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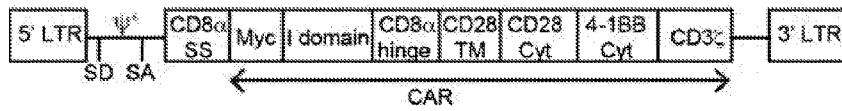


FIG. 1D

(57) Abstract: The present invention relates to chimeric antigen receptors (CARs) specific to Intercellular adhesion molecule-1 (ICAM-1) comprising I domain of the αL subunit of human lymphocyte function-associated antigen 1 (LFA-1). The invention particularly relates to CARs comprising human I domains having different affinities (1 mM to 1 nM Kd) to ICAM-1. CAR-T cells comprising human I domain having a low affinity (1 to 200 uM Kd) to ICAM-1 can avoid targeting healthy tissues with basal ICAM-1 expression while simultaneously exhibiting increased potency and long-term efficacy against tumor tissues with high ICAM-1 expression. The present invention also relates to an adoptive cell therapy method for treating cancer by administering the CAR-T cells comprising human I domain to a subject suffering from cancer, whereby the CAR-T cells bind to the cancer cells overexpressing ICAM-1 and kill the cancer cells.

# I DOMAIN CHIMERIC ANTIGEN RECEPTOR SPECIFIC TO ICAM-1

## REFERENCE TO SEQUENCE LISTING, TABLE OR COMPUTER PROGRAM

The Sequence Listing is concurrently submitted herewith with the specification as an  
5 ASCII formatted text file via EFS-Web with a file name of Sequence Listing.txt with a  
creation date of July 17, 2017, and a size of 9.89 kilobytes. The Sequence Listing filed via  
EFS-Web is part of the specification and is hereby incorporated in its entirety by reference  
herein.

## FIELD OF THE INVENTION

The present invention relates to chimeric antigen receptors specific to ICAM-1 comprising human I domain. The invention particularly relates to chimeric antigen receptors comprising human I domains having different affinities (1 mM to 1 nM) to ICAM-1.

## BACKGROUND OF THE INVENTION

Immunotherapy is emerging as a highly promising approach for the treatment of cancer.

Genetically modifying T cells with CARs is a common approach to design tumor-specific T cells. CAR (chimeric antigen receptor)-T cells targeting tumor-associated antigens can be  
20 infused into patients (adoptive cell transfer or ACT) representing an efficient immunotherapy approach. The advantage of CAR-T technology compared with chemotherapy or antibody is that reprogrammed engineered T cells can proliferate and persist in the patient and work like a living drug.

CAR molecules are composed of synthetic binding moieties, typically an antibody-derived single chain fragment variable (svFv) or any native antigen-sensing element, fused to intracellular signaling domains composed of the TCR zeta chain and costimulatory molecules such as CD28 and/or 4-1BB<sup>1,2</sup>. The advantages of CAR mediated targeting include: 1) the provision of activation, proliferation, and survival signals in-cis via a single binding event, compared to the natural, non-integrated TCR and costimulatory signaling; 2) the ability to  
25 bypass the downregulation of MHC by tumor cells through MHC-independent antigen recognition; and 3) a reduced activation threshold as well as recognition of tumor cells with low antigen density enabled by the high affinity interaction between CAR and antigen<sup>3,4</sup>.

The ideal CAR target antigen would be a native, surface-exposed tumor neoantigen that is highly expressed and is undetectable in healthy tissues. However, due to the implicit rarity of such antigens, many commonly targeted solid tumor antigens, are also expressed by non-tumor tissues, albeit at lower levels. CAR molecules with high affinity to such antigens 5 can lead to collateral targeting of healthy tissues resulting in on-target, off-tumor toxicity, a major limiting factor to the progress of CAR T cell therapy to date.

Conventional CARs are constructed using a single-chain antibody format, and are selectively engineered to possess sub- to low nanomolar affinities for target antigens. 10 However, increased CAR T cell sensitivity may be an advantage only when targeting true tumor antigens or those with the highest levels of restriction<sup>17, 36</sup>. Otherwise, increased sensitivity comes at the price of reduced selectivity with lysis of target-expressing cells in a manner largely insensitive to antigen density<sup>18</sup>.

There exists a needs for CARs with improved therapeutic index, i.e., CARs that can kill tumor while minimizing systemic toxicity.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

**FIGs. 1A-1G show construction of ICAM-1 specific CARs with step-wise, 10<sup>6</sup>-fold variations in affinity and their *in vitro* results.**

FIG. 1A: Schematic of LFA-1 in complex with ICAM-1.  $\alpha$  and  $\beta$  chains, and modular 20 domains of LFA-1 integrin are labeled. Metal ions necessary for LFA-1 and ICAM-1 interaction are shown in circles.

FIG. 1B: Structural model of LFA-1 I domain and the N-terminal domain of ICAM-1 (D1) are drawn in ribbon diagram. N and C-termini, and mutational hot spots are indicated.

FIG. 1C: SPR sensogram of I domain variants binding to immobilized human ICAM-1, 25 except F265S/F292G\*, which was flowed over murine ICAM-1 (adapted from Fig 2 of Jin et. al.<sup>54</sup>, and Fig 1 of Wong et. al<sup>55</sup>).

FIG. 1D: A schematic of the lentivirus vector encoding I domain CAR. LTR = long terminal repeat; SD = splice donor; SA = splice acceptor;  $\psi^+$  = packaging signal; SS = signal sequence; TM = transmembrane; Cyt = cytosolic domain.

30 FIG. 1E: Anti-Myc antibody binding to Jurkat T cells transduced with Myc-tagged CARs (TM, F292G, F292A, and WT I domain). NT = non-transduced.

FIG. 1F: Recombinant ICAM-1-Fc binding to CARs expressed in HEK 293T cells.

FIG. 1G: V-bottom adhesion assay measuring relative binding affinities between I domain CARs expressed in Jurkat T cells and soluble human (top) and murine (bottom) ICAM-1 (CD54) coated surfaces. n=3;  $p < 0.01$  for \* vs. NT by Dunnett's multiple comparisons test.

5 **FIGs. 2A-2D show affinity and antigen-density dependent activation of primary CAR T cells *in vitro*.**

FIG. 2A: Effector to target (E:T) assay for measuring target killing by primary T cells transduced with different I domain CARs. Each target was separately incubated with TM, F292G, F292A or WT CAR T cells at 5:1 E:T ratio. Percent viability was normalized to 10 luminescence from target cells incubated with NT T cells (n=3,  $\pm$  = standard deviation (SD)). A variable slope sigmoidal curve equation was used to fit data.  $p < 0.01$  for \* vs. NT by Dunnett's multiple comparisons test. FIG. 2B: The best fit values of 50% killing and Hill slope of the sigmoidal equation were plotted against the affinities of I domain CARs. The best fit values with r-square values higher than 0.85 were plotted.

15 FIG. 2C: ICAM-1 expression in primary T cells in comparison to HeLa cells. Grey and black histograms correspond to unlabeled cells and R6.5 antibody-labeled cells, respectively.

FIG. 2D: IFN- $\gamma$  release was measured by ELISA for each CAR T variant after co-incubation with different target cells for 24 h (n=3).  $p < 0.01$  for \* vs. 8505C/-ICAM-1 by Dunnett's multiple comparisons test.

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**FIGs. 3A-3C show micromolar affinity CAR T cells provide superior tumor eradication, suppression of tumor relapse, and survival benefit.**

FIG. 3A: Whole-body luminescence imaging was used to estimate tumor burden in mice infused with different CAR T cell variants 8 days post-tumor implantation. No T = mice 25 received no T cells.

FIG. 3B: Mice were treated with CAR T cells 10 days post tumor implantation. NT = non-transduced T cells.

FIG. 3C: Survival curves of mice receiving different treatments. Log-rank (Mantel-Cox) test P values versus NT are not-significant for No T and TM, and p = 0.008 for F292G, p = 0.025 30 for R6.5, and p = 0.0016 for F292A.

**FIGs. 4A-4D show longitudinal, concurrent measurements of tumor burden, T cell distribution, and cytokine release.**

FIG. 4A: Schematic of SSTR2-I domain vector.

FIG. 4B: Longitudinal measurements of NOTAOCT uptake by PET/CT (top half of each panel), and tumor burden by whole body luminescence imaging (bottom half of each panel). Images are representative of four mice in each cohort. Whole body PET/CT images, taken on 5 the day of maximum tracer uptake, are shown on the far right. Imaging time points are indicated below the bottom panel. For example, 15 represents 15 days post tumor xenograft (and 7 days post T cell infusion).

FIG. 4C: Quantification of luminescence and tracer uptake in the lungs of mice treated as indicated. Top Panel: NT (non-transduced) T cells. Bottom level: CARs-F292A.

10 FIG. 4D: Cytokine levels measured from blood drawn at various time points from the same mice in 'b' and 'c' are plotted (mean  $\pm$  SD, duplicate measurements). Top Panel: NT (non-transduced) T cells. Bottom level: CARs-F292A.

## DETAILED DESCRIPTION OF THE INVENTION

### 15 Definitions

As used herein, "about" refers to  $\pm$  10% of the recited value.

As used herein, "adoptive T cell therapy" involves the isolation and ex vivo expansion of tumor specific T cells to achieve greater number of T cells than what could be obtained by vaccination alone. The tumor specific T cells are then infused into patients with cancer in an 20 attempt to give their immune system the ability to overwhelm remaining tumor via T cells which can attack and kill cancer.

As used herein, "affinity" is the strength of binding of a single molecule (e.g., I domain) to its ligand (e.g., ICAM-1). Affinity is typically measured and reported by the equilibrium dissociation constant ( $K_D$  or  $K_d$ ), which is used to evaluate and rank order 25 strengths of bimolecular interactions.

As used herein, a "chimeric antigen receptor (CAR)" means a fused protein comprising an extracellular domain capable of binding to an antigen, a transmembrane domain derived from a polypeptide different from a polypeptide from which the extracellular domain is derived, and at least one intracellular domain. The "extracellular domain capable 30 of binding to an antigen" means any oligopeptide or polypeptide that can bind to a certain antigen. The "intracellular domain" means any oligopeptide or polypeptide known to function as a domain that transmits a signal to cause activation or inhibition of a biological process in a cell.

As used herein, a "domain" means one region in a polypeptide which is folded into a particular structure independently of other regions.

As used herein, "integrin" or "integrin receptor" (used interchangeably) refers to any of the many cell surface receptor proteins, also referred to as adhesion receptors which bind to extracellular matrix ligands or other cell adhesion protein ligands thereby mediating cell-cell and cell-matrix adhesion processes. Binding affinity of the integrins to their ligands is regulated by conformational changes in the integrin. Integrins are involved in physiological processes such as, for example, embryogenesis, hemostasis, wound healing, immune response and formation/maintenance of tissue architecture. Integrin subfamilies contain a beta-subunit combined with different alpha-subunits to form adhesion protein receptors with different specificities.

"Intercellular adhesion molecule-1" or "ICAM-1", i.e. GenBank Accession Nos. NM\_000201, NP\_000192, is the ligand for  $\alpha_L\beta_2$  integrin, and its N-terminal domain (D1) binds to the  $\alpha_L$  I domain through the coordination of ICAM-1 residue Glu-34 to the MIDAS metal. ICAM1 is typically expressed on endothelial cells and cells of the immune system. ICAM1 binds to integrins of type  $\alpha_L\beta_2$  and  $\alpha_M\beta_2$ . ICAM-1 is upregulated in several carcinomas and the associated stroma<sup>24</sup> as well as in inflammatory conditions<sup>25</sup>. Aside from diseased tissues, ICAM-1 is basally expressed in several cell types including endothelial cells, immune cells, and some epithelial cells<sup>25</sup>.

"Lymphocyte function-associated antigen-1", "LFA-1", " $\alpha_L\beta_2$  integrin" or "CD18/CD11a" refers to a member of the leukocyte integrin subfamily. LFA-1 is found on all T-cells and also on B-cells, macrophages, neutrophils and NK cells and is involved in recruitment to the site of infection. It binds to ICAM-1 on antigen-presenting cells and functions as an adhesion molecule.

As used herein, "I domain" refers to the inserted or I domain of the  $\alpha_L$  subunit of LFA-1, and is an allosteric mediator of ligand binding to LFA-1. I domain is a native ligand of ICAM-1. The ligand binding site of the I domain, known as a metal ion-dependent adhesion site (MIDAS), exists as two distinct conformations allosterically regulated by the C-terminal  $\alpha_7$  helix. A wild-type (WT) I domain encompasses amino acid residues 130-310 of the 1145 amino acid long mature  $\alpha_L$  integrin subunit protein (SEQ ID NO: 1, which is the amino acid residues 26-1170 of GenBank Accession No. NP\_002200). All numbering of amino acid residues as used herein refers to the amino acid sequence of the mature  $\alpha_L$  integrin (SEQ ID NO: 1), wherein residue 1 of SEQ ID NO: 1 corresponds to residue 26 of the sequence of GenBank Accession No. NP\_002200.

As used herein, a "tumor antigen" means a biological molecule having antigenicity, expression of which causes cancer.

## Description

5 The present invention provides chimeric antigen receptors targeting ICAM-1, which is a broad tumor biomarker, using its physiological ligand, LFA-1. The inventor has constructed  
a panel of affinity-variant CARs that comprise human I domain; the CARs having 1mM to 1 nM affinity to ICAM-1. The present invention provides ICAM-1-specific CARs with broad anti-  
10 tumor applicability. CAR T cells comprising I domain having micromolar affinity targeting ICAM-1 have improved efficacy and safety over conventional CARs, as they are capable of lysing cells overexpressing target antigens while sparing normal cells with much lower densities.

15 The present invention is directed to a chimeric antigen receptor fusion protein comprising from N-terminus to C-terminus: (i) a human I domain of the  $\alpha_L$  subunit of lymphocyte function-associated antigen-1, (ii) a transmembrane domain, (iii) at least one co-stimulatory domains, and (iv) an activating domain.

20 The CAR of the present invention comprises (i) a human I domain that binds specifically to ICAM-1. I domain specific to ICAM-1 can be built using the I domain derived from LFA-1 (FIGs 1A and 1B). Various activating point mutations in the I domain are localized outside of the binding interface that includes a region known as the metal-ion dependent adhesion site (MIDAS) (FIG. 1B). Mutants containing the step-wise elevation of I domain affinity to ICAM-1 from 1mM to 1 nM can be obtained by screening a library of mutants for their higher binding to ICAM-1 coated surface, beads, or cells. For example, different affinity mutants can be isolated using a yeast display system (see Jin et al.<sup>27</sup>).  
25 Affinity is first measured by surface plasmon resonance (e.g., Biacore) to assess 1:1 binding affinity between I domain and ICAM-1. Affinity of ICAM-1 to CAR expressed on cells can be measured by flow cytometry and using the Langmuir isotherm equation. Likewise, Scatchard analysis can be performed to estimate CAR affinity by measuring the amounts of free and cell-surface bound ligand (in this case, radio- or fluorescence-labeled ICAM-1).

30 Table 1 shows measured affinities of LFA-1 I domains of wild type and mutants to ICAM-1. A majority of mutations are changing hydrophobic bulky side chains (F, L, I) into more hydrophilic (A, S, T), thereby disrupting the structure of more compact, low affinity I domain conformation. For example, substitution of Phe-292 located in the C-terminal  $\alpha_7$ -helix with Ala (F292A) and Gly (F292G) provides to affinities ( $K_D$ ) of  $\sim 20$   $\mu$ M and 0.1  $\mu$ M,

respectively (Table 1). The combination of F292G with another comparably activating mutation in Phe-265 (F265S/F292G) provides an affinity of 6 nM, approximately 200,000-fold higher than the wild-type (WT) I domain ( $K_D = 1.5$  mM) (FIG. 1C). To lock the C-terminal  $\alpha$ 7-helix of F265S/F292G in the open position (FIG. 1A), Gly-311 can be replaced 5 with Cys (G311C) in the F265S/F292G mutant (F265S/F292G/G311C, dubbed triple mutant or TM) to form a disulfide bond with the naturally unpaired Cys-125 (Table 1). Therefore, the monovalent affinities of individual I domain variants for ICAM-1 can be designed to span 10 approximately six orders of magnitude ( $K_D \sim 1$  nM to 1 mM), as measured by surface plasmon resonance (SPR) or estimated by flow cytometry (FIG. 1C, Table 1). The mutants in Table 1 are for illustration purpose only; the CARs of the present invention are not limited to these specific mutants. Mutants that have other mutations and have affinities to ICAM-1 between 1 mM to 1 nM can be made, tested, and selected according to methods known to a skilled person.

Table 1.

Name	Sequence of SEQ ID NO: 1	Affinity
Wild-type (WT)	G128-G311	1.5 mM*
I288N	G128-G311	202 $\mu$ M**
I309T	G128-G311	127 $\mu$ M**
L295A	G128-G311	37 $\mu$ M**
F292A	G128-G311	20 $\mu$ M*
F292S	G128-G311	1.24 $\mu$ M**
L289G	G128-G311	196 nM**
F292G	G128-G311	119 nM*
F265S	G128-G311	145 nM*
F265S/F292G (DM)	G128-G311	6 nM*
F265S/F292G/G311C (TM)	E124-S313	$\sim$ 1 nM*
R6.5	scFv	10 nM***

\*SPR measurements; \*\*Estimated from flow cytometry mean fluorescence intensity (MFI) values of ICAM-1-Fc binding to yeast cells expressing I domain variants<sup>5</sup>. The equation used was  $K_D (M) = 0.00175 \times \exp(-0.1542 \times MFI)$ ; \*\*\*Estimated from titrated R6.5 antibody binding to HeLa cells<sup>34</sup>.

In one embodiment, the CAR of the present invention comprises I domain that is a wild-type human I domain, a mutant of wild-type human I domain having 1 to 3 amino acid mutations, or a sequence having at least 95%, or at least 96% identity, or at least 97% identity, or at least 98% identity, or at least 99% identity to the sequence of the wild-type I domain or the mutant, having an affinity of binding human ICAM-1 of 1mM or stronger. In one embodiment, the mutant may have one or more mutations at the amino acid residue 265, 288, 289, 292, 295, 309, or 311 of the wild-type I domain. For example, the mutant may have one or more mutations of I288N, I309T, L295A, F292A, F292S, L289G, F292G, F265S, F265S/F292G, or F265S/F292G/G311C, of the wild-type I domain. In one embodiment, combining two I domain mutations produces a mutant with a higher affinity than that of each parent mutant. For example, combining two mutants each having about 100  $\mu$ M Kd typically produces a mutant having about 1 to about 10  $\mu$ M Kd range. F292G is a very potent point mutation; combining F292G with other mutations increases I domain affinity to ICAM-1 to stronger than 100 nM Kd. The above numbering of the amino acid residues is in reference to the mature amino acid sequence of SEQ ID NO: 1, and residue number 1 corresponds to the amino acid residue 26 of GenBank Accession No. NP\_002200.

In one embodiment, the CAR of the present invention comprises I domain that binds ICAM-1 at an affinity between 1 mM to 1 nM Kd, preferably 1-200  $\mu$ M Kd or 1-20  $\mu$ M Kd.

In one embodiment, the CAR of the present invention comprises I domain that binds to ICAM-1 at an affinity between about 120 nM to about 1 nM Kd, e.g., F292G, F265S, F265S/F292G, and F265S/F292G/G311C.

In one embodiment, the CAR of the present invention comprises I domain that binds to ICAM-1 at an affinity between about 20  $\mu$ M to about 120 nM Kd, e.g., F292A, F292S, and I289G.

In one embodiment, the CAR of the present invention comprises I domain that binds to ICAM-1 at an affinity between about 200  $\mu$ M to about 20  $\mu$ M Kd, e.g., I288N, I309T, L295A, and F292A.

In one embodiment, the CAR of the present invention comprises I domain that binds to ICAM-1 at an affinity between about 1  $\mu$ M to about 100  $\mu$ M Kd, e.g., L296A, F292A and F292S.

In one embodiment, the CAR of the present invention comprises I domain that binds to ICAM-1 at an affinity between about 1 mM to about 200  $\mu$ M Kd, e.g., wild-type and I288N.

In one embodiment, the CAR of the present invention comprises I domain that binds

to ICAM-1 at an affinity between about 1 mM to about 100  $\mu$ M Kd, e.g., wild-type, I288N, and I309T. The affinities in the above embodiments refer to the interaction between I domain and ICAM-1 in solution.

One advantage of using human I domain in CAR construction is that human I domain binds murine ICAM-1 with comparable affinity to human ICAM-1 (2 nM vs. 6 nM respectively). Cross-reactivity with its murine homologue enables examination of on-target, off-tumor toxicity of I domain CAR T cells concurrently with on-target, on-tumor efficacy in preclinical mouse models with human tumor xenografts. This is an advantage of human I domain in predicting clinical toxicity in a preclinical model. In comparison, the R6.5 scFv (derived from the mouse hybridoma clone, R6.533) has a Kd of 10 nM for human ICAM-1 (Table 1) but does not cross-react with murine ICAM-1.

The CAR of the present invention comprises (ii) a transmembrane domain which spans the membrane. The transmembrane domain may be derived from a natural polypeptide, or may be artificially designed. The transmembrane domain derived from a natural polypeptide can be obtained from any membrane-binding or transmembrane protein. For example, a transmembrane domain of a T cell receptor  $\alpha$  or  $\beta$  chain, a CD3 zeta chain, CD28, CD3-epsilon, CD45, CD4, CD5, CD8, CD9, CD16, CD22, CD33, CD37, CD64, CD80, CD86, CD134, CD137, ICOS, CD154, or a GITR can be used. The artificially designed transmembrane domain is a polypeptide mainly comprising hydrophobic residues such as leucine and valine. In preferred embodiments, the transmembrane domain is derived from CD28 or CD8, which give good receptor stability.

The CAR of the present invention comprises (iii) one or more co-stimulatory domains selected from the group consisting of human CD28, 4-1BB (CD137), ICOS-1, CD27, OX 40 (CD137), DAP10, and GITR (AITR). In embodiment, the CAR comprises two co-stimulating domains of CD28 and 4-1BB.

The endodomain (the activating domain) is the signal-transmission portion of the CAR. After antigen recognition, receptors cluster and a signal is transmitted to the cell. The most commonly used endodomain component is that of CD3-zeta (CD3 Z or CD3 $\zeta$ ), which contains 3 ITAMs. This transmits an activation signal to the T cell after antigen is bound. CD3-zeta may not provide a fully competent activation signal and additional co-stimulatory signaling may be needed. For example, one or more co-stimulating domains can be used with CD3-Zeta to transmit a proliferative/survival signal.

The CAR of the present invention may comprise a signal peptide N-terminal to the I domain so that when the CAR is expressed inside a cell, such as a T-cell, the nascent protein

is directed to the endoplasmic reticulum and subsequently to the cell surface, where it is expressed. The core of the signal peptide may contain a long stretch of hydrophobic amino acids that has a tendency to form a single alpha-helix. The signal peptide may begin with a short positively charged stretch of amino acids, which helps to enforce proper topology of the 5 polypeptide during translocation. At the end of the signal peptide there is typically a stretch of amino acids that is recognized and cleaved by signal peptidase. Signal peptidase may cleave either during or after completion of translocation to generate a free signal peptide and a mature protein. The free signal peptides are then digested by specific proteases. As an example, the signal peptide may derive from human CD8 or GM-CSF, or a variant thereof 10 having 1 or 2 amino acid mutations provided that the signal peptide still functions to cause cell surface expression of the CAR.

The CAR of the present invention may comprise a spacer sequence as a hinge to connect I domain with the transmembrane domain and spatially separate antigen binding domain from the endodomain. A flexible spacer allows to the binding domain to orient in 15 different directions to enable its binding to a tumor antigen. The spacer sequence may, for example, comprise an IgG1 Fc region, an IgG1 hinge or a CD8 stalk, or a combination thereof. A human CD28 or CD8 stalk is preferred.

The present invention provides a nucleic acid encoding the CAR described above. The nucleic acid encoding the CAR can be prepared from an amino acid sequence of the 20 specified CAR by a conventional method. A base sequence encoding an amino acid sequence can be obtained from the aforementioned NCBI RefSeq IDs or accession numbers of GenBank for an amino acid sequence of each domain, and the nucleic acid of the present invention can be prepared using a standard molecular biological and/or chemical procedure. For example, based on the base sequence, a nucleic acid can be synthesized, and the nucleic 25 acid of the present invention can be prepared by combining DNA fragments which are obtained from a cDNA library using a polymerase chain reaction (PCR).

The nucleic acid encoding the CAR of the present invention can be inserted into a vector, and the vector can be introduced into a cell. For example, a virus vector such as a retrovirus vector (including an oncoretrovirus vector, a lentivirus vector, and a pseudo type 30 vector), an adenovirus vector, an adeno-associated virus (AAV) vector, a simian virus vector, a vaccinia virus vector or a Sendai virus vector, an Epstein-Barr virus (EBV) vector, and a HSV vector can be used. As the virus vector, a virus vector lacking the replicating ability so as not to self-replicate in an infected cell is preferably used.

For example, when a retrovirus vector is used, the process of the present invention can

be carried out by selecting a suitable packaging cell based on a LTR sequence and a packaging signal sequence possessed by the vector and preparing a retrovirus particle using the packaging cell. Examples of the packaging cell include PG13 (ATCC CRL-10686), PA317 (ATCC CRL-9078), GP+E-86 and GP+envAm-12, and Psi-Crip. A retrovirus particle 5 can also be prepared using a 293 cell or a 293T cell having high transfection efficiency.

Many kinds of retrovirus vectors produced based on retroviruses and packaging cells that can be used for packaging of the retrovirus vectors are widely commercially available from many companies.

The present invention provides T cells or natural killer cells (NK cells) modified to 10 express the CAR as described above. CAR-T cells or CAR-NK cells of the present invention bind to ICAM-1 via the I domain of CAR, thereby a signal is transmitted into the cell, and as a result, the cell is activated. The activation of the cell expressing the CAR is varied depending on the kind of a host cell and an intracellular domain of the CAR, and can be confirmed based on, for example, release of a cytokine, improvement of a cell proliferation 15 rate, change in a cell surface molecule, killing target cells, or the like as an index.

T cells or NK cells modified to express the I domain-CAR can be used as a therapeutic agent for a disease. The therapeutic agent comprises the T cells expressing the I domain-CAR as an active ingredient, and may further comprise a suitable excipient. Examples of the excipient include pharmaceutically acceptable excipients known to a person 20 skilled in the art.

The present invention further provides an adoptive cell therapy method for treating cancer. The method comprises the steps of: administering the CAR-T cells or CAR-NK cells of the present invention to a subject suffering from cancer, wherein the cancer cells of the subject overexpress ICAM-1, and the CAR-T cells or CAR-NK cells bind to cancer cells to 25 kill the cancer cells. “Overexpress”, as used herein, refers to cancer cells have surface expression of ICAM-1 at least  $10^5$  molecules per cell. In one embodiment, the CAR comprises I domain having an affinity to ICAM-1 between about 1 to about 1000  $\mu\text{M}$ , preferably between about 1 to about 200  $\mu\text{M}$ , or about 1 to about 20  $\mu\text{M}$ . Cancers suitable to be treated by the present invention include, but not limited to thyroid cancer, gastric cancer, 30 pancreatic cancer, and breast cancer.

By functionally investigating CAR affinities spanning step-wise across a  $10^6$ -fold range, concurrently with target cells with varying levels of antigen expression, the inventor systematically examined the influence of CAR affinity and antigen density on T cell efficacy *in vitro* and *in vivo*. T cell activation status *in vitro*, as measured by CD25, cytokine release,

and cytotoxicity, was dependent on affinity and target antigen density, resulting in more potent T cell activation and target killing with increases in CAR affinity and antigen density. The activation threshold of nanomolar affinity CAR T cells (TM, F292G) was less dependent on antigen density compared to the micromolar affinity CAR T cells (F292A), reacting to

5 antigen density as low as  $10^4$  molecules/cell. In contrast, F292A CAR T cells rapidly lost the ability to lyse cells expressing target antigens below  $10^5$  molecules/cell. Millimolar affinity CAR T cells

(wide-type, WT) were largely unreactive to target cells with low to moderate levels of antigen, requiring a threshold antigen density of  $10^6$  molecules/cell for detectable activation,

10 cytokine release, and target lysis to occur.

Table 2 shows a range of desired affinities of I domain-comprising CAR T cells to ICAM-1, for targeting cells with specified ICAM-1 antigen density.

Table 2.

I CAM-1 Density (molecules/cells)	Suitable I Domain Affinity
$< 10^4$	about 120 nM-1 nM (e.g., TM, F292G)
$10^4 - 10^5$	about 20 $\mu$ M - 120 nM (e.g., F292S, F265S)
$10^5 - 10^6$	about 200 $\mu$ M - 20 $\mu$ M (e.g., F292A)
$\geq 10^6$	about 1.5mM-200 $\mu$ M (e.g., WT)

15

The quantitative harmony between CAR affinity and anti-tumor potency *in vitro* is discordant with quantitative *in vivo* observations whereby micromolar affinity (1 - 200  $\mu$ M or 1 - 20  $\mu$ M) CAR-T cells or CAR-NK cells are superior to higher affinity CAR-T cells or CAR-NK cells as measured by the rate of expansion at the tumor site, the rate of tumor

20 eradication, frequency of tumor relapse, and levels of on-target, off-tumor toxicity.

The ability of I domain CAR-T cells or CAR-NK cells to cross-react with murine ICAM-1 allows for a rigorous and simultaneous assessment of the efficacy of CAR-T cells or CAR-NK against human tumor cells and on-target, off-tumor toxicity against murine ICAM-1 on healthy tissues. By simultaneous expression of a reporting gene, human somatostatin receptor 2 (SSTR2), and I domain CAR on T cells followed by longitudinal position emission

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tomography (PET) imaging, *in vivo* spatiotemporal mapping of adoptively transferred T cells can be achieved.

Onset of toxicity appears to be dependent on CAR affinity and tumor-burden, as demonstrated by the uniform fatalities in mice treated with the highest affinity (TM) CAR T 5 cells, the increased rate of toxicity observed in F292G CAR-treated mice with larger tumor burden, and the absence of detectable toxicity after treatment with micromolar affinity F292A CAR T cells.

CARs comprising high affinity mutants (about 120 nM-1 nM) have high potency and they are capable to bind T cells with low ICAM density of less than  $10^4$  per cell.

10 CARs possessing affinities in the micromolar range (e.g. about 1 - 200  $\mu$ M Kd) minimize off-tumor toxicity against basally expressed antigens in normal tissues, and also increases therapeutic index, in comparison with CARs having affinities in the nanomolar range (e.g., about 1 - 200 nM Kd). CAR T cells with target affinities in the micromolar range can avoid targeting healthy tissue with basal antigen expression while simultaneously 15 exhibiting increased potency and long-term efficacy against tumor tissue with high target expression. The micromolar affinity CAR (such as F292A-I domain) enables T cells to neglect tissues expressing less than  $10^5$  molecules/cell, a threshold which anaplastic thyroid tumors surpass yet healthy tissues typically do not. Engagement of target antigen by nanomolar affinity CAR T cells (e.g., TM, F292G, and R6.5 CAR) may result in an 20 unnaturally slow off rate, deviating from transient and dynamic nature of interactions natively found between TCRs and pMHGs<sup>48</sup>. High affinity and avidity interactions by CAR can reduce T cells' propensity for serial killing, potentially causing exhaustion or increased susceptibility to activation-induced cell death<sup>49</sup>. Although CAR T cells with nanomolar affinity to ICAM-1 may work, they may be operating sub-optimally and may be more prone 25 to exhaustion and excessive cytokine release, ultimately facilitating off-tumor toxicity or tumor relapse.

30 The following examples further illustrate the present invention. These examples are intended merely to be illustrative of the present invention and are not to be construed as being limiting.

## EXAMPLES

### MATERIALS AND METHODS

#### Example 1. Cell lines and primary human lymphocytes

Human dermal microvascular endothelial cells (HMEC-1) were obtained from the Center for Disease Control and were cultured in MCDB 131 medium (Invitrogen) supplemented with 10% (v/v) fetal bovine serum (FBS, Atlanta Biologicals), 10 mM L-alanyl-L-glutamine dipeptide (Gibco), 100 units/ml Penicillin-Streptomycin (Pen-strep), 5 1 µg/ml hydrocortisone (MP Biomedicals), and 10 ng/ml recombinant human epidermal growth factors (Invitrogen). Mouse brain microvascular endothelial cells (bEnd.3, ATCC) were maintained in Advanced Dulbecco's Modified Eagle Medium (ADMEM, Invitrogen) supplemented with 4 mM L-glutamine, 100 units/ml Pen-strep, and 10% FBS. HeLa cells (ATCC) were cultured in ADMEM containing 10% FBS, 2 mM L-glutamine, and 100 10 units/ml Pen-strep. 8505C cells (DSMZ) were cultured in RPMI-1640 medium (Invitrogen) containing 10% FBS, 2 mM L-glutamine, and 100 units/ml Pen-strep. HMEC-1, bEnd.3, HeLa, and 8505C cells were transduced with lentivirus encoding Firefly Luciferase-F2A-GFP (Biosettia) and sorted based on fluorescence.

Human peripheral blood was obtained from healthy volunteer donors by venipuncture. 15 Peripheral blood mononuclear cells were isolated using Ficoll-Paque PLUS (GE Healthcare) and cultured in Optimizer CTS T-cell Expansion SFM (Thermo) supplemented with 5% human AB serum (Sigma), 2 mM L-alanyl-L-glutamine dipeptide, and 30 IU/ml human IL-2 (Cell Sciences) (T cell culture medium). Non-adherent cells were removed after 24h and enriched for T cells with Dynabeads CD3/CD28 T cell expander (Thermo) at a 2:1 bead to T 20 cell ratio. Dynabead-bound T cells were subsequently cultured in IL-2 containing media at a density of  $1 \times 10^6$  cells/ml. All cells were incubated at 37°C in a 5% CO<sub>2</sub> humidified incubator.

### **Example 2. Construction of I domain CAR vector**

25 Genetic sequences encoding LFA-1 I domains of varying affinities to ICAM-1 were derived from a previous study<sup>27</sup>. I domain variants were fused at the C-terminus directly to the CD8 hinge, CD28 transmembrane domain, and the intracellular portions of the 3<sup>rd</sup> generation CAR architecture incorporating the cytoplasmic domains of CD28, CD137, and CD3ζ. The complete CAR inserts were then subcloned into a pLenti backbone<sup>29</sup>. A reporter 30 gene for CAR T cell imaging, SSTR2, was linked to I domain at the N-terminus using a 'ribosome skipping' porcine teschovirus-1 2A (P2A) sequence to ensure comparable production of CAR and SSTR2 from the same mRNA.

**Example 3. Lentivirus production and transduction of T cells**

Lentivirus was produced by transiently transfecting HEK 293T cells using calcium phosphate. Briefly, 10  $\mu$ g of transfer gene, 7.5  $\mu$ g of pCMV-dR8.2 (Addgene) and 5  $\mu$ g of pCMV-VSVG (Addgene) were mixed and incubated with 2 M CaCl<sub>2</sub> followed by 2x HBSS.

5 Resulting solutions were added dropwise to 10 cm<sup>2</sup> cell culture dishes seeded with 3.2 x 10<sup>6</sup> HEK 293T cells in 10 ml DMEM 24h previously. Transfection media was replaced after 6 h. Media containing lentivirus was harvested at 48 and 72 h post transfection, filtered through 0.45  $\mu$ m filters, and concentrated by ultracentrifugation at 75,000x g for 2 h at 4 °C.

Lentivirus was then resuspended in serum containing media and frozen at -80 °C. Human T 10 cells were transduced 24 – 72 h post activation with anti-CD3/CD28 Dynabeads either by spinfection (1,000 g for 1 h at 32 °C) or by overnight incubation with lentivirus. T cells were transduced once more 24 h after the first transduction. During and following transductions, media containing IL-2 was replaced with media containing human IL-7 (10 ng/ml) and IL-15 (5 ng/ml) (Peprotech). Jurkat T cells were transduced by a single overnight incubation with 15 lentivirus.

**Example 4. In vitro target cell killing assay**

2 x 10<sup>5</sup> target cells (HMEC-1, bEnd.3, HeLa, and 8505c) stably transduced to express GFP and firefly luciferase were co-cultured with either non-transduced or I domain CAR T 20 cells at varying effector to target ratios (E:T). In certain conditions, the ICAM-1 gene was disrupted in 8505C cells using CRISPR/Cas9 (Santa Cruz, #sc-400098; denoted as 8505C/-ICAM-1) or, alternatively, 8505C cells were exposed to 1  $\mu$ g/ml lipopolysaccharide (LPS; *Escherichia coli* O26:B6, Sigma) for 12 h to induce overexpression of ICAM-1 (denoted as 8505C/LPS). Co-cultures were carried out in T cell culture medium containing 150  $\mu$ g/ml D-25 Luciferin (Gold Biotechnology) and no cytokine supplementation. Luminescence was measured using a plate reader (TECAN infinite M1000 PRO) with readings in each E:T condition normalized to the non-transduced T cell:target co-culture controls.

**Example 5. 8505C mouse model, whole-body tumor imaging, and serum cytokine 30 analysis**

7.5 x 10<sup>5</sup> 8505C cells were injected into NSG mice via tail vein. 1-3 x 10<sup>6</sup> T cells were injected via tail vein 8-10 days after tumor cell injection. Injection timing was chosen based on prior studies with R6.5 CAR T cells which demonstrated tumor elimination using

similar CAR dosages at up to 10-days post xenograft<sup>29</sup>. Luminescence imaging of tumor xenografts in live mice was performed using a whole body optical imager (In-Vivo Extreme, Bruker). Mice were anesthetized with 2% isoflurane in 2 L/min O<sub>2</sub>. Tumor burden was quantified through integration of luminescence over chest cavity and the entire mouse body.

5 For serum cytokine analysis, 50-100 µl of blood was collected via tail-vein into Eppendorf tubes on ice. Plasma was immediately isolated after removing cell pellet by centrifugation at 2,000 g for 10 min at 4 °C, and stored at -80 °C. Human cytokines (GM-CSF, IL-2, IL-6, IFN-γ, TNF-α, CXCL10) were measured in duplicate using Bio-Plex MAGPIX (Bio Rad) according to the manufacturer's instructions.

10

#### **Example 6. Ex vivo cellular analysis**

Tumor xenografts were resected from mice at appropriate time points. Resected tumors were diced and flushed through 80 µm cell strainers to yield single cell suspensions. Red blood cells were lysed by incubation with 1x RBC lysis buffer (eBiosciences). Remaining cells 15 were washed, re-suspended in 1x HBSS containing 2% normal goat serum, and blocked with mouse IgG at 2 µg/ml for 10 min. This was followed by staining with 1 µg/ml Propidium Iodide (Invitrogen) in combination with 2 µg/ml mouse anti-human CD3-Alexa Fluor 647 (Biolegend) or 2 µg/ml rabbit anti-c-myc-Alexa Fluor 647 (Biolegend). Resulting cells were 20 acquired on a Gallios flow cytometer (Beckman Coulter). Initial flow cytometry gates were determined based on live cell gating (Propidium Iodide negative).

#### **Example 7. ICAM-1 and CAR expression quantification**

ICAM-1 expression on various cell lines was determined using a mouse anti-human R6.5 monoclonal antibody (10 µg/ml) obtained from hybridoma (ATCC). I domain CAR 25 expression on T cells was detected using 2 µg/ml rabbit anti-c-myc-Alexa Fluor 647 (Biolegend). I domain Jurkat T cell variants were incubated with 10 µg/ml recombinant human ICAM-1 fused to human Fcγ (R&D Systems). Cells were then washed and resuspended in 1 µg/ml rabbit anti-human PE (Santa Cruz Biotechnology) prior to flow cytometry analysis.

30

#### **Example 8. In vitro measurement of IFN-γ**

Target cells were washed and suspended at  $1 \times 10^6$  cells/ml in T cell culture medium without cytokines. 100 µl of each target cell was added in triplicate to a 96-well round bottom

plate (Corning). T cells resuspended at  $5 \times 10^6$  cells/ml in T cell culture medium were combined with target cells in appropriate wells. Plates were incubated at 37°C for 24 – 48 h. After incubation, supernatants were collected for ELISA to detect IFN- $\gamma$  (Biolegend).

##### 5   **Example 9. CD25 and CD69 staining**

Jurkat cells modified with I domain CARs were co-cultured with target cells at an effector to target ratio of 1:1 ( $1 \times 10^5$  effectors:  $1 \times 10^5$  targets) in a 96-well plate. The plate was incubated at 37 °C for 6 h. After incubation, cells were washed prior to labelling with 2  $\mu$ g/ml anti-human CD25-allophycocyanin (APC; Biolegend) for 30 min on ice. After 10 incubation, samples were washed and analyzed by flow cytometry. As an alternative to ICAM-1 expressing cells, we also used microbeads coated with known amounts of ICAM-1.  $1 \times 10^6$  sulfate latex microbeads (8  $\mu$ m, ThermoFisher Scientific) were resuspended in 100  $\mu$ L of PBS containing indicated amounts of human or murine recombinant ICAM-1-Fc $\gamma$  (R&D Systems) conjugated with Cy5.5 (Sulfo-Cyanine5.5 NHS ester, Lumiprobe) overnight 15 at room temperature with gentle mixing. Protein-labeled particles were pelleted and resuspended in fresh PBS containing 0.1 M glycine pH 7.4 for 1 h, while supernatant was used to measure bead adsorption efficiency by fluorescence (TECAN infinite M1000 PRO). After saturation of bead surface with glycine, beads were pelleted and resuspended in PBS containing 5 mM MgCl<sub>2</sub>. Jurkat cells modified with each I domain CAR variant were 20 incubated with ICAM-1-bound latex beads at 1:3 (cell:bead) ratio overnight at 37°C. Cells were then collected, labeled with 2  $\mu$ g/ml anti-human CD69-APC (Biolegend) for analysis by flow cytometry.

##### **Example 10. V-bottom adhesion assay**

25   V-bottom 96-well plates (Corning) were coated with either murine or human ICAM-1-Fc $\gamma$  (10  $\mu$ g/ml in PBS, pH 7.4) or 2% BSA at 4°C overnight. The plates were then blocked with 2% BSA for 1h at 37°C. I domain CAR T clones were first stained with CellTracker Orange according to manufacturer's protocol and then added to ICAM-1-coated wells in 50  $\mu$ l of PBS containing 5 mM MgCl<sub>2</sub> and 1% BSA. Plates were immediately centrifuged at 200 30 g for 15 min at room temperature. Nonadherent cells that accumulated at the bottom of the V-bottom plates were quantified by a fluorescence plate reader (TECAN infinite M1000 PRO). Cell binding to ICAM-1 was calculated from the fluorescence intensity values of

experimental measurements ( $F_{CAR}$  and  $F_{NT}$ ) and normalized to the fluorescence from the wells coated with BSA alone ( $F_{BSA}$ ):  $100 \times ((F_{BSA}-F_{CAR})/F_{BSA})/((F_{BSA}-F_{NT})/F_{BSA})$ .

#### **Example 11. Labeling of $^{18}\text{F}$ -NOTA-octreotide (NOTAOCT)**

NOTAOCT (1,4,7-Triazacyclononane-1,4,7-triacetic acid-octreotide<sup>30</sup>, GMP grade) was obtained as a 1 mg lyophilized powder (cat #9762, ABX Pharmaceuticals). The NOTAOCT vial content was diluted with 18 MW water to 200  $\mu\text{l}$  (5 mg/ml solution) and stored at 4 °C as a stock solution. For chelation of NOTA with Fluorine-18<sup>31</sup>, 5  $\mu\text{l}$  of NOTAOCT was added to 10  $\mu\text{l}$  of 0.1 M sodium acetate, pH 4, 6  $\mu\text{l}$  of 2 mM AlCl<sub>3</sub>, and 100  $\mu\text{l}$  containing ~30 mCi  $^{18}\text{F}$ . The solution was immediately placed in a Thermomixer (Eppendorf) at 100 °C and incubated for 15 minutes followed by cooling to room temperature and dilution in 15 ml ddH<sub>2</sub>O. A Sep-Pak light C18 column was regenerated in 3 ml 100% ethanol and washed twice in 5 ml ddH<sub>2</sub>O with an observed flow rate of 10 drops per minute. NOTAOCT was then loaded to the Sep-Pak column, which was later washed in 15 ml 18 MW water to eliminate any remaining free  $^{18}\text{F}$ . Trapped NOTAOCT was eluted from the column using 300  $\mu\text{l}$  of ethanol and diluted to 1.5 ml with PBS for injection, providing the final product in ~15% ethanol isotonic, injectable solution. The eluent was passed through 0.2  $\mu\text{m}$  filter. The purity of the final product was checked by reverse phase HPLC.

#### **20 Example 12. PET/CT imaging**

Registered CT images were acquired using a micro-PET/CT scanner (Inveon, Siemens) at 1-2 h post NOTAOCT injection. Projection data was acquired in a cone-beam geometry with approximately 1 s steps at 1 degree angular increments. At least 10 million coincidence events were acquired for PET per study using a 250 to 750 keV energy window and a 6 ns timing window. A reference tube containing 100  $\mu\text{l}$  of a 10 %ID/cm<sup>3</sup> equivalent dose for quantification of NOTATOC uptake *in vivo*. To compute NOTAOCT uptake within mouse lungs, ellipsoids were drawn separately on the left and right sides of lungs to enclose the majority of their footprint. The %ID/cm<sup>3</sup> values, computed relative to the counts obtained in the reference tube, were approximated to a standard uptake value (SUV<sup>32</sup>) by dividing %ID/cm<sup>3</sup> by four, assuming injection efficiency of 100% and 25 g of body weight. Visualization and analyses of PET/CT images were performed using AMIDE software (<http://amide.sourceforge.net>).

**Example 12. Histology**

After euthanasia, mouse lungs were perfused via trachea with 4% paraformaldehyde, and each of five lobes were separated post fixation and embedded in paraffin. Tissues were cut to produce 5  $\mu$ m sections (Microtome, Leica). Paraffin embedded sections were stained with 5 hematoxylin and eosin (H&E) or hematoxylin only for CD3 and GFP immunostaining (performed by HistoWiz, Inc.). Histological analysis was performed by an experienced pathologist.

**RESULTS****10 Statistical analysis**

One-way ANOVA, Dunnett's multiple comparisons test, and unpaired Student's t-test were performed using Prism (GraphPad) on data indicated.

**Example 13. ICAM-1 specific CAR T cells with  $10^6$ -fold, step-wise variation in affinity**

15 CAR constructs specific to ICAM-1 were built using the I domain derived from LFA-1 (FIGs. 1A-B; Table 1), according to Jin et al<sup>27</sup> and U.S. Patent No. 8,021,668.

To test whether the mutant I domain affinities correlate with CAR affinities, HEK 293T and Jurkat T cells were transduced with lentivirus encoding 3<sup>rd</sup> generation CARs containing TM, F292G, F292A, or WT I domain, and assayed for ICAM-1 binding. A myc tag was appended to the N-terminus of each I domain variant to aid measurement of CAR expression (FIGs. 1D-E). To avoid background ICAM-1 binding to endogenous LFA-1 in Jurkat T cells, CAR affinity for ICAM-1 was estimated using the I domain CAR-transduced HEK 293T cells. The level of recombinant human ICAM-1 binding to I domain CAR-expressing HEK 293T cells correlated with solution affinity measurements, with TM exhibiting the strongest binding, followed by F292G and F292A, and no detectable binding to WT compared to non-transduced (NT) T cells (FIG. 1F). Differential CAR affinities for ICAM-1 and cross-reactivity with murine ICAM-1 were also examined by measuring cell adhesion to V-bottom plates coated with recombinant human or murine ICAM-1 (FIG. 1G). Jurkat cells transduced with TM and F292G CARs demonstrated a higher level of binding to 20 both human and murine ICAM-1 compared to non-transduced cells. However, despite increased binding of recombinant ICAM-1 to F292A CAR-expressing HEK 293T cells compared to their WT I domain-expressing counterparts (FIG. 1F), F292A CAR-Jurkat cells lacked any additional binding to plate-bound ICAM-1 compared to NT or WT I domain- 25 30

expressing cells (FIG. 1G). In the case of F265S I domain, which demonstrated soluble ICAM-1-binding comparable to F292G (145 vs. 119 nM, Table 1), F265S CAR T cells failed to demonstrate any additional binding to plate-bound human ICAM-1 while elevated binding was more apparent to murine ICAM-1. As anticipated, T cells transduced to express R6.5 5 CAR, which is specific to human ICAM-1 only, exhibited elevated binding to human but not to murine ICAM-1 (FIG. 1G).

**Example 14. Influence of CAR affinity and target antigen density on CAR T cell activation in vitro**

10 Jurkat T cells expressing I domain CARs were used to examine the extent to which CAR T cell activation was influenced by CAR affinity and ICAM-1 antigen density in target cells. Jurkat T cells were incubated with various target cell lines with different ICAM-1 expression levels. ICAM-1 surface densities of target cell lines were estimated by first assaying the levels of anti-ICAM-1 antibody binding to them and comparing these signals to 15 those obtained using 8  $\mu$ m latex beads coupled with known amounts of R6.5 antibody conjugated with cy5.5 ( $10^3$ - $10^7$  antibodies per bead). The level of shift after incubation with R6.5 (black) from non-labeled (grey) was used to estimate ICAM-1 density in each indicated target cell line.

20 The panel of target cells include: HMEC-1 and bEnd.3, representing, respectively, healthy human and mouse cells with physiological levels of ICAM-1 ( $\sim 10^4$  molecules per cell); anaplastic thyroid carcinoma (8505C) expressing an intermediate level ( $\sim 10^5$  per cell); and cervical cancer (HeLa) cell lines expressing a high level of ICAM-1 ( $\sim 10^6$  per cell). For 25 additional comparisons, we included 8505C with CRISPR/Cas9-mediated ICAM-1 gene inactivation (8505C-ICAM-1) and 8505C treated with LPS to upregulate ICAM-1 expression (8505C/LPS). Table 3 summarizes ICAM-1 site density in target cells used herein

Table 3.

Target cells	ICAM-1 density (molecules/cell)
bEND.3	$<10^4$
HMEC-1	$<10^4$
8505C	$10^5$
8505C/LPS	$10^5$ - $10^6$
8505C-ICAM-1	Non-detectable
HeLa	$10^6$

Activation of CAR T cells upon interaction with target cells was examined by measuring CD25 (IL-2 receptor  $\alpha$ ) and CD69 expression. CD25 expression in Jurkat CAR T cells (WT, F292A, F292G, and TM) were examined after co-incubation with different target cell lines for 24 h (n = 3-4). CD69 was induced after incubation with latex beads coated with 5  $10^6$  recombinant human ICAM-1-Fc molecules. Elevated levels of CD25 were observed in WT I domain CAR T cells following incubation with LPS-stimulated 8505C but not with other cell lines expressing lower levels of ICAM-1. In contrast, increased CD25 expression was seen when high affinity TM CAR T cells were incubated with high ICAM-1 expressing cells as well as with HMEC-1 and bEnd.3 cells expressing basal levels of ICAM-1. A low- 10 level of CD25 expression was detected on TM CAR T cells following incubation with target cells lacking ICAM-1 expression (8505C/-ICAM-1), likely due to homotypic cellular contacts mediated by molecular interactions between TM CAR and basal expression of ICAM-1 on Jurkat cells ( $\sim 10^4$  molecules/cell). T cells expressing F292G behaved similar to TM, except that CD25 expression was close to background levels following co-incubation 15 with 8505C/-ICAM-1. The micromolar affinity F292A T cells demonstrated selective activation displaying elevated CD25 expression only upon incubation with 8505C and 8505C/LPS cells. This indicates that a threshold target antigen density of  $> 10^5$  ICAM-1 molecules per cell was required for F292A CAR T cell activation. In contrast to the ICAM-1 density-dependent activation of CD25, increased CD69 expression was observed even in the 20 absence of target cells, with expression levels aligning closely with CAR affinity to ICAM-1, which was not further enhanced by incubation with ICAM-1 coated latex beads. Compared to CD25, CD69 induction appeared to require a lower level threshold of antigen density for activation, which was provided by homotypic interaction between CAR T cells.

25 **Example 15. Influence of CAR affinity and target antigen density on CAR T cell cytotoxicity in vitro**

After validating affinity and antigen-dependent activation of CAR-modified Jurkat T cells, we sought to examine the influence of CAR affinity and antigen density on primary T cell activation and cytotoxicity *in vitro*. Primary T cells were transduced with TM, F292A, 30 F292G, and WT I domain CARs, and added to various target cells to determine their cytotoxic efficacy *in vitro*. Overall, there was a positive correlation between the rate of target cell lysis and ICAM-1 expression (HeLa > 8505C/LPS > 8505C > HMEC-1 > bEND.3)

across all I domain variant CAR T cells (FIG. 2A). The rate of killing was also faster when T cells expressed CARs possessing higher affinity for ICAM-1 (TM > F292G > F292A > WT).

To quantitatively compare the efficacy of killing by affinity variant CAR T cells, a variable slope sigmoidal curve ( $\% \text{ live} = 100/[1+10^{(t-\tau_{50\%}) * \text{Slope}}]$ ) was used to find the best fit values describing the time required to achieve 50% killing ( $\tau_{50\%}$ ) and the Hill slope (FIG. 2B). The time to 50% target killing was longer with either lower affinity CAR T cells or lower antigen density for the same CAR T cells. The Hill slope, corresponding to the rate of target killing by CAR T cells, was higher with increases in affinity (lower Kd) for the same target cells. The Hill slope was also greater with increases in antigen density for the same CAR T cells. CAR T cell killing of target cells was specific as evidenced by the lack of observed killing of ICAM-1 negative 8505C cells by all of I domain variant CARs except TM. Low yet gradual killing of 8505C/-ICAM-1 by TM T cells was likely due to cytotoxic activation caused by homotypic cellular contacts mediated by TM interaction with ICAM-1 in T cells. Table 4 summarizes time (hours) to 50% killing determined by fitting data to a variable slope sigmoidal curve.

Table 4.

CAR T	8505C/- ICAM-1					
	HMEC	bEND3		8505C	8505C/ LPS	HeLa
WT	n.d.	n.d.	n.d.	n.d.	n.d.	30.23
F292A	n.d.	n.d.	n.d.	41.55	30.81	18.66
F292G	21.05	16.23	n.d.	27.32	23.98	14.93
TM	13.45	13.03	32.63	17.12	15.05	10.84

Only the best fit values with r-square values higher than 0.85 are shown; otherwise indicated as not-determined, n.d.

Table 5 shows Hill slope values determined by fitting data to a variable slope sigmoidal curve.

Table 5.

CAR T	HMEC	bEND3	8505C/-		8505C/LP	
			ICAM-1	8505C	S	HeLa
WT	n.d.	n.d.	n.d.	n.d.	n.d.	0.09894
F292A	n.d.	n.d.	n.d.	0.04424	0.04976	0.1096
F292G	0.07538	0.05292	n.d.	0.06098	0.05872	0.1059
TM	0.08384	0.05793	0.05493	0.08686	0.08695	0.1099

Only the best fit values with r-square values higher than 0.85 are shown; otherwise indicated as not-determined, n.d.

ICAM-1 expression in primary T cells can be induced after T cell activation such as  
5 by incubation with CD3/CD28 beads ( $\sim 10^5$  molecules/cell). In comparison, WT CAR T cells possessing millimolar affinity ( $K_d = 1.5$  mM) could specifically lyse HeLa cells only, indicating a threshold antigen density of approximately  $10^6$  molecules per cell for  $\sim 1$  mM  $K_d$  CAR T cells. Importantly, F292A and WT I domain CAR T cells ( $K_d > 10$   $\mu$ M) were unreactive to human and murine healthy control cells, HMEC-1 and b.END3 ( $\sim 10^4$  per cell;  
10 FIG. 2A).

IFN- $\gamma$  release by CAR T cells aligned closely with the rate of target cell death, where increasing levels were found in co-cultures containing higher affinity CAR T cells and/or higher levels of target antigen expression (FIG. 2D). One exception to target antigen density-dependent IFN- $\gamma$  release was TM and F292G, which showed significant amounts of IFN- $\gamma$  release ( $>1$  ng/ml) in the absence of target molecules (8505C/-ICAM-1). This is again likely due to the homotypic interactions between T cells, which is also supported by the observation of the difficulty with expanding TM CAR T cells, particularly when the level of CAR expression was high. Release of IFN- $\gamma$  by micromolar affinity CAR T cells (F292A) was in proportion to the ICAM-1 density in target cells, demonstrated by a lack of release upon  
15 incubation with 8505C/-ICAM-1, and progressively increasing with incubation with HMEC-1, 8505C, 8505C/LPS, and HeLa in this order (FIG. 2D). Consistent with WT I domain's cytotoxicity toward HeLa cells, IFN- $\gamma$  release upon incubation with HeLa was comparable to the levels secreted by other higher affinity CAR T cells.  
20

**Example 16. In vivo efficacy of affinity-tuned I domain CAR T cells**

We examined how affinity-dependent CAR T cell cytotoxicity patterns *in vitro* would translate to tumor xenograft models *in vivo*. In solid tumors, CAR T cell efficacy is influenced by their ability to traffic to tumor sites, penetrate, serially lyse tumor cells, and undergo expansion and contraction in accordance with tumor burden. Here, mice were xenografted by systemic *i.v.* injections of  $0.75 \times 10^6$  8505C-FLuc<sup>+</sup>GFP<sup>+</sup> cells followed by treatment with  $\sim 1-3 \times 10^6$  I domain CAR T cells (WT, F292A, F265S, F292G, and TM), SSTR2-R6.5 CAR<sup>29</sup>, NT (non-transduced) T cells, and no T cells at 8-10 days post-xenograft (5-20% CAR expression). Tumor burden was evaluated by whole-body luminescence imaging of firefly luciferase activity. Primary tumors localized to the lungs and liver with distant metastatic foci evident throughout the body (FIG. 3A). Cohorts receiving either no T cells or NT T cells succumbed to tumor burden within 3-4 weeks of tumor inoculation. Mice treated with TM CAR T cells displayed rapid initial reductions in tumor burden; however, at approximately 7 days post T cell injection, mice began to show symptoms of systemic toxicity indicated by lethargy and weight loss, resulting in death by day 15 post treatment (FIGs. 3A - B). F292G CAR T cells were capable of tumor elimination with inconsistent toxicity development, which appeared to be partially dependent on tumor burden at the time of CAR T cell treatment. For example, either delayed infusions of F292G (119 nM affinity) CAR T cells (day 10) or higher tumor burden at the time of treatment led to more frequent deaths. T cells expressing F265S (145 nM Kd) CARs, eliminated tumors without observable toxicity. This suggests that an I domain CAR affinity of  $\sim 100$  nM Kd defines an approximate threshold affinity, above which (Kd less than 100 nM such 1-10 nM) treatment leads to reduced discrimination between high and low antigen densities and an increased likelihood of on-target off-tumor toxicity. Consistent with limited or lack of killing of 8505C by WT CAR T cells *in vitro*, tumor progression *in vivo* was unimpeded by the treatment of WT CAR T cells, similar to NT T cells (FIG. 3B). In contrast, F292A CAR T cells, which exhibited a much slower *in vitro* rate of 8505C killing compared to its higher affinity counterparts, achieved rapid reductions in tumor burden with no apparent toxicity irrespective of treatment timing (FIG. 3A-3B). Moreover, F292A CAR T *in vivo* efficacy was superior to the scFv-based R6.5 CAR despite  $>1,000$ -fold lower affinity to ICAM-1 (10 nM vs. 20  $\mu$ M), as evidenced by a faster rate of tumor clearance and durable suppression of tumor relapse (FIG. 3A).

Overall, the anti-tumor efficacy of I domain CAR T cells led to statistically significant increases in cohort survival compared with no T or NT T cell treated mice (FIG. 3C). However, CAR T cell-treated mice even with no to little tumor burden began to show signs of toxicity (e.g., weight loss, loss of fur) that eventually led to frequent death ~10 weeks after 5 T cell injections. This was suspected to be related to graft-versus-host disease<sup>34</sup> and not on-target, off-tumor toxicity as similar toxicities were observed in mice treated with R6.5 CAR T cells that exclusively target human ICAM-1.

#### **Example 17. Real-time imaging of CAR T cell kinetics, efficacy, and toxicity**

10 To spatiotemporally monitor T cell distribution in real-time by PET/CT, we introduced an imaging reporter gene, SSTR2 into the I domain CAR vector using a ribosome skipping P2A sequence to ensure equal expression of CAR and the reporter on the surface of T cells (FIG. 4A). Expression of SSTR2 enabled binding and intracellular accumulation of an infused, positron-emitting, SSTR2-specific radiotracer, <sup>18</sup>F-NOTA-Octreotide<sup>30</sup>. Emitted 15 signals were then detected with high resolution with no tissue penetration issues by a micro PET scanner. Flow cytometry measurements of SSTR2 reporter gene and Myc-tag expression representing CAR on the surface of primary human T cells. Expression of SSTR2 and Myc tagged I domain was confirmed by antibody staining by flow cytometry measurements of SSTR2 reporter gene and Myc-tag expression representing CAR on the surface of primary 20 human T cells.

Mice were xenografted with 8505C tumors as before, and were treated with NT or F292A CAR T cells. Whole-body luminescence imaging was performed to estimate tumor burden while PET/CT imaging was performed on the same day to track CAR T cell distribution (FIG. 4B). At each time point, blood was collected to measure human cytokines 25 for correlation with T cell dynamics. PET/CT images in mice displayed expected background levels in gall bladder, kidneys and bladder caused by radiotracer excretion (FIG. 4B; far-right). In the NT treated control cohort, a small but gradual increase in non-specific tracer uptake was observed, which was due to increasing tumor burden and the associated increase in blood pooling (FIG. 4B). In contrast, specific tracer uptake was observed in mice treated 30 with SSTR2-F292A CAR T cells, demonstrating the expansion and contraction phases in the lungs, with peak CAR T cell signal occurring approximately at 22 days post xenograft, which is 4 days following peak tumor burden (18 days post xenograft), and gradually decreasing to

background levels (FIGs. 4B-4C). This shows biphasic T cell expansion and contraction phenomenon.

Cytokine analysis of serum obtained from treated mice demonstrated a surge in IFN- $\gamma$ , IL-6, and CXCL10 concentrations prior to peak T cell expansion, which also returned to 5 background levels post tumor elimination and following contraction of T cell density in the lungs to background levels (FIG. 4D).

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inflammation using ICAM-1 specific nanoparticles and MRI quantitative  
susceptibility mapping. *Ann Biomed Eng* **40**, 1328-1338 (2012).

**WHAT IS CLAIMED IS:**

1. A Chimeric antigen receptor (CAR) comprising from N-terminus to C-terminus:
  - (i) an I domain of the  $\alpha_L$  subunit of human lymphocyte function-associated antigen-1,
  - (ii) a transmembrane domain,
  - (iii) at least one co-stimulatory domains, and
  - (iv) an activating domain.
2. The CAR of Claim 1, wherein the I domain is a wild-type I domain or a mutant thereof having 1 to 3 amino acid mutations.
3. The CAR of Claim 1, wherein the I domain binds ICAM-1 at an affinity between about 1mM to about 1 nM.
- 15 4. The CAR of Claim 2, wherein the wild-type I domain comprises the sequence of 130-310 amino acids of SEQ ID NO: 1.
5. The CAR of Claim 4, wherein the mutant has one or more mutations at the amino acid residue 265, 288, 289, 292, 295, or 309 of the wild-type I domain, or a sequence having at 20 least 95% identity thereof, wherein the numbering of the amino acid residues corresponds to the amino acid residues of SEQ ID NO: 1.
6. The CAR of Claim 5, wherein the mutant has one or more mutations of I288N, I309T, L295A, F292A, F292S, L289G, F292G, and F265S,
- 25 7. The CAR of Claim 5, wherein the mutant has a mutation of F265S/F292G, or F265S/F292G/G311C.
8. The CAR according to Claim 1, wherein the co-stimulatory domain is selected from 30 the group consisting of CD28, 4-1BB, ICOS-1, CD27, OX-40, GITR, and DAP10.
9. The CAR according to Claim 1, wherein the activating domain is CD3 zeta.
10. An isolated nucleic acid sequence encoding the CAR of Claim 1.

11. T cells or natural killer cells modified to express the CAR of Claim 1.

12. An adoptive cell therapy method for treating cancer, comprising the steps of:

5 administering the CAR-T cells of Claim 11 to a subject suffering from cancer, wherein the cancer cells of the subject overexpress ICAM-1, and the CAR T cells bind to the cancer cells to kill the cancer cells.

13. The method according to Claim 12, wherein the cancer is thyroid cancer, gastric

10 cancer, pancreatic cancer, or breast cancer.

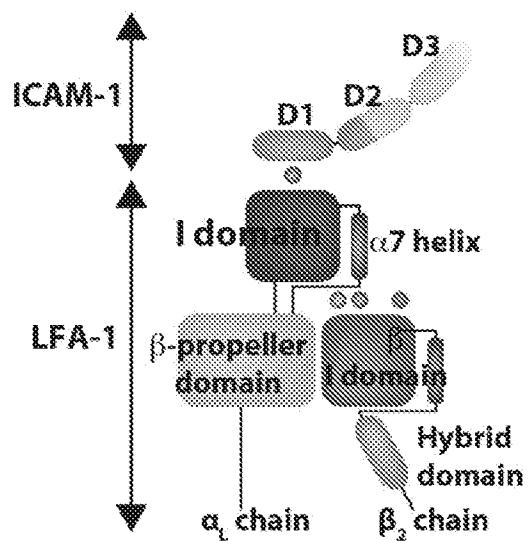


FIG. 1A

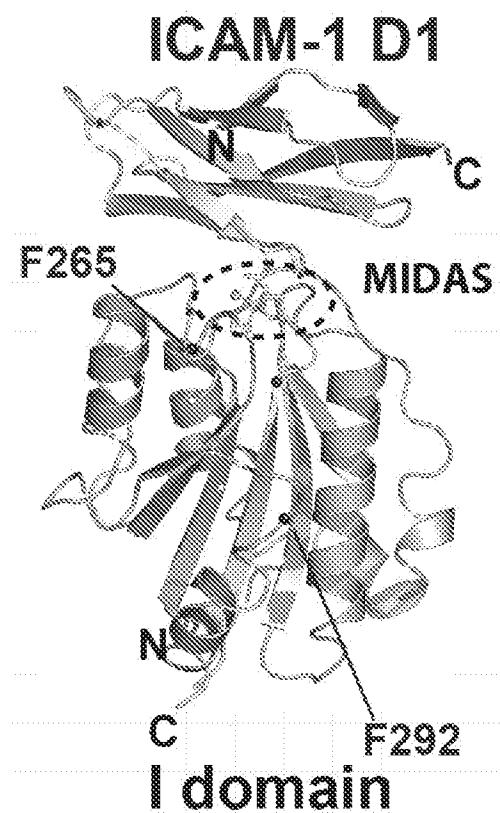


FIG. 1B

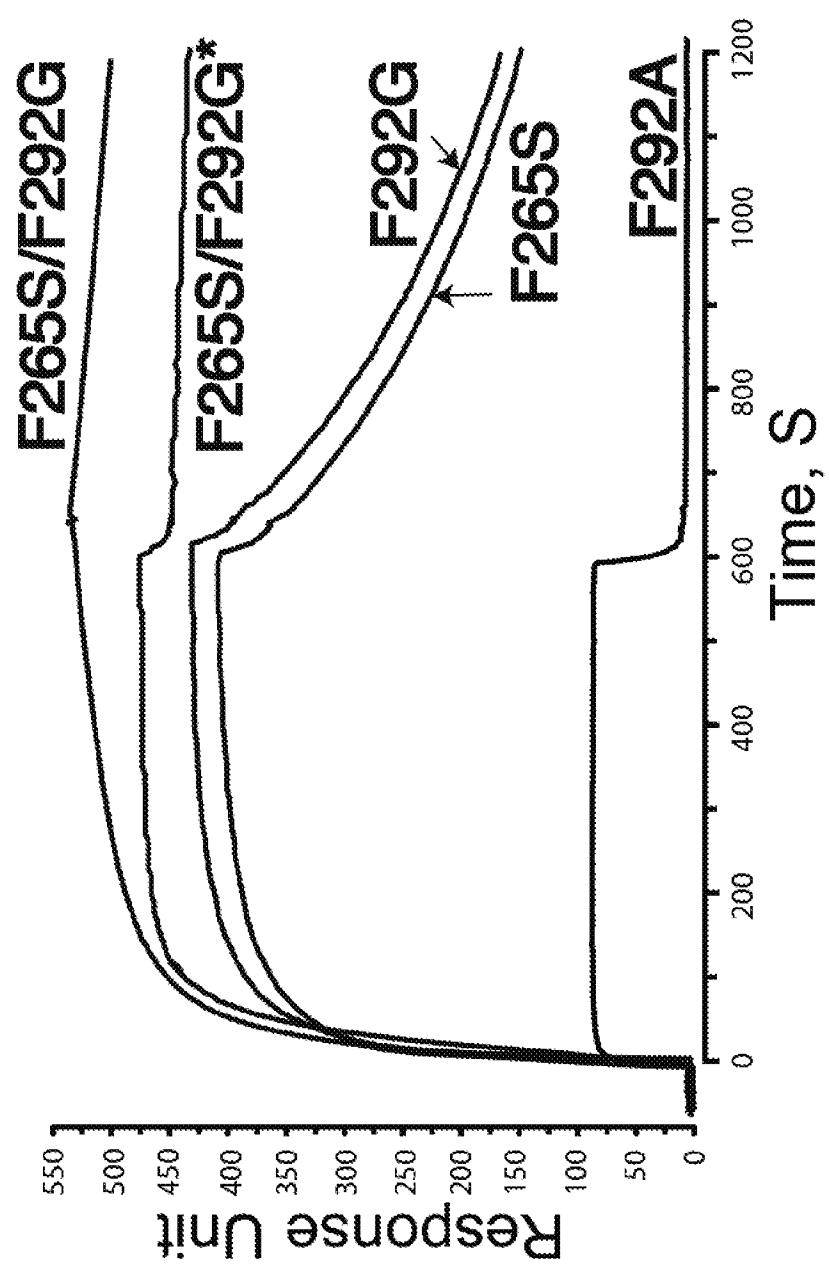
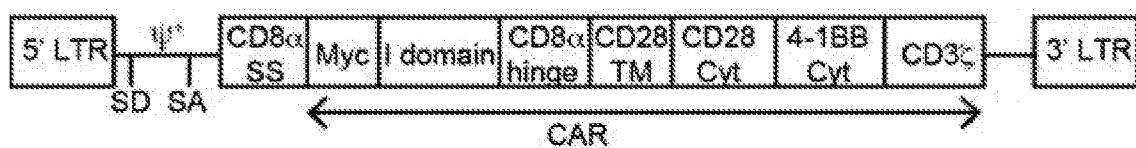
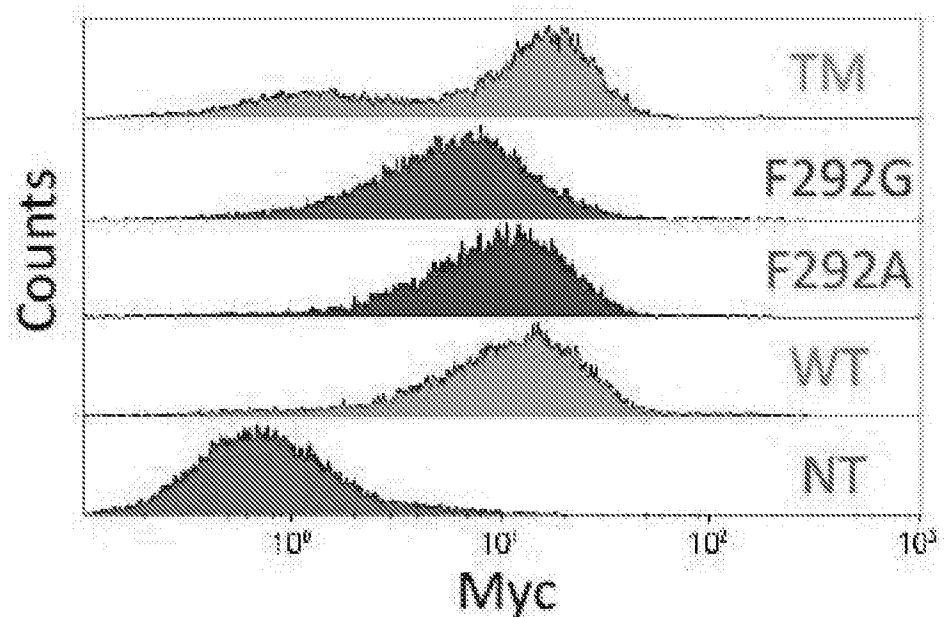
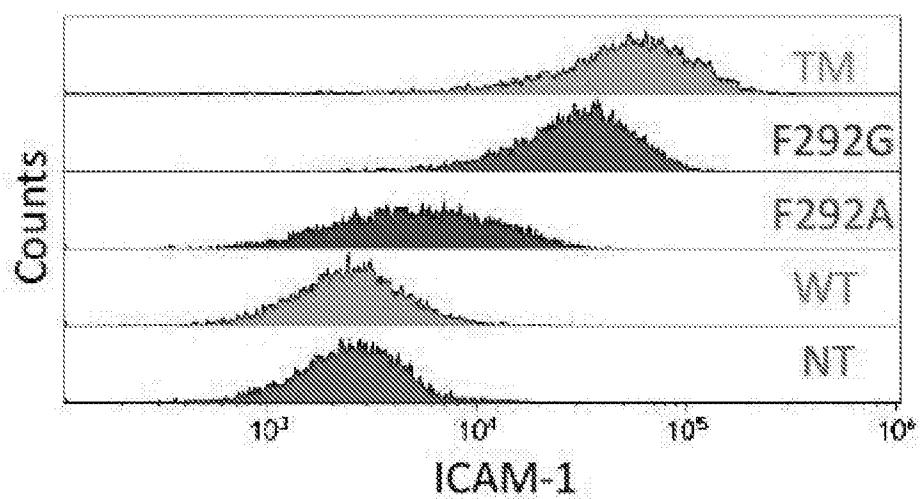
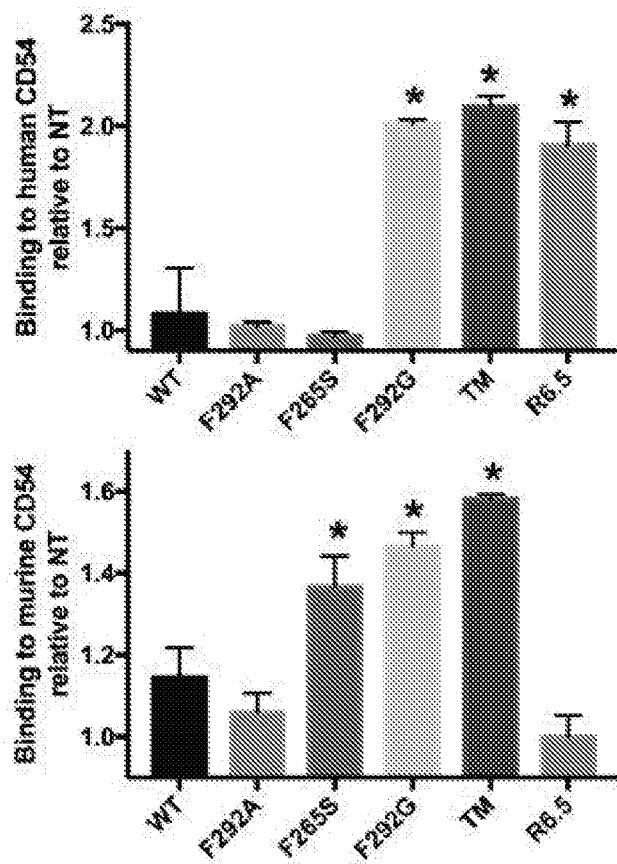


FIG. 1C

**FIG. 1D****FIG. 1E****FIG. 1F**

**FIG. 1G**

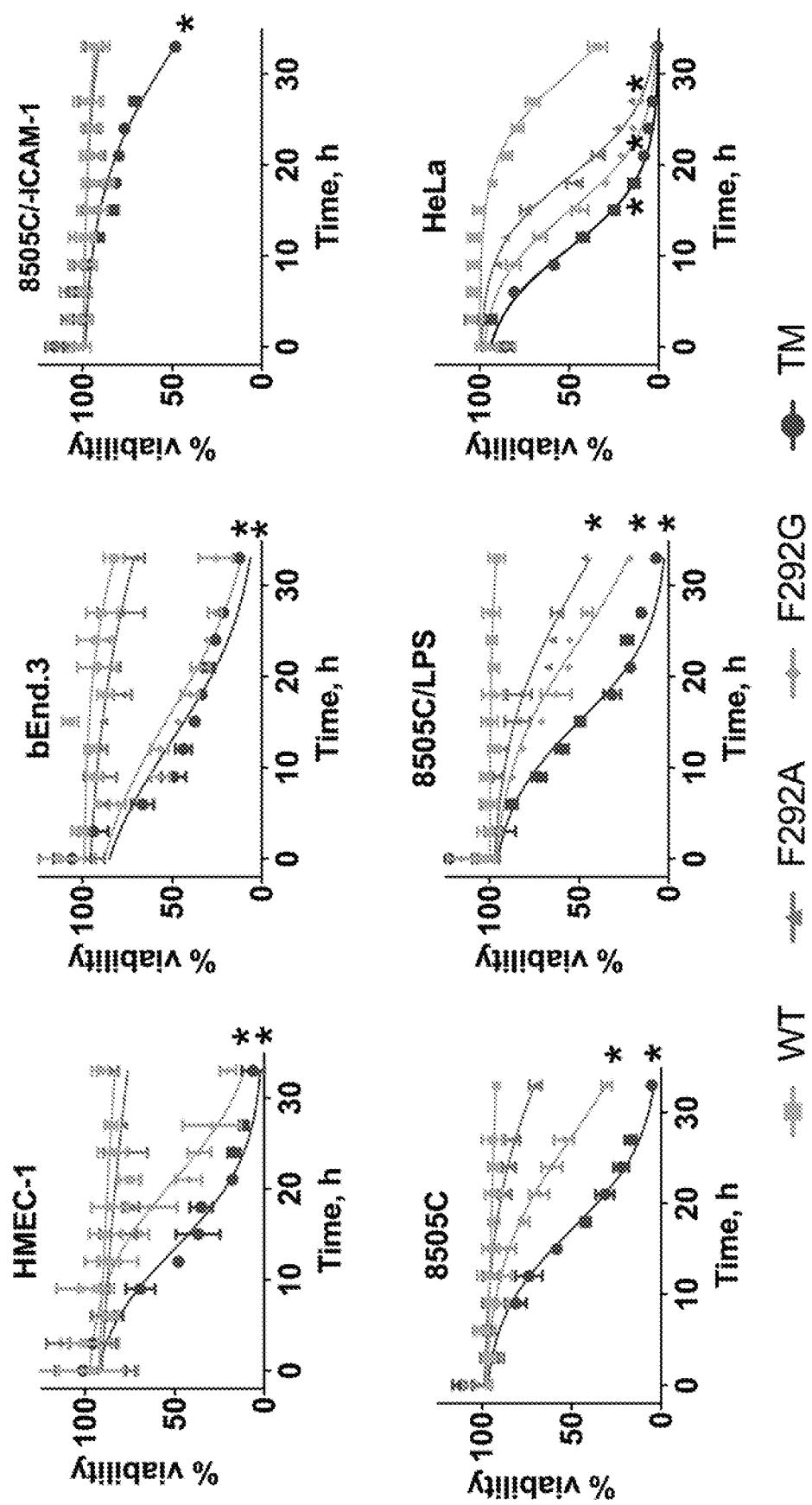


FIG. 2A

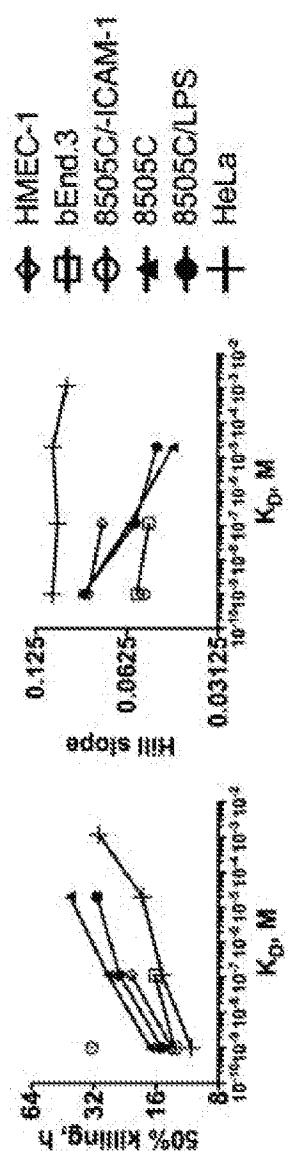


FIG. 2B

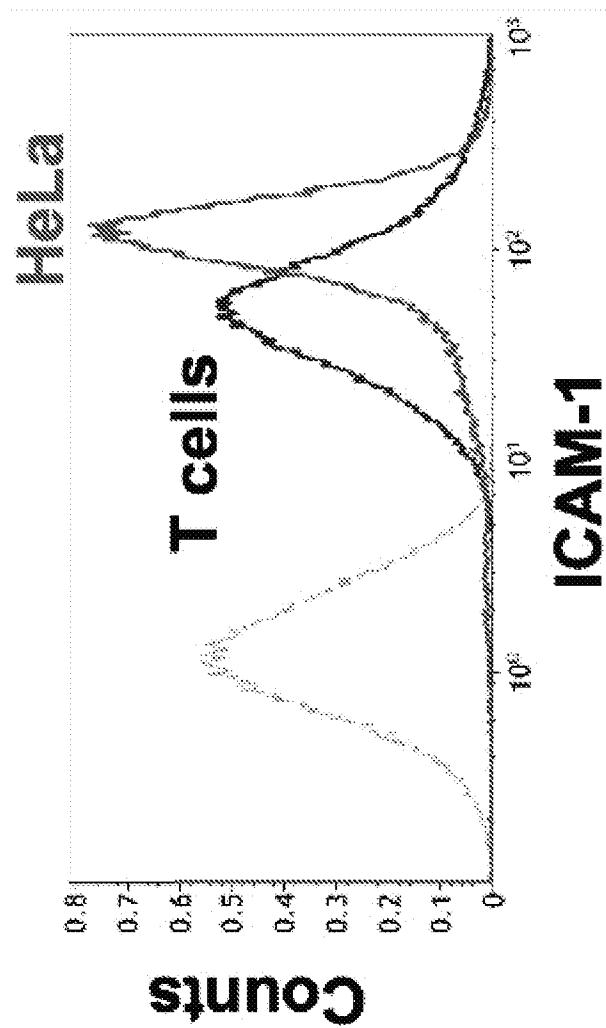


FIG. 2C

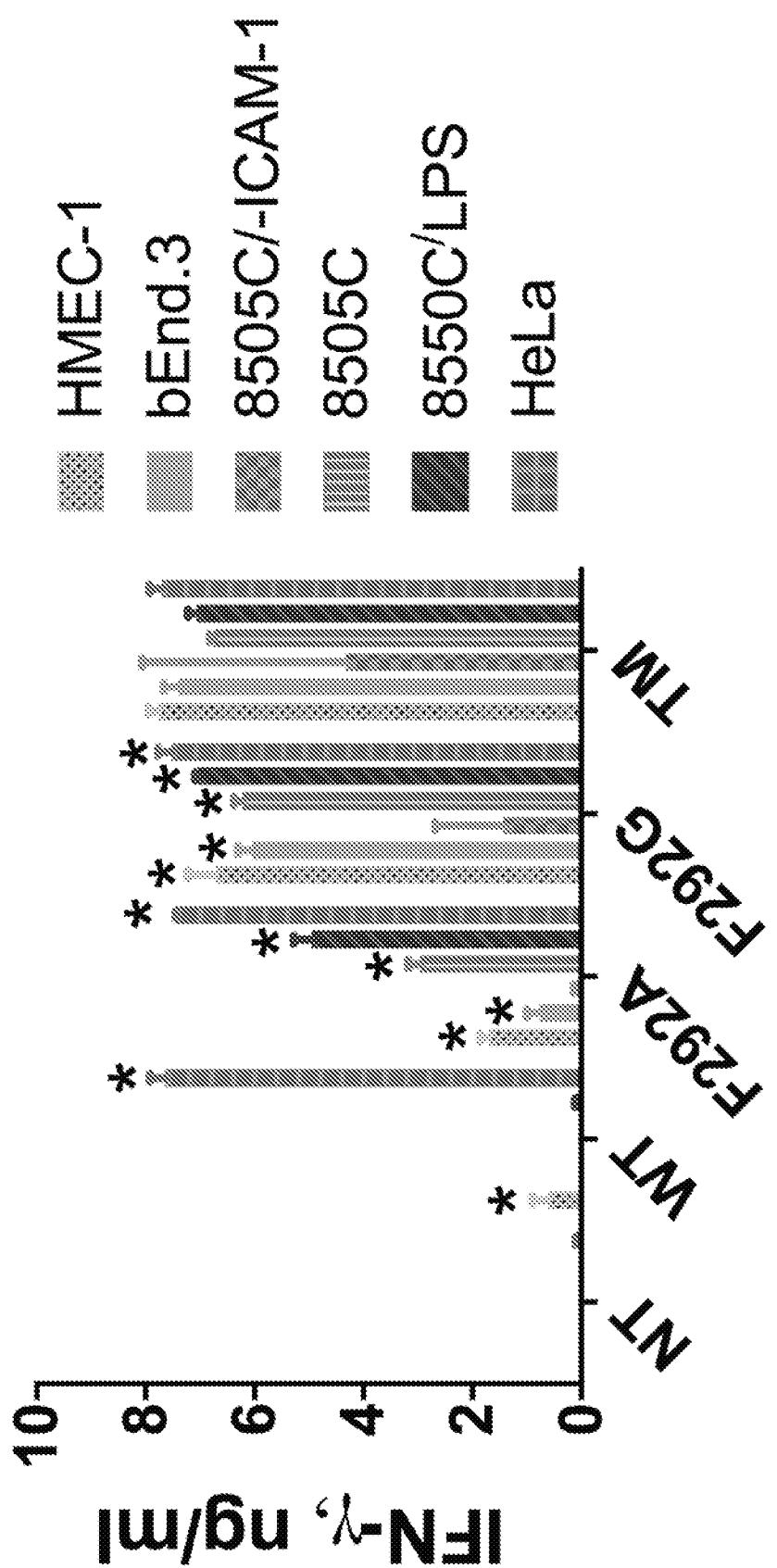


FIG. 2D

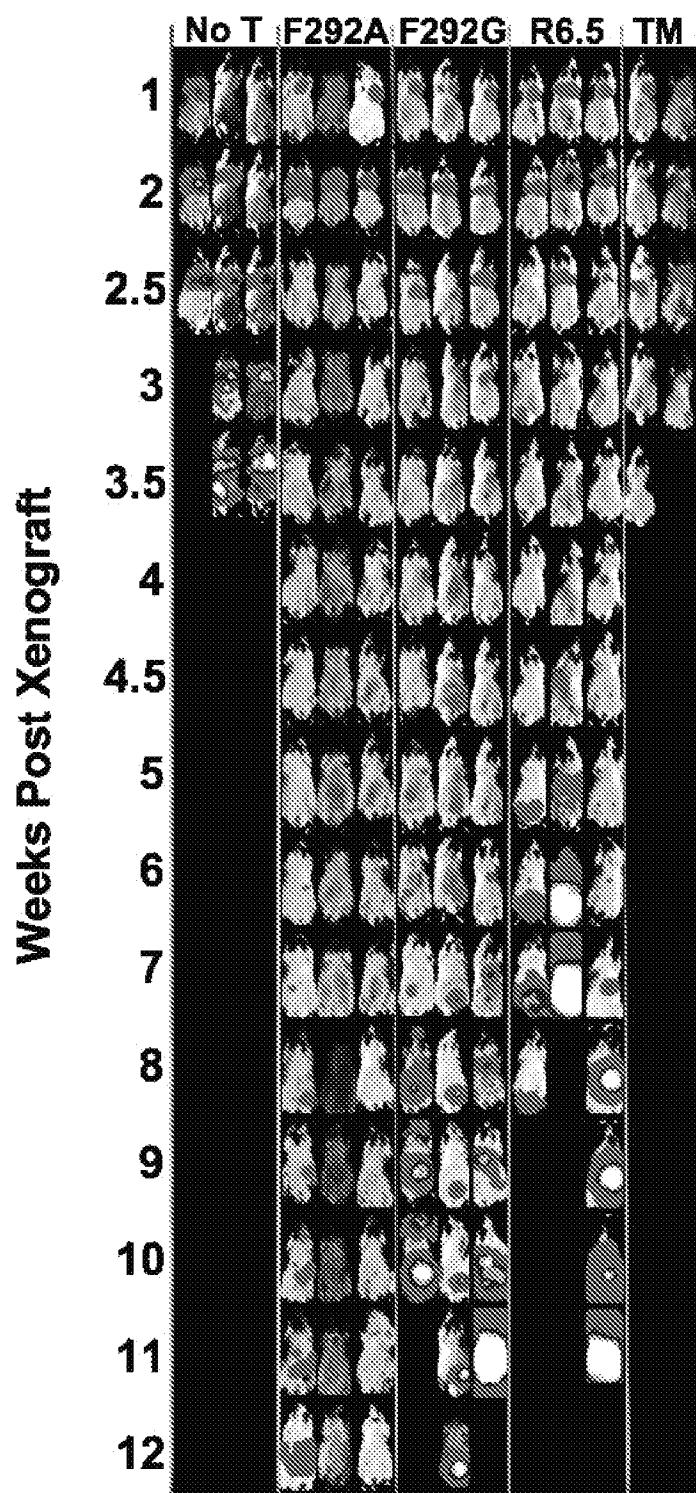


FIG. 3A

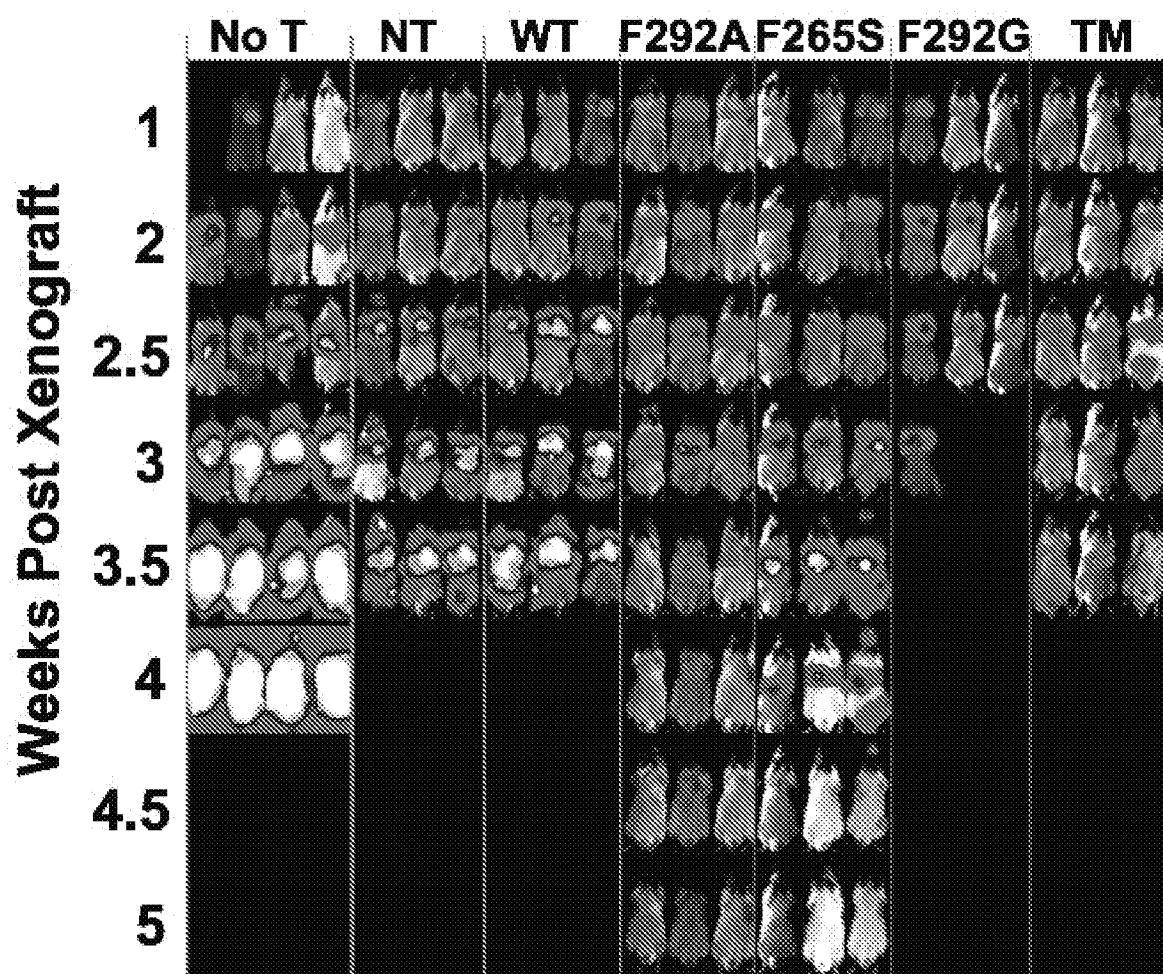


FIG. 3B

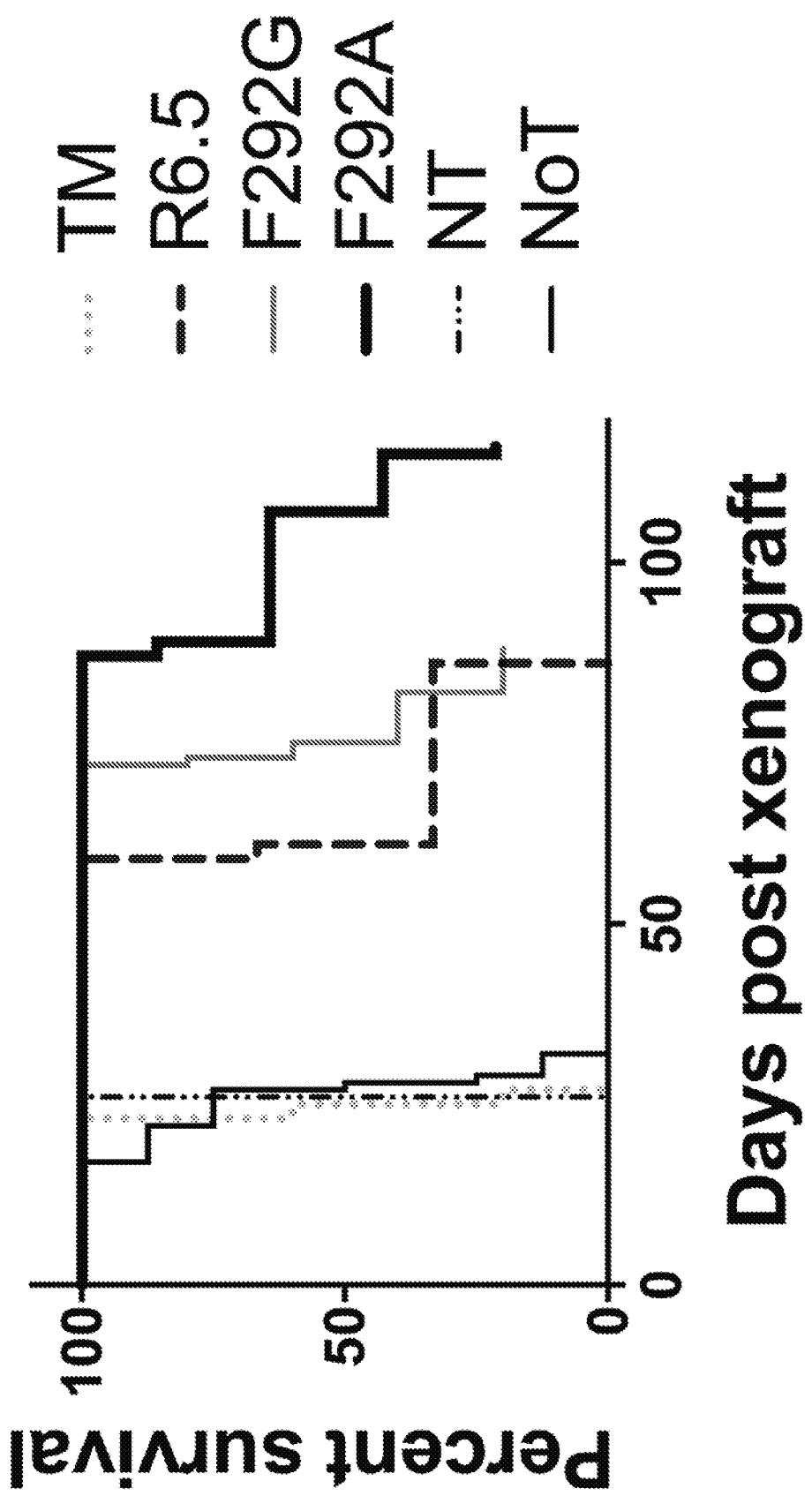
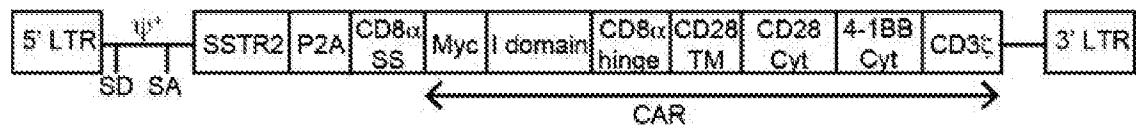
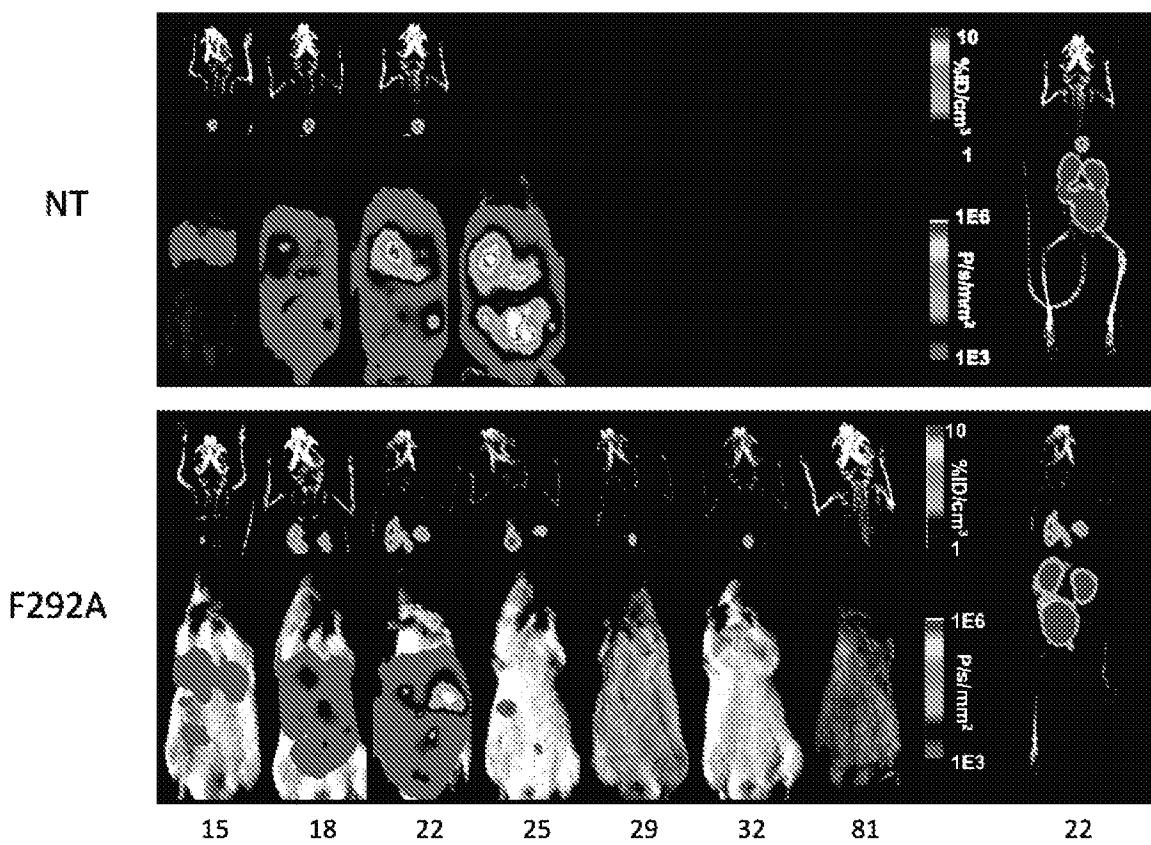


FIG. 3C

**FIG. 4A****FIG. 4B**

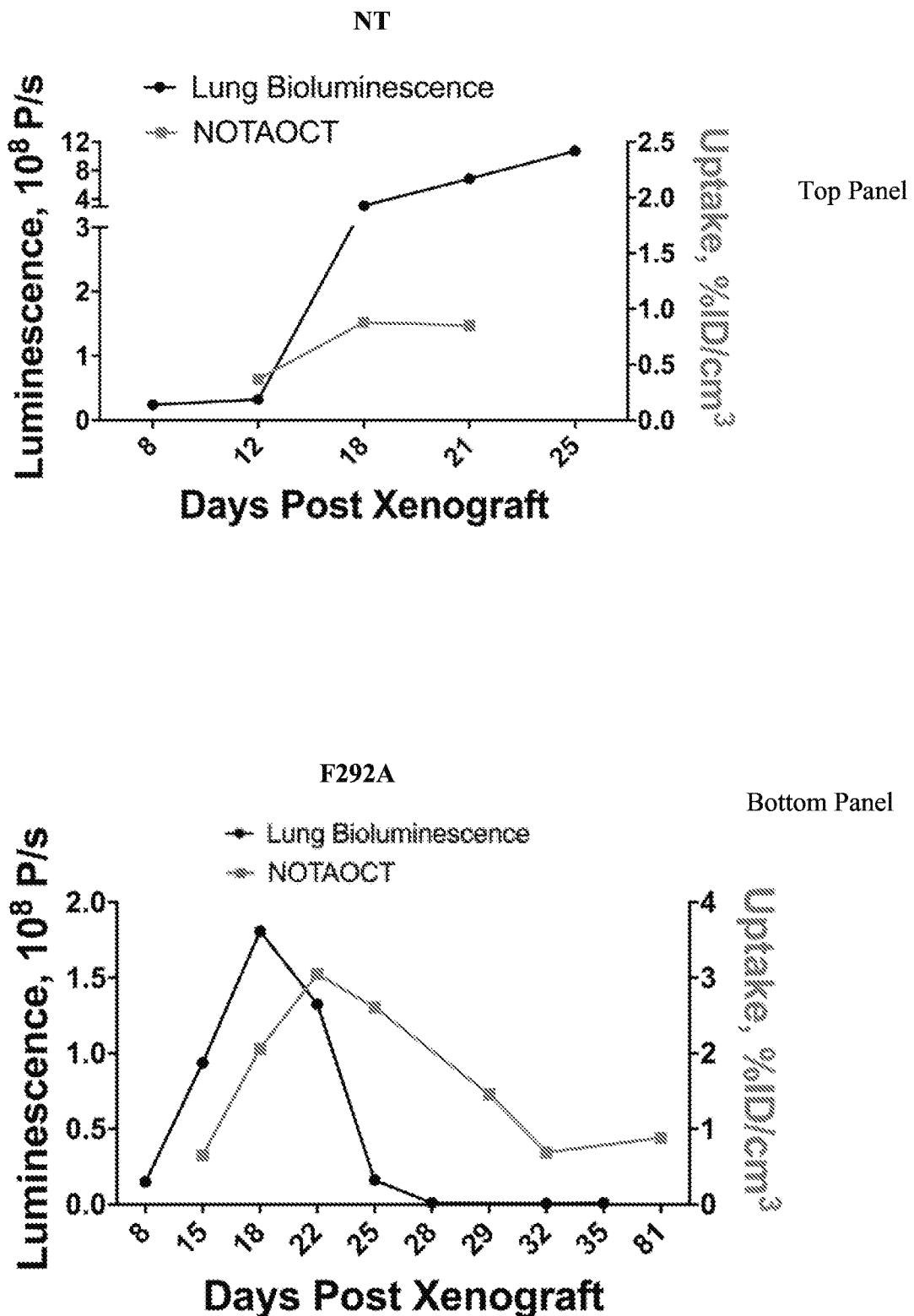


FIG. 4C

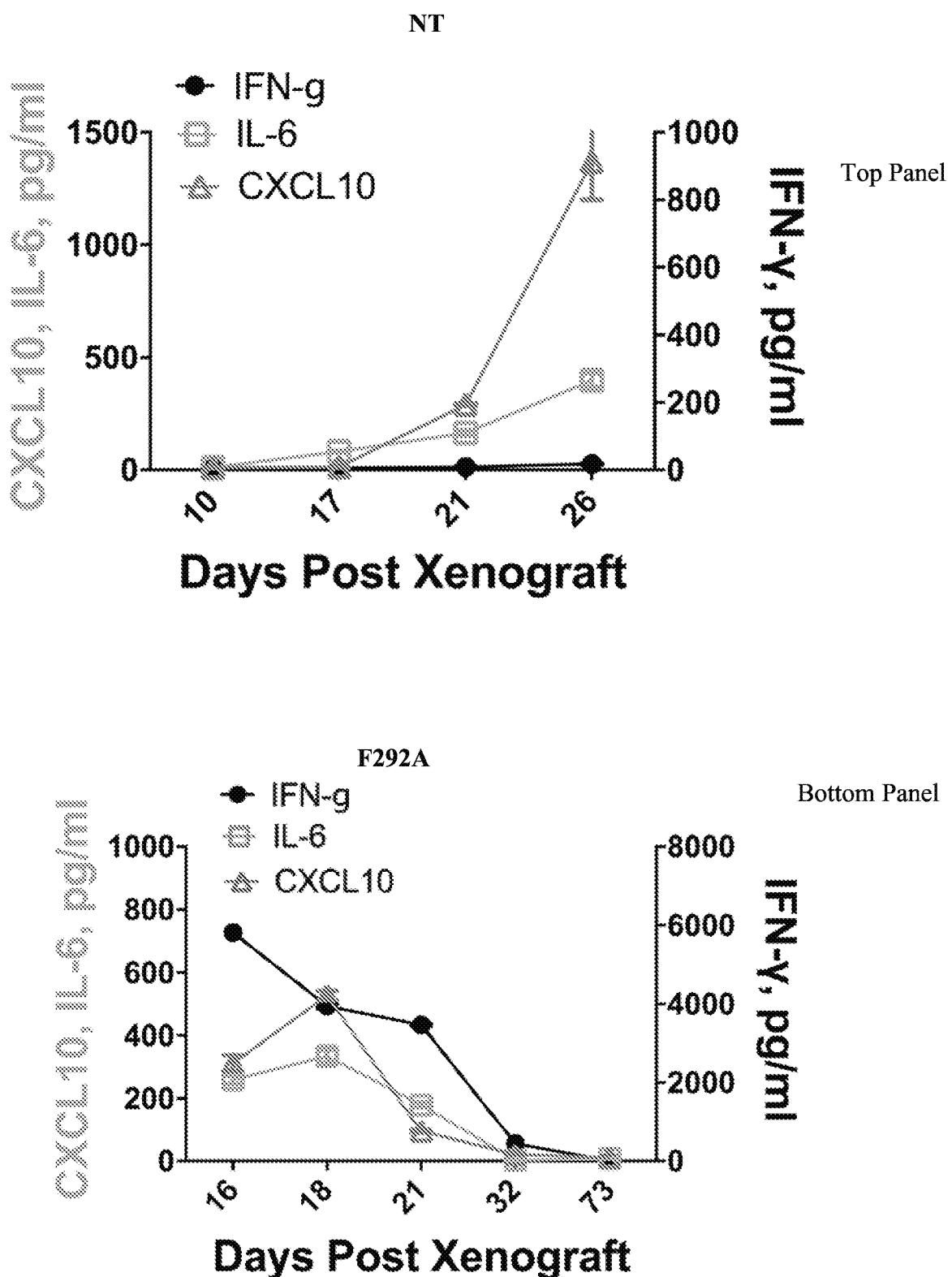


FIG. 4D

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/46630

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A01N 63/00; A61K 48/00; C12N 5/071; C07K 1/00; C12N 15/00; C07H 21/04 (2017.01)  
 CPC - C12N 5/0636; A61K 35/17; A61K 35/12; A61K 2035/124; C07K 2319/00; C12N 15/63; C12N 5/10; C12N 15/62

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History Document

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2014/0242701 A1 (MIE UNIVERSITY et al.) 28 August 2014 (28.08.2014), Abstract, para [0003], [0004], [0005], [0025], [0027], [0054], [0055], [0068], [0084], [0095], and [0126]	1-11
Y	US 2008/0311130 A1 (JIN et al.) 18 December 2008 (18.12.2008), para [0009], [0011], [0013], [0029], [0035], [0042], [0045], [0050], [0062], [0063], [0163], [0164], and SEQ ID NO: 1 (1170 a.a.), the region between amino acid residues 26 -1170	1-11
A	US 2015/0139943 A1 (NATIONAL UNIVERSITY OF SINGAPORE et al.) 21 May 2015 (21.05.2015), Abstract	1-11
A	SADELAIN et al., The Basic Principles of Chimeric Antigen Receptor Design. Cancer Discov. 2013, Vol. 3(4), p. 388-98. Entire documentation, especially Abstract; and pg 389, Fig 1	1-11
A	WEITZ-SCHMIDT et al., Improved Lymphocyte Function-associated Antigen-1 (LFA-1) Inhibition by Statin Derivatives. J Biol Chem. 2004, Vol. 279(45), p. 46764-71. Entire documentation, especially Abstract	1-11

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

25 September 2017

Date of mailing of the international search report

24 NOV 2017

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**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 17/46630

**Box No. I Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)**

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of a sequence listing:
  - a.  forming part of the international application as filed:  
 in the form of an Annex C/ST.25 text file.  
 on paper or in the form of an image file.
  - b.  furnished together with the international application under PCT Rule 13*ter*.1(a) for the purposes of international search only in the form of an Annex C/ST.25 text file.
  - c.  furnished subsequent to the international filing date for the purposes of international search only:  
 in the form of an Annex C/ST.25 text file (Rule 13*ter*.1(a)).  
 on paper or in the form of an image file (Rule 13*ter*.1(b) and Administrative Instructions, Section 713).
2.  In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that forming part of the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
3. Additional comments:

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 17/46630

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, Claims 1-11, directed to a Chimeric antigen receptor (CAR), or T cells or natural killer cells modified to express the CAR.

Group II, claims 12-13, directed to an adoptive cell therapy method for treating cancer.

The inventions listed as Groups I-II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

\*\*\*\*\*Continued in the extra sheet\*\*\*\*\*

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-11

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 17/46630

Continuation of:  
Box No III (unity of invention is lacking)

**Special Technical Feature**

Groups I-II are related as a product (Group I) and a method of using the product (Group II).

Group II includes the special technical feature of an adoptive cell therapy method for treating cancer, not required by Group I.

**Common Technical Features**

The inventions of Groups I-II share the technical feature of a Chimeric antigen receptor (CAR) comprising from N-terminus to C-terminus:

- (i) an I domain of the alpha.L subunit of human lymphocyte function-associated antigen-I,
- (ii) a transmembrane domain,
- (iii) at least one co-stimulatory domains, and
- (iv) an activating domain (claim 1); and

--T cells or natural killer cells modified to express the CAR.

However, these shared technical features do not represent a contribution over prior art as being obvious over US 2014/0242701 A1 to MIE UNIVERSITY et al. (hereinafter 'MIE'), in view of US 2008/0311130 A1 to JIN et al. (hereinafter 'Jin') as follows:

MIE discloses a Chimeric antigen receptor (CAR) comprising from N-terminus to C-terminus (Abstract; para [0054] - 'CAR ...comprises an extracellular domain capable of binding to an antigen,... in this order from the N-terminal side'; para [0003] - 'chimeric antigen receptor (CAR)'; para [0068] - 'CARs ... linked in this order from the N-terminal side ... C-terminal side'; para [0095] - 'FIG. 1A, referred to as anti-CEA-28z-CAR... anti-CEA monoclonal antibody ... CD28 transmembrane domain ... a CD28 intracellular domain ... and a CD3.zeta, intracellular domain', wherein each 'domain' in the list is from 'N-terminus to C-terminus'; Fig 1A, wherein 'Anti-CEA-28z-Car' comprising domains from left to right that is from N-terminus to C-terminus):

(i) an extracellular domain derived from lymphocyte function-associated antigen-1 (para [0054] - 'CAR ...comprises an extracellular domain capable of binding to an antigen'; para [0055] - 'extracellular domain capable of binding to an antigen... for the CAR ... extracellular domains derived from ...CD11A', wherein 'CD11A' is 'lymphocyte function-associated antigen-1'; Please see Specification: pg 5, ln 20-21 - "'Lymphocyte function-associated antigen-I", "LFA-1"..."CD18/CD11a" refers to a member of the leukocyte integrin subfamily'; Please also see Wikipedia\_CD11a: - 'Integrin, alpha L (antigen CD11A (p180), lymphocyte function-associated antigen 1; alpha polypeptide)'; the reference is not be provided as it is a common knowledge),

(ii) a transmembrane domain (para [0054] - 'CAR .. comprises an extracellular domain capable of binding to an antigen, a transmembrane domain,... in this order from the N-terminal side'),

(iii) at least one co-stimulatory domains (para [0054] - 'CAR .. comprises an extracellular domain capable of binding to an antigen, a transmembrane domain, and at least one intracellular domain in this order from the N-terminal side'; para [0068] - 'a CAR comprising an intracellular domain of CD28, an intracellular domain of CD3.zeta', wherein 'an intracellular domain of CD28' is 'at least one co-stimulatory domains'; para [0005] - 'wherein an intracellular domain of CD28 which is a costimulatory molecule of a T cell is linked to a first generation CAR. ...a CAR, an intracellular domain derived from every costimulatory molecule of a T cell'; para [0095] - 'FIG. 1A, referred to as anti-CEA-28z-CAR... anti-CEA monoclonal antibody which binds to a cancer antigen CEA (carcinoembryonic antigen) ...pMS3-CEA-28z-CAR vector expressing a CAR in which the CD28 intracellular domain is arranged on an N-terminal side relative to the CD3.zeta', wherein the antigen binding domain 'CEA' can be replaced by 'CD11A'; para [0055] - 'extracellular domains derived from ...CD11A', and

(iv) an activating domain (para [0054] - 'CAR .. comprises an extracellular domain capable of binding to an antigen, a transmembrane domain, and at least one intracellular domain in this order from the N-terminal side'; para [0068] - ' a CAR comprising an intracellular domain of CD28, an intracellular domain of CD3.zeta', wherein 'CD3.zeta' is 'an activating domain'; para [0004] - 'a transmembrane domain, and an intracellular domain of a TCR complex CD3.zeta. that activates a T cell'; para [0095] - 'FIG. 1A, referred to as anti-CEA-28z-CAR... anti-CEA monoclonal antibody which binds to a cancer antigen CEA (carcinoembryonic antigen) ...CD28 transmembrane domain ...a CD28 intracellular domain ...and a CD3.zeta, intracellular domain ...pMS3-CEA-28z-CAR vector expressing a CAR in which the CD28 intracellular domain is arranged on an N-terminal side relative to the CD3.zeta', wherein 'the CD28 intracellular domain' is 'at least one co-stimulatory domains' and 'CD3.zeta' is 'an activating domain', and wherein the antigen binding domain 'CEA' can be replaced by 'CD11A'; Fig 1A, wherein 'Anti-CEA-28z-Car' comprising domains from left to right that is from N-terminus to C-terminus, and wherein the antigen binding domain 'CEA' can be replaced by 'CD11A'; para [0055] - 'extracellular domains derived from ...CD11A'; Please also see US 2015/0139943 A1 to NATIONAL UNIVERSITY OF SINGAPORE et al.: Abstract - 'chimeric receptors comprising an extracellular domain ... a transmembrane domain ... least one co-stimulatory signaling domain ...and a cytoplasmic signaling domain comprising an immunoreceptor tyrosine-based activation motif (ITAM) (e.g., a cytoplasmic signaling domain of CD3.zeta)').

MIE further discloses wherein the extracellular domain binds to an associated antigen (Abstract - 'a chimeric antigen receptor comprising an extracellular domain capable of binding to an antigen'; para [0054]).

MIE does not specifically teach wherein the 'extracellular domain derived from lymphocyte function-associated antigen-1' is 'I domain of the a,L subunit of human lymphocyte function-associated antigen-1'. Jin discloses a composition comprising an I domain of the a,L subunit of human lymphocyte function-associated antigen-1 (LFA-1) (para [0011] - 'a pharmaceutical composition comprising comprising an integrin .alpha..sub.L I domain polypeptide or biologically active portion thereof'; para [0063] - 'The .alpha..sub.L integrin I domain polypeptide, ...from mammals being particularly preferred. ... from humans'; para [0035] - 'Lymphocyte function-associated antigen-1... "LFA-1", ".alpha..sub.L..beta..sub.2 integrin" or "CD18/CD11a" refers to a member of the leukocyte integrin subfamily') for treating LFA-1 mediated disorders (Abstract - 'a pharmaceutical composition comprising an integrin ... treating or preventing an LFA-1 mediated... disease such as inflammation'; para [0011] - 'to treat or prevent an LFA-1 mediated ... disease, comprising administering to a subject in need thereof a pharmaceutical composition comprising comprising an integrin .alpha..sub.L I domain polypeptide'; para [0035] - 'Lymphocyte function-associated antigen-1... "LFA-1", ".alpha..sub.L..beta..sub.2 integrin" or "CD18/CD11a" refers to a member of the leukocyte integrin subfamily').

\*\*\*\*\*Continued in the next extra sheet\*\*\*\*\*

**INTERNATIONAL SEARCH REPORT**

International application No.

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## Continuation of:

The previous extra sheet - Box No III (unity of invention is lacking)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of MIE and Jin, to obtain a Chimeric antigen receptor (CAR) comprising from N-terminus to C-terminus: (i) an extracellular domain derived from lymphocyte function-associated antigen-1, (ii) a transmembrane domain, (iii) at least one co-stimulatory domains, and (iv) an activating domain, as taught by MIE, and further wherein the 'extracellular domain derived from lymphocyte function-associated antigen-1' is 'I domain of the  $\alpha_L$  subunit of human lymphocyte function-associated antigen-1', based on the combination of Jin and MIE, in order to combine methods and antigen binding domains available in the art for facilitating obtaining a Chimeric antigen receptor (CAR) for treating a targeted disorder with a desired effect and without undue experimentation.

MIE further discloses T cells modified to express the CAR (para [0025] - 'a chimeric antigen receptor-expressing cell...wherein the cell is a T cell or a cell population containing a T cell'; para [0003] - 'gene-modified T cell therapy comprises introducing a nucleic acid encoding a chimeric antigen receptor (CAR) into a T cell'; para [0027] - 'chimeric antigen receptor-expressing ...wherein the cell is a T cell or a cell population containing a T cell').

Without a shared special technical feature, the inventions lack unity with one another.

Groups I-II therefore lack unity under PCT Rule 13 because they do not share a same or corresponding special technical feature.

## Note:

I) Claim 3 is objected as lacking a definition for the first appeared abbreviation "ICAM-1" limitation in claims. For the purposes of this ISR, "ICAM-1" in claim 3 is rewritten as "Intercellular adhesion molecule-1 (ICAM-1)", based on the Specification (Specification: pg 5, In 12 - "Intercellular adhesion molecule-1" or "ICAM-1").

II) Claims 8 contains multiple biomarkers. However there is no full name for each biomarker disclosed.