#### (19) World Intellectual Property Organization

International Bureau



# 

(43) International Publication Date 8 April 2004 (08.04.2004)

**PCT** 

(10) International Publication Number WO 2004/029782 A3

(51) International Patent Classification<sup>7</sup>: A61M 25/00

A61B 5/00.

(21) International Application Number:

PCT/US2003/031279

(22) International Filing Date:

30 September 2003 (30.09.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/414,574

30 September 2002 (30.09.2002) US

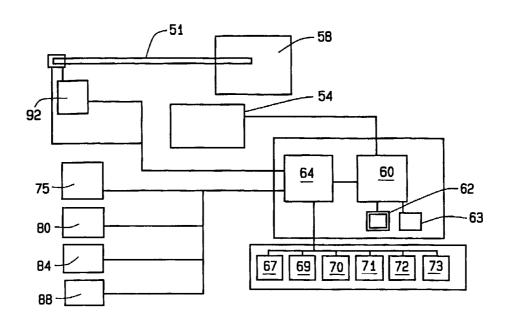
- (71) Applicant (for all designated States except US): STEREOTAXIS, INC. [US/US]; A corporation of the state of Delaware, 4041 Forest Park Avenue, St. Louis, MI 63108 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): HOGG, Bevil, J. [US/US]; 215 Ross Street, Santa Cruz, CA 95060

(US). **GARIBALDI, Jeffrey, M.** [US/US]; 3519 Burgundy Way Estate Drive, St. Louis, MO 63129 (US). **VISWANATHAN, Raju** [IN/US]; 4041 Forest Park Avenue, St.Louis MO 63108 (US).

- (74) Agent: WHEELOCK, Bryan, K.; Harness, Dickey & Pierce, P.L.C., 7700 Bonhomme Avenue, Suite 400, St. Louis, MO 63105 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: A METHOD AND APPARATUS FOR IMPROVED SURGICAL NAVIGATION EMPLOYING ELECTRONIC IN-DENTIFICATION WITH AUTOMATICALLY ACTUATED FLEXIBLE MEDICAL DEVICES



(57) Abstract: A navigation system (57) for minimally invasive surgery incorporating means of automatic electronic identification of automatically actuated flexible medical device (51) characteristics, communication of such information to a navigation control system (57) and its use in an automatic actuation system (54) that is used to accurately control navigation of the flexible medical device (51) within a patient's anatomy by the use of a physics-based model of flexible device (51) response to automatically applied actuations in order to efficiently access specified regions targeted for therapy delivery.



## WO 2004/029782 A3



#### **Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(88) Date of publication of the international search report:

24 June 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

### INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/31279

| Minimum documentation searched (classification system followed by classification symbols)  U.S.: Please See Continuation Sheet  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  WEST: identification, code, catheter, actuate, navigate, navigation, program, software, orientation |  |  |
|--|--|--|
| Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)   |  |  |
| Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WEST: identification, code, catheter, actuate, navigate, navigation, program, software, orientation   |  |  |
| Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WEST: identification, code, catheter, actuate, navigate, navigation, program, software, orientation   |  |  |
| C. DOCUMENTS CONSIDERED TO BE RELEVANT   |  |  |
| Category * Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No   |  |  |
| A US 6,331,181 B1 (TIERNEY et al) 18 December 2001, see whole document. 1-48   |  |  |
| A US 6,266,551 B1 (OSADCHY et al) 24 July 2001, see whole document. 1-48   |  |  |
| A US 6,436,032 B1 (ETO et al) 20 August 2002, see whole document.  |  |  |
| A US 6,237,604 B1 (BURNSIDE et al) 29 May 2001, see whole document. 1-48   |  |  |
| A, P US 6,611,793 B1 (BURNSIDE et al) 26 August 2003, see whole document.  |  |  |
|  |  |  |
| Further documents are listed in the continuation of Box C. See patent family annex.  |  |  |
| * Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand to   |  |  |
| "A" document defining the general state of the art which is not considered to be principle or theory underlying the invention of particular relevance  |  |  |
| "E" earlier application or patent published on or after the international filing date "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive ste when the document is taken alone  |  |  |
| "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination   |  |  |
| "O" document referring to an oral disclosure, use, exhibition or other means being obvious to a person skilled in the art  |  |  |
| "P" document published prior to the international filing date but later than the "&" document member of the same patent family priority date claimed   |  |  |
| Date of the actual completion of the international search  Date of mailing of the international search  A MAY 2004   |  |  |
| 22 Watch 2004 (22:03:2004)   |  |  |
|  |  |  |
| Name and mailing address of the ISA/US  Mail Stop PCT, Attn: ISA/US  Commissioner for Patents  P.O. Box 1450  Alexandria, Virginia 22313-1450  Alexandria, Virginia 22313-1450  Authorized office  Tall phone No. (703) 308-0858   |  |  |

|   | PCT/US03/31279  |
|---|---|
| INTERNATIONAL SEARCH REPORT   |   |
|   |   |
|   |   |
|   |   |
|   | •   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
| ,   |   |
|   |   |
| Continuation of B. FIELDS SEARCHED Item 1:                                      | 7 000 H00/F0 0FFF/15 (DA/F0 52 (OC/) 10.10.20         |
| 600/585, 117, 133, 118, 424, 300, 407, 429,338, 588, 639, 325, 372-377; 128/897 | 7, 898; 702/59; 377/15; 604/52, 53; 606/1, 10-12, 32- |
| 35, 41, 42, 45-50   |   |
|   |   |
|   |   |
|   |   |
| ·   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
|   |   |
| n3  | -   |

Form PCT/ISA/210 (second sheet) (July 1998)