



AU9048107

(12) PATENT ABRIDGMENT (11) Document No. AU-B-48107/90
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 643672

- (54) Title
PROCESS FOR THE INTRODUCTION OF EXOGENOUS DNA IN SOMATIC AND GERM ANIMAL CELLS
- International Patent Classification(s)
(51)⁵ **C12N 015/87 A01K 067/027 A01K 067/033**
- (21) Application No. : **48107/90** (22) Application Date : **08.01.90**
- (87) PCT Publication Number : **WO90/08192**
- (30) Priority Data
- (31) Number (32) Date (33) Country
19050 /89 10.01.89 IT ITALY
- (43) Publication Date : **13.08.90**
- (44) Publication Date of Accepted Application : **25.11.93**
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- (57) Claim

1. A process for the introduction of exogenous DNA into germ and somatic non-human animal cells of a selected species, wherein the process is defined by the following steps:

preparing an aqueous suspension of spermatozoa from an animal of the selected species;

modifying the spermatozoa by incubating the spermatozoa suspension with the exogenous DNA;

fertilizing at least one egg cell of the selected species with the modified spermatozoa using artificial fertilization techniques; and

implanting at least one fertilized egg cell into a pseudogravid female of the selected species to produce at least one modified animal having germ and somatic cells containing the exogenous DNA.

2. A process for the introduction of exogenous DNA into germ and somatic non-human animal cells of a selected species, wherein the process is defined by the steps:

preparing an aqueous suspension of spermatozoa from an animal of the selected species;

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modifying the spermatozoa by incubating the spermatozoa suspension with the exogenous DNA; and

using the modified spermatozoa to fertilise a female of the selected species to produce at least one modified animal having germ and somatic cells containing the exogenous DNA.

3. A process according to claims 1 or 2, wherein the exogenous DNA has been modified using recombinant DNA techniques.

**CORRECTED
VERSION ***

WO 90/08192

C12N15/87

17/1990

under INID Number (81) "Designated States",
delete "IT (European patent)" and add "CA"

48107/90



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : C12N 15/87	A1	(11) International Publication Number: WO 90/08192 (43) International Publication Date: 26 July 1990 (26.07.90)
(21) International Application Number: PCT/EP90/00032 (22) International Filing Date: 8 January 1990 (08.01.90) (30) Priority data: 19050 A/89 10 January 1989 (10.01.89) IT (71) Applicant (for all designated States except US): CONSIGLIO NAZIONALE DELLE RICERCHE [IT/IT]; Piazzale Aldo Moro, 7, I-00185 Roma (IT). (72) Inventor; and (75) Inventor/Applicant (for US only) : SPADAFORA, Corrado [IT/IT]; Via dei Gozzadini, 63, I-00165 Roma (IT). (74) Agents: GERVASI, Gemma et al.; Notarbartolo & Gervasi S.r.l., Viale Bianca Maria, 33, I-20122 Milano (IT).		(81) Designated States: AT (European patent), AU, BE (European patent), BG, BR, CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), HU, JP, KR, LU (European patent), NL (European patent), NO, RO, SE (European patent), SU, US. Published With international search report. 643672
(54) Title: PROCESS FOR THE INTRODUCTION OF EXOGENOUS DNA IN SOMATIC AND GERM ANIMAL CELLS (57) Abstract A process is described for the introduction of exogenous DNA into somatic and germ animal cells: the DNA, exogenous or modified according to known techniques of recombinant DNA, is introduced into the animal spermatozoa which are to be modified and said spermatozoa are employed for egg fertilization according to usual artificial fertilization techniques.		

Field of the Invention

The present invention relates to a process for the introduction of exogenous DNA into somatic and germ animal cells.

5 In particular, the process consists of introducing DNA, exogenous DNA or DNA modified by recombinant techniques, into the spermatozoa of the animal which is to be modified and employing the modified spermatozoa for egg fertilization using artificial fertilization
10 techniques. However, the modified spermatozoa may also be utilised to directly fertilise an animal. Accordingly, the present invention also contemplates the production of transgenic animals.

Prior Technique

15 The creation of transgenic animals, that is of animals in which are permanently integrated genetic information extraneous to their own genomes and deriving from other genetic systems, has been and still is an objective of primary importance for the study of genetic
20 regulation, both for chemical and therapeutical ends and for breeding domestic mammals, fish, echinoderma and amphibia.

It is possible in fact to create animals with particular advantageous characteristics, such as eg. rate
25 of growth or resistance to certain diseases in the case of animals for breeding, or, vice versa, predisposition to certain diseases in the case of animals utilized for experimenting new drugs. The first attempts at obtaining transgenic animals go back to the middle of the
30 seventies. Those attempts were chiefly based on the manipulation of mice embryos or of cultured cells and on the direct DNA (eg. SV 40)

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introduction into the mice blastocyst cavities, as described by M.H.L. & A. Mc Laren in Experimental Cell Research (1924) 86, 1-8, and by R. Jaenisch & B. Mintz (1974) in Proc. Natl. Acad. Sci. USA (1974) 71, 1250-54.

5 The results obtained were, however, disappointing, particularly because of the difficulty of the employed techniques.

In 1980 John W. Gordon et al. described in Proc. Natl. Acad. Sci USA (1980), 77 No. 12, 7380-84 a new technique for the genetic transformation of mice embryos by microinjection of cloned DNA into
10 the pronucleus of fertilized oocytes.

The thus treated zygotes were re-implanted in the uterus of a pseudogavid mother and the gestation brought to term.

By means of this technique, which was refined in the last years, it was shown that it is possible to introduce exogenous DNA into mouse
15 somatic and germ cells obtaining transgenic animals.

A typical example of transgenic animals obtained by the technique of the microinjection of exogenous DNA into fertilized eggs, and employed for experimenting anti-tumoral therapies, are the mice described in the U.S.P. 4.736.866, which show in their somatic and
20 germ cells the presence of an oncogenic sequence.

The microinjection technique is being experimented in the field of breeding; ^{however} ~~however~~, we do not have information of finally acquired results.

The DNA microinjection in fertilized oocytes is at any rate a
25 complex and expensive technique, with low efficiency because of the

high rate of abortions, the high rate of mosaicism in the obtained animals and the marked sterility of the same.

Summary of the Invention

It is an aim of the present invention to ameliorate
5 at least some of the problems of the prior art. It is an
advantage that the present invention provides a process
for the introduction of DNA, treated according to known
techniques of recombinant DNA, into the cellules of an
animal pertaining to an animal species which does not
10 actually posses the typical sequences of the introduced
exogenous DNA, with the result that the genetic
information contained in said recombinant DNA is
permanently integrated in the genomes of the treated
individual and may therefore be transmitted to the
15 successive progeny of the individual.

In one aspect of the invention a process for the
introduction of exogenous DNA into germ and somatic non-
human animal cells of a selected species is provided
comprising the following steps:

- 20 preparing an aqueous suspension of spermatozoa from
an animal of the selected species;
modifying the spermatozoa by incubating the
spermatozoa suspension with the exogenous DNA;
fertilizing at least one egg cell of the selected
25 species with the modified spermatozoa using artificial
fertilization techniques; and
implanting at least one fertilized egg cell into a
pseudogravid female of the selected species to produce at
least one modified animal having germ and somatic cells
30 containing the exogenous DNA.

In a second aspect of the invention the modified
spermatozoa may be employed directly for animal
fertilization without going through the "in vitro" egg
cell fertilisation and successive implantation of the
35 same into pseudogravid females.

This form of realisation of the invention is particularly useful in case the process is employed for breeding.

Detailed Description of the Invention

5 The process for the introduction of cloned DNA into the cells of a different species according to the present invention is based on an experimental observation, namely the surprising easiness with which molecules, even if of large dimensions, succeed in penetrating into the
10 spermatozoa head.

This property, typical of spermatozoa both of mammals and of other animal species, was utilised to modify the spermatozoa by introducing in to them the cloned DNA to be transferred.

15 With the modified spermatozoa, the corresponding oocytes are then fertilized by means of the artificial fertilization techniques employed with unmodified spermatozoa.

20 According to a fundamental characteristic of the present invention, the spermatozoa of the species into which one wants to introduce the genetic information extraneous to its own genomes, and originating from other systems, are utilized as vectors for transferring the extraneous DNA.

25 One of the possible ways of performing the process according to the present invention comprises the following steps:

- a) preparation of an aqueous spermatozoa suspension;
- 30 b) transformation of the spermatozoa with cloned DNA;
- c) "in vitro" fertilisation of the oocytes by means of the modified spermatozoa;
- d) implantation of the fertilized oocytes into
35 pseudogravid females of the selected species.

According to another possible way of performing the present invention, the modified spermatozoa may be

employed directly for the animal fertilization without going through the "in vitro" oocyte fertilisation and successive implantation of the same into pseudogavid females.

5 This form of realisation of the invention is particularly useful in case the process is employed for breeding.

10 The process according to the invention is much simpler, much more rapid and less costly than the microinjection technique, and does not bring about abortions which are very frequent in the microinjection technique.

Experimental

15 A preferred embodiment of the invention will now be described in further detail with particular reference to the modification of mice spermatozoa.

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We have employed this animal because all the laboratory technique for its "in vitro" fertilization and for the study of the integration and expression of its genes are amply reported in the scientific literature.

- 5 As exogenous DNA we used p SV2 CAT, Polyoma and the human growth gene, because their restriction maps are described in literature and comprise base sequences which are not naturally present in mouse genome.

10 The identification of these sequences in the "positive" mouse, that is in the mouse obtained from the egg fertilized with the treated spermatozoa, allows to ascertain without the shadow of a doubt that the cloned DNA was actually introduced into the treated spermatozoa and through these into the fertilized eggs and therefore integrated into the genome of the resulting transgenic individuals.

- 15 a) preparations of the spermatozoa.

A spermatozoa suspension was prepared by pressing the epididymis of a male mouse into 1 ml ^{FM} PM buffer (prepared as described by D.G. Whittingam. Culture of Mouse - ove - (1971) - J Reprod. Fert. Supp. 14, p.7-21).

- 20 The spermatozoa suspension was centrifuged so to separate the spermatozoa which were again suspended in 1 ml of buffer.

The above treatment was repeated 5 times so to "wash" the spermatozoa by assuring the complete elimination of seminal liquor.

- The buffer was modified eliminating sodium lactate, penicillin and
25 streptomycin, substituting monosodiumphosphate by 0.15 mM

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bisodiumphosphate and changing the amount of sodium chloride to 120 mM. The spermatozoa suspension was then diluted to a concentration of 1-2 million/ml and incubated for a period of from 30 minutes to 3 hrs at a temperature of from 20° to 37°C, in air containing more
5 than 5% to 10% of carbon dioxide.

b) DNA transformation of the spermatozoa

To the diluted spermatozoa suspension, in fractions of 500 µl, is added the solution of the cloned, circular DNA which is intended to be inserted into the spermatozoa, and the mixture is further
10 incubated for at least 30 minutes at a temperature of from 0° to 37°C, in air containing more than 5% to 10% of carbon dioxide.

At the lower temperatures, the insertion of DNA into the spermatozoa is easier, due to a reduced activity of endogenous nuclease of spermatozoa, but the incubation time is longer than 30 minutes.

15 The cloned circular DNA solution is added in an amount such as to obtain a final concentration into the cloned DNA mixture comprised between 0.4 and 2 µg/ml.

c) egg fertilization

Mature mouse females are induced to superovulate by means of human
20 choronic gonadotropin and the eggs are extracted from the oviduct 14.5 hours after the injection (according to the method described by B. Hogan et al. in "Manipulating the Mouse Embryo A Laboratory Manual" CSM New York, 1986).

The eggs extracted from the oviducts are introduced into the 500 µl
25 fractions of the transformed spermatozoa and incubated from 5 to 10

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hours at a temperature of from 20° to 37°C, in air containing more than 5% to 10% of carbon dioxide. At the end of said period, the eggs are washed with M16 buffer (prepared as described by Whittingam -see point a) supra) and left for an entire night in 50
5 µl of the same buffer.

After 24 hours the embryos are surgically transferred, at the stage of two cellules, into the oviducts of pseudogravid females.

The offsprings deriving from these implants, at the age of three weeks, are amputated of a terminal tail fragment, from which the DNA
10 is extracted which is analyzed with the aid of the "Southern blot" described in the book "Molecular Cloning": A Laboratory Manual" by T. Maniatis et al. - C.S.M., New York 1984.

This analysis allows to identify "positive" individuals, that is those whose genome posses, integrated or in episomic form, one or
15 more copies of the same cloned DNA introduced into the starting spermatozoa.

The yield of "positive" individuals obtained following the process of the present invention is always higher than 30% up to 70%, and, what is more, no sterile individuals are found among them.

20 The successive genetic characterization of the positive animals is carried out with the two analysis methods of restriction and sequence.

The analysis of the genome DNA of positive mice was carried out according to two methods:

25 Restriction Analysis

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DNA restriction analysis by means of specific restriction enzymes which allow the subdivision of genome DNA into fragments. The obtained fragments are then fractionated by electrophoresis and transferred onto a nitrocellulose filter according to the known
5 Southern blot technique. The filter is then treated with the probe specific for the initial cloned DNA employed for transforming the spermatozoa, made radioactive with P^{32} and exposed on a x-ray sensitive film.

The film will be exposed in correspondence with the sites where the
10 radioactive probe was bound to the filter, that is in correspondence with each radioactive DNA bond, leaving a signal for each DNA fragment.

The presence of one or more signals, their number and the dimensions of the DNA fragments which they represent allow to conclude that
15 sequences exist which are analogous to the positive mouse genome probe, and to determine a restriction map.

The analogy between this map and the one of the cloned DNA introduced into the spermatozoa from which the "positive" mouse originates proves that the original clone sequences are integrated
20 into the transgenic mouse genome.

- Sequence analysis

To analyze the sequence of the bases constituting the "positive" mouse genome, equal amounts (15 μ g) of genome DNA of a positive mouse and of the pUC13 plasmid resistant to ampicillin were
25 restricted with the restriction EcoRI enzyme.

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The two restricted DNA were then mixed, recircularized and introduced into Eschericia Coli HB101 bacteria, which were then cultivated on Agar + Ampicillin.

5 The positive colonies (that is the ones containing a cloned fragment) were separated, amplified and purified. The cloned fragment was then separated from the pUC13 vector by restriction with EcoRI and 626 bases were sequenced from it using the Langer method.

10 It was thus possible to ascertain that the initial clone was transferred from the spermatozoa into the fertilized egg and then integrated into the ^{genome}~~genoma~~ of the resulting individual.

Beside the two methods reported above, we have carried out an anlysis of the spermatozoa after their transformation with the cloned DNA, to the end of ascertaining the location of the exogenous
15 DNA.

To this end we employed H³ labeled DNA, and various aliquots of the spermatozoa solutions after their incubation with labeled DNA were radio-autographed at the optical and at the electronic microscope. The obtained results have evidenced that cloned DNA is specifically
20 located inside the spermatozoa head in sub-equatorial position.

Traces of radioactivity in other regions of the spermatozoa are insignificant.

As it is known that the acrosomal fusion reaction between spermatozoa and oocyte at the moment of fertilization (with
25 transferral of genetic material from spermatozoa to the egg) takes

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place in that particular region, it is believed that also the exogenous DNA is transferred at the same time and in the same way as the DNA proper of the species. This mechanism might explain the surprising efficiency of the process according to the invention.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A process for the introduction of exogenous DNA into germ and somatic non-human animal cells of a selected species, wherein the process is defined by the following steps:

5

preparing an aqueous suspension of spermatozoa from an animal of the selected species;

modifying the spermatozoa by incubating the spermatozoa suspension with the exogenous DNA;

10

fertilizing at least one egg cell of the selected species with the modified spermatozoa using artificial fertilization techniques; and

15

implanting at least one fertilized egg cell into a pseudogravid female of the selected species to produce at least one modified animal having germ and somatic cells containing the exogenous DNA.

2. A process for the introduction of exogenous DNA into germ and somatic non-human animal cells of a selected species, wherein the process is defined by the steps:

20

preparing an aqueous suspension of spermatozoa from an animal of the selected species;

modifying the spermatozoa by incubating the spermatozoa suspension with the exogenous DNA; and

25

using the modified spermatozoa to fertilise a female of the selected species to produce at least one modified animal having germ and somatic cells containing the exogenous DNA.

3. A process according to claims 1 or 2, wherein the exogenous DNA has been modified using recombinant DNA techniques.

30

4. A process according to claim 3, wherein the exogenous DNA is cloned DNA.

5. A process according to any one of the preceding claims, wherein the spermatozoa suspension is buffered with an FM buffer, has a spermatozoa concentration of 1-2 million/ml and is incubated at 20° to 37°C for a period of from 30 minutes to 3 hours in air containing between 5% to 10% carbon dioxide.

6. A process according to claim 5, wherein the exogenous DNA is added to the spermatozoa suspension in an amount so as to have a final exogenous DNA concentration of from 0.4 to 2µg/ml and is further incubated for at least 30 minutes at a temperature of from 0° to 37°C.

7. A process according to claim 1, wherein the at least one egg cell is added to the spermatozoa suspension and is incubated for between 5 to 10 hours at a temperature of from 20° to 37°C in air containing between 5% to 10% carbon dioxide.

8. A process according to claim 7, wherein at least one embryo obtained from the at least one fertilized egg cell which has reached a two cell development stage is surgically transferred into the oviducts of the pseudogravid female of the selected species.

9. A transgenic non-human mammalian animal obtained by the process according to any one of claims 1 to 8.

10. Descendants of a transgenic non-human mammalian animal according to claim 9, wherein cells of the descendants have genetic material derived from the exogenous DNA.

11. A process for the introduction of exogenous DNA into germ and somatic non-human animal cells substantially as hereinbefore described with reference to the "Experimental" section.

12. A transgenic non-human mammalian animal substantially as hereinbefore described with reference to the "Experimental" section.

DATED this 19th day of January 1993

5 CONSIGLIO NAZIONALE DELLE RICERCHE

By their Patent Attorneys

GRIFFITH HACK & CO

INTERNATIONAL SEARCH REPORT

International Application No. PCT/EP 90/00032

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply indicate all) ⁴ According to International Patent Classification (IPC) or to both National Classification and IPC IPC ⁵ : C 12 N 15/87														
II. FIELDS SEARCHED Classification System Minimum Documentation Searched ⁷ IPC⁵ C 12 N Classification Symbols Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸														
III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹ <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Category ¹⁰</th> <th style="width: 70%;">Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²</th> <th style="width: 20%;">Relevant to Claim No. ¹³</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td style="vertical-align: top;">WO, A, 87/05325 (TRANSGENE GmbH) 11 September 1987 see pages 29-33</td> <td style="text-align: center; vertical-align: top;">1,2,5,6</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td style="vertical-align: top;">Proceedings of the National Academy of Sciences, volume 68, no. 2, February 1971, (US), B.G. Brackett et al.: "Uptake of heterologous genome by mammalian spermatozoa and its transfer to ova through fertilization", pages 353-357 see the whole article</td> <td style="text-align: center; vertical-align: top;">1</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">P,X</td> <td style="vertical-align: top;">Cell, volume 57, 2 June 1989, Cell Press, M. Lavitrano et al.: "Sperm cells as vectors for introducing foreign DNA into Eggs: genetic transformation of mice", pages 717-723 see the whole article</td> <td style="text-align: center; vertical-align: top;">1-6</td> </tr> </tbody> </table>			Category ¹⁰	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³	X	WO, A, 87/05325 (TRANSGENE GmbH) 11 September 1987 see pages 29-33	1,2,5,6	X	Proceedings of the National Academy of Sciences, volume 68, no. 2, February 1971, (US), B.G. Brackett et al.: "Uptake of heterologous genome by mammalian spermatozoa and its transfer to ova through fertilization", pages 353-357 see the whole article	1	P,X	Cell, volume 57, 2 June 1989, Cell Press, M. Lavitrano et al.: "Sperm cells as vectors for introducing foreign DNA into Eggs: genetic transformation of mice", pages 717-723 see the whole article	1-6
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[*] Special categories of cited documents: ¹⁴ "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "Z" document member of the same patent family														
IV. CERTIFICATION <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;"> Date of the Actual Completion of the International Search 21st March 1990 </td> <td style="width: 50%; padding: 5px;"> Date of Mailing of this International Search Report 24.04.90 </td> </tr> <tr> <td style="width: 50%; padding: 5px;"> International Searching Authority EUROPEAN PATENT OFFICE </td> <td style="width: 50%; padding: 5px;"> Signature of Authorized Officer F.W. HECK </td> </tr> </table>			Date of the Actual Completion of the International Search 21st March 1990	Date of Mailing of this International Search Report 24.04.90	International Searching Authority EUROPEAN PATENT OFFICE	Signature of Authorized Officer F.W. HECK								
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III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
T	Cell, volume 59, 20 October 1989, Cell Press, R.L. Brinster et al.: "No simple solution for making transgenic mice", pages 239-241 see the whole letter and reply -----	1-6

EP 9000032
SA 33170

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