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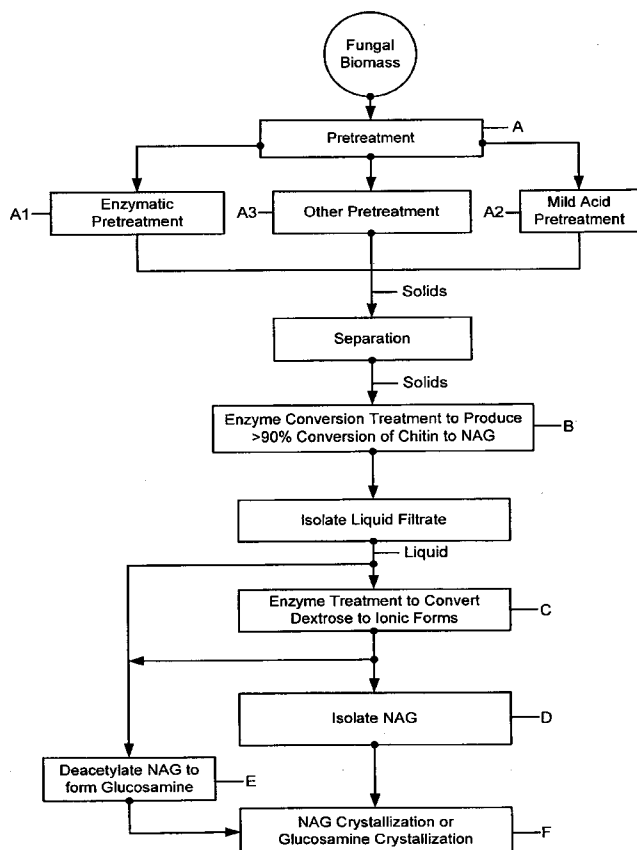
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(54) Title: GLUCOSAMINE AND N-ACETYLGLUCOSAMINE COMPOSITIONS AND METHODS OF MAKING THE SAME FROM FUNGAL BIOMASS



(57) Abstract: Glucosamine, N-acetylglucosamine and  $\beta$ -glucan compositions suitable for human or animal consumption or use are disclosed. The glucosamine, N-acetylglucosamine and  $\beta$ -glucan compositions are derived from fungal biomass containing chitin. Various methods of producing glucosamine, N-Acetylglucosamine and  $\beta$ -glucan compositions are also disclosed.

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various production techniques. These natural sources are acceptable for producing glucosamine and/or N-acetylglucosamine for some applications, but they have limitations. These limitations include the fact that wild shellfish can have significant variations in their shell composition because they grow naturally under uncontrolled  
5 circumstances. The shellfish can vary in such aspects as their size and composition depending upon the growing conditions as well as their species. Also, without control over the growing conditions, the shellfish can be exposed to environmental contaminants, including heavy metals, that can be retained in glucosamine, N-acetylglucosamine or other products derived or produced from the shellfish.

10 Shellfish harvests are often seasonal, and thus the supply and price of shellfish shows significant variation over time.

A further concern with glucosamine and/or N-acetylglucosamine derived from shellfish is that significant portions of the human population have shellfish allergies and are unable to use products that contain ingredients derived from  
15 shellfish. A large percentage of shellfish allergens are specific proteins. Shellfish allergens, such as muscle proteins (e.g., tropomyosin) are found in glucosamine derived from the shellfish sources. It is not economically practical, if even possible to ensure that glucosamine and/or N-acetylglucosamine products derived from shellfish sources are completely free of all traces of shellfish allergens. Thus, hyper  
20 allergenic individuals who must avoid all shellfish products cannot ingest materials derived from shellfish, such as glucosamine and/or N-acetylglucosamine.

An additional problem associated with existing sources of shellfish-derived glucosamine and/or N-acetylglucosamine is that some of the shellfish supply is harvested from the seas and oceans of the world. Excessive harvest of shellfish  
25 could have a great negative environmental impact. Thus, it is believed that some consumers would prefer to use glucosamine that is not harvested at the expense of sea life. Even if the environmental impact of harvesting shellfish is not negative, there remains concern that the supply of wild shellfish is limited in quantity and inconsistent in quality from year to year.

30 Another problem associated with glucosamine and/or N-acetylglucosamine compositions derived from shellfish is that such compositions are not "kosher." "Kosher" means fit or proper, and is generally used to describe foods that are

prepared in accordance with special Jewish dietary laws. Many people that practice Judaism will only ingest kosher products. All shellfish are non-kosher foods and thus all products derived from shellfish are not immediately considered kosher. For certain medicinal applications, a shellfish glucosamine product can receive special dispensation such that it is considered kosher. Specially dispensed kosher shellfish-derived glucosamine may be used for medicinal applications only and even then may only be ingested in pill or tablet form. Accordingly, "fully certified kosher" glucosamine and/or N-acetylglucosamine compositions (i.e., kosher products not requiring special dispensation or restricted to medicinal uses in pill or tablet form) are needed. Likewise, many vegans require animal-product free glucosamine and/or N-acetylglucosamine compositions such that glucosamine and/or N-acetylglucosamine compositions derived from shellfish do not meet their dietary needs.

Therefore, a need exists for a source of safe, kosher, non-animal product derived, high-quality glucosamine and/or N-acetylglucosamine compositions that can be created economically and with minimal environmental impact.

In addition, fungal sources to produce glucosamine and N-acetylglucosamine contain  $\beta$ -glucans and other components.  $\beta$ -Glucans are polymers of glucose containing glycosidic bonds between the glucose units.  $\beta$ -Glucans are glucans where the glycosidic bonds are predominantly  $\beta$  linkages. The  $\beta$ -glucans in these sources comprise  $\beta$ -1,3-glucans, as well as  $\beta$ -1,4 and  $\beta$ -1,6 glycosidic bonds and branches comprising  $\beta$ -1,3,6 glycosidic linkages. The types and number of the glycosidic linkages depends to a large extent on the source of the  $\beta$ -glucans. For example, yeast sources of  $\beta$ -glucans have not been reported to include  $\beta$ -glucans having the  $\beta$ -1,4 linkages.

$\beta$ -glucans are naturally insoluble in water, acidic or basic solutions, or in organic solvents. A number of processes for the isolation and purification of  $\beta$ -glucans have been developed. The known methods, however, use hot alkali, acids, or a combination of both to solubilize proteins and other components of the biomass, leaving the insoluble  $\beta$ -glucans. The acid or base must then be removed from the

insoluble  $\beta$ -glucans by washing with water. The high water-absorbing capacity of  $\beta$ -glucans causes them to swell significantly, making this step difficult and tedious.

The digestibility or applicability of  $\beta$ -glucans from these sources is limited by their insolubility. Converting  $\beta$ -glucans to soluble forms has required uses of  
5 acids, bases, or oxidizing agents to break down the polymers into smaller polymers to render them soluble. These same chemical agents can have adverse effects on the glucose units, such as oxidizing the alcohol to aldehyde or acid forms. This is disadvantageous not only because  $\beta$ -glucan applications prefer  $\beta$ -glucans that are not chemically altered but also because such oxidations are difficult to control precisely.  
10 Further, chemical treatments require additional purification steps to remove the acids, bases, or oxidizing agents. A process requiring a minimal chemical treatment and minimal or no chemical structure change to the  $\beta$ -glucan structure are desirable.

To keep the native structure of the  $\beta$ -glucans while rendering the  $\beta$ -glucans water soluble by, e.g., controlling molecular weight there is a need for a mild  
15 manufacturing process in which  $\beta$ -glucan is not degraded or chemically altered during the process, a sufficient yield of  $\beta$ -glucan is obtained and undesirable components such as proteins, lipids, other polysaccharides as well as other undesirable components in the  $\beta$ -glucan source are removed from the  $\beta$ -glucan compositions.

20 Nonetheless,  $\beta$ -glucans are recently in demand for a variety of applications such as immunostimulants for animal feed use, immunostimulants, and/or cholesterol treatments, and/or as ingestible fiber sources for human use, as treatment for agriculture, and for use in skin treatment products such as moisturizers.

The mechanism of the effect of  $\beta$ -glucans, and  $\beta$ -1,3-D-glucans in particular,  
25 is not yet fully understood but appears to depend upon, in part, the specific molecular structure, which is influenced by the molecular weight and the solubility of the polymers. Certain  $\beta$ -glucans have been found to be more effective than others, with  $\beta$ -1,3-D-glucans being especially effective.

### Summary

Disclosed are glucosamine and/or N-acetylglucosamine compositions, including glucosamine and/or N-acetylglucosamine composition products suitable for human or animal consumption. The disclosed glucosamine and/or N-  
5 acetylglucosamine compositions are derived from fungal biomass containing chitin. Suitable starting materials include microbial fungal sources, such as fungal sources derived from *Aspergillus sp.*, *Penicillium sp.*, *Mucor sp.*, and combinations thereof. Use of a fungal biomass results in high quality glucosamine compositions that are generally uniform with low levels of impurities. The glucosamine compositions  
10 normally have relatively low ash content, and are free of or substantially free of heavy metal contaminants. In addition, as a product of fungal biomass, the glucosamine and/or N-acetylglucosamine compositions do not pose a hazard to persons who have shellfish allergies. That is, tropomyosin and other such muscle-derived proteins are not present in fungal biomass. Because the disclosed  
15 glucosamine and/or N-acetylglucosamine compositions are not derived from shellfish (or any animal source), the disclosed compositions are both kosher and may be consumed by strict vegetarians. Shellfish and products derived from shellfish are not considered kosher by any guidelines regarding kosher products.

Particular embodiments of the disclosed glucosamine compositions comprise  
20 glucosamine and no shellfish allergens. Other embodiments of the disclosed glucosamine compositions include kosher glucosamine. Other embodiments of the disclosed glucosamine compositions comprise glucosamine and an absence of animal-derived products. Yet other embodiments of the disclosed glucosamine compositions comprise glucosamine and melanoidins. Further embodiments of the  
25 disclosed glucosamine compositions comprise glucosamine, melanoidins, and/or levulinic acid. Other embodiments of the disclosed glucosamine compositions have lipophilic oxygen radical absorbance capacity (ORAC) values of from 30  $\mu\text{mole TE/g}$  to 150  $\mu\text{mole TE/g}$  or from 35  $\mu\text{mole TE/g}$  to 100  $\mu\text{mole TE/g}$  or from 35  $\mu\text{mole TE/g}$  to 50  $\mu\text{mole TE/g}$ . Certain of the N-acetylglucosamine compositions  
30 are kosher N-acetylglucosamine compositions and are free of shellfish allergen (and the threat or uncertainty of containing any shellfish allergens), and are free of such

allergens without need for any purification of the compositions so as to remove doubt of existence of such allergens in the compositions.

Also disclosed are various methods for producing glucosamine compositions by acid hydrolysis of fungal biomass. The methods for obtaining glucosamine compositions from microbial biomass include, for example, reacting chitin-  
5 containing biomass in a relatively concentrated acidic solution at a relatively elevated temperature. Also disclosed are methods for obtaining glucosamine compositions from fungal biomass by, for example, reacting the chitin-containing biomass in a relatively mild acidic solution and then in a relatively concentrated  
10 acidic solution. In an alternative embodiment, the microbial chitin-containing biomass is reacted with a basic solution before or after acid hydrolysis treatment. In yet another embodiment, fungal biomass is treated with an acidic solution at an elevated temperature and/or pressure to produce glucosamine compositions.

Also disclosed are methods for producing either glucosamine or N-  
15 acetylglucosamine. In certain of these embodiments greater than about 80% of the chitin remaining in the fungal biomass is converted to N-acetylglucosamine (NAG). In particular embodiments the fungal biomass is pretreated with an enzymatic pretreatment and/or a mild acid pretreatment to partially breakdown the biomass cell walls and to convert certain undesirable products to soluble forms. The solids are  
20 separated and an enzymatic treatment is next utilized to convert the chitin to NAG. In certain embodiments the resulting product including the desired N-acetylglucosamine product also includes undesirable products such as glucose. Accordingly, in some embodiments, the N-acetylglucosamine and glucose mixture is treated again with certain enzymes to convert the glucose to ionic forms that are  
25 readily removed from the N-acetylglucosamine in the mixture. In other embodiments, the enzymes to convert chitin to NAG and the enzymes to convert glucose to ionic forms are applied in a single step.

Some embodiments of the disclosed method also include a further purification of the N-acetylglucosamine composition. In yet other of the disclosed  
30 methods, the purified N-acetylglucosamine is treated to form N-acetylglucosamine crystals. In other of the disclosed methods, the purified N-acetylglucosamine composition is deacetylated to form glucosamine hydrochloride.



In alternative embodiments, the biomass is pretreated enzymatically and/or with a mild acid precook, the chitin is enzymatically converted to N-acetylglucosamine and the resulting composition is then deacetylated to form glucosamine. In other embodiments, the biomass is pretreated enzymatically and/or with a mild acid treatment, the chitin is converted enzymatically to N-acetylglucosamine, the glucose is converted enzymatically to ionic forms, and the N-acetylglucosamine is deacetylated to form glucosamine. In other embodiments the biomass is pretreated enzymatically and/or with a mild acid pretreatment, the chitin is converted to N-acetylglucosamine enzymatically, and glucose present in the N-acetylglucosamine composition is enzymatically treated for removal, the N-acetylglucosamine composition is next purified and the purified N-acetylglucosamine composition is deacetylated to form glucosamine.

Also disclosed are  $\beta$ -glucan compositions comprising water-soluble  $\beta$ -glucans. In certain composition embodiments the  $\beta$ -glucan compositions comprise water-soluble  $\beta$ -glucans derived from fungal biomass. In some embodiments the water-soluble  $\beta$ -glucans compositions comprise  $\beta$ -1,3-D-glucans having an average molecular weight of less than about 1,000,000. Certain embodiments include water-soluble  $\beta$ -glucans compositions comprising  $\beta$ -1,3-D-glucans having a molecular weight range of from about 346 to about 5,000,000. In other embodiments the water-soluble  $\beta$ -glucan compositions comprise at least about 50 % by weight  $\beta$ -1,3-D-glucans. In other embodiments the water-soluble  $\beta$ -glucan compositions comprise at least about 70 % by weight  $\beta$ -1,3-D-glucans. In certain embodiments the water-soluble  $\beta$ -glucan compositions comprise a ratio of  $\alpha$  to  $\beta$  of about 1 to about 8. In other embodiments the water-soluble  $\beta$ -glucan compositions comprise a ratio of  $\alpha$  glycosidic linkages to  $\beta$  glycosidic linkages of from about 1 to about 20 to about 1 to about 5. In certain embodiments the water-soluble  $\beta$ -glucan compositions comprise at least about 50 to 70 % by dry weight 1,3- $\beta$ -D-glucans and less than about 8 % by dry weight 1,4- $\beta$ -D-glucans.

In some embodiments the  $\beta$ -glucans in the water-soluble  $\beta$ -glucan compositions have solubilities in aqueous solution of from about 20 wt% to about 60 wt% or from about 30 wt% to about 50 wt% or from about 40 wt% to about 50wt%.

Also disclosed are (1) methods of producing the above-described  $\beta$ -glucan compositions, (2) methods of isolating  $\beta$ -glucans, (3) methods of isolating  $\beta$ -glucans from fungal biomass sources by forming aqueous soluble fungal  $\beta$ -glucans, (4) methods of treating animal feed, crops, and/or humans with the disclosed  $\beta$ -glucan compositions. Particular embodiments of the disclosed methods produce  $\beta$ -glucan compositions include a mild manufacturing process in which  $\beta$ -glucan molecular weights are controlled by the process, a sufficient yield of  $\beta$ -glucan obtained and undesirable components such as proteins, lipids, other polysaccharides as well as other undesirable components in the  $\beta$ -glucan source are removed from the  $\beta$ -glucan compositions.

### Drawings

FIG. 1 is a prior art flow diagram illustrating a process for producing glucosamine from shellfish.

FIG. 2 is a flow diagram of one of the disclosed methods for producing particular embodiments of the glucosamine compositions.

FIG. 3 is a flow diagram of another of the disclosed methods for producing embodiments of the glucosamine compositions.

FIG. 4 is a chart showing the percent yield of glucosamine in an embodiment of the disclosed glucosamine composition produced using an embodiment of the glucosamine composition methods.

FIG. 5 is a liquid chromatogram of an embodiment of the disclosed glucosamine compositions.

FIG. 6 is a liquid chromatogram of an embodiment of the disclosed glucosamine compositions.

FIG. 7 is a series of FTIR spectra showing comparison of certain of the presently disclosed glucosamine compositions to glucosamine materials derived from shellfish.

FIG. 8 is an HPLC chromatogram that compares water-soluble components of an embodiment of the disclosed composition to glucosamine derived from fungal biomass indicating that no levulinic acid or glucose was detected in the shellfish-derived glucosamine.

FIG. 9 is a flow diagram of certain of the disclosed methods for producing embodiments of the glucosamine and/or N-acetylglucosamine compositions.

FIG. 10 is a graph illustrating the percent of N-acetylglucosamine obtained over time.

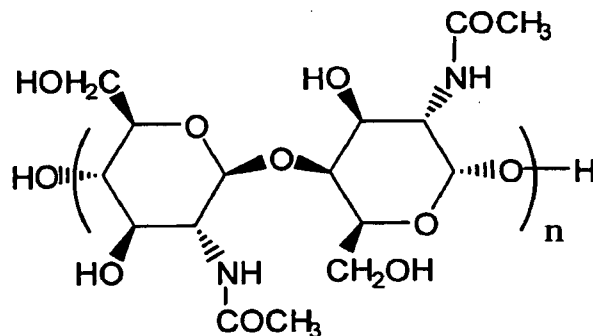
5 FIG. 11 is an HPLC chromatogram illustrating N-acetylglucosamine separated from other components using a cation exchange resin.

FIG. 12 is a flow diagram of certain of the disclosed methods for producing embodiments of purified, dry  $\beta$ -glucan compositions.

10 FIG. 13 is a flow diagram of certain of the disclosed methods for producing embodiments of purified or non-purified, liquid or solid  $\beta$ -glucan compositions.

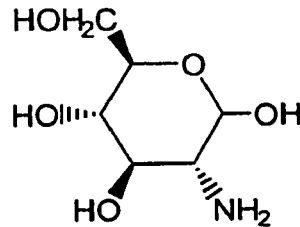
### Detailed Description

Disclosed are glucosamine and/or N-acetylglucosamine compositions and glucosamine and/or N-acetylglucosamine composition products, such as food  
 15 supplements, suitable for human or animal consumption. The glucosamine and/or N-acetylglucosamine compositions are derived from chitin present in various types of fungal biomass. Chitin is a natural polysaccharide, with the structure of an unbranched polymer of 2-acetoamido-2-deoxy-D-glucose (poly(N-acetyl-D-glucosamine)). Chitin contains N-  
 20 acetylglucosamine units and may also contain up to about 50% deacetylated units. The formula for chitin can be represented by the general repeating structure:



Chitin is typically an amorphous solid that is largely insoluble in water, dilute acids, and alkali. Although chitin has various commercial applications,  
 25 commercial utility can be found by transforming the polymeric structure into

individual components of 2-amino-2-deoxy-D-glucose, which is known as glucosamine. Structurally, glucosamine is modified glucose with an amine group replacing the OH group found on the carbon two (C-2) atom. The general structure of glucosamine is:



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Chitin can also be depolymerized to form the amino sugar N-acetylglucosamine (NAG). N-acetylglucosamine compositions typically include a single acetylglucosamine monomer, but also can include small amounts of oligomers that have, e.g., two or three acetylglucosamine units. N-acetylglucosamine can be used for various applications, such as food additives, dietary supplements, cosmetics, or in pharmaceutical compositions.

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As stated above, glucosamine compositions disclosed herein include glucosamine and N-acetylglucosamine derived from fungal biomass containing chitin and may include other components as well. Suitable starting materials for producing the glucosamine and/or N-acetylglucosamine compositions include substantially uniform microbial fungal sources, such as fungal sources derived from *Aspergillus sp.*, *Penicillium sp.*, *Mucor sp.*, *Absidia sp.*, *Actinomucor sp.*, *Actostelium sp.*, *Agaricus sp.*, *Allomyces sp.*, *Amylomyces sp.*, *Coprinus, sp.*, *Cunninghamella sp.*, *Didymium sp.*, *Fusarium sp.*, *Gongronella sp.*, *Lentinula sp.*, *Mortierella sp.*, *Mucoriopsis sp.*, *Phycomyces sp.*, *Rhizomucor sp.*, and *Rhizopus sp.*, and combinations thereof. Other useful sources of fungal biomass may include, without limitation, *Absidia ramosa*, *Gongronella butlerii*, *Mortierella spinosa*, *Mucor racemosus*, *Rhizopus nigricans*, *R. stolonifer*, *R. oryzae*, *A. nidulans*, *Thielavia terricola*, *Saccharomyces cerevisiae*, *Cheatomium lunasporium*, and combinations thereof. Use of a fungal biomass results in a high-quality product that produces glucosamine compositions having low levels of impurities, such as undesirable minerals. The glucosamine compositions normally have relatively low ash content

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and thus, no or at most trace levels of heavy metals. In addition, low ash content provides relatively clear solutions made from the glucosamine compositions.

In addition, because the glucosamine compositions are products of fungal biomass, the glucosamine compositions disclosed herein are not subject to inclusion of the protein allergens found in glucosamine produced from shellfish.

**A. Glucosamine and N-Acetylglucosamine Compositions**

The glucosamine and N-acetylglucosamine compositions may be derived from relatively uniform fungal biomass sources, so that the glucosamine compositions are generally uniform. "Uniform fungal biomass" refers to fungal

biomass comprising substantially the same species grown on substantially the same media, grown in a relatively controlled environment or other such conditions that lead to substantial uniformity in the biochemical make-up of the biomass.

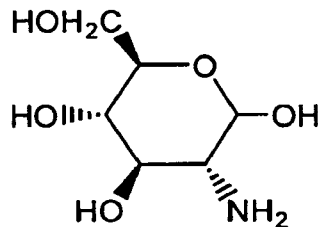
Depending upon the methodology used to purify the glucosamine compositions such as desired glucosamine salt compositions, the resulting glucosamine containing compositions can be produced with varying amounts of glucosamine, including compositions that exceed 95 percent glucosamine, 98 percent glucosamine, and even 99.8 percent glucosamine. The glucosamine compositions can contain additional ingredients, such as salts, melanoidins and acids, e.g., levulinic acid (as discussed below). Certain of the glucosamine compositions include 0.01 to 10% glucose, 0.01 to 5% glucose, or 0.01 to 2% glucose.

Likewise, depending upon the methodology used to purify the N-acetylglucosamine compositions, the resulting N-acetylglucosamine containing compositions can be produced with varying amounts of N-acetylglucosamine, including compositions that exceed 90 percent N-acetylglucosamine, 95 percent N-acetylglucosamine, and even 99.8 percent N-acetylglucosamine, by weight. In addition, certain embodiments of the methods (discussed in detail below) disclosed herein for forming the N-acetylglucosamine convert at least about 80% of the chitin obtained from the biomass to N-acetylglucosamine, and some methods disclosed convert at least about 95% of the chitin obtained from the biomass to N-acetylglucosamine.

Unless otherwise indicated, all numbers expressing quantities of ingredients, properties such as molecular weight, percentages, reaction conditions, and so forth

used in the specification and claims are to be understood as being modified by the term "about." Accordingly, unless indicated to the contrary, the numerical parameters set forth are approximations that may depend upon the desired properties sought.

5           The glucosamine in the disclosed compositions has the general formula represented below:



10           This general formula varies in different embodiments of the glucosamine compositions depending upon the presence of various salts of the glucosamine, including citrate, acetate, phosphate, sulfate, chloride, lactate, gluconate, etc. Also, the glucosamine in the glucosamine compositions can be substituted or modified without diverging from the scope of the invention. Thus, as used herein, the term glucosamine refers to the various forms of glucosamine, including salt complexes and substituted glucosamine. Likewise, the term glucosamine composition refers to  
15           compositions including glucosamine in such various forms.

          Embodiments of the glucosamine compositions include particular components in addition to glucosamine, such as chitooligosachharides and products of glucan depolymerization, reaction, and degradation, such as glucose, melanoidins and levulinic acid.

20           Melanoidins are relatively complex, high molecular weight, irregular polymers and are present in particular embodiments of the glucosamine compositions. For example, particular embodiments of the disclosed glucosamine compositions include from 0.001 to 15 wt. % melanoidins or from 0.001 to 1.0 wt. % melanoidins or from 0.01 to 0.1 wt. % melanoidins. Without being tied to any  
25           particular theory, melanoidins are likely formed by the conversion of glucans to glucose to hydroxymethylurfural (HMF) to produce the melanoidins. (The reaction may produce other glucan-derived products and amines from proteins in a biomass

source as well as lipids in such a source.) Such a chemical process is known as the Maillard Reaction.

Levulinic acid (also known as acetyl-propionic acid) is present in particular embodiments of the disclosed glucosamine compositions. Without being tied to any particular theory, levulinic acid is likely formed when glucans in the fungal biomass are converted to glucose, which is converted to HMF to finally form formic and levulinic acids. Levulinic acid is a non-hazardous component that is a valuable acidulant used in such products as carbonated and fruit juice beverages, jams, and jellies. Thus, addition of embodiments of the glucosamine compositions to such products provides an acidulant benefit as well as the benefits provided by the glucosamine in the composition. Particular embodiments of the glucosamine compositions include from 0.0001 to 1 wt. % levulinic acid, or from 0.001 to 0.7 wt. % levulinic acid or from 0.01 to 0.4 wt. % levulinic acid.

Because the melanoidins and levulinic acid are formed when producing the glucosamine compositions according to the disclosed methods, no additional steps must be taken to include such components in the compositions. Melanoidins and levulinic acid were not expected in glucosamine compositions derived from shellfish, and analysis of six lots of glucosamine derived from shellfish (obtained from five different suppliers) did not contain any detectable amounts of melanoidins or levulinic acid.

As discussed, complex carbohydrates in fungal biomass, such as glucans, are converted to melanoidins in the reducing environment of the process. These complex carbohydrates are not present in the shellfish carapaces used in other processes, and so the melanoidins do not form. Comparison of FTIR spectra (FIG. 7) of water-insoluble materials in certain embodiments of the disclosed glucosamine compositions to those found in a typical shellfish-derived glucosamine shows that melanoidins are not present in shellfish derived glucosamine compositions. The FTIR spectrum of the insoluble material from the disclosed glucosamine composition has several broad bands with no fine structure, typical of polymeric materials. The bands between 2800 and 3000 wave numbers in the spectrum of the present compositions are typical of amide groups in melanoidins. The insoluble

material from the shellfish derived glucosamine product has no such indications of the presence of melanoidins in the FTIR spectra.

Because melanoidins are irregular polymers with reduced carbon, some degree of conjugation exists between the pi bonds. This conjugation results in the typical tan to brown color of melanoidins. Such coloration was clearly present in  
5       embodiments of the presently disclosed glucosamine compositions but was absent in the shellfish-derived glucosamine samples again indicating that shellfish derived glucosamine compositions do not include melanoidins.

Melanoidins are reported to possess antioxidant and/or free radical  
10       scavenging character. See, e.g., Gow-Chin Yen, et al., *Antioxidant Activity and Scavenging Effects on Active Oxygen of Xylose-Lysine Maillard Reaction Products*, J. Sci. Food Agric., 67, 415-420 (1995); K. Eichner, *Antioxidant Effect of Maillard Reaction Intermediates*, Prog. Fd. Nutr. Sci., 5, 441-451 (1981); Fumitaka Hayase, et al., *Scavenging of Active Oxygens by Melanoidins*, Agric. Biol. Chem, 53(12),  
15       3383-3385 (1989); Dejian Huang, et al., *High-Throughput Assay of Oxygen Radical Absorbance Capacity (ORAC) Using a Multichannel Handling System Coupled with a Microplate Fluorescence Reader in 96-Well Format*, J. Agric. Food Chem., 50, No. 16, 4437-4444 (2002), each of which is incorporated herein by reference. Certain embodiments of the glucosamine compositions disclosed have lipophilic  
20       oxygen radical absorbance capacity values (lipo-ORAC values) of from 30  $\mu$ mole TE/g (TROLOX equivalent per gram) to 150  $\mu$ mole TE/g or lipo-ORAC values of from 35  $\mu$ mole TE/g to 100  $\mu$ mole TE/g or from 35  $\mu$ mole TE/g to 50  $\mu$ mole TE/g. TROLOX is also known as 6-hydroxy-2,5,7,8-tetramethyl-2-carboxylic acid.

The lipo-ORAC values may be determined, e.g., by use of an ORAC assay  
25       using fluorescein (FL) as a fluorescent probe as discussed in Dejian Huang, et al., *Development and Validation of Oxygen Radical Absorbance Capacity Assay for Lipophilic Antioxidants Using Randomly Methylated  $\beta$ -Cyclodextrin as the Solubility Enhancer*, J. Agric. Food Chem., 50, No. 7 (2002), which is incorporated herein by reference. Randomly methylated  $\beta$ -cyclodextrin (RMCD) is used as a  
30       water solubility enhancer for lipophilic antioxidants. Seven percent RMCD (w/v) in a 50% acetone-water mixture is used to solubilize the lipophilic antioxidants in 75 mM phosphate buffer (pH 7.4). When using TROLOX as the standard (1.0),  $\alpha$ -



tocopherol, (+)- $\gamma$ -tocopherol, (+)- $\delta$ -tocopherol,  $\alpha$ -tocopherol acetate, tocotrienols, 2,6-di-*tert*-butyl-4-methylphenol, and  $\gamma$ -oryzanol have ORAC values of 0.5 +/- 0.02, 0.74 +/- 0.03, 1.36 +/- 0.14, 0.00, 0.91 +/- 0.04, 0.16 +/- 0.01, and 3.00 +/- 0.26, respectively, when using this method.

5 Levulinic acid and glucose, present in certain embodiments of the disclosed glucosamine compositions are not expected to be present in glucosamine derived from shellfish. High performance liquid chromatography demonstrates the differences between embodiments of the glucosamine composition disclosed herein and shellfish-derived glucosamine compositions. Neither levulinic acid nor glucose  
10 was detected in any shellfish-derived glucosamine products.

Specifically, samples of the present compositions and shellfish-derived glucosamine compositions were dissolved in 0.01 N sulfuric acid at a concentration of 4% w/v. Diluted samples were filtered through 0.2 mm nylon filters into HPLC vials. Chromatograms were collected using a Metacarb H Plus column (Varian,  
15 Inc., Torrance, CA) using 0.01 N sulfuric acid as the eluent at 0.4 mL/min. Peaks were identified by retention time against known standards. As is apparent in FIG. 8, levulinic acid and glucose were present only in the presently disclosed glucosamine compositions and not in the shellfish derived compositions.

With reference to Table 1, embodiments of the glucosamine compositions  
20 comprise glucosamine derived from fungal biomass and may also comprise one or more of the listed components in Table 1, those shown in Table 2 and other components as discussed herein. Concentrations of each component may be within the ranges shown or may be varied by altering any of a variety of production parameters.

25 **Table 1**

<b>Glucosamine Composition Components</b>	<b>Representative Embodiment Percent by Weight</b>	<b>Representative Embodiment Percent by Weight</b>	<b>Representative Embodiment Percent by Weight</b>
Glucosamine	85-99.8	95-99.8	98-99.8
Melanoidins	0.001-15	0.001-1.0	0.01-0.1
Levulinic Acid	0.0001-1	0.001-0.7	0.01-0.4
Glucose	0.001-10	0.001-5	0.001-2
Citric Acid	0.001-10	0.01-1.0	0.025-0.5

With reference to Table 2, two specific embodiments of the glucosamine compositions are set forth. The methods utilized to determine the components present and concentrations of the same are set forth below.

**Table 2**

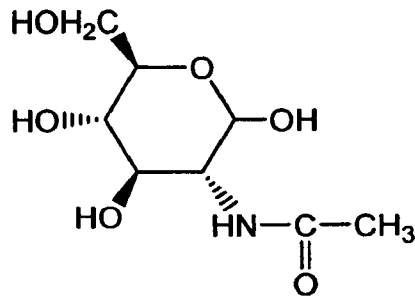
<b>Composition Component</b>	<b>*Embodiment 1 (GP-11)</b>	<b>*Embodiment 2 (GP-17C)</b>
Ash Content	0.03%	0.02%
Si	140 ppm	150 ppm
Na	10-100 ppm	10-100 ppm
K	10-100 ppm	10-100 ppm
Ca	10-100 ppm	10-100 ppm
HCL	0.16%	0.19%
Citric Acid	0.045%	0.074%
Levulinic Acid	0.39%	0.3%
Melanoidins	0.04-0.07%	0.02-0.03%
Water-insoluble matter soluble in gastric juice at ~40°	0.05%	0.02%

5 \*Percentages listed are percents by weight

Certain embodiments of the glucosamine compositions have relatively low ash content. The ash content may be less than 5 percent, less than 2 percent, or less than 1 percent. There are little if any heavy metal components in the glucosamine compositions; the heavy metal component concentrations in the disclosed glucosamine compositions are well below 100 parts per million, more typically below 50 parts per million, even more typically below 20 parts per million. In certain embodiments the heavy metal components are present in less than 10 parts per million.

15 The glucosamine component of the glucosamine compositions can have a positive specific rotation, such as a positive 69 to 74 degree specific rotation for the glucosamine hydrochloride salt. The glucosamine compositions are usually relatively white when in purified dry form, but colorless when dissolved in an aqueous solution. In one example, a 20 percent by weight solution of the glucosamine has an American Public Health Association (APHA) color of less than 50.

The N-acetylglucosamine in the disclosed compositions has the general formula represented below:



This general formula varies in different embodiments of the N-acetylglucosamine compositions depending upon the presence of oligomers of N-acetylglucosamine, such as dimers, trimers, and so on, up to about ten repeat units. Also, the N-acetylglucosamine in the N-acetylglucosamine compositions can be substituted or modified without diverging from the scope of the invention. Thus, as used herein, the term N-acetylglucosamine refers to the various forms of N-acetylglucosamine, including oligomers. Likewise, the term N-acetylglucosamine composition refers to compositions including N-acetylglucosamine in such various forms.

The glucosamine and/or N-acetylglucosamine compositions may also be combined with further components to form a food supplement for human and/or animal ingestion. For example, the glucosamine and/or N-acetylglucosamine compositions may be further combined with excipients, common pharmaceutical binders (e.g., sucrose, glucose, ethyl cellulose, methyl cellulose, polyvinyl pyrrolidone, polyethylene glycol, lactose, dicalcium phosphate, croscrovidone, croscarmellose, and the like), common organic acids (e.g., citric acid, malic acid, tartaric acid, lactic acid, and the like), and/or carbohydrates (e.g., starch, glucose, sucrose, and the like). Such glucosamine and/or N-acetylglucosamine compositions may also be combined with sugars, artificial sweeteners, natural and artificial colors, natural and artificial flavorings, acidulants, thickeners, and the like, to form a variety of food supplements. Such glucosamine and/or N-acetylglucosamine composition food supplements are typically made into food and/or supplement beverages, bars, concentrates, dry or concentrated drink mixes, powders, chews, confections, gums, yogurts, patches, lozenges, and the like.

## B. Fungal Biomass Starting Materials

Suitable starting materials for producing the disclosed glucosamine compositions include microbial biomass sources, typically fungal biomass, such as filamentous fungi having greater than 10 percent chitin by total dry cell weight, such as fungal sources derived from *Aspergillus sp.*, *Penicillium sp.*, *Mucor sp.*, *Absidia sp.*, *Actinomucor sp.*, *Actostelium sp.*, *Agaricus sp.*, *Allomyces sp.*, *Amylomyces sp.*, *Coprinus sp.*, *Cunninghamella sp.*, *Didymium sp.*, *Fusarium sp.*, *Gongronella sp.*, *Lentinula sp.*, *Mortierella sp.*, *Mucoriopsis sp.*, *Phycomyces sp.*, *Rhizomucor sp.*, and *Rhizopus sp.*, and combinations thereof. Suitable fungal biomasses include *Aspergillus niger*, *Aspergillus terreus*, *Aspergillus oryzae*, *Mucor rouxii*, *Penicillium chrysogenum*, *Penicillium notatum*, *Saccharomyces cerevisiae*; *Saccharomyces uvarum*; *Absidia ramosa*, *Gongronella butlerii*, *Mucor racemosus*, and in particular *Candida guilliermondi*, *Aspergillus niger*, and *Aspergillus terreus*. The biomass may be recovered from a commercial fermentation reaction, such as the commercial production of organic acids, including citric acid. Also, biomass suitable for production of glucosamine and/or N-acetylglucosamine can be generated specifically for this process and not as a byproduct of other processes. As used herein, the term microbial does not include phyto-plankton and crustaceans or mollusks.

Biomasses having chitin levels in excess of 5 percent of the dry biomass weight are suitable for practicing the methods disclosed. Such biomass usually has between 5 and 25 percent chitin, and can have from 10 to 20 percent chitin, based upon dry weight of the biomass. Also, in order to prepare food or supplemental grade glucosamine and/or N-acetylglucosamine compositions it is sometimes desirable that the microbial biomass be grown in a substantially controlled manner having relatively uniform temperature and/or nutrient levels during the growth of the biomass. Nutrient levels can be controlled by any suitable manner, for example as disclosed in U.S. Patent Nos. 2,739,923, 2,353,771, and 2,674,561, which are incorporated herein by reference.

The same microbial biomass sources, typically fungal biomass sources, may be used for producing the  $\beta$ -glucan compositions disclosed herein. In certain embodiments, the  $\beta$ -glucan source is the "waste" product separated from the liquids

in the glucosamine and/or N-acetyl glucosamine methods disclosed herein. As disclosed herein (see, e.g., FIGS. 2, 3, and 9-11) presently disclosed is an overall process for developing glucosamine compositions, N-acetylglucosamine compositions, and  $\beta$ -glucan compositions all from a single starting source of fungal biomass. Conventional processes for producing glucosamine and/or N-acetylglucosamine composition products require the undesirable shellfish source and any of the three compositions require the use of undesirable chemical processes and/or produce relatively large amounts of process chemical waste, including strong acid and base wastes that can be expensive to dispose of properly. In addition, the existing processes for producing glucosamine compositions, N-acetylglucosamine compositions, or  $\beta$ -glucans compositions recovered only those individual compositions from the source (i.e., produce only the glucosamine or N-acetylglucosamine or  $\beta$ -glucan products but did not produce more than one product). The multiple product approach disclosed herein results in more efficient use of capital, lower costs to manufacture, less process chemical waste and more efficient use of the raw materials (less waste of the source materials).

**C. Methods for Producing Fungal Biomass Glucosamine Compositions**

Also disclosed are methods for producing glucosamine compositions from fungal biomass sources, including producing such compositions by acid hydrolysis of fungal biomass. Acid hydrolysis breaks ether linkages in the biomass and deacetylates chitin molecules to generate free glucosamine. Acid hydrolysis can break the chitin into glucosamine, but leaves the glucosamine molecule substantially intact. Depending upon the acid hydrolysis parameters, acid hydrolysis conditions break down other components (such as glucans, proteins, and lipids) that exist in the fungal biomass.

In one specific of the disclosed method for producing glucosamine compositions from fungal biomass, acid hydrolysis is performed by treating fungal biomass for a relatively long period of time, for example greater than 4 hours, in a relatively aggressive acid solution.

With reference to FIG. 2, chitin-containing fungal biomass (a) may first be reacted in a relatively aggressive acidic solution (c). Relatively strong (aggressive) acids may be used to hydrolyze the fungal biomass, including acids of

concentrations less than 50 percent. Acids of concentrations of from 5 to 25 percent are also suitable. Suitable strong acids include hydrochloric, sulfuric, phosphoric, and citric acid at appropriate concentrations.

In particular embodiments of the disclosed methods particular glucosamine compositions are formed by an aggressive acid treatment, reacting from 5 to 20 percent acid with from 2 to 50 percent pretreated biomass (based upon dry weight, although the biomass is typically processed with water present) and from 35 to 93 percent water. In certain implementations the reaction mixture comprises from 8 to 12 percent hydrochloric acid, from 4 to 8 percent biomass (based upon dry weight), and from 80 to 90 percent water. In yet another embodiment, the acid solution is from 17 to 20 percent hydrochloric acid solution.

The aggressive acid treatment mixture containing the biomass, acid, and water is heated and maintained at a relatively elevated temperature. The mixture is usually heated to a temperature at or near its boiling point (typically 90 °C to 106 °C) and maintained under reflux conditions for 5 hours or greater, more typically greater than 8 hours, and usually less than 16 hours. The reaction may continue long enough to have a complete breakdown of the chitin, but not so long as to be inefficient or to excessively decompose the glucosamine compositions.

Although reaction in the relatively aggressive acid solution produces a glucosamine composition, subsequent purification steps may be taken. A first purification step may include a separation step, such as filtration, to remove particulate impurities, resulting in a substantially clear solution of the glucosamine composition, (d) in FIG. 2. The solution contains an embodiment of glucosamine composition as well as small quantities of glucose and other components of the composition. The glucosamine composition can be concentrated and some of the acid recovered can be recycled and reused.

The glucosamine composition may be crystallized, (e) in FIG. 2. For example, the glucosamine composition may be crystallized by adding ethanol to the concentrated solution or by continuing evaporation to the glucosamine composition solubility limit.

The glucosamine composition can be recovered by a separation process, such as filtration or centrifugation, followed by drying. The dried glucosamine

composition is optionally further treated to remove undesirable residual sugars. One method of removing such sugars is by dissolving the glucosamine composition in water and adding ethanol to again precipitate the glucosamine composition while undesirable sugars remain in solution. Alternatively, the solution can be treated by  
5 electro dialysis, chromatography, membrane filtration, or other suitable procedures to further increase the concentration of glucosamine in the glucosamine composition. The glucosamine composition may optionally be decolorized and/or deodorized by, for example, treating the composition with ethanol, carbon, or other suitable material or method.

10 Such an aggressive acid hydrolysis method typically has a yield of glucosamine composition of greater than 50 percent of the total chitin content of the fungal biomass starting material.

In an alternative embodiment of the method set forth above, the biomass can initially be treated to remove some impurities and/or to improve glucosamine  
15 composition production. These treatments can include, for example, heating the biomass, adding digestive enzymes, mixing with an acid or base, mechanical agitation, ultrasonic cell disruption, or dewatering by compression. One optional treatment for removing proteins, lipids, and residual citric acid involves pretreating the biomass in the presence of a base, such as sodium hydroxide ((b) in FIG. 2).

20 In certain embodiments a concentration of less than 10 percent sodium hydroxide is added to the fungal biomass. The basic solution is heated to a relatively elevated temperature for a period of time sufficient to remove a desirable amount of the non-chitin containing material, such as proteins and lipids. This period of time may be less than two hours. One specific example of this  
25 pretreatment method involves heating the fungal biomass to from 100° to 125 °C in a 1 to 8 percent solution of sodium hydroxide for 20 to 60 minutes. Alternatively, the sodium hydroxide concentration may be 1 to 4 percent. Embodiments wherein the biomass is treated with a basic solution, protein and glucans are hydrolyzed in the biomass. These byproducts may optionally be removed by, for example,  
30 filtration. The removal of such proteins and other waste products may be followed by treatment to remove soluble proteins, amino acids, and other impurities.

An alternative to treating the biomass with a basic solution could include, for example, treating the fungal biomass in solution with protease enzymes or other suitable enzymes to remove undesirable components such as proteins and lipids. Yet another alternative embodiment comprises mechanically treating the fungal biomass to physically break down the cell walls so that undesirable proteins and lipids within the cells can be removed prior to extracting the chitin from the cell walls themselves. In yet another alternative embodiment, alcohols are used to remove undesirable components from the fungal biomass prior to acid hydrolysis.

In another embodiment of the method for producing glucosamine compositions from fungal biomass, the biomass material may undergo a mild acid pre-treatment followed by an aggressive acid treatment.

More specifically, with reference to FIG. 3 chitin-containing biomass (a) may first undergo a mild acid pre-treatment (d). The acid hydrolysis conditions (parameters comprising time, temperature, and acid concentration) used are "mild" in comparison to the subsequent aggressive acid treatment (f). The acid hydrolysis that occurs under the relatively mild conditions allows removal of undesirable constituents from the biomass prior to the aggressive acid treatment (f). A mild acid treatment therefore may be used to improve any one of several aspects of producing the glucosamine composition from fungal biomass. A mild acid can be used to break down the cell walls of the fungal biomass such that extraneous biomass constituents, such as proteins, lipids and undesirable polysaccharides can be removed prior to hydrolyzing the chitin. The acid concentration during mild acid treatment may be from 0.01 to 20% or 0.1 to 15%, or from 0.5 to 5% w/w acid, such as HCl. The mild acid pretreatment may also be carried out using organic acids, such as citric, oxalic, malic, maleic, itaconic, or succinic acids. These organic acids may be used at concentrations ranging from 0.01 to 16 wt% at temperatures between 110°C to 200°C for 10 minutes to 15 hours. Lower acid concentrations require longer reaction times, higher temperatures, or both. For example, 0.1% citric acid can be used at a temperature of about 130 to 160°C for two to six hours. A 15% citric acid solution can be used at temperatures between about 110 and 130°C for 15 minutes to three hours. Higher concentrations of strong acid solutions or the use of different acids or mixed acids may be used to break down the cell walls more



quickly, yet reaction conditions must be adapted to control the undesirable, premature conversion of the chitin to glucosamine. Likewise lower concentrations of strong acids, weak acids or mixed acids may be used (especially at relatively higher temperatures, for longer time periods, or at higher concentrations) such that the cell walls are sufficiently broken down to afford removal of a substantial or desirable portion of the extraneous biomass constituents, e.g., lipids, proteins and undesirable polysaccharides.

A mild acid treatment (d) may be performed by reacting the following components: from 0.05 to 20 percent acid, and from 1 to 50 percent biomass (based upon dry weight). In certain implementations the mild acid reaction mixture comprises from 0.1 to 12 percent hydrochloric acid, and from 3 to 25 percent biomass (based upon dry weight). In yet another embodiment the solution amounts comprise from 0.5 to 5 percent hydrochloric acid and from 5 to 15 percent biomass (based upon dry weight).

The mild acid treatment may be carried out at a temperature of 60°C to 200°C or from 100°C to 165°C, or at a temperature of 125°C to 145°C. Higher temperatures may be used as long as it is not so high as to convert a significant amount of the chitin to soluble forms. Likewise, lower temperatures (such as 60°C-90°C) may be used (especially with relatively concentrated strong acids, such as HCl) as long as the cell walls are sufficiently broken down to release the waste products, e.g., lipids, proteins, and undesirable polysaccharides, without converting a significant amount of chitin to soluble forms. As used herein "a significant amount of chitin to soluble forms" in regard to the described processes means less than an amount that would provide a low yield of glucosamine in the final glucosamine composition, less than 10% of the chitin, or less than 5% of the chitin, or less than 2% of the chitin.

Prior to or following the mild acid treatment, the fungal biomass (a) (or the solids (e) retained after the mild acid treatment (d) removal of the undesirable products) may optionally be treated with a mildly basic solution (b) as described above and as referenced in FIG. 3. Although method steps are shown and described in specific orders, it is to be understood that the order of these steps may be varied without departing from the disclosed methods.

The solids (e) retained after the mild acid treatment (and optionally the mild base treatment (b)) are then treated with an aggressive acid (f) as discussed in the embodiment above. In this embodiment, however, a large portion of the impurities, primarily glucans, have already been removed from the solution (between steps (d) and (e)). Accordingly, the aggressive acid treatment (f) to convert chitin in the remaining solids from the fungal biomass to a glucosamine composition requires significantly less acid. For example, with an aggressive acid treatment under conditions such as 17% HCl and 10% dry biomass solids for 9 hours at 100°C, the hydrochloric acid needed in the aggressive acid step could be reduced by from 20 to 60%.

When a mild acid pretreatment and waste product removal process is performed prior to an aggressive acid treatment, because less acid need be used, the amount of final resulting waste solution (between steps (h) and (i)) is a significantly smaller volume as compared to the method omitting the mild acid pretreatment. The acid needed to treat the biomass is typically expensive; a smaller volume of acid is a significant cost savings, especially when producing the product on a commercial scale. The smaller volume of acidic solution also allows for smaller separation apparatus to separate the glucosamine composition from the acidic solution. Because apparatus needed to separate such a concentrated acid solution must be formed of special (and expensive) materials resistant to the corrosive activities of concentrated acids, smaller separation apparatus saves a significant amount in costs of manufacturing glucosamine compositions from fungal biomass, especially on a commercial scale. When a mild acid pretreatment precedes the aggressive acid treatment the smaller volume of acidic solution results in less waste solution to be treated once the glucosamine composition is removed therefrom.

Glucosamine compositions are formed during the aggressive acid treatment following a mild acid pretreatment in the same manner as compositions formed with aggressive acid treatment alone.

When the chitin in the remaining solid (e) is treated with the aggressive acid (f), glucans not removed in the preceding separation process are converted to beneficial glucosamine composition components, such as melanoidins and levulinic acid. To alter the concentrations of such components of the glucosamine

composition, one may allow more of the glucans to remain in the remaining solid (e).

Process steps following the aggressive acid treatment (f) are substantially similar to those discussed above.

5 In yet another embodiment of the methods for producing glucosamine compositions from fungal biomass, increased temperatures and/or pressures are utilized with an aggressive acid treatment. This allows the reaction to occur using less acid or in a shorter time period than the above-mentioned aggressive acid treatment. Temperature ranges for this the increased temperature, aggressive acid  
10 treatment are from 90°C to 160°C, for example, from 105°C to 160°C. The pressure may be allowed to build as a function of reactions taking place in a sealed vessel.

More specifically, fungal biomass is treated at the aggressive acid treatment phase with an acid, such as from 4 to 20% acid or from 6 to 13%. The lower  
15 concentrations of acid still convert the chitin in solution to glucosamine because the reaction conditions are changed to increase the temperature and/or the pressure parameters. Specifically, the acid/biomass solution is placed in a sealed vessel such that the reaction may take place at pressures of slightly over atmospheric to 10  
20 atmospheres, or slightly over atmospheric to 4 atmospheres, such as at 2 atmospheres. The increased pressures may be due to the reaction taking place at an increased temperature in a sealed vessel or the reaction may take place in a vessel in which the pressure is otherwise made to increase.

The temperature, if elevated, is preferably from 90°C to 160°C, or 100°C to 140°C, such as 110°C to 130°C. The reaction may take place at such elevated  
25 temperatures at the pressures set forth above or outside a closed vessel at atmospheric pressure. If the temperature of the reaction takes place at from 90°C to 160°C in a closed vessel, the pressures will generally be at atmospheric pressure to 5 atmospheres (65 psig). Good results are obtained with, e.g., a reaction temperature of 120°C and a pressure of 1 atmosphere (or 15 psig).

30 Other methods of increasing the temperature are available and included in the methods proposed, for example, increasing the boiling point by adding salts.

The remainder of the increased temperature and/or pressure methods for producing glucosamine compositions from fungal biomass follows those steps

outlined in the above-described methods (such as shown in FIGS. 2 or 3). Specific examples of the increased temperature and/or pressure methods for producing glucosamine compositions are set forth below.

**D. Methods for Producing Fungal Biomass N-acetylglucosamine  
Compositions or Glucosamine Compositions**

Also disclosed are methods for making N-acetylglucosamine (NAG) and/or glucosamine compositions from fungal biomass wherein an enzymatic pretreatment step, a separation step and subsequent second enzymatic step are utilized. In addition, disclosed are methods for making N-acetylglucosamine and/or glucosamine compositions from fungal biomass wherein a mild acid pretreatment step (or other pretreatment step), a separation step and subsequent enzymatic step to convert chitin to N-acetylglucosamine are utilized. Further disclosed are methods wherein glucose present in the composition after converting chitin to N-acetylglucosamine is enzymatically treated to convert the glucose to removable ionic forms. Also disclosed are methods wherein the N-acetylglucosamine compositions are deacetylated to form glucosamine compositions.

Fungal biomass typically includes a significant portion (at least 15% and up to about 50% to about 60%) of glucans intermixed with the chitin. In addition, because glucans are not water soluble they are not readily removable from the fungal biomass. In prior methods, such as disclosed in U.S. Patent No. 6,693,188, enzymes are utilized to degrade glucans in the biomass at the same time as the chitin is degraded by the enzymes to convert the chitin to NAG. Unfortunately, such methods provide a disappointing amount of chitin in the biomass being converted to NAG, in large part because the glucans are still present in the biomass when the available chitin is being converted to N-acetylglucosamine by enzymatic treatment. Such glucans interfere with the availability of chitin for interaction with the enzymes, leaving a large portion of the chitin intact (that is, a large portion of the chitin is not converted to NAG). In addition to the '188 patent method's low percent conversion of chitin in the fungal biomass to NAG, the conversion rate is also relatively slow (about 72 hours is needed to attain maximum conversion of chitin to NAG). Table 3 below shows comparison data for the treatment of biomass with a pretreatment step prior to a conversion step with such a method wherein no

pretreatment was performed prior to conversion of the chitin to N-acetylglucosamine.

5 Table 3 shows a comparison of high NAG yields obtained by enzymatic digestion of chitin from pretreated fungal biomass, versus, low yields and long reaction times in untreated biomass.

**Table 3**

Treatment	Time (hr)	Solids (g/l)	Theoretical Chitin (g/l)	Theoretical NAG (g/l)	Sample NAG (g/l)	Sample Glc (g/l)	% NAG Liberated
188 Patent	24	17.6	3.161	3.45	0.235	0	6.8
188 Patent	48	17.6	3.161	3.45	0.508	0	14.7
188 Patent	72	17.6	3.161	3.45	0.65	0	18.9
mild acid chitinase/ cell	20	29.6	11.11	12.1	11.6	6.6	95.5
Mild acid chitinase	7	25	7.5	8.18	8.24	6.37	100.7

**Glc = glucose**

The first three listed treatments in Table 3 were performed as described in  
 10 US Patent 6,693,188. The fourth and fifth treatments utilized the presently disclosed  
 methods using an embodiment of the mild (0.1% citric acid) acid-pretreated  
 biomass. Enzymatic digestion in treatment 4 used a combination of chitinase and  
 cellulase, whereas, the enzymatic digestion in treatment 5 used only chitinase.  
 Treatments 4 and 5 demonstrate rapid conversion of chitin to NAG, as compared to  
 15 the art described in the '188 patent. Moreover, greater than 95% of the chitin was  
 digested in treatments 4 and 5, indicating greater availability of chitin to enzyme  
 digestion due to biomass pretreatment. The ability of chitinase to bind and rapidly  
 digest chitin in pretreated biomass is further demonstrated in FIG. 10, which shows  
 the relative rate of NAG formation during the conditions used in treatment 4 of  
 20 Table 3. In this treatment, over 80% of chitin digestion has occurred by 7 hours.

Unexpected superior results were found when the presently disclosed  
 methods were utilized such that the fungal biomass was treated first with an enzyme  
 pretreatment such that a large portion of the glucans were readily removable so as no  
 longer present to compete with the chitin for exposure to and conversion by the  
 25 enzyme treatment. More specifically, in certain embodiments of the disclosed  
 methods the fungal biomass is first subjected to an enzymatic pretreatment step.

The biomass is pretreated not only to release for separation at least a portion of proteins, lipids, and polysaccharides from the biomass but also such that a significant portion of the glucans (about 30% of the glucans present in the biomass or more) are pretreated with enzymes so as to convert the glucans to soluble and thus removable form.

With reference to FIG. 9, the fungal biomass is subjected to a pretreatment step A. Pretreatment step A breaks down the at least a portion of the glucans in the biomass but does not act to convert a significant portion of the chitin to soluble forms, such as to N-acetylglucosamine or glucosamine. As used herein “a significant amount of chitin to soluble forms” in regard to the FIG. 9 described processes means less than an amount that would provide a low yield of glucosamine in the final glucosamine composition, e.g., less than about 30% of the original chitin, or less than 10% of the chitin, or less than 5% of the chitin, are converted to soluble forms. The undesirable glucans and other undesirable components of the biomass are separated from the chitin, for example, by filtration.

More specifically, the pretreatment step A removes at least some of the glucans, proteins, and/or lipids in the fungal biomass source by converting those to soluble forms, which are then separated from the solid fraction, e.g., by filtration, as described below (in certain embodiments, about 30 % of the polysaccharides are converted to soluble forms). The remaining solids contain a significantly enhanced concentration of chitin, which in the treatment step converts the chitin to NAG or to glucosamine (described below). If a pretreatment step converted chitin to soluble forms, such as to glucosamine, NAG or oligomers of NAG, these soluble forms would be removed in the separation step between the pretreatment and treatment and result in yield losses. With the pretreatment step A, such as the mild acid pretreatment, e.g., a citric/high temperature method, there is little if any conversion of chitin to a soluble form. Thus, the mild acid pretreatment (discussed below) converts a significant portion of the glucans, polysaccharides, lipids and proteins to soluble forms without converting a significant portion of the chitin to soluble forms.

In pretreatment step A1, the biomass is mixed with enzymes so as to convert a significant portion (at least about 20%) of the glucans present in the biomass to soluble form(s). The enzymes are typically microorganism derived. The enzymes

may be introduced to the biomass in the presence of the microorganisms from which they are derived, which has the advantage of avoiding a step of separating the enzymes from their source organisms. Inclusion of the source organisms can be advantageous in implementation where the microorganisms continue to create enzymes after having been added to the fungal biomass.

Suitable enzymes for the pretreatment step (A1 on FIG. 9) include glucanases, laminarases, proteases or cellulases, such as to partially break down fungal biomass cell walls and to convert solid glucans as well as lipids and/or proteins to soluble forms without converting a significant portion of the chitin to soluble forms, such as glucosamine or N-acetylglucosamine. The soluble glucans and other undesirable soluble components of the fungal biomass are then removed by separating (using conventional means such as filtration, centrifugation, decantation, or other reasonable separation methods) the solids from the liquids.

In alternative embodiments other pretreatment steps may be used in combination with or as alternatives to the enzymatic pretreatment step A1. In certain embodiments, the fungal biomass is pretreated with a mild acid pretreatment, see A2. Such a mild acid pretreatment step is described above in relation to the glucosamine composition methods.

Specifically, in certain embodiments, the biomass material may undergo a mild acid pretreatment (A2, FIG.9) followed by the enzyme treatment (B, FIG. 9). Similar as shown in FIG. 3 for forming a glucosamine composition, in this embodiment for producing N-acetylglucosamine the chitin-containing biomass (a) first undergoes a mild acid pre-treatment (d). The acid pretreatment conditions (parameters comprising time, temperature, acid type, and acid concentration) used are "mild" in comparison to the aggressive acid treatment (f) as used in certain of the glucosamine producing methods. The acid hydrolysis that occurs under the relatively mild conditions allows removal of undesirable constituents from the biomass prior to the enzyme pretreatment A1 or the enzyme treatment B as shown in FIG. 9.

A mild acid can be used to break down the cell walls of the fungal biomass such that proteins, lipids and undesirable polysaccharides can be removed prior to converting the chitin to N-acetylglucosamine through enzyme treatment (B, FIG. 9).

The acid concentration during mild acid treatment may be from 0.01 to 20% or 0.05 to 1% w/w acid, such as HCl or one or more organic acids, such as citric acid. Acid percentage ranges vary depend upon the pretreatment temperature and time. Higher concentrations of strong acid solutions or the use of different acids or mixed acids  
5 may be used to break down the cell walls more quickly, yet reaction conditions must be adapted to control the undesirable, premature conversion of the chitin to soluble forms. Likewise lower concentrations of strong acids, weak acids or mixed acids may be used (especially at relatively higher temperatures, for longer time periods, or at higher concentrations) such that the cell walls are sufficiently broken down to  
10 afford removal of a substantial or desirable portion of the extraneous biomass constituents, e.g., lipids, proteins and undesirable polysaccharides.

A mild acid treatment may be performed by reacting the following components: from 0.01 to 16% citric acid, and from 5 to 30% biomass (based upon dry weight). In certain implementations the mild acid reaction mixture comprises  
15 from 0.01 to 3% hydrochloric acid, and from 3 to 25% biomass (based upon dry weight). In yet another embodiment the solution amounts comprise from 0.05 to 5 percent hydrochloric acid and from 5 to 15 percent biomass (based upon dry weight).

The mild acid treatment may be carried out at a temperature of 60°C to  
20 200°C or from 70°C to 105°C, or at a temperature of 80°C to 120°C. Higher temperatures (e.g., 100-200°C with an organic acid, such as citric acid) may be used as long as it is not so high as to convert a significant amount of the chitin to soluble forms. Likewise, lower temperatures (such as 60°C-90°C) may be used (especially with relatively concentrated strong acids) as long as the cell walls are sufficiently  
25 broken down to release the waste products, e.g., lipids, proteins, and undesirable polysaccharides, without converting a significant amount of chitin to soluble forms. As used herein "a significant amount of chitin to soluble forms" means less than an amount that would provide a low yield of N-acetylglucosamine in the final N-acetylglucosamine composition, less than 10% of the chitin, or less than 5% of the  
30 chitin, or less than 2% of the chitin.

Prior to, following or instead of the enzyme pretreatment and/or the mild acid pretreatment, the fungal biomass (or the solids retained after the mild acid



treatment and removal of the undesirable products) may optionally be treated with a mildly basic solution (A3, FIG. 9) as described above and as referenced in FIG. 3 in relation to the glucosamine composition methods. Although method steps are shown and described in specific orders, it is to be understood that the order of these steps may be varied without departing from the disclosed methods.

For example, in certain embodiments a concentration of less than 10 percent sodium hydroxide is added to the fungal biomass or the solids separated following the pretreatment using enzymes and/or mild acid pre-cook. The basic solution is heated to a relatively elevated temperature for a period of time sufficient to remove a desirable amount of the non-chitin containing material, such as proteins and lipids. This period of time may be less than two hours. One specific example of this pretreatment method involves heating the fungal biomass or separated solids to from 100° to 125 °C in a 1 to 8 percent solution of sodium hydroxide for 20 to 60 minutes. Alternatively, the sodium hydroxide concentration may be 1 to 4 percent. Embodiments wherein the biomass is treated with a basic solution, protein and glucans are hydrolyzed in the biomass. These byproducts are removed by, for example, filtration. The removal of such proteins and other waste products may be followed by treatment to remove soluble proteins, amino acids, and other impurities.

Yet another alternative to treating the biomass with an enzyme pretreatment or a mild acid or basic pretreatment may comprise mechanically and/or ultrasonically pre-treating the fungal biomass to physically break down the cell walls so that undesirable proteins and lipids within the cells can be removed prior to extracting the chitin from the cell walls themselves. Such mechanical treatment could be followed by any of the other disclosed pretreatments, A1-A3, FIG.9.

Following pretreatment of the fungal biomass the solids are isolated using any suitable separation method, such as filtration, filter pressing, and/or decanter centrifuge. The separated solids are then subjected to the enzyme conversion treatment to convert the chitin to N-acetylglucosamine (B, FIG. 9). Again, the enzymes are typically microorganism derived. The enzymes may be introduced to the pretreated solids in the presence of the microorganisms from which they are derived, which has the advantage of avoiding a step of separating the enzymes from their source organisms. Inclusion of the source organisms can be advantageous in

implementation where the microorganisms continue to create enzymes after having been added to the pretreated solids.

Suitable enzymes for the enzyme conversion treatment step (B on FIG. 9) include chitinases, glucanases, cellulases and other enzymes that have specific or non-specific activity that allows them to hydrolyze polymeric or oligomeric forms of chitin and/or glucan, such as laminarase, amylase, glucoamylase, and others. Combinations of enzymes may be used, such as chitinase and cellulase, as demonstrated in Table 4. Under certain reaction conditions, such as varying pH, buffer composition, and temperature, the inclusion of other enzymes, in addition to chitinase, results in more rapid or complete digestion of chitin to NAG.

**Table 4**

NAG from Chitinase and Cellulase

Treatment	pH	Theoretical NAG (g/l)	HPLC NAG (g/l)	Theoretical glucan(%)	Theoretical Glc (g/l)	HPLC Glc (g/l)	% NAG liberated	% Glc liberated	Undig.	Undig.
									Solids (g/l)	Solids %
Cellulase	4.5	10.54	1.5	0.624	17.68	5.983	14.23%	33.84%	18.3	70.9%
Chitinase/Cell	4.5	9.96	7.81	0.624	16.72	6.571	78.35%	39.31%	9.53	39.2%
Chitinase	4.5	8.56	6.18	0.624	14.37	4.728	72.12%	32.91%	9.64	46.1%
Cellulase	5.5	10.54	1.04	0.624	17.68	4.649	9.85%	26.29%	20.05	77.9%
Chitinase/Cell	5.5	9.96	10.52	0.624	16.72	6.773	106%	40.52%	6.72	27.6%
Chitinase	5.5	8.56	7.00	0.624	14.37	5.47	81.71%	38.08%	8.06	38.5%
Cellulase	6.5	10.54	0.858	0.624	17.68	3.838	8.14%	21.71%	21.0	81.8%
Chitinase/Cell	6.5	9.96	10.29	0.624	16.72	6.712	103.3%	40.15%	6.86	28.2%
Chitinase	6.5	8.56	8.60	0.624	14.37	5.372	100.4%	37.39%	6.58	31.4%
Cellulase	7.5	8.56	0.835	0.624	14.37	3.71	9.75%	25.82%	16.3	78.1%
Chitinase/Cell	7.5	9.96	10.05	0.624	16.72	6.786	100.8%	40.59%	7.10	29.2%
Chitinase	7.5	10.54	9.38	0.624	17.68	5.042	89.00%	28.52%	11.0	42.6%

Glc = glucose

The enzyme conversion treatment may take place at a temperature of from about 25°C to about 90°C, or from a temperature of from about 50°C to about 70°C, or preferably at about 50°C to about 55°C. Conversion at such relatively high temperatures provides suppression or destruction of micro-contamination of the resulting N-acetylglucosamine composition with contaminants such as most bacteria, fungi, and yeast.

The pH of the enzyme conversion treatment (B, FIG. 9) may be about 3.0 to about 9.0, or from about 4.5 to about 8.0, or from about 5.0 to about 7.5. Buffers useful for practicing the enzyme conversion treatment include, any suitable buffers,

such as acetates, phosphates, and citrates. The enzyme conversion treatment provides excellent yield of N-acetylglucosamine at a time period of from about 2 hours to about 24 hours, or from about 4 hours to about 16 hours, or for about 5 hours to about 10 hours.

5           Using the disclosed enzyme conversion treatment provides a high yield of chitin to N-acetylglucosamine conversion, certain embodiments convert at least about 90% or more of the chitin in the pretreated solids and in certain embodiments at least about 95% or more of the chitin is converted to NAG. (See Table 4.)

10           Following the enzyme conversion treatment the liquid filtrate is isolated using conventional separation methods such as centrifugation, filtration, etc. The filtrate is then treated to form glucosamine in certain embodiments of the invention (E, FIG. 9). This particular embodiment for forming glucosamine is discussed further below. If the filtrate is not to be treated to form glucosamine from the NAG, then this isolation step can be performed after the enzyme glucose treatment (C,  
15           FIG. 9).

          The liquid filtrate obtained when the chitin is converted to N-acetylglucosamine also contains a significant amount of glucose (from about 10% to about 100% of the NAG concentration - this amount depending upon the extent and effectiveness of the pretreatment step as illustrated in the Examples below) as well  
20           as enzymes, buffers and residual ions from the biomass. Glucose can be separated from the N-acetylglucosamine using chromatographic resins or other similar methods but such separation methods are typically difficult and expensive. The liquid filtrate containing the N-acetylglucosamine and glucose (whether isolated as discussed above or not) in certain embodiments of the disclosed methods is thus  
25           treated to a second enzyme treatment to convert glucose to ionic forms of glucose that are more readily separable from the N-acetylglucosamine (C, FIG. 9). The glucose is thus preferably enzymatically treated to convert the glucose to ionic forms (C, FIG. 9), such as gluconic acid using glucose oxidase. The resulting NAG  
30           compositions may include some minor amounts of remaining glucose as well as the gluconic acid salt or other ionic species, which are then separable from the neutral N-acetylglucosamine molecules along with the enzymes, buffers and other salts that

may be present (see Examples below). Separation methods include ion exchange resins and electro dialysis.

Enzymes suitable for converting the glucose include but are not limited to glucose oxidase, glucose-1-dehydrogenase, and hexokinase.

5 The enzyme conversion treatment may take place at a temperature of from about 40°C to about 60°C, or from a temperature of from about 45°C to about 55°C, or preferably at about 50°C to about 55°C. Conversion at such relatively high temperatures provides suppression or destruction of micro-contamination of the resulting N-acetylglucosamine composition with contaminants such as most  
10 bacteria, fungi, and yeast.

The pH of the enzyme conversion treatment may be about 3.5 to about 7.5, or from about 4.5 to about 7.0, or from about 5.0 to about 6.0. Buffers useful for practicing the enzyme conversion treatment include, any suitable buffers, such as acetates, phosphates, and citrates. The enzyme conversion treatment provides  
15 excellent conversion of glucose at a time period of from about 1 hour to about 24 hours, or from about 2 hours to about 16 hours, or for about 5 hours to about 10 hours.

Using the disclosed enzyme conversion treatment provides a high percent conversion of glucose to gluconic acid. Certain embodiments convert at least about  
20 90% or more of the glucose in the liquid filtrate from treatment B and in certain embodiments at least about 95% or more of the glucose is converted to gluconic acid. (See Table 15.)

Alternatively, other methods may be used to separate glucose from the N-acetylglucosamine, such as, the use of chromatographic resins to separate N-  
25 acetylglucosamine from glucose, salts, buffers, and enzymes. Typical resins are based on affinities for the functional groups of the molecules. Resins based on polysulfones or with free amino groups are suitable for the separation rather than enzymatic treatment.

In certain embodiments for making N-acetylglucosamine compositions steps  
30 B and C (FIG. 9) are conducted simultaneously. That is, in certain embodiments the isolated pretreatment solids are treated with enzymes to convert chitin to N-acetylglucosamine and to convert glucose to ionic forms. Such embodiments

include combinations of enzymes including chitinases or chitinases plus helper enzymes (such as glucanases, cellulases, laminarases, or others) that convert chitin to N-acetylglucosamine, together with glucose oxidase or other enzymes that convert glucose to ionic forms such as gluconic acid. Simultaneous running of steps B and C saves in process costs.

The combined enzyme conversion treatments described in steps B and C may take place at a temperature of from about 40°C to about 60°C, or from a temperature of from about 45°C to about 55°C, or preferably at about 50°C to about 55°C. Conversion at such relatively high temperatures provides suppression or destruction of micro-contamination of the resulting N-acetylglucosamine composition with contaminants such as most bacteria, fungi, and yeast.

The pH of the enzyme conversion treatment may be about 3.5 to about 7.5, or from about 4.5 to about 7.0, or from about 5.0 to about 6.0. Buffers useful for practicing the enzyme conversion treatment include, any suitable buffers, such as acetates, phosphates, and citrates. The enzyme conversion treatment provides excellent conversion of chitin to N-acetylglucosamine and excellent conversion of glucose to gluconic acid at a time period of from about 1 hour to about 24 hours, or from about 2 hours to about 16 hours, or from about 5 hours to about 10 hours. (See Table 18)

Using the disclosed enzyme conversion treatment provides a simultaneous high percent conversion of glucose to gluconic acid, and high conversion of chitin to N-acetylglucosamine. (See Table 18)

With reference to FIG. 9, in certain embodiments, after the glucose enzyme conversion treatment (C, FIG. 9), the N-acetylglucosamine in the broth is converted to glucosamine (E, FIG. 9), as discussed below. In embodiments where a N-acetylglucosamine compositions are desired, the N-acetylglucosamine is separated from the broth (the broth containing the ionic forms of glucose and NAG) utilizing anion-exchange resins, such as Purolite PCR-822 (Purolite Co., Philadelphia, PA) or Diaion UBK530 (Mitsubishi Chemical Corp., Tokyo, Japan), (See Examples below), or affinity chromatography such as polysulfonic acid resins, etc. (D, FIG. 9), or by membrane filtration or electrodialysis. For ion-exchange resin separation, the pH of the broth is adjusted to a range of from about 5 to about 9 using, for example,

sodium hydroxide or potassium hydroxide. Typically, the resins are operated at somewhat elevated temperatures, such as 40-80°C or, more preferably, 50-60°C, to minimize microbiological contamination. The separated N-acetylglucosamine is then crystallized and dried (F, FIG. 9) using conventional methods such as

5 evaporative crystallization or precipitation with water miscible solvents such as ethanol, isopropanol, or acetone, followed by a drying step such as flash drying, double-drum drying, or vacuum drying. Alternatively, the N-acetylglucosamine can be dried using spray drying or double-drum drying with or without a preliminary evaporation/concentration step. Membrane technology can also be used to remove

10 at least some of the water from the N-acetylglucosamine compositions prior to drying, such as the Koch SR3 nanofiltration membrane. Because the N-acetylglucosamine compositions disclosed herein are formed utilizing enzyme conversion of chitin, unlike conventionally available N-acetylglucosamine compositions, the present compositions are not only kosher (not being formed from

15 shell fish) and are shell-fish allergen free, but also are formed from a natural source. Conventional means for making N-acetylglucosamine include deacetylation and reacetylation processes that require chemical structural changes of the molecules.

In certain embodiments of the disclosed methods the N-acetylglucosamine in the filtrates from steps B and/or C is converted to glucosamine (E, FIG. 9). The N-

20 acetylglucosamine is deacetylated to form glucosamine by, for example, acid hydrolysis or enzymatic deacetylation. The N-acetylglucosamine is converted to glucosamine by adding from about 10-800% molar excess HCL with respect to the N-acetylglucosamine present in the filtrate. For example, a 7 weight % N-

25 acetylglucosamine filtrate would require between about 11 and 80 g HCl per kilogram of filtrate (about 1 to 8 weight%). Higher concentrations of acid yield faster hydrolysis rates and generally require lower hydrolysis temperatures. Other mineral acids, such as sulfuric and phosphoric acid can be used, but their use leads to more difficult purification steps later in the process due to their low volatilities. The HCl filtrate mixture is heated to a temperature of from about 80 to about 100°C

30 (e.g., about 95°C) for from about 1 to about 6 hours (e.g., 3 hours). The N-acetylglucosamine can be deacetylated to glucosamine either without prior conversion of glucose or after the glucose enzyme conversion treatment (C, FIG. 9).

If the N-acetylglucosamine is converted to glucosamine (E, FIG. 9) without prior conversion of glucose (after B, FIG. 9) then in certain embodiments of the disclosed methods a filter press may be used on the glucosamine composition prior to solidifying the glucosamine (F, FIG. 9). The glucosamine composition can be solidified (F, FIG. 9) by conventional methods such as evaporative crystallization, membrane-dewatering crystallization, evaporation or membrane dewatering followed by precipitation using an organic solvent such as ethanol or isopropanol, drying, freeze-drying, etc.

Forming glucosamine with the above-disclosed methods allows for the production of glucosamine using relatively small amounts of acids at relatively low concentrations (e.g., 3% HCl). More specifically, forming the glucosamine compositions from the N-acetylglucosamine compositions as described utilizes at least about 50% less HCl (or other acid) as compared to methods where the glucosamine is formed directly from the chitin. Lower amounts of acid provide lower costs due to less equipment corrosion and the ability to use certain equipment that is otherwise degraded when higher acid concentrations and amounts are needed. In addition, the glucosamine via N-acetylglucosamine conversion methods reduce safety hazards in the plant where the glucosamine is formed in part due to the lower acid concentrations and because the conversion to glucosamine takes place in acids at lower temperatures below the boiling points of the acids used and/or pressures. The lower amounts of acid and lower concentrations also reduce the amount of hazardous waste chemical that must be disposed.

#### **E. $\beta$ -Glucan Compositions**

Glucan can refer to a polymer of glucose in general. There are many types of glucans, which are further defined by the linkage (bonds) between the glucose molecules within the polymer. The glycosidic linkage can be in either an  $\alpha$  or  $\beta$  form since glucose exists as two anomers. The  $\beta$ -glucans have been the focus of dietary fiber compositions, cholesterol lowering compositions and other health benefit uses as well as immunostimulants for animal feed, human use and for use with crops. The general formulas vary in the different  $\beta$ -glucan embodiments as to percentage of  $\beta$ -1,3,  $\beta$ -1,4,  $\beta$ -1,6,  $\beta$ -1,3,6, and the ratio of  $\alpha$  glycosidic linkages to  $\beta$  glycosidic linkages, as well as chain lengths and molecular weight distributions.

As used herein,  $\beta$ -glucan composition or  $\beta$ -glucans mean, at least in part, a group of polysaccharides (sugars) composed of  $\beta$ -D-glucose monomers linked together by glycosidic bonds including  $\beta$ -1,3,  $\beta$ -1,4 and  $\beta$ -1,6 glycosidic bonds and may include branches comprising  $\beta$ -1,3,6 glycosidic linkages.

5 Also as used herein, soluble  $\beta$ -glucans means  $\beta$ -glucans soluble in aqueous systems by at least about 20 weight percent. Solubility is defined as the quantity of the  $\beta$ -glucans in the compositions producing stable solutions in aqueous systems, including neutral, acidic or basic solutions, with no visible signs of sedimentation. Solubility is measured by adding a known amount of the  $\beta$ -glucan composition to  
10 the solvent in excess of the solubility, then separating the soluble portion from the undissolved solids and measuring the dry solids in the resulting solution. Separation of the undissolved portion can be achieved by centrifugation or simple settling over time due to gravity. Alternatively, small quantities of the  $\beta$ -glucan composition are added to the solvent until dissolution no longer occurs within a reasonable period of  
15 time, e.g., one to two hours. The sum of the quantities added that produced a stable solution represents the solubility of the composition.

As used here, a stable solution has no visible or substantially no sedimentation, where solids are settling to the bottom or are at the top of the solution. Significantly or substantially no sedimentation means less than about 5 %  
20 insoluble matter in a non-purified composition, or for a purified composition, less than about 2% insoluble matter and preferably less than about 1% insoluble matter, in the stable solution. Another manner in which to determine if the solution is a stable solution such that the solution is water soluble as defined herein is when a beam of light, such as that from a laser pointer used for presentations, largely exits a  
25 cuvette containing a sample solution with the same vector as the incident, or incoming, beam of light. If most or all of the light is scattered in many directions by the sample, it is not a solution, but a suspension - that is, it is not water soluble as defined herein.

For example, a sample of purified, precipitated  $\beta$ -glucan composition was  
30 tested by adding it to water at 5%, 10%, 15%, and 20% by weight. The solutions were mixed using a vortex mixer, then allowed to stand for two hours. At the end of that time, the sediment, if any, was too little to observe with the naked eye. A beam



from a red laser pointer (VWR Scientific), transmitted through the solution and appeared on a piece of white paper behind the sample indicating that the light was not significantly scattered in many directions by the sample.

As used herein, fungal  $\beta$ -glucan or  $\beta$ -glucans means a group of fungal polysaccharides (sugars) composed predominately of  $\beta$ -D-glucose monomers linked together by glycosidic bonds including  $\beta$ -1,3,  $\beta$ -1,4 and  $\beta$ -1,6 glycosidic bonds and may include branches comprising  $\beta$ -1,3,6 glycosidic linkages. Soluble fungal  $\beta$ -glucan or  $\beta$ -glucans means a group of fungal polysaccharides (sugars) composed predominately of  $\beta$ -D-glucose monomers linked together by glycosidic bonds including  $\beta$ -1,3,  $\beta$ -1,4 and  $\beta$ -1,6 glycosidic bonds and may include branches comprising  $\beta$ -1,3,6 glycosidic linkages wherein the  $\beta$ -glucans are soluble in aqueous systems by at least about 20 weight percent. Having  $\beta$ -glucans from a fungal derived source is especially useful with the  $\beta$ -glucan composition when used in connection with treating crops. Fungal source  $\beta$ -glucans can be effective in protecting plants from fungal attack, as the  $\beta$ -1,3-glucans from fungal biomass can stimulate an antifungal immune response.

As used herein the term hydrolyze means to cleave bonds in a polymer or compound through the addition of water. Wherein, as used herein, water is not considered to be an acid.

The  $\beta$ -glucan compositions disclosed herein may be in purified or crude form and may be solids or liquids, such as syrups. The  $\beta$ -glucan compositions include aqueous system soluble  $\beta$ -glucans and certain embodiments include water soluble  $\beta$ -glucans. The disclosed soluble  $\beta$ -glucans are from fungal biomass and are in a natural state as chemicals (other than water) are not used in the disclosed processes such that the structure of the  $\beta$ -glucans in the disclosed compositions have minimal overall change to the chemical structure or the forms of the functional groups, such as those caused by oxidizing agents. Soluble  $\beta$ -glucan compositions are especially useful for certain applications. For example, soluble  $\beta$ -glucans are easily transported through the digestive track and are transportable into the circulatory system. In addition, with a soluble, purified  $\beta$ -glucan composition it is much easier

to ensure that the dosage of  $\beta$ -glucan given has a measurable active parameter to ensure safety.

In certain embodiments the soluble  $\beta$ -glucans are collections of polysaccharides having an average molecular weight of from about 342 to about 1,000,000. In certain embodiments greater than about 80% by weight of the soluble  $\beta$ -glucans have an average molecular weight of from about 1,000 to about 1,000,000. The average molecular weight depends upon the process conditions, such as temperature, time and acidity, as discussed below. If purified by precipitation, the average molecular weight may also depend upon the ratio of organic solvent to water and temperature. A relatively high molecular weight  $\beta$ -glucan will have a lower solubility in a solvent, so a lower solvent water ratio leads to higher average molecular weight product.

Size Exclusion Chromatography using Dionex Summit Liquid Chromatography system (Dionex, Sunnyvale, CA) with TSK-GEL<sup>®</sup> columns, G4000PWXL, G3000PWXL and G2500PWXL 7.8 mmx30cm (Tosoh Bioscience, Montgomeryville, PA), in series were employed for measurement of soluble  $\beta$ -glucan molecular weight at 30°C. Water and Pullulan (Shodex, P-82, MW 5,650 – 710,500, American Polymer Standards, Mentor, OH), glucose, maltose, maltotriose, and maltohexose (Sigma, St. Louis, MO) were used as eluent and calibration standard. The flow rate was 0.5 mL/minute. The data was processed using Cirrus<sup>®</sup> GPC software (Polymer Laboratories, Amherst, MA).

Certain embodiments of the disclosed soluble  $\beta$ -glucan compositions meet the following criteria (all values are weight percent of dry product):

**Table 5**

Component	Minimum	Maximum	Range
Lipids	0	0.5	0.01 to 0.1
Protein	0	15	3.0-12.0
Ash	0	1	0.1-0.5
Carbohydrates	80	100	85-98
Dietary Fibers	80	100	80-98

Certain embodiments of the disclosed soluble fungal  $\beta$ -glucans meet the following criteria

**Table 6**

Type of Glycosidic linkage	Percentage of total linkages
1,3	81%
1,4	10%
1,6	5%
1,3,6	3%
$\alpha/\beta$ ratio	1/8 (89% $\beta$ ) or from about 1/20 to about 1/5

Other embodiments of the disclosed soluble fungal  $\beta$ -glucan compositions meet the criteria in Table 7.

5

**Table 7**

Composition Characteristic	Range 1	Range 2	Range 3
% Solubility in Water	20-70	30-60	40-50
Average Molecular Weight	1000- 2,000,000	2,000- 1,000,000	5,000- 500,000
$\beta$ -1,3	50-90	60-90	70-85

10

Certain embodiments of the soluble fungal  $\beta$ -glucan compositions disclosed herein were compared to non-fungal  $\beta$ -glucans to confirm the understanding that fungal  $\beta$ -glucans contain a large percent by weight  $\beta$ -1,3-glucan. Specifically, soluble fungal  $\beta$ -glucan of the present disclosure formed using methods as disclosed herein to isolate  $\beta$ -glucans from an *A. niger* source were compared to barley glucans with results as shown below in Table 8.

**Table 8**

Glucan Source Characteristic	<i>A. niger</i>	Barley
	80% -1,3 linkage and slightly branched (3%)	Unbranched chain with single $\beta$ -1,3 linked cellotriosyl and cellotetraosyl units arranged randomly
-1,3	81%	30%
-1,4	10%	70%
-1,6	5%	None
-1,3,6	3%	None
% $\beta$ linkages	89%	100%
Molecular Weight Range	342 to 5500 x 10 <sup>3</sup>	9000 to 5400 x 10 <sup>3</sup>
Solubility	40 – 45% (r.t.)	N/A

Certain of the  $\beta$ -glucan compositions are useful as dietary fiber constituents. Dietary fiber is not digested before reaching the colon, i.e., the portion of polysaccharides not digested in the small intestine is considered dietary fiber. The digestibility is a key measure of dietary fiber. Digestibility measures the fraction of the glucans that are broken down to glucose before reaching the colon. Certain  
 5 embodiments of the fungal  $\beta$ -glucans include glucans wherein 79-87 weight % of the glucans are not digestible in the small intestine, and are thus considered dietary fiber.

To determine digestibility of the  $\beta$ -glucan compositions, an in vitro  
 10 digestibility assay as follows was used. The test determines the fraction of digestible fiber in a sample by exposing the sample to reconstituted rat intestinal powder. This material contains all the contents of the rat intestine including the intestinal enzymes. Indigestible fiber is considered dietary fiber. First, 2 ml of a 2  
 15 %  $\beta$ -glucan solution was mixed with 0.1 g of rat intestinal powder (Sigma, I1630, St. Louis, MO), 20  $\mu$ L of 5% NaN<sub>3</sub>, 0.5 ml of 20 mM phosphate buffer, pH 6.5, and 1.48 ml of water. The reaction mixture was incubated at 37 °C with slight shaking. A 0.5 ml fraction of the samples were taken at time 0 and 4 hr with a graduated  
 20 disposable pipet after thorough mixing, and mixed with 1 ml of 1.0 N HCl to stop further enzyme activities. The reaction mixture was filtered using a syringe filter. The glucose content was determined by HPLC using a Varian MetaCarb H Plus  
 column, 300 x 7.8 mm (Varian, Walnut Creek, CA). The mobile phase was 0.01 N H<sub>2</sub>SO<sub>4</sub> and the flow rate was 0.4 mL /min. Refractive index detector was used as the  
 detector.

The digestibility was calculated as follows:

$$25 \quad \text{Glc (\%)}_{\text{Sample}} = [C_{\text{Standard}} \times (\text{PA}_{\text{Sample}}/\text{PA}_{\text{Standard}}) \times 1.5 \text{ mL} \times D] \times 100/C_{\text{Sample}}$$

Where:

Glc (%)<sub>Sample</sub> = glucose % by weight in the sample

C<sub>Standard</sub> = conc. of the standard in mg/mL

PA<sub>Sample</sub> = peak area of the sample

30 PA<sub>Standard</sub> = peak area of the standard

D = dilution factor, 6

C<sub>Sample</sub> = conc. of the sample in mg/10 mL

$$\text{Digestibility} = [\text{Glc}(\%)_{4\text{hr}} - \text{Glc}(\%)_{0\text{hr}}] / (1 - \text{Glc}(\%)_{0\text{hr}})$$

**Table 9**

Sample	Digestibility (%)
Fungal $\beta$ -Glucan 1	13.09
Fungal $\beta$ -Glucan 2	7.96
Barley Beta Fiber	10.98
FiberSol 2H	18.83

5 Barley beta fiber was obtained from Cargill Health & Food Technologies(Excelsior, MN). FiberSol 2H, Matsutani Chemical (Japan).

Purity as defined herein refers to the percentage of the  $\beta$ -glucan composition susceptible to depolymerization by specific enzymes. A purity test serves two functions, in that it reports the percentage of the composition susceptible to the enzyme(s) used, and it serves to illustrate the differences between glucan compositions.

Purity of certain embodiments of the disclosed fungal  $\beta$ -glucan compositions were determined using an enzymatic test that determines the fraction converted to glucose by specific enzymes. This test arose on the basis that glucans can be complex mixtures of different biopolymers, so the test determines the “purity” of the particular glucan structure susceptible to the specific enzymes in the test kit. Enzymes comprising lichenase and  $\beta$ -glucosidase, obtainable from Megazyme International Ireland Limited(Bray, Co. of Wicklow, Ireland), are designed to measure the “purity” of glucans derived from grains, such as oats or barley. These enzymes are highly origin specific. That is to say grain-originated glucans require grain-originated enzyme system and fungal glucans require fungal enzyme systems. Different enzyme systems require different conditions such as temperature, pH, buffer system for optimal activities. The grain enzymes do not affect significantly the glucans from fungal biomass such as *A. niger*. If an enzyme, such as Genencor  $\beta$ -glucanase 750L available from Genencor, Rochester, NY, is utilized, certain embodiments of the disclosed fungal  $\beta$ -glucan compositions have a glucan purity of from about 60% to as high as 100% by weight, depending on test conditions, certain fungal biomass glucan compositions have a 100% by weight glucan purity. The specificity of the enzymes required to break down the glucans differentiates the structures of glucans from various sources.

**Table 10**  
Glucan Purity Results Utilizing Different Enzyme Systems

Sample	Purity (weight %)		
	MegaZyme Test Kit <sup>1</sup>	Sigma <i>A. niger</i> $\beta$ -Glucanase <sup>2</sup>	Genencor $\beta$ -Glucanase <sup>3</sup>
Barley Flour <sup>4</sup>	4.08	Not tested	Not tested
Fungal Glucan 1 <sup>5</sup>	36.45	19.54	89.17
Fungal Glucan 2 <sup>5</sup>	12.49	21.91	90.50

- 5 1. MegaZyme Test Kit comprising enzymes lichenase and  $\beta$ -glucosidase the procedure provided by the manufacturer was strictly followed to test the glucan purity of a barley flour standard (provided by the manufacturer, MegaZyme International, Ireland) and glucans isolated from fungal biomass. The end product, glucose percentage was determined by an HPLC method.
- 10 2. Sigma *A. niger*  $\beta$ -glucanase (available from Sigma, #49101, St. Louis, MO) was used in excess enzyme capacity at the optimal condition: 50 mM sodium acetate buffer, pH 5.0, 37°C for 1 hour.
3. Genencor  $\beta$ -glucanase 750L (102-03338-001, Genencor, Rochester, NY) was used in excess enzyme capacity at pH 4.0, at 60°C for 17 hours.
- 15 4. Barley flour standard was provided by the test kit manufacturer (MegaZyme International, Ireland). The reported  $\beta$ -glucan content is 4.19% by weight.
5. Two batches of  $\beta$ -glucans were prepared in-house (see Example G3).

20 There is no one universal enzymatic method to determine the purity of all different kinds of glucans. Therefore the purity of glucan is dependent on the enzyme system and its reaction condition used for determination. Thus, disclosed is the specific test method used to determine purity of the disclosed glucan compositions.

25 Embodiments of the soluble fungal  $\beta$ -glucan compositions were analyzed for purity levels as follows. An amount of 20 mg of the  $\beta$ -glucan composition was dissolved in 25 mL of water. A fraction comprising 0.1 mL of the sample was transferred into a 16 x 100 mm test tube having a Teflon-lined screw cap. A fraction of 1 mL of enzyme solution was mixed with the sample. The mixture was then

incubated at 60 °C in a water bath for 17 hours. At the end of the incubation, 3.9 mL of water was added. The reaction mixture was filtered and the glucose content was measured using a Dionex HPLC consisting of a Model LC25 column oven, GP50 gradient pump, ED40 electrochemical detector, AS40 autosampler, and EG40 eluent generator with PAD (pulsed amperometric detector).

For each sample a sample blank was prepared by adding 1 mL of water instead of 1 mL of enzyme solution.

The  $\beta$ -glucan composition purity was calculated as follows:

$$\text{Purity (\%)} = C_{\text{Std}} \times \left[ \frac{(PA_{\text{Sample}} - PA_{\text{Sample Blank}})}{PA_{\text{Std}}} \right] \times 50 \times 25 \text{ mL} \times \frac{(162/180)}{W_{\text{Sample}}}$$

#### F. Methods for Producing Fungal $\beta$ -Glucan Compositions

Also disclosed are methods for making soluble  $\beta$ -glucan compositions from fungal biomass. With reference to FIG. 12, the fungal biomass is chosen as discussed above. In certain embodiments the biomass is typically composed of about 60-65 weight % glucan, 18-22 % chitin and proteins, lipids, mannans, and galactans. The biomass starting material may be *A. niger* which may have been first used to produce citric acid (thus there may be residual citric acid in the starting material) and may then have been used to produce glucosamine and/or N-acetylglucosamine. Thus, the soluble glucan compositions may be formed as a by-product of any or all of these other methods and products.

Water is added to the biomass to obtain an about 5-18% or 8-14 % solids mixture. (The biomass may contain certain acids, depending on the source of the biomass or certain acids could be added, such as polycarboxylic acids, e.g., citric acid, oxalic acid, maleic acid, itaconic acid, succinic acid or mixtures thereof.) In some embodiments water is added to obtain between about 9-13% solids in the mixture. The mixture is then put into a precook tank and may be agitated gently (low shear) as well as heated to above the boiling point of water, e.g., about 110°C or such as from about 110° to about 200°C for 10 minutes to about 15 hours, or 1-8 hours or for several hours such as from about 4 to about 6 hours. This step is performed to solubilize the  $\beta$ -glucans – in other words, the starting material glucans in the fungal biomass are insoluble prior to this treatment and are soluble at about 20

to 70 wt% after this treatment step. The time, temperature and citric acid content of the starting material are intertwined parameters. So, if there is a higher citric acid content then the time and temperature can both be lowered and the  $\beta$ -glucans still solubilized. In a preferred embodiment the mixture is heated and gently agitated for  
5 4-6 hours when the starting material includes about 0.4 % citric acid.

The mixture is then cooled for to less than 80°C. The product is filtered and in preferred embodiments it is rinsed to increase the recovery of soluble glucans and decrease the saccharide loading (for portions that will be used to form glucosamine and/or N-acetylglucosamine products. The separation is alternatively performed  
10 using other separations methods such as a decanter centrifuge, filter press, vacuum filter, or rotary drum filter. The rinse is typically an aqueous rinse.

The filtrate is then concentrated using, e.g., membrane filtration (increases solids and allows selection of molecular weight range of the product). Other concentration methods can be used, such as thermal evaporation with vacuum,  
15 infrared drying, drum drying, spray drying from about 2-10 DS to about 40-50 DS, where DS is the total percent dry solids in the solution.

The product is then precipitated using ethanol (or any water miscible solvent, such as isopropanol, n-propanol, acetone, or acetonitrile). The ratio of solvent to water can range from about 1:2 to about 6:1, depending on the solvent used and the  
20 desired molecular weight range of the  $\beta$ -glucan composition. The mixture is allowed to digest for 15 minutes to about four hours to increase the average particle size of the precipitate and reduce the inclusion of impurities. There are typically impurities present such as glucose, salts and other impurities from the biomass such that concentration and precipitation leaves certain of these impurities in solution,  
25 while the desired  $\beta$ -glucan composition becomes insoluble. In certain embodiments the precipitation is unneeded if membrane filtration was utilized as that would remove the impurities. So certain embodiments after evaporating the material with membrane filtration the ethanol precipitation step may be avoided and the product simply dried. The membrane filtration can be utilized to select desired average  
30 molecular weight ranges for the soluble glucans by selecting membranes of appropriate pore size. Membrane filters are specified in large part by their MWCO (molecular weight cutoff). Using a membrane filter with a MWCO of 2000 would



remove most salts, monosaccharides, and small oligomers having molecular weights of less than about 2000. Membrane filters with MWCO of for example, 5,000, 20,000, or 100,000 can be used separately or in series to provide  $\beta$ -glucan compositions of desired molecular weight ranges and with most impurities removed.

5           The product is optionally filtered and rinsed again as discussed above. The undesirable filtrate typically includes ethanol, water, glucose and other impurities such as small oligomers, etc. that did not precipitate when the ethanol was added (as shown in FIG. 12).

10           The product is then dried by flash drying, vacuum drying, freeze drying, infrared drying, double-drum drying, spray drying and other drying methods known to those of ordinary skill in the art. Preferably the moisture is reduced to about 20 weight percent or less.

15           With reference to FIG. 13, the product may be de-colored by methods available to those of ordinary skill, e.g., resin treatments, through use of activated carbon, decolorizing resins, or other known methods to whiten the  $\beta$ -glucan composition. Products for cosmetics or human consumption may prefer a higher purity, lower color. The de-colored product is then dried using tray drying, flash drying, freeze drying, vacuum drying, spray drying, fluidized bed drying, or other known methods. The drying is preferably carried out at temperatures less than about 20 95°C or such temperatures as might cause color formation, so as to avoid colorizing the de-colored product. As shown in FIG. 13, optionally a syrup may be obtained by not drying the product. The syrup is typically a low viscosity (e.g., 26 centipose). The syrup is an aqueous solution of  $\beta$ -glucan with from about 10 to about 50% solids by weight at room temperature.

## 25    **G.    Examples**

          The invention will be further explained by the following non-limiting illustrative examples. Unless otherwise indicated, all amounts are expressed in parts by weight.

### Example 1

30           Fungal biomass was pretreated with a 4 percent aqueous sodium hydroxide (NaOH) solution in an autoclave at 120 °C for 1 hour. This step removed excess proteins and other undesirable materials. The biomass was then thoroughly washed

with de-ionized water until its pH was approximately 7.0. This washed material was mixed with concentrated hydrochloric acid (HCl) and water to form a mixture of 10 to 15 percent HCl and 5 to 6 percent biomass, based upon dry weight of the biomass. This mixture was heated at reflux. Samples were taken from time to time, and the  
5 reaction analyzed with a high-pressure liquid chromatograph available from Dionex HPLC under the trade designation "DX-500".

The results are provided in FIG. 4, which shows a chart indicating glucosamine production, and shows that the glucosamine was increasingly produced as the reaction ran through 8 hours, but that the amount of glucose diminished after  
10 4 hours. After 8 hours the glucosamine produced in the yield of 14 percent. A chromatogram of the product is shown in FIG. 5.

Following reaction, the mixture was filtered, and the filtrate evaporated using a rotating evaporator manufactured by RotaVap to increase the glucosamine concentration of the solution. The final volume was reduced to 10 to 20 ml. To this  
15 solution was added 20 ml of ethanol and the solution swirled to promote precipitation of glucosamine and enhance yield. These glucosamine precipitates were obtained by filtration and were further washed with alcohol until the color became white. FIG. 6 shows a chromatogram of the product, indicating greater than 97 percent glucosamine in the glucosamine composition.

#### 20 Example 2

Example 1 was repeated, but the pretreated biomass was maintained under reflux conditions for 13 hours. The resulting glucosamine composition contained greater than 98 percent glucosamine.

The foregoing detailed description and examples have been given for clarity  
25 of understanding only. No unnecessary limitations are to be understood from this description or examples. The invention is not limited to the exact details shown and described, for variations will be included within the invention defined by the claims.

#### Example 3

30 Filtered biomass (3900g) from a citric acid production process was combined with 100 mL concentrated hydrochloric acid and 4.5 L water. The resulting solution (0.5% HCl, 7.8% biomass solids) was maintained at 90-100°C for 2 hours. The reaction mixture (71.9g) was filtered and washed with 5 portions of water at 60-

70°C for a total of 400 mL wash. The washed biomass solids weighed 31.5 g and were found to contain 12.5% solids upon drying. The washed biomass solids therefore contained 3.9 g solids out of 71.9 g, or 5.4% solids after mild acid treatment as described above. When compared to the initial 7.8% solids prior to the mild acid treatment a 31% reduction in biomass solids was calculated.

To estimate the amount of the desirable component of the filtered biomass (chitin) sacrificed during the mild acid treatment an aggressive acid treatment was conducted using both pretreated and non-pretreated biomass to produce glucosamine hydrochloride in the following manner:

Dried (pretreated or non-pretreated) biomass (0.40 g) was combined with 3.60 g of 22.5% hydrochloric acid in a small test tube. The resulting solutions (20% HCl, 10.0% biomass solids) were held at 105°C for 2.5 hours in a heat block. Dionex HPLC analysis of the two acid hydrolyzed samples allowed the percent glucosamine hydrochloride by weight to be determined and compared. Specifically, the amount of free glucosamine was determined using high performance anion-exchange chromatography with pulsed amperometric detection (HPAEC-PAD). The system consisted of an EG40 eluent generator, GP50 gradient pump, AS40 autosampler, LC25 column oven, and ED40 electrochemical detector, all produced by Dionex Corporation, Sunnyvale, California, U.S.A. The method was adapted from Dionex Corporation Technical Note 40, incorporated herein by reference. A Dionex CarboPac PA-20 column was used rather than a PA-10 column. The eluent was 8 mM KOH at 0.5 mL/min. The column and detector were maintained at 30°C. The injection volume was 10 µL. The standard was glucosamine hydrochloride at 10.8 mg/L. Samples were diluted with deionized water, ASTM Type II, and filtered through 0.2 µm vial filters in an autosampler. Multiple standards were analyzed before and after each sample set.

The non-pretreated biomass sample contained 2.1% glucosamine hydrochloride. The maximum theoretical amount of glucosamine hydrochloride attainable from the pretreated biomass is 3.0% (assumes all 31% reduction in biomass solids is non-chitin). The pretreated biomass sample was measured at 2.7% glucosamine hydrochloride by weight. Thus, mild acid pretreatment resulted in a

29% chitin-enrichment of the biomass solids, yet reduced the yield of glucosamine hydrochloride from the original biomass by 10%.

#### Example 4

5 Filtered biomass (3900 g) from a citric acid production process was combined with 100 mL concentrated hydrochloric acid and 4.5 L water. The resulting solution (0.5% HCl, 7.8% biomass solids) was held at 90-100°C for 20 hours. The reaction mixture (95.2 g) was filtered and washed with 5 portions of water at 54-70°C for a total of 320 mL wash. The washed biomass solids weighed 26.9 g and were found to contain 16.0% solids upon drying. The washed biomass  
10 solids therefore contained 4.3 g solids out of 95.2 g, or 4.5% solids after a mild acid treatment as described above. When compared to the initial 7.8% solids prior to the mild acid treatment one can calculate a 42% reduction in biomass solids was obtained.

15 To estimate the amount of the desirable component of the filtered biomass (chitin) sacrificed during the mild acid treatment, an aggressive acid treatment was conducted using both pretreated and non-pretreated biomass to produce glucosamine hydrochloride in the following manner:

Dried (pretreated or non-pretreated) biomass (0.40 g) was combined with 3.60 g of 22.5% hydrochloric acid in a small test tube. The resulting solutions (20%  
20 HCl, 10.0% biomass solids) were held at 105°C for 2.5 hours in a heat block. Dionex HPLC analysis (performed as described in Example 4) of the two acid hydrolyzed samples allowed the percent glucosamine hydrochloride by weight to be determined and compared. The non-pretreated biomass sample contained 2.1% glucosamine hydrochloride. The maximum theoretical amount of glucosamine  
25 hydrochloride attainable from the pretreated biomass is 3.6% (assuming all 42% reduction in biomass solids is non-chitin). The pretreated biomass sample was measured at 3.0% glucosamine hydrochloride by weight. Thus, mild acid pretreatment resulted in a 43% chitin-enrichment of the biomass solids yet reduced the yield of glucosamine hydrochloride from the original biomass by 17%.

#### Example 5

30 Filtered biomass (2000 g) from a citric acid production process was combined with 3000 g of a 7.5% hydrochloric acid solution. The resulting solution

(4.5% HCl, 6.0% biomass solids) was held at 90-100°C for 2 hours. A portion (40.7 g) of the reaction mixture was transferred to a 50 mL centrifuge tube. The sample was centrifuged and the liquor was decanted. The remaining solids were subsequently washed five times with 25-30 mL portions of NaOH solution (pH 13.1) then washed four times with 25 mL portions of HCl solution (pH 1.3). A final adjustment of the pH to near neutral afforded the isolation of washed biomass solids by decantation. The biomass solids weighed 5.9 g and were found to contain 14.2% solids upon drying. The washed biomass solids therefore contained 0.84 g solids out of 40.7 g, or 2.1% solids after mild acid treatment as described above. When compared to the initial 6.0% solids prior to the mild acid treatment a 65% reduction in biomass solids was calculated.

To estimate the amount of the desirable component of the filtered biomass (chitin) sacrificed during the mild acid treatment an aggressive acid treatment was conducted using both pretreated and non-pretreated biomass to produce glucosamine hydrochloride in the following manner:

Dried (pretreated or non-pretreated) biomass (0.10 g) was combined with 1.90 g of 20.3% hydrochloric acid in a small test tube. The resulting solutions (19.3% HCl, 5.0% biomass solids) were held at 105°C for 4 hours in a heat block. Dionex HPLC analysis (performed as described above) of the two acid hydrolyzed samples allowed the percent glucosamine hydrochloride by weight to be determined and compared. The non-pretreated biomass sample contained 1.0% glucosamine hydrochloride. The maximum theoretical amount of glucosamine hydrochloride attainable from the pretreated biomass is 2.9% (assuming all 65% reduction in biomass solids is non-chitin). The pretreated biomass sample was measured at 2.1% glucosamine hydrochloride by weight. Thus, mild acid pretreatment resulted in a 110% chitin-enrichment of the biomass solids, yet reduced the yield of glucosamine hydrochloride from the original biomass by 28%.

#### Example 6

Filtered biomass (3000 g) from a citric acid production process was combined with 3000 g of 8.7% sodium hydroxide solution. The resulting solution (4.4% NaOH, 8.1% biomass solids) was held at 90-100°C for 45 minutes. The reaction mixture was filtered and washed with water at 40-50°C until the percent

NaOH remaining in the washed biomass solids was less than 0.06%. The washed biomass solids weighed 1479 g and were found to contain 22.9% solids upon drying. The washed biomass solids therefore contained 339 g solids out of 6000 g or 5.7% solids after mild base treatment as described above. When compared to the initial 8.1% solids prior to the mild base treatment a 30% reduction in biomass solids was calculated.

The washed biomass solids obtained from mild base treatment were subsequently subjected to a mild acid treatment. The washed biomass solids (1310 g) was combined with 3665 g of 5.5% hydrochloric acid solution and 25 g of glacial acetic acid. The resulting solution (4.0% HCl, 0.5% acetic acid, 6.0% biomass solids) was held at 90-100°C for 3.5 hours. At this time a portion, 944 g, of the reaction mixture was filtered and washed with 1409 g water in two portions. The washed biomass solids weighed 298 g and were found to contain 12.5% solids upon drying. The washed biomass solids therefore contained 37.3 g solids out of 944 g, or 4.0% solids after mild acid treatment. When compared to the initial 6.0% solids of the mild acid treatment a 33% reduction in biomass solids was calculated. An overall reduction of 53% in biomass solids resulted from the combined effect of mild base treatment followed by mild acid treatment.

To estimate the amount of the desirable component of the filtered biomass (chitin) sacrificed during the mild base and mild acid treatments, an aggressive acid treatment was conducted using both pretreated and non-pretreated biomass to produce glucosamine hydrochloride in the following manner:

Dried (pretreated or non-pretreated) biomass (0.10 g) was combined with 1.90 g of 22.8% hydrochloric acid in a small test tube. The resulting solutions (21.6% HCl, 5.1% biomass solids) were held at 105°C for 4 hours in a heat block. Dionex HPLC analysis (performed as described above) of the three acid hydrolyzed samples allowed the percent glucosamine hydrochloride by weight to be determined and compared. The non-pretreated biomass sample contained 0.92% glucosamine hydrochloride. The maximum theoretical amount of glucosamine hydrochloride attainable from the mild base pretreated biomass is 1.3% (assuming all 30% reduction in biomass solids is non-chitin). The mild base pretreated biomass sample was measured at 1.3% glucosamine hydrochloride by weight. Thus, mild base

pretreatment resulted in a 41% chitin-enrichment of the biomass solids without a reduction in the yield of glucosamine hydrochloride from the original biomass. The maximum theoretical amount of glucosamine hydrochloride attainable from the mild acid pretreated biomass is 2.0% (assumes the overall 54% reduction in biomass solids is non-chitin). The mild acid pretreated biomass sample was measured at 1.5% glucosamine hydrochloride by weight. Thus, mild acid pretreatment following the mild base pretreatment resulted in a 63% chitin-enrichment of the original biomass solids, yet reduced the yield of glucosamine hydrochloride from the original biomass by 25%.

#### 10 Example 7

A biomass sample from a citric acid fermentation process was combined with HCl to form a slurry of 13% HCl and 10.5% biomass solids. The slurry was placed in a sealed reactor and brought to 113°C for 10 hours. Samples of the resulting composition were taken at one hour intervals and were analyzed for glucosamine. These results were then converted to a yield based on the theoretical amount of chitin in the biomass.

This procedure was repeated using slurries of 11% and 9% HCl, with biomass solids of 12%. The results are shown in Table 11.

**Table 11**

% wt/wt HCl	Average Temperature, °C	Average Pressure, psig	Time in hours	% yield glucosamine based on original biomass theoretical chitin
13	113	13	5.3	79
11	113	13	6.8	75
9	113	12	11	70

20

#### Example 8

Citric acid fermentation biomass (*A. niger*) was mixed with hydrochloric acid (JT Baker's 37 percent Reagent Grade) and placed in a sealed small scale microwave digestion bomb, available from Alltech. Prepared samples were placed in a laboratory vacuum oven with no vacuum applied. The oven was capable of maintaining a temperature of 160°C. Samples were prepared and treated under the conditions listed in Table 12 below.

25

Samples were diluted with nanopure water to a concentration range of within the standard range (< 10 mg/L glucosamine) using a Dionex HPLC system

(performing the analyses as described above). Specifically, two dilutions were performed, a 1:50 dilution followed by a 1:6 dilution. The diluted samples were filtered through a 0.45  $\mu\text{m}$  filter and analyzed for glucose and glucosamine concentrations using a Dionex HPLC system.

5           The results are tabulated in Table 12 below. Because each trial had (at the most) four sample points, the highest glucosamine results for each trial were recorded. The sample results were not corrected for any evaporative losses in the sample during the reaction.

**Table 12**

Acid Conc (wt. %)	Biomass Conc (wt. %)	Time (hours)	Temperature ( $^{\circ}\text{C}$ )	% glucosamine yield based on original biomass theoretical chitin
2.2	3.9	4	160	18.2
2.2	3.9	4	160	10.2
2.2	3.9	2.5	160	12.6
2.2	3.9	7	140	11.6
6.2	5.2	5.5	140	17.0
6.2	10.1	5.5	140	17.9
5.7	5.8	6	160	16.3
6.2	10.0	4	140	18.4

10           \*Percent glucosamine yields based on 24% theoretical chitin in dry biomass. Glucosamine yields are not adjusted for evaporative losses. The evaporative loss is shown to provide an indication of a source of error in the bench top test.

15           The results of these embodiments of the glucosamine compositions as shown in Examples 8 and 9 indicate that using the disclosed increased temperature and or pressure methods for making the same indicate that significantly lower amounts or concentrations of hydrochloric acid are required to produce significant yields of the glucosamine compositions.

20           For all sample points selected for Table 12 the glucose concentrations were close to zero.

#### Example 9

25           A variety of embodiments of food supplements incorporating particular embodiments of the glucosamine compositions is shown in Table 13 below (the same basic approach could be used for the disclosed N-acetylglucosamine and/or the soluble glucans compositions and these can be added alone or in addition to glucosamine). The food supplements in these particular examples are in tablet,



capsule, chewable, liquid, or food bar, form but could be in any suitable food supplement physical form.

**Table 13**

Tablet Composition Components	%
Glucosamine HCl	57
Binder	40
Dispersant	2
Flow Enhancer	0.7
Lubricant	0.3
Juice-Based Beverage Composition Components	%
Water	92.93
43 High Fructose Corn Syrup	6.0
25% Citric Acid	0.5
Fruit Punch Flavor	0.1
Glucosamine HCl	0.312
Sodium Chloride	0.05
Carboxymethyl Cellulose	0.05
10% Red 40	0.035
Monopotassium Phosphate	0.025
Potassium Benzoate	0.00021
Chew Composition Components	%
43 High Maltose Corn Syrup	23.17
42 High Fructose Corn Syrup	18.75
Sucrose	10.19
Glucosamine HCl	16.68
Evaporated Milk	7.39
Water	7.39
Coconut oil, 92°F Melting Point	6.49
Lecithin	0.14
Glycerol Monostearate	0.14
Salt	0.3
Chocolate-coating for bar	9.29
Flavor	0.1
Nutrition Bar Composition Components	%
High Fructose Corn Syrup	20
Dark Chocolate Confectionery Wafers	20
Soy Protein Isolate	15
High Maltose Corn Syrup	10
Honey	6
Whey Protein Concentrate	7
Gerkins 10/12 Russet Plus Cocoa	5
Maltodextrin	4
Water	3
Canola Oil	4
Unsweetened Chocolate	2
Glycerine	2
Fine Flake Salt	1
Glucosamine HCl	1

Example 10 - An Embodiment of the Process for Making N-Acetylglucosamine Using Mild Acid Pretreatment and Enzymatic Conversion to N-acetylglucosamine

A 121.5 g sample of fungal biomass containing citric acid and 78.5 g water were mixed in a pressure reactor. The mixture, having 12.0% solid content and 0.6% citric acid, was heated at 150°C under mild agitation. After 4 hours, the reaction was cooled to room temperature. The reaction mixture was filtered through a filter cloth followed by washing with water. A 63% portion of the solids in the biomass was removed in the soluble fraction. The residual solid biomass and soluble glucans in filtrates were subjected to further treatment.

The residual solid biomass was enzymatically treated to convert the chitin to N-acetylglucosamine. Specifically, 4.00g of wet mild acid precooked biomass (equivalent to 0.8g dry wt) were weighed into each of four 50ml screw cap tubes. Acetate buffer of pH 4.5, pH 5.5, pH 6.5, or pH 7.5 was added to separate tubes to bring the volume of each to 25.0 ml. The pH of each tube was adjusted to match that of the starting buffer. A 6 mL aliquot of each biomass suspension was distributed into 3 separate 15ml screw-cap tubes. Chitinase was suspended at 0.0030g/ml in each of the 4 different pH buffers. Treatments having only chitinase received 2 ml of the appropriate pH suspension. Treatments in which chitinase was combined with cellulase received 0.5ml of chitinase suspension and cellulase was added at 0.5ml per treatment to those samples receiving only cellulase, and at 0.375ml to those samples that also received chitinase. Cellulase can be obtained from Genencor International (Rochester, NY) - GC880 Cellulase or from Dyadic International, Inc. (Jupiter, FL) - Neutral Fungal Cellulase. Tubes were incubated at 50°C for 16 hours. Samples were analyzed for NAG and glucose content by HPLC. Treatments labeled KC contain cellulase. Treatments labeled Ch contain chitinase.

Table 14

Treatment	pH	Theoretical	HPLC	Theoretical	Theoretical	HPLC	% NAG liberated	% Glc liberated	Undig.	Undig.
		NAG (g/l)	NAG (g/l)	Glucan (%)	Glc (g/l)	Glc (g/l)			Solids (g/l)	Solids %
KC	4.5	10.54	1.5	0.6244	17.68	5.983	14.23%	33.84%	18.26	70.9%
KC + Ch	4.5	9.96	7.807	0.6244	16.72	6.571	78.35%	39.31%	9.53	39.2%
Ch	4.5	8.56	6.176	0.6244	14.37	4.728	72.12%	32.91%	9.64	46.1%
KC	5.5	10.54	1.038	0.6244	17.68	4.649	9.85%	26.29%	20.05	77.9%
KC + Ch	5.5	9.96	10.515	0.6244	16.72	6.773	105.53%	40.52%	6.72	27.6%
Ch	5.5	8.56	6.997	0.6244	14.37	5.47	81.71%	38.08%	8.06	38.5%
KC	6.5	10.54	0.858	0.6244	17.68	3.838	8.14%	21.71%	21.05	81.8%
KC + Ch	6.5	9.96	10.293	0.6244	16.72	6.712	103.30%	40.15%	6.86	28.2%
Ch	6.5	8.56	8.595	0.6244	14.37	5.372	100.37%	37.39%	6.58	31.4%
KC	7.5	8.56	0.835	0.6244	14.37	3.71	9.75%	25.82%	16.34	78.1%
KC + Ch	7.5	9.96	10.048	0.6244	16.72	6.786	100.84%	40.59%	7.10	29.2%
Ch	7.5	10.54	9.38	0.6244	17.68	5.042	89.00%	28.52%	10.96	42.6%

These results demonstrate that the effective pH of the enzyme is broad, but at the same time, enzyme activity is a function of pH. As one of ordinary skill in the art can understand from these examples, under certain pH conditions, the combination of chitinase and cellulase provides substantially higher NAG concentrations as compared to either of the enzymes by themselves. With the mixed enzyme embodiments the concentration of chitinase in the mix could be decreased, while still retaining high levels of chitin degradation (i.e., NAG generation). These embodiments of the disclosed methods of making NAG are very useful where the chitinase enzyme is expensive, and the combination of helper enzyme plus reduced concentration chitinase provides a more favorable economic alternative to chitinase alone. It appears that cellulase alone was able to generate modest concentrations of NAG. This suggests that either the cellulase has limited non-specific chitinase activity, or that the microorganism used to produce the cellulase also produced some level of chitinase. Non-limiting examples of other enzymes that provide a helper function are glucanases, laminarases, amylases, glucoamylases, and others.

A sample was treated to remove the glucose by post-hydrolysis glucose oxidase treatment. This embodiment utilized a NAG/glucose suspension generated from the mild acid pretreatment treated biomass using chitinase and cellulase

enzyme treatment. HPLC analysis of the sample indicated concentrations of 8.7 g/l NAG and 6.3 g/l glucose. A 50 ml aliquot of the NAG-glucose solution was pH adjusted to 5.25 with 1N H<sub>2</sub>SO<sub>4</sub>. A 10ml aliquot was added to each of 4, 15ml screw-cap tubes. Individual tubes were dosed with 0, 4µl (dose A), 40 µl (dose B), and 200 µl (dose C) of Genencor OxyGO 1500 glucose oxidase. Tubes were placed in a 50°C water bath. After 2, 4, an 8 hours, 1.0ml of sample was removed and analyzed by HPLC for NAG, glucose, and gluconic acid content using RI and UV detectors.

**Table 15**

	wt% glucose	%	wt% glucose	%	wt% glucose	%	wt% glucose	%
<b>Treatment</b>	<b>2hr</b>	<b>Conversion</b>	<b>4hr</b>	<b>Conversion</b>	<b>8hr</b>	<b>Conversion</b>	<b>22hr</b>	<b>Conversion</b>
Control	100	0.00%	100	0.00%	100	0.00%	100	0.00%
Dose A	93.0	6.99%	78.7	21.27%	68.0	32.02%	35.5	64.45%
Dose B	88.2	11.85%	61.5	38.52%	52.2	47.83%	15.1	84.94%
Dose C	2.82	97.18%	2.64	97.36%	2.55	97.45%	2.79	97.21%

10

**Table 16**

	% Glucose Conversion				g/l Gluconic	Control	Dose C
<b>Time (h)</b>	<b>Control</b>	<b>Dose A</b>	<b>Dose B</b>	<b>Dose C</b>	<b>Dose C</b>	<b>NAG (g/l)</b>	<b>NAG (g/l)</b>
0	0	0	0	0	0		
2	0	6.99	11.85	98.69	1.743	9.426	9.225
4	0	21.27	38.52	97.36	2.754	9.401	9.173
8	0	32.02	47.83	97.45			
22	0	64.45	84.94	97.45	7.627	9.33	9.142

15

These data demonstrate that glucose oxidase is capable of converting over 95% of the glucose in the NAG/glucose solution to gluconic acid. The depletion of glucose is accompanied by an increase in gluconic acid concentration. NAG loss over the 22 hour reaction period is approximately 1% in both the control and Dose C treatments. Therefore, no NAG loss is attributed to glucose oxidase activity.

20

Next the N-acetylglucosamine is separated from salts and gluconates and other components using Purolite PCR-822 cation exchange resin. The separation was monitored by collecting fractions eluting from the column, then analyzing the fractions by HPLC.

**Table 17. Glucose Oxidase treated broth; N<sub>2</sub> Purge of mobile phase; Purolite resin**

Based on Gluconate and NAG Only    Based on Gluconate, Acetate, Citrate and NAG

01/09	Mg NAG	% purity of NAG	01/11	Mg NAG	% purity of NAG
5	0.094	8.51	3	0.037	5.87
6	0.094	1.56	4	0.062	2.02
7	0.273	2.12	5	0.747	6.71
8	2.332	35.88	6	3.491	46.98
9	6.847	82.28	7	6.659	86.23
10	8.401	94.70	8	6.673	94.29
11	5.698	91.05	9	4.254	92.91
12	2.498	86.67	10	1.954	88.96
13	0.865	74.53	11	0.754	83.21
14	0.310	57.67	12	0.268	78.23
15	0.144	32.11	13	0.113	74.17
16	0.096	1.64	14	0.070	28.03
17	0.094	0.00			
	<b>pool 9-14</b>			<b>pool 7-11</b>	
	<b>pool purity</b>	<b>94.96</b>		<b>pool purity</b>	<b>90.27</b>
	<b>total mg</b>	<b>24.62</b>		<b>total mg</b>	<b>20.29</b>
	<b>% recovery</b>	<b>88.73</b>		<b>% recovery</b>	<b>80.91</b>

5 The portion of Table 17 on the left illustrates the degree of separation of NAG from gluconate. If fractions 9-14 are collected and the rest of the fractions discarded, 88.7% of the total NAG is recovered with nearly 95% purity. If acetate buffer is used for pH control during the enzymatic process, then some acetate impurities are incorporated into the product, as shown in the right side of the table, decreasing NAG purity to about 90% with about 81% recovery. The same or similar resins are incapable of separating NAG from glucose, as they are both neutral molecules.

10

**Example 11 - Embodiment of the Process for Simultaneously Converting Chitin to N-acetylglucosamine and Glucose to Gluconate**

15 The biomass was treated with the mild acid pretreatment described in Example 10 (Steps A and A2 in FIG. 9). The pre-treated biomass was then enzymatically treated to produce N-acetylglucosamine and gluconate. Reactions were performed by suspending 1.0g of pretreated biomass (0.2 g dry wt) in 50 mM acetate or citrate buffer to a volume of 6.0ml in 15ml screw-cap tubes. To each tube was added 2.0 ml of chitinase (0.0030 g/ml) dissolved in buffer similar to the treatments to which it

20

was added. The tubes were incubated at 50°C for 22 hours. Treatments 1 through 3 were treated with chitinase alone for 22 hours, after which glucose oxidase was added and the tubes were incubated for an additional 2 hours. Treatments 4 and 5 received both chitinase and glucose oxidase (160 µl of Genencor OxyGO 1500) simultaneously. Samples were analyzed at 2, 4, 7, and 22 hours for the supernatant for NAG, glucose and gluconic acid (GA) by HPLC.

Table 18

	Enzyme	Buffer & pH	2 hr		4 hr		7 hr		22hr		22hr + GO for 2 hr	
			NAG (g/l)	GA (g/l)	NAG (g/l)	GA (g/l)	NAG (g/l)	GA (g/l)	NAG (g/l)	GA (g/l)	NAG (g/l)	GA (g/l)
1	Chitinase	OAc, 6.5	5.273	0	6.31	0	7.55	0	7.601	0	7.111	4.16
2	Chitinase	OAc, 6.0	5.88	0	6.45	0			7.996	0	7.766	4.4
3	Chitinase	Cit, 6.0	6.182	0	6.765	0	8.238		8.319	0	8.113	4.16
4	Chitinase+GO	OAc, 6.0	5.765	2.07	6.255	3.11	7.543	4.31	7.624	4.42		
5	Chitinase+GO	Cit, 6.0	5.936	1.76	5.286	2.24	8.025	3.97	8.221	4.11		

Chitinase appeared to have slightly increased activity in pH 6.0 citrate buffer than acetate buffer. Conversely, glucose oxidase had slightly higher activity in pH 6.0 acetate buffer than in citrate buffer. In treatments 4 and 5 it was demonstrated that chitinase and glucose oxidase reactions can occur simultaneously. The amount of gluconic acid generated after 22 hours in the simultaneous reactions was the same as that obtained in Treatments 1, 2, and 3 where glucose oxidase was added independently after the chitinase reaction had occurred. Therefore, glucose oxidase treatment can be run separately or in combination with chitinase.

The resulting solution was then treated similarly to the resin separation in Example 10.

**Example 12** - Embodiment of the Disclosed Process for Preparing Glucosamine from N-acetylglucosamine Produced Enzymatically

The N-acetylglucosamine from Example 10 (Step B FIG. 9) was treated at 95°C in 3% and 6% HCl for the time periods indicated in the table below to produce

glucosamine hydrochloride. The data show that the 6% HCl performed slightly better than the 3% HCl under these conditions. The lower acid level can be used with either higher temperatures or longer reaction times. Under these mild conditions, very little of the glucose reacted. Acetic acid is formed by the hydrolysis.

5

**Table 19**

Hours	NAG (mmol)		Glucose (mmol)		Acetic (mmol)	
	3% HCl (weight)	6% HCl (weight)	3% HCl (weight)	6% HCl (weight)	3% HCl (weight)	6% HCl (weight)
0	0.55	0.50	0.54	0.47	0.21	0.19
1		0.12		0.45		0.35
2	0.22	0.03	0.50	0.45	0.34	0.40
3	0.19		0.51		0.35	
4	0.13	0.00	0.50	0.47	0.38	0.45
5	0.09		0.48		0.39	
6	0.06	0.00	0.50	0.43	0.42	0.47

After four hours, about 90% of the NAG was converted, while 100% was converted between three and four hours. The glucose was virtually unchanged within the analytical error.

10

**Example 13 - Embodiment of the Disclosed Process Using a Mild-Acid Pretreatment Followed by Aggressive Acid Digestion to Produce Glucosamine**

First 120.1 g wet fungal biomass containing citric acid and 80.0 g water were mixed in a pressure reactor. The mixture was 12.7% dry solids and 0.31% citric acid and was heated at 150°C under mild agitation. After 4 hours the reaction was cooled to room temperature. The reaction mixture was filtered through a filter cloth followed by washing with water. A portion comprising 55.2% of solid in biomass was removed.

20

Next 9.77 g of the wet pre-cooked biomass and 8.25 g of 37% HCl were mixed in a pressure reactor. The mixture containing 13.3% solid content and 16.9% HCl was heated at 100°C with stirring. After 8 hours, the reaction was cooled to room temperature. The reaction mixture was filtered through a filter cloth followed by washing with water. Chromatographic data showed glucosamine yield was

38.8% based on pre-cooked biomass and the total glucosamine yield was 18.5% based on the original starting biomass material.

Example 14

5 One embodiment of the disclosed soluble fungal  $\beta$ -glucan compositions was produced utilizing 101.7g wet fungal biomass containing citric acid and 98.3 g water mixed in a pressure reactor. The mixture having 12.0% solid content and 0.144% citric acid was heated at 150°C under mild agitation. After 7 hours, the reaction was cooled to room temperature. The reaction mixture was filtered through a filter cloth  
 10 followed by washing with water. Upon testing of the solids remaining after this step, it was determined that 46.6% of solids in the starting biomass material was hydrolyzed. The molecular weight distribution was measured by SEC (size exclusion chromatography) using a refractive index detector (RID). An RID measures the mass of comparable materials flowing through the detector cell, such  
 15 as polysaccharides. An area% output indicates the percentage of the total measured polysaccharides eluting at times relative to the pullulan standards used to calibrate the instrument. Approximately 76% of the  $\beta$ -glucan mass had molecular weights between 1,000 and 1,000,000. The molecular weight breakdown was as follows:

**Table 20**

7 hr at 150 C		
High End	Low End	%Area
10,084,549	1,000,000	5.339
1,000,000	500,000	0.42
500,000	250,000	0.945
250,000	100,000	3.072
100,000	50,000	4.541
50,000	25,000	7.031
25,000	10,000	8.591
10,000	5,000	13.729
5,000	2,500	18.127
2,500	1,000	20.131
1,000	500	10.459
500	273	7.615

Area% = percentage of the soluble  $\beta$ -glucans eluting in their respective molecular weight ranges compared to known standards.

20



Example 15

An embodiment of the disclosed soluble fungal  $\beta$ -glucan compositions was produced utilizing 75.6g fungal biomass containing citric acid and 74.9 g water were mixed in a pressure reactor. The mixture having 11.9% solid content and 0.16%  
5 citric acid was heated at 150°C under mild agitation. After 5 hours, the reaction was cooled down to room temperature. The reaction mixture was filtered through a filter cloth followed by washing with water. Upon testing of the solubility of the resulting  $\beta$ -glucan composition, it was determined that 40.1% of solid in the starting biomass material was hydrolyzed. The average weight molecular weight of the soluble  $\beta$ -  
10 glucans was 232,500.

Example 16

The fungal glucan 1 described in the purity test was prepared by heating 32 kg of fungal biomass at 12 weight% solids for 5 hr at 136°C. The citric acid concentration  
15 was 0.22 wt%. Fungal glucan 1 had an average molecular weight about 35,000.

The Fungal glucan 2 described in the purity test was prepared by heating 170 Kg of fungal biomass at 12 weight% solids for four hours at 132°C. The citric acid concentration was 0.6 wt%. Fungal glucan 2 had an average molecular weight about  
20 450,000.

While the methods and compositions disclosed herein may be modified, specifics thereof have been shown by way of example and are described in detail. It should be understood, however, that the specific embodiments disclosed and described are not to be interpreted as limiting the claimed invention.

We claim:

1. A method comprising:

5 (a) providing a source of chitin-containing fungal biomass having cells with cell walls having glucans, proteins, and/or polysaccharides therein;

(b) performing a first enzymatic treatment to at least partially break down the cell walls of the fungal biomass and convert at least a portion of the glucans to soluble forms, without converting a substantial amount of chitin to N-acetylglucosamine; and

10 (c) performing a second enzymatic treatment converting the chitin in the fungal biomass to N-acetylglucosamine.

2. The method of claim 1, wherein the performing the second enzymatic treatment produces a mixture of N-acetylglucosamine and glucose and the N-acetylglucosamine is then separated from the glucose.

3. The method of claim 1, wherein the performing the second enzymatic treatment produces a mixture of N-acetylglucosamine and glucose and performing a third enzymatic treatment on the mixture to convert a substantial portion of the glucose to an ionic form.

4. The method of claim 2, further comprising isolating the N-acetylglucosamine.

25 5. The method of claim 1, further comprising pretreating the fungal biomass with from about 0.05% to about 20% w/w HCl, mineral acid and/or organic acid to at least partially break down the cell walls of the fungal biomass without converting a substantial amount of chitin to soluble forms, before or after with performing the first enzymatic pretreatment.

30 6. The method of claim 1, further comprising deacetylating the N-acetylglucosamine to produce glucosamine.

7. The method of claim 1, wherein the soluble glucans are isolated to form a composition comprising at least about 50 wt % soluble  $\beta$ -glucans.

5 8. The method of claim 2, further comprising deacetylating the N-acetylglucosamine to produce glucosamine.

9. A method comprising:

10 (a) providing a source of chitin-containing fungal biomass having cells with cell walls having glucans, proteins, and/or other polysaccharides therein;

(b) performing a pretreatment of the fungal biomass to at least partially break down the cell walls of the fungal biomass without converting a substantial amount of chitin to a water soluble form;

15 (c) separating at least a portion of the glucans, proteins and/or other polysaccharides from the fungal biomass, to produce a chitin-containing semi-purified source of fungal biomass; and

(d) performing an enzymatic treatment on the semi-purified source of fungal biomass converting the chitin in the fungal biomass to N-acetylglucosamine.

20 10. The method of claim 9, wherein performing step (d) produces a mixture of N-acetylglucosamine and glucose and the N-acetylglucosamine is then separated from the glucose.

25 11. The method of claim 9, further comprising performing an enzymatic treatment on the mixture to convert a substantial portion of the glucose to an ionic form.

30 12. The method of claim 9, wherein the pretreatment comprises pretreating the fungal biomass (i) with less than about 1% w/w acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic, hydrochloric, acids and mixtures of two or more of the acids, or (ii) with less than about 10% w/w sodium hydroxide, potassium hydroxide or mixtures thereof, or (iii) with use of

mechanical agitation, or (iv) with a first enzymatic treatment, to at least partially break down the cell walls of the fungal biomass without converting a substantial amount of chitin to water soluble forms.

5           13.    The method of claim 12, wherein the pretreatment comprises pretreating the fungal biomass (i) with less than about 1% w/w acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic, hydrochloric, acids and mixtures of two or more of the acids, and (ii) with less than about 10% w/w sodium hydroxide, potassium hydroxide or mixtures thereof.

10           14.    The method of claim 12, wherein the pretreatment comprises pretreating the fungal biomass (i) with less than about 1% w/w acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic, hydrochloric, acids and mixtures of two or more of the acids, and (ii) with a first enzymatic  
15           treatment.

          15.    The method of claim 12, wherein the mechanical agitation comprises ultrasonic agitation.

20           16.    The method of claim 11, wherein the performing an enzymatic treatment on the mixture to convert a substantial portion of the glucose to an ionic form is performed substantially simultaneously with step (d).

          17.    The method of claim 9, further comprising deacetylating the N-  
25           acetylglucosamine to produce glucosamine.

          18.    The method of claim 12, further comprising deacetylating the N-  
          acetylglucosamine to produce glucosamine.

30           19.    The method of claim 9, wherein the pretreatment of the fungal biomass converts a substantial portion of the glucans to a soluble form prior to separation of the glucans.

20. The method of claim 9, wherein the separated glucans are isolated to form a soluble  $\beta$ -glucan composition comprising at least about 50% by weight water soluble  $\beta$ -glucan.

5

21. A method comprising:

(a) providing a source of chitin-containing fungal biomass having cells with cell walls having glucans, proteins, lipids and polysaccharides therein;

(b) pretreating the fungal biomass (i) with less than about 1% w/w organic acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic acids and mixtures of two or more of the organic acids, or (ii) with less than about 10% w/w sodium hydroxide, potassium hydroxide or mixtures thereof, or (iii) with use of mechanical agitation, or (iv) with a first enzymatic treatment, to at least partially break down the cell walls of the fungal biomass without converting a substantial amount of chitin to a soluble form, glucosamine or N-acetylglucosamine;

10

(c) separating at least a portion of the glucans, proteins, and lipids from the fungal biomass, to produce a chitin-containing semi-purified source of fungal biomass; and

15

(d) performing an enzymatic treatment on the semi-purified source of fungal biomass converting the chitin in the fungal biomass to N-acetylglucosamine.

20

22. The method of claim 21, further comprising enzymatically treating the pretreated fungal biomass to convert at least a portion of the glucans to soluble form, without converting a substantial amount of chitin to N-acetylglucosamine.

25

23. The method of claim 21, wherein the performing the enzymatic treatment produces a mixture of N-acetylglucosamine and glucose and the glucose is then separated from the N-acetylglucosamine.

30

24. The method of claim 23, further comprising performing an enzymatic treatment on the mixture to convert a substantial portion of the glucose to an ionic form that is separated from the N-acetylglucosamine.

5 25. The method of claim 21, further comprising deacetylating the N-acetylglucosamine to produce glucosamine.

10 26. The method of claim 21, wherein the pretreating comprises pretreating the fungal biomass (i) with less than about 1% w/w acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic, hydrochloric, acids and mixtures of two or more of the acids, and (ii) with less than about 10% w/w sodium hydroxide, potassium hydroxide or mixtures thereof.

15 27. The method of claim 21, wherein the pretreating comprises pretreating the fungal biomass (i) with less than about 1% w/w acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic, hydrochloric, acids and mixtures of two or more of the acids, and (ii) with a first enzymatic treatment.

20 28. The method of claim 21, wherein the pretreatment is mechanical agitation comprising ultrasonic agitation.

29. A method comprising:

25 (a) providing a source of chitin-containing fungal biomass having cells with cell walls having glucans, proteins, and polysaccharides therein;

(b) performing a pretreatment of the fungal biomass to at least partially break down the cell walls of the fungal biomass or to convert at least a portion of the glucans to soluble form, without converting a substantial amount of chitin to glucosamine and/or N-acetylglucosamine;

30 (c) separating at least a portion of the glucans, proteins and/or polysaccharides from the fungal biomass, to produce a chitin-containing semi-purified source of fungal biomass;

(d) performing an enzymatic treatment on the semi-purified source of fungal biomass converting the chitin in the fungal biomass to N-acetylglucosamine; and

(e) deacetylating the N-acetylglucosamine to produce glucosamine.

5

30. The method of claim 29, wherein the separated glucans are isolated to form a soluble  $\beta$ -glucan composition comprising at least about 50% by weight soluble  $\beta$ -glucan.

10

31. A method comprising:

(a) providing a source of chitin-containing fungal biomass having cells with cell walls having glucans, proteins, and polysaccharides therein;

(b) performing a first enzymatic treatment to convert at least a portion of the glucans to soluble form, without converting a substantial amount of chitin to water soluble forms of chitin;

15

(c) performing a second enzymatic treatment converting the chitin in the fungal biomass to N-acetylglucosamine to produce a mixture comprising N-acetylglucosamine and glucose; and

(d) treating the mixture to convert glucose to an ionic form.

20

32. The method of claim 31, wherein the glucose is converted to ionic form using an enzymatic treatment.

33. A method comprising:

25

(a) providing a source of chitin-containing fungal biomass having cells with cell walls having glucans, proteins, and polysaccharides therein;

(b) performing a pretreatment of the fungal biomass to at least partially break down the cell walls of the fungal biomass without converting a substantial amount of chitin to a water soluble form of chitin;

30

(c) separating at least a portion of the glucans, proteins and/or polysaccharides from the fungal biomass, to produce a chitin-containing semi-purified source of fungal biomass;

(d) performing an enzymatic treatment on the semi-purified source of fungal biomass converting the chitin in the fungal biomass to N-acetylglucosamine to produce a mixture comprising N-acetylglucosamine and glucose;

5 (e) separating a substantial portion of the glucose from the N-acetylglucosamine; and

(f) deacetylating the N-acetylglucosamine to produce glucosamine.

10 34. The method of claim 33, wherein the glucose is enzymatically treated to convert glucose to an ionic form.

35. The method of claim 33, wherein the separated glucans are isolated to form a soluble  $\beta$ -glucan composition.

15 36. The method of claim 33, wherein the pretreatment comprises pretreating the fungal biomass (i) with less than about 1% w/w acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic, hydrochloric, acids and mixtures of two or more of the acids, or (ii) with less than about 10% w/w sodium hydroxide, potassium hydroxide or mixtures thereof, or (iii) with use of mechanical agitation, or (iv) with a first enzymatic treatment, to at least partially  
20 break down the cell walls of the fungal biomass without converting a substantial amount of chitin to water soluble forms.

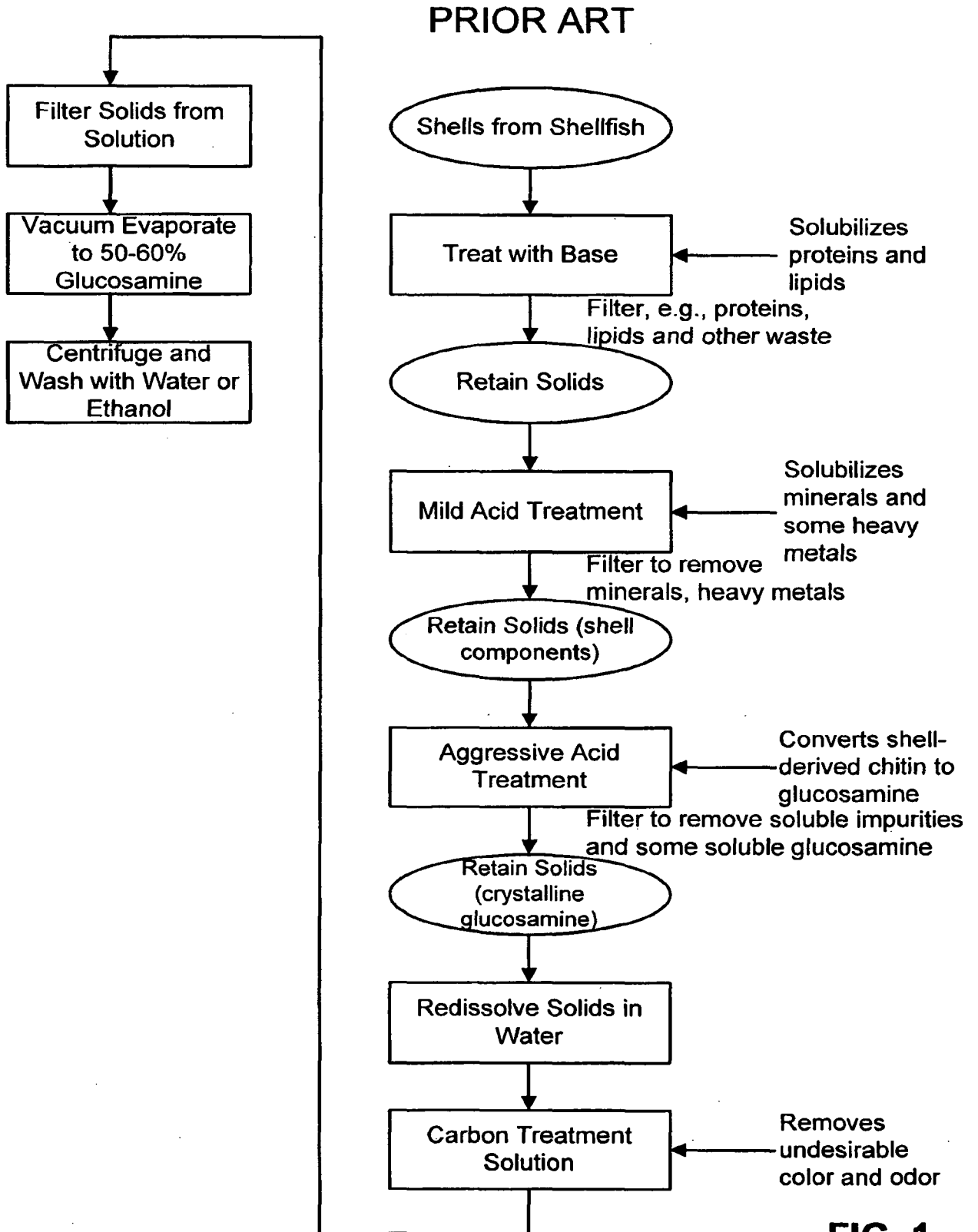
25 37. The method of claim 33, wherein the pretreatment comprises pretreating the fungal biomass (i) with less than about 1% w/w acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic, hydrochloric, acids and mixtures of two or more of the acids, and (ii) with less than about 10% w/w sodium hydroxide, potassium hydroxide or mixtures thereof.

30 38. The method of claim 33, wherein the pretreatment comprises pretreating the fungal biomass (i) with less than about 1% w/w acid selected from the group consisting of citric, oxalic, malic, maleic, itaconic, succinic, hydrochloric,



acids and mixtures of two or more of the acids, and (ii) with a first enzymatic treatment.

5           39.    The method of claim 33, wherein the mechanical agitation comprises ultrasonic agitation.



**FIG. 1**

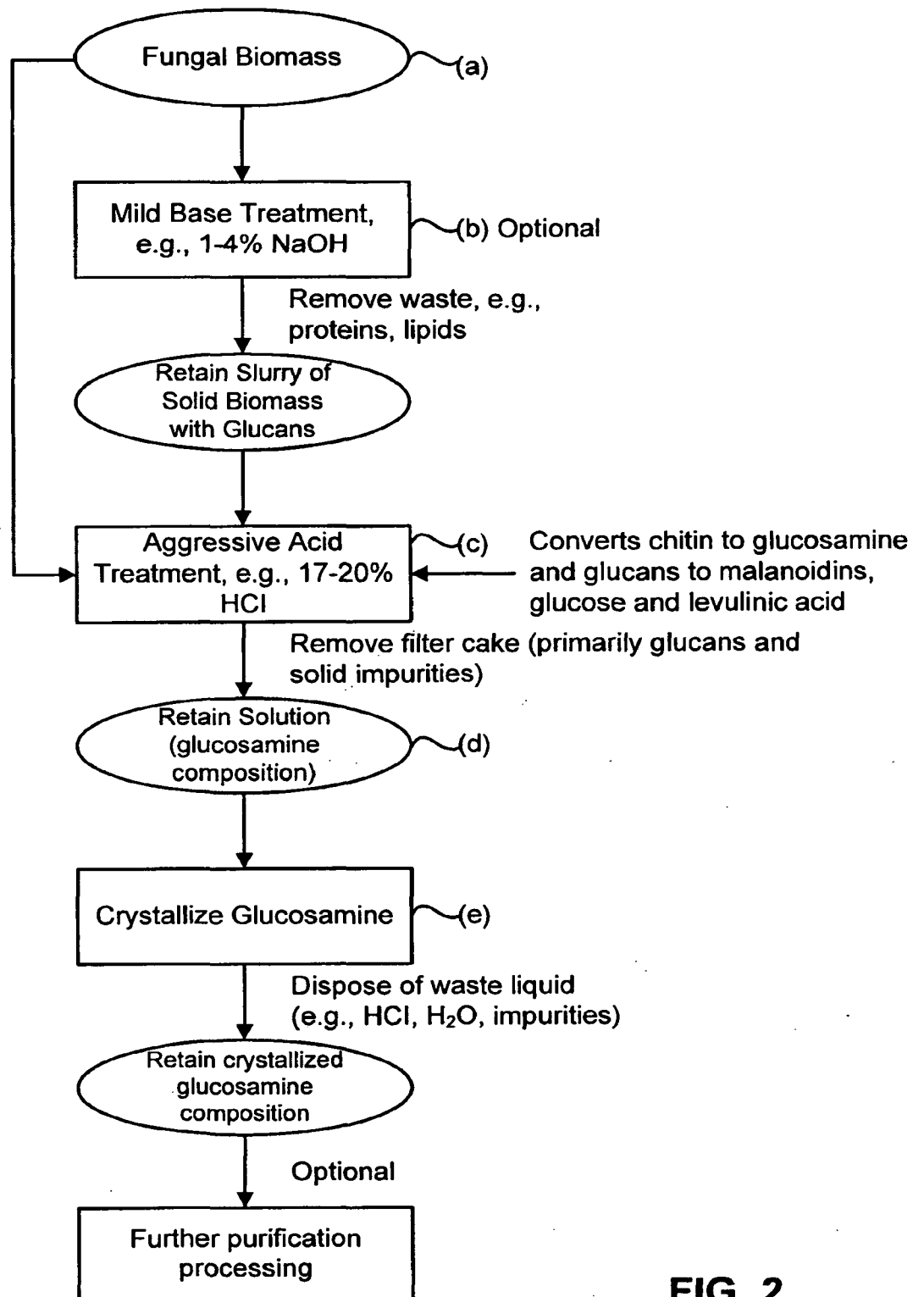


FIG. 2

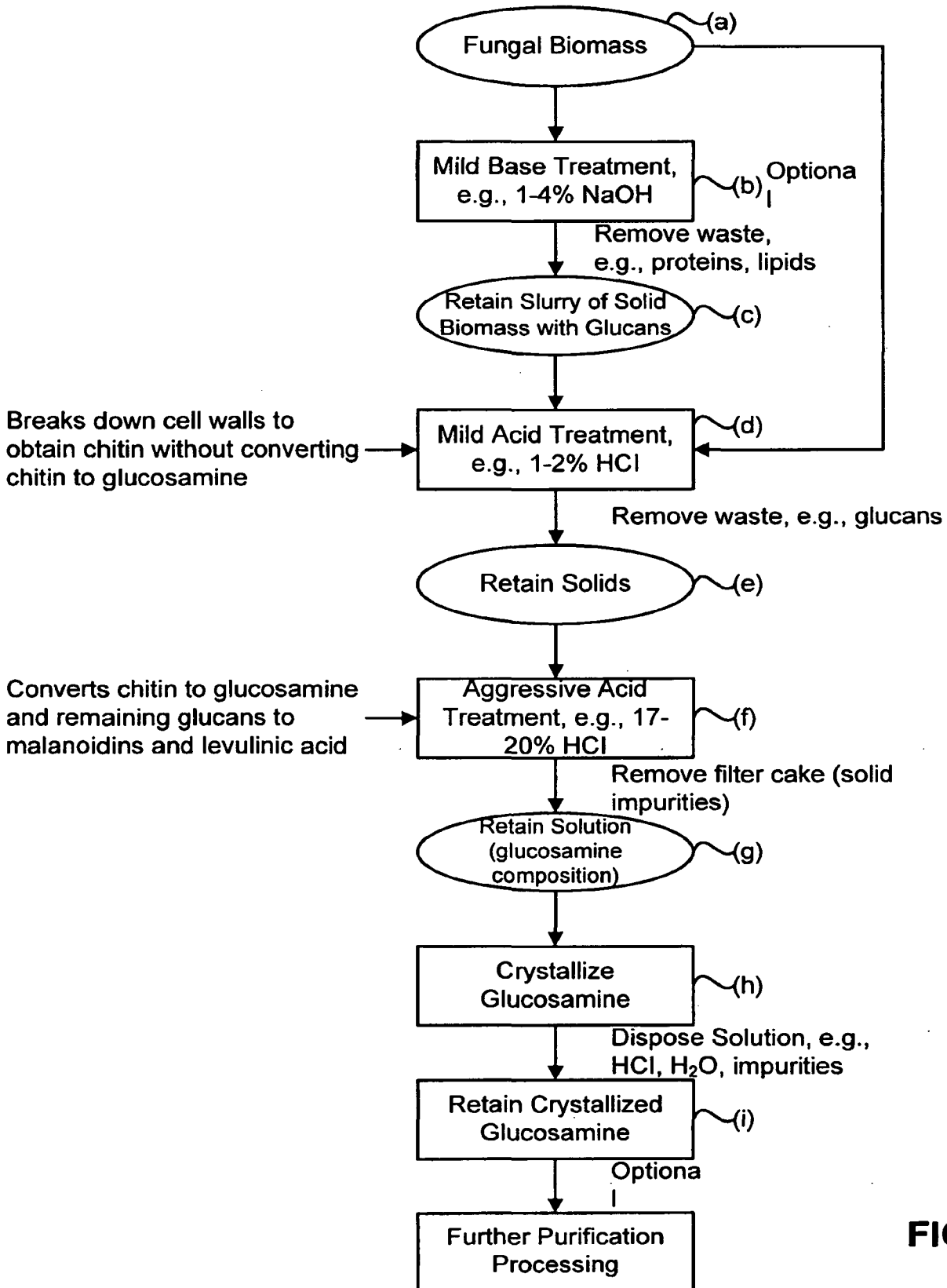


FIG. 3

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### % Yield of Glucosamine and Glucose at Hydrolysis Conditions

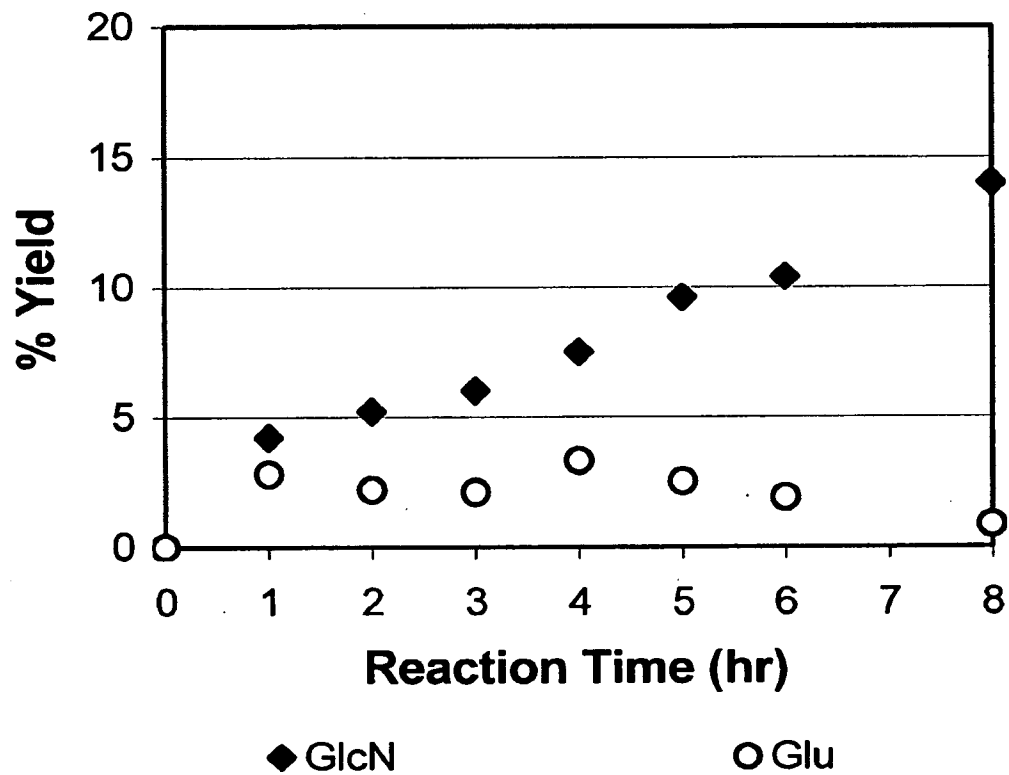


FIG. 4

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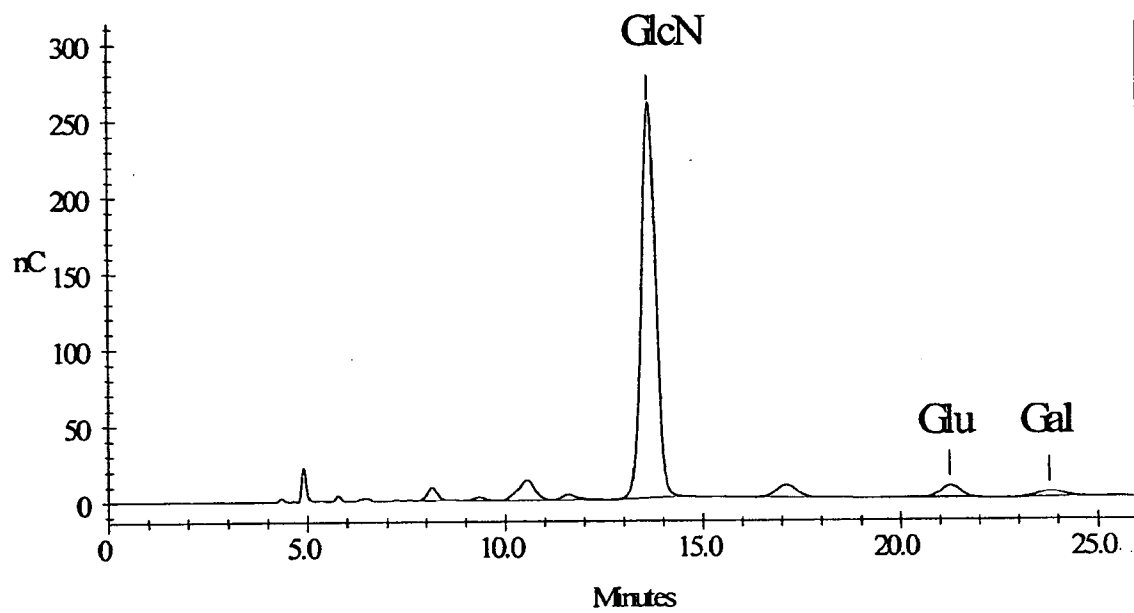


FIG. 5

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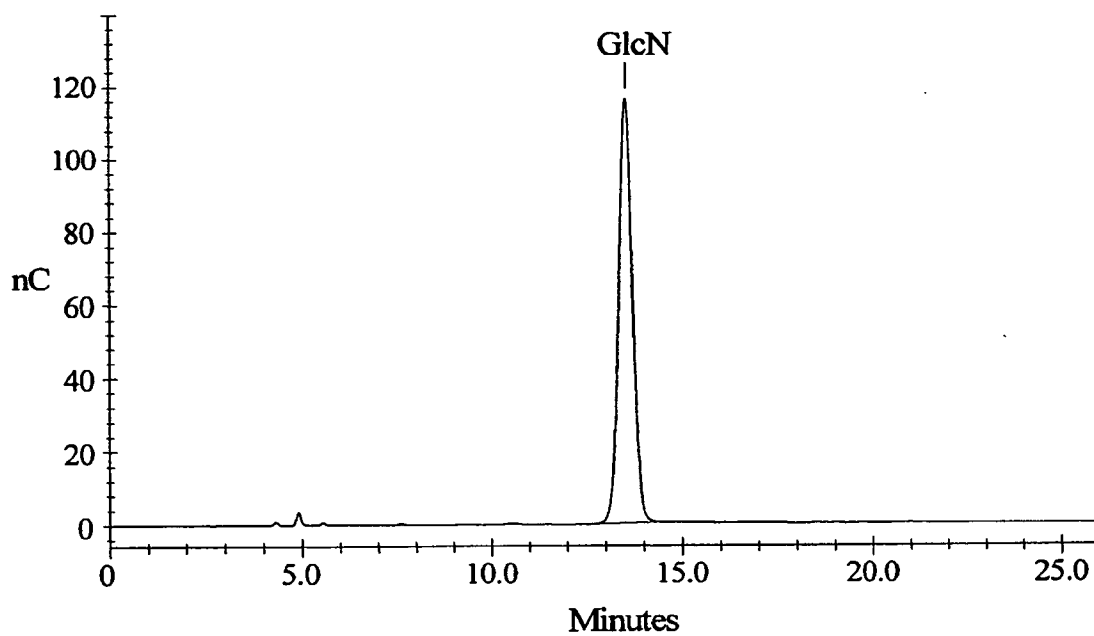


FIG. 6

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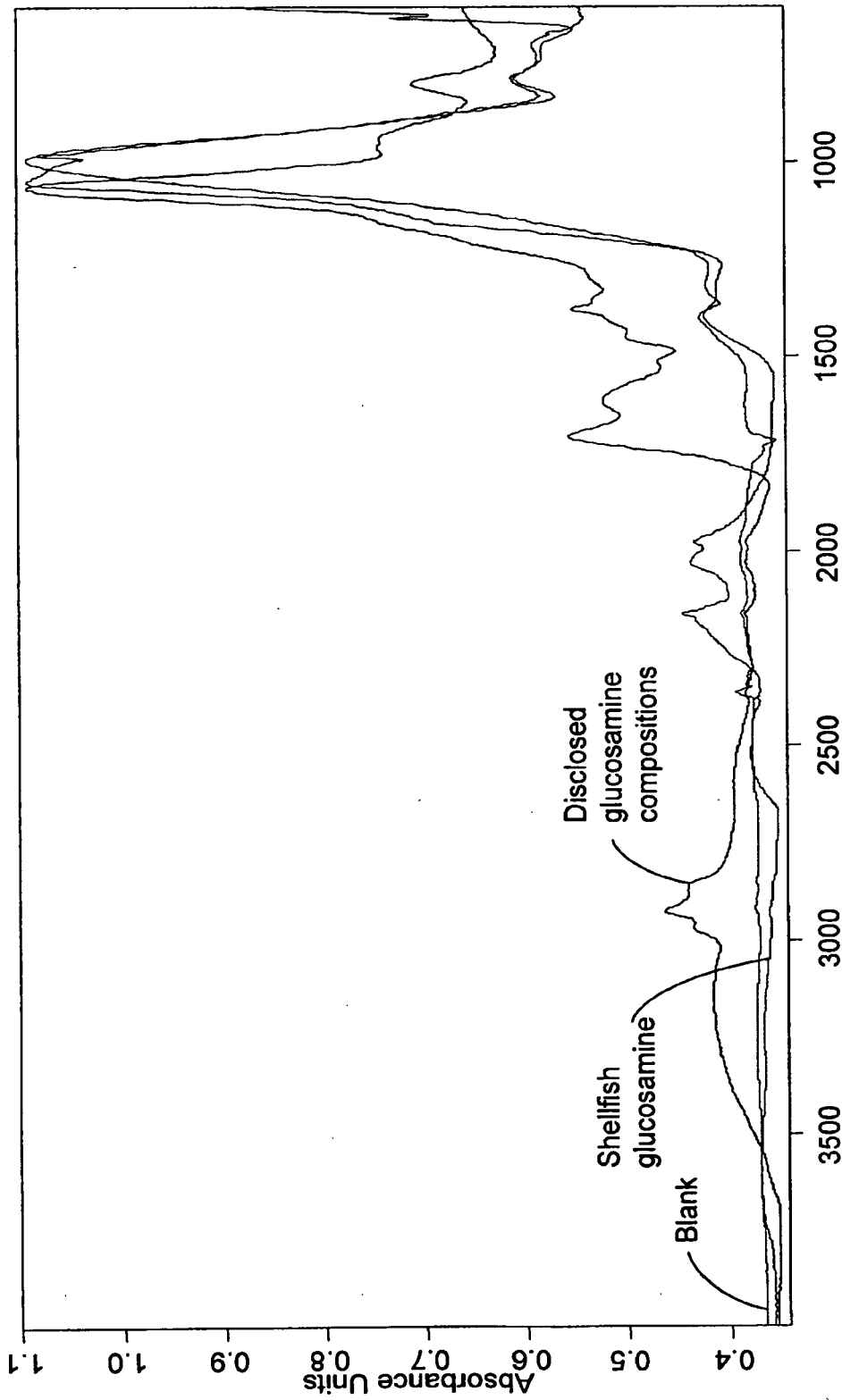


FIG. 7



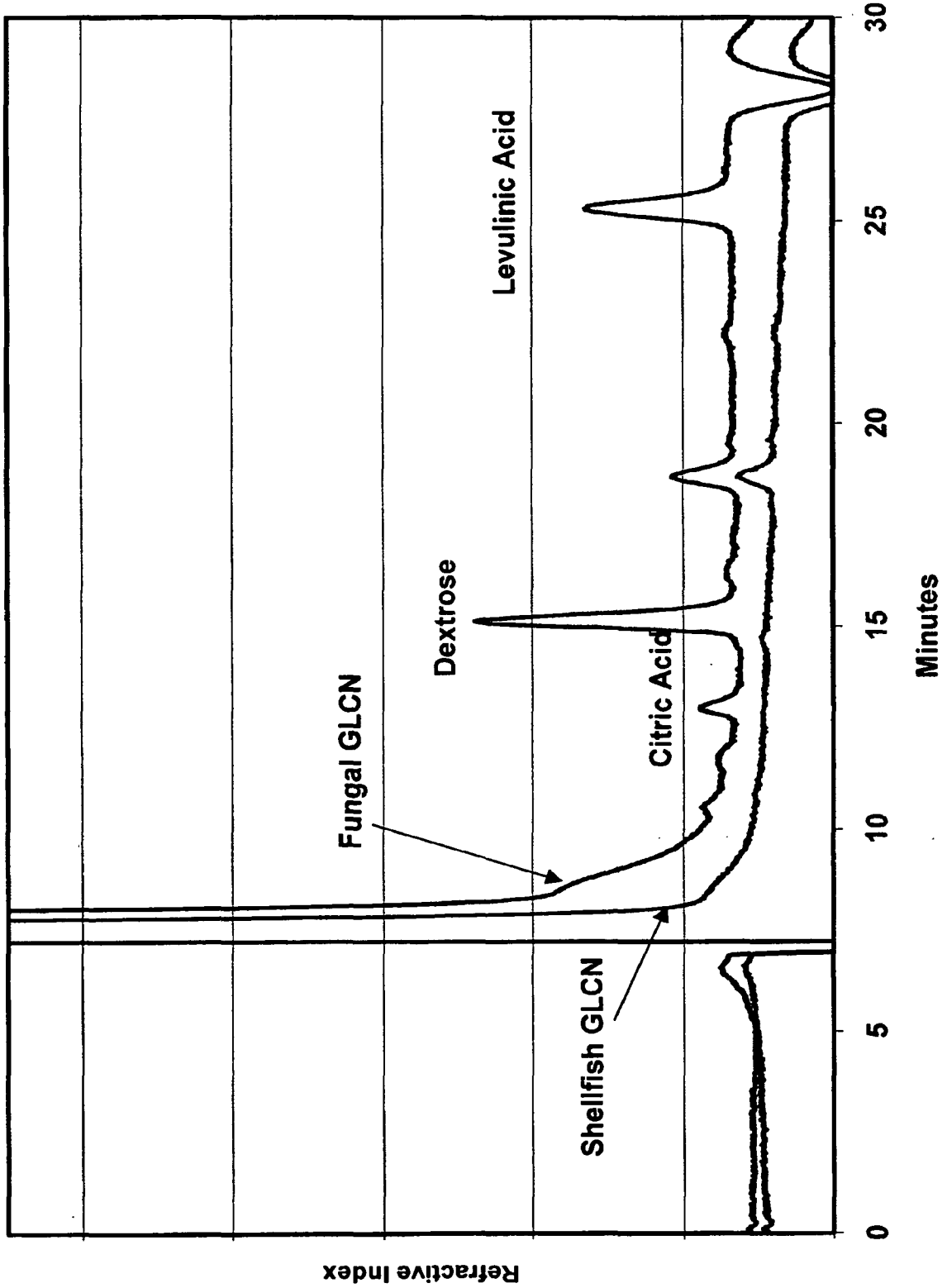


Fig. 8

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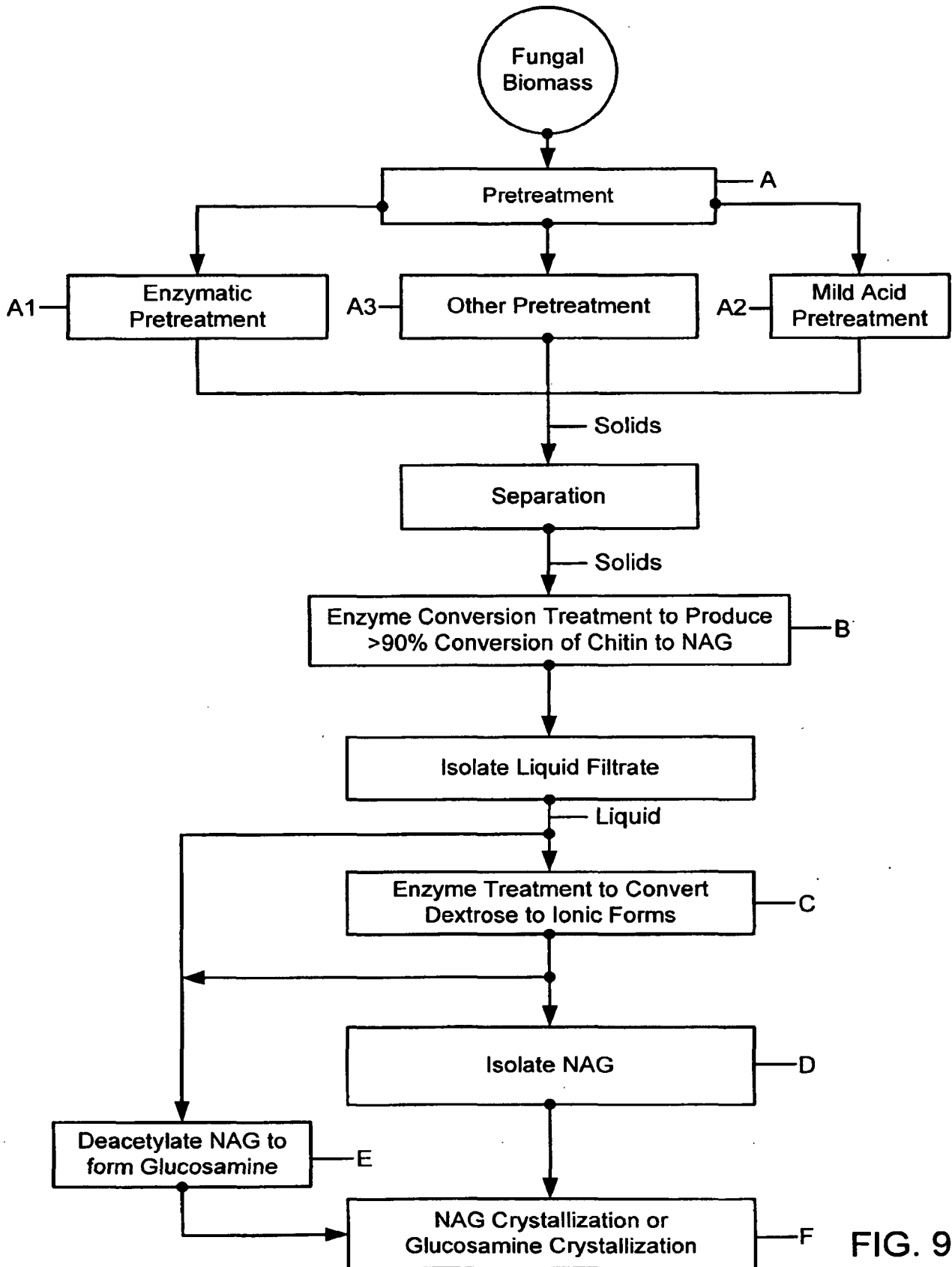


FIG. 9

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Relative % NAG Liberation

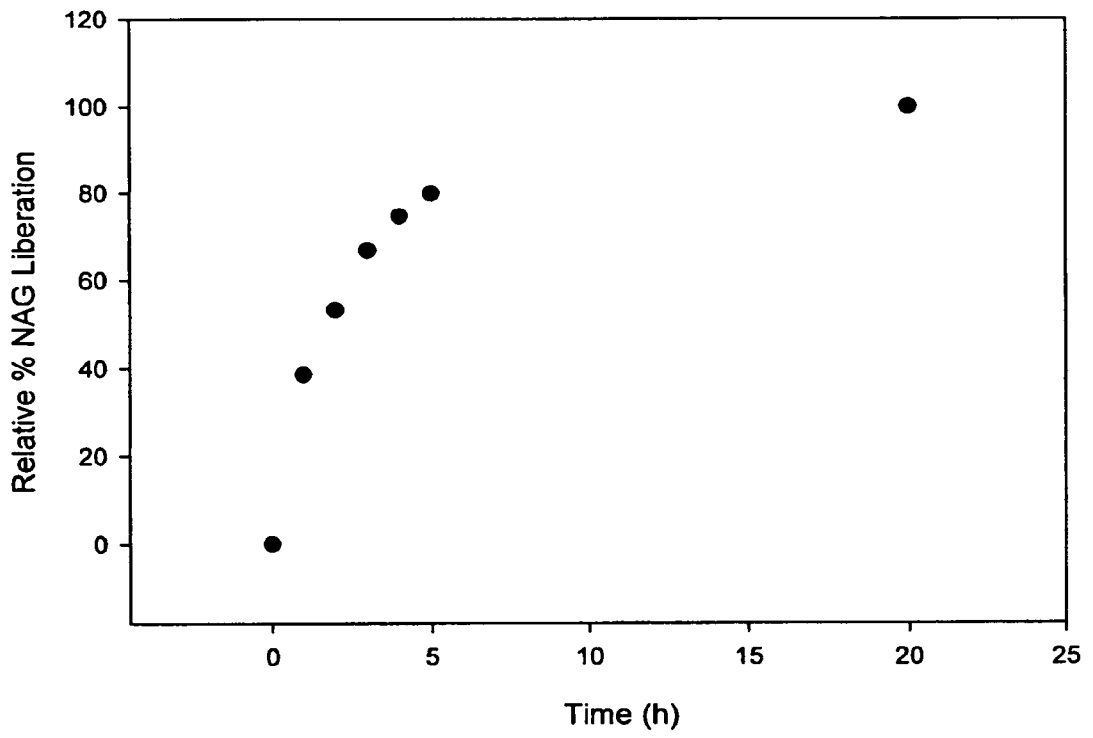


FIG. 10

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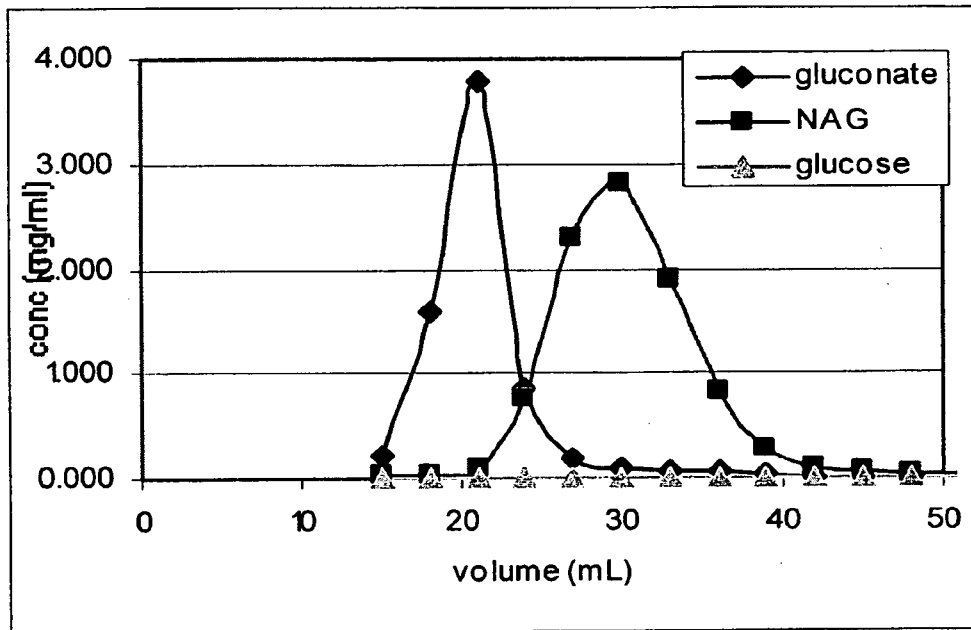


FIG. 11

FIG. 12

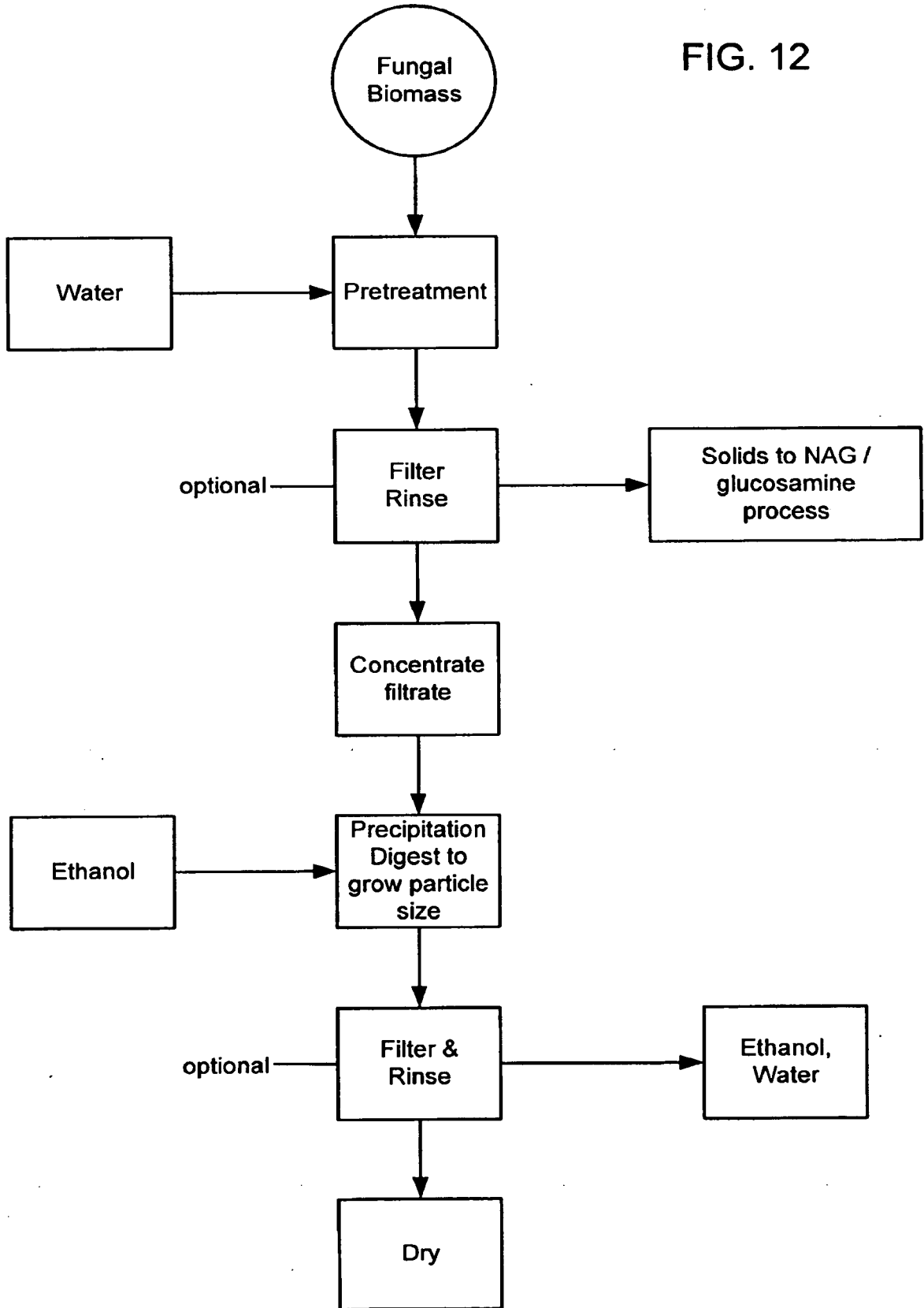
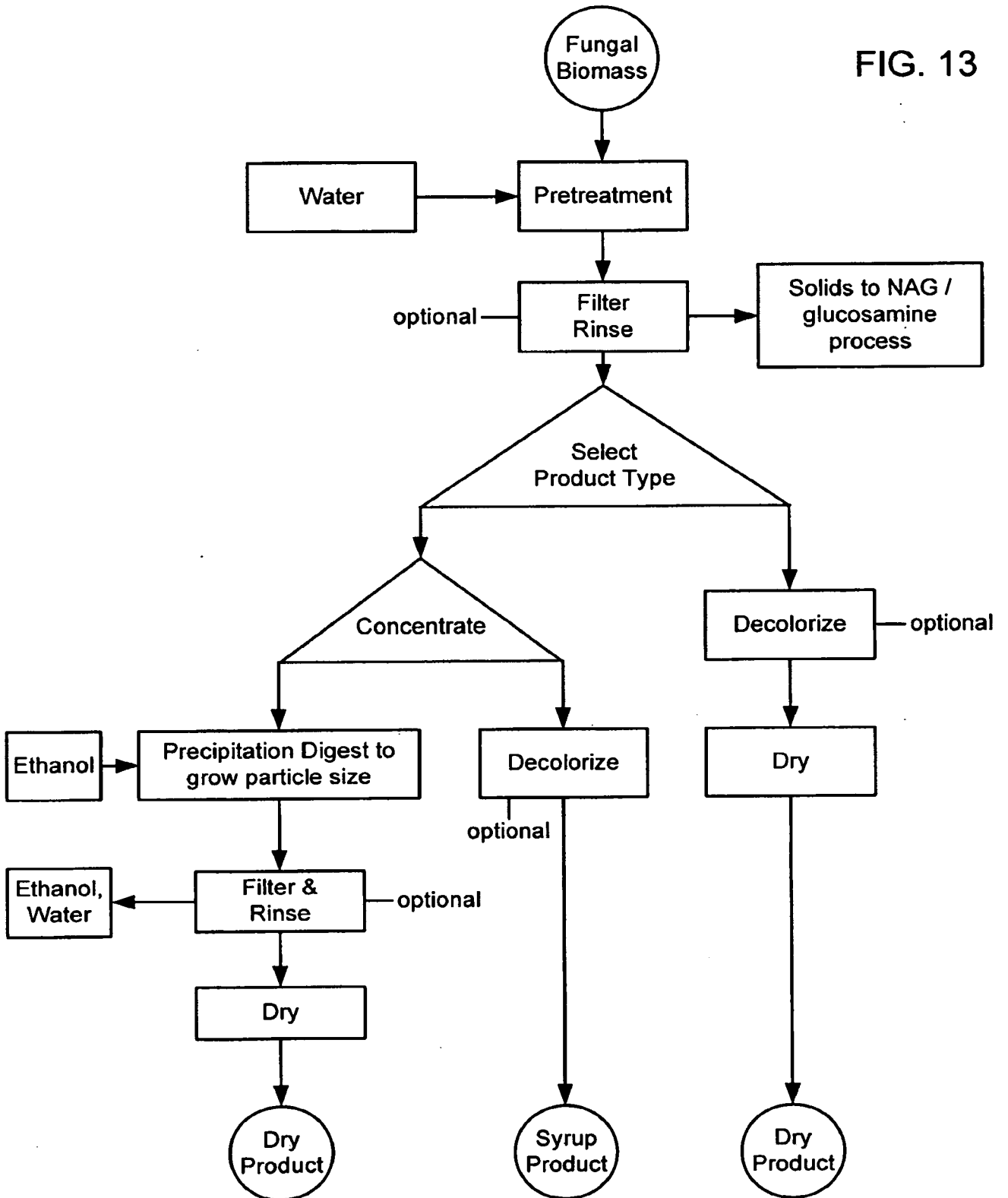


FIG. 13



# INTERNATIONAL SEARCH REPORT

International application No PCT/US2007/007258
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<b>A. CLASSIFICATION OF SUBJECT MATTER</b> INV. C12P19/26      C12N1/14		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) C12P		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, BIOSIS, EMBASE, FSTA		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	US 2006/172392 A1 (ZHOU SHUANG [US] ET AL) 3 August 2006 (2006-08-03) paragraphs [0093] - [0127] examples 10,12 figure 9	1-39
Y	US 2004/077055 A1 (FOSDICK LAWRENCE E [US] ET AL) 22 April 2004 (2004-04-22) the whole document	1-39
Y	WO 03/013435 A (CARGILL INC [US]) 20 February 2003 (2003-02-20) the whole document	1-39
A	WO 02/066667 A1 (CARGILL INC [US]) 29 August 2002 (2002-08-29) the whole document	1-39
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <span style="margin-left: 200px;"><input checked="" type="checkbox"/> See patent family annex.</span>		
* Special categories of cited documents :		
*A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	** later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family	
Date of the actual completion of the international search	Date of mailing of the international search report	
21 August 2007	29/08/2007	
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Sonnerat, Isabelle	

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