tended to blend into the untreated background at about a 45 degree viewing angle.

The indices of refraction and combined skin and PBL thickness on the exposed side of the Patterned Film 2 were also measured at 632.8 nm using the Metricon Prism Coupler for both the untreated zone and the fully treated first zone. The indices in the untreated zone were 1.719 and 1.690 in the two in-plane principal directions (x and y),  
5 respectively, while the indices in the treated region were 1.724 and 1.691 along the same respective directions. Comparing these values shows that although the interior microlayers of the film in the treated zone experienced a substantial elimination of orientation and birefringence, the orientation and concomitant birefringence of the thick exterior layers of the film was substantially maintained. The Metricon device also  
10 provided thickness measurements for the combined skin/PBL thickness of about 4.9 and 5.3 microns for the untreated and fully treated zones respectively. Thus the thickness of the treated zone remained approximately the same or slightly increased.

#### Patterned Film 3

15 Two pieces of Film 1 and one piece of Film 6 were laminated together by hand using 3M™ 8141 Optically Clear Adhesive available from 3M Company, Maplewood, Minnesota, so that Film 6 was sandwiched between one piece of Film 1 on one side and another piece of Film 1 on the other side.

This laminate construction was treated with the Micra ultrafast oscillator according  
20 to the method of Patterned Film 2 in selected areas or zones. The laser light, of course impinged first upon Film 1 of the construction, then propagated through the centrally disposed Film 6, and finally through Film 1 again at the back of the construction. The laser treatment resulted in treated zones that reflected red light (thus appearing cyan in transmission) among neighboring untreated (background) zones that reflected blue and red  
25 light (thus appearing green in transmission). This laser-treated composite film, which exhibited internal patterning, is referred to as Patterned Film 3.

The transmission spectra of the untreated and treated zones of Patterned Film 3 were measured using the Perkin-Elmer Lambda 950 spectrophotometer and presented in FIG. 14 as curves **1410**, **1412** respectively. The blue reflection band (between about 425  
30 and 500 nm) originating from the multilayer packet of Film 6 is clearly present in the spectrum of curve **1410**, for the untreated zone. In the treated zone, this blue reflection band is substantially reduced, indicating that microlayers in the packet of Film 6 have

experienced a reduction in birefringence. On the other hand, the red reflection band (between about 575 and 700 nm) originating from the multilayer packet of Film 1 is clearly present in both the treated and untreated zones, with differences therebetween being within the normal margin of variation as discussed in connection with Film 1 and presented in FIG. 11.

This Patterned Film 3 demonstrates the technique of internally patterning a multilayer optical film that comprises two distinct microlayer packets, and changing the birefringence of microlayers in one of the microlayer packets (in this case, the one associated with blue reflection band at normal incidence) in the treated zone while leaving the microlayers in the other microlayer packet substantially unchanged in the same treated zone, even when the unchanged microlayer packet is closer to the impinging laser radiation than the changed microlayer packet during the selective heating or “writing” procedure.

#### Patterned Film 4

Film 6 was laminated to a PETG card and a 4 mm x 4 mm area was selectively heat treated by exposure to the output of the Micra ultrafast oscillator at an average power of 250 mW and at a card translation speed of 18 mm/sec relative to the fixed laser beam. This resulted in an internally patterned film having a treated square zone that was colorless in contrast to the untreated yellow-colored background or zone of original Film 6. The resulting internally patterned film is referred to as Patterned Film 4. A single portion of this patterned film was cut with a razor blade, dip coated using Scotchcast Electrical Resin #5, and allowed to cure for at least 24 hours. The sample was microtomed using a Leica UCT and a diamond knife. Most of the plastic card was trimmed away prior to microtoming. 10-micron thin sections were collected and placed in 1.515 refractive index oil with a cover-slip prior to imaging. Transmitted bright field images were recorded with an optical microscope and measurements made of the thickness of the top skin layer and the optical packet in 24 different locations for both the treated and untreated zones of the Patterned Film 4. A summary of the measurements, including also the total thickness of the film, are shown in table E, where CV refers to the statistical coefficient of variance. This data shows that the laser treatment has reduced the overall thickness of the film (in the treated zone relative to the untreated zone) by about 10%.



Table E: Thickness Measurements of Patterned Film 4

	Untreated Zone			Treated Zone		
	Total	Skin	Packet	Total	Skin	Packet
Average	17.7	5.6	12.1	16.2	5.4	10.7
Std. Dev.	1.5	0.9	0.5	0.4	0.5	0.5
CV	0.09	0.16	0.04	0.02	0.08	0.05
Minimum	15.8	4.2	11.3	15.6	4.5	9.7
Maximum	20.9	7.2	13.1	17.2	6.3	11.4

5

## Patterned Film 5

Film 6 was laminated to a PETG card and various 4 mm x 4 mm areas were selectively heat treated by exposure to the output of the Micra ultrafast oscillator at average powers of 150, 200, 250, 300, and 350 mW and film card translation speeds relative to the laser beam in the range of 6 to 44 mm/sec. This resulted in an internally patterned film having a plurality of exposed or treated square zones that ranged in appearance from colorless to the yellow color characteristic of the unexposed or untreated areas or zones of the film. The resulting internally patterned film is referred to as Patterned Film 5. A minimum exposure condition, i.e., maximum translation speed, at each value of laser power required to achieve the colorless appearance without any residual yellow color, which colorless visual appearance is believed to correspond to the substantial elimination of birefringence in the microlayer packet of Patterned Film 5, was determined visually at 50X magnification by noting at which translation speeds the exposed areas exhibited any residual yellow color. A summary of these determined exposure conditions, or threshold writing speeds, is shown in Table F below. The data shows that increased laser power enables higher rates of elimination of the optical packet birefringence.

Table F: Minimum Exposure Conditions for Patterned Film 5

Laser Power	Translation Speed
(mW)	(mm/sec)
150	8
200	16
250	30
300	36
350	44

We do not mean to imply by this data that only heat treatment that substantially eliminates the birefringence of the microlayers in the optical packet is acceptable in the fabrication of internally patterned multilayer optical films. Depending upon the degree of visibility  
5 desired in the patterned image, i.e. the visual contrast between the treated and untreated zones, only a small amount of birefringence reduction, and a correspondingly small visual contrast, may be entirely acceptable in some applications.

#### CAST WEBS 4a, 4b, 4c, and 4d

10 Four cast webs, designated 4a, 4b, 4c, and 4d, were fabricated, and internally patterned multilayer films were made from these webs. The Cast Webs 4a-d differed from cast webs 1-3 by the choice of polymer material used for the birefringent layers, the choice of absorbing agent used, the loading amounts of the absorbing agent, and overall thickness of the cast web. The Cast Webs 4a-d used alternating polymer layers composed of coPEN  
15 90/10 (described further below) and coPEN 55/45 HD (described previously). When the cast webs were oriented to form multilayer optical films (see e.g. Patterned Films 6a-6d below), the coPEN 90/10 layers became positively birefringent and the coPEN 55/45 HD layers remained substantially isotropic.

Before coextruding the polymer materials, a masterbatch comprising 1 wt % of  
20 Amaplast™ IR 1000 dye, available from ColorChem International Corp., Atlanta, Georgia, was dispersed into the coPEN55/45 HD polymer. Four similar Cast Webs 4a-d were then cast in accord with the general methods and conditions of Cast Webs 1 through 3 described earlier. For example, each Cast Web 4a-d included 151 alternating layers that were arranged according to the same nominal thickness gradient. In Cast Webs 4a-d,  
25 materials 1 and 3 were coPEN 90/10 and material 2 was coPEN 55/45 HD. CoPEN 90/10 is a co-polymer comprising 90 mol % naphthalene dicarboxylate and 10 mol % terephthalate.

The IR-dye containing masterbatch was extruded into material stream 2 to achieve a nominal loading of 0.3 wt% in that material stream for Cast Web 4d. Different loading  
30 amounts were used for the other Cast Webs 4a-c, which were otherwise made in identical fashion to Cast Web 4d. Measuring absorption spectra and using Beer's law to fit, the IR

dye loadings of Cast Webs 4a, 4b, and 4c were calculated as 0.062, 0.105, and 0.185 wt %, respectively, and the loading for Cast Web 4d was 0.3 wt % as reported above.

The cast web thickness (center) for webs 4a, 4b, 4c, 4d was measured, and they were all about 25 microns. The surface indices of refraction (of the coPEN 90/10 material at the outside of the cast webs) were measured using a Metricon Prism Coupler. The index of refraction of this material was approximately 1.73 in each of the in-plane directions (machine direction MD and transverse direction TD), and about 1.50 in the out-of-plane or thickness direction. The refractive index of the coPEN 55/45 HD layers was about 1.610.

## FURTHER BIREFRINGENT MULTILAYER FILMS, AND SUCH FILMS WITH INTERNAL PATTERNING

Multilayer Optical Films 8a, 8b, 8c, 8d

and

Patterned Films 6a, 6b, 6c, and 6d

Each of the Cast Webs 4a-d was then drawn in similar fashion using the drawing conditions described above for Multilayer Optical Film 5 (see Table D above), except that a 100%/sec initial strain rate along the x- and y-directions was used instead of 10%/sec. This resulted in four birefringent multilayer optical films, referred to herein as Multilayer Optical Films 8a-8d, made respectively from Cast Webs 4a-d. The Films 8a-8d were somewhat thicker than the Multilayer Optical Film 5 because, although the same nominal draw ratio was used (4:4 for x:y) in each case, the cast webs used as the starting material for Films 8a-d (i.e., Cast Webs 4a-d) were thicker than the cast web used for Film 5 (i.e., Cast Web 2, whose thickness was about 365 microns). Because the Films 8a-8d were thicker than Film 5, the Films 8a-8d were cyan in appearance when viewed in transmitted light. Hence, these Films 8a-8d were all red-reflecting mirror films.

The Films 8a-8d were then laser processed by selective exposure to the output of a pulsed fiber laser with a wavelength of 1062 nm (30W HM series from SPI Lasers, Southampton, UK), to provide internally patterned films referred to herein as Patterned Films 6a-6d, respectively. The laser pulse width was 65 nanoseconds at a pulse rate (repetition rate) of 75 kHz. The output of the laser was fiber delivered to a hurrySCAN 25 galvanometer scanner (SCANLAB AG, Puccheim, Germany) and focused using an f-theta

lens with a numerical aperture of 0.15 (Sill Optics GmbH, Wendelstein, Germany). In order to minimize laser damage to the films, the focal point of the f-theta lens was located approximately 0.5 mm above the surface of the samples. The laser beam was manipulated with the galvanometer scanner to produce 2 mm x 2 mm squares of exposed lines on the samples at each laser condition, with individual scan lines separated by a distance of 30 microns. The beam width was also about 30 microns, hence, substantially the entire 2x2 mm area was treated or processed.

For each of the Patterned Films 6a-6d, many such 2x2 mm square zones (each of which constituted a heat treated zone of the respective multilayer optical film) were made using different combinations of laser power and scan speed, the values of which are listed below in Tables G, H, I, and J. The power values listed in these tables are average power as measured with a thermopile sensor (Powermax PM10, Coherent, Inc., Santa Clara, California). The Tables G-J also set forth the visual appearance of each treated zone, using the descriptors "slight/none", "partial", "complete", and "damaged". In this regard, below a certain threshold (e.g. for very low laser powers and/or very fast scan speeds), the multilayer optical film showed little or no ("slight/none") loss of reflective power over the treated zone. In other words, the reflective characteristic of the treated zone showed little or no change relative to the reflective characteristic of the untreated film. Above this threshold, "partial" reduction of reflectivity was clearly evident, wherein the reflective characteristic of the treated zone was substantially different from that of the untreated film, but significant reflection (and significant color in transmission) was still observed. At other combinations of laser power and scan speed (labeled "complete" in the tables below), the treated zone exhibited minimal reflectivity and was substantially transparent and colorless to the unaided eye, i.e., the treated zone behaved as a multilayer window. At still other combinations of laser power and scan speed (labeled "damaged" in the tables below), the film again became substantially transparent and colorless in the treated zone but exhibited defects such as blisters and charred marks.

Table G: Appearance of Treated Zones for Patterned Film 6a (0.062 wt% dye loading)

		Scan Speed (mm/sec)			
		250	300	350	400
<b>Laser power (watts)</b>	4.8	partial	slight/none	slight/none	slight/none
	5.3	damaged	partial	slight/none	slight/none
	5.7	damaged	complete	slight/none	slight/none

5 Table H: Appearance of Treated Zones for Patterned Film 6b (0.105 wt% dye loading)

		Scan Speed (mm/sec)			
		250	300	350	400
<b>Laser power (watts)</b>	3.5	damaged	partial	partial	slight/none
	3.9	damaged	damaged	complete	partial
	4.4	damaged	damaged	complete	complete

10 Table I: Appearance of Treated Zones for Patterned Film 6c (0.185 wt% dye loading)

		Scan Speed (mm/sec)			
		250	300	350	400
<b>Laser power (watts)</b>	1.8	partial	slight/none	slight/none	slight/none
	2.2	damaged	complete	partial	partial
	2.6	damaged	damaged	complete	complete

Table J: Appearance of Treated Zones for Patterned Film 6d (0.3 wt% dye loading)

		Scan Speed (mm/sec)			
		650	700	750	800
<b>Laser power (watts)</b>	2.7	complete	partial	partial	slight/none
	3.1	damaged	complete	complete	complete
	3.5	damaged	damaged	damaged	damaged

15 The laser power, scanning speed, beam shape, and dye loading combine to provide an effective energy transmitted to the processed region of the film under adiabatic conditions. Approximate or ballpark laser processing conditions can be estimated by determining the required energy for conversion assuming adiabatic conditions with a specification of the

20 film construction, background temperature, and knowledge of the various heat capacities, heats of fusion, and melting points. For purposes of the results reported above in Tables G-J, we evaluated a quantity which we refer to as an Energy Factor, which equals laser power multiplied by dye loading and divided by scan speed (beam shape was the same for

all the treated zones). Comparing this factor to the appearance of the various treated zones, we found a rough correlation between the Energy Factor and the appearance. The approximate ranges of the Energy Factor (in units of (watts)(wt%)(sec)/(mm)) for the various observed appearances of the treated zones of Tables G-J are provided in Table K:

5

Table K: Correlation of Treated Zone Appearance with Energy Factor

Appearance	Energy Factor
slight/none	< 0.0011
partial	0.0010 – 0.0013
complete	0.0011 – 0.0014
damaged	> 0.0013

As mentioned elsewhere herein, dispersion of the IR absorber or other absorbing agent may be an important consideration, including dye solubility limits and dissolution mechanics. For undissolved dyes and pigments, particle size and shape distributions may be important. For example, excessively large absorbing particles can over-heat relative to their surrounding film matrix, causing film defects such as degradation, wrinkling, blistering, layer delamination, or other damage. Film cleanliness may also be important, since surface and embedded dust and similar particulate matter may also cause random or unanticipated defects. Other considerations include the beam shape and frequency (if a pulsed source is used) of the laser source, the scanning pattern, the mounting of the film (e.g. onto a card or other substrate by lamination such as with an adhesive or by other means), and the heat transfer, e.g. as controlled by the various thermal conductivities within and heat transfer coefficients from the film. Thus, it is not surprising that the ranges of the Energy Factor across the samples of Tables G-J overlap for the various outcomes in Table K.

#### Multilayer Optical Film 9, and Patterned Film 7

A cast web similar to Cast Webs 2 and 3 was made substantially as set forth in Table B above (thus comprising 151 layers, alternating materials of PENGb and coPEN 55/45 HD, and 1 wt% loading of Epolite™ 4121), except that the new cast web had a thickness of approximately 420 microns by changing the speed of the casting wheel. A Multilayer Optical Film 9 was made from this cast web by biaxially stretching the web under conditions similar to those of Multilayer Optical Film 5 above (see Table D), except that an initial strain rate of 100%/sec was used in both the x- and y- direction

30

(simultaneous biaxial stretch). The resulting birefringent Multilayer Optical Film 9 provided a reflective mirror film with a reflection band in the near IR. The Film 9 was substantially transparent and nearly colorless, but had a slight rosy hue when viewed at normal incidence in transmitted light against a white background. By tilting the film to provide oblique-angle viewing, a cyan color was observed in transmitted light. The Film 9 thus substantially exemplified a so-called "clear-to-cyan" film as described in U.S. Patent 6,045,894 (Jonza et al.). The Film 9 was then laminated between clear polycarbonate (Makrolon<sup>TM</sup>) and furthermore backed by white Makrolon<sup>TM</sup> sheets to form a white card backing laminate structure.

The Multilayer Optical Film 9, in the laminate structure described above, was laser processed similarly to the Patterned Film 5 above, using the Micra Ultrafast oscillator laser tuned to 800 nm with a 70 MHz pulse rate. The laser treated version of Multilayer Optical Film 9 is referred to herein as Patterned Film 7. The laser had an average power of 870 mW and a beam radius of about 30 microns. The film was scanned by a series of line sweeps spaced 20 microns apart over an area of about 0.25 square cm at various scan speeds between 120 and 50 mm/sec. The reflectivity of the treated area progressively diminished as the scan speed decreased from 120 to 50 mm/sec. At 80 mm/sec, the treated area was visibly clear. At normal incidence, the writing of the treated area was not easily discernable against the faint rosy-hued background. When viewed at oblique off-normal angles, the background (untreated zones of Patterned Film 7) became cyan in color, while the treated area (treated zone, in particular the treated zone using a scan speed of 80 mm/sec) remained substantially clear, providing good contrast with the cyan background that rendered the written pattern (i.e., the treated zone) easily visible and easily identifiable. In further examples of internal patterning, the selective laser treatment was used to produce treated zones in the film in the form of alphanumeric letters. Such zones again were not easily discernable for normal angle viewing, but were easily discernable for oblique angle viewing due to the substantially colorless appearance of the letter-shaped zones against the cyan-colored background. In this manner, a name and address could be written into interior layers of the multilayer optical film such that they were clearly visible upon off-normal angle viewing.

## Multilayer Optical Film 10, and Patterned Film 8

Another 151-layer cast web was made in the same way as Cast Web 4d described above (used to make Multilayer Optical Film 8d and Patterned Film 6d), except that the casting wheel speed was adjusted to provide the new cast web with a slightly greater  
5 thickness in order to shift the reflection band of a later-made multilayer optical film to longer optical wavelengths. The new cast web was then stretched in substantially the same manner as Multilayer Optical Film 8d, to provide a multilayer optical film having a mirror-like reflection band disposed mostly in the near IR portion of the spectrum at normal incidence. This film, referred to herein as Multilayer Optical Film 10, exhibited  
10 only a slight cyan tint at normal incidence, and became strongly cyan in color at oblique incidence. The Multilayer Optical Film 10 thus closely approximates a so-called "clear-to-cyan" film as described in U.S. Patent 6,045,894 (Jonza et al.).

The Film 10 was then processed similarly to the Patterned Films 6a-6d using the same laser configuration, and the resulting laser-treated film is referred to herein as  
15 Patterned Film 8. The laser power and scan speed settings were readily adjusted to provide treated areas or zones of reduced reflectivity. At normal incidence, the treated zones were only slightly discernable. When viewed off-normal angle (at oblique angles), the background became strongly cyan in color and the treated areas remained substantially colorless, thus clearly displaying the written pattern.

## Multilayer Optical Film 11, and Patterned Film 9

A multilayer optical film similar to Multilayer Optical Film 10 described above was fabricated, except that Amaplast<sup>TM</sup> IR-1050 dye (available from ColorChem, Atlanta, GA) was substituted for the Amaplast<sup>TM</sup> IR-1000 dye. The drawn film, referred to herein  
25 as Multilayer Optical Film 11, thus exemplified a so-called "clear-to-cyan" film as described in U.S. Patent 6,045,894 (Jonza et al.).

The film was then internally patterned using laser processing substantially as carried out in connection with Patterned Films 6a-6d using the same laser configuration. The newly patterned film, made using the Multilayer Optical Film 11, is referred to herein  
30 as Patterned Film 9. The laser processing resulted in treated areas or zones of reduced reflectivity. At normal incidence, the writing (treated zones) was only slightly discernable. When viewed off-normal angle, the background or untreated portions of the



Patterned Film 9 appeared cyan in color, while the treated area remained substantially colorless, thus clearly displaying the written pattern.

The Patterned Films 1 through 9 were all fabricated using laser processing to selectively deliver heat to the treated zones of a multilayer optical film, without applying any selective force or pressure to those treated zones. In each of these cases, the laser treatment was effective to reduce birefringence of microlayers internal to the film so as to provide a modified reflective characteristic that differed from the reflective characteristic of untreated portions of the multilayer optical film, and the modified reflective characteristic was not substantially attributable to any change in thickness of the multilayer optical film in the treated zones.

This disclosure encompasses multilayer optical films that contain at least one microlayer stack suitable for processing, or that has been processed, in one or more processed zones in any desired pattern or design, the processed zones being characterized by a reduced birefringence of at least some of the microlayers that make up the stack, and a changed reflective characteristic associated with the reduced birefringence. The degree of processing, and/or the degree of birefringence reduction, may be the same in all processed areas, or it may be different. For example, the stack may have one or more first areas or zones with no processing, and with a first reflective characteristic associated with a first degree of birefringence. The stack may also have one or more second areas or zones with a second degree of processing, a second reflective characteristic, and a second degree of birefringence. The stack may further include one or more third areas or zones with a third degree of processing, a third reflective characteristic, and a third degree of birefringence, and so forth without limitation. The first, second, third, etc. zones or areas of the stack may be arranged in any desired manner. The transition from an unprocessed first zone to a fully processed second zone may be spatially abrupt or gradual. If gradual, then areas of the film between the first and second zones may be characterized as third, fourth, etc. zones having intermediate levels of processing.

The teachings of the present application can be used in combination with the teachings of any or all of the following commonly assigned applications, which are incorporated herein by reference: U.S. Provisional Application No. 61/139,736, "Internally Patterned Multilayer Optical Films Using Spatially Selective Birefringence Reduction", filed Dec. 22, 2008; U.S. Provisional Application No. 61/157,996,

“Multilayer Optical Films Having Side-by-Side Mirror/Polarizer Zones”, filed March 6, 2009; and U.S. Provisional Application No. 61/158,006, “Multilayer Optical Films Suitable for Bi-Level Internal Patterning”, filed March 6, 2009.

The teachings of this application can be used in combination with the teachings of  
5 any or all of the following commonly assigned applications being filed on even date  
herewith, which are incorporated herein by reference: International Application No.  
PCT/US2009/XXXXXX (Attorney Docket No. 65037WO003), “Multilayer Optical Films  
Having Side-by-Side Mirror/Polarizer Zones”; International Application No.  
PCT/US2009/XXXXXX (Attorney Docket No. 65038WO003), “Multilayer Optical Films  
10 Suitable for Bi-Level Internal Patterning”; International Application No.  
PCT/US2009/XXXXXX (Attorney Docket No. 65848WO002), “Internally Patterned  
Multilayer Optical Films With Multiple Birefringent Layers”; and International  
Application No. PCT/US2009/XXXXXX (Attorney Docket No. 65849WO002),  
“Multilayer Optical Films Having Side-by-Side Polarizer/Polarizer Zones”.

15 Unless otherwise indicated, all numbers expressing quantities, measurement of  
properties and so forth used in the specification and claims are to be understood as being  
modified by the term “about”. Accordingly, unless indicated to the contrary, the  
numerical parameters set forth in the specification and claims are approximations that can  
vary depending on the desired properties sought to be obtained by those skilled in the art  
20 utilizing the teachings of the present application. Not as an attempt to limit the application  
of the doctrine of equivalents to the scope of the claims, each numerical parameter should  
at least be construed in light of the number of reported significant digits and by applying  
ordinary rounding techniques. Notwithstanding that the numerical ranges and parameters  
setting forth the broad scope of the invention are approximations, to the extent any  
25 numerical values are set forth in specific examples described herein, they are reported as  
precisely as reasonably possible. Any numerical value, however, may well contain errors  
associated with testing or measurement limitations.

Various modifications and alterations of this invention will be apparent to those skilled in the art without departing from the scope and spirit of this invention, and it should be understood that this invention is not limited to the illustrative embodiments set forth herein. All U.S. patents, patent application publications, and other patent and non-  
5 patent documents referred to herein are incorporated by reference, to the extent they are not inconsistent with the foregoing disclosure.

## CLAIMS

1. A multilayer optical film, comprising:

a plurality of interior layers arranged to selectively reflect light by constructive or  
5 destructive interference, the layers extending from a first zone to a neighboring  
second zone of the film;

wherein in the first zone the film has a first thickness and the plurality of layers  
provides a first reflective characteristic;

wherein in the second zone the film has a second thickness and the plurality of  
10 layers provides a second reflective characteristic different from the first  
reflective characteristic; and

wherein the difference between the first and second reflective characteristics is not  
substantially attributable to any difference between the first and second  
thicknesses.

15 2. The film of claim 1, wherein the first reflective characteristic comprises a first  
reflection band at a given incidence geometry and the second reflective characteristic  
comprises a second reflection band at the given incidence geometry, the second reflection  
band having little or no spectral shift relative to the first reflection band, but the second  
20 reflection band having a substantially different peak reflectivity than the first reflection  
band.

3. The film of claim 2, wherein the second reflection band has a spectral shift in  
wavelength of no more than 10% relative to the first reflection band, and wherein the peak  
25 reflectivity of the second reflection band differs from the peak reflectivity of the first  
reflection band by at least 10%.

4. The film of claim 2, wherein the second reflection band has a spectral shift in  
wavelength of no more than 10% relative to the first reflection band, and wherein the peak  
30 reflectivity of the second reflection band differs from the peak reflectivity of the first  
reflection band by at least 20%.

5. The film of claim 1, wherein the first and second thicknesses are substantially the same.

6. The film of claim 5, wherein the film exhibits a variability in thickness  $\Delta d$  over the first zone, wherein the first thickness is an average thickness in the first zone, and wherein the second thickness is an average thickness in the second zone that differs from the first thickness by no more than  $\Delta d$ .

7. The film of claim 1, wherein the first reflective characteristic comprises a first reflectivity for a given incident light beam having a given incidence direction, a given wavelength, and a given polarization state, and the second reflective characteristic comprises a second reflectivity for the given incident light beam, the second reflectivity being substantially different from the first reflectivity.

8. The film of claim 1, wherein the first reflective characteristic includes a reflectivity for normally incident light that substantially changes as a function of polarization state, and the second reflective characteristic includes a reflectivity for normally incident light that is substantially less dependent on polarization state.

9. The film of claim 1, wherein the plurality of interior layers comprise at least one stack of microlayers arranged into optical repeat units, each optical repeat unit comprising a first microlayer that is birefringent in the first zone and less birefringent or isotropic in the second zone.

10. The film of claim 9, wherein each optical repeat unit further comprises a second microlayer that is isotropic in both the first and second zones.

11. The film of claim 1, wherein the plurality of interior layers comprises a first and second stack of microlayers separated from each other by an optically thick layer, the microlayers of each stack arranged into optical repeat units.

12. The film of claim 11, wherein the optical repeat units in the first stack each include a first microlayer that is birefringent in the first zone and less birefringent or isotropic in the

second zone, and wherein the second stack is substantially unchanged in the second zone relative to the first zone.

13. The film of claim 1, wherein the first and second zones define indicia.

5

14. An optical body comprising the film of claim 1 attached to an additional film.

15. The optical body of claim 14, wherein the second reflective characteristic is a window-like characteristic, and the additional film comprises a polarizer.

10

16. The optical body of claim 15, wherein the first reflective characteristic is a mirror or polarizer characteristic.

17. A multilayer optical film, comprising:

15

a plurality of interior layers arranged to selectively reflect light by constructive or destructive interference, the layers extending from a first zone to a neighboring second zone of the film;

wherein at least some of the layers exhibit different amounts of birefringence in the first zone relative to the second zone; and

20

wherein the plurality of layers exhibits differing first and second reflective characteristics in the first and second zones respectively substantially as a result of the differing birefringence.

18. The film of claim 17, the film has a first thickness in the first zone and a second thickness in the second zone, and wherein the difference between the first and second reflective characteristics is not substantially attributable to any difference between the first and second thicknesses.

25

19. An optical body comprising the film of claim 17 attached to an additional film.

30

20. The optical body of claim 19, wherein the second reflective characteristic is a window-like characteristic, and the additional film comprises a polarizer.

21. The optical body of claim 20, wherein the first reflective characteristic is a mirror or polarizer characteristic.

5 22. A method of making a patterned multilayer optical film, comprising:

providing a multilayer optical film that comprises a plurality of interior layers  
arranged to provide a first reflective characteristic associated with constructive  
or destructive interference of light, the interior layers extending from a first  
zone to a neighboring second zone of the film, and the first and second zones  
10 each exhibiting the first reflective characteristic; and

selectively heating the film in the second zone in an amount sufficient to cause the  
second zone to exhibit a second reflective characteristic that is also associated  
with constructive or destructive interference of light, but that differs from the  
first reflective characteristic, the selective heating being applied without any  
15 substantial reduction in thickness of the film in the second zone.

23. The method of claim 22, wherein the selective heating comprises directing laser light  
at at least a portion of the second zone of the film.

20 24. The method of claim 22, wherein the first reflective characteristic comprises a  
reflection band that shifts in wavelength as a function of incidence angle, and wherein the  
selective heating comprises directing light at an oblique angle of incidence.

25 25. The method of claim 22, wherein the first reflective characteristic comprises a  
reflection band that extends over at least a portion of the visible spectrum at a given angle  
of incidence, and wherein the selective heating comprises directing light having a  
wavelength of at least 325 nm at at least a portion of the second zone of the film.

30 26. The method of claim 25, wherein the directed light has a wavelength in a near infrared  
portion of the spectrum.

27. The method of claim 17, wherein the laser light is confined to a spot size on the film smaller than the second zone, and wherein the selectively heating step comprises scanning the laser light within the second zone to provide the film with a substantially uniform appearance in the second zone that differs from an appearance of the first zone.

5

28. A method of making a patterned multilayer optical film, comprising:

providing a multilayer optical film that comprises a plurality of interior layers arranged into optical repeat units to selectively reflect light by constructive or destructive interference, the layers extending from a first zone to a neighboring  
10 second zone of the film, and at least some of the optical repeat units each including a first layer that is birefringent in both the first and second zones; and selectively heating the film in the second zone in an amount sufficient to reduce or eliminate the birefringence of the first layers in the second zone while  
15 maintaining the birefringence of the first layers in the first zone, the heating being low enough to maintain the structural integrity of the layers in the second zone, and the heating being applied without any selective application of pressure to the second zone.

29. A multilayer optical film, comprising:

20 a plurality of interior layers arranged to selectively reflect light by constructive or destructive interference to provide a first reflective characteristic; wherein the plurality of interior layers comprises a first set of layers composed of a first material and a second set of layers composed of a second material different from the first material, at least one of the first and second sets of  
25 layers being birefringent; and wherein the film has an absorption characteristic tailored to heat the interior layers, in response to the film being illuminated with a suitable light beam, sufficiently to change a birefringence of at least some of the interior layers while maintaining a structural integrity of the plurality of interior layers, such change  
30 in birefringence being sufficient to change the first reflective characteristic to a different second reflective characteristic.

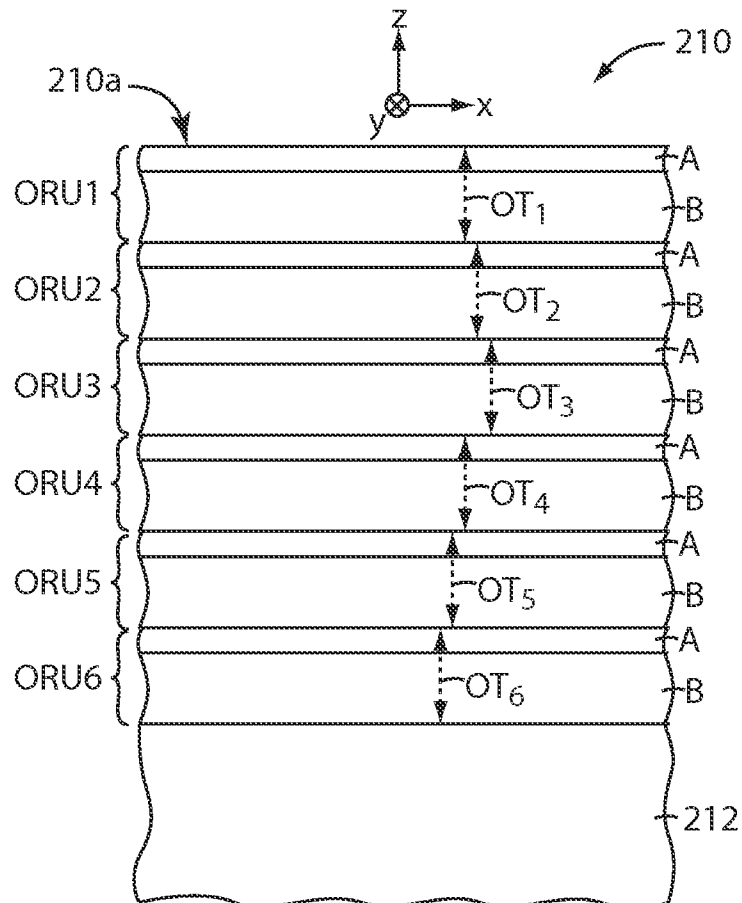
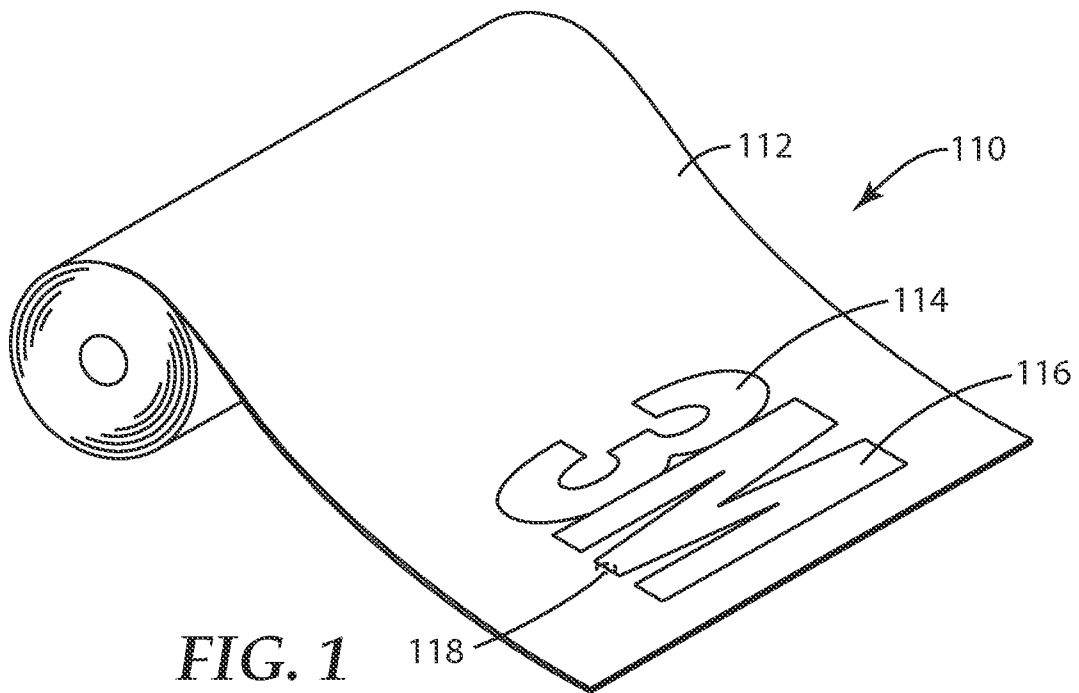


30. The film of claim 29, wherein the first material comprises a first polymer and an absorbing dye or pigment.

31. The film of claim 30, wherein the absorbing dye or pigment preferentially absorbs  
5 light at wavelengths greater than 700 nm.

32. The film of claim 29, wherein the first reflective characteristic is substantially one  
of a mirror, polarizer, or window characteristic, and wherein the second reflective  
characteristic is substantially a different one of a mirror, polarizer, or window  
10 characteristic.

33. An optical body comprising the film of claim 29 attached to an additional film.



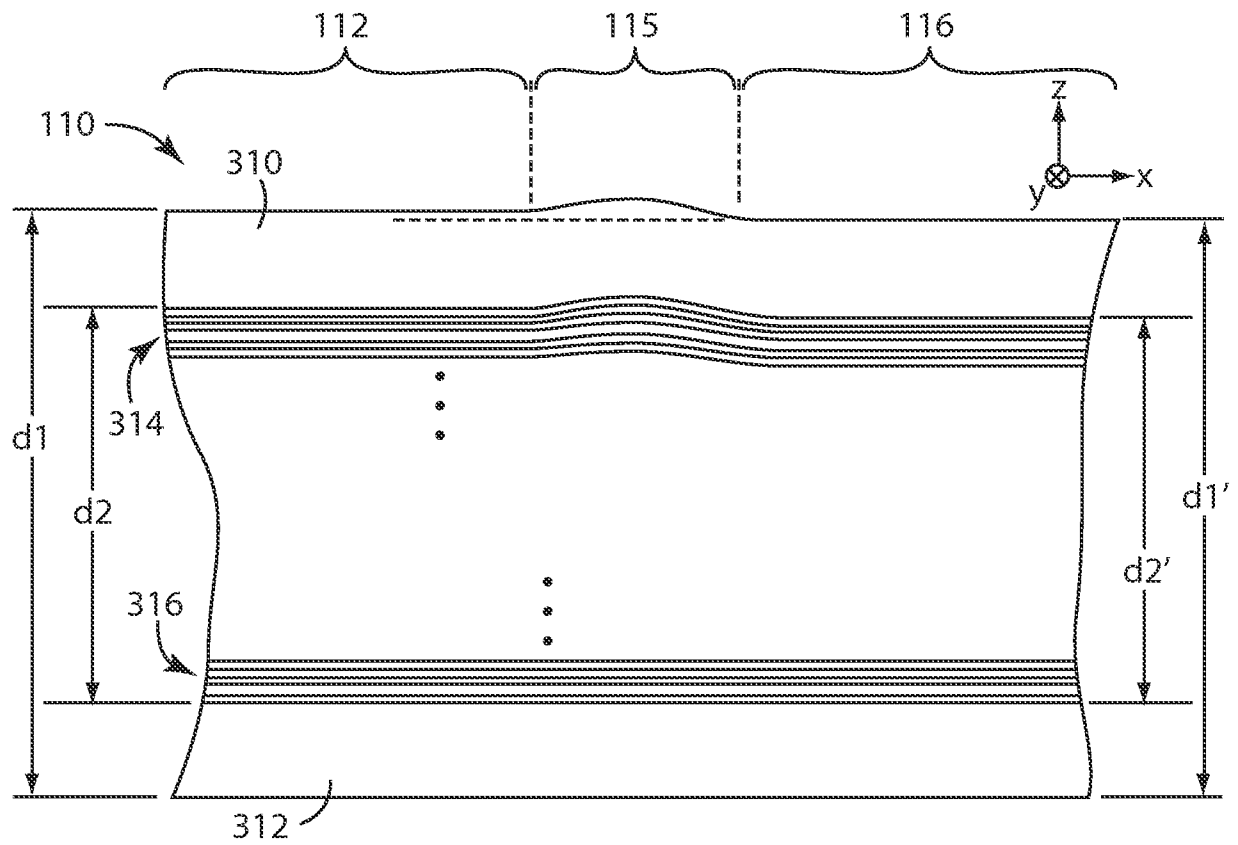


FIG. 3

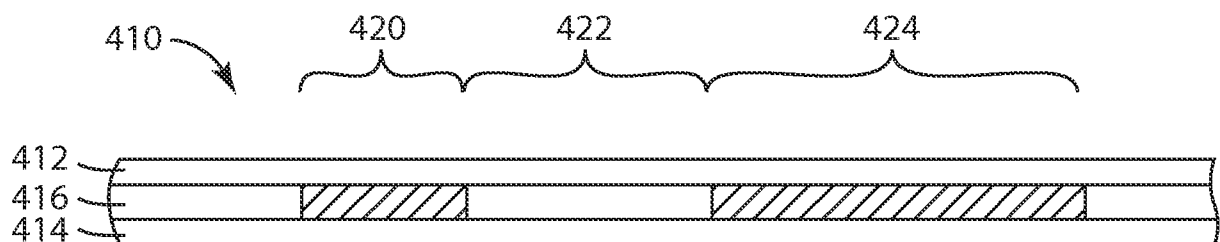
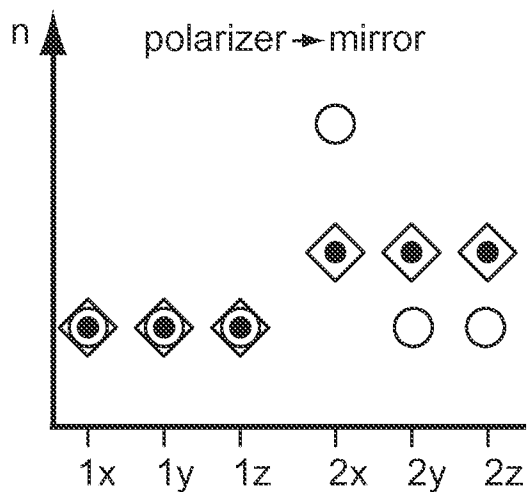
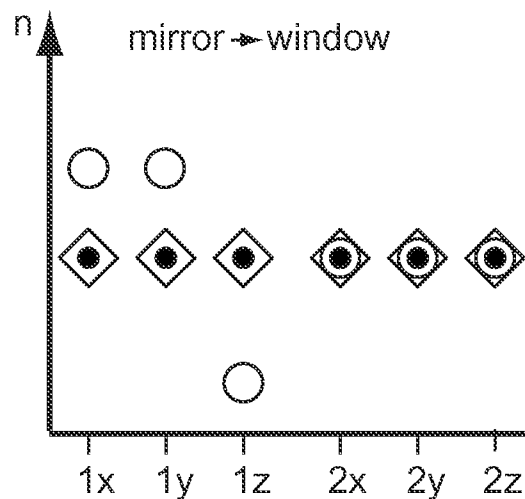


FIG. 4

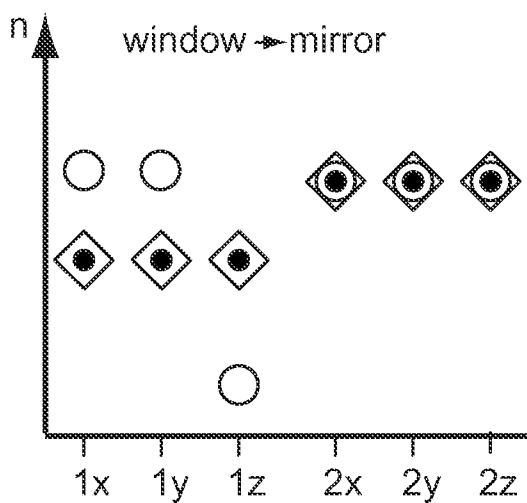
3/11



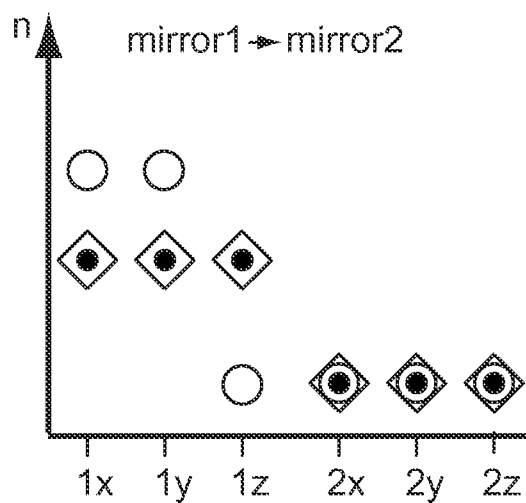
**FIG. 5A**



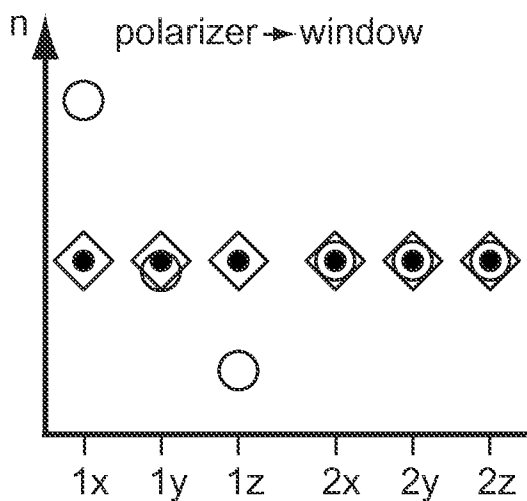
**FIG. 5B**



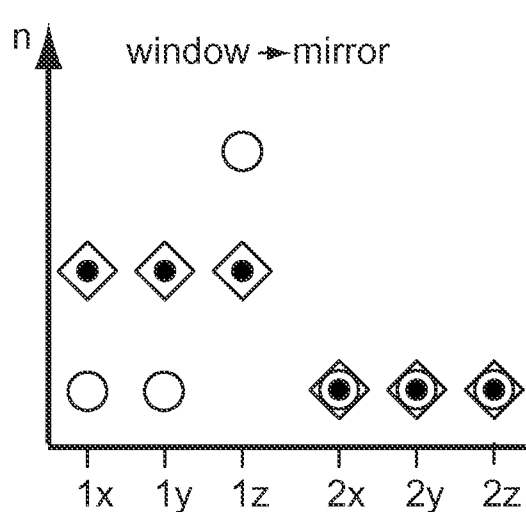
**FIG. 5C**



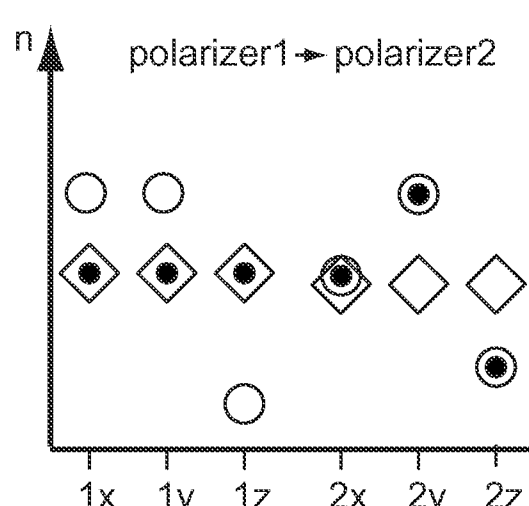
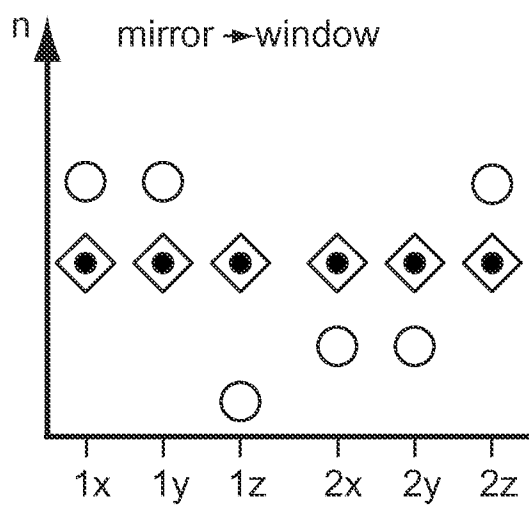
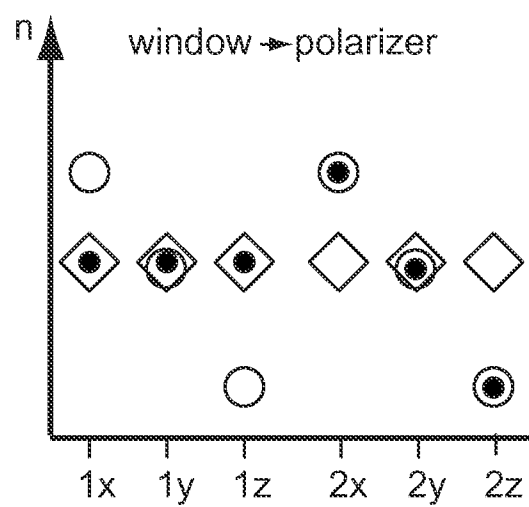
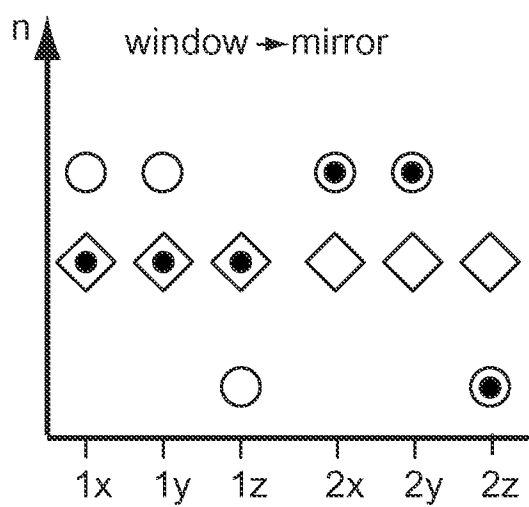
**FIG. 5D**

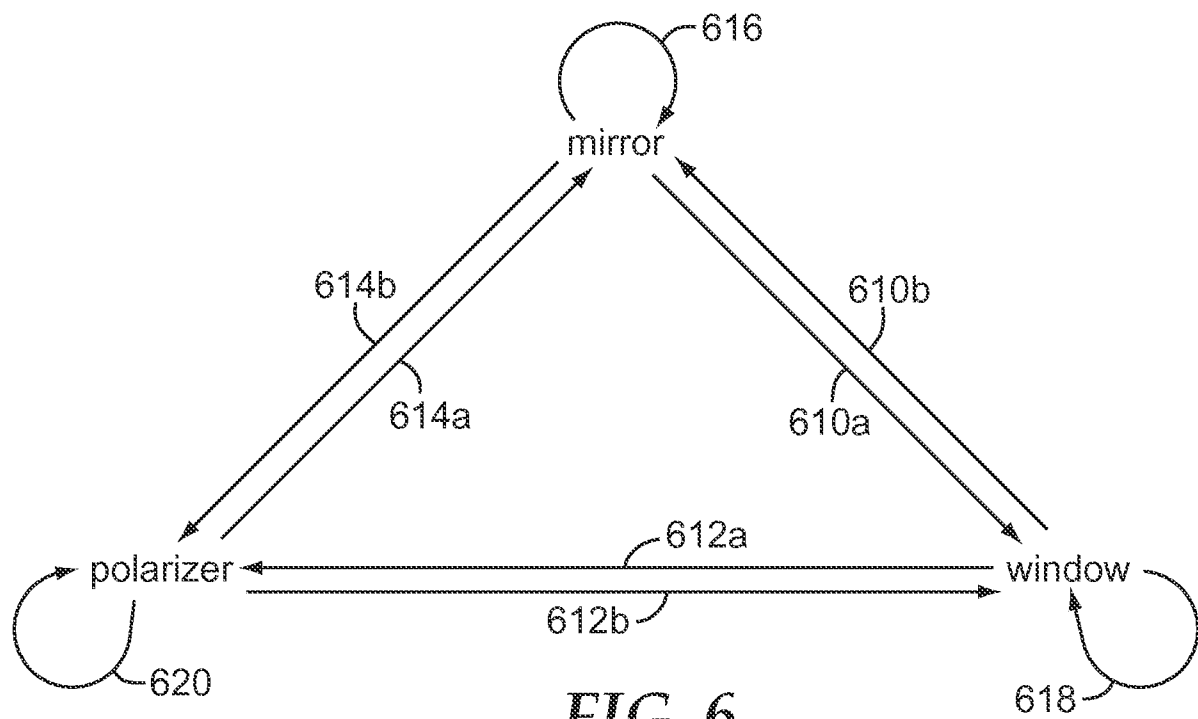


**FIG. 5E**

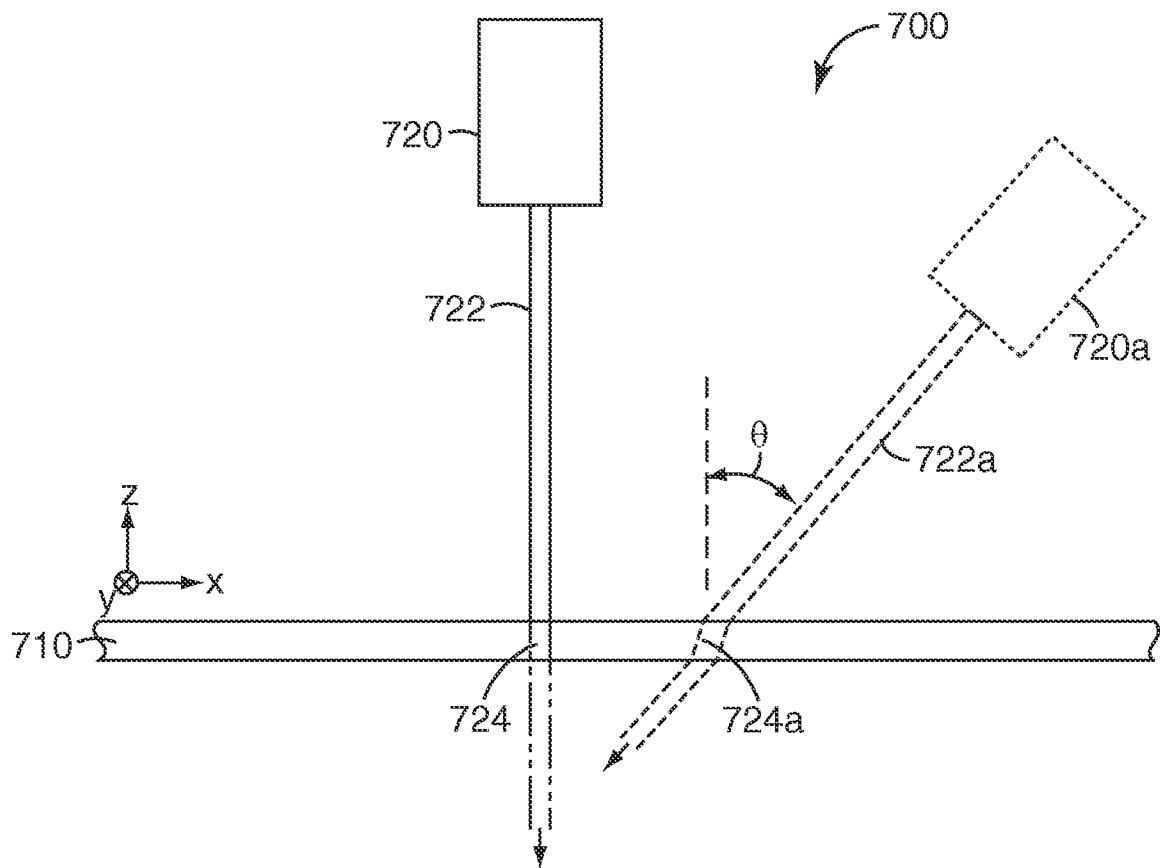


**FIG. 5F**

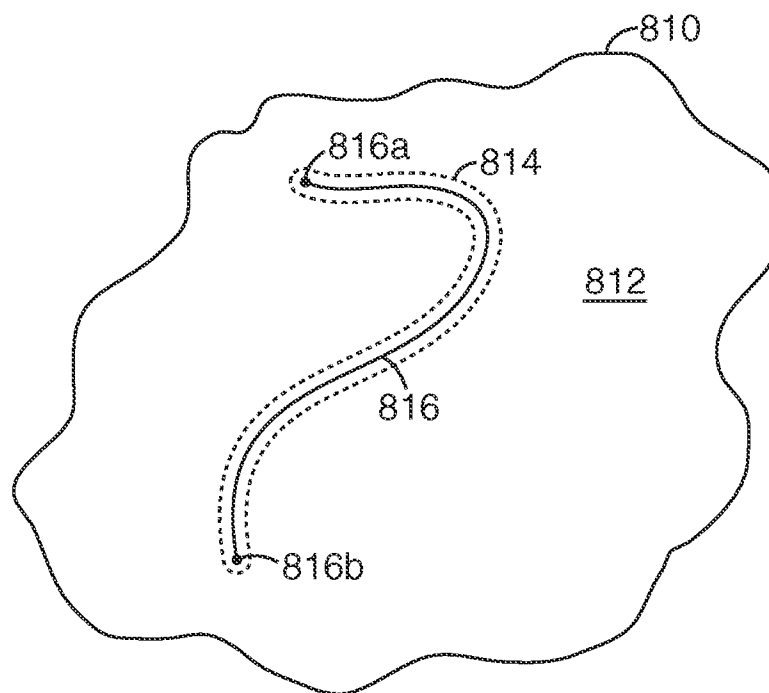




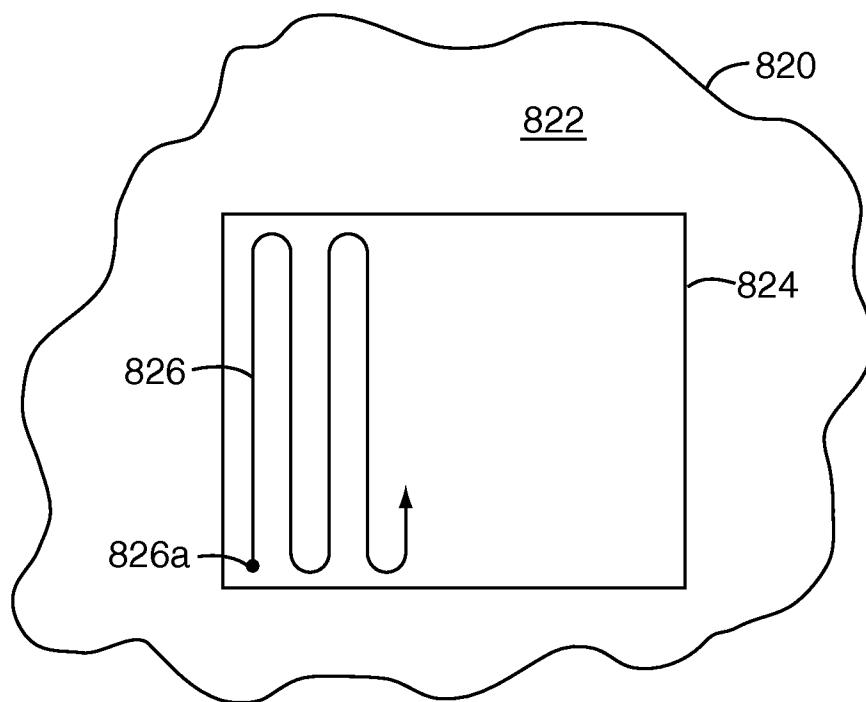
**FIG. 6**



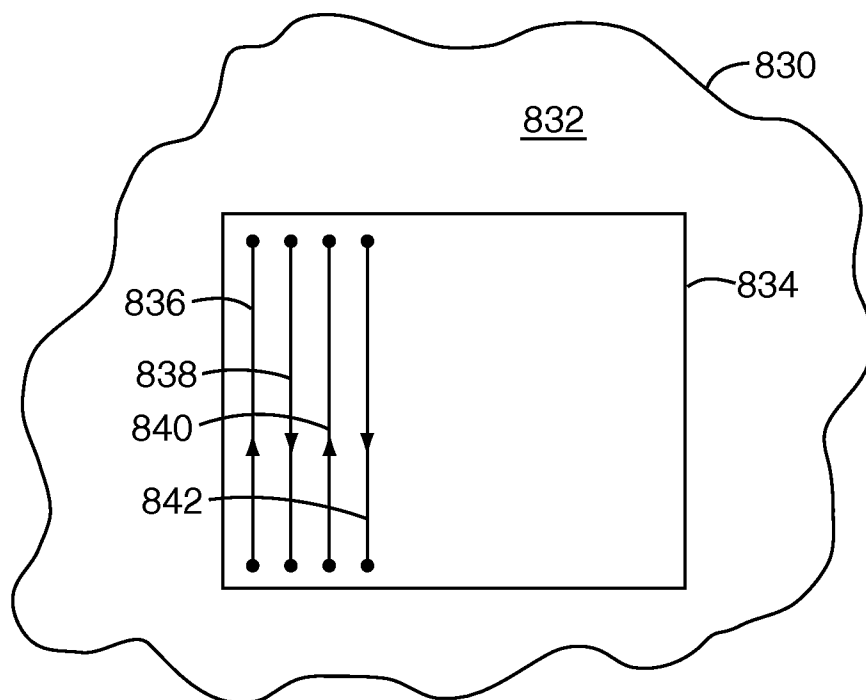
**FIG. 7**



**FIG. 8A**

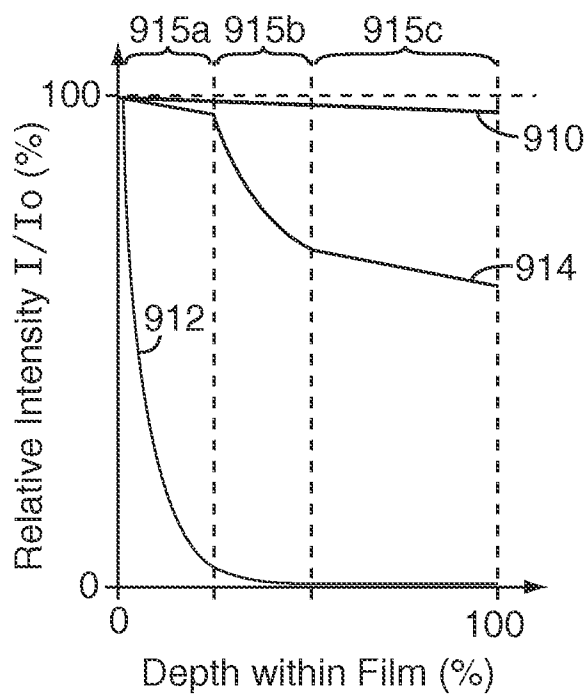


**FIG. 8B**

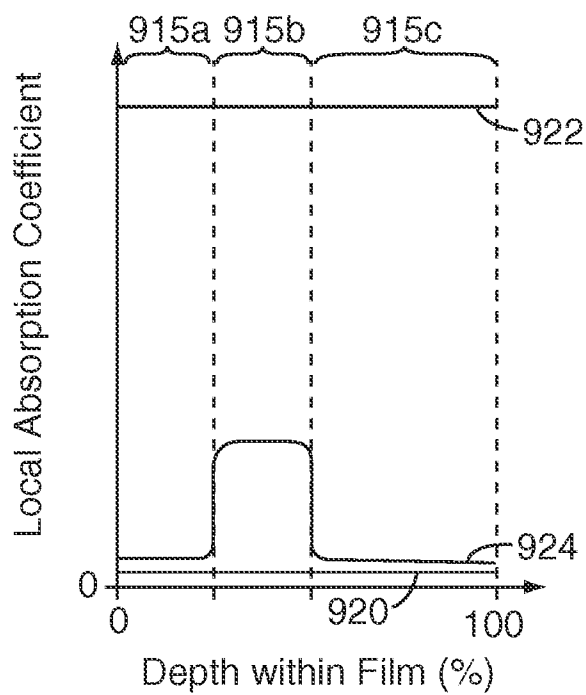


**FIG. 8C**



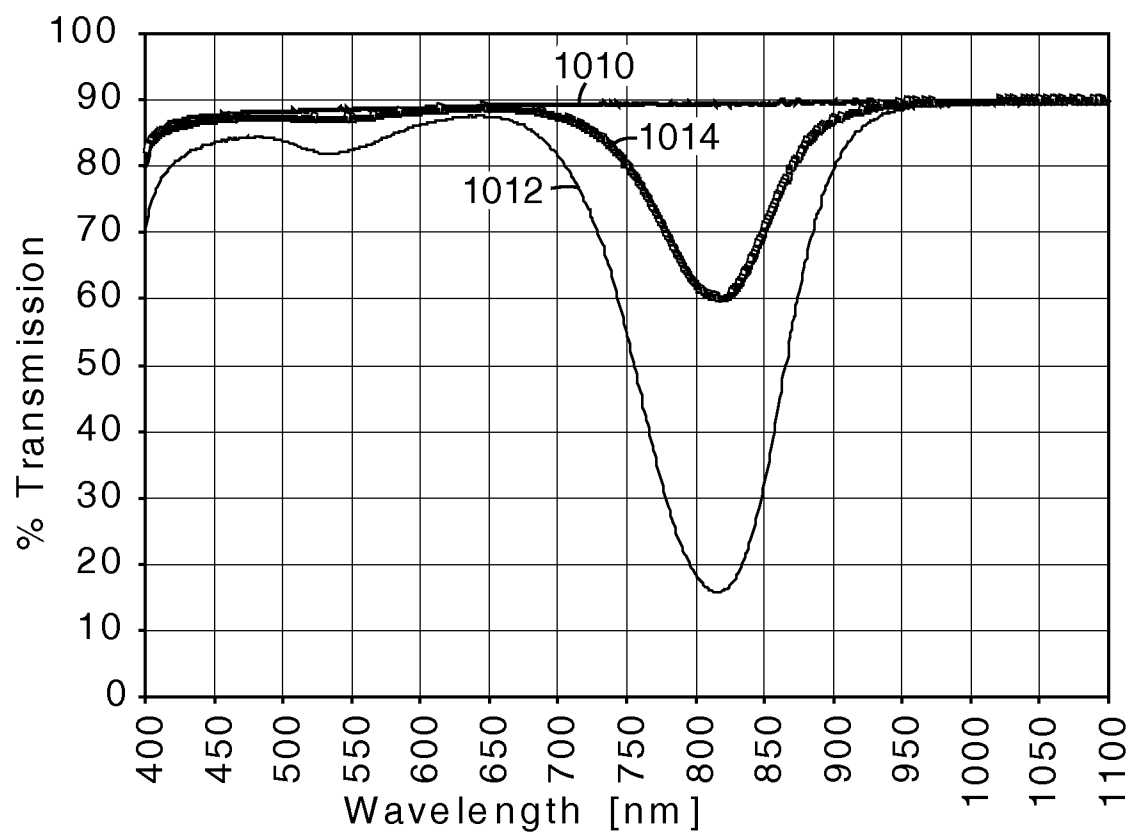


**FIG. 9A**

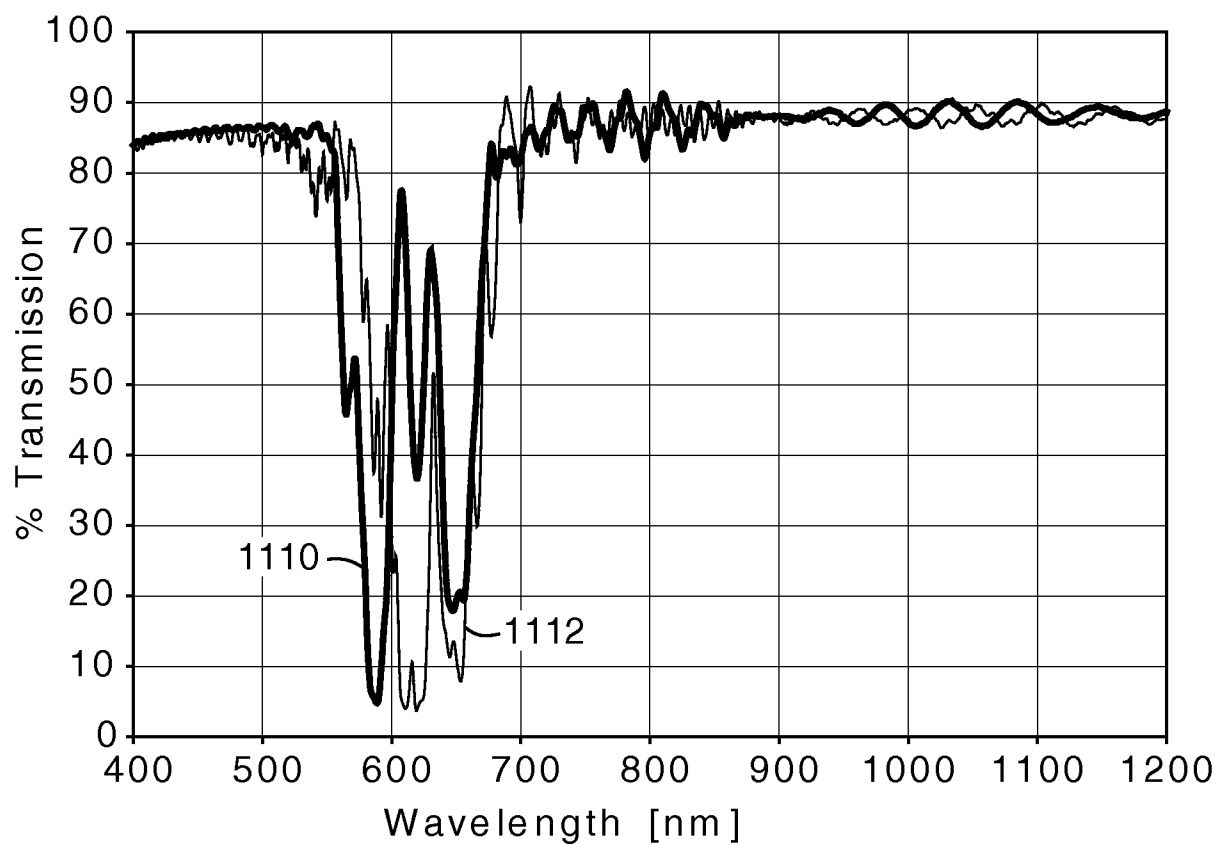


**FIG. 9B**

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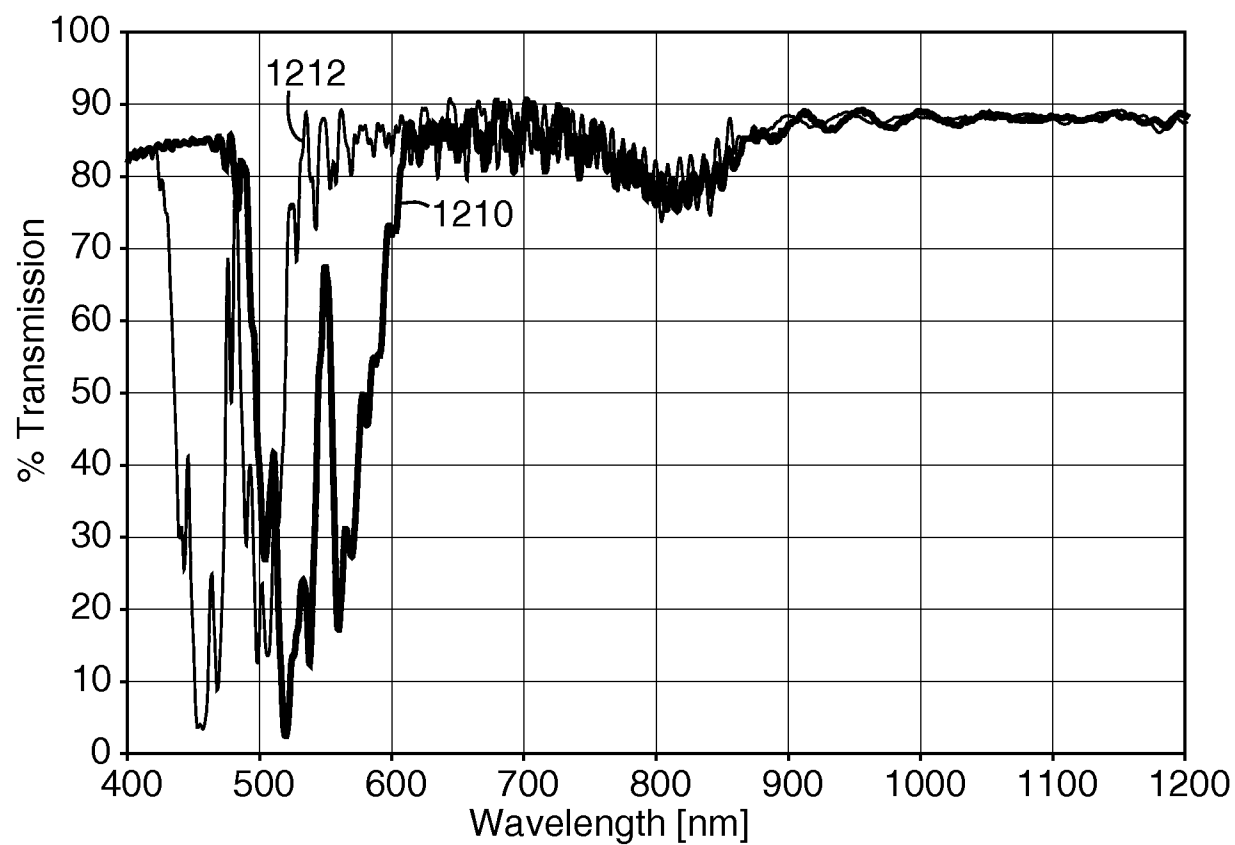


**FIG. 10**

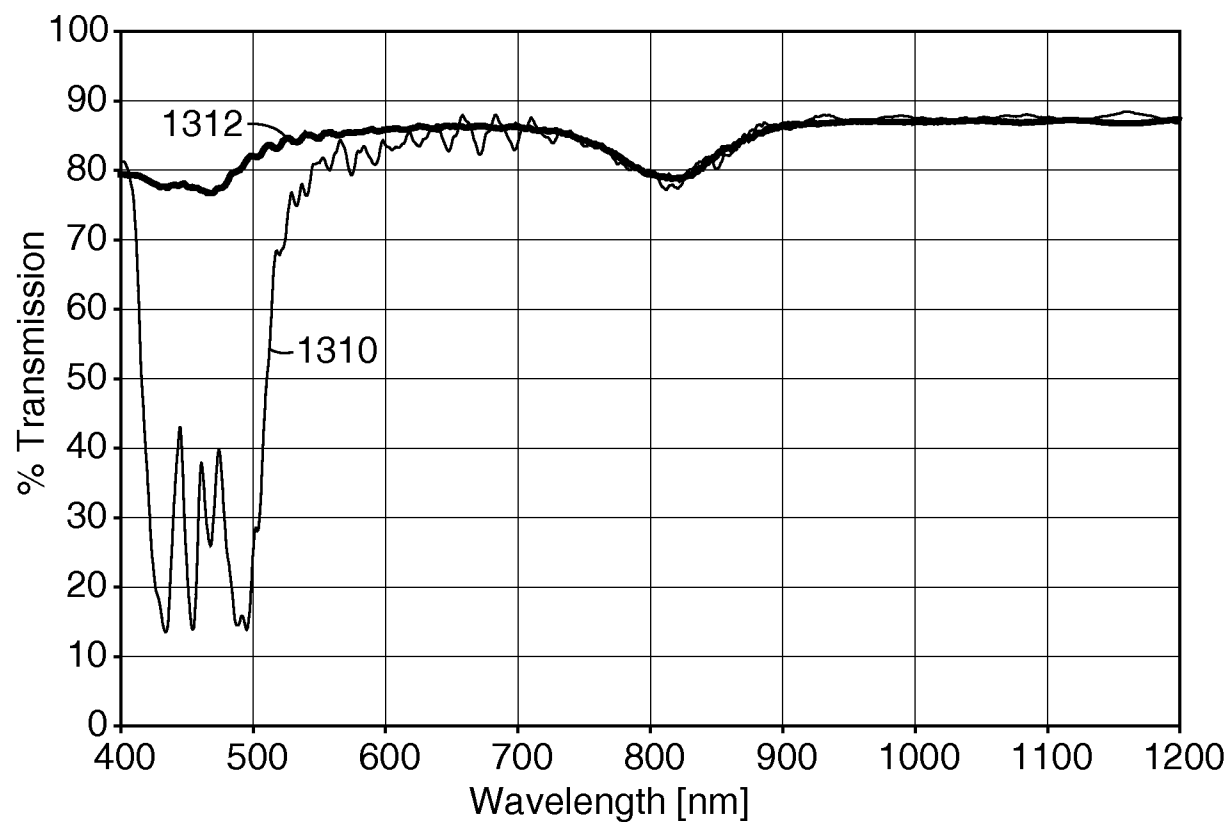


**FIG. 11**

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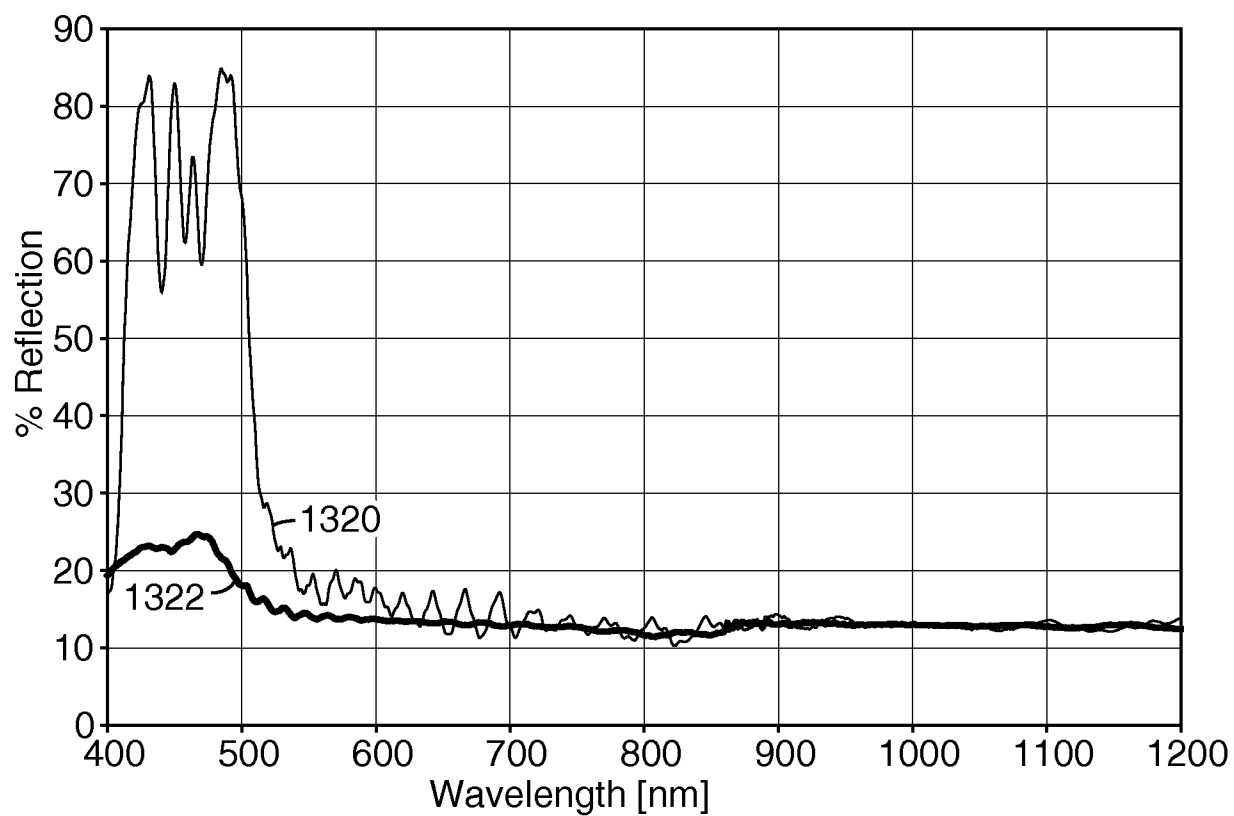


**FIG. 12**

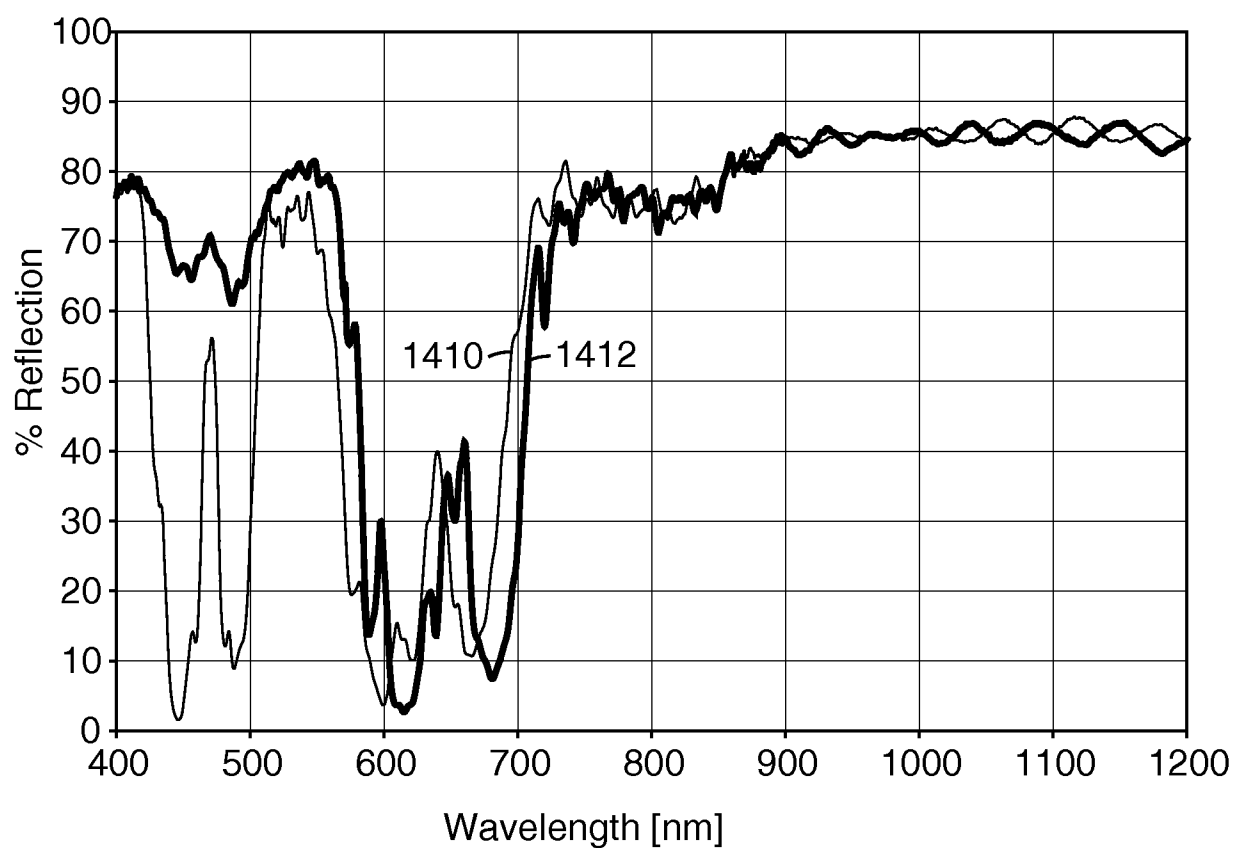


**FIG. 13A**

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**FIG. 13B**



**FIG. 14**

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2009/069153

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - G02B 5/30 (2010.01)

USPC - 359/487

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8) - G02B 5/30 (2010.01)

USPC - 359/487, 488, 489, 494, 497, 498, 500; 428/212, 213, 215, 216

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PatBase

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2008/0174848 A1 (KIM et al) 24 July 2008 (24.07.2008) entire document	1-33
Y	US 4,446,305 A (ROGERS et al) 01 May 1984 (01.05.1984) entire document	1-27, 29-33
Y	US 2002/0154406 A1 (MERRILL et al) 24 October 2002 (24.10.2002) entire document	8, 13
Y	US 6,179,948 B1 (MERRILL et al) 30 January 2001 (30.01.2001) entire document	22-27, 29-33
Y	US 6,099,758 A (VERRALL et al) 08 August 2000 (08.08.2000) entire document	23, 25-27, 29-33
Y	US 2004/0051875 A1 (MI et al) 18 March 2004 (18.03.2004) entire document	24, 27
Y	US 6,268,961 B1 (NEVITT et al) 31 July 2001 (31.07.2001) entire document	28
Y	US 2003/0178609 A1 (HAMMOND-SMITH et al) 25 September 2003 (25.09.2003) entire document	28
A	US 6,788,463 B2 (MERRILL et al) 07 September 2004 (07.09.2004) figure 1, column 6 lines 13-58	1-33

☐ Further documents are listed in the continuation of Box C.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

15 April 2010

Date of mailing of the international search report

23 APR 2010

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents  
P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-3201

Authorized officer:

Blaine R. Copenheaver

PCT Helpdesk: 571-272-4300

PCT OSP: 571-272-7774

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2009/069153

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:  
See extra sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☒ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2009/069153

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claims 1-16, drawn to a multilayer optical film wherein the difference between the first and second reflective characteristics is not substantially attributable to any difference between the first and second thicknesses.

Group II, claims 17-21, drawn to a multilayer optical film wherein the plurality of layers exhibits differing first and second reflective characteristics in the first and second zones respectively substantially as a result of the differing birefringence of both zones.

Group III, claims 22-33, drawn to a multilayer optical film comprising selectively heating the film in a zone.

The inventions listed as Groups I through III do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the special technical feature of the Group I invention is the difference between the first and second reflective characteristics is not substantially attributable to any difference between the first and second thicknesses as claimed therein and is not present in the invention of Groups II and III; the special technical feature of the Group II invention is the plurality of layers exhibits differing first and second reflective characteristics in the first and second zones respectively substantially as a result of the differing birefringence of both zones as claimed therein and is not present in the invention of Groups I and III; and the special technical feature of the Group III invention is selectively heating the film in a zone as claimed therein and is not present in the invention of Groups I and II.

Groups I to III lack unity of invention because even though the inventions of these groups require the technical feature of a multilayer optical film comprising two birefringent or differently reflective zones, this technical feature is not a special technical feature as it does not make a contribution over the prior art in view of US 6,788,463 B2 (MERRILL et al) 07 September 2004 (07.09.2004) figure 1, column 6 lines 13-58.

Since none of the special technical features of the Group I through III inventions is found in more than one of the inventions, unity of invention is lacking.