

**(12) STANDARD PATENT  
(19) AUSTRALIAN PATENT OFFICE**

**(11) Application No. AU 2003232803 B2**

(54) Title  
**Hip joint prosthesis comprising a shaft to be inserted into the femur**

(51) International Patent Classification(s)  
**A61F 2/36** (2006.01)      **A61F 2/00** (2006.01)  
**A61F 2/30** (2006.01)      **A61F 2/28** (2006.01)  
**A61B 17/16** (2006.01)      **A61F 2/46** (2006.01)

(21) Application No: **2003232803**      (22) Date of Filing: **2003.05.20**

(87) WIPO No: **WO04/069102**

(30) Priority Data

(31) Number **10/361,007**      (32) Date **2003.02.10**      (33) Country **US**

(43) Publication Date: **2004.08.30**  
(43) Publication Journal Date: **2004.09.30**  
(44) Accepted Journal Date: **2010.02.25**

(71) Applicant(s)  
**Waldemar Link GmbH & Co. KG**

(72) Inventor(s)  
**Link, Helmut D.**

(74) Agent / Attorney  
**Griffith Hack, Level 19 109 St Georges Terrace, Perth, WA, 6000**

(56) Related Art  
**FR 2194123 A**  
**WO 1993/08771 A1**  
**US 5658349 A**  
**US 2002/127261 A1**

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES  
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum  
Internationales Büro



(43) Internationales Veröffentlichungsdatum  
19. August 2004 (19.08.2004)

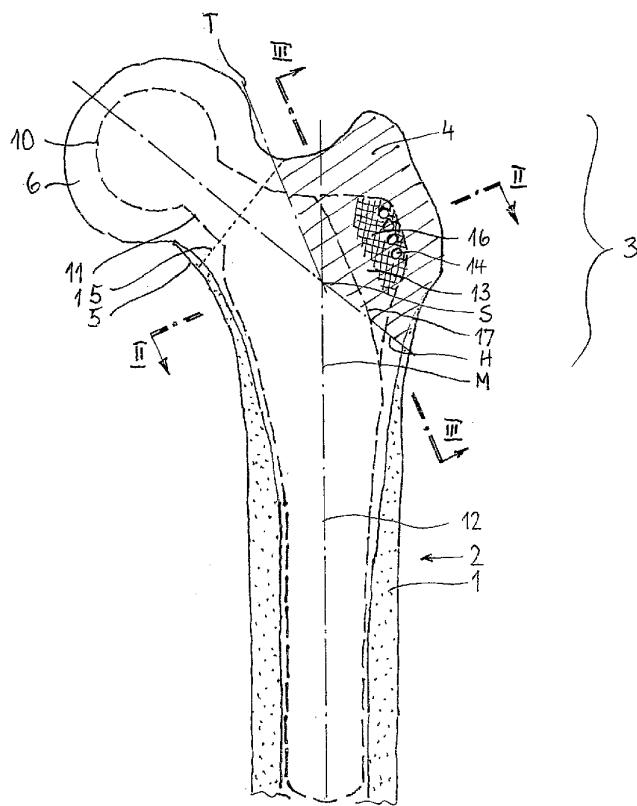
PCT

(10) Internationale Veröffentlichungsnummer  
WO 2004/069102 A1

(51) Internationale Patentklassifikation<sup>7</sup>: A61F 2/36 (72) Erfinder; und  
(21) Internationales Aktenzeichen: PCT/EP2003/005292 (75) Erfinder/Anmelder (nur für US): LINK, Helmut, D.  
(22) Internationales Anmeldedatum: 20. Mai 2003 (20.05.2003) [DE/DE]; Wildstieg 14, 22397 Hamburg (DE).  
(25) Einreichungssprache: Deutsch (74) Anwalt: GLAWE, DELFS, MOLL; Rothenbaum-  
(26) Veröffentlichungssprache: Deutsch chaussee 58, 20148 Hamburg (DE).  
(30) Angaben zur Priorität: 10/361,007 10. Februar 2003 (10.02.2003) US (81) Bestimmungsstaaten (national): AE, AG, AL, AM, AT,  
(71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von US): WALDEMAR LINK GMBH & CO. KG [DE/DE]; CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE,  
Barkhausenweg 10, 22339 Hamburg (DE). (84) Bestimmungsstaaten (regional): ARIPO Patent (GH,  
[Fortsetzung auf der nächsten Seite]

(54) Title: HIP JOINT PROSTHESIS COMPRISING A SHAFT TO BE INSERTED INTO THE FEMUR

(54) Bezeichnung: HÜFTGELENKPROTHESE MIT EINEM IN DEN OBERSCHENKELKNOCHEN EINZUSETZENDEN SCHAFT



(57) Abstract: The invention relates to a hip joint prosthesis comprising a shaft (12), which is to be inserted into the femur and which comprises a part (13) that projects into the trochanteric region (4) of the bone. This part is provided with a coating (16), which contains an osteoinductive substance, for the purpose of creating a more intimate joining to the bone.

(57) Zusammenfassung: Hüftgelenkprothese mit einem in den Oberschenkelknochen eins zusetzenden Schaft (12), der einen in den trochantären Bereich (4) des Knochens vorspringenden Teil (13) umfasst. Dieser ist zwecks innigerer Verbindung mit dem Knochen mit einer Beschichtung (16) versehen, die eine osteoinduktive Substanz enthält.



eurasisches Patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), europäisches Patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI Patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Erklärungen gemäß Regel 4.17:**

- *hinsichtlich der Identität des Erfinders (Regel 4.17 Ziffer i) für die folgenden Bestimmungsstaaten AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO Patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), eurasisches Patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), europäisches Patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI Patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*
- *hinsichtlich der Berechtigung des Anmelders, die Priorität einer früheren Anmeldung zu beanspruchen (Regel 4.17 Ziffer iii) für alle Bestimmungsstaaten*
- *Erfindererklärung (Regel 4.17 Ziffer iv) nur für US*

**Veröffentlicht:**

- *mit internationalem Recherchenbericht*

*Zur Erklärung der Zweibuchstaben-Codes und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.*

**Hip-joint prosthesis with a stem  
to be inserted into the femur**

The spongy bone tissue in the metaphysis of the femur has  
5 a complicated structure of bone trabeculae via which the  
parts of the bone subjected to compression loads and  
tensile loads at the femoral neck, the greater trochanter,  
the lesser trochanter and the diaphysis are connected in a  
manner transmitting compression and tension. In their  
10 totality, they form continuous tension and compression  
trajectories (Farbatlanten der Medizin [Color Atlas of  
Medicine], Volume 7: Locomotor apparatus I., published by  
Thieme Verlag, Stuttgart, 1992). When the stem of a hip-  
joint prosthesis is inserted, the primary tension  
15 trajectories in particular which connect the femoral neck  
to the opposite intertrochanteric surface area of the bone  
are for the most part interrupted. When they are then no  
longer involved in the transmission of forces, they  
regress. This applies in particular when using prostheses  
20 whose prosthesis stem is clamped in the diaphysis and in  
which the proximal, metaphyseal region of the femur is  
barely involved in force transmission, especially in its  
lateral part. Attempts have been made, using what are  
referred to as tension anchors, to connect the prosthesis  
25 stem to the area of the greater trochanter and in this way  
to involve the latter in the flow of forces. A rod  
connected to the prosthesis stem was guided through the  
greater trochanter provided on the outside with a locking  
nut so that upon loading of the hip prosthesis, a tension  
30 is exerted on the greater trochanter (US-A-3,995,232, EP-  
B-93230, DE-B-1943598). However, it has been found that  
mechanical tension anchors of this kind quickly come loose  
as a result of the constant alternating loading and  
therefore are effective only for a short time. It is also  
35 known to design the stem, or a wing projecting laterally  
from it into the region of the greater trochanter, in such  
a way that an intimate connection is obtained with the

bone substance growing into pores or openings of this wing (GB-A-1030145, FR-A-2356465, EP-A-128036, EP-A-222236, EP-A-95440, EP-B-601223, EP-A-1044665). To promote the connection of the bone with the prosthesis surface, it is  
5 also known to make the prosthesis surface osteoconductive. This term denotes surfaces which tolerate adjacent bone growth. These include surfaces made of titanium alloys and coatings which contain calcium phosphate or hydroxyapatite.

10

It would be advantageous if the present invention would make available a prosthesis which, used as a hip prosthesis, ensures that the trochanteric region of the metaphysis of the femur is more strongly involved in the  
15 flow of forces.

The present invention provides in a first aspect a hip prosthesis having a trochanteric surface that comprises an osteoinductive substance. Osteoinductive substances, in  
20 contrast to osteoconductive substances, are to be understood as substances which stimulate undifferentiated pluripotent stem cells to convert to bone cells (Albrechtsson, Johansson: Osteoinduction, Osteoconduction and Osseointegration; in: Gunzburg Press: The use of [sic] bone substitutes in spine surgery; Springer. Denissen, H. et al.: Ceramic hydroxyapatite implants for the release of bisphosphonate; in: Bone and Mineral 1994, pages 123-124. Yoshinari, M. et al.: Bone response to [sic] calcium phosphate-coated and bisphosphonate-immobilized titanium  
25 implants; in: Biomaterials 2002, pages 2879-2885. Yoshinari, M. et al.: Immobilization of 2885. Yoshinari, M. et al.: Immobilization of bisphosphonates on surface-modified titanium; in: Biomaterials 2001, pages 709-715). These substances include bisphosphonates and bone  
30 morphogenic proteins (BMP for short). These can also be used to finish the surfaces of bone prostheses, including hip prostheses (US-A-2002/0049497, US-A-2002/0127261).

They lead to a very intimate connection of the prosthesis surface with the bone, which may be undesirable in the event of follow-up surgery because removal of the prosthesis from the bone may be impeded by this.

5

It would be advantageous if the invention would improve the fixing of a femoral hip prosthesis in the bone without compromising the ability to perform follow-up surgery.

10 The present invention provides in a second aspect a hip-joint prosthesis with a stem to be inserted into the femur, the osteoinductive substance is provided exclusively on the surface of the prosthesis intended to lie in the trochanteric region, or on part of this  
15 surface.

If the trochanteric surface of the prosthesis has a projection extending from the stem into the trochanteric region, the osteoinductive substance is expediently  
20 provided exclusively on the surface of this projection or on part thereof.

It is particularly expedient for the substance to be incorporated into a coating which is also intended to be  
25 porous on the outside. The coating can be of any desired type. For example, it can be a porous metal layer. Coatings of particular advantage are ones which are originally osteoconductive and for example consist of calcium phosphate or hydroxyapatite.

30

The effect of the embodiments of the invention is that, very quickly after the operation, bone cells develop in immediate proximity to and in connection to the prosthesis surface. The result of this is that relative movements  
35 between the bone surface and the bone do not initially cause formation of a gap or intermediate connective tissue layer which makes the later intimate contact more

difficult or impossible. Embodiments of the invention are based on the realization that, even in cases where there appeared to be a close connection between the prosthesis surface and the bone substance, there was, in reality, a 5 dividing, albeit microscopically thin intermediate layer present. By virtue of the embodiments of invention, there is a more rapid accumulation of bone on the trochanteric surface of the prosthesis, and more rapid incorporation of bone into the pores and recesses of said trochanteric 10 surface, so that the trochanteric region of the bone quickly achieves a permanent connection to the prosthesis and, as a result of this, is involved in the transmission of forces.

15 The measure according to the embodiments of the invention is normally provided only for the trochanteric region of the prosthesis. Although the scope of the invention is not intended to exclude the possibility of osteoinductively modified surfaces being used in other prosthesis regions 20 too, this is not generally desirable since, because of the resulting intimate contact, this can make it difficult to free the prosthesis from the bone in cases of follow-up surgery.

25 The surface area of the trochanteric prosthesis part containing the osteoinductive substance expediently has pores or undercuts in relation to the lateral direction, so that the bone substance formed as a result of the osteoinduction can not only adhere to the surface but can 30 also anchor onto it with a form fit.

The trochanteric surface of the prosthesis is to be regarded as the surface which, after normal implantation, is intended to lie within the trochanteric region of the 35 femur. The trochanteric part of the bone is the hatched part in Fig. 1. Starting from the point of intersection between the mid-line of the femoral neck and the mid-line

of the proximal end of the diaphysis, the trochanteric region lies laterally from the tangent drawn from this point of intersection to the upper edge of the head of the hip, and laterally from that part of the mid-line of the femoral neck continuing this tangent. The trochanteric surface of the prosthesis is that part of its surface which is intended to lie in the trochanteric part of the bone. This surface part can be easily determined on the prosthesis before it is implanted, since one knows how it is to be implanted and which position it will accordingly normally adopt in the bone.

In the middle of the trochanteric part of the bone, the spongy substance is sometimes less dense than it is near the cortex. For this reason, the portions of the trochanteric, osteoinductive surface parts of the prosthesis pointing in the ventral and dorsal directions are preferably located at a certain distance from the mid-plane of the bone. Therefore, the part of the prosthesis forming these surface portions ought not to be too thin in the AP direction. Its thickness, and thus the distance between said surface portions, is expediently over 6 mm, and more advantageously over 9 mm to approximately 15 mm.

The growth of fresh bone cells onto the prosthesis surface can be promoted by a press-fit of the surface portions in question. It is therefore expedient if the surfaces in question, and their mating surfaces, are made wedge-shaped in the direction in which the prosthesis is inserted into the bone, and if the rasp assigned to the prosthesis, and used to shape the receiving area for the prosthesis stem, is provided with slightly smaller cross-sectional dimensions so that, when the prosthesis stem is inserted into the space formed by the rasp, the surface portions in question displace bone substance.

Embodiments of the invention is explained in more detail

below with reference to the drawing, in which:

5 Fig. 1 shows a longitudinal section through the proximal portion of the femur, in the plane containing the longitudinal axis of the handle,

10 Fig. 2 shows a cross section along the line II-II in Fig. 1, and

15 Fig. 3 shows a section through the prosthesis along the III-III line in Fig. 1.

The outer contour of the bone is shown by solid lines in Fig. 1. The inner boundary line of the compact cortex 1 is 15 also indicated with solid lines where its thickness is appreciable. This is the case in the area of the diaphysis 2. In the metaphysis 3, the cortex is for the most part so thin that it has only been indicated by a line.

20 The metaphysis 3, and also some of the upper part of the diaphysis, is filled with spongy bone substance (not shown). In the present case, it is only what is known as the trochanteric region 4 related to the greater trochanter that is of interest in this regard, and this is 25 shown by hatching. For the purposes of the present invention, it is defined by the boundary lines H and T. The first of these is the mid-line of the femoral neck 5 and the head 6 of the hip. The second is the tangent to the head 6 of the hip from the point S where the line H 30 and the center axis M of the proximal diaphysis intersect in the plane of the drawing. The trochanteric part of the bone also includes the bone parts situated in front of and behind the plane of the drawing in the area of the hatched surface 4.

35 The prosthesis to be inserted into the bone is indicated by broken lines in Fig. 1 and by solid lines in Figures 2

and 3. It consists of a head 10, a neck 11 and a stem 12, from which a projection 13 protrudes into the trochanteric region 4 of the bone. The projection 13 is provided with bores 14 which form surface areas facing in the ventral direction. These are undercut in relation to the lateral direction 19. Bone substance which grows into these bores grips behind the undercut surfaces and thereby contributes to transmitting tensile forces from the prosthesis to the trochanteric bone region. It will be appreciated that the 10 projection and the undercut surfaces can also be designed in another known way. For example, the projection can be blade-shaped or bow-shaped. Instead of bores, it can have larger apertures or ribs for forming undercut surfaces. Those parts of the prosthesis which in normal 15 circumstances are intended to lie in the trochanteric region 4 after correct implantation are designated as the trochanteric parts or trochanteric surface of the prosthesis. These include in particular the projection 13.

20 A prosthesis type is shown whose stem 12 is designed and implanted in such a way that it is firmly anchored in the diaphysis 2 of the bone. This type of anchoring leads to great relief of the pressure on the trochanteric bone 25 region. For this reason, the use of embodiments of the invention is particularly advantageous for this prosthesis type. However, it can also be used in other prosthesis types which are anchored to a large extent in the metaphysis and/or which bear on the resected surface 15 of 30 the bone via a collar (not shown).

The surface of the stem 12 or parts thereof can be 35 designed or equipped in a known manner to promote the connection with the bone. For example, a coating can be provided which is porous and/or consists of calcium phosphate or hydroxyapatite. Such a layer can also be provided wholly or partially in the trochanteric region of

the prosthesis. For example, the cross-hatching in Fig. 1 and broken lines in Figures 2 and 3 indicate an area 16 which is provided with a coating. This coating, according to the invention, contains an osteoinductive substance.

5

The osteoinductive coating in the corresponding areas 16 leads to intensive bone growth in direct contact with the prosthesis surface and also in any undercut areas.

Therefore, the forces exerted on the prosthesis can be 10 effectively transmitted from its surface to the trochanteric region 4 of the bone. This is therefore involved in the flow of forces, and bone breakdown is prevented.

15 Fig. 2 illustrates that the projection 13 has a substantial thickness in the anteroposterior direction. Its anterior and posterior surface portions 16 are therefore removed from the middle area in which the spongy bone substance is in many cases depleted, and they are 20 situated in a denser area nearer the cortex. The probability of a good connection between the bone surface and the bone substance is thereby further increased.

25 Fig. 3 illustrates the cross-sectional shape of the projection 13 in the direction III-III which also corresponds approximately to the direction of insertion. If the shape of the cavity which has been prepared, by means of a rasp, for receiving the prosthesis is slightly 30 smaller than the prosthesis shape, the insertion of this wedge shape into the bone is associated with a displacement of bone substance and thus with an increase of the pressing exerted by the bone substance on the prosthesis surface. This also promotes a rapid and intimate contact of the prosthesis surface with the bone.

35

In the example illustrated, only the coating 16 of the projection 13 is provided with an osteoinductive

substance. The intimate contact thus obtained between the prosthesis surface and the bone can be problematic in the event of a revision because the prosthesis parts in question are difficult to remove from the bone without  
5 damaging said bone. According to a feature of a specific embodiment of the invention, the projection 13 is therefore designed so that it can be detached from the stem 12. For example, along a connecting joint 17 indicated by broken lines in Figures 1 and 2, it can be  
10 connected by means of screws 18 or other connecting means to the stem 12, and it can be detached from the latter before the stem is removed from the bone. The projection can then be more easily released from the bone surrounding it and growing onto it.

15

It is to be understood that, if any prior art publication is referred to herein, such reference does not constitute an admission that the publication forms a part of the common general knowledge in the art, in Australia or any  
20 other country.

25

30

35

Claims

1. Hip-joint prosthesis with a stem which is to be inserted into the femur and which has a trochanteric surface part intended to lie in the trochanteric region and having a coating that promotes growth of bone, characterized in that exclusively this trochanteric part of the surface, or a part thereof, comprises an osteoinductive substance.  
5
2. Hip-joint prosthesis according to Claim 1, characterized in that the osteoinductive substance is provided exclusively on a trochanteric projection protruding from the stem within the trochanteric region.  
10
3. Hip-joint prosthesis according to Claim 1 or 2, characterized in that the osteoinductive substance is formed by a coating or is part of a coating.  
15
4. Hip-joint prosthesis according to any one of Claims 1 to 3, characterized in that the osteoinductive substance includes a bisphosphonate or a BMP.  
20
5. Hip-joint prosthesis according to any one of Claims 1 to 4, characterized in that at least the part of the trochanteric prosthesis surface containing the osteoinductive substance is porous.  
25
6. Hip-joint prosthesis according to any one of Claims 1 to 5, characterized in that the osteoinductive substance is applied on surface portions of the trochanteric prosthesis surface which are undercut with respect to the lateral direction.  
30
7. Hip-joint prosthesis according to any one of Claims 1 to 6, characterized in that the trochanteric  
35

5 prosthesis surface comprises a ventral surface and a dorsal surface which are provided with an osteoinductive coating and are at a distance from one another, in the AP direction, of more than 6 mm, preferably more than 9 mm.

10 8. Hip-joint prosthesis according to Claim 2, characterized in that the projection is wedge-shaped in the direction of implantation, and a rasp assigned to the prosthesis has a smaller volume in the area of this projection.

15 9. Hip-joint prosthesis according to Claim 2 or 8, characterized in that the projection can be detached from the stem.

20 10. Hip-joint prosthesis substantially as herein described with reference to the drawings.

25

30

35

