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(54) **Title:** COMPOSITIONS FOR ENHANCING TARGETED GENE EDITING AND METHODS OF USE THEREOF

(57) **Abstract:** Compositions and methods for enhancing targeted gene editing and methods of use thereof are disclosed. In the most preferred embodiments, gene editing is carried out utilizing a gene editing composition such as triplex-forming oligonucleotides, CRISPR, zinc finger nucleases, TALENS, or others, in combination with a gene modification potentiating agent such as stem cell factor (SCF), a CHK1 or ATR inhibitor, or a combination thereof. A particular preferred gene editing composition is triplex-forming peptide nucleic acids (PNAs) substituted at the  $\gamma$  position for increased DNA binding affinity. Nanoparticle compositions for intracellular delivery of the gene editing composition are also provided and particular advantageous for use with in vivo applications.

## COMPOSITIONS FOR ENHANCING TARGETED GENE EDITING AND METHODS OF USE THEREOF

### 5                   **CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims the benefit of and priority to U.S.S.N. 62/295,789 filed February 16, 2016 and which is incorporated by reference in its entirety.

### 10                   **STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH**

This invention was made with government support under AI112443 awarded by National Institutes of Health and under 1012467 awarded by National Science Foundation. The government has certain rights in the  
15 invention.

### **FIELD OF THE INVENTION**

The field of the invention is generally related to gene editing technology used in combination with a gene modification potentiating agent, and compositions and methods of use thereof for ex vivo and in vivo gene  
20 editing.

### **BACKGROUND OF THE INVENTION**

Gene editing in hematopoietic stem/progenitor cells (HSPCs) provides an attractive strategy for treatment of inherited disorders such as sickle cell anemia and  $\beta$ -thalassemia. Genes can be selectively edited by  
25 several methods, including targeted nucleases such as zinc finger nucleases (ZFNs) (Haendel, et al., *Gene Ther.*, 11:28-37 (2011)) and CRISPRs (Yin, et al., *Nat. Biotechnol.*, 32:551-553 (2014)), short fragment homologous recombination (SFHR) (Goncz, et al., *Oligonucleotides*, 16:213-224 (2006)), or triplex-forming oligonucleotides (TFOs) (Vasquez, et al., *Science*,  
30 290:530-533 (2000)). Recent excitement has focused on CRISPR/Cas9 technology because of its ease of use and facile reagent design (Doudna, et al., *Science*, 346:1258096 (2014)). However, like ZFNs, the CRISPR approach introduces an active nuclease into cells, which can lead to off-

target cleavage in the genome (Cradick, et al., *Nucleic Acids Res.*, 41:9584-9592 (2013)), a problem that so far has not been eliminated.

One alternative is triplex-forming peptide nucleic acid (PNA) oligomers designed to bind site-specifically to genomic DNA via strand  
5 invasion and formation of PNA/DNA/PNA triplexes via both Watson-Crick and Hoogsteen binding) with a displaced DNA strand (Egholm, et al., *Nature* (London), 365:566-568 (1993); Nielsen, et al., *Science* (Washington, D.C., 1883-), 254:1497-1500 (1991); Faruqi, et al., *Proc Natl Acad Sci USA*, 95:1398-1403 (1998)). PNAs have a charge-neutral peptide-like backbone  
10 and nucleobases enabling hybridization with DNA and RNA with high affinity. PNA/DNA/PNA triplexes recruit the cell's endogenous DNA repair systems to initiate site-specific modification of the genome when single-stranded "donor DNAs" are co-delivered as templates containing the desired sequence modification (Rogers, et al., *Proc. Natl. Acad. Sci. USA*, 99:16695-  
15 16700 (2002)).

PNA-induced genome modification is believed to be mediated in part by the nucleotide excision repair (NER) and homology-dependent repair (HDR) pathways (Rogers, et al., *Proc. Natl. Acad. Sci. USA*, 99:16695-16700 (2002); Chin, et al., *Molecular Carcinogenesis*, 48:389-399 (2009)).  
20 Both NER and HDR are high fidelity pathways, and the PNAs lack any intrinsic nuclease activity. Together these features may account for the very low frequencies of off-target genotoxicity seen with PNA-mediated gene editing compared to nuclease based approaches (McNeer, et al., *Gene Therapy*, 20:658-669 (2013); Schleifman, et al., *Chem. Biol. (Cambridge, MA, U.S.)*, 18:1189-1198 (2011); Schleifman, et al., *Mol. Ther.--Nucleic Acids*, 2:e135 (2013)). Tail-clamp PNAs (tcPNAs) with an extended  
25 Watson-Crick binding domain can enhance gene editing in human hematopoietic cells with increased efficiency and specificity (Schleifman, et al., *Chem. Biol. (Cambridge, MA, U.S.)*, 18:1189-1198 (2011)) and that  
30 polymer nanoparticles (NPs) can effectively deliver these molecules into human HSPCs both ex vivo and in vivo in a humanized mouse model (McNeer, et al., *Gene Therapy*, 20:658-669 (2013); Bahal, et al., *Curr. Gene Ther.*, 14:331-342 (2014)).

Nonetheless, compositions and methods for improved gene editing are needed.

It is an object of the invention to provide potentiating agents that increase gene modification induced or enhanced by gene editing technology.

5 It is another object of the invention to provide triplex forming molecules with enhanced DNA binding.

It is a further object of the invention to provide gene modification formulations that achieve therapeutically significant target site modification with reduced low off-target modification.

## 10 SUMMARY OF THE INVENTION

Highly elevated levels of gene editing in hematopoietic stem/progenitor cells are achieved using triplex-forming peptide nucleic acids (PNAs) substituted at the  $\gamma$  position for increased DNA binding affinity in combination with stimulation of the stem cell factor (SCF)/c-Kit pathway.

15 The SCF/c-Kit pathway is believed to boost DNA repair gene expression and homology-dependent repair activity as evidence shows that stimulation is correlated with elevated DNA repair, specifically increased HDR activity and increased levels of HDR gene expression, including BRCA2 and Rad51. In a mouse model of human  $\beta$ -thalassemia, injection with SCF plus  
20 nanoparticles containing  $\gamma$ PNAs and donor DNAs yielded amelioration of the disease phenotype, with clinically relevant  $\beta$ -globin gene correction frequencies (4% in bone marrow) and extremely low off-target effects. The mice showed alleviation of anemia with sustained elevation of blood hemoglobin levels into the normal range, reduced reticulocyte counts, and  
25 reversal of splenomegaly.

Compositions and methods for enhancing targeted gene editing and methods of use thereof are disclosed. In the most preferred embodiments, gene editing is carried out utilizing a gene editing composition such as triplex-forming oligonucleotides, CRISPR, zinc finger nucleases, TALENS,  
30 or others, in combination with a gene modification potentiating agent such as SCF, a CHK1 or ATR inhibitor, a DNA polymerase alpha inhibitor, a heat shock protein 90 inhibitor (HSP90i) or a combination thereof. A particularly preferred gene editing composition is triplex-forming peptide nucleic acids

(PNAs) substituted at the  $\gamma$  position for increased DNA binding affinity. Nanoparticle compositions for intracellular delivery of the gene editing composition are also provided and particularly advantageous for use with *in vivo* applications.

5 For example, an exemplary method of modifying the genome of a cell can include contacting the cell with an effective amount of (i) a gene editing potentiating agent selected from the group consisting of tyrosine kinase C-kit ligands, ATR-Chk1 cell cycle checkpoint pathway inhibitors, DNA polymerase alpha inhibitors, and heat shock protein 90 inhibitors  
10 (HSP90i), and (ii) a gene editing technology that can induce genomic modification of the cell selected from the group consisting of triplex forming molecules, pseudocomplementary oligonucleotides, a CRISPR system, zinc finger nucleases (ZFN), and transcription activator-like effector nucleases (TALEN); wherein genomic modification occurs at a higher frequency in a  
15 population of cells contacted with both (i) and (ii), then in an equivalent population contacted with (ii) in the absence of (i). The method can further include contacting the cells with a donor oligonucleotide including, for example, a sequence that corrects or induces a mutation(s) in the cell's genome by insertion or recombination of the donor induced or enhanced by  
20 the gene editing technology.

A preferred C-kit ligand is a stem cell factor protein or fragment thereof sufficient to cause dimerization of C-kit and activate its tyrosine kinase activity. In some embodiments, the C-kit ligand is a nucleic acid such as an mRNA or an expression vector encoding a stem cell factor protein or  
25 fragment thereof sufficient to cause dimerization of C-kit and activate its tyrosine kinase activity.

ATR-Chk1 cell cycle checkpoint pathway inhibitors are typically small molecules though they can also be inhibitory nucleic acids such as siRNA that target and reduce expression a gene in the pathway. Inhibitors  
30 include, for example, AZD7762, SCH900776/ MK-8776, IC83/ LY2603618, LY2606368, GDC-0425, PF-00477736, XL844, CEP-3891, SAR-020106, CCT-244747, Arry-575, SB218075, Schisandrin B, NU6027, NVP-BEZ235,

VE-821, VE-822 (VX-970), AZ20, AZD6738, MIRIN, KU5593, VE-821, NU7441, LCA, and L189.

In some embodiments, the cell's genome has a mutation underlying a disease or disorder, for example a genetic disorder such as hemophilia, globinopathies, cystic fibrosis, xeroderma pigmentosum, muscular dystrophy, and lysosomal storage diseases. The globinopathy can be sickle cell anemia or beta-thalassemia. The lysosomal storage disease can be Gaucher's disease, Fabry disease, or Hurler syndrome. In some embodiments, the method induces a mutation that reduces HIV infection, for example, by reducing an activity of a cell surface receptor that facilitates entry of HIV into the cell.

The contacting of the compositions with the cell can occur *ex vivo*. In some embodiments, the *ex vivo*-treated cells are hematopoietic stem cells. The modified cells can be administered to a subject in need thereof in an effective amount to treat one or more symptoms of a disease or disorder such as hemophilia, a globinopathy, cystic fibrosis, xeroderma pigmentosum, muscular dystrophy, a lysosomal storage disease, or HIV.

*In vivo* applications are also provided. For example in some embodiments, the potentiating agent, gene editing technology and optionally the donor oligonucleotide are administered to a subject in need thereof. Each of the foregoing can be in the same or different pharmaceutical compositions and can be administered to the subject in any order. In preferred embodiments, the compositions induce or enhance *in vivo* gene modification in an effective amount to reduce one or more symptoms of the disease or disorder, for example, hemophilia, a globinopathy, xeroderma pigmentosum, a lysosomal storage disease, or HIV in the subject.

Any of the disclosed compositions including potentiating agent, gene editing technology, and/or donor oligonucleotide can be packaged together or separately in nanoparticles. In preferred embodiments, the nanoparticles include poly(lactic-co-glycolic acid) (PLGA) alone or in a blend with poly(beta-amino) esters (PBAEs). In particular embodiments, the nanoparticles include a blend of PLGA and PBAE having between about 10 and about 20 percent PBAE (wt%). In preferred embodiments, the

nanoparticles are prepared by double emulsion. In some embodiments the gene editing technology, the donor oligonucleotide or a combination thereof are complexed with a polycation prior to preparation of the nanoparticles.

Functional molecules such as targeting moieties, a cell penetrating peptides, or a combination thereof can be associated with, linked, 5 conjugated, or otherwise attached directly or indirectly to the potentiating agent, the gene editing technology, the nanoparticle, or a combination thereof. In particularly preferred embodiments, a cell penetrating peptide including the sequence GALFLGFLGAAGSTMGAWS QPKKKRKV (SEQ 10 ID NO:12) (MPG (Synthetic chimera: SV40 Lg T. Ant.+HIV gb41 coat)) is conjugated to the surface of the nanoparticles.

Improved DNA-binding triplex forming molecules are also provided. The triplex forming molecules can be utilized in all manners of gene 15 modification including those methods both with and without a potentiating agent. The triplex forming composition typically includes a Hoogsteen binding peptide nucleic acid (PNA) segment and a Watson-Crick binding PNA segment collectively totaling no more than about 50 nucleobases in length, wherein the two segments can bind or hybridize to a target region 20 having a polypurine stretch in a cell's genome to induce strand invasion, displacement, and formation of a triple-stranded molecule among the two PNA segments and the polypurine stretch. The Hoogsteen binding segment binds to the target duplex by Hoogsteen binding for a length of at least five nucleobases, and the Watson-Crick binding segment typically binds to the target duplex by Watson-Crick binding for a length of least five nucleobases.

25 In preferred embodiments, one or more of the PNA monomers are  $\gamma$ PNA. The side chain at the  $\gamma$  position of the  $\gamma$ PNA monomer(s) can be, for example, the side chain of an amino acid selected from the group consisting of alanine, serine, threonine, cysteine, valine, leucine, isoleucine, methionine, proline, phenylalanine, tyrosine, aspartic acid, glutamic acid, 30 asparagine, glutamine, histidine, lysine, arginine, and the derivatives thereof. In some embodiments, the side chain at the  $\gamma$  position of the  $\gamma$ PNA monomer(s) is a diethylene glycol ("miniPEG"). In some embodiments, all of the peptide nucleic acid monomers in the Hoogsteen-binding portion only,

all of the peptide nucleic acid monomers in the Watson-Crick-binding portion only, or all of the peptide nucleic monomers in the PNA oligomer are  $\gamma$ PNA monomers. In some embodiments, alternating residues in the Hoogsteen-binding portion only, the Watson-Crick-binding portion only, or  
5 across the entire PNA are PNA and  $\gamma$ PNA. Specific exemplary sequences are provided below.

In some embodiments, one or more of the cytosines is replaced with a clamp-G (9-(2-guanidinoethoxy) phenoxazine). In preferred embodiments, the Hoogsteen binding segment includes one or more chemically modified  
10 cytosines selected from the group consisting of pseudocytosine, pseudoisocytosine, and 5-methylcytosine. The Watson-Crick binding segment preferably includes a tail sequence of up to fifteen nucleobases that binds to the target duplex by Watson-Crick binding outside of the triplex. In preferred embodiments, the two segments are linked by a linker, for  
15 example, between 1 and 10 units of 8-amino-3,6-dioxaoctanoic acid.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**Figure 1A** is a schematic showing a strategy for targeted correction of a  $\beta$ -globin gene IVS2–654 (C->T) mutation in  $\beta$ -globin/GFP transgenic mice using triplex-forming tail clamp PNAs (tcPNAs) and donor DNAs.  
20 **Figure 1B** is an illustration showing tcPNA and  $\gamma$ tcPNA oligomers (SEQ ID NOS:33-35, 162, and 158, respectively) designed to bind to the homopurine regions within intron 2 of the human  $\beta$ -globin gene in the vicinity of the thalassemia-associated mutation IVS2–654 (C->T), and a scrambled control sequence (SEQ ID NO:158). **Figure 1C** is the chemical structures of DNA, unmodified PNA and miniPEG gamma PNA (<sup>MP</sup> $\gamma$ PNA) units.  
25 **Figure 1D** is a bar graph showing gene correction of the IVS2–654 (C->T) mutation within the  $\beta$ -globin/GFP fusion gene in mouse bone marrow cells treated *ex vivo* with blank NPs and NPs containing donor DNA (SEQ ID NO:65) alone or in combination with tcPNA3 (SEQ ID NO:35), tcPNA2 (SEQ ID NO:34),  
30 or tcPNA1 (SEQ ID NO:33). The %GFP+ cells among mouse bone marrow cells was determined by flow cytometry and indicates successful gene editing. Data are shown as mean  $\pm$  s.e., n = 3; statistical analysis was performed with student's t-test, asterisk, p < 0.05. **Figure 1E** is a line graph

showing release of total nucleic acids (PNAs in combination with donor DNA (SEQ ID NO:65):  $\gamma$ tcPNA4 (SEQ ID NO:162), tcPNA1 (SEQ ID NO:33), tcPNA2 (SEQ ID NO:34), tcPNA3 (SEQ ID NO:35) or  $\gamma$ tcPNA4-Scr (SEQ ID NO:158); or DNA donor (SEQ ID NO:65) alone) from PLGA nanoparticles during incubation at 37°C in PBS. At 64 hrs, the residual nucleic acid in the NP pellet was extracted and the total nucleic acid load was calculated as a sum of absorbance obtained from the pellet and supernatant. **Figure 1F** is a bar graph showing %GFP+ cells determined by flow cytometry among mouse bone marrow cells (from  $\beta$ -globin/GFP transgenic mice) after *ex vivo* treatment with PLGA NPs containing tcPNA1 (SEQ ID NO:33),  $\gamma$ tcPNA4 (SEQ ID NO:162), or  $\gamma$ tcPNA4-Scr (SEQ ID NO:158) plus donor DNAs (SEQ ID NO:65). Replicates and statistics as above for Figure 1D. **Figure 1G** is a bar graph showing mouse total bone marrow cells were treated with either blank NPs or NPs containing  $\gamma$ tcPNA4 (SEQ ID NO:162) and donor DNA (SEQ ID NO:65) and were plated for a colony-forming cell assay in methylcellulose medium with selected cytokines for growth of granulocyte/macrophage colonies (CFU-G, CFU-M and CFU-GM) or combined colonies (CFU-GEMM, granulocyte, erythroid, monocyte/macrophage, megakaryocyte. Numbers of each type of colony per 300,000 plated cells are shown. Data are shown as mean  $\pm$  s.d., n = 3. **Figure 1H** is a bar graph showing the results of a comet assay to measure DNA breaks in NP-treated bone marrow cells. Cells were treated with NPs containing either tcPNA1/donor DNA (SEQ ID NOS:33 and 65),  $\gamma$ tcPNA4/donor DNA (SEQ ID NOS:162 and 65), or bleomycin/donor DNA (SEQ ID NO:65), as indicated. DNA tail moment provides a measurement of the extent of breaks. Data are shown as mean  $\pm$  s.e., n = 3.

**Figure 2A** is a bar graph showing %GFP expression in treated mouse bone marrow cells based on selected hematopoietic cell surface markers. Total bone marrow was treated with NPs containing either tcPNA1/donor DNA (SEQ ID NOS:33 and 65) or  $\gamma$ tcPNA4/donor DNA (SEQ ID NOS:162 and 65), and then the cells were stained using antibodies specific for the indicated markers and assayed by flow cytometry for marker and GFP expression. Data are shown as mean  $\pm$  s.e., n = 3; statistical analysis was

performed with student's t-test, asterisk,  $p < 0.05$ . **Figure 2B** is a bar graph showing %GFP expressing CD117 (c-Kit+) cells after ex vivo treatment with NPs carrying  $\gamma$ tcPNAs and donor DNAs (SEQ ID NOS:162 and 65) versus with blank NPs. Data are shown as mean  $\pm$  s.e.,  $n = 3$ ; statistical analysis was

5 performed with student's t-test, asterisk,  $p < 0.05$ . **Figure 2C** is a bar graph showing %GFP expressing CD117+ cells from  $\beta$ -globin/GFP transgenic mice after ex vivo treatment with NPs containing  $\gamma$ tcPNA4/donor DNA (SEQ ID NOS:162 and 65) with or without prior treatment with the c-Kit ligand, SCF. Data are shown as mean  $\pm$  s.e.,  $n = 3$ ; statistical analysis was

10 performed with student's t-test, asterisk,  $p < 0.05$ . **Figure 2D** is a bar graph showing %GFP expressing CD117+ cells isolated from  $\beta$ -globin/GFP transgenic mice after ex vivo treatment with NPs containing  $\gamma$ tcPNA4/donor DNA (SEQ ID NOS:162 and 65) in the presence or absence of selected c-Kit pathway kinase inhibitors: dasatinib (inhibits c-Kit), MEK162 (inhibits

15 mitogen/extracellular signal-regulated kinase, MEK) and BKM120 (inhibits phosphatidylinositol-3-kinase, PI3K). Data are shown as mean  $\pm$  s.e.,  $n = 3$ ; statistical analysis was performed with student's t-test, asterisk,  $p < 0.05$ .

**Figure 2E and 2F** are bar graphs showing qPCR determination of mRNA expression levels of BRCA2 (2E) and Rad51 (2F) in CD117- and CD117+

20 cells. **Figure 2G** is a heat map showing up-regulated genes involved in DNA repair pathways in CD117+ cells with or without treatment with SCF; rows are clustered by Euclidean distance measure. **Figure 2H** is a bar graph showing the results of a gene assay for homology-dependent repair (HDR) activity in the presence or absence of selected c-Kit pathway kinase

25 inhibitors: dasatinib (inhibits c-Kit), MEK162 (inhibits mitogen/extracellular signal-regulated kinase, MEK) and BKM120 (inhibits phosphatidylinositol-3-kinase, PI3K). Inset shows a diagram of the luciferase reporter gene assay for repair of a nuclease-induced double-strand break by homology-dependent repair (HDR). Luciferase expression occurs only after homologous

30 recombination and is scored as % reactivation of the DSB-damaged plasmid, normalized to a transfection control. **Figure 2I** is a bar graph showing the results of an HDR assay in CD117+ cells with or without the addition of SCF. Data are shown as mean  $\pm$  s.e.,  $n = 3$ ; statistical analysis was

performed with student's t-test, asterisk,  $p < 0.05$ . **Figure 2J** is a bar graph showing the results of an HDR assay in DLD-1 cells either proficient or deficient in the homology dependent repair factor BRCA2 as a validation of the assay. Data are shown as mean  $\pm$  s.e.,  $n = 3$ ; statistical analysis was  
5 performed with student's t-test, asterisk,  $p < 0.05$ .

**Figures 3A and 3B** are dot plots showing frequencies of gene editing (GFP expression) in bone marrow (3A) and spleen (3B) cells from  $\beta$ -globin/GFP transgenic mice (6 mice per group) injected or not (as indicated) with 15.6  $\mu$ g of SCF i.p. followed by a single treatment of 4 mg of NPs  
10 injected intravenously. Each group received either blank NPs or NPs containing  $\gamma$ tcPNA4 and donor DNA (SEQ ID NOS:162 and 65), with or without SCF and were harvested and analyzed two days later. Each data point represents analysis of cells from a single mouse. Statistical analyses were performed using student's t-test: asterisk,  $p < 0.05$ . **Figure 3C** is a bar  
15 graph showing the results of deep-sequencing analysis to quantify the frequency of targeted gene editing (% modification frequency IVS2-654 (T->C)) in vivo in CD117+ cells from bone marrow and spleen of  $\beta$ -globin/GFP mice treated as described for Figures 3A and 3B. Error bars indicate standard error of proportions.

**Figures 4A-4C** are line graphs showing blood hemoglobin levels (g/dl) of thalassemic mice treated with blank NPs, SCF plus scrambled  $\gamma$ tcPNA4-Scr/donor DNA (SEQ ID NOS:158 and 65) NPs, or with SCF plus  $\gamma$ tcPNA4/donor DNA (SEQ ID NOS:162 and 65) NPs performed at the  
20 indicated times after treatment. Each line represents an individual mouse followed over time. **Figure 4D** is a bar graph showing reticulocyte counts (% of total RBCs) calculated in blood smears from thalassemic mice treated with either blank NPs or with NPs containing  $\gamma$ tcPNA4/donor DNA (SEQ ID  
25 NOS:162 and 65) plus SCF on days 0 and 36 post treatment. **Figure 4E** is a bar graphs showing the % gene modification (T->C) as determined by deep-sequencing analysis of genomic DNA from bone marrow cells after  
30 treatment of thalassemic mice with either blank NPs or with NPs containing and  $\gamma$ tcPNA4/donor DNA (SEQ ID NOS:162 and 65) plus SCF.

**Figure 5A** is a flow diagram illustrating a GFP/beta globin gene correction assay. **Figure 5B** is a bar graph showing gene correction of cells treated with nanoparticles containing tcPNA1 (SEQ ID NO:191) and donor DNA (SEQ ID NO:65) alone, or in combination with an ataxia telangiectasia and Rad3-related protein (ATR) pathway inhibitor (MIRIN, KU5593, VE-821, NU7441, LCA, or L189). **Figure 5C** is a bar graph showing gene correction of cells treated with nanoparticles containing tcPNA1 (SEQ ID NO:191) and donor DNA (SEQ ID NO:65) alone, or in combination with a Checkpoint Kinase 1 inhibitor (Chk1i) (SB218075), a DNA polymerase alpha inhibitor (Aphi) (aphidicolin) or a polyADP ribose polymerase (PARPi) (AZD-2281 (olaparib)). **Figure 5D** is a bar graph showing gene correction of control (blank), and cells treated with nanoparticles containing tcPNA1 (SEQ ID NO:191) and donor DNA (SEQ ID NO:76) alone, or in combination with a heat shock protein 90 inhibitor (HSP90i) (STA-9090 (ganetespib)).

**Figure 6A** is an illustration of a Sickle Cell Disease mutation (GAG > GTG) in the human beta globin gene, relative to the ATG transcriptional start site and exemplary tcPNAs. **Figure 6B** shows the sequences of exemplary PNAs: tcPNA1: lys-lys-lys-JJTJTTJ-OOO-CTTCTCCAAAGGAGT-lys-lys-lys (SEQ ID NO:66); tcPNA2: lys-lys-lys-TTJTJT-OOO-TCTCCTTAAACCTGT-lys-lys-lys (SEQ ID NO:67); and tcPNA3: lys-lys-lys-TJTJTTJT-OOO-TCTTCTCTGTCTCCAC-lys-lys-lys (SEQ ID NO:68). **Figure 6C** shows the sequence of a DNA donor (SEQ ID NO:64).

**Figure 7A** is a bar graph showing the results of a MQAE (N-(Ethoxycarbonylmethyl)-6-Methoxyquinolinium Bromide) assay ( $\Delta(\text{AFU})/(\Delta(\text{Time (sec)}))$ ) measuring chloride flux for negative control CFBE cells; CFBE cells treated with blank nanoparticles, PNA2: lys-lys-lys-TJTJTJT-OOO-TTTCCTCTATGGGTAAG-lys-lys-lys (SEQ ID NO:93)-loaded nanoparticles, PNA2 (SEQ ID NO:93)-loaded nanoparticles with an MPG peptide,  $\gamma$ PNA2 lys-lys-lys-TJTJTJT-OOO-TTTCCTCTATGGGTAAG-lys-lys-lys (SEQ ID NO:69)-loaded nanoparticles; and untreated positive control wildtype 16HBE14o- cells.

**Figure 7B** is a dot plot showing nasal potential difference (NPD) (pretreatment, after treatment with  $\gamma$ PNA2 (SEQ ID NO:69)-loaded nanoparticles, and after treatment with blank nanoparticles) measured using a non-invasive assay used to detect chloride potential differences *in vivo*.

5           **Figure 8A** is an illustration of a mutation (G->A) in the CFTR gene (W1282X) relative to three exemplary tcPNAs. **Figure 8B** provides the sequences of the tcPNAs: CF-1236 lys- lys-lys-JTTJJTJTTT-OOO-TTTCTCCTTCAGTGTTC A- lys-lys-lys (SEQ ID NO:169), CF-1314 lys-lys-lys- TTTTJJT-OOO-TCCTTTTGCTCACCTGTGGT - lys-lys-lys (SEQ ID NO:170), and CF-1329: lys-lys-lys- TJTTTTTTJJ-OOO-CCTTTTTTCTGGCTAAGT- lys-lys-lys (SEQ ID NO:171). **Figure 8C** provides the sequence of an exemplary donor DNA:

T(s)C(s)T(s)TGGGATTCAATAACCTTGCAGACAGTGGAGGAAGGCC TTTGGCGTGATACCACAGG-(s)T(s)G(s) (SEQ ID NO:109).

15           **Figure 9A** is an illustration of a mutation (G->T) in the CFTR gene (G542X) relative to three exemplary tcPNAs.. **Figure 9B** provides the sequences of the tcPNAs: CF-302 lys-lys-lys-TJTTTTT-OOO-TTTTTCTGTAATTTTAA - lys-lys-lys (SEQ ID NO:172), CF-529 lys-lys-lys- TJTJTJT-OOO-TCTTTCTCTGCAAACCTT (SEQ ID NO:173), and 20 CF-586 lys-lys-lys- TTTJTTT-OOO-TTTCTTTAAGAACGAGCA (SEQ ID NO:174). **Figure 9C** provides the sequence of an exemplary donor DNA: T(s)C(s)C(s)-AAGTTTGCAGAGAAAGATAAATATAGTCCTTGGAG AAGGAGGAATCACCCTGAGTGGA-G(s)G(s)T(s) (SEQ ID NO:124).

25           **Figure 10A** is an illustration of Strategy for targeted correction of a  $\beta$ -globin gene containing SCD mutation (A->T) mutation and tcPNAs designed to bind to homopurine regions near the mutation. **Figures 10B-10C** are bar graphs showing hydrodynamic diameter of formulated PLGA nanoparticles measured using dynamic light scattering in PBS buffer (Figure 10B) and zeta potential of formulated PLGA nanoparticles (Figure 10C). 30 Data in both graphs are presented as mean  $\pm$  s.e.m., n = 3. **Figures 10D-10E** are bar graphs showing the results of deep-sequencing analysis to quantify the frequency of targeted gene editing *in vivo* in bone marrow cells of

Berkley “Berk” mice (Figure 10D) and Townes mice (Figure 10E). Error bars indicate standard error of proportions.

## DETAILED DESCRIPTION OF THE INVENTION

### I. Definitions

5 As used herein, “affinity tags” are defined herein as molecular species which form highly specific, non-covalent, physiochemical interactions with defined binding partners. Affinity tags which form highly specific, non-covalent, physiochemical interactions with one another are defined herein as “complementary”.

10 As used herein, “coupling agents” are defined herein as molecular entities which associate with polymeric nanoparticles and provide substrates that facilitate the modular assembly and disassembly of functional elements onto the nanoparticle. Coupling agents can be conjugated to affinity tags. Affinity tags allow for flexible assembly and disassembly of functional  
15 elements which are conjugated to affinity tags that form highly specific, noncovalent, physiochemical interactions with affinity tags conjugated to adaptor elements. Coupling agents can also be covalently coupled to functional elements in the absence of affinity tags.

As used herein, the term “isolated” describes a compound of interest  
20 (e.g., either a polynucleotide or a polypeptide) that is in an environment different from that in which the compound naturally occurs, e.g., separated from its natural milieu such as by concentrating a peptide to a concentration at which it is not found in nature. “Isolated” is meant to include compounds that are within samples that are substantially enriched for the compound of  
25 interest and/or in which the compound of interest is partially or substantially purified.

As used herein with respect to nucleic acids, the term “isolated” includes any non-naturally-occurring nucleic acid sequence, since such non-naturally-occurring sequences are not found in nature and do not have  
30 immediately contiguous sequences in a naturally-occurring genome.

As used herein, the term “host cell” refers to prokaryotic and eukaryotic cells into which a nucleic acid can be introduced.

As used herein, “transformed” and “transfected” encompass the introduction of a nucleic acid into a cell by one of a number of techniques known in the art.

As used herein, the phrase that a molecule “specifically binds” to a target refers to a binding reaction which is determinative of the presence of the molecule in the presence of a heterogeneous population of other biologics. Thus, under designated immunoassay conditions, a specified molecule binds preferentially to a particular target and does not bind in a significant amount to other biologics present in the sample. Specific binding of an antibody to a target under such conditions requires the antibody be selected for its specificity to the target. A variety of immunoassay formats may be used to select antibodies specifically immunoreactive with a particular protein. For example, solid-phase ELISA immunoassays are routinely used to select monoclonal antibodies specifically immunoreactive with a protein. See, e.g., Harlow and Lane (1988) *Antibodies, A Laboratory Manual*, Cold Spring Harbor Publications, New York, for a description of immunoassay formats and conditions that can be used to determine specific immunoreactivity. Specific binding between two entities means an affinity of at least  $10^6$ ,  $10^7$ ,  $10^8$ ,  $10^9$ , or  $10^{10}$   $M^{-1}$ . Affinities greater than  $10^8$   $M^{-1}$  are preferred.

As used herein, “targeting molecule” is a substance which can direct a nanoparticle to a receptor site on a selected cell or tissue type, can serve as an attachment molecule, or serve to couple or attach another molecule. As used herein, “direct” refers to causing a molecule to preferentially attach to a selected cell or tissue type. This can be used to direct cellular materials, molecules, or drugs, as discussed below.

As used herein, the terms “antibody” or “immunoglobulin” are used to include intact antibodies and binding fragments thereof. Typically, fragments compete with the intact antibody from which they were derived for specific binding to an antigen fragment including separate heavy chains, light chains Fab, Fab' F(ab')<sub>2</sub>, Fabc, and Fv. Fragments are produced by recombinant DNA techniques, or by enzymatic or chemical separation of intact immunoglobulins. The term “antibody” also includes one or more

immunoglobulin chains that are chemically conjugated to, or expressed as, fusion proteins with other proteins. The term “antibody” also includes a bispecific antibody. A bispecific or bifunctional antibody is an artificial hybrid antibody having two different heavy/light chain pairs and two  
5 different binding sites. Bispecific antibodies can be produced by a variety of methods including fusion of hybridomas or linking of Fab' fragments. See, e.g., Songsivilai and Lachmann, *Clin. Exp. Immunol.*, 79:315-321 (1990); Kostelny, et al., *J. Immunol.*, 148, 1547-1553 (1992).

As used herein, the terms “epitope” or “antigenic determinant” refer  
10 to a site on an antigen to which B and/or T cells respond. B-cell epitopes can be formed both from contiguous amino acids or noncontiguous amino acids juxtaposed by tertiary folding of a protein. Epitopes formed from contiguous amino acids are typically retained on exposure to denaturing solvents whereas epitopes formed by tertiary folding are typically lost on treatment  
15 with denaturing solvents. An epitope typically includes at least 3, and more usually, at least 5 or 8-10, amino acids, in a unique spatial conformation. Methods of determining spatial conformation of epitopes include, for example, x-ray crystallography and 2-dimensional nuclear magnetic resonance. See, e.g., Epitope Mapping Protocols in Methods in Molecular  
20 Biology, Vol. 66, Glenn E. Morris, Ed. (1996). Antibodies that recognize the same epitope can be identified in a simple immunoassay showing the ability of one antibody to block the binding of another antibody to a target antigen. T-cells recognize continuous epitopes of about nine amino acids for CD8 cells or about 13-15 amino acids for CD4 cells. T cells that recognize  
25 the epitope can be identified by *in vitro* assays that measure antigen-dependent proliferation, as determined by <sup>3</sup>H-thymidine incorporation by primed T cells in response to an epitope (Burke, et al., *J. Inf. Dis.*, 170:1110-19 (1994)), by antigen-dependent killing (cytotoxic T lymphocyte assay, Tigges, et al., *J. Immunol.*, 156, 3901-3910) or by cytokine secretion.

30 As used herein, the term “small molecule,” as used herein, generally refers to an organic molecule that is less than about 2000 g/mol in molecular weight, less than about 1500 g/mol, less than about 1000 g/mol, less than

about 800 g/mol, or less than about 500 g/mol. Small molecules are non-polymeric and/or non-oligomeric.

As used herein, the term “carrier” or “excipient” refers to an organic or inorganic ingredient, natural or synthetic inactive ingredient in a  
5 formulation, with which one or more active ingredients are combined.

As used herein, the term “pharmaceutically acceptable” means a non-toxic material that does not interfere with the effectiveness of the biological activity of the active ingredients.

As used herein, the terms “effective amount” or “therapeutically  
10 effective amount” means a dosage sufficient to alleviate one or more symptoms of a disorder, disease, or condition being treated, or to otherwise provide a desired pharmacologic and/or physiologic effect. The precise dosage will vary according to a variety of factors such as subject-dependent variables (e.g., age, immune system health, etc.), the disease or disorder  
15 being treated, as well as the route of administration and the pharmacokinetics of the agent being administered.

As used herein, the term “prevention” or “preventing” means to administer a composition to a subject or a system at risk for or having a predisposition for one or more symptom caused by a disease or disorder to  
20 cause cessation of a particular symptom of the disease or disorder, a reduction or prevention of one or more symptoms of the disease or disorder, a reduction in the severity of the disease or disorder, the complete ablation of the disease or disorder, stabilization or delay of the development or progression of the disease or disorder.

## 25 **II. Gene Editing Potentiating Factors**

It has been discovered that certain potentiating factors can be used to increase the efficacy of gene editing technologies. Gene expression profiling on SCF-treated CD117+ cells versus untreated CD117+ cells discussed in the Examples below showed additional up-regulation of numerous DNA repair  
30 genes including RAD51 and BRCA2. These results and others discussed below indicate that a functional c-Kit signaling pathway mediates increased HDR and promotes gene editing, rather than CD117 simply being a phenotypic marker. When CD117+ cells were treated with SCF, expression

of these DNA repair genes was increased even more, correlating with a further increase in gene editing.

Accordingly, compositions and methods of increasing the efficacy of gene editing technology are provided. As used herein a “gene editing  
5 potentiating factor” or “gene editing potentiating agent” or “potentiating factor or “potentiating agent” refers a compound that increases the efficacy of editing (e.g., mutation, including insertion, deletion, substitution, etc.) of a gene, genome, or other nucleic acid) by a gene editing technology relative to use of the gene editing technology in the absence of the compound.  
10 Preferred gene editing technologies suitable for use alone or more preferably in combination with the disclosed potentiating factors are discussed in more detail below. In certain preferred embodiments, the gene editing technology is a triplex-forming  $\gamma$ PNA and donor DNA, optionally, but preferably in a nanoparticle composition.

15 Potentiating factors include, for example, DNA damage or repair-stimulating or -potentiating factors. Preferably the factor is one that engages one or more endogenous high fidelity DNA repair pathways. In some embodiments, the factor is one that increases expression of Rad51, BRCA2, or a combination thereof.

20 As discussed in more detail below, the preferred methods typically include contacting cells with an effective amount of a gene editing potentiating factor. The contacting can occur *ex vivo*, for example isolated cells, or *in vivo* following, for example, administration of the potentiating factor to a subject.

#### 25 **A. C-Kit Ligands**

In some embodiments, the factor is an activator of the receptor tyrosine kinase c-Kit. CD117 (also known as mast/stem cell growth factor receptor or proto-oncogene c-Kit protein) is a receptor tyrosine kinase expressed on the surface of hematopoietic stem and progenitor cells as well  
30 as other cell types. Stem cell factor (SCF), the ligand for c-Kit, causes dimerization of the receptor and activates its tyrosine kinase activity to trigger downstream signaling pathways that can impact survival,

proliferation, and differentiation. SCF and c-Kit are reviewed in Lennartsson and Rönstrand, *Physiological Reviews*, 92(4):1619-1649 (2012)).

The human SCF gene encodes for a 273 amino acid transmembrane protein, which contains a 25 amino acid N-terminal signal sequence, a 189  
5 amino acid extracellular domain, a 23 amino acid transmembrane domain, and a 36 amino acid cytoplasmic domain. A canonical human SCF amino acid sequence is:

MKKTQTWILTCIYLQLLLFNPLVKTEGICRNRVTNNVKDVTCLVANL  
PKDYMITLKYVPGMDVLP SHCWISEMVVQLSDSLTDLLDKFSNISEG  
10 LSNYSIIDKLVNIVDDLVECVKENSSKDLKKSFKSPEPRLFTPEEFFRIF  
NRSIDAFKDFVVASETSDCVVSSTLSPEKDSRVSVTKPFMLPPVAASS  
LRNDSSSSNRKAKNPPGDSSLHWAAMALPALFSLIIGFAFGALYWKK  
RQPSLTRAVENIQINEEDNEISMLQEKEREFQEV (SEQ ID NO:1,  
UniProtKB - P21583 (SCF\_HUMAN)).

15 The secreted soluble form of SCF is generated by proteolytic processing of the membrane-anchored precursor. A cleaved, secreted soluble form of human SCF is underlined in SEQ ID NO:1, which corresponds to SEQ ID NO:2 without the N-terminal methionine.

MEGICRNRVTNNVKDVTCLVANLPKDYMITLKYVPGMDVLP SHCWI  
20 SEMVVQLSDSLTDLLDKFSNISEGLSNYSIIDKLVNIVDDLVECVKEN  
SSKDLKKSFKSPEPRLFTPEEFFRIFNRSIDAFKDFVVASETSDCVVSST  
LSPEKDSRVSVTKPFMLPPVA (SEQ ID NO:2, Preprotech Recombinant  
Human SCF Catalog Number: 300-07).

25 Murine and rat SCF are fully active on human cells. A canonical mouse SCF amino acid sequence is:

MKKTQTWIITCIYLQLLLFNPLVKTKEICGNPVTDNVKDITCLVANLP  
NDYMITLNYVAGMDVLP SHCWL RDMVIQLSLSLTLLDKFSNISEGL  
SNYSIIDKLGKIVDDLVL CMEENAPKNIKESPKRPETRSFTPEEFFSIFN  
RSIDAFKDFMVASDTSDCVLSSTLGPEKDSRVSVTKPFMLPPVAASSL  
30 RNDSSSSNRKAAKAPEDSGLQWTAMALPALISLVIGFAFGALYWKK  
KQSSLTRAVENIQINEEDNEISMLQKQKEREFQEV (SEQ ID NO:3,  
UniProtKB - P20826 (SCF\_MOUSE)).

A cleaved, secreted soluble form of mouse SCF is underlined in SEQ ID NO:3, which corresponds to SEQ ID NO:4 without the N-terminal methionine.

MKEICGNPVTDNVKDITKLVANLPNDYMITLNYVAGMDVLP SHCWL  
 5 RDMVIQLSLSLTLLDKFSNISEGLSNYSIIDKLGKIVDDL VLCMEENA  
 PKNIKESPKRPETRSFTPEEFFSIFNRSIDAFKDFMVASDTSDCVLSS TL  
 GPEKDSRVSVTKPFMLPPVA (SEQ ID NO:4, Preprotech Recombinant  
 Murine SCF Catalog Number: 250-03)

A canonical mouse SCF amino acid sequence is:

10 MKKTQTWIITCIYLQLLL FNPLVKTQEICRNPVTDNVKDITKLVANLP  
NDYMITLNYVAGMDVLP SHCWLRDMVTHLSVSLTLLDKFSNISEG  
LSNYSIIDKLGKIVDDL VACMEENAPKNVKESLKKPETRNFTPEEFFSI  
FNRSIDAFKDFMVASDTSDCVLSS TLGPEKDSRVSVTKPFMLPPVAAS  
 SLRNDSSSSNRKAAKSPEDPGLQWTAMALPALISLVIGFAFGALYWK  
 15 KKQSSLTRAVENIQINEEDNEISMLQQKEREFEV (SEQ ID NO:5,  
 UniProtKB - P21581 (SCF\_RAT)).

A cleaved, secreted soluble form of rat SCF is underlined in SEQ ID NO:5, which corresponds to SEQ ID NO:6 without the N-terminal methionine.

20 MQEICRNPVTDNVKDITKLVANLPNDYMITLNYVAGMDVLP SHCWL  
 RDMVTHLSVSLTLLDKFSNISEGLSNYSIIDKLGKIVDDL VACMEEN  
 APKNVKESLKKPETRNFTPEEFFSIFNRSIDAFKDFMVASDTSDCVLSS  
 TLGPEKDSRVSVTKPFMLPPVA (SEQ ID NO:6, Shenandoah  
 Biotechnology, Inc., Recombinant Rat SCF (Stem Cell Factor) Catalog  
 25 Number: 300-32).

In some embodiments, the factor is a SCF such as any of SEQ ID NO:1-6, with or without the N-terminal methionine, or a functional fragment thereof, or a variant thereof with at least 60, 65, 70, 75, 80, 85, 90, 95, 96, 97, 98, 99, or more sequence identity to any one of SEQ ID NO:1-6.

30 It will be appreciated that SCF can be administered to cells or a subject as SCF protein, or as a nucleic acid encoding SCF (transcribed RNA, DNA, DNA in an expression vector). Accordingly, nucleic acid sequences, including RNA (e.g., mRNA) and DNA sequences, encoding SEQ ID

NOS:1-6 are also provided, both alone and inserted into expression cassettes and vectors. For example, a sequence encoding SCF can be incorporated into an autonomously replicating plasmid, a virus (e.g., a retrovirus, lentivirus, adenovirus, or herpes virus), or into the genomic DNA of a  
5 prokaryote or eukaryote.

The observed effect of SCF indicates that other cytokines or growth factors including, but not limited to, erythropoietin, GM-CSF, EGF (especially for epithelial cells; lung epithelia for cystic fibrosis), hepatocyte growth factor etc., could similarly serve to boost gene editing potential in  
10 bone marrow cells or in other tissues. In some embodiments, gene editing is enhanced in specific cell types using cytokines targeted to these cell types.

### **B. Replication Modulators**

In some embodiments, the potentiating factor is a replication modulator that can, for example, manipulate replication progression and/or  
15 replication forks. For example, the ATR-Chk1 cell cycle checkpoint pathway has numerous roles in protecting cells from DNA damage and stalled replication, one of the most prominent being control of the cell cycle and prevention of premature entry into mitosis (Thompson and Eastman, *Br J Clin Pharmacol.*, 76(3): 358–369 (2013), Smith, et al., *Adv Cancer Res.*,  
20 108:73-112 (2010)). However, Chk1 also contributes to the stabilization of stalled replication forks, the control of replication origin firing and replication fork progression, and homologous recombination. DNA polymerase alpha also known as Pol  $\alpha$  is an enzyme complex found in eukaryotes that is involved in initiation of DNA replication. Hsp90 (heat  
25 shock protein 90) is a chaperone protein that assists other proteins to fold properly, stabilizes proteins against heat stress, and aids in protein degradation.

Experimental results show that inhibitors of CHK1 and ATR in the DNA damage response pathway, as well as DNA polymerase alpha  
30 inhibitors and HSP90 inhibitors, substantially boost gene editing by triplex-forming PNAs and single-stranded donor DNA oligonucleotides. Accordingly, in some embodiments, the potentiating factor is a CHK1 or ATR pathway inhibitor, a DNA polymerase alpha inhibitor, or an HSP90

inhibitor. The inhibitor can be a functional nucleic acid, for example siRNA, miRNA, aptamers, ribozymes, triplex forming molecules, RNAi, or external guide sequences that targets CHK1, ATR, or another molecule in the ATR-Chk1 cell cycle checkpoint pathway; DNA polymerase alpha; or HSP90 and  
5 reduces expression or active of ATR, CHK1, DNA polymerase alpha, or HSP90.

Preferably, the inhibitor is a small molecule. For example, the potentiating factor can be a small molecule inhibitor of ATR-Chk1 Cell Cycle Checkpoint Pathway Inhibitor. Such inhibitors are known in the art,  
10 and many have been tested in clinical trials for the treatment of cancer. Exemplary CHK1 inhibitors include, but are not limited to, AZD7762, SCH900776/ MK-8776, IC83/ LY2603618, LY2606368, GDC-0425, PF-00477736, XL844, CEP-3891, SAR-020106, CCT-244747, Arry-575 (Thompson and Eastman, *Br J Clin Pharmacol.*, 76(3): 358–369 (2013)), and  
15 SB218075. Exemplary ATR pathway inhibitors include, but are not limited to Schisandrin B, NU6027, NVP-BEZ235, VE-821, VE-822 (VX-970), AZ20, AZD6738, MIRIN, KU5593, VE-821, NU7441, LCA, and L189 (Weber and Ryan, *Pharmacology & Therapeutics*, 149:124–138 (2015)).

In some embodiments, the potentiating factor is a DNA polymerase  
20 alpha inhibitor, such as aphidicolin.

In some embodiments, the potentiating factor is a heat shock protein 90 inhibitor (HSP90i) such as STA-9090 (ganetespib). Other HSP90 inhibitors are known in the art and include, but are not limited to, benzoquinone ansamycin antibiotics such as geldanamycin (GA); 17-AAG  
25 (17-Allylamino-17-demethoxy-geldanamycin); 17-DMAG (17-dimethylaminoethylamino-17-demethoxy-geldanamycin) (Alvespimycin); IPI-504 (Retaspimycin); and AUY922 (Tatokoro, et al., *EXCLI J.*, 14:48–58 (2015)).

### III. Gene Editing Technology

30 Gene editing technologies can be used alone or preferably in combination with a potentiating agent. Exemplary gene editing technologies include, but are not limited to, triplex-forming, pseudocomplementary oligonucleotides, CRISPR/Cas, zinc finger nucleases, and TALENs, each of

which are discussed in more detail below. As discussed in more detail below, some gene editing technologies are used in combination with a donor oligonucleotide. In some embodiments, the gene editing technology is the donor oligonucleotide, which can be used alone to modify genes.

5 Strategies include, but are not limited to, small fragment homologous replacement (e.g., polynucleotide small DNA fragments (SDFs)), single-stranded oligodeoxynucleotide-mediated gene modification (e.g., ssODN/SSOs) and other described in Sargent, *Oligonucleotides*, 21(2): 55–75 (2011)), and elsewhere. Other suitable gene editing technologies include, but are not limited to intron encoded meganucleases that are engineered to change their target specificity. See, e.g., Arnould, et al., *Protein Eng. Des. Sel.*, 24(1-2):27-31 (2011)).

#### **A. Triplex-Forming Molecules**

##### **1. Compositions**

15 Compositions containing “triplex-forming molecules,” that bind to duplex DNA in a sequence-specific manner to form a triple-stranded structure include, but are not limited to, triplex-forming oligonucleotides (TFOs), peptide nucleic acids (PNA), and “tail clamp” PNA (tcPNA). The triplex-forming molecules can be used to induce site-specific homologous recombination in mammalian cells when combined with donor DNA molecules. The donor DNA molecules can contain mutated nucleic acids relative to the target DNA sequence. This is useful to activate, inactivate, or otherwise alter the function of a polypeptide or protein encoded by the targeted duplex DNA. Triplex-forming molecules include triplex-forming oligonucleotides and peptide nucleic acids. Triplex forming molecules are described in U.S. Patents 5,962,426, 6,303,376, 7,078,389, 7,279,463, 8,658,608, U.S. Published Application Nos. 2003/0148352, 2010/0172882, 2011/0268810, 2011/0262406, 2011/0293585, and published PCT application numbers WO 1995/001364, WO 1996/040898, WO 1996/039195, WO 2003/052071, WO 2008/086529, WO 2010/123983, WO 2011/053989, WO 2011/133802, WO 2011/13380, Rogers, et al., *Proc Natl Acad Sci USA*, 99:16695–16700 (2002), Majumdar, et al., *Nature Genetics*, 20:212–214 (1998), Chin, et al., *Proc Natl Acad Sci USA*, 105:13514–13519

(2008), and Schleifman, et al., *Chem Biol.*, 18:1189–1198 (2011). As discussed in more detail below, triplex forming molecules are typically single-stranded oligonucleotides that bind to polypyrimidine:polypurine target motif in a double stranded nucleic acid molecule to form a triple-  
5 stranded nucleic acid molecule. The single-stranded oligonucleotide typically includes a sequence substantially complementary to the polypurine strand of the polypyrimidine:polypurine target motif.

**a. Triplex-forming Oligonucleotides (TFOs)**

Triplex-forming oligonucleotides (TFOs) are defined as  
10 oligonucleotides which bind as third strands to duplex DNA in a sequence specific manner. The oligonucleotides are synthetic or isolated nucleic acid molecules which selectively bind to or hybridize with a predetermined target sequence, target region, or target site within or adjacent to a human gene so as to form a triple-stranded structure.

15 Preferably, the oligonucleotide is a single-stranded nucleic acid molecule between 7 and 40 nucleotides in length, most preferably 10 to 20 nucleotides in length for *in vitro* mutagenesis and 20 to 30 nucleotides in length for *in vivo* mutagenesis. The base composition may be homopurine or homopyrimidine. Alternatively, the base composition may be polypurine or  
20 polypyrimidine. However, other compositions are also useful.

The oligonucleotides are preferably generated using known DNA synthesis procedures. In one embodiment, oligonucleotides are generated synthetically. Oligonucleotides can also be chemically modified using standard methods that are well known in the art.

25 The nucleotide sequence of the oligonucleotides is selected based on the sequence of the target sequence, the physical constraints imposed by the need to achieve binding of the oligonucleotide within the major groove of the target region, and the need to have a low dissociation constant ( $K_d$ ) for the oligonucleotide/target sequence. The oligonucleotides have a base  
30 composition which is conducive to triple-helix formation and is generated based on one of the known structural motifs for third strand binding. The most stable complexes are formed on polypurine:polypyrimidine elements, which are relatively abundant in mammalian genomes. Triplex formation by

TFOs can occur with the third strand oriented either parallel or anti-parallel to the purine strand of the duplex. In the anti-parallel, purine motif, the triplets are G.G:C and A.A:T, whereas in the parallel pyrimidine motif, the canonical triplets are C<sup>+</sup>.G:C and T.A:T. The triplex structures are stabilized  
5 by two Hoogsteen hydrogen bonds between the bases in the TFO strand and the purine strand in the duplex. A review of base compositions for third strand binding oligonucleotides is provided in US Patent No. 5,422,251.

Preferably, the oligonucleotide binds to or hybridizes to the target sequence under conditions of high stringency and specificity. Most  
10 preferably, the oligonucleotides bind in a sequence-specific manner within the major groove of duplex DNA. Reaction conditions for *in vitro* triple helix formation of an oligonucleotide probe or primer to a nucleic acid sequence vary from oligonucleotide to oligonucleotide, depending on factors such as oligonucleotide length, the number of G:C and A:T base pairs, and  
15 the composition of the buffer utilized in the hybridization reaction. An oligonucleotide substantially complementary, based on the third strand binding code, to the target region of the double-stranded nucleic acid molecule is preferred.

As used herein, a triplex forming molecule is said to be substantially  
20 complementary to a target region when the oligonucleotide has a heterocyclic base composition which allows for the formation of a triple-helix with the target region. As such, an oligonucleotide is substantially complementary to a target region even when there are non-complementary bases present in the oligonucleotide. As stated above, there are a variety of  
25 structural motifs available which can be used to determine the nucleotide sequence of a substantially complementary oligonucleotide.

#### **b. Peptide nucleic acids (PNA)**

In another embodiment, the triplex-forming molecules are peptide nucleic acids (PNAs). Peptide nucleic acids are molecules in which the  
30 phosphate backbone of oligonucleotides is replaced in its entirety by repeating N-(2-aminoethyl)-glycine units and phosphodiester bonds are replaced by peptide bonds. The various heterocyclic bases are linked to the backbone by methylene carbonyl bonds. PNAs maintain spacing of

heterocyclic bases that are similar to oligonucleotides, but are achiral and neutrally charged molecules. Peptide nucleic acids are comprised of peptide nucleic acid monomers. The heterocyclic bases can be any of the standard bases (uracil, thymine, cytosine, adenine and guanine) or any of the modified  
5 heterocyclic bases described below.

PNA's can bind to DNA via Watson-Crick hydrogen bonds, but with binding affinities significantly higher than those of a corresponding nucleotide composed of DNA or RNA. The neutral backbone of PNAs decreases electrostatic repulsion between the PNA and target DNA  
10 phosphates. Under *in vitro* or *in vivo* conditions that promote opening of the duplex DNA, PNAs can mediate strand invasion of duplex DNA resulting in displacement of one DNA strand to form a D-loop.

Highly stable triplex PNA:DNA:PNA structures can be formed from a homopurine DNA strand and two PNA strands. The two PNA strands may  
15 be two separate PNA molecules, or two PNA molecules linked together by a linker of sufficient flexibility to form a single bis-PNA molecule. In both cases, the PNA molecule(s) forms a triplex "clamp" with one of the strands of the target duplex while displacing the other strand of the duplex target. In this structure, one strand forms Watson-Crick base pairs with the DNA  
20 strand in the anti-parallel orientation (the Watson-Crick binding portion), whereas the other strand forms Hoogsteen base pairs to the DNA strand in the parallel orientation (the Hoogsteen binding portion). A homopurine strand allows formation of a stable PNA/DNA/PNA triplex. PNA clamps can form at shorter homopurine sequences than those required by triplex-  
25 forming oligonucleotides (TFOs) and also do so with greater stability.

Suitable molecules for use in linkers of bis-PNA molecules include, but are not limited to, 8-amino-3,6-dioxaoctanoic acid, referred to as an O-linker, and 6-aminohexanoic acid. Poly(ethylene) glycol monomers can also  
30 be used in bis-PNA linkers. A bis-PNA linker can contain multiple linker molecule monomers in any combination.

PNAs can also include other positively charged moieties to increase the solubility of the PNA and increase the affinity of the PNA for duplex DNA. Commonly used positively charged moieties include the amino acids

lysine and arginine, although other positively charged moieties may also be useful. Lysine and arginine residues can be added to a bis-PNA linker or can be added to the carboxy or the N-terminus of a PNA strand.

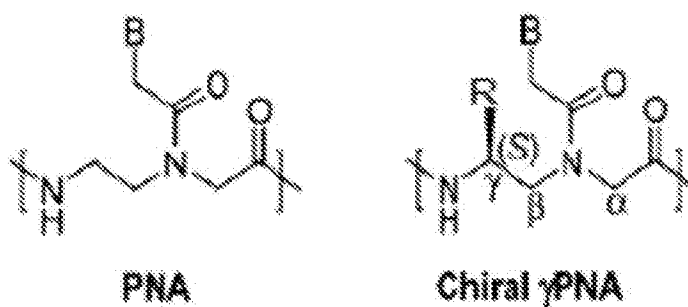
**c. Tail clamp peptide nucleic acids (tcPNA)**

5           Although polypurine:polypyrimidine stretches do exist in mammalian genomes, it is desirable to target triplex formation in the absence of this requirement. In some embodiments such as PNA, triplex-forming molecules include a “tail” added to the end of the Watson-Crick binding portion. Adding additional nucleobases, known as a “tail” or “tail clamp”, to the  
10       Watson-Crick binding portion that bind to the target strand outside the triple helix further reduces the requirement for a polypurine:polypyrimidine stretch and increases the number of potential target sites. The tail is most typically added to the end of the Watson-Crick binding sequence furthest from the linker. This molecule therefore mediates a mode of binding to DNA that  
15       encompasses both triplex and duplex formation (Kaihatsu, et al., *Biochemistry*, 42(47):13996-4003 (2003); Bentin, et al., *Biochemistry*, 42(47):13987-95 (2003)). For example, if the triplex-forming molecules are tail clamp PNA (tcPNA), the PNA/DNA/PNA triple helix portion and the PNA/DNA duplex portion both produce displacement of the pyrimidine-rich  
20       strand, creating an altered helical structure that strongly provokes the nucleotide excision repair pathway and activating the site for recombination with a donor DNA molecule (Rogers, et al., *Proc. Natl. Acad. Sci. U.S.A.*, 99(26):16695-700 (2002)).

          Tails added to clamp PNAs (sometimes referred to as bis-PNAs) form  
25       tail-clamp PNAs (referred to as tcPNAs) that have been described by Kaihatsu, et al., *Biochemistry*, 42(47):13996-4003 (2003); Bentin, et al., *Biochemistry*, 42(47):13987-95 (2003). tcPNAs are known to bind to DNA more efficiently due to low dissociation constants. The addition of the tail also increases binding specificity and binding stringency of the triplex-  
30       forming molecules to the target duplex. It has also been found that the addition of a tail to clamp PNA improves the frequency of recombination of the donor oligonucleotide at the target site compared to PNA without the tail.

#### d. PNA Modifications

25 PNA's can also include other positively charged moieties to increase  
the solubility of the PNA and increase the affinity of the PNA for duplex  
DNA. Commonly used positively charged moieties include the amino acids  
lysine and arginine, although other positively charged moieties may also be  
useful. Lysine and arginine residues can be added to a bis-PNA linker or can  
30 be added to the carboxy or the N-terminus of a PNA strand. Common  
modifications to PNA are discussed in Sugiyama and Kittaka, *Molecules*,  
18:287-310 (2013)) and Sahu, et al., *J. Org. Chem.*, 76, 5614-5627 (2011),  
each of which are specifically incorporated by reference in their entireties,  
and include, but are not limited to, incorporation of charged amino acid  
35 residues, such as lysine at the termini or in the interior part of the oligomer;  
inclusion of polar groups in the backbone, carboxymethylene bridge, and in  
the nucleobases; chiral PNAs bearing substituents on the original N-(2-  
aminoethyl)glycine backbone; replacement of the original aminoethylglycyl  
backbone skeleton with a negatively-charged scaffold; conjugation of high  
40 molecular weight polyethylene glycol (PEG) to one of the termini; fusion of  
PNA to DNA to generate a chimeric oligomer, redesign of the backbone  
architecture, conjugation of PNA to DNA or RNA. These modifications  
improve solubility but often result in reduced binding affinity and/or  
sequence specificity. In some embodiments, the some or all of the PNA  
45 monomers are modified at the gamma position in the polyamide backbone  
( $\gamma$ PNAs) as illustrated below (wherein "B" is a nucleobase and "R" is a  
substitution at the gamma position).



Substitution at the gamma position creates chirality and provides  
helical pre-organization to the PNA oligomer, yielding substantially

increased binding affinity to the target DNA (Rapireddy, et al., *Biochemistry*, 50(19):3913-8 (2011)). Other advantageous properties can be conferred depending on the chemical nature of the specific substitution at the gamma position (the “R” group in the chiral  $\gamma$ PNA above).

5           One class of  $\gamma$  substitution, is miniPEG, but other residues and side chains can be considered, and even mixed substitutions can be used to tune the properties of the oligomers. “MiniPEG” and “MP” refers to diethylene glycol. MiniPEG-containing  $\gamma$ PNAs are conformationally preorganized PNAs that exhibit superior hybridization properties and water solubility as  
10 compared to the original PNA design and other chiral  $\gamma$ PNAs.  $\gamma$ PNAs prepared from L-amino acids adopt a right-handed helix, while those prepared from D-amino acids adopt a left-handed helix; however, only the right-handed helical  $\gamma$ PNAs hybridize to DNA or RNA with high affinity and sequence selectivity. In the most preferred embodiments, some or all of the  
15 PNA monomers are miniPEG-containing  $\gamma$ PNAs (Sahu, et al., *J. Org. Chem.*, 76, 5614-5627 (2011)). In the embodiments, tcPNAs are prepared wherein every other PNA monomer on the Watson-Crick binding side of the linker is a miniPEG-containing  $\gamma$ PNA. Accordingly, the tail clamp side of the PNA has alternating PNA and miniPEG-containing  $\gamma$ PNA monomers.

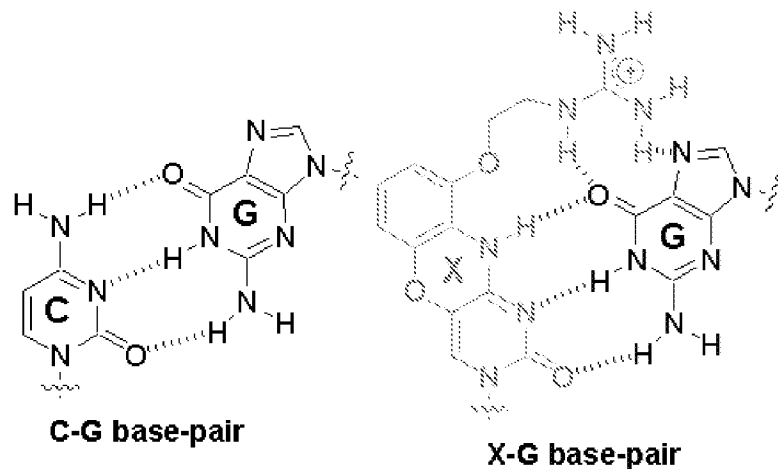
20           In some embodiments PNA-mediated gene editing are achieved via additional or alternative  $\gamma$  substitutions or other PNA chemical modifications including but limited to those introduced above and below. Examples of  $\gamma$  substitution with other side chains include that of alanine, serine, threonine, cysteine, valine, leucine, isoleucine, methionine, proline, phenylalanine,  
25 tyrosine, aspartic acid, glutamic acid, asparagine, glutamine, histidine, lysine, arginine, and the derivatives thereof. The “derivatives thereof” herein are defined as those chemical moieties that are covalently attached to these amino acid side chains, for instance, to that of serine, cysteine, threonine, tyrosine, aspartic acid, glutamic acid, asparagine, glutamine, lysine, and  
30 arginine.

In addition to  $\gamma$ PNAs showing consistently improved gene editing potency the level of off-target effects in the genome remains extremely low. This is in keeping with the lack of any intrinsic nuclease activity in the PNAs

(in contrast to ZFNs or CRISPR/Cas9 or TALENS), and reflects the mechanism of triplex-induced gene editing, which acts by creating an altered helix at the target-binding site that engages endogenous high fidelity DNA repair pathways. As discussed above, the SCF/c-Kit pathway also stimulates  
 5 these same pathways, providing for enhanced gene editing without increasing off-target risk or cellular toxicity.

Additionally, any of the triplex forming sequences can be modified to include guanidine-G-clamp (“G-clamp”) PNA monomer(s) to enhance PNA binding.  $\gamma$ PNAs with substitution of cytosine by clamp-G (9-(2-  
 10 guanidinoethoxy) phenoxazine), a cytosine analog that can form five H-bonds with guanine, and can also provide extra base stacking due to the expanded phenoxazine ring system and substantially increased binding affinity. In vitro studies indicate that a single clamp-G substitution for C can substantially enhance the binding of a PNA–DNA duplex by 230C (Kuhn, et al., *Artificial DNA, PNA & XNA*, 1(1):45-53(2010)). As a result,  $\gamma$ PNAs  
 15 containing G-clamp substitutions can have further increased activity.

The structure of a clamp-G monomer-to-G base pair (clamp-G indicated by the “X”) is illustrated below in comparison to C-G base pair.



20 Some studies have shown improvements using D-amino acids in peptide synthesis.

## 2. Triplex-forming Target Sequence Considerations

The triplex-forming molecules bind to a predetermined target region referred to herein as the “target sequence,” “target region,” or “target site.”  
 25 The target sequence for the triplex-forming molecules can be within or

adjacent to a human gene encoding, for example the beta globin, cystic  
fibrosis transmembrane conductance regulator (CFTR) or other gene  
discussed in more detail below, or an enzyme necessary for the metabolism  
of lipids, glycoproteins, or mucopolysaccharides, or another gene in need of  
5 correction. The target sequence can be within the coding DNA sequence of  
the gene or within an intron. The target sequence can also be within DNA  
sequences which regulate expression of the target gene, including promoter  
or enhancer sequences or sites that regulate RNA splicing.

The nucleotide sequences of the triplex-forming molecules are  
10 selected based on the sequence of the target sequence, the physical  
constraints, and the need to have a low dissociation constant ( $K_d$ ) for the  
triplex-forming molecules/target sequence. As used herein, triplex-forming  
molecules are said to be substantially complementary to a target region when  
the triplex-forming molecules has a heterocyclic base composition which  
15 allows for the formation of a triple-helix with the target region. As such, a  
triplex-forming molecules is substantially complementary to a target region  
even when there are non-complementary bases present in the triplex-forming  
molecules.

There are a variety of structural motifs available which can be used to  
20 determine the nucleotide sequence of a substantially complementary  
oligonucleotide. Preferably, the triplex-forming molecules bind to or  
hybridize to the target sequence under conditions of high stringency and  
specificity. Reaction conditions for *in vitro* triple helix formation of an  
triplex-forming molecules probe or primer to a nucleic acid sequence vary  
25 from triplex-forming molecules to triplex-forming molecules, depending on  
factors such as the length triplex-forming molecules, the number of G:C and  
A:T base pairs, and the composition of the buffer utilized in the  
hybridization reaction.

**a. Target sequence considerations for TFOs**

30 Preferably, the TFO is a single-stranded nucleic acid molecule  
between 7 and 40 nucleotides in length, most preferably 10 to 20 nucleotides  
in length for *in vitro* mutagenesis and 20 to 30 nucleotides in length for *in*  
*vivo* mutagenesis. The base composition may be homopurine or

homopyrimidine. Alternatively, the base composition may be polypurine or polypyrimidine. However, other compositions are also useful. Most preferably, the oligonucleotides bind in a sequence-specific manner within the major groove of duplex DNA. An oligonucleotide substantially  
5 complementary, based on the third strand binding code, to the target region of the double-stranded nucleic acid molecule is preferred. The oligonucleotides will have a base composition which is conducive to triple-helix formation and will be generated based on one of the known structural motifs for third strand binding. The most stable complexes are formed on  
10 polypurine:polypyrimidine elements, which are relatively abundant in mammalian genomes. Triplex formation by TFOs can occur with the third strand oriented either parallel or anti-parallel to the purine strand of the duplex. In the anti-parallel, purine motif, the triplets are G.G:C and A.A:T, whereas in the parallel pyrimidine motif, the canonical triplets are C<sup>+</sup>.G:C  
15 and T.A:T. The triplex structures are stabilized by two Hoogsteen hydrogen bonds between the bases in the TFO strand and the purine strand in the duplex. A review of base compositions for third strand binding oligonucleotides is provided in US Patent No. 5,422,251.

The oligonucleotides are preferably generated using known DNA  
20 synthesis procedures. In one embodiment, oligonucleotides are generated synthetically. Oligonucleotides can also be chemically modified using standard methods that are well known in the art.

**b. Target sequence considerations for PNAs**

Some triplex-forming molecules, such as PNA and tcPNA invade the  
25 target duplex, with displacement of the polypyrimidine strand, and induce triplex formation with the polypurine strand of the target duplex by both Watson-Crick and Hoogsteen binding. Preferably, both the Watson-Crick and Hoogsteen binding portions of the triplex forming molecules are substantially complementary to the target sequence. Although, as with  
30 triplex-forming oligonucleotides, a homopurine strand is needed to allow formation of a stable PNA/DNA/PNA triplex, PNA clamps can form at shorter homopurine sequences than those required by triplex-forming oligonucleotides and also do so with greater stability.

Preferably, PNAs are between 6 and 50 nucleotides in length. The Watson-Crick portion should be 9 or more nucleobases in length, optionally including a tail sequence. More preferably, the Watson-Crick binding portion is between about 9 and 30 nucleobases in length, optionally including  
5 a tail sequence of between 0 and about 15 nucleobases. More preferably, the Watson-Crick binding portion is between about 10 and 25 nucleobases in length, optionally including a tail sequence of between 0 and about 10 nucleobases. In the most preferred embodiment, the Watson-Crick binding portion is between 15 and 25 nucleobases in length, optionally including a  
10 tail sequence of between 5 and 10 nucleobases. The Hoogsteen binding portion should be 6 or more nucleobases in length. Most preferably, the Hoogsteen binding portion is between about 6 and 15 nucleobases, inclusive.

The triplex-forming molecules are designed to target the polypurine strand of a polypurine:polypyrimidine stretch in the target duplex nucleotide.  
15 Therefore, the base composition of the triplex-forming molecules may be homopyrimidine. Alternatively, the base composition may be polypyrimidine. The addition of a “tail” reduces the requirement for polypurine:polypyrimidine run. Adding additional nucleobases, known as a “tail,” to the Watson-Crick binding portion of the triplex-forming molecules  
20 allows the Watson-Crick binding portion to bind/hybridize to the target strand outside the site of polypurine sequence for triplex formation. These additional bases further reduce the requirement for the polypurine:polypyrimidine stretch in the target duplex and therefore increase the number of potential target sites. Triplex-forming oligonucleotides  
25 (TFOs) also require a polypurine:polypyrimidine sequence to form a triple helix. TFOs may require stretch of at least 15 and preferably 30 or more nucleotides. Peptide nucleic acids require fewer purines to form a triple helix, although at least 10 or preferably more may be needed. Peptide nucleic acids including a tail, also referred to as tail clamp PNAs, or tcPNAs,  
30 require even fewer purines to form a triple helix. A triple helix may be formed with a target sequence containing fewer than 8 purines. Therefore, PNAs should be designed to target a site on duplex nucleic acid containing between 6-30 polypurine:polypyrimidines, preferably, 6-25

polypurine:polypyrimidines, more preferably 6-20

polypurine:polypyrimidines.

The addition of a “mixed-sequence” tail to the Watson-Crick-binding strand of the triplex-forming molecules such as PNAs also increases the length of the triplex-forming molecule and, correspondingly, the length of the binding site. This increases the target specificity and size of the lesion created at the target site and disrupts the helix in the duplex nucleic acid, while maintaining a low requirement for a stretch of polypurine:polypyrimidines. Increasing the length of the target sequence improves specificity for the target, for example, a target of 17 base pairs will statistically be unique in the human genome. Relative to a smaller lesion, it is likely that a larger triplex lesion with greater disruption of the underlying DNA duplex will be detected and processed more quickly and efficiently by the endogenous DNA repair machinery that facilitates recombination of the donor oligonucleotide.

The triple-forming molecules are preferably generated using known synthesis procedures. In one embodiment, triplex-forming molecules are generated synthetically. Triplex-forming molecules can also be chemically modified using standard methods that are well known in the art.

#### **B. Pseudocomplementary Oligonucleotides**

The gene editing technology can be pseudocomplementary oligonucleotides such as those disclosed in U.S. Patent No. 8,309,356. “Double duplex-forming molecules,” are oligonucleotides that bind to duplex DNA in a sequence-specific manner to form a four-stranded structure. Double duplex-forming molecules, such as a pair of pseudocomplementary oligonucleotides, can induce recombination with a donor oligonucleotide at a chromosomal site in mammalian cells. Pseudocomplementary oligonucleotides are complementary oligonucleotides that contain one or more modifications such that they do not recognize or hybridize to each other, for example due to steric hindrance, but each can recognize and hybridize to its complementary nucleic acid strands at the target site. Preferred pseudocomplementary oligonucleotides include Pseudocomplementary peptide nucleic acids (pcPNAs). A

pseudocomplementary oligonucleotide is said to be substantially complementary to a target region when the oligonucleotide has a base composition which allows for the formation of a double duplex with the target region. As such, an oligonucleotide is substantially complementary to a target region even when there are non-complementary bases present in the oligonucleotide.

This strategy can be more efficient and provides increased flexibility over other methods of induced recombination such as triple-helix oligonucleotides and bis-peptide nucleic acids which prefer a polypurine sequence in the target double-stranded DNA. The design ensures that the pseudocomplementary oligonucleotides do not pair with each other but instead bind the cognate nucleic acids at the target site, inducing the formation of a double duplex.

The predetermined region that the double duplex-forming molecules bind to can be referred to as a “double duplex target sequence,” “double duplex target region,” or “double duplex target site.” The double duplex target sequence (DDTS) for the double duplex-forming oligonucleotides can be, for example, within or adjacent to a human gene in need of induced gene correction. The DDTS can be within the coding DNA sequence of the gene or within introns. The DDTS can also be within DNA sequences which regulate expression of the target gene, including promoter or enhancer sequences.

The nucleotide sequence of the pseudocomplementary oligonucleotides is selected based on the sequence of the DDTS. Therapeutic administration of pseudocomplementary oligonucleotides involves two single stranded oligonucleotides unlinked, or linked by a linker. One pseudocomplementary oligonucleotide strand is complementary to the DDTS, while the other is complementary to the displaced DNA strand. The use of pseudocomplementary oligonucleotides, particularly pcPNAs are not subject to limitation on sequence choice and/or target length and specificity as are triplex-forming oligonucleotides, helix-invading peptide nucleic acids (bis-PNAs) and side-by-side minor groove binders. Pseudocomplementary oligonucleotides do not require third-strand Hoogsteen-binding, and therefore are not restricted to homopurine targets. Pseudocomplementary

oligonucleotides can be designed for mixed, general sequence recognition of a desired target site. Preferably, the target site contains an A:T base pair content of about 40% or greater. Preferably pseudocomplementary oligonucleotides are between about 8 and 50 nucleobases, more preferably 8  
5 to 30, even more preferably between about 8 and 20 nucleobases.

The pseudocomplementary oligonucleotides should be designed to bind to the target site (DDTS) at a distance of between about 1 to 800 bases from the target site of the donor oligonucleotide. More preferably, the pseudocomplementary oligonucleotides bind at a distance of between about  
10 25 and 75 bases from the donor oligonucleotide. Most preferably, the pseudocomplementary oligonucleotides bind at a distance of about 50 bases from the donor oligonucleotide. Preferred pcPNA sequences for targeted repair of a mutation in the  $\beta$ -globin intron IVS2 (G to A) are described in U.S. Patent 8,309,356.

15 Preferably, the pseudocomplementary oligonucleotides bind/hybridize to the target nucleic acid molecule under conditions of high stringency and specificity. Most preferably, the oligonucleotides bind in a sequence-specific manner and induce the formation of double duplex. Specificity and binding affinity of the pseudocomplementary oligonucleotides may vary from  
20 oligonucleotide to oligonucleotide, depending on factors such as oligonucleotide length, the number of G:C and A:T base pairs, and the formulation.

### C. CRISPR/Cas

In some embodiments, the gene editing composition is the  
25 CRISPR/Cas system. CRISPR (Clustered Regularly Interspaced Short Palindromic Repeats) is an acronym for DNA loci that contain multiple, short, direct repetitions of base sequences. The prokaryotic CRISPR/Cas system has been adapted for use as gene editing (silencing, enhancing or changing specific genes) for use in eukaryotes (see, for example, Cong,  
30 *Science*, 15:339(6121):819–823 (2013) and Jinek, et al., *Science*, 337(6096):816-21 (2012)). By transfecting a cell with the required elements including a *cas* gene and specifically designed CRISPRs, the organism's genome can be cut and modified at any desired location. Methods of

preparing compositions for use in genome editing using the CRISPR/Cas systems are described in detail in WO 2013/176772 and WO 2014/018423, which are specifically incorporated by reference herein in their entireties.

In general, “CRISPR system” refers collectively to transcripts and other elements involved in the expression of or directing the activity of CRISPR-associated (“Cas”) genes, including sequences encoding a Cas gene, a *tracr* (trans-activating CRISPR) sequence (e.g., *tracr*RNA or an active partial *tracr*RNA), a *tracr*-mate sequence (encompassing a “direct repeat” and a *tracr*RNA-processed partial direct repeat in the context of an endogenous CRISPR system), a guide sequence (also referred to as a “spacer” in the context of an endogenous CRISPR system), or other sequences and transcripts from a CRISPR locus. One or more *tracr* mate sequences operably linked to a guide sequence (e.g., direct repeat-spacer-direct repeat) can also be referred to as pre-crRNA (pre-CRISPR RNA) before processing or crRNA after processing by a nuclease.

In some embodiments, a *tracr*RNA and crRNA are linked and form a chimeric crRNA-*tracr*RNA hybrid where a mature crRNA is fused to a partial *tracr*RNA via a synthetic stem loop to mimic the natural crRNA:*tracr*RNA duplex as described in Cong, *Science*, 15:339(6121):819–823 (2013) and Jinek, et al., *Science*, 337(6096):816-21 (2012)). A single fused crRNA-*tracr*RNA construct can also be referred to as a guide RNA or gRNA (or single-guide RNA (sgRNA)). Within an sgRNA, the crRNA portion can be identified as the “target sequence” and the *tracr*RNA is often referred to as the “scaffold.”

There are many resources available for helping practitioners determine suitable target sites once a desired DNA target sequence is identified. For example, numerous public resources, including a bioinformatically generated list of about 190,000 potential sgRNAs, targeting more than 40% of human exons, are available to aid practitioners in selecting target sites and designing the associate sgRNA to affect a nick or double strand break at the site. See also, [crispr.u-psud.fr/](http://crispr.u-psud.fr/), a tool designed to help scientists find CRISPR targeting sites in a wide range of species and generate the appropriate crRNA sequences.

In some embodiments, one or more vectors driving expression of one or more elements of a CRISPR system are introduced into a target cell such that expression of the elements of the CRISPR system direct formation of a CRISPR complex at one or more target sites. While the specifics can be varied in different engineered CRISPR systems, the overall methodology is similar. A practitioner interested in using CRISPR technology to target a DNA sequence (such as CTPS1) can insert a short DNA fragment containing the target sequence into a guide RNA expression plasmid. The sgRNA expression plasmid contains the target sequence (about 20 nucleotides), a form of the tracrRNA sequence (the scaffold) as well as a suitable promoter and necessary elements for proper processing in eukaryotic cells. Such vectors are commercially available (see, for example, Addgene). Many of the systems rely on custom, complementary oligos that are annealed to form a double stranded DNA and then cloned into the sgRNA expression plasmid. Co-expression of the sgRNA and the appropriate Cas enzyme from the same or separate plasmids in transfected cells results in a single or double strand break (depending of the activity of the Cas enzyme) at the desired target site.

#### **D. Zinc Finger Nucleases**

In some embodiments, the element that induces a single or a double strand break in the target cell's genome is a nucleic acid construct or constructs encoding a zinc finger nucleases (ZFNs). ZFNs are typically fusion proteins that include a DNA-binding domain derived from a zinc-finger protein linked to a cleavage domain.

The most common cleavage domain is the Type IIS enzyme FokI. FokI catalyzes double-stranded cleavage of DNA, at 9 nucleotides from its recognition site on one strand and 13 nucleotides from its recognition site on the other. See, for example, U.S. Pat. Nos. 5,356,802; 5,436,150 and 5,487,994; as well as Li et al. *Proc., Natl. Acad. Sci. USA* 89 (1992):4275-4279; Li et al. *Proc. Natl. Acad. Sci. USA*, 90:2764-2768 (1993); Kim et al. *Proc. Natl. Acad. Sci. USA*. 91:883-887 (1994a); Kim et al. *J. Biol. Chem.* 269:31,978-31,982 (1994b). One or more of these enzymes (or enzymatically functional fragments thereof) can be used as a source of cleavage domains.

The DNA-binding domain, which can, in principle, be designed to target any genomic location of interest, can be a tandem array of Cys<sub>2</sub>His<sub>2</sub> zinc fingers, each of which generally recognizes three to four nucleotides in the target DNA sequence. The Cys<sub>2</sub>His<sub>2</sub> domain has a general structure: Phe  
5 (sometimes Tyr)-Cys-(2 to 4 amino acids)-Cys-(3 amino acids)-  
Phe(sometimes Tyr)-(5 amino acids)-Leu-(2 amino acids)-His-(3 amino acids)-His. By linking together multiple fingers (the number varies: three to six fingers have been used per monomer in published studies), ZFN pairs can be designed to bind to genomic sequences 18-36 nucleotides long.

10 Engineering methods include, but are not limited to, rational design and various types of empirical selection methods. Rational design includes, for example, using databases including triplet (or quadruplet) nucleotide sequences and individual zinc finger amino acid sequences, in which each triplet or quadruplet nucleotide sequence is associated with one or more  
15 amino acid sequences of zinc fingers which bind the particular triplet or quadruplet sequence. See, for example, U.S. Pat. Nos. 6, 140,081; 6,453,242; 6,534,261; 6,610,512; 6,746,838; 6,866,997; 7,067,617; U.S. Published Application Nos. 2002/0165356; 2004/0197892; 2007/0154989; 2007/0213269; and International Patent Application Publication Nos. WO  
20 98/53059 and WO 2003/016496.

#### **E. Transcription Activator-Like Effector Nucleases**

In some embodiments, the element that induces a single or a double strand break in the target cell's genome is a nucleic acid construct or constructs encoding a transcription activator-like effector nuclease  
25 (TALEN). TALENs have an overall architecture similar to that of ZFNs, with the main difference that the DNA-binding domain comes from TAL effector proteins, transcription factors from plant pathogenic bacteria. The DNA-binding domain of a TALEN is a tandem array of amino acid repeats, each about 34 residues long. The repeats are very similar to each other;  
30 typically they differ principally at two positions (amino acids 12 and 13, called the repeat variable diresidue, or RVD). Each RVD specifies preferential binding to one of the four possible nucleotides, meaning that each TALEN repeat binds to a single base pair, though the NN RVD is

known to bind adenines in addition to guanine. TAL effector DNA binding is mechanistically less well understood than that of zinc-finger proteins, but their seemingly simpler code could prove very beneficial for engineered-nuclease design. TALENs also cleave as dimers, have relatively long target  
5 sequences (the shortest reported so far binds 13 nucleotides per monomer) and appear to have less stringent requirements than ZFNs for the length of the spacer between binding sites. Monomeric and dimeric TALENs can include more than 10, more than 14, more than 20, or more than 24 repeats.

Methods of engineering TAL to bind to specific nucleic acids are  
10 described in Cermak, et al, *Nucl. Acids Res.* 1-11 (2011). U.S. Published Application No. 2011/0145940, which discloses TAL effectors and methods of using them to modify DNA. Miller et al. *Nature Biotechnol* 29: 143 (2011) reported making TALENs for site-specific nuclease architecture by linking TAL truncation variants to the catalytic domain of FokI nuclease.  
15 The resulting TALENs were shown to induce gene modification in immortalized human cells. General design principles for TALE binding domains can be found in, for example, WO 2011/072246.

#### **IV. Donor Oligonucleotides**

In some embodiments, the gene editing composition includes or is  
20 administered in combination with a donor oligonucleotide. Generally, in the case of gene therapy, the donor oligonucleotide includes a sequence that can correct a mutation(s) in the host genome, though in some embodiments, the donor introduces a mutation that can, for example, reduce expression of an oncogene or a receptor that facilitates HIV infection. In addition to  
25 containing a sequence designed to introduce the desired correction or mutation, the donor oligonucleotide may also contain synonymous (silent) mutations (e.g., 7 to 10). The additional silent mutations can facilitate detection of the corrected target sequence using allele-specific PCR of genomic DNA isolated from treated cells.

##### **A. Preferred Donor Oligonucleotide Design for Triplex and Double-Duplex based Technologies**

The triplex forming molecules including peptide nucleic acids may be administered in combination with, or tethered to, a donor oligonucleotide

via a mixed sequence linker or used in conjunction with a non-tethered donor oligonucleotide that is substantially homologous to the target sequence.

Triplex-forming molecules can induce recombination of a donor oligonucleotide sequence up to several hundred base pairs away. It is  
5 preferred that the donor oligonucleotide sequence is between 1 to 800 bases from the target binding site of the triplex-forming molecules. More preferably the donor oligonucleotide sequence is between 25 to 75 bases from the target binding site of the triplex-forming molecules. Most preferably that the donor oligonucleotide sequence is about 50 nucleotides  
10 from the target binding site of the triplex-forming molecules.

The donor sequence can contain one or more nucleic acid sequence alterations compared to the sequence of the region targeted for recombination, for example, a substitution, a deletion, or an insertion of one or more nucleotides. Successful recombination of the donor sequence results  
15 in a change of the sequence of the target region. Donor oligonucleotides are also referred to herein as donor fragments, donor nucleic acids, donor DNA, or donor DNA fragments. This strategy exploits the ability of a triplex to provoke DNA repair, potentially increasing the probability of recombination with the homologous donor DNA. It is understood in the art that a greater  
20 number of homologous positions within the donor fragment will increase the probability that the donor fragment will be recombined into the target sequence, target region, or target site. Tethering of a donor oligonucleotide to a triplex-forming molecule facilitates target site recognition via triple helix formation while at the same time positioning the tethered donor fragment for  
25 possible recombination and information transfer. Triplex-forming molecules also effectively induce homologous recombination of non-tethered donor oligonucleotides. The term “recombinagenic” as used herein, is used to define a DNA fragment, oligonucleotide, peptide nucleic acid, or composition as being able to recombine into a target site or sequence or  
30 induce recombination of another DNA fragment, oligonucleotide, or composition.

Non-tethered or unlinked fragments may range in length from 20 nucleotides to several thousand. The donor oligonucleotide molecules,

whether linked or unlinked, can exist in single stranded or double stranded form. The donor fragment to be recombined can be linked or un-linked to the triplex forming molecules. The linked donor fragment may range in length from 4 nucleotides to 100 nucleotides, preferably from 4 to 80  
5 nucleotides in length. However, the unlinked donor fragments have a much broader range, from 20 nucleotides to several thousand. In one embodiment the oligonucleotide donor is between 25 and 80 nucleobases. In a further embodiment, the non-tethered donor nucleotide is about 50 to 60 nucleotides in length.

10 The donor oligonucleotides contain at least one mutated, inserted or deleted nucleotide relative to the target DNA sequence. Target sequences can be within the coding DNA sequence of the gene or within introns. Target sequences can also be within DNA sequences which regulate expression of the target gene, including promoter or enhancer sequences or sequences that  
15 regulate RNA splicing.

The donor oligonucleotides can contain a variety of mutations relative to the target sequence. Representative types of mutations include, but are not limited to, point mutations, deletions and insertions. Deletions and insertions can result in frameshift mutations or deletions. Point  
20 mutations can cause missense or nonsense mutations. These mutations may disrupt, reduce, stop, increase, improve, or otherwise alter the expression of the target gene.

Compositions including triplex-forming molecules such as tcPNA may include one or more than one donor oligonucleotides. More than one  
25 donor oligonucleotides may be administered with triplex-forming molecules in a single transfection, or sequential transfections. Use of more than one donor oligonucleotide may be useful, for example, to create a heterozygous target gene where the two alleles contain different modifications.

Donor oligonucleotides are preferably DNA oligonucleotides,  
30 composed of the principal naturally-occurring nucleotides (uracil, thymine, cytosine, adenine and guanine) as the heterocyclic bases, deoxyribose as the sugar moiety, and phosphate ester linkages. Donor oligonucleotides may include modifications to nucleobases, sugar moieties, or backbone/linkages,

as described above, depending on the desired structure of the replacement sequence at the site of recombination or to provide some resistance to degradation by nucleases. Modifications to the donor oligonucleotide should not prevent the donor oligonucleotide from successfully recombining at the recombination target sequence in the presence of triplex-forming molecules.

**B. Preferred Donor Oligonucleotides Design for Nuclease-based Technologies**

The nuclease activity of the genome editing systems described herein cleave target DNA to produce single or double strand breaks in the target DNA. Double strand breaks can be repaired by the cell in one of two ways: non-homologous end joining, and homology-directed repair. In non-homologous end joining (NHEJ), the double-strand breaks are repaired by direct ligation of the break ends to one another. As such, no new nucleic acid material is inserted into the site, although some nucleic acid material may be lost, resulting in a deletion. In homology-directed repair, a donor polynucleotide with homology to the cleaved target DNA sequence is used as a template for repair of the cleaved target DNA sequence, resulting in the transfer of genetic information from a donor polynucleotide to the target DNA. As such, new nucleic acid material can be inserted/copied into the site.

Therefore, in some embodiments, the genome editing composition optionally includes a donor polynucleotide. The modifications of the target DNA due to NHEJ and/or homology-directed repair can be used to induce gene correction, gene replacement, gene tagging, transgene insertion, nucleotide deletion, gene disruption, gene mutation, etc.

Accordingly, cleavage of DNA by the genome editing composition can be used to delete nucleic acid material from a target DNA sequence by cleaving the target DNA sequence and allowing the cell to repair the sequence in the absence of an exogenously provided donor polynucleotide. Alternatively, if the genome editing composition includes a donor polynucleotide sequence that includes at least a segment with homology to the target DNA sequence, the methods can be used to add, i.e., insert or replace, nucleic acid material to a target DNA sequence (e.g., to “knock in” a

nucleic acid that encodes for a protein, an siRNA, an miRNA, etc.), to add a tag (e.g., 6xHis, a fluorescent protein (e.g., a green fluorescent protein; a yellow fluorescent protein, etc.), hemagglutinin (HA), FLAG, etc.), to add a regulatory sequence to a gene (e.g., promoter, polyadenylation signal, internal ribosome entry sequence (IRES), 2A peptide, start codon, stop codon, splice signal, localization signal, etc.), to modify a nucleic acid sequence (e.g., introduce a mutation), and the like. As such, the compositions can be used to modify DNA in a site-specific, i.e., “targeted”, way, for example gene knock-out, gene knock-in, gene editing, gene tagging, etc. as used in, for example, gene therapy.

In applications in which it is desirable to insert a polynucleotide sequence into a target DNA sequence, a polynucleotide including a donor sequence to be inserted is also provided to the cell. By a “donor sequence” or “donor polynucleotide” or “donor oligonucleotide” it is meant a nucleic acid sequence to be inserted at the cleavage site. The donor polynucleotide typically contains sufficient homology to a genomic sequence at the cleavage site, e.g., 70%, 80%, 85%, 90%, 95%, or 100% homology with the nucleotide sequences flanking the cleavage site, e.g., within about 50 bases or less of the cleavage site, e.g., within about 30 bases, within about 15 bases, within about 10 bases, within about 5 bases, or immediately flanking the cleavage site, to support homology-directed repair between it and the genomic sequence to which it bears homology. The donor sequence is typically not identical to the genomic sequence that it replaces. Rather, the donor sequence may contain at least one or more single base changes, insertions, deletions, inversions or rearrangements with respect to the genomic sequence, so long as sufficient homology is present to support homology-directed repair. In some embodiments, the donor sequence includes a non-homologous sequence flanked by two regions of homology, such that homology-directed repair between the target DNA region and the two flanking sequences results in insertion of the non-homologous sequence at the target region.

## V. Oligonucleotide Composition

Any of the gene editing technologies, components thereof, donor oligonucleotides, or other nucleic acids disclosed herein can include one or more modifications or substitutions to the nucleobases or linkages. Although  
5 modifications are particularly preferred for use with triplex-forming technologies and typically discussed below with reference thereto, any of the modifications can be utilized in the construction of any of the disclosed gene editing compositions, donor, nucleotides, etc. Modifications should not prevent, and preferably enhance the activity, persistence, or function of the  
10 gene editing technology. For example, modifications to oligonucleotides for use as triplex-forming should not prevent, and preferably enhance duplex invasion, strand displacement, and/or stabilize triplex formation as described above by increasing specificity or binding affinity of the triplex-forming molecules to the target site. Modified bases and base analogues, modified  
15 sugars and sugar analogues and/or various suitable linkages known in the art are also suitable for use in the molecules disclosed herein. Several preferred oligonucleotide compositions including PNA, and modification thereof to include MiniPEG at the  $\gamma$  position in the PNA backbone, are discussed above. Additional modifications are discussed in more detail below.

### A. Heterocyclic Bases

The principal naturally-occurring nucleotides include uracil, thymine, cytosine, adenine and guanine as the heterocyclic bases. Gene editing molecules can include chemical modifications to their nucleotide constituents. For example, target sequences with adjacent cytosines can be  
25 problematic. Triplex stability is greatly compromised by runs of cytosines, thought to be due to repulsion between the positive charge resulting from the  $N^3$  protonation or perhaps because of competition for protons by the adjacent cytosines. Chemical modification of nucleotides including triplex-forming molecules such as PNAs may be useful to increase binding affinity of  
30 triplex-forming molecules and/or triplex stability under physiologic conditions.

Chemical modifications of heterocyclic bases or heterocyclic base analogs may be effective to increase the binding affinity of a nucleotide or its

stability in a triplex. Chemically-modified heterocyclic bases include, but are not limited to, inosine, 5-(1-propynyl) uracil (pU), 5-(1-propynyl) cytosine (pC), 5-methylcytosine, 8-oxo-adenine, pseudocytosine, pseudoisocytosine, 5 and 2-amino-5-(2'-deoxy- $\beta$ -D-ribofuranosyl)pyridine (2-aminopyridine), and various pyrrolo- and pyrazolopyrimidine derivatives. Substitution of 5-methylcytosine or pseudoisocytosine for cytosine in triplex-forming molecules such as PNAs helps to stabilize triplex formation at neutral and/or physiological pH, especially in triplex-forming molecules with isolated cytosines. This is because the positive charge partially reduces the negative charge repulsion between the triplex-forming molecules and the target duplex, and allows for Hoogsteen binding.

### **B. Backbone**

The nucleotide subunits of the triplex-forming molecules such as PNAs are connected by an internucleotide bond that refers to a chemical linkage between two nucleoside moieties. Peptide nucleic acids (PNAs) are synthetic DNA mimics in which the phosphate backbone of the oligonucleotide is replaced in its entirety by repeating N-(2-aminoethyl)-glycine units and phosphodiester bonds are typically replaced by peptide bonds. The various heterocyclic bases are linked to the backbone by methylene carbonyl bonds, which allow them to form PNA-DNA or PNA-RNA duplexes via Watson-Crick base pairing with high affinity and sequence-specificity. PNAs maintain spacing of heterocyclic bases that is similar to conventional DNA oligonucleotides, but are achiral and neutrally charged molecules. Peptide nucleic acids are composed of peptide nucleic acid monomers.

Other backbone modifications, particularly those relating to PNAs, include peptide and amino acid variations and modifications. Thus, the backbone constituents of PNAs may be peptide linkages, or alternatively, they may be non-peptide linkages. Examples include acetyl caps, amino spacers such as 8-amino-3,6-dioxaoctanoic acid (referred to herein as O-linkers), amino acids such as lysine are particularly useful if positive charges are desired in the PNA, and the like. Methods for the chemical assembly of

PNAs are well known. See, for example, U.S. Patent No. 5,539,082, 5,527,675, 5,623,049, 5,714,331, 5,736,336, 5,773,571 and 5,786,571.

Backbone modifications used to generate triplex-forming molecules should not prevent the molecules from binding with high specificity to the target site and creating a triplex with the target duplex nucleic acid by displacing one strand of the target duplex and forming a clamp around the other strand of the target duplex.

### C. Modified Nucleic Acids

Modified nucleic acids in addition to peptide nucleic acids are also useful as triplex-forming molecules. Oligonucleotides are composed a chain of nucleotides which are linked to one another. Canonical nucleotides typically include a heterocyclic base (nucleic acid base), a sugar moiety attached to the heterocyclic base, and a phosphate moiety which esterifies a hydroxyl function of the sugar moiety. The principal naturally-occurring nucleotides include uracil, thymine, cytosine, adenine and guanine as the heterocyclic bases, and ribose or deoxyribose sugar linked by phosphodiester bonds. As used herein “modified nucleotide” or “chemically modified nucleotide” defines a nucleotide that has a chemical modification of one or more of the heterocyclic base, sugar moiety or phosphate moiety constituents. Preferably the charge of the modified nucleotide is reduced compared to DNA or RNA oligonucleotides of the same nucleobase sequence. Most preferably the triplex-forming molecules have low negative charge, no charge, or positive charge such that electrostatic repulsion with the nucleotide duplex at the target site is reduced compared to DNA or RNA oligonucleotides with the corresponding nucleobase sequence.

Examples of modified nucleotides with reduced charge include modified internucleotide linkages such as phosphate analogs having achiral and uncharged intersubunit linkages (e.g., Sterchak, E. P. et al., *Organic Chem.*, 52:4202, (1987)), and uncharged morpholino-based polymers having achiral intersubunit linkages (see, e.g., U.S. Patent No. 5,034,506). Some internucleotide linkage analogs include morpholidate, acetal, and polyamide-linked heterocycles. Locked nucleic acids (LNA) are modified RNA nucleotides (see, for example, Braasch, et al., *Chem. Biol.*, 8(1):1-7 (2001)).

LNAs form hybrids with DNA which are more stable than DNA/DNA hybrids, a property similar to that of peptide nucleic acid (PNA)/DNA hybrids. Therefore, LNA can be used just as PNA molecules would be. LNA binding efficiency can be increased in some embodiments by adding positive  
5 charges to it. Commercial nucleic acid synthesizers and standard phosphoramidite chemistry are used to make LNAs.

Molecules may also include nucleotides with modified heterocyclic bases, sugar moieties or sugar moiety analogs. Modified nucleotides may include modified heterocyclic bases or base analogs as described above with  
10 respect to peptide nucleic acids. Sugar moiety modifications include, but are not limited to, 2'-*O*-aminoethoxy, 2'-*O*-aminoethyl (2'-OAE), 2'-*O*-methoxy, 2'-*O*-methyl, 2-guanidoethyl (2'-OGE), 2'-*O*,4'-*C*-methylene (LNA), 2'-*O*-(methoxyethyl) (2'-OME) and 2'-*O*-(*N*-(methyl)acetamido) (2'-OMA). 2'-*O*-aminoethyl sugar moiety substitutions are especially  
15 preferred because they are protonated at neutral pH and thus suppress the charge repulsion between the triplex-forming molecule and the target duplex. This modification stabilizes the C3'-endo conformation of the ribose or deoxyribose and also forms a bridge with the *i-1* phosphate in the purine strand of the duplex.

## 20 **VI. Nanoparticle Delivery Vehicles**

Any of the disclosed compositions including, but not limited to potentiating factors, gene editing molecules, donor oligonucleotides, etc., can be delivered to the target cells using a nanoparticle delivery vehicle. In some  
25 embodiments, some of the compositions are packaged in nanoparticles and some are not. For example, in some embodiments, the gene editing technology and/or donor oligonucleotide is incorporated into nanoparticles while the potentiating factor is not. In some embodiments, the gene editing technology and/or donor oligonucleotide, and the potentiating factor are packaged in nanoparticles. The different compositions can be packaged in  
30 the same nanoparticles or different nanoparticles. For example, the compositions can be mixed and packaged together. In some embodiments, the different compositions are packaged separately into separate nanoparticles wherein the nanoparticles are similarly or identically composed

and/or manufactured. In some embodiments, the different compositions are packaged separately into separate nanoparticles wherein the nanoparticles are differentially composed and/or manufactured.

Nanoparticles generally refers to particles in the range of between  
5 500 nm to less than 0.5 nm, preferably having a diameter that is between 50  
and 500 nm, more preferably having a diameter that is between 50 and 300  
nm. Cellular internalization of polymeric particles is highly dependent upon  
their size, with nanoparticulate polymeric particles being internalized by  
cells with much higher efficiency than microparticulate polymeric particles.  
10 For example, Desai, et al. have demonstrated that about 2.5 times more  
nanoparticles that are 100 nm in diameter are taken up by cultured Caco-2  
cells as compared to microparticles having a diameter on 1  $\mu$ M (Desai, et al.,  
*Pharm. Res.*, 14:1568-73 (1997)). Nanoparticles also have a greater ability  
to diffuse deeper into tissues *in vivo*.

#### 15 **A. Polymer**

The polymer that forms the core of the nanoparticle may be any  
biodegradable or non-biodegradable synthetic or natural polymer. In a  
preferred embodiment, the polymer is a biodegradable polymer.  
Nanoparticles are ideal materials for the fabrication of gene editing delivery  
20 vehicles: 1) control over the size range of fabrication, down to 100 nm or  
less, an important feature for passing through biological barriers; 2)  
reproducible biodegradability without the addition of enzymes or cofactors;  
3) capability for sustained release of encapsulated, protected nucleic acids  
over a period in the range of days to months by varying factors such as the  
25 monomer ratios or polymer size, for example, the ratio of lactide to glycolide  
monomer units in poly(lactide-co-glycolide) (PLGA); 4) well-understood  
fabrication methodologies that offer flexibility over the range of parameters  
that can be used for fabrication, including choices of the polymer material,  
solvent, stabilizer, and scale of production; and 5) control over surface  
30 properties facilitating the introduction of modular functionalities into the  
surface.

Examples of preferred biodegradable polymers include synthetic  
polymers that degrade by hydrolysis such as poly(hydroxy acids), such as

polymers and copolymers of lactic acid and glycolic acid, other degradable polyesters, polyanhydrides, poly(ortho)esters, polyesters, polyurethanes, poly(butic acid), poly(valeric acid), poly(caprolactone), poly(hydroxyalkanoates), poly(lactide-co-caprolactone), and poly(amine-co-ester) polymers, such as those described in Zhou, et al., *Nature Materials*, 11:82-90 (2012) and WO 2013/082529, U.S. Published Application No. 2014/0342003, and PCT/US2015/061375.

Preferred natural polymers include alginate and other polysaccharides, collagen, albumin and other hydrophilic proteins, zein and other prolamines and hydrophobic proteins, copolymers and mixtures thereof. In general, these materials degrade either by enzymatic hydrolysis or exposure to water *in vivo*, by surface or bulk erosion.

In some embodiments, non-biodegradable polymers can be used, especially hydrophobic polymers. Examples of preferred non-biodegradable polymers include ethylene vinyl acetate, poly(meth) acrylic acid, copolymers of maleic anhydride with other unsaturated polymerizable monomers, poly(butadiene maleic anhydride), polyamides, copolymers and mixtures thereof, and dextran, cellulose and derivatives thereof.

Other suitable biodegradable and non-biodegradable polymers include, but are not limited to, polyanhydrides, polyamides, polycarbonates, polyalkylenes, polyalkylene oxides such as polyethylene glycol, polyalkylene terephthalates such as poly(ethylene terephthalate), polyvinyl alcohols, polyvinyl ethers, polyvinyl esters, polyethylene, polypropylene, poly(vinyl acetate), poly vinyl chloride, polystyrene, polyvinyl halides, polyvinylpyrrolidone, polymers of acrylic and methacrylic esters, polysiloxanes, polyurethanes and copolymers thereof, modified celluloses, alkyl cellulose, hydroxyalkyl celluloses, cellulose ethers, cellulose esters, nitro celluloses, cellulose acetate, cellulose propionate, cellulose acetate butyrate, cellulose acetate phthalate, carboxyethyl cellulose, cellulose triacetate, cellulose sulfate sodium salt, and polyacrylates such as poly(methyl methacrylate), poly(ethylmethacrylate), poly(butylmethacrylate), poly(isobutylmethacrylate), poly(hexylmethacrylate), poly(isodecylmethacrylate), poly(lauryl

methacrylate), poly(phenyl methacrylate), poly(methyl acrylate), poly(isopropyl acrylate), poly(isobutyl acrylate), poly(octadecyl acrylate). These materials may be used alone, as physical mixtures (blends), or as copolymers.

5           The polymer may be a bioadhesive polymer that is hydrophilic or hydrophobic. Hydrophilic polymers include CARBOPOL™ (a high molecular weight, crosslinked, acrylic acid-based polymers manufactured by NOVEON™), polycarbophil, cellulose esters, and dextran.

10           Release rate controlling polymers may be included in the polymer matrix or in the coating on the formulation. Examples of rate controlling polymers that may be used are hydroxypropylmethylcellulose (HPMC) with viscosities of either 5, 50, 100 or 4000 cps or blends of the different viscosities, ethylcellulose, methylmethacrylates, such as EUDRAGIT® RS100, EUDRAGIT® RL100, EUDRAGIT® NE 30D (supplied by Rohm  
15   America). Gastrosoluble polymers, such as EUDRAGIT® E100 or enteric polymers such as EUDRAGIT® L100-55D, L100 and S100 may be blended with rate controlling polymers to achieve pH dependent release kinetics. Other hydrophilic polymers such as alginate, polyethylene oxide, carboxymethylcellulose, and hydroxyethylcellulose may be used as rate  
20   controlling polymers.

          These polymers can be obtained from sources such as Sigma Chemical Co., St. Louis, MO; Polysciences, Warrenton, PA; Aldrich, Milwaukee, WI; Fluka, Ronkonkoma, NY; and BioRad, Richmond, CA, or can be synthesized from monomers obtained from these or other suppliers  
25   using standard techniques.

          In a preferred embodiment, the nanoparticles are formed of polymers fabricated from polylactides (PLA) and copolymers of lactide and glycolide (PLGA). These have established commercial use in humans and have a long safety record (Jiang, et al., *Adv. Drug Deliv. Rev.*, 57(3):391-410); Aguado and Lambert, *Immunobiology*, 184(2-3):113-25 (1992); Bramwell, et al., *Adv. Drug Deliv. Rev.*, 57(9):1247-65 (2005)). These polymers have been  
30   used to encapsulate siRNA (Yuan, et al., *Jour. Nanoscience and Nanotechnology*, 6:2821-8 (2006); Braden, et al., *Jour. Biomed.*

*Nanotechnology*, 3:148-59 (2007); Khan, et al., *Jour. Drug Target*, 12:393-404 (2004); Woodrow, et al., *Nature Materials*, 8:526-533 (2009)). Murata, et al., *J. Control. Release*, 126(3):246-54 (2008) showed inhibition of tumor growth after intratumoral injection of PLGA microspheres encapsulating  
5 siRNA targeted against vascular endothelial growth factor (VEGF).

However, these microspheres were too large to be endocytosed (35-45  $\mu\text{m}$ ) (Conner and Schmid, *Nature*, 422(6927):37-44 (2003)) and required release of the anti-VEGF siRNA extracellularly as a polyplex with either polyarginine or PEI before they could be internalized by the cell. These  
10 microparticles may have limited applications because of the toxicity of the polycations and the size of the particles. Nanoparticles (100-300 nm) of PLGA can penetrate deep into tissue and are easily internalized by many cells (Conner and Schmid, *Nature*, 422(6927):37-44 (2003)).

The nanoparticles can be designed to release encapsulated nucleic  
15 acids over a period of days to weeks. Factors that affect the duration of release include pH of the surrounding medium (higher rate of release at pH 5 and below due to acid catalyzed hydrolysis of PLGA) and polymer composition. Aliphatic polyesters differ in hydrophobicity, affecting degradation rate. Specifically, the hydrophobic poly (lactic acid) (PLA),  
20 more hydrophilic poly (glycolic acid) PGA and their copolymers, poly (lactide-co-glycolide) (PLGA) have various release rates. The degradation rate of these polymers, and often the corresponding drug release rate, can vary from days (PGA) to months (PLA) and is easily manipulated by varying the ratio of PLA to PGA.

25 Exemplary nanoparticles are described in U.S. Patent Nos. 4,883,666, 5,114,719, 5,601,835, 7,534,448, 7,534,449, 7,550,154, and 8,889,117, and U.S. Published Application Nos. 2009/0269397, 2009/0239789, 2010/0151436, 2011/0008451, 2011/0268810, 2014/0342003, 2015/0118311, 2015/0125384, 2015/0073041, Hubbell, et al., *Science*,  
30 337:303-305 (2012), Cheng, et al., *Biomaterials*, 32:6194-6203 (2011), Rodriguez, et al., *Science*, 339:971-975 (2013), Hrkach, et al., *Sci Transl Med.*, 4:128ra139 (2012), McNeer, et al., *Mol Ther.*, 19:172-180 (2011), McNeer, et al., *Gene Ther.*, 20:658-659 (2013), Babar, et al., *Proc Natl Acad*

*Sci USA*, 109:E1695-E1704 (2012), Fields, et al., *J Control Release* 164:41-48 (2012), and Fields, et al., *Advanced Healthcare Materials*, 361-366 (2015).

### **B. Polycations**

5 In a preferred embodiment, the nucleic acids are complexed to polycations to increase the encapsulation efficiency of the nucleic acids into the nanoparticles. The term “polycation” refers to a compound having a positive charge, preferably at least 2 positive charges, at a selected pH, preferably physiological pH. Polycationic moieties have between about 2 to 10 about 15 positive charges, preferably between about 2 to about 12 positive charges, and more preferably between about 2 to about 8 positive charges at selected pH values.

Many polycations are known in the art. Suitable constituents of polycations include basic amino acids and their derivatives such as arginine, 15 asparagine, glutamine, lysine and histidine; cationic dendrimers; and amino polysaccharides. Suitable polycations can be linear, such as linear tetralysine, branched or dendrimeric in structure.

Exemplary polycations include, but are not limited to, synthetic polycations based on acrylamide and 2-acrylamido-2- 20 methylpropanetrimethylamine, poly(N-ethyl-4-vinylpyridine) or similar quartemized polypyridine, diethylaminoethyl polymers and dextran conjugates, polymyxin B sulfate, lipopolyamines, poly(allylamines) such as the strong polycation poly(dimethyldiallylammonium chloride), polyethyleneimine, polybrene, and polypeptides such as protamine, the 25 histone polypeptides, polylysine, polyarginine and polyornithine.

In one embodiment, the polycation is a polyamine. Polyamines are compounds having two or more primary amine groups. In a preferred embodiment, the polyamine is a naturally occurring polyamine that is produced in prokaryotic or eukaryotic cells. Naturally occurring polyamines 30 represent compounds with cations that are found at regularly-spaced intervals and are therefore particularly suitable for complexing with nucleic acids. Polyamines play a major role in very basic genetic processes such as DNA synthesis and gene expression. Polyamines are integral to cell

migration, proliferation and differentiation in plants and animals. The metabolic levels of polyamines and amino acid precursors are critical and hence biosynthesis and degradation are tightly regulated. Suitable naturally occurring polyamines include, but are not limited to, spermine, spermidine, 5 cadaverine and putrescine. In a preferred embodiment, the polyamine is spermidine.

In another embodiment, the polycation is a cyclic polyamine. Cyclic polyamines are known in the art and are described, for example, in U.S. Patent No. 5,698,546, WO 1993/012096 and WO 2002/010142. Exemplary 10 cyclic polyamines include, but are not limited to, cyclen.

Spermine and spermidine are derivatives of putrescine (1,4-diaminobutane) which is produced from L-ornithine by action of ODC (ornithine decarboxylase). L-ornithine is the product of L-arginine degradation by arginase. Spermidine is a triamine structure that is produced 15 by spermidine synthase (SpdS) which catalyzes monoalkylation of putrescine (1,4-diaminobutane) with decarboxylated S-adenosylmethionine (dcAdoMet) 3-aminopropyl donor. The formal alkylation of both amino groups of putrescine with the 3-aminopropyl donor yields the symmetrical tetraamine spermine. The biosynthesis of spermine proceeds to spermidine by the effect 20 of spermine synthase (SpmS) in the presence of dcAdoMet. The 3-aminopropyl donor (dcAdoMet) is derived from S-adenosylmethionine by sequential transformation of L-methionine by methionine adenosyltransferase followed by decarboxylation by AdoMetDC (S-adenosylmethionine decarboxylase). Hence, putrescine, spermidine and 25 spermine are metabolites derived from the amino acids L-arginine (L-ornithine, putrescine) and L-methionine (dcAdoMet, aminopropyl donor).

In some embodiments, the particles themselves are a polycation (e.g., a blend of PLGA and poly(beta amino ester)).

### **C. Coupling Agents or Ligands**

30 The external surface of the polymeric nanoparticles may be modified by conjugating to, or incorporating into, the surface of the nanoparticle a coupling agent or ligand.

In a preferred embodiment, the coupling agent is present in high density on the surface of the nanoparticle. As used herein, "high density" refers to polymeric nanoparticles having a high density of ligands or coupling agents, which is preferably in the range of 1,000 to 10,000,000, more preferably 10,000-1,000,000 ligands per square micron of nanoparticle surface area. This can be measured by fluorescence staining of dissolved particles and calibrating this fluorescence to a known amount of free fluorescent molecules in solution.

Coupling agents associate with the polymeric nanoparticles and provide substrates that facilitate the modular assembly and disassembly of functional elements to the nanoparticles. Coupling agents or ligands may associate with nanoparticles through a variety of interactions including, but not limited to, hydrophobic interactions, electrostatic interactions and covalent coupling.

In a preferred embodiment, the coupling agents are molecules that match the polymer phase hydrophile-lipophile balance. Hydrophile-lipophile balances range from 1 to 15. Molecules with a low hydrophile-lipophile balance are more lipid loving and thus tend to make a water in oil emulsion while those with a high hydrophile-lipophile balance are more hydrophilic and tend to make an oil in water emulsion. Fatty acids and lipids have a low hydrophile-lipophile balance below 10.

Any amphiphilic polymer with a hydrophile-lipophile balance in the range 1-10, more preferably between 1 and 6, most preferably between 1 and up to 5, can be used as a coupling agent. Examples of coupling agents which may associate with polymeric nanoparticles via hydrophobic interactions include, but are not limited to, fatty acids, hydrophobic or amphipathic peptides or proteins, and polymers. These classes of coupling agents may also be used in any combination or ratio. In a preferred embodiment, the association of adaptor elements with nanoparticles facilitates a prolonged presentation of functional elements which can last for several weeks.

Coupling agents can also be attached to polymeric nanoparticles through covalent interactions through various functional groups. Functionality refers to conjugation of a molecule to the surface of the particle

via a functional chemical group (carboxylic acids, aldehydes, amines, sulfhydryls and hydroxyls) present on the surface of the particle and present on the molecule to be attached.

Functionality may be introduced into the particles in two ways. The first is during the preparation of the nanoparticles, for example during the emulsion preparation of nanoparticles by incorporation of stabilizers with functional chemical groups. Suitable stabilizers include hydrophobic or amphipathic molecules that associate with the outer surface of the nanoparticles.

A second is post-particle preparation, by direct crosslinking particles and ligands with homo- or heterobifunctional crosslinkers. This second procedure may use a suitable chemistry and a class of crosslinkers (CDI, EDAC, glutaraldehydes, etc. as discussed in more detail below) or any other crosslinker that couples ligands to the particle surface via chemical modification of the particle surface after preparation. This second class also includes a process whereby amphiphilic molecules such as fatty acids, lipids or functional stabilizers may be passively adsorbed and adhered to the particle surface, thereby introducing functional end groups for tethering to ligands.

One useful protocol involves the “activation” of hydroxyl groups on polymer chains with the agent, carbonyldiimidazole (CDI) in aprotic solvents such as DMSO, acetone, or THF. CDI forms an imidazolyl carbamate complex with the hydroxyl group which may be displaced by binding the free amino group of a molecule such as a protein. The reaction is an N-nucleophilic substitution and results in a stable N-alkylcarbamate linkage of the molecule to the polymer. The “coupling” of the molecule to the “activated” polymer matrix is maximal in the pH range of 9-10 and normally requires at least 24 hrs. The resulting molecule-polymer complex is stable and resists hydrolysis for extended periods of time.

Another coupling method involves the use of 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDAC) or “water-soluble CDI” in conjunction with N-hydroxylsulfosuccinimide (sulfo NHS) to couple the exposed carboxylic groups of polymers to the free amino groups of

molecules in a totally aqueous environment at the physiological pH of 7.0. Briefly, EDAC and sulfo-NHS form an activated ester with the carboxylic acid groups of the polymer which react with the amine end of a molecule to form a peptide bond. The resulting peptide bond is resistant to hydrolysis.

5 The use of sulfo-NHS in the reaction increases the efficiency of the EDAC coupling by a factor of ten-fold and provides for exceptionally gentle conditions that ensure the viability of the molecule-polymer complex.

By using either of these protocols it is possible to “activate” almost all polymers containing either hydroxyl or carboxyl groups in a suitable  
10 solvent system that will not dissolve the polymer matrix.

A useful coupling procedure for attaching molecules with free hydroxyl and carboxyl groups to polymers involves the use of the cross-linking agent, divinylsulfone. This method would be useful for attaching sugars or other hydroxylic compounds with bioadhesive properties to  
15 hydroxylic matrices. Briefly, the activation involves the reaction of divinylsulfone to the hydroxyl groups of the polymer, forming the vinylsulfonyl ethyl ether of the polymer. The vinyl groups will couple to alcohols, phenols and even amines. Activation and coupling take place at pH 11. The linkage is stable in the pH range from 1-8 and is suitable for transit  
20 through the intestine.

Any suitable coupling method known to those skilled in the art for the coupling of molecules and polymers with double bonds, including the use of UV crosslinking, may be used for attachment of molecules to the polymer.

In one embodiment, coupling agents can be conjugated to affinity  
25 tags. Affinity tags are any molecular species which form highly specific, noncovalent, physiochemical interactions with defined binding partners. Affinity tags which form highly specific, noncovalent, physiochemical interactions with one another are defined herein as “complementary”. Suitable affinity tag pairs are well known in the art and include  
30 epitope/antibody, biotin/avidin, biotin/streptavidin, biotin/neutravidin, glutathione-S-transferase/glutathione, maltose binding protein/amylase and maltose binding protein/maltose. Examples of suitable epitopes which may be used for epitope/antibody binding pairs include, but are not limited to,

HA, FLAG, c-Myc, glutathione-S-transferase, His<sub>6</sub>, GFP, DIG, biotin and avidin. Antibodies (both monoclonal and polyclonal and antigen-binding fragments thereof) which bind to these epitopes are well known in the art.

Affinity tags that are conjugated to coupling agents allow for highly  
5 flexible, modular assembly and disassembly of functional elements which  
are conjugated to affinity tags which form highly specific, noncovalent,  
physiochemical interactions with complementary affinity tags which are  
conjugated to coupling agents. Adaptor elements may be conjugated with a  
single species of affinity tag or with any combination of affinity tag species  
10 in any ratio. The ability to vary the number of species of affinity tags and  
their ratios conjugated to adaptor elements allows for exquisite control over  
the number of functional elements which may be attached to the  
nanoparticles and their ratios.

In another embodiment, coupling agents are coupled directly to  
15 functional elements in the absence of affinity tags, such as through direct  
covalent interactions. Coupling agents can be covalently coupled to at least  
one species of functional element. Coupling agents can be covalently  
coupled to a single species of functional element or with any combination of  
species of functional elements in any ratio.

In a preferred embodiment, coupling agents are conjugated to at least  
20 one affinity tag that provides for assembly and disassembly of modular  
functional elements which are conjugated to complementary affinity tags. In  
a more preferred embodiment, coupling agents are fatty acids that are  
conjugated with at least one affinity tag. In a particularly preferred  
25 embodiment, the coupling agents are fatty acids conjugated with avidin or  
streptavidin. Avidin/streptavidin-conjugated fatty acids allow for the  
attachment of a wide variety of biotin-conjugated functional elements.

The coupling agents are preferably provided on, or in the surface of,  
nanoparticles at a high density. This high density of coupling agents allows  
30 for coupling of the polymeric nanoparticles to a variety of species of  
functional elements while still allowing for the functional elements to be  
present in high enough numbers to be efficacious.

### 1. Fatty Acids

The coupling agents may include fatty acids. Fatty acids may be of any acyl chain length and may be saturated or unsaturated. In a particularly preferred embodiment, the fatty acid is palmitic acid. Other suitable fatty acids include, but are not limited to, saturated fatty acids such as butyric, caproic, caprylic, capric, lauric, myristic, stearic, arachidic and behenic acid. Still other suitable fatty acids include, but are not limited to, unsaturated fatty acids such as oleic, linoleic, alpha-linolenic, arachidonic, eicosapentaenoic, docosahexaenoic and erucic acid.

### 2. Hydrophobic or Amphipathic Peptides

The coupling agents may include hydrophobic or amphipathic peptides. Preferred peptides should be sufficiently hydrophobic to preferentially associate with the polymeric nanoparticle over the aqueous environment. Amphipathic polypeptides useful as adaptor elements may be mostly hydrophobic on one end and mostly hydrophilic on the other end. Such amphipathic peptides may associate with polymeric nanoparticles through the hydrophobic end of the peptide and be conjugated on the hydrophilic end to a functional group.

### 3. Hydrophobic Polymers

Coupling agents may include hydrophobic polymers. Examples of hydrophobic polymers include, but are not limited to, polyanhydrides, poly(ortho)esters, and polyesters such as polycaprolactone.

## VII. Functional Molecules

Functional molecules can be associated with, linked, conjugated, or otherwise attached directly or indirectly gene editing technology, potentiating agents, or nanoparticles utilized for delivery thereof.

### A. Targeting Molecules

One class of functional elements is targeting molecules. Targeting molecules can be associated with, linked, conjugated, or otherwise attached directly or indirectly to the gene editing molecule, or to a nanoparticle or other delivery vehicle thereof.

Targeting molecules can be proteins, peptides, nucleic acid molecules, saccharides or polysaccharides that bind to a receptor or other

molecule on the surface of a targeted cell. The degree of specificity and the avidity of binding to the graft can be modulated through the selection of the targeting molecule. For example, antibodies are very specific. These can be polyclonal, monoclonal, fragments, recombinant, or single chain, many of which are commercially available or readily obtained using standard techniques.

Examples of moieties include, for example, targeting moieties which provide for the delivery of molecules to specific cells, e.g., antibodies to hematopoietic stem cells, CD34<sup>+</sup> cells, T cells or any other preferred cell type, as well as receptor and ligands expressed on the preferred cell type. Preferably, the moieties target hematopoietic stem cells.

Examples of molecules targeting extracellular matrix ("ECM") include glycosaminoglycan ("GAG") and collagen. In one embodiment, the external surface of polymer particles may be modified to enhance the ability of the particles to interact with selected cells or tissue. The method described above wherein an adaptor element conjugated to a targeting molecule is inserted into the particle is preferred. However, in another embodiment, the outer surface of a polymer micro- or nanoparticle having a carboxy terminus may be linked to targeting molecules that have a free amine terminus.

Other useful ligands attached to polymeric micro- and nanoparticles include pathogen-associated molecular patterns (PAMPs). PAMPs target Toll-like Receptors (TLRs) on the surface of the cells or tissue, or signal the cells or tissue internally, thereby potentially increasing uptake. PAMPs conjugated to the particle surface or co-encapsulated may include: unmethylated CpG DNA (bacterial), double-stranded RNA (viral), lipopolysaccharide (bacterial), peptidoglycan (bacterial), lipoarabinomannin (bacterial), zymosan (yeast), mycoplasmal lipoproteins such as MALP-2 (bacterial), flagellin (bacterial) poly(inosinic-cytidylic) acid (bacterial), lipoteichoic acid (bacterial) or imidazoquinolines (synthetic).

In another embodiment, the outer surface of the particle may be treated using a mannose amine, thereby mannosylating the outer surface of the particle. This treatment may cause the particle to bind to the target cell

or tissue at a mannose receptor on the antigen presenting cell surface.

Alternatively, surface conjugation with an immunoglobulin molecule containing an Fc portion (targeting Fc receptor), heat shock protein moiety (HSP receptor), phosphatidylserine (scavenger receptors), and

5 lipopolysaccharide (LPS) are additional receptor targets on cells or tissue.

Lectins that can be covalently attached to micro- and nanoparticles to render them target specific to the mucin and mucosal cell layer include

lectins isolated from *Abrus precatorius*, *Agaricus bisporus*, *Anguilla*

10 *anguilla*, *Arachis hypogaea*, *Pandeiraea simplicifolia*, *Bauhinia purpurea*,  
*Caragan arobrescens*, *Cicer arietinum*, *Codium fragile*, *Datura stramonium*,  
*Dolichos biflorus*, *Erythrina corallodendron*, *Erythrina cristagalli*,

*Euonymus europaeus*, *Glycine max*, *Helix aspersa*, *Helix pomatia*, *Lathyrus*

15 *odoratus*, *Lens culinaris*, *Limulus polyphemus*, *Lysopersicon esculentum*,  
*Maclura pomifera*, *Momordica charantia*, *Mycoplasma gallisepticum*, *Naja*

*mocambique*, as well as the lectins Concanavalin A, Succinyl-Concanavalin  
A, *Triticum vulgare*, *Ulex europaeus I, II and III*, *Sambucus nigra*, *Maackia*  
*amurensis*, *Limax fluvius*, *Homarus americanus*, *Cancer antennarius*, and  
*Lotus tetragonolobus*.

The choice of targeting molecule will depend on the method of  
20 administration of the nanoparticle composition and the cells or tissues to be  
targeted. The targeting molecule may generally increase the binding affinity  
of the particles for cell or tissues or may target the nanoparticle to a  
particular tissue in an organ or a particular cell type in a tissue. Avidin

25 increases the ability of polymeric nanoparticles to bind to tissues. While the  
exact mechanism of the enhanced binding of avidin-coated particles to  
tissues has not been elucidated, it is hypothesized it is caused by electrostatic  
attraction of positively charged avidin to the negatively charged extracellular  
matrix of tissue. Non-specific binding of avidin, due to electrostatic

30 measurements of avidin-coated PLGA particles revealed a positively charged  
surface as compared to uncoated PLGA particles.

The attachment of any positively charged ligand, such as  
polyethyleneimine or polylysine, to any polymeric particle may improve

bioadhesion due to the electrostatic attraction of the cationic groups coating the beads to the net negative charge of the mucus. The mucopolysaccharides and mucoproteins of the mucin layer, especially the sialic acid residues, are responsible for the negative charge coating. Any ligand with a high binding  
5 affinity for mucin could also be covalently linked to most particles with the appropriate chemistry and be expected to influence the binding of particles to the gut. For example, polyclonal antibodies raised against components of mucin or else intact mucin, when covalently coupled to particles, would provide for increased bioadhesion. Similarly, antibodies directed against  
10 specific cell surface receptors exposed on the luminal surface of the intestinal tract would increase the residence time of beads, when coupled to particles using the appropriate chemistry. The ligand affinity need not be based only on electrostatic charge, but other useful physical parameters such as solubility in mucin or else specific affinity to carbohydrate groups.

15           The covalent attachment of any of the natural components of mucin in either pure or partially purified form to the particles would decrease the surface tension of the bead-gut interface and increase the solubility of the bead in the mucin layer. The list of useful ligands includes, but is not limited to the following: sialic acid, neuraminic acid, n-acetyl-neuraminic acid, n-  
20 glycolylneuraminic acid, 4-acetyl-n-acetylneuraminic acid, diacetyl-n-acetylneuraminic acid, glucuronic acid, iduronic acid, galactose, glucose, mannose, fucose, any of the partially purified fractions prepared by chemical treatment of naturally occurring mucin, e.g., mucoproteins, mucopolysaccharides and mucopolysaccharide-protein complexes, and  
25 antibodies immunoreactive against proteins or sugar structure on the mucosal surface.

          The attachment of polyamino acids containing extra pendant carboxylic acid side groups, e.g., polyaspartic acid and polyglutamic acid, should also provide a useful means of increasing bioadhesiveness. Using  
30 polyamino acids in the 15,000 to 50,000 kDa molecular weight range yields chains of 120 to 425 amino acid residues attached to the surface of the particles. The polyamino chains increase bioadhesion by means of chain entanglement in mucin strands as well as by increased carboxylic charge.

The efficacy of the nanoparticles is determined in part by their route of administration into the body. For orally and topically administered nanoparticles, epithelial cells constitute the principal barrier that separates an organism's interior from the outside world. Epithelial cells such as those that  
5 line the gastrointestinal tract form continuous monolayers that simultaneously confront the extracellular fluid compartment and the extracorporeal space.

Adherence to cells is an essential first step in crossing the epithelial barrier by any of these mechanisms. Therefore, in one embodiment, the  
10 nanoparticles disclosed herein further include epithelial cell targeting molecules. Epithelial cell targeting molecules include monoclonal or polyclonal antibodies or bioactive fragments thereof that recognize and bind to epitopes displayed on the surface of epithelial cells. Epithelial cell targeting molecules also include ligands which bind to a cell surface receptor  
15 on epithelial cells. Ligands include, but are not limited to, molecules such as polypeptides, nucleotides and polysaccharides.

A variety of receptors on epithelial cells may be targeted by epithelial cell targeting molecules. Examples of suitable receptors to be targeted include, but are not limited to, IgE Fc receptors, EpCAM, selected  
20 carbohydrate specificities, dipeptidyl peptidase, and E-cadherin.

#### **B. Protein Transduction Domains and Fusogenic Peptides**

Other functional elements that can be associated with, linked, conjugated, or otherwise attached directly or indirectly to the gene editing molecule, potentiating agent, or to a nanoparticle or other delivery vehicle  
25 thereof, include protein transduction domains and fusogenic peptides.

For example, the efficiency of nanoparticle delivery systems can also be improved by the attachment of functional ligands to the NP surface. Potential ligands include, but are not limited to, small molecules, cell-penetrating peptides (CPPs), targeting peptides, antibodies or aptamers (Yu, et al., *PLoS One.*, 6:e24077 (2011), Cu, et al., *J Control Release*, 156:258–  
30 264 (2011), Nie, et al., *J Control Release*, 138:64–70 (2009), Cruz, et al., *J Control Release*, 144:118–126 (2010)). Attachment of these moieties serves a variety of different functions; such as inducing intracellular uptake,

endosome disruption, and delivery of the plasmid payload to the nucleus. There have been numerous methods employed to tether ligands to the particle surface. One approach is direct covalent attachment to the functional groups on PLGA NPs (Bertram, *Acta Biomater.* 5:2860–2871 (2009)).

5 Another approach utilizes amphiphilic conjugates like avidin palmitate to secure biotinylated ligands to the NP surface (Fahmy, et al., *Biomaterials*, 26:5727–5736 (2005), Cu, et al., *Nanomedicine*, 6:334–343 (2010)). This approach produces particles with enhanced uptake into cells, but reduced pDNA release and gene transfection, which is likely due to the surface  
10 modification occluding pDNA release. In a similar approach, lipid-conjugated polyethylene glycol (PEG) is used as a multivalent linker of penetratin, a CPP, or folate (Cheng, et al., *Biomaterials*, 32:6194–6203 (2011)).

These methods, as well as other methods discussed herein, and others  
15 methods known in the art, can be combined to tune particle function and efficacy. In some preferred embodiments, PEG is used as a linker for linking functional molecules to nanoparticles. For example, DSPE-PEG(2000)-maleimide is commercially available and can be used utilized for covalently attaching functional molecules such as CPP.

20 “Protein Transduction Domain” or PTD refers to a polypeptide, polynucleotide, or organic or inorganic compounds that facilitates traversing a lipid bilayer, micelle, cell membrane, organelle membrane, or vesicle membrane. A PTD attached to another molecule facilitates the molecule traversing membranes, for example going from extracellular space to  
25 intracellular space, or cytosol to within an organelle. PTA can be short basic peptide sequences such as those present in many cellular and viral proteins. Exemplary protein transduction domains that are well-known in the art include, but are not limited to, the Antennapedia PTD and the TAT (transactivator of transcription) PTD, poly-arginine, poly-lysine or mixtures  
30 of arginine and lysine, HIV TAT (YGRKKRRQRRR (SEQ ID NO:7) or RKKRRQRRR (SEQ ID NO:8), 11 arginine residues, VP22 peptide, and an ANTp peptide (RQIKIWFQNRRMKWKK) (SEQ ID NO:9) or positively charged polypeptides or polynucleotides having 8-15 residues, preferably 9-

11 residues. Short, non-peptide polymers that are rich in amines or guanidinium groups are also capable of carrying molecules crossing biological membranes. Penetratin and other derivatives of peptides derived from antennapedia (Cheng, et al., *Biomaterials*, 32(26):6194-203 (2011) can also be used. Results show that penetratin in which additional Args are added, further enhances uptake and endosomal escape, and IKK NBD, which has an antennapedia domain for permeation as well as a domain that blocks activation of NFkB and has been used safely in the lung for other purposes (von Bismarck, et al., *Pulmonary Pharmacology & Therapeutics*, 25(3):228-35 (2012), Kamei, et al., *Journal Of Pharmaceutical Sciences*, 102(11):3998-4008 (2013)).

A “fusogenic peptide” is any peptide with membrane destabilizing abilities. In general, fusogenic peptides have the propensity to form an amphiphilic alpha-helical structure when in the presence of a hydrophobic surface such as a membrane. The presence of a fusogenic peptide induces formation of pores in the cell membrane by disruption of the ordered packing of the membrane phospholipids. Some fusogenic peptides act to promote lipid disorder and in this way enhance the chance of merging or fusing of proximally positioned membranes of two membrane enveloped particles of various nature (e.g. cells, enveloped viruses, liposomes). Other fusogenic peptides may simultaneously attach to two membranes, causing merging of the membranes and promoting their fusion into one. Examples of fusogenic peptides include a fusion peptide from a viral envelope protein ectodomain, a membrane-destabilizing peptide of a viral envelope protein membrane-proximal domain from the cytoplasmic tails.

Other fusogenic peptides often also contain an amphiphilic-region. Examples of amphiphilic-region containing peptides include: melittin, magainins, the cytoplasmic tail of HIV1 gp41, microbial and reptilian cytotoxic peptides such as bomolitin 1, pardaxin, mastoparan, crabrolin, cecropin, entamoeba, and staphylococcal .alpha.-toxin; viral fusion peptides from (1) regions at the N terminus of the transmembrane (TM) domains of viral envelope proteins, e.g. HIV-1, SIV, influenza, polio, rhinovirus, and coxsackie virus; (2) regions internal to the TM ectodomain, e.g. semliki

forest virus, sindbis virus, rota virus, rubella virus and the fusion peptide from sperm protein PH-30: (3) regions membrane-proximal to the cytoplasmic side of viral envelope proteins e.g. in viruses of avian leukosis (ALV), Feline immunodeficiency (FIV), Rous Sarcoma (RSV), Moloney  
5 murine leukemia virus (MoMuLV), and spleen necrosis (SNV).

In particular embodiments, a functional molecule such as a CPP is covalently linked to DSPE-PEG-maleimide functionalized nanoparticles such as PBAE/PLGA blended particles using known methods such as those described in Fields, et al., *J Control Release*, 164(1):41–48 (2012). For  
10 example, DSPE-PEG-function molecule can be added to the 5.0% PVA solution during formation of the second emulsion. In some embodiments, the loading ratio is about 5 nmol/mg ligand-to-polymer ratio.

In some embodiments, the functional molecule is a CPP such as those above, or mTAT (HIV-1 (with histidine modification)  
15 HHHHRKKRRQRRRRHHHHH (SEQ ID NO:10) (Yamano, et al., *J Control Release*, 152:278–285 (2011)); or bPrPp (Bovine prion) MVKSKIGSWILVLFVAMWS DVGLCKKRPKP (SEQ ID NO:11) (Magzoub, et al., *Biochem Biophys Res Commun.*, 348:379–385 (2006)); or MPG (Synthetic chimera: SV40 Lg T. Ant.+HIV gb41 coat)  
20 GALFLGFLGAAGSTMGAWS QPKKKRKV (SEQ ID NO:12) (Endoh, et al., *Adv Drug Deliv Rev.*, 61:704–709 (2009)).

## VIII. Methods of Manufacture

### A. Methods of Making Nanoparticles

The nanoparticle compositions described herein can be prepared by a  
25 variety of methods.

#### 1. Polycations

In some embodiments, the nucleic acid is first complexed to a polycation. Complexation can be achieved by mixing the nucleic acids and polycations at an appropriate molar ratio. When a polyamine is used as the  
30 polycation species, it is useful to determine the molar ratio of the polyamine nitrogen to the polynucleotide phosphate (N/P ratio). In a preferred embodiment, nucleic acids and polyamines are mixed together to form a complex at an N/P ratio of between approximately 8:1 to 15:1. The volume

of polyamine solution required to achieve particular molar ratios can be determined according to the following formula:

$$V_{\text{NH}_2} = \frac{C_{\text{nucacid,final}} \times M_{\text{w, nucacid}} / C_{\text{nucacid,final}} \times M_{\text{w,P}} \times \Phi_{\text{N:P}} \times \Phi V_{\text{final}}}{C_{\text{NH}_2} / M_{\text{w,NH}_2}}$$

where  $M_{\text{w, nucacid}}$  = molecular weight of nucleic acid,  $M_{\text{w,P}}$  = molecular weight of phosphate groups of the nucleic acid,  $\Phi_{\text{N:P}}$  = N:P ratio (molar ratio of nitrogens from polyamine to the ratio of phosphates from the nucleic acid),  $C_{\text{NH}_2, \text{stock}}$  = concentration of polyamine stock solution, and  $M_{\text{w,NH}_2}$  = molecular weight per nitrogen of polyamine.

Polycation complexation with nucleic acids can be achieved by mixing solutions containing polycations with solutions containing nucleic acids. The mixing can occur at any appropriate temperature. In one embodiment, the mixing occurs at room temperature. The mixing can occur with mild agitation, such as can be achieved through the use of a rotary shaker.

## 2. Exemplary Preferred Methods of Manufacture

In preferred embodiments, the nanoparticles are formed by a double-emulsion solvent evaporation technique, such as is disclosed in U.S. Published Application No. 2011/0008451 or U.S. Published Application No. 2011/0268810, each of which is specifically incorporated by reference in its entirety, or Fahmy, et al., *Biomaterials*, 26:5727–5736, (2005), or McNeer, et al., *Mol. Ther.* 19, 172-180 (2011)). In this technique, the nucleic acids or nucleic acid/polycation complexes are reconstituted in an aqueous solution. Nucleic acid and polycation amounts are discussed in more detail below and can be chosen, for example, based on amounts and ratios disclosed in U.S. Published Application No. 2011/0008451 or U.S. Published Application No. 2011/0268810, or used by McNeer, et al., (McNeer, et al., *Mol. Ther.* 19, 172-180 (2011)), or by Woodrow et al. for small interfering RNA encapsulation (Woodrow, et al., *Nat Mater*, 8:526–533 (2009)). This aqueous solution is then added dropwise to a polymer solution of a desired polymer dissolved in an organic solvent to form the first emulsion.

This mixture is then added dropwise to solution containing a surfactant, such as polyvinyl alcohol (PVA) and sonicated to form the double emulsion. The final emulsion is then poured into a solution containing the surfactant in an aqueous solution and stirred for a period of time to allow the dichloromethane to evaporate and the particles to harden. The concentration  
5 of the surfactant used to form the emulsion, and the sonication time and amplitude can be optimized according to principles known in the art for formulating particles with a desired diameter. The particles can be collected by centrifugation. If it is desirable to store the nanoparticles for later use,  
10 they can be rapidly frozen, and lyophilized.

In preferred embodiments the nanoparticles are PLGA nanoparticles. In a particular exemplary protocol, nucleic acid (such as PNA, DNA, or PNA-DNA) with or without a polycation (such as spermidine) are dissolved in DNase/RNase free H<sub>2</sub>O. Encapsulant in H<sub>2</sub>O can be added dropwise to a  
15 polymer solution of 50:50 ester-terminated PLGA dissolved in dichloromethane (DCM), then sonicated to form the first emulsion. This emulsion can then be added dropwise to 5% polyvinyl alcohol, then sonicated to form the second emulsion. This mixture can be poured into 0.3% polyvinyl alcohol, and stirred at room temperature to form  
20 nanoparticles. Nanoparticles can then be collected and washed with, for example H<sub>2</sub>O, collected by centrifugation, and then resuspended in H<sub>2</sub>O, frozen at -80 °C, and lyophilized. Particles can be stored at -20 °C following lyophilization.

Additional techniques for encapsulating the nucleic acid and  
25 polycation complex into polymeric nanoparticles are described below.

### 3. Solvent evaporation

In this method the polymer is dissolved in a volatile organic solvent, such as methylene chloride. The drug (either soluble or dispersed as fine particles) is added to the solution, and the mixture is suspended in an  
30 aqueous solution that contains a surface active agent such as poly(vinyl alcohol). The resulting emulsion is stirred until most of the organic solvent evaporated, leaving solid particles. The resulting particles are washed with water and dried overnight in a lyophilizer. Particles with different sizes (0.5-

1000 microns) and morphologies can be obtained by this method. This method is useful for relatively stable polymers like polyesters and polystyrene.

However, labile polymers, such as polyanhydrides, may degrade  
5 during the fabrication process due to the presence of water. For these polymers, the following two methods, which are performed in completely anhydrous organic solvents, are more useful.

#### 4. Interfacial polycondensation

Interfacial polycondensation is used to microencapsulate a core  
10 material in the following manner. One monomer and the core material are dissolved in a solvent. A second monomer is dissolved in a second solvent (typically aqueous) which is immiscible with the first. An emulsion is formed by suspending the first solution through stirring in the second solution. Once the emulsion is stabilized, an initiator is added to the aqueous  
15 phase causing interfacial polymerization at the interface of each droplet of emulsion.

#### 5. Solvent evaporation microencapsulation

In solvent evaporation microencapsulation, the polymer is typically dissolved in a water immiscible organic solvent and the material to be  
20 encapsulated is added to the polymer solution as a suspension or solution in an organic solvent. An emulsion is formed by adding this suspension or solution to a beaker of vigorously stirring water (often containing a surface active agent, for example, polyethylene glycol or polyvinyl alcohol, to stabilize the emulsion). The organic solvent is evaporated while continuing  
25 to stir. Evaporation results in precipitation of the polymer, forming solid microcapsules containing core material.

The solvent evaporation process can be used to entrap a liquid core material in a polymer such as PLA, PLA/PGA copolymer, or PLA/PCL copolymer microcapsules. The polymer or copolymer is dissolved in a  
30 miscible mixture of solvent and nonsolvent, at a nonsolvent concentration which is immediately below the concentration which would produce phase separation (i.e., cloud point). The liquid core material is added to the solution while agitating to form an emulsion and disperse the material as

droplets. Solvent and nonsolvent are vaporized, with the solvent being vaporized at a faster rate, causing the polymer or copolymer to phase separate and migrate towards the surface of the core material droplets. This phase-separated solution is then transferred into an agitated volume of  
5 nonsolvent, causing any remaining dissolved polymer or copolymer to precipitate and extracting any residual solvent from the formed membrane. The result is a microcapsule composed of polymer or copolymer shell with a core of liquid material.

Solvent evaporation microencapsulation can result in the  
10 stabilization of insoluble active agent particles in a polymeric solution for a period of time ranging from 0.5 hours to several months. Stabilizing an insoluble pigment and polymer within the dispersed phase (typically a volatile organic solvent) can be useful for most methods of microencapsulation that are dependent on a dispersed phase, including film  
15 casting, solvent evaporation, solvent removal, spray drying, phase inversion, and many others.

The stabilization of insoluble active agent particles within the polymeric solution could be critical during scale-up. By stabilizing suspended active agent particles within the dispersed phase, the particles can  
20 remain homogeneously dispersed throughout the polymeric solution as well as the resulting polymer matrix that forms during the process of microencapsulation..

Solvent evaporation microencapsulation (SEM) have several advantages. SEM allows for the determination of the best polymer-solvent-  
25 insoluble particle mixture that will aid in the formation of a homogeneous suspension that can be used to encapsulate the particles. SEM stabilizes the insoluble particles or pigments within the polymeric solution, which will help during scale-up because one will be able to let suspensions of insoluble particles or pigments sit for long periods of time, making the process less  
30 time-dependent and less labor intensive. SEM allows for the creation of nanoparticles that have a more optimized release of the encapsulated material.

## 6. Hot melt microencapsulation

In this method, the polymer is first melted and then mixed with the solid particles. The mixture is suspended in a non-miscible solvent (like silicon oil), and, with continuous stirring, heated to 5°C above the melting  
5 point of the polymer. Once the emulsion is stabilized, it is cooled until the polymer particles solidify. The resulting particles are washed by decantation with petroleum ether to give a free-flowing powder. Particles with sizes  
10 between 0.5 to 1000 microns are obtained with this method. The external surfaces of spheres prepared with this technique are usually smooth and dense. This procedure is used to prepare particles made of polyesters and polyanhydrides. However, this method is limited to polymers with  
molecular weights between 1,000-50,000.

## 7. Solvent removal microencapsulation

In solvent removal microencapsulation, the polymer is typically  
15 dissolved in an oil miscible organic solvent and the material to be encapsulated is added to the polymer solution as a suspension or solution in organic solvent. Surface active agents can be added to improve the dispersion of the material to be encapsulated. An emulsion is formed by  
20 adding this suspension or solution to vigorously stirring oil, in which the oil is a nonsolvent for the polymer and the polymer/solvent solution is immiscible in the oil. The organic solvent is removed by diffusion into the oil phase while continuing to stir. Solvent removal results in precipitation of  
the polymer, forming solid microcapsules containing core material.

## 8. Phase separation microencapsulation

25 In phase separation microencapsulation, the material to be encapsulated is dispersed in a polymer solution with stirring. While continually stirring to uniformly suspend the material, a nonsolvent for the polymer is slowly added to the solution to decrease the polymer's solubility. Depending on the solubility of the polymer in the solvent and nonsolvent, the  
30 polymer either precipitates or phase separates into a polymer rich and a polymer poor phase. Under proper conditions, the polymer in the polymer rich phase will migrate to the interface with the continuous phase, encapsulating the core material in a droplet with an outer polymer shell.

### 9. Spontaneous emulsification

Spontaneous emulsification involves solidifying emulsified liquid polymer droplets by changing temperature, evaporating solvent, or adding chemical cross-linking agents. The physical and chemical properties of the encapsulant, and the material to be encapsulated, dictates the suitable  
5 methods of encapsulation. Factors such as hydrophobicity, molecular weight, chemical stability, and thermal stability affect encapsulation.

### 10. Coacervation

Encapsulation procedures for various substances using coacervation  
10 techniques have been described in the prior art, for example, in GB-B-929 406; GB-B-929 401; U.S. Patent Nos. 3,266,987; 4,794,000 and 4,460,563. Coacervation is a process involving separation of colloidal solutions into two or more immiscible liquid layers (Ref. Dowben, R. General Physiology, Harper & Row, New York, 1969, pp. 142-143.). Through the process of  
15 coacervation compositions comprised of two or more phases and known as coacervates may be produced. The ingredients that comprise the two phase coacervate system are present in both phases; however, the colloid rich phase has a greater concentration of the components than the colloid poor phase.

### 11. Solvent removal

This technique is primarily designed for polyanhydrides. In this  
20 method, the drug is dispersed or dissolved in a solution of the selected polymer in a volatile organic solvent like methylene chloride. This mixture is suspended by stirring in an organic oil (such as silicon oil) to form an emulsion. Unlike solvent evaporation, this method can be used to make  
25 particles from polymers with high melting points and different molecular weights. Particles that range between 1-300 microns can be obtained by this procedure. The external morphology of spheres produced with this technique is highly dependent on the type of polymer used.

### 12. Spray-drying

In this method, the polymer is dissolved in organic solvent. A known  
30 amount of the active drug is suspended (insoluble drugs) or co-dissolved (soluble drugs) in the polymer solution. The solution or the dispersion is then spray-dried. Typical process parameters for a mini-spray drier (Buchi)

are as follows: polymer concentration = 0.04 g/mL, inlet temperature = -24°  
C, outlet temperature = 13-15° C, aspirator setting = 15, pump setting = 10  
mL/minute, spray flow = 600 NL/hr, and nozzle diameter = 0.5 mm. Particles  
ranging between 1-10 microns are obtained with a morphology which  
5 depends on the type of polymer used.

### 13. Nanoprecipitation

In nanoprecipitation, the polymer and nucleic acids are co-dissolved  
in a selected, water-miscible solvent, for example DMSO, acetone, ethanol,  
acetone, etc. In a preferred embodiment, nucleic acids and polymer are  
10 dissolved in DMSO. The solvent containing the polymer and nucleic acids is  
then drop-wise added to an excess volume of stirring aqueous phase  
containing a stabilizer (e.g., poloxamer, Pluronic®, and other stabilizers  
known in the art). Particles are formed and precipitated during solvent  
evaporation. To reduce the loss of polymer, the viscosity of the aqueous  
15 phase can be increased by using a higher concentration of the stabilizer or  
other thickening agents such as glycerol and others known in the art. Lastly,  
the entire dispersed system is centrifuged, and the nucleic acid-loaded  
polymer nanoparticles are collected and optionally filtered.  
Nanoprecipitation-based techniques are discussed in, for example, U.S.  
20 Patent No. 5,118,528.

Advantages to nanoprecipitation include: the method can  
significantly increase the encapsulation efficiency of drugs that are polar yet  
water-insoluble, compared to single or double emulsion methods  
(Alshamsan, *Saudi Pharmaceutical Journal*, 22(3):219-222 (2014)). No  
25 emulsification or high shear force step (e.g., sonication or high-speed  
homogenization) is involved in nanoprecipitation, therefore preserving the  
conformation of nucleic acids. Nanoprecipitation relies on the differences in  
the interfacial tension between the solvent and the nonsolvent, rather than  
shear stress, to produce nanoparticles. Hydrophobicity of the drug will retain  
30 it in the instantly-precipitating nanoparticles; the un-precipitated polymer  
due to equilibrium is “lost” and not in the precipitated nanoparticle form.

**B. Molecules to be Encapsulated or Attached to the Surface of the Particles**

There are two principle groups of molecules to be encapsulated or attached to the polymer, either directly or via a coupling molecule: targeting  
5 molecules, attachment molecules and therapeutic, nutritional, diagnostic or prophylactic agents. These can be coupled using standard techniques. The targeting molecule or therapeutic molecule to be delivered can be coupled directly to the polymer or to a material such as a fatty acid which is incorporated into the polymer.

10           Functionality refers to conjugation of a ligand to the surface of the particle via a functional chemical group (carboxylic acids, aldehydes, amines, sulfhydryls and hydroxyls) present on the surface of the particle and present on the ligand to be attached. Functionality may be introduced into the particles in two ways. The first is during the preparation of the particles, for  
15 example during the emulsion preparation of particles by incorporation of stabilizers with functional chemical groups. Example 1 demonstrates this type of process whereby functional amphiphilic molecules are inserted into the particles during emulsion preparation.

A second is post-particle preparation, by direct crosslinking particles  
20 and ligands with homo- or heterobifunctional crosslinkers. This second procedure may use a suitable chemistry and a class of crosslinkers (CDI, EDAC, glutaraldehydes, etc. as discussed in more detail below) or any other crosslinker that couples ligands to the particle surface via chemical modification of the particle surface after preparation. This second class also  
25 includes a process whereby amphiphilic molecules such as fatty acids, lipids or functional stabilizers may be passively adsorbed and adhered to the particle surface, thereby introducing functional end groups for tethering to ligands.

In the preferred embodiment, the surface is modified to  
30 insert amphiphilic polymers or surfactants that match the polymer phase HLB or hydrophile-lipophile balance, as demonstrated in the following example. HLBs range from 1 to 15. Surfactants with a low HLB are more lipid loving and thus tend to make a water in oil emulsion while those with a

high HLB are more hydrophilic and tend to make an oil in water emulsion. Fatty acids and lipids have a low HLB below 10. After conjugation with target group (such as hydrophilic avidin), HLB increases above 10. This conjugate is used in emulsion preparation. Any amphiphilic  
5 polymer with an HLB in the range 1-10, more preferably between 1 and 6, most preferably between 1 and up to 5, can be used. This includes all lipids, fatty acids and detergents.

One useful protocol involves the "activation" of hydroxyl groups on polymer chains with the agent, carbonyldiimidazole (CDI) in aprotic solvents  
10 such as DMSO, acetone, or THF. CDI forms an imidazolyl carbamate complex with the hydroxyl group which may be displaced by binding the free amino group of a ligand such as a protein. The reaction is an N-nucleophilic substitution and results in a stable N-alkylcarbamate linkage of the ligand to the polymer. The "coupling" of the ligand to the "activated"  
15 polymer matrix is maximal in the pH range of 9-10 and normally requires at least 24 hrs. The resulting ligand-polymer complex is stable and resists hydrolysis for extended periods of time.

Another coupling method involves the use of 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDAC) or "water-soluble CDI" in  
20 conjunction with N-hydroxysulfosuccinimide (sulfo NHS) to couple the exposed carboxylic groups of polymers to the free amino groups of ligands in a totally aqueous environment at the physiological pH of 7.0. Briefly, EDAC and sulfo-NHS form an activated ester with the carboxylic acid groups of the polymer which react with the amine end of a ligand to form a  
25 peptide bond. The resulting peptide bond is resistant to hydrolysis. The use of sulfo-NHS in the reaction increases the efficiency of the EDAC coupling by a factor of ten-fold and provides for exceptionally gentle conditions that ensure the viability of the ligand-polymer complex.

By using either of these protocols it is possible to "activate" almost  
30 all polymers containing either hydroxyl or carboxyl groups in a suitable solvent system that will not dissolve the polymer matrix.

A useful coupling procedure for attaching ligands with free hydroxyl and carboxyl groups to polymers involves the use of the cross-linking agent,

divinylsulfone. This method would be useful for attaching sugars or other hydroxylic compounds with bioadhesive properties to hydroxylic matrices. Briefly, the activation involves the reaction of divinylsulfone to the hydroxyl groups of the polymer, forming the vinylsulfonyl ethyl ether of the polymer.

5 The vinyl groups will couple to alcohols, phenols and even amines. Activation and coupling take place at pH 11. The linkage is stable in the pH range from 1-8 and is suitable for transit through the intestine.

Any suitable coupling method known to those skilled in the art for the coupling of ligands and polymers with double bonds, including the use of  
10 UV crosslinking, may be used for attachment of molecules to the polymer.

Coupling is preferably by covalent binding but it may also be indirect, for example, through a linker bound to the polymer or through an interaction between two molecules such as streptavidin and biotin. It may also be by electrostatic attraction by dip-coating.

15 The molecules to be delivered can also be encapsulated into the polymer using double emulsion solvent evaporation techniques, such as that described by Luo et al., Controlled DNA delivery system, *Phar. Res.*, 16: 1300-1308 (1999).

### C. Particularly Preferred Nanoparticle Formulations

20 The nanoparticle formulation can be selected based on the considerations including the targeted tissue or cells. For example, in embodiments directed to treatment of treating or correcting beta-thalassemia (e.g. when the target cells are, for example, hematopoietic stem cells), a preferred nanoparticle formulation is PLGA.

25 Other preferred nanoparticle formulations, particularly preferred for treating cystic fibrosis, are described in McNeer, et al., *Nature Commun.*, 6:6952. doi: 10.1038/ncomms7952 (2015), and Fields, et al., *Adv Healthc Mater.*, 4(3):361-6 (2015). doi: 10.1002/adhm.201400355 (2015) Epub 2014. Such nanoparticles are composed of a blend of Poly(beta-amino) esters  
30 (PBAEs) and poly(lactic-co-glycolic acid) (PLGA). Poly(beta-amino) esters (PBAEs) are degradable, cationic polymers synthesized by conjugate (Michael-like) addition of bifunctional amines to diacrylate esters (Lynn, Langer R, editor. J Am Chem Soc. 2000. pp. 10761–10768). PBAEs appear

to have properties that make them efficient vectors for gene delivery. These cationic polymers are able to condense negatively charged pDNA, induce cellular uptake, and buffer the low pH environment of endosomes leading to DNA escape (Lynn, Langer R, editor. *J Am Chem Soc*. 2000. pp. 10761–  
5 10768, and Green, *Acc Chem Res.*, 41(6):749–759 (2008)). PBAEs have the ability to form hybrid particles with other polymers, which allows for production of solid, stable and storable particles. For example, blending cationic PBAE with PLGA produced highly loaded pDNA particles. The addition of PBAE to PLGA resulted in an increase in gene transfection in  
10 vitro and induced antigen-specific tumor rejection in a murine model (Little, et al. *Proc Natl Acad Sci U S A.*, 101:9534–9539 (2004), Little, et al., *J Control Release*, 107:449–462 (2005)).

Therefore, in some embodiments, the nanoparticles utilized to deliver the disclosed compositions are composed of a blend of PBAE and a second  
15 polymer one of those discussed above. In some embodiments, the nanoparticles are composed of a blend of PBAE and PLGA.

PLGA and PBAE/PLGA blended nanoparticles loaded with gene editing technology can be formulated using a double-emulsion solvent evaporation technique such as that described in detail above, and in McNeer,  
20 et al., *Nature Commun.*, 6:6952. doi: 10.1038/ncomms7952 (2015), and Fields, et al., *Adv Healthc Mater.*, 4(3):361-6 (2015). doi: 10.1002/adhm.201400355 (2015) Epub 2014. Poly(beta amino ester) (PBAE) can synthesized by a Michael addition reaction of 1,4-butanediol diacrylate and 4,4'-trimethylenedipiperidine as described in Akinc, et al.,  
25 *Bioconjug Chem.*, 14:979–988 (2003). In some embodiments, PBAE blended particles such as PLGA/PBAE blended particles, contain between about 1 and 99, or between about 1 and 50, or between about 5 and 25, or between about 5 and 20, or between about 10 and 20, or about 15 percent PBAE (wt%). In particular embodiments, PBAE blended particles such as  
30 PLGA/PBAE blended particles, contain about 50, 45, 40, 35, 30, 25, 20, 15, 10, or 5% PBAE (wt%). Solvent from these particles in PVA as discussed above, and in some cases may continue overnight. PLGA/PBAE/MPG nanoparticles was shown to produce significantly greater nanoparticle

association with airway epithelial cells than PLGA nanoparticles (Fields, et al., *Advanced Healthcare Materials*, 4:361-366 (2015)).

## **IX. Methods of Use**

### **A. Methods of Treatment**

5           The disclosed compositions can be used to *ex vivo* or *in vivo* gene editing. The methods typically include contacting a cell with an effective amount of gene editing composition, preferably in combination with a potentiating agent, to modify the cell's genome. As discussed in more detail below, the contacting can occur *ex vivo* or *in vivo*. In preferred  
10           embodiments, the method includes contacting a population of target cells with an effective amount of gene editing composition, preferably in combination with a potentiating agent, to modify the genomes of a sufficient number of cells to achieve a therapeutic result.

            For example, the effective amount or therapeutically effective amount  
15           can be a dosage sufficient to treat, inhibit, or alleviate one or more symptoms of a disease or disorder, or to otherwise provide a desired pharmacologic and/or physiologic effect, for example, reducing, inhibiting, or reversing one or more of the underlying pathophysiological mechanisms underlying a disease or disorder.

20           In some embodiments, when the gene editing technology is triplex forming molecules, the molecules can be administered in an effective amount to induce formation of a triple helix at the target site. An effective amount of gene editing technology such as triplex-forming molecules may also be an amount effective to increase the rate of recombination of a donor  
25           fragment relative to administration of the donor fragment in the absence of the gene editing technology. The formulation is made to suit the mode of administration. Pharmaceutically acceptable carriers are determined in part by the particular composition being administered, as well as by the particular method used to administer the composition. Accordingly, there is a wide  
30           variety of suitable formulations of pharmaceutical compositions containing the nucleic acids. The precise dosage will vary according to a variety of factors such as subject-dependent variables (e.g., age, immune system health,

clinical symptoms etc.). Exemplary symptoms, pharmacologic, and physiologic effects are discussed in more detail below.

The disclosed compositions can be administered or otherwise contacted with target cells once, twice, or three time daily; one, two, three, 5 four, five, six, seven times a week, one, two, three, four, five, six, seven or eight times a month. For example, in some embodiments, the composition is administered every two or three days, or on average about 2 to about 4 times about week.

In some embodiments, the potentiating agent is administered to the 10 subject prior to administration of the gene editing technology to the subject. The potentiating agent can be administered to the subject, for example, 1, 2, 3, 4, 5, 6, 8, 10, 12, 18, or 24 hours, or 1, 2, 3, 4, 5, 6, or 7 days, or any combination thereof prior to administration of the gene editing technology to the subject.

15 In some embodiments, the gene editing technology is administered to the subject prior to administration of the potentiating agent to the subject. The gene editing technology can be administered to the subject, for example, 1, 2, 3, 4, 5, 6, 8, 10, 12, 18, or 24 hours, or 1, 2, 3, 4, 5, 6, or 7 days, or any combination thereof prior to administration of the potentiating agent to the 20 subject.

In preferred embodiments, the compositions are administered in an amount effective to induce gene modification in at least one target allele to occur at frequency of at least 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, or 25 25% of target cells. In some embodiments, particularly *ex vivo* applications, gene modification occurs in at least one target allele at a frequency of about 0.1-25%, or 0.5-25%, or 1-25% 2-25%, or 3-25%, or 4-25% or 5-25% or 6-25%, or 7-25%, or 8-25%, or 9-25%, or 10-25%, 11-25%, or 12-25%, or 13%-25% or 14%-25% or 15-25%, or 2-20%, or 3-20%, or 4-20% or 5-20% 30 or 6-20%, or 7-20%, or 8-20%, or 9-20%, or 10-20%, 11-20%, or 12-20%, or 13%-20% or 14%-20% or 15-20%, 2-15%, or 3-15%, or 4-15% or 5-15% or 6-15%, or 7-15%, or 8-15%, or 9-15%, or 10-15%, 11-15%, or 12-15%, or 13%-15% or 14%-15%.

In some embodiments, particularly *in vivo* applications, gene modification occurs in at least one target allele at a frequency of about 0.1% to about 10%, or about 0.2% to about 10%, or about 0.3% to about 10%, or about 0.4% to about 10%, or about 0.5% to about 10%, or about 0.6% to about 10%, or about 0.7% to about 10%, or about 0.8% to about 10%, or about 0.9% to about 10%, or about 1.0% to about 10% , or about 1.1% to about 10%, or about 1.1% to about 10%, 1.2% to about 10%, or about 1.3% to about 10%, or about 1.4% to about 10%, or about 1.5% to about 10%, or about 1.6% to about 10%, or about 1.7% to about 10%, or about 1.8% to about 10%, or about 1.9% to about 10%, or about 2.0% to about 10%, or about 2.5% to about 10% , or about 3.0% to about 10%, or about 3.5% to about 10%, or about 4.0% to about 10%, or about 4.5% to about 10%, or about 5.0% to about 10%.

In some embodiments, gene modification occurs with low off-target effects. In some embodiments, off-target modification is undetectable using routine analysis such as those described in the Examples below. In some embodiments, off-target incidents occur at a frequency of 0-1%, or 0-0.1%, or 0-0.01%, or 0-0.001%, or 0-0.0001%, or 0-0000.1%, or 0-0.000001%. In some embodiments, off-target modification occurs at a frequency that is about  $10^2$ ,  $10^3$ ,  $10^4$ , or  $10^5$  -fold lower than at the target site.

### ***Gene Editing Technology***

In general, by way of example only, dosage forms useful in the disclosed methods can include doses in the range of about  $10^2$  to about  $10^{50}$ , or about  $10^5$  to about  $10^{40}$ , or about  $10^{10}$  to about  $10^{30}$ , or about  $10^{12}$  to about  $10^{20}$  copies of the gene editing technology per dose. In particular embodiments, about  $10^{13}$ ,  $10^{14}$ ,  $10^{15}$ ,  $10^{16}$ , or  $10^{17}$  copies of gene editing technology are administered to a subject in need thereof.

In other embodiments, dosages are expressed in moles. For example, in some embodiments, the dose of gene editing technology is about 0.1 nmol to about 100 nmol, or about 0.25 nmol to about 50 nmol, or about 0.5 nmol to about 25 nmol, or about 0.75 nmol to about 7.5 nmol.

In other embodiments, dosages are expressed in molecules per target cells. For example, in some embodiments, the dose of gene editing

technology is about  $10^2$  to about  $10^{50}$ , or about  $10^5$  to about  $10^{15}$ , or about  $10^7$  to about  $10^{12}$ , or about  $10^8$  to about  $10^{11}$  copies of the gene editing technology per target cell.

In other embodiments, dosages are expressed in mg/kg, particularly  
5 when the expressed as an *in vivo* dosage of gene editing composition  
packaged in a nanoparticle with or without functional molecules. Dosages  
can be, for example 0.1 mg/kg to about 1,000 mg/kg, or 0.5 mg/kg to about  
1,000 mg/kg, or 1 mg/kg to about 1,000 mg/kg, or about 10 mg/kg to about  
500 mg/kg, or about 20 mg/kg to about 500 mg/kg per dose, or 20 mg/kg to  
10 about 100 mg/kg per dose, or 25 mg/kg to about 75 mg/kg per dose, or about  
25, 30, 35, 40, 45, 50, 55, 60, 65, 70, or 75 mg/kg per dose.

In other embodiments, dosages are expressed in mg/ml, particularly  
when the expressed as an *ex vivo* dosage of gene editing composition  
packaged in a nanoparticle with or without functional molecules. Dosages  
15 can be, for example 0.01 mg/ml to about 100 mg/ml, or about 0.5 mg/ml to  
about 50 mg/ml, or about 1 mg/ml to about 10 mg/ml per dose to a cell  
population of  $10^6$  cells.

As discussed above, gene editing technology can be administered  
without, but is preferably administered with at least one donor  
20 oligonucleotide. Such donors can be administered at similar dosages as the  
gene editing technology. Compositions should include an amount of donor  
fragment effective to recombine at the target site in the presence of a gene  
editing technology such as triplex forming molecules.

#### ***Potentiating Agents***

25 The methods can include contacting cells with an effective amount  
potentiating agents. Preferably the amount of potentiating agent is effective  
to increase gene modification when used in combination with a gene  
modifying technology, compared to using the gene modifying technology in  
the absence of the potentiating agent.

30 Exemplary dosages for SCF include, about 0.01 mg/kg to about 250  
mg/kg, or about 0.1 mg/kg to about 100 mg/kg, or about 0.5 mg/kg to about  
50 mg/kg, or about 0.75 mg/kg to about 10 mg/kg.

Dosages for CHK1 inhibitors are known in the art, and many of these are in clinical trial. Accordingly, the dosage can be selected by the practitioner based on known, preferred humans dosages. In preferred embodiments, the dosage is below the lowest-observed-adverse-effect level (LOAEL), and is preferably a no observed adverse effect level (NOAEL) dosage.

### 1. *Ex vivo* Gene Therapy

In some embodiments, *ex vivo* gene therapy of cells is used for the treatment of a genetic disorder in a subject. For *ex vivo* gene therapy, cells are isolated from a subject and contacted *ex vivo* with the compositions to produce cells containing mutations in or adjacent to genes. In a preferred embodiment, the cells are isolated from the subject to be treated or from a syngenic host. Target cells are removed from a subject prior to contacting with a gene editing composition and preferably a potentiating factor. The cells can be hematopoietic progenitor or stem cells. In a preferred embodiment, the target cells are CD34<sup>+</sup> hematopoietic stem cells. Hematopoietic stem cells (HSCs), such as CD34<sup>+</sup> cells are multipotent stem cells that give rise to all the blood cell types including erythrocytes. Therefore, CD34<sup>+</sup> cells can be isolated from a patient with, for example, thalassemia, sickle cell disease, or a lysosomal storage disease, the mutant gene altered or repaired *ex-vivo* using the disclosed compositions and methods, and the cells reintroduced back into the patient as a treatment or a cure.

Stem cells can be isolated and enriched by one of skill in the art. Methods for such isolation and enrichment of CD34<sup>+</sup> and other cells are known in the art and disclosed for example in U.S. Patent Nos. 4,965,204; 4,714,680; 5,061,620; 5,643,741; 5,677,136; 5,716,827; 5,750,397 and 5,759,793. As used herein in the context of compositions enriched in hematopoietic progenitor and stem cells, “enriched” indicates a proportion of a desirable element (e.g. hematopoietic progenitor and stem cells) which is higher than that found in the natural source of the cells. A composition of cells may be enriched over a natural source of the cells by at least one order

of magnitude, preferably two or three orders, and more preferably 10, 100, 200 or 1000 orders of magnitude.

In humans, CD34<sup>+</sup> cells can be recovered from cord blood, bone marrow or from blood after cytokine mobilization effected by injecting the donor with hematopoietic growth factors such as granulocyte colony  
5 stimulating factor (G-CSF), granulocyte-monocyte colony stimulating factor (GM-CSF), stem cell factor (SCF) subcutaneously or intravenously in amounts sufficient to cause movement of hematopoietic stem cells from the bone marrow space into the peripheral circulation. Initially, bone marrow  
10 cells may be obtained from any suitable source of bone marrow, e.g. tibiae, femora, spine, and other bone cavities. For isolation of bone marrow, an appropriate solution may be used to flush the bone, which solution will be a balanced salt solution, conveniently supplemented with fetal calf serum or other naturally occurring factors, in conjunction with an acceptable buffer at  
15 low concentration, generally from about 5 to 25 mM. Convenient buffers include HEPES, phosphate buffers, lactate buffers, etc.

Cells can be selected by positive and negative selection techniques. Cells can be selected using commercially available antibodies which bind to hematopoietic progenitor or stem cell surface antigens, e.g. CD34, using  
20 methods known to those of skill in the art. For example, the antibodies may be conjugated to magnetic beads and immunogenic procedures utilized to recover the desired cell type. Other techniques involve the use of fluorescence activated cell sorting (FACS). The CD34 antigen, which is found on progenitor cells within the hematopoietic system of non-leukemic  
25 individuals, is expressed on a population of cells recognized by the monoclonal antibody My-10 (i.e., express the CD34 antigen) and can be used to isolate stem cell for bone marrow transplantation. My-10 deposited with the American Type Culture Collection (Rockville, Md.) as HB-8483 is commercially available as anti-HPCA 1. Additionally, negative selection of  
30 differentiated and “dedicated” cells from human bone marrow can be utilized, to select against substantially any desired cell marker. For example, progenitor or stem cells, most preferably CD34<sup>+</sup> cells, can be characterized

as being any of CD3<sup>-</sup>, CD7<sup>-</sup>, CD8<sup>-</sup>, CD10<sup>-</sup>, CD14<sup>-</sup>, CD15<sup>-</sup>, CD19<sup>-</sup>, CD20<sup>-</sup>, CD33<sup>-</sup>, Class II HLA<sup>+</sup> and Thy-1<sup>+</sup>.

Once progenitor or stem cells have been isolated, they may be propagated by growing in any suitable medium. For example, progenitor or stem cells can be grown in conditioned medium from stromal cells, such as those that can be obtained from bone marrow or liver associated with the secretion of factors, or in medium including cell surface factors supporting the proliferation of stem cells. Stromal cells may be freed of hematopoietic cells employing appropriate monoclonal antibodies for removal of the undesired cells.

The isolated cells are contacted *ex vivo* with a combination of triplex-forming molecules and donor oligonucleotides in amounts effective to cause the desired mutations in or adjacent to genes in need of repair or alteration, for example the human beta-globin or  $\alpha$ -L-iduronidase gene. These cells are referred to herein as modified cells. Methods for transfection of cells with oligonucleotides and peptide nucleic acids are well known in the art (Koppelhus, et al., *Adv. Drug Deliv. Rev.*, 55(2): 267-280 (2003)). It may be desirable to synchronize the cells in S-phase to further increase the frequency of gene correction. Methods for synchronizing cultured cells, for example, by double thymidine block, are known in the art (Zielke, et al., *Methods Cell Biol.*, 8:107-121 (1974)).

The modified cells can be maintained or expanded in culture prior to administration to a subject. Culture conditions are generally known in the art depending on the cell type. Conditions for the maintenance of CD34<sup>+</sup> in particular have been well studied, and several suitable methods are available. A common approach to *ex vivo* multi-potential hematopoietic cell expansion is to culture purified progenitor or stem cells in the presence of early-acting cytokines such as interleukin-3. It has also been shown that inclusion, in a nutritive medium for maintaining hematopoietic progenitor cells *ex vivo*, of a combination of thrombopoietin (TPO), stem cell factor (SCF), and flt3 ligand (Flt-3L; i.e., the ligand of the flt3 gene product) was useful for expanding primitive (i.e., relatively non-differentiated) human hematopoietic progenitor cells *in vitro*, and that those cells were capable of engraftment in SCID-hu

mice (Luens et al., 1998, Blood 91:1206-1215). In other known methods, cells can be maintained *ex vivo* in a nutritive medium (e.g., for minutes, hours, or 3, 6, 9, 13, or more days) including murine prolactin-like protein E (mPLP-E) or murine prolactin-like protein F (mPIP-F; collectively mPLP-E/IF) (U.S. Patent No. 6,261,841). It will be appreciated that other suitable cell culture and expansion method can be used in accordance with the invention as well. Cells can also be grown in serum-free medium, as described in U.S. Patent No. 5,945,337.

In another embodiment, the modified hematopoietic stem cells are differentiated *ex vivo* into CD4<sup>+</sup> cells culture using specific combinations of interleukins and growth factors prior to administration to a subject using methods well known in the art. The cells may be expanded *ex vivo* in large numbers, preferably at least a 5-fold, more preferably at least a 10-fold and even more preferably at least a 20-fold expansion of cells compared to the original population of isolated hematopoietic stem cells.

In another embodiment cells for *ex vivo* gene therapy, the cells to be used can be dedifferentiated somatic cells. Somatic cells can be reprogrammed to become pluripotent stem-like cells that can be induced to become hematopoietic progenitor cells. The hematopoietic progenitor cells can then be treated with triplex-forming molecules and donor oligonucleotides as described above with respect to CD34<sup>+</sup> cells to produce recombinant cells having one or more modified genes. Representative somatic cells that can be reprogrammed include, but are not limited to fibroblasts, adipocytes, and muscles cells. Hematopoietic progenitor cells from induced stem-like cells have been successfully developed in the mouse (Hanna, J. et al. *Science*, 318:1920-1923 (2007)).

To produce hematopoietic progenitor cells from induced stem-like cells, somatic cells are harvested from a host. In a preferred embodiment, the somatic cells are autologous fibroblasts. The cells are cultured and transduced with vectors encoding Oct4, Sox2, Klf4, and c-Myc transcription factors. The transduced cells are cultured and screened for embryonic stem cell (ES) morphology and ES cell markers including, but not limited to AP, SSEA1, and Nanog. The transduced ES cells are cultured and induced to

produce induced stem-like cells. Cells are then screened for CD41 and c-kit markers (early hematopoietic progenitor markers) as well as markers for myeloid and erythroid differentiation.

The modified hematopoietic stem cells or modified induced  
5 hematopoietic progenitor cells are then introduced into a subject. Delivery of the cells may be effected using various methods and includes most preferably intravenous administration by infusion as well as direct depot injection into periosteal, bone marrow and/or subcutaneous sites.

The subject receiving the modified cells may be treated for bone  
10 marrow conditioning to enhance engraftment of the cells. The recipient may be treated to enhance engraftment, using a radiation or chemotherapeutic treatment prior to the administration of the cells. Upon administration, the cells will generally require a period of time to engraft. Achieving significant engraftment of hematopoietic stem or progenitor cells typically takes weeks  
15 to months.

A high percentage of engraftment of modified hematopoietic stem cells is not envisioned to be necessary to achieve significant prophylactic or therapeutic effect. It is expected that the engrafted cells will expand over time following engraftment to increase the percentage of modified cells. In  
20 some embodiments, the modified cells have a corrected  $\alpha$ -L-iduronidase gene. Therefore, in a subject with Hurler syndrome, the modified cells are expected to improve or cure the condition. It is expected that engraftment of only a small number or small percentage of modified hematopoietic stem cells will be required to provide a prophylactic or therapeutic effect.

25 In preferred embodiments, the cells to be administered to a subject will be autologous, e.g. derived from the subject, or syngenic.

## **2. *In vivo* Gene Therapy**

The disclosed compositions can be administered directly to a subject for in vivo gene therapy.

### **30 a. Pharmaceutical Formulations**

The disclosed compositions are preferably employed for therapeutic uses in combination with a suitable pharmaceutical carrier. Such

compositions include an effective amount of the composition, and a pharmaceutically acceptable carrier or excipient.

It is understood by one of ordinary skill in the art that nucleotides administered *in vivo* are taken up and distributed to cells and tissues (Huang, et al., *FEBS Lett.*, 558(1-3):69-73 (2004)). For example, Nyce, et al. have shown that antisense oligodeoxynucleotides (ODNs) when inhaled bind to endogenous surfactant (a lipid produced by lung cells) and are taken up by lung cells without a need for additional carrier lipids (Nyce, et al., *Nature*, 385:721-725 (1997)). Small nucleic acids are readily taken up into T24 bladder carcinoma tissue culture cells (Ma, et al., *Antisense Nucleic Acid Drug Dev.*, 8:415-426 (1998)).

The disclosed compositions including triplex-forming molecules, such as TFOs and PNAs, and donor fragments may be in a formulation for administration topically, locally or systemically in a suitable pharmaceutical carrier. Remington's Pharmaceutical Sciences, 15th Edition by E. W. Martin (Mark Publishing Company, 1975), discloses typical carriers and methods of preparation. The compound may also be encapsulated in suitable biocompatible microcapsules, microparticles, nanoparticles, or microspheres formed of biodegradable or non-biodegradable polymers or proteins or liposomes for targeting to cells. Such systems are well known to those skilled in the art and may be optimized for use with the appropriate nucleic acid.

Various methods for nucleic acid delivery are described, for example, in Sambrook et al., *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory, New York (1989); and Ausubel, et al., *Current Protocols in Molecular Biology*, John Wiley & Sons, New York (1994). Such nucleic acid delivery systems include the desired nucleic acid, by way of example and not by limitation, in either "naked" form as a "naked" nucleic acid, or formulated in a vehicle suitable for delivery, such as in a complex with a cationic molecule or a liposome forming lipid, or as a component of a vector, or a component of a pharmaceutical composition. The nucleic acid delivery system can be provided to the cell either directly, such as by contacting it with the cell, or indirectly, such as through the action of any

biological process. The nucleic acid delivery system can be provided to the cell by endocytosis, receptor targeting, coupling with native or synthetic cell membrane fragments, physical means such as electroporation, combining the nucleic acid delivery system with a polymeric carrier such as a controlled  
5 release film or nanoparticle or microparticle, using a vector, injecting the nucleic acid delivery system into a tissue or fluid surrounding the cell, simple diffusion of the nucleic acid delivery system across the cell membrane, or by any active or passive transport mechanism across the cell membrane. Additionally, the nucleic acid delivery system can be provided  
10 to the cell using techniques such as antibody-related targeting and antibody-mediated immobilization of a viral vector.

Formulations for topical administration may include ointments, lotions, creams, gels, drops, suppositories, sprays, liquids and powders. Conventional pharmaceutical carriers, aqueous, powder or oily bases, or  
15 thickeners can be used as desired.

Formulations suitable for parenteral administration, such as, for example, by intraarticular (in the joints), intravenous, intramuscular, intradermal, intraperitoneal, and subcutaneous routes, include aqueous and non-aqueous, isotonic sterile injection solutions, which can contain  
20 antioxidants, buffers, bacteriostats, and solutes that render the formulation isotonic with the blood of the intended recipient, and aqueous and non-aqueous sterile suspensions, solutions or emulsions that can include suspending agents, solubilizers, thickening agents, dispersing agents, stabilizers, and preservatives. Formulations for injection may be presented  
25 in unit dosage form, e.g., in ampules or in multi-dose containers, optionally with an added preservative. The compositions may take such forms as sterile aqueous or nonaqueous solutions, suspensions and emulsions, which can be isotonic with the blood of the subject in certain embodiments. Examples of nonaqueous solvents are polypropylene glycol, polyethylene glycol,  
30 vegetable oil such as olive oil, sesame oil, coconut oil, arachis oil, peanut oil, mineral oil, injectable organic esters such as ethyl oleate, or fixed oils including synthetic mono or di-glycerides. Aqueous carriers include water, alcoholic/aqueous solutions, emulsions or suspensions, including saline and

buffered media. Parenteral vehicles include sodium chloride solution, 1,3-butandiol, Ringer's dextrose, dextrose and sodium chloride, lactated Ringer's or fixed oils. Intravenous vehicles include fluid and nutrient replenishers, and electrolyte replenishers (such as those based on Ringer's dextrose).

5 Preservatives and other additives may also be present such as, for example, antimicrobials, antioxidants, chelating agents and inert gases. In addition, sterile, fixed oils are conventionally employed as a solvent or suspending medium. For this purpose any bland fixed oil including synthetic mono- or di-glycerides may be employed. In addition, fatty acids such as oleic acid  
10 may be used in the preparation of injectables. Carrier formulation can be found in Remington's Pharmaceutical Sciences, Mack Publishing Co., Easton, Pa. Those of skill in the art can readily determine the various parameters for preparing and formulating the compositions without resort to undue experimentation.

15 The disclosed compositions alone or in combination with other suitable components, can also be made into aerosol formulations (i.e., they can be "nebulized") to be administered via inhalation. Aerosol formulations can be placed into pressurized acceptable propellants, such as dichlorodifluoromethane, propane, nitrogen, and air. For administration by  
20 inhalation, the compounds are delivered in the form of an aerosol spray presentation from pressurized packs or a nebulizer, with the use of a suitable propellant.

In some embodiments, the compositions include pharmaceutically acceptable carriers with formulation ingredients such as salts, carriers,  
25 buffering agents, emulsifiers, diluents, excipients, chelating agents, fillers, drying agents, antioxidants, antimicrobials, preservatives, binding agents, bulking agents, silicas, solubilizers, or stabilizers. In one embodiment, the triplex-forming molecules and/or donor oligonucleotides are conjugated to lipophilic groups like cholesterol and lauric and lithocholic acid derivatives  
30 with C32 functionality to improve cellular uptake. For example, cholesterol has been demonstrated to enhance uptake and serum stability of siRNA *in vitro* (Lorenz, et al., *Bioorg. Med. Chem. Lett.*, 14(19):4975-4977 (2004)) and *in vivo* (Soutschek, et al., *Nature*, 432(7014):173-178 (2004)). In

addition, it has been shown that binding of steroid conjugated oligonucleotides to different lipoproteins in the bloodstream, such as LDL, protect integrity and facilitate biodistribution (Rump, et al., *Biochem. Pharmacol.*, 59(11):1407-1416 (2000)). Other groups that can be attached or  
5 conjugated to the compound described above to increase cellular uptake, include acridine derivatives; cross-linkers such as psoralen derivatives, azidophenacyl, proflavin, and azidoproflavin; artificial endonucleases; metal complexes such as EDTA-Fe(II) and porphyrin-Fe(II); alkylating moieties; nucleases such as alkaline phosphatase; terminal transferases; abzymes;  
10 cholesteryl moieties; lipophilic carriers; peptide conjugates; long chain alcohols; phosphate esters; radioactive markers; non-radioactive markers; carbohydrates; and polylysine or other polyamines. U.S. Patent No. 6,919,208 to Levy, et al., also describes methods for enhanced delivery. These pharmaceutical formulations may be manufactured in a manner that is  
15 itself known, e.g., by means of conventional mixing, dissolving, granulating, levigating, emulsifying, encapsulating, entrapping or lyophilizing processes.

#### **b. Methods of Administration**

In general, methods of administering compounds, including oligonucleotides and related molecules, are well known in the art. In  
20 particular, the routes of administration already in use for nucleic acid therapeutics, along with formulations in current use, provide preferred routes of administration and formulation for the triplex-forming molecules described above. Preferably the compositions are injected into the organism undergoing genetic manipulation, such as an animal requiring gene therapy.

25 The disclosed compositions can be administered by a number of routes including, but not limited to, oral, intravenous, intraperitoneal, intramuscular, transdermal, subcutaneous, topical, sublingual, rectal, intranasal, pulmonary, and other suitable means. The compositions can also be administered via liposomes. Such administration routes and appropriate  
30 formulations are generally known to those of skill in the art.

Administration of the formulations may be accomplished by any acceptable method which allows the gene editing compositions to reach their targets.

Any acceptable method known to one of ordinary skill in the art may be used to administer a formulation to the subject. The administration may be localized (i.e., to a particular region, physiological system, tissue, organ, or cell type) or systemic, depending on the condition being treated.

5           Injections can be e.g., intravenous, intradermal, subcutaneous, intramuscular, or intraperitoneal. In some embodiments, the injections can be given at multiple locations. Implantation includes inserting implantable drug delivery systems, e.g., microspheres, hydrogels, polymeric reservoirs, cholesterol matrixes, polymeric systems, e.g., matrix erosion and/or diffusion  
10 systems and non-polymeric systems, e.g., compressed, fused, or partially-fused pellets. Inhalation includes administering the composition with an aerosol in an inhaler, either alone or attached to a carrier that can be absorbed. For systemic administration, it may be preferred that the composition is encapsulated in liposomes.

15           The compositions may be delivered in a manner which enables tissue-specific uptake of the agent and/or nucleotide delivery system. Techniques include using tissue or organ localizing devices, such as wound dressings or transdermal delivery systems, using invasive devices such as vascular or urinary catheters, and using interventional devices such as stents  
20 having drug delivery capability and configured as expansive devices or stent grafts.

The formulations may be delivered using a bioerodible implant by way of diffusion or by degradation of the polymeric matrix. In certain embodiments, the administration of the formulation may be designed so as to  
25 result in sequential exposures to the composition, over a certain time period, for example, hours, days, weeks, months or years. This may be accomplished, for example, by repeated administrations of a formulation or by a sustained or controlled release delivery system in which the compositions are delivered over a prolonged period without repeated  
30 administrations. Administration of the formulations using such a delivery system may be, for example, by oral dosage forms, bolus injections, transdermal patches or subcutaneous implants. Maintaining a substantially constant concentration of the composition may be preferred in some cases.

Other delivery systems suitable include time-release, delayed release, sustained release, or controlled release delivery systems. Such systems may avoid repeated administrations in many cases, increasing convenience to the subject and the physician. Many types of release delivery systems are available and known to those of ordinary skill in the art. They include, for example, polymer-based systems such as polylactic and/or polyglycolic acids, polyanhydrides, polycaprolactones, copolyoxalates, polyesteramides, polyorthoesters, polyhydroxybutyric acid, and/or combinations of these. Microcapsules of the foregoing polymers containing nucleic acids are described in, for example, U.S. Patent No. 5,075,109. Other examples include non-polymer systems that are lipid-based including sterols such as cholesterol, cholesterol esters, and fatty acids or neutral fats such as mono-, di- and triglycerides; hydrogel release systems; liposome-based systems; phospholipid based-systems; silastic systems; peptide based systems; wax coatings; compressed tablets using conventional binders and excipients; or partially fused implants. Specific examples include erosional systems in which the oligonucleotides are contained in a formulation within a matrix (for example, as described in U.S. Patent Nos. 4,452,775, 4,675,189, 5,736,152, 4,667,013, 4,748,034 and 5,239,660), or diffusional systems in which an active component controls the release rate (for example, as described in U.S. Patent Nos. 3,832,253, 3,854,480, 5,133,974 and 5,407,686). The formulation may be as, for example, microspheres, hydrogels, polymeric reservoirs, cholesterol matrices, or polymeric systems. In some embodiments, the system may allow sustained or controlled release of the composition to occur, for example, through control of the diffusion or erosion/degradation rate of the formulation containing the triplex-forming molecules and donor oligonucleotides. In addition, a pump-based hardware delivery system may be used to deliver one or more embodiments.

Examples of systems in which release occurs in bursts include systems in which the composition is entrapped in liposomes which are encapsulated in a polymer matrix, the liposomes being sensitive to specific stimuli, e.g., temperature, pH, light or a degrading enzyme and systems in which the composition is encapsulated by an ionically-coated microcapsule

with a microcapsule core degrading enzyme. Examples of systems in which release of the inhibitor is gradual and continuous include, e.g., erosional systems in which the composition is contained in a form within a matrix and effusional systems in which the composition permeates at a controlled rate, 5 e.g., through a polymer. Such sustained release systems can be in the form of pellets, or capsules.

Use of a long-term release implant may be particularly suitable in some embodiments. "Long-term release," as used herein, means that the implant containing the composition is constructed and arranged to deliver 10 therapeutically effective levels of the composition for at least 30 or 45 days, and preferably at least 60 or 90 days, or even longer in some cases. Long-term release implants are well known to those of ordinary skill in the art, and include some of the release systems described above.

**c. Preferred Formulations for Mucosal and**  
15 **Pulmonary Administration**

Active agent(s) and compositions thereof can be formulated for pulmonary or mucosal administration. The administration can include delivery of the composition to the lungs, nasal, oral (sublingual, buccal), vaginal, or rectal mucosa.

20 In one embodiment, the compounds are formulated for pulmonary delivery, such as intranasal administration or oral inhalation. The respiratory tract is the structure involved in the exchange of gases between the atmosphere and the blood stream. The lungs are branching structures ultimately ending with the alveoli where the exchange of gases occurs. The 25 alveolar surface area is the largest in the respiratory system and is where drug absorption occurs. The alveoli are covered by a thin epithelium without cilia or a mucus blanket and secrete surfactant phospholipids. The respiratory tract encompasses the upper airways, including the oropharynx and larynx, followed by the lower airways, which include the trachea 30 followed by bifurcations into the bronchi and bronchioli. The upper and lower airways are called the conducting airways. The terminal bronchioli then divide into respiratory bronchiole, which then lead to the ultimate

respiratory zone, the alveoli, or deep lung. The deep lung, or alveoli, is the primary target of inhaled therapeutic aerosols for systemic drug delivery.

Pulmonary administration of therapeutic compositions comprised of low molecular weight drugs has been observed, for example, beta-  
5 androgenic antagonists to treat asthma. Other therapeutic agents that are active in the lungs have been administered systemically and targeted via pulmonary absorption. Nasal delivery is considered to be a promising technique for administration of therapeutics for the following reasons: the nose has a large surface area available for drug absorption due to the  
10 coverage of the epithelial surface by numerous microvilli, the subepithelial layer is highly vascularized, the venous blood from the nose passes directly into the systemic circulation and therefore avoids the loss of drug by first-pass metabolism in the liver, it offers lower doses, more rapid attainment of therapeutic blood levels, quicker onset of pharmacological activity, fewer  
15 side effects, high total blood flow per  $\text{cm}^3$ , porous endothelial basement membrane, and it is easily accessible.

The term aerosol as used herein refers to any preparation of a fine mist of particles, which can be in solution or a suspension, whether or not it is produced using a propellant. Aerosols can be produced using standard  
20 techniques, such as ultrasonication or high-pressure treatment.

Carriers for pulmonary formulations can be divided into those for dry powder formulations and for administration as solutions. Aerosols for the delivery of therapeutic agents to the respiratory tract are known in the art. For administration via the upper respiratory tract, the formulation can be  
25 formulated into a solution, e.g., water or isotonic saline, buffered or unbuffered, or as a suspension, for intranasal administration as drops or as a spray. Preferably, such solutions or suspensions are isotonic relative to nasal secretions and of about the same pH, ranging e.g., from about pH 4.0 to about pH 7.4 or, from pH 6.0 to pH 7.0. Buffers should be physiologically  
30 compatible and include, simply by way of example, phosphate buffers. For example, a representative nasal decongestant is described as being buffered to a pH of about 6.2. One skilled in the art can readily determine a suitable

saline content and pH for an innocuous aqueous solution for nasal and/or upper respiratory administration.

Preferably, the aqueous solution is water, physiologically acceptable aqueous solutions containing salts and/or buffers, such as phosphate buffered saline (PBS), or any other aqueous solution acceptable for administration to  
5 an animal or human. Such solutions are well known to a person skilled in the art and include, but are not limited to, distilled water, de-ionized water, pure or ultrapure water, saline, phosphate-buffered saline (PBS). Other suitable aqueous vehicles include, but are not limited to, Ringer's solution  
10 and isotonic sodium chloride. Aqueous suspensions may include suspending agents such as cellulose derivatives, sodium alginate, polyvinyl-pyrrolidone and gum tragacanth, and a wetting agent such as lecithin. Suitable preservatives for aqueous suspensions include ethyl and n-propyl p-hydroxybenzoate.

15 In another embodiment, solvents that are low toxicity organic (i.e. nonaqueous) class 3 residual solvents, such as ethanol, acetone, ethyl acetate, tetrahydrofuran, ethyl ether, and propanol may be used for the formulations. The solvent is selected based on its ability to readily aerosolize the formulation. The solvent should not detrimentally react with the compounds.  
20 An appropriate solvent should be used that dissolves the compounds or forms a suspension of the compounds. The solvent should be sufficiently volatile to enable formation of an aerosol of the solution or suspension. Additional solvents or aerosolizing agents, such as freons, can be added as desired to increase the volatility of the solution or suspension.

25 In one embodiment, compositions may contain minor amounts of polymers, surfactants, or other excipients well known to those of the art. In this context, "minor amounts" means no excipients are present that might affect or mediate uptake of the compounds in the lungs and that the excipients that are present are present in amount that do not adversely affect  
30 uptake of compounds in the lungs.

Dry lipid powders can be directly dispersed in ethanol because of their hydrophobic character. For lipids stored in organic solvents such as chloroform, the desired quantity of solution is placed in a vial, and the

chloroform is evaporated under a stream of nitrogen to form a dry thin film on the surface of a glass vial. The film swells easily when reconstituted with ethanol. To fully disperse the lipid molecules in the organic solvent, the suspension is sonicated. Nonaqueous suspensions of lipids can also be prepared in absolute ethanol using a reusable PARI LC Jet+ nebulizer (PARI Respiratory Equipment, Monterey, CA).

### C. Diseases to Be Treated

Gene therapy is apparent when studied in the context of human genetic diseases, for example, cystic fibrosis, hemophilia, globinopathies such as sickle cell anemia and beta-thalassemia, xeroderma pigmentosum, and lysosomal storage diseases, though the strategies are also useful for treating non-genetic disease such as HIV, in the context of ex vivo-based cell modification and also for in vivo cell modification. The disclosed compositions are especially useful to treat genetic deficiencies, disorders and diseases caused by mutations in single genes, for example, to correct genetic deficiencies, disorders and diseases caused by point mutations. If the target gene contains a mutation that is the cause of a genetic disorder, then the disclosed compositions can be used for mutagenic repair that may restore the DNA sequence of the target gene to normal. The target sequence can be within the coding DNA sequence of the gene or within an intron. The target sequence can also be within DNA sequences that regulate expression of the target gene, including promoter or enhancer sequences.

If the target gene is an oncogene causing unregulated proliferation, such as in a cancer cell, then the oligonucleotide is useful for causing a mutation that inactivates the gene and terminates or reduces the uncontrolled proliferation of the cell. The oligonucleotide is also a useful anti-cancer agent for activating a repressor gene that has lost its ability to repress proliferation. The target gene can also be a gene that encodes an immune regulatory factor, such as PD-1, in order to enhance the host's immune response to a cancer.

Programmed cell death protein 1, also known as PD-1 and CD279 (cluster of differentiation 279), is a protein encoded by the PDCD1 gene. PD-1 has two ligands: PD-L1 and PD-L2. PD-1 is expressed on a subset of

thymocytes and up-regulated on T, B, and myeloid cells after activation (Agata, et al., *Int. Immunol.*, 8:765–772 (1996)). PD-1 acts to antagonize signal transduction downstream of the TCR after it binds a peptide antigen presented by the major histocompatibility complex (MHC). It can function as  
5 an immune checkpoint, by preventing the activation of T-cells, which in turn reduces autoimmunity and promotes self-tolerance, but can also reduce the body's ability to combat cancer. The inhibitory effect of PD-1 to act through twofold mechanism of promoting apoptosis (programmed cell death) in antigen specific T-cells in lymph nodes while simultaneously reducing  
10 apoptosis in regulatory T cells (suppressor T cells). Compositions that block PD-1, the PD-1 inhibitors, activate the immune system to attack tumors and are therefore used with varying success to treat some types of cancer.

Therefore, in some embodiments, compositions are used to treat cancer. The gene modification technology can be designed to reduce or  
15 prevent expression of PD-1, and administered in an effective amount to do so.

The compositions can be used as antiviral agents, for example, when designed to modify a specific a portion of a viral genome necessary for proper proliferation or function of the virus.

#### 20 **Variants, Substitutions, and Exemplary PNAs**

Preferred diseases and sequences of exemplary targeting sites, triplex forming molecules, and donor oligonucleotides are discussed in more detail below. Any of the sequences can also be modified as disclosed herein or otherwise known in the art. For example, in some embodiments, any of the  
25 triplex-forming sequences herein can have one or more mutations (e.g., substitutions, deletions, or insertions), such that the triplex-forming molecules still bind to the target sequence.

Any of the triplex-forming sequences herein can be manufactured using canonical nucleic acids or other suitable substitutes including those  
30 disclosed herein (e.g., PNAs), without or without any of the base, sugar, or backbone modifications discussed herein or in WO 1996/040271, WO/2010/123983, and U.S. Patent No. 8,658,608.

Any of the triplex-forming sequences herein can be peptide nucleic acids. In some embodiments, one or more of the cytosines of any of triplex-forming sequences herein is substituted with a pseudoisocytosine. In some embodiments, all of the cytosines in the Hoogsteen-binding portion of a  
5 triplex forming molecule are substituted with pseudoisocytosine. In some embodiments, any of the triplex-forming sequences herein, includes one or more of peptide nucleic acid monomers substituted with a  $\gamma$ PNA. In some embodiments all of the peptide nucleic acid monomers in the Hoogsteen-binding portion only, the Watson-Crick-binding portion only, or across the  
10 entire PNA are substituted with  $\gamma$ PNA monomers. In particular embodiments, alternating residues are PNA and  $\gamma$ PNA in the Hoogsteen-binding portion only, the Watson-Crick-binding portion only, or across the entire PNA are substituted. In some embodiments, the  $\gamma$ PNAs are miniPEG  $\gamma$ PNA, methyl  $\gamma$ PNA, another  $\gamma$  substitution discussed above. In some  
15 embodiments, the PNA oligomer includes two or more different  $\gamma$ PNAs.

For example, in some embodiments, (1) some or all of the residues in the Watson-Crick binding portion are  $\gamma$ PNA (e.g., miniPEG-containing  $\gamma$ PNA); (2) some or all of the residues in the Hoogsteen binding portion are  $\gamma$ PNA (e.g., miniPEG-containing  $\gamma$ PNA); or (3) some or all of the residue (in  
20 the Watson-Crick and/or Hoogsteen binding portions) are  $\gamma$ PNA (e.g., miniPEG-containing  $\gamma$ PNA). Therefore, in some embodiments any of the triplex forming nucleic acid sequence herein is a peptide nucleic acid wherein (1) all of the residues in the Watson-Crick binding portion are  $\gamma$ PNA (e.g., miniPEG-containing  $\gamma$ PNA) and none of the residues is in Hoogsteen  
25 binding portion are  $\gamma$ PNA (e.g., miniPEG-containing  $\gamma$ PNA); (2) all of the residues in the Hoogsteen binding portion are  $\gamma$ PNA (e.g., miniPEG-containing  $\gamma$ PNA) and none of the residues is in Watson-Crick binding portion are  $\gamma$ PNA (e.g., miniPEG-containing  $\gamma$ PNA); or (3) all of the residues (in the Watson-Crick and Hoogsteen binding portions) are  $\gamma$ PNA (e.g.,  
30 miniPEG-containing  $\gamma$ PNA).

Preferred triplex molecules are bis-peptide nucleic acids with pseudoisocytosine substituted for one or more cytosines, particularly in the Hoogsteen-binding portion, and wherein some or all of the PNA are  $\gamma$ PNA.

Any of the triplex-forming sequences herein can have one or more G-clamp monomers. For example, one or more cytosines or variant thereof such as pseudoisocytosine in any of the triplex-forming sequences herein can be substituted or otherwise modified to be a clamp-G (9-(2-guanidinoethoxy) phenoxazine).

Any of the triplex-forming sequences herein can include a flexible linker, linking, for example, a Hoogsteen-binding domain and a Watson-Crick binding domain to form a bis-PNA. The sequences can be linked with a flexible linker. For example, in some embodiments the flexible linker includes about 1-10, more preferably 2-5, most preferably about 3 units such as 8-amino-2, 6, 10-trioxaoctanoic acid residues. Some molecules include N-terminal or C-terminal non-binding residues, preferably positively charged. For example, some molecules include 1-10, preferable 2-5, most preferably about 3 lysines at the N-terminus, the C-terminus, or a combination thereof of the PNA.

For the disclosed sequences, “J” is pseudoisocytosine, “O” is flexible 8-amino-3,6-dioxaoctanoic acid, 6-aminohexanoic acid monomers, “K” and “lys” are lysine. PNA sequences are generally presented in an H-“nucleic acid sequence”-NH<sub>2</sub> orientation. For bis-PNA the Hoosten-binding portion is typically oriented up stream (e.g., at the “H” end) of the linker, while the Watson-Crick-binding portion is typically oriented downstream (e.g., at the NH<sub>2</sub> end) of the linker. Any of the donors can include optional phosphorothiate internucleoside linkages, particular between the three or four terminal 5' and three or four terminal 3' nucleotides. Thus, each of the donor oligonucleotide sequences disclosed herein is expressly disclosed without any phosphorothiate internucleoside linkages, and with phosphorothiate internucleoside linkages, preferably between the three or four terminal 5' and three or four terminal 3' nucleotides.

### **1. Globinopathies**

Worldwide, globinopathies account for significant morbidity and mortality. Over 1,200 different known genetic mutations affect the DNA sequence of the human alpha-like (HBZ, HBA2, HBA1, and HBQ1) and beta-like (HBE1, HBG1, HBD, and HBB) globin genes. Two of the more

prevalent and well-studied globinopathies are sickle cell anemia and  $\beta$ -thalassemia. Substitution of valine for glutamic acid at position 6 of the  $\beta$ -globin chain in patients with sickle cell anemia predisposes to hemoglobin polymerization, leading to sickle cell rigidity and vasoocclusion with  
5 resulting tissue and organ damage. In patients with  $\beta$ -thalassemia, a variety of mutational mechanisms results in reduced synthesis of  $\beta$ -globin leading to accumulation of aggregates of unpaired, insoluble  $\alpha$ -chains that cause ineffective erythropoiesis, accelerated red cell destruction, and severe anemia.

10 Together, globinopathies represent the most common single-gene disorders in man. Triplex forming oligonucleotides are particularly well suited to treat globinopathies, as they are single gene disorders caused by point mutations. Triplex forming molecules disclosed herein are effective at binding to the human  $\beta$ -globin both *in vitro* and in living cells, both *ex vivo*  
15 and *in vivo* in animals. Experimental results also demonstrate correction of a thalassemia-associated mutation *in vivo* in a transgenic mouse carrying a human beta globin gene with the IVS2-654 thalassemia mutation (in place of the endogenous mouse beta globin) with correction of the mutation in 4% of the total bone marrow cells, cure of the anemia with blood hemoglobin levels  
20 showing a sustained elevation into the normal range, reversal of extramedullary hematopoiesis and reversal of splenomegaly, and reduction in reticulocyte counts, following systemic administration of PNA and DNA containing nanoparticles.

$\beta$ -thalassemia is an unstable hemoglobinopathy leading to the  
25 precipitation of  $\alpha$ -hemoglobin within RBCs resulting in a severe hemolytic anemia. Patients experience jaundice and splenomegaly, with substantially decreased blood hemoglobin concentrations necessitating repeated transfusions, typically resulting in severe iron overload with time. Cardiac failure due to myocardial siderosis is a major cause of death from  $\beta$ -  
30 thalassemia by the end of the third decade. Reduction of repeated blood transfusions in these patients is therefore of primary importance to improve patient outcomes.

**a. Exemplary  $\beta$ -globin Gene Target Sites**

In the  $\beta$ -globin gene sequence, particularly in the introns, there are many good third-strand binding sites that may be utilized in the methods disclosed herein. A portion of the GenBank sequence of the chromosome-11 human-native hemoglobin-gene cluster (GenBank: U01317.1 - Human beta globin region on chromosome 11 - LOCUS HUMHBB, 73308 bp ds-DNA) from base 60001 to base 66060 is presented below. The start of the gene coding sequence at position 62187-62189 (or positions 2187-2189 of SEQ ID NO:13) is indicated by wave underlining. This portion of the GenBank sequence contains the native  $\beta$  globin gene sequence. In sickle cell hemoglobin the adenine base at position 62206 (or position 2206 as listed in SEQ ID NO:13, indicated in bold and heavy underlining) is mutated to a thymine. Other common point mutations occur in intron 2 (IVS2), which is highlighted in the sequence below by italics (SEQ ID NO:14) and corresponds with nucleotides 2,632-3,481 of SEQ ID NO:13. Mutations include IVS2-1, IVS2-566, IVS2-654, IVS2-705, and IVS2-745, which are also shown in bold and heavy underlining; numbering relative to the start of intron 2.

Exemplary triplex forming molecule binding sites, are provided in, for example, WO 1996/040271, WO/2010/123983, and U.S. Patent No. 8,658,608, and in the working Examples below. Target regions can be reference based on the coding strand of genomic DNA, or the complementary non-coding sequence thereto (e.g., the Watson or Crick stand). Exemplary target regions are identified with reference to the coding sequence of the  $\beta$  globin gene sequence in the sequence below by double underlining and a combination of underlining and double underlining (wherein the underlining is optional additional binding sequence). Additionally, for each targeting sequence identified, the complementary target sequence on the reverse non-coding strand is also explicitly disclosed as a triplex forming molecule binding sequence.

Accordingly, triplex forming molecules can be designed to bind a target region on either the coding or non-coding strand. However, as discussed above, triplex-forming molecules, such as PNA and tcPNA

preferably invade the target duplex, displacement of the polypyrimidine, and induce triplex formation with the displaced polypurine.

AAAGCTCTTGCTTTGACAATTTTGGTCTTTCAGAATACTATAAATA  
 TAACCTATATTATAATTTTCATAAAGTCTGTGCATTTTCTTTGACCC  
 5 AGGATATTTGCAAAAGACATATTCAAACCTCCGCAGAACACTTTA  
 TTTCACATATACATGCCTCTTATATCAGGGATGTGAAACAGGGTC  
 TTGAAAACCTGTCTAAATCTAAAACAATGCTAATGCAGGTTTAAAT  
 TTAATAAAAATAAAATCCAAAATCTAACAGCCAAGTCAAATCTGTA  
 TGTTTTAACATTTAAAATATTTTAAAGACGTCTTTTCCCAGGATTC  
 10 AACATGTGAAATCTTTTCTCAGGGATACACGTGTGCCTAGATCCT  
 CATTGCTTTAGTTTTTTACAGAGGAATGAATATAAAAAGAAAATA  
 CTAAATTTTATCCCTCTTACCTCTATAATCATACATAGGCATAAT  
 TTTTAAACCTAGGCTCCAGATAGCCATAGAAGAACCAAACACTTT  
 CTGCGTGTGTGAGAATAATCAGAGTGAGATTTTTTACAAGTACC  
 15 TGATGAGGGTTGAGACAGGTAGAAAAAGTGAGAGATCTCTATTT  
 ATTTAGCAATAATAGAGAAAGCATTAAAGAGAATAAAGCAATGG  
 AAATAAGAAATTTGTAAATTTCCCTTCTGATAACTAGAAATAGAGG  
 ATCCAGTTTTCTTTGGTTAACCTAAATTTTATTTCATTTTATTGTTT  
 TATTTTATTTTATTTTATTTTATTTTGTGTAAATCGTAGTTTCAGAGT  
 20 GTTAGAGCTGAAAGGAAGAAGTAGGAGAAACATGCAAAGTAAA  
 AGTATAACACTTTCCCTTACTAAACCGACTGGGTTTCCAGGTAGGG  
 GCAGGATTCAGGATGACTGACAGGGCCCTTAGGGAACTGAGA  
 CCCTACGCTGACCTCATAAATGCTTGCTACCTTTGCTGTTTTAATT  
 ACATCTTTTAATAGCAGGAAGCAGA ACTCTGCACTTCAAAGTTT  
 25 TTCTCACCTGAGGAGTTAATTTAGTACAAGGGGAAAAAGTACA  
 GGGGGATGGGAGAAAGGCGATCACGTTGGGAAGCTATAGAGAA  
 AGAAGAGTAAATTTTAGTAAAGGAGGTTTAAACAAACAAAATAT  
 AAAGAGAAATAGGAACTTGAATCAAGGAAATGATTTTAAAACGC  
 AGTATTCTTAGTGGACTAGAGGAAAAAATAATCTGAGCCAAGT  
 30 AGAAGACCTTTTCCCCTCCTACCCCTACTTTCTAAGTCACAGA  
 GGCTTTTTGTTCCCCCAGACACTCTTGCAGATTAGTCCAGGCAGA

AACAGTTAGATGTCCCCAGTTAACCTCCTATTTGACACCACTGAT  
 TACCCCATTTGATAGTCACACTTTGGGTTGTAAGTGACTTTTTATTT  
 ATTTGTATTTTTGACTGCATTAAGAGGTCTCTAGTTTTTTATCTCTT  
 GTTTCCCAAACCTAATAAGTAACTAATGCACAGAGCACATTGAT  
 5 TTGTATTTATTCTATTTTTAGACATAATTTATTAGCATGCATGAGC  
 AAATTAAGAAAAACAACAACAATGAATGCATATATATGTATAT  
 GTATGIGTGTATATATACACATATATATATATATTTTTTTCTTT  
TCTTACCAGAAGGTTTTAATCCAAATAAGGAGAAGATATGCTTA  
 GAACTGAGGTAGAGTTTTTCATCCATTCTGTCTGTAAAGTATTTTGC  
 10 ATATTCTGGAGACGCAGGAAGAGATCCATCTACATATCCCAAAG  
 CTGAATTATGGTAGACAAAGCTCTTCCACTTTTAGTGCATCAATTT  
 CTTATTTGTGTAATAAGAAAATTGGGAAAACGATCTTCAATATGC  
 TTACCAAGCTGTGATTCCAAATATTACGTAAATACACTTGCAAAG  
 GAGGATGTTTTTAGTAGCAATTTGTACTGATGGTATGGGGCCAAG  
 15 AGATATATCTTAGAGGGAGGGCTGAGGGTTTGAAGTCCAACCTCCT  
 AAGCCAGTGCCAGAAGAGCCAAGGACAGGTACGGCTGTCATCAC  
 TTAGACCTCACCTGTGGAGCCACACCCTAGGGTTGGCCAATCTA  
 CTCCCAGGAGCAGGGAGGGCAGGAGCCAGGGCTGGGCATAAAA  
 GTCAGGGCAGAGCCATCTATTGCTTACATTTGCTTCTGACACAAC  
 20 TGTGTTCACTAGCAACCTCAAACAGACACCATGGTGCACCTGACT  
 CCTGAGGGAGAAGTCTGCCGTTACTGCCCTGTGGGGCAAGGTGAAC  
 GTGGATGAAGTTGGTGGTGAGGCCCTGGGCAGGTTGGTATCAAG  
 GTTACAAGACAGGTTTAAGGAGACCAATAGAAACTGGGCATGTG  
 GAGACAGAGAAGACTCTTGGGTTTCTGATAGGCACTGACTCTCTC  
 25 TGCCTATTGGTCTATTTTCCCACCCTTAGGCTGCTGGTGGTCTACC  
 CTTGGACCCAGAGGTTCTTTGAGTCCTTTGGGGATCTGTCCACTCC  
 TGATGCTGTTATGGGCAACCCTAAGGTGAAGGCTCATGGCAAGA  
 AAGTGCTCGGTGCCTTTAGTGATGGCCTGGCTCACCTGGACAACC  
 TCAAGGGCACCTTTGCCACACTGAGTGAGCTGCACTGTGACAAGC  
 30 TGCACGTGGATCCTGAGAACTTCAGGGTGAGTCTATGGGACCCTTG  
ATGTTTTCTTCCCCCTTCTTTCTATGGTTAAGTTCATGTCATAGGAA

GGGGAGAAGTAACAGGGTACAGTTTAGAATGGGAAACAGACGAATGA  
 TTGCATCAGTGTGGAAGTCTCAGGATCGTTTTAGTTTCTTTTATTGCT  
 GTTCATAACAATTGTTTTCTTTTGTTTAATTCCTTGCTTTCTTTTTTTTC  
TTCTCCGCAATTTTTACTATTATACTTAATGCCTAACATTGTGTATAAC  
 5 AAAAGGAAATATCTCTGAGATACATTAAGTAACTTAAAAAAAACTTTAC  
 ACAGTCTGCCTAGTACATTACTATTTGGAATATATGTGTGCTTATTGCT  
 ATATTCATAATCTCCCTACTTTATTTTCTTTTATTTTAAATTGATACATAAT  
 CATTATACATAATTTATGGGTTAAAGTGTAAATGTTTTAATATGTGTACACA  
 TATTGACCAAATCAGGGTAATTTTGCATTTGTAATTTTAAAAAATGCTTT  
 10 CTTCTTTTAAATACTTTTTTGTTTATCTTATTCTAATACTTTCCCTAAAT  
CTCTTTCTTTTCAGGGCAATAATGATACAATGTATCATGCCTCTTTGCA  
CCATTCTAAAGAATAACAGTGATAATTTCTGGGTTAAGGCAATAGCAAT  
 ATTTCTGCATATAAAATATTTCTGCATATAAAATTGTAAGTGAATGTAAGAGG  
 TTTCATATTGCTAATAGCAGCTACAATCCAGCTACCAATTCTGCTTTTATT  
 15 TTATGGTTGGGATAAGGCTGGATTATTCTGAGTCCAAGCTAGGCCCTT  
TTGCTAATCATGTTCATACCTCTTATCTTCCCTCCACAGCTCCTGGGC  
 AACGTGCTGGTCTGTGTGCTGGCCCATCACTTTGGCAAAGAATTC  
 ACCCCACCAGTGCAGGCTGCCTATCAGAAAGTGGTGGCTGGTGTG  
 GCTAATGCCCTGGCCCAAGTATCACTAAGCTCGCTTTCTTGCT  
 20 GTCCAATTTCTATTAAAGGTTCCCTTTGTTCCCTAAGTCCAACACTACT  
 AAAGTGGGGGATAATTATGAAGGGCCTTGAGCATCTGGATTCTGCC  
 TAATAAAAAACATTTATTTTCATTGCAATGATGTATTTAAATTATT  
 TCTGAATATTTTACTAAAAAGGGAATGTGGGAGGTCAGTGCATTT  
 AAAACATAAAGAAATGAAGAGCTAGTTCAAACCTTGGGAAAATA  
 25 CACTATATCTTAAACTCCATGAAAGAAGGTGAGGCTGCAAACAG  
 CTAATGCACATTGGCAACAGCCCTGATGCCTATGCCTTATTCATC  
 CCTCAGAAAAGGATTCAAGTAGAGGCTTGATTTGGAGGTTAAAG  
 TTTTGTCTATGCTGTATTTTACATTACTTATTGTTTTAGCTGTCCTCA  
 TGAATGTCTTTTCACTACCCATTTGCTTATCCTGCATCTCTCAGCC  
 30 TTGACTCCACTCAGTTCTCTTGCTTAGAGATAACCACCTTTCCCTG  
 AAGTGTTCCCTCCATGTTTTACGGCGAGATGGTTTCTCCTCGCCTG

GCCACTCAGCCTTAGTTGTCTCTGTTGTCTTATAGAGGTCTACTTG  
AAGAAGGAAAAACAGGGGGCATGGTTTGACTGTCCTGTGAGCCC  
TTCTTCCCTGCCTCCCCACTCACAGTGACCCGGAATCTGCAGTG  
CTAGTCTCCCGGAACTATCACTCTTTCACAGTCTGCTTTGGAAGG  
5 ACTGGGCTTAGTATGAAAAGTTAGGACTGAGAAGAATTTGAAAG  
GGGGCTTTTTGTAGCTTGATATTCCTACTGTCTTATTACCCTATC  
ATAGGCCACCCCAAATGGAAGTCCCATTCTTCCCTCAGGATGTTT  
AAGATTAGCATTTCAGGAAGAGATCAGAGGTCTGCTGGCTCCCTTA  
TCATGTCCCTTATGGTGCTTCTGGCTCTGCAGTTATTAGCATAGTG  
10 TTACCATCAACCACCTTAACTTCATTTTTCTTATTC AATACCTAGG  
TAGGTAGATGCTAGATTCTGGAAATAAAATATGAGTCTCAAGTGG  
TCCTTGTCTCTCTCCAGTCAAATTCTGAATCTAGTTGGCAAGAT  
TCTGAAATCAAGGCATATAATCAGTAATAAGTGATGATAGAAGG  
GTATATAGAAGAATTTTATTATATGAGAGGGTGAAACCTAAAATG  
15 AAATGAAATCAGACCCTTGTCTTACACCATAAAACAAAAATAAATT  
TGAATGGGTAAAGAATTA AACTAAGACCTAAAACCATAAAAAT  
TTTTAAAGAAATCAAAAAGAAGAAAATTCTAATATTCATGTTGCA  
GCCGTTTTTTGAATTTGATATGAGAAGCAAAGGCAACAAAAGGA  
AAAATAAAGAAGTGAGGCTACATCAA ACTAAAAAATTTCCACAC  
20 AAAAAAGAAAACAATGAACAAATGAAAGGTGAACCATGAAATG  
GCATATTTGCAAACCAAATATTTCTTAAATATTTTGGTTAATATCC  
AAAATATATAAGAAACACAGATGATTCAATAACAAACAAAAAT  
TAAAAATAGGAAAATAAAAAAATTA AAAAAGAAGAAAATCTCTGC  
CATTTATGCGAGAATTGATGAACCTGGAGGATGTAAACTAAGA  
25 AAAATAAGCCTGACACAAAAAGACAAATACTACACAACCTTGCT  
CATATGTGAAACATAAAAAAGTCACTCTCATGGAAACAGACAGT  
AGAGGTATGGTTTCCAGGGGTTGGGGGTGGGAGAATCAGGAAAC  
TATTACTCAAAGGGTATAAAAATTT CAGTTATGTGGGATGAATAAA  
TTCTAGATATCTAATGTACAGCATCGTGACTGTAGTTAATTGACT  
30 GTAAGTATATTTAAAATTTGCAAAGAGAGTAGATTTTTTTGTTTTT  
TTAGATGGAGTTTTGCTCTTGTTGTCCAGGCTGGAGTGCAATGGC

AAGATCTTGGCTCACTGCAACCTCCGCCTCCTGGGTTCAAGCAAA  
 TCTCCTGCCTCAGCCTCCCAGTAGCTGGGATTACAGGCATGCGA  
 CACCATGCCAGCTAATTTTGTATTTTGTAGTAGAGACGGGGTTTCT  
 CCATGTTGGTCAGGCTGATCCGCCTCCTCGGCCACCAAAGGGCTG  
 5 GGATTACAGGCGTGACCACCGGGCCTGGCCGAGAGTAGATCTTA  
 AAAGCATTTACCACAAGAAAAAGGTA ACTATGTGAGATAATGGG  
 TATGTTAATTAGCTTGATTGTGGTAATCATTTTACAAGGTATAACAT  
 ATATTA AACATCATGTTGTACACCTTAAATATATACAATTTTAT  
 TTGTGAATGATAACCTCAATAAAGTTGAAGAATAATAAAAAAGAA  
 10 TAGACATCACATGAATTA AAAAACTAAAAAATAAAAAAATGCAT  
 CTTGATGATTAGAATTGCATTCTTGATTTTTCAGATACAAATATCC  
 ATTTGACTG (SEQ ID NO:13 - full sequence; SEQ ID NO:14 - sequence  
 in italics).

15 **b. Exemplary Triplex Forming Sequences**  
**i. Beta Thalassemia**

Gene editing molecules can be designed based on the guidance  
 provided herein and otherwise known in the art. Exemplary triplex forming  
 molecule and donor sequences, are provided in, for example, WO  
 20 1996/040271, WO/2010/123983, and U.S. Patent No. 8,658,608, and in the  
 working Examples below, and can be altered to include one or more of the  
 modifications disclosed herein.

25 Triplex forming molecules can include a sequence substantially  
 complementary to the polypurine strand of the polypyrimidine:polypurine  
 target motif. In some embodiments, the triplex forming molecules target a  
 region corresponding to nucleotides 566-577, optionally 566-583 or more of  
 SEQ ID NO:14 ; a region corresponding to nucleotides 807-813, optionally

807-824 or more of SEQ ID NO:14; or a region corresponding to nucleotides 605-611, optionally 605-621 of SEQ ID NO:14. Therefore in some embodiments, the triplex-forming molecules can form a triple-stranded molecule with the sequence including GAAAGAAAGAGA (SEQ ID NO:15) or TGCCCTGAAAGAAAGAGA (SEQ ID NO:16) or GGAGAAA (SEQ ID NO:17) or AGAATGGTGCAAAGAGG (SEQ ID NO:18) or AAAAGGG (SEQ ID NO:19) or ACATGATTAGCAAAAGGG (SEQ ID NO:20).

Accordingly, in some embodiments, the triplex-forming molecule includes the nucleic acid sequence CTTTCTTTCTCT (SEQ ID NO:21), preferable includes the sequence CTTTCTTTCTCT (SEQ ID NO:21) linked to the sequence TCTCTTTCTTTC (SEQ ID NO:22), or more preferable includes the sequence CTTTCTTTCTCT (SEQ ID NO:21) linked to the sequence TCTCTTTCTTTCAGGGCA (SEQ ID NO:23).

In some embodiments, the triplex-forming molecule includes the nucleic acid sequence TTTCCC (SEQ ID NO:24), preferable includes the sequence TTTCCC (SEQ ID NO:24) linked to the sequence CCCTTTT (SEQ ID NO:25), or more preferable includes the sequence TTTCCC (SEQ ID NO:24) linked to the sequence CCCTTTTGCTAATCATGT (SEQ ID NO:26).

In some embodiments, the triplex-forming molecule includes the nucleic acid sequence TTTCTCC (SEQ ID NO:27), preferable includes the sequence TTTCTCC (SEQ ID NO:27) linked to the sequence CCTCTTT (SEQ ID NO:28), or more preferable includes the sequence TTTCTCC (SEQ ID NO:27) linked to the sequence CCTCTTTGCACCATTCT (SEQ ID NO:29).

In some preferred embodiments, the triplex forming nucleic acid is a peptide nucleic acid including the sequence JTTTJTTTJTJT (SEQ ID NO:30) linked to the sequence TCTCTTTCTTTC (SEQ ID NO:22) or TCTCTTTCTTTCAGGGCA (SEQ ID NO:23); or

a peptide nucleic acid including the sequence TTTTJJJ (SEQ ID NO:31) linked to the sequence CCCTTTT (SEQ ID NO:25) or CCCTTTTGCTAATCATGT (SEQ ID NO:26);

or a peptide nucleic acid including the sequence TTTJTJJ (SEQ ID NO:32) linked to the sequence CCTCTTT (SEQ ID NO:28) or CCTCTTTGCACCATTCT (SEQ ID NO:29),

optionally, but preferably wherein one or more of the PNA  
5 monomers is a  $\gamma$ PNA.

In specific embodiments, the triplex forming molecule is a peptide nucleic acid including the sequence lys-lys-lys-JTTTTTTTTJT-OOO-**TCTCTTTCTTTCAGGGCA**- lys-lys-lys (SEQ ID NO:33), or

lys-lys-lys-TTTTJJJ-OOO-**CCCTTTTGCTAATCATGT**-lys-lys-lys  
10 (SEQ ID NO:34), or

lys-lys-lys-TTTJTJJ-OOO-**CCTCTTTGCACCATTCT**-lys-lys-lys  
(SEQ ID NO:35);

optionally, but preferably wherein one or more of the PNA  
monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and  
15 underlined residues are miniPEG-containing  $\gamma$ PNA.

In other embodiments, the triplex forming nucleic acid is a peptide nucleic acid including the sequence TJTTTTJTJ (SEQ ID NO:36) linked to the sequence CTTCTTTCT (SEQ ID NO:37); or

TTJTJTJTJ (SEQ ID NO:38) linked to the sequence  
20 CTTTCTTCTT (SEQ ID NO:39); or

JJTJTJTJ (SEQ ID NO:40) linked to the sequence TCTTCCTCCC  
(SEQ ID NO:41); or

optionally, but preferably wherein one or more of the PNA  
monomers is a  $\gamma$ PNA.

25 In specific embodiments, the triplex forming nucleic acid is a peptide nucleic acid including the sequence lys-lys-lys-TJTJTJTJ-OOO-**CTTCTTTCTT**-lys-lys-lys (SEQ ID NO:42) (IVS2-24); or

lys-lys-lys-TJTJTJTJ-OOO-**CTTCTTTCTT**-lys-lys-lys (SEQ ID  
NO:43) (IVS2-512); or

30 lys-lys-lys-JJTJTJTJ-OOO-**TCTTCCTCCC**-lys-lys-lys (SEQ ID  
NO:44) (IVS2-830);

optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and underlined residues are miniPEG-containing  $\gamma$ PNA.

**ii. Sickle Cell Disease**

5 Preferred sequences that target the sickle cell disease mutation (20) in the beta globin gene are also provided (see, e.g., Figure 6). In some embodiments, the triplex-forming molecule includes the nucleic acid sequence CCTCTTC (SEQ ID NO:45), preferable includes the sequence CCTCTTC (SEQ ID NO:45) linked to the sequence CTTCTCC (SEQ ID  
10 NO:46), or more preferable includes the sequence CCTCTTC (SEQ ID NO:45) linked to the sequence CTTCTCAAAGGAGT (SEQ ID NO:47) or CTTCTCCACAGGAGTCAG (SEQ ID NO:48) or CTTCTCCACAGGAGTCAGGTGC (SEQ ID NO:205).

In some embodiments, the triplex-forming molecule includes the  
15 nucleic acid sequence TTCCTCT (SEQ ID NO:49), preferable includes the sequence TTCCTCT (SEQ ID NO:49) linked to the sequence TCTCCTT (SEQ ID NO:50), or more preferable includes the sequence TTCCTCT (SEQ ID NO:49) linked to the sequence TCTCCTTAAACCTGT (SEQ ID NO:51) or TCTCCTTAAACCTGTCTT (SEQ ID NO:212).

20 In some embodiments, the triplex-forming molecule includes the nucleic acid sequence TCTCTTCT (SEQ ID NO:52), preferable includes the sequence TCTCTTCT (SEQ ID NO:52) linked to the sequence TCTTCTCT (SEQ ID NO:53), or more preferable includes the sequence TCTCTTCT (SEQ ID NO:52) linked to the sequence TCTTCTCTGTCTCCAC (SEQ ID  
25 NO:54) or TCTTCTCTGTCTCCACAT (SEQ ID NO:55).

In some preferred embodiments for correction of Sickle Cell Disease Mutation (e.g., Figure 6), the triplex forming nucleic acid is a peptide nucleic acid including the sequence JJTJTJ (SEQ ID NO:56) linked to the sequence CTTCTCC (SEQ ID NO:46) or CTTCTCAAAGGAGT (SEQ ID  
30 NO:47) or CTTCTCCACAGGAGTCAG (SEQ ID NO:48) or CTTCTCCACAGGAGTCAGGTGC (SEQ ID NO:205);

or a peptide nucleic acid including the sequence TTJJTJ (SEQ ID NO:49) linked to the sequence TCTCCTT (SEQ ID NO:50) or

TCTCCTTAAACCTGT (SEQ ID NO:51) or TCTCCTTAAACCTGTCTT  
(SEQ ID NO:212);

or a peptide nucleic acid including the sequence TJJTJTJT (SEQ ID  
NO:52) linked to the sequence TCTTCTCT (SEQ ID NO:53) or

5 TCTTCTCTGTCTCCAC (SEQ ID NO:54) or TCTTCTCTGTCTCCACAT  
(SEQ ID NO:55);

optionally, but preferably wherein one or more of the PNA  
monomers is a  $\gamma$ PNA.

In specific embodiments for correction of Sickle Cell Disease

10 Mutation (e.g., Figure 6), the triplex forming nucleic acid is a peptide nucleic  
acid including the sequence lys-lys-lys-JJTJTJT-OOO-

CTTCTCCAAGGAGT-lys-lys-lys (SEQ ID NO:160); or

lys-lys-lys-TTJJTJT-OOO-TCTCCTTAAACCTGT-lys-lys-lys  
(SEQ ID NO:57); or

15 lys-lys-lys-TTJJTJT-OOO-TCTCCTTAAACCTGTCTT-lys-lys-lys  
(SEQ ID NO:213)

lys-lys-lys-TJTJTJT-OOO-TCTTCTCTGTCTCCAC-lys-lys-lys  
(SEQ ID NO:58) (tc816); or

lys-lys-lys-JJTJTJT-OOO-CTTCTCCACAGGAGTCAG-lys-lys-lys  
20 (SEQ ID NO:59); or

lys-lys-lys-JJTJTJT-OOO-CTTCTCCACAGGAGTCAG-lys-lys-lys  
(SEQ ID NO:59) (SCD-tcPNA 1A); or

lys-lys-lys-JJTJTJT-OOO-CTTCTCCACAGGAGTCAG-lys-lys-  
lys (SEQ ID NO:59) (SCD-tcPNA 1B); or

25 lys-lys-lys-JJTJTJT-OOO-CTTCTCCACAGGAGTCAG-lys-lys-  
lys (SEQ ID NO:59) (SCD-tcPNA 1C); or

lys-lys-lys-JJTJTJT-OOO-CTTCTCCACAGGAGTCAGGTGC-  
NH<sub>2</sub> (SEQ ID NO:209) (SCD-tcPNA 1D); or

lys-lys-lys-JJTJTJT-OOO-CTTCTCCACAGGAGTCAGGTGC-  
30 lys-lys-lys (SEQ ID NO:209) (SCD-tcPNA 1E); or

lys-lys-lys-JJTJTJT-OOO-CTTCTCCACAGGAGTCAGGTGC-  
lys-lys-lys (SEQ ID NO:209) (SCD-tcPNA 1F); or

lys-lys-lys-TJTJTJT-OOO-TCTTCTCTGTCTCCACAT-lys-lys-lys (SEQ ID NO:60);

optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and underlined residues are miniPEG-containing  $\gamma$ PNA.

### c. Exemplary Donors

In some embodiments, the triplex forming molecules are used in combination with a donor oligonucleotide for correction of IVS2-654 mutation that includes the sequence

10 5'AAAGAATAACAGTGATAATTTCTGGGTTAAGGCAATAGCAATA  
TCTCTGCATATAAATAT 3' (SEQ ID NO:65) with the correcting IVS2-654 nucleotide underlined; or a functional fragment thereof that is suitable and sufficient to correct the IVS2-654 mutation.

Other exemplary donor sequences include, but are not limited to,

15 *DonorGFP-IVS2-1 (Sense)* 5'-  
G TTCAGCGTGTCCGGCGAGGGCGAGGTGAGTCTATGGGACCC  
TTGATGTTT -3' (SEQ ID NO:61), *DonorGFP-IVS2-1 (Antisense)*  
5'-  
AAACATCAAGGGTCCCATAGACTCACCTCGCCCTCGCCGGACACG  
20 CTGAAC -3' (SEQ ID NO:62), and, or a functional fragment thereof that is suitable and sufficient to correct a mutation.

In some embodiments, a Sickle Cells Disease mutation can be corrected using a donor having the sequence

25 5'CTTGCCCCACAGGGCAGTAACGGCAGATTTTTC**TTC**CGG  
CGTTAAATGCACCATGGTGTCTGTTTGAGGT 3' (SEQ ID NO:63), or a functional fragment thereof that is suitable and sufficient to correct a mutation, wherein the three boxed nucleotides represent the corrected codon 6 which reverts the mutant Valine (associated with human sickle cell disease) back to the wildtype Glutamic acid and nucleotides in bold font  
30 (without underlining) represent changes to the genomic DNA but not to the encoded amino acid; or

5'ACAGACACCATGGTGCACCTGACTCCTG**A**GGAGAAGTCTGCCGTTACTGCC 3' (SEQ ID NO:64), or a functional fragment thereof

that is suitable and sufficient to correct a mutation, wherein the bolded and underlined residue is the correction (see, e.g., Figure 6), or

5' T(s) T(s) G(s) CCCCACAGGGCAGTAACGGCAGACTTCTCCTC  
 AGGAGTCAGGTGCACCATGGTGTCTGTT(s)T(s)G(s)3' (SEQ ID

5 NO:204), or a functional fragment thereof that is suitable and sufficient to correct a mutation, wherein the bolded and underlined residue is the correction and "(s)" indicates an optional phosphorothiate internucleoside linkage.

## 10 2. Cystic Fibrosis

The disclosed compositions and methods can be used to treat cystic fibrosis. Cystic fibrosis (CF) is a lethal autosomal recessive disease caused by defects in the cystic fibrosis transmembrane conductance regulator (CFTR), an ion channel that mediates Cl<sup>-</sup> transport. Lack of CFTR function  
 15 results in chronic obstructive lung disease and premature death due to respiratory failure, intestinal obstruction syndromes, exocrine and endocrine pancreatic dysfunction, and infertility (Davis, et al., *Pediatr Rev.*, 22(8):257-64 (2001)). The most common mutation in CF is a three base-pair deletion (F508del) resulting in the loss of a phenylalanine residue, causing  
 20 intracellular degradation of the CFTR protein and lack of cell surface expression (Davis, et al., *Am J Respir Crit Care Med.*, 173(5):475-82 (2006)). In addition to this common mutation there are many other mutations that occur and lead to disease including a class of mutations due to premature stop codons, nonsense mutations. In fact nonsense mutations account for  
 25 approximately 10% of disease causing mutations. Of the nonsense mutations G542X and W1282X are the most common with frequencies of 2.6% and 1.6% respectively.

Although CF is one of the most rigorously characterized genetic diseases, current treatment of patients with CF focuses on symptomatic  
 30 management rather than primary correction of the genetic defect. Gene therapy has remained an elusive target in CF, because of challenges of in vivo delivery to the lung and other organ systems (Armstrong, et al., *Archives of disease in childhood* (2014) doi: 10.1136/archdischild-2012-

302158. PubMed PMID: 24464978). In recent years, there have been many advances in gene therapy for treatment of diseases involving the hematolymphoid system, where harvest and *ex vivo* manipulation of cells for autologous transplantation is possible: some examples include the use of zinc  
5 finger nucleases targeting CCR5 to produce HIV-1 resistant cells (Holt, et al., *Nature biotechnology*, 28(8):839-47 (2010)) correction of the ABCD1 gene by lentiviral vectors for treatment of adrenoleukodystrophy (Cartier, et al., *Science*, 326(5954):818-23 (2009)) and correction of SCID due to ADA deficiency using retroviral gene transfer (Aiuti, et al., *The New England*  
10 *Journal Of Medicine*, 360(5):447-58 (2009).

Unfortunately, harvest and autologous transplant is not an option in CF, due to the involvement of the lung and other internal organs. As one approach, the UK Cystic Fibrosis Gene Therapy Consortium has tested liposomes to deliver plasmids containing cDNA encoding CFTR to the lung  
15 (Alton, et al., *Thorax*, 68(11):1075-7 (2013)), Alton, et al., *The Lancet Respiratory Medicine*, (2015). doi: 10.1016/S2213-2600(15)00245-3. PubMed PMID: 26149841.) other clinical trials have used viral vectors for delivery of the CFTR gene or CFTR expression plasmids that are compacted by polyethylene glycol-substituted lysine 30-mer peptides with limited  
20 success (Konstan, et al., *Human Gene Therapy*, 15(12):1255-69 (2004)). Moreover, delivery of plasmid DNA for gene addition without targeted insertion does not result in correction of the endogenous gene and is not subject to normal CFTR gene regulation, and virus-mediated integration of the CFTR cDNA could introduce the risk of non-specific integration into  
25 important genomic sites.

However, it has been discovered that triplex-forming PNA molecules and donor DNA can be used to correct mutations leading to cystic fibrosis. In preferred embodiments, the compositions are administered by intranasal or pulmonary delivery. The compositions can be administered in an  
30 effective amount to induce or enhance gene correction in an amount effective to reduce one or more symptoms of cystic fibrosis. For example, in some embodiments, the gene correction occurs at an amount effective to improve impaired response to cyclic AMP stimulation, improve hyperpolarization in

response to forskolin, reduction in the large lumen negative nasal potential, reduction in inflammatory cells in the bronchoalveolar lavage (BAL), improve lung histology, or a combination thereof. In some embodiments, the target cells are cells, particularly epithelial cells, that make up the sweat  
5 glands in the skin, that line passageways inside the lungs, liver, pancreas, or digestive or reproductive systems. In particular embodiments, the target cells are bronchial epithelial cells. While permanent genomic change using PNA/DNA is less transient than plasmid-based approaches and the changes will be passed on to daughter cells, some modified cells may be lost over  
10 time with regular turnover of the respiratory epithelium. In some embodiments, the target cells are lung epithelial progenitor cells. Modification of lung epithelial progenitors can induce more long-term correction of phenotype.

Sequences for the human cystic fibrosis transmembrane conductance  
15 regulator (CFTR) are known in the art, see, for example, GenBank Accession number: AH006034.1, and compositions and methods of targeted correction of CFTR are described in McNeer, et al., *Nature Communications*, 6:6952, (DOI 10.1038/ncomms7952), 11 pages.

**a. Exemplary F508del Target Sites**

20 In some embodiments, the triplex-forming molecules are designed to target the CFTR gene at nucleotides 9,152-9,159 (TTTCCTCT (SEQ ID NO:70)) or 9,159-9,168 (TTTCCTCTATGGGTAAG (SEQ ID NO:71) of accession number AH006034.1, or the non-coding strand (e.g., 3'-5' complementary sequence) corresponding to nucleotides 9,152-9,159 or  
25 9,152-9,168 (e.g., 5'-AGAGGAAA-3' (SEQ ID NO:72), or 5'-CTTACCCATAGAGGAAA-3' (SEQ ID NO:73)).

In some embodiments, the triplex-forming molecules are designed to target the CFTR gene at nucleotides 9,039-9,046 (5'-AGAAGAGG-3' (SEQ ID NO:74), or 9,030-9,046 (5'-ATGCCAACTAGAAGAGG-3' (SEQ ID  
30 NO:75)) of accession number AH006034.1, or the non-coding strand (e.g., 3'-5' complementary sequence) corresponding to nucleotides (5' CCTCTTCT 3' (SEQ ID NO:76)) or (5' CCTCTTCTAGTTGGCAT 3' (SEQ ID NO:77)).

In some embodiments, the triplex-forming molecules are designed to target the CFTR gene at nucleotides 8,665-8,683 (CTTTCCTT (SEQ ID NO:78)) or 8,665-8,682 (CTTTCCTTGTATCTTTT (SEQ ID NO:79) of accession number AH006034.1, or the non-coding strand (e.g., 3'-5' complementary sequence) corresponding to nucleotides 8,665-8,683 or 8,665-8,682 (e.g., 5'- AAGGAAAG-3' (SEQ ID NO:80), or 5'- AAAAGATAC AAGGAAAG -3' (SEQ ID NO:81)).

In some embodiments, the triplex-forming molecules are designed to target the W1282X mutation in CFTR gene at the sequence GAAGGAGAAA (SEQ ID NO:163), AAAAGGAA (SEQ ID NO:164), or AGAAAAAAGG (SEQ ID NO:165), or the inverse complement thereof. See Figure 8C.

In some embodiments, the triplex-forming molecules are designed to target the G542X mutation in CFTR gene at the sequence AGAAAAA (SEQ ID NO:166), AGAGAAAGA (SEQ ID NO:167), or AAAGAAA (SEQ ID NO:168), or the inverse complement thereof. See Figure 9C.

#### **b. Exemplary Triplex Forming Sequences and Donors**

##### **i. F508del**

In some embodiments, the triplex-forming molecule includes the nucleic acid sequence includes TCTCCTTT (SEQ ID NO:82), preferably linked to the sequence TTTCCTCT (SEQ ID NO:83) or more preferably includes TCTCCTTT (SEQ ID NO:82) linked to the sequence TTTCCTCTATGGGTAAG (SEQ ID NO:84); or includes TCTTCTCC (SEQ ID NO:85) preferably linked to the sequence CCTCTTCT (SEQ ID NO:86), or more preferably includes TCTTCTCC (SEQ ID NO:85) linked to CCTCTTCTAGTTGGCAT (SEQ ID NO:87); or includes TTCCCTTTC (SEQ ID NO:88), preferable includes the sequence TTCCCTTTC (SEQ ID NO:88) linked to the sequence CTTTCCTT (SEQ ID NO:89), or more preferable includes the sequence TTCCCTTTC (SEQ ID NO:89) linked to the sequence CTTTCCTTGTATCTTTT (SEQ ID NO:90).

In some preferred embodiments, the triplex forming nucleic acid is a peptide nucleic acid including the sequence TJTJJTTT (SEQ ID NO:91), linked to the sequence TTTCCTCT (SEQ ID NO:83) or TTTCCTCTATGGGTAAG (SEQ ID NO:84); or

5 TJTTJTJJ (SEQ ID NO:91) linked to the sequence CCTCTTCT (SEQ ID NO:86), or CCTCTTCTAGTTGGCAT (SEQ ID NO:87); or  
 TTJJTTTTJ (SEQ ID NO:92) linked to the sequence CTTTCCCTT (SEQ ID NO:89), or CTTTCCCTTGTATCTTTT (SEQ ID NO:90);  
 optionally, but preferably wherein one or more of the PNA  
 10 monomers is a  $\gamma$ PNA.

In specific embodiments the triplex forming nucleic acid is a peptide nucleic acid including the sequence is lys-lys-lys-TJTJJTTT-OOO-TTTCCTCTATGGGTAAG-lys-lys-lys (SEQ ID NO:93) (hCFPNA2); or

lys-lys-lys-TJTJJTTT-OOO-TTTCCTCTATGGGTAAG-lys-lys-lys  
 15 (SEQ ID NO:93); or  
 lys-lys-lys- TJTTJTJJ-OOO-CCTCTTCTAGTTGGCAT -lys-lys-lys (SEQ ID NO:94) (hCFPNA1); or  
 lys-lys-lys-TTJJTTTTJ-OOO-CTTTCCTTGTATCTTTT -lys-lys-lys (SEQ ID NO:95) (hCFPNA3);

20 optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and underlined residues are miniPEG-containing  $\gamma$ PNA.

In some embodiments, a donor that can be used for CFTR gene correction, particularly in combination with the foregoing triplex forming  
 25 molecules, includes the sequence  
 5'TTCTGTATCTATATTCATCATAGGAAACACCAAAGATAATGTTCT  
 CCTTAATGGTGCCAGG3' (SEQ ID NO:96), or a functional fragment thereof that is suitable and sufficient to correct the F508del mutation in the cystic fibrosis transmembrane conductance regulator (CFTR) gene.

### 30 ii. **W1282 Mutation Site**

In some embodiments, the triplex-forming molecule includes the nucleic acid sequence CTTCCTCTTT (SEQ ID NO:97) , preferable includes the sequence CTTCCTCTTT (SEQ ID NO:97) linked to the sequence

TTTCTCCTTC (SEQ ID NO:98), or more preferable includes the sequence  
CTTCCTCTTT (SEQ ID NO:97) linked to the sequence  
TTTCTCCTTCAGTGTTCA (SEQ ID NO:99); or

the triplex-forming molecule includes the nucleic acid sequence  
5 TTTTCCT (SEQ ID NO:100), preferable includes the sequence TTTTCCT  
(SEQ ID NO:100) linked to the sequence TCCTTTT (SEQ ID NO:101), or  
more preferable includes the sequence TTTTCCT (SEQ ID NO:100) linked  
to the sequence TCCTTTTGCTCACCTGTGGT (SEQ ID NO:102); or

the triplex-forming molecule includes the nucleic acid sequence  
10 TCTTTTTTCC (SEQ ID NO:103), preferable includes the sequence  
TCTTTTTTCC (SEQ ID NO:103) linked to the sequence CCTTTTTTCT  
(SEQ ID NO:104), or more preferable includes the sequence TCTTTTTTCC  
(SEQ ID NO:103) linked to the sequence CCTTTTTTCTGGCTAAGT (SEQ  
ID NO:105).

15 In preferred embodiments, the triple forming nucleic acid is a peptide  
nucleic acid including the sequence

JTTJJTJTTT (SEQ ID NO:106) linked to the sequence TTTCTCCTTC  
(SEQ ID NO:98) or TTTCTCCTTCAGTGTTCA (SEQ ID NO:99); or

a peptide nucleic acid including the sequence TTTTJJT (SEQ ID  
20 NO:107) linked to the sequence TCCTTTT (SEQ ID NO:101) or linked to  
the sequence TCCTTTTGCTCACCTGTGGT (SEQ ID NO:102); or

a peptide nucleic acid including the sequence TJTTTTTJJ (SEQ ID  
NO:108) linked to the sequence CCTTTTTTCT (SEQ ID NO:104) or linked  
to the sequence CCTTTTTTCTGGCTAAGT (SEQ ID NO:105);

25 optionally, but preferably wherein one or more of the PNA  
monomers is a  $\gamma$ PNA.

In specific embodiments, the triplex forming nucleic acid is a peptide  
nucleic acid including the sequence lys-lys-lys-JTTJJTJTTT-OOO-

TTTCTCCTTCAGTGTTCA- lys-lys-lys (SEQ ID NO:155) (tcPNA-1236); or  
30 lys-lys-lys- TTTTJJT-OOO-TCCTTTTGCTCACCTGTGGT - lys-  
lys-lys (SEQ ID NO:156) (tcPNA-1314); or

lys-lys-lys- TJTTTTTJJ-OOO-CCTTTTTTCTGGCTAAGT- lys-  
lys-lys (SEQ ID NO:157) (tcPNA-1329);

optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and underlined residues are miniPEG-containing  $\gamma$ PNA.

In some embodiments, a donor that can be used for CFTR gene correction, particularly in combination with the foregoing triplex forming molecules, includes the sequence T(s)C(s)T(s)-  
 5 TGGGATTCAATAACCTTGCAGGACAGTGGAGGAAGGGCCTTTGGCG  
 TGATACCACAGG-(s)T(s)G(s) (SEQ ID NO:109) or a functional fragment thereof that is suitable and sufficient to correct a mutation in CFTR, wherein  
 10 the bolded and underlined nucleotides are inserted mutations for gene correction, and “(s)” indicates an optional phosphorothiate internucleoside linkage. See also, Figures 8A-8C, W1282X.

### iii. G542X Mutation Site

In some embodiments, the triplex-forming molecule includes the  
 15 nucleic acid sequence TCTTTTT (SEQ ID NO:110), preferable includes the sequence TCTTTTT (SEQ ID NO:110) linked to the sequence TTTTTCT (SEQ ID NO:111), or more preferable includes the sequence TCTTTTT (SEQ ID NO:110) linked to the sequence TTTTTCTGTAATTTTAA (SEQ ID NO:112); or

20 the triplex-forming molecule includes the nucleic acid sequence TCTCTTTCT (SEQ ID NO:113), preferable includes the sequence TCTCTTTCT (SEQ ID NO:113) linked to the sequence TCTTTCTCT (SEQ ID NO:114), or more preferable includes the sequence TCTCTTTCT (SEQ ID NO:113) linked to the sequence TCTTTCTCTGCAAACCTT (SEQ ID  
 25 NO:115); or

the triplex-forming molecule includes the nucleic acid sequence TTTCTTT (SEQ ID NO:116), preferable includes the sequence TTTCTTT (SEQ ID NO:116) linked to the sequence TTTCTTT (SEQ ID NO:116), or more preferable includes the sequence TTTCTTT (SEQ ID NO:116) linked  
 30 to the sequence TTTCTTTAAGAACGAGCA (SEQ ID NO:117).

In preferred embodiments, the triple forming nucleic acid is a peptide nucleic acid including the sequence TJTTTTT (SEQ ID NO:118) linked to

the sequence TTTTTCT (SEQ ID NO:111) or TTTTTCTGTAATTTTTAA (SEQ ID NO:112); or

a peptide nucleic acid including the sequence TJTJTTTTJT (SEQ ID NO:119) linked to the sequence TCTTTCTCT (SEQ ID NO:114) or linked  
5 to the sequence TCTTTCTCTGCAAACCTT (SEQ ID NO:115); or

a peptide nucleic acid including the sequence TTTJTTT (SEQ ID NO:120) linked to the sequence TTTCTTT (SEQ ID NO:116) or linked to the sequence TTTCTTTAAGAACGAGCA (SEQ ID NO:117);

optionally, but preferably wherein one or more of the PNA  
10 monomers is a  $\gamma$ PNA.

In specific embodiments, the triplex forming nucleic acid is a peptide nucleic acid including the sequence lys-lys-lys-TJTJTTTT-OOO-  
TTTTTCTGTAATTTTTAA - lys-lys-lys (SEQ ID NO:121) (tcPNA-302); or

lys-lys-lys- TJTJTTTTJT-OOO-TCTTTCTCTGCAAACCTT- lys-lys-  
15 lys (SEQ ID NO:122) (tcPNA-529); or

lys-lys-lys- TTTJTTT-OOO-TTTCTTTAAGAACGAGCA - lys-lys-  
lys (SEQ ID NO:123) (tcPNA-586);

optionally, but preferably wherein one or more of the PNA  
monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and  
20 underlined residues are miniPEG-containing  $\gamma$ PNA.

In some embodiments, a donor that can be used for CFTR gene correction, particularly in combination with the foregoing triplex forming molecules, includes the sequence T(s)C(s)C(s)-

AAGTTTGCAGAGAAAGAT**TAATATAGTCCTTGGAGAAGGAGGAAT**  
25 **CACCCTGAGTGGA**-G(s)G(s)T(s) (SEQ ID NO:124), or a functional fragment thereof that is suitable and sufficient to correct a mutation in CFTR, wherein the bolded and underlined nucleotides are inserted mutations for gene correction, and "(s)" indicates an optional phosphorothiate internucleoside linkage. See also, Figures 9A-9C, G542X.

### 30 **3. HIV**

The gene editing compositions can be used to treat infections, for example those caused by HIV.

**a. Exemplary Target Sites**

The target sequence for the triplex-forming molecules is within or adjacent to a human gene that encodes a cell surface receptor for human immunodeficiency virus (HIV). Preferably, the target sequence of the triplex-forming molecules is within or is adjacent to a portion of a HIV receptor gene important to its function in HIV entry into cells, such as sequences that are involved in efficient expression of the receptor, transport of the receptor to the cell surface, stability of the receptor, viral binding by the receptor, or endocytosis of the receptor. Target sequences can be within the coding DNA sequence of the gene or within introns. Target sequences can also be within DNA sequences that regulate expression of the target gene, including promoter or enhancer sequences.

The target sequence can be within or adjacent to any gene encoding a cell surface receptor that facilitates entry of HIV into cells. The molecular mechanism of HIV entry into cells involves specific interactions between the viral envelope glycoproteins (env) and two target cell proteins, CD4 and the chemokine receptors. HIV cell tropism is determined by the specificity of the env for a particular chemokine receptor, a 7 transmembrane-spanning, G protein-coupled receptor (Steinberger, et al., *Proc. Natl. Acad. Sci. USA.* 97: 805-10 (2000)). The two major families of chemokine receptors are the CXC chemokine receptors and the CC chemokine receptors (CCR) so named for their binding of CXC and CC chemokines, respectively. While CXC chemokine receptors traditionally have been associated with acute inflammatory responses, the CCRs are mostly expressed on cell types found in connection with chronic inflammation and T-cell-mediated inflammatory reactions: eosinophils, basophils, monocytes, macrophages, dendritic cells, and T cells (Nansen, et al. 2002, *Blood* 99:4). In one embodiment, the target sequence is within or adjacent to the human genes encoding chemokine receptors, including, but not limited to, CXCR4, CCR5, CCR2b, CCR3, and CCR1.

In a preferred embodiment, the target sequence is within or adjacent to the human CCR5 gene. The CCR5 chemokine receptor is the major co-receptor for R5-tropic HIV strains, which are responsible for most cases of

initial, acute HIV infection. Individuals who possess a homozygous inactivating mutation, referred to as the  $\Delta 32$  mutation, in the CCR5 gene are almost completely resistant to infection by R5-tropic HIV-1 strains. The  $\Delta 32$  mutation produces a 32 base pair deletion in the CCR5 coding region.

5           Another naturally occurring mutation in the CCR5 gene is the m303 mutation, characterized by an open reading frame single T to A base pair transversion at nucleotide 303 which indicates a cysteine to stop codon change in the first extracellular loop of the chemokine receptor protein at amino acid 101 (C101X) (Carrington *et al.* 1997). Mutagenesis assays have  
10 not detected the expression of the m303 co-receptor on the surface of CCR5 null transfected cells which were found to be non-susceptible to HIV-1 R5- isolates in infection assays (Blanpain, *et al.* (2000).

                  Compositions and methods for targeted gene therapy using triplex-forming oligonucleotides and peptide nucleic acids for treating infectious  
15 diseases such as HIV are described in U.S. Application No. 2008/050920 and WO 2011/133803. Each provides sequences of triplex forming molecules, target sequences, and donor oligonucleotides that can be utilized in the compositions and methods provided herein.

                  For example, individuals having the homozygous  $\Delta 32$  inactivating  
20 mutation in the CCR5 gene display no significant adverse phenotypes, suggesting that this gene is largely dispensable for normal human health. This makes the CCR5 gene a particularly attractive target for targeted mutagenesis using the triplex-forming molecules disclosed herein. The gene for human CCR5 is known in the art and is provided at GENBANK  
25 accession number NM\_000579. The coding region of the human CCR5 gene is provided by nucleotides 358 to 1416 of GENBANK accession number NM\_000579.

                  In some embodiments, the target region is a polypurine site within or adjacent to a gene encoding a chemokine receptor including CXCR4, CCR5,  
30 CCR2b, CCR3, and CCR1. In a preferred embodiment, the target region is a polypurine or homopurine site within the coding region of the human CCR5 gene. Three homopurine sites in the coding region of the CCR5 gene that

are especially useful as target sites for triplex-forming molecules are from positions 509-518, 679-690 and 900-908 relative to the ATG start codon. The homopurine site from 679-690 partially encompasses the site of the nonsense mutation created by the  $\Delta 32$  mutation. Triplex-forming molecules  
 5 that bind to this target site are particularly useful.

**b. Exemplary Triplex Forming Sequences**

In some embodiments, the triplex-forming molecule includes the nucleic acid sequence CTCTTCTTCT (SEQ ID NO:125), preferable includes the sequence CTCTTCTTCT (SEQ ID NO:125) linked to the  
 10 sequence TCTTCTTCTC (SEQ ID NO:126), or more preferable includes the sequence CTCTTCTTCT (SEQ ID NO:125) linked to the sequence TCTTCTTCTCATTTC (SEQ ID NO:127).

In some embodiments, the triplex-forming molecule includes the nucleic acid sequence CTTCT (SEQ ID NO:128), preferable includes the  
 15 sequence CTTCT (SEQ ID NO:128) linked to the sequence TCTTC (SEQ ID NO:129) or TCTTCTTCTC (SEQ ID NO:130), or more preferable includes the sequence CTTCT (SEQ ID NO:128) linked to the sequence TCTTCTTCTCATTTC (SEQ ID NO:131).

In preferred embodiments, the triplex forming nucleic acid is a  
 20 peptide nucleic acid including the sequence JTJTTJTTJT (SEQ ID NO:132) linked to the sequence TCTTCTTCTC (SEQ ID NO:126) or TCTTCTTCTCATTTC (SEQ ID NO:127);

or JTTJT (SEQ ID NO:133) linked to the sequence TCTTC (SEQ ID NO:129) or TCTTCTTCTC (SEQ ID NO:130) or more preferably  
 25 TCTTCTTCTCATTTC (SEQ ID NO:131);

optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA.

In specific embodiments, the triplex forming nucleic acid is a peptide nucleic acid including the sequence Lys-Lys-Lys-JTJTTJTTJT-OOO-  
 30 TCTTCTTCTCATTTC -Lys-Lys-Lys (SEQ ID NO:134) (PNA-679);

or Lys-Lys-Lys-JTTJT-OOO-TCTTCTTCTCATTTC-Lys-Lys-Lys (SEQ ID NO:135) (tcPNA-684) optionally, but preferably wherein one or

more of the PNA monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and underlined residues are miniPEG-containing  $\gamma$ PNA.

**c. Exemplary Donor Sequences**

In some embodiments, the triplex forming molecules are used in  
 5 combination with one or more donor oligonucleotides such as donor 591  
 having the sequence: 5' AT TCC CGA GTA GCA GAT GAC CAT GAC  
 AGC **TTA GGG** CAG GAC CAG CCC CAA GAT GAC TAT C 3' (SEQ  
 ID NO:136), or donor 597 having the sequence 5' TT TAG GAT TCC CGA  
 GTA GCA GAT GAC CCC **TCA GAG** CAG CGG CAG GAC CAG CCC  
 10 CAA GAT G 3' (SEQ ID NO:137), which can be used in combination to  
 induce two different non-sense mutations, one in each allele of the *CCR5*  
 gene, in the vicinity of the  $\Delta$ 32 deletion (mutation sites are bolded); or a  
 functional fragment thereof that is suitable and sufficient to introduce a non-  
 sense mutation in at least one allele of the *CCR5* gene.

15 In another preferred embodiment, donor oligonucleotides are  
 designed to span the  $\Delta$ 32 deletion site (see, e.g., Figure 1 of WO  
 2011/133803) and induce changes into a wildtype *CCR5* allele that mimic  
 the  $\Delta$ 32 deletion. Donor sequences designed to target the  $\Delta$ 32 deletion site  
 may be particularly usefully to facilitate knockout of the single wildtype  
 20 *CCR5* allele in heterozygous cells.

Preferred donor sequences designed to target the  $\Delta$ 32 deletion site  
 include, but are not limited to,

Donor DELTA32JDC:

5'GATGACTATCTTTAATGTCTGGAAATTCTTCCAGAATTAA  
 25 TTAAGACTGTATGGAAAATGAGAGC 3' (SEQ ID NO:138);

Donor DELTAJDC2:

5'CCCCAAGATGACTATCTTTAATGTCTGGAACGATCATCAG  
 AATTGATACTGACTGTATGGAAAATG 3' (SEQ ID NO:139); and

Donor DELTA32RSB:

30 5'GATGACTATCTTTAATGTCTGGAAATTCTACTAGAATTGA  
 TACTGACTGTATGGAAAATGAGAGC 3' (SEQ ID NO:140),

or a functional fragment of SEQ ID NO:138, 139, or 140 that is  
 suitable and sufficient to introduce mutation *CCR5* gene.

#### 4. Lysosomal Storage Diseases

The disclosed compositions and methods compositions can also be used to treat lysosomal storage diseases. Lysosomal storage diseases (LSDs) are a group of more than 50 clinically-recognized, rare inherited metabolic disorders that result from defects in lysosomal function (Walkley, *J. Inherit. Metab. Dis.*, 32(2):181-9 (2009)). Lysosomal storage disorders are caused by dysfunction of the cell's lysosome organelle, which is part of the larger endosomal/lysosomal system. Together with the ubiquitin-proteosomal and autophagosomal systems, the lysosome is essential to substrate degradation and recycling, homeostatic control, and signaling within the cell. Lysosomal dysfunction is usually the result of a deficiency of a single enzyme necessary for the metabolism of lipids, glycoproteins (sugar containing proteins) or mucopolysaccharides (long unbranched polysaccharides consisting of a repeating disaccharide unit; also known as glycosaminoglycans, or GAGs) which are fated for breakdown or recycling. Enzyme deficiency reduces or prevents break down or recycling of the unwanted lipids, glycoproteins, and GAGs, and results in buildup or "storage" of these materials within the cell. Most lysosomal diseases show widespread tissue and organ involvement, with brain, viscera, bone and connective tissues often being affected. More than two-thirds of lysosomal diseases affect the brain. Neurons appear particularly vulnerable to lysosomal dysfunction, exhibiting a range of defects from specific axonal and dendritic abnormalities to neuron death.

Individually, LSDs occur with incidences of less than 1:100,000, however, as a group the incidence is as high as 1 in 1,500 to 7,000 live births (Staretz-Chacham, et al., *Pediatrics*, 123(4):1191-207 (2009)). LSDs are typically the result of inborn genetic errors. Most of these disorders are autosomal recessively inherited, however a few are X-linked recessively inherited, such as Fabry disease and Hunter syndrome (MPS II). Affected individuals generally appear normal at birth, however the diseases are progressive. Develop of clinical disease may not occur until years or decades later, but is typically fatal. Lysosomal storage diseases affect mostly children and they often die at a young and unpredictable age, many within a few months or years of birth. Many other children die of this disease

following years of suffering from various symptoms of their particular disorder. Clinical disease may be manifest as mental retardation and/or dementia, sensory loss including blindness or deafness, motor system dysfunction, seizures, sleep and behavioral disturbances, and so forth. Some  
5 people with Lysosomal storage disease have enlarged livers (hepatomegaly) and enlarged spleens (splenomegaly), pulmonary and cardiac problems, and bones that grow abnormally.

Treatment for many LSDs is enzyme replacement therapy (ERT) and/or substrate reduction therapy (SRT), as wells as treatment or  
10 management of symptoms. The average annual cost of ERT in the United States ranges from \$90,000 to \$565,000. While ERT has significant systemic clinical efficacy for a variety of LSDs, little or no effects are seen on central nervous system (CNS) disease symptoms, because the recombinant proteins cannot penetrate the blood-brain barrier. Allogeneic hematopoietic stem cell  
15 transplantation (HSCT) represents a highly effective treatment for selected LSDs. It is currently the only means to prevent the progression of associated neurologic sequelae. However, HSCT is expensive, requires an HLA-matched donor and is associated with significant morbidity and mortality. Recent gene therapy studies suggest that LSDs are good targets for this type  
20 of treatment.

Compositions and methods for targeted gene therapy using triplex-forming oligonucleotides and peptide nucleic acids for treating lysosomal storage diseases are described in WO 2011/133802, which provides sequences of triplex forming molecules, target sequences, and donor  
25 oligonucleotides that can be utilized in the compositions and methods provided herein.

For example, the disclosed compositions and methods can be are employed to treat Gaucher's disease (GD). Gaucher's disease, also known as Gaucher syndrome, is the most common lysosomal storage disease.  
30 Gaucher's disease is an inherited genetic disease in which lipid accumulates in cells and certain organs due to deficiency of the enzyme glucocerebrosidase (also known as acid  $\beta$ -glucosidase) in lysosomes. Glucocerebrosidase enzyme contributes to the degradation of the fatty

substance glucocerebroside (also known as glucosylceramide) by cleaving b-glycoside into b-glucose and ceramide subunits (Scriver CR, Beaudet AL, Valle D, Sly WS. The metabolic and molecular basis of inherited disease. 8th ed. New York: McGraw-Hill Pub, 2001: 3635-3668). When the enzyme is  
5 defective, the substance accumulates, particularly in cells of the mononuclear cell lineage, and organs and tissues including the spleen, liver, kidneys, lungs, brain and bone marrow.

There are two major forms: non-neuropathic (type 1, most commonly observed type in adulthood) and neuropathic (type 2 and 3). GBA (GBA  
10 glucosidase, beta, acid), the only known human gene responsible for glucosidase-mediated GD, is located on chromosome 1, location 1q21. More than 200 mutations have been defined within the known genomic sequence of this single gene (NCBI Reference Sequence: NG\_009783.1). The most commonly observed mutations are N370S, L444P, RecNciI, 84GG, R463C,  
15 recTL and 84 GG is a null mutation in which there is no capacity to synthesize enzyme. However, N370S mutation is almost always related with type 1 disease and milder forms of disease. Very rarely, deficiency of sphingolipid activator protein (Gaucher factor, SAP-2, saposin C) may result in GD. In some embodiments, triplex-forming molecules are used to induce  
20 recombination of donor oligonucleotides designed to correct mutations in GBA.

In another embodiment, compositions and the methods disclosed herein are used to treat Fabry disease (also known as Fabry's disease, Anderson-Fabry disease, angiokeratoma corporis diffusum and alpha-  
25 galactosidase A deficiency), a rare X-linked recessive disorder, resulting from a deficiency of the enzyme alpha galactosidase A (a-GAL A, encoded by GLA). The human gene encoding GLA has a known genomic sequence (NCBI Reference Sequence: NG\_007119.1) and is located at Xp22 of the X chromosome. Mutations in GLA result in accumulation of the glycolipid  
30 globotriaosylceramide (abbreviated as Gb3, GL-3, or ceramide trihexoside) within the blood vessels, other tissues, and organs, resulting in impairment of their proper function (Karen, et al., *Dermatol. Online J.*, 11 (4): 8 (2005)). The condition affects hemizygous males (i.e. all males), as well as

homozygous, and potentially heterozygous (carrier), females. Males typically experience severe symptoms, while women can range from being asymptomatic to having severe symptoms. This variability is thought to be due to X-inactivation patterns during embryonic development of the female.

5 In some embodiments, triplex-forming molecules are used to induce recombination of donor oligonucleotides designed to correct mutations in GLA.

In preferred embodiments, the disclosed compositions and methods are used to treat Hurler syndrome (HS). Hurler syndrome, also known as  
10 mucopolysaccharidosis type I (MPS I),  $\alpha$ -L-iduronidase deficiency, and Hurler's disease, is a genetic disorder that results in the buildup of mucopolysaccharides due to a deficiency of  $\alpha$ -L iduronidase, an enzyme responsible for the degradation of mucopolysaccharides in lysosomes (Dib and Pastories, *Genet. Mol. Res.*, 6(3):667-74 (2007)). MPS I is divided into  
15 three subtypes based on severity of symptoms. All three types result from an absence of, or insufficient levels of, the enzyme  $\alpha$ -L-iduronidase. MPS I H or Hurler syndrome is the most severe of the MPS I subtypes. The other two types are MPS I S or Scheie syndrome and MPS I H-S or Hurler-Scheie syndrome. Without  $\alpha$ -L-iduronidase, heparan sulfate and dermatan sulfate,  
20 the main components of connective tissues, build-up in the body. Excessive amounts of glycosaminoglycans (GAGs) pass into the blood circulation and are stored throughout the body, with some excreted in the urine. Symptoms appear during childhood, and can include developmental delay as early as the first year of age. Patients usually reach a plateau in their development  
25 between the ages of two and four years, followed by progressive mental decline and loss of physical skills (Scott et al., *Hum. Mutat.* 6: 288-302 (1995)). Language may be limited due to hearing loss and an enlarged tongue, and eventually site impairment can results from clouding of cornea and retinal degeneration. Carpal tunnel syndrome (or similar compression of  
30 nerves elsewhere in the body) and restricted joint movement are also common.

**a. Exemplary Target Sites**

The human gene encoding alpha-L-iduronidase ( $\alpha$ -L-iduronidase; IDUA) is found on chromosome 4, location 4p16.3, and has a known genomic sequence (NCBI Reference Sequence: NG\_008103.1). Two of the most common mutations in *IDUA* contributing to Hurler syndrome are the Q70X and the W420X, non-sense point mutations found in exon 2 (nucleotide 774 of genomic DNA relative to first nucleotide of start codon) and exon 9 (nucleotide 15663 of genomic DNA relative to first nucleotide of start codon) of IDUA respectively. These mutations cause dysfunction alpha-L-iduronidase enzyme. Two triplex-forming molecule target sequences including a polypurine:polypyrimidine stretches have been identified within the IDUA gene. One target site with the polypurine sequence 5' CTGCTCGGAAGA 3' (SEQ ID NO:141) and the complementary polypyrimidine sequence 5' TCTTCCGAGCAG 3' (SEQ ID NO:142) is located 170 base pairs downstream of the Q70X mutation. A second target site with the polypurine sequence 5' CCTTCACCAAGGGGA 3' (SEQ ID NO:143) and the complementary polypyrimidine sequence 5' TCCCCTTGGTGAAGG 3' (SEQ ID NO:144) is located 100 base pairs upstream of the W402X mutation. In preferred embodiments, triplex-forming molecules are designed to bind/hybridize in or near these target locations.

**b. Exemplary Triplex Forming Sequences and Donors**

**i. W402X mutation**

In some embodiments, a triplex-forming molecule binds to the target sequence upstream of the W402X mutation includes the nucleic acid sequence TTCCCCT (SEQ ID NO:145), preferable includes the sequence TTCCCCT (SEQ ID NO:145) linked to the sequence TCCCCTT (SEQ ID NO:146), or more preferable includes the sequence TTCCCCT (SEQ ID NO:145) linked to the sequence TCCCCTTGGTGAAGG (SEQ ID NO:147).

In some preferred embodiments, the triplex forming nucleic acid is a peptide nucleic acid that binds to the target sequence upstream of the W402X mutation including the sequence TTJJJJT (SEQ ID NO:148), linked to the

sequence TCCCCTT (SEQ ID NO:146) or TCCCCTTGGTGAAGG (SEQ ID NO:147), optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA.

In specific embodiments, the triplex forming nucleic acid is a peptide nucleic acid having the sequence Lys-Lys-Lys-TTJJJT-OOO-  
 5 **TCCCCTTGGTGAAGG**-Lys-Lys-Lys (SEQ ID NO:159) (IDUA402tc715) optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and underlined residues are miniPEG-containing  $\gamma$ PNA.

10 In the most preferred embodiments, triplex-forming molecules are administered according to the disclosed methods in combination with one or more donor oligonucleotides designed to correct the point mutations at Q70X or W402X mutations sites. In some embodiments, in addition to containing sequence designed to correct the point mutation at Q70X or W402X  
 15 mutation, the donor oligonucleotides may also contain 7 to 10 additional, synonymous (silent) mutations. The additional silent mutations can facilitate detection of the corrected target sequence using allele-specific PCR of genomic DNA isolated from treated cells.

In some embodiments, the donor oligonucleotide with the sequence 5'  
 20 AGGACGGTCCCGGCCTGCGACTTCCGCCATAATTGTTCTTCAT CTGCGGGGCGGGGGGGGG 3' (SEQ ID NO:149), or a functional fragment thereof that is suitable and sufficient to correct the W402X mutation is administered with triplex-forming molecules designed to target the binding site upstream of W402X to correct the W402X mutation in cells.

25 **ii. Q70X mutation**

In some embodiments, a triplex-forming molecule that binds to the target sequence downstream of the Q70X mutation includes the nucleic acid sequence CCTTCT (SEQ ID NO:150), preferable includes the sequence CCTTCT (SEQ ID NO:150) linked to the sequence TCTTCC (SEQ ID  
 30 NO:151), or more preferable includes the sequence CCTTCT (SEQ ID NO:150) linked to the sequence TCTTCCGAGCAG (SEQ ID NO:152).

In preferred embodiments, the triplex forming nucleic acid is a peptide nucleic acid that binds to the target sequence downstream of the

Q70X mutation including the sequence JJTTJT (SEQ ID NO:153) linked to the sequence TCTTCC (SEQ ID NO:151) or TCTTCCGAGCAG (SEQ ID NO:152) optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA.

5            In a specific embodiment, a tcPNA with a sequence of Lys-Lys-Lys-JJTTJT-OOO-**TCTTCCGAGCAG**-Lys-Lys-Lys (SEQ ID NO:153) (IDUA402tc715) optionally, but preferably wherein one or more of the PNA monomers is a  $\gamma$ PNA. In even more specific embodiments, the bolded and underlined residues are miniPEG-containing  $\gamma$ PNA.

10            A donor oligonucleotide can have the sequence  
5'GGGACGGCGCCACATAGGCCAAATTCAATTGCTGATCCCAGCT  
TAAGACGTACTGGTCAGCCTGGC 3' (SEQ ID NO:154), or a functional  
fragment thereof that is suitable and sufficient to correct the Q70X mutation  
is administered with triplex-forming molecules designed to target the binding  
15 site downstream of Q70X to correct the of Q70X mutation in cells.

## **X. Combination Therapies**

Each of the different components of gene editing and potentiation disclosed here can be administered alone or in any combination and further in combination with one or more additional active agents. In all cases, the  
20 combination of agents can be part of the same admixture, or administered as separate compositions. In some embodiments, the separate compositions are administered through the same route of administration. In other embodiments, the separate compositions are administered through different routes of administration.

### **A. Conventional Therapeutic Agents**

Examples of preferred additional active agents include other conventional therapies known in the art for treating the desired disease or condition. For example, in the treatment of sickle cell disease, the additional therapy may be hydroxurea.

30            In the treatment of cystic fibrosis, the additional therapy may include mucolytics, antibiotics, nutritional agents, etc. Specific drugs are outlined in the Cystic Fibrosis Foundation drug pipeline and include, but are not limited to, CFTR modulators such as KALYDECO® (invascaftor), ORKAMBI™

(lumacaftor + ivacaftor), ataluren (PTC124), VX-661 + ivacaftor, riociguat, QBW251, N91115, and QR-010; agents that improve airway surface liquid such as hypertonic saline, bronchitol, and P-1037; mucus alteration agents such as PULMOZYME® (dornase alfa); anti-inflammatories such as  
5 ibuprofen, alpha 1 anti-trypsin, CTX-4430, and JBT-101; anti-infective such as inhaled tobramycin, azithromycin, CAYSTON® (aztreonam for inhalation solution), TOBI inhaled powder, levofloxacin, ARIKACE® (nebulized liposomal amikacin), AEROVANC® (vancomycin hydrochloride inhalation powder), and gallium; and nutritional supplements such as aquADEKs,  
10 pancrelipase enzyme products, liprotamase, and burlulipase.

In the treatment of HIV, the additional therapy may be an antiretroviral agents including, but not limited to, a non-nucleoside reverse transcriptase inhibitor (NNRTIs), a nucleoside reverse transcriptase inhibitor (NRTIs), a protease inhibitors (PIs), a fusion inhibitors, a CCR5 antagonists  
15 (CCR5s) (also called entry inhibitors), an integrase strand transfer inhibitors (INSTIs), or a combination thereof.

In the treatment of lysosomal storage disease, the additional therapy could include, for example, enzyme replacement therapy, bone marrow transplantation, or a combination thereof.

#### 20 **B. Additional Mutagenic Agents**

The compositions can be used in combination with other mutagenic agents. In a preferred embodiment, the additional mutagenic agents are conjugated or linked to gene editing technology or a delivery vehicle (such as a nanoparticle) thereof. Additional mutagenic agents that can be used in  
25 combination with gene editing technology, particularly triplex forming molecules, include agents that are capable of directing mutagenesis, nucleic acid crosslinkers, radioactive agents, or alkylating groups, or molecules that can recruit DNA-damaging cellular enzymes. Other suitable mutagenic agents include, but are not limited to, chemical mutagenic agents such as  
30 alkylating, bialkylating or intercalating agents. A preferred agent for co-administration is psoralen-linked molecules as described in PCT/US/94/07234 by Yale University.

It may also be desirable to administer gene editing compositions in combination with agents that further enhance the frequency of gene modification in cells. For example, the disclosed compositions can be administered in combination with a histone deacetylase (HDAC) inhibitor, such as suberoylanilide hydroxamic acid (SAHA), which has been found to promote increased levels of gene targeting in asynchronous cells.

The nucleotide excision repair pathway is also known to facilitate triplex-forming molecule-mediated recombination. Therefore, the disclosed compositions can be administered in combination with an agent that enhances or increases the nucleotide excision repair pathway, for example an agent that increases the expression, or activity, or localization to the target site, of the endogenous damage recognition factor XPA.

Compositions may also be administered in combination with a second active agent that enhances uptake or delivery of the gene editing technology. For example, the lysosomotropic agent chloroquine has been shown to enhance delivery of PNAs into cells (Abes, et al., *J. Controll. Rel.*, 110:595-604 (2006). Agents that improve the frequency of gene modification are particularly useful for *in vitro* and *ex vivo* application, for example *ex vivo* modification of hematopoietic stem cells for therapeutic use.

## **XI. Methods for Determining Triplex Formation and Gene Modification**

### **A. Methods for Determining Triplex Formation**

A useful measure of triple helix formation is the equilibrium dissociation constant,  $K_d$ , of the triplex, which can be estimated as the concentration of triplex-forming molecules at which triplex formation is half-maximal. Preferably, the molecules have a binding affinity for the target sequence in the range of physiologic interactions. Preferred triplex-forming molecules have a  $K_d$  less than or equal to approximately  $10^{-7}$  M. Most preferably, the  $K_d$  is less than or equal to  $2 \times 10^{-8}$  M in order to achieve significant intramolecular interactions. A variety of methods are available to determine the  $K_d$  of triplex-forming molecules with the target duplex. In the examples which follow, the  $K_d$  was estimated using a gel mobility shift assay (R.H. Durland *et al.*, *Biochemistry* 30, 9246 (1991)). The dissociation

constant ( $K_d$ ) can be determined as the concentration of triplex-forming molecules in which half was bound to the target sequence and half was unbound.

#### **B. Methods for Determining Gene Modification**

5 Sequencing and allele-specific PCR are preferred methods for determining if gene modification has occurred. PCR primers are designed to distinguish between the original allele, and the new predicted sequence following recombination. Other methods of determining if a recombination event has occurred are known in the art and may be selected based on the  
10 type of modification made. Methods include, but are not limited to, analysis of genomic DNA, for example by sequencing, allele-specific PCR, or restriction endonuclease selective PCR (REMS-PCR); analysis of mRNA transcribed from the target gene for example by Northern blot, *in situ* hybridization, real-time or quantitative reverse transcriptase (RT) PCT; and  
15 analysis of the polypeptide encoded by the target gene, for example, by immunostaining, ELISA, or FACS. In some cases, modified cells will be compared to parental controls. Other methods may include testing for changes in the function of the RNA transcribed by, or the polypeptide encoded by the target gene. For example, if the target gene encodes an  
20 enzyme, an assay designed to test enzyme function may be used.

#### **XII. Kits**

Medical kits are also disclosed. The medical kits can include, for example, a dosage supply of gene editing technology or a potentiating agent thereof, or a combination thereof in separately or together in the same  
25 admixture. The active agents can be supplied alone (e.g., lyophilized), or in a pharmaceutical composition. The active agents can be in a unit dosage, or in a stock that should be diluted prior to administration. In some embodiments, the kit includes a supply of pharmaceutically acceptable carrier. The kit can also include devices for administration of the active  
30 agents or compositions, for example, syringes. The kits can include printed instructions for administering the compound in a use as described above.

## Examples

### Example 1: Triplex-forming PNA design and nanoparticle formulation for gene editing of a $\beta$ -globin mutation.

#### Materials and Methods

##### 5 *Oligonucleotides*

<sup>MP</sup> $\gamma$ PNA monomers were prepared as reported (Sahu, et al., *J. Org. Chem.*, 76:5614-5627 (2011)). PNA oligomers were synthesized on solid support using Boc chemistry, as described (Bahal, et al., *ChemBioChem*, 13:56-60 (2012)). The sequences of PNAs used in this study are:

10 tcPNA1: H-KKK-JTTTTJTTTTJTJT-OOO-TCTCTTTCTTTCAGGGCA-KKK-NH<sub>2</sub> (SEQ ID NO:33)  
 tcPNA2: H-KKK-TTTTTJJJ-----OOO-CCCTTTTGCTAATCATGT-KKK-NH<sub>2</sub> (SEQ ID NO:34)  
 tcPNA3: H-KKK-TTTJTJJ-----OOO-CCTCTTTGCACCATCT -KKK-NH<sub>2</sub> (SEQ ID NO:35)  
 $\gamma$ tcPNA4: H-KKK-JTTTTJTTTTJTJT-OOO-TCTCTTCTTTCAGGGCA-KKK-NH<sub>2</sub> (SEQ ID NO:162)  
 $\gamma$ tcPNA4 -Scr.: H-KKK-TTJTTTTJTJTJT-OOO-CCTTCTTCTTTCAGG-KKK-NH<sub>2</sub> (SEQ ID NO:158)

15 Sequences of tcPNAs and  $\gamma$ tcPNAs used in this study to bind to positions 577 to 595 (tcPNA1 and  $\gamma$ tcPNA4), 611 to 629 (tcPNA2), and 807 to 825 (tcPNA3) in  $\beta$ -globin intron 2 within the  $\beta$ -globin/GFP fusion gene and within the human  $\beta$ -globin gene in the thalassemic mouse model.  $\gamma$ tcPNA4-Scr is a scrambled version of  $\gamma$ tcPNA4 with the same base  
 20 composition. Bold and underline indicates  $\gamma$ PNA residues. All PNAs have three lysine residues conjugated to each end. "J" indicates pseudoisocytosine substituted for C to allow pH-independent triplex formation. "O" represents 8-amino-2,6,10-trioxaoctanoic acid residues that are used to form flexible linkers connecting the Hoogsteen and Watson-Crick  
 25 binding domains of the tcPNAs.

The single-stranded donor DNA oligomer was prepared by standard DNA synthesis except for the inclusion of 3 phosphorothiate internucleoside linkages at each end to protect from nuclease degradation. The sequence of the donor DNA matches positions 624 to 684 in  $\beta$ -globin intron 2 and is as  
 30 follows, with the correcting IVS2-654 nucleotide underlined:  
 5'AAAGAATAACAGTGATAATTTCTGGGTTAAGGCAAATAGCAATA  
 TCTCTGCATATAAATAT3' (SEQ ID NO:65).

*PLGA nanoparticle synthesis and characterization*

PLGA nanoparticles containing the PNAs and DNAs were formulated using a double-emulsion solvent evaporation method and characterized as previously described (McNeer, et al., *Molecular Therapy*, 5 19(1):172–180 (2011), and ). Release profiles were analyzed as previously described (McNeer, et al., *Mol. Ther.*, 19:172-180 (2011)).

*DNA binding gel shift assays*

For gel electrophoresis, synthetic 120bp dsDNA targets were incubated with indicated oligomers at 37 C in low ionic strength buffer 10 (10mM NaPi, pH 7.4). The samples were separated on 10% non-denaturing polyacrylamide gels in 1X TBE buffer. The gels were run at 100 V/cm for 1.5 hr. After electrophoresis, the gels were stained with 1x SYBR-Gold (catalog #S11494, Invitrogen) for 10 min, washed 2x with 1x TBE buffer, and then imaged using a gel documentation system (BioDoc-It System). The 15 images were then inverted using Adobe Photoshop 6.0.

Results

To assay for gene editing in a robust and quantitative manner, a transgenic mouse model was utilized with a  $\beta$ -globin/GFP fusion transgene of human  $\beta$ -globin intron 2 carrying a thalassemia-associated IVS2-654 (C→ 20 T) mutation embedded within the GFP coding sequence, resulting in incorrect splicing of  $\beta$ -globin/GFP mRNA and lack of GFP expression (Sazani, et al., *Nat. Biotechnol.*, 20:1228-1233 (2002)). PNA-mediated triplex-formation induces DNA repair and recombination of the genomic site with a 60-nucleotide sense donor DNA that is homologous to a portion of the 25  $\beta$ -globin intron 2 sequence except for providing a wild-type nucleotide at the IVS2-654 position. Via recombination, the splice-site mutation is corrected and expression of functional GFP occurs (Fig. 1A) (McNeer, et al., *Gene Therapy*, 20:658-669 (2013); Bahal, et al., *Curr. Gene Ther.*, 14:331-342 (2014)). Hence, GFP expression provides a direct phenotypic assessment of 30 genome editing frequencies that can be quantified by flow cytometry.

A series of tcPNAs were designed to bind to selected polypurine stretches in the  $\beta$ -globin intron in the vicinity of the IVS2-654 mutation (Fig. 1B). Two of the tcPNAs were synthesized to contain partial substitution

with a mini-polyethylene-glycol (mini-PEG) group at the  $\gamma$  position (<sup>MP</sup> $\gamma$ PNA) (Fig. 1C, and sequences above). Gamma substitutions in PNAs have been shown to enhance strand invasion and DNA binding affinity in the Watson-Crick binding mode due to helical pre-organization enforced by the  
5 modification (Bahal, et al., *ChemBioChem*, 13:56-60 (2012)).  $\gamma$ tcPNA4 matches the sequence of tcPNA1 except that it contains  $\gamma$  units at alternating positions in the Watson-Crick domain (see sequences above). Scrambled  $\gamma$ tcPNA ( $\gamma$ tcPNA4-Scr) had the same base composition as  $\gamma$ tcPNA4 but a scrambled sequence. All tcPNA oligomers were synthesized with 3 lysines  
10 at both termini to improve solubility and increase binding affinity to genomic DNA (see sequences above).

Gel shift assays to assess the binding of the tcPNAs to 120-bp DNA duplexes containing the respective target sequences showed that all of the tcPNAs bound specifically to their target sites in duplex DNA under  
15 physiological conditions. No binding was seen in the case of the scrambled sequence  $\gamma$ tcPNA4-Scr oligomer.

Poly(lactic-co-glycolic acid) (PLGA) NPs can effectively deliver PNA/donor DNA combinations into primary human and mouse hematopoietic cells with essentially no toxicity (McNeer, et al., *Gene Therapy*, 20:658-669 (2013); Schleifman, et al., *Mol. Ther.--Nucleic Acids*, 2:e135 (2013); McNeer, et al., *Mol. Ther.*, 19:172-180 (2011)). Here,  
20 tcPNAs and donor DNAs, at a molar ratio of 2:1, were incorporated into PLGA NPs. The NP formulations were evaluated by scanning electron microscopy (SEM) and dynamic light scattering (DLS). All the NPs  
25 exhibited sizes within the expected range and showed a uniform charge distribution as calculated from their zeta potential.

**Table 1: Hydrodynamic diameter of formulated PLGA nanoparticles measured using dynamic light scattering in PBS buffer.**

Sample	Diameter (nm)
tcPNA 1/donor DNA	293.1 ± 6.1
tcPNA 2/donor DNA	610.6 ± 27.7
tcPNA 3/donor DNA	373.0 ± 4.3
γtcPNA 4/donor DNA	291.0 ± 4.7
γtcPNA 4-Scr/donor DNA	458.6 ± 8.2
Donor DNA	907.3 ± 200

5 **Table 2: Zeta potential of formulated PLGA nanoparticles.**

Sample	Zeta Potential (mV)
tcPNA 1/donor DNA	-24.6 ± 0.4
tcPNA 2/donor DNA	-16.5 ± 0.5
tcPNA 3/donor DNA	-23.6 ± 0.5
γtcPNA 4/donor DNA	-23.4 ± 0.5
γtcPNA 4-Scr/donor DNA	-19.5 ± 1.3
Donor DNA	-29.1 ± 0.4

Nucleic acid release profiles in aqueous solution were consistent with previous studies, indicating no deleterious impact of the  $\gamma$  modifications on release from NPs (Fig. 1E).

10 **Example 2:  $\gamma$ tcPNA edit bone marrow cell genome *ex vivo*.**

Materials and Methods

*Ex vivo experiments*

Bone marrow cells were harvested by flushing of femurs and tibias from  $\beta$ -globin/GFP transgenic mice with Roswell Park Memorial Institute (RPMI) /10%FBS media. Two mg/ml of nanoparticles were used to treat  
15 approximately 300,000-500,000 cells for 48 hr in RPMI/10% FBS media containing glutamine, in triplicate samples. After 48 hr, cells were fixed by using 4% paraformaldehyde, and flow cytometry analyses were performed. Cells treated with blank nanoparticles were included as a control.

20 For CD117+ cell experiments, Iscove's Modified Dulbecco's Media (IMDM) media containing insulin (10ng/ml), FCS (10%) and erythropoietin

(1U/ml) was used to culture CD117+ cells after isolation using magnetic separation. Where indicated, 3µg/ml of SCF (Recombinant murine SCF, catalog #250-03, PeproTech, Rocky Hill, NJ;) was added prior to nanoparticle treatment. 2 mg/ml of NPs were used to treat 50,000-100,000 CD117+ cells in triplicate for 48 hrs in the above media, followed by flow cytometry analyses as above. Inhibitors were used at concentrations of 200nM (dasatinib), 1.0µM (MEK162) and 3.0µM (BKM120). Dasatinib was obtained from Cayman Chemical (Ann Arbor, MI; item #11498) and dissolved according to manufacturer's protocol. MEK162 and BKM120 were obtained from Dr. Harriet Kluger, Yale University.

#### *Comet assay*

400,000 bone marrow cells/well were plated on 6-well plates in 1 mL media, then treated with 2mg/mL of PLGA nanoparticles with or without PNA and donor DNA. After 48 hours, cells were scraped and harvested, and prepared using the Trevigen Comet Assay kit per manufacturer's protocol (Trevigen, Gaithersburg, MD). Briefly, cells were suspended in agarose, added to comet slides, allowed to set, incubated 1 hr in lysis solution, placed in electrophoresis solution for 30 min, then run at 21 V for 45 min, placed in acetate solution for 30 min, transferred to 70% ethanol solution for 30 min, dried, stained with Sybr Green for 30 min, then visualized using an EVOS microscope. TriTek Comet Score freeware was used to analyze images.

#### Results

Bone marrow cells harvested from β-globin/GFP transgenic mice were treated *ex vivo* with PLGA NPs containing tcPNA1/donor DNA, tcPNA2/donor DNA and tcPNA3/donor DNA combinations. After 48 hr, the percentage of GFP+ (corrected) cells was quantified via flow cytometry, revealing that tcPNA1/donor DNA, tcPNA2/donor and tcPNA3/donor DNA-containing NPs induced genome modification at frequencies of ~1.0%, 0.51% and 0.1% respectively (Fig. 1D). The higher gene editing activity of tcPNA1 is likely due to its longer Hoogsteen binding domain, as previously observed (Schleifman, et al., *Chem. Biol. (Cambridge, MA, U.S.)*, 18:1189-1198 (2011)). NPs containing the γ-substituted tcPNA (γtcPNA4) and donor DNA yielded significantly higher gene modification (1.62%) (Fig.

1F), showing that the <sup>MP</sup>γ substitutions confer increased biological activity that correlates with their improved binding properties. NPs with the γ-substituted but scrambled sequence γtcPNA4–Scr produced no modification (Fig. 1F).

5           Bone marrow cells treated with either blank NPs or NPs containing γtcPNA4/donor DNA were plated in methylcellulose medium supplemented with selected cytokines for growth of granulocyte/macrophage colonies (CFU-G, CFU-M and CFU-GM) or combined colonies (CFU-GEMM, granulocyte, erythroid, monocyte/macrophage, megakaryocyte). The two  
10 sets of treated cells formed myeloid and erythroid colonies at similar frequencies, indicating that treatment with γtcPNA4 and donor DNA does not impair the ability of the progenitor cells to proliferate and differentiate (Fig. 1G). Sequencing analysis of genomic DNA from selected GFP-positive methylcellulose colonies confirmed the presence of the targeted  
15 gene modification in the β-globin/GFP transgene at the IVS2-654 base pair. In other assays for toxicity, there was no increase in DNA double-strand breaks (DSBs) in the cells treated with γtcPNA4/donor DNA-containing NPs compared to blank NPs based on a single-cell gel electrophoresis assay (Comet assay) (Fig. 1H) and there was no induction of the inflammatory  
20 cytokines, TNF-alpha or interleukin-6 (IL-6), in the treated bone marrow cells, consistent with prior work with NPs containing standard PNAs (McNeer, et al., *Gene Therapy*, 20:658-669 (2013); Schleifman, et al., *Mol. Ther.--Nucleic Acids*, 2:e135 (2013); McNeer, et al., *Mol. Ther.*, 19:172-180 (2011)).

25   **Example 3: Gene modification is elevated by γtcPNAs in CD117+ hematopoietic cells.**

Materials and Methods

*Cell sorting and flow cytometry*

30           BD Bioscience kit catalog #558451 (BDImagTm Hematopoietic Progenitor Stem Cell Enrichment Set – DM) was used to isolate CD117 cells. Enrichment for CD117 was confirmed by flow cytometry. CD117+ enriched cells were labeled with CD117-APC (BD Pharmingen™ catalog #558451) antibody. Cells were co-labelled with control IgG antibody (BD

Pharmingen™ catalog #555746) for gating purposes. To quantify GFP expression, after CD117 co-labelling, flow cytometry was performed using FACScaliburS by resuspending cells in PBS/1%FBS where green fluorescent cells are measured in the F11 channel and APC stained cells are in the F14  
5 channel. Antibodies for other markers were Ter119 (BD Pharmingen™ catalog #561033) and CD45 APC (BD Pharmingen™ catalog #561018).

### Results

Previous work indicated that there might be increased activation of PNA-mediated DNA repair in certain colony-forming progenitors (McNeer,  
10 et al., *Gene Therapy*, 20:658-669 (2013)). To test this, whole bone marrow cells were treated with either blank NPs, NPs containing tcPNA1/donor DNA, or NPs containing  $\gamma$ tcPNA4/donor DNA. Two days later, flow cytometry was performed to assess the frequency of GFP+ cells within selected sub-populations. Substantially elevated gene editing was observed  
15 in CD117+ cells compared to the total CD45+ cell population (Fig. 2A), with a frequency of 8.6% in CD117+ cells after a single treatment with the  $\gamma$ tcPNA4/donor DNA NPs. The less potent tcPNA1/donor DNA NPs still yielded an elevated correction frequency of 2.1% in the CD117+ cells. The Ter119+ population, which includes more mature cells committed to the  
20 erythroid lineage, showed minimal susceptibility to gene editing with either PNA.

Next, the predisposition of CD117+ cells to increased gene editing was tested by first sorting for CD117+ cells prior to treatment with the NPs (Fig. 2B). An elevated percentage of modification (7.2%) was again seen in  
25 the CD117+ cells after a single treatment (Fig. 2B).

#### **Example 4: The c-Kit pathway mediates increased gene modification in CD117+ cells.**

CD117 (also known as mast/stem cell growth factor receptor or proto-oncogene c-Kit protein) is a receptor tyrosine kinase expressed on the  
30 surface of hematopoietic stem and progenitor cells as well as other cell types. Stem cell factor (SCF), the ligand for c-Kit, causes dimerization of the receptor and activates its tyrosine kinase activity to trigger downstream

signaling pathways that can impact survival, proliferation, and differentiation.

To explore the mechanism of the increased gene editing in CD117+ cells, the requirement of c-Kit-dependent signaling for elevated gene correction or whether CD117 simply serves as a marker for the increased susceptibility to gene editing was distinguished. To do this,  $\gamma$ tcPNA4/donor DNA NP-mediated gene editing was assayed in pre-sorted CD117+ cells in the presence or absence of selected kinase inhibitors (Fig. 2D). Dasatinib, which inhibits the c-Kit kinase in addition to the BCR/Abl and Src kinases, reduced the gene editing from 7% to 2.0%. Inhibitors of signaling factors downstream of c-Kit, including mitogen/extracellular signal-regulated kinase (MEK) (Binimetinib; MEK162) and phosphatidylinositol-3-kinase (PI3K) (BKM120), also decreased the gene editing frequencies in CD117+ cells to 2.6% and 4.1%, respectively (Fig. 2D).

On the other hand, when the CD117+ cells were treated with the c-Kit ligand, SCF, a significant increase in  $\gamma$ tcPNA4/donor DNA-mediated gene editing (up to almost 15%) was observed (Fig. 2C). These results indicate that the SCF/c-Kit signaling can enhance gene editing and identify SCF as a potential agent to stimulate PNA-mediated gene editing.

**Example 5: Expression of DNA repair genes are increased upon activation of the c-Kit+ pathway.**

Materials and Methods

*Microarray analysis*

Microarray analyses were performed on CD117+ and CD117- cells obtained from bone marrow of three separate  $\beta$ -globin/GFP mice at Yale Center of genomic analysis at Yale west campus. Each replicate cell sample was obtained from a separate mouse. RNA was extracted from  $2 \times 10^6$  for each sample using the RNeasy Mini Plus kit from Qiagen, as per the manufacturer's protocol. Following DNase treatment, total RNA was sequenced and analyzed at the Yale Center for Genome Analysis. Heat maps were generated using variance stabilizing transformations of the count data on the basis of a parametric fit to the overall mean dispersions.

*RT-PCR analysis*

Cells were harvested, pelleted, and stored frozen in RNA stabilization reagent (Qiagen), until ready for RNA extraction. RNA was extracted from the cell pellets using the RNeasy Mini Plus kit from Qiagen, as per the manufacturer's protocol. The Invitrogen SuperScript III kit was used to generate cDNA from the RNA, as per the manufacturer's protocol, using 500 ng of RNA per reaction. PCR reactions contained cDNA, 20% Betaine, 0.2 mM dNTPS, Advantage 2 Polymerase Mix, 0.2  $\mu$ M of each primer, 2% Platinum Taq, and Brilliant SYBR Green. Primers and ROX reference dye were obtained from Stratagene and analysis was conducted using a Mx3000p realtime cycler. Cyclor conditions were 94°C for 2 min, 40 cycles of 94°C 30 s/50°C 30 s/72°C 1 min, then 95°C 1 min. Relative expression were calculated using the  $2^{-\Delta\Delta C_t}$  method ( $C_t < 36$ ) and then normalized. Mouse BRCA2 primers were designed using Primer3 database: BRCA2-3F: 5' GTTCATAACCGTGGGGCTTA (SEQ ID NO:203) and BRCA2-3R: 5' TTGGGAAATTTTAAAGGCGA (SEQ ID NO:176). For BRCA2 data analysis GAPDH were used as control using following primers: 5'-TGATGACATC AAGAAGGTGGTGAAG-3' (SEQ ID NO:177) and 5'-TCCTTGGAGG CCATGTGGGCCAT-3' (SEQ ID NO:178). For RAD51 analysis, Rad51 mRNA was quantified by using TaqMan® Gene Expression Assay (Life technologies, Mm00487905\_m1) kit and using gene 18S (Life technologies, Mm03928990\_g1) as a control.

*Western blot analysis*

CD117+ and CD117- cells were isolated from  $\beta$ -globin/GFP mice and protein was extracted with Radio-Immunoprecipitation Assay (RIPA) lysis buffer. 50-100 $\mu$ g total protein was run on SDS/PAGE gels and transferred to nitrocellulose membranes. Antibodies used were: Anti-BRCA2 (Ab-1) mouse mAb (EMD Millipore, OP95-100ug) anti-RAD51-antibody (Santa Cruz biotechnology, SC 8349)).

**Results**

The increased gene editing in the c-Kit+ (CD117) cells was not explained by differential uptake of the NPs, as there were no detectable differences in uptake across several bone marrow cell sub-populations. Gene

expression patterns in the c-Kit<sup>+</sup> cells were evaluated for increased DNA repair gene expression. Gene expression analyses were performed on sorted CD117<sup>+</sup> and CD117<sup>-</sup> cells from whole bone marrow from the  $\beta$ -globin/GFP mice using Illumina arrays.

5

**Table 3: Selected genes that were up-regulated in CD117<sup>+</sup> enriched cells as compared to CD117<sup>-</sup> cells with increased expression of transcripts expected to be associated with CD117 including c-Kit, VEGF (vascular endothelial growth factor), Sca1 (stem cell antigen-1), and**

10

**Erdr1 (erythroid differentiation regulator 1).**

Gene	CD117 Negative	CD117 Positive	Fold Change CD117 negative/ CD117 positive	P value
c-Kit	593.98	2368.32	-3.98715	0.0051
VEGF	344.34	1109.97	-3.22341	0.0084
Sca1	208.24	490.86	-2.35711	0.0126
Erdr1	1011.81	2760.26	2.72805	0.0319

Numerous genes involved in DNA repair, including BRCA1, BRCA2, Rad51, ERCC2, XRCC2, XRCC3, showed higher levels of expression in CD117<sup>+</sup> cells. Two key HDR genes expected to play a role in PNA-induced recombination, BRCA2 and Rad51, were among the upregulated genes detected by the array. Increased expression of these genes was confirmed in CD117<sup>+</sup> cells at the mRNA level by quantitative RT-PCR (Fig. 2E and 2F) and at the protein level by western blot.

15

Based on these findings, activation of the c-Kit pathway by SCF treatment to further increase DNA repair gene expression was examined. Gene expression profiling on SCF-treated CD117<sup>+</sup> cells versus untreated CD117<sup>+</sup> cells showed additional up-regulation of numerous DNA repair genes (Fig. 2G), again including Rad51 and BRCA2.

20

**Example 6: The c-Kit pathway induces functionally elevated DNA repair.**

25

## Materials and Methods

### *Reporter gene assay for homology-dependent repair*

An inactivating I-Sce1 site was cloned 56 amino acids into the firefly luciferase open reading frame under the control of a CMV promoter. The reporter construct also contains a promoterless luciferase gene used as a template for homologous recombination. A double-strand break in the luciferase reporter is created by in vitro digestion with the I-Sce I restriction enzyme (NEB # R0694L). Plasmid DNA was digested with I-Sce I for 1 hour at 37°C at a ratio of 10 units enzyme to 1 µg DNA and then the enzyme was inactivated at 65°C for 20 minutes. The linearization of the plasmid was confirmed for each digestion via gel electrophoresis and the linear plasmid was purified using the Qiagen Qiaquick spin columns. After separation CD117+ and CD117- cells from bone marrow of β-globin/GFP transgenic mice, cells were transfected using the Lonza 2b Nucleofector Device. 5 x10<sup>5</sup> cells were transfected with 1 µg of either the luciferase reporter vector or a positive control firefly luciferase expression vector, along with 50 ng of a renilla luciferase expression plasmid as a transfection efficiency control. All transfections were performed in triplicate. After transfection the cells were plated at a density of 5x10<sup>5</sup> cells/ml in 12-well plates. After 24 hours incubation post transfection, luciferase activity was measured using the Promega Dual Luciferase Assay Kit. In each sample firefly luciferase activity was normalized to the renilla luciferase transfection control. Reporter reactivation was calculated as a ratio of normalized firefly luciferase activity in the cells transfected with the reporter plasmid to the positive control.

## Results

To test whether the above increases in DNA repair gene expression could be correlated with functional differences in DNA repair, a luciferase-based assay was used to quantify repair of DNA double-strand breaks (DSBs) by HDR. In this assay, repair of a DSB in a reporter plasmid via intramolecular homologous recombination creates (“reactivates”) a functional luciferase gene (Fig. 2H), and so the assay provides a measure of HDR capacity (Fig. 2J). The results show increased luciferase reactivation in

CD117<sup>+</sup> compared to CD117<sup>-</sup> cells (Fig. 2H). The repair activity in the CD117<sup>+</sup> cells was diminished by treatment with the kinase inhibitors MEK162, BKM120 and dasatinib (Fig. 2H); conversely, it was further boosted by SCF treatment (Fig. 2I). These results indicate that a functional c-  
5 Kit signaling pathway mediates increased HDR.

**Example 7: *In vivo* gene editing by intravenous injections of PNA/DNA NPs is enhanced by SCF treatment.**

Materials and Methods

*Mouse models and in vivo treatments*

10 All animal use was in accordance with the guidelines of the Animal Care and Use Committee of Yale University and conformed to the recommendations in the Guide for the Care and Use of Laboratory Animals (Institute of Laboratory Animal Resources, National Research Council, National Academy of Sciences, 1996).

15 The  $\beta$ -globin/GFP transgenic mice were obtained from Ryszard Kole, University of North Carolina (Sazani, et al., *Nat. Biotechnol.*, 20:1228-1233 (2002)). For treatment of the mice, where indicated SCF (15.6ug per mouse, Recombinant Mouse SCF, carrier-free, R&D catalog #455-mc-050/CF) was injected intraperitoneally 3 hrs prior to treatment with 4 mg of NPs in 150  $\mu$ l  
20 PBS delivered via retro-orbital intravenous injection. In some cases, mice were sacrificed 48hrs after the NP injections and bone marrow and spleen cells were harvested for further analysis. The bone marrow and spleen cells (500,000 each) were co-labelled with APC conjugated antibodies as described above and flow cytometry was performed as above. For deep  
25 sequencing analyses, CD117<sup>+</sup> cells were isolated based on magnetic separation methods according to BD Bioscience protocol (BDImagTm Hematopoietic Progenitor Stem Cell Enrichment Set – DM), and genomic DNA from three mice was pooled followed by sequence analysis as described (McNeer, et al., *Gene Therapy*, 20:658-669 (2013)).

30 The IVS2-654  $\beta$ -thalassemic mice were also obtained from Ryszard Kole, University of North Carolina (Svasti, et al., *Proc Natl Acad Sci USA*, 106:1205-1210 (2009)). For treatment of the mice, where indicated SCF (15.6ug per mouse, Recombinant Mouse SCF, carrier-free, R&D catalog

#455-mc-050/CF) was injected intraperitoneally 3 hrs prior to treatment with 4 mg of NPs in 150  $\mu$ l PBS delivered via retro-orbital intravenous injection. Each mouse received 4 treatments given at 48 hr intervals. Mice were anesthetized with isoflurane followed by retro-orbital bleeding (~100  $\mu$ L) using ethylenediaminetetraacetic acid–treated glass capillary tubes. The blood was evacuated into tubes with 5  $\mu$ L of 0.5 M EDTA acid in heparinized coated tubes. Complete blood counts were performed using a Hemavet 950FS (Drew Scientific, Oxford, CT) according to the manufacturer's protocol. Slides containing blood smears were stained with Wright and Giemsa stain for microscopy. Methylene blue staining was used for reticulocyte counts. Spleen images and weights were taken after selected mice were sacrificed on day 36 after the last treatment. Harvested spleens were fixed in 10% neutral buffered formalin and processed by Yale Pathology Tissue Services for H&E, CD61 and E cadherin staining.

For assigning animals into treatment groups as listed above, littermate animals were genotyped, and then the pups carrying the required genotypes (either  $\beta$ -globin/GFP transgenic mice or IVS2-654  $\beta$ -thalassemic mice) were randomized into the several treatment groups in cohorts of 3 to 6, as indicated. The investigators were not blinded as to treatment groups.

#### *Genomic DNA extraction and deep sequence analysis*

Genomic DNA from mouse cells treated ex vivo or in vivo, as indicated, was harvested using the Wizard Genomic Purification Kit (Promega), and then electrophoresed in a 1% low melting point agarose gel in TAE, to separate genomic DNA from possible residual PNA and/or DNA oligonucleotide. The high-molecular weight species, representing genomic DNA, was cut from the agarose gel and extracted using the Wizard SV Gel and PCR Clean-Up System (Promega) according to manufacturer's instructions. Once genomic DNA was isolated from treated cells or mouse tissue, PCR reactions were performed with high fidelity TAQ polymerase. Each PCR tube consisted of 28.2  $\mu$ L dH<sub>2</sub>O, 5  $\mu$ L 10x HiFi Buffer, 3  $\mu$ L 50mM MgCl<sub>2</sub>, 1  $\mu$ L DNTP, 1  $\mu$ L each of forward and reverse primer, 0.8  $\mu$ L High Fidelity Platinum Taq Polymerase (Invitrogen, Carlsbad CA) and 10  $\mu$ L DNA template. PCR products were prepared by end-repair and

adapter ligation according to Illumina protocols (San Diego, CA), and samples sequenced by the Illumina HiSeq with 75 paired-end reads at the Yale Center for Genome Analysis. Samples were analyzed as previously described (McNeer, et al., *Gene Therapy*, 20:658-669 (2013)). Primers for deep sequencing were designed using Primer3 data base. The primers used for  $\beta$ -globin intron 2 were as follows: forward primer: 5' TATCATGCCTCTTTGCACCA (SEQ ID NO:179); reverse primer: 5' AGCAATATGAAACCTCTTACATCA (SEQ ID NO:180). Primers for off-target sites of partial homology were as follows; forward primer is listed first: Vascular cell adhesion protein precursor 1 (5' AGATAATTATTGCCTCCCACTGC (SEQ ID NO:181) and 5' AATGGAAGGGCATGCAGTCA (SEQ ID NO:182)); Polypyrimidine tract binding protein (5' CCAATCCTGAATCCTGGCT (SEQ ID NO:183) and 5' CATACTGATGTCTGTGGCTTGA (SEQ ID NO:184)); Protocadherin fat 4 precursor (5' AAGCTCAAACCTACCAGACCA (SEQ ID NO:185) and 5' AGCTGGAAGCTTCTTCAGTCA (SEQ ID NO:186)); Olfactory receptor 266 (5' CCCTCTGTGGACTGAGGAAG (SEQ ID NO:187) and 5' TGATGAGCTACGGGTATGTGA (SEQ ID NO:188)); Syntaxin binding protein (5' CAAAAAGCCTTAAGCAAACACTC (SEQ ID NO:189) and 5' TCTCTCCCTCAGCATCTATTCC (SEQ ID NO:190)); Muscleblind like protein (5' TGTGTTTGTTTATGGATACTTGAGC (SEQ ID NO:191) and 5' GCATGCACAATAAAGGCACT (SEQ ID NO:192)); Ceruloplasmin isoform (5' CATGGGAAACAGTCAAAGAAA (SEQ ID NO:193) and 5' TGTAGGTTTCCCCACAGCTT (SEQ ID NO:194)).

## 25 Results

The potential for in vivo gene editing in the  $\beta$ -globin/GFP transgenic mice was explored by intravenous injection of NPs containing  $\gamma$ tcPNA4 and donor DNA. The ability of SCF treatment to enhance gene editing in vivo was also tested. Mice were treated with a single intravenous dose of 4 mg NPs in 150 $\mu$ l PBS, and 2 days later the mice were sacrificed for analysis of gene editing in cells from the bone marrow and spleen. Some mice also received murine SCF (15.6 $\mu$ g) given by intraperitoneal injection 3 hr prior to the NP injection, as indicated. In vivo gene editing was scored by GFP

expression in marker-sorted cell populations from bone marrow and spleen (Figs. 3A and B). The highest levels of gene editing were seen in CD117+ cells from bone marrow and spleen of the SCF-treated mice, with frequencies in the range of 1% in several mice, and average frequencies in the 0.4% to 0.5% range.

These results were confirmed by performing deep sequencing analysis on genomic DNA from CD117+ cells isolated from bone marrow and spleen of treated mice (Fig. 3C), which revealed gene editing frequencies in the range of 0.2% in the bone marrow of mice treated with NPs alone and 0.6% in mice receiving SCF along with the NPs, consistent with the frequencies of gene correction quantified by GFP expression. Deep-sequencing was also used to assess off-target effects in the bone marrow cells of the mice that were treated with SCF and  $\gamma$ tcPNA4 and donor DNA NPs (Table 4). By BLAST analysis, seven off-target sites with partial homology to the target site of  $\gamma$ tcPNA4 in  $\beta$ -globin intron 2 were identified. Mutation frequencies at these sites were quantified via deep sequencing. Extremely low frequencies of off-target effects were found in the  $\gamma$ tcPNA4/donor DNA treated mice, with six sites showing no detectable sequence changes out of millions of reads and two sites showing modification frequencies of only 0.0074% and 0.00018% compared to 0.56% at the targeted  $\beta$ -globin site. (Table 4). The overall off-target modification frequency at all seven sites combined was 0.00034%, 1,647-fold lower than the frequency of the targeted gene editing.

**Table 4: Off-target effects in bone marrow cells following intravenous treatment of  $\beta$ -globin/GFP mice with  $\gamma$ tcPNA4/donor DNA NPs.**

Gene locus	Sequences of partial homology (5' to 3')	Size of region sequenced	Alleles sequenced	Number modified	Frequency %
<b><math>\beta</math>-globin</b>	TGCCCTGAAAGAAAGAGA (SEQ ID NO:195)	128	1399786	78833	0.56
<b>Vascular cell adhesion protein precursor 1</b>	AGCCCTGAAAGAAAGAGA (SEQ ID NO:196)	111	480013	0	0
<b>Polypyrimidine tract binding protein</b>	GAACCTGAAAGAAAGAGA (SEQ ID NO:197)	101	349723	26	0.0074
<b>Protocadherin fat 4 precursor</b>	CACCCTGAAAGAAAGAAA (SEQ ID NO:198)	115	73245	0	0
<b>Olfactory receptor 266</b>	AAGCCTGAAAGAAAGAGT (SEQ ID NO:199)	172	1092990	2	0.00018
<b>Syntaxin binding protein</b>	AGAAATGAAAGAAAGAGA (SEQ ID NO:200)	150	2478636	0	0
<b>Muscleblind like protein</b>	GGTGGTGAAAGAAAGAGA (SEQ ID NO:201)	165	2331971	0	0
<b>Ceruloplasmin isoform</b>	AGGACTGAAAGAAAGAGT (SEQ ID NO:202)	154	1390439	0	0
<b>Total off-target</b>			8197017	28	0.00034

The top seven gene loci with partial homology to the 18 bp  $\gamma$ tcPNA4 target site in  $\beta$ -globin intron 2 were identified, with the sequences as indicated.  $\beta$ -globin/GFP mice were treated with SCF followed by intravenous infusion with NPs containing  $\gamma$ tcPNA4/donor DNA, and genomic DNA from c-Kit<sup>+</sup> bone marrow cells was subject to deep sequencing analysis at these loci. The size of the region sequenced around each site is listed, along with the number of alleles sequenced and the number of alleles with modified sequences.

**Example 8: SCF and PNA NP treatment can correct a genomic mutation in a mouse  $\beta$ -thalassemia disease model.**

To test the extent to which combined SCF and PNA NP treatment in vivo could correct a human  $\beta$ -thalassemia mutation in a mouse disease model, a transgenic mouse line was utilized in which the two (cis) murine adult beta globin genes were replaced with a single copy of the human  $\beta$ -globin gene with the thalassemia-associated IVS2-654 mutation (Svasti, et al., *Proc Natl Acad Sci USA*, 106:1205-1210 (2009)). Homozygous mice do not survive, and heterozygotes have a moderate form of  $\beta$ -thalassemia, with marked hemolytic anemia, microcytosis, and increased MCHC and red cell distribution width reflecting reduced amounts of mouse  $\beta$ -globin and no human  $\beta$ -globin (Lewis, et al., *Blood*, 91:2152-2156 (1998); Svasti, et al., *Proc Natl Acad Sci USA*, 106:1205-1210 (2009)). Blood smears from these mice show erythrocyte morphologies consistent with  $\beta$ -thalassemia. Treatment groups for this experiment included (1) blank NPs; (2) SCF treatment alone (no NPs); (3) SCF plus  $\gamma$ tcPNA4/donor DNA NPs; and (4) SCF plus  $\gamma$ tcPNA4-Scr/donor DNA. SCF injections were given i.p., and NPs were given i.v. via retro-orbital injection. Each treatment group consisted of six mice, and each mouse received four treatments at two-day intervals. Blood smears examined at day 0 (before treatment) and at day 36 after the last treatment showed marked improvement in RBC morphology on day 36 in the  $\gamma$ tcPNA4/donor DNA treated mice but not in the mice treated with either blank NPs, SCF alone, or SCF plus  $\gamma$ tcPNA4-Scr/donor DNA. Compared to wild-type, the untreated group (and corresponding control animals) exhibit extreme poikilocytosis which is typical of  $\beta$ -thalassemia, as well as the presence of numerous target cells, cabot rings, anisochromasia,

and ovalocytosis, changes characteristic of  $\beta$ -thalassemia. Treatment with  $\gamma$ tcPNA4/donor DNA and SCF ameliorates the poikilocytosis and yields a reduction in anisocytosis, ovalocytosis, and target cells indicative of reduced alpha-globin precipitation in the RBCs.

5           CBC analyses performed on blood samples taken at 30, 45, 60, and 75 days post-treatment from mice in each group showed persistent correction of the anemia in the mice treated with SCF plus the  $\gamma$ tcPNA4/donor DNA NPs (Fig. 4A-4C), with elevation of the blood hemoglobin levels into the normal range. Only the SCF plus  $\gamma$ tcPNA4/donor DNA-treated mice  
10           achieved and maintained hemoglobin levels within the normal range during the duration of the experiment, reflecting the increased hemoglobin stability conferred by the gene editing.

          The anemia was not improved in any of the controls. Reticulocyte counts were observed in mice treated with SCF plus the  $\gamma$ tcPNA4/donor  
15           DNA NPs but not in the mice treated with blank NPs (Fig 4D). Deep sequencing analyses were performed on genomic DNA extracted from bone marrow cells of three mice from each group that were sacrificed on day 36 post-treatment. Correction of the targeted mutation was seen at a frequency of almost 4% in the  $\gamma$ tcPNA4/donor DNA treated group (Fig. 4E), whereas  
20           no correction was seen in the mice treated with blank NPs. In addition, in keeping with the correction of the anemia and suppression of the reticulocytosis, the  $\gamma$ tcPNA4/donor DNA treated mice also showed reduced splenomegaly at 36 days post-treatment.

          Consistent with the reduced splenomegaly, histologic examination of  
25           the spleens of mice sacrificed on day 36 showed substantially improved splenic architecture specifically in the  $\gamma$ tcPNA4/donor DNA treated mice. The regular splenic histologic pattern of white pulp (lymphoid follicles) surrounded by rims of red pulp as seen in the wild-type spleen is disrupted in the  $\beta$ -thalassemic animals (blank NPs, SCF alone, SCF plus scrambled  
30            $\gamma$ tcPNA4-Scr/donor DNA NPs) due to extramedullary hematopoiesis, which results in an expansion in the red pulp (causing the splenomegaly) and disruption of the white pulp. The CD61 and Ecad immunohistochemical stains highlight the increased cellularity characteristic of extramedullary

hematopoiesis and demonstrate that the expanded red pulp in the  $\beta$ -thalassemic animals includes elevated numbers of megakaryocytes and erythroid precursors, respectively. This increased cellularity is substantially ameliorated in the  $\gamma$ tcPNA4/donor DNA treated mice.

- 5           Deep-sequencing was also used to assess off-target effects in the bone marrow of the in vivo treated thalassemic mice. As above, seven off-target sites with partial homology to the binding site of  $\gamma$ tcPNA4 in the  $\beta$ -globin gene were analyzed. Only extremely low frequencies of off-target effects were found in the  $\gamma$ tcPNA4/donor DNA-treated thalassemic mice (Table 5),
- 10 similar to the results in the  $\beta$ -globin/GFP transgenic mice (Table 4). The overall off-target modification frequency in this case was 0.0032%, 1,218-fold lower than the frequency of  $\beta$ -globin gene editing.

**Table 5: Off-target effects in bone marrow cells following intravenous treatment of  $\beta$ -thalassemic mice with SCF and  $\gamma$ tcPNA4/donor DNA NPs.**

Gene locus	Sequences of partial homology (5' to 3')	Size of region sequenced	Alleles sequenced	Number modified	Frequency %
<b><math>\beta</math>-globin</b>	TGCCCTGAAAGAAAG AGA (SEQ ID NO:195)	128	8615313	337192	3.9
<b>Vascular cell adhesion protein precursor 1</b>	AGCCCTGAAAGAAAG AGA (SEQ ID NO:196)	111	482051	0	0
<b>Polypyrimidine tract binding protein</b>	GAACCTGAAAGAAAG AGA (SEQ ID NO:197)	101	355567	2	.00056
<b>Protocadherin fat 4 precursor</b>	CACCCTGAAAGAAAG AAA (SEQ ID NO:198)	115	123158	0	0
<b>Olfactory receptor 266</b>	AAGCCTGAAAGAAAG AGT (SEQ ID NO:199)	172	1099880	262	0.0231
<b>Syntaxin binding protein</b>	AGAAATGAAAGAAAG AGA (SEQ ID NO:200)	150	2493024	0	0
<b>Muscleblind like protein</b>	GGTGGTGAAGAAAG AGA (SEQ ID NO:201)	165	2336715	0	0
<b>Ceruloplasmin isoform</b>	AGGACTGAAAGAAAG AGT (SEQ ID NO:202)	154	1397271	0	0
<b>Total off-target</b>			8287666	268	.0032

The top seven gene loci with partial homology to the 18 bp  $\gamma$ tcPNA4 target site in  $\beta$ -globin intron 2 were identified, with the sequences as indicated. Thalassemic mice were treated with SCF followed by intravenous infusion with NPs containing  $\gamma$ tcPNA4/donor DNA, and genomic DNA from c-Kit<sup>+</sup> bone marrow cells was subject to deep sequencing analysis at these loci. The size of the region sequenced around each site is listed, along with the number of alleles sequenced and the number of alleles with modified sequences.

In sum the results above demonstrate that chemically modified  $\gamma$ PNAs and donor DNAs delivered intravenously via polymer NPs, and given in combination with SCF treatment, can mediate gene editing in vivo at a

level sufficient to ameliorate the disease phenotype in the thalassemic mice. Sustained reversal of the anemia, with normalization of serum hemoglobin concentrations and suppression of the reticulocytosis were induced. A morphologic improvement in RBC cytology, indicative of improved RBC stability, along with reduced extramedullary hematopoiesis and reduction in splenomegaly were observed. This constellation of findings indicates that the disclosed therapeutic approach has the potential to deliver a substantial clinical response that would relieve the morbidity and mortality associated with  $\beta$ -thalassemia.

10           There are at least two important advances for gene editing in this work. One advance is the incorporation of next generation PNA chemistry by substitution within the polyamide backbone at the gamma position to consistently yield increases in gene editing frequencies compared to standard PNAs. This increased efficacy correlates with the enhanced DNA binding properties of  $\gamma$ PNAs, which take on a pre-organized helical conformation enforced by the miniPEG  $\gamma$  substitution.

15           Another advance is the finding that the SCF/c-Kit pathway promotes increased gene editing by triplex-forming PNAs and donor DNAs. Upon ex vivo treatment of bone marrow cells with  $\gamma$ PNAs, the gene editing frequency in c-Kit<sup>+</sup> cells was as high as 8%. The combination of SCF treatment with the  $\gamma$ PNAs yielded even higher frequencies in the c-Kit<sup>+</sup> cells, with just over 15% in a single treatment. In vivo, treatment of transgenic mice carrying a  $\beta$ -globin/GFP reporter transgene by i.p. injection of SCF followed by intravenous administration of NPs containing  $\gamma$ PNAs and donor DNAs yielded gene editing in CD117<sup>+</sup> cells in the bone marrow and spleen at frequencies up to 1% in a single treatment. Prompted by these results in reporter mice, gene editing was tested in the thalassemic mouse model via simple intravenous injection of the optimized combination of SCF and  $\gamma$ PNA/donor DNA NPs given four times at two-day intervals. This regimen yielded gene editing at a frequency of almost 4% in total bone marrow cells and produced sustained amelioration of the disease phenotype, achieved in a minimally invasive manner without the need for stem cell harvest or transplantation.

Importantly, in a series of ex vivo and in vivo assays for hematopoietic colony formation, for induction of inflammatory cytokines, for generation of strand breaks, and for off-target mutagenesis by deep sequencing, there was essentially no measurable cellular toxicity and very low off-target genome effects from the  $\gamma$ PNA-containing NPs, providing a possible safety advantage relative to other gene editing approaches (Cradick, et al., *Nucleic Acids Res.*, 41:9584-9592 (2013)).

CD117 is the product of the c-Kit gene and is a receptor tyrosine kinase that mediates downstream signalling to multiple cellular pathways. The results discussed above indicate that activation of this pathway promotes gene editing, rather than CD117 simply being a marker for the phenotype. Inhibition of the c-Kit kinase with dasatinib reduces the frequency by almost 4-fold, whereas treatment with SCF almost doubles the frequency. Mechanistically, CD117+ bone marrow cells, in comparison to CD117- cells, have elevated levels of expression of numerous DNA repair genes, including factors in the HDR pathway that prior work has shown is required for triplex-induced gene editing (Vasquez, et al., *Science*, 290:530-533 (2000); Rogers, et al., *Proc. Natl. Acad. Sci. USA*, 99:16695-16700 (2002); Datta, et al., *J Biol Chem*, 276:18018-18023 (2001); Vasquez, et al., *Proc Natl Acad Sci USA*, 99:5848-5853 (2002)). When CD117+ cells are treated with SCF, expression of these DNA repair genes is increased even more, correlating with a further increase in gene editing.

In addition, the results show that the elevated expression of DNA repair genes in CD117+ cells is associated with functionally increased HDR activity using an assay for recombination between reporter gene constructs. Treatment of the CD117+ cells with SCF produced a further 2-fold increase in HDR, whereas dasatinib and the other inhibitors yielded reductions in HDR activity. These results show the functional importance of the c-Kit pathway in promoting HDR and provide further mechanistic insight into gene editing pathways.

The 4% frequency of bone marrow gene editing achieved in the thalassemic mice was sufficient to achieve a clear improvement in phenotype, with blood hemoglobin levels rising into the normal range,

suppression of the reticulocytosis, and reduction in the splenomegaly that is otherwise associated with extramedullary hematopoiesis. The observation that gene correction at a frequency of 4% could confer a phenotypic impact is consistent with transplantation studies in thalassemic mice and in patients  
5 in which mixed chimerism at one ratio of wild-type donor to thalassemic recipient cells in the marrow has produced much higher proportions of donor RBCs in the periphery (Andreani, et al., *Bone Marrow Transplant*, 7(Suppl 2):75 (1991); Felfly, et al., *Mol Ther*, 15:1701-1709 (2007)). This effect has been attributed to increased survival and enrichment of genetically corrected  
10 erythroblasts during erythropoiesis, decreased ineffective erythropoiesis, and increased survival in the circulation of corrected erythrocytes relative to thalassemic RBCs (Miccio, et al., *Proc Natl Acad Sci USA*, 105:10547-10552 (2008)).

Overall, these results support the feasibility of NP-mediated delivery  
15 of  $\gamma$ PNAs and donor DNAs as a therapeutic strategy to achieve in vivo gene editing for treatment of human genetic disorders. The results described above demonstrate effective NP-mediated gene editing in bone marrow, but other recent work has shown that NP delivery to lung airway epithelia is also possible as a potential means to achieve correction of the CFTR gene  
20 mutation associated with cystic fibrosis (Fields, et al., *Adv Healthc Mater* (2014); McNeer, et al., *Nature Communications in press* (2015)).

The finding that SCF stimulates gene editing identifies SCF as a possible pharmacologic means to boost gene editing, a strategy that may be applicable not just to PNA-mediated gene editing as shown here but possibly  
25 also to editing by other methods, such as CRISPR/Cas9, SFHR, or ZFNs. Furthermore, even though the  $\gamma$ PNAs show consistently improved gene editing potency, the level of off-target effects in the genome remains extremely low. This is in keeping with the lack of any intrinsic nuclease activity in the PNAs (in contrast to ZFNs or CRISPR/Cas9), and reflects the  
30 mechanism of triplex-induced gene editing, which acts by creating an altered helix at the target-binding site that engages endogenous high fidelity DNA repair pathways. The SCF/c-Kit pathway also stimulates these same

pathways, providing for enhanced gene editing without increasing off-target risk or cellular toxicity.

**Example 9: Repair proteins modulate triplex-forming PNA mediated gene editing.**

5 Materials and Methods

Skin fibroblasts were isolated from the  $\beta$ -globin/GFP mice (intron 2 of human  $\beta$ -globin inserted with in the GFP coding regions) and grown in culture in DMEM medium plus 10% FCS. The intron contains the IVS2-654 (C->T) mutation. The gene correction assay is illustrated in Figure 5A.

10 The fibroblasts were treated *ex vivo* with nanoparticles containing tcPNA1 +Donor DNA and 72 hours later flow cytometry analysis was performed to quantify the % gene correction based on the frequency of GFP positive cells. In some cases, DNA repair inhibitors or other small molecule inhibitors were given 48 hours before the nanoparticle treatment.

15 *tcPNA1*:

H-KKK- TTTJTJJ-OOO-CCTCTTTGCACCATTCT-KKK-NH2 (SEQ ID NO:35)

*Donor DNA*:

5' A(s)A(s)A(s)GAATAACAGTGATAATTTCTGGGTTAAGGCAATAGC  
20 AATATCTCTGCATATAAA(s)T(s)A(s)T 3' (SEQ ID NO:175)

**Table 6: ATR pathway inhibitors**

Drug	Inhibits	Working Concentration
MIRIN	Mre11	20 $\mu$ M
KU55933	ATM	20 $\mu$ M
VE-821	ATR	10 $\mu$ M
NU7441	DNAPKcs	20 $\mu$ M
LCA	Polymerase $\beta$	50 $\mu$ M
L189	DNA ligase I III IV	50 $\mu$ M

**Table 7: CHK1, DNA polymerase alpha, and polyADP ribose polymerase inhibitors**

<b>Drug</b>	<b>Inhibits</b>	<b>Working Concentration</b>
Aphidicolin	Polymerase $\alpha$	1 $\mu$ g/ml
SB218075	Chk1	1 $\mu$ M
AZD	PARP	20 $\mu$ M

Results

5           Inhibition of ATR boosts gene editing in the GFP/beta globin gene correction assay in mouse fibroblasts. The results are presented in Figure 5B.

          Inhibition of CHK1 substantially boosts gene editing in GFP/beta globin gene correction assay. Inhibition of DNA polymerase alpha (by  
10 aphidicolin) or of polyADP ribose polymerase by AZD-2281 (olaparib) also boosts gene editing. The results are presented in Figure 5C.

          Inhibition of heat shock protein 90 (HSP90) by STA-9090/Ganetespiib enhances gene editing in the GFP/beta globin gene correction assay. The results are presented in Figure 5D.

15   **Example 10: Partial  $\gamma$  substitution in the Hoogsteen domain increases gene correction efficiency.**

Materials and Methods

          lys-lys-lys-TJTJJTTT-OOO-TTTCCTCTATGGGTAAG-lys-lys-lys  
(SEQ ID NO:93)

20           lys-lys-lys-TJTJJTTT-OOO-TTTCCTCTATGGGTAAG-lys-lys-lys  
(SEQ ID NO:69)

Results

          A substantial increase in gene editing in F508del CFTR using  $\gamma$ tcPNAs with just partial  $\gamma$  substitution and only in the Hoogsteen domain of  
25 CF PNA2. As shown in Figures 7A and 7B, with only 4  $\gamma$  residues in the Hoogsteen domain, a more than 50% increase in activity for CFTR gene correction was achieved in CFBE cells (via NPs containing  $\gamma$ tcPNAs ) as

judged by the MQAE assay (Figure 7A). A substantial increase in activity with  $\gamma$ tcPNA containing NPs was also achieved *in vivo* in CF mice following intranasal delivery, as determined by NPD measurements (Figure 7B).

**Example 11: Nanoparticle Delivered tcPNA and Donor Oligonucleotide**

5 **Correct a Sickle Cell Mutation *In Vivo*.**

Materials and Methods

*PNAs*

**SCD-tcPNA 1:**

H-KKK-JJTJTJ-OOO-CTTCTCCACAGGAGTCAG-KKK-NH<sub>2</sub>

10 (SEQ ID NO:59)

**SCD-tcPNA 2:**

H-KKK-TTJTJT-OOO-TCTCCTTAAACCTGTCTT-KKK-NH<sub>2</sub>

(SEQ ID NO:213)

**SCD-tcPNA 3:**

15 H-KKK-TJTJTJ-OOO-TCTTCTGTCTCCACAT-KKK-NH<sub>2</sub> (SEQ ID NO:60). K indicates lysine; J, pseudoisocytosine (for C) for pH-independent triplex formation. O, 8-amino-2,6,10-trioxaoctanoic acid linkers connecting the Hoogsteen and Watson-Crick domains of the tcPNAs.

*Donor*

20 5'-T(s)T(s)G(s)CCCCACAGGGCAGTAACGGCAGACTTCTCCTCAGGA  
GTCAGGTGCACCATGGTGTCTGTT(s)T(s)G(s)-3' (SEQ ID NO:161),

wherein the bolded and underlined residue is the correction and "(s)" indicates a phosphorothiate internucleoside linkage.

*Mouse Models for Sickle Cells Disease*

25 In sickle cell disease (SCD), the mutation (GAG->GTG) at codon 6 results in glutamic acid changed to valine. For correction of this SCD mutation site *in vivo*, *in vivo* studies were performed in two mouse models:

(1) sickle cell gene knock in murine model also known as the Berkeley mouse model introduced by Pászty C, Brion CM, Mancini E, Witkowska HE, Stevens ME, Mohandas N, Rubin EM., "Transgenic knockout mice with exclusively human sickle hemoglobin and sickle cell disease." *Science*. 1997 Oct 31;278(5339):876-8. PMID: 9346488 and

30

(2) the Townes mouse model developed by Ryan TM, Ciavatta DJ, Townes TM., “Knockout-transgenic mouse model of sickle cell disease.” *Science*. 1997 Oct 31;278(5339):873-6. PMID: 9346487.

Both of these mouse models express exclusively human sickle  
5 hemoglobin (HbS). They were produced by generating transgenic mice  
expressing human  $\alpha$ -,  $\gamma$ -, and  $\beta^S$ -globin that were then bred with knockout  
mice that had deletions of the murine  $\alpha$ - and  $\beta$ -globin genes. Thus the  
resulting progeny no longer express mouse  $\alpha$ - and  $\beta$ -globin. Instead, they  
express exclusively human  $\alpha$ - and  $\beta^S$ -globin. Hence, the mice express human  
10 sickle hemoglobin and possess many of the major hematologic and  
histopathologic features of individuals with SCD.

#### *Nanoparticles*

tcPNAs and donor DNAs, at a molar ratio of 2:1, were incorporated  
into PLGA NPs. The NP formulations were evaluated by scanning electron  
15 microscopy (SEM) and dynamic light scattering (DLS).

#### *Treatment protocol*

Three each (i.e., n=3) of Berkley and Townes mice were treated with  
(1) Blank PLGA nanoparticles, (2) 4 treatments of sc-tcPNA1/donor DNA in  
PLGA nanoparticles, (3) 4 treatments of sc-tcPNA2/donor DNA in PLGA  
20 nanoparticles, (4) 4 treatments of sc-tcPNA3/donor DNA in PLGA  
nanoparticles. Mice were injected intravenously with 2 mg of NPs  
containing the PNAs and donor DNAs every two days for a total of 4  
injections. After the last treatment, bone marrow and spleen were collected  
for histology, deep sequencing, and restriction enzyme digest.

#### 25 Results

Three polypurine sites in the  $\beta$ -globin gene in the vicinity of the SCD  
codon. Triplex formation can catalyze recombination at sites up to several  
hundred base pairs away. A series of tcPNAs were designed to bind to  
selected polypurine stretches in the  $\beta$ -globin gene in the vicinity of the SCD  
30 mutation and synthesized (Figure 10A). A sense donor DNA (a single-  
stranded 60-mer matching nucleotides in  $\beta$ -globin gene and end-protected  
from degradation by 3 terminal phosphorothioate internucleoside linkages  
was also designed.

More specifically, gel mobility shift assays demonstrated binding of SCDtcPNA1, SCDtcPNA2, SCDtcPNA3 to 120 bp double-stranded DNA fragments containing  $\beta$ -globin sequences. Each 120 bp dsDNA contained the binding site for the respective tcPNAs. The binding assays revealed that all synthesized SCD tcPNAs bind specifically to double-stranded genomic DNA under physiological conditions.

Poly (lactic-co-glycolic acid) (PLGA) NPs can effectively deliver PNA/donor DNA combinations into primary human and mouse hematopoietic cells with essentially no toxicity. Here, tcPNAs and donor DNAs, at a molar ratio of 2:1, were incorporated into PLGA NPs. The NP formulations were evaluated by scanning electron microscopy (SEM) and dynamic light scattering (DLS). All the NPs exhibited sizes within the expected range and showed uniform charge distribution (Figures 10B-10C).

Next, correction of SCD mutation in the two disease mouse models was carried out as described above. Treatment groups included (1) blank NPs; (2) SCD tcPNA1/donor DNA; (3) SCD tcPNA2/donor DNA; and (4) SCD tcPNA3/donor DNA. Mice were injected intravenously with 2 mg of NPs containing the PNAs and DNAs every two days for a total of 4 injections.

Deep sequencing analyses of the human beta globin alleles were performed on genomic DNA taken from total bone marrow cells of mice on day 36 post-treatment. Correction of the SCD mutation was seen at a frequency of almost 1.5% in the SCD tcPNA1/donor DNA treated group in the Townes mice (Figure 10D) and 1.2% gene correction in the Berkley mice (Figure 10E), whereas no correction was seen in the mice treated with blank NPs. The results were confirmed using restriction enzyme (Bsu361) digestion which cuts only when the sequence at codon 6 has been edited from the SCD mutation to the wild-type sequence.

Sequences with  $\gamma$ PNA substitutions based on the above SCD PNAs can be and have been designed, and include, for example, partial or complete  $\gamma$ PNA substitution in the Watson-Crick domain, partial or complete substitutions in the Hoogsteen domain, or a combination thereof. Exemplary sequences include, but are not limited to,

**SCD-tcPNA 1A:**

H-KKK-JJTJTJ-OOO-CTTCTCCACAGGAGTCAG-KKK-NH<sub>2</sub>  
 (SEQ ID NO:59)

5 **SCD-tcPNA 1B:**

H-KKK-JJTJTJ-OOO-CTTCTCCACAGGAGTCAG-KKK-NH<sub>2</sub>  
 (SEQ ID NO:211)

**SCD-tcPNA 1C:**

10 H-KKK-JJTJTJ-OOO-CTTCTCCACAGGAGTCAG-KKK-NH<sub>2</sub>  
 (SEQ ID NO:210)

**SCD-tcPNA 1D:**

H-KKK-JJTJTJ-OOO-CTTCTCCACAGGAGTCAGGTGC-KKK-NH<sub>2</sub>  
 (SEQ ID NO:208)

**SCD-tcPNA 1E:**

15 H-KKK-JJTJTJ-OOO-CTTCTCCACAGGAGTCAGGTGC-KKK-NH<sub>2</sub>  
 (SEQ ID NO:207)

**SCD-tcPNA 1F:**

H-KKK-JJTJTJ-OOO-CTTCTCCACAGGAGTCAGGTGC-KKK-NH<sub>2</sub>  
 (SEQ ID NO:206)

20 Underlined residues include a gamma modification, for example, miniPEG  $\gamma$ PNA substitution. K indicates lysine; J, pseudoisocytosine (for C) for pH-independent triplex formation. O, 8-amino-2,6,10-trioxaoctanoic acid linkers connecting the Hoogsteen and Watson-Crick domains of the tcPNAs.

We claim:

1. A triplex forming composition comprising a Hoogsteen binding peptide nucleic acid (PNA) segment and a Watson-Crick binding PNA segment collectively totaling no more than 50 nucleobases in length, wherein the two segments can bind or hybridize to a target region comprising a polypurine stretch in a cell's genome to induce strand invasion, displacement, and formation of a triple-stranded molecule among the two PNA segments and the polypurine stretch of the cell's genome,
  - wherein the Hoogsteen binding segment binds to the target duplex by Hoogsteen binding for a length of least five nucleobases,
  - wherein the Watson-Crick binding segment binds to the target duplex by Watson-Crick binding for a length of least five nucleobases, and
  - wherein one or more of the PNA monomers are  $\gamma$ PNA monomers.
2. The triplex forming composition of claim 1, wherein the Hoogsteen binding segment comprises one or more chemically modified cytosines selected from the group consisting of pseudocytosine, pseudoisocytosine, and 5-methylcytosine.
3. The triple forming composition of claims 1 or 2, wherein the Watson-Crick binding segment comprises a tail sequence of up to fifteen nucleobases that binds to the target duplex by Watson-Crick binding outside of the triplex.
4. The triplex forming composition of any one of claims 1-3 wherein the two segments are linked by a linker.
5. The triplex forming composition of claim 4, wherein the linker is between 1 and 10 units of 8-amino-3,6-dioxaoctanoic acid.
6. The triplex forming composition of any one of claims 1-5, wherein the segments can form a triple-stranded molecule with a region of the beta-globin gene comprising the sequence GAAAGAAAGAGA (SEQ ID NO:15) or TGCCCTGAAAGAAAGAGA (SEQ ID NO:16) or GGAGAAA (SEQ ID NO:17) or AGAATGGTGCAAAGAGG (SEQ ID NO:18) or AAAAGGG (SEQ ID NO:19) or ACATGATTAGCAAAAGGG (SEQ ID NO:20).

7. The triplex forming composition of any one of claims 1-5, wherein
- (i) the Hoogsteen binding segment comprises the sequence JTTTJTTTJTJT (SEQ ID NO:30) and the Watson-Crick binding segment comprises the sequence TCTCTTTCTTTC (SEQ ID NO:22) or TCTCTTTCTTTCAGGGCA (SEQ ID NO:23);
  - (ii) the Hoogsteen binding segment comprises the sequence TTTTJJJ (SEQ ID NO:31) and the Watson-Crick binding segment comprises the sequence CCCTTTT (SEQ ID NO:25) or CCCTTTTGCTAATCATGT (SEQ ID NO:26);
  - (iii) the Hoogsteen binding segment comprises the sequence TTTJTJJ (SEQ ID NO:32) and the Watson-Crick binding segment comprises the sequence CCTCTTT (SEQ ID NO:28) or CCTCTTTGCACCATTCT (SEQ ID NO:29);
  - (iv) the Hoogsteen binding segment comprises the sequence TJTTTTJTJT (SEQ ID NO:36) and the Watson-Crick binding segment comprises the sequence CTTCTTTTCT (SEQ ID NO:37);
  - (v) the Hoogsteen binding segment comprises the sequence TTJTJTJTJT (SEQ ID NO:38) and the Watson-Crick binding segment comprises the sequence CTTTCTTCTT (SEQ ID NO:39);
  - (vi) the Hoogsteen binding segment comprises the sequence JJTJTJTJT (SEQ ID NO:40) and the Watson-Crick binding segment comprises TCTTCCTCCC (SEQ ID NO:41);
  - (vii) the Hoogsteen binding segment comprises the sequence JJTJTJT (SEQ ID NO:56) and the Watson-Crick binding segment comprises the sequence CTTCTCC (SEQ ID NO:46) or CTTCTCCAAAGGAGT (SEQ ID NO:47) or CTTCTCCACAGGAGTCAG (SEQ ID NO:48) or CTTCTCCACAGGAGTCAGGTGC (SEQ ID NO:205);
  - (viii) the Hoogsteen binding segment comprises the sequence TTJTJTJT (SEQ ID NO:49) and the Watson-Crick binding segment comprises the sequence TCTCCTT (SEQ ID NO:50) or TCTCCTTAAACCTGT (SEQ ID NO:51) or TCTCCTTAAACCTGTCTT (SEQ ID NO:212); or
  - (ix) the Hoogsteen binding segment comprises the sequence TJJTJTJT (SEQ ID NO:52) and the Watson-Crick binding segment

comprises the sequence TCTTCTCT (SEQ ID NO:53) or TCTTCTCTGTCTCCAC (SEQ ID NO:54) or TCTTCTCTGTCTCCACAT (SEQ ID NO:55);

wherein “J” is pseudoisocytosine.

8. The triplex forming composition of claim 7, wherein the segments are linked and form a molecule having the sequence:

- (i) lys-lys-lys-JTTTJTTTJTJT-OOO-TCTCTTTCTTTCAGGCA- lys-lys-lys (SEQ ID NO:33);
- (ii) lys-lys-lys-TTTTJJ-OOO-CCCTTTTGCTAATCATGT-lys-lys-lys (SEQ ID NO:34);
- (iii) lys-lys-lys-TTTJTJJ-OOO-CCTCTTTGCACCATTC-lys-lys-lys (SEQ ID NO:35),
- (iv) lys-lys-lys-TJTTTTJTJT-OOO-CTTCTTTTCT-lys-lys-lys (SEQ ID NO:42) (IVS2-24);
- (v) lys-lys-lys-TTJTJTTTJ-OOO-CTTTCTTCTT-lys-lys-lys (SEQ ID NO:43) (IVS2-512);
- (vi) lys-lys-lys-JJTJTTJT-OOO-TCTTCCTCCC-lys-lys-lys (SEQ ID NO:44) (IVS2-830);
- (vii) lys-lys-lys-JJTJTTJ-OOO-CTTCTCCAAGGAGT-lys-lys-lys (SEQ ID NO:160);
- (viii) lys-lys-lys-TTJTJT-OOO-TCTCCTTAAACCTGT-lys-lys-lys (SEQ ID NO:57);
- (ix) lys-lys-lys-TTJTJT-OOO-TCTCCTTAAACCTGTCTT-lys-lys-lys (SEQ ID NO:213)
- (x) lys-lys-lys-TJTJTTJT-OOO-TCTTCTCTGTCTCCAC-lys-lys-lys (SEQ ID NO:58) (tc816);
- (xi) lys-lys-lys-JJTJTTJ-OOO-CTTCTCCACAGGAGTCAG-lys-lys-lys (SEQ ID NO:59);
- (xii) lys-lys-lys-JJTJTTJ-OOO-CTTCTCCACAGGAGTCAG-lys-lys-lys (SEQ ID NO:59) (SCD-tcPNA 1A);
- (xiii) lys-lys-lys-JJTJTTJ-OOO-CTTCTCCACAGGAGTCAG-lys-lys-lys (SEQ ID NO:59) (SCD-tcPNA 1B);

- (xiv) lys-lys-lys-JJTJTJ-OOO-CTTCTCCACAGGAGTCAG-lys-lys-lys (SEQ ID NO:59) (SCD-tcPNA 1C);
- (xv) lys-lys-lys-JJTJTTJ-OOO-CTTCTCCACAGGAGTCAGGTGC (SEQ ID NO:209) (SCD-tcPNA 1D);
- (xvi) lys-lys-lys-JJTJTTJ-OOO-CTTCTCCACAGGAGTCAGGTGC-lys-lys-lys (SEQ ID NO:209) (SCD-tcPNA 1E);
- (xvii) lys-lys-lys-JJTJTJ-OOO-CTTCTCCACAGGAGTCAGGTGC-lys-lys-lys (SEQ ID NO:209) (SCD-tcPNA 1F);
- (xviii) lys-lys-lys-TJTJTTJT-OOO-TCTTCTCTGTCTCCACAT-lys-lys-lys (SEQ ID NO:60);

wherein “J” is pseudoisocytosine and O= flexible 8-amino-3,6-dioxaoctanoic acid, 6-aminohexanoic acid monomers.

9. The triplex forming composition of any one of claims 1-5, wherein
- (i) the Hoogsteen binding segment comprises the sequence TJTJTTT (SEQ ID NO:91) and the Watson-Crick binding segment comprises the sequence TTTCCTCT (SEQ ID NO:83) or TTTCCTCTATGGGTAAG (SEQ ID NO:84);
- (ii) the Hoogsteen binding segment comprises the sequence TJTTJTTJ (SEQ ID NO:91) and the Watson-Crick binding segment comprises the sequence CCTCTTCT (SEQ ID NO:86), or CCTCTTCTAGTTGGCAT (SEQ ID NO:87);
- (iii) the Hoogsteen binding segment comprises the sequence TTJJTTTJ (SEQ ID NO:92) and the Watson-Crick binding segment comprises the sequence CTTTCCCTT (SEQ ID NO:89), or CTTTCCCTTGTATCTTTT (SEQ ID NO:90);
- (iv) the Hoogsteen binding segment comprises the sequence JTTJTTT (SEQ ID NO:106) and the Watson-Crick binding segment comprises the sequence TTTCTCCTC (SEQ ID NO:98) or TTTCTCCTCAGTGTTCA (SEQ ID NO:99);
- (v) the Hoogsteen binding segment comprises the sequence TTTTJTT (SEQ ID NO:107) and the Watson-Crick binding segment

comprises the sequence TCCTTTT (SEQ ID NO:101) or TCCTTTTGCTCACCTGTGGT (SEQ ID NO:102);

(vi) the Hoogsteen binding segment comprises the sequence TJTTTTTJJ (SEQ ID NO:108) and the Watson-Crick binding segment comprises the sequence CCTTTTTTCT (SEQ ID NO:104) or CCTTTTTTCTGGCTAAGT (SEQ ID NO:105);

(vii) the Hoogsteen binding segment comprises the sequence TJTTTTT (SEQ ID NO:118) Watson-Crick binding segment comprises the sequence TTTTTCT (SEQ ID NO:111) or TTTTTCTGTAATTTTAA (SEQ ID NO:112);

(viii) the Hoogsteen binding segment comprises the sequence TJJTJJT (SEQ ID NO:119) and the Watson-Crick binding segment comprises the sequence TCTTTCTCT (SEQ ID NO:114) or TCTTTCTCTGCAAACCTT (SEQ ID NO:115); or

(ix) the Hoogsteen binding segment comprises the sequence TTTJTTT (SEQ ID NO:120) and the Watson-Crick binding segment comprises the sequence TTTCTTT (SEQ ID NO:116) or TTTCTTTAAGAACGAGCA (SEQ ID NO:117);

wherein "J" is pseudoisocytosine.

10. The triplex forming composition of claim 9, wherein the segments are linked and form a molecule having the sequence:

(i) lys-lys-lys-TJTJJTTT-OOO-TTTCCTCTATGGGTAAG-lys-lys-lys (SEQ ID NO:93) (hCFPNA2);

(ii) lys-lys-lys- TJTTJJJJ-OOO- CCTCTTCTAGTTGGCAT -lys-lys-lys (SEQ ID NO:94) (hCFPNA1);

(iii) lys-lys-lys-TTJJJJTJJ-OOO- CTTTCCCTTGTATCTTTT -lys-lys-lys (SEQ ID NO:95) (hCFPNA3),

(iv) lys-lys-lys-JTTJJTJJTTT-OOO-TTTCTCCTTCAGTGTTCA- lys-lys-lys (SEQ ID NO:155) (tcPNA-1236);

(v) lys-lys-lys- TTTTJJT-OOO-TCCTTTTGCTCACCTGTGGT - lys-lys-lys (SEQ ID NO:156) (tcPNA-1314);

- (vi) lys-lys-lys- TJTTTTTTJJ-OOO-CCTTTTTCTGGCTAAGT- lys-lys-lys (SEQ ID NO:157) (tcPNA-1329);
- (vii) lys-lys-lys-TJTTTTT-OOO-TTTTTCTGTAATTTTAA - lys-lys-lys (SEQ ID NO:121) (tcPNA-302);
- (viii) lys-lys-lys- TJTJTJT-OOO-TCTTCTCTGCAAACTT- lys-lys-lys (SEQ ID NO:122) (tcPNA-529);
- (ix) lys-lys-lys- TTTJTJT-OOO-TTTCTTAAGAACGAGCA - lys-lys-lys (SEQ ID NO:123) (tcPNA-586); or
- (x) lys-lys-lys-TJTJTJT-OOO-TTTCCTCTATGGGTAAG-lys-lys-lys (SEQ ID NO:93);

wherein “J” is pseudoisocytosine and O= flexible 8-amino-3,6-dioxaoctanoic acid, 6-aminohexanoic acid monomers.

11. The triplex forming composition of any one of claims 1-5, wherein

(i) the Hoogsteen binding segment comprises the sequence JTJTJTJT (SEQ ID NO:132) and the Watson-Crick binding segment comprises the sequence TCTTCTCTC (SEQ ID NO:126) or TCTTCTCTCATTTC (SEQ ID NO:127);

(ii) the Hoogsteen binding segment comprises the sequence JTJT (SEQ ID NO:133) and the Watson-Crick binding segment comprises the sequence TCTTC (SEQ ID NO:129), TCTTCTCTC (SEQ ID NO:130), or TCTTCTCTCATTTC (SEQ ID NO:131);

wherein “J” is pseudoisocytosine.

12. The triplex forming composition of claim 5, wherein the segments are linked and form a molecule having the sequence:

(i) Lys-Lys-Lys-JTJTJTJT-OOO-TCTTCTCTCATTTC - Lys-Lys-Lys (SEQ ID NO:134) (PNA-679); or

(ii) Lys-Lys-Lys-JTJT-OOO-TCTTCTCTCATTTC-Lys-Lys-Lys (SEQ ID NO:135) (tcPNA-684),

wherein “J” is pseudoisocytosine and O= flexible 8-amino-3,6-dioxaoctanoic acid, 6-aminohexanoic acid monomers.

13. The triplex forming composition of any one of claims 1-5, wherein

(i) the Hoogsteen binding segment comprises the sequence TTJJJT (SEQ ID NO:148) the Watson-Crick binding segment comprises the

sequence TCCCCTT (SEQ ID NO:146) or TCCCCTTGGTGAAGG (SEQ ID NO:147); or

(ii) the Hoogsteen binding segment comprises the sequence JJTTJT (SEQ ID NO:153) the Watson-Crick binding segment comprises the sequence TCTTCC (SEQ ID NO:151) or TCTTCCGAGCAG (SEQ ID NO:152);

wherein "J" is pseudoisocytosine.

14. The triplex forming composition of claim 5, wherein the segments are linked and form a molecule having the sequence:

(i) Lys-Lys-Lys-TTJJJT-OOO-TCCCCTTGGTGAAGG-Lys-Lys-Lys (SEQ ID NO:159) (IDUA402tc715); or

(ii) Lys-Lys-Lys-JJTTJT-OOO-TCTTCCGAGCAG-Lys-Lys-Lys (SEQ ID NO:153) (IDUA402tc715);

wherein "J" is pseudoisocytosine and O= flexible 8-amino-3,6-dioxaoctanoic acid, 6-aminohexanoic acid monomers.

15. The triplex forming composition of any one of claims 1-14, wherein all of the peptide nucleic acid monomers in the Hoogsteen-binding segment only, in the Watson-Crick-binding segment only, or across the entire PNA oligomer are  $\gamma$ PNA monomers.

16. The triplex forming composition of claim 15, wherein one or more of the peptide nucleic acid monomers in the Hoogsteen-binding segment only or in the Watson-Crick-binding segment only of the PNA oligomer are PNA and  $\gamma$ PNA..

17. The triplex forming composition of any one of claims 1-14, wherein alternating residues in the Hoogsteen-binding portion only, in the Watson-Crick-binding portion only, or across the entire PNA oligomer are PNA and  $\gamma$ PNA.

18. The triplex forming composition of any one of claims 8, 10, 12, or 14, wherein the bolded and underlined residues are  $\gamma$ PNA monomers.

19. The triplex forming composition of any one of claims 1-18, wherein the side chain at the  $\gamma$  of the  $\gamma$ PNA monomer(s) comprises a side chain of an amino acid selected from the group consisting of alanine, serine, threonine, cysteine, valine, leucine, isoleucine, methionine, proline, phenylalanine,

tyrosine, aspartic acid, glutamic acid, asparagine, glutamine, histidine, lysine, arginine, and the derivatives thereof.

20. The triplex forming composition of any one of claims 1-18, wherein the side chain at the  $\gamma$  of the  $\gamma$ PNA monomer(s) is diethylene glycol (“miniPEG”).

21. The triplex forming composition of any one of claims 1-19, wherein one or more of the cytosines is replaced with a clamp-G (9-(2-guanidinoethoxy) phenoxazine).

22. The triplex forming composition of any one of claims 1-21 further comprising a donor oligonucleotide comprising a sequence that can correct a mutation(s) in the cell's genome by triplex forming molecule-induced or enhanced recombination.

23. The triplex forming composition of any one of claims 1-22 further comprising nanoparticles, wherein the PNA segments, donor oligonucleotides, or a combination thereof are packaged in the same or separate nanoparticles.

24. The triplex forming composition of claim 23, wherein the nanoparticle comprises poly(lactic-co-glycolic acid) (PLGA).

25. The triplex forming composition of claim 24, wherein the nanoparticles comprise poly(beta-amino) esters (PBAEs).

26. The triplex forming composition of claim 25, wherein the nanoparticles comprise a blend of PLGA and PBAE comprising about between about 5 and about 25 percent PBAE (wt%).

27. The triplex forming composition of any one of claims 23-26, wherein the nanoparticle is prepared by double emulsion or nanoprecipitation.

28. The triplex forming composition of any one of claims 1-27 further comprising a targeting moiety, a cell penetrating peptide, or a combination thereof associated with, linked, conjugated, or otherwise attached directly or indirectly to the PNA segments or the nanoparticles.

29. The triplex forming composition of claim 28, wherein the cell penetrating peptide comprises the sequence GALFLGFLGAAGSTMGAWS QPKKKRKV (SEQ ID NO:12) (MPG (Synthetic chimera: SV40 Lg T. Ant.+HIV gb41 coat)).

30. The triplex forming composition of any one of claims 1-29 further comprising a gene editing potentiating agent selected from the group consisting of receptor tyrosine kinase C-kit ligands, ATR-Chk1 cell cycle checkpoint pathway inhibitors, a DNA polymerase alpha inhibitors, and heat shock protein 90 inhibitors (HSP90i).
31. The triplex forming composition of claim 30, wherein the C-kit ligand is a stem factor protein or fragment thereof sufficient to causes dimerization of C-kit and activates its tyrosine kinase activity.
32. The triplex forming composition of claim 31, wherein the C-kit ligand is a nucleic acid encoding a stem factor protein or fragment thereof sufficient to causes dimerization of C-kit and activates its tyrosine kinase activity.
33. The triplex forming composition of claim 32, wherein the nucleic acid is an mRNA or an expression vector.
34. The triplex forming composition of claim 30, wherein the ATR-Chk1 cell cycle checkpoint pathway inhibitor is selected from the group consisting of AZD7762, SCH900776/ MK-8776, IC83/ LY2603618, LY2606368, GDC-0425, PF-00477736, XL844, CEP-3891, SAR-020106, CCT-244747, Arry-575, SB218075, Schisandrin B, NU6027, NVP-BEZ235, VE-821, VE-822 (VX-970), AZ20, AZD6738, MIRIN, KU5593, VE-821, NU7441, LCA, and L189.
35. The triplex forming composition of claim 30, wherein the DNA polymerase alpha inhibitor is aphidicolin.
36. The triplex forming composition of claim 30, wherein the heat shock protein 90 inhibitor is selected from the group consisting of (HSP90i) STA-9090 (ganetespib), geldanamycin (GA), 17-AAG (17-Allylamino-17-demethoxy-geldanamycin), 17-DMAG (17-dimethylaminoethylamino-17-demethoxy-geldanamycin), (Alvespimycin) IPI-504 (Retaspimycin), and AU922.
37. A method of modifying the genome of a cell comprising contacting the cell with an effective amount of
- (i) a gene editing potentiating agent selected from the group consisting of receptor tyrosine kinase C-kit ligands, ATR-Chk1 cell cycle

checkpoint pathway inhibitors, DNA polymerase alpha inhibitors, and heat shock protein 90 inhibitors (HSP90i), and

(ii) a gene editing technology that can induce genomic modification of the cell selected from the group consisting of triplex forming molecules, pseudocomplementary oligonucleotides, a CRISPR system, zinc finger nucleases (ZFN), transcription activator-like effector nucleases (TALEN), small fragment homologous replacement, and intron encoded meganucleases;

wherein genomic modification occurs at a higher frequency in a population of cells contacted with both (i) and (ii), than in an equivalent population contacted with (ii) in the absence of (i).

38. The method of claim 37 further comprising contacting the cells with a donor oligonucleotide comprising a sequence that corrects a mutation(s) in the cell's genome by insertion or recombination induced or enhanced by the gene editing technology.

39. The method of claim 38, wherein the cell's genome has mutation underlying a disease or disorder selected from the group consisting of hemophilia, globinopathies, cystic fibrosis, xeroderma pigmentosum, and lysosomal storage diseases.

40. The method of claims 39, wherein the globinopathy is sickle cell anemia or beta-thalassemia.

41. The method of claim 39, wherein the lysosomal storage disease is Gaucher's disease, Fabry disease, Hurler syndrome.

42. The method of claim 37 further comprising contacting the cells with a donor oligonucleotide comprising a sequence that induces a mutation(s) in the cell's genome by insertion or recombination induced or enhanced by the gene editing technology.

43. The method of claim 42, wherein the mutation reduces HIV infection by reducing an activity of a cell surface receptor that facilitates entry of HIV into the cell.

44. The method of any one of claims 37-43, wherein the contacting occurs *ex vivo*.

45. The method of claim 44, wherein the cells are hematopoietic stem cells.
46. The method of any one of claims 43-45, further comprising administering the cells to a subject in need thereof.
47. The method of claim 46, wherein the cells are administered to the subject in an effective amount to treat one or more symptoms of a disease or disorder.
48. The method of any one of claims 37-43 wherein the contacting occurs in vivo following administration of (i), (ii), and optionally the donor oligonucleotide to a subject in need thereof.
49. The method of claim 48, wherein the subject has a disease or disorder selected from the group consisting of hemophilia, globinopathies, cystic fibrosis, xeroderma pigmentosum, and lysosomal storage diseases.
50. The method of claim 49, wherein gene modification occurs in an effective amount to reduce one or more symptoms of the disease or disorder in the subject.
51. The method of any one of claims 37-50, wherein (i), (ii), the donor oligonucleotide or a combination thereof packaged together or separately in nanoparticles.
52. The method of claim 51, wherein the nanoparticles comprise polyhydroxy acids.
53. The method of claim 52, wherein the nanoparticles comprise poly(lactic-co-glycolic acid) (PLGA).
54. The method of claim 53, wherein the nanoparticles comprise a blend of PLGA and poly(beta-amino) esters (PBAEs) comprising about between about 5 and about 25 percent PBAE (wt%).
55. The method of any one of claims 43-46, wherein the nanoparticles are prepared by double emulsion or nanoprecipitation.
56. The method of any one of claims 37-55 further comprising a targeting moiety, a cell penetrating peptide, or a combination thereof associated with, linked, conjugated, or otherwise attached directly or indirectly to the potentiating agent, the gene editing technology, or the nanoparticle.

57. The method of claim 56, wherein the cell penetrating peptide comprises the sequence GALFLGFLGAAGSTMGAWS QPKKKRKV (SEQ ID NO:12) (MPG (Synthetic chimera: SV40 Lg T. Ant.+HIV gb41 coat)).
58. The method of any one of claims 37-57, wherein (i) is contacted with the cell prior to (ii).
59. The method of any one of claims 37-57, wherein (ii) is contact with the cell prior to or at the same time as (i).
60. The method of any one of claims 37-59, wherein the C-kit ligand is a stem factor protein or fragment thereof sufficient to causes dimerization of C-kit and activates its tyrosine kinase activity.
61. The method of any one of claims 37-59, wherein the C-kit ligand is a nucleic acid encoding a stem factor protein or fragment thereof sufficient to causes dimerization of C-kit and activates its tyrosine kinase activity.
62. The method of claim 61, wherein the nucleic acid is an mRNA or an expression vector.
63. The method of any one of claims 37-51, wherein the ATR-Chk1 cell cycle checkpoint pathway inhibitor is selected from the group consisting of AZD7762, SCH900776/ MK-8776, IC83/ LY2603618, LY2606368, GDC-0425, PF-00477736, XL844, CEP-3891, SAR-020106, CCT-244747, Arry-575, SB218075, Schisandrin B, NU6027, NVP-BEZ235, VE-821, VE-822 (VX-970), AZ20, AZD6738, MIRIN, KU5593, VE-821, NU7441, LCA, and L189.
64. The method of any one of claims 37-51, wherein the DNA polymerase alpha inhibitor is aphidicolin.
65. The method of any one of claims 37-51, wherein the heat shock protein 90 inhibitor is selected from the group consisting of (HSP90i) STA-9090 (ganetespib), geldanamycin (GA), 17-AAG (17-Allylamino-17-demethoxy-geldanamycin), 17-DMAG (17-dimethylaminoethylamino-17-demethoxy-geldanamycin), (Alvespimycin) IPI-504 (Retaspimycin), and AUY922.
66. A method of modifying the genome of a cell comprising contacting the cell with an effective amount of

(i) a gene editing potentiating agent selected from the group consisting of receptor tyrosine kinase C-kit ligands and ATR-Chk1 cell cycle checkpoint pathway inhibitors, and

(ii) the triplex forming composition of any one of claims 1-36;

wherein genomic modification occurs at a higher frequency in a population of cells contacted with both (i) and (ii), then in an equivalent population contacted with (ii) in the absence of (i).

67. An isolated cell genetically modified according to the method of any of claims 37-66.

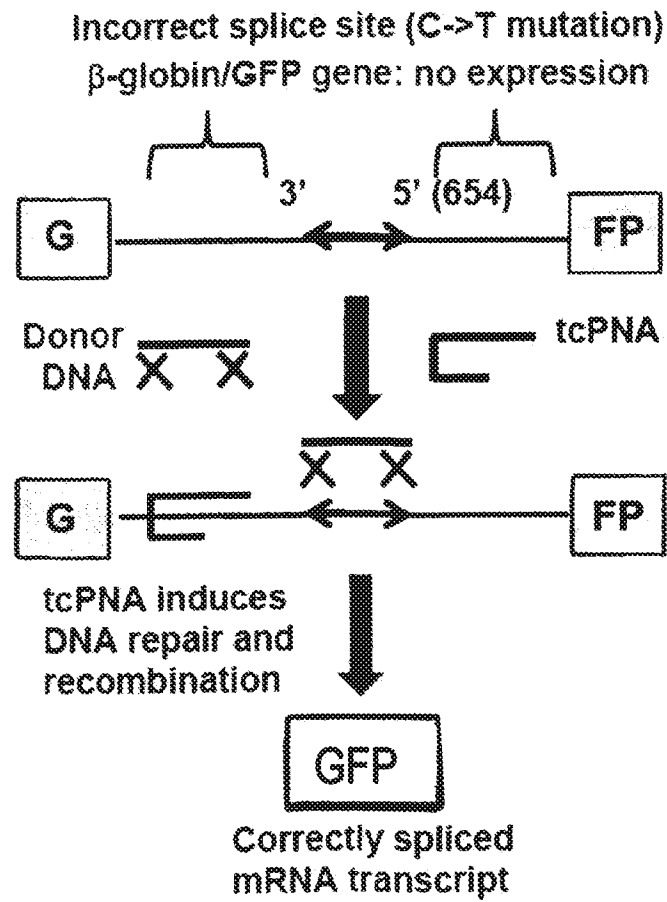
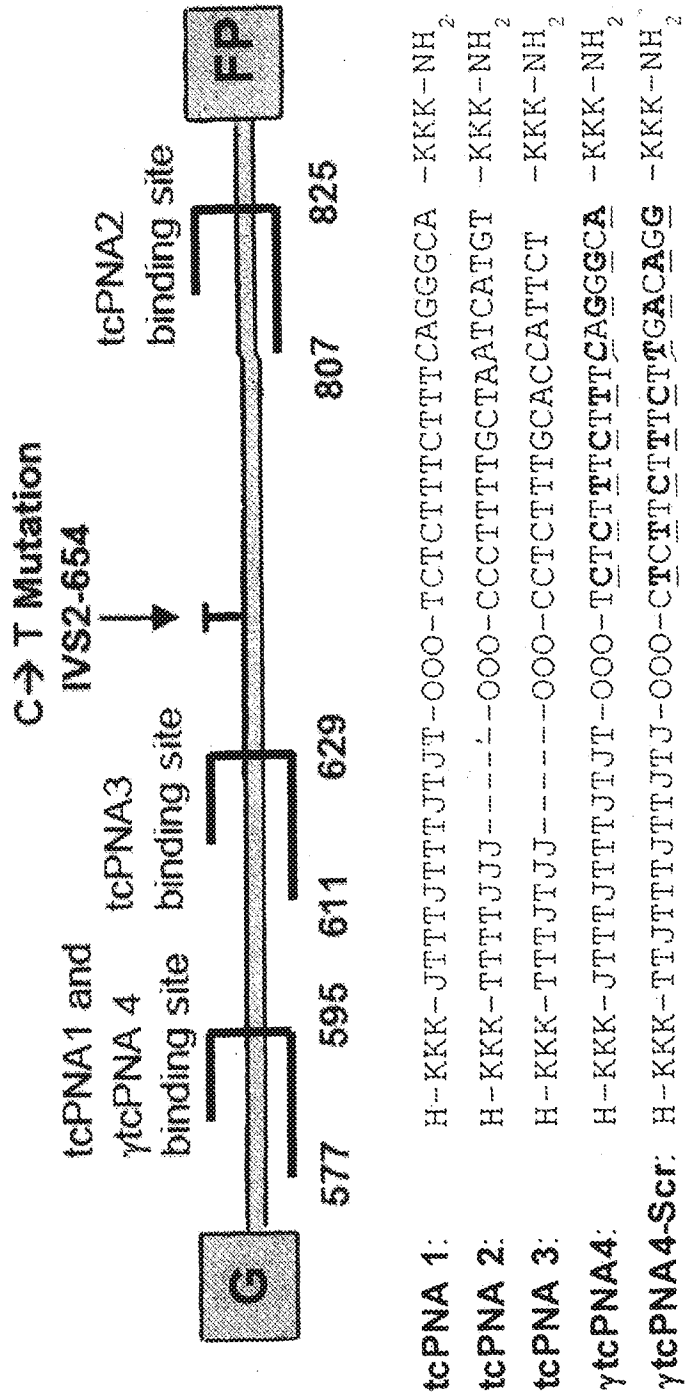


FIG. 1A

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**FIG. 1B**

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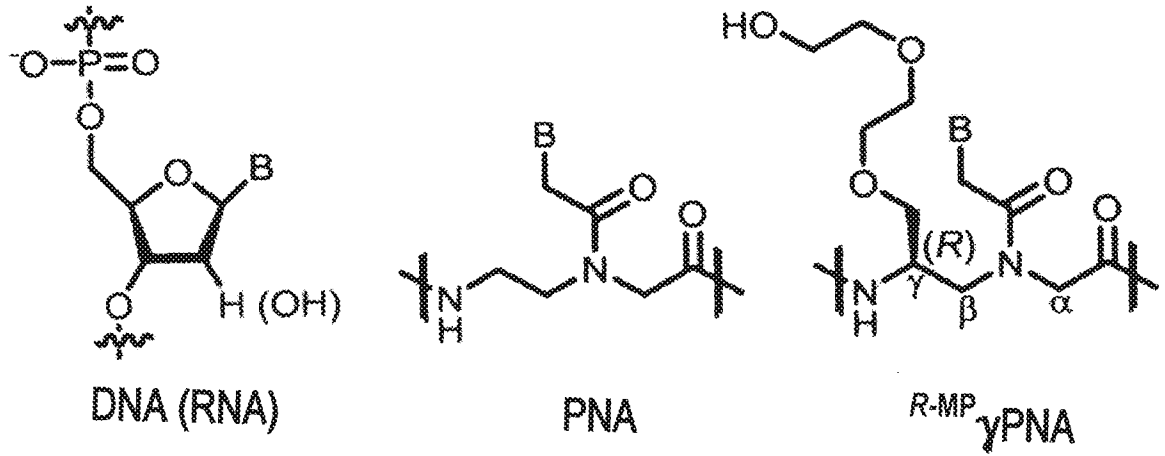


FIG. 1C

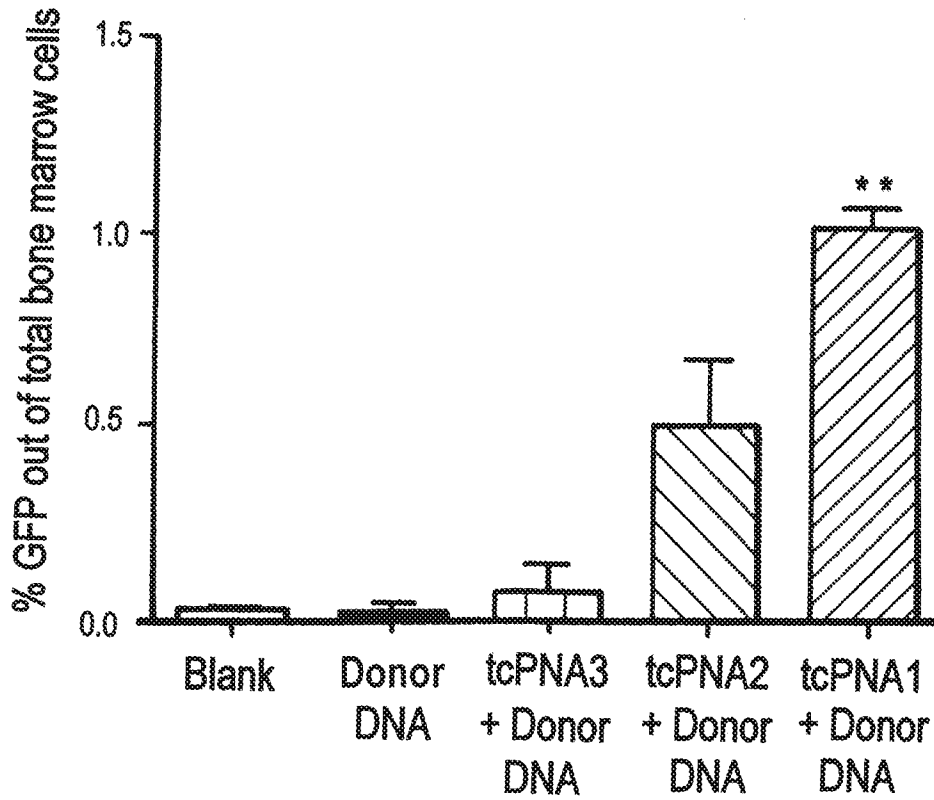
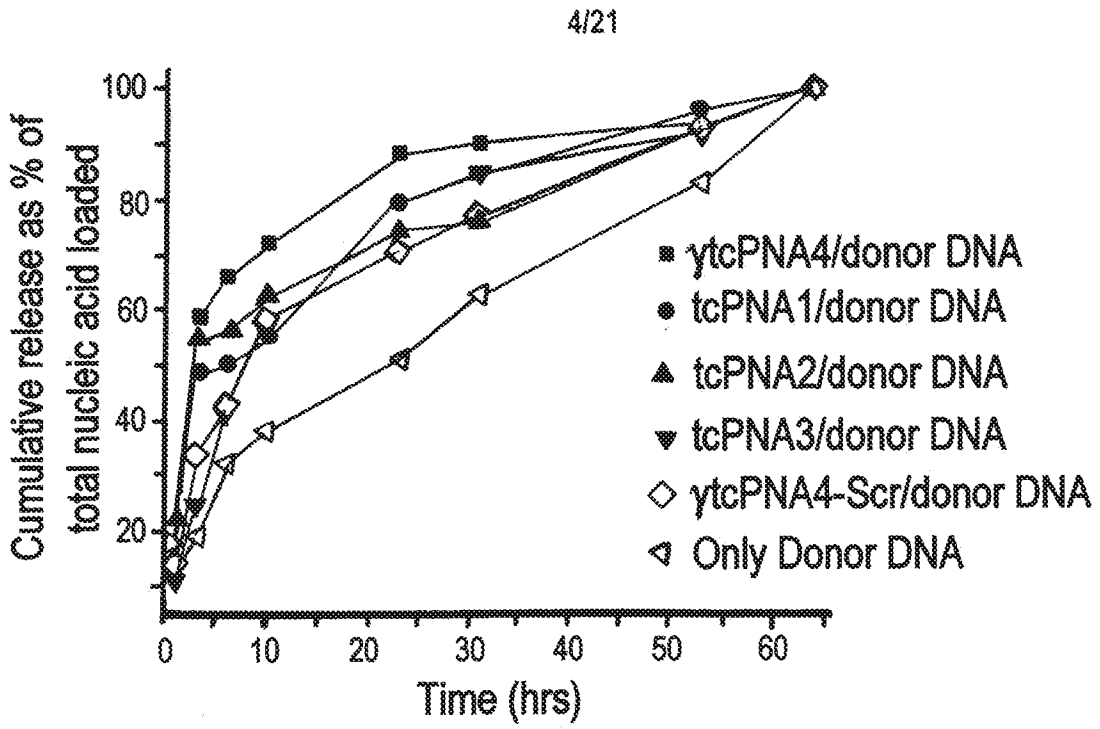
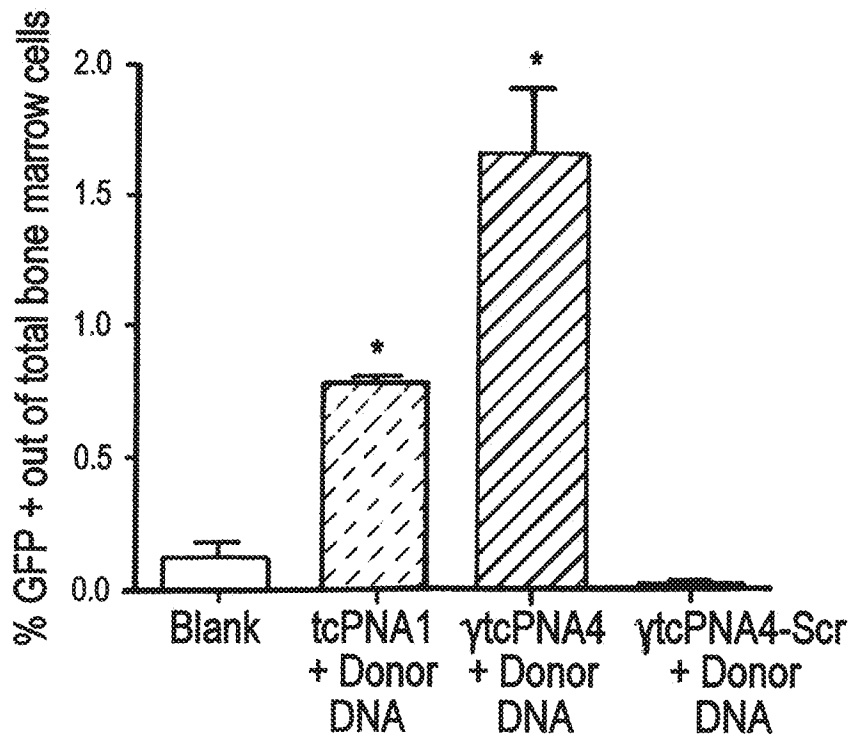


FIG. 1D



**FIG. 1E**



**FIG. 1F**

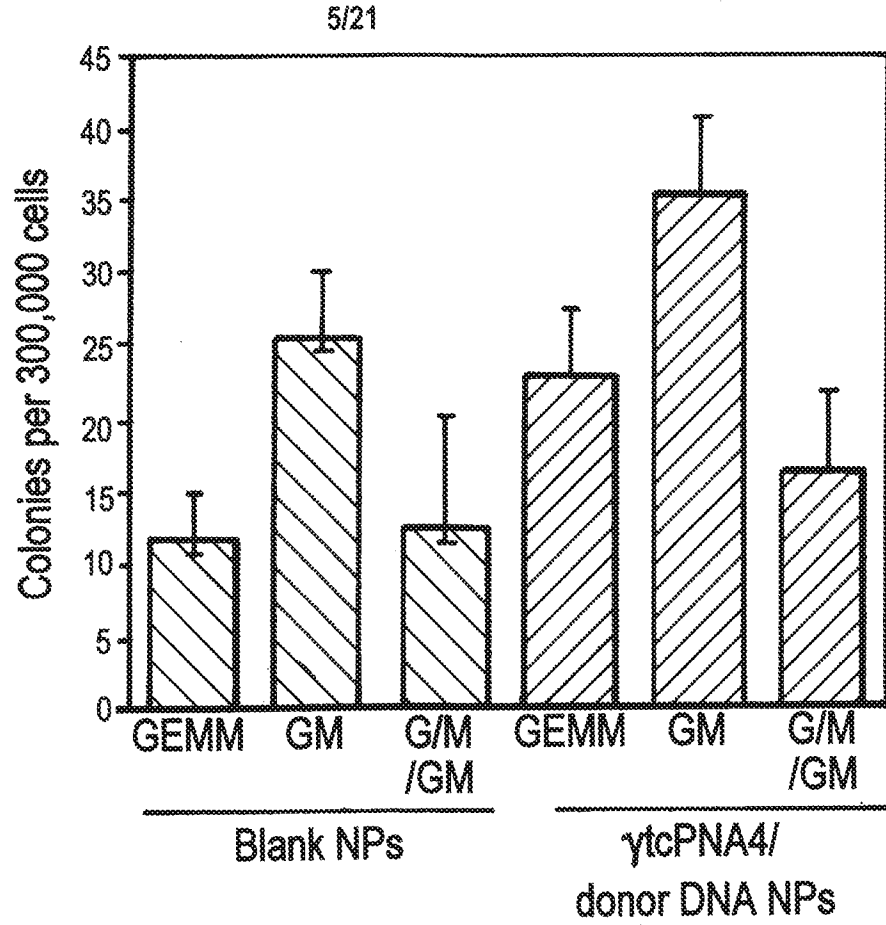


FIG. 1G

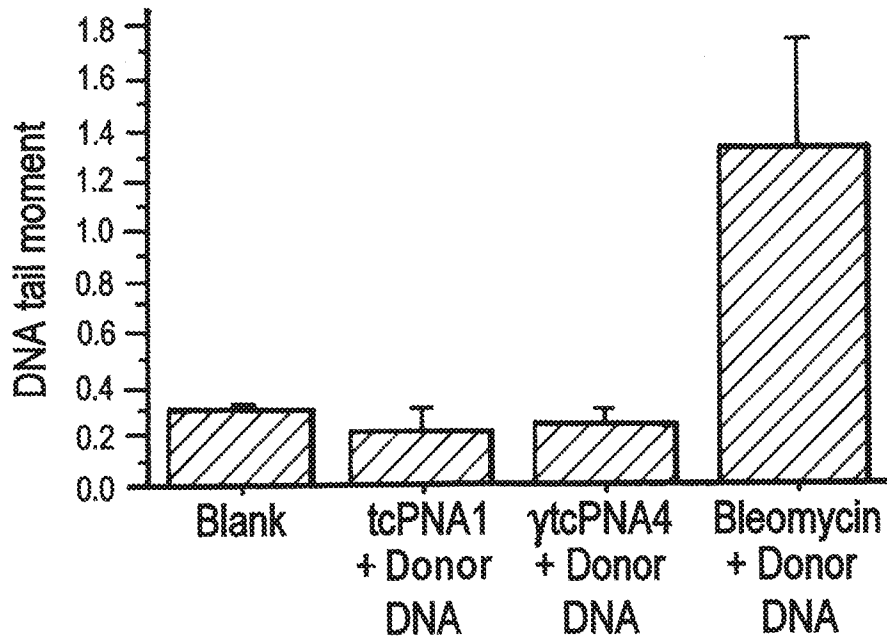


FIG. 1H

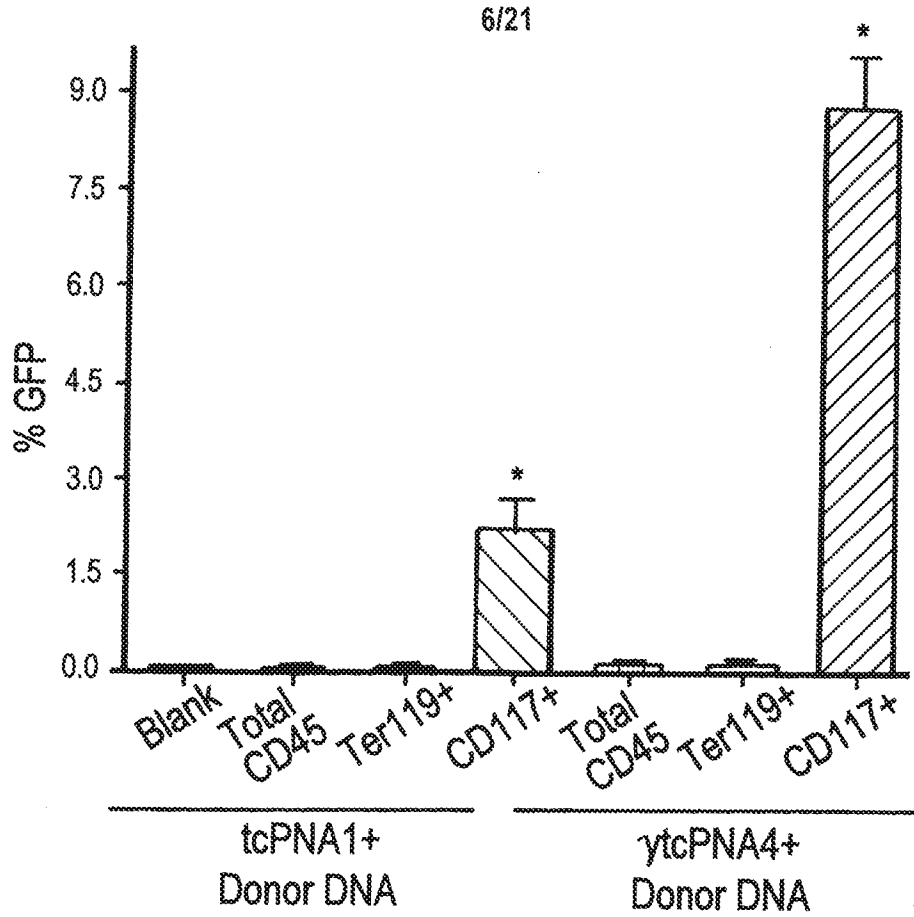


FIG. 2A

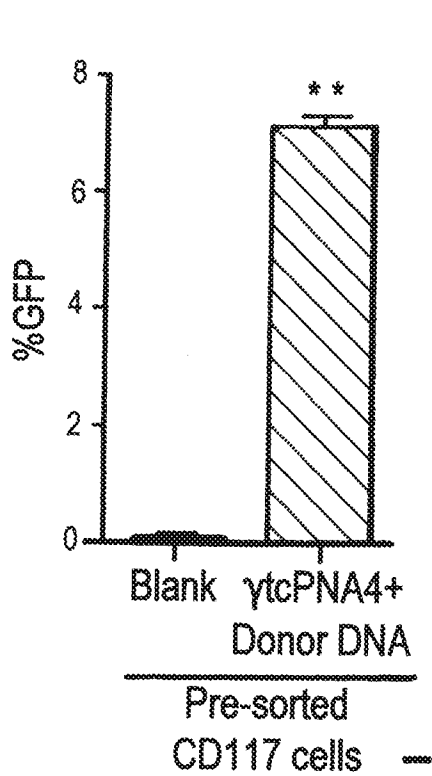


FIG. 2B

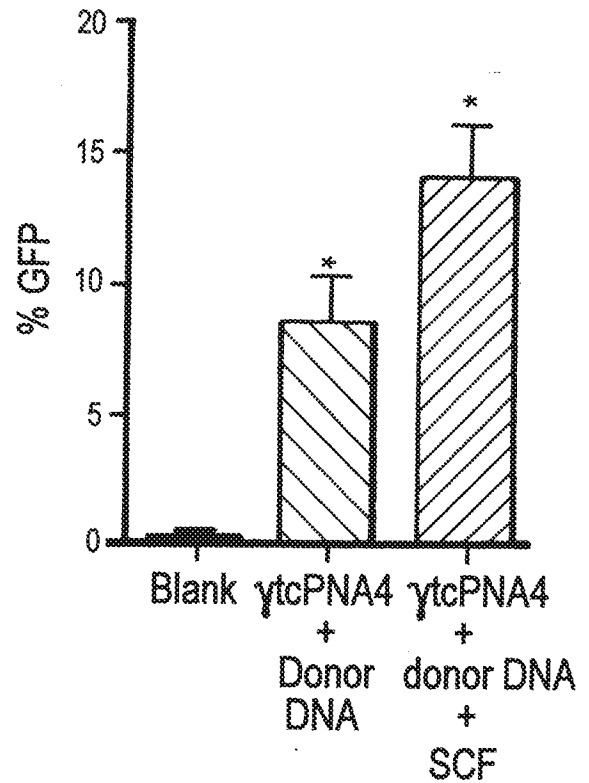


FIG. 2C

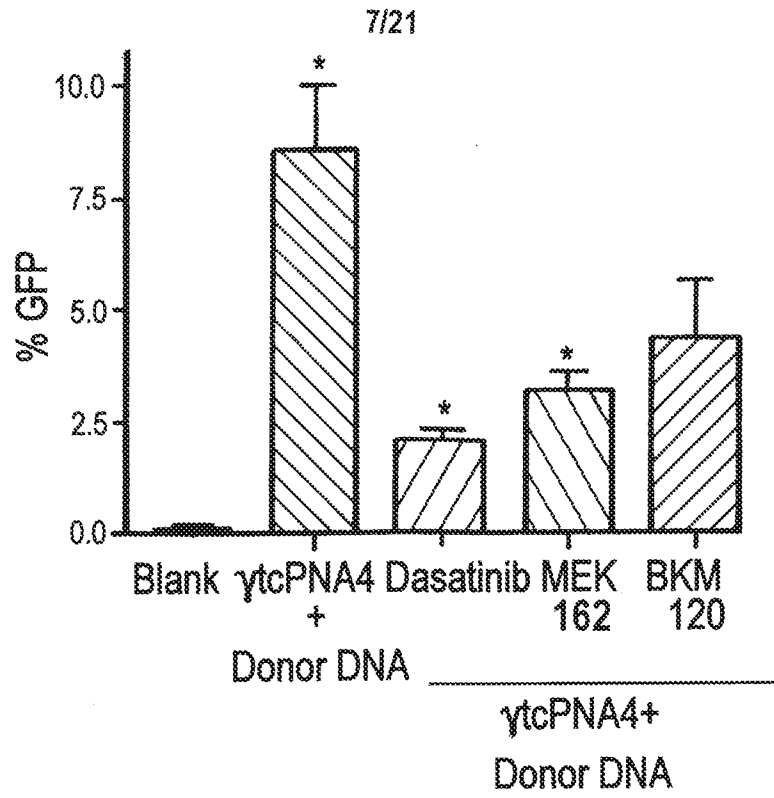


FIG. 2D

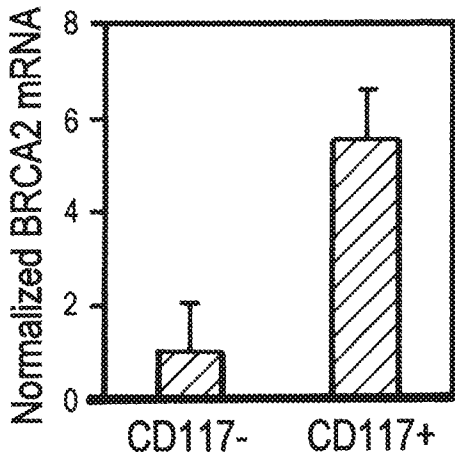


FIG. 2E

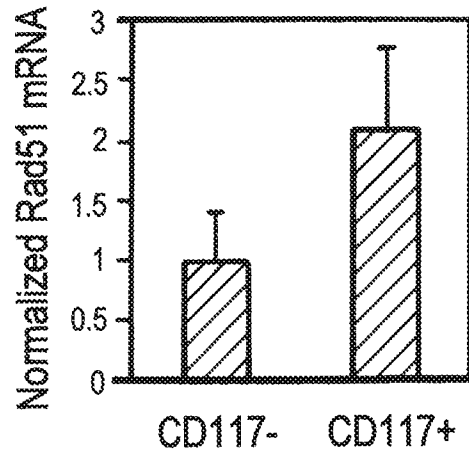


FIG. 2F

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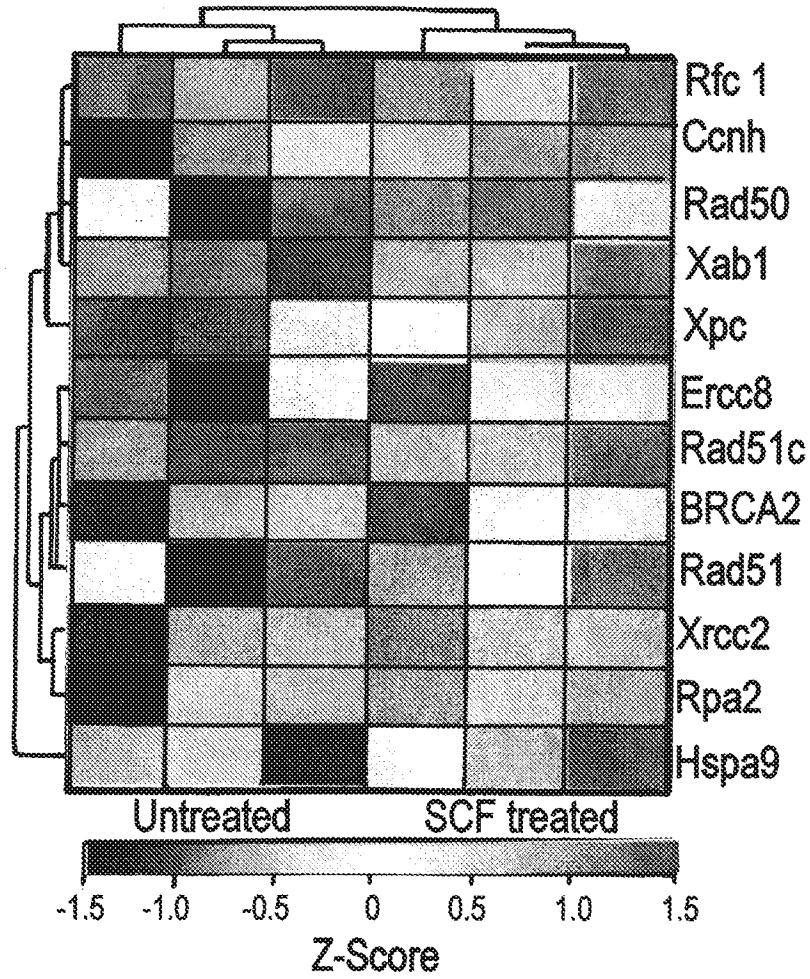


FIG. 2G

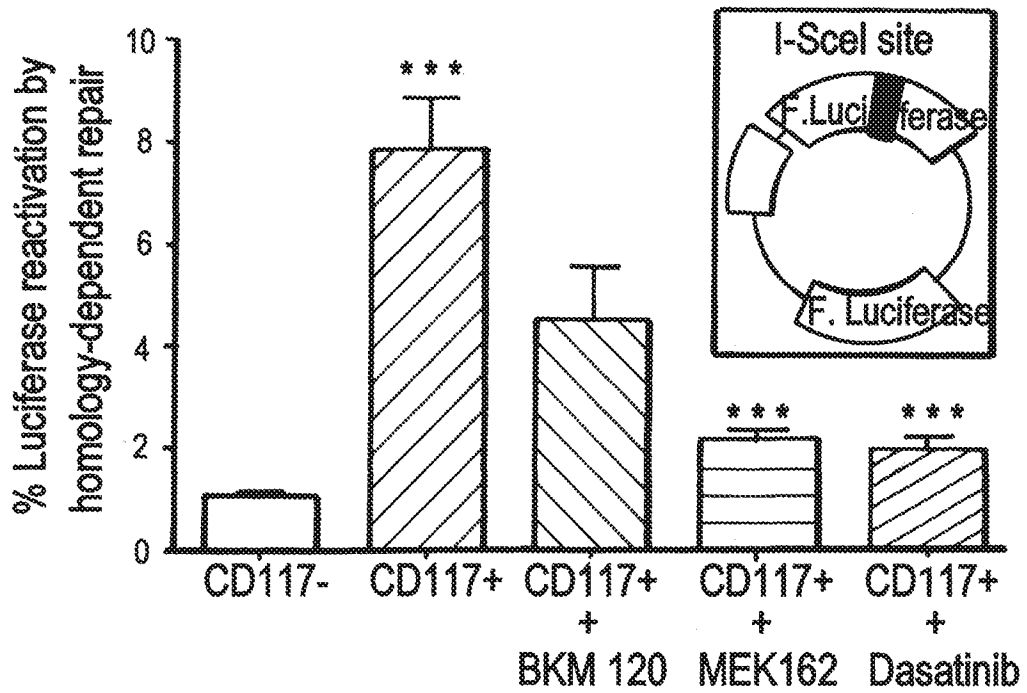


FIG. 2H

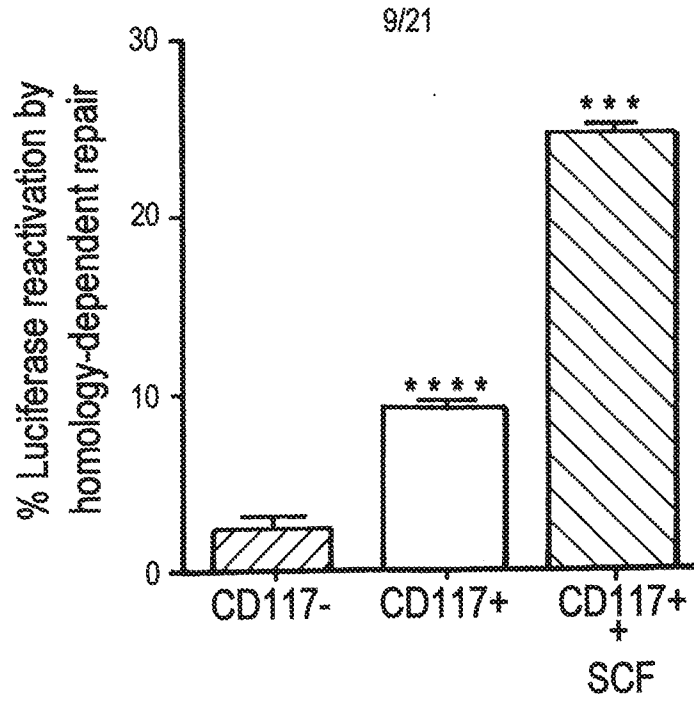


FIG. 2I

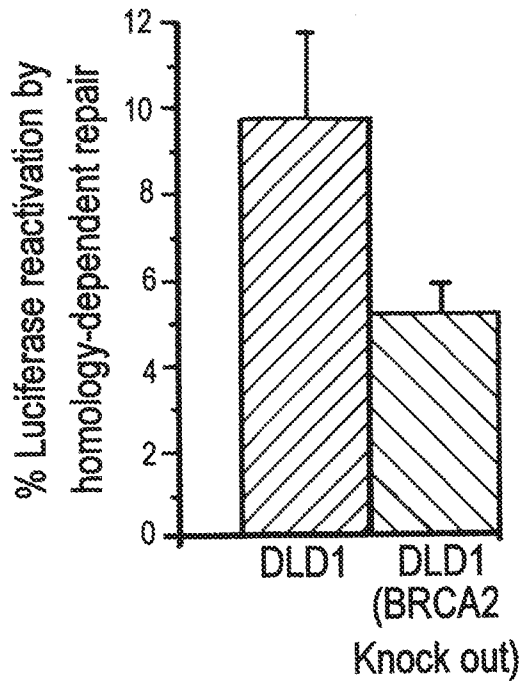


FIG. 2J

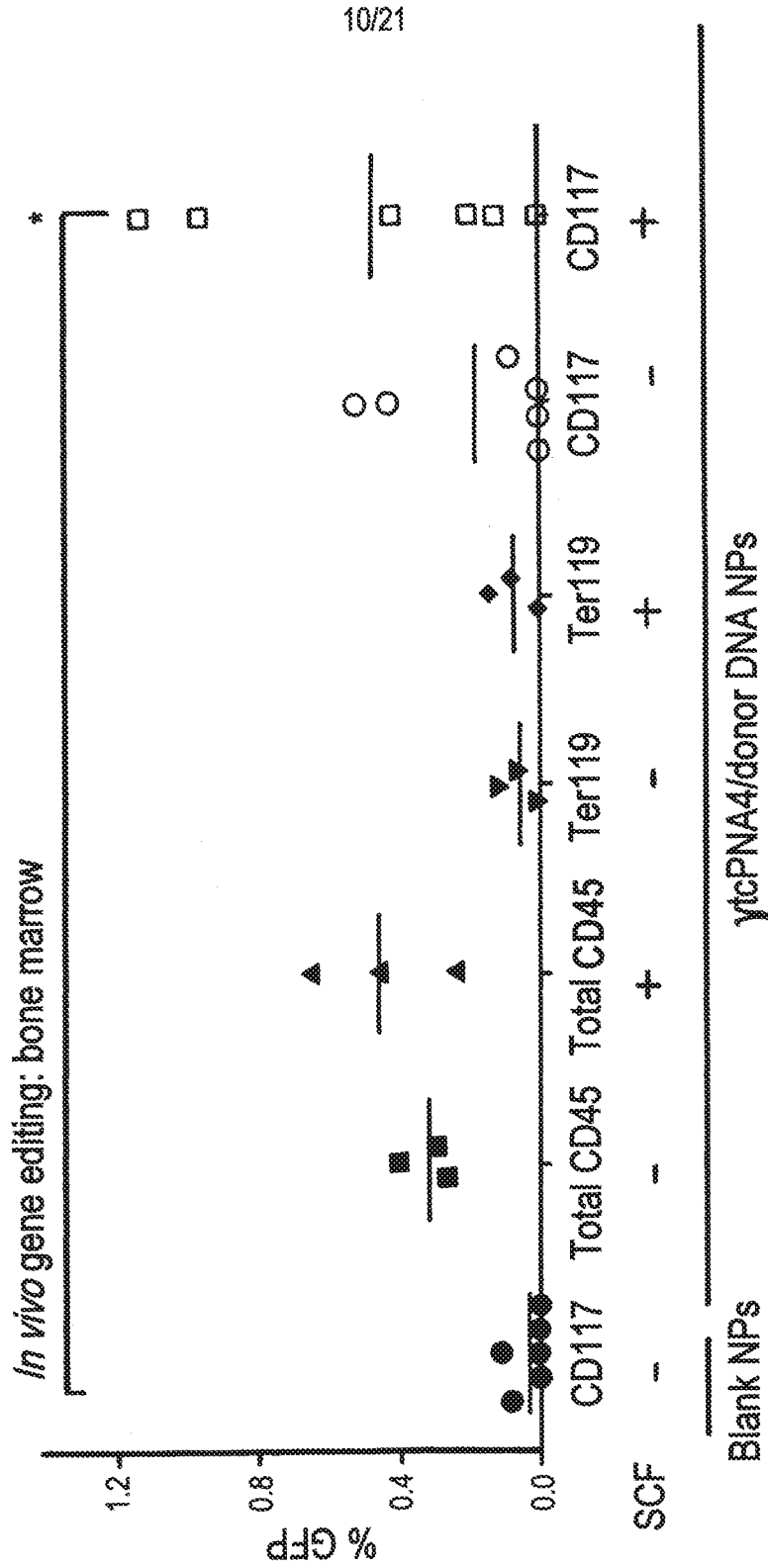
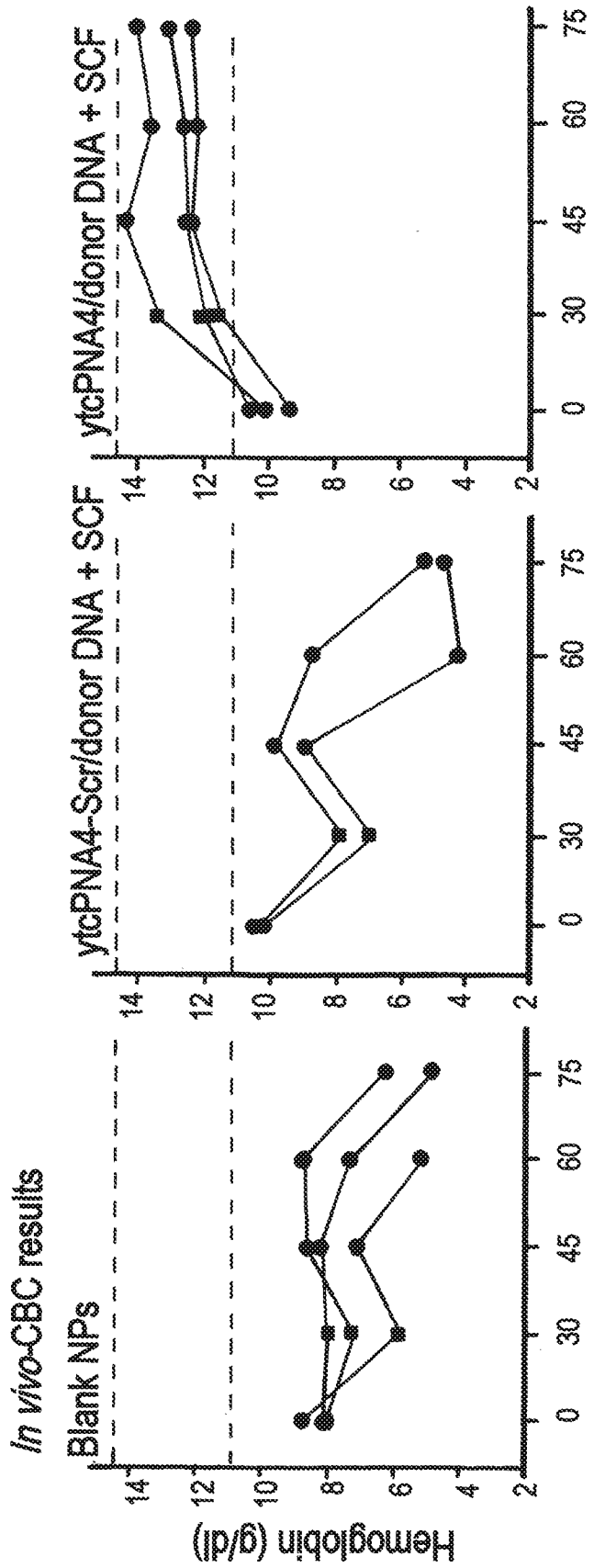


FIG. 3A

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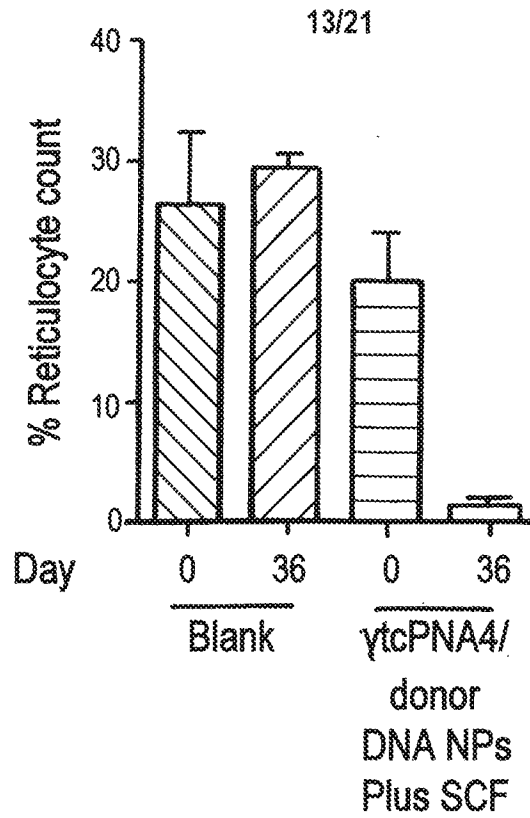


Number of days following the last nanoparticle treatment

FIG. 4A

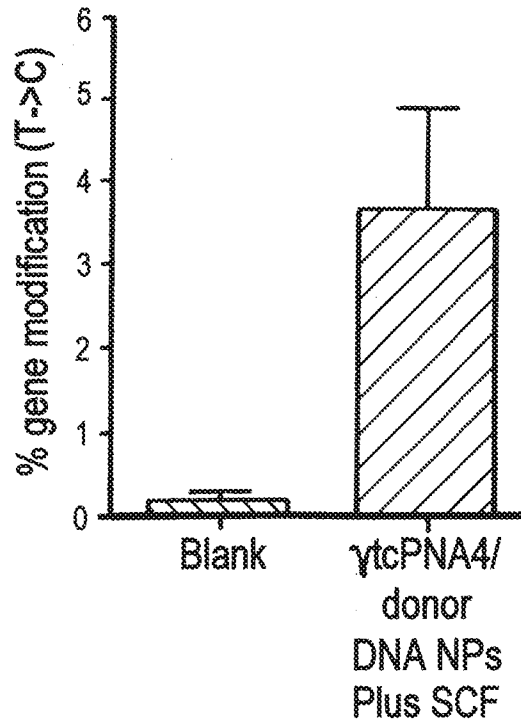
FIG. 4B

FIG. 4C



**FIG. 4D**

*In vivo* deep sequencing  
results: Bone marrow



**FIG. 4E**

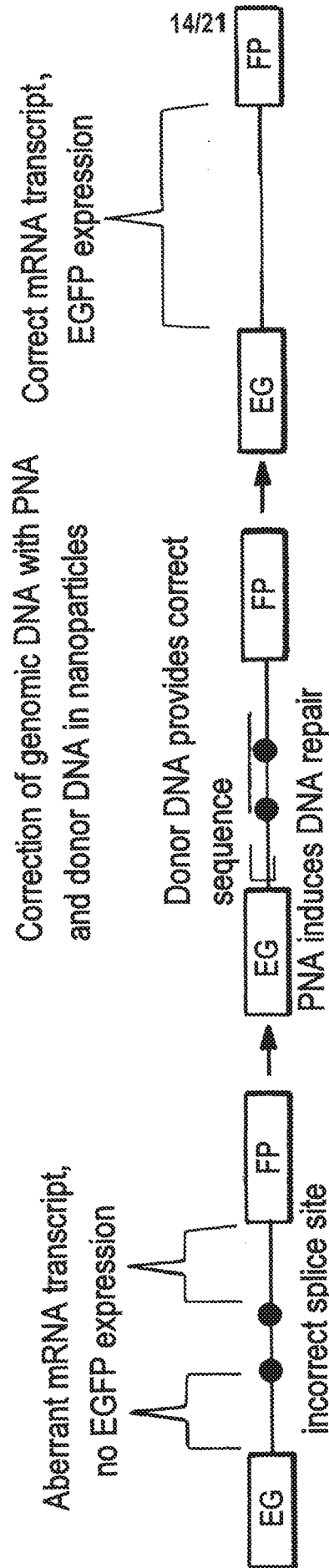


FIG. 5A

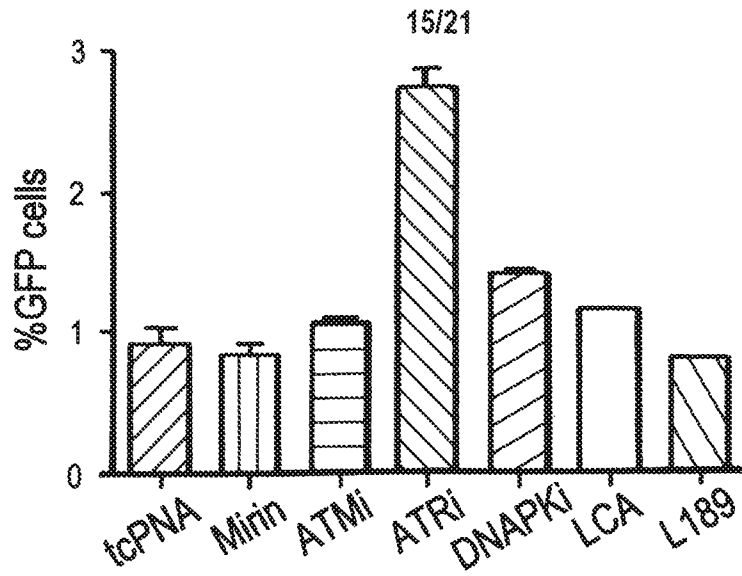


FIG. 5B

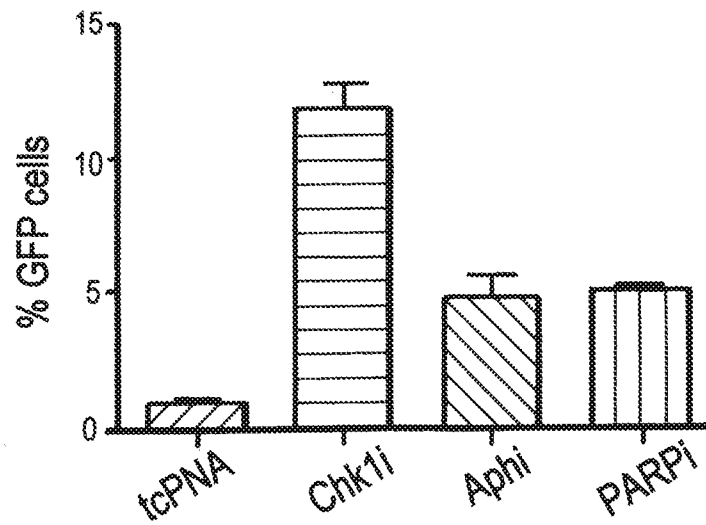


FIG. 5C

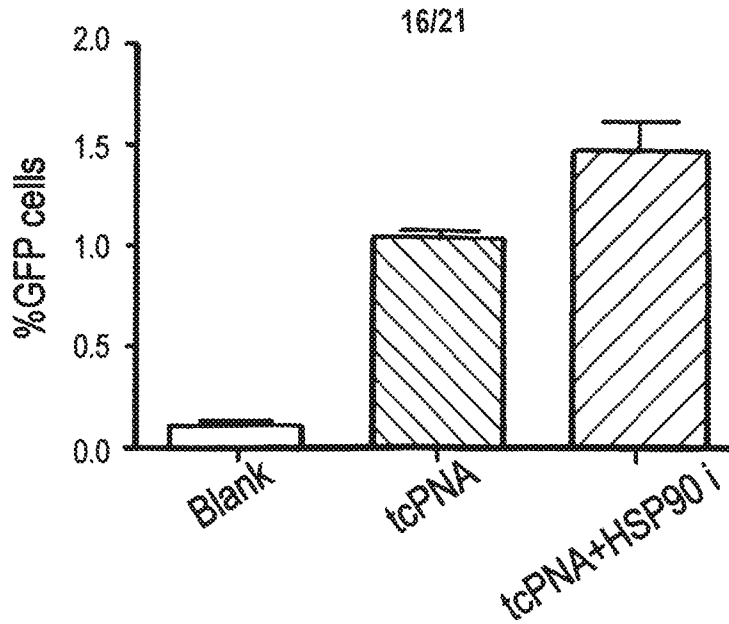


FIG. 5D

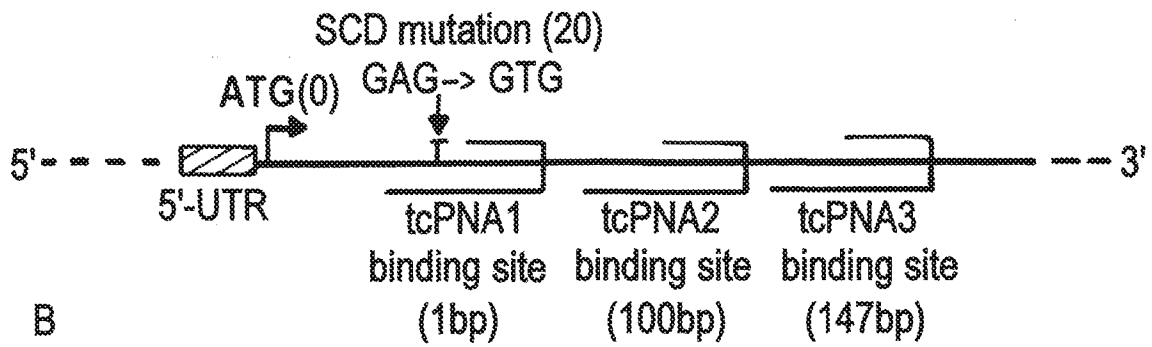


FIG. 6A

tcPNA 1: H-KKK-JJTJTTJ-OOO-CTTCTCAAAGGAGT-KKK-NH<sub>2</sub>  
 tcPNA 2: H-KKK-TTJJTJT-OOO-TCTCCTTAAACCTGT-KKK-NH<sub>2</sub>  
 tcPNA 3: H-KKK-TJTJTTJT-OOO-TCTTCTGTCTCCAC-KKK-NH<sub>2</sub>

FIG. 6B

Sense donor DNA: 5'-ACAGACACCATGGTGCACCTGACTCCTGAGGAGAAGTC  
 TGCCGTTACTGCC-3'

FIG. 6C

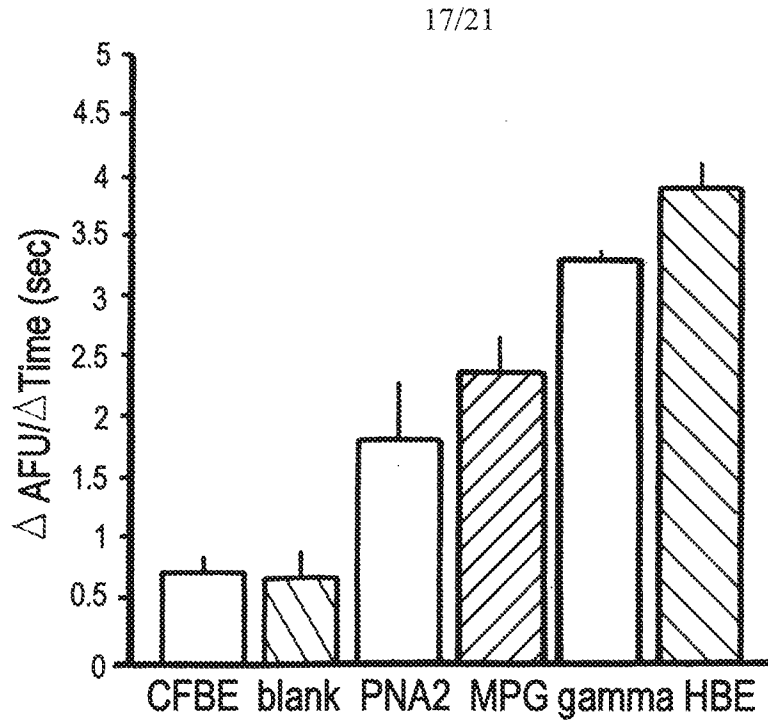


FIG. 7A

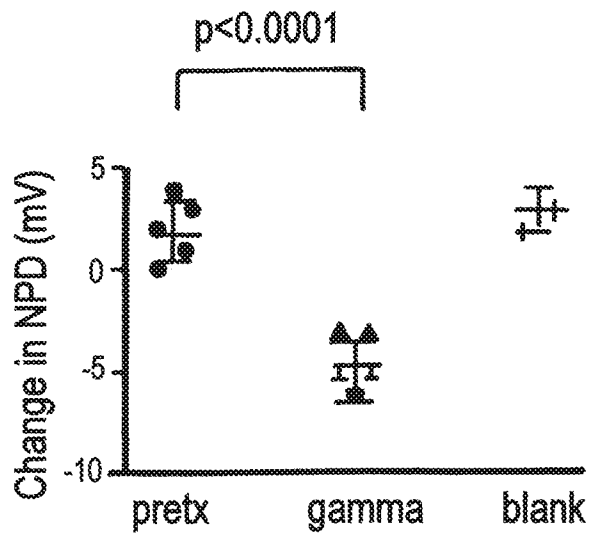


FIG. 7B

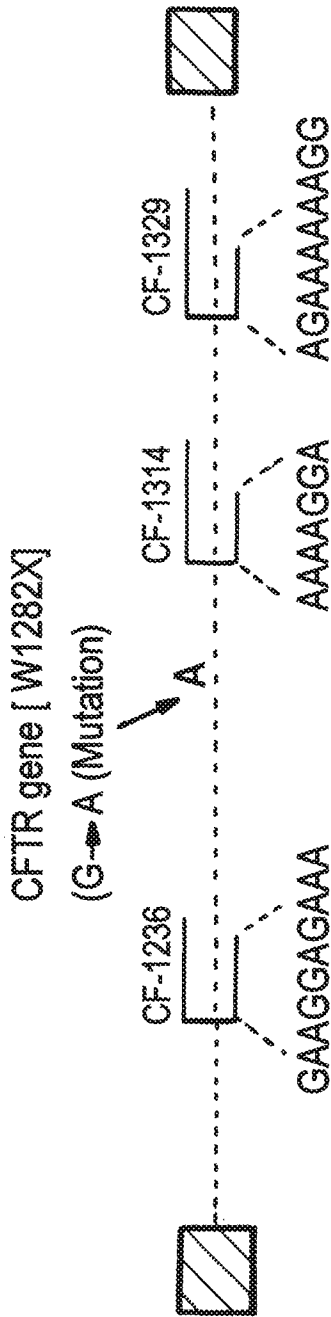


FIG. 8A

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tc PNA-1236: H-KKK-JTTJTTJTTT-OOO-TTTCCTCCTCAGTGTTC A-KKK-NH<sub>2</sub>  
 tc PNA-1314: H-KKK-TTTTJTT-OOO-TCCTTTTGCTCACCTGTGGT-KKK-NH<sub>2</sub>  
 tc PNA-1329: H-KKK-TJTTTTTTJJ-OOO-CCTTTTTCTGGCTAAGT-KKK-NH<sub>2</sub>

FIG. 8B

5'-T(s)C(s)T(s)TGGGATTCAATAACCTTGCAGACAGTGGAGGAGGCCTTGGCGTGATACCACAG  
 G(s)T(s)G(s)-3'

FIG. 8C

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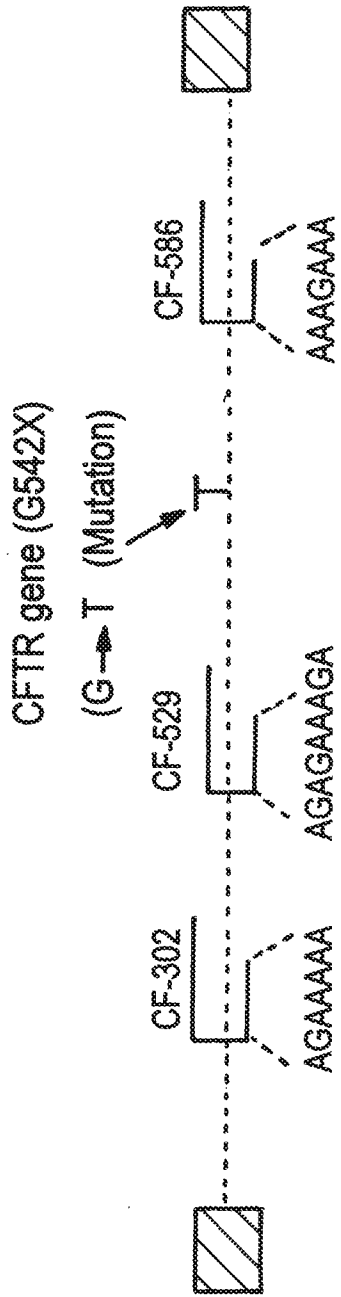


FIG. 9A

- tcPNA-302: H-KKK-TJTJTJT-OOO-TTTTCTGTAATTTTAA-KKK-NH<sub>2</sub>
- tcPNA-529: H-KKK-TJTJTJT-OOO-TC TTCTCTGCAAAC TT-KKK-NH<sub>2</sub>
- tcPNA-586: H-KKK-TJTJTJT-OOO-TTTCTTAAAGAACGAGCA-KKK-NH<sub>2</sub>

FIG. 9B

5'T(s)C(s)AAGTTTGCAGAGAAAGATAATAGTCTTGGAGAGGAGGAATCACCCCTGAGTGGA  
G(s)G(s)T(s)-3'

FIG. 9C

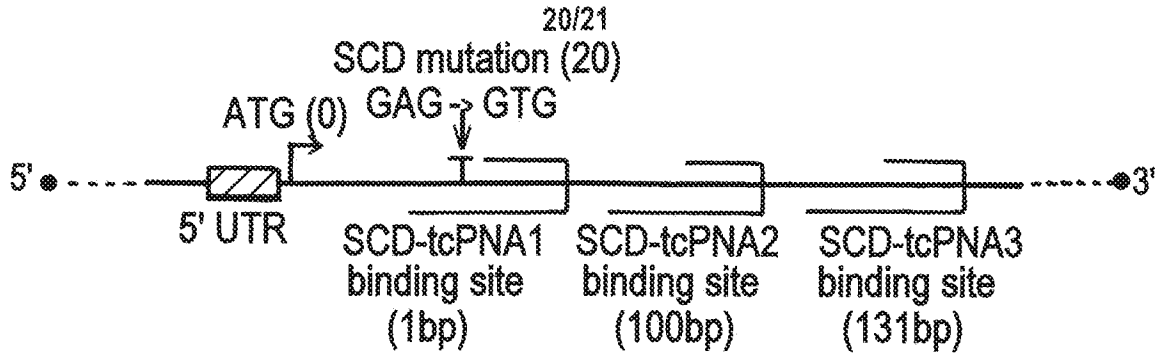


FIG. 10A

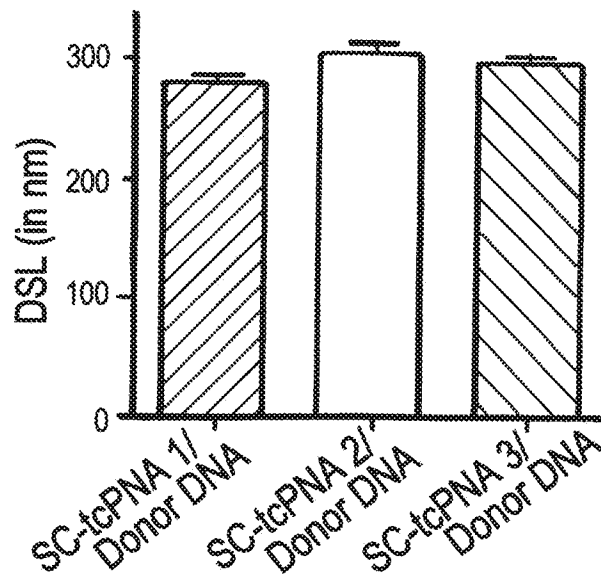


FIG. 10B

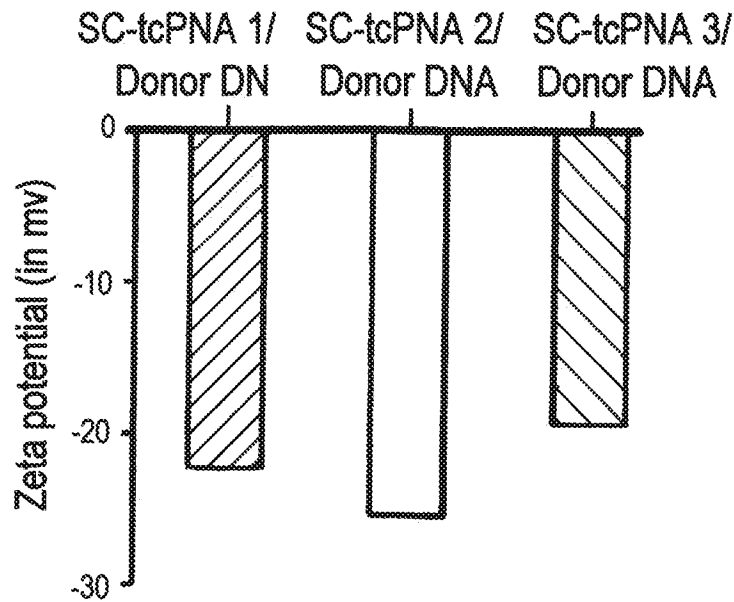


FIG. 10C

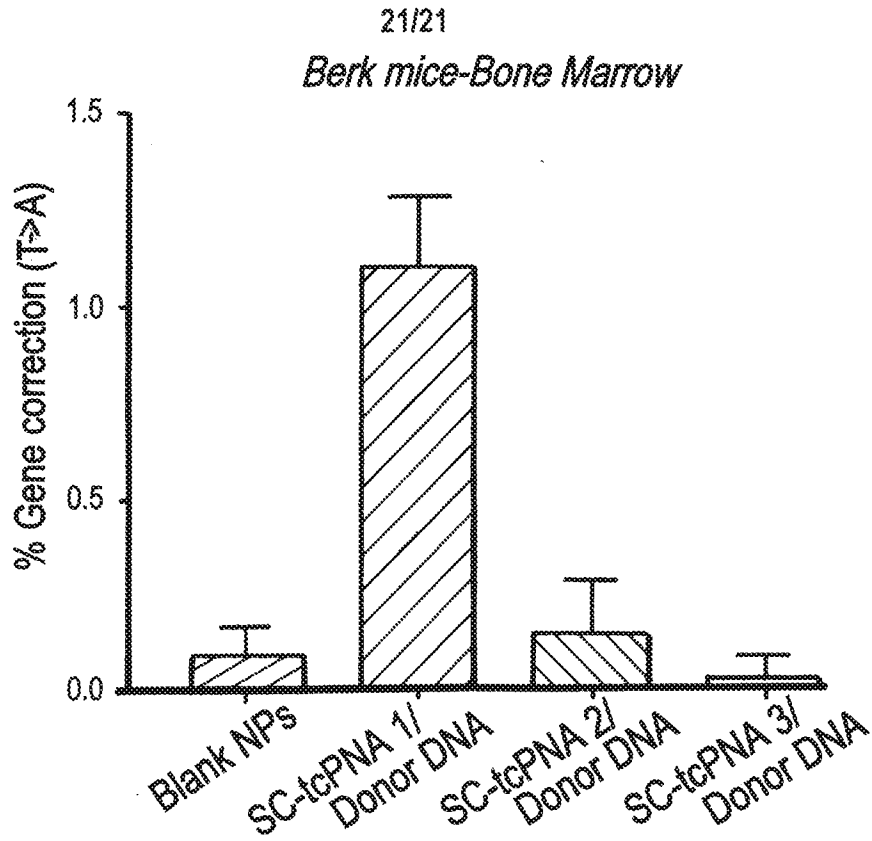


FIG. 10D

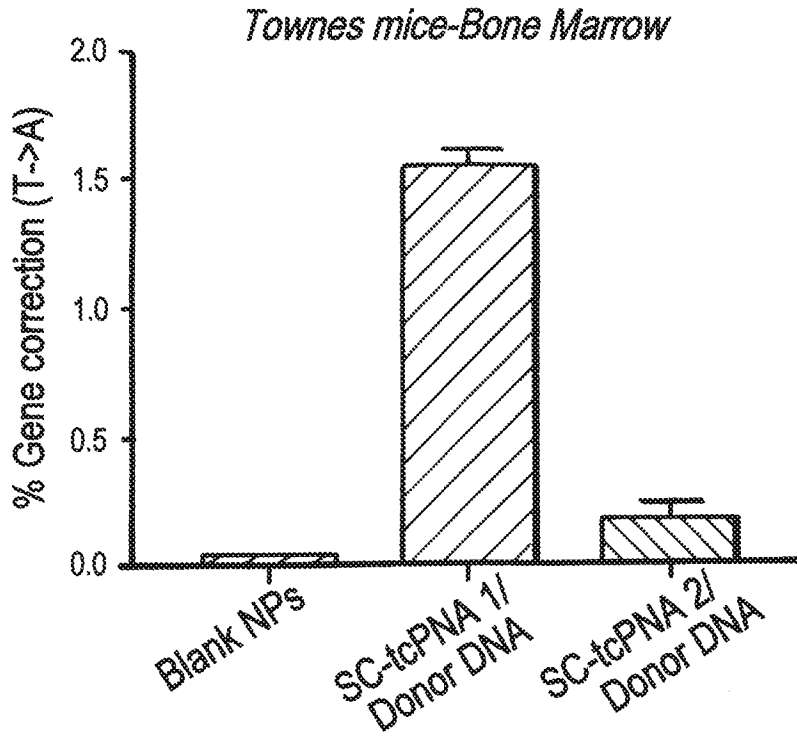


FIG. 10E