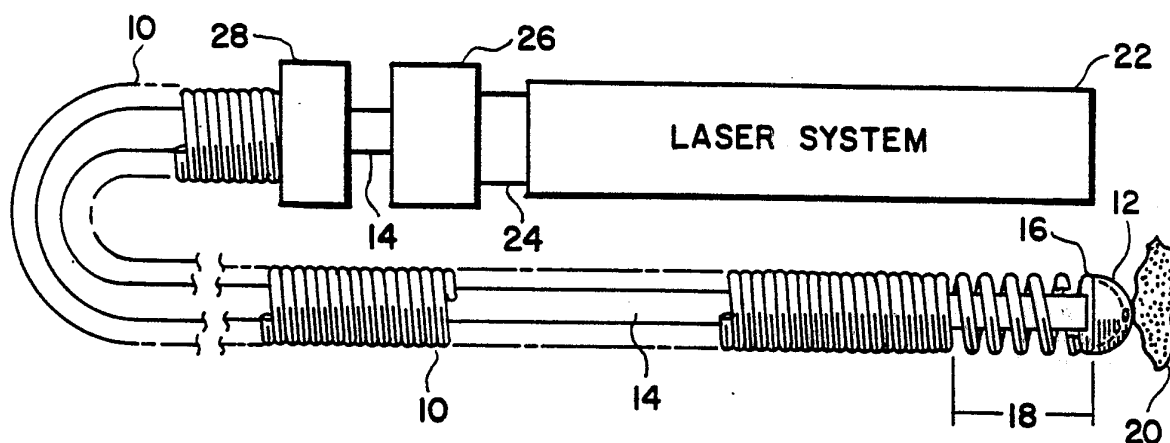




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US90/00904 <b>(22) International Filing Date:</b> 22 February 1990 (22.02.90)  <b>(30) Priority data:</b> 314,472                      22 February 1989 (22.02.89)    US  <b>(71) Applicants:</b> PHYSICAL SCIENCES, INC. [US/US]; 20 New England Business Center, Andover, MA 01810-7100 (US). THE GENERAL HOSPITAL CORPORATION [US/US]; Boston, MA 02114 (US).  <b>(72) Inventors:</b> ROSEN, David, I. ; 53 Tamarack Lane, Peabody, MA 01960 (US). PETSCHKE, Harry ; 1314 Massachusetts Avenue, Lexington, MA 02173 (US). DRETHER, Stephen, P. ; 7 Deer Run, Wayland, MA 01778 (US). BHATTA, Krishna, M. ; 50 Green Street, Apt. 409, Brookline, MA 02146 (US).		<b>(74) Agents:</b> GAGNEBIN, Charles, L., III et al.; Weingarten, Schurgin, Gagnebin & Hayes, Ten Post Office Square, Boston, MA 02109 (US).  <b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), HU, IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent), SU.  <b>Published</b> <i>With international search report.</i>

**(54) Title:** ACOUSTIC IMPACT DELIVERY CATHETER WITH END CAP**(57) Abstract**

Method and apparatus for fracturing hard deposits (20, 110, 122) such as urinary and biliary stones and atherosclerotic plaque in the human body. A flexible guide (10, 40, 60, 84, 100) having a hard mass (12, 42, 64, 86, 104, 120) capping an end is adapted for insertion through a fluid passage in a living body. An energy source (22, 52, 74, 80) creates a rapid vapor expansion adjacent to the cap (12, 42, 64, 86, 104, 120) causing it to undergo a pulse like movement, imparting a high-velocity impulse to an adjacent deposit (30, 110, 122), thereby fracturing it. The energy source (22, 52, 74, 80) may be a laser (22) with a fiber optic (14) delivery system in the guide (10) terminating adjacent to the cap (12) to cause vaporization of the mass cap (12) to create the vapor expansion. The energy source (22, 52, 74, 80) may be a spark generator (52, 74, 80) with a conductor (46, 66, 82) associated with the guide (40, 60, 84, 100) to deliver a fluid vaporizing spark (126) adjacent to the mass cap (42, 64, 86, 104, 120). Other forms of rapid energy delivery such as chemical detonations or ballistic impact may also be applicable.

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ACOUSTIC IMPACT DELIVERY CATHETER WITH END CAP5                    FIELD OF THE INVENTION

          The present invention relates to a method and apparatus for fracturing hard formations in the body, and more specifically, to a method and apparatus for  
10   transferring energy to the end cap of a flexible guide which imparts a high-velocity impulse to a target deposit thereby fracturing it.

BACKGROUND OF THE INVENTION

15           Calciferous and similar deposits occur in body fluid passages of various types. Of particular interest are kidney and gall stones as well as arterial plaque.

          Radiation in various forms has been used for  
20   destroying or removing such deposits from the internal passages of the body. In one form of laser therapy, radiation is directed onto a light-receiving surface of a heat-generating element. The element is then placed in contact with the target deposit, melting it. This  
25   approach has several drawbacks which include:

1. thermal damage to surrounding tissue;
2. only fatty plaques readily melt;
3. more advanced fibrous and calcified plaques form char and debris; and
- 30   4. the hot element adheres to the tissue rupturing it when the element is removed.

          In another approach, laser radiation is applied directly to the target deposit to ablate it or produce shock waves that induce fragmentation. Direct lasertripsy  
35   has several disadvantages. Laser energy often damages healthy tissue surrounding the target deposit by direct

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absorption or by acting as a general heat sink for the high temperature plasma. Some deposits only weakly absorb radiation thereby requiring greater radiation exposure and damage. A sharp laser delivery fiber can cause damage if  
5 inadvertently jabbed into healthy tissue.

#### SUMMARY OF THE INVENTION

The present invention contemplates a method and  
10 apparatus for selectively fracturing hard deposits in fluid containing body passages with the impact of small jack hammer like blows from a capped flexible guide inserted through the body passage to the location of a deposit to be fractured.

15 In implementing the invention, a flexible wire guide terminating with a hard mass or end cap is provided for insertion through a fluid containing body passage. An energy source and delivery system in the flexible guide provide a pulse of energy in the vicinity of the cap to  
20 produce a rapid vapor expansion that causes the end cap to undergo a pulse like movement as the vapor expands against the fluid medium of the passage to impart a high-velocity impulse to the target deposit. A means for fluid exchange between the interior and exterior of the apparatus is  
25 provided in the end cap region to insure the presence of fluid for increasing the direct impulse against the cap.

In a first embodiment of the invention, the energy source is a pulsed laser and the delivery system is an optical fiber passing through the guide to terminate  
30 adjacent to a metal end cap. The laser energy causes vaporization of a small portion of the end cap to create the vapor expansion that drives the end cap forward against the inertia of the fluid.

In a second embodiment, the energy source is a  
35 pulsed voltage source and a pair of conductors, one of which may be the wire guide, comprising the delivery

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system. The conductors terminate in a spark gap adjacent to the end cap. A spark pulse causes fluid vaporization adjacent to the end cap, thereby driving it forward as a reaction.

5       An advantage of the invention includes the end cap's protection of surrounding healthy tissue from direct laser radiation and thermal radiation from the laser-produced plasma of the vapor expansion which forms against the inside surface of the end cap. A further advantage of the  
10 invention is the elimination of inadvertent puncturing of healthy tissue by a sharply pointed laser delivery fiber.

In the laser embodiment, the end cap is fabricated to exhibit good laser absorption providing a reliable, reproducible vapor expansion independent of the absorption  
15 characteristics of the target deposit.

#### DESCRIPTION OF THE DRAWINGS

The invention will be more fully understood from the  
20 following solely exemplary detailed description taken in conjunction with the accompanying drawing, in which;

Fig. 1 is a diagram of one embodiment of the invention which utilizes a laser-produced vapor expansion to drive a hard mass to contact and fragment a target  
25 deposit;

Fig. 2 is a diagram of a second embodiment of the invention which utilizes an electrically-produced spark discharge that produces a vapor expansion to drive a hard mass, to contact and fragment a target deposit;

30       Fig. 3 is a diagram of a variant of the invention of Fig. 2 which utilizes an electrically-produced plasma from a central two wire conductor to drive an internally confined spring-loaded end cap to fragment a target deposit;

35       Fig. 4 is a diagram of a further variant of the invention of Fig. 2 which utilizes an

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electrically-produced plasma from a central two wire conductor to drive an internally confined stainless steel end cap with irrigation ports, to contact and fragment a target deposit;

Fig. 5 is a diagram of the fragmentation system of the invention inside a body passage with fragmented target deposits at its distal end and a positioning fluoroscope; and

Fig. 6 is a diagram of an end cap of the invention demonstrating the pulse-like advancement of the end cap with subsequent fracturing of the target deposit.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention contemplates a method and apparatus for driving a small, hard mass into fracturing, high velocity contact with hard deposits in fluid-containing body passages.

A first embodiment of the invention is illustrated in Fig. 1. A flexible wire guide 10, which typically comprises a commercially available, helically wound French No. 3 guide (0.85 - 1.00m diameter) has an end cap 12, the cap and guide are typically of stainless steel or other material which, in response to laser radiation, will vaporize, in a rapid vapor expansion. An optical fiber 14 (typically a 200 micron core) is fed through the wire guide 10 and terminates at a point 16, a short distance from the end cap 12. Laser radiation emanating from the termination 16 strikes the end cap and is absorbed by the metal of the end cap 12, causing vaporization of a small portion of the metal. In actual use, the helical windings of the guide 10 are opened in a terminal portion 18, for example by stretching the last few coils, to facilitate the entry of fluid from body passages, in which the guide is inserted, into the region of the termination 16. The rapid vapor expansion, typically of shock wave nature,

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generates a forward pressure impulse on the cap 12, in the nature of a miniature jack hammer.

Where the cap 12 has been inserted in a body passage, typically the urethra to a kidney stone, it is  
5 capable of fragmenting pieces of the kidney stone, such as the stone 20, to which it comes into contact. The presence of the body fluid creates a mass within the region of the termination 16 where the vapor expansion occurs which confines the expansion and permits a large  
10 portion of the energy of the vapor expansion to be directed against the end cap 12 producing a high-velocity, short forward impulse.

The source of radiation applied to the fiber 14 is a laser system 22. Laser system 22 is typically a tunable  
15 dye laser. The laser is operated in the mode of producing pulses of approximately 1 microsecond duration and approximately 50 millijoule energy level. Other pulsed laser systems capable of promptly initiating a plasma against the cap and compatible with optical fiber  
20 transmission would also be acceptable energy sources. This would include, for example, solid state laser systems such as Alexandrite. A pulsed output beam 24 from the laser 22 is applied to an optical coupling system 26 which in turn applies the pulsed radiation in beam 24 onto  
25 optical fiber 14. The fiber 14 passes through a clamp 28 connected to the guide 10 and operative to hold the fiber termination 16 at a predetermined distance from the cap 12. A potting compound near the termination may be used to secure the fiber termination.

30 A second embodiment of the invention is illustrated in Fig. 2. As shown there a wire guide 40, which may be similar to the guide 10 in Fig. 1 and typically of a size corresponding to French No. 3, terminates in an end cap 42 at a distal end 44 of the wire guide 40. The wire guide  
35 is typically helically wound as described before and the termination 44 has helical wires of augmented spacing, for

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example, by being stretched, to permit the flow of fluid through an interior portion.

A wire conductor 46 is inserted through the wire guide 40, spaced and insulated from the helically wound 5 wires of the guide 40. The inner conductor 46 terminates at a point 48, adjacent to the cap 42. The wire may be held in place by a positioning clamp 50, or potted in place with an adhesive as described above with respect to Fig. 1.

10 A spark generator 52, which can be a Wolfe 2137.50 or Northgate Research SD1, available in the art, has its output applied on conductors 54 and 56, the conductors are connected to the inner conductor 46 of the guide 40 and the outer helical windings of the guide 40. The spark 15 generator 52 produces an output pulse of up to several microseconds, at several KV and up to 1KA current. The spark generated between the termination 48 of the inner conductor 46 and the end cap 42 causes a vapor expansion of the fluid entering the tip portion 44 and/or the metal 20 of the cap 42 creating a jack hammer like shock impulse movement of the end cap 42, permitting it to fracture calciferous deposits which it contacts.

A different version of the embodiment illustrated in Fig. 2 is shown with respect to Fig. 3. A wire guide 60, 25 typically of the type illustrated above, though not necessarily having a conducting outer shell, has a termination 62 which may be an open helical portion of the wire guide of the prior embodiments. An end cap 64 is applied to the distal end of the wire guide 60. A dual 30 conductor transmission line 66 passes centrally through the wire guide 60 terminating at a point 68 adjacent to the cap 64. The transmission line 66 contains first and second conductors 70 and 72 which terminate to provide a spark gap at the termination 68. The gap is selected to 35 provide, in response to energization from a spark generator 74, of the type illustrated above with respect



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to Fig. 2, a vaporization of the fluid within the terminal portion 62 generating an impulse motion of the cap 64. A transmission line of the type provided with the above-identified supplier of the spark generator and intended for independent insertion into body passages is  
5 suitable for insertion within the guide 60.

Fig. 4 illustrates a further version of the embodiment of Fig. 2. A spark generator 80 is provided, and a two conductor transmission line 82 conducts the output of the spark generator through a guide 84 into a  
10 stainless steel end cap 86. The end cap 86 is typically cemented to the distal end 88 of the wire guide 84. Apertures 90 are provided in the end cap 86 to permit body passage fluids to enter the interior of the end cap 86 to a point 92 where the conductors in the transmission  
15 cable 92 terminate in a spark gap. The terminal portions of the end cap 86 wire guide 84, where it connects to the end cap 86, are typically resilient enough to permit the impulses generated by the spark from the spark gap 92 termination to drive the end cap 86 forward in jack hammer  
20 fashion to permit fracturing of hard deposits to which it is directed.

In actual use, and as illustrated in Fig. 5, a wire guide 100 according to the present invention is inserted through a body passage 102 such as the urethra, for kidney  
25 stone fracturing, the biliary duct for gall stone fracturing and an artery for arterial plaque break-up. The end tip 104 of the wire guide 100 is guided by fluoroscopy. An X-ray source 106 and viewing display 108 permit the end of the wire guide 100 to be positioned  
30 adjacent to a hard deposit 110 to be fractured as illustrated.

Fig. 6 is an illustration of the dynamics by which an end cap 120 is jack hammered or shock driven forward into a hard deposit 122 by a spark generated by a  
35 discharge in a spark gap 126.

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Impulse delivery systems other than laser or spark, such as chemical reaction, trigger remotely by signals supplied by a guide, may be used.

It will be understood that all matter herein  
5 described or shown in the accompanying drawings is to be interpreted as illustrative only and is not to limit the invention defined in the following claims.

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CLAIMS

What is claimed is:

1. Apparatus for applying an energy impulse to cause  
5 fracturing of hard formations comprising:

a source of energy;

a flexible guide adapted for insertion through a  
fluid containing body passage;

an end cap affixed to a distal end of said guide;

10 means for applying said energy to said end cap  
thereby causing a pulse like movement of the cap; and

means for providing fluid access from an exterior of  
said end cap and guide to an interior of said guide end  
cap.

15

2. The apparatus of claim 1 wherein said guide  
comprises a flexible wire guide.

3. The apparatus of claim 1 wherein said source of  
20 energy includes a laser providing radiant energy to said  
applying means.

4. The apparatus of claim 3 wherein said applying means  
is an optical fiber and said guide end cap is of a  
25 material vaporizable by said radiant energy.

5. The apparatus of claim 1 wherein said energy source  
is a spark generator and said means for applying further  
comprises at least one electrical conductor.

30

6. The apparatus of claim 5 wherein said means for  
applying includes a second electrical conductor which  
passes through said wire guide and terminates at a point  
to form a spark gap with said first electrical conductor.

- 10 -

7. The apparatus of claim 5 wherein said guide includes means for providing electrical conduction from said spark generator to said cap;

said first conductor terminating at a point to form  
5 a spark gap with said cap.

8. The apparatus of claim 1 wherein said fluid access providing means includes ports in the end cap for said fluid access.

10

9. The apparatus of claim 4 wherein said optical fiber has a 200 micron core diameter.

10. The apparatus of claim 1 wherein said guide has a  
15 0.85 - 1.00 mm outside diameter.

11. The apparatus of claim 1 wherein said means for providing fluid access includes an expanded end of said wirewound guide where the end cap is affixed.

20

12. The apparatus of claim 1 wherein said source of energy includes a chemical reaction.

13. A method for applying an energy impulse to cause  
25 fracturing of hard formations comprising:

inserting a flexible guide having an end cap affixed to a distal end through a fluid containing body passage;

providing fluid access from an exterior of said end cap and guide to an interior of said guide end cap; and

30 applying energy to said end cap in the vicinity of a hard formation thereby causing a pulse like movement of the cap into fracturing contact with said hard formation.

14. The method of claim 13 wherein said energy applying  
35 step includes applying laser radiant energy to said end cap.

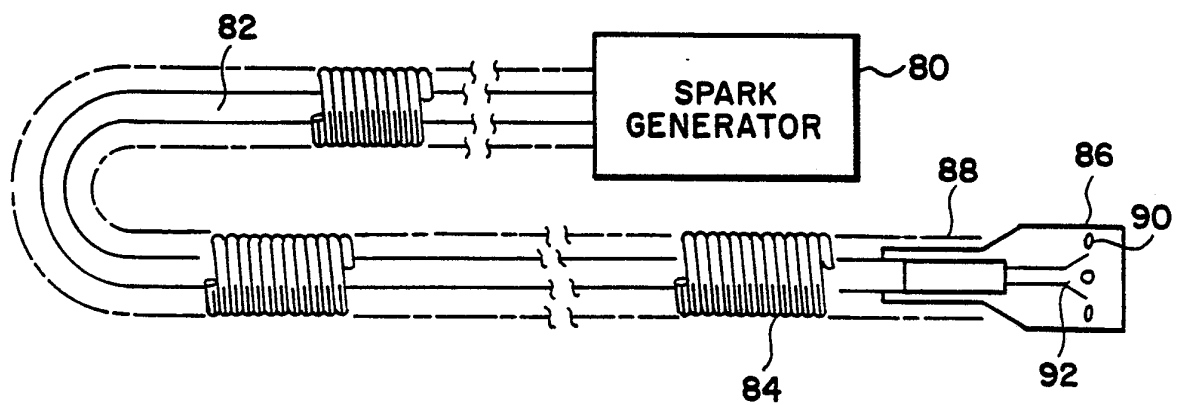
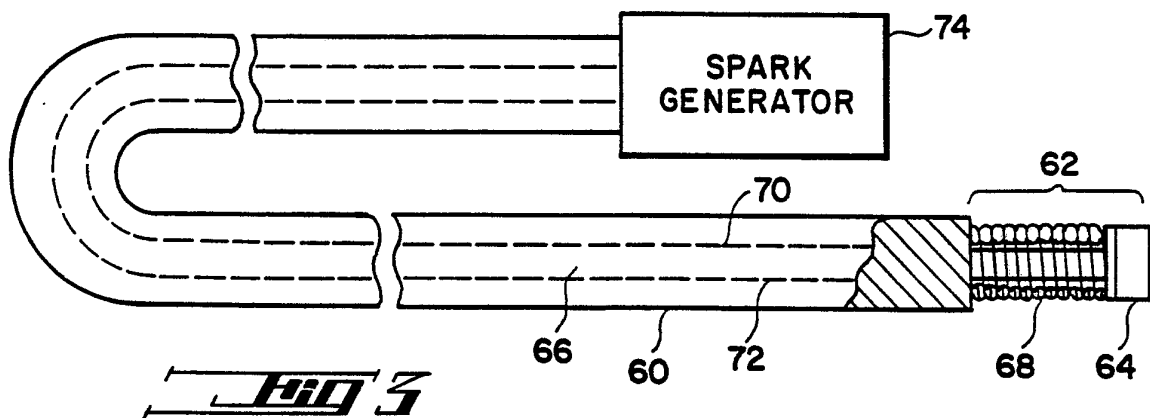
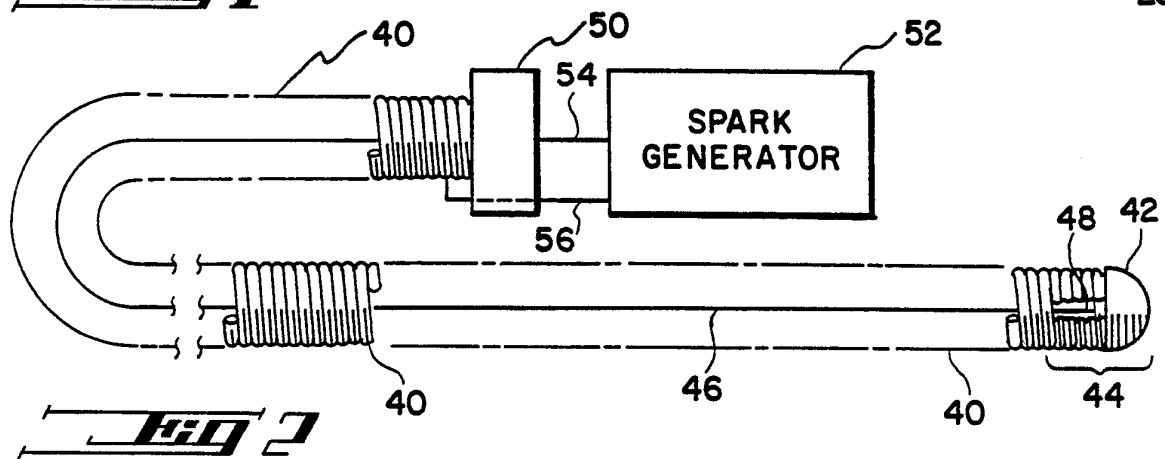
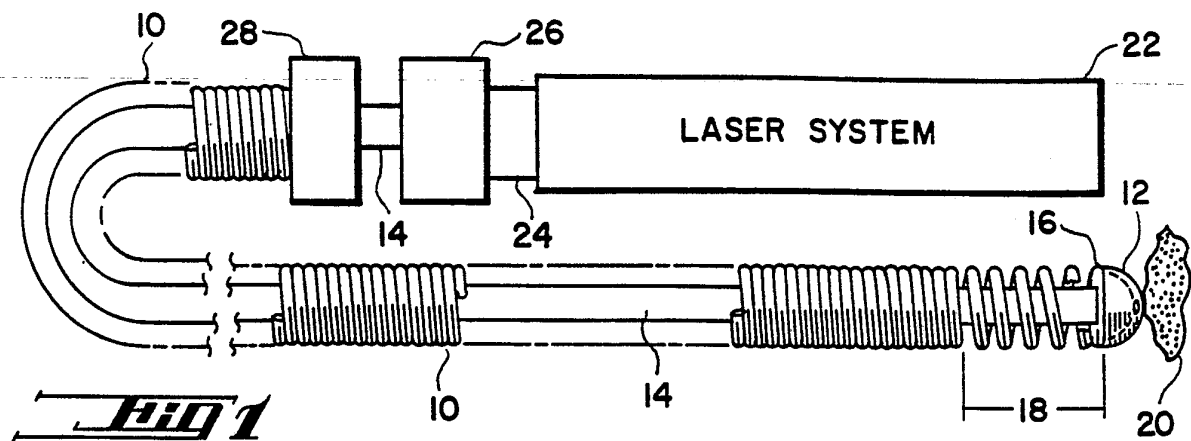
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15. The method of claim 14 wherein said end cap is of a material vaporizable by said radiant energy.

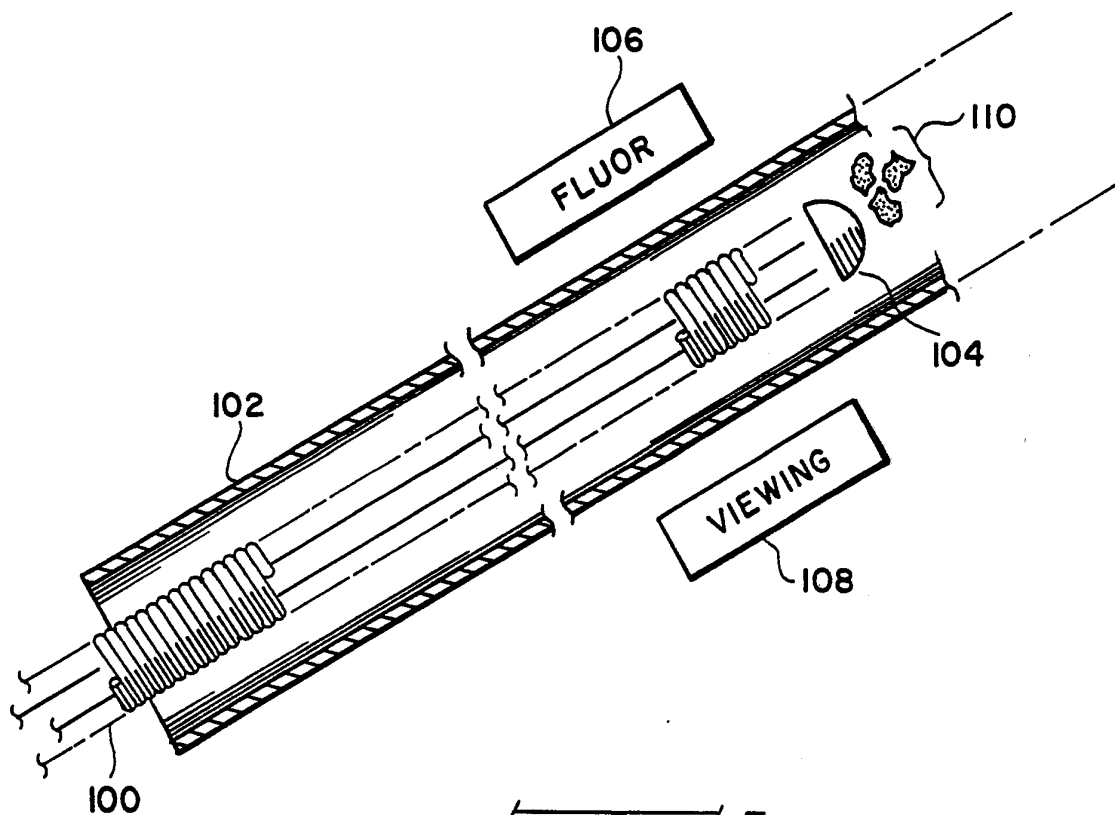
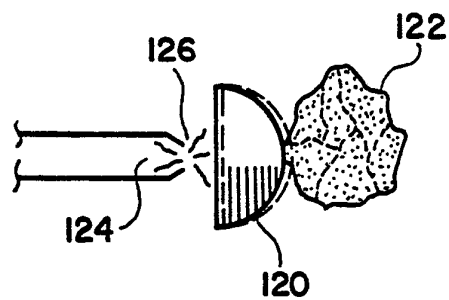
16. The method of claim 13 wherein said energy applying step includes the step of generating a spark in the vicinity of said end cap.

17. The method of claim 13 wherein said energy applying step includes the step of causing a chemical reaction.

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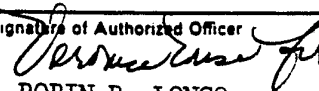


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**FIG 5****FIG 6**

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US90/00904

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5) A61B 17/00, U S CL. 606/127						
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; margin-top: 10px;">Minimum Documentation Searched <sup>7</sup></div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%;">Classification System</th> <th style="width: 75%;">Classification Symbols</th> </tr> <tr> <td style="text-align: center; vertical-align: top;">US</td> <td style="text-align: center; vertical-align: top;">128/24A, 24.2, 395      606/127, 128      367/147</td> </tr> </table> <div style="text-align: center; margin-top: 10px;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	US	128/24A, 24.2, 395      606/127, 128      367/147
Classification System	Classification Symbols					
US	128/24A, 24.2, 395      606/127, 128      367/147					
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b>						
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>				
X	US, A, 3,823,717 (Pohlman et al) 16 July 1974 (Note columns 3, 4, lines 65+)	1,8,10,11,13				
Y, P	US, A, 4,870,953 (Don Michael et al) 03 October 1989 (Note column 5, lines 40+)	2				
Y	US, A, 4,196,736 (Watanabe) 08 April 1980 (Note column 2, lines 51, 52 and lines 61, 62)	5, 16				
Y	US, A, 4,722,340 (Takayama et al) 02 February 1988 (Note column 6, lines 66+)	6, 7				
Y	US, A, "MECHANISM OF LASER-INDUCED FRAGMENTATION OF URINARY AND BILIARY CALCULI", (Nishioka et al) 1987 (Note figures 1, 2, pages 233-234)	3, 9, 14				
Y	US, A, 3,785,282 (Schmidt-Kloiber et al) 15 January 1974 (Note column 2 lines 57)	4, 15				
Y	US, A, 4,605,003 (Oinuma et al) 12 August 1986 (Note column 4 line 14+)	12, 17				
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>						
<b>IV. CERTIFICATION</b>						
Date of the Actual Completion of the International Search 19 APRIL 1990 International Searching Authority ISA/US		Date of Mailing of this International Search Report 24 MAY 1990 Signature of Authorized Officer  ROBIN R. LONGO				



## III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

Category *	Citation of Document, with indication where appropriate, of the relevant passages	Relevant to Claim No
A	US, A, 4,191,189 (Barkan) 04 March 1980	