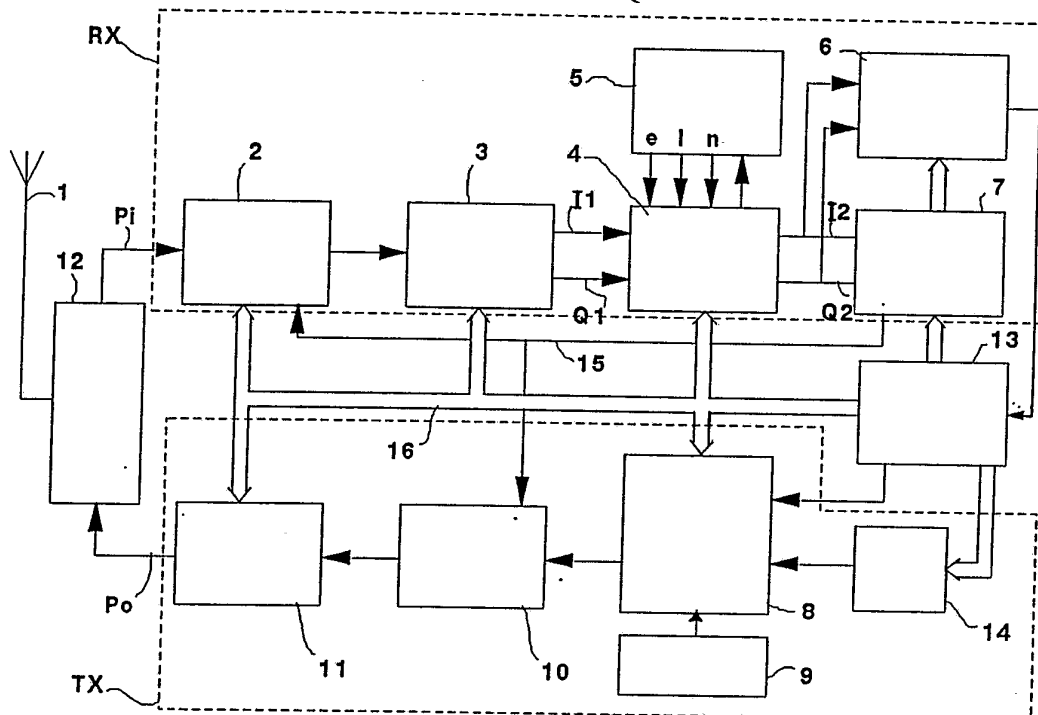




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(21) International Application Number: PCT/FI92/00231 (22) International Filing Date: 27 August 1992 (27.08.92) (30) Priority data: 914244 9 September 1991 (09.09.91) FI (71) Applicant (for all designated States except US): ELEKTROBIT OY [FI/FI]; Teknologiantie 16, SF-90570 Oulu (FI). (72) Inventor; and (75) Inventor/Applicant (for US only) : POUTANEN, Torsti [FI/FI]; Haukkalankatu 5 A 2, SF-24100 Salo (FI). (74) Agent: OY KOLSTER AB; Stora Robertsgatan 23, P.O. Box 148, SF-000121 Helsinki (FI).		(81) Designated States: AU, CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE). Published <i>With international search report.</i>

(54) Title: A METHOD FOR AUTOMATIC TRANSMISSION POWER CONTROL IN A TRANSCEIVER SUITABLE FOR A CDMA ENVIRONMENT EMPLOYING DIRECT SEQUENCE DIFFUSION

**(57) Abstract**

The invention relates to a method for automatic transmission power control in a transceiver suitable for a CDMA environment employing direct sequence diffusion. In accordance with the invention, a setpoint signal generated for automatic gain control of the receiver (RX) is used for amplitude or power (P_o) control of the radio signal sent by the transmitter (TX).

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A method for automatic transmission power control in a transceiver suitable for a CDMA environment employing direct sequence diffusion

5 The present invention relates to a method for automatic transmission power control in a transceiver suitable for a CDMA environment employing direct sequence diffusion.

10 Direct sequence spectrum diffusion technology, or DS spread spectrum technology, has to date been implemented mainly in military data communications. Information publicly available on the techniques employed in the military is scarce. The use of spectrum diffusion technology in code division multiple access
15 (CDMA) cellular telephone systems, which are currently being designed in the U.S.A., will be one of the first commercial applications of this technology. In a CDMA network, a plurality of mobile stations (e.g. mobile phones) simultaneously share common transmitting and
20 receiving frequencies when communicating with the base station. In DS spectrum diffusion technology, the transmitted information is modulated (multiplied) with a pseudo-random code employing a bandwidth for the code signal that is wider than the bandwidth of the informa-
25 tion. Each mobile station has its own random or DS diffusion code, and the base station has a correlator corresponding to these. In the reception, the pseudo-random code can be demodulated with the correlator when the code used in the transmission is known.

30 In CDMA radio networks, a maximum number of users is achieved when the transmissions from all mobile stations are received with equal power at the fixed station. For this reason, it is necessary to monitor and control the signal powers of the mobile and
35 fixed stations in compliance with the transmitting and

receiving parameters of the equipment. The most important control systems are automatic power control (APC) of the transmitter, wherein the transmission power of a mobile station is controlled in accordance with the distance to the base station, and automatic gain control (AGC), wherein the radio receiver controls the gain in response to the signal power received.

There is hardly any data on automatic power control in a CDMA network, and the data available comprises mainly theoretical analyses on the effect of APC on the operation of the CDMA cellular network. The present invention offers practical ways of realizing both automatic gain control and automatic power control at mobile stations in a CDMA network. The method of the invention is characterized in that a setpoint signal generated for automatic gain control of the receiver is used for amplitude or power control of the radio signal sent by the transmitter.

In the prior art networks, no special attention has hitherto been paid to different modes of realizing output power control of a mobile station; in FDMA and TDMA networks including this feature, the control has been performed by commands given by the base station. In the present invention, this power control, which is indispensable in CDMA networks for the above reasons, is thus performed independently at the mobile station, without loading the radio network and without causing signalling on account thereof.

The method of the invention can be applied for instance in

- mobile stations of CDMA cellular telephone systems;
- cordless local area networks (LAN) operating on the CDMA principle;
- fire, burglar, theft and similar alarm systems

operating on the CDMA principle.

The automatic transmission power control concept of the invention is also suitable for use in diversity receivers.

5 The invention will be explained in closer detail hereinbelow with reference to the accompanying drawings in which

10 Figure 1 shows block diagrams of a DS spread spectrum receiver and transmitter of a mobile station in a CDMA network,

Figure 2 shows the sampling principle of an assembled complex baseband signal.

15 Hence, Figure 1 shows a schematic block diagram of the radio components of a DS spread spectrum receiver RX and transmitter TX of a mobile station in a CDMA network. A radio signal transmitted by a base station (not drawn) is received by an antenna 1. The signal is supplied via a duplex filter 12 to an RX pre-stage 2 comprising amplifying, filtering and down-
20 conversion functions, so that the mean frequency of the output signal of the RX pre-stage 2 is at the intermediate frequency (IF) of the apparatus. The local oscillator required by down-conversion is located in the RX pre-stage 2. For automatic gain control, the RX
25 pre-stage has either a variable attenuator or an amplifier, or both. These operate either at the receiving frequency, at the intermediate frequency, or at both frequencies. The control of the AGC may be analog (DC voltage) or digital (control word).

30 To maintain the operation of the blocks 2 and 3 in the linear range, block 2 or block 3 or both comprise broadband AGC measuring the signal power from an unassembled signal and controlling the gain of block 2 by means of this information.

35 The intermediate frequency signal is applied to

a quadrature block 3 converting said intermediate frequency signal to a sample sequence of the complex baseband signal. For this purpose, block 3 comprises an A/D converter function. The conversion from the intermediate frequency to baseband may be analog, subsequent to which the baseband signals are sampled, and sample sequences I1 and Q1 are obtained. Another alternative is to sample the intermediate frequency signal directly and to produce said sample sequences by digital signal processing. The sample sequences I1 and Q1 further comprise a DS diffusion code.

The sampled baseband signal I1, Q1 is applied to a spectrum assembler block 4. Therein DS code phase acquisition and code phase tracking is performed by means of the code generated by the code generator 5 and by means of its different phases, e (early), l (late) and n (nominal). The code phase acquisition may employ sliding correlation or a matched filter, for instance. For code tracking, a variety of methods have been disclosed in the literature, one of these being the delay locked loop method. When the code phase is aligned and the tracking loop is locked, an assembled complex baseband signal I2, Q2 containing the information sent by the fixed station is obtained from block 4.

The assembled baseband signal is supplied to blocks 6 and 7. Block 6 comprises a data demodulating function and a decoding function, which unloads information bits from the I2 and Q2 sample sequences. Block 6 also comprises carrier recovery by means of which, for instance, a possible frequency error in the local oscillator of the receiver (in block 2) can be compensated for.

Block 7 realizes the automatic gain control algorithm. If the reception is continuous, the algo-

rithm searches from sequentially successive time slots the maximum value for the quantity $(I2)^2 + (Q2)^2$ (cf. Figure 2). The advantage afforded by the use of maximum values is that the maintenance of the signal strength to be sampled in block 3 within the range of operation of the A/D converter of block 3 is ensured. The algorithm may average or not average these values over several time slots. A suitable control algorithm is, for instance, the PID algorithm generally known in control engineering, and hence it will not be more closely explained in this context.

The value obtained is compared to a reference value, and the difference of these is applied to the control algorithm which calculates a new setpoint value for the gain varying means of the RX pre-stage 2. The setpoint signal is supplied as a feedback over line 15 to block 2.

The time slot search period may be fixed and predetermined, or block 7 may monitor how fast the signal power P_i changes, and the search period is adjusted in accordance therewith. Figure 2 shows by way of example sample sequences $(I2, Q2)$ for the assembled complex baseband signal and the $I2^2 + Q2^2$ sample sequence. The time slot ΔT between two samples is the inverse value of the sampling frequency of the A/D conversion of block 3. The time slots T_n , T_{n+1} and T_{n+2} constitute the search period referred to above. In Figure 2, the search periods are all of equal duration, but the algorithm of block 7 may monitor the rate of change of the magnitude of the sampling values in the sample sequence $I2^2 + Q2^2$ and regulate the search period to be shorter if the rate of change is high, and vice versa.

For gain variation, the information sent by the base station may include gaps at suitable intervals,

and thus the gain can be varied without causing errors in the received information.

If the received radio signal is burst-like, the control value is calculated for the synchronization period of the burst start by the method described above for the gain varying means of block 2. This control value is then dominant for the entire duration of the burst. Another alternative is to calculate, in the way described for continuous reception, the mean maximum value for the quantity $(I2)^2 + (Q2)^2$ for the duration of the burst and thereby calculate a new setpoint value for the gain varying means of block 2 for the subsequent burst. The new gain value may be set between the bursts.

The essential feature in the method of the invention is that the setpoint value of AGC is calculated from the received information signal, and then no extra pilot signals or similar are needed.

The static operating parameters of the blocks of the receiver and transmitter are controlled by a processor unit 13 shown in Figure 1 by means of a control bus 16. In the processor, also messages carried in the received information bit stream are demodulated and a transmitted bit stream is generated.

Block 8 in the transmitter performs, in sequence, the following conversions on the information bit stream outputted by the processor unit 13: coding, bit modulation (multiplying) with a DS diffusion code, and modulation into a complex baseband signal. The diffusion code is supplied by a discrete code generator 9. The D/A conversion of baseband signals and shifting to transmission frequency are also included in block 8. Another way of modulating is to allow the bits multiplied by the DS diffusion code to directly control the phase or amplitude of the output signal of a digital

synthesizer (DDS), or both. This output signal is converted with the RF carrier to the final transmission frequency. The RF carrier is provided by a frequency synthesizer 14.

5 Block 10 controls the output power supplied to antenna 1. The power P_i received by the RX as shown in the figure is the power of the signal that is modulated by the diffusion code assigned for this mobile station, i.e. is the power of the signal intended for this
10 station. The transfer function between P_i and the setpoint signal for the gain of block 2 is of the low pass type. Thus the control signal directly follows variations in P_i . The cutoff frequency of -3dB in the low pass response determines how rapid variations of P_i
15 the control is capable of following. Within certain limits, this rate can be set in the AGC algorithm block 7.

 Since the gain control of block 2 follows P_i , a setpoint value for block 10 controlling the output
20 power P_o in the transmitter TX can also be generated from the same setpoint value supplied to line 15. In this case, the P_o is following P_i , which is in fact the object of the automatic transmission power control of the invention. The operation of block 10 requires a
25 fully equivalent variable attenuator, amplifier or both as block 2, i.e. when in block 2 for instance the input signal is attenuated, the output power also decreases, since the amplified input signal is an indication of the fact that the mobile station is probably approach-
30 ing the base station, and vice versa.

 The output power of block 10 is amplified with a power amplifier 11 and supplied via a duplex filter 12 to antenna 1. Also separate antennae may be used for the receiving and transmitting.

35 It is evident to one skilled in the art that the

different embodiments of the invention are not limited to the examples set forth in the foregoing, but they can vary within the scope of the ensuing claims.

Claims:

1. A method for automatic transmission power control in a transceiver suitable for a CDMA environment employing direct sequence diffusion, c h a r -
5 a c t e r i z e d in that a setpoint signal generated for automatic gain control of the receiver (RX) is used for amplitude or power (P_o) control of the radio signal sent by the transmitter (TX).
- 10 2. A method as claimed in claim 1, c h a r -
a c t e r i z e d in that for determining a setpoint signal for the automatic gain control of the receiver (RX), a maximum value of the sum of the squares of the real and imaginary portions (I_2 , Q_2) of the assembled
15 baseband signal is searched from a predetermined time slot (ΔT).
3. A method as claimed in claim 2, c h a r -
a c t e r i z e d in that the predetermined time slot (ΔT) is fixed.
- 20 4. A method as claimed in claim 2, c h a r -
a c t e r i z e d in that the predetermined time slot (ΔT) is variable in accordance with the rate of variation of the received signal.
- 25 5. A method as claimed in any one of claims 2 to 4, c h a r a c t e r i z e d in that the maximum values of the sum of the squares of the real and imaginary portions (I_2 , Q_2) of the assembled baseband signal are averaged over several time slots (ΔT).

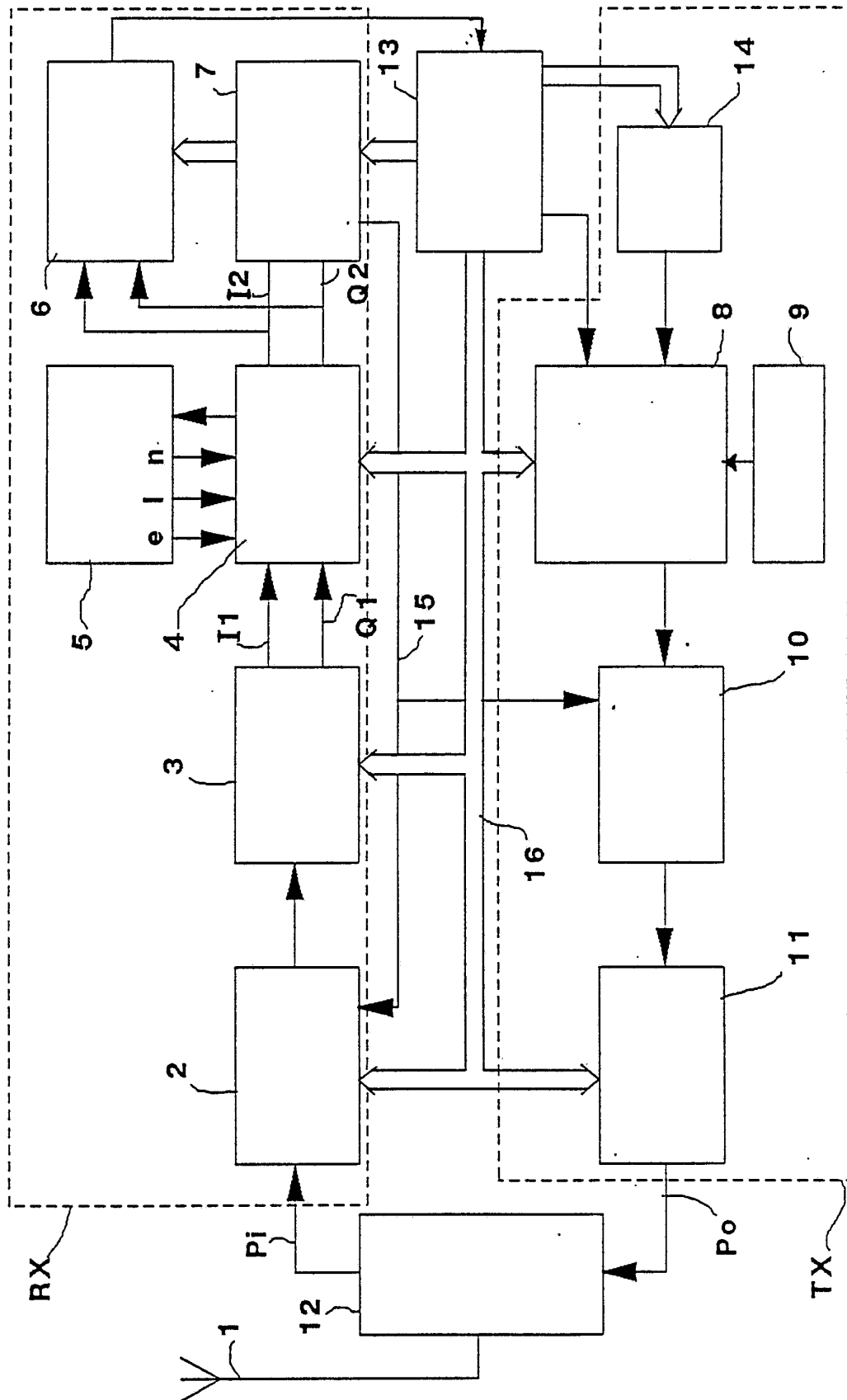


FIG. 1

2/2

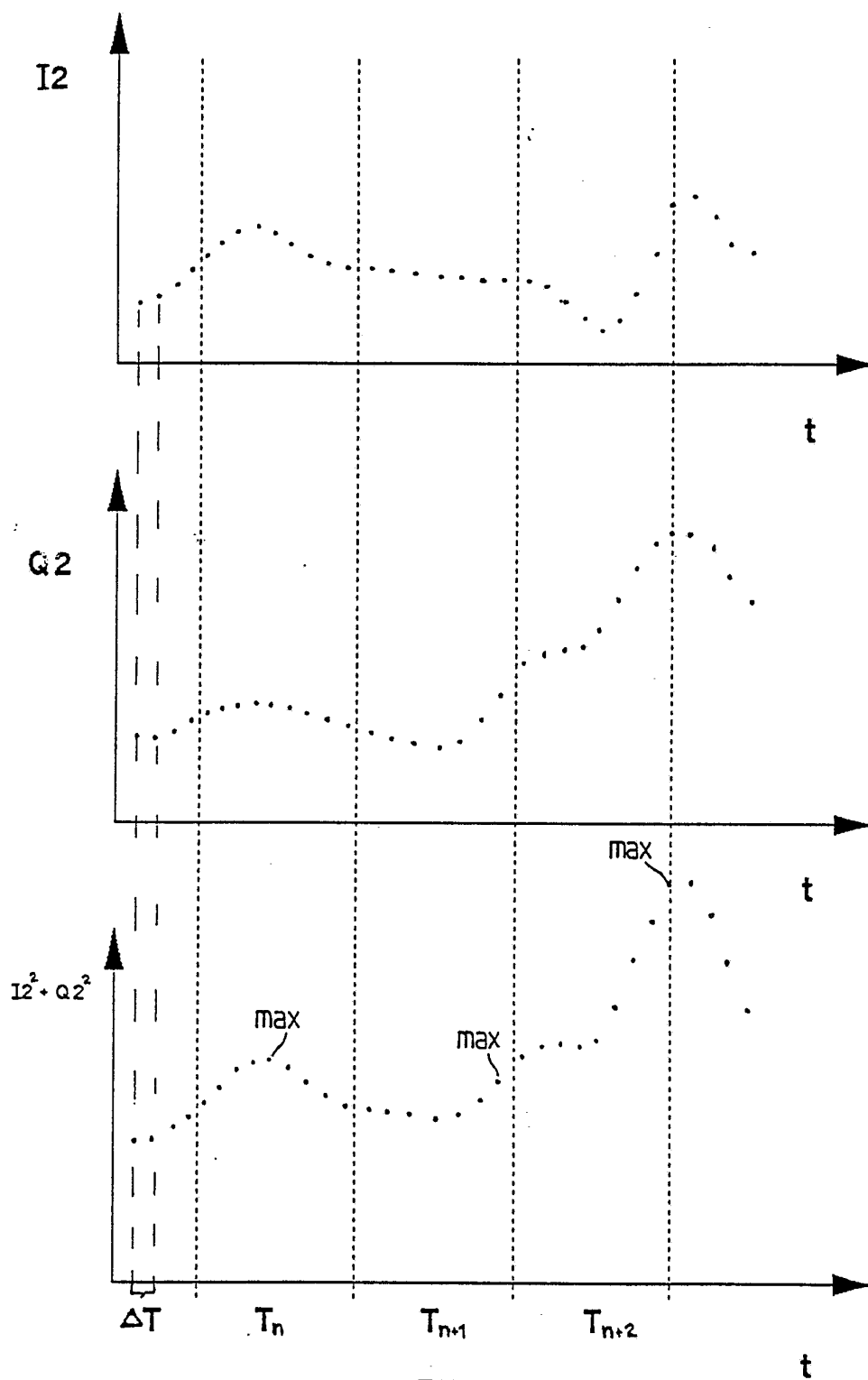


FIG. 2

INTERNATIONAL SEARCH REPORT

International Application No PCT/FI 92/00231

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ⁶ According to International Patent Classification (IPC) or to both National Classification and IPC IPC5: H 04 B 7/005														
II. FIELDS SEARCHED <div style="text-align: right; margin-right: 100px;">Minimum Documentation Searched⁷</div> <table style="width: 100%; border: none;"> <tr> <td style="width: 25%; border: none; vertical-align: top;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Classification System</th> <th>Classification Symbols</th> </tr> <tr> <td style="height: 40px; vertical-align: top;">IPC5</td> <td>H 04 B, H 04 K, H 04 L, H 04 Q</td> </tr> </table> </td> <td style="border: none;"></td> </tr> </table> <div style="text-align: center; margin-top: 10px;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in Fields Searched⁸</div> <div style="margin-top: 20px;">SE,DK,FI,NO classes as above</div>			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Classification System</th> <th>Classification Symbols</th> </tr> <tr> <td style="height: 40px; vertical-align: top;">IPC5</td> <td>H 04 B, H 04 K, H 04 L, H 04 Q</td> </tr> </table>	Classification System	Classification Symbols	IPC5	H 04 B, H 04 K, H 04 L, H 04 Q							
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III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹ <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Category *</th> <th style="width: 60%;">Citation of Document,¹¹ with indication, where appropriate, of the relevant passages¹²</th> <th style="width: 30%;">Relevant to Claim No.¹³</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td>WO, A1, 9107037 (QUALCOMM, INC.) 16 May 1991, see page 24, line 3 - line 8; page 27, line 24 - line 27; abstract; figures 2A,2B,5,6 --</td> <td style="text-align: center; vertical-align: top;">1</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">A</td> <td>US, A, 4958359 (KATO) 18 September 1990, see column 2, line 33 - line 48; abstract; figure 4 --</td> <td style="text-align: center; vertical-align: top;">1-5</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">A</td> <td>US, A, 4811421 (HAVEL ET AL) 7 March 1989, see column 2, line 14 - line 21; column 2, line 24 - line 68; column 4, line 13 - line 56; abstract; claim 6 -- -----</td> <td style="text-align: center; vertical-align: top;">1-5</td> </tr> </tbody> </table>			Category *	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³	X	WO, A1, 9107037 (QUALCOMM, INC.) 16 May 1991, see page 24, line 3 - line 8; page 27, line 24 - line 27; abstract; figures 2A,2B,5,6 --	1	A	US, A, 4958359 (KATO) 18 September 1990, see column 2, line 33 - line 48; abstract; figure 4 --	1-5	A	US, A, 4811421 (HAVEL ET AL) 7 March 1989, see column 2, line 14 - line 21; column 2, line 24 - line 68; column 4, line 13 - line 56; abstract; claim 6 -- -----	1-5
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<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>* Special categories of cited documents: ¹⁰</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 48%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> </div> </div>														
IV. CERTIFICATION <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none; vertical-align: top;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Date of the Actual Completion of the International Search</td> </tr> <tr> <td style="text-align: center; padding: 5px;">9th December 1992</td> </tr> </table> </td> <td style="width: 50%; border: none; vertical-align: top;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Date of Mailing of this International Search Report</td> </tr> <tr> <td style="text-align: center; padding: 5px;">16 -12- 1992</td> </tr> </table> </td> </tr> <tr> <td style="border: none; vertical-align: top;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">International Searching Authority</td> </tr> <tr> <td style="text-align: center; padding: 5px;">SWEDISH PATENT OFFICE</td> </tr> </table> </td> <td style="border: none; vertical-align: top;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Signature of Authorized Officer</td> </tr> <tr> <td style="text-align: center; padding: 5px;">GÖRAN MAGNUSSON</td> </tr> </table> </td> </tr> </table>			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Date of the Actual Completion of the International Search</td> </tr> <tr> <td style="text-align: center; padding: 5px;">9th December 1992</td> </tr> </table>	Date of the Actual Completion of the International Search	9th December 1992	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Date of Mailing of this International Search Report</td> </tr> <tr> <td style="text-align: center; padding: 5px;">16 -12- 1992</td> </tr> </table>	Date of Mailing of this International Search Report	16 -12- 1992	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">International Searching Authority</td> </tr> <tr> <td style="text-align: center; padding: 5px;">SWEDISH PATENT OFFICE</td> </tr> </table>	International Searching Authority	SWEDISH PATENT OFFICE	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Signature of Authorized Officer</td> </tr> <tr> <td style="text-align: center; padding: 5px;">GÖRAN MAGNUSSON</td> </tr> </table>	Signature of Authorized Officer	GÖRAN MAGNUSSON
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**ANNEX TO THE INTERNATIONAL SEARCH REPORT
ON INTERNATIONAL PATENT APPLICATION NO.PCT/FI 92/00231**

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the Swedish Patent Office EDP file on **30/10/92**.
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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A1- 9107037	91-05-16	AU-D- 6728390	91-05-31
		CN-A- 1053870	91-08-14
		EP-A- 0500689	92-09-02
		JP-T- 4502841	92-05-21
		US-A- 5056109	91-10-08
US-A- 4958359	90-09-18	JP-A- 63306727	88-12-14
US-A- 4811421	89-03-07	DE-A- 3776707	92-03-26
		EP-A-B- 0239467	87-09-30
		FR-A-B- 2595889	87-09-18
		JP-A- 62226727	87-10-05