

REPUBLIC OF SOUTH AFRICA
PATENTS ACT, 1978
PUBLICATION PARTICULARS AND ABSTRACT
[Section 32 (3) (a) - Regulations 22 (1) (g) and 31]

OFFICIAL APPLICATION NO	LODGING DATE	ACCEPTANCE DATE
21 01 2 CD 5 / 0 4 8 8 5	22 15 Jun 2005	43 13 - 0 2 - 2006

INTERNATIONAL CLASSIFICATION	Not for publication
51 C09D, C01B	Classified by:

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71	

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72	

TITLE OF INVENTION	SURFACE-MODIFIED SILICA GELS
54	

EARLIEST PRIORITY CLAIMED	COUNTRY	NUMBER	DATE
NB - Use International abbreviation for country (See Schedule 4)	33 DE	31 102004029069.5	32 16 Jun 2004

57 Abstract (not more than 150 words) and figure of the drawings to which the abstract refers, are attached.	Number of sheets	53
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O.Z. 6355

Abstract:

The invention relates to surface-modified silica gels, to a process for preparing them, and to their use as matting agents in coating materials. As a result of the nature of the
5 surface modification, the viscosity of 2-component coating materials is increased only slightly, or not at all, after the matting agent has been added.

No figure for publication

Class: C09D

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Surface-modified silica gels

Field of the Invention

5 The present invention relates to new surface-modified silica gels, to a process for preparing them, and to their use.

Background to the Invention

10 Silica gels are inorganic oxides based on SiO_2 . A distinction is made between hydrogels, aerogels and/or xerogels. Hydrogels - or else aquagels - are prepared in water, and so their pores are filled with water. A xerogel is a hydrogel from which the water has been removed. An aerogel is a xerogel from which the liquid has been removed in such a way that there is only minimal change to the structure of the gel 15 and the pore volume is largely retained.

Silica gels have been known for a long time (Iler, "Chemistry of Silica", p. 462 ff, 1979). They are prepared under conditions which promote coalescence of primary particles (average particle size: 1 to 10 nm), so that in contrast to precipitated or 20 pyrogenic silicas a relatively rigid, three-dimensional network is formed. Consequently silica gel particles differ distinctly from precipitated silica particles.

The preparation of silica gels is well known to the skilled worker; see, for example, US 4,097,302, DE 41 32 230, and EP 0 384 226. It involves mixing sodium silicate and sulfuric acid with one another rapidly and continuously at low temperatures, low pH, and high concentrations, to form a hydrosol, which in turn, after a short time, is 25 condensed to the hydrogel. Alternatively the gelling can also take place under alkaline conditions (see, for example, GB 1,219,877, GB 1,279,250, WO98 25 851 or EP 0 588 497). A further alternative is proposed in EP 0 765 764.

30

The resultant hydrogel is broken into relatively small pieces and washed to remove soluble salts and impurities. During the washing cycle the pore structure of the hydrogel is adjusted by varying pH (e.g., adding bases such as ammonia, for

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example), temperature, and time. The procedure is well known to the skilled worker. Examples can be found in EP 0 384 226 or WO00 002 814, for instance.

Following the washing cycle the washed hydrogel is dried by thermal means in order
5 to form a silica gel or xerogel. The nature and mode of drying have a substantial influence on the pore volume of the silica gel. With rapid drying the pore volume can be very largely maintained; in the case of slow drying, the pore volume reduces. Finally the silica gel can be ground or comminuted to a specific particle size and particle distribution.

10

It is state of the art to use synthetic or natural materials in fine-particle form as matting agents in various applications such as, for example, industrial coatings, leather coatings and plastics coatings or in printing inks. Matting agents ideally possess the following properties: high pore volume, narrow particle distribution, suitable particle size tailored to the respective application, and narrow pore distribution. Featuring, as they do, the majority of the properties indicated above, precipitated silicas and silica gels are the product normally used as matting agents.

20 Besides the advantage of reducing the gloss of coatings, however, the use of matting agents in coatings also leads to problems. For instance, in certain coating systems, the addition of the matting agent undesirably increases their viscosity. As described in EP 0 442 325, particularly thixotropic, conventional solventborne alkyd coatings are difficult to matt in light of their rheological properties. This relates in particular to aqueous coating systems based on acrylate or acrylate-polyurethane, or
25 corresponding hybrid systems.

30 A further problem frequently observed with matting agents is that they have a propensity towards sedimentation. In order to prevent matting agents sedimenting in coatings, the surface of the majority of commercially customary matting agents is coated with a surface modifier. Here, organic components are attached to the SiO_2 surface. This attachment may be chemical, so that true chemical bonds exist between SiO_2 surface and organic component, and may also be physical, i.e., the organic component is adsorbed onto the SiO_2 surface by means of Van der Waals

interactions or hydrogen bonds. Surface modification, however, carries problems in its wake. Thus commercially customary, surface-modified matting agents often have the disadvantage that during storage of the coating material the coating may become detached and hence the desired effect is at least partly annulled again. This can lead

5 to impairments of the coating properties.

The document WO2003 42293 discloses a matting agent where a silica gel has been coated with wax. WO99 51692 proposes coating the silica gel with a urea-urethane derivative.

10

Coating a silica gel with a polyol in accordance with patent EP 0 442 325 produces a matting agent which has very little effect, if any, on the thixotropic behavior of inks and coating materials based on polyamide-modified alkyd resins.

15 Patent WO98 37013 describes a process in which a hydrogel is contacted with an organosiloxane in the presence of a catalytic amount of a strong acid, so that the hydrogel is converted into a hydrophobicized silica gel. Compounds having the general structure $R_nSiO_{(4-n)/2}$ where $n = 2-3$ are used as organosiloxane.

20 A need exists to provide surface-modified silica gels for which at least some of the aforementioned disadvantages have been eliminated, at least in part, and which exhibit improved performance properties. A further need exists to provide a process with which the surface-modified silica gels of the invention can be prepared.

25 Further objects, not explicitly mentioned, arise from the overall context of the subsequent description and examples.

30 Surprisingly it has been found that the invention provides surface-modified silica gels, defined in more detail in the following description and also in the claims and the examples, and a process described in more detail in the description, the examples, and the claims, which at least in part address the abovementioned needs.

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Summary of the Invention

The present invention provides surface-modified silica gels which influence the viscosity of a 2-component reference varnish, containing 10.7% by weight of at least one surface-
 5 modified silica gel according to the invention and which varnish was stored at 23°C and 50% relative humidity (RH) for 1 day following preparation and addition of the surface-modified silica gel, in such a way that the thixotropic index TI 6/60 of the varnish is less than or equal to 4.5.

10 The invention further provides surface-modified silica gels which additionally increase the viscosity of the 2-component reference varnish containing 10.7% by weight of at least one surface-modified silica gel according to the invention and which varnish was stored at 23°C and 50% RH for 1 day following preparation and addition of the surface-modified silica gel, in the low-shear state to a maximum of 1200 mPa*s and,
 15 moreover, may be characterized by one or more of the following physicochemical parameters:

Average particle size d_{50} :	0.5 – 50 μm
DBP:	100 – 600 g/100 g
20 BET:	100 – 1000 m^2/g
Carbon content:	0.5 – 20%
Pore volume (2 – 50 nm):	> 1.0 ml/g
Span:	< 1.3.

25 Likewise provided by the present invention is a process with which the surface-modified silica gels of the invention may be prepared and which includes modifying the surface of the silica gel with a polymer.

30 The invention provides, finally, for the use of the surface-modified silica gels of the invention, particularly as matting agents in inks and paints.

The surface-modified silica gels of the invention have the following advantages, among others, over commercially customary matting agents based on SiO_2 :

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- When compared to commercially available matting agents based on SiO_2 , the surface-modified silica gels of the invention produce a significantly lower increase in the viscosity of the matted coating material for the same matting efficiency.
- The surface-modified silica gels of the invention have a very low propensity towards sedimentation in coated materials. In this quality, therefore, they exhibit distinct advantages over similar matting agents that are coated with waxes.
- In certain coating systems the surface-modified silica gels of the invention produce better transparency than commercially available matting agents based on SiO_2 .

10

Detailed Description of the Invention

The present invention is described in detail below. To start with, however, a number of important terms are defined.

15

Silica gels for the purposes of the present invention are to be understood as being inorganic oxides based on SiO_2 which are prepared via a gel process. Silica gels subdivide into the group of the hydrogels, the aerogels, and the xerogels. Hydrogels - or else aquagels - are prepared in water and so their pores are filled with water. A 20 xerogel is a hydrogel from which the water has been removed. An aerogel is a xerogel from which the liquid has been removed in such a way that there is only minimal change to the structure of the gel and the pore volume is largely retained.

25

By surface modification is meant the chemical and/or physical attachment of organic components to the surface of the silica gels. In other words, in surface-modified silica gels, at least part of the surface of at least some of the silica gel particles is coated with a surface modifier.

30

A low-shear state corresponds to the dynamic viscosity measured by means of a Haake viscometer 6R at 6 rpm at room temperature. High-shear state corresponds to the dynamic viscosity measured by means of a Haake viscometer 6R at 60 rpm at room temperature.

Thixotropic index is the ratio of the dynamic viscosity of a 2-component reference varnish containing 10.7% by weight of at least one surface-modified silica gel according to the invention and which varnish was stored at 23°C and 50% RH for 1 day following preparation and addition of the surface-modified silica gel, in the low-shear state to the 5 dynamic viscosity of a 2-component reference varnish containing 10.7% by weight of at least one of the surface-modified silica gels according to the invention and which varnish was stored at 23°C and 50% RH for 1 day following preparation and addition of the surface-modified silica gel, in the high-shear state:

10
$$TI\ 6/60 = \frac{\text{dynamic viscosity at 6 rpm}}{\text{dynamic viscosity at 60 rpm}}$$

The 2-component reference varnish used for the purposes of the invention is a 2-component varnish prepared in accordance with the coating formula 2 described in this patent.

15

The surface-modified silica gels of the invention are gels which influence the viscosity of a 2-component reference varnish, containing 10.7% by weight of at least one inventively surface-modified silica gel and stored, following preparation and addition of the surface-modified silica gel, at 23°C and 50% relative humidity (RH) for 1 day, in such 20 a way that the thixotropic index TI 6/60 is less than or equal to 4.5, preferably less than or equal to 4.0, in particular not more than 3.8.

The surface-modified silica gels according to the invention may additionally be gels which increase the viscosity of a 2-component reference varnish, containing 10.7% by weight of 25 at least one surface-modified silica gel according to the invention and which varnish was stored at 23°C and 50% RH for 1 day following preparation and addition of the surface-modified silica gel, in the low-shear state to a maximum of 1200 mPa*s, preferably to a maximum of 900 mPa*s, more preferably to a maximum of 700 mPa*s, in one particular embodiment to a maximum of 650 mPa*s, and in a particularly 30 preferred embodiment to a maximum of 600 mPa*s.

The surface-modified silica gels of the invention may also have one or more of the following physicochemical parameters:

Average particle size d_{50} : 0.5 – 50 μm

DBP: 100 – 600 g/100 g

BET surface area: 100 – 1000 m^2/g

Carbon content: 0.5 – 20%

5 Mesopore volume (2 – 50 nm): > 1.0 ml/g

Span: < 1.3.

In particular, they may have:

- an average particle size d_{50} preferably of 1 μm to 40 μm , more preferably of 1 μm to 20 μm , most preferably of 2 μm to 15 μm ; and/or
- a DBP absorption preferably of 150 to 500 g/100 g, more preferably of 200 to 500 g/100g, most preferably of 200 to 400 g/100 g and 200 to 300 g/100 g; and/or
- a BET surface area preferably of 150 to 750 m^2/g , more preferably of 200 to 500 m^2/g and 200 – 350 m^2/g ; and/or

15

- a carbon content preferably of 0.5% to 15%, more preferably of 0.5% to 10%, most preferably 1% to 6%, 2% to 6% and 3 – 5%; and/or
- a mesopore volume (2 - 50 nm) preferably of > 1.5 ml/g; and/or
- a span of 0.1 – 1.2.

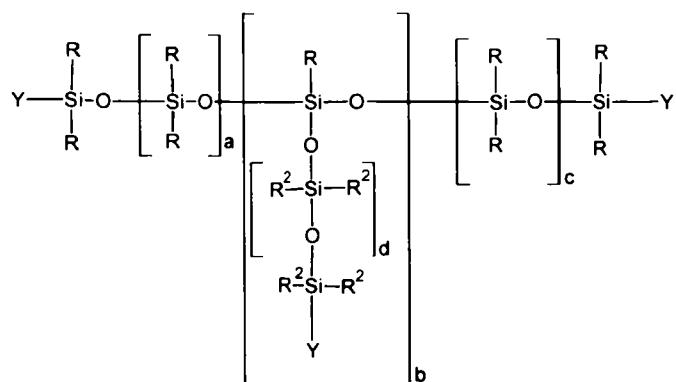
20 The sedimentation behavior of the surface-modified silica gels of the invention is generally 1 to 2 (for details relating to the method, see example 6).

All stated ranges of preference may be set independently of one another.

25 The particular properties of the silica gels of the invention can be attributed in particular to the polymers used for the surface modification. Silica gels which exhibit the properties according to the invention preferably have a surface which has been treated with one or more polyorganosiloxanes or modified polyorganosiloxanes. With particular preference the silica gels involved are silica gels which have been treated with

30 polyether-, acrylate- and/or polyacrylate-modified polyorganosiloxanes or polyalkoxysiloxanes.

In one particularly preferred embodiment of the present invention the silica gels have a surface coated with polyorganosiloxanes of the following general structure:



5 where

Y = -OH, -OR or

Y = $H_5C_2-O-(C_2H_4O)_m-$, $H_7C_3-O-(C_3H_6O)_m-$ or

Y = $R_2C=C-(CH_2-CH)_k-$,

10 | |
 R^4 $COOR^3$

R = -alkyl, especially methyl or ethyl,

R^2 = alkyl or H,

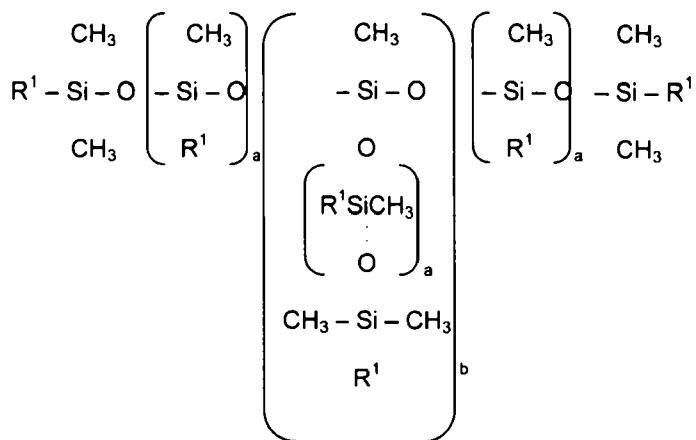
R^3 = alkyl,

15 R^4 = H or alkyl,

a = 0-100, b = 0-100, c = 0-100, d = 0-100,

m = 0-100 and k = 0-100.

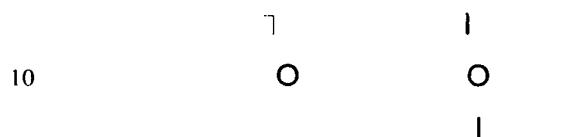
20 In a further preferred embodiment of the present invention the surface of the silica gels is coated with a polyorganosiloxane of the following general structure:



where

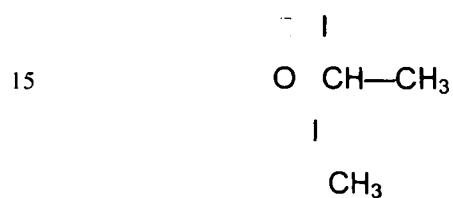
R_1 = a methyl radical or

$$R_1 = (CH_3)_2CHCOCH_2C(CH_3)_2CHCH(CH_3)_2$$



and/or

$$R_1 = (CH_3)_2CHCOCHC(CH_3)_2CH_2O -$$



and the sum of the units $a = 0$ to 100, the sum of the units $b = 0$ to 15, the ratio of methyl to alkoxy radicals R^1 being less than 50:1, and $b > 1$ if $a = 0$ and $a > 5$ if $b = 0$.

Further details, relating in particular to the preparation of these polyorganosiloxanes, can be found in DE 36 27 782 A1. The content of that patent application is likewise subject matter of the present specification.

25 The term "alkyl radical" embraces straight-chain and branched-chain alkyl radicals having 1 to 100 carbon atoms, preferably 1 to 25, more preferably 1 to 10 carbon atoms, and also cycloalkyl radicals having 1 to 15 carbon atoms. The alkyl radicals

may contain one or more double or triple bonds and individual atoms may be replaced by heteroatoms such as O, N or S.

The surface-modified silica gels of the invention can be prepared by a process in which
5 the surface of the silica gel is modified with a polymer.

The process of the invention includes at least the following steps:-

- a) preliminary grinding of a hydrogel;
- 10 b) drying of the hydrogel to obtain a xerogel;
- c) grinding of the xerogel;
- and
- d) surface modification;

15 wherein step d) can be carried out at different points in time.

In step a) a hydrogel prepared by conventional processes is given a coarse preliminary grinding for further processing. All kinds of mills are suitable for preliminary grinding, preference being given to the use of pinned-disk mills. Hydrogels which have proven
20 particularly suitable for the process of the invention are hydrogels having an SiO₂ content of \geq 5%, preferably 20% to 40%, and a BET of 200 to 500 m²/g, preferably of 250 to 400 m²/g. Hydrogels of this kind can be prepared, for example, in accordance with EP 0 384 226 or DE 41 32 230. The content of those patents is expressly incorporated into the content of the present invention.

25 In step b) the preground hydrogel is dried. For the use of silica gels as matting agents a high pore volume has proven advantageous, since it allows low gloss levels to be achieved in the coating material. A high pore volume can be obtained by subjecting the hydrogel to short-duration drying. Drying units which have proven suitable include, for
30 example, spray dryers, grinding dryers, pneumatic dryers or spin-flash dryers. For the process of the invention, drying is carried out preferably in a spin-flash dryer, with a chosen dryer entry temperature in the range 100 - 700°C, preferably 100 - 500°C and

more preferably 300 – 500°C. Throughput and exit temperature are adjusted such that the resultant xerogel has a residual moisture content of < 15%, preferably < 10%.

5 After drying, the silica gel is ground in step c). All kinds of mills are suitable for this purpose. Air-jet and steam-jet mills have proven particularly suitable for the degree of fine division required in the product for use as a matting agent. With particular preference, grinding is carried out on a fluidized-bed opposed-jet mill.

10 In order to avoid oversize or bits it is advantageous, after the drying of the surface-modified silica gels in step b) or after or during the grinding in step c), to separate off particles having a diameter of more than 50 µm, preferably more than 30 µm, in particular more than 20 µm. Depending on the fineness of the matting agent, this may take place by means, for example, of an appropriate screen or classifier device, which may also be integrated in the mill.

15

The surface modification in step d) can take place before or during at least one of steps a) to c). A polymer may be added in pure, undiluted form or in dilute form as an aqueous emulsion.

20 In an embodiment I of the present invention - step d) before step b) - 0.2% to 12% by weight, preferably 0.5% to 5% of the polymer, based on the hydrogel, are mixed intensively with the preground hydrogel (after step a)) at room temperature. The addition is made in such a way as to ensure homogeneous distribution via the hydrogel. Subsequently the mixture is dried in step b), ground in step c) and, where appropriate, 25 screened or classified.

30 In an embodiment II of the present invention - step d) before step c) - the silica gel dried in step b), with a residual moisture content of ≤ 10%, is mixed intensively with the polymer at room temperature. The polymer is added in such a way as to ensure homogeneous distribution via the hydrogel. Subsequently the mixture, in step c), is ground and where appropriate is screened or classified.

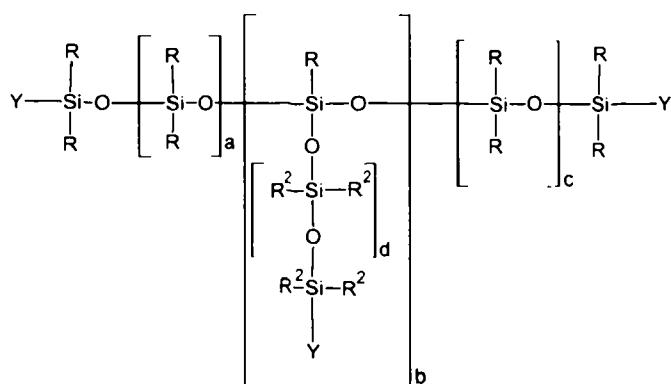
In an embodiment III of the present invention - step d) during c) - the silica gel dried in step b) is ground in step c) and at the same time the surface is modified. For this purpose the polymer is conveyed into the grinding chamber of the mill at a rate which allows the desired coating ratio to be set.

5

The unmodified silica gel precursors used in stages a) – c), depending on the particular embodiment of the process of the invention, can be prepared by known processes or obtained commercially. From this it is apparent that, on the one hand, the surface modification step d) can be integrated as an additional step into known 10 processes for preparing silica gels, but that, on the other hand, it is also possible to obtain silica gel precursors which can be used as starting material for one of process variants I - III.

Surface-modifying polymers which can be used in the process of the invention are 15 polyorganosiloxanes or modified polyorganosiloxanes. The modified polyorganosiloxanes are, in particular, polyether-modified and acrylate- or polyacrylate-modified polyorganosiloxanes. Polyalkoxysiloxanes can also be used.

One particularly preferred embodiment of the process of the invention uses 20 polyorganosiloxanes having the following general structure:

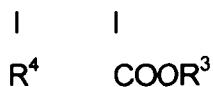


25 where

Y = -OH, -OR or

Y = $H_5C_2-O-(C_2H_4O)_m-$, $H_7C_3-O-(C_3H_6O)_m-$ or

Y = $R_2C=C-(CH_2-CH)_k-$,



5 R = -alkyl, especially methyl or ethyl,

R^2 = alkyl or H,

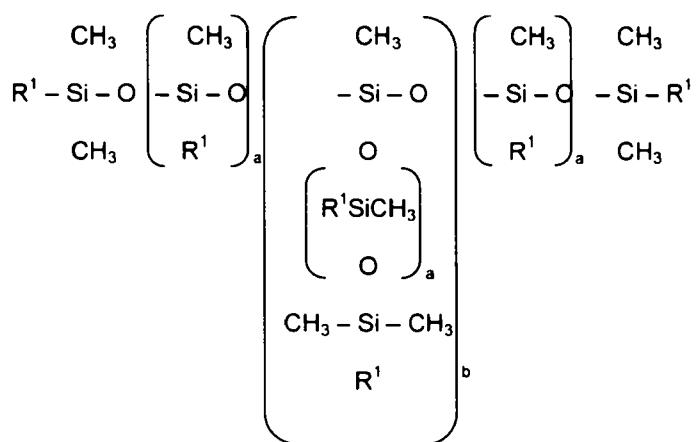
R^3 = alkyl,

R^4 = H or alkyl,

a = 0-100, b = 0-100, c = 0-100, d = 0-100,

10 m = 0-100 and k = 0-100.

A further preferred embodiment of the process of the invention uses polyorganosiloxanes having the following general structure:



where

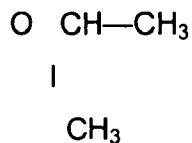
20 R_1 = a methyl radical or

$R_1 = (CH_3)_2CHCOCH_2C(CH_3)_2CHCH(CH_3)_2$



25 and/or

$R_1 = (CH_3)_2CHCOCHC(CH_3)_2CH_2O-$



5 and the sum of the units $a = 0$ to 100, the sum of the units $b = 0$ to 15, the ratio of methyl to alkoxy radicals R^1 being less than 50:1, and $b > 1$ if $a = 0$ and $a > 5$ if $b = 0$. Further details, relating in particular to the preparation of these polyorganosiloxanes, can be found in DE 36 27 782 A1. The content of that patent application is likewise subject matter of the present specification.

10

In the process of the invention the nature and quantity of the polymer are chosen such that the surface-modified silica gel increases the viscosity of the 2-component reference varnish containing 10.7% by weight of the surface-modified silica gel in the low-shear state to a maximum of 1200 mPa*s, preferably to a maximum of 900 mPa*s, 15 more preferably to a maximum of 700 mPa*s, and most preferably to a maximum of 600 mPa*s.

The surface-modified silica gels of the invention are used preferably as matting agents in inks and paints.

20

In addition the surface-modified silica gels according to the invention can be used in all fields of application in which silica gels are normally used, such as, for example, as a constituent of paper coatings, as a constituent of defoamer formulations, as a reinforcing agent in silicone rubber, or in polymer formulations, as antiblocking agents, 25 for example.

The physicochemical data of the surface-modified silica gels of the invention are determined by the following methods:

30 **Determination of BET surface area**

The BET surface area of silica gels is determined on the basis of DIN ISO 9277 by means of the nitrogen adsorption method of Brunauer, Emmett and Teller (BET). The

method is based on the work of S. Brunauer, P.H. Emmett and E. Teller, J. Am. Chem. Soc., 60, 309 (1938).

5 The measurements are made on the Tristar 3000 instrument (Micromeritics). The samples under analysis are degassed under vacuum ($p < 10^{-3}$ mbar) at 160°C prior to measurement until the pressure over the sample under a closed vacuum remains constant for 30 minutes.

Determination of mesopore volume

10 The mesopore distribution is determined by the method of Barret, Joyner and Halenda (BJH) and is based on work by E. P. Barret, L. G. Joyner and P. H. Halenda, J. Am. Chem. Soc., 73, 373, (1951).

15 The measurements are made on the ASAP 2400 instrument (Micromeritics). The samples under analysis are degassed under vacuum ($p < 10^{-3}$ mbar) at 200°C prior to measurement until the pressure over the sample under a closed vacuum remains constant for 30 minutes.

Determination of tapped density

20 The tapped density is determined on the basis of DIN EN ISO 787-11.

A defined amount of a sample which has not been sieved beforehand is introduced into a graduated glass cylinder and subjected to a fixed number of jolts by means of a jolting volumeter. The jolting is accompanied by compaction of the sample. The result of the analysis conducted is the tapped density.

25 The measurements are carried out on a jolting volumeter with counter from Engelmann, Ludwigshafen, Germany, type STAV 2003.

30 First of all a 250 ml glass cylinder is tared on a precision balance. Then 250 ml of silica gel are introduced with the aid of a powder funnel into the tared graduated cylinder in such a way that no cavities are formed. Subsequently the sample quantity is weighed to an accuracy of 0.01 g. Thereafter the cylinder is tapped lightly so that the surface of the powder of the cylinder is horizontal. The graduated cylinder is inserted into the

corresponding holder on the jolting volumeter and jolted 1250 times. The volume of the jolted sample is read off to an accuracy of 1 ml after one jolting process. The tapped density D(t) is calculated as follows:

5 $D(t) = m * 1000 / V$

where:

D(t): tapped density [g/l]

V: volume of silica gel after jolting [ml]

10 m: mass of silica gel [g]

Determination of loss on drying

The moisture content or loss on drying (LD) of silica gels is determined on the basis of ISO 787-2 after 2-hour drying at 105°C. This loss on drying is accounted for

15 predominantly by aqueous moisture.

10 g of the pulverulent silica gel are weighed to an accuracy of 0.1 mg (initial mass E) into a dry glass beaker on a precision balance (Sartorius LC621S). The glass beaker is covered with aluminum foil into which a number of holes (\varnothing 1 mm) have been 20 drilled. The glass beaker covered in this way is dried in a drying oven at 105°C for 2 h. Thereafter the hot glass beaker is cooled to room temperature in a desiccator over siccatives for at least one hour.

In order to determine the final mass A, the glass beaker is weighed to an accuracy of 0.1 mg on the precision balance. The moisture content (LD) in % is determined in 25 accordance with

$$LD = (1 - A / E) * 100,$$

where A = final mass in g and E = initial mass in g.

30

Determination of loss on ignition

According to this method the weight loss of silica gels is determined on the basis of DIN EN ISO 3262-1 at 1000°C. At this temperature, physically and chemically bound water

and other volatile constituents escape. The moisture content (LD) of the sample investigated is determined by the above-described method "Determination of loss on drying" based on DIN EN ISO 787-2.

5 0.5 g of silica gel is weighed to an accuracy of 0.1 mg (initial mass E) into a tared porcelain crucible which has been calcined beforehand. The sample is heated in a muffle furnace at $1000 \pm 50^{\circ}\text{C}$ for 2 h. The porcelain crucible is subsequently cooled to room temperature in a desiccator cabinet with silica gel as siccative. The final mass A is determined gravimetrically.

10

The loss on ignition, LOI, in % is obtained in accordance with

$$\text{LOI} = (1 - A / F) * 100$$

where F is the corrected initial mass, based on dry matter, in g, and is calculated

15 according to

$$F = E * (1 - LD / 100).$$

In the calculations, A = final mass in g, E = initial mass in g, and LD = loss on drying, in

20 %.

Determination of pH

The method, based on DIN EN ISO 787-9, is used for determining the pH of an aqueous suspension of silica gel at 20°C .

25

Prior to pH measurement, the pH meter (Knick, type: 766 pH meter Calimatic with temperature sensor) and the pH electrode (combination electrode from Schott, type N7680) must be calibrated, using the buffer solutions, at 20°C . The calibration function is to be chosen such that the two buffer solutions used include the expected 30 pH of the sample (buffer solutions of pH 4.00 and 7.00, pH 7.00 and pH 9.00 and, where appropriate, pH 7.00 and 12.00).

5.00 g of pulverulent silica gel with a moisture content of $4 \pm 2\%$ are weighed to an accuracy of 0.01 g on a precision balance into a wide-necked glass bottle which has been tared beforehand. The suspension is made up to the mark using cold, deionized water at 20°C. If the samples under investigation are not sufficiently wettable by 5 water, then the suspension is made up to the 100 ml mark using 50.0 ml of analytical-grade methanol and 50.0 ml of deionized water.

Subsequently the suspension is shaken in a sealed vessel for a period of 5 minutes using a shaker machine (Gerhardt, Model LS10, 55 W, level 7) at 20°C. The pH is 10 measured directly thereafter. For the measurement, the electrode is rinsed first with deionized water and then with a portion of the suspension, and is subsequently immersed into the suspension. A magnetic stirrer bar is then added to the suspension and pH measurement is carried out at constant stirring speed, with a slight vortex being formed in this suspension. When the pH meter displays a constant value, the pH is read 15 off on the display.

Determination of DBP number

The DBP absorption (DBP number), which is a measure of the absorbency of the silica gel, is determined on the basis of the DIN 53601 standard, as follows:

20 12.50 g of pulverulent silica gel (moisture content $4 \pm 2\%$) are introduced into the kneader chamber (article number 279061) of the Brabender absorptometer "E" (without damping of the outlet filter of the torque sensor). With continuous mixing (kneader paddles rotating at a speed of 125 rpm), dibutyl phthalate is added dropwise 25 to the mixture at a rate of 4 ml/min at room temperature by means of the Brabender T 90/50 Dosimat. Its incorporation by mixing takes place with only a small amount of force, and is monitored by means of the digital display. Toward the end of the determination the mixture becomes pasty, which is indicated by a sharp increase in the required force. At a display reading of 600 digits (torque of 0.6 Nm), an electrical 30 contact shuts off both the kneader and the DBP feed. The synchronous motor for the DBP feed is coupled to a digital counter, so that the consumption of DBP in ml can be read off.

The DBP absorption is expressed in g/100g and is calculated using the following formula:

$$DBP = \frac{V * D * 100}{E} * \frac{g}{100g} + K$$

s where DBP = DBP absorption in g/100g

V = consumption of DBP in ml

D = density of DBP in g/ml (1.047 g/ml at 20°C)

E = initial mass of silica gel in g

K = correction value as per table 1 (moisture correction table) in g/100g

10

The DBP absorption is defined for anhydrous, dried silica gel. When moist silica gels are used it is necessary to take into account the correction value K for calculating the DBP absorption. This value can be determined using table 1; for example, a silica gel having a water content of 5.8% would mean an addition of 33

15 g/100 g for the DBP absorption. The moisture content of the silica gel is determined in accordance with the "Determination of loss on drying" method described.

Table 1: Moisture correction table for dibutyl phthalate absorption - anhydrous

% Water	% Water				
	.0	.2	.4	.6	.8
0	0	2	4	5	7
1	9	10	12	13	15
2	16	18	19	20	22
3	23	24	26	27	28
4	28	29	29	30	31
5	31	32	32	33	33
6	34	34	35	35	36
7	36	37	38	38	39
8	39	40	40	41	41
9	42	43	43	44	44
10	45	45	46	46	47

Determination of conductivity

The electrical conductivity (EC) of silica gels is determined in aqueous suspension on the basis of DIN EN ISO 787-14.

- 5 4.00 g of pulverulent silica gel ($4 \pm 2\%$ moisture content) are weighed to an accuracy of 0.01 g using a precision balance (Sartorius Universal) into a tared 150 ml glass beaker and suspended using 80 ml of deionized water, and the suspension is heated to 100°C with stirring and boiled at 100°C for 1 minute. The hot suspension is transferred to a 100 ml graduated flask and cooled in a water bath to 20°C. The suspension is made up to 100 ml
10 with cold deionized water at 20°C in the graduated flask, and homogenized by shaking.
The suspension is transferred to a 150 ml glass beaker and stirred sufficiently to avoid sedimentation.

- 15 The measuring cell of the LF 530 conductivity meter (from WTW) is rinsed with a small quantity of sample, before the LTA01 measuring cell is immersed into the suspension. The value indicated on the display corresponds to the conductivity at 20°C, since the external temperature sensor TFK 530 performs an automatic temperature compensation. This temperature coefficient, and the cell constant k , should be checked prior to each series of measurements. The calibrating solution used is 0.01 mol/l potassium chloride solution
20 (EC at 20°C = 1278 $\mu\text{S}/\text{cm}$).

Determination of particle size

- 25 The application of laser diffraction for the determination of particle sizes is based on the phenomenon whereby particles scatter monochromatic light with a different intensity pattern in all directions. This scattering is dependent on the particle size. The smaller the particles, the larger the scattering angles.

- 30 Sample preparation and measurement (rinsing of the module, etc.) take place, in the case of hydrophilic silica gels, with deionized water or, in the case of silica gels that are not sufficiently wettable with water, with pure ethanol.

In a 100 ml glass beaker, 4 ml of the homogeneous pulverulent silica gel sample (residual moisture content $4 \pm 2\%$) are stirred with a spatula into 50 ml of deionized

water or 50 ml of ethanol. The suspension in the glass beaker is treated with an ultrasonic finger (Bandelin, type UW 2200 with DH 13 G horn and 13 mm Ø diamond plate) for 120 s. 100% power is set on the power supply unit of the ultrasonic finger (Bandelin, Sonoplus HD2200). The depth to which the ultrasonic finger is immersed in

5 the suspension is 1 cm. Immediately thereafter the suspension is stirred at 750 rpm (50% stirrer output) on a magnetic stirrer (IKA Labortechnik, Mini MR standard, 0-1500 rpm). The stirring time prior to first sampling is at least 30 s. The suspension is always sampled while it is being stirred.

10 Prior to the commencement of the measurement, the laser diffraction instrument LS 230 (Coulter) and the liquid module (Small Volume Module Plus, 120 ml, Coulter) are run for 2 h to warm up and the module is rinsed three times with deionized water or, in the case of silica gels that are not sufficiently wettable with water, three times with ethanol. The instrument performs an offset measurement and an adjustment

15 automatically every hour.

In the task bar of the instrument software the menu item "Measurement" is used to select the file window, "Calculate Opt. Model" and the refractive indices are defined in an rfd file as follows: fluid refractive index B. 1. Real = 1.333; material refractive index

20 real = 1.46; imaginary = 0.1.

Measurements with PIDS are carried out if the anticipated particle size distribution is in the submicron range. The pump speed is set at 30 on the instrument.

25 In principle, prior to each measurement, a background measurement is carried out automatically. A single-use pipette is rinsed three times with the suspension before each sampling. Approximately 2 ml of the suspension are taken up with the pipette and 1 - 3 drops are metered immediately into the liquid module of the instrument. The remainder in the single-use pipette is put back into the glass beaker. Addition is

30 followed by waiting until the Coulter instrument displays a constant concentration. In the case of measurement with PIDS, the pipette is used, in this way, to add suspension until a light absorption of 45% - 55% is reached and the instrument reports "OK". In the case of measurement without PIDS, suspension is added until a light absorption

of 8% to 12% is reached and the instrument reports "OK". Measurement takes place at room temperature with the evaluation model of the .rfd file defined above. Three determinations, each of 60 seconds with a waiting time of 0 seconds, are carried out on each silica gel sample.

5

From the raw data curve the software calculates the particle size distribution on the basis of the volume distribution, taking into consideration the Mie theory and the optical model parameters (.rfd file); from the particle size distribution it is possible to read off, for example, the particle sizes d_{05} , d_{50} and d_{95} . From these variables it is 10 possible to calculate, as a measure of the breadth of the particle distribution, the span, as follows:

$$\text{Span} = (d_{95} - d_{05})/d_{50}, \text{ where } d_{95} > d_{05}.$$

15 **Determination of carbon content**

The carbon content of silica gels is determined using the C-mat 500 (Ströhlein Instruments). The samples are heat treated at about 1350°C and the carbon is oxidized to CO₂ by a stream of oxygen. The CO₂ is measured in an infrared cell.

20 In the course of the measurements a distinction is made as to whether the carbon content is greater than or less than 1 percent. If the carbon content of the homogeneous silica samples is above 1 percent, measurement is carried out in the "High" range of the instrument; if it is below 1 percent, measurement is carried out in the "Low" range.

25 First of all the control sample is measured. For that purpose, 0.14-0.18 g of the control sample is weighed out on an analytical balance into a porcelain boat purified by calcining and cooled to room temperature. When the start button is operated the weight is carried over, since the balance is coupled with the C-mat. The boat must be pushed into the middle of the combustion tube within 30 seconds. When combustion 30 is at an end the measurement is converted into pulses and evaluated by the computer. At least 3 determinations (depending on agreement) are carried out. It may be necessary to readjust the factor of the instrument (for details see operating

instructions for C-mat 500, Ströhlein Instruments). This factor is calculated according to the following formula:

$$\text{factor} = \frac{\text{setpoint (standard)} * \text{initial mass (standard) in g} * 10^8}{\text{pulses}}$$

5

Subsequently the silica gel samples are measured. The initial mass is 0.04 – 0.05 g. The porcelain boat is covered with a porcelain lid. In the event of deviations > 0.005% a greater number of measurements are carried out and the average is calculated.

10 The operation of the C-mat 500 is taken from the operating instructions from the company Ströhlein Instruments.

The carbon content is calculated as follows and expressed in the unit %:

15 Carbon content = $(p * F * 10^8) / E$

p = pulse

F = factor

E = initial mass in g

20

Determination of flow time

The determination of the flow time of paints and similar liquids serves to provide a characteristic number which allows the flow of such substances to be assessed simply and sufficiently for operating purposes. The flow time is the time required by a

25 fixed volume of a liquid medium to flow completely from a standardized flow cup.

The temperature of the coating material and of the DIN cup (\varnothing outflow nozzle = 4 mm) must be $23^\circ\text{C} \pm 0.5^\circ\text{C}$ before and during flow time measurement. The DIN cup (\varnothing outflow nozzle = 4 mm) is mounted on the stand such that its top edge is aligned

30 horizontally. The outflow nozzle is plugged with the finger, and the coating material, free from bubbles and impurities, is introduced into the DIN cup (\varnothing outflow nozzle = 4 mm) so that the liquid runs over the inner edge of the cup's rim. No air bubbles must

enter the sample during its introduction into the cup. Subsequently, coating material standing above the rim is scraped away using a glass plate or a sheet of card.

5 The flow time measurement begins simultaneously with the release of the lower opening of the outflow nozzle; it ends as soon as the string of liquid below the outflow nozzle breaks for the first time.

The flow time is indicated with an accuracy of 1 second.

10 **Determination of baking residue**

This method defines a process proven in practice for determining the nonvolatiles content (NVC for short) of resins, resin solutions and paints at elevated temperature. Owing to solvent retention, thermal elimination, evaporation of low molecular mass fractions, and dimensioning of the test container, the values determined by this 15 process are relative values rather than the actual contents. Accordingly, the results obtained according to this standard serve predominantly for testing for consistency of quality.

20 At least one duplicate determination is carried out. 0.9 – 1.1 g of the sample is weighed with an accuracy of ± 0.001 g into the tared dish and distributed uniformly. After an evaporation time of 10 to 15 minutes at room temperature, the dish is placed for 2 h in the forced-air paint-drying oven, which has been set at 120°C. After the test time in the forced-air paint-drying oven, the dish is cooled to room temperature in a desiccator. The residue is weighed to an accuracy of 0.001 g.

25

The nonvolatiles content in % (NVC) is calculated in accordance with the following equation:

$$NVC = 100 * \frac{m_3 - m_1}{m_2 - m_1}$$

30

where:

m_1 = mass of dish

m_2 = mass of dish and initial sample

m_3 = mass of dish and dried sample

5

In the event of differences $> 0.5\%$ NVC (absolute) the determination should be repeated.

Determination of coat thickness

10 The reflectometer value of matted coating materials is influenced by, among other factors, the coat thickness of the coating. It is therefore necessary to monitor the thickness of the dried coating film precisely.

15 The following procedure applies only to the measurement of single-coat films on glass substrates. Prior to the implementation of the measurement, the probe of the ultrasonic coat-thickness measuring instrument (QuintSonic, Elektro Physik) must be calibrated in accordance with the instrument operating instructions. After sufficient coupling agent has been applied to the probe measurement area, the probe is placed perpendicularly to the surface of the coating and the measurement is started by 20 pressing the probe button. After a short time the measured value is displayed. The probe is lifted from the measurement area.

25 At least 5 measurements are carried out at sites distributed uniformly on the object to be measured. It should be ensured that the measurement sites do not exhibit any damage, such as craters, inclusions, scratches, air bubbles, etc., or any soiling.

From the measurement data obtained an average is formed and rounded to an accuracy of 1 μm .

Determination of 60° and 85° reflectometer value

30 The effect exerted on reflectance as a result of deliberate roughening of coating film surfaces is the outstanding property of matting agents based on SiO_2 . The reflectometer value is therefore an important criterion for characterizing matted coated films.

A prerequisite for the measurement is that the coating film surfaces to be measured should be planar, clean and cured.

The measurement is carried out on at least 3 representative sites on the sample by

- 5 means of a reflectometer with measuring geometry according to DIN 67530 (e.g. Haze-gloss, BYK Instruments). If the individual measurements deviate from one another too greatly, then generally a further measurement should be carried out at representative sites, or the number of measurements should be increased to > 3. On the BYK haze-gloss the display shows the standard deviation of the measurements. If the standard
- 10 deviation s is > 0.5 it is advisable to carry out the abovementioned measures. The average value shall be reported to 1 decimal place.

In the characterization of matted coating film surfaces it has been found appropriate to carry out measurement with the 60° and the 85° measuring geometries. In deviation from DIN

- 15 67530, therefore, the reflectometer values of matted coating film surfaces are determined using both measuring geometries.

Determination of density (yellow filter)

When matting agents are used in transparent coating materials there may, depending on

- 20 matting agent used and binder system, be a more or less pronounced haze appearing, which gives the transparent coating film a bluish undertone. This effect is also known, therefore, as blue haze. No conclusions concerning this effect can be drawn from the analytical test data on the matting agents. A densitometer can be used to detect this effect instrumentally in a reproducible manner on appropriately prepared matt coating
- 25 materials.

Following calibration, the filter wheel of the Macbeth RD-918 densitometer is set to the "yellow" position. Subsequently at least 5 measurements are carried out. It should be ensured that the measurement sites do not exhibit any damage, such as craters, inclusions, scratches, air bubbles, etc. The greatest allowable deviation between the lowest and highest value, D , must = 0.05.

From the measurement data determined an average is to be formed. If the difference between the lowest and highest value, D, exceeds $D = 0.05$, the individual measurement values must be recorded in addition to the average. The result is reported to 2 decimal places.

5

Examples

The examples which follow are intended to illustrate the invention without restricting its scope.

10 **Comparative example C1**

a) Preparation of the hydrogel

A silica gel is prepared from waterglass (Cognis sodium silicate 37/40 filtered) and 45% strength sulfuric acid.

15 For this purpose, 45% strength by weight sulfuric acid and sodium silicate are mixed thoroughly so as to produce a reactant ratio corresponding to an excess of acid (0.25N) and to an SiO_2 concentration of 18.5% by weight. The resulting hydrogel is stored for 12 h and then broken to a particle size of approximately 1 cm.

20 It is washed with deionized water until the conductivity of the washwater is below 5 mS/cm. It is then aged at 80°C for 10 - 12 hours at a pH of 9, with addition of ammonia, after which the pH is adjusted to 3 using 45% strength by weight sulfuric acid. The hydrogel at this point has a solids content of 34% - 35%. Subsequently it is coarsely ground to a particle size of approximately 150 μm on a pinned-disk mill
25 (Alpine type 160Z). After drying in a laboratory oven (3 h, 160°C), the product has a BET surface area of 320 - 340 m^2/g .

b) Preparation of the xerogel:

The preground hydrogel is dried using a spin-flash dryer (Anhydro A/S, APV, type

30 SFD47, $T_{\text{in}} = 350^\circ\text{C}$, $T_{\text{out}} = 130^\circ\text{C}$) such that, after drying, it has a final moisture content of approximately 2% and a BET surface area of approximately 330 m^2/g . Then it is ground to an average particle size (d_{50}) of 6.7 μm on a fluidized-bed opposed-jet mill (Alpine, AFG 100).

The physicochemical data of comparative example 1 are found in table 2.

Example 1

7 kg of the hydrogel from comparative example 1a) (corresponding to 2.45 kg of 5 SiO_2) are admixed in an Eirich mixer (type RO2, operated at level 1) at room temperature with 0.245 kg of the emulsion of an alkoxyethylpolysiloxane (Foamex 1435, Tego GmbH; product data sheet of May 1999) in a weight ration of 28.6:1 (hydrogel: alkoxyethylpolysiloxane emulsion). The alkoxyethylpolysiloxane emulsion is added to the hydrogel over the course of 3 minutes, followed by mixing 10 for 7 minutes. The resulting mixture, after a standing time of 2 h, is dried using the spin-flash dryer (Anhydro AS, APV, type SFD47, $T_{in} = 350^\circ\text{C}$, $T_{out} = 120^\circ\text{C}$) and ground to an average particle size d_{50} of 6.7 μm on a fluidized-bed opposed-jet mill (Alpine, AFG 100).

15 The physicochemical data of example 1 are found in table 2.

Example 2

In the same way as in example 1 a surface-modified silica gel is prepared, the hydrogel being mixed with the alkoxyethylpolysiloxane emulsion in a weight ratio 20 of 11.4:1. The physicochemical data of example 2 are found in table 2.

Example 3

In the same way as in example 1 a surface-modified silica gel is prepared, the hydrogel being mixed with the alkoxyethylpolysiloxane emulsion in a weight ratio 25 of 5.7:1.

The physicochemical data of example 3 are found in table 2.

Comparative example C2: Acematt OK 520 (Degussa AG)

30 **Comparative example C3: Syloid ED 30 (Grace GmbH & Co. KG)**

Comparative example C4: Syloid C 906 (Grace GmbH & Co. KG)

Table 2:

Example	1	2	3	C1	C2	C3	C4
organically modified	yes	yes	yes	no	yes	yes	yes
BET surface m ² /g	302	275	239	330	207	306	254
area (singlepoint)							
mesopore volume (2-50 nm)	ml/g	1.82	1.78	1.65	1.91	0.44 ¹⁾	1.48
tapped density	g/l	110	117	125	105	79	n.d.
carbon content	%	0.85	2.10	3.80	0.02	3.78	7.00
loss on drying	%	3.0	2.5	1.9	1.9	5.3	0.9
loss on ignition	%	4.8	5.7	7.2	3.8	9.3	n.d.
pH		3.1	3.1	3.1	2.9	6.1	n.d.
conductivity	µS/cm	500	480	450	648	280	n.d.
DBP	g/100g	262	255	235	278	334	n.d.
(anhydrous)							
d ₀₅	µm	2.9	2.9	2.8	3.0	2.0	2.0
d ₅₀	µm	6.7	6.8	6.7	6.7	6.3	5.7
d ₉₅	µm	10.5	10.8	10.8	11.0	14.0	10.1
span		1.134	1.162	1.194	1.194	1.905	1.421
							15.7

¹⁾ mesopore volume (2-30 nm)

n.d. = not determined

5 Example 4:

Test of the coatings properties of the silica gels according to the invention in a coil coating material

Apparatus

- ball mill KU 5 with rotary stand
- precision balance, laboratory paddle stirrer, grindometer block 0-25 µm, 0-50 µm, 0-100 µm, flow cup DIN 53211 (4 mm)
- 5 • wire-wound coating bar 80 µm
- aluminum Bonder panels 722 W OF
- phosphated steel panels QUV R-36-I
- forced-air paint-drying oven with coil coating insert
- forced-air paint-drying oven
- 10 • coat thickness measuring instrument
- gloss meter (reflectometer) DIN 67530

Procedure

15 Coating formula 1

Item	Ingredient	Weight fraction n in %
1	Dynapol LH 831 70% in Solv. 150 / BG (Degussa AG, supply range of 07/2002)	35.0
2	butyl glycol	6.8
3	titanium dioxide Kronos 2310 (Kronos, product data sheet 2.2 of 2000)	29.7
4	Aerosil R972 (Degussa AG, product information from Internet of 23.03.2004)	0.2
5	Dynapol LH 831 70% in Solv. 150 / BG	7.8
6	Cymel 303 (Cytec Ind. Inc., product data sheet of 02/2003)	7.3
7	Solvesso 100 (ExxonMobil Chemical, product data sheet of 07/2003)	7.0
8	butyl diglycol	3.7
9	Disparton L1984 50% in Solvesso 200 S (Kusumoto Chemicals Ltd., product data sheet of 01/1998)	1.5
10	BYK Catalyst 450 (Byk Chemie, product information of 06/2006)	0.2
11	Dynapol Catalyst BL1203 (Degussa AG, safety data sheet of	0.8

03.11.2004)

Total	100.0
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The ingredients of items 1 - 4 are dispersed at 60 rpm for 48 h in a KU 5 ball mill charged with 4900 g of Alubit balls. Then the ingredients of items 5 - 11 are added and mixing is carried out likewise at 60 rpm for 30 minutes. The ball mill charge is
5 chosen so that a total amount of 5000 g of ingredients can be processed. The grindometer value (setpoint < 12 µm), the baking residue (setpoint approximately 67.5%) and the flow time (set point approximately. 40 s) of the glossy white coil coating material thus prepared are measured. To determine the 20° reflectometer value the unmatted coating material is applied using an 80 µm wire-wound coating
10 bar to phosphated steel panels of type QUV R-36-I, and after an evaporation time of 30 s the panels are baked at a panel temperature of 235°C for a period of 90 s. For a coat thickness of approximately 22 µm the 20° reflectometer value ought to be 75 ± 5.

Before the coating material is used each time it should be agitated at 1000 rpm in the
15 dissolver for 10 minutes. Precipitated silica or silica gel, in accordance with table 3, is weighed out into a 350 ml polyethylene beaker for 150 parts by weight of the unmatted coil coating material. The precipitate of silica or the silica gel is carefully incorporated into the test coating material using a spatula. Thereafter the compositions are dispersed with a paddle stirrer (diameter 43 mm) at 2000 rpm for 10
20 minutes, the PE beaker being covered in order to prevent evaporation losses. After the matting agent has been incorporated, the matted coating material is left to stand in the sealed beaker for 30 minutes, to allow volatiles to escape. Subsequently the grindometer value and flow time are measured.

25 The matted coating material is applied using an 80 µm wire-wound coating bar to phosphated steel panels of type R-36-I. After an evaporation time of 30 seconds the coating material is baked at a panel temperature of 235°C for 90 seconds. Thereafter the coat thickness of the coating material, the 60° and 85° reflectometer values and the sheen (i.e., 85° reflectometer value – 60° reflectometer value) are calculated (see
30 table 3).

The data in table 3 show that the matting efficiency of the surface-modified silica gels of the invention in this coating system is comparable with that of the comparative examples; in other words, the surface modification of the invention does not lead to any impairment in matting efficiency.

5

Table 3: Coil coating material

Silica gel/silica from Example	Initial mass	Coat thickness	60° reflectometer value	85° reflectometer value	Sheen
	[g]	[µm]	[%]	[%]	[%]
1	6.0	24	29.1	71.4	42.3
2	6.1	23	29.9	71.4	41.5
3	6.8	23	30.2	72.0	41.8
C 1	6.0	23	30.0	70.3	40.3
C 2	5.4	24	29.3	72.5	43.2
C 3	7.4	24	30.0	76.1	46.1
C 4	6.4	24	30.1	71.7	41.6

Example 5:

10 **Coatings properties in a 2-component coating material**

When matting agents are used in transparent coating materials there may, depending on matting agent used and binder system, be a more or less pronounced haze appearing, which gives the transparent coating film a bluish undertone. This effect is also known, therefore, as blue haze. No conclusions concerning this effect can be drawn from the

15 analytical test data on the matting agents. A colorimeter, for example a densitometer, can be used to detect this effect instrumentally in a reproducible manner on appropriately prepared matt coating materials.

20 The application of matted 2K (2-component) PU coating materials to black glass sheets reduces the depth of color of the black glass sheet in accordance with the extent of the haze. By measuring the density, i.e. depth of color, through the coating film, it is possible to draw, indirectly, a conclusion concerning the extent of the haze and hence also concerning the transparency of the coating material.

Apparatus

- laboratory paddle stirrer
- flow cup to DIN 53211, 4 mm
- film-drawing instrument, e.g. Coatmaster 509 MC, Erichsen
- 5 • film-drawing bar, 150 µm
- forced-air paint-drying oven
- coat thickness measuring instrument (e.g., Quintsonic PRO, Erichsen)
- gloss meter (e.g. BYK haze-gloss) DIN 67530
- instrument for measuring depth of color (densitometer)
- 10 • fully-colored black plane glass sheets 180 × 80 × 6 mm

Preparation and testing of the glossy test coating material

The individual ingredients for the 2-component test coating material are weighed out in succession in the order indicated below, and homogenized using the laboratory 15 stirrer.

Coating formula 2 (2K reference varnish for the purposes of the invention)

	Ingredient	Weight fraction in
20	%	
	butyl acetate 98%	8.3
	ethoxypropyl acetate	16.5
	Desmophen 800 (Bayer AG, product data sheet of 04/20/2002)	15.0
	Desmophen 1100 (Bayer AG, product data sheet of 04/20/2002)	20.0
25	CAB 381-0.5 (10% strength in butyl acetate 98%, Eastman, product data sheet of 09/30/2003)	3.0
	Mowilith 20 (50% strength in ethyl acetate) (Kremer Pigmente, product data sheet of 05/14/2004)	3.0
	Baysilone OL 17 (10% strength in xylene) (Borchers, product information of 12/12/2002)	0.1
30	BYK 361 (BYK-Chemie, product data sheet of 05/2003)	0.3

xylene	33.8
Total	100.0

The glossy test coating material is tested for flow time (setpoint: 14 -15 s) and
5 baking residue (setpoint: 36.0 ± 0.5%).

Preparation and testing of the matted test coating material

Prior to use, the gloss coating material is homogenized using the paddle stirrer. Precipitated silica or silica gel, in accordance with the initial masses indicated in tables 4 and 5, is weighed out into 150 g of the 2-component test
10 coating material.

Following careful incorporation using a spatula, the matting agent is dispersed with a paddle stirrer (4 cm diameter) at 2000 rpm for 10 minutes in a 350 ml PE beaker. After the matting agent has been dispersed, the coating material is left to stand for
15 24 h for volatiles to escape. Thereafter the viscosity of the matted test coating material is determined as follows by means of the Haake viscometer 6^R at 6 rpm and at 60 rpm, and the ratio thereof is determined as well, as follows (see table 4):

Shortly before the beginning of the measurement the viscometer (Haake
20 viscometer 6^R) is switched on, self-testing is carried out, and spindle size and rotational speed are set on the instrument. The spindle is inserted on the viscometer and immersed into the coating material up to the mark on the spindle shaft. The viscometer is started. The spindle is rotated in the coating material for 2 minutes and then the measured value for the setting at 6 rpm is read off. After that
25 the spindle is rotated in the coating material for a further 2 minutes, and finally the measured value for the setting at 60 rpm is read off. The preparations and measurement are carried out at 23°C and 50% RH.

Subsequently the matted 2-component test coating material is admixed with 50 g
30 of Desmodur L 75 curing agent and homogenized with the laboratory stirrer (4 cm diameter) at 1000 rpm for 2 minutes. After homogenization has taken place, the coating material is left to stand again for 30 minutes. The matted 2-component test

coating material is applied using the Coatmaster 509 MC film-drawing instrument with a drawing speed of 25 mm/s and a film-drawing frame with a slot height of 150 µm, onto a clean black plane glass sheet. The evaporation time is 25 to 30 minutes at a room temperature of 21 to 23°C and a relative humidity of 40% to 5 60%. Thereafter the glass sheets are dried in a drying oven at 50 ± 2°C for 2 hours. After they have cooled to room temperature, coat thickness, 60° reflectometer value, 85° reflectometer value and density (yellow filter) are determined and the sheen (i.e., 85° reflectometer value - 60° reflectometer value) is calculated (see table 4).

10

Table 4 shows the data determined following the incorporation of various precipitated silicas and silica gels into the 2-component coating material, for the same initial mass. The data show that the surface-modified silica gels of the invention exhibit a comparable or even, in some cases, improved transparency (density) and matting 15 efficiency to those of the comparable examples. The advantage of the surface-modified silica gels of the invention becomes particularly clear in the results of the viscosity measurement.

At low shear, there is virtually no increase in the viscosity of the coating material as a 20 result of the surface-modified silica gels of the invention, whereas the viscosity is increased drastically by the comparative examples.

While the comparative examples still increase the viscosity of the coating material even under high shear, there is virtually no effect on the coating material by the surface- 25 modified silica gels of the invention.

The low thixotropic index TI 6/60 of the surface-modified silica gels of the invention shows that the viscosity in the sheared state is reduced only insignificantly as compared with the unsheared state, whereas in the comparative examples the 30 sharply increased viscosity of the coating material in the unsheared state, as a result of the addition of the said comparative examples, is very sharply adversely affected by the shearing.

To determine the data in table 5, an amount of precipitated silica or silica gel sufficient to give a comparable 60° reflectometer value of 23-25 in the dried coating material is added to the 2-component coating material. For the silica gels of the invention, the amount of product required for a comparable matting efficiency is 5 almost exactly the same as for the comparative examples based on silica gels (comparative examples 3 and 4).

The transparency (density) of the surface-modified silica gels of the invention is well above that of the comparative examples.

10

Table 4: 2-Component coating material for the same initial mass

Silica gel/silica from Example	Initial mass	Viscosity at 6 rpm	Viscosity at 60 rpm	TI 6/60	Density
	[g]	[mPa*s]	[mPa*s]		
1	18	821 ¹⁾	209 ¹⁾	3.93	2.52
2	18	616 ¹⁾	166 ¹⁾	3.71	2.55
3	18	263 ¹⁾	123 ¹⁾	2.14	2.54
C 1	18	1329 ¹⁾	284 ¹⁾	4.68	2.23
C 2	18	15430 ²⁾	1890 ²⁾	8.16	1.35
C 3	18	6370 ²⁾	720 ¹⁾	8.85	2.40
C 4	18	1639 ¹⁾	324 ¹⁾	5.06	2.41
2-component coating material without silica	18	0 ¹⁾	40 ¹⁾	-	2.70

¹⁾ measured with spindle R 2

²⁾ measured with spindle R 4

³⁾ further viscosity measurements: viscosity at 20 rpm = 25 mPa*s

15

viscosity at 200 rpm = 70 mPa*s

TI 20/200 = 0.36

20

Table 5: 2-Component coating material for the same 60° reflectometer value

Silica gel/silica of Example	Initial mass ¹⁾ [g]	Coat thickness [µm]	60° reflecto- [%]	85° reflecto- [%]	Shee n [%]	Densit v
1	18.0	32	23.6	53.2	29.6	2.38
2	18.9	32	22.6	52.0	29.4	2.32
3	21.0	32	23.0	53.3	30.3	2.46
C 1	16.2	32	24.3	56.6	32.3	2.48
C 2	11.25	32	23.2	57.8	34.6	2.30
C 3	15.75	32	23.9	70.4	46.5	2.40
C 4	18.75	32	24.3	62.8	38.5	2.30

¹⁾ based on 100 g of unmatted coating material

Example 6

5 Sedimentation behavior in a nitrocellulose lacquer

Physicochemical data for silicas cannot be used to draw conclusions concerning the suspension and redispersion behavior of these substances in a coating material. On the basis of this finding, performance testing in an appropriate coating system is necessary.

10

Apparatus and ingredients

- laboratory paddle stirrer
- balance
- PE beaker, 170 ml
- 15 • flow cup DIN 53211, 4 mm
- stopwatch
- drying cabinet
- Jouan CT 4.22 centrifuge
- glass bottles, transparent, 50 ml, narrow neck

20

Procedure

The solvents of items 1 to 4 are introduced initially and mixed with the paddle stirrer. The NC chips E 510 are added with stirring and dissolved with the paddle stirrer. Subsequently items 6-10 are added with continual stirring to the lacquer.

5

Coating formula 3

Item	Ingredient	Weight fraction n in %
1	toluene	15.0
2	butanol	10.0
3	ethyl acetate	10.0
4	butyl acetate 85%	10.0
5	NC chips E 510 (82% NC 18% DBP) (Wolff Cellulosics, product information from Internet of 03/23/2004)	12.0
6	dibutyl phthalate (DBP)	1.0
7	castor oil 18 P, blown	2.0
8	Jägalyd E 42, 60% strength in xylene (Eastman Chemicals, Fax of 05/17/2004)	10.0
9	Alresat KM 313, 50% strength in ethyl acetate:butyl acetate (85%) 1:1 (Clariant)	20.0
10	Petroleum spirit 100/140	10.0
Total		100.0

The flow time of this lacquer is measured using the DIN cup (outflow nozzle $\varnothing = 4$ mm) and adjusted with the solvent mixture below to a flow time of 40 s at 23°C.

10

Item	Ingredient	Weight fraction in %
1	butyl acetate 85%	30.0
2	ethyl acetate	30.0

3	toluene	30.0
4	butanol	10.0
	Total	100.0

Preparation and testing of the matt coating material

0.4 g of precipitated silica or silica gel is weighed out into a polyethylene beaker (170 ml) to 40 g of coating material, and then incorporated completely using the 5 spatula. Thereafter the matting agent is dispersed with a paddle stirrer (4 cm diameter) at 2000 rpm for 10 minutes. During this operation the beaker is covered.

About 40 g of matted coating material is introduced into glass bottles. The glass bottles are screwed closed. The centrifuge is loaded with glass bottles. Centrifugation 10 is carried out for a time of 14 h, with the following settings.

	Program point	Setting
	Radius:	185 mm
	Time/integral:	1
15	Time/duration run	0m0s
	Temperature:	35°C
	Delta temperature:	+4°C
	Acceleration:	0
	Braking:	0
20	Rotary speed/RZB:	0
	RZB:	15g
	Cooling:	off

For assessment, the bottles are cooled to room temperature. In order to test 25 the nature of the sediment the bottles are placed upside down in a bottle holder and the draining of the phase containing matting agent is observed.

Subsequently the glass bottles are shaken twice by hand. If no sediment can be seen, the bottom of the bottle is scratched with a needle. This treatment finds 30 even extremely thin unwanted deposits.

The nature of the sediment is evaluated as follows:

- 1 = no separation of coating material and matting agent
- 2 = loose sediment (the sediment runs completely from the base and can be dispersed by swirling once or twice.)
- 3 = soft sediment (the sediment does not run completely from the base, but can still be dispersed by shaking a number of times.)
- 4 = soft sediment, difficult to reagitate (the sediment is still soft, but has already agglomerated to such an extent that it can no longer be adequately dispersed by shaking.)
- 5 = solid sediment

The sedimentation behavior of examples 1 – 3 and of comparative examples 1 - 3 is indicated in table 6.

Example 7:

Determination of the detachment behavior in ethoxypropyl acetate

- 10 Silica-based matting agents are after-treated with organic substances in order to prevent sedimentation in coating materials. For application in coating materials it is important that the coating agent is firmly adsorbed on the silica surface and cannot be detached by the action of constituents of the coating material. This test allows detachment of the coating agent to be ascertained and hence unsuitable coating agents
- 15 to be identified.

Apparatus

- laboratory stirrer, PE beaker
- wide-necked glass bottle, 50 ml
- 20 • paint-drying oven

Procedure

1 g of surface-modified silica gel or precipitated silica is weighed out into 35 g of ethoxypropyl acetate. The suspension is dispersed with a paddle stirrer (diameter: 40 mm) at 1000 rpm for 10 minutes in a PE beaker and transferred to a 5 50 ml wide-necked glass bottle. Subsequently the glass bottle is stored in a paint-drying oven at 50°C for 24 hours. After the suspension has cooled, resultant sediment and the supernatant liquid phase are evaluated visually (see table 6).

10 Detachment of the organic components is manifested in the formation of deposits on the inside of the glass at the height of the liquid/air interface, these deposits being impossible to redisperse by simple shaking. In general, the visual assessment permits effective recognition of whether these deposits consist predominantly of the coating agent used or of coated silica. If the visual findings are unclear, a supplementary analysis can be carried out in order to assist with identification of the deposit (e.g., IR 15 spectroscopy).

Example 8:

Determination of discoloration in an AC coating material

20 The aim of this test method is to analyze matting agents for their discoloration in an AC coating material by comparison with the corresponding laboratory standard (white color) of the respective matting agent and, respectively, with a negative standard which shows the lowest inadmissible discoloration.

25 Apparatus

- Laboratory paddle stirrer (diameter: 4 cm)
- PE beaker 170ml
- spatula
- precision balance (weighing accuracy 0.01 g)
- 30 • 50 ml narrow-necked glass bottle
- centrifuge if appropriate.

Testing for discoloration

The test coating material for the discoloration is prepared by dispersing the ingredients indicated below. For this purpose the individual ingredients are weighed out in succession and mixed with a paddle stirrer to the point of complete 5 homogenization. Subsequently 50 parts by weight of coated material are introduced into a 170 ml PE beaker and 1.3 parts by weight of matting agent are weighed in and carefully incorporated by stirring with a spatula. Thereafter the formula is dispersed at 2000 min⁻¹ for 10 minutes using a laboratory paddle stirrer, and about 40 g of the samples are introduced into 50 ml narrow-necked glass bottles. The glass bottles are 10 screwed closed. The matting agent is induced to undergo sedimentation by storage at room temperature for 5 days.

Coating formula 4

Item	Ingredient	Weight fraction in %
1	Plastopal BT, 60% strength in butanol (BASF, product information of 07/1994)	39.6
2	xylene	30.2
3	ethoxypropanol	15.1
4	Ethanol	15.1
	Total	100.0

15

During the assessment of discoloration, the samples are placed on a white background, and transmitted light from the rear side must be ensured. To assess the discoloration, the lids of the sample bottles are removed, since reflections from the black lid underside result in an apparent discoloration. The results are recorded in 20 table 6.

From table 6 it is apparent that, as a result of the surface modification, the propensity of silica gels or precipitated silicas to form sedimentation in the coating material is greatly reduced. Whereas sedimentation values of not more than 2 are achieved by 25 means of the known agents and processes for surface modification, the

sedimentation value can be increased even to 1 by the surface modification of the invention, as shown by example 2; in other words, no sedimentation propensity is evident even over a longer period of time.

- 5 Whereas in the case of comparative examples 3 and 4 the surface-modifying agent can be removed from the surface of the silica gel by exposure to ethoxypropyl acetate, no such impairment is observed in the case of the surface-modified silica gels of the invention.
- 10 Yellowish discoloration of the coating material is frequently observed as a result of adding the matting agent, as evident from the comparative examples. In contrast, it is a feature of the surface-modified silica gels of the invention that the coating material remains clear even after the matting agent has been added.

15 **Table 6: Nitrocellulose lacquer - sedimentation behavior**

Silica gel/silica from	Surface-modified	Sedimentation behavior	Detachment behavior	Discoloration
2	yes	3	no	clear
3	yes	1	no	clear
C 1	no	5	-	yellowish
C 2	yes	2	no	slightly yellowish
C 3	yes	2	yes	slightly yellowish
C 4	yes	2	yes	slightly yellowish

It is to be appreciated, that the invention is not limited to any specific embodiment or example as hereinbefore generally described or illustrated.

Claims:

1. A surface-modified silica gel which influences the viscosity of a 2-component reference varnish, containing 10.7% by weight of the surface-modified silica gel and which varnish was stored at 23°C and 50% relative humidity (RH) for 1 day following preparation and addition of the surface-modified silica gel, in such a way that the thixotropic index TI 6/60 of the varnish is less than or equal to 4.5.
2. A surface-modified silica gel as claimed in claim 1, which influences the viscosity of a 2-component reference varnish, containing 10.7% by weight of the surface-modified silica gel and which varnish was stored at 23°C and 50% relative humidity (RH) for 1 day following preparation and addition of the surface-modified silica gel, in such a way that the thixotropic index TI 6/60 of the varnish is less than or equal to 4.0.
3. A surface-modified silica gel as claimed in claim 1 or claim 2, which increases the viscosity of a 2-component reference varnish, containing 10.7% by weight of the surface-modified silica gel and which varnish was stored at 23°C and 50% relative humidity (RH) for 1 day following preparation and addition of the surface-modified silica gel, in the low-shear state to a maximum of 1200 mPa*s.
4. A surface-modified silica gel as claimed in claim 3, which increases the viscosity of a 2-component reference varnish, containing 10.7% by weight of the surface-modified silica gel and which varnish was stored at 23°C and 50% relative humidity (RH) for 1 day following preparation and addition of the surface-modified silica gel, in the low-shear state to a maximum of 900 mPa*s.
5. A surface-modified silica gel as claimed in any one of claims 1 to 4, having the following physicochemical data:

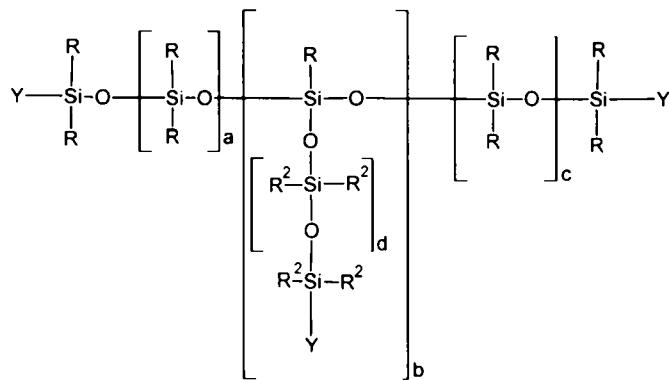
30 Average particle size d_{50} :	0.5 - 50 μm
DBP:	100 - 600 g/100 g
BET:	100 - 1000 m^2/g
carbon content:	0.5 - 20%

6. A surface-modified silica gel as claimed in any one of claims 1 to 5, which has a mesopore volume in the range from 2 to 50 nm of at least 1.0 ml/g.

7. A surface-modified silica gel as claimed in any one of claims 1 to 6, which has a span < 1.3.

8. A surface-modified silica gel as claimed in any one of claims 1 to 7, the surface of which is coated with a polyorganosiloxane or with a modified polyorganosiloxane.

10 9. A surface-modified silica gel as claimed in claim 8, the surface of which is coated with a polyorganosiloxane having the following general structure:



15 where

Y = -OH, -OR or

Y = $H_5C_2-O-(C_2H_4O)_m-$, $H_7C_3-O-(C_3H_6O)_m-$ or

Y = $R_2C=C-(CH_2-CH)_k-$,

20 R^4 | | $COOR^3$

R = -alkyl, especially methyl or ethyl,

R^2 = alkyl or H,

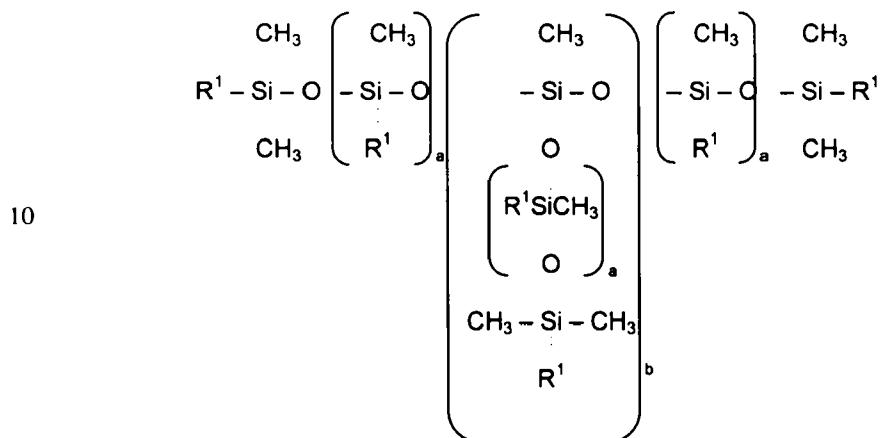
R^3 = alkyl,

25 R^4 = H or alkyl,

$a = 0-100$, $b = 0-100$, $c = 0-100$, $d = 0-100$,

$m = 0-100$ and $k = 0-100$.

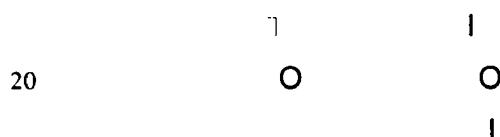
10. A surface-modified silica gel as claimed in claim 8, the surface of which is coated
 5 with a polyorganosiloxane having the following general structure:



where

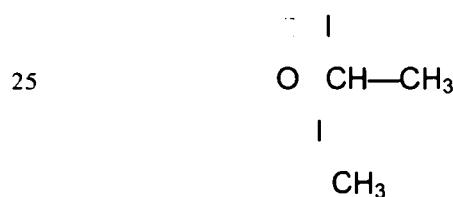
R_1 = a methyl radical or

$\text{R}_1 = (\text{CH}_3)_2\text{CHCOCH}_2\text{C}(\text{CH}_3)_2\text{CHCH}(\text{CH}_3)_2$



and/or

$\text{R}_1 = (\text{CH}_3)_2\text{CHCOCHC}(\text{CH}_3)_2\text{CH}_2\text{O} -$

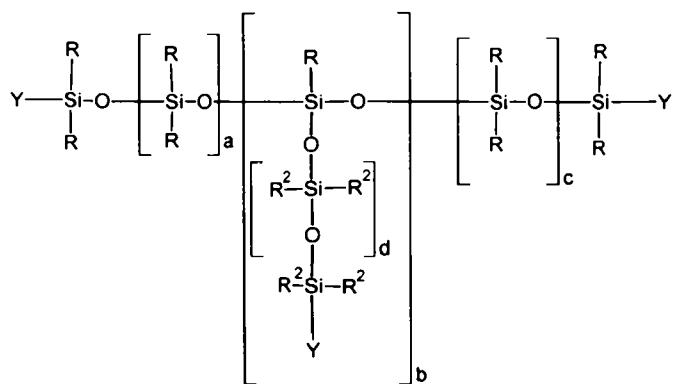


and the sum of the units $a = 0$ to 100 , the sum of the units $b = 0$ to 15 , the ratio of
 30 methyl to alkoxy radicals R^1 being less than $50:1$, and $b > 1$ if $a = 0$ and $a > 5$ if $b = 0$.

11. A process for preparing a surface-modified silica gel as claimed in any one of claims 1 to 10, which includes modifying the surface of a silica gel with a polymer.

12. A process as claimed in claim 11, wherein the polymer is a polyorganosiloxane or a modified polyorganosiloxane.

13. A process as claimed in claim 12, wherein the polymer is a polyorganosiloxane having the following general structure:

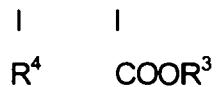


where

10 $Y = -OH, -OR$ or

$Y = H_5C_2-O-(C_2H_4O)_m-$, $H_7C_3-O-(C_3H_6O)_m-$ or

$Y = R_2C=C-(CH_2-CH)_k-$,



15 $R =$ -alkyl, especially methyl or ethyl,

$R^2 =$ alkyl or H,

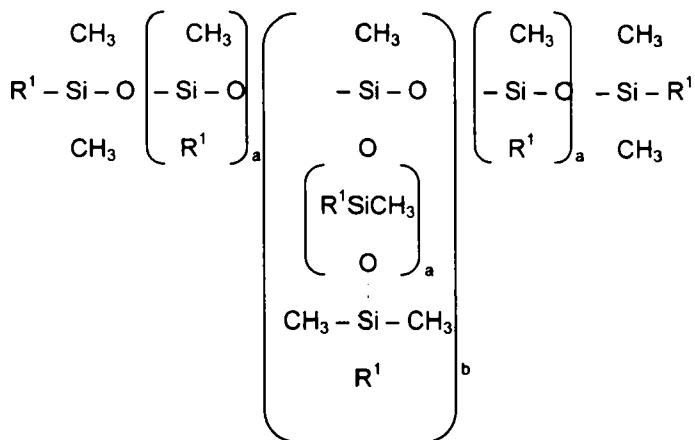
$R^3 =$ alkyl,

$R^4 =$ H or alkyl,

$a = 0-100$, $b = 0-100$, $c = 0-100$, $d = 0-100$,

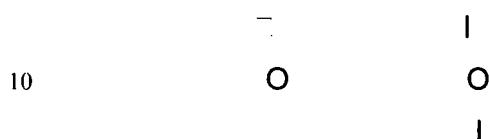
20 $m = 0-100$ and $k = 0-100$.

14. A process as claimed in claim 13, wherein the polymer is a polyorganosiloxane having the following general structure:

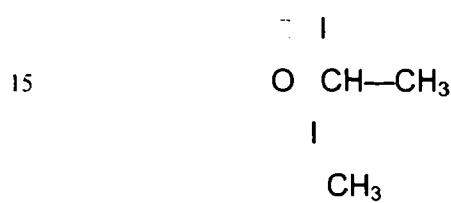


5

where

 R_1 = a methyl radical or $\text{R}_1 = (\text{CH}_3)_2\text{CHCOCH}_2\text{C}(\text{CH}_3)_2\text{CHCH}(\text{CH}_3)_2$ 

and/or

 $\text{R}_1 = (\text{CH}_3)_2\text{CHCOCHC}(\text{CH}_3)_2\text{CH}_2\text{O} -$ 

and the sum of the units $a = 0$ to 100 , the sum of the units $b = 0$ to 15 , the ratio of
 20 methyl to alkoxy radicals R^1 being less than $50:1$, and $b > 1$ if $a = 0$ and $a > 5$ if $b = 0$.

15. A process as claimed in any one of claims 10 to 14, including at least the steps
 of:-

25 a) preliminary grinding of a hydrogel;
 b) drying of the hydrogel to obtain a xerogel;
 c) grinding of the xerogel; and

d) surface modification.

16. A process as claimed in claim 15, wherein step d) is carried out before at least one of steps a) to c).

5

17. A process as claimed in claim 15, wherein step d) is carried out during at least one of steps a) to c).

18. A process as claimed in any one of claims 15 to 17, wherein the polymer in step d) is added as a pure, undiluted substance.

10

19. A process as claimed in any one of claims 15 to 17, wherein the polymer in step d) is added as an aqueous emulsion.

15

20. A process as claimed in any one of claims 15 to 19, wherein in step a) or in step d), when performed before step a), a hydrogel is used which has the following physicochemical properties:

SiO₂ fraction: ≥ 5%, preferably 20% – 40%,

BET surface area: 200 – 500 m²/g, preferably 250 – 400 m²/g.

20

21. A process as claimed in any one of claims 15 to 20, wherein step d) is carried out before step b).

25

22. A process as claimed in claim 20, wherein the hydrogel in step d) is mixed with 0.2% to 12%, preferably with 0.5% to 5%, by weight of the polymer, based on the hydrogel.

23. A process as claimed in any one of claims 15 to 23, wherein the drying in step b) is carried out by dryers selected from the group including: spray dryers, grinding dryers, pneumatic dryers, and spin-flash dryers.

30

24. A process as claimed in any one of claims 15 to 20, wherein step d) is carried out after step b) but before step c).

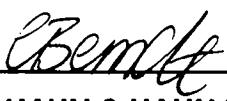
25. A process as claimed in any one of claims 15 to 20, wherein step d) is carried out during step c).
26. A process as claimed in any one of claims 15 to 25, wherein the grinding in step c) takes place by means of mills selected from the group including: an air-jet mill, a steam-jet mill, and a fluidized-bed opposed-jet mill.
27. A process as claimed in any one of claims 15 to 26, wherein particles having a diameter of more than 50 µm are separated off after the surface-modified silica gel has been dried.
28. A process as claimed in any one of claims 15 to 26, wherein particles having a diameter of more than 50 µm are separated off after or during the grinding operation.
- 15 29. The use of a surface-modified silica gel as claimed in any one of claims 1 to 10 as a matting agent in inks and paints, as a constituent of paper coatings, as a defoamer formulation, as a reinforcing agent in silicone rubber and/or in plastics applications as an antiblocking agent.
- 20 30. The use of a surface-modified silica gel prepared as claimed in any one of claims 11 to 28 as a matting agent in inks and paints, as a constituent of paper coatings, as a defoamer formulation, as a reinforcing agent in silicone rubber and/or in plastics applications as an antiblocking agent.
- 25 31. A coating formulation including a surface-modified silica gel as claimed in at least one of claims 1 to 10.
32. A coating formulation including a surface-modified silica gel prepared as claimed in at least one of claims 11 to 28.
- 30 33. A surface-modified silica gel according to the invention, as hereinbefore generally described.

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34. A surface-modified silica gel including any new and inventive integer or combination of integers, substantially as herein described.
35. A process according to the invention for preparing a surface-modified silica gel substantially as hereinbefore described or exemplified.
36. A process of preparing a surface-modified silica gel including any new and inventive integer or combination of integers substantially as herein described.

10 **DATED AT PRETORIA THIS 15TH DAY OF JUNE 2005.**



HAHN & HAHN INC.
APPLICANT'S ATTORNEYS