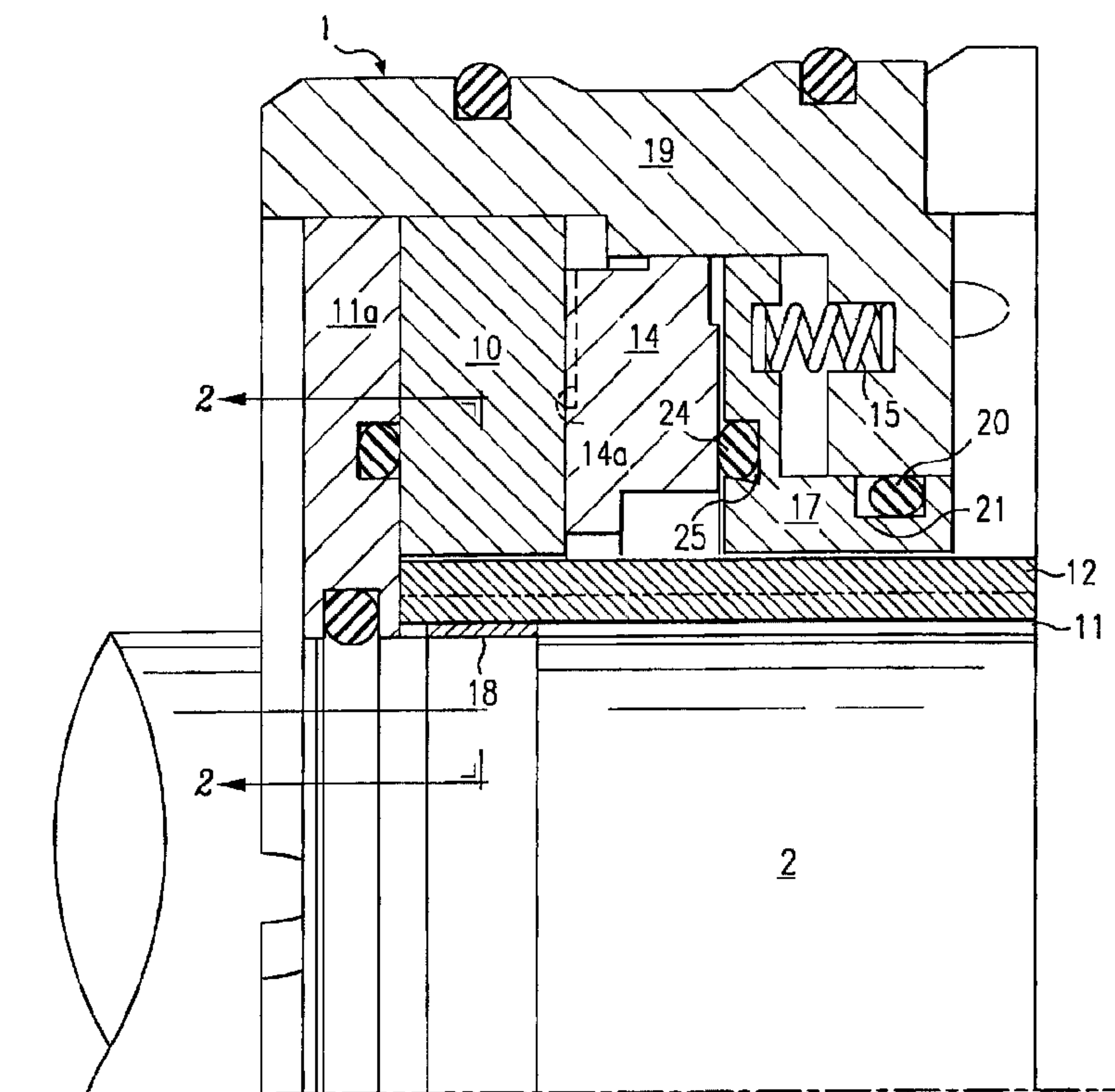




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 (72) Inventeurs/Inventors:  
 AUBER, PHILIPPE JACQUES, FR;  
 RABUTEAU, MICHEL EMILE MARIE, FR  
 (73) Propriétaire/Owner:  
 DRESSER-RAND COMPANY, US  
 (74) Agent: FETHERSTONHAUGH & CO.

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(57) Abrégé/Abstract:

The present invention relates to a shaft seal (1) comprising a sealing element (14) mounted co-axially to a rotary sealing ring (10) to form a primary seal between opposed faces thereof to substantially prevent fluid flow across the primary seal. The sealing ring (10) is mounted about the shaft (2) on an inner sleeve (11). The inner sleeve is fixed to the shaft to be axially and rotationally fast. The sealing ring (10) is connected to the inner sleeve (11) by half-moon shaped locking members (12) extending through the inner sleeve (11) outwardly into the sealing ring (10). The locking members (12) lock the sealing ring (10) rotationally and axially fast on the inner sleeve (11).

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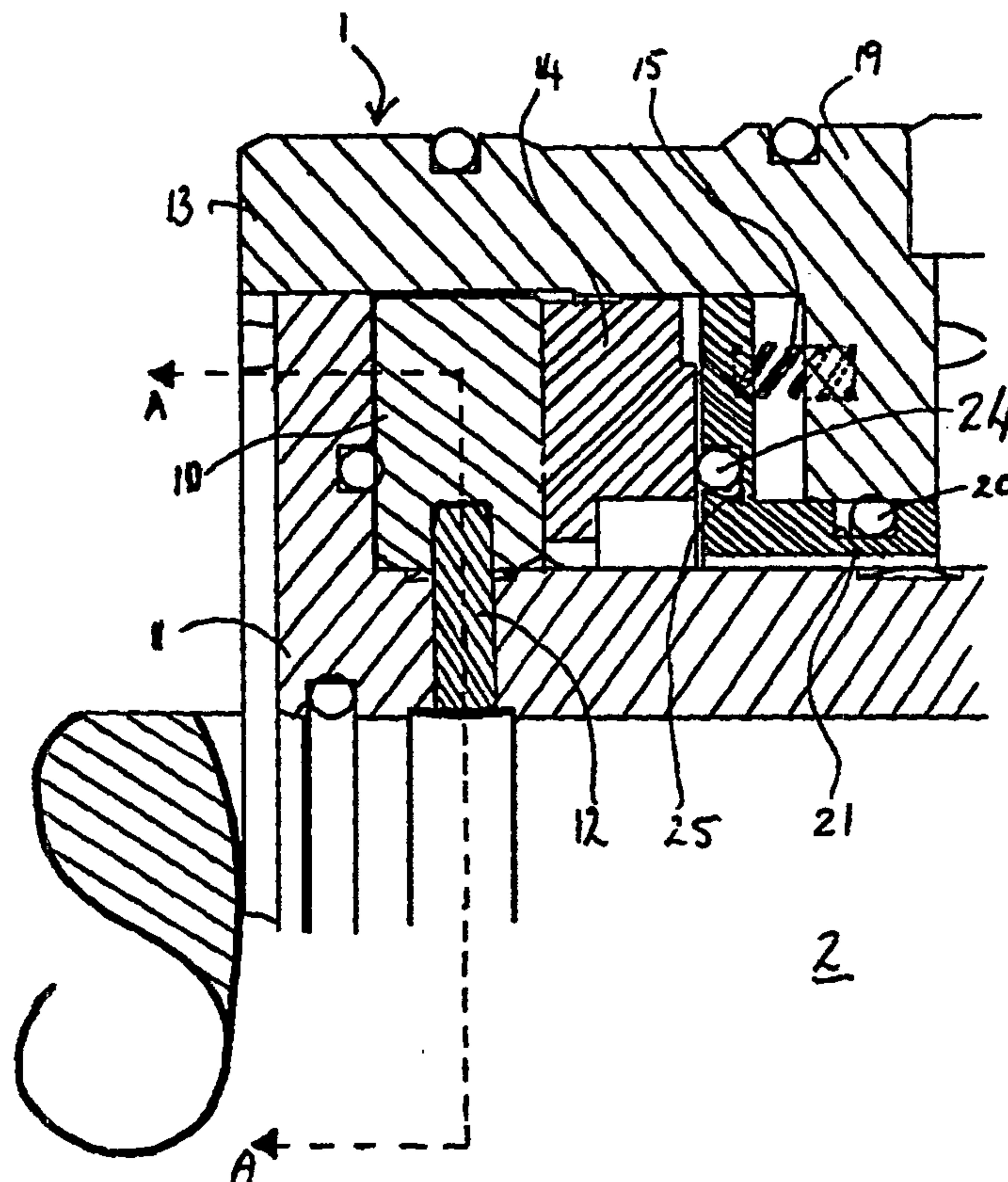
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<p>(21) International Application Number: PCT/GB96/00940</p> <p>(22) International Filing Date: 22 April 1996 (22.04.96)</p> <p>(30) Priority Data: 9508083.4                      20 April 1995 (20.04.95)                      GB</p> <p>(71) Applicants (for all designated States except US): DRESSER-RAND COMPANY [US/US]; Baron Steuben Place, Corning, NY 14830 (US). HOLDCROFT, James, Gerald [GB/GB]; 4 Cranmer Road, Riverhead, Sevenoaks, Kent TN13 2AT (GB).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): AUBER, Philippe, Jacques [FR/FR]; 33, rue Mozart, F-76620 Le Havre (FR). RABUTEAU, Michel, Emile, Marie [FR/FR]; 13, passage Candon, F-76310 Saine-Adresse (FR).</p> <p>(74) Agent: HOLDCROFT, James, Gerald; Graham Watt &amp; Co., Riverhead, Sevenoaks, Kent TN13 2BN (GB).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report.</i></p>	

(54) Title: A SHAFT SEAL

## (57) Abstract

The present invention relates to a shaft seal (1) comprising a sealing element (14) mounted co-axially to a rotary sealing ring (10) to form a primary seal between opposed faces thereof to substantially prevent fluid flow across the primary seal. The sealing ring (10) is mounted about the shaft (2) on an inner sleeve (11). The inner sleeve is fixed to the shaft to be axially and rotationally fast. The sealing ring (10) is connected to the inner sleeve (11) by half-moon shaped locking members (12) extending through the inner sleeve (11) outwardly into the sealing ring (10). The locking members (12) lock the sealing ring (10) rotationally and axially fast on the inner sleeve (11).



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A GAS SEAL WITH LOCKING ARRANGEMENT

The invention relates to a shaft seal for rotating shafts in turbo-machines, in particular but not exclusively to non-contacting shaft seals.

This type of shaft seal is often used with machinery for the pumping of gas (nitrogen, argon, hydrogen, natural gas, air, etc.) where the transmission of gas along the shaft needs to be prevented. Due to the high-pressure, high-speed machinery which is normally used, the shaft seals may be non-contact type seals, in order to reduce heat build up in the seals and the wear of the sealing parts.

Non-contacting operation avoids this undesirable face contact when the shaft is rotating above a certain minimum speed, which is often called a lift-off speed.

Non-contacting shaft seals provide advantages over seals where the sealing surfaces contact one another due to reduction in wear and the lower heat generation. Articles entitled "Fundamentals of Spiral Groove Non-contacting Face Seals" by Gabriel, Ralph P. (Journal of American Society of Lubrication Engineers Volume 35, 7, pages 367-375, and "Improved Performance of Film-Riding Gas Seals Through Enhancement of Hydrodynamic Effects" by Sedy, Joseph (Transactions of the American Society of Lubrication

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Engineers, Volume 23, 1 pages 35-44) describe non-contacting seal technology and design criteria.

As with ordinary mechanical seals, a non-contacting face seal consists of two sealing rings, each of which is provided with a very precisely finished sealing surface.

These surfaces are tapered-shape perpendicular to and concentric with the axis of rotation. Both rings are positioned adjacent to each other with the sealing surfaces in contact at conditions of zero pressure differential and zero speed of rotation. One of the rings is normally fixed to the rotatable shaft by means of a shaft sleeve, the other is located within the seal housing structure and allowed to move axially. To enable axial movement of the sealing ring and yet prevent leakage of the sealed fluid, a sealing member is placed between the ring and the housing. This sealing member must permit some sliding motion while under pressure, therefore normally a top quality O-ring is selected for that duty. This O-ring is often called the secondary seal.

As mentioned above, to achieve non-contacting operation of the seal, one of the two sealing surfaces in contact is provided with shallow surface recesses, which act to generate pressure fields that force two sealing surfaces apart. When the magnitude of the forces resulting

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from these pressure fields is large enough to overcome the forces that urge seal faces closed, the sealing surfaces will separate and form a clearance, resulting in non-contacting operation. As explained in detail in the above-  
5 referenced articles, the character of the separation forces is such that their magnitude decreases with the increase of face separation. Opposing or closing forces, on the other hand, depend on sealed pressure level and as such are independent of face separation. They result from the  
10 sealed pressure and the spring force acting on the back surface of the axially movable sealing ring. Since the separation or opening force depends on the separation distance between sealing surfaces, during the operation of the seal or on imposition of sufficient pressure  
15 differential equilibrium separation between both surfaces will establish itself. This occurs when closing and opening forces are in equilibrium and equal to each other. Equilibrium separation constantly changes within the range of gaps. The goal is to have the low limit of this range  
20 above zero. Another goal is to make this range as narrow as possible, because on its high end the separation between the faces will lead to increased seal leakage. Since non-contacting seals operate by definition with a clearance between sealing surfaces, their leakage will be higher than  
25 that of a contacting seal of similar geometry. Yet, the

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absence of contact will mean zero wear on the sealing surfaces and therefore a relatively low amount of heat generated between them. It is this low generated heat and lack of wear that enables the application of non-contacting  
5 seals to high-speed turbomachinery, where the sealed fluid is gas. Turbocompressors are used to compress this fluid and since gas has a relatively low mass, they normally operate at very high speeds and with a number of compression stages in series.

10 As explained in the above-referenced articles, the effectiveness of the seal is largely dependent upon the so-called balance diameter of the seal. This is also true for contact seals.

When pressure is applied from the outside diameter of  
15 the seal, reduction of the balance diameter results in a greater force pushing the two sealing faces together and so a smaller gap between the faces. Thus, less gas is leaked from the system.

In practical installations, the aim is to achieve the  
20 greatest degree of sealing in the space available. In achieving this aim, one crucial factor is the radial extent of the primary seal.

However, the angular speed of the outer diameter of the primary seal also limits the effectiveness of the seal  
25 and the speed should not be too high.

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Often, during the life of a particular installation, it is desirable to increase the working pressures, but this is limited to the size of the seal which can be fitted in the seal housing.

5 Normally, the rotary sealing ring is prevented from axial movement locating the sealing ring between a radial flange of an inner sleeve to which it is fixed for rotation with the shaft and an axial locking sleeve surrounding the inner sleeve abutting the sealing face of the sealing ring.

10 It has been proposed to axially and rotationally lock the rotary sealing ring on the outer diameter thereof to direct drive and centring forces inwardly to improve the tensile stress generated in the seal in US 5,388,843. This is accomplished by fixing the outside diameter of the  
15 sealing ring to a shroud portion which shroud portion extends axially from a radial flange of the inner sleeve. A tab arrangement is used to fix the sealing ring to the shroud portion.

The present invention seeks to provide a shaft seal in  
20 which a greater sealing effect is provided.

According to a first aspect of the present invention there is provided a shaft seal comprising a sealing element juxtaposed to a rotary sealing ring mounted on an inner sleeve for fitting around the shaft, a socket being  
25 provided extending from inside the sleeve outwards into the

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sealing ring, and a locking member adapted to be fitted into the socket to lock the sealing ring axially and rotationally fast on the sleeve.

According to a second aspect of the present invention  
5 there is provided an assembly for fitting to a shaft seal comprising a sealing ring, a shaft sleeve and a locking member, wherein the shaft member is adapted to fit around the shaft sleeve to define a socket extending outwards from the inside the shaft sleeve into the of sealing ring and  
10 the locking member to fit into the socket to lock the sealing ring axially and rotationally fast to the inner sleeve.

Advantageously, the diameter of the primary seal faces can be increased because the radial space is no longer  
15 taken by the axial-locking sleeve previously used for axially locking the rotary ring and/or the shroud portion of the inner sleeve which extends axially outside the outside diameter of the primary seal from which pins drive and/or axially lock the rotary ring. Furthermore, because  
20 the locking member extends through an axially extending face of the rotary ring, the present invention can be applied to reversible rotary sealing rings. This invention can be retro-fitted to an existing shaft seal.

Typically, there will be a plurality, e.g. three,  
25 locking members fitted into associated sockets on the shaft

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seal. Further, the socket(s) may extend completely through the inner sleeve. In this case, a tolerance ring extending around the shaft holds the locking member in the socket. Preferably, the socket is formed through the ring/sleeve  
5 when these parts are fixed together to ensure reliable locking by the locking member.

Advantageously, the locking member are half-moon (semi-circular). This provides smoother force transmittal to reduce unbalancing forces affecting the shaft seal.

10 Preferably, the sleeve includes a flange portion extending radially outwards, but does not include a shroud portion extending axially from the outside of the flange portion. Thereby a larger primary seal can be fitted into the same housing as the prior art without the use of a  
15 axial locking sleeve.

During a typical period of operation, a turbocompressor is started and the power unit starts the shaft rotating. At the initial warm-up stage of operation, shaft speeds may be quite low. Typically, oil is used to  
20 support the shaft at its two radial bearings and one thrust bearing. Oil warms up in oil pumps and also accepts shear heat from compressor bearings. The oil together with process fluid turbulence and compression in turn warm-up the compressor. Once the full operating speed is reached,  
25 the compressor reaches in time some elevated equilibrium

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temperature. On shutdown, shaft rotation stops and the compressor begins to cool down. In this situation, various components of the compressor cool down at different rates and, importantly, the shaft contracts with decreasing temperature at a different rate than the compressor casing. These prior art secondary seal arrangements can be found for example in US Patent Nos. 4,768,790; 5,058,905 or 5,071,141. The term used often in the industry for this phenomenon is "seal face hang-up". Often there is a very high leakage of process fluid the next time the compressor is restarted and often in such cases the seal will resist all attempts to reseal it. The seal must then be removed and replaced at a considerable cost in time and lost production.

US 5,370,403 and EP-A-0,519,586 describe methods of reducing seal face hang up by attempting to prevent movement of the secondary seal.

Preferably, the present invention is fitted to a shaft seal in which the sealing element is mounted co-axially to the sealing ring to form a primary seal between opposed faces thereof to substantially prevent fluid flow across the primary seal from a high-pressure radial side to a low-pressure radial side, the sealing element being urged axially towards the rotary ring by a biasing means acting between a pusher sleeve connected to the sealing element

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and a seal housing with a secondary sleeve formed therebetween by a sealing member located in a channel in the part on the low-pressure radial side.

By providing the secondary sealing member in the part  
5 radially distant from the high pressure source, the primary seal quickly provides an effective barrier. Further, during start-up of the seal, the problem of seal face hang-up is greatly reduced or even eliminated. The inventor considers that the improvements due when the secondary  
10 sealing member slides axially during start-up of the machine, the frictional engagement of the seal member with the pusher sleeve and housing surfaces, alters the balance diameter of the shaft seal to where the frictional engagement occurs. Consequently, due to the sealing member  
15 being located in the low pressure side, the balance diameter is altered towards providing increasing the closing force in the primary seal. Normally, the friction between the housing and pusher sleeve would be aligned along the equilibrium balance diameter of the shaft seal.

20 This invention is particularly applicable to non-contact seals.

Preferably, the pusher sleeve is a L-shaped part separate from the sealing element. The spring (biasing means) acts between the housing and one leg of the L-shaped  
25 sleeve parallel to the back face of the sealing element.

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The secondary sealing is formed between a face of the housing and the other leg of the L-shaped sleeve. Preferably the other leg of the sleeve is radially inward of the housing.

5           Advantageously, a further seal is provided by an O-ring between the pusher sleeve and the sealing element, wherein the further seal O-ring is located in a half dovetail channel. Normally, the further O-ring is provided between the pusher sleeve and the sealing element in a  
10 square cut or dovetail channel provided in the sleeve. The dove-tailed channel is often used so that the O-ring can be reliably installed in the seal. However, during shutdown of the seal, the seal is often blown out of the channel due to pressure build up in the dove-tailed channel which cannot  
15 otherwise be vented. The preferred half dove-tailed shape allows a limited movement of the O-ring allowing pressure to escape from the channel. Due to the very high cost associated with the seal strip down, this design is particularly advantageous.

20           According to one broad aspect of the present invention, there is provided a seal for a shaft, the seal comprising: an inner sleeve extending around, and mounted to, the shaft and having at least one slot formed therein, a rotary sealing ring mounted on and extending radially  
25 outwardly about the inner sleeve and having at least one slot formed therein in alignment with the slot of the inner sleeve to form a socket extending from inside the sleeve radially outwards into the sealing ring, a lock member adapted to be fitted into the socket to lock the sealing  
30 ring axially and rotationally on the sleeve.

Preferred embodiments of the present invention

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10a

will now be described with reference to the drawings in which:

Fig.1 shows a cross-sectional view of the top half of non-contact seal according to the present invention;

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Fig.2 shows a section along the line A-A of Fig.1;

Fig.3 shows a schematic representation of the primary seal of the present invention with a pressure profile overlaid;

5 Fig.4a shows a first alternative secondary seal arrangement;

Fig.4b shows a second alternative secondary seal arrangement.

10 The invention provides a shaft seal 1 about a shaft 2. Normally, two shaft seals (not shown) are provided in tandem along the second (downstream) seal forming a backup to the first seal on a shaft of a compressor, turbine or other pressurised machine.

15 The seal 1 includes a rotary sealing ring 10 mounted about the shaft 2 radially outwards of an inner sleeve 11 mounted about the shaft 2.

The inner sleeve 11 is coupled for rotation and axial locking with the shaft 2 and the sealing ring 10 is coupled  
20 for rotation to the inner sleeve 11 by means of a plurality of locking members 12, each of which extends through an associated socket formed by aligned slots in the sealing ring 10 and the inner sleeve 11. The inner sleeve 11 includes a radial flange.

25 The locking members 12 also prevent axial movement of

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the sealing ring 10. The socket is formed in the sleeve/ring at the same time to ensure a reliable alignment of the slots in the respective parts.

A tolerance ring 18 extends about the shaft 2 between the locking members 12 and the shaft 2.

As illustrated in Fig. 2, the locking members 12 are preferably half-moon shaped. This reduces the rotational drive producing forces which unbalance the shaft seal.

This arrangement of the sealing ring 10 on the inner sleeve 11 provides a smaller outside diameter to the primary seal compared to prior known seals producing the same sealing effect. Thus, the stresses produced in the seal 1 are lower, the weight of the seal is less and hence the leakage of the seal 1 is lowered.

A rotationally stationary sealing element 14 is mounted juxtaposed to the sealing ring 10. A primary seal is formed between radially extending sealing faces of the sealing ring 10 and the sealing element 14 opposed to one another. The sealing face of the sealing element 14 having shallow grooves cut into the front surface thereof to generate the required separation between the sealing faces. Of course the grooves could alternatively be formed in the rotary sealing ring 10 (as shown in Fig.3).

Preferred designs of the grooves are given in more detail in our co-pending application no. PCT/IB94/00379

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filed on 16 November 1994. The sealing element 14 is normally made from carbon or other suitable material.

The sealing element 14 is biased axially towards the sealing ring 10 by spring means 15 (shown partly in dotted outline. The sealing element 14 has a limited axial movement. Spring means 15 normally comprises a plurality (e.g. six) springs located about the shaft 2. The springs 15 provide relatively little force (typically about 50 N) compared to the separating forces generated by the seal when in use, but are enough to move the sealing faces into contact under no pressure conditions. The spring 15 acts through L-shaped pusher sleeve 17 to urge the back face of the sealing element 14 axially towards the sealing ring 10.

The spring 15 acts against an inward radially flange of housing 19 of the seal 1.

A high pressure gas is supplied from the housing 19 to the radially outer edge of the sealing ring 10 and the sealing element 14. This gas is normally a clean gas suitable for venting into the atmosphere rather than the working fluid of the machine, in which case the leakage can be piped to burn (flare).

The high pressure extends across the sealing face of the sealing element 14 and around the back face thereof.

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Secondary seals are provided to prevent the high pressure venting around the sealing element 14.

A first secondary seal is formed by O-ring 20 between the pusher sleeve 17 and the inwardly extending radial flange of the housing 19. Figs. 4a and 4b show alternative arrangements of the first secondary seal. Fig. 4a shows a first alternative where the first secondary seal is formed by an O-ring 20a and a back-up ring 20b. The back-up ring 20b can be made from e.g. Teflon, and is located on the low-pressure side of channel 21. Fig. 4b shows a second alternative where the first secondary seal is formed by a spring energised polymer seal 20.

The O-ring 20 is located in a channel 21 formed in the axially extending leg of the L-shaped pusher sleeve 17. The O-ring seals against the axially extending face of the radial flange of the housing 19. The adjacent faces of the pusher sleeve 17 and the radial flange of the housing 19 extends substantially along a line at the equilibrium balance diameter for the seal when operating at its equilibrium made. As the pusher sleeve leg is radially inwards from the radial flange of the housing 19 the effect of frictional engagement of the O-ring will only cause the balance diameter to decrease because the frictional engagement is either on or inside the equilibrium balance diameter. Reducing the actual balance diameter increases

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closing force on the primary seal.

Example.

5           A shaft seal sealing a 115 mm shaft pressured at 100  
bar. The equilibrium balance diameter is set to 150.8 mm  
at which a closing force of 400 to 500 N is generated at  
the primary seal. The first secondary seal O-ring 20 has  
a radial extent of about 3.5 mm (when new). If the  
10 frictional engagement between the O-ring 20 tended to  
increase the actual balance diameter during sliding  
movement with the O-ring 20, i.e. the prior art  
circumstance, the balance diameter could be increased to a  
maximum of 157.8 mm. At this balance diameter there is a  
15 net opening force on the primary seal of about 12000 N.  
This example assumes the case where the O-ring 20 fails to  
move in the channel 21. Normally, the O-ring 20 would have  
moved under lower pressures, but still the net opening  
force could be higher than the closing force of the springs  
20 (which is typically in the region of 50 N).

This is illustrated in Fig.3, which shows a schematic  
pressure profile overlaid on the primary seal of the shaft  
seal 1. The distance between the sealing ring 10 and the  
sealing element 14 has been exaggerated for illustrative  
25 purposes. The block headed arrow 30 depicts the high

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pressure source on the outside diameter of the seal.

Line a represents the pressure profile generated with the seal 1 running at stable conditions. The highest pressure is generated at the radially-inward end of the grooves (shown in this case on sealing ring 10) illustrated as line d. As can be seen this pressure is greater in stable running conditions shown as line a than the pressure at point f which corresponds to the opening pressure. The balance diameter is shown for this stable condition as line e, the equilibrium balance diameter.

Line b illustrates the present invention, showing the pressure profile during start-up of the machine. As the balance diameter is decreased the closing force illustrated by line b is higher than the stable-running pressure, line a, because the distance between the sealing ring 10 and the sealing element 14 is less. The seal is thus driven to its stable running conditions regardless of the frictional engagement of the O-ring 20.

Line c illustrates the prior art situation where the O-ring sticks and increases the balance diameter generated at start-up. Because the closing force never overcomes the opening force (H.P.) the seal is blown open and as will be appreciated, enough force is not generated to allow the primary seal to form.

In embodiments, not shown, where the high pressure

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extends radially inwards of the equilibrium balance diameter the equivalent O-ring of the first secondary seal can be located in a channel in the part radially outside the equilibrium balance diameter. In this case, the  
5 frictional engagement of the O-ring will tend to increase the actual balance diameter, which will again tend to increase the closing force generated at the primary seal.

The illustrated embodiment shows the O-ring 20 in the channel 21. This could be supplemented by a back-up ring,  
10 e.g. made from Teflon, on the low-pressure side of channel 21.

A further secondary seal is also formed between the back face of sealing element 14 and the radially-extending leg of pusher sleeve 15. O-ring 24 is located in a channel  
15 25 formed in the pusher sleeve 15 (but alternatively could be formed in the back face of the sealing element 14). The channel 25 is a half dove-tail channel. This shape of channel 25 prevents a pressure build up in the channel 25 which leads to the O-ring 24 being blown out of the channel  
20 25 during rapid depressurisation of the machine or other transient conditions. This shape of channel 25 could be used advantageously in all shaft seals housing using a similarly placed secondary seal.

Of course, standard designs of channel (not shown)  
25 could be used for the further secondary seal, but this is

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not preferred.

The alternative sealing means shown in Figs 4a and 4b could also be employed in all other locations where O-rings are use in the illustrated embodiments. The spring energised teflon "U" type seal shown in Fig. 4b is often used because of its good behaviour during decompression.

As mentioned above, tandem seals 1 are used along a shaft 2 with the second seal 1 acting as a back up to the first seal. One or more further seals could also be provided along the shaft if required.

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CLAIMS:

1. A seal for a shaft, the seal comprising:  
an inner sleeve extending around, and mounted to,  
the shaft and having at least one slot formed therein,  
5 a rotary sealing ring mounted on and extending  
radially outwardly about the inner sleeve and having at  
least one slot formed therein in alignment with the slot of  
the inner sleeve to form a socket extending from inside the  
sleeve radially outwards into the sealing ring,  
10 a lock member adapted to be fitted into the socket  
to lock the sealing ring axially and rotationally on the  
sleeve.
2. The seal of claim 1 wherein there are a plurality  
of aligned slots formed in the inner sleeve and the sealing  
15 ring to form a plurality of angularly-spaced sockets.
3. The seal of claim 1 wherein sealing ring is locked  
against axial and rotational movement relative to the  
sleeve.
4. The seal of claim 1 wherein the sleeve, and  
20 therefore the sealing ring, rotate with the shaft.
5. The seal of claim 1 wherein the socket and the  
locking member each has a half-moon cross-section in the  
radial plane.
6. The seal of claim 1 further comprising a tolerance  
25 ring extending around the shaft and between the locking  
member and the shaft.
7. The seal of claim 1 further comprising a flange  
extending radially outwardly from the sleeve.

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8. The seal of claim 1 further comprising a sealing element extending around the shaft and in a juxtaposed relation to the sealing ring to form a primary seal between opposed faces thereof to substantially prevent fluid flow across the primary seal from a high-pressure radial side to a low-pressure radial side.

9. The seal of claim 8 further comprising a biasing means for urging the sealing element axially towards the sealing ring.

10. The seal of claim 9 further comprising a pusher sleeve extending between the biasing means and the sealing element so that the biasing means urges the pusher sleeve, and therefore the sealing element, towards the sealing ring.

11. The seal of claim 10 further comprising a housing and a sealing member extending between the pusher sleeve and the housing to form a secondary seal.

12. The seal of claim 11 wherein the sealing member is disposed in a channel form in the pusher sleeve or the housing.

13. The seal of claim 10 further comprising a sealing member extending between the pusher sleeve and the sealing element.

14. The seal of claim 13 wherein the sealing member is disposed in a channel formed in the pusher sleeve or the sealing element.

15. The seal of claim 13 wherein the sealing member is an O-ring.

FETHERSTONHAUGH &amp; CO.

OTTAWA, CANADA

PATENT AGENTS

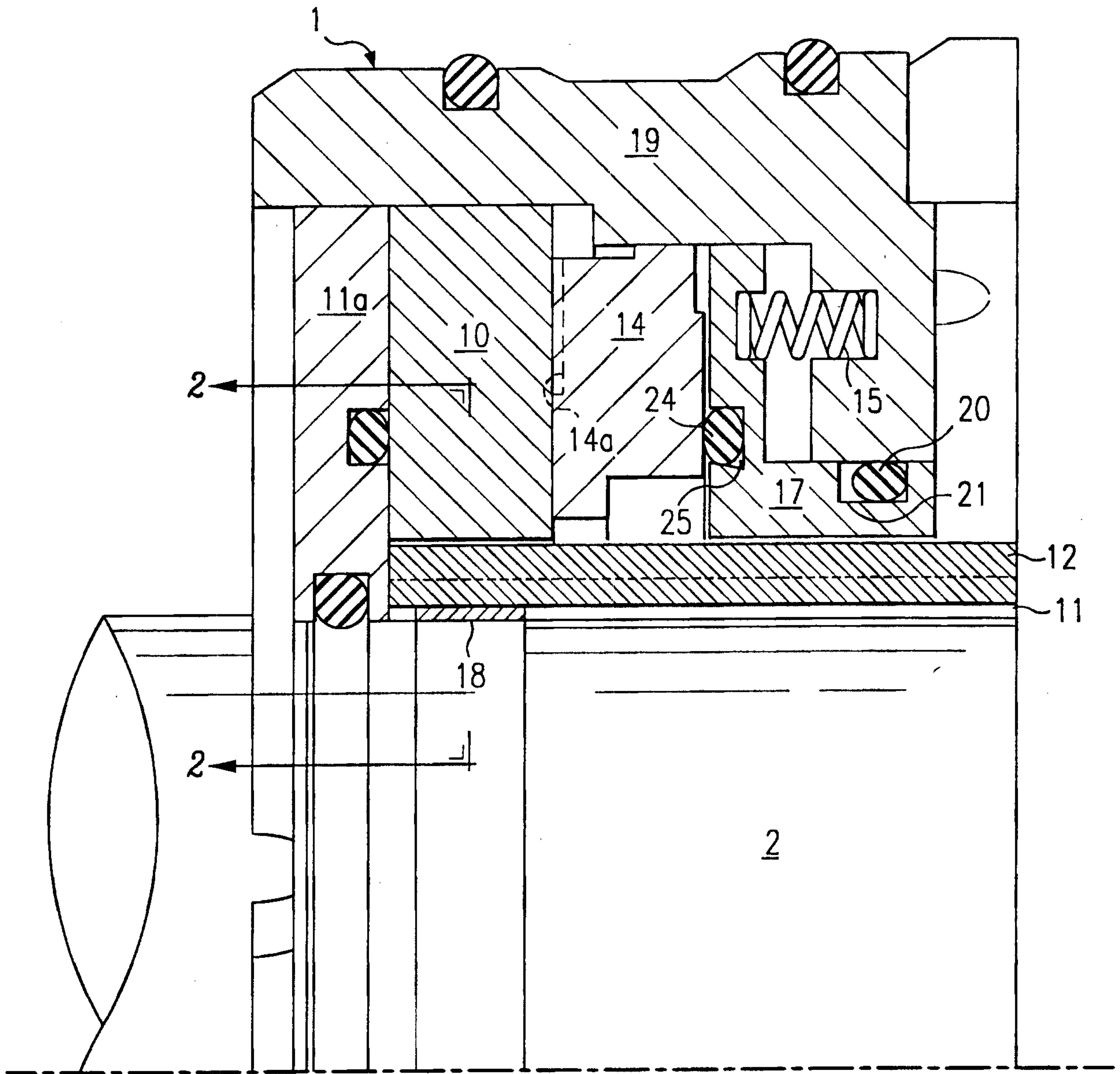


Fig. 1

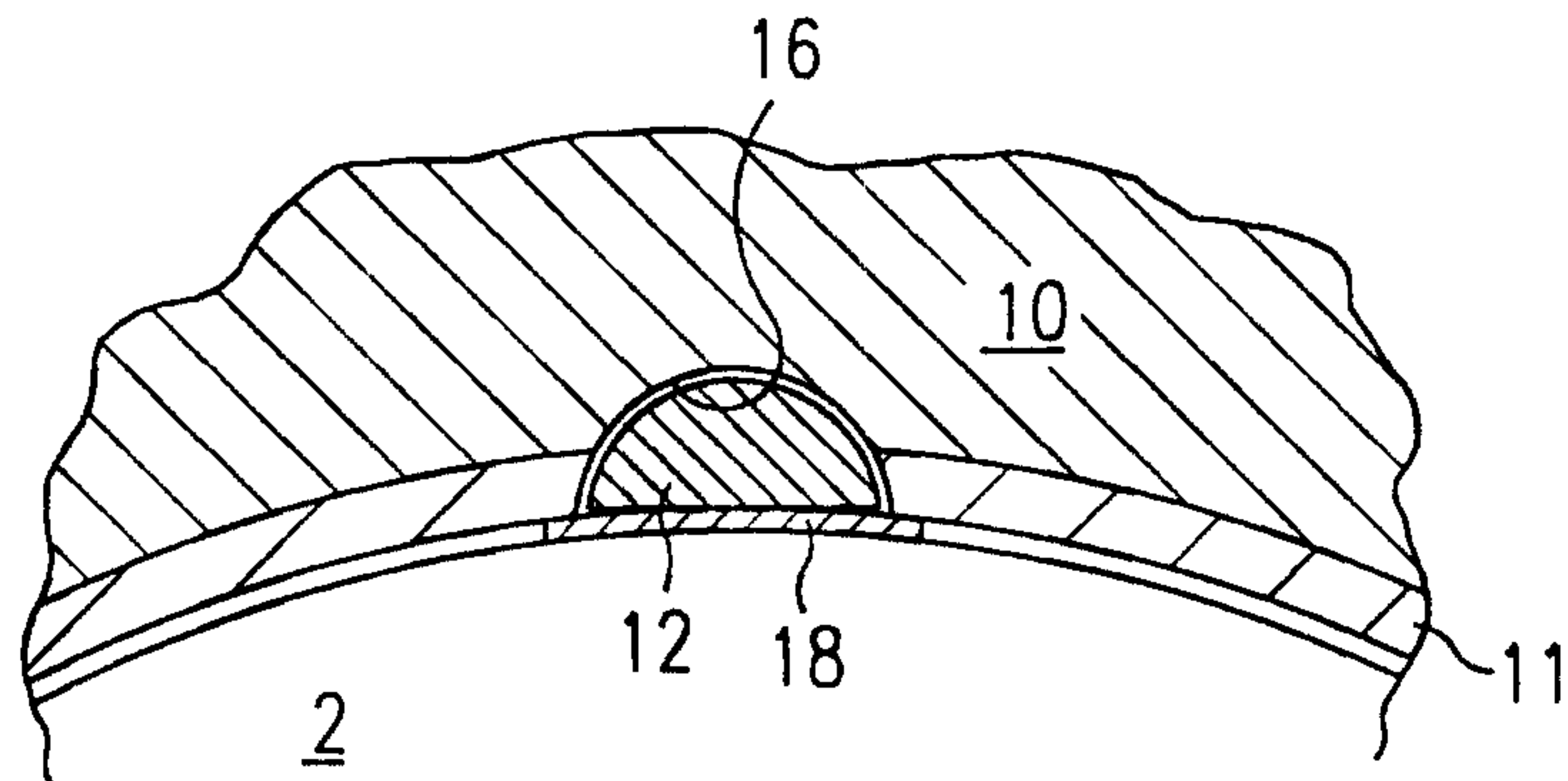


Fig. 2

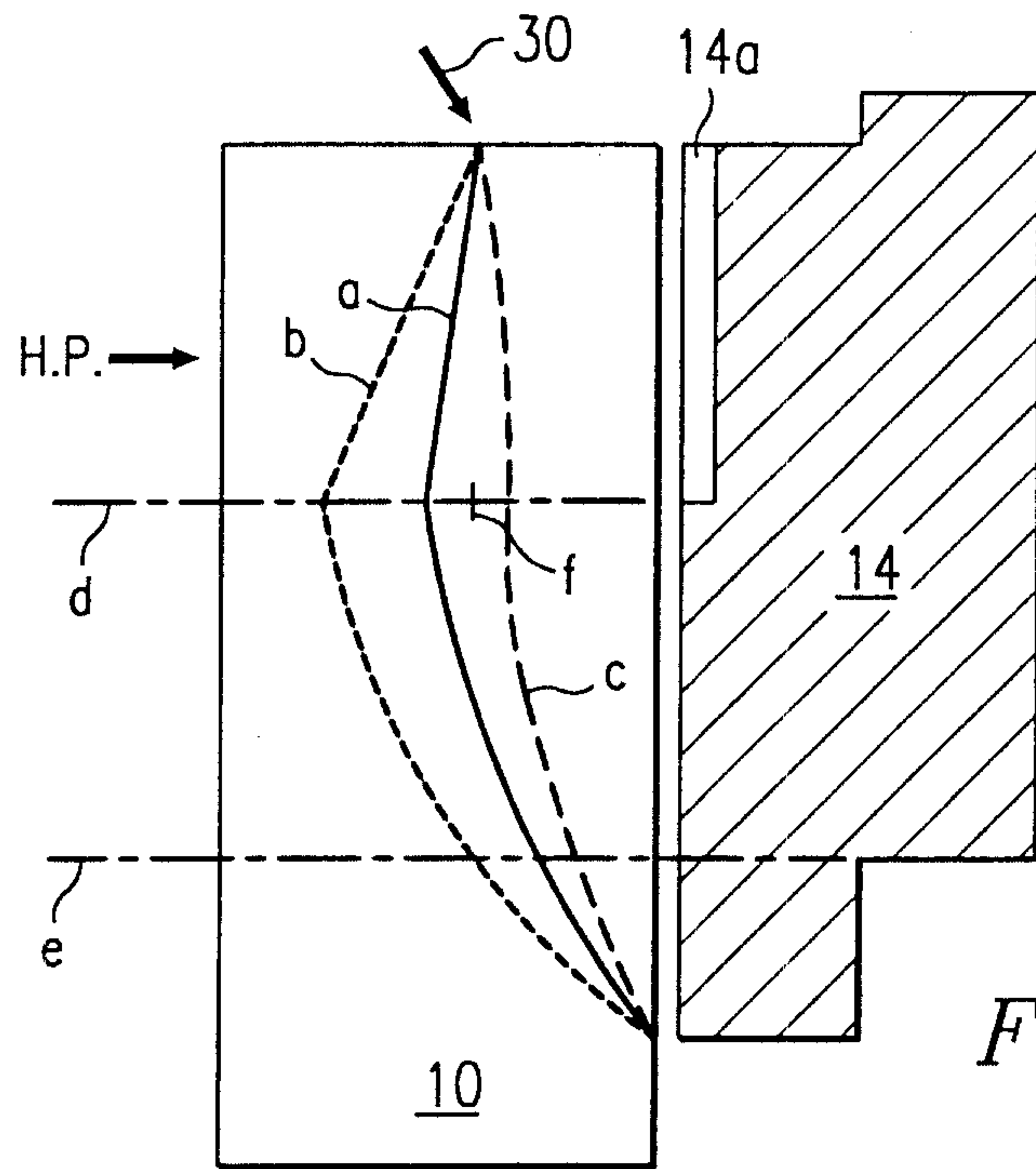


Fig. 3

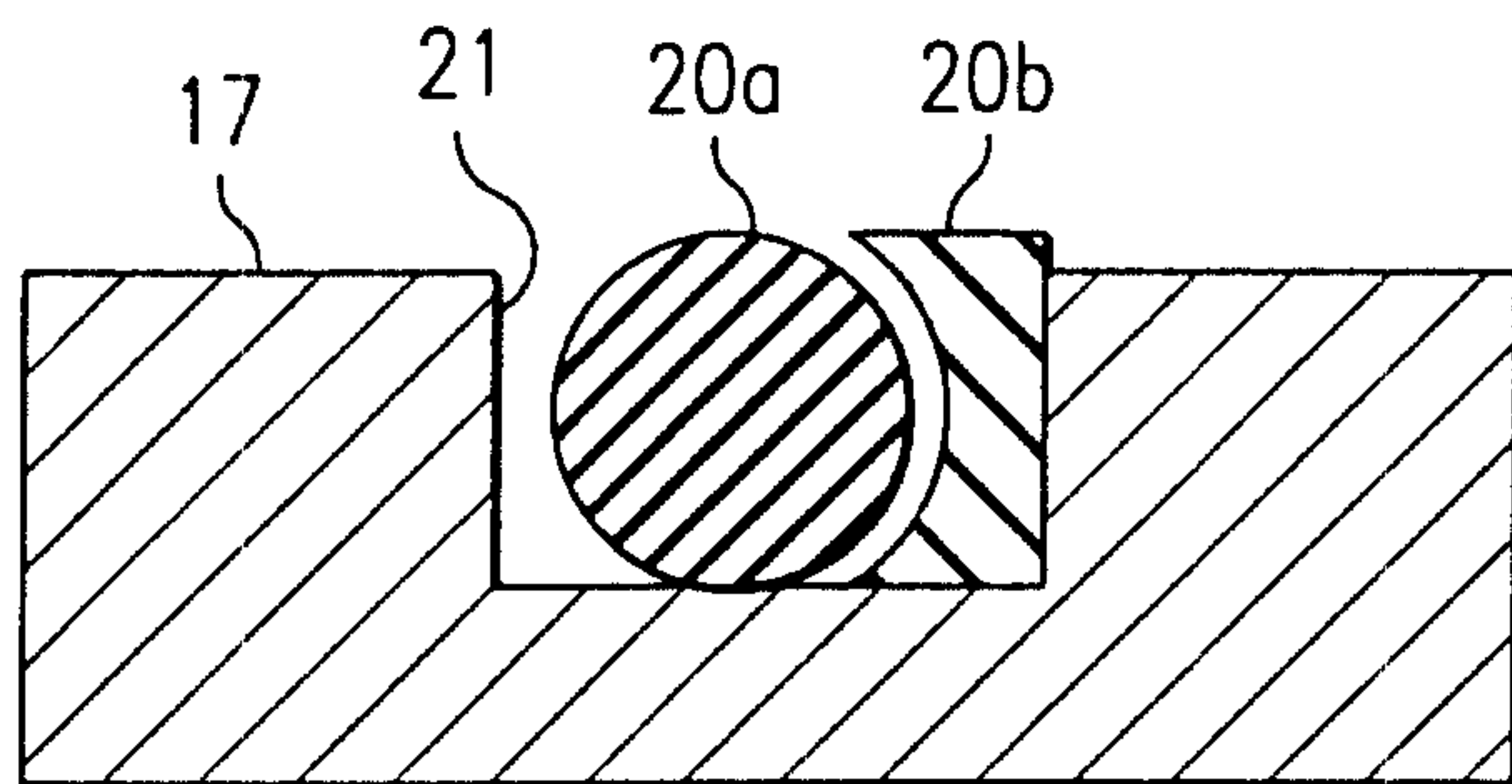


Fig. 4a

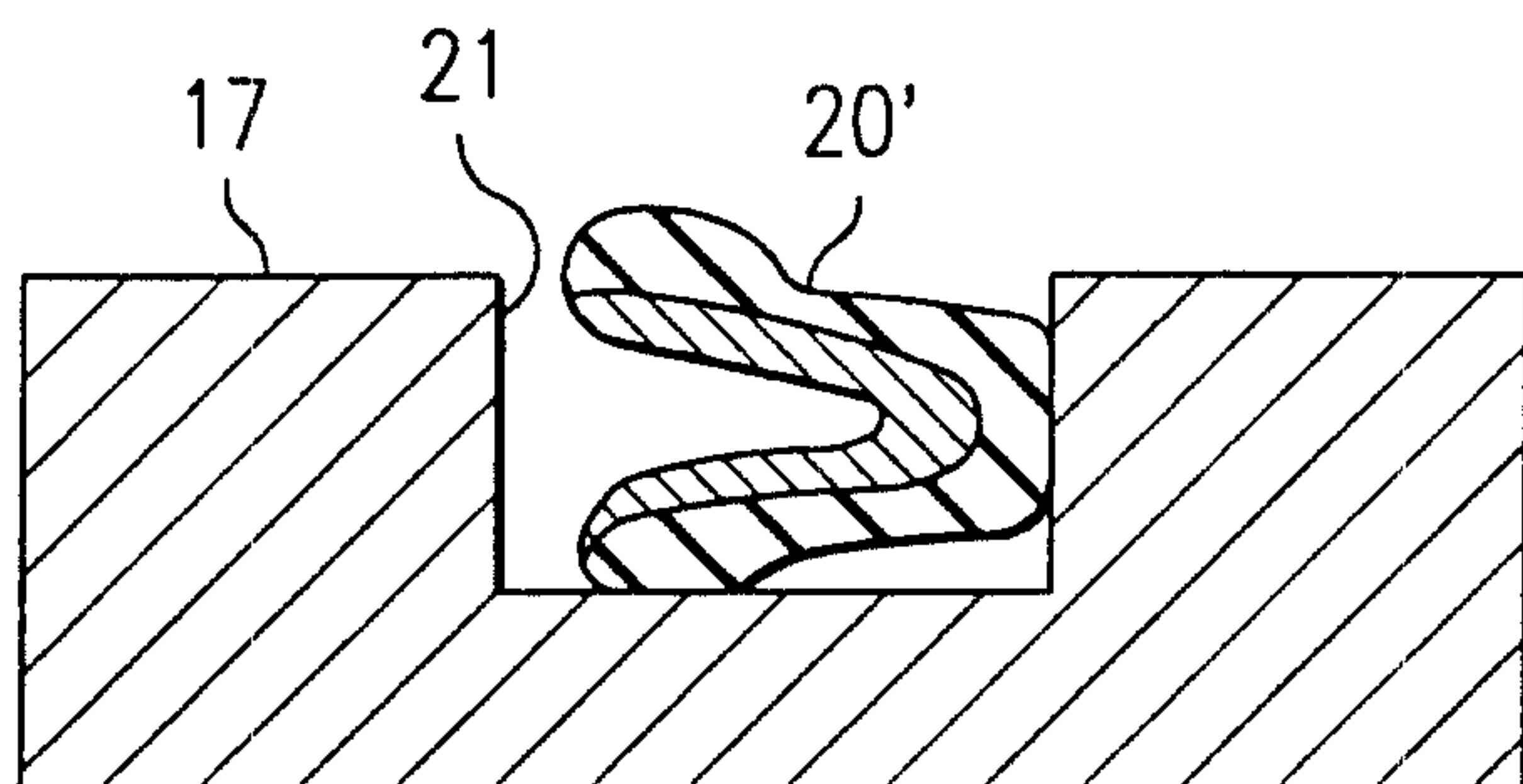


Fig. 4b

