# **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:	A1	1) International Publication Number:	WO 90/06140
A61L 27/00, A61F 2/32 C08L 23/06		3) International Publication Date:	14 June 1990 (14.06.90)
(21) International Application Number: PCT/US (22) International Filing Date: 28 November 1989 (30) Priority data: 278,912 288,576 24 December 1988 (02.12 288,576 24 December 1989 (24.10.8) (71) Applicant: E.I. DU PONT DE NEMOURS APPANY [US/US]; 1007 Market Street, Wilmin 19898 (US). (72) Inventors: HOWARD, Edward, George, Jr.; 84 blic Road, Hockessin, DE 19707 (US). LI, Stender Street, Wilmington, DE 19805 (US). (74) Agents: FISHER, Lynn, N. et al.; E.I. Du Pomours and Company, Legal Department, 100 Street, Wilmington, DE 19898 (US).	(28.11. .88) 2.88) 39) ND CO ngton, 1	patent), BR, CH (European patent), DK, ES (European patent), IT (European patent), IT (European patent), NL (European patent), SU.  Published  With international search report.  Before the expiration of the tim claims and to be republished in amendments.	thent), DE (European pa- t), FR (European patent), uropean patent), JP, LU pean patent), SE (Euro-

(54) Title: PROCESS OF MANUFACTURING ULTRAHIGH MOLECULAR WEIGHT LINEAR POLYETHYLENE SHAPED ARTICLES

# (57) Abstract

A novel process for preparing an ultrahigh molecular weight linear polyethylene (UHMWLPE) in the form of a shaped article exhibiting a unique combination of properties is disclosed.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

ΑT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MR	Mauritania
BE	Belgium	GA	Gabon	MW	Malawi
BF	Burkina Fasso	GB	United Kingdom	NL	Netherlands
BG	- Bulgaria	HU	Hungary	NO	Norway
BJ	Benin	TT	Italy	RO	Romania
BR	Brazil	JР	Japan	SD	Sudan
CA	Canada	KP.	Democratic People's Republic	SE	Sweden
CF	Central African Republic		of Korea	SN	Senegal
CG	Congo	KR	Republic of Korea	SU	Soviet Union
CH	Switzerland	Ц	Liechtenstein	TD	Chad
CM	Cameroon	LK	Sri Lanka	TG	Togo
DE	Germany, Federal Republic of	· W	Luxembourg	us	United States of America
DK	Denmark	MC	Monaco		

1

#### TITLE

PROCESS OF MANUFACTURING ULTRAHIGH MOLECULAR
WEIGHT LINEAR POLYETHYLENE SHAPED ARTICLES
CROSS-REFERENCE TO RELATED APPLICATION

This application is a continuation-in-part of my co-pending application U.S. Serial No. 07/426,918 filed October 24, 1989 which in turn is a continuation-in-part of U.S. Serial No. 07/288,576 filed December 22, 1988, which in turn is a continuation-in-part of my co-pending application U.S. Serial No. 07/278,912 filed December 2, 1988.

## BACKGROUND OF THE INVENTION

15 1. Field of the Invention

5

10

20

25

This invention relates to a novel process for making ultrahigh molecular weight linear polyethylene (UHMWLPE). This novel UHMWLPE, in the form of a shaped article, exhibits a unique combination of properties making the material useful as a bearing surface, in general, but particularly useful as a prosthetic hip joint cup and as other prosthetic shapes for replacement of other joints of the human body. This article is the subject of copending U.S. patent application (DE-0284C) filed to E. G. Howard on November \_\_\_\_, 1989 which is a continuation-in-part of U.S. Serial No. 07/426,916 filed on October 24, 1989 which is a continuation-in-part of co-pending U.S. Serial No. 07/288,577 filed December 22, 1988, which in turn is a continuation-in-part of co-pending

which in turn is a continuation-in-part of co-pending U.S. Serial No. 07/278,913, filed December 2, 1988.

## 2. Description of the Prior Art

In U.S. Patent No. 3,944,536 (March 1976),

Lupton et al describe UHMWPE in the form of a fabricated article exhibiting an elastic modulus of 340,000 to 500,000 psi, a tensile impact strength of 140 to 600 ft lb/in<sup>2</sup>, a density of 0.95 to 0.98 g/cc

5

25

35

at 25°C, a crystalline melting point of 142 to 148°C (as measured by differential thermal analysis) and a unique crystalline form characterized by the absence of fold spacings of 50-2000 Angstrom units (Å) and the presence of crystal spacings of about 10,000 Å. The critical feature of the process of producing this UHMWPE is disclosed to involve inducing

10 crystallization of the molten polymer above 150°C by rapidly increasing the applied pressure from an initial level of 1 to 1000 atmospheres to a second level of 2000 to 7000 atmospheres and then cooling rapidly while maintaining a pressure sufficient to 15 maintain the polyethylene in the solid phase until the temperature is below the crystalline melting point of the polyethylene at atmospheric pressure.

In Kunstuffe German Plastics 77 (1987) pp. 617-622, in an article entitled "Ultrahigh Molecular Polyethylene for Replacement Joints", Eyrer et al. 20 point out that the service life of joint replacements made of UHMWPE is limited. Analysis of the damage to over 250 explanted hip cups and tibial plateaus revealed a changed property profile which they explained by post-crystallization resulting from oxidative chain decomposition. They suggested optimizing the processing of polyethylene under higher pressure and higher temperature to increase the degree of crystallinity. The Eyrer et al.

30 product displays a creep of above 5% at a compression of 1000 psi (6.9 N/mm<sup>2</sup>) for 24 hours at 37°C.

One of the most remarkable advances in the medical field in recent years is the development of prosthetic joints, particularly the load bearing The crippled and sometimes bed ridden elderly can walk again. The key to this development is UHMWPE because, not only does it have the necessary

PCT/US89/05262

impact strength, but it initiates no adverse blood reactions. But at present, these prosthetic joints 5 are limited to the older, less active segment of the population because the polymer tends to creep under the pressure that a younger more active person might develop while involved in recreation or employment. The creep would cause the loss of the desired 10 tolerance required between the plastic socket and the polished metal ball attached to the femur. changes in dimensions disturb the distribution of walking forces which in turn accelerates more creep and wear. Eventually the increased pain requires a traumatic revision operation. One objective of this invention is to provide a process for making UHMWPE prosthetic joints with improved creep resistance hence removing some of the age restriction existing with regard to the present polyethylene joints.

20

25

35

15

# SUMMARY OF THE INVENTION

The object of this invention is to provide a process for making a tough UHMWLPE composition and articles that display a creep resistance, when exposed to a temperature of 23±1°C and a relative humidity of 50±2% for 24 hours under a compression of 1000 psi, of less than 1% without sacrificing excellent tensile and flexural properties.

Specifically, the product obtained is a shaped 30 UHMWLPE article exhibiting an elastic or flexural modulus of 250,000-500,000 psi, a tensile stress at yield of 3500-4500 psi, a tensile stress at break of 4000-9000 psi, a tensile modulus of 300,000-700,000 psi; an elongation of 200-500%, a notched Izod impact resistance of 12-20 ft. lb. per in. of notch, a creep at a compression of 1000 psi of less than 1% after 24

hours at a temperature of 23°C and a relative humidity of 50%, the polyethylene having a molecular weight of 1,000,000-10,000,000 (the molecular chain length between folds being greater than 3500Å), a single crystalline melting point of greater than 144°C (as measured by differential scanning calorimetry) the reduction in said melting point upon reheating being greater than 11°C above that of the starting polymer and an infrared crystallinity index of at least about 0.45.

10

20

30

35

The process for obtaining the shaped article of this invention involves six (6) important steps:

- 1. forming, by milling or casting or the like the article from UHMWLPE having a molecular weight of 400,000-10,000,000, preferably at least 1,000,000 and most preferably at least 6,000,000;
  - 2. surrounding the article with an inert material that is collapsible and impermeable; and placing the surrounded article in a pressure vessel containing a gaseous fluid, preferably argon;
- 3. heating the vessel to a temperature of at least 190°C but no greater than 300°C, preferably 200°C-230°C, and raising the pressure in the vessel to at least 2800 atmospheres (ATM), preferably at least 3000 ATM;
  - 4. maintaining the temperature and pressure substantially as selected in step 3 for at least 0.5 hour, preferably at least one hour;
    - 5. thereafter, cooling by reducing the temperature to a temperature at least below about 160°C-170°C preferably to 160°C or below, most preferably below 140°C, while maintaining a pressure of at least 2800 ATM preferably at least 3000 ATM, at a slow rate, the rate of cooling being such that

5

temperature gradients in the shaped article
are substantially avoided. The polymer must be
cooled slowly at the high pressure until it is
fully crystallized. At 3000 ATM pressure, the
crystallization temperature of UHMWLPE of over one
million molecular weight is in the range of
170°C-190°C. The pressurized vessel should be cooled
slowly to insure that the temperature of the polymer
is not significantly above the vessel temperature,
particularly if the pressure vessel construction does
not permit means for measuring the temperature of the
polymer itself; and

15 6. cooling and releasing the pressure on the shaped article in a manner such that any remelting of the article is prevented. This is accomplished by cooling at least to a temperature below the atmospheric pressure melting point, i.e., about 20 130°C-135°C preferably below 120°C, most preferably below 100°C and releasing the pressure to reduce it from at least 2800 ATM to approximately 1 ATM, either sequentially or simultaneously. It should be understood that it is necessary to cool the polymer 25 to a temperature below its melting point at any particular pressure to insure that none of the polymer melts as the pressure is reduced since lowering the pressure lowers the melting point.

It has been found necessary to protect the surface of the article by enclosing it in a thin can during the process.

30

35

A very important step is the fifth step, i.e. cooling in a manner that limits severe temperature gradients in the article. For example, for a l inch X 6 inch rod, a cooling rate of approximately 10°C per hour is usually necessary. Cooling rates no

5

10

30

6

greater than 10°C per hour are preferred. Whatever cooling rate is used, cooling requires careful control in order to limit temperature gradients during cooling. Cooling rapidly, as taught in the prior art, will not provide the desired article.

An additional step is expected to further improve the usefulness of the resulting product. A preliminary heat treatment is applied which subjects the UHMWPE to a temperature approaching, but not reaching, the decomposition point of the UHMWPE, preferably of between 320-340°C in an inert atmosphere for at least 0.5 hours.

This invention is particularly useful for manufacturing shaped articles where temperature gradients pose a problem during the cooling step, i.e., where the article's cross-sectional dimensions are at least 1 inch x at least 1 inch, usually for joints at least 1 inch x at least 2 inches.

Specifically, the importance of this step and of this invention is manifest in producing articles having as its smallest dimension 0.2 inch, i.e., at least 0.2 inch in thickness. It has been found that in such articles, the temperature gradients must still be

In addition to utility in the field of orthopedic replacement, the products are expected to prove useful in other applications also requiring the special properties of the products. Not only shaped articles are of interest, but also films and fibers as well as other "downstream" forms and unshaped granular forms of the products will prove useful.

controlled by the process of this invention in order

Film to be formed of the product of Example 1 is described in Example 6. These examples are illustrative only, and other forms, shaped and

to obtain the desired product.

5

10

15

20

25

30

35

unshaped, of the composition are contemplated within the scope of the invention. Therefore, "article" shall include both shaped articles and unshaped articles.

In the best mode known at this time for using the process of this invention, the gas used in the pressure vessel is argon. Specifically, the shaped article is formed from commercially available UHMWLPE. It is necessary to protect the UHMWLPE from any entry of the gas into the polymer by surrounding the article completely with a thin stainless steel or similar metal can. It should be understood that other gaseous fluids may be used in place of argon. So long as the gas is not affected by the temperatures and pressures used in the process, the gas may be used. Such gases include, but are not lmited to, the noble gases, nitrogen, etc.

In the next step, the protected article is placed in an argon-filled pressure vessel and a pressure of at least 2000 ATM is applied with argon and the vessel is heated to about 220°C for about 6 hours. Thereafter, the temperature is "ramped" down at a rate no greater than about 10°C per hour to about 160°C while maintaining the pressure above 2800 ATM. The temperature is then "ramped" down at a maximum rate to 50°C while maintaining the high pressure, after which the pressure is released.

For purposes of this invention, ultrahigh molecular weight linear polyethylene (UHMWLPE) is defined as a linear polyethylene having an estimated weight-average molecular weight in excess of about 400,000, usually 1,000,000 to 10,000,000 as defined by a melt index (ASTMD-1238) of essentially zero and a reduced specific viscosity (RSV) greater than 8,

WO 90/06140

10

15

20

25

30

35

preferably 25-30. The relationships of RSV to intrinsic viscosity and to molecular weight are those developed by R. Chaing as presented by P. S. Francis et al. in J. Polymer Science, 31, 453 (1958).

The improved properties of the products of this process are reflected in a tensile modulus of at least 300 kpsi, a flex modulus of at least 250 kpsi, ultimate tensile strength greater than 4000 psi, yield strength greater than 3500 psi and an elongation at break no greater than 500%.

A very important property of the product is its creep resistance. For prosthetic devices, e.g. knee, hip, elbow joints, etc., any substantial creep can be devastating in the loss of the benefits of extremely expensive surgery. Thus, the shaped articles resulting from this invention display as little as a 0.5% loss in thickness when subjected to a compression pressure of 1000 psi for 24 hours at a temperature of 23°C and a relative humidity of 50% in accordance with ASTM D-621.

Perhaps the most characteristic property of the product is its infrared crystallinity index (IRCI). This property, which provides a reasonably accurate reflection of the crystallinity of this material, is in a range never before attained with any polyethylene materials. To determine this index, samples are first obtained by microforming thin sections. Heat and pressure should be avoided during preparation of the samples. IRCI is the ratio of the band at 1894 reciprocal centimeters (cm<sup>-1</sup>) to the band at 1305 reciprocal centimeters (cm<sup>-1</sup>). Since the band at 1894 cm<sup>-1</sup> is attributed to the crystalline nature of the material and the band at 1305 cm<sup>-1</sup> is attributed to its amorphous nature, IRCI

10

15

increases as the crystallinity increases. The product displays an IRCI of at least 0.45. In fact, values of 0.73 and higher have been obtained. On the other hand, IRCI values for prior known UHMWLPE's seldom reach above 0.3.

It should be appreciated that the step of forming the article by milling, casting, or the like from UHMWLPE may be performed as the first step in the process (i.e., before heating or preheating) or as the last step in the process (i.e., after the cooling step).

The invention will be more clearly understood by referring to the drawing and example, which follow. In the drawing, Figure 1 is a schematic diagram of the equipment used in the process for forming the product of the invention using argon gas.

In the example, most of the properties are
measured using standard ASTM tests. All of the
physical measurements were carried out under constant
humidity (50% relative humidity) and temperature
(23°C) conditions.

- Tensile modulus, ultimate tensile strength, yield strength and elongation are measured according to ASTM D-638 with the following modifications:
  - samples machined into shape without lubricating fluid
- type I tensile bar
  - cross head speed = 0.2"/min for tensile modulus
     2.0"/min for tensile stress and elongation.

35

Creep resistance is measured in accordance with ASTM D-621 with the following modifications:

- samples machined into cylinders without the use of lubricating fluids
  - samples measured 0.5" x 0.5" x 0.5"

Flexural properties are measured according to 10 ASTM D-790 with the following modifications:

- samples machined into shape without the use of lubricating fluids
- typical flex bar measures 0.125" thick x
   0.5" width x 5" length
- span or gage is 2.0". (This was determined by a span/depth ratio of 16/1.)
  - cross head speed = 0.05"/min (calculated based on span).
- Impact resistance is measured using the notched Izod test given in ASTM D-256 with the following modifications:
  - samples machined into shape without the use of lubricating fluid
  - type A or notched IZOD
    - specimen size is 0.5" x 2.5"
    - 0.4" from bottom of vertex to opposite side
    - 1.25" impacted end (from end of bar to vertex of notch)
- the notch should be the specified angle of 22.5 degrees.

The following non-limiting examples illustrate the basic principles and unique advantages of the present invention. Various changes and modifications may be made without departing from the spirit and scope of the present invention.

35

#### EXAMPLE 1

The material used in this example is American Hoechst 415 GUR ultrahigh molecular weight polyethylene. It was obtained in the form of bars, 3" in diameter and up to 5' long in length. The material will be referred to as UHMWLPE. The molecular weight was over 1,000,000.

One or more pieces of the UHMWLPE 11 were placed into stainless steel, seamless, 48" long cylinders or sleeves 12. The thickness of the stainless steel was 1/8". The bottom of the

- cylinders was closed by welding a stainless steel cap 13 onto the bottom of the cylinder. The top of the cylinder was partially closed by welding on a modified cap 14 which contained a vacuum port not shown. The cylinder was then evacuated using a
- vacuum pump and sealed by crimping the port to form a can that surrounds the piece of UHMWLPE completely. The sealed cylinder was then placed in a containment vessel 16 large enough to hold 15 cylinders. The containment vessel 16 was then placed into a hot
- isostatic pressing (HIP) unit <u>17</u> with molybdenum heating units <u>18</u>. Thermocouples were added to monitor the temperature of the cylinders.

The basic function of the HIP process is to uniformly heat a load while applying pressure uniformly to all surfaces. The pressure medium used in this case was argon. The gas entered at 15 and exited at 19. The UHMWPE is protected from the argon by the stainless steel cans.

The process conditions were:

- 1. Apply pressure to 39,000 psi.
  - 2. Heat to 220°C.

PCT/US89/05262

5

10

- 3. Hold for 6 hours at 220°C and a minimum pressure of 41,000 psi.
- 4. Ramp temperature down at a rate no faster than 10°C per hour to 160°C. Pressure is maintained above 41,000 psi during this time.
  - 5. Ramp temperature down at maximum rate to 50°C while maintaining the pressure above 41,000 psi.
  - 6. Below 50°C, pressure may be let down and the cycle ended.

The UHMWPE rods were then removed from the

sleeves and parts were fabricated for physical
testing. It is noted that the material produced
exhibits much higher tensile modulus, flex modulus,
melting point, density and creep resistance than the
starting material (Control A).

20

25

Material	DSC Melting Point (°C)	Density (grams/cc)	IRCI
Control	137.0-140.7°C	.9394	0.24
Example 1	148.0-152.0°C	.947	≧ 0.45

	•		
	ASTM D638	Control A	Example 1
	Flex Modulus kpsi	165	291
30	Tensile Modulus kpsi	185	315
	Ultimate Tensile kpsi	4500	4688
<b>3</b> E	ASTM D638		

Yield	3476	4082
kpsi		

Elongation 262 227 (break) %

5

[values are averages of 5 tests]

ASTM D621 Creep Test

10 Load

500 psi .5 .3 % deformation 1000 psi 1.6 .7 2000 psi 5.9 2.4

15

20

25

30

35

Additional evidence of the products' distinctiveness is found in data produced by small angle X-ray testing. A truly characteristic small-angle X-ray scattering plot of desmeared intensity (by the method of P. W. Schmidt, Acta Cryst., 13, 480 (1960) and Acta Cryst., 19, 938 (1965)) (I x (2 theta) squared) versus scattering angle (2 theta) for the material of the invention exhibits two distinct scattering peaks associated with crystal long-spacings in the range of 480 angstroms (at 2 theta = .184 degrees) and 4610 angstroms (at 2 theta = .0192 degrees). The presence of the sharp diffraction peak at the lower angle is indicative of an extended polymer chain conformation (with a lamellar thickness greater than 2000 angstroms) whereas the more diffuse higher-angle peak corresponds to a lamellar thickness characteristic of conventional folded chain PE. This provides clear evidence for the presence of two scattering peaks in the subject invention material which correspond to

14

lamellar thicknesses both above and below 2000 angstroms. By comparison, the previously patented extended chain polyethylene of Lupton et al., was reported to exhibit a complete absence of any detectable small angle X-ray scattering in the range of 50 to 2000 angstroms. Consequently this work demonstrates that the subject invention material is morphologically distinguishable from Lupton et al.

#### EXAMPLE 2

The process described in Example 1 may be modified to yield a product with properties even more suitable for orthopedic replacements than the starting material. It is suggested that the UHMW polyethylene be preliminarily heated to a point closely approaching, but not reaching, the decomposition point of the UHMW polyethylene, preferably between 320-340°C, in an atmosphere of N<sub>2</sub> or in a vacuum for six hours. Once so pre-heated, the article is otherwise to be treated as in Example 1.

It is expected that the addition of the

25 preliminary heating step to the process will yield a
product displaying improved tensile yield strength,
improved elongation (%) at break, and lower creep
resistance than the product of Example 1 or the
starting material.

30

5

10

15

20

## EXAMPLE 3

Effect of Sequence of Heat-Treatment, Cooling, Reheating to a Lower Temperature, and Pressure Recrystallization on UHMWPE.

The process described in Example 2 may also be modified to yield a product with properties superior

10

15

25

30

35

to that found in the starting material. It is suggested that the UHMW polyethylene be preliminarily heated to a point approaching, but not reaching, the decomposition point of the UHMW polyethylene, preferably between 320-340°C, in an atmosphere of  $N_2$  or in a vacuum for 5 hours. It is then reheated to approximately 225°C, and pressure recrystallized as in Example 1.

It is expected that the described sequence of preliminary heat treatment, cooling, reheating to a lower temperature, and pressure recrystallization will yield a product displaying improved elongation (%) at break, higher crystallinity index (IR), a higher IZOD impact value, and lower creep resistance than the starting material.

#### EXAMPLE 4

20 <u>Effect of Preheating by Refluxing</u>

The process described in Example 3 may be further modified to yield a product with properties superior to that of the starting material and with at least an improved elongation (%) at break as compared to the products yielded by other embodiments of the invention.

It is suggested that a rod approximately 3" x 18" of UHMWPE (e.g., Hoechst, Hostalen GUR 415) be preliminarily heated in refluxing vapors of Krytox@-143AZ (E. I. du Pont de Nemours and Company, Wilmington, Delaware) at approximately 333-335°C for more than 0.5 hours.

Krytox®-143AZ is a perfluoroalkylpolyether that is a non-flammable, chemically inert liquid having unusually high thermal and oxidative stability.

Other materials demonstrating these characteristics

may also be suitable. The refluxing system should be protected by a nitrogen or other inert atmosphere and wrapped with glass insulation to facilitate slow, non-precipitous cooling.

It is expected that the described sequence of preliminary heat treatment by refluxing, cooling, reheating to a lower temperature, and pressure recrystallization will yield a product displaying improved elongation (%) at break, expected to be from 250-900, while retaining a high tensile strength at yield and a high tensile modulus.

15 EXAMPLE 5

5

10

25

A 3" diameter bar (rod), 18" in length, of American Hoechst Hostalen GUR 415 ultrahigh molecular weight polyethylene, would be heated in an oven and then would be encapsulated with low molecular weight 20 polyethylene by rolling the hot rod onto a 1/16" sheet of low molecular weight polyethylene heated to 180°C on a large hot plate. An intervening sheet of "Teflon" Polytetrofluoroethylene film should be kept on the encapsulated rod to prevent sticking to the hot plate. The rod ends are similarly sealed. "Teflon" film should be kept on the encapsulated rod to prevent sticking in the reactor.

The bar should be heated to 225°C under a nitrogen atmosphere and transfered to the reactor at 30 225°C. After sealing, the reactor pressure is taken to 3000 atmospheres which should cause the temperature to reach 237°C. The reactor should be permitted to cool to 180°C in 6.5 h, then maintained at this temperature for lh. The temperature is 35 dropped to 170°C, held at this temperature for 3h, then should be cooled slowly to 150°C from where it is cooled rapidly.

The rod, which remains coated, should be cut and machined into two test pieces (A and B) which should give results showing improved properties. 5 example, one would expect to find at 1st Heat a melting point, °C, in the range of 149 to 155 and a heat of fusion, J/g, in the range of 200.0 to 220.0. At 2nd heat melting point, °C, is expected in the 10 range of 130 to 140.0 with a heat of fusion, J/g, expected in the range of 140.0 to 146. crystallinity index (IR) of approximately 0.57, the tensile strength of the material (psi) at yield is expected in the range of 4000 to 4500, at maximum is 15 expected in the range of 7000 to 9000, and at break is expected in the range of 7000 to 9000. Elongation, % at break, is expected in the range of 320 to 350. Modulus, Kpsi, is expected in the range of 350 to 365.0. Creep Deformation, % measured by ASTM D621, is expected to be approximately 0.6 The 20 IZOD Impact (ftlb/in. of notch) is expected to be in the range of 15.5 to 16.0.

#### EXAMPLE 6

25 A 5.75" segment of enhanced ultrahigh molecular weight polyethylene prepared as in Example 1, should be skived to two films (A and B), of 11 mil and 5 mil thickness, respectively. The following properties may be expected.

30 The tensile strength of the material (psi) at yield is expected to range from 3000 to 3200, at maximum is expected to range from 4000 to 7000, at break is expected to range from 4000 to 7000, and at 5% elongation is expected to be 2500 to 2800. tensile modulus (kpsi) is expected ro range from 125.0 to 200.0. The elongation at break (%) is expected to range from 200 to 500.

The skived films could be hot drawn in a tenter frame at 140°C. If one piece of the 5 mil film is drawn 6 fold in one direction the results could be tensile strength (psi) at yield that is approximately 37,820, at maximum that is approximately 42,100, at break that is approximately 46,400. Tensile modulus (Kpsi) could be approximately 93. Elongation at break (%) could be approximately 56 with a thickness in mils of 2.6.

If a second piece of the 5 mil film could be drawn 3 fold in both directions the results could be tensile strength (psi) at yield that is approximately 13,800, at maximum that is approximately 19,400, at break that is approximately 19,000. Tensile modulus (Kpsi) could be approximately 95.0. Elongation at break (%) could be approximately 132 with a thickness in mils of 1.6.

PCT/US89/05262

19

#### CLAIMS

What is claimed is:

5

10

15

- A process for obtaining a shaped article 1. of an ultrahigh molecular weight linear polyethylene exhibiting a flexural modulus of 250,000-500,000 psi, a tensile stress at yield of 3500-4500 psi, a tensile stress at break of 4000-9000 psi, a tensile modulus of 300,000-700,000 psi, a notched Izod impact resistance of 12-25 ft. lb. per inch of notch, a creep at a compression of 1000 psi of less than 1% after 24 hours at a temperature of 23°C and a relative humidity of 50%, the polyethylene having a molecular weight of 1,000,000-10,000,000, a single crystalline melting point of greater than 144°C, the reduction in said melting point upon reheating being greater than 11°C and an infrared crystallinity index of at least about 0.45 consisting essentially of the following steps:
- (a) forming said article of an ultrahigh molecular weight linear polyethylene having a molecular weight of 400,000-10,000,000;
- 25 (b) surrounding said article with an inert material that is collapsible and impermeable;
  - (c) subjecting said surrounded article to a gas under pressure of at least 2800 ATM and a temperature of 190°C-300°C;
- 30 (d) maintaining the temperature from 190°C-300°C and the pressure of at least 2800 ATM for at least 0.5 hour;
- (e) reducing the temperature to below 160°C-170°C, while maintaining the pressure at at least 2800 ATM, the rate of reduction in temperature being such that temperature gradients in the shaped article are substantially avoided; and

- (f) cooling to a temperature of about 130°C or below and releasing the pressure to approximately 1 ATM in a manner such that remelting of said article is prevented.
- The process of Claim 1 subjecting said article before step (d) to a preliminary heat
   treatment at temperatures approaching, but less than, the decomposition point of the article, preferably between 320-340°C, in an inert atompshere for at least 0.5 hours.
- 15 3. The process of Claim 2 wherein said heat treatment before step (c) is accomplished by reflux vapors.
- 4. The process of Claim I wherein said
  article is enclosed within a stainless steel material
  that prevents said gas from contacting the surfaces
  of said article.
- 5. The process of Claim 4 wherein said gas 25 is argon.
  - 6. The process of Claim 5 wherein said pressure in step (b) is at least 3000 ATM.
- 7. The process of Claim 5 wherein said temperature in step (c) is 190°C-230°C.
- 8. The process of Claim 5 wherein the temperature and pressure in step (f) is maintained for at least one hour.

PCT/US89/05262

9. The process of Claim 1 wherein step (a) is performed after step (f) is performed.

5

10

15

- 10. An ultrahigh molecular weight linear polyethylene exhibiting a flexural modulus of 250,000-500,000 psi, a tensile stress at yield of 3500-9000 psi, a tensile stress at break of 4000-9000 psi, a tensile modulus of 300,000-700,000 psi, a notched Izod impact resistance of 12-25 ft. 1b. per inch of notch, a creep at a compression of 1000 psi of less than 1% after 24 hours at a temperature of 23°C and a relative humidity of 50%, the polyethylene having a molecular weight of 1,000,000-10,000,000, a single crystalline melting point of greater than 144°C, the reduction in said melting point upon reheating being greater than 11°C and an infrared crystallinity index of at least about 0.45 formed by the process consisting essentially of the following steps:
- (a) forming said article of an ultrahigh molecular weight linear polyethylene having a molecular weight of 400,000-10,000,000;
- 25 (b) surrounding said article with an inert material that is collapsible and impermeable;
  - (c) subjecting said surrounded article to a gas under pressure of at least 2800 ATM and a temperature of 190°C-300°C;
- (d) maintaining the temperature from 190°C-300°C and the pressure of at least 2800 ATM for at least 0.5 hour;
- (e) reducing the temperature to below 160°C-170°C, while maintaining the pressure at at least 2800 ATM, the rate of reduction in temperature being such that temperature gradients in the shaped article are substantially avoided; and

- (f) cooling to a temperature of about 130°C or below and releasing the pressure to approximately 1 ATM in a manner such that remelting of said article is prevented.
- 11. The product by the process of Claim 10 which is subjected before step (d) to a preliminary 10 heat treatment at temperatures approaching, but less than, the decomposition point of the article, preferably between 320-340°C, in an inert atmosphere for at least 0.5 hours.
- 15 12. The product by the process of Claim 11 which is subjected to said heat treatment before step (c) by means of refluxing vapors.
- 13. The product by the process of Claim 10 20 which is enclosed within a stainless steel material that prevents said gas from contacting the surfaces of said article.
- 14. The product by process of Claim 13 which 25 is produced with the use of argon.
  - 15. The product by process of Claim 14 which is subjected in step (b) to pressure of at least 3000 ATM.

- 16. The product by process of Claim 14 which is subjected in step (c) to temperatures in the range of 190°C-230°C.
- 35 17. The product by process of Claim 14 which is subjected in step (f) to temperatures and pressures maintained for at least one hour.

18. The product by process of Claim 10 which is produced when step (a) is performed after step (f) is performed.

INTERNATIONAL SEARCH REPORT International Application No PCT/US 89/05262 I. CLASSIFICATION OF SUBJECT MATTER (it several classification symbols apply, indicate all) 6 According to international Patent Classification (IPC) or to both National Classification and IPC IPC5: A 61 L 27/00, A 61 F 2/32, C 08 L 23/06 II. FIELDS SEARCHED Minimum Documentation Searched 7 Classification System Classification Sympols IPC<sup>5</sup> A 61 L, A 61 F, C 08 L Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched \* III. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of Document, 11 with Indication, where appropriate, of the relevant passages 12 Category • Relevant to Claim No. 12 Polymer, volume 22, January 1981, 1 IPC Business Press, (London, GB), S.K. Bhateja: "Unaxial tensile creep behaviour of ultra high molecular weight linear polyethylene", pages 23-28, see page 28, conclusions Α Journal of Polymer Science: Part A2, 1 Polymer Physics, volume 7, 1969, John Wiley & Sons, (New York, US), T.D. Davidson et al.: " Extended-chain Crystals. II. Crystallization of polyethylene under elevated pressure", pages 2051-2059, see page 2052, lines 32-38 Special categories of cited documents: 19 later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the document defining the general state of the art which is not considered to be of particular relevance invention . earlier document but published on or after the international filing date "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another cilation or other special reason (as specified) document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or document published prior to the international filing date but later than the priority date claimed in the art. "&" document member of the same patent family IV. CERTIFICATION Date of the Actual Completion of the International Search Date of Mailing of this International Search Report 29th March 1990 -9 MAY 1990 International Searching Authority Signature of Authorized Officer

EUROPEAN PATENT OFFICE