



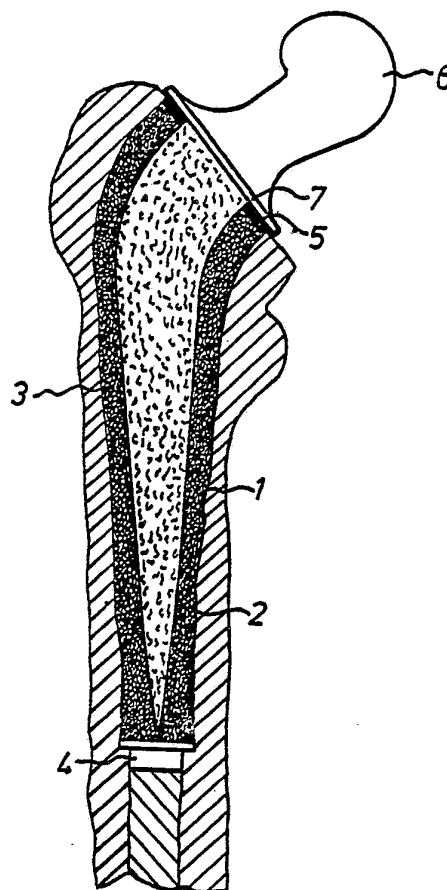
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification⁴ : A61F 2/30 // A61L 27/00</p>	<p>A1</p>	<p>(11) International Publication Number: WO 88/ 07355 (43) International Publication Date: 6 October 1988 (06.10.88)</p>
<p>(21) International Application Number: PCT/SE88/00157 (22) International Filing Date: 30 March 1988 (30.03.88) (31) Priority Application Number: 8701313-2 (32) Priority Date: 30 March 1987 (30.03.87) (33) Priority Country: SE (71) Applicant (for all designated States except US): AB IDEA [SE/SE]; Box 81, S-260 40 Viken (SE). (72) Inventors; and (75) Inventors/Applicants (for US only) : BRUCE, Lars [SE/SE]; BRUCE, Ingrid [SE/SE]; Box 81, S-260 40 Viken (SE). (74) Agent: AWAPATENT AB; Box 5117, S-200 71 Malmö (SE).</p>		<p>(81) Designated States: AT (European patent), AU, BE (European patent), BR, CH (European patent), DE (European patent), DK, FI, FR (European patent), GB (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent), US. Published <i>With international search report.</i></p>

(54) Title: METHOD AND MEANS FOR FIXING A JOINT PROSTHESIS

(57) Abstract

Means for fixing a joint prosthesis the stem of which is provided with a friction surface (2). Said means comprises a substantially homogeneous mixture of a biologically compatible granular material in which the grains (3) have a substantially even particle size distribution and are substantially irregular and/or plastic. A method for fixing said joint prosthesis consists in that a cavity is reamed in the bone in which the prosthesis is to be applied, that grains (3) of biologically compatible material are applied in said cavity so as to form a grain bed, that the prosthesis is driven down into said grain bed until substantially the entire prosthesis stem is surrounded by the grains, that the grains are subjected to an external force, and that a device (5) for retaining the grains is applied on said bed around the prosthesis stem.



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METHOD AND MEANS FOR FIXING A JOINT PROSTHESIS

The present invention relates to a method and means for fixing joint prostheses.

A common method of anchoring a joint prosthesis is cementing it to bone tissue, i.e. filling a gap
5 between the joint prosthesis and the osseous wall with bone cement. The problem of unsatisfactory long-term fixation of cemented prostheses has resulted in that use is now less frequently made of cement for fixing prostheses. In this context, it is vital
10 that the shape of the prosthesis stem, which is inserted in the cavity reamed in the bone, conforms well with the shape of the cavity, and that the bridging distance between the osseous wall and the surface of the prosthesis stem is as short as possible to allow bone tissue
15 to form and, within a reasonable time, grow onto the prosthesis from all sides to anchor it to the osseous wall. The minimum bridging distance is of course a true physical contact between the prosthesis surface and the osseous wall but, in a joint prosthesis in
20 a bone, it is highly unlikely that such contact can be established other than at points, which is not sufficient. It is difficult to combine the cementless method, consisting in establishing such a physical contact and providing good long-term fixation but
25 poor short-term fixation, with the method using bone cement which provides good short-term fixation but poor long-term fixation, since the bone cement isolates the prosthesis surface from the osseous wall.

According to the invention, the fixation of the
30 joint prosthesis to bone tissue is ensured by means of a biologically compatible, granular material in which the grains have a substantially even particle size distribution and are substantially irregular and/or plastic. After the operation is completed,

these grains should be tightly packed and locked relative to each other and to the bone tissue and the prosthesis stem. By using grains as anchoring means, larger tolerances between the prosthesis stem and the osseous wall are permissible as compared with conventional cementless operations, the problems linked with the use of bone cement being at the same time eliminated. Thus, the present invention offers a solution to the problem of achieving good short-term fixation as well as good long-term fixation.

In practice, the fixation of the prosthesis can be achieved in different ways.

Preferably, the method according to the invention is carried out in the following way.

A cavity is reamed in the bone in which the prosthesis is to be applied. Grains of biologically compatible material are placed in the cavity so as to form a bed, whereupon the prosthesis is driven down into the grain bed until substantially the entire prosthesis stem is surrounded by the grains. A device for retaining the grains is applied around the prosthesis stem, and the prosthesis is finally fixed, optionally by striking it with a tool.

More specifically, the mixture of grains is first inserted in the cavity so as to substantially fill the cavity as a bed of grains. The grain bed should reach substantially up to the resection surface. The distal end of the prosthesis stem is thereafter inserted in the bed, and the grains are subjected, by a striking force exerted on the prosthesis, to vibrations of such a frequency that the grains are caused to fluidize. While the grains are fluidized, the stem is driven down into the bed, substantially to its intended final position. The grains in the bed are thereafter subjected to vibrations of such a second frequency that packing, i.e. interlocking and compaction, of the grains with respect to each other, and of the grains with respect

to the prosthesis stem and the bone tissue is brought about.

After said interlocking and compaction step, the prosthesis may optionally be finally fixed by strokes exerted on the prosthesis in the longitudinal direction thereof.

According to an advantageous and preferred aspect of the invention, said cavity has as lower boundary a stop plug which is passed down through the cavity and which may serve as an abutment to the stresses deriving from the above-mentioned striking/driving-down forces.

Alternatively, the grain mixture can be inserted in the cavity after the prosthesis stem, here being conically tapering, has been inserted in the cavity. In this case, the prosthesis stem thus is first inserted in the cavity so as to leave a gap between the boundary wall of the cavity, which consists of bone tissue, and the outer friction surface of the prosthesis stem. The grain mixture is thereafter inserted in said gap, substantially up to the level of the resection surface. The compaction step is thereafter carried out by striking one or more times on the head of the stem. In this manner, the grains will be wedged with respect to each other, i.e. packed in said gap, thus bringing about said compaction and interlocking.

As earlier mentioned, the method according to the invention uses prostheses the stems of which have an outer friction surface which is adapted, after the operation is completed, to ensure mechanical locking between the prosthesis stem and the grains. The outer friction surface is formed with irregularities or unevennesses which may, but need not necessarily, be of substantially the same size as the grains. The shape of the outer friction surface is not critical as long as the grains can engage the unevennesses thereon. Corresponding interlocking between the grains

and the osseous wall is obtained since the osseous wall will have unevennesses which result from the reaming operation and in which the grains can engage.

5 The engagement between the outer surface of the stem and the grains, between the different grains, and between the grains and the bone tissue is enhanced if the stem, after the vibration step, is subjected to the optional final fixing stroke/strokes. In this way, the grains adjacent the bone tissue will penetrate,
10 if they have not previously done so during the locking and compaction step, deep into the osseous wall and into engagement with the outer surface of the stem.

In order to make it easier to drive the prosthesis down into the grain bed, the distal end of the
15 prosthesis stem is suitably pointed, and the prosthesis stem is conically tapering towards its distal end.

The grains which are used in the method according to the invention must satisfy certain requirements
20 to give a satisfactory result of the surgical operation. Thus, the grains must consist of biologically compatible material. One example of such materials primarily is titanium (having, after oxidation in air, an outer layer of titanium dioxide). Other suitable
25 materials are tantalum, niobium and alloys thereof, like titanium alloys. So-called bioceramics, such as Al_2O_3 , bioglass and hydroxyapatite, can also be used. Grains of other materials which have been surface-coated with layers of biologically compatible
30 material, preferably titanium, may of course also be used. Further, the grain mass may consist wholly or partly of grains of body-endogenous material, such as ground bone tissue.

35 As mentioned above, the grain mixture applied in the cavity is a substantially homogenous mixture. The grains should have a substantially even particle

size distribution such that, when it comes to interlocking and compaction by vibration, no stratification in different particle sizes should occur in the cavity with a consequent risk of uneven and impaired bone
5 ongrowth. The term "substantially even particle size distribution" as used herein means that the "particle diameter" may vary by $\pm 50\%$, preferably $\pm 25\%$ or less. The absolute grain size may vary within relatively wide ranges, a particle size of below about 5 mm being
10 regarded as optimal. The lower limit may be difficult to set. Very small grain particles might be usable in combination with a biologically compatible liquid binding the small grain particles (the fines). Normally, use is however made of grain particles of sizes above
15 0.1 mm. Preferably, the upper limit may be at about 2 mm and the lower limit at about 0.5 mm. Generally speaking, the particle size is selected with regard to the space which, after the operation is completed, should be packed with grains, i.e. coarser particles
20 are thus normally chosen e.g. for hip-joint operations, while smaller particle sizes are used e.g. for finger-joint operations.

In order to provide total interlocking, i.e. locking of osseous wall to grains, grains to grains,
25 grains to prosthesis stem, the grains should further be irregular and/or plastic, i.e. be able to change their shape when subjected to an external force and to maintain the new shape when the external force has ceased to act. Although it is possible to use
30 solid grains, grains having a certain, preferably high porosity are preferred. Porous grains are obtained in a known manner by blowing gas or liquid through a melt of granular material. Optionally, the grains may be charged or coated with antibiotics and/or
35 growth-stimulating agents.

In the preferred embodiment described above, the grains are fluidized in the grain bed in order

to allow the prosthesis stem to be driven down into the grain bed in the reamed cavity. Fluidization is carried out in two steps, the first at a high frequency allowing the prosthesis stem to be driven down into the grain bed in that the grains of the bed placed in the cavity behave as a liquid. This first fluidization step is followed by fluidization at a lower frequency providing room for the grains in the bed between each other so as to be wedged with respect to each other, thus bringing about compaction and interlocking. Suitable frequencies for the vibrations required for fluidization, compaction and interlocking can easily be tested out in each special case by anyone of ordinary skill in the art and depend on factors, such as type of grains and type of prosthesis. Good results have been achieved when using a pneumatically powered, oscillating bone saw of conventional type and here provided with gripping means, in the case of porous titanium powder having a particle size of between 1 and 1.5 mm.

The grain mass is fixed in the cavity by applying, around the prosthesis stem, a collar or ring of bone cement or plastic above the resulting, compacted, interlocking bed of grains. Alternatively, bone cement or an equivalent quick-setting liquid may be poured over the grains.

The prosthesis (prosthesis stem) may be of a per se known type, as long as it has an outer, e.g. rough or uneven friction surface. Suitably, the stem consists of a biologically compatible, growth-stimulating material or has a surface layer of such a material, thus forming said outer friction surface.

In the enclosed drawing, there is shown a hip-joint prosthesis which has been fixed by the method and the means according to the present invention.

The space between an osseous wall 1 and the outer wall 2 of the conical stem of a hip-joint prosthesis is filled with irregular grains 3 of pure titanium

having a size of about 1 mm. The grains 3 are porous and have been obtained by blowing gas through a melt of the granular material. The grains are packed and wedged with respect to each other. Mechanical interlocking is provided between the stem outer wall 2 and the grains adjacent thereto as well as between the osseous wall 1 and the grains adjacent thereto. A "bottom plug" 4, which advantageously consists of a piece of the patient's own hip-joint ball earlier removed by surgical operation, forms a lower boundary of the cavity and engages the surrounding osseous wall by a flange. A cover 5 of bone cement forms an upper boundary of the cavity. In the drawing, the thickness of the grain layer is exaggerated for greater clarity.

The above-mentioned compaction, interlocking and wedging and, consequently, prosthesis fixation is brought about in the following way.

After the surgeon in a traditional manner has reamed the part of the femur in which the prosthesis stem should be implanted, and applied the bottom plug 4, the resulting cavity is filled with said grains 3, sterilized e.g. by autoclaving, up to a level slightly below the opening of the reamed bone. The prosthesis stem is thereafter inserted in the thus obtained grain bed and driven into it so far that only a few mm remain between the collar 7 and the opening of the bone. For driving the prosthesis stem into the bed of grains, use is made of a vibrating tool with adjustable frequency, acting on the head 6 of the prosthesis stem and adapted, at a suitable vibration frequency, to loosen the grain bed, thus allowing the stem to penetrate into the bed. If the penetration of the stem into the bed becomes excessively slow because of the compactness of the bed, the surgeon increases the vibration frequency. Once a position of the prosthesis stem has been obtained as defined above, the grains

are subjected to such a treatment that they will settle in a manner to be compacted and locked to each other. This is preferably done by subjecting the prosthesis stem, by means of the vibrating tool, to a progressively
5 decreasing vibration frequency, by strokes on the prosthesis stem or otherwise.

Bone cement is now applied in a ring around the stem below the collar 7, whereupon the stem is completely driven down into the grain bed by striking
10 with a hammer. This measure enhances the previously mentioned interlocking, wedging and application/penetration effects. The bone cement in the ring can now be cured so as to form the cover 5.

It has been found that the initial vibration
15 of the stem most suitably is a reciprocating movement along an approximately horizontal circular arc. This vibration causes the grains 3 in the stem cavity to float and makes it possible to rapidly drive the stem into the bed.

20 Although the invention is described with reference to hip-joint prostheses, it is evident to anyone skilled in the art that the means and the method of the invention are applicable also to other types of prostheses.

CLAIMS

1. Means for fixing joint prostheses the stems of which are provided with a friction surface, c h a -
r a c t e r i z e d in that it comprises a substan-
tially homogeneous mixture of a biologically compat-
5 ible granular material in which the grains have a
substantially even particle size distribution and
are substantially irregular and/or plastic.

2. Means as claimed in claim 1, c h a r a c -
t e r i z e d in that the size of the particles is
10 at most 5, preferably between 0.5 and 2 mm.

3. Means as claimed in claims 1 and 2, c h a -
r a c t e r i z e d in that the grains are fluidiz-
able when subjected to vibrations of a certain fre-
quency, and interlockable when subjected to vibra-
15 tions of another frequency.

4. Means as claimed in claim 3, c h a r a c -
t e r i z e d in that the grains are fluidizable
when subjected to vibrations of a higher frequency
and interlockable when subjected to vibrations of
20 a lower frequency.

5. Means as claimed in any one of claims 1-4,
c h a r a c t e r i z e d in that the biologically
compatible material is titanium/titanium oxide, tan-
talam, niobium and alloys thereof, or a bioceramic
25 material.

6. Means as claimed in claims 1-4, c h a r a c -
t e r i z e d in that the grain mixture substantially
consists of titanium/titanium oxide.

7. Means as claimed in any one of claims 1-4,
30 c h a r a c t e r i z e d in that the mixture com-
prises grains of a body-endogenous material, such
as ground bone, optionally in combination with a mate-
rial as claimed in claim 5.

8. Means as claimed in claim 1, c h a r a c t e r -
i z e d in that the grains are substantially porous.

9. A method for fixing a joint prosthesis having
an outer friction surface, c h a r a c t e r i z e d
5 in that a cavity is reamed in the bone in which the
prosthesis is to be applied, that grains of biologically
compatible material are applied in said cavity so
as to form a grain bed, that the prosthesis is driven
down into said grain bed until substantially the entire
10 prosthesis stem is surrounded by the grains, that
the grains are subjected to an external force, such
that locking and compaction of the grains with respect
to each other, and of the grains with respect to the
prosthesis stem and the bone tissue is brought about,
15 and that a device for retaining the grains is applied
on said bed around the prosthesis stem.

10. Method as claimed in claim 9, c h a r a c -
t e r i z e d in that, before applying the grains
in the reamed cavity, a stop plug is applied in the
20 bottom of said cavity.

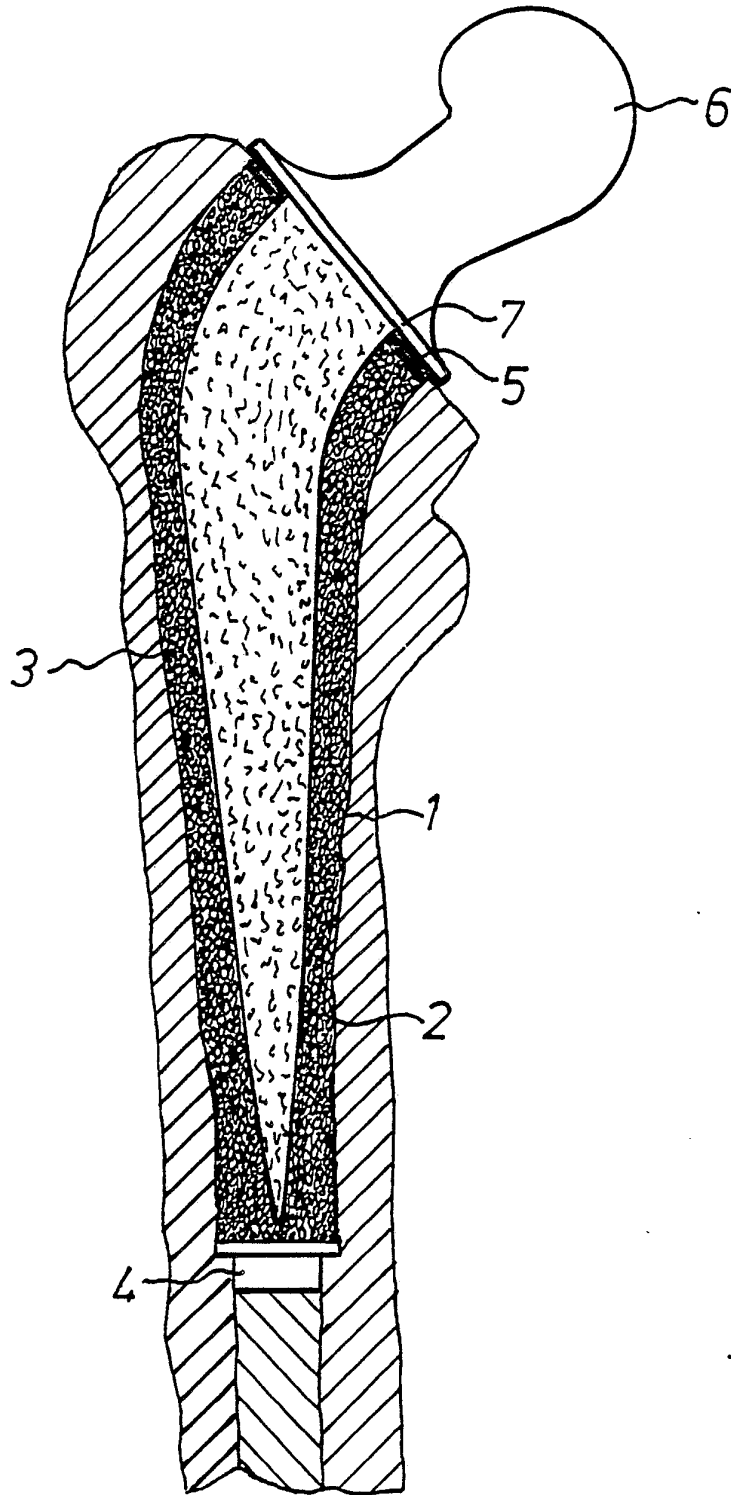
11. Method as claimed in claim 9 or 10, c h a -
r a c t e r i z e d in that the prosthesis is finally
fixed in said cavity by a stroke or strokes exerted on
the prosthesis in the longitudinal direction thereof.

25 12. Method for fixing a joint prosthesis as claimed
in any one of claims 9-11, c h a r a c t e r i z e d
in that the prosthesis stem is driven down into the
grain bed by subjecting the grains, via the prosthesis,
to vibrations of such a frequency that they are caused
30 to fluidize, the prosthesis penetrating down into
said cavity until substantially the entire prosthesis
stem is surrounded by the grains, and that the grains
are thereafter subjected, via the prosthesis, to vibra-
tions of such a different frequency such that locking
35 and compaction of the grains with respect to each
other, and of the grains with respect to the prosthesis
stem and the bone tissue is brought about.

13. Method for fixing a joint prosthesis having
an outer friction surface, c h a r a c t e r i z e d
in that a cavity is reamed in the bone in which the
prosthesis is to be applied, that a stop plug is applied
5 in the bottom of the resulting cavity, that a prosthe-
sis is inserted in said cavity, that grains of a biolo-
gically compatible material are inserted in substan-
tially the entire space formed between the prosthesis
stem and the bone tissue, that a device for retaining
10 the grains is applied around the prosthesis stem,
and that the prosthesis optionally is finally fixed
by a stroke or strokes.

14. Method for fixing a joint prosthesis as claimed
in claim 13, c h a r a c t e r i z e d in that the
15 grains, via the prosthesis, are subjected to vibrations
of such a frequency that locking and compaction of
the grains with respect to each other, and of the
grains with respect to the prosthesis stem and the
bone tissue is brought about.

1/1



INTERNATIONAL SEARCH REPORT

International Application No PCT/SE88/00157

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ⁶		
According to International Patent Classification (IPC) or to both National Classification and IPC ⁴		
A 61 F 2/30 // A 61 L 27/00		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁷		
Classification System	Classification Symbols	
IPC 3, 4	A 61 F 1/00, /03, 2/00, /02, /28- /47; A 61 L 17/00, 27/00	
US C1	3:1, 1.9, 1.91, 1.911; 623:16-23	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸		
SE, NO, DK, FI classes as above		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹		
Category ⁹	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No: ¹³
X	DE, A, 2 305 441 (ROSENTHAL STEMAG TECHNISCHE KERAMIK AG) 8 August 1974	1,2,5,8
A	US, A, 3 918 100 (SHAW ET AL) 11 November 1975	2-8
A	US, A, 4 497 075 (NIWA ET AL) 4 February 1985	3-6, 8
A	US, A, 4 644 942 (SUMP) 24 February 1987	2-6, 8
<p>¹⁰ Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
1988-06-22	1988 -06- 2 7	
International Searching Authority	Signature of Authorized Officer	
Swedish Patent Office	<i>Leif Karnsäter</i> Leif Karnsäter	

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE ¹

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. Claim numbers 9-14 because they relate to subject matter not required to be searched by this Authority, namely:

Claims 9-14 refers to surgical methods, which are in conflict with e.g. Swedish laws.

2. Claim numbers..... because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claim numbers..... because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING ²

This International Searching Authority found multiple inventions in this international application as follows:

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. As all searchable claims could be searched without effort justifying an additional fee, the international searching authority did not invite payment of any additional fee.

Remark on Protest

- The additional search fees were accompanied by applicant's protest.
- No protest accompanied the payment of additional search fees.