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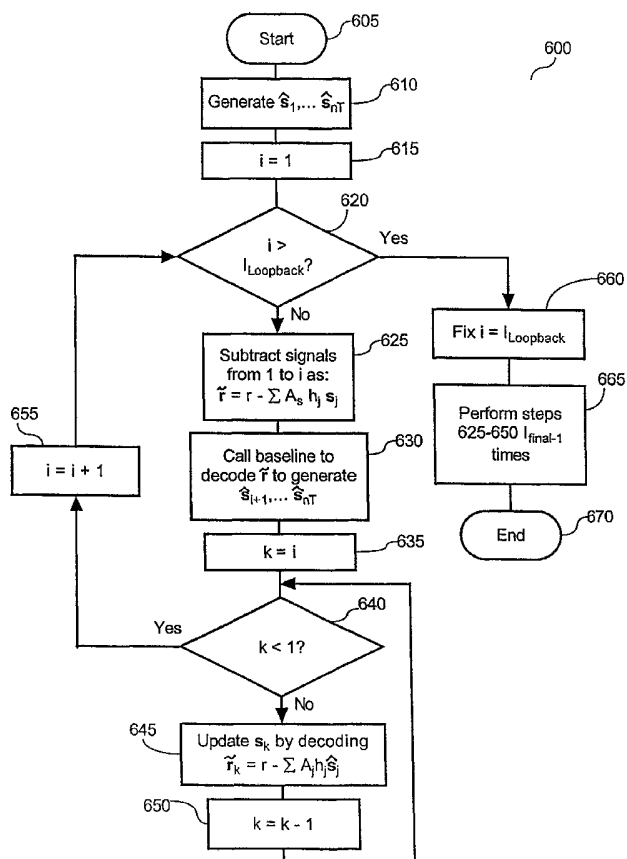
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(54) Title: LAYERED SPACE-TIME MULTIPLE ANTENNA SYSTEM



(57) Abstract: A system and method for performing extended space-time processing. An improved symbol decision (630) is generated of a desired sub-channel of the signal vector by first generating a baseline decision for the sub-channel. A contribution of a strongest sub-channel is subtracted from the signal vector to generate a modified signal vector (625). The modified signal vector is multiplied by a unitary matrix generated from a QR decomposition of another channel matrix. Channel interference of the remaining sub-channels of the modified signal vector is cancelled from a remaining sub-channel (645).

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## LAYERED SPACE TIME PROCESSING IN A MULTIPLE ANTENNA SYSTEM

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### BACKGROUND OF THE INVENTION

#### 1. Field of Invention

10 The present invention is directed to wireless communication systems. In particular, the present invention is directed to wireless communication systems utilizing multiple antenna arrays.

#### 2. Description of Related Art

15 Presently, multiple antenna arrays are used for transmitting data in wireless communication systems. For example, multiple antennas are used at both the transmitter and at the receiver for transmitting data. These multiple antenna arrays can increase wireless channel capacity linearly by the number of transmit antennas, providing the number of receive antennas is greater or equal to the number of transmit antennas. Unfortunately, the  
20 relatively high dimensional nature of multiple antenna array systems has high computational complexity in practical systems.

One example of a wireless communication system for generating a high data rate using a multiple antenna array is disclosed in U.S. Patent No. 6,097,771, issued August 1, 2000. This system is otherwise known as a Bell Laboratories Layered Space-Time (BLAST)  
25 system. The BLAST system utilizes multiple transmit antennas and multiple receive antennas along with signal processing for interference cancellation.

An example of such systems utilizes, for example, four transmitter antennas and four receiver antennas. This system can create four independent sub-channels within a single bandwidth by coding the sub-channels individually as opposed to coding them jointly. Thus,

the system increases capacity within a bandwidth by four-fold by exploiting the idea of diversity such that each channel corresponds with transmitting over many Rayleigh fading channels. Accordingly, even if one channel is bad, it is combined with the other good channels to reduce the fluctuation of signal strength. This is because each channel then has enough diversity so that each one ends up appearing as a traditional additive white Gaussian noise channel from clear signal processing. However, the creation of the sub-channels requires signal processing to remove interference between the sub-channels.

In operation, the BLAST system performs signal processing to create four parallel sub-channels in a sequential procedure. This sequential method involves removing channel interference by sequentially subtracting the signal of one sub-channel from the other sub-channels. Unfortunately, the system ends up starting with the sub-channel with the lowest diversity. This is a problem because the sub-channel with the lowest diversity order is, in essence, the worst channel. The higher diversity sub-channels are created by subtracting decisions from the worst sub-channel to create more reliable sub-channels. Therefore, this method is backward in the sense that it starts with the least reliable decision to create successive, more reliable sub-channels. Thus, problems with the worst sub-channel can create problems with the more reliable sub-channels. Also, this method is excessively complex and thus requires a significant amount of processing power. Furthermore, this method has the problem in that it creates unequal levels of diversity. For example, in a four sub-channel system, one sub-channel has a first order diversity, another sub-channel has a second order diversity, another sub-channel has a third order diversity, and the last sub-channel has a fourth order diversity. This creates more unreliability because the lower order diversity sub-channels are more unreliable.

#### SUMMARY OF THE INVENTION

The present invention provides a more reliable wireless communication system utilizing a multiple antenna array. The system is more reliable at least because it begins with a higher order diversity sub-channel. Additionally, the present invention provides a less complex method of determining initial decisions on sub-channels. Also, the present

invention is very efficient. Furthermore, the present invention provides dramatic performance improvements over traditional systems.

These features, along with other features described below, are provided for by a system and method for performing space-time processing method for removing interference for a multiple antenna array system. A decision of a desired sub-channel of the signal vector is generated by nulling out the interference of a first set of sub-channels by multiplying the received signal vector by a unitary matrix generated from a QR decomposition of a channel matrix. An improved symbol decision is generated by successively canceling channel interference due to a second set of sub-channels. The symbol represents transmitted bits, transmitted coded bits, or other useful transmitted information. For example, the symbol represents the decoded or coded bits of a digital transmission.

According to another embodiment, the system and method performs an extended space-time processing. An improved symbol decision of a desired sub-channel of the signal vector is generated by generating a baseline decision for the sub-channel. This baseline decision can be generated by the baseline method, by the BLAST system, or by any other method useful for generating a decision for a sub-channel. Next, a contribution of a strongest sub-channel is subtracted from the signal vector to generate a modified signal vector. The modified signal vector is multiplied by a unitary matrix generated from a QR decomposition of another channel matrix. Channel interference of the remaining sub-channels of the modified signal vector is successively cancelled from a remaining sub-channel.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The preferred embodiments of the present invention will be described with reference to the following figures, wherein like numerals designate like elements, and wherein:

Fig. 1 is an exemplary block diagram of a wireless system according to one embodiment;

Fig. 2 is an exemplary flowchart outlining the operation of the processor according to a baseline method;

Fig. 3 is an exemplary illustration of a space-time processing schematic for a six transmitter and six receiver system according to one baseline embodiment;

Fig. 4 is an exemplary illustration of a schematic for processing similar to BLAST for a (6, 6) system according to one embodiment;

5 Fig. 5 is an exemplary illustration of performance analysis of the baseline method according to one embodiment;

Fig. 6 is an exemplary flowchart outlining the operation of the processor according to the extended method according to one embodiment; and

10 Fig. 7 is an exemplary illustration of performance analysis of the extended method according to one embodiment.

### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Fig. 1 is an exemplary block diagram of a wireless system 100 according to a first embodiment. The wireless system 100 includes a transmitter 110 including an array of  
15 transmit antennas 120, a receiver 130 including an array of receive antennas 140, and a controller or processor 150. Preferably, the number of transmit antennas  $n_T$  is equal to the number of receive antennas  $n_R$ . In operation, the transmitter 110 transmits a signal vector via the array of transmit antennas 120. The signal vector is received by the receiver 130 via the array of receive antennas 140. For example, the transmitter 110 transmits different bit  
20 streams on different sub-channels on different transmit antennas 120. The number of sub-channels preferably corresponds to the number of receive antennas  $n_R$ .

The signal vector received by the receive antennas 140 can be represented as:

$$25 \quad \mathbf{r}_t = A_s \mathbf{H}_t \mathbf{s}_t + \mathbf{n}_t$$

where  $\mathbf{r}_t$  represents the received signal vector,  $A_s$  represents a power normalization constant,  $\mathbf{H}_t$  represents the channel matrix,  $\mathbf{s}_t$  represents the transmitted signal vector,  $\mathbf{n}_t$  represents a noise vector, and  $t$  represents a discrete time instant. For simplification, the time index may be ignored in the following descriptions.

The received signal vector values can further be represented as:

$$\mathbf{r} = \begin{pmatrix} r_1 \\ r_2 \\ \vdots \\ r_{n_R} \end{pmatrix}$$

5

$$\mathbf{s} = \begin{pmatrix} s_1 \\ s_2 \\ \vdots \\ s_{n_T} \end{pmatrix}$$

$$\mathbf{H} = \begin{pmatrix} h_{1,1} & \cdots & h_{1,n_T} \\ \vdots & & \vdots \\ h_{n_R,1} & \cdots & h_{n_R,n_T} \end{pmatrix}$$

$$\mathbf{n} = \begin{pmatrix} n_1 \\ n_2 \\ \vdots \\ n_R \end{pmatrix}$$

10

The noise vector can be assumed to be an  $n_r$  dimensional complex Gaussian vector with zero mean and covariance matrix  $\mathbf{I}$ . Noise is assumed to be independent at different time instants. A  $k$ -dimensional complex (real) Gaussian random vector with mean  $\mathbf{m}$  and covariance matrix  $\mathbf{R}$  can be denoted by:

15

$$\text{CN}^k(\mathbf{m}, \mathbf{R}) (\text{N}^k(\mathbf{m}, \mathbf{R})).$$

Each entry  $h_{i,j}$  in the channel matrix  $\mathbf{H}$  represents the path gain between the  $i$ -th receive antenna and the  $j$ -th transmit antenna. In a rich scattering environment, all entries are modeled as independent identically distributed (iid)  $\text{CN}^1(0,1)$  random variables. A chi-squared variable with  $K$  degrees of freedom denoted by  $\chi_k^2$  is defined as:

5

$$\sum_{i=1}^k a_i^2$$

where  $a_i$  represents independent identically distributed  $\text{N}^1(0,1/2)$  random variables.

For further analysis,  $E[\bullet]$  denotes expectation,  $t$  means transpose for real matrices where the transpose is Hermitian for complex matrices, and  $\perp$  stands for "orthogonal to."

10

Generally, transmitted power is equally distributed between transmit antennas and the same signal constellation is used at all transmit antennas. The power normalization constant  $A_s$  normalizes the signal constellation to have unit average power. Since the noise has unit power in the channel model, the signal to noise ratio (SNR) is represented as:

15

$$\text{SNR} = n_T A_s^2$$

The transmitted power is generally fixed independent of the number of transmit antennas.

20

Generally, the channel matrix  $\mathbf{H}$  changes over time due to channel fading. A quasi-static approximation of the fading channel is adopted. For example, the channel remains unchanged during a coherent channel period which lasts  $T_{\text{coh}}$  time instants. However, the channel changes independently from one coherence period to another. Also,  $\mathbf{H}$  is generally known to the receiver 130, but not to the transmitter 110.

The ergodic capacity of the multiple antenna system 100 can be represented as:

25

$$C = E[C(\mathbf{H})] = E[\log \det(\mathbf{I} + (\text{SNR}/n_T)\mathbf{H}\mathbf{H}^t)]$$

In particular,  $C(\mathbf{H}) = \log(1 + \text{SNR} \chi_{2k}^2)$  for a  $k$ -order diversity (1, $k$ ) system.



According to one embodiment, the processor performs baseline space-time processing method or baseline method for removing interference for  $n_T = n_R = n$ .

In this method, the processor generates a decision of a desired sub-channel of the signal vector by nulling out the interference of a first set of sub-channels by multiplying the received signal vector by a unitary matrix generated from a QR decomposition of a channel matrix and the processor further generates the improved symbol decision by successively canceling channel interference due to a second set of sub-channels. The symbol represents transmitted bits, transmitted coded bits, or other useful transmitted information. For example, the symbol represents the decoded or coded bits of a digital transmission.

A more detailed description of the baseline method is described below.

For initial definitions, a tight lower bound of  $C(\mathbf{H})$  for a  $n$  transmitter and  $n$  receiver,  $(n,n)$ , system is found according to:

$$C(\mathbf{H}) > \sum_{k=1}^n \log(1 + (SNR/n) \chi_{2k}^2)$$

While this baseline space-time processing analysis is calculated for a  $(n,n)$  system, it can also apply to a  $n_T$  transmitter and  $n_R$  receiver,  $(n_T, n_R)$ , system. The channel matrix  $\mathbf{H}$  can be written as  $(\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_n)$  where  $\mathbf{h}_j$  with  $1 \leq j \leq n$  denotes the  $j$ -th column of  $\mathbf{H}$ . Then, let  $H_j$  denote the linear space spanned by  $\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_j$ . Accordingly,  $H_j = \text{Span}(\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_j)$ .

Fig. 2 is an exemplary flowchart 200 outlining the more detailed operation of the processor 150 according to the baseline method. In step 205, the operation begins. In step 210, the processor 150 performs a QR decomposition of the channel matrix  $\mathbf{H}$ . In particular,  $\mathbf{H} = \mathbf{Q}\mathbf{G}$ , where  $\mathbf{Q}$  is a unitary matrix and  $\mathbf{G}$  is an upper triangular matrix (the variable  $\mathbf{G}$  is used instead of the classic  $\mathbf{R}$  because  $\mathbf{R}$  is already used as a variable in the process).

Accordingly,

$$\mathbf{H} = \mathbf{Q}\mathbf{G} = (q_1 \dots q_{n-1} q_n) \begin{pmatrix} g_{1,1} & \dots & \dots & \dots \\ 0 & \ddots & \vdots & \vdots \\ \vdots & 0 & g_{n-1,n-1} & g_{n-1,n} \\ 0 & \dots & 0 & g_{n,n} \end{pmatrix}$$

Where  $\mathbf{Q}$  is a unitary matrix with the following properties:

$$\begin{aligned} 5 \quad H_j &= \text{Span}(q_1, \dots, q_j), 1 \leq j \leq n \\ H_{j-1} &\perp q_j, 1 \leq j \leq n. \end{aligned}$$

The entries in the upper triangular matrix  $\mathbf{G}$  have particular distributions summarized as:

$$\begin{aligned} 10 \quad |g_{j,j}|^2 &\sim \chi_{2(n-j+1)}^2, 1 \leq j \leq n \\ |g_{i,j}|^2 &\sim \chi_2^2, 1 \leq i < j \leq n \end{aligned}$$

The signal vector received by the receive antennas 140 can be rewritten as:

$$15 \quad \mathbf{r} = A_s \sum_{j=1}^n \mathbf{h}_j s_j + \mathbf{n}$$

Symbols from different antennas interfere with each other. Accordingly, in step 215, the processor 150 performs interference nulling in one step by multiplying  $\mathbf{r}$  by  $\mathbf{Q}'$ . This is a significant simplification over present systems that perform extensive processing to null out interfering sub-channels. In particular, present systems perform interference nulling by decoding  $s_n$  by projecting  $\mathbf{r}$  onto  $H_{n-1}^\perp$ , thus avoiding interference from symbols  $s_1, \dots, s_n$ . The signal due to  $s_n$  is then subtracted from  $\mathbf{r}$  and  $s_{n-1}$  is decoded by nulling out interference from  $s_1$  to  $s_{n-2}$ . This processing proceeds until the signal is decoded.

According to the present invention, the processor 150 determines the symbol  $y=Q'r$ , where  $r$  is the received signal and  $Q'$  is the Hermitian transpose of  $Q$ . In other words,  $y=Gs+w$ , where  $s$  is the transmitted signal and  $w$  is the noise. Therefore, the interference nulling pattern is created directly by the unitary transformation. In step 220, the processor  
5 150 decodes the symbol  $y_n$  of the  $n^{\text{th}}$  transmit antenna according to:

$$y_n = A_s g_{n,n} s_n + w_n$$

Again, where  $A_s$  is the power normalization constant,  $g_{n,n}$  is the upper triangular matrix,  $s_n$  is  
10 the transmitted signal, and  $w_n$  is the noise. The corresponding decision is denoted  $\hat{s}_n$ .

In the following steps, the processor 150 successively decodes the symbols from  $n-1$  to the first transmit antenna. Accordingly, in step 225, the processor sets  $j=n-1$ . In step 230, the processor determines if  $j<1$ . If true, then the processor exits the operation in step 235. If  
15 false, the processor proceeds to step 240 where it subtracts interference from the next symbol according to:

$$\tilde{y}_j = y_j - \sum_{k=j+1}^n A_s g_{j,k} \hat{s}_k$$

which can be rewritten as:

20

$$= A_s g_{j,j} s_j + \sum_{k=j+1}^n A_s g_{j,k} (s_k - \hat{s}_k) + w_j$$

In step 245, the processor 150 decodes the symbol decision  $\hat{s}_j$  based on  $\tilde{y}_j$ . In step 250, the processor decrements  $j$  by one and returns to step 230.

25

This process effectively creates  $n$  non-interfering one-dimensional sub-channels where the  $j^{\text{th}}$  sub-channel is described as:

$$\tilde{y}_{j,t} = A_s g_{j,j,t} s_{j,t} + w_{j,t}, 1 \leq j \leq n$$

where

5

$$|g_{j,j,t}|^2 \sim \chi_{2(n-j+1)}^2$$

In particular, the  $j^{\text{th}}$  sub-channel corresponds to  $n-j+1$  order diversity.

Fig. 3 is an exemplary illustration of a space-time processing schematic for a six transmitter and six receiver (6, 6) system according to one baseline embodiment. Tx represents the transmitter index and D represents the diversity order associated with the corresponding sub-channel. The horizontal direction indicates time instants and the vertical direction indicates spatial sub-channels created by the baseline method. As shown, each sub-channel is associated with a particular transmit antenna. The space-time processing is visualized by a two dimensional stack of rectangles where  $ST_{j,t}$  denotes the rectangle corresponding to the  $j^{\text{th}}$  sub-channel at the  $t^{\text{th}}$  time instant. This symbolizes a space-time processing unit aimed at retrieving information from the  $j^{\text{th}}$  sub-channel at the  $t^{\text{th}}$  time instant by subtracting interference from other transmitters and forming decisions  $\hat{s}_j$  according to the particular coding scheme used. Similarly, a row of rectangles represent the processing with respect to the corresponding spatial sub-channel at different time instants and a column of rectangles represent the processing at the corresponding time instant for all spatial sub-channels. Accordingly, the system equation for  $ST_{j,t}$  can be written as

25

$$y_{j,t} = A_s g_{j,j,t} s_{j,t} + \sum_{k=j+1}^n A_s g_{j,k,t} s_{k,t} + w_{j,t}$$

which shows that interference from the transmit antennas 120 corresponding to rectangles above the unit have been nulled out and that interference from transmit antennas 120

corresponding to rectangles below the unit must be cancelled by using decision feedbacks. Therefore, the baseline method provides a framework to construct various layered space-time structures.

Fig. 4 is an exemplary illustration of a schematic for processing similar to BLAST for a (6, 6) system. According to this processing, a layer is composed of six units in the diagonal direction where the arrow indicates the processing from left to right. Accordingly, each of the six diagonal unit in the schematic comprises a layer upon which codes can be applied. Different layers are processed from left to right for interference cancellation. The baseline method improves on BLAST at least in its simplicity and efficiency of operation.

For performance analysis, a D order diversity channel is described as  $y=hx+n$  where  $|h|^2 \sim \chi_{2D}^2$ ,  $n \sim CN^1(0, \sigma^2)$  and  $A_s$  normalizes the signal constellation to have unit power.

The average SNR per diversity branch is denoted  $p = \frac{A_s^2}{\sigma^2}$ . The probability of bit error,  $P_e$ , of the binary phase shift keying (BPSK) is then determined according to:

$$P_e = \left[ \frac{1}{2}(1 - \mu) \right]^{D-1} \sum_{d=0}^{D-1} \binom{D-1-d}{d} \left[ \frac{1}{2}(1 + \mu) \right]^d$$

where

$$\mu = \sqrt{\frac{\rho}{1 + \rho}}$$

The term  $\sum_{k=j+1}^n A_s g_{j,k} (s_k - \hat{s}_k) + w_j$  is the interference term due to imperfect decision feedbacks. In order to quantify the effect of interference, it is approximated as a Gaussian random variable,  $\tilde{w}_j$ .  $P_e$  is defined for  $s_k$  to be  $p_k$ . That is,  $\Pr(s_k \neq \hat{s}_k) = p_k$ . Given an equal probability of 1 and -1 under BPSK modulation, it is easy to verify that

$$E[s_k - \hat{s}_k] = 0$$

and

5

$$E[|s_k - \hat{s}_k|^2] = 4p_k.$$

Therefore, the mean and variance of  $\tilde{w}_j$  are given by:

10

$$E[\tilde{w}_j] = 0$$

$$E[|\tilde{w}_j|^2] = 4A_s^2 \sum_{k=j}^{n_r} p_k$$

15

by using  $g_{j,k} \sim \chi_2^2$  and it is independent of  $s_k - \hat{s}_k$ . Then,  $P_e$  is calculated for all sub-channels under a Gaussian approximation of interference.  $P_e$  is calculated by first calculating  $p_{n_r}$  of the  $n_r^{\text{th}}$  sub-channel by using the equation for  $P_e$  with a diversity order of  $D=n_r-n_r+1$  and  $\rho = |A_s|^2$ . Second,  $p_k$  is calculated successively from  $n_r-1$  to 1. For a given  $k$ , the interference power  $\tilde{\sigma}^2$  is calculated. Then,  $p_k$  is calculated by utilizing the equation for  $P_e$  with  $D=n_r-k+1$  and  $\tilde{\rho} = \frac{|A_s|^2}{1 + \tilde{\sigma}^2}$ . Third, the overall probability of bit error,  $P_e$ , is obtained by averaging across all the sub-channels. Accordingly,

20

$$P_b(\text{SNR}) = \frac{\sum_{k=1}^{n_r} p_k}{n_r}$$

Fig. 5 is an exemplary illustration of performance analysis of the baseline method. This performance analysis illustrates the lower bound for the probability of bit error. This is based on a simulation of baseline processing for a 6 transmitter and 6 receiver (6,6) system with  $T_{\text{coh}} = 10,000$  time instants. BPSK was used at the transmitter. The estimated performance was averaged over 10,000 channel coherence periods, or a total of  $10^8$  time instants. The nominal  $P_e$  performance, assuming perfect interference cancellation was computed using the above equations and served as a lower bound on the actual  $P_e$  performance. As described in the Background, since feedback from sub-channels with low diversity are used to create sub-channels with high diversity, imperfect decision feedbacks can compromise the performance of the sub-channels with a high diversity order. In Fig. 5, the nominal and the actual  $P_e$  is plotted for several sub-channels in the (6,6) system. In comparing nominal performance with actual simulation data, it is shown that imperfect decision feedbacks can affect sub-channels with large diversity order. Also, the system performance can be limited by the worst sub-channel. Thus, the worst sub-channel in a layered space-time structure can be a bottleneck in limiting system performance.

According to another embodiment, the processor 150 performs an extended space-time processing method or extended method.

As shown above, the strongest sub-channel with the largest diversity order is formed by successive decision feedbacks from the rest of the sub-channels. Accordingly, the strongest sub-channel has better performance than those with less diversity. Unfortunately, this fact is not utilized in a BLAST-type space-time processing structure. The present inventors have recognized that a direct way to improve system performance is to subtract the strongest sub-channel from the total received signal using the strongest sub-channel's decision. This is defined as a "loopback" process because it forms a feedback flow in a reverse order. Loopback operation can effectively remove the contribution of the transmit antenna corresponding to the strongest sub-channel.

A (6,6) system can be used to further explain the loopback procedure. First, decisions are generated for the sub-channels using the baseline operation, BLAST, or the like. The resulting diversity order for each respective sub-channel is 6, 5, 4, 3, 2, 1. From the

generated decisions, a signal due to, for example, the 1<sup>st</sup> transmit antenna is effectively reconstructed. This signal is subtracted from the received signal. The received signal is now effectively a 5 transmitter and 6 receiver (5,6) system. If the baseline operation is performed, the diversity order of all of the sub-channels from 2 to 6 is improved by 1 diversity order.

5 These improved sub-channels produce improved decisions which thus assist in the decoding of the 1<sup>st</sup> sub-channel. Thus, the first iteration of a loopback operation results in a diversity order for each respective sub-channel being 6, 6, 5, 4, 3, 2. This accordingly improves the diversity order of the second sub-channel to full diversity. The loopback operation can be continued by subtracting successively improving sub-channels. For example, both the 1<sup>st</sup> and  
10 2<sup>nd</sup> sub-channels are next subtracted, thus effectively forming a (4,6) system.

The depth of the loopback operation is defined as  $I_{\text{loopback}}$ . Therefore, sub-channels 1 to  $I_{\text{loopback}}$  are used successively in the loopback cancellation. Since processing structure evolves after each loopback operation, the final structure has the property that the first  $I_{\text{loopback}} + 1$  sub-channels have full diversity order, while the rest have 5 down to  $1 + I_{\text{loopback}}$ . For  
15 example, the baseline operation corresponds to  $I_{\text{loopback}} = 0$ . Also, the loopback processing can iterate at the final stage  $I_{\text{final}}$  times without looping back to the next sub-channel.

In the extended method, the processor 150 generates an improved symbol decision of a desired sub-channel of the signal vector by first generating a baseline decision for the sub-channel. This baseline decision can be generated by the baseline method, by the BLAST  
20 system, or by any other method useful for generating a decision for a sub-channel. Next, the processor 150 subtracts a contribution of a strongest sub-channel from the signal vector to generate a modified signal vector. Then, the processor 150 multiplies the modified signal vector by a unitary matrix generated from a QR decomposition of another channel matrix. Finally, the processor 150 successively cancels channel interference of the remaining sub-  
25 channels of the modified signal vector from a remaining sub-channel.

A more detailed description of the extended method is described below:

Fig. 6 is an exemplary flowchart 600 outlining the operation of the processor 150 according to the extended method. In step 605, the operation begins. In step 610, the processor 150 generates the initial symbol decisions  $(\hat{s}_1, \hat{s}_2, \dots, \hat{s}_{n_r})$ . The processor 150



can generate these symbol decisions by utilizing the baseline method, a method such as BLAST, or any like method. For example, the processor 150 decodes each sub-channel utilizing the baseline method for  $(n_T, n_R)$ . The decision at the  $k^{\text{th}}$  sub-channel is denoted  $\hat{s}_k$ . In step 615, the processor 150 sets  $i=1$  to begin a loop for  $i=1$  to  $I_{\text{loopback}}$  to use sub-channels 1 to  $I_{\text{loopback}}$  successively in loopback cancellation. In step 620, the processor 150 determines if  $i > I_{\text{loopback}}$ . If so, the processor advances to step 660. If  $i \leq I_{\text{loopback}}$ , the processor 150 advances to step 625.

In step 625, the processor subtracts the signals from the sub-channels 1 to  $i$  to generate an improved received signal  $\tilde{\mathbf{r}}$  according to:

10

$$\begin{aligned}\tilde{\mathbf{r}} &= \mathbf{r} - \sum_{j=1}^i A_s \mathbf{h}_j \hat{s}_j \\ &= A_s \mathbf{H}_i \mathbf{s}_i + \sum_{j=1}^i A_s \mathbf{h}_j (s_j - \hat{s}_j) + \mathbf{n} \\ &= A_s \mathbf{H}_i \mathbf{s}_i + \tilde{\mathbf{n}}\end{aligned}$$

15

In step 630, the processor 150 decodes the received signal  $\tilde{\mathbf{r}}$  to generate symbol decisions  $\hat{\mathbf{s}}_i = (\hat{s}_{i+1}, \dots, \hat{s}_{n_r})^t$ , where  $t$  stands for the transpose. The processor 150 can decode the received signal  $\tilde{\mathbf{r}}$  by utilizing the baseline method, a method such as BLAST, or any like method.

20

In step 635, the processor 150 sets  $k=1$  to begin a loop for  $k=i$  to 1 to cancel interference from the other sub-channels. In step 640, the processor determines whether to exit the loop based on  $k < 1$ . If  $k \geq 1$ , the processor advances to step 645. In step 645, the processor cancels the interference from the other sub-channels according to:

25

$$\tilde{\mathbf{r}}_k = \mathbf{r} - \sum_{j \neq k} A_s \mathbf{h}_j \hat{s}_j$$

and then updates symbol decision  $\hat{s}_k$  by decoding  $\tilde{\mathbf{r}}_k$ . In step 650, the processor 150 decrements k and returns to step 640.

If  $k < 1$  in step 640, the processor 150 increments i in step 665 and returns to step 620. If  $i > I_{\text{loopback}}$  in step 620, the processor 150 advances to step 660 where it fixes  $i = I_{\text{loopback}}$ . In step 665, the processor 150 performs the functions of steps 625-650 for  $I_{\text{final}} - 1$  iterations. In step 670, the operation ends.

Fig. 7 is an exemplary illustration of performance analysis of the extended method. As discussed above, the performance can be studied analytically by approximating the residual interference after the decision feedback as Gaussian random variables.  $P_e$  in each step of the extended algorithm is obtained by calculating the variance of residual interference. The performance algorithm is given by setting  $\mathbf{p} = (p_1, \dots, p_{n_r})^t$  to be a vector of  $P_e$ 's of all sub channels at each step of the extended algorithm with a specific  $I_{\text{loopback}}$  and  $I_{\text{final}}$ . Then set  $\mathbf{p} = (p_1, \dots, p_{n_r})^t$ . Given a SNR,  $|A_s|^2 = \frac{\text{SNR}}{n_T}$ . Then,  $\mathbf{p}$  is calculated in accordance with the baseline structure. Next, a loop is set from  $i = 1$  to  $I_{\text{loopback}}$ . By Gaussian approximation of residual interference, the noise  $\tilde{\mathbf{n}}$  is distributed as  $CN^{n_r}(0, \tilde{\sigma}^2)$  with

$$\tilde{\sigma}^2 = 1 + 4A_s^2 \sum_{j=1}^i p_j$$

Then,  $\mathbf{p}_i$  is generated as described above with respect to the baseline operation. Next, from  $k = i$  to 1,  $\mathbf{p}_k$  is updated using the above equations with a diversity order of  $D = n_R$  and

$$\rho = \frac{A_s^2}{1 + 4A_s^2 \sum_{j \neq k} p_j}$$

Fig. 7 illustrates the performance improvement with loopback cancellation in the extended method for a (6,6) system. As before, bits are sent using BPSK at each transmit antenna.  $I_{\text{final}}$  is fixed to be 1 while varying the loopback depth  $I_{\text{loopback}}$  from 1 to 5. The

performance of a baseline or BLAST-type algorithm is included for comparison. As illustrated, large performance gain is achieved by using loopback cancellation. For example, the system with full loopback at 4dB already achieves  $P_e$  of the BLAST system at 9dB, thus resulting in a 5dB savings. Performance gain increases as SNR increases, which projects more power savings at higher SNR's. Also, a few loopback cancellations can be sufficient. As illustrated in Fig. 7, large performance improvements are achieved with only 1 or 2 levels of loopback.

While this invention has been described with specific embodiments thereof, it is evident that many alternatives, modifications, and variations will be apparent to those skilled in the art. For example, various elements and various steps of different aspects and embodiments may be combined with various elements and various steps of other aspects and embodiments. Accordingly, the preferred embodiments of the invention as set forth herein are intended to be illustrative, not limiting. Various changes may be made without departing from the spirit and scope of the invention.

WHAT IS CLAIMED IS:

1. A wireless receiver comprising:  
a plurality of antennas configured to receive a signal vector;  
5 a processor coupled to the plurality of antennas, the processor being configured to generate an improved symbol decision of a desired sub-channel of the signal vector by subtracting a contribution of a strongest sub-channel from the signal vector to generate a modified signal vector, and successively canceling channel interference of remaining sub-channels of the modified signal vector from another remaining sub-channel.  
10
2. The wireless receiver according to claim 1, wherein the processor further generates the improved signal decision by multiplying the modified signal vector by a unitary matrix generated from a QR decomposition of another channel matrix after subtracting a contribution of the strongest sub-channel, and successively canceling channel interference of  
15 the remaining sub-channels of the modified signal vector from a remaining strongest sub-channel.
3. The wireless receiver according to claim 1, wherein the processor further generates the improved signal decision by nulling out the interference of a first set of sub-  
20 channels, and successively canceling channel interference due to a second set of sub-channels.
4. The wireless receiver according to claim 1, wherein the processor performs nulling by multiplying the received signal vector by a unitary matrix generated from a QR  
25 decomposition of a channel matrix.
5. The wireless receiver according to claim 1, wherein the processor is further configured to successively cancel channel interference caused by symbols in other sub-channels.

6. The wireless receiver according to claim 1, wherein the signal of the desired sub-channel and the signals of the remaining sub-channels comprise a normalization component and an upper triangular matrix component.

5

7. The wireless receiver according to claim 6, wherein the processor cancels channel interference by decoding a symbol of the desired sub-channel, subtracting the contribution of the decoded symbol from the remaining sub-channels, and repeating the process of decoding and subtracting on the remaining sub-channels to decode the sub-

10

8. A wireless receiver comprising:  
a plurality of antennas configured to receive a signal vector; and  
a processor coupled to the plurality of antennas, the processor being  
configured to generate a symbol decision of a desired sub-channel of the signal vector by nulling out interference of a first set of sub-channels by multiplying the received signal vector by a unitary matrix generated from a QR decomposition of a channel matrix and the processor further generates the improved symbol decision by successively canceling channel interference due to a second set of sub-channels.

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9. The wireless receiver according to claim 8, wherein the processor is further configured to successively cancel channel interference caused by symbols in other sub-channels.

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10. The wireless receiver according to claim 8, wherein the signal of the desired sub-channel and the signals of the second set of sub-channels comprise a normalization component and an upper triangular matrix component.

11. The wireless receiver according to claim 10, wherein the processor cancels channel interference by decoding a symbol of the desired sub-channel, subtracting the contribution of the decoded symbol from the remaining sub-channels, and repeating the process of decoding and subtracting on the remaining sub-channels to decode the sub-

5 channels.

12. The wireless receiver according to claim 8, wherein the processor further generates the decision by subtracting a contribution of a strongest sub-channel from the signal vector to generate a modified signal vector, multiplying the modified signal vector by a unitary matrix generated from a QR decomposition of another channel matrix, and successively canceling interference of the remaining sub-channels of the modified signal vector from another remaining sub-channel.

10

13. A wireless communication system comprising:

15 a plurality of receive antennas configured to receive a signal vector; and  
a processor coupled to the plurality of receive antennas, the processor being configured to generate a decision and then generate an improved decision by subtracting a contribution of a strongest sub-channel from the signal vector to generate a modified signal vector and successively canceling channel interference of remaining sub-channels of the modified signal vector from the strongest sub-channel.

20

14. The wireless communication system according to claim 13, wherein the strongest sub-channel has an diversity order higher than the remaining sub-channels.

25 15. The wireless communication system according to claim 13, wherein the strongest sub-channel has an diversity order approximately equal to the number of receive antennas.

16. The wireless communication system according to claim 13, further comprising a plurality of transmit antennas configured to transmit the signal vector.

5 17. The wireless communication system according to claim 13, wherein the processor further generates the improved signal decision by nulling out the interference of a first set of sub-channels, and successively canceling channel interference due to a second set of sub-channels.

10 18. A method for generating an improved signal decision in a wireless receiver comprising:  
receiving a signal vector via a plurality of antennas;  
subtracting a contribution of a strongest sub-channel of the signal vector from the signal vector to generate a modified signal vector; and  
15 successively canceling channel interference of the remaining sub-channels of the modified signal vector from another remaining sub-channel.

19. The method for generating an improved signal decision in a wireless receiver according to claim 18, further comprising:  
20 multiplying the modified signal vector by a unitary matrix generated from a QR decomposition of another channel matrix after subtracting a contribution of a strongest sub-channel;  
wherein the successively canceling channel interference step further comprises successively canceling channel interference of the remaining sub-channels of the modified  
25 signal vector from a remaining strongest sub-channel.

20. The method for generating an improved signal decision in a wireless receiver according to claim 18, further comprising:

    nulling out interference of a first set of sub-channels; and  
    successively canceling channel interference due to a second set of sub-

5 channels.

21. The method for generating an improved signal decision in a wireless receiver according to claim 18, wherein the nulling step further comprises multiplying the received signal vector by a unitary matrix generated from a QR decomposition of a channel matrix.

10

22. The method for generating an improved signal decision in a wireless receiver according to claim 18, further comprising successively canceling channel interference caused by symbols in other sub-channels.

15 23. The method for generating an improved signal decision in a wireless receiver according to claim 18, wherein the signal of the desired sub-channel and the signals of the second set of sub-channels comprise a normalization component and an upper triangular matrix component.

20 24. The method for generating an improved signal decision in a wireless receiver according to claim 23 further comprising:

    decoding a symbol of the desired sub-channel;  
    subtracting interference of the second set of sub-channels; and  
    successively decoding symbols of the second set of sub-channels.

25



25. A method of generating a decision on a signal vector in a multiple antenna array receiver comprising:

receiving the signal vector via a plurality of antennas;

5 nulling out interference of a first set of sub-channels by multiplying the received signal vector by a unitary matrix generated from a QR decomposition of a channel matrix; and

successively canceling channel interference due to a second set of sub-channels.

10 26. The method of generating a decision on a signal vector in a multiple antenna array according to claim 25, further comprising successively canceling channel interference caused by symbols in other sub-channels.

15 27. The method of generating a decision on a signal vector in a multiple antenna array according to claim 25, wherein the signal of the desired sub-channel and the signals of the second set of sub-channels comprise a normalization component and an upper triangular matrix component.

20 28. The method of generating a decision on a signal vector in a multiple antenna array according to claim 27, further comprising:

decoding a symbol of the desired sub-channel;

successively decoding symbols of the second set of sub-channels; and

subtracting interference of the second set of sub-channels.

29. The method of generating a decision on a signal vector in a multiple antenna array according to claim 25, further comprising:

subtracting a contribution of a strongest sub-channel from the signal vector to generate a modified signal vector;

5 multiplying the modified signal vector by a unitary matrix generated from a QR decomposition of another channel matrix; and

successively canceling interference of the remaining sub-channels of the modified signal vector from another remaining sub-channel.

10 30. A method of generating a decision in a multiple antenna array wireless communication system comprising:

receiving a signal vector via a plurality of receive antennas;

generating a decision; and

15 generating an improved decision by subtracting a contribution of a strongest sub-channel from the signal vector to generate a modified signal vector, and successively canceling channel interference of some of the remaining sub-channels of the modified signal vector.

20 31. The method of generating a decision in a multiple antenna array wireless communication system according to claim 30, wherein the strongest sub-channel has an diversity order higher than the remaining sub-channels.

25 32. The method of generating a decision in a multiple antenna array wireless communication system according to claim 30, wherein the strongest sub-channel has an diversity order approximately equal to the number of receive antennas.

33. The method of generating a decision in a multiple antenna array wireless communication system according to claim 30, further comprising transmitting the signal vector via a plurality of transmit antennas.

34. The method of generating a decision in a multiple antenna array wireless communication system according to claim 30, further comprising:

5 nulling out the interference of a first set of sub-channels; and

successively canceling channel interference due to a second set of sub-channels.

10

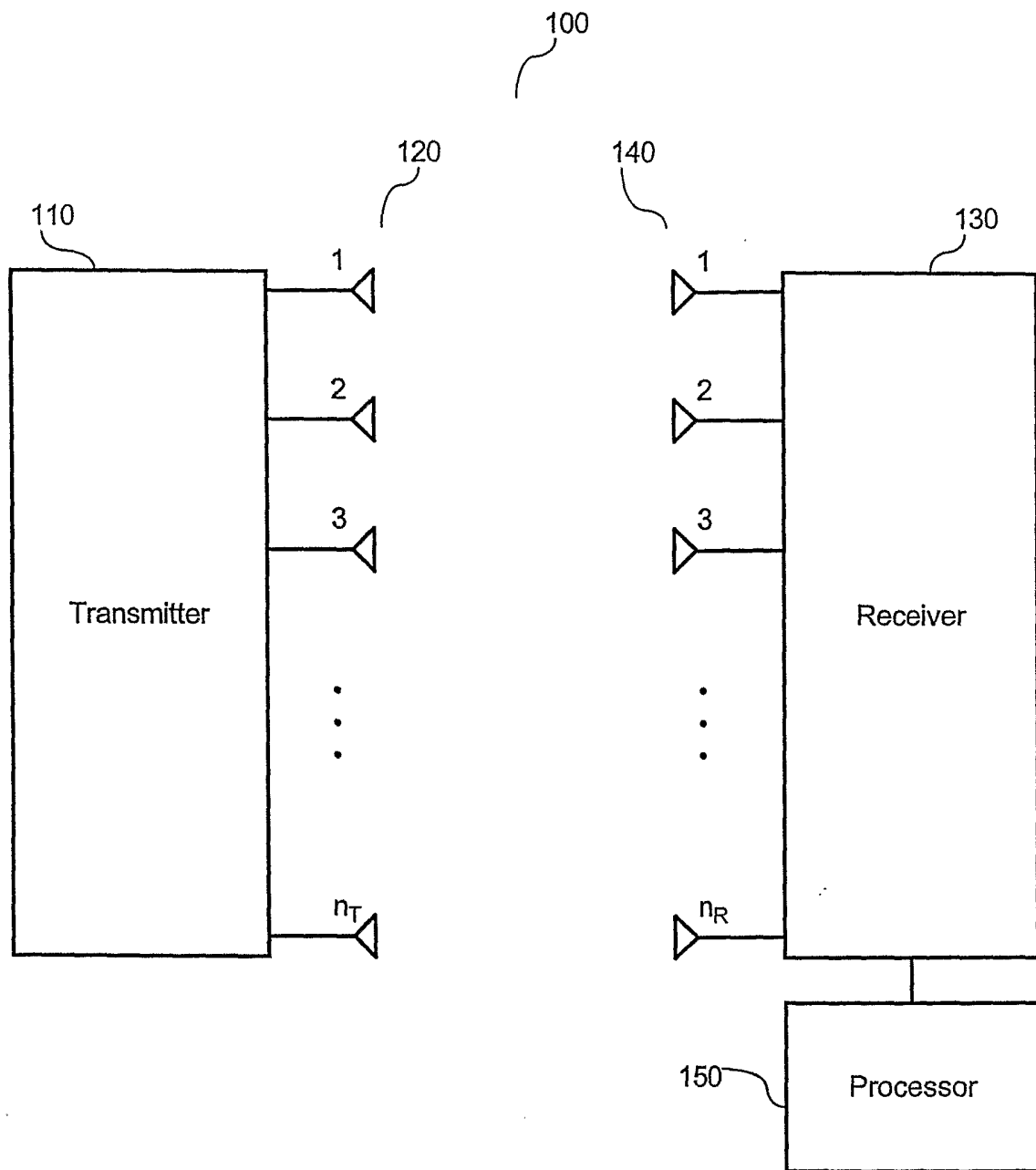


Fig. 1

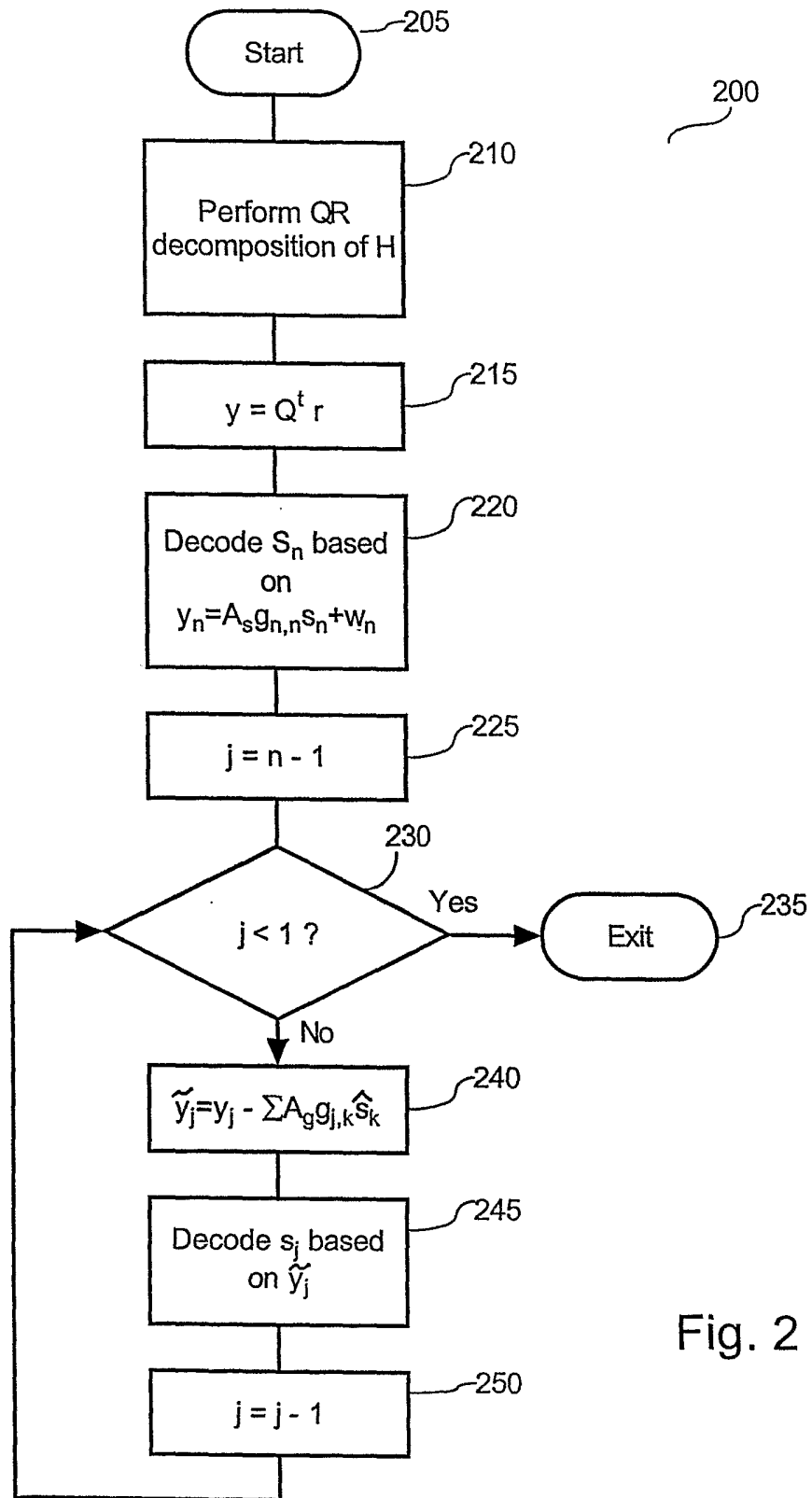


Fig. 2

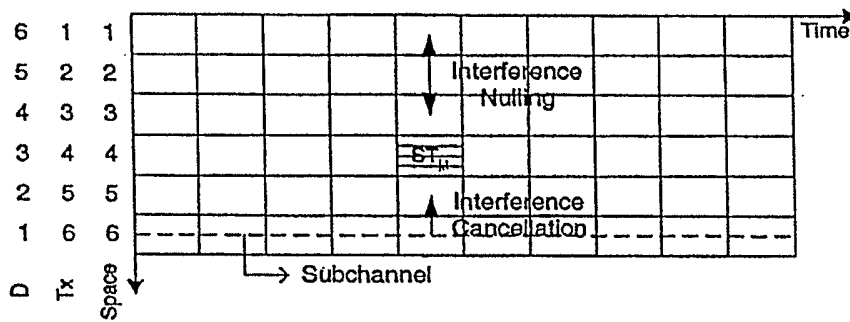


FIG. 3

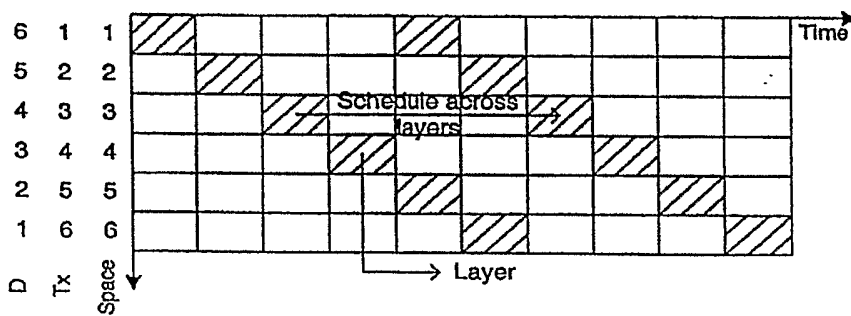


FIG. 4

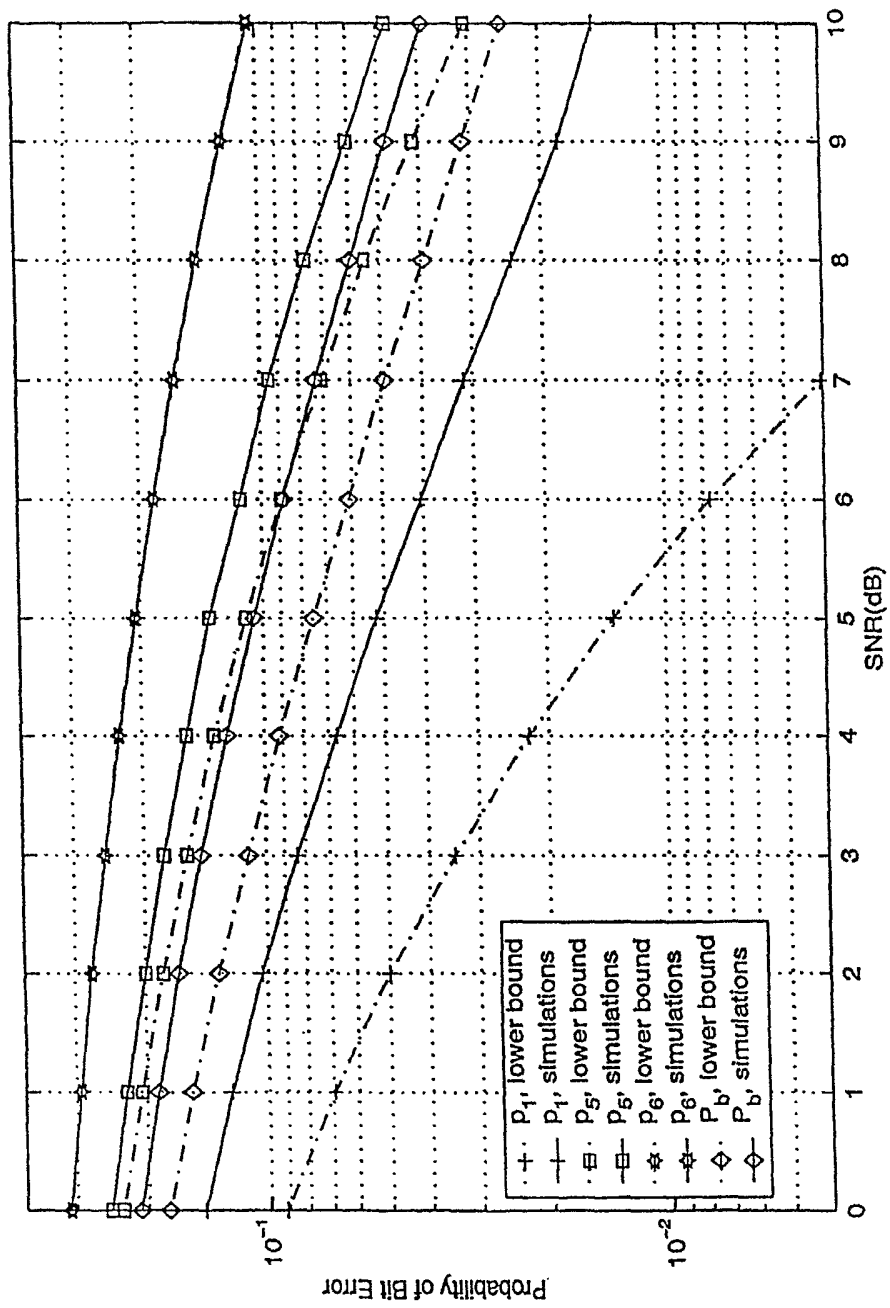


Fig. 5

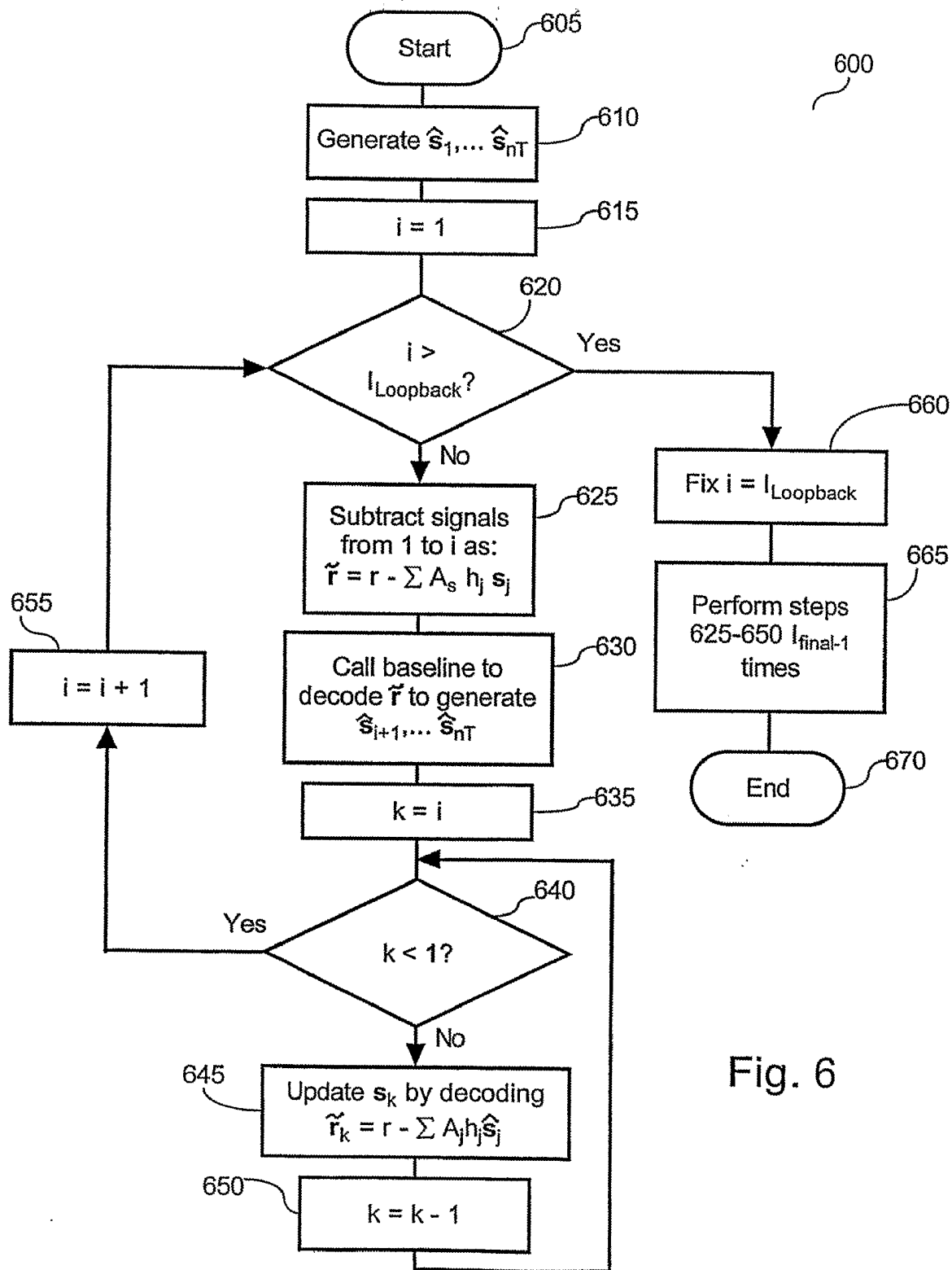


Fig. 6



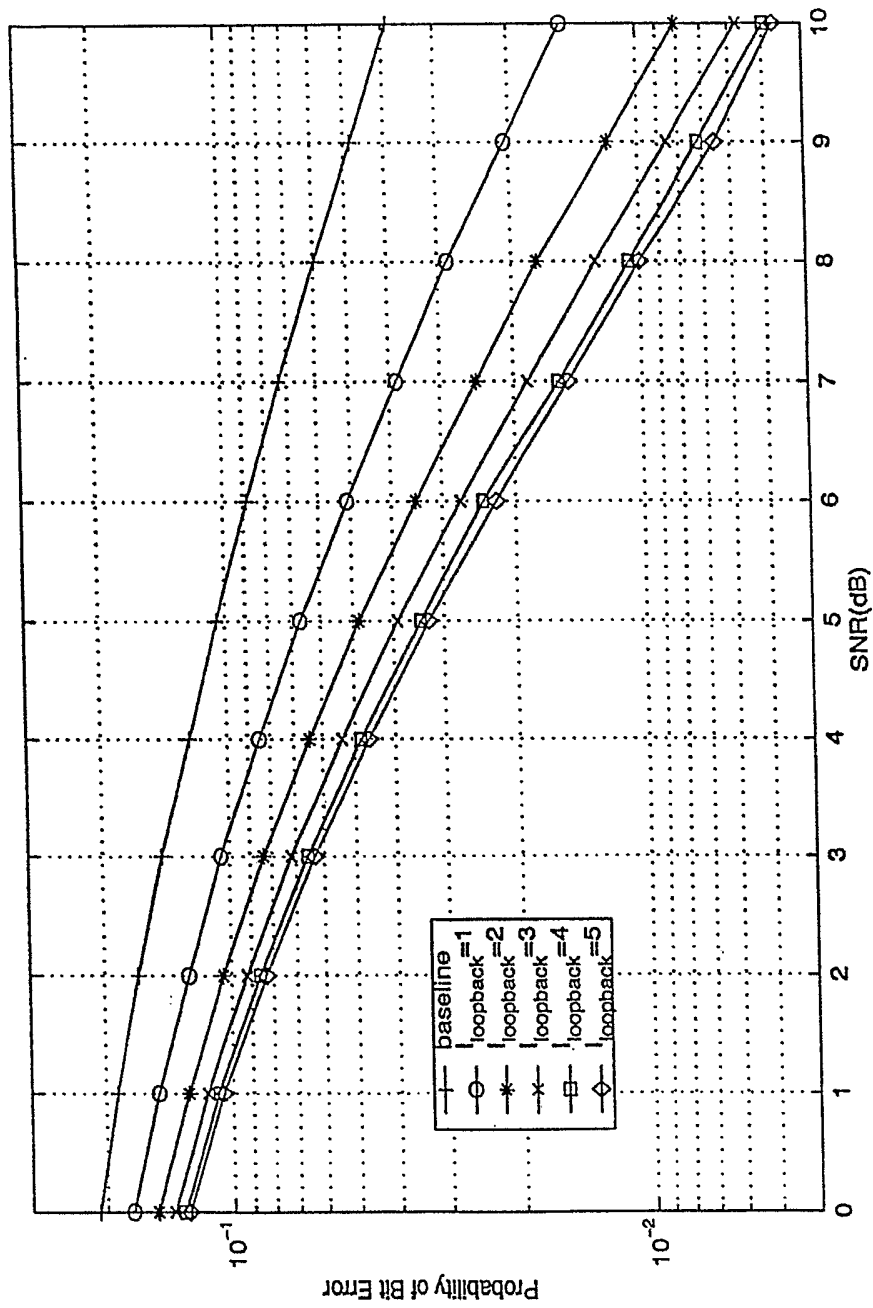


FIG. 7

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/23399

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : H04B 15/00; H04L 1/06  
 US CL : 375/267, 347, 349; 455/272, 303; 342/368

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 U.S. : 375/144, 148, 267, 347, 349; 370/464; 455/272, 303; 342/368

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 EAST search terms: channel interference, subchannel, subband, space time diversity.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, E	US 6,317,466 B1 (FOSCHINI et al) 13 November 2001 (13.11.2001), see Abstract, Fig. 2, 3, col. 1, lines 30-58.	1-34
X	US 5,323,418 A (AYERST et al) 21 June 1994 (21.06.1994), see Abstract, col. 2, lines 37-col. 3, line 6.	1, 3, 5, 7, 13-18, 20, 22, 24, 30-34.
A	US 6,127,971 A (CALDERBANK et al) 03 October 2000 (03.10.2000), see Abstract, the Figure.	1-31

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:	"T"
"A" document defining the general state of the art which is not considered to be of particular relevance	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
 28 September 2002 (28.09.2002)

Date of mailing of the international search report  
 09 DEC 2002

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INTERNATIONAL SEARCH REPORT

PCT/US02/23399

**Continuation of Item 4 of the first sheet:**

The title is not short, preferably 2-7 words. The text of a new title is LAYERED SPACE-TIME MULTIPLE ANTENNA SYSTEM.