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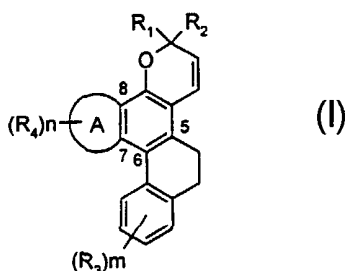
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(54) Title: BENZOPYRANS ANNELATED IN C₇-C₈ WITH AN AROMATIC HETEROCYCLE AND COMPOSITIONS AND (CO)POLYMER MATRICES CONTAINING THEM



(57) Abstract: The invention relates to novel benzopyran-type compounds having an aromatic heterocycle annelated in position 7,8 and a carbocycle annelated in position 5,6. These compounds have formula (I). These compounds (I) have interesting photochromic properties. The invention also relates to their preparation, to their applications as photochromes, as well as to the compositions and (co)polymer matrices containing them.

Benzopyrans annelated in C₇-C₈ with an aromatic hereterocycle and compositions and (co)polymer matrices containing them.

The present invention relates to novel benzopyran-type compounds which are annelated in C₅-C₆ and C₇-C₈ and which have, in particular, photochromic properties.

5 The invention also relates to photochromic compositions and photochromic ophthalmic articles (lenses for example) which contain said benzopyrans. The invention also covers the preparation of these novel compounds.

The photochromic compounds are capable of changing colour under the influence of a poly- or mono-chromatic light (UV for example) and of returning to
10 their initial colour when the luminous irradiation ceases, or under the influence of temperature and/or a poly- or mono-chromatic light different from the first.

The photochromic compounds find applications in various fields, *e.g.* for the manufacture of ophthalmic lenses, contact lenses, solar protection glasses, filters, camera optics or photographic apparatus optics or other optical devices and
15 observation devices, glazing, decorative objects, bill elements or even for information storage by optical inscription (coding).

In the field of ophthalmic optics, and in particular the spectacles trade, a photochromic lens which comprises one or more photochromic compounds must have :

- 20
- a high transmission in the absence of ultraviolets,
 - a low transmission (high colourability) under solar irradiation,
 - adapted coloration and discoloration kinetics,
 - a tint acceptable to the consumer (grey or brown preferably) with preferably a maintenance of the chosen tint during the coloration and the discoloration

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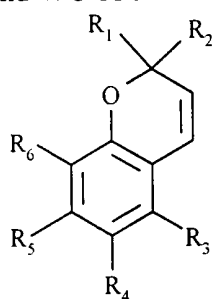
 - of the lens,
 - a maintenance of the performances, the properties, within a temperature range of 0-40°C,
 - a significant durability, since these objectives sought after are sophisticated corrective lenses and therefore expensive.

30 These lens characteristics are in fact determined by the active photochromic compounds which they contain; compounds which must furthermore be perfectly compatible with the organic or inorganic support which constitutes the lens.

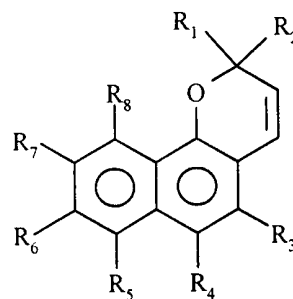
Moreover, it is to be noted that obtaining a grey or brown tint may necessitate the use of at least two photochromes of different colours, *i.e.* having distinct maximal
35 absorption wavelengths in the visible. This combination further imposes other

requirements of the photochromic compounds. In particular, the coloration and discoloration kinetics of the (two or more) combined active photochromic compounds must be essentially identical. The same applies for their stability with time and also for their compatibility with a plastic or inorganic support.

5 Amongst the numerous photochromic compounds described in the prior art, benzopyrans and naphthopyrans may be cited which are described in patents or patent applications US-A-3,567,605, US-A-3,627,690, US-A-4,826,977, US-A-5,200,116, US-A-5,238,981, US-A-5,411,679, US-A-5,429,744, US-A-5,451,344, US-A-5,458,814, US-A-5,651,923, US-A-5,645,767, US-A-5,698,141, US-A-10 5,783,116, US-A-5,869,658, WO-A-94 22850, WO-A-95 05382, WO-A-95 27716, WO-A-96 14596, and WO-A-97 21698, which are of the reduced formulae below :

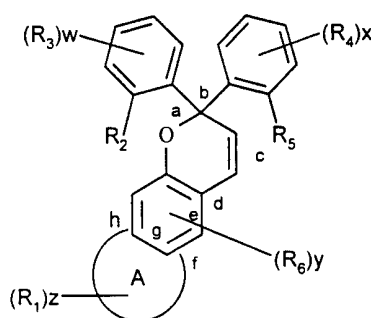


Benzopyrans



Naphthopyrans

Certain patents (*e.g.* EP-A-562 915, FR-A-2,718,447, US-A-5,604,280, WO-A-95 05382, US-A-5,429,774 and US-A-5,411,679) relate more specifically to
15 benzopyrans which are annelated with aromatic heterocycles. US patent US-A-5,411,679 notably claims the general structure below :



US-A-5,411,679

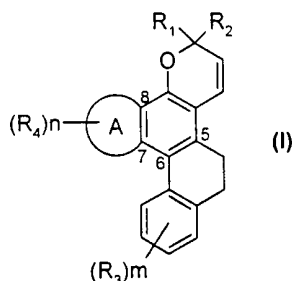
in which ring A is a substituted or non-substituted benzothieno- or benzofurano-type heterocycle, annelated in position 2,3 or 3,2 with sides f, g or h. In the case of the

compounds in which A is fused on side h, y and z are equal to zero in the examples described and the discoloration kinetics are slow.

These compounds claim to satisfy the specifications defined above. In reality, if these compounds really do have one or more of the basic properties sought after, such as a high transmission in the absence of ultraviolets and a high colourability under solar irradiation, none of the compounds described hitherto have the complete combination of the properties sought after which are necessary for the production of satisfactory articles. In particular, none of these compounds is intrinsically grey or brown and the necessity of using an additional photochrome in order to obtain one of these two tints does subsist.

In this context, it is to the credit of the inventors for having been interested in this type of derivative as a base for developing novel photochromes, and for having proposed a novel family of molecules which have particularly advantageous photochromic properties.

15 Thus, according to a first of its aspects, the present invention relates to compounds of formula (I) :

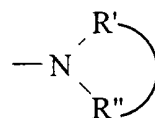


in which :

- 20
- A is an aromatic heterocycle of the thieno, benzothieno, furano, benzofurano, pyrrolo, indolo, naphthofurano or naphthothieno type, said heterocycle being fused with the benzopyran in positions 2,3 or 3,2 ;
 - R₁ and R₂, which are identical or different, represent,
- 25
- hydrogen,
 - a linear or branched alkyl group comprising 1 to 12 carbon atoms,
 - a cycloalkyl group comprising 3 to 12 carbon atoms,

5 - an aryl or heteroaryl group comprising in its basic structure 6 to 24 carbon atoms or 4 to 24 carbon atoms respectively and at least one heteroatom selected from sulphur, oxygen and nitrogen ; said basic structure being optionally substituted with at least one substituent selected from the whole of the substituents given below :

- + a halogen, and notably fluorine, chlorine and bromine,
- + a hydroxy,
- 10 + a linear or branched alkyl group comprising 1 to 12 carbon atoms,
- + a linear or branched alkoxy group comprising 1 to 12 carbon atoms,
- 15 + a haloalkyl or haloalkoxy group corresponding to the (C₁-C₁₂) alkyl or alkoxy groups above respectively which are substituted with at least one halogen atom, and notably a fluoroalkyl group of this type,
- + a linear or branched alkenyl group comprising 2 to 12 carbon atoms, and notably a vinyl group or an allyl group,
- + an -NH₂ group,
- 20 + an -NHR group, R representing a linear or branched alkyl group comprising 1 to 6 carbon atoms,
- + a



25 group, R' and R'', which are identical or different, representing independently a linear or branched alkyl group comprising 1 to 6 carbon atoms or representing together with the nitrogen atom to which they are bound a 5- to 7-membered ring which can comprise at least one other heteroatom selected from oxygen, sulphur and nitrogen, said nitrogen being optionally substituted with an R''' group,

30 which is a linear or branched alkyl group comprising 1 to 6 carbon atoms,

- + a methacryloyl group or an acryloyl group,

- an aralkyl or heteroaralkyl group, the alkyl group, which is linear or branched, comprising 1 to 4 carbon atoms and the aryl part of which has the same definition as that given *supra* for the aryl and heteroaryl group ;

5

or

said two substituents R_1 and R_2 together form an adamantyl, norbornyl, fluorenylidene, di(C_1 - C_6)alkylanthracenyli-
dene or spiro(C_5 - C_6)cycloalkylanthracenyli-
dene group ; said group being
optionally substituted with at least one of the substituents listed
above for R_1 , R_2 : an aryl or heteroaryl group ;

10

- R_3 and R_4 , which are identical or different, represent, independently :

- a halogen, and notably fluorine, chlorine or bromine,

- a hydroxy,

15

- a linear or branched alkyl group comprising 1 to 12 carbon atoms (advantageously 1 to 6 carbon atoms),

- a cycloalkyl group comprising 3 to 12 carbon atoms,

- a linear or branched alkoxy group comprising 1 to 12 carbon atoms (advantageously 1 to 6 carbon atoms),

20

- a haloalkyl, halocycloalkyl, or haloalkoxy group corresponding to the alkyl, cycloalkyl, alkoxy groups above respectively, which are substituted with at least one halogen atom, notably selected from fluorine, chlorine and bromine,

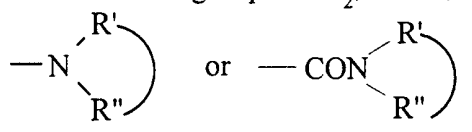
- an aryl or heteroaryl group having the same definition as that given *supra* for R_1 , R_2 ,

25

- an aralkyl or heteroaralkyl group, the alkyl group, which is linear or branched, comprising 1 to 4 carbon atoms, and the aryl and heteroaryl groups having the same definitions as those given *supra* for R_1 , R_2 ,

30

- an amine or amide group : $-NH_2$, $-NHR$, $-CONH_2$, $-CONHR$,

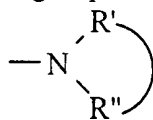


R , R' , R'' having their respective definitions given *supra* for the amine substituents of the values R_1 , R_2 : aryl or heteroaryl,

- 5 - an $-\text{OCOR}_6$ or $-\text{COOR}_6$ group, R_6 representing a straight or branched alkyl group comprising 1 to 6 carbon atoms, or a cycloalkyl group comprising 3 to 6 carbon atoms, or a phenyl group, optionally substituted with at least one of the substituents listed above for the values of R_1 , R_2 : aryl or heteroaryl ;

or

- 10 at least two of the R_3 groups, which are adjacent, form a 5- to 6-membered aromatic or non-aromatic ring which can comprise at least one heteroatom selected from the group comprising : oxygen, sulphur or nitrogen and/or at least one substituent selected from a C_1 - C_6 alkyl group which is linear or branched, a C_1 - C_6 alkoxy group which is linear or branched, and an amine group of formula $-\text{NH}_2$, $-\text{NHR}$ or



- 15 , as defined above, as substituent, of the basic structure of the aryl or heteroaryl group representing R_1 or R_2 ; and
- m and n are integers of 0 to 4.

20 The person skilled in the art will obviously have understood that the branched alkyl, alkoxy and alkenyl groups, as defined above, comprise a sufficient number of carbon in order to be branched (*i.e.* more than 3, more than 3, and more than 4 carbon atoms respectively).

The compounds of the invention - annelated benzopyrans of formula (I) - have very fast discoloration kinetics.

25 Amongst said compounds of the invention, preferred are those which have the formula (I) in which :

30 R_1 , R_2 are identical or different and represent independently optionally substituted aryl or heteroaryl groups the basic structure of which is selected from the group comprising phenyl, naphthyl, biphenyl, pyridyl, furyl, benzofuryl, dibenzofuryl, N-(C_1 - C_6)alkylcarbazole, thienyl, benzothienyl, dibenzothienyl and julolidinyl groups ; R_1 and/or R_2 representing, advantageously, a para-substituted phenyl group ;

or

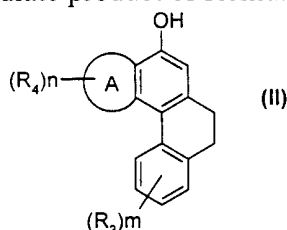
R_1 and R_2 together form an adamantyl or norbornyl group ;

R_3 represents a halogen, an alkyl group or an alkoxy group ; and

$n = 0$ and $m = 1$.

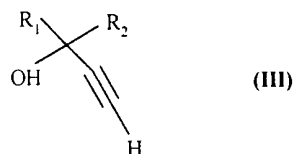
- 5 According to a second of its aspects, the present invention relates to a method of preparation of the compounds (I), characterised in that it consists essentially of carrying out a condensation :

- of an intermediate product of formula (II) given below :



- 10 in which A , R_3 , R_4 , m and n are as defined above with reference to formula (I),

- with a derivative of propargylic alcohol, having formula (III) below :

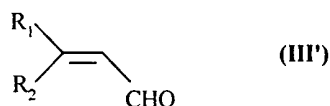


- 15 in which R_1 and R_2 are as defined *supra* with reference to formula (I) ;

- the condensation (II)/(III) being carried out advantageously in the presence of a catalyst, this catalyst being preferably selected from the group comprising para-toluenesulphonic acid, dodecylsulphonic acid or bromoacetic acid ;
- 20

or

- with an aldehyde derivative, having formula (III') below :



25

- in which R_1 and R_2 are as defined *supra* with reference to formula (I) ;

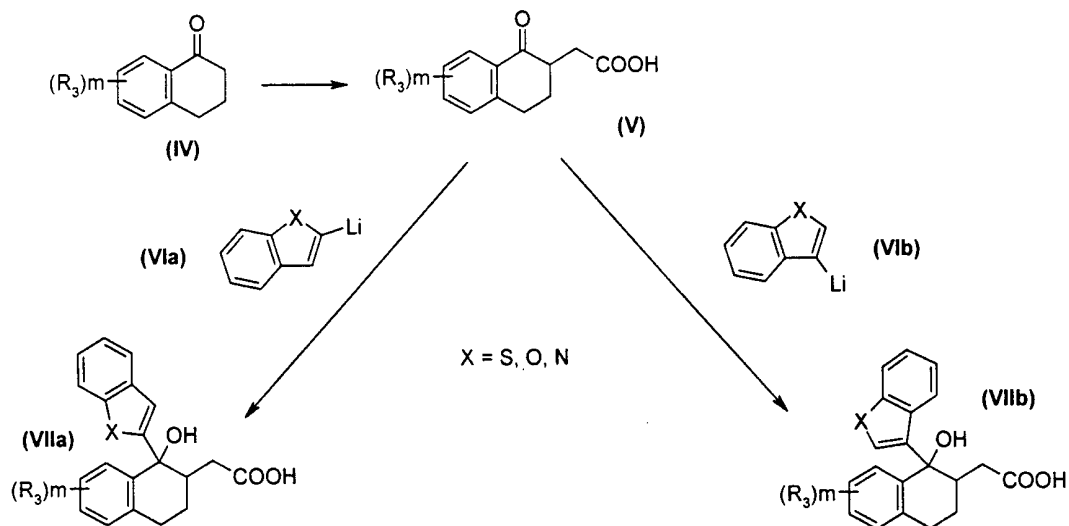
the condensation (II)/(III') being carried out, advantageously, in the presence of a metallic complex, preferably a complex of titanium, titanium (IV) ethoxide being particularly preferred.

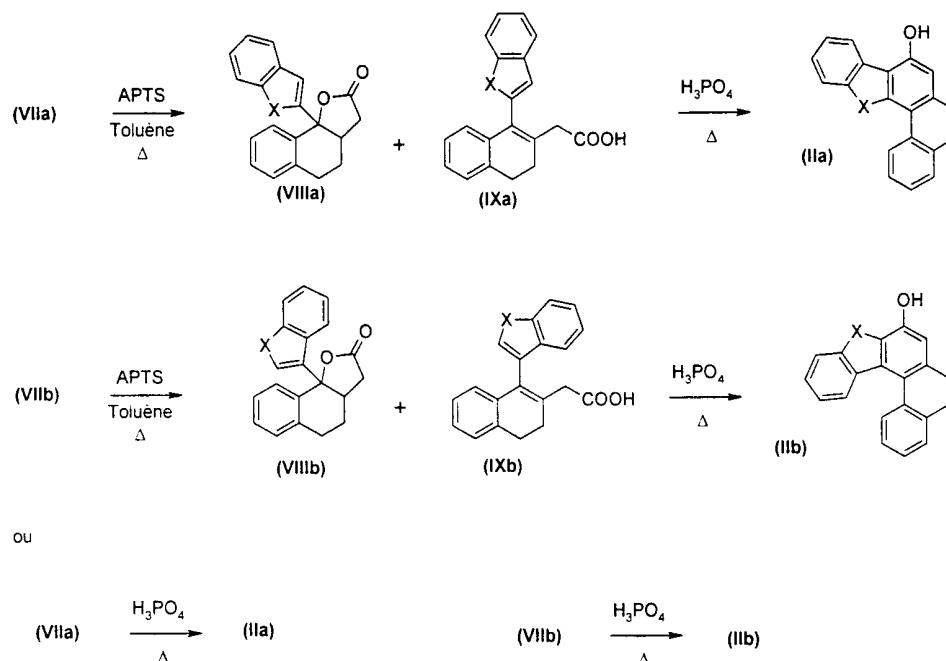
In practice, the condensation reaction between compounds (II) and (III') can take place in solvents such as toluene, xylene or tetrahydrofuran, to which appropriate catalysts are optionally added. For more details on the condensation of compounds (II), (III'), reference may be made to the EP-A-0 562 915 patent application.

The compounds of formula (III) are known to the person skilled in the art and are obtained from the corresponding ketone according to a method described notably in the WO-A-96 14596 patent application. The ketone is itself commercial or is prepared according to the known methods such as the Friedel Crafts method (*cf.* WO-A-96 14596 and cited references).

Aldehydes (III'), which are derivatives of (III), are obtained by rearrangement in an acid medium (*cf. J. Org. Chem.*, 1977, 42, 3403).

The compounds of formula (IIa) and (IIb) (compounds of formula (II) in which the heterocycle is annelated in 2,3 or 3,2 respectively) are obtained according to a synthetic scheme the various steps of which are adaptations of known methods. The preferred general synthesis scheme is given below :

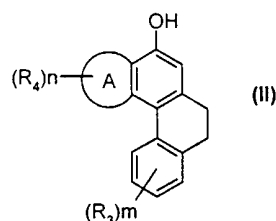




In a first sequence, tetralone (IV) is converted into tetralone (V) according to known methods (*cf.* WO 96/38435 and US-A-3,980,699).

- 5 The action of two equivalents of organolithium (VIa) (*cf.* *The Chemistry of Heterocycles*, Stuttgart ; New York : Thieme, 1995) or (VIb) (*cf.* *J. Chem. Soc. (C)* 1971, 3447-3454) leads to compounds (VIIa) and (VIIb) respectively which are dehydrated to give mixtures (VIIIa) + (IXa) and (VIIIb) + (IXb) respectively. These compounds are then cyclised to give (IIa) and (IIb) respectively in phosphoric acid.
- 10 The cyclisation giving (IIa) and (IIb) in phosphoric acid can also be carried out on compounds (VIIa) and (VIIb).

According to a third of its aspects, the invention also relates to the novel intermediate products of formula (II) recalled below :



15

in which A , R₃ , R₄ , m and n are as defined *supra* with reference to formula (I).

According to a fourth of its aspects, the object of the invention is (co)polymer(s) and/or reticulate(s) obtained by polymerising and/or cross-linking and/or grafting at least one monomer consisting of a compound (I) as defined above.

Thus, the compounds (I) according to the invention can be *per se* (co)monomers and/or be comprised in (co)polymerisable and/or cross-linkable (co)monomers. The (co)polymers and/or reticulates thus obtained can constitute photochromic matrices such as those presented *infra*.

5 According to a fifth of its aspects, the present invention relates to the use of said compounds of formula (I) of the invention as photochromic agents. Another object of the invention is, therefore :

- 10 - firstly, novel photochromic compounds which are constituted by the C₇-C₈-annelated benzopyran derivatives such as defined above, taken alone or in a mixture of themselves and/or with at least one other photochromic compound of another type and/or with at least one non-photochromic colouring agent ;
- 15 - secondly, novel photochromic compositions which comprise at least one compound (I) as defined above, and/or at least one linear or cross-linked (co)polymer containing at least one compound (I) according to the invention in its structure. Such photochromic compositions can contain at least one other photochromic compound, of another type and/or at least one non-photochromic colouring agent and/or at least one stabilising agent. These photochromic compounds of another type, non-photochromic colouring agents, and stabilising agents are prior art products known to the person skilled in the art.
- 20

Within the context of the present invention, combinations of photochromic compounds of the invention and/or combinations of photochromic compounds of the invention and photochromic compounds of another type according to the prior art are particularly recommended ; such combinations being interesting in that they are suitable for generating grey or brown tints, which are desired by the public in applications such as ophthalmic spectacles or solar spectacles. These additional photochromic compounds can be those known to the person skilled in the art and described in the literature, *e.g.* chromenes (US-A-3,567,605, US-A-5,238,981, WO-
25 A-94 22850, EP-A-0 562 915), spiopyrans or naphthospiopyrans (US-A-5,238,981) and spiroxazines (Crano *et al.*, "Applied Photochromic Polymer Systems", Ed. Blackie & Son Ltd, 1992, chapter 2).

30

Said compositions according to the invention can also comprise :

- non-photochromic colouring agents which enable adjusting the tint,

- and/or one or more stabilising agents, such as an anti-oxidising agent for example,

- and/or one or more anti-UV,

- and/or one or more anti-radicals,

5 - and/or one or more photochemic excited state deactivators.

These additives can notably enable improving the durability of said compositions.

The compounds of the invention envisaged within the context of their photochromic applications can be used in solution. Thus, a photochromic solution
10 can be obtained by dissolving at least one of said compounds in an organic solvent such as toluene, dichloromethane, tetrahydrofuran or ethanol. The solutions obtained are in general colourless and transparent. When exposed to sunlight, they develop a strong coloration and regain the colourless state when they are placed in an area of less exposure to the sun's rays or, in other words, when they are no longer submitted
15 to UV. In general, a very low concentration of product (of the order of 0.01 to 5% by weight) is sufficient to obtain an intense coloration.

The compounds according to the invention are furthermore compatible with support matrices of organic polymer or of inorganic material (even of an inorganic-organic hybrid material), in a form included in said matrices as well as in the form of
20 a coating of said matrices.

Also, within the context of the fifth aspect of the invention in relation to the photochromic applications, the object of the invention is a matrix which comprises :

- at least one compound (**I**), as defined *supra* ;

- and/or at least one (co)polymer and/or reticulate, as defined *supra* ;

25 - and/or at least one composition, as presented above.

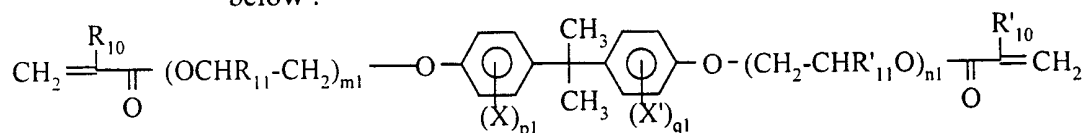
The most interesting applications of the compounds of the invention are in fact those in which the photochrome is dispersed uniformly within or on the surface of a matrix formed by a polymer and/or copolymer and/or mixture of (co)polymers.

30 Following the example of their behaviour in solution, the compounds (**I**), included in a polymer matrix are colourless or slightly coloured in the initial state and rapidly develop an intense coloration under a UV light (365 nm) or under a light source of the solar type. Finally, they regain their initial coloration once the irradiation ceases.

The methods of implementation which can be envisaged in order to obtain such a matrix are very varied. Amongst those known to the person skilled in the art, the diffusion in the (co)polymer, from a suspension or solution of the photochrome, in a silicone oil, in an aliphatic or aromatic hydrocarbon, or in a glycol, or from another polymer matrix, can be cited for example. The diffusion is commonly carried out at a temperature of 50 to 200°C for a period of time of 15 minutes to several hours, according to the nature of the polymer matrix. Another implementation technique consists in mixing the photochrome in a formulation of polymerisable materials, depositing this mixture on a surface or in a mould, and then carrying out the copolymerisation. These implementation techniques, and others, are described in the article by Crano *et al.* "Spiroxazines and their use in photochromic lenses" published in Applied Photochromic Polymer Systems, Ed. Blackie and Son Ltd - 1992.

The following products may be mentioned as examples of preferred polymer materials for forming matrices which are useful in optical applications of the photochromic compounds according to the invention :

- alkyl, cycloalkyl, (poly or oligo)ethylene glycol, aryl or arylalkyl poly[mono-, di- tri- or tetra]acrylate or poly[mono-, di-, tri- or tetra]methacrylate, which is optionally halogenated or which comprises at least one ether and/or ester and/or carbonate and/or carbamate and/or thiocarbamate and/or urea and/or amide group,
- polystyrene, polyether, polyester, polycarbonate (*e.g.* bisphenol-A polycarbonate, diallyl diethylene glycol polycarbonate), polycarbamate, polyepoxy, polyurea, polyurethane, polythiourethane, polysiloxane, polyacrylonitrile, polyamide, aliphatic or aromatic polyester, vinylic polymers, cellulose acetate, cellulose triacetate, cellulose acetate-propionate or polyvinylbutyral,
- those obtained from difunctional monomers having the formula below :



in which :

ΔR_{10} , R'_{10} , R_{11} and R'_{11} are identical or different and represent independently a hydrogen or a methyl group ;

Δm_1 and n_1 are, independently, integers between 0 and 4 (inclusive) ; and are advantageously independently equal to 1 or 2 ;

ΔX and X' , which are identical or different, are a halogen and represent, preferably, a chlorine and/or a bromine ;

Δp_1 and q_1 are, independently, integers between 0 and 4 (inclusive);

- 10 - copolymers of at least two types of copolymerisable monomers selected from the precursor monomers of the polymers listed *supra*, and preferably those belonging to the groups comprising : (meth)acrylic monomers, vinylic monomers, allylic monomers, and mixtures thereof.

15 In a particularly preferred manner, the photochromes of the invention are used with resins which have a nanobiphasic structure and which are obtained by copolymerising at least two different, specific difunctional monomers. Such resins have been described by the Applicant in the International patent Application WO-A-98 50443.

20 The amount of photochrome used in the (co)polymer matrix depends upon the degree of darkening desired. Usually, between 0.001 and 20% by weight of it is used.

Still according to the fifth of its aspects in relation to the applications of the compounds (I) as photochromes, another object of the present invention is ophthalmic articles, such as ophthalmic or solar spectacle articles, comprising :

- 25
- at least one compound (I) according to the invention,
 - and/or at least one (co)polymer and/or reticulate formed, at least in part, from compound(s) of the invention,
 - and/or at least one photochromic composition as defined above,
 - 30 • and/or at least one matrix (as defined *supra*), of an organic polymer material or of an inorganic material, or even of a hybrid inorganic-organic material, said matrix initially comprising at least one compound of the invention.

In practice, the articles which are more particularly covered by the present invention are ophthalmic lenses or photochromic solar lenses, glazing

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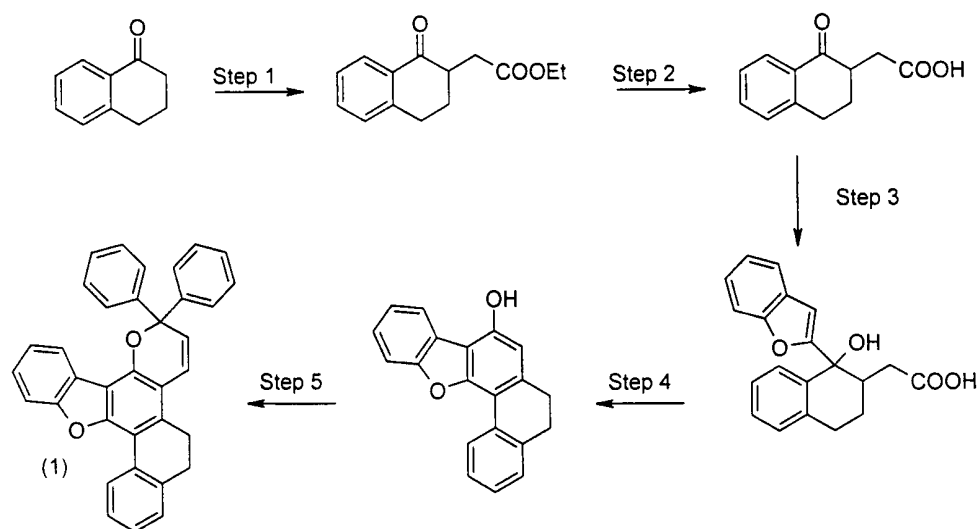
(windows for buildings, for locomotion engines, automobile vehicles), optical devices, decorative articles, solar protection articles, information storage, ...

The present invention is illustrated by the Examples which follow of synthesis and of photochromic validation, of compounds of the invention.

5

EXAMPLES

EXAMPLE 1 : SYNTHESIS OF COMPOUND (1)



10

Step 1 :

120 ml of a 2M solution of LDA are added at -70°C to a solution of 29.24 g of α -tetralone in 150ml of anhydrous THF. Stirring is effected for 30 min at -70°C , and 26.6ml of ethyl bromoacetate in solution in 25ml of anhydrous THF are then added. The temperature is allowed to rise and stirring is effected at 0°C for 1 hour 30 minutes. 10ml of ethyl bromoacetate are added and stirring is effected for 1 hour at 0°C . The reaction mixture is poured into 300 ml of 1N hydrochloric acid to which ice had been added. Extraction is carried out with ethyl acetate, the organic phase is dried over magnesium sulphate and the solvents are evaporated off. The resulting red oil (about 40 g) is used as such for the next step.

20

Step 2 :

A solution of potassium hydroxide (40 g) in ethanol (500 ml) is added to the product of step 1 and stirring is effected under reflux for 45 min. The ethanol is evaporated off, water is added, and washing is carried out with diisopropyl ether. The aqueous

25

phase is concentrated, and then acidified to pH = 1. After extraction with ethyl acetate, drying over magnesium sulphate and evaporation of the solvent, about 38 g of a red oil are obtained which is purified by chromatography on a silica column (elution heptane/EtOAc 8/2 and then gradient to 6/4) in order to give, after
5 recrystallisation from an EtOAc/diisopropyl ether mixture, 16.4 g of white crystals.

Step 3 :

25 ml of a 1.6M solution de *n*-butyllithium are added at -78°C to a solution of 3.93 g of benzofuran in 30ml of anhydrous THF. Stirring is effected for 15 minutes at
- 78° C, and then for 15 minutes at 0°C. This solution is added to a solution of 3.06 g
10 of the product of step 2 in 20ml of anhydrous THF, and stirring is effected for 1 hour at 0° C. The reaction mixture is poured into an iced 1N solution of HCl, extracted with ethyl acetate, and dried over magnesium sulphate. The solvent is evaporated off. The resulting yellow oil is dissolved in diisopropyl ether and extracted with 1N sodium hydroxide. The aqueous phase is acidified, and extracted with ethyl acetate.
15 After drying over magnesium sulphate and evaporation of the solvent, about 4 g of an orange oil are obtained which is used as such for the next step.

Step 4 :

The product of the preceding step is dissolved in 40ml of toluene. 15 ml of a aqueous solution (85 %) of phosphoric acid are added, and stirring is effected under
20 reflux (heating > 150° C). The solvents are collected with a Dean-Stark, and stirring at about 150-200° C for 45 minutes is then effected. After cooling, the reaction mixture is poured into water and extracted with ethyl acetate. After drying over magnesium sulphate and evaporation of the solvents, a dark oil is obtained which is partially purified over silica (elution : heptane/EtOAc 8/2). The resulting red oil
25 (about 1.9 g) is extracted with a 2N solution of KOH and then acidified and extracted with ethyl acetate. After drying over magnesium sulphate and evaporation of the solvent, 900 mg of a brown meringue are obtained.

Step 5 :

A few PTSA crystals are added to a solution of 900 mg of the product of step 4 and
30 641 mg of 1,1-diphenylpropyn-1-ol in 20ml of xylene. The mixture is stirred under reflux for 30 minutes, cooled, and purified by filtration over silica (elution : toluene/heptane 1/1). The resulting yellow solid is recrystallised from a toluene/ethanol mixture in order to give 920 mg of just yellow crystals which is pure by ¹H NMR.

EXAMPLE 2 : SYNTHESIS OF COMPOUND (2)

Its synthesis was carried out according to a method analogous to that used for compound (1). The two first steps are identical.

Step 3 :

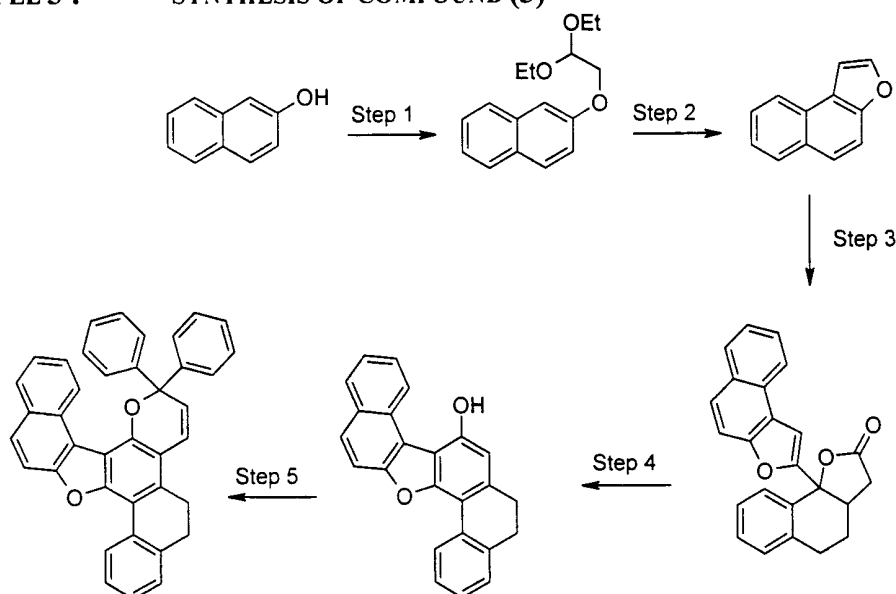
- 5 34.4 ml of a 1.6M solution of *n*-butyllithium are added at -78°C to a solution of 7.38 g of thianaphthene in 20ml of anhydrous THF. After addition, stirring is effected for 1 hour 30 minutes at about -10°C, the mixture obtained is then added to a solution of 5.11 g of the product of step 2 in 20ml of anhydrous THF. Stirring is effected for 1 hour at -50° C, and the mixture is poured into a 1N solution of HCl.
- 10 The mixture is extracted with ethyl acetate, dried over magnesium sulphate, and the solvent is evaporated off. The resulting pink oil (about 13 g) is dissolved in an EtOAc/diisopropyl ether mixture and extracted with 1N sodium hydroxide. The aqueous phase is acidified with HCl, and extracted with ethyl acetate. After drying over magnesium sulphate and evaporation of the solvent, an orange oil (7.2 g) is
- 15 obtained which is used as such for the next step.

Step 4 :

- The product of step 3 is dissolved in 50ml of toluene and 30 ml of an aqueous solution (85 %) of phosphoric acid is added. Stirring is effected under reflux (heating > 150° C), and the solvents are distilled off with the aid of a Dean-Stark
- 20 apparatus. The mixture is stirred at about 150-200° C for 30 min, and is then cooled. Water is added, and the brown precipitate formed is then filtered off. The latter is dissolved in 100 ml of ethyl acetate, and is washed with 50 ml of a 0.2N solution of sodium hydroxide. The organic phase is dried over magnesium sulphate and is evaporated and then filtered over silica (elution : heptane/EtOAc 7/3) and the brown
- 25 solid obtained is recrystallised from diisopropyl ether in order to give 1.18 g of a beige solid.

Step 5 :

- The method is identical to that described for Example 1. 305 mg of just yellow
- 30 crystals (which are recrystallised from ethyl acetate and which are in accordance with the expected structure (¹H NMR)) are obtained from 302 mg of the product of step 4 and 204 mg of 1,1-diphenylpropyn-1-ol.

EXAMPLE 3 : SYNTHESIS OF COMPOUND (3)*Step 1 :*

A solution of 14.4 g of 2-naphthol in 50ml of THF is added at 0°C to a suspension of 4.4 g of NaH (60 %, dispersion in mineral oil) in 25ml of THF. The temperature is allowed to rise to room temperature, 25ml of THF are added and stirring is effected for 30 min. 16.55 ml of pure $\text{BrCH}_2\text{CH}(\text{OEt})_2$ are added, and then 50ml of toluene. The THF is distilled off with the aid of a Dean-Stark apparatus, and 25ml of DMF are then added. After 2 hours of reflux, 1 g of NaH and 6ml of $\text{BrCH}_2\text{CH}(\text{OEt})_2$ are added successively. Stirring is effected under reflux for 1 hour more, before the mixture is poured into 200 ml of 0.5N HCl. After extraction with diisopropyl ether, drying over magnesium sulphate and evaporation of the solvent, a red oil is obtained which is purified by chromatography on a silica column (elution : toluene/heptane 1/1) in order to give 26.05 g of a just yellow oil.

Step 2 :

3.74 g of the product of step 1 are dissolved in 50 ml of toluene and 10 ml of an aqueous solution (85 %) of phosphoric acid are then added. Stirring is effected under reflux for 30 minutes, and the water-toluene azeotrope is then distilled off with the aid of a Dean-Stark apparatus. After 1 hour 30 minutes, the mixture is cooled and water is added. The reaction mixture is extracted with ethyl acetate. The organic phase was dried over magnesium sulphate and the solvent is evaporated off with caution (the product sublimes easily). The resulting beige solid (2.5 g) is used as such for the next step.

Step 3 :

13.75 ml of a 1.6M solution of *n*-butyllithium are added at -78°C to a solution of 3.69 g of the product of step 2 in 20 ml of anhydrous THF. Stirring is effected for 30 minutes, and the mixture obtained is added at 0°C to a solution of 2.04 g of the product of step 2 of the compound (1) in 10 ml of anhydrous ether. Stirring is effected for 1 hour 30 minutes at 0°C, and the mixture is poured into 25 ml of an iced solution of 1N HCl. The mixture is extracted with ethyl acetate, dried over magnesium sulphate and the solvents are evaporated off. The residue is dissolved in 100ml of toluene, a few PTSA crystals are added and stirring is effected under reflux for 1 hour. After evaporation of the solvent, the resulting dark oil is purified by chromatography on a silica column (elution : heptane/EtOAc 8/2) in order to give 800 mg of a grey solid.

Step 4 :

The product of step 3 is dissolved in 30 ml of toluene, 10 ml of an aqueous solution (85 %) of phosphoric acid are then added, and stirring is effected under reflux. The solvents are distilled off with the aid of a Dean-Stark apparatus, and stirring is effected at about 150-200° C for 1 hour 30 minutes. The mixture is cooled, water is added and is extracted with ethyl acetate. After drying over magnesium sulphate and evaporation of the solvents, the resulting dark oil is purified by chromatography on a silica column (elution : heptane/EtOAc 8/2, and then gradient to 6/4) in order to give 250 mg of a beige solid.

Step 5 :

A few PTSA crystals were added to a solution of 250 mg of the product of step 4 and 270 mg of 1,1-diphenylpropyn-1-ol in 10ml of xylene. The mixture is stirred under reflux for 1 hour, cooled, and purified by filtration over silica (elution : toluene/heptane 1/1). The resulting yellow solid is recrystallised from a diisopropyl ether/heptane mixture in order to give 250 mg of just yellow crystals which is pure by ¹H NMR.

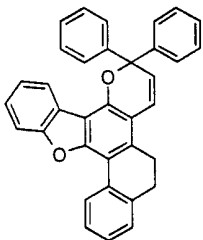
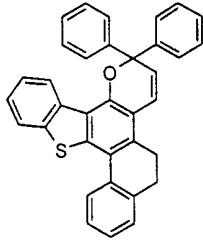
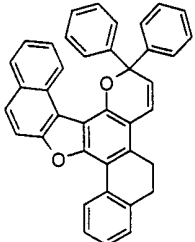
EXAMPLE 4 :

The photochromic properties of said compounds (1), (2) and (3) were evaluated.

To this end, said compounds are incorporated, at the rate of about 0.05 % by weight, in a matrix.

A mixture of the starting materials is in fact made, the nature and the incorporated amounts of which materials are specified below ; this mixture is poured into a lens mould of 2 mm thickness and which is then subjected to a heating cycle of 2 hours at 70°C and then of 1 hour at 100°C.

- 5 Precursor starting materials of the matrix :
0.05 parts by weight of the photochromic colouring agent : compound (1), (2) or (3) ;
per
60 parts by weight of DIACRYL 121 from AKZO Chimie (tetraethoxylated bisphenol A dimethacrylate) ;
- 10 10 parts by weight of divinylbenzene ;
30 parts by weight of polyethylene glycol dimethacrylate from ALDRICH ;
0.5 parts by weight of n-dodecanethiol ;
0.2 parts by weight of AMBN (2,2'-azobis(2-methylbutyronitrile) provided by AKZO (Perkadox®)).
- 15 Said matrix, which contains said photochromic compounds within its mass, is exposed to UV rays (source : xenon lamp).
The $\lambda_{\max}$'s in the visible and the discoloration kinetics are given in the Table below.
Said discoloration kinetics are measured by the decrease in the absorbance at the $\lambda_{\max}$ of the activated form after irradiation ($T_{1/2}$ = time for the absorbance to
20 decrease by a half). The properties of these compounds are given in the Table below.

COMPOUND	STRUCTURE	λ 1*	λ 2**	$T_{1/2}$
(1)		424 nm	579 nm	15 s
(2)		445 nm	584 nm	16 s
(3)		431 nm	589 nm	19 s

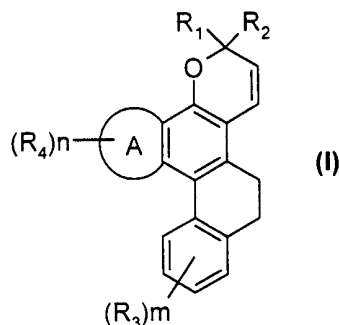
* λ 1 max of the band of the shortest wavelength in the visible spectrum of the compound after exposure.

** λ 2 max of the band of the longest wavelength of the compound after exposure.

- 5 The observation of the matrices in the presence of solar or UV rays shows that the compounds of the invention have very rapid discoloration kinetics and that they possess λ 2's which are shifted towards longer wavelengths (bathochromic shift). Moreover, the absorption band situated in λ 1 is more intense than that situated in λ 2.

Claims

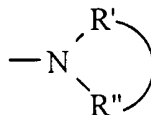
1 - Compounds of the following formula (I) :



in which :

- A is an aromatic heterocycle of the thieno, benzothieno, furano, benzofurano, pyrrolo, indolo, naphthofurano or naphthothieno type, said heterocycle being fused with the benzopyran in positions 2,3 or 3,2 ;
- R₁ and R₂, which are identical or different, represent, independently :
 - hydrogen,
 - a linear or branched alkyl group comprising 1 to 12 carbon atoms,
 - a cycloalkyl group comprising 3 to 12 carbon atoms,
 - an aryl or heteroaryl group comprising in its basic structure 6 to 24 carbon atoms or 4 to 24 carbon atoms respectively and at least one heteroatom selected from sulphur, oxygen and nitrogen ; said basic structure being optionally substituted with at least one substituent selected from the whole of the substituents given below :
 - + a halogen, and notably fluorine, chlorine and bromine,
 - + a hydroxy,
 - + a linear or branched alkyl group comprising 1 to 12 carbon atoms,
 - + a linear or branched alkoxy group comprising 1 to 12 carbon atoms,

- + a haloalkyl or haloalkoxy group corresponding to the (C₁-C₁₂) alkyl or alkoxy groups above respectively which are substituted with at least one halogen atom, and notably a fluoroalkyl group of this type,
- 5 + a linear or branched alkenyl group comprising 2 to 12 carbon atoms, and notably a vinyl group or an allyl group,
- + an -NH₂ group,
- + an -NHR group, R representing a linear or branched alkyl group comprising 1 to 6 carbon atoms,
- 10 + a

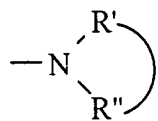


- group, R' and R'', which are identical or different, representing independently a linear or branched alkyl group comprising 1 to 6 carbon atoms or representing together with the nitrogen atom to which they are bound a 5- to 7-membered ring which can comprise at least one other heteroatom selected from oxygen, sulphur and nitrogen, said nitrogen being optionally substituted with an R''' group, which is a linear or branched alkyl group comprising 1 to 6 carbon atoms,
- 20 + a methacryloyl group or an acryloyl group,
 - an aralkyl or heteroaralkyl group, the alkyl group, which is linear or branched, comprising 1 to 4 carbon atoms and the aryl part of which has the same definition as that given *supra* for the aryl and heteroaryl group ;
 - 25

or

- said two substituents R₁ and R₂ together form an adamantyl, norbornyl, fluorenylidene, di(C₁-C₆)alkylanthracenyli-
dene or spiro(C₅-C₆)cycloalkylanthracenyli-
dene group ; said group being
30 optionally substituted with at least one of the substituents listed above for R₁, R₂ : an aryl or heteroaryl group ;
- R₃ and R₄, which are identical or different, represent, independently :
 - a halogen, and notably fluorine, chlorine or bromine,

- a hydroxy,
 - a linear or branched alkyl group comprising 1 to 12 carbon atoms (advantageously 1 to 6 carbon atoms),
 - a cycloalkyl group comprising 3 to 12 carbon atoms,
 - 5 - a linear or branched alkoxy group comprising 1 to 12 carbon atoms (advantageously 1 to 6 carbon atoms),
 - a haloalkyl, halocycloalkyl, or haloalkoxy group corresponding to the alkyl, cycloalkyl, alkoxy groups above respectively, which are substituted with at least one halogen atom, notably selected
 - 10 from fluorine, chlorine and bromine,
 - an aryl or heteroaryl group having the same definition as that given *supra* for R_1 , R_2 ,
 - an aralkyl or heteroaralkyl group, the alkyl group, which is linear or branched, comprising 1 to 4 carbon atoms, and the aryl and
 - 15 heteroaryl groups having the same definitions as those given *supra* for R_1 , R_2 ,
 - an amine or amide group : $-NH_2$, $-NHR$, $-CONH_2$, $-CONHR$,
- $$\begin{array}{c}
 \text{---N} \begin{array}{l} \nearrow R' \\ \searrow R'' \end{array} \quad \text{or} \quad \text{---CON} \begin{array}{l} \nearrow R' \\ \searrow R'' \end{array} ;
 \end{array}$$
- R, R', R'' having their respective definitions given *supra* for the amine substituents of the values R_1 , R_2 : aryl or heteroaryl,
- 20 - an $-OCOR_6$ or $-COOR_6$ group, R_6 representing a straight or branched alkyl group comprising 1 to 6 carbon atoms, or a cycloalkyl group comprising 3 to 6 carbon atoms, or a phenyl group, optionally substituted with at least one of the substituents
 - 25 listed above for the values of R_1 , R_2 : aryl or heteroaryl ;
- or**
- at least two of the R_3 groups, which are adjacent, form a 5- to 6-membered aromatic or non-aromatic ring which can comprise at least one heteroatom selected from the group comprising :
- 30 oxygen, sulphur or nitrogen and/or at least one substituent selected from a C_1 - C_6 alkyl group which is linear or branched, a C_1 - C_6 alkoxy group which is linear or branched, and an amine group of formula $-NH_2$, $-NHR$ or



, as defined above, as substituent, of the basic structure of the aryl or heteroaryl group representing R_1 or R_2 ; and

- m and n are integers of 0 to 4.

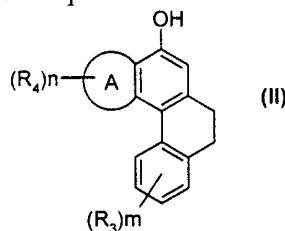
5 2 - The compounds according to claim 1, characterised in that they have a formula (I) in which :

- R_1 , R_2 are identical or different and represent independently optionally substituted aryl or heteroaryl groups the basic structure of which is selected from the group comprising phenyl, naphthyl, biphenyl, pyridyl, furyl, benzofuryl, dibenzofuryl, N-(C_1 - C_6)alkylcarbazole, thienyl, benzothienyl, dibenzothienyl and julolidinyl groups ; R_1 and/or R_2 representing, advantageously, a para-substituted phenyl group ;
- or
- R_1 and R_2 together form an adamantyl or norbornyl group ;
- R_3 represents a halogen, an alkyl group or an alkoxy group ; and
- n = 0 and m = 1.

20

3 - A method of preparation of the compounds according to one of claims 1 or 2, characterised in that it consists essentially of carrying out a condensation :

- of an intermediate product of formula (II) given below :

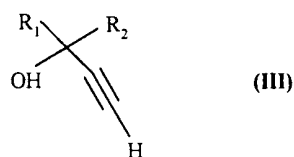


25

in which A , R_3 , R_4 , m and n are as defined in claims 1 or 2 above with reference to formula (I),

- with a derivative of propargylic alcohol, having formula (III) below :

25

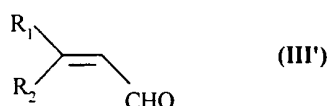


in which R_1 and R_2 are as defined in claims 1 or 2 above with reference to formula (I) ;

the condensation (II)/(III) being carried out advantageously in the presence of a catalyst, this catalyst being preferably selected from the group comprising para-toluenesulphonic acid, dodecylsulphonic acid or bromoacetic acid ;

or

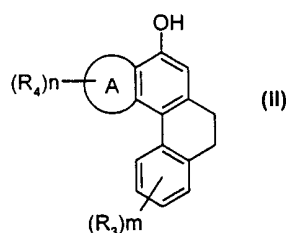
- with an aldehyde derivative, having formula (III') below :



in which R_1 and R_2 are as defined in claims 1 or 2 above with reference to formula (I) ;

the condensation (II)/(III') being carried out, advantageously, in the presence of a metallic complex, preferably a complex of titanium, titanium (IV) ethoxide being particularly preferred.

4 - Intermediate products, which are useful notably for the preparation of compounds according to one of claims 1 or 2, characterised in that they have the following formula (II) :



in which A , R_3 , R_4 , m and n are as defined, in claims 1 and 2, with reference to formula (I).

5 - A (co)polymer and/or reticulate obtained by polymerising and/or cross-linking and/or grafting at least one monomer consisting of a compound according to one of claims 1 or 2.

6 - A photochromic compound, characterised in that it is constituted by a compound according to one of claims 1 or 2, or by a mixture of at least two compounds according to one of claims 1 or 2, or by a mixture of at least one
5 compound according to one of claims 1 or 2 with at least one other photochromic compound of another type and/or at least one non-photochromic colouring agent.

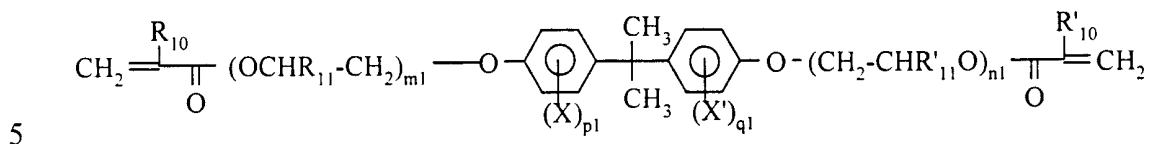
7 - A photochromic composition, characterised in that it comprises :
- at least one compound according to claims 1 or 2,
10 - and/or at least one linear or cross-linked (co)polymer which contains, in its structure, at least one compound (I) according to one of claims 1 or 2,
- and, optionally, at least one other photochromic compound of another type and/or at least one non-photochromic colouring agent
15 and/or at least one stabilising agent.

8 - A matrix, characterised in that it comprises :
- at least one compound (I) according to one of claims 1 or 2,
- and/or at least one composition according to claim 7,
20 - and/or at least one co(polymer) and/or reticulate according to claim 5.

9 - A (co)polymer matrix according to claim 8, characterised in that the (co)polymer(s) which constitute it is (are) selected from the following list :
25 - alkyl, cycloalkyl, (poly or oligo)ethylene glycol, aryl or arylalkyl poly[mono-, di- tri- or tetra]acrylate or poly[mono-, di-, tri- or tetra]methacrylate which is optionally halogenated or comprises at least one ether and/or ester and/or carbonate and/or carbamate and/or thiocarbamate and/or urea and/or amide group,
30 - polystyrene, polyether, polyester, polycarbonate (e.g. bisphenol-A polycarbonate, diallyl diethylene glycol polycarbonate), polycarbamate, polyepoxy, polyurea, polyurethane, polythiourethane, polysiloxane, polyacrylonitrile, polyamide, aliphatic or aromatic polyester, vinylic polymers, cellulose acetate,

cellulose triacetate, cellulose acetate-propionate or polyvinylbutyral,

- difunctional monomers having the formula below :



in which :

- 10
- Δ R_{10} , R'_{10} , R_{11} and R'_{11} are identical or different and represent independently a hydrogen or a methyl group ;
 - Δ m_1 and n_1 are, independently, integers between 0 and 4 (inclusive) ; and are advantageously independently equal to 1 or 2 ;
 - Δ X and X', which are identical or different, are a halogen and represent, preferably, a chlorine and/or a bromine ;
 - 15 Δ p_1 and q_1 are, independently, integers between 0 and 4 (inclusive);
 - copolymers of at least two types of copolymerisable monomers selected from the precursor monomers of the polymers listed *supra*, and preferably those belonging to the groups comprising :
 - 20 (meth)acrylic monomers, vinylic monomers, allylic monomers, and mixtures thereof.

10 - An ophthalmic or solar article comprising :

- 25
- at least one compound **(I)** according to one of claims 1 or 2,
 - and/or at least one composition according to claim 7,
 - and/or at least one (co)polymer and/or reticulate according to claim 5,
 - and/or at least one matrix according to one of claims 8 or 9.

30 **11** - The article according to claim 10, characterised in that it is constituted by a lens, by a glazing or by an optical device.

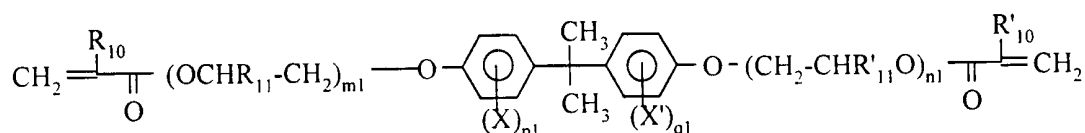
AMENDED CLAIMS

[received by the International Bureau on 21 November 2000 (21.11.00);
original claim 9 amended; remaining claims unchanged (1 page)]

cellulose triacetate, cellulose acetate-propionate or
polyvinylbutyral,

- those obtained from difunctional monomers having the formula
below :

5



in which :

10

Δ R_{10} , R'_{10} , R_{11} and R'_{11} are identical or different and represent
independently a hydrogen or a methyl group ;

Δ m_1 and n_1 are, independently, integers between 0 and 4
(inclusive) ; and are advantageously independently equal to 1
or 2 ;

15

Δ X and X', which are identical or different, are a halogen and
represent, preferably, a chlorine and/or a bromine ;

Δ p_1 and q_1 are, independently, integers between 0 and 4
(inclusive);

20

- copolymers of at least two types of copolymerisable monomers
selected from the precursor monomers of the polymers listed
supra, and preferably those belonging to the groups comprising :
(meth)acrylic monomers, vinylic monomers, allylic monomers,
and mixtures thereof.

10 - An ophthalmic or solar article comprising :

25

- at least one compound (I) according to one of claims 1 or 2,
- and/or at least one composition according to claim 7,
- and/or at least one (co)polymer and/or reticulate according to
claim 5,
- and/or at least one matrix according to one of claims 8 or 9.

30

11 - The article according to claim 10, characterised in that it is
constituted by a lens, by a glazing or by an optical device.

INTERNATIONAL SEARCH REPORT

Inter nal Application No

PCT/EP 00/05159

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07D493/04 C07D495/04 C07D307/77 C07D333/76 G02B5/23
C08F24/00 G03C1/72 C08K5/15 C08L37/00 C08L41/00
/(C07D493/04,311:00,307:00),(C07D495/04,333:00,311:00)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D G02B C08F G03C C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, BEILSTEIN Data, CHEM ABS Data, EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 869 658 A (LIN, JIBING ET AL) 9 February 1999 (1999-02-09) abstract; claims column 7 -column 8 ---	1,4-10
Y	WO 94 22850 A (PILKINGTON PLC ;RICKWOOD MARTIN (GB); SMITH KATHARINE EMMA (GB); G) 13 October 1994 (1994-10-13) abstract; claims page 14 -page 21; examples ---	1,4-10
Y	WO 95 05382 A (PILKINGTON PLC) 23 February 1995 (1995-02-23) cited in the application abstract; claims page 10 -page 18; examples --- -/--	1,4-10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance
"E" earlier document but published on or after the international filing date
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
"O" document referring to an oral disclosure, use, exhibition or other means
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
"&" document member of the same patent family

Date of the actual completion of the international search

12 October 2000

Date of mailing of the international search report

27/10/2000

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 00/05159

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