(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 3 May 2012 (03.05.2012)

(10) International Publication Number WO 2012/057917 A1

- (51) International Patent Classification: **A61K 6/087** (2006.01) A61K 6/083 (2006.01)
- (21) International Application Number:

PCT/US2011/049832

(22) International Filing Date:

31 August 2011 (31.08.2011)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/407,222 27 October 2010 (27.10.2010)

US

- (71) Applicant (for all designated States except US): 3M IN-NOVATIVE PROPERTIES COMPANY [US/US]; 3M Center, Post Office Box 33427, Saint Paul, Minnesota 55133-3427 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): YANG, Jie [US/US]; 3M Center, Post Office Box 33427, Saint Paul, Minnesota 55133-3427 (US). KARIM, Naimul [US/US]; 3M Center, Post Office Box 33427, Saint Paul, Minnesota Declarations under Rule 4.17: 55133-3427 (US). JONES, Todd D. [CA/US]; 3M Center, Post Office Box 33427, Saint Paul, Minnesota 55133-3427 (US). JACOBS, Dwight W. [US/US]; 3M Center, Post Office Box 33427, Saint Paul, Minnesota 55133-3427 (US).
- Agents: FISCHER, Carolyn A., et al.; 3M Center, Of-Published: fice of Intellectual Property Counsel, Post Office Box 33427, Saint Paul, Minnesota 55133-3427 (US).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- **Designated States** (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

with international search report (Art. 21(3))



DENTAL COMPOSITIONS COMPRISING SEMI-CRYSTALLINE RESIN AND NANOCLUSTER FILLER

5 BACKGROUND

10

15

20

25

30

35

U.S. Patent Nos. 7,674,850 and 7,816,423 describe compositions, particularly for forming dental products, having a hardenable self-supporting structure with sufficient malleability to be subsequently customized into a second shape and then hardened, and methods.

US2009/0305196 describes dental compositions that include a polymerizable component and an organogelator. In certain embodiments, the hardenable composition can be in the form of a hardenable, self-supporting (i.e., free-standing) structure having a first shape. The self-supporting structure has sufficient malleability to be reformed into a second shape, thereby providing for simplified customization of a device, e.g., simplified customized fitting of a dental prosthetic device. Once reformed into a second shape, the composition can be hardened using, for example, a free radical curing mechanism under standard photopolymerization conditions to form a hardened composition with improved mechanical properties.

SUMMARY

Although various curable dental restoration compositions and preformed dental articles have been described; industry would find advantage in curable dental compositions, dental articles, and method of making or using such compositions or articles having improved properties.

In one embodiment, a curable dental restoration composition is described comprising a resin system comprising a free-radically polymerizable semi-crystalline resin having a molecular weight no greater than 2000 g/mole and at least 50 wt-% of nanocluster filler.

In another embodiment, a preformed semi-finished dental article is described comprising the described uncured dental restoration composition. The uncured dental restoration composition has a first shape (such as a near net-shaped crown) that is sufficiently malleable to be formed into a second shape.

In yet another embodiment, a method of making a (e.g. finished) dental article comprising providing a semi-finished uncured dental article having a first shape wherein the uncured dental article comprises the described uncured dental restoration composition, forming the semi-finished uncured dental article into a second shape, and hardening by curing.

In each of these embodiments, semi-crystalline resin preferably comprises polycaprolactone units. The resin system typically comprises at least one multi- (meth)acrylate aromatic monomer. Favored multi- (meth)acrylate aromatic monomers include low shrinkage resins derived from bisphenol A. The composition typically comprises one or more additional nanoscopic particulate fillers. Preferred concentrations ranges for the components of the dental restoration composition are described herein.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

5

10

15

20

25

30

35

The present invention provides a composition that includes a resin system, a filler system, and an initiator system in the form of a hardenable self-supporting (i.e., free-standing) structure having a first shape, preferably the shape of a dental crown. The resin system (one or more resins), filler system (one or more fillers), and initiator system (one or more initiators) are chosen such that: the composition can be relatively easily molded to form the initial self-supporting structure; the self-supporting structure maintains its first shape at room temperature for at least about two weeks (in the absence of conditions that activate the initiator system and in the absence of an external force other than gravity), and the self-supporting structure has sufficient malleability to be reformed into a second shape (preferably at a temperature of about 15°C to 38°C, more preferably, at a temperature of about 20°C to 38°C, and most preferably, at room temperature).

Herein, the "resin system" can include one or more resins, each of which can include one or more monomers, oligomers, and/or polymerizable polymers.

The term "self-supporting" means that the composition is dimensionally stable and will maintain its shape (e.g., preformed shape of a crown) without significant deformation at room temperature (i.e., about 20°C to about 25°C) for at least about two weeks when free-standing (i.e., without the support of packaging or a container). Preferably, the compositions of the present invention are dimensionally stable at room temperature for at least about one month, and more preferably, for at least about six months. Preferably, the compositions of the present invention are dimensionally stable at temperatures above room temperature, more preferably up to about 40°C, even more preferably up to about 50°C, and even more preferably up to about 60°C. This definition applies in the absence of conditions that activate the initiator system and in the absence of an external force other than gravity.

The term "sufficient malleability" means that the self-supporting structure is capable of being custom shaped and fitted, for example, to a patient's mouth, under a moderate force (i.e., a force that ranges from light finger pressure to that applied with manual operation of a small hand tool, such as a dental composite instrument).

The combination of highly malleable properties (preferably without heating above room temperature or body temperature) before hardening (e.g., cure) and high strength (preferably, a flexural strength of at least about 25 MPa) after hardening provides a composition with numerous potential applications. These applications include, but are not limited to, dental restoratives and dental prostheses, including, but not limited to, temporary, intermediate, and permanent crowns and bridges, inlays, onlays, veneers, articles for implants, dentures, and artificial teeth, orthodontic appliances (e.g., retainers, night guards), tooth facsimiles or splints, maxillofacial prosthesis, and other customized structures. The compositions of the present invention can also be used as filling materials (particularly packable materials), for example.

The compositions of the present invention can be in the form of a variety of dental products, which can be in rope form (as for filling materials), globular form, sheet form, or in the form of a preformed article, which is in a complex or semi-finished shape (as that of a preformed crown). Typically, the dental products referred to herein are in a hardenable form, but the term can also be used for the final dental product in its hardened form.

5

10

15

20

25

30

35

Preferred dental products include a preformed crown, a preformed inlay, a preformed onlay, a preformed bridge, a preformed veneer, a preformed orthodontic appliance, a preformed maxillofacial prosthesis, a preformed tooth facsimile, or a preformed tooth splint. Alternatively, the dental product can be a filling material (such as a packable material). Particularly preferred dental products include a preformed crown and a preformed bridge, and more preferably, a preformed crown.

Preferred preformed articles for dental implants include healing caps as well as article having tooth-shaped supragingival surfaces as described in WO2010/093534. Further, such articles may comprise an embedded implant abutment, such as described in U.S. Provisional Application Serial No. 61/255638, filed October 28, 2009 and U.S. Provisional Application Serial No. 61/372706, filed August 11, 2010.

In one preferred embodiment, the present invention provides a preformed dental crown that includes a composition including a resin system, a filler system, and an initiator system, wherein the composition is in the form of a hardenable self-supporting structure having a first shape and sufficient malleability to be formed into a second shape.

The present invention also provides a method of preparing a dental product. The method includes: providing a composition comprising a resin system, a filler system, and an initiator system, wherein the composition is in the form of a hardenable, self-supporting, malleable structure having a first semi-finished shape (e.g., that of a preformed crown or preformed bridge); forming the self-supporting, malleable structure into a second shape; and hardening the self-supporting structure having the second shape to form a dental product. Preferably, forming the self-supporting, malleable structure into a second shape occurs at a temperature of about 15°C to 38°C. Herein, forming the self-supporting, malleable structure into a second shape occurs under a force that ranges from light finger pressure to that applied with manual operation of a small hand tool, such as a dental composite instrument.

The present invention provides a composition that includes a resin system, a filler system, and an initiator system in the form of a hardenable self-supporting (i.e., free-standing) structure having a first shape, preferably the shape of a dental crown. The resin system (one or more resins), filler system (one or more fillers), and initiator system (one or more initiators) are chosen such that: the composition can be relatively easily molded to form the initial self-supporting structure; the self-supporting structure maintains its first shape at room temperature for at least about two weeks (in the absence of conditions that activate the initiator system and in the absence of an external force other than gravity), and the self-supporting structure has sufficient malleability to be reformed into a second shape (preferably at a

temperature of about 15°C to 38°C, more preferably, at a temperature of about 20°C to 38°C, and most preferably, at room temperature).

The compositions of the present invention are particularly well suited for preformed dental products. As used herein, a preformed dental product is one that is provided to the dentist in the desired semi-finished shape (a first shape), which can then be modified (e.g., molded, adapted, trimmed) for fit in a patient (a second shape). Herein, a semi-finished shape of a preformed article is the facsimile of what the final shaped article is to be, and is not the shape of a rope, globule, or sheet. This is described in greater detail below. Typically, this means that the compositions of the present invention have been formed into a shape, preferably using a mold with a positive and negative impression, and the resultant shaped material released from the shaping device, preferably a mold, without significant deformation.

5

10

15

20

25

30

35

Although the compositions of the present invention are particularly useful for preformed crowns and other preformed dental products having a complex shape, they can be used as materials for preparing fillings, etc. The requirements for the latter are less stringent when it comes to molding, removal from a mold, packaging, transportation, and the like, than is required for preformed crowns or other preformed dental articles of a complex shape, typically because filling materials are provided to the dentist in a rope form.

Generally, hardenable self-supporting compositions of the present invention have rheological properties similar to waxes below the waxes' melting points in that they can be relatively easily deformed (i.e., they are malleable) and exhibit low elastic recovery. However, the compositions of the present invention are not free-flowing fluids (i.e., liquids) above their softening points. That is, the compositions of the present invention display appreciable mass flow under moderate (e.g., hand) pressure, but not liquid flow above their softening points.

Typically, elastic and viscous dynamic moduli of hardenable compositions of the present invention vary over a wide range. Furthermore, the hardenable compositions are typically largely free from tack. Preferably, the elastic dynamic modulus (i.e., elastic modulus) G' is at least about 100 kilopascals (kPa), more preferably, at least about 200 kPa, and most preferably, at least about 1000 kPa, at a frequency of about 0.005 Hz. Preferably, the elastic modulus G' is no greater than about 50,000 kPa, more preferably, no greater than about 10,000 kPa, and most preferably, no greater than about 5000 kPa, at a frequency of about 0.005 Hz. Preferably, the viscous dynamic modulus (i.e., viscous modulus) G" is at least about 50 kPa, more preferably, at least about 200 kPa, and most preferably, at least about 1000 kPa, at a frequency of about 0.005 Hz. Preferably, the viscous modulus G" is no greater than about 50,000 kPa, more preferably, no greater than about 10,000 kPa, and most preferably, no greater than about 50,000 kPa, at a frequency of about 0.005 Hz.

The desired self-supporting (i.e., free-standing) structure of hardenable compositions of the present invention can be maintained by creating a morphology that includes a noncovalent structure, which may be a three-dimensional network (continuous or discontinuous) structure. This can result from the use both a semi-crystalline resin component and one or more fillers comprising nanoclusters.

With the appropriate initiator system, e.g., a free radical photoinitiator, hardenable compositions of the present invention can be hardened (e.g., cured) to form the desired product. Preferably, the resultant hardened composition (i.e., the hardened structure) has a flexural strength of at least about 25 megapascals (MPa), more preferably, at least about 40 MPa, even more preferably, at least about 50 MPa, and most preferably, at least about 60 MPa.

For certain applications (e.g., crowns), the resultant hardened composition is an enamel-like solid, preferably having a compressive strength of at least about 100 MPa.

5

10

15

20

25

30

35

For certain applications (e.g., crowns), the resultant hardened composition is an enamel-like solid, preferably having a flexural modulus of at least about 1000 MPa. Further, the flexural strength is preferably at least 80 MPa. The flexural modulus is typically no greater than 15,000 MPa. Further, the flexural strength is typically no greater than 200 MPa.

Hardenable compositions of the present invention include a (e.g. free-radically) polymerizable resin system. The resin system includes one or more hardenable organic resins capable of forming a hardened material having sufficient strength and hydrolytic stability to render them suitable for use in the oral environment.

As used herein, a resin includes one or more monomers, oligomers, and/or polymerizable polymers, including combinations thereof. Although, in this context oligomers and polymers are both used, the terms "polymer" and "polymeric" are used herein to refer to any materials having 2 or more repeat units, thereby encompassing oligomers. Thus, unless otherwise specified, polymers include oligomers. Furthermore, the term polymer is used herein to encompass both homopolymers and copolymers, and the term copolymer is used herein to encompass materials with two or more different repeat units (e.g., copolymers, terpolymers, tetrapolymers).

A preferred organic resin is hardenable (e.g., polymerizable and/or crosslinkable), preferably by a free radical mechanism, and includes monomers, oligomers, and/or polymers. The resin system includes a reactive component (i.e., a component capable of polymerizing and/or crosslinking), which may or may not be crystalline. Resin systems that include noncrystalline reactive components may optionally include a crystalline component, which may or may not be reactive.

Preferably, at least some of the resin components include ethylenic unsaturation and are capable of undergoing (free-radical) addition polymerization. A suitable resin preferably includes at least one ethylenically unsaturated monomer (i.e., includes at least one carbon-carbon double bond).

The polymerizable resin of the dental composition described herein comprises at least one multi-(meth)acrylate aromatic monomer. The selection of components of the polymerizable resin and the concentration of such are generally chosen to minimize polymerization shrinkage. The dental composition described herein typically comprises at least one di- (meth)acrylate aromatic monomer

The polymerization shrinkage can be determined via various methods such as Watts Shrinkage that measure the volumetric change after curing. Preferred low volume shrinkage (e.g. filled) dental compositions (useful for restorations such as fillings and crowns) as described herein typically exhibit a

Watts Shrinkage of less than 2.5%. In favored embodiments, the Watts Shrinkage of the filled dental composition is less than 2.0%, or 1.9%, or 1.8%, or 1.7% or 1.6%.

In some embodiments, the multi- (meth)acrylate monomer is derived is a bisphenol A monomer such as 2,2-bis[4-(2-hydroxy-3-methacryloyloxypropoxy)phenyl]propane (BisGMA). A representative structure for BisGMA is depicted as follows, having a (i.e. calculated) molecular weight of about 512 g/mole:

5

10

15

20

25

30

Another class of monomers derived from bisphenol A are branched multi(meth)acrylate monomers (e.g. having pendant (meth)acrylate moities) such as described in WO2008/08288; incorporated herein by reference. These branched multi(meth)acrylate monomer may have the general formula

$$R^{2} \xrightarrow{(X - A)^{0}} q_{x_{1}} \xrightarrow{(Y - Q)^{0}} q_{x_{2}} \xrightarrow{(Y - Q)^{0}} q_{x_{1}} \xrightarrow{(Y - Q)^{0}} q_{x_{2}} \xrightarrow{(Y - Q)^{0}$$

wherein each X independently represents an oxygen atom (O) or a nitrogen atom (N); Y and A each independently represent an organic group, and R^1 represents $-C(O)C(CH_3)=CH_2$, and/or (ii) q=0 and R^2 represents $-C(O)C(CH_3)=CH_2$; m=1 to 5; n=0 to 5; p and q are independently 0 or 1; and R^1 and R^2 each independently represent H, $-C(O)CH=CH_2$, or $-C(O)C(CH_3)=CH_2$. In some embodiments, such as described in WO2008/08288, Y does not represent $-NHCH_2CH_2$ - if (i) p=0.

However, branched multi- (meth)acrylate monomers (e.g. having pendant (meth)acrylate moities) having urethane linkages are described in EP2008636.

In favored embodiments, at least one R^1 or R^2 is $-C(O)CH=CH_2$, or $-C(O)C(CH_3)=CH_2$.

When the dental composition comprises a low shrinkage multi- (meth)acrylate monomer derived from bisphenol A, the dental restoration composition may be free of other multi- (meth)acrylate aromatic monomer such as biphenyl di(meth)acrylate monomers

In other embodiments, the polymerizable resin system may be free monomer(s) derived from bisphenol A. For example, the low volume shrinkage monomer may be a di- or tri- (meth)acrylate isocyanurate monomer, such as described in U.S. Provisional Application Serial No. 61/319534, filed March 31, 2010; incorporated herein by reference.

The polymerizable resin system optionally may comprise other polymerizable components. Examples of suitable polymerizable resin components include: mono-, di-, or poly- (meth)acrylates (including acrylates and methacrylates) such as methyl acrylate, methyl methacrylate, ethyl acrylate, isopropyl methacrylate, n-hexyl acrylate, stearyl acrylate, allyl acrylate, glycerol mono- and diacrylate, glycerol triacrylate, ethyleneglycol diacrylate, diethyleneglycol diacrylate, triethyleneglycol dimethacrylate, 1,3-propanediol diacrylate, 1,3-propanediol dimethacrylate, trimethylolpropane triacrylate, 1,2,4-butanetriol trimethacrylate, 1,4-cyclohexanediol diacrylate, pentaerythritol triacrylate, pentaerythritol tetraacrylate, pentaerythritol tetramethacrylate, sorbitol hexaacrylate, bis(1-(2-acryloxy))p-ethoxyphenyldimethylmethane, bis(1-(3-acryloxy-2-hydroxy))-p-propoxyphenyldimethylmethane, tris(hydroxyethylisocyanurate) trimethacrylate, 2-hydroxyethyl methacrylate, 2-hydroxypropyl methacrylate, tetrahydrofurfuryl methacrylate, ethylene glycol dimethacrylate, triethylene glycol dimethacrylate, ethoxylated bisphenolA diacrylate, ethoxylated bisphenolA dimethacrylate (e.g. Bis-EMA6), polyethylene glycol dimethacrylate, the bis-acrylates and bis-methacrylates of polyethylene glycols of molecular weight 200-500, copolymerizable mixtures of acrylated monomers such as those of U.S. Pat. No. 4,652,274 (Boettcher et al.), and acrylated oligomers such as those of U.S. Pat. No. 4,642,126 (Zador et al.); unsaturated amides such as (meth)acrylamides (i.e., acrylamides and methacrylamides), methylene bis-acrylamide, methylene bis-methacrylamide, 1,6-hexamethylene bisacrylamide, diethylene triamine tris-acrylamide, and beta-methacrylamidoethyl methacrylate, diacetone acrylamide, and diacetone methacrylamide; urethane (meth)acrylates; and vinyl compounds such as styrene, diallyl phthalate, divinyl succinate, divinyl adipate, and divinylphthalate. Mixtures of two or more such materials can be used if desired in the resin system.

10

15

20

25

30

35

In some embodiments, the dental restoration composition comprises a low shrinkage aromatic di(meth)acrylate monomer such as BisGMA in combination with one or more other free-radically polymerizable (e.g. methacrylate) monomers. In certain embodiments, the other hardenable components can include diurethane dimethacrylate (UDMA), triethyleneglycol dimethacrylate (TEGDMA), and ethoxylated bisphenol A dimethacrylate as described in U.S. Pat. No. 6,030,606 (Holmes), also referred to herein as "Bis-EMA6"; and 2,2-bis[4-(2-hydroxy-3-methacryloyloxypropoxy)phenyl]propane (Procrylate). In some embodiments, the dental restoration composition comprises BisGMA in combination with ethoxylated bisphenol A dimethacrylate and diurethane dimethacrylate.

The concentration of the other monomers (including the semi-crystalline resin as will subsequently be described) is generally no greater than 20 wt-% or 15 wt-% based on the total weight of the composition. In some embodiments, the concentration is less than 15 wt-%, or 14 wt-% or 13 wt-%. In some favored embodiments, the concentration is typically less than 12 wt-%, or 11 wt-%, or 10 wt-%. In other favored embodiments, the concentration is typically less than 9 wt-%, or 8 wt-%, or 7 wt-%, or 6 wt-%, or 5 wt-%. The inclusion of 1 wt-% or 2 wt-%, or 3 wt-% of other monomers that are lower in molecular weight than BisGMA may increase the rate of polymerization of the dental article or composition during curing.

Preferably, the total amount of the (organic) polymerizable resin system is at least about 10 wt-%, more preferably, at least about 12 wt-%, and most preferably, at least about 14 wt-%, based on the total weight of the composition. Preferably, the total amount of the resin system is no greater than about 30 wt-%, more preferably, no greater than about 25 wt-%. In favored embodiments, the total amount of polymerizable resin is less than 25 wt-%, or 24 wt-%, or 23 wt-%, or 22 wt-%, or 21 wt-%, or 20 wt-%.

5

10

15

20

25

30

The above-listed components are typically noncrystalline (i.e., amorphous). The polymerizable resin system also includes a crystalline component to impart the noncovalent three-dimensional structure for maintaining the initial preformed shape. This crystalline component may or may not have a reactive group capable of polymerizing (also including crosslinking). Preferably, the crystalline component is polymerizable. Preferably, the crystalline component is polymeric (including oligomeric). More preferably, the crystalline component is a polymerizable polymeric material.

By "crystalline" it is meant that the material displays a crystalline melting point at 20°C or above when measured in the composition by differential scanning calorimetry (DSC). The peak temperature of the observed endotherm is taken as the crystalline melting point. The crystalline phase includes multiple lattices in which the material assumes a conformation in which there is a highly ordered registry in adjacent chemical moieties of which the material is constructed. The packing arrangement (short order orientation) within the lattice is highly regular in both its chemical and geometric aspects.

More specifically, the polymerizable resin system comprises a semi-crystalline component. A semi-crystalline component typically comprises long segments of polymer chains that appear in both amorphous and crystalline states or phases at 20°C or above. The amorphous phase is considered to be a randomly tangled mass of polymer chains. The X-ray diffraction pattern of an amorphous polymer is a diffuse halo indicative of no ordering of the polymer structure. Amorphous polymers show softening behavior at the glass transition temperature, but no true melt or first order transition. A material in a semicrystalline state shows characteristic melting points, above which the crystalline lattices become disordered and rapidly lose their identity. The X-ray diffraction pattern of such "semicrystalline" materials generally is distinguished by either concentric rings or a symmetrical array of spots, which are indicative of the nature of the crystalline order.

The semi-crystalline material is preferably derived from a polyester polymer comprising polycaprolactone repeat units. Polycaprolactone (PCL) homopolymer is a biodegradable polyester with a low melting point of about 60° C and a glass transition temperature of about -60° C. PCL can be prepared by ring opening polymerization of ε -caprolactone using a catalyst such as stannous octanoate, forming repeat units of polycaprolactone having the general structure:

PolyCaprolactone

The polycaprolactone polymers utilized herein are typically derived from linear polyester diols derived from caprolactone. One suitable linear polyester diols derived from caprolactone is CapaTM 2125, reported to have a hydroxyl values of 90 mg KOH/g.

5

10

15

20

25

30

In a favored embodiment, the free-radically polymerizable semi-crystalline resin utilized herein is derived from a polycarpolactone diol having a melt point of 35°C to 45°C.

The primary hydroxyl groups are then reacted with a hydroxyl reactive (meth)acrylate compound such as an isocyanatoalkyl (meth)acrylate (e.g. 2-isocyanatoethyl methacrylate), methacryloyl chloride, or methacrylic anhydride to convert the hydroxyl group to free-radically polymerizable (e.g. (meth)acrylate) groups.

The free-radically polymerizable semi-crystalline component (e.g. the reaction product of polycaprolactone diol and isocyanatoalkyl (meth)acrylate) has a number average molecular weight (as measured according to the test method described in the examples) of no greater than 3,000 g/mole. In some embodiments, the number average molecular weight of the free-radically polymerizable semi-crystalline component is no greater than 2700 g/mole or 2600 g/mole or 2500 g/mole. The molecular weight is typically at least about 400 g/mol or 500 g/mol. In some favored embodiments, the semi-crystalline component has a number average molecular weight of at least 1000 g/mole.

The concentration of the semi-crystalline component(s) (e.g. polycaprolactone (meth)acrylate resin) in the total dental composition is at least about 0.5 wt-% or 1.0 wt-%, based on the total weight of the composition. Preferably, the total amount of semi-crystalline component is no greater than about 15 wt-%. In some embodiments, the total amount of semi-crystalline components is no greater than 10 wt-% or 8 wt-%. In some embodiments, the concentration of semi-crystalline component ranges from about 0.8 wt-% to about 2.5 wt-%. In other embodiments, the concentration of semi-crystalline component is at least 3.0 or 3.5 wt-% and typically no greater than 6.0 wt-%, or 5.5 wt-%, or 5.0 wt-%.

In some favored embodiments, the semi-crystalline component is the sole crystalline component of the dental composition. In this embodiment, the dental composition is free of crystalline components that are not semi-crystalline. In other embodiments, the semi-crystalline component may be employed in combination with other crystalline components. In this later embodiment, the semi-crystalline component is the major crystalline component, i.e. at least 50%, 60%, 70%, 80%, 90% or greater of the total concentration of crystalline components. When a crystalline component is employed in combination with a semi-crystalline component, the crystalline component may also have a number average molecular

weight of no greater than 2,000 g/mol. Alternatively, the crystalline component may also have a greater number average molecular weight, ranging up to than 5,000 g/mol or 10,000 g/mole. The total amount of crystalline and semi-crystalline component is typically within the range previously described with respect to the concentration of semi-crystalline component(s) in the dental composition

The crystalline monomers suitable for use in the resin system include monomers containing urethane, ether, ester, amide, imide groups, or combinations thereof. Preferred crystalline monomers contain reactive groups capable of polymerizing and/or crosslinking. Especially preferred are monomers with a reactive functionality greater than one.

5

10

15

20

25

30

35

The crystalline polymers (including oligomers) suitable for use in the resin system can have crystalline main chain (i.e., linear) or pendant (i.e., side chain) segments. Preferred materials also contain reactive groups capable of polymerizing and/or crosslinking. Especially preferred are crystalline oligomers or prepolymers with a reactive functionality of at least two.

Examples of suitable crystalline materials having crystallizable main chain or backbone segments include, but are not limited to, polyesters (including polycaprolactones), polyethers, polythioethers, polyarylalkylenes, polysilanes, polyamides, polyolefins (preferably, formed from lower, e.g., C₂-C₃, olefins), and polyurethanes. Various crystalline material are described in U.S. Patent Nos. 7,674,850 and 7,816,423; incorporation herein by reference.

The crystalline components, including the semi-crystalline component crystallizes at least in part above room temperature (i.e., 20°C to 25°C). Such crystallinity, that may be provided by the aggregation of crystallizable moieties present in the component (e.g., when the component is a polymer, in the backbone (i.e., main chain) or pendant substituents (i.e., side chains) of the component), can be determined by well known crystallographic, calorimetric, or dynamic/mechanical methods. For the purposes of the present invention, this component imparts to the resin system at least one melting temperature (T_m) as measured experimentally (for example by DSC) of greater than about 20°C . Preferably, this component imparts a T_m to the resin system of about 30°C - 100°C . If more than one crystalline material is used in the crystalline component, more than one distinct melting point may be seen.

The polymerizable resin system may optionally comprise an organogelator as described in US2009/0305196. However, the desired properties can be achieved when the dental composition is free of organogelator.

The dental compositions described herein comprise a major amount of filler. The filler is generally (non-toxic) suitable for use in the mouth. The filler can be radiopaque, radiolucent, or nonradiopaque.

Inorganic fillers, as used in dental applications, are typically ceramic in nature.

Examples of suitable inorganic fillers are naturally occurring or synthetic materials such as quartz, nitrides (e.g., silicon nitride), glasses derived from, for example Ce, Sb, Sn, Zr, Sr, Ba, or Al, colloidal silica, feldspar, borosilicate glass, kaolin, tale, titania, and zinc glass, zirconia-silica fillers; and

low Mohs hardness fillers such as those described in U.S. Pat. No. 4,695,251 (Randklev). The nanoscopic filler particles are typically composed of silica, alumina, zirconia, titania, or mixtures of these materials with each other.

5

10

15

20

25

30

35

The filler system comprises nanoscopic fillers. Due to their small size, high surface area, and associated hydrogen bonding, these materials are known to assemble into aggregated networks. Materials of this type ("nanoscopic" materials) have average primary particle sizes (i.e., the largest dimension, e.g., diameter, of unaggregated material) of less than 200 nanometers (nm). Preferably, the nanoscopic particulate material has an average primary particle size of at least about 2 nanometers (nm), and preferably at least about 7 nm. Preferably, the nanoscopic particulate material has an average primary particle size of no greater than about 50 nm, and more preferably no greater than about 20 nm in size. The average surface area of such a filler is preferably at least about 20 square meters per gram (m²/g), more preferably, at least about 50 m²/g, and most preferably, at least about 100 m²/g.

The filler system comprises nanoparticles in the form of nanoclusters, i.e. a group of two or more particles associated by relatively weak intermolecular forces that cause the particles to clump together, even when dispersed in a hardenable resin. Preferred nanoclusters can comprise a substantially amorphous cluster of non-heavy (e.g. silica) particles, and amorphous heavy metal oxide (i.e. having an atomic number greater than 28) particles such as zirconia. The particles of the nanocluster preferably have an average diameter of less than about 100 nm. Suitable nanocluster fillers are described in U.S. Patent No. 6,730,156 (Mitra et al.); incorporated herein by reference

The dental compositions described herein generally comprise at least 40 wt-%, or 45 wt-% or 50 wt-% of (e.g. silica/zirconia) nanoclusters. The concentration of (e.g. silica/zirconia) nanoclusters is typically no greater than about 65 wt-%.

In favored embodiments, the dental composition comprises a (i.e. non-associated) nanoscopic inorganic filler in combination with the (e.g. silica/zirconia) nanoclusters. Such nanoscopic inorganic filler typically comprises silica nanoparticles.

The dental compositions described herein generally comprise at least 10 wt-% or 15 wt-% of (e.g. silica) nanoscopic filler(s). The concentration of (e.g. silica) nanoscopic filler is typically no greater than about 40 wt-%.

Suitable nano-sized silicas are commercially available from Nalco Chemical Co. (Naperville, Ill.) under the product designation NALCO COLLOIDAL SILICAS. For example, preferred silica particles can be obtained from using NALCO products 1040, 1034A, 1060, 2327 and 2329.

Silica particles are preferably made from an aqueous colloidal dispersion of silica (i.e., a sol or aquasol). The colloidal silica is typically in the concentration of about 1 to 50 weight percent in the silica sol. Colloidal silica sols which can be used in preparing the fillers of the invention are available commercially having different colloid sizes, see Surface & Colloid Science, Vol. 6, ed. Matijevic, E., Wiley Interscience, 1973. Preferred silica sols for use making the fillers of the invention are those which are supplied as a dispersion of amorphous silica in an aqueous medium (such as the Nalco colloidal silicas

made by Nalco Chemical Company) and those which are low in sodium concentration and can be acidified by admixture with a suitable acid (e.g. Ludox colloidal silica made by E. I. Dupont de Nemours & Co. or Nalco 2326 from Nalco Chemical Co.).

Preferably, the silica particles in the sol have an average particle diameter of about 5-100 nm, more preferably 10-50 nm, and most preferably 12-40 nm. A particularly preferred silica sol is NALCO 2327.

5

10

15

20

25

30

35

The nano-sized filler may also include fumed silica. In some embodiments, the fumed silica is present in an amount ranging from 1 wt-% or 2 wt-% up to 5 wt-%.

Preferably, the total amount of nanoscopic filler (including the nanocluster) is greater than 50 wt-%, more preferably, greater than 60 wt-%, and most preferably, greater than 70 wt-%, based on the total weight of the composition. Preferably, the total amount of filler system is no more than about 95 wt-%, and more preferably, no more than about 80 wt-%, based on the total weight of the composition.

The filler system can optionally include other fillers. Such optional other fillers may be either particulate or fibrous in nature. Particulate fillers may generally be defined as having a length to width ratio, or aspect ratio, of 20:1 or less, and more commonly 10:1 or less. Fibers can be defined as having aspect ratios greater than 20:1, or more commonly greater than 100:1. The shape of the particles can vary, ranging from spherical to ellipsoidal, or more planar such as flakes or discs. The macroscopic properties can be highly dependent on the shape of the filler particles, in particular the uniformity of the shape.

Particulate filler is finely divided and has an average particle size (preferably, diameter) of less than about 10 micrometers (i.e., microns). The filler can have a unimodal or polymodal (e.g., bimodal) particle size distribution.

The optional filler can be an inorganic material, as previously described. It can also be a crosslinked organic material that is insoluble in the polymerizable resin, and is optionally filled with inorganic filler. Examples of suitable organic filler particles include filled or unfilled pulverized polycarbonates, polyepoxides, and the like. Preferred filler particles are quartz, submicron silica, and non-vitreous microparticles of the type described in U.S. Pat. No. 4,503,169 (Randklev). Mixtures of these fillers can also be used, as well as combination fillers made from organic and inorganic materials.

The surfaces of the inorganic filler particles are typically treated with a surface treatment, such as a silane-coupling agent, in order to enhance the bond between the filler and the resin system. The coupling agent may be functionalized with reactive curing groups, such as acrylates, methacrylates, and the like.

Filler particles composed of silica, alumina, zirconia, titania, or mixtures of these materials are commonly hydrophilic, due to the presence of surface hydroxyl groups. However, the filler materials are typically modified by treatment with appropriate agents, to render the surface increasingly hydrophobic.

The nanoscopic filler (including the nanoclusters) are typically surface treated with an organometallic coupling agent to enhance the bond between the filler and the resin. The organometallic

coupling agent may be functionalized with reactive curing groups, such as acrylates, methacrylates, vinyl groups and the like.

Suitable copolymerizable organometallic compounds may have the general formula:

5 $CH_2=C(CH_3)_mSi(OR)_n$ or

CH₂=C(CH₃)_mC=OOASi(OR)_n

wherein m is 0 or 1,

10 R is an alkyl group having 1 to 4 carbon atoms,

A is a divalent organic linking group, and

n is from 1 to 3.

15

20

25

30

35

Preferred coupling agents include gamma-methacryloxypropyltrimethoxysilane, gamma-mercaptopropyltriethoxysilane, gamma-aminopropyltrimethoxysilane, and the like.

The surface modification can be done either subsequent to mixing with the monomers or after mixing. It is typically preferred to combine the organosilane surface treatment compounds with nanoparticles before incorporation into the resin. The required amount of surface modifier is dependant upon several factors such particle size, particle type, modifier molecular wt, and modifier type. In general it is preferred that approximately a monolayer of modifier is attached to the surface of the particle.

In some embodiments, the fumed silica does not comprise surface modification.

The compositions of the present invention also contain an initiator system, i.e., one initiator or a mixture of two or more initiators, which are suitable for hardening (e.g., polymerizing and/or crosslinking) of the resin system, as described in U.S. Patent Nos. 7,674,850 and 7,816,423. The initiators are preferably free radical initiators, which may be activated in a variety of ways, e.g., heat and/or radiation. Thus, for example, the initiator system can be a thermal initiator system (e.g., azo compounds and peroxides), or a photoinitiator system. Preferably, the initiator system includes one or more photoinitiators. More preferably, the initiator system includes at least one photoinitiator active in the spectral region of about 300 nanometers (nm) to about 1200 nm and capable of promoting free radical polymerization and/or crosslinking of ethylenically unsaturated moieties upon exposure to light of suitable wavelength and intensity. A wide variety of such photoinitiators can be used. The photoinitiator preferably is soluble in the resin system. Preferably, they are sufficiently shelf stable and free of undesirable coloration to permit storage and use under typical dental operatory and laboratory conditions. Visible light photoinitiators are preferred.

One type of suitable initiator (i.e., initiator system) is described in U.S. Pat. No. 5,545,676 (Palazzotto et al.), which includes a three component or ternary photoinitiator system. This system

includes an iodonium salt, e.g., a diaryliodonium salt, which can be a simple salt (e.g., containing an anion such as Cl⁻, Br⁻, l⁻, or C₂H₅SO₃⁻) or a metal complex salt (e.g., containing SbF₅OH⁻ or AsF₆⁻). Mixtures of iodonium salts can be used if desired. The second component in this ternary photoinitiator system is a sensitizer, which is capable of light absorption within the range of wavelengths of about 400 nm to about 1200 nm. The third component in this ternary photoinitiator system is an electron donor and includes amines (including aminoaldehydes and aminosilanes or other amines as described for the first initiator system), amides (including phosphoramides), ethers (including thioethers), ureas (including thioureas), ferrocene, sulfinic acids and their salts, salts of ferrocyanide, ascorbic acid and its salts, dithiocarbamic acid and its salts, salts of xanthates, salts of ethylene diamine tetraacetic acid and salts of tetraphenylboronic acid.

5

10

15

20

25

30

35

Examples of sensitizers suitable for use in a ternary photoinitiator system include ketones, coumarin dyes (e.g., ketocoumarins), xanthene dyes, acridine dyes, thiazole dyes, thiazine dyes, oxazine dyes, azine dyes, aminoketone dyes, porphyrins, aromatic polycyclic hydrocarbons, p-substituted aminostyryl ketone compounds, aminotriaryl methanes, merocyanines, squarylium dyes, and pyridinium dyes. Ketones (e.g., monoketones or alpha-diketones), ketocoumarins, aminoarylketones, and p-substituted aminostyryl ketone compounds are preferred sensitizers. Examples of particularly preferred visible light sensitizers include camphorquinone, glyoxal, biacetyl, 3,3,6,6-tetramethylcyclohexanedione, 3,3,7,7-tetramethyl-1,2-cycloheptanedione, 3,3,8,8-tetramethyl-1,2-cyclooctanedione, 3,3,18,18-tetramethyl-1,2-cyclooctadecanedione, dipivaloyl, benzil, furil, hydroxybenzil, 2,3-butanedione, 2,3-pentanedione, 2,3-hexanedione, 3,4-hexanedione, 2,3-heptanedione, 3,4-heptanedione, 2,3-octanedione, 4,5-octanedione, and 1,2-cyclohexanedione. Of these, camphorquinone is the most preferred sensitizer.

Preferred visible light-induced initiators include camphorquinone combined with a suitable hydrogen donor (e.g., an amine such as those described above for the first initiator system), and optionally a diaryliodonium simple or metal complex salt, chromophore-substituted halomethyl-s-triazine, or halomethyl oxadiazole. Particularly preferred visible light-induced photoinitiators include combinations of an alpha-diketone, e.g., camphorquinone with additional hydrogen donors, and optionally a diaryliodonium salt, e.g., diphenyliodonium chloride, bromide, iodide or hexafluorophosphate.

Preferred ultraviolet light-induced polymerization initiators include ketones, such as benzyl and benzoin, acyloins, and acyloin ethers. Preferred ultraviolet light-induced polymerization initiators include 2,2-dimethoxy-2-phenylacetophenone available under the trade designation IRGACURE 651 and benzoin methyl ether (2-methoxy-2-phenylacetophenone), both from Ciba Speciality Chemicals Corp., Tarrytown, NY.

Various other initiators are known in the art, such as described in U.S. Patent Nos. 7,674,850 and 7,816,423.

The initiator system is present in an amount sufficient to provide the desired rate of hardening (e.g., polymerizing and/or crosslinking). For a photoinitiator, this amount will be dependent in part on the light source, the thickness of the layer to be exposed to radiant energy, and the extinction coefficient of

the photoinitiator. Preferably, the initiator system is present in a total amount of at least about 0.01 wt-%, more preferably, at least about 0.03 wt-%, and most preferably, at least about 0.05 wt-%, based on the weight of the composition. Preferably, the initiator system is present in a total amount of no more than about 10 wt-%, more preferably, no more than about 5 wt-%, and most preferably, no more than about 2.5 wt-%, based on the weight of the composition.

5

10

15

20

25

30

35

The compositions of the invention may optionally contain a surfactant system, i.e., one surfactant or a mixture of two or more surfactants. These surfactants, when used in small amounts may interact with other components of the composition, such as an inorganic filler material, to enhance the formation of a noncovalent three-dimensional structure. Such surfactants can be nonionic, anionic, or cationic. The surfactant(s) can be copolymerizable with the resin system or non-copolymerizable. A consideration in the choice of a surfactant that can be used is the degree to which the ingredients of the system are able to participate in hydrogen bonding. The desired properties can be achieved when the dental composition is free of surfactant.

The composition may additionally include optional agents such as colorants (e.g., pigments conventionally used for shade adjustment), flavorants, medicaments, stabilizers (such as BHT), viscosity modifiers, and the like. Such agents may optionally include reactive functionality so that they will be copolymerized with the resin.

The combination of semi-crystalline resin and nanocluster filler system, as described herein, renders the dental composition, dental articles, and methods improved properties.

The dental restoration composition and articles described herein has been found to exhibit improved properties.

In some embodiments, the cured compositions and cured articles exhibited improved staining resistance. In some embodiments, the change in color (i.e. Delta E*) may be 1 or 2 units lower relative to a control (e.g. CE-1) when tested in a 15% coffee solution at 37°C for 3 days. In other embodiments, the change in color may be 3, 4, 5, 6, or 7 units lower relation to a control (e.g. CE-2).

In some embodiments, the uncured preformed articles exhibited improved handling, i.e. "good handling" as determined by the test method described in the forthcoming examples.

In some embodiments, the cured compositions and cured articles exhibited improved 3-body wear as determined by the test method described in the forthcoming examples. In favored embodiments, the wear ratio was 0.5 or less. The wear ratio is typically at least 0.1 or 0.2.

The compositions of the present invention can be shaped (e.g., molded) into a variety of forms like three-dimensional shapes, preformed sheets, arch-shaped trays, ropes, buttons, woven, or non-woven webs, and the like. The composition can be shaped (to form a first shape) in a variety of ways including, for example, extruding, injection molding, compression molding, thermoforming, vacuum forming, pressing, calendering, and web processing using rollers. Typically, a semi-finished shape is formed using a mold with a positive and negative impression.

The shaped articles can be sold individually or in multiple units, preferably packaged in a way that protects them from heat and/or light that can activate the initiator system contained in the composition.

5

10

15

20

25

30

35

Generally, a preformed article of appropriate size and shape (the first shape) is selected and custom shaped at a temperature of about 15°C to 38°C (preferably, about 20°C to 38°C, which encompasses typical room temperatures and body temperatures, and more preferably, at room temperature). This shaping can be done by a variety of methods including applying pressure with fingers or an instrument of choice (e.g., hand operation of dental composite instrument), trimming, cutting, sculpting, grinding, etc. Once the desired custom shape has been achieved, the article is hardened (e.g., cured) by exposing it to heat/radiation to cause activation of the initiator system. This can be done either in a single step, or in multiple steps with successive steps of custom shaping being done in-between. One or more of these steps can be carried out in an oxygen-free inert atmosphere or in vacuum. After the final shaping and hardening steps, the hardened article can be further modified in shape by grinding, trimming, etc., if desired. Once the final custom shape of the article has been obtained, it can be polished, painted, or otherwise surface treated, if required for the intended application. Preferably, the final custom shaped articles prepared from the compositions of the present invention do not need an additional veneering material (e.g., a second material that provides a desired appearance or property). The intended application may require mounting, bonding, or otherwise attaching the custom shaped cured article to a second object adhesively, mechanically, or by combination of both.

For the preparation of a (e.g. provisional or permanent) dental crown, an appropriate shape and size of a preformed crown is selected and the preformed crown is seated on the prepared tooth to determine the extent of trimming and shaping required, optionally making marks on the crown. The preformed crown is removed from the mouth, the required shape and size adjustments are made by cutting, trimming, shaping, etc., and then re-seated on the tooth preparation where additional shape adjustments are made to provide optimum custom fit, including gingival, lateral, and occlusal fit. The preformed and reshaped crown can then be hardened, typically by exposing it to a dental curing light for a few seconds, if desired, while in the mouth, and then removing it carefully from the mouth and exposing it for final cure to a curing light in a cure chamber, optionally in combination with heat. Alternatively, the crown can also be completely cured in the mouth by irradiating it with a dental curing light. Final adjustments are made by grinding, trimming, etc., if required, and the finished crown is polished and cleaned. The finished crown can then be cemented as is or lined with a suitable resin material prior to placement in the mouth.

The hardenable, self-supporting structures (e.g., dental products) of this invention can be prepackaged either individually or as an ensemble. Such packaging material should protect

these products from conditions that would activate the initiator system and thus cause premature hardening, e.g., such as could result from exposure to light in the case of a photoinitiator. In addition, the packaging material optionally conforms to the surfaces of the product, thereby providing additional mechanical strength in order to resist damage during shipping. For example, a preformed crown or tray could be packaged in a layer of polyethylene on all sides. The polyethylene provides a mechanical structure and can be sealed to avoid contact with water. If the polyethylene were filled with an appropriate dye, e.g., carbon black, incident light would be absorbed before it could reach the enclosed product. If such a packaging layer is somewhat rigid, and if the packaging material is shaped similar to the preformed article of the invention, then the packaging could enhance the dimensional stability of the preformed product during shipment and storage. In certain cases, the packaging may thus form an integral part of the product system.

The invention is also useful in a number of preformed orthodontic applications as described in WO 03/015720; incorporated herein by reference. The composition of the invention can be shaped to a desired configuration in vivo and then hardened in place in the oral cavity. Alternatively, the composition can be shaped to a desired configuration outside of the oral cavity using, if desired, a model of the patient's tooth structure. When the composition is shaped outside of the oral cavity, the composition is preferably hardened before placement in the oral cavity.

20

5

10

15

EXAMPLES

The following examples are given to illustrate, but not limit, the scope of this invention. Unless otherwise indicated, all parts and percentages are by weight.

Component Utilized in the Pro	eparation of the Hard	denable Dental Composition
Generic Chemical Description	Cass Number	Supplier
IEM (2-isocyanatoethyl methacrylate)	30674-80-7	Showa Denko, Japan
BisGMA (Bisphenol A	1565-94-2	Sigma-Aldrich, St. Louis,
diglycidyl ether methacrylate)		MO
BisEMA-6 (six-mole		Sartomer Co., Inc., Exton,
ethoxylated bisphenol A		PA
dimethacrylate, Sartomer CD-		
541)		
TEGDMA (triethyleneglycol		Sartomer
dimethacrylate)		
TPEG 990 (polymer of ethylene oxide)		Dow Chemical Co.

Procrylate (2,2-bis-4-(3-	27	7689-12-9	Prepared as described in
hydroxy-propoxy-			WO 2006/020760
phenyl)propane			
dimethacrylate)			
UDMA (diurethane	72	2869-86-4	Dajac Laboratories,
dimethacrylate)			Trevose, PA
CAPA2125	36	5890-68-3	Solvay Chemical Co.,
			Warrington, UK
CAPA2205	36	5890-68-3	Solvay Chemical Co.,
			Warrington, UK
CAPA2200A			Solvay Chemical Co.,
			Warrington, UK
Tone0230	36	5890-68-3	Dow Chemical Company,
			Midland, Michigan
BHT (2,6-di-tert-butyl-4-	12	28-37-0	Sigma-Aldrich
methylphenol)			
Tinuvin R 796 (benzotriazole			Ciba Specialty Chemicals,
polymerizable UV stabilizer)			Tarrytown, NY
Dibutyltin Dilaurate			Sigma-Aldrich
Components of Photoinitator	Pac	kage	
CPQ (camphorquinone)			Sigma-Aldrich
EDMAB (ethyl 4-(N,N-			Sigma-Aldrich
dimethylamino) benzoate)			
DPIHFP (diphenyl iodonium			Alpha Aesar, Ward Hill,
hexafluorophosphate)			MA
Pigments			
Red pigment dispersion (visco	us		
dispersion containing red iron	III		
oxide pigment)			
White pigment dispersion			
(viscous dispersion containing			
rutile titanium dioxide pigmen	t)		
Black pigment dispersion (visc	cous		
dispersion containing a black i	ron		
oxide(Fe3O4) pigment)			
Yellow pigment dispersion			

yellow iron III oxide pigment)

Inorganic Fillers

Zr/Si nanocluster - Refers to silane-treated zirconia/silica nanocluster filler prepared essentially as described in U.S. Patent No. 6,730,156 (Preparatory Example A (line 51-64) and Example B (column 25 line 65 through column 26 line 40)

20 nm Silica Nanomer - Refers to silane-treated nano-sized silica having a nominal particle size of approximately 20 nanometers, prepared essentially as described in US Patent 6,572,693 B1,(column 21, lines 63-67 for Nanosized particle filler, Type #2)

Cabosil M5 - Refers to a fumed silica available under the trade designation CAB-O-SIL M-5 from Cabot Corp., Boston, MA

S/T Filler – Refers to silane treated zirconia silica filler, prepared as described in Columns 15 and 16 of US 6624211

Preparation of Polycaprolactone Di(meth)acrylates

To a 32 oz. glass jar was added about 50 grams of polycaprolactone diol and about 0.04 g BHT, and this was heated in an oil bath to 60°C. After melting of all the solid polycaprolactone and under magnetic stirring, 2 molar equivalent of IEM (about 7.8 g) was added over 15 minutes. A drop (~0.02 g) of dibutyltin dilaurate (Aldrich) was added to the mixture. This reaction was continued at 60°C for 12 hours. The resulting white solids were characterized with IR and NMR and confirmed to be the respective polycaprolactone IEMs.

10 Gel Permeation Chromatography (GPC) Test

Approximately 25.0 mgs of each sample was added to 10.0 ml of tetrahydrofuran. The sample solutions were shaken overnight and were filtered with a 0.25 micron Teflon syringe filter

The GPC analysis was as follows -

15

20

Instrument Waters Inc. 2695 Separations Module

Column set Jordi Associates 500A (50 cm)

Eluent THF
Injection 100 μL

Detector Shimadzu RID-10A Refractive Index Detector

Temperature 35°C

The molecular weight calculations were based upon a calibration made of narrow dispersity polystyrenes ranging in molecular weight from 5.95E+04 down to 266. The actual calculations were completed with Cirrus GPC software from Polymer Labs.

The number average molecular weight (Mn) of the polycaprolactone di(meth)acrylate prepared from the polycaprolactone diol "CAPA 2125" was determined to be 2270 g/mole according to the test method just described.

- The number average molecular weight (Mn) of the polycaprolactone di(meth)acrylates of the comparative examples was also determined according to the same test method. The number average molecular weight (Mn) of the polycaprolactone di(meth)acrylate prepared from the polycaprolactone diol "CAPA 2205" was determined to be 3590 g/mole. The number average molecular weight (Mn) of the polycaprolactone di(meth)acrylate prepared from the polycaprolactone diol "Tone 230" was determined to be 2200 g/mole.
- The number average molecular weight (Mn) of the polycaprolactone di(meth)acrylate prepared from the polycaprolactone diol "CAPA 2200A" was determined to be 2790 g/mole.

Preparation of Curable Dental Restoration Compositions

15 The components listed in Table 1 were mixed in the following way: pigments were initially mixed with the resin components until uniform, then the filler was added and the final composition was mixed until uniform.

EXAMPLES (EX) and COMPARATIVE EXAMPLES (CE) (wt%)

	EX1	EX2	EX3	EX4	EX5	CE1	CE2
BisGMA	3.9189	3.7126	3.3001	14.4383	3.3001		10.5498
BisEMA6	6.8671	6.4972	5.7752	1.0313	5.7752		
TEGDMA	0.9798	0.9283	0.8250		0.8250		
UDMA	3.9189	3.7126	3.3001	1.0313	3.3001	12.9490	
Procrylate	3.9189	3.7126	3.3001		3.3001	4.3160	
CAPA2125-	1.0226	2.0629	4.1257	4.1257			
IEM							
CAPA2205-						4.3160	
IEM							
CAPA2200A-					4.1257		
IEM							
Tone 230-IEM							9.0960
TPEG990							0.6000
SiO ₂ /ZrO ₂	55.7979	55.7979	55.7979	57.2451	55.7979	44.6400	
nanocluster							
20 nm Silica	19.5497	19.5497	19.5497	19.0817	19.5497	29.7600	
Nanomer							

Cabosil M5	3.1392	3.1392	3.1392	2.1600	3.1392	3.0890	2.1698
S/T Filler							76.7302
Red Pigment	0.0100	0.0100	0.0100	0.0100	0.0100	0.0040	0.0117
Dispersion							
White Pigment						0.0400	0.1065
Dispersion							
Black Pigment						0.0040	0.0002
Dispersion							
Yellow	0.0430	0.0430	0.0430	0.0430	0.0430	0.0480	0.0613
Pigment							
Dispersion							
CPQ	0.0610	0.0610	0.0610	0.0610	0.0610	0.0610	0.0345
EDMAB	0.2240	0.2240	0.2240	0.2240	0.2240	0.2240	0.2032
DPIHFP	0.1790	0.1790	0.1790	0.1790	0.1790	0.1790	0.1016
BHT	0.0340	0.0340	0.0340	0.0340	0.0340	0.0340	0.0305
Tinuvin R 976	0.3360	0.3360	0.3360	0.3360	0.3360	0.3360	0.3048

Flexural Strength Test

Test Method:

5

Flexural Strength was tested by following the procedure described in International Standard ISO 4049-2009, entitled "Dentistry – Polymer-based filling, restorative and luting materials".

Material	Flexural Strength	Standard	Flexural Modulus	Standard
	MPa	Deviation	MPa	Deviation
Example 3	125.7	11.0	9177	1239
Example 4	110.8	27.4	9647	1057
CE-1	124.3	11.0	9341	896
CE-2	113.9	12.8	6204	723

Watts Shrinkage Test

The Watts Shrinkage (Watts) Test Method measures shrinkage of a test sample in terms of volumetric change after curing. The sample preparation (90-mg uncured composite test sample) and test procedure were carried out as described in the following reference: Determination of Polymerization Shrinkage Kinetics in Visible-Light-Cured Materials: Methods Development, Dental Materials, October 1991, pages 281-286. Results in terms of percent shrinkage were reported as the average of three replicates for each sample as follows:

Material	Total Shrinkage %
EX1	2.14
EX2	2.08
EX3	2.11
EX4	1.55
CE-1	2.07
CE-2	1.65

Staining Test

5

10

15

20

25

Staining Disk Preparation

Samples (approximately 10 grams) of the dental compositions were pressed to a thickness of approximately 1.1 millimeters (between 2 pieces of silicone release paper), using a hydraulic press (available from Carver Inc., Wabash, IN) at approximately 60 °C. Each pressed sample was then stored at room temperature for 7 days, after which a 14 mm diameter disc was cut and placed in a 1 mm thick by 15 mm diameter split mold, sandwiched between 2 pieces of 1 mil polyester film available from DuPont under the trade designation "Mylar". This was then placed between 2 steel plates, and pressed in the above hydraulic press at 37 °C for 2 minutes under 1000 psi (6.9 X 10⁶ Pa). The polyester film sandwiched sample was then taken out of the hydraulic press, covered with a 1mm thick, 50 mm x 75 mm glass microscope slide (VWR Catalog # 374-1407) over the polyester film, and light cured for 50 seconds by using VISILUX Model 2500 dental curing light (3M ESPE). After that, the other side was also cured for 50 seconds through a glass microscope slide. With the polyester films still present, the dental composite disc was conditioned in a 37°C oven for 15 minutes. This sample disc was then stored in 37°C deionized water before staining test.

Coffee Solution

A 15% coffee solution was prepared by adding 15 g of Folgers Classic Roast Instant Coffee Crystals to 85 g of 80 °C de-ionized water, mixing well, and then letting it cool down to 37 °C or less. (coffee crystals commercially available from The Folger Coffee Company, Cincinnati, OH 45202). Two discs were used from each formulation for the staining test. The CIELAB color of each disc was measured before the staining test as follows. A spectrophotometer obtained from HunterLab, Reston, VA under the trade designation "UltraScan XE" in small area view mode with RSIN (reflectance specular included) was used to measure the L*, a*, and b* values. After the initial color measurements, the dental composite discs were placed in 15% coffee solution at 37 °C for 3 days. The stained discs were then rinsed with de-ionized water, and the color of the stained discs was measured again. The staining resistance is reported as Delta E* as defined below:

Delta E* = $[(L_0* - L_1*)^2 + [(a_0* - a_1*)^2 + [(b_0* - b_1*)^2]^{1/2}$

wherein each 0 represents the initial values and each 1 represents the values after conditioning the hardened dental composition in the indicated test solution.

The test results are as follows:

5 Staining - 15% coffee staining data (37°C/3 days)

Materials	Delta E*	Standard Deviation
EX1	5.50	0.17
EX2	5.37	0.41
EX3	6.15	0.01
EX4	6.72	0.83
CE-1	8.61	0.78
CE-2	12.76	1.37

Crown Formation and Handling Assessment

Crowns were prepared by a two-stage process, analogous to that described in patent application US2005/100868. Samples of each paste to be tested were injected into a cavity lined with an ethylenevinyl acetate (EVA) copolymer film, containing ~19% vinyl acetate. This sample of paste was transferred to a second multi-part mold, covered with a second polyethylene film, and compression molded to form a hollow crown shape. This mold was in the form of a symmetric model lower first molar. The resulting formed crown had a mesial-distal dimension of approximately 10.6 mm.

The above prepared crowns were conditioned/aged at different Temperature/Humidity environment to mimic storage condition change with the season, including a 23°C/15%RH dry environment.

The materials were evaluated by an experienced dentist customizing the crowns formed of the materials on a prepared artificial tooth in a Columbia Dentoform R862 Typodont, at the #31 position. The Typodont tooth was modified to have a shoulder preparation. The crown was wet with water, manipulated by hand to obtain an initial assessment of the handling, and adapted to the preparation in the Typodont. Overall acceptability of the handling was assessed based on ability to trim, adjust, and smooth the crown before cure.

After 2 weeks of aging at 23°C/15%RH dry environment, the crown handling results are shown in the forthcoming table.

3 Body Wear

10

15

20

25

30

Samples were compared with Comparative Example 2 (CE2) in 3-body wear testing generally according to the ACTA method described by P. Pallav, C. L. Davidson, and A. J. DeGee in *J Pros Dent* 59 (1988) (4), pp. 426-429. The procedure was modified by excluding sodium azide from the wear media, and by preparing the wear wheel initially by machining rather than grinding on the ACTA-wear

machine. Wear rate was measured by measuring the wear depth at 6 intervals, fitting a line by the least-squares method, and generating the ratio of each material's slope to the slope of CE2. Results of this experiment are summarized in the following table:

5 Wear and Handling

	Wear Ratio to CE2	Standard deviation	Handling Assessment
EX1	0.34	0.06	Good
EX2	0.36	0.01	Good
EX3	0.36	0.08	Good
EX4	0.45	0.13	Good
EX5	Not Tested	Not Tested	Good
CE1	0.24	0.05	Poor
CE2	1.00	0.06	Good

What is claimed is:

1. A preformed semi-finished dental article comprising an uncured dental restoration composition comprising:

a resin system comprising a free-radically polymerizable semi-crystalline resin having a molecular weight no greater than 3000 g/mole; and

at least 50 wt-% of nanocluster filler;

wherein the uncured dental restoration composition has a first shape that is sufficiently malleable to be formed into a second shape.

10

15

5

2. A method of making a dental article comprising:

providing a preformed semi-finished uncured dental article having a first shape wherein the uncured dental article comprises:

a resin system comprising a free-radically polymerizable semi-crystalline resin having a molecular weight no greater than 3000 g/mole;

at least 50 wt-% of nanocluster filler;

forming the semi-finished uncured dental article into a second shape; and hardening the semi-finished uncured dental article by curing.

20 3. A curable dental restoration composition comprising:

a resin system comprising a free-radically polymerizable semi-crystalline resin having a molecular weight no greater than 3000 g/mole; and

at least 50 wt-% of nanocluster filler.

- 4. The article, method or composition of the previous claims wherein the semi-crystalline resin comprises polycaprolactone units.
 - 5. The article, method or composition of the previous claims wherein the semi-crystalline resin is a reaction product of a polycarprolactone diol and a hydroxyl reactive (meth)acrylate.

- 6. The article, method or composition of the previous claims wherein the semi-crystalline resin is present in amount ranging from 1 wt-% to 15 wt-%.
- 7. The article, method or composition of the previous claims wherein the resin system comprises at least one multi- (meth)acrylate aromatic resin.

8. The article, method or composition of the previous claims wherein the multi- (meth)acrylate aromatic monomer is a low shrinkage resin derived from bisphenol A.

- 9. The article, method or composition of claim 8 wherein the low shrinkage resin is present in an amount ranging from 3 wt-% to 15 wt-% of the dental composition.
 - 10. The article, method or composition of claim 8 wherein the low shrinkage resin is present in an amount of at least 5 wt-% or 10 wt-%.
- 10 11. The article, method or composition of claims 7 or 8 wherein the low shrinkage resin is BisGMA

- 12. The article, method or composition of claims 8-11 wherein the resin system further comprises other polymerizable resins in addition to the low shrinkage resin in an amount of less than 20 wt-% of the total dental restoration composition.
- 13. The article, method or composition of claim 12 wherein the other polymerizable resins are present in an amount no greater than 5 wt-%, or 4 wt-% or 3 wt-% of the dental composition.
- 20 14. The article, method or composition of the previous wherein the composition further comprises one or more additional nanoscopic particulate fillers.
 - 15. The article, method or composition of claim 14 wherein the one or more additional nanoscopic particulate fillers are present in an amount ranging from 15 wt-% to 30 wt-%.
 - 16. The article, method or composition of claims 14-15 wherein the one or more additional nanoscopic particulate fillers comprise silica.
- 17. The article, method or composition of claims 14-16 wherein the one or more additional nanoscopic particulate fillers comprise fumed silica.

25

International application No PCT/US2011/049832

a. classification of subject matter INV. A61K6/087 A61K6 A61K6/083

ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61K A61C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

Category*	Citation of document, with indication, where appropriate, of the relevant passages
Calegory	Ollation of document, with indication, where appropriate, of the relevant passages

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2009/305196 A1 (KARIM NAIMUL [US] ET AL) 10 December 2009 (2009-12-10) cited in the application page 33, paragraph 0258 - paragraph 0259;0263-0264 page 34; example 3 page 38; examples 15,16; tables 3,4 page 41; examples 37,38,16,17 page 42; examples 39,18 claims 61,65-69,89-97	1-17
X	US 2009/118389 A1 (ABUELYAMAN AHMED S [US] ET AL) 7 May 2009 (2009-05-07) TONE 0210-IEM, TONE 0310-IEM, Tone 0230-IEM; Filler A; page 9 page 12; examples 13,14,16-19; table 1C 	1,3-13

Χ

See patent family annex.

- Special categories of cited documents :
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

25/01/2012

Date of the actual completion of the international search Date of mailing of the international search report

18 January 2012

European Patent Office, P.B. 5818 Patentlaan 2

Authorized officer

Pelli Wablat, B

Name and mailing address of the ISA/

NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016

International application No
PCT/US2011/049832

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2008/033758 A2 (3M INNOVATIVE PROPERTIES CO [US]; JONES TODD D [US]; KARIM NAIMUL [US]) 20 March 2008 (2008-03-20) page 15, lines 7-10,15-17; example 1	1,3-11
Y	WO 03/015720 A1 (3M INNOVATIVE PROPERTIES CO [US]) 27 February 2003 (2003-02-27) cited in the application page 3, line 22 - page 5, line 22 page 7, paragraph 25 - paragraph 30 page 8, line 10 - line 27 page 9, line 6 - line 20 page 24, line 17 - line 19 page 45; examples 2-5 page 49; examples 30-32 claims 1-5,16,18-23,26,30,31	1-17
′	US 6 572 693 B1 (WU DONG [US] ET AL) 3 June 2003 (2003-06-03) column 2, line 23 - page 3, line 37 column 4, line 5 - column 5, line 64 claims	1-17
Υ	US 2003/114553 A1 (KARIM NAIMUL [US] ET AL) 19 June 2003 (2003-06-19) page 3, paragraph 30 - paragraph 32 page 9, paragraphs 102,107 - paragraph 108 claims 18-21,23,47-48 page 6, paragraph 0064	1-17
A	US 5 403 188 A (OXMAN JOEL D [US] ET AL) 4 April 1995 (1995-04-04) the whole document	1-17

Information on patent family members

International application No
PCT/US2011/049832

			I				011/ 043032
	atent document d in search report		Publication date		Patent family member(s)		Publication date
US	2009305196	A1	10-12-2009	AT CN EP EP JP US WO	516794 101516319 2073782 2377507 2010503698 2009305196 2008033911	A A2 A2 A A1	15-08-2011 26-08-2009 01-07-2009 19-10-2011 04-02-2010 10-12-2009 20-03-2008
US	2009118389	A1	07-05-2009	AU CA CN EP JP KR US WO	2006244175 2607947 101170986 1879542 2008540542 20080013892 2009118389 2006122074	A1 A1 A A A	16-11-2006 16-11-2006 30-04-2008 23-01-2008 20-11-2008 13-02-2008 07-05-2009 16-11-2006
WO	2008033758	A2	20-03-2008	CN EP JP US WO	101516288 2068746 2010503472 2009305195 2008033758	A2 A A1	26-08-2009 17-06-2009 04-02-2010 10-12-2009 20-03-2008
WO	03015720	A1	27-02-2003	BR CA CN EP EP JP JP JP JP		A1 A1 A2 A2 B2 B2 A A	27-07-2004 27-02-2003 27-10-2004 12-05-2004 12-01-2011 19-01-2011 14-09-2011 14-09-2011 07-04-2005 09-07-2009 24-09-2010 27-02-2003
US	6572693	B1	03-06-2003	NONE			
US	2003114553	A1	19-06-2003	US US US	2003114553 2009032989 2011003266	A1	19-06-2003 05-02-2009 06-01-2011
US	5403188	A	04-04-1995	AU AU BR CA DE DE DK EP EP ES FI JP	635809 6986291 9100262 2034182 69004245 69101702 69111055 0443269 0443269 0516711 0516751 0566221 2052374 2060075 910393 4211602	A A1 D1 D1 T3 A1 A1 A2 T3 A	01-04-1993 29-08-1991 22-10-1991 24-08-1991 02-12-1993 19-05-1994 10-08-1995 27-12-1993 28-08-1991 09-12-1992 09-12-1992 20-10-1993 01-07-1994 16-11-1994 24-08-1991 03-08-1992

Information on patent family members

International application No
PCT/US2011/049832

	information on patent family members			PCT/US2011/049832	
Patent document cited in search report	Publication date		Patent family member(s)	Publication date	
		JP JP NO US US WO WO	H05503459 A H05504499 A 910693 A 5403188 A 5415544 A 9112775 A1 9112776 A1	10-06-1993 15-07-1993 26-08-1991 04-04-1995 16-05-1995 05-09-1991	