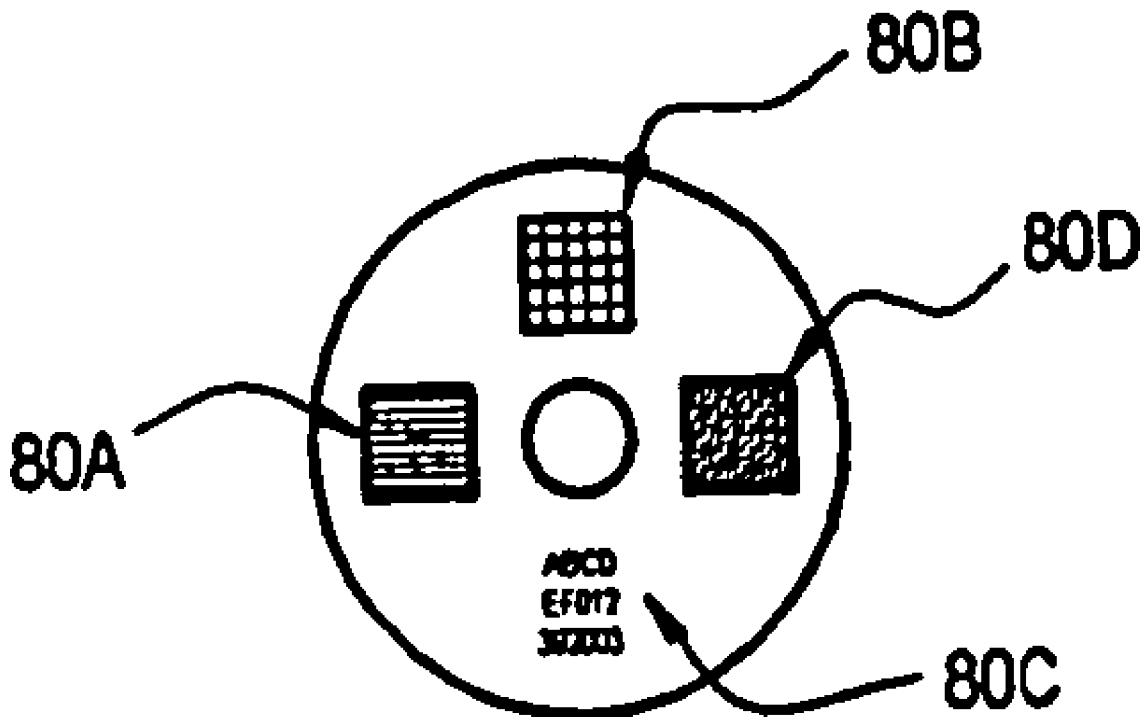


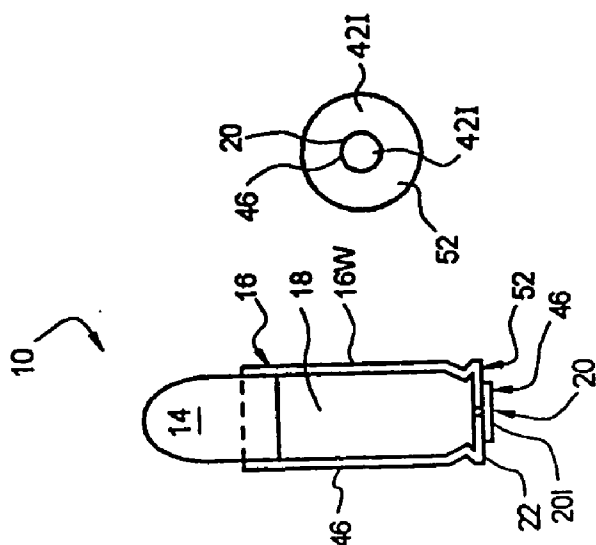
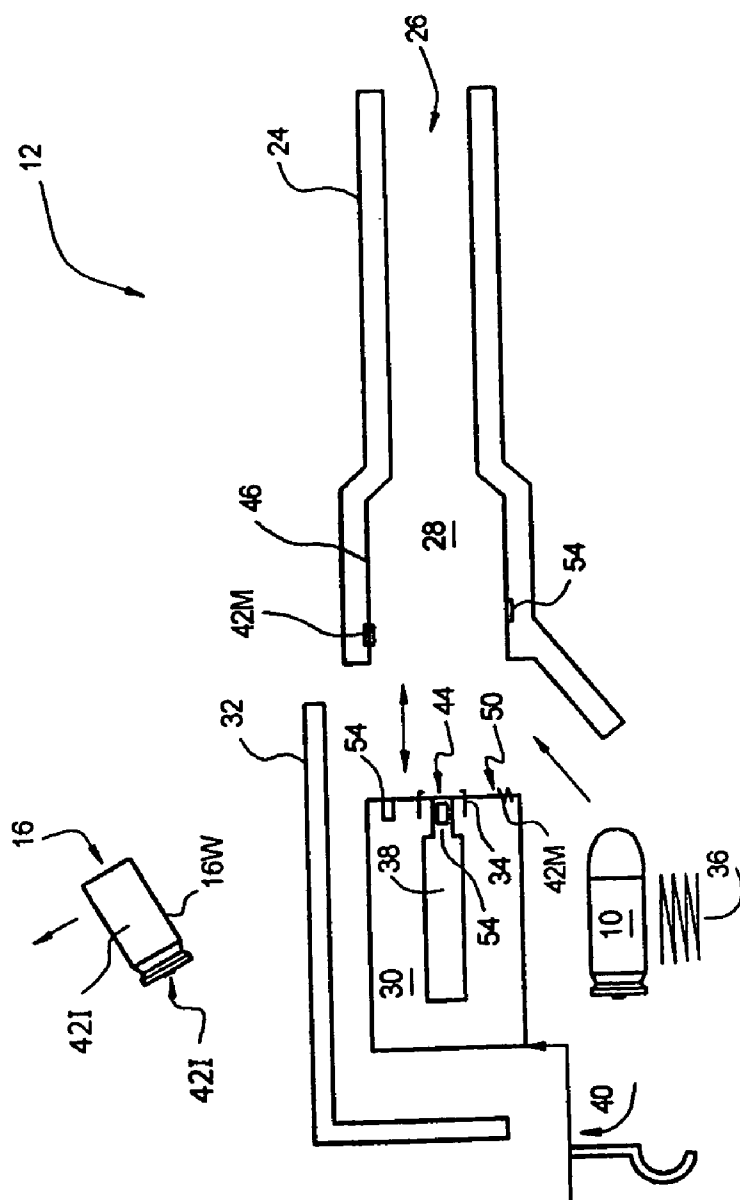


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(19) **United States**(12) **Patent Application Publication**
Lizotte et al.(10) **Pub. No.: US 2006/0026880 A1**(43) **Pub. Date: Feb. 9, 2006**(54) **METHOD AND APPARATUS FOR READING
FIREARM MICROSTAMPING****Publication Classification**(76) Inventors: **Todd E. Lizotte**, Manchester, NH (US);
Orest Ohar, Hooksett, NH (US)(51) **Int. Cl.**
F41A 9/53 (2006.01)
(52) **U.S. Cl.** **42/1.01**Correspondence Address:
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MANCHESTER, NH 03101-1151 (US)(57) **ABSTRACT**

An indicia for marking on an object, such as a cartridge case, for representing selected information, such as identification indicia identifying the firearm that discharged the cartridge, and methods and apparatus for generating, imprinting and reading the identification indicia. An indicia includes a multi-dimensional array of encoded marks, including encoded marks determined by spectral encoding variables representing the selected information wherein each spectral variable is spectrally distinguishable from others of the spectral variables representing variables, and an encoded pattern of the encoded marks is determined by algorithmic transformation of the selected information. An indicia may also include hologram related artwork. An indicia may be an encoded hologram multi-dimensional barcode, an encoded hologram or an encoded concentric circular barcode and may be formed of a single indicia or as an array of indicia.

(21) Appl. No.: **11/095,168**(22) Filed: **Mar. 31, 2005****Related U.S. Application Data**(60) Division of application No. 10/622,236, filed on Jul. 18, 2003.
Continuation-in-part of application No. 10/427,513, filed on May 1, 2003.



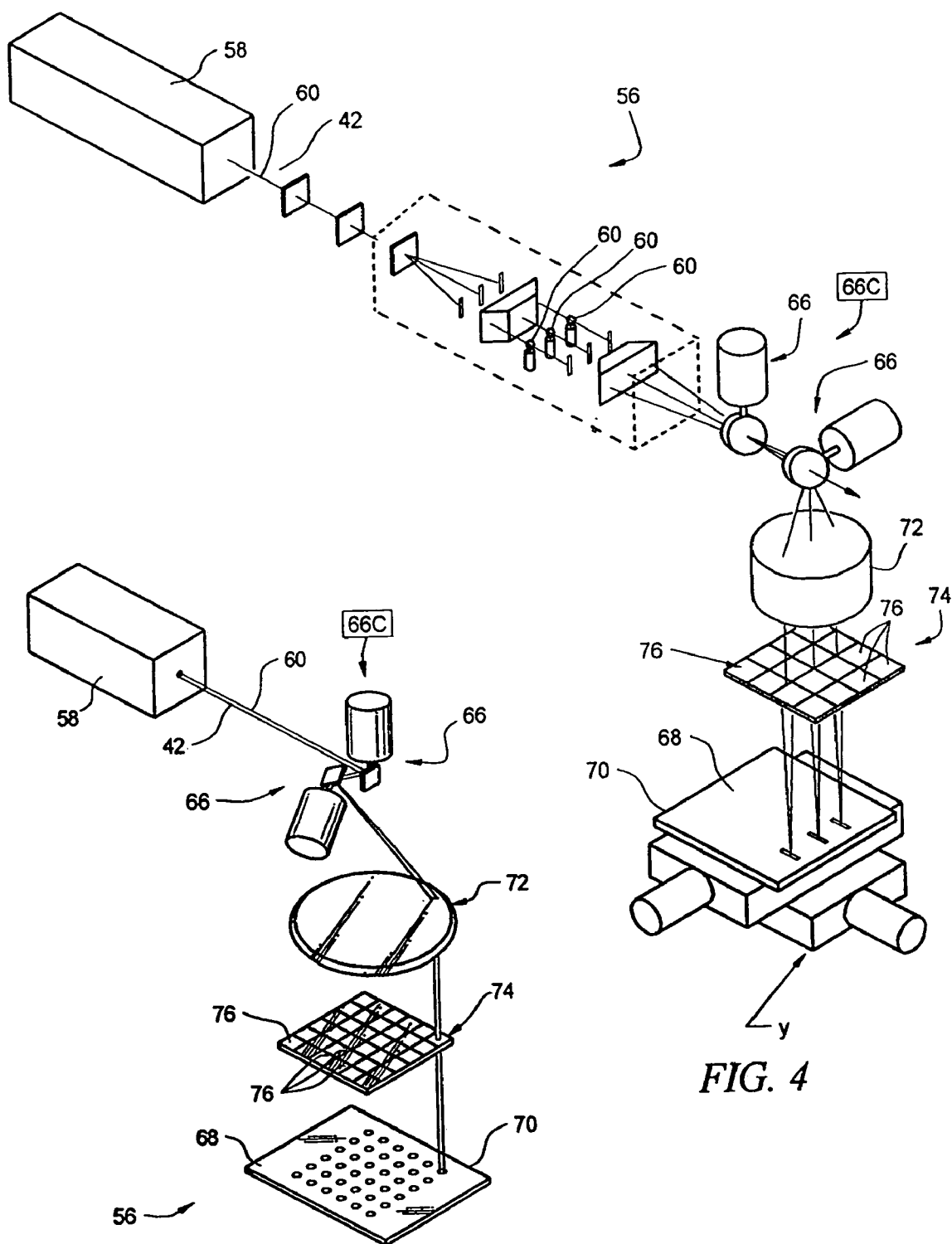
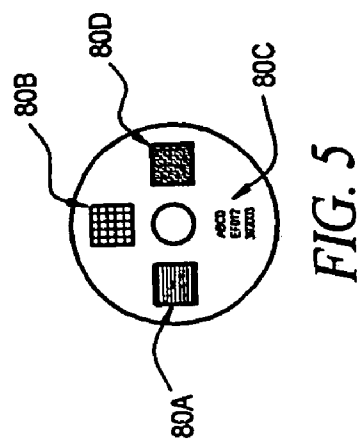
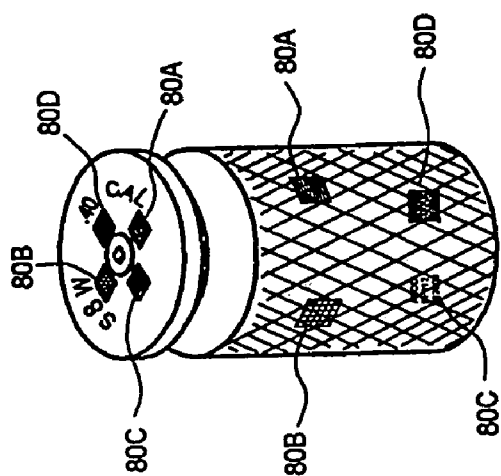
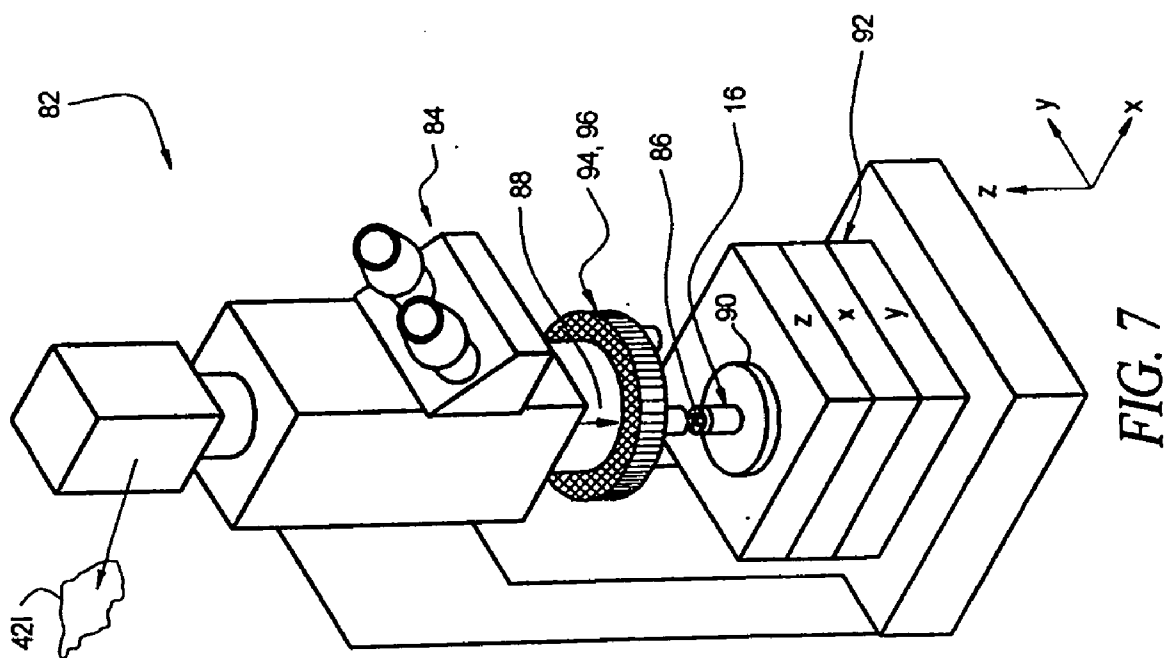


FIG. 3

FIG. 4



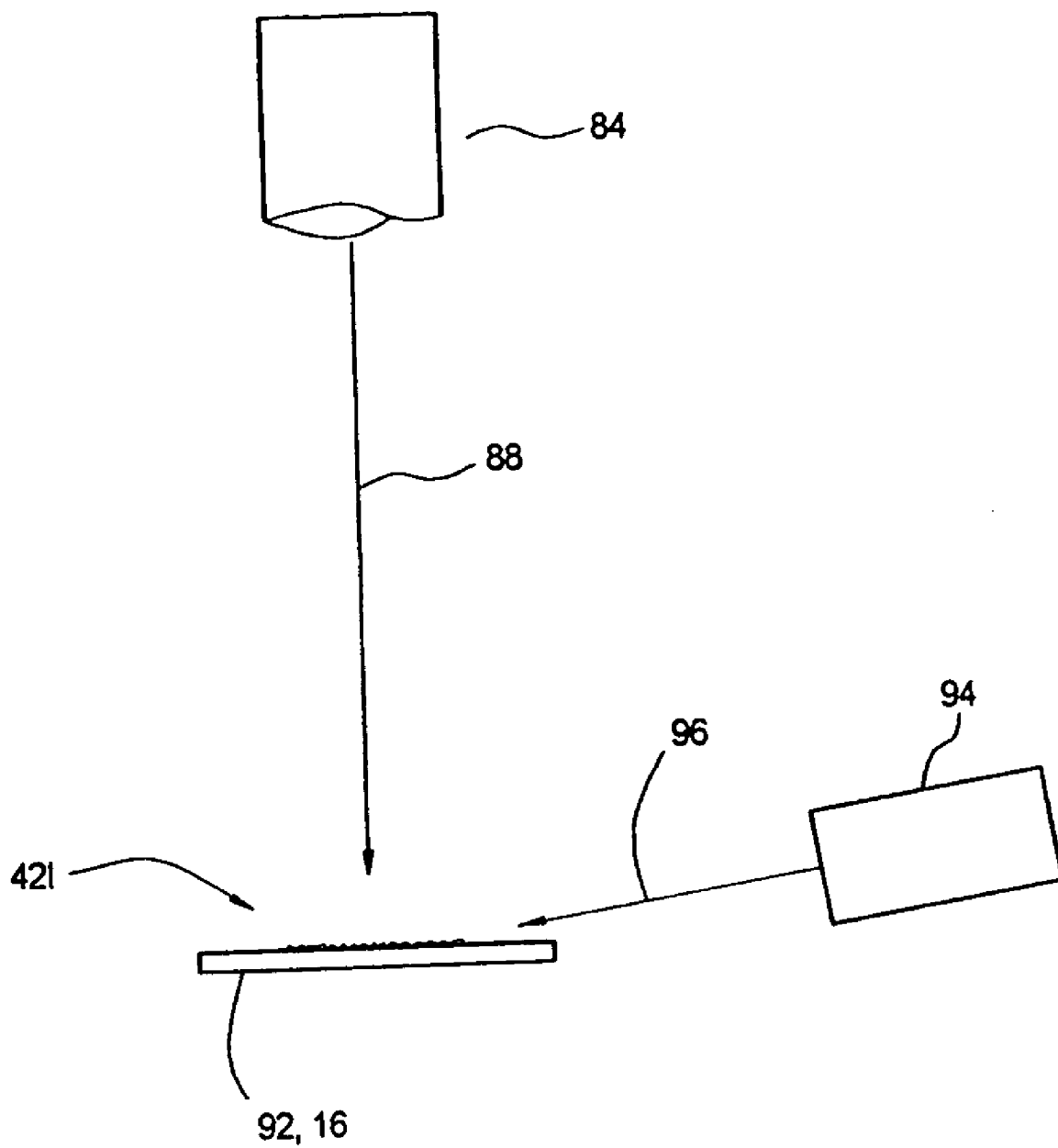
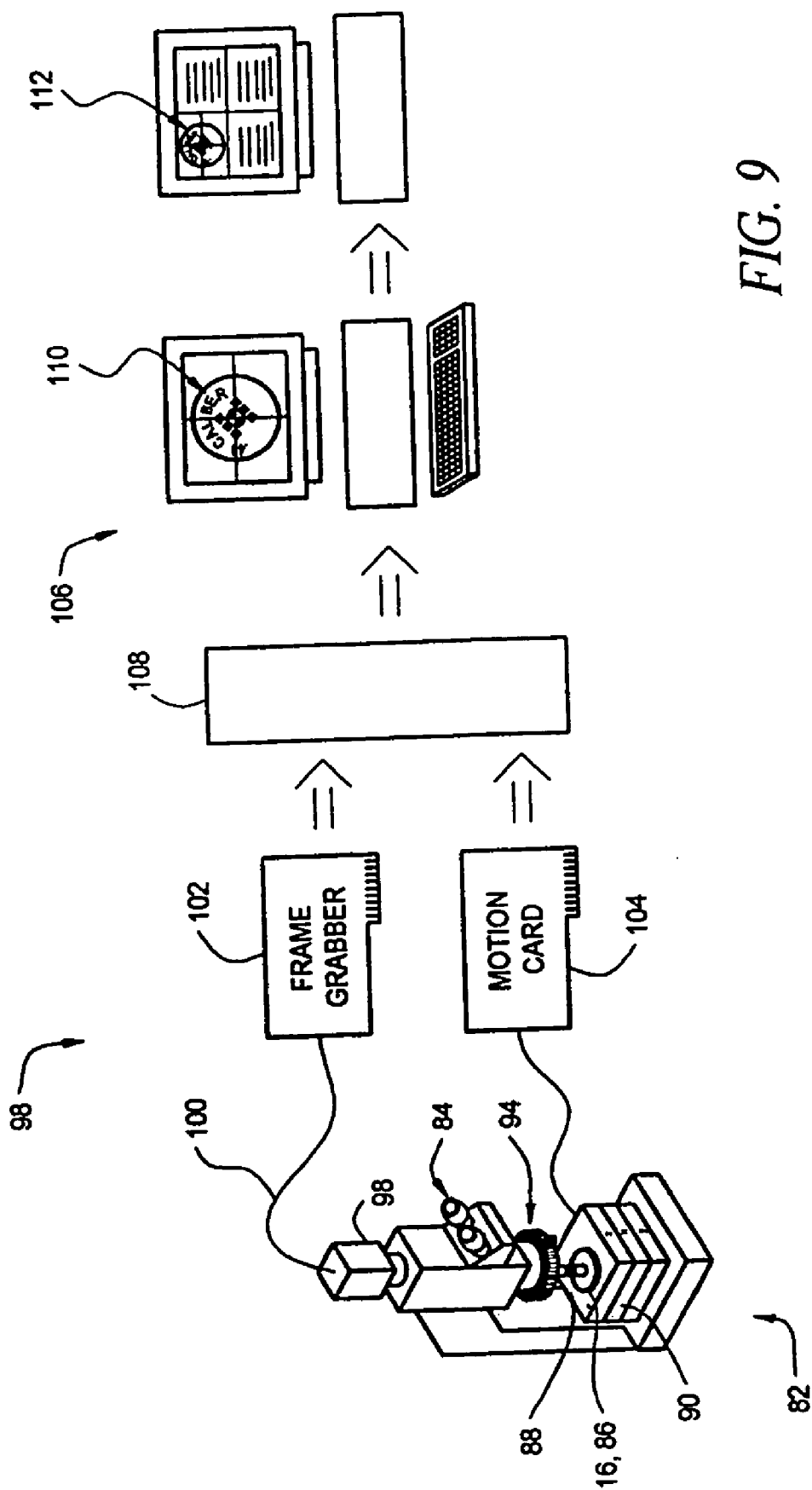


FIG. 8



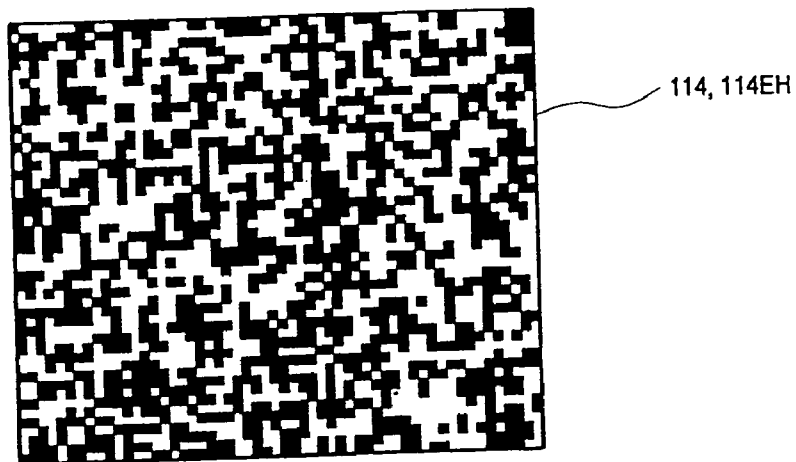


FIG. 10A

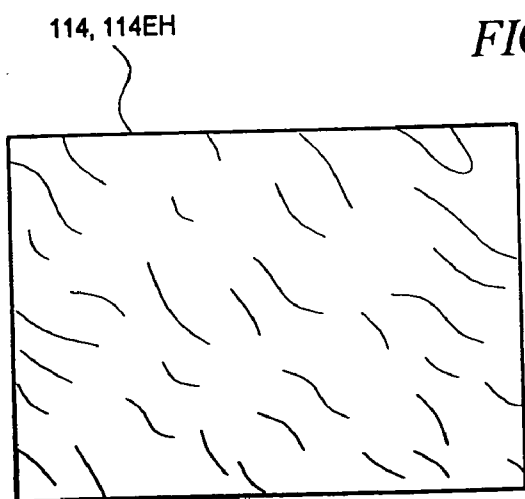


FIG. 10B

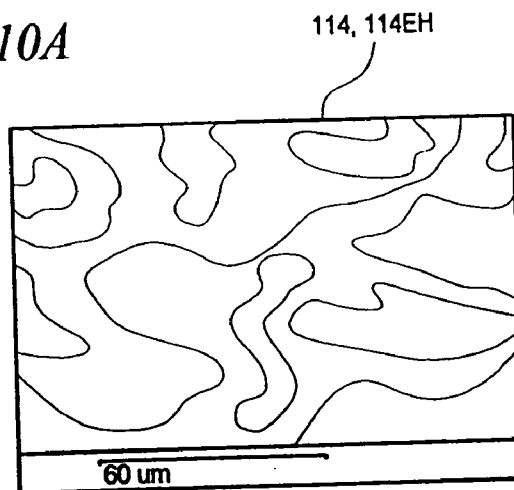


FIG. 10C

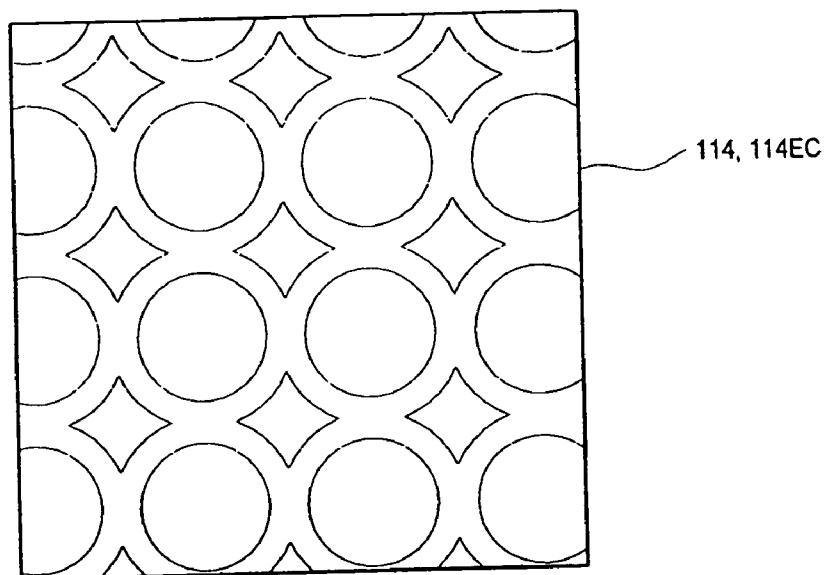
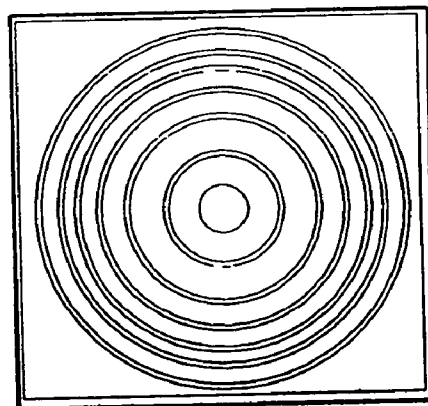
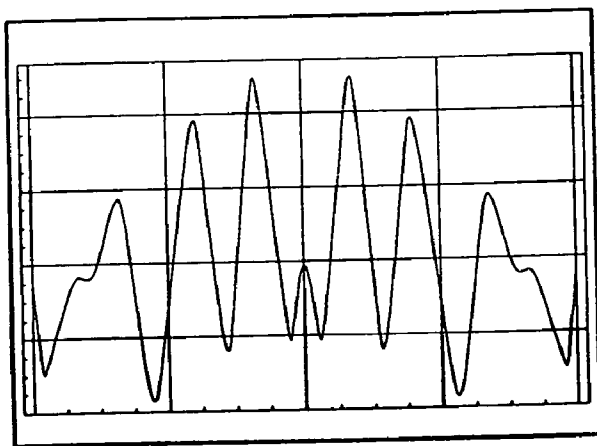
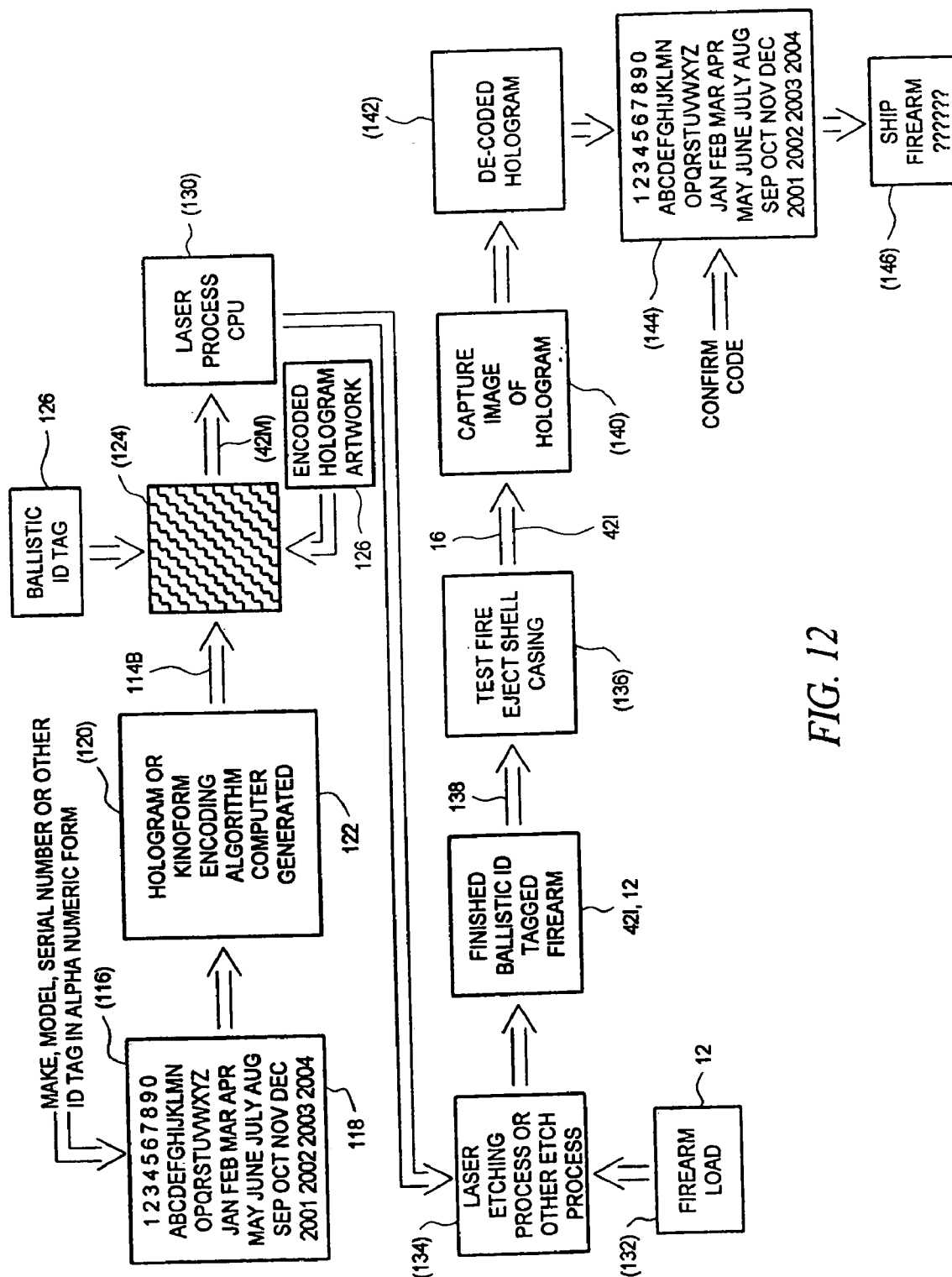


FIG. 11A





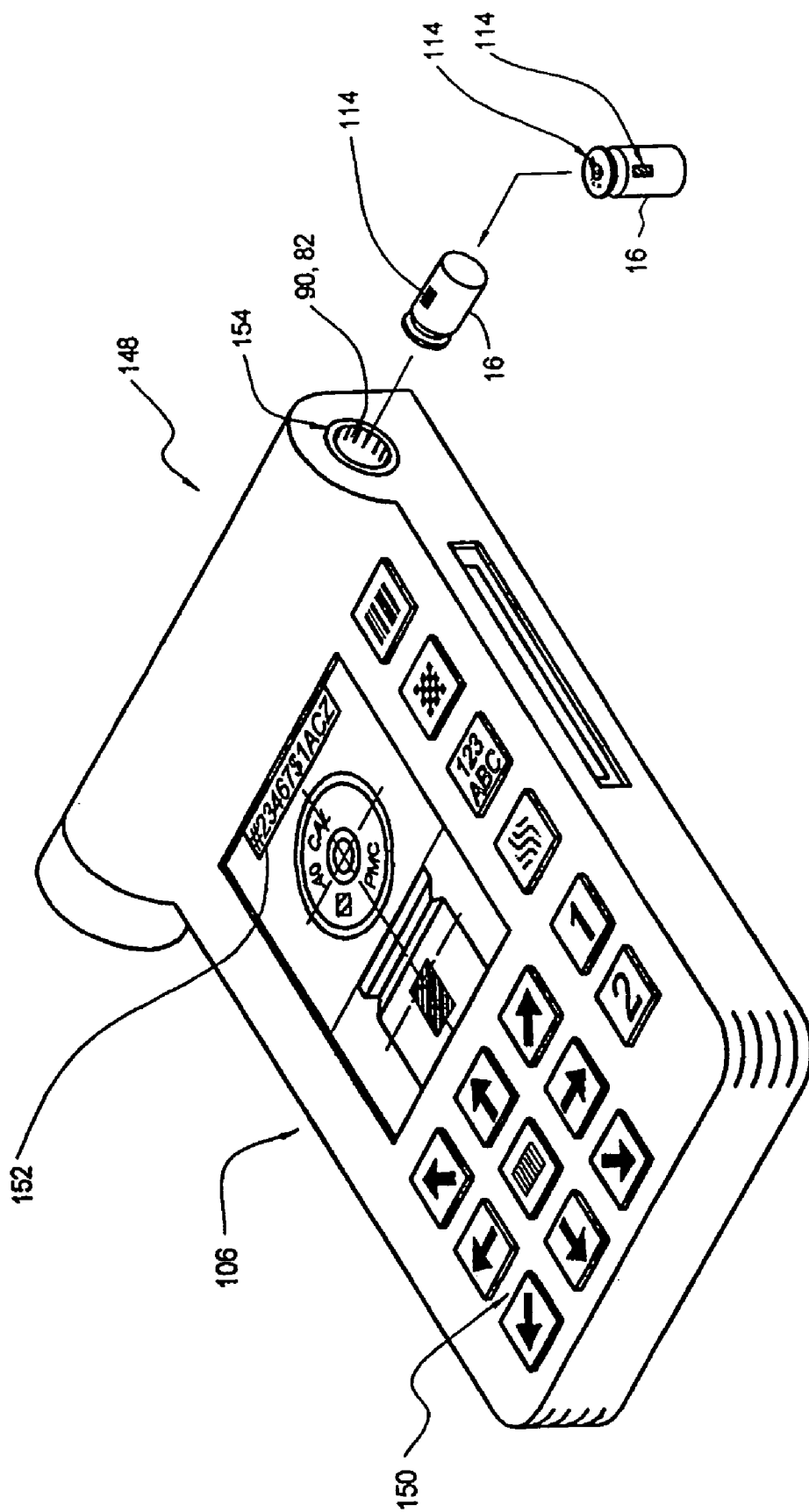


FIG. 13A

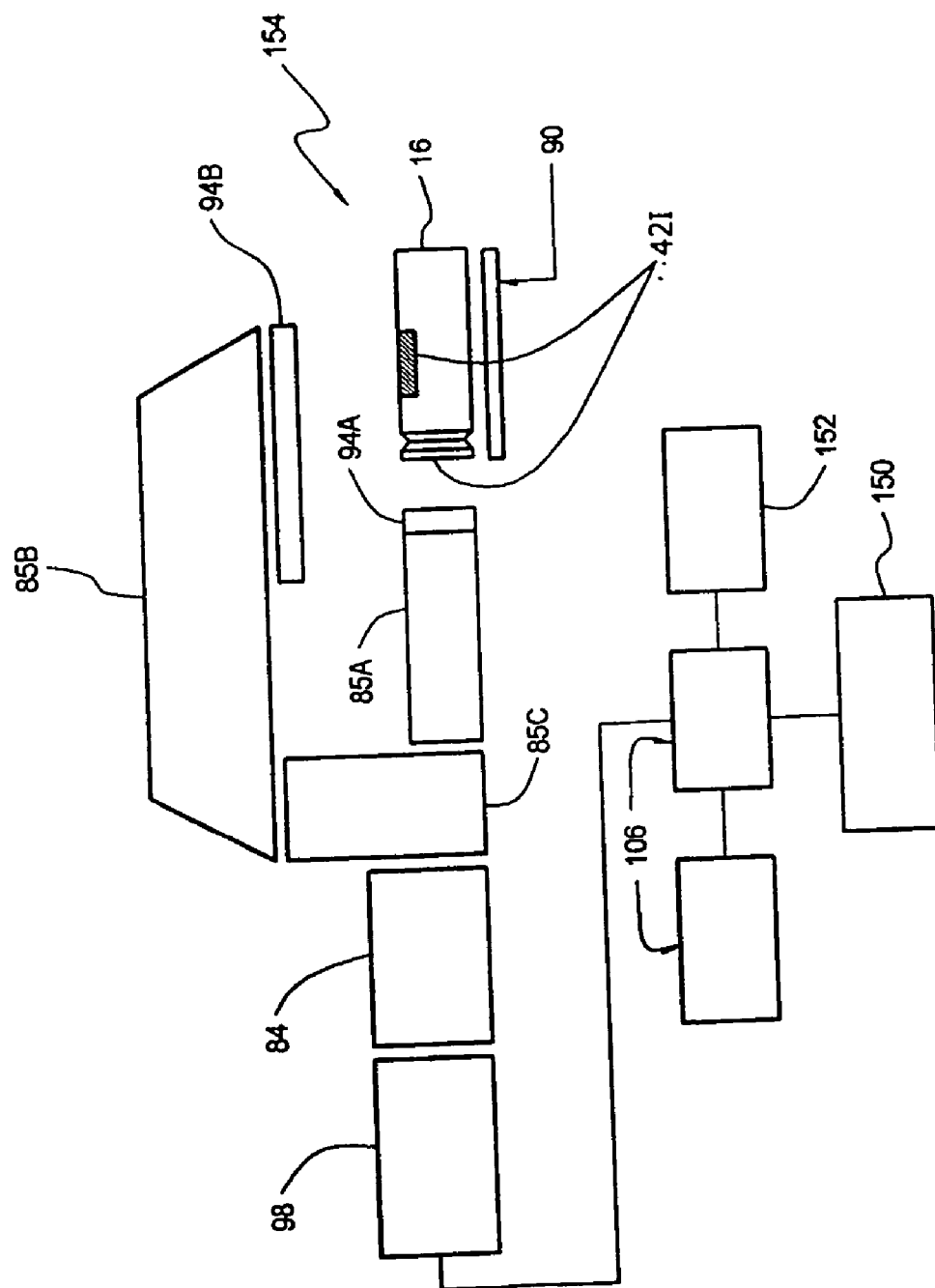


FIG. 13B

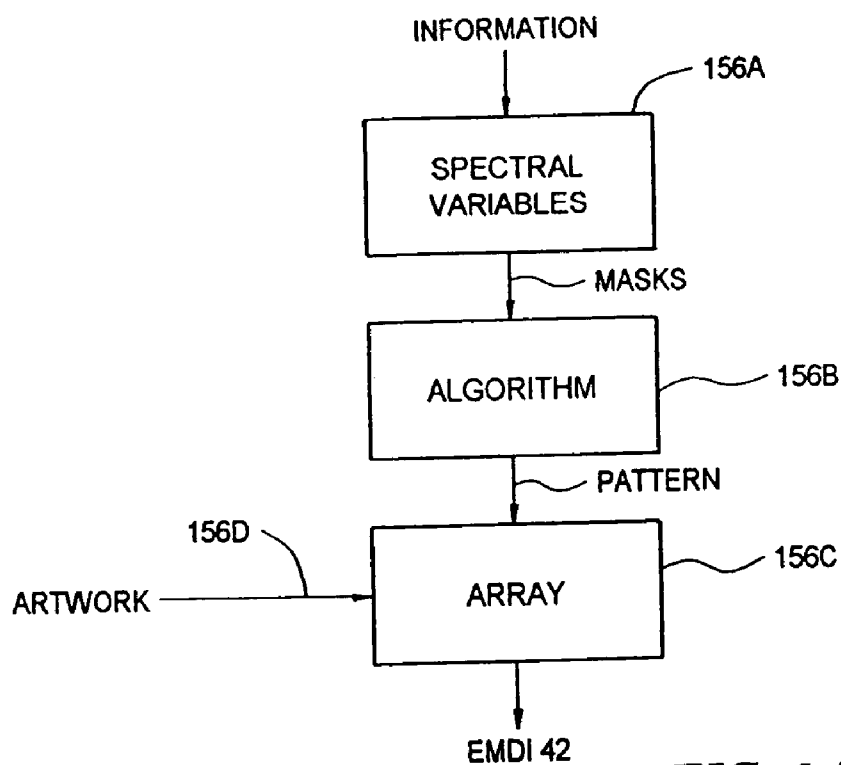


FIG. 14A

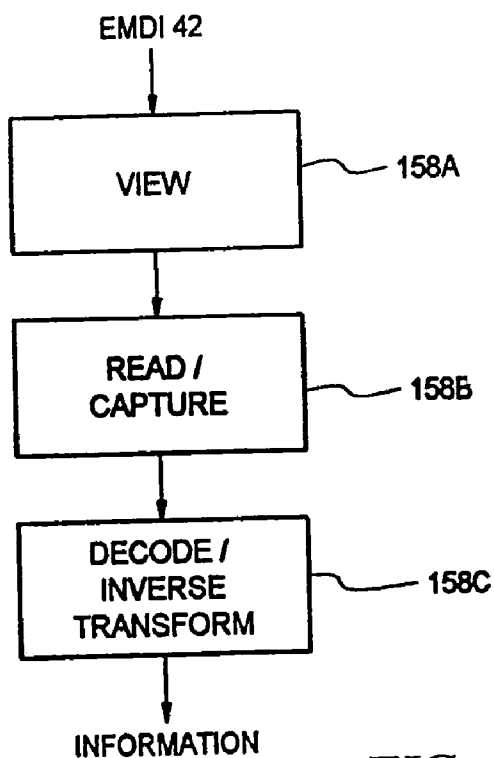


FIG. 14B

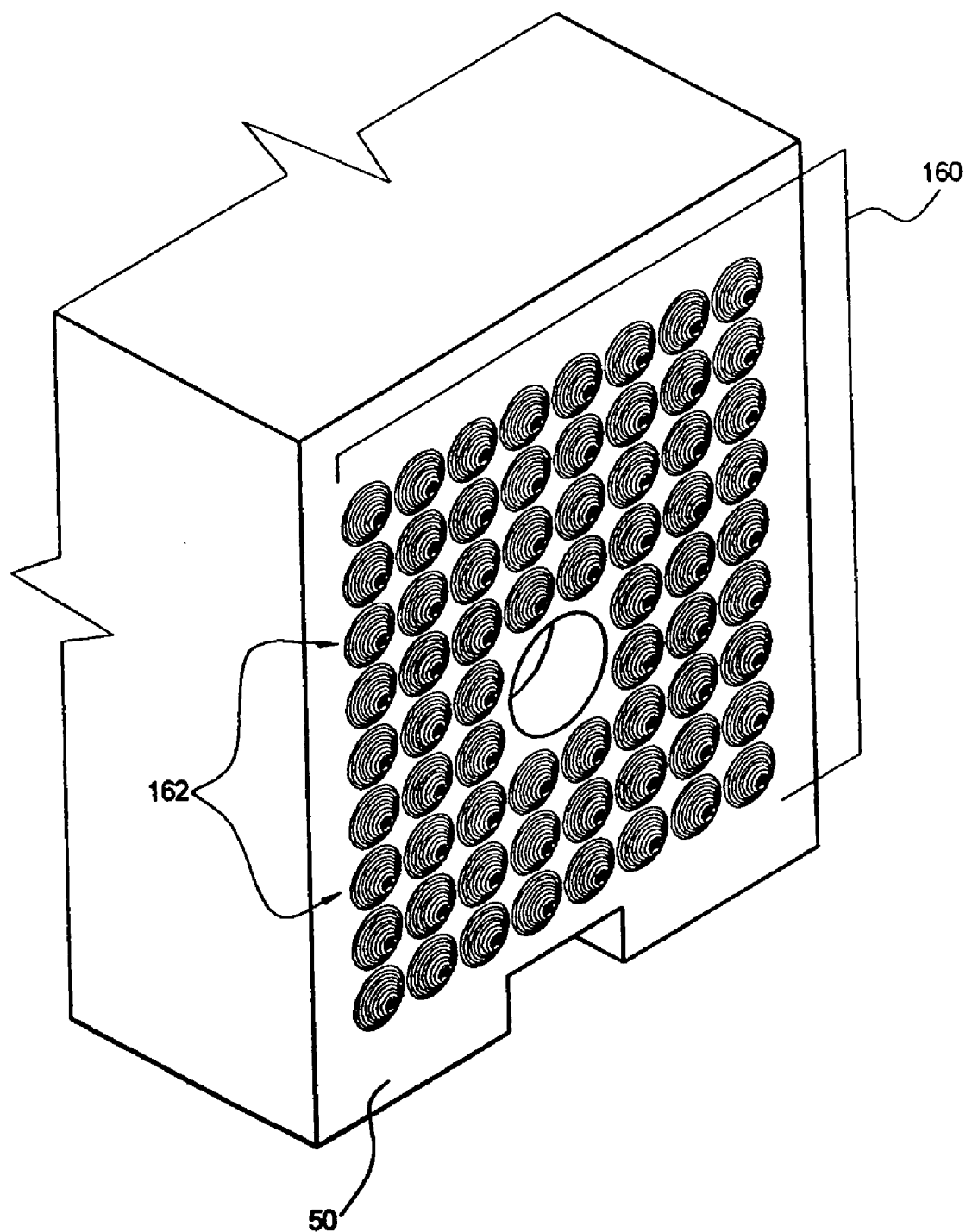


FIG. 15A

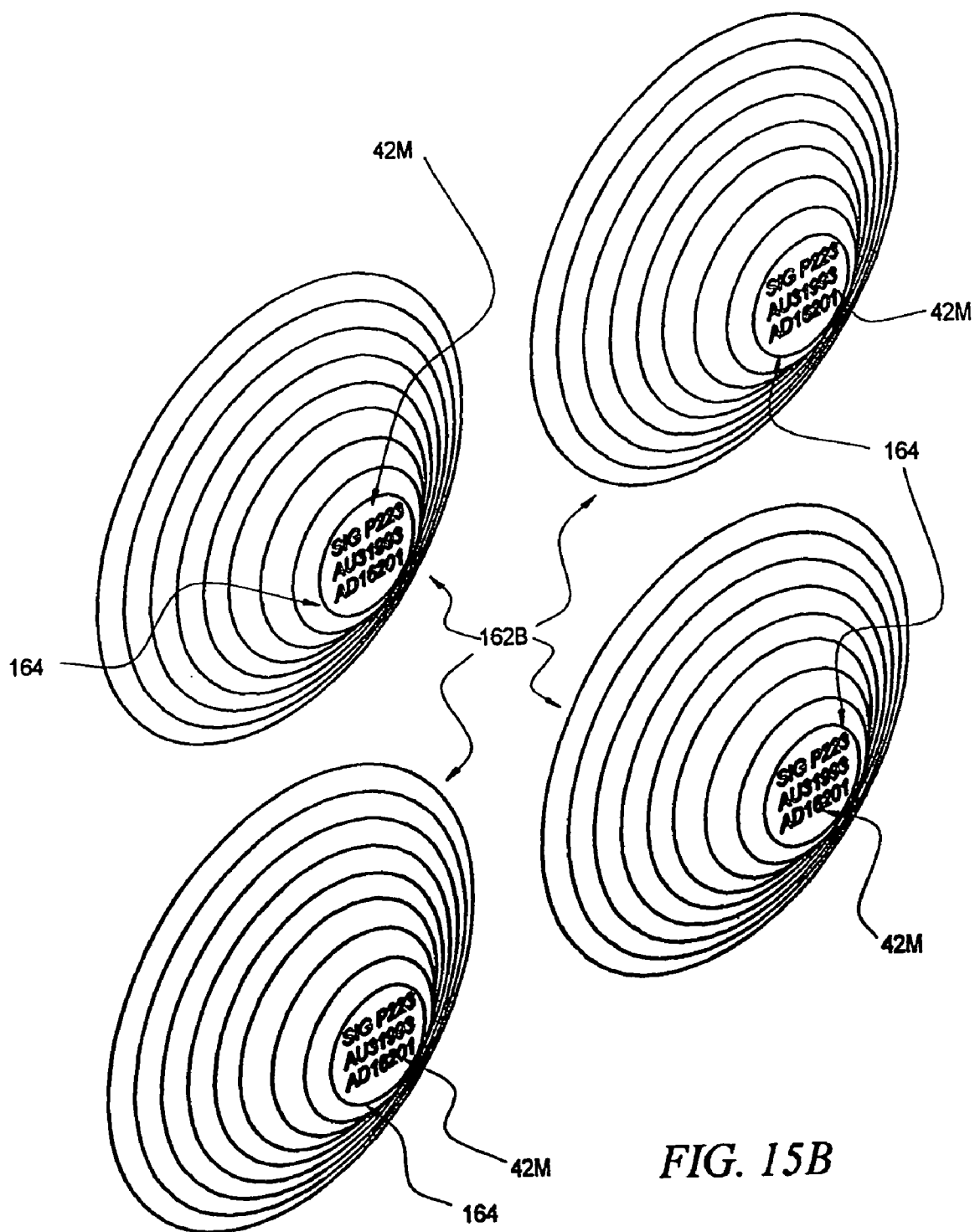


FIG. 15B

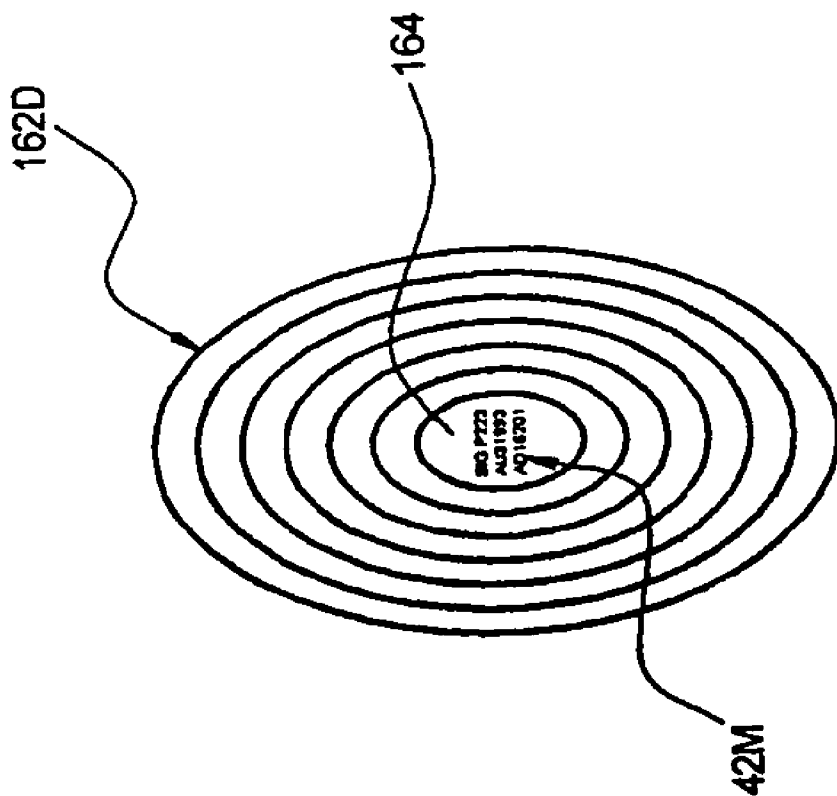
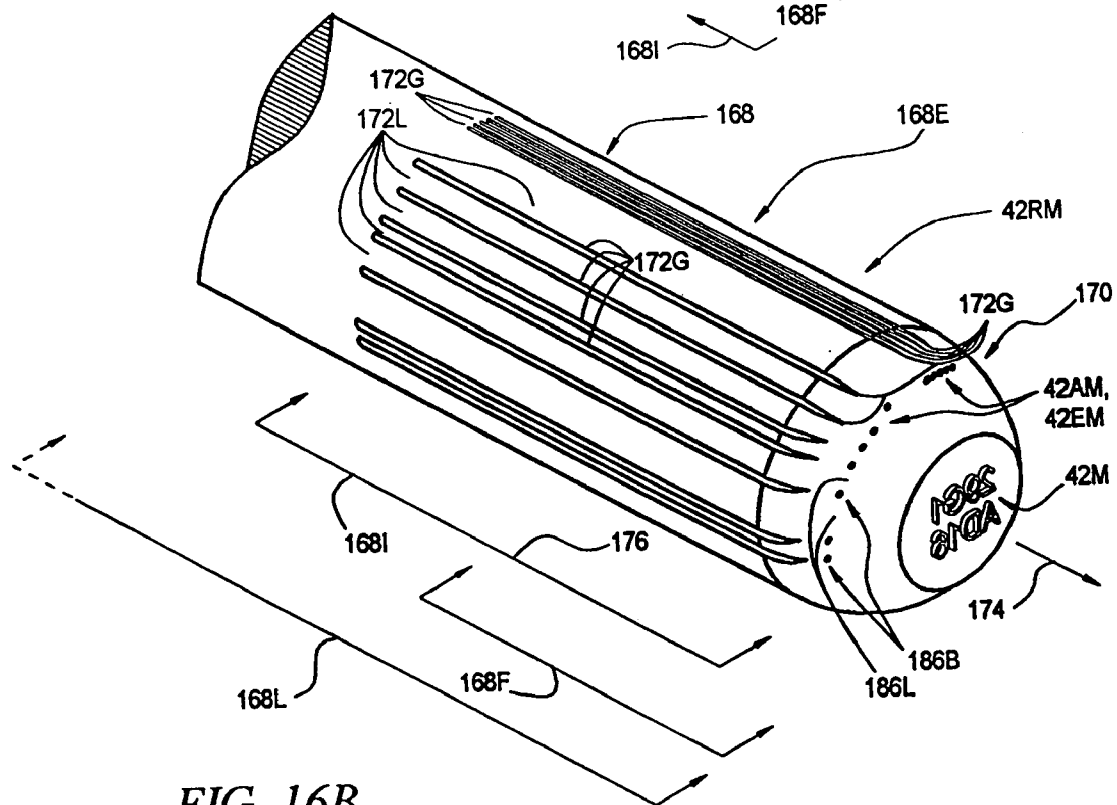
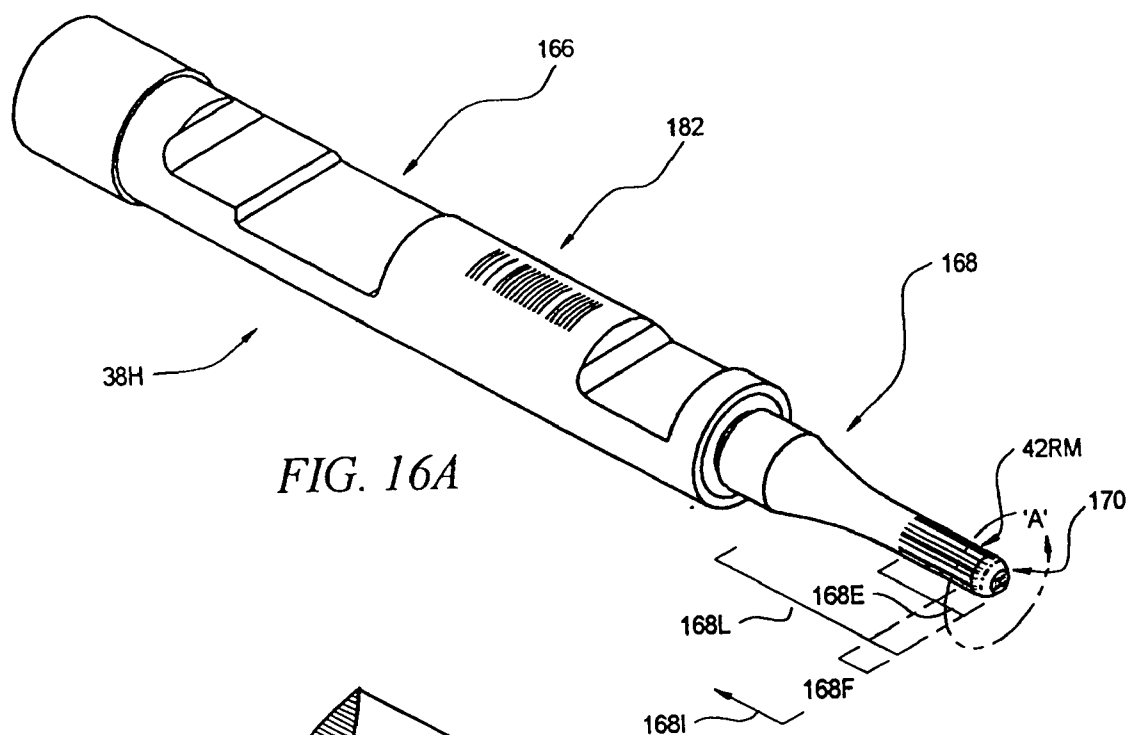


FIG. 15C



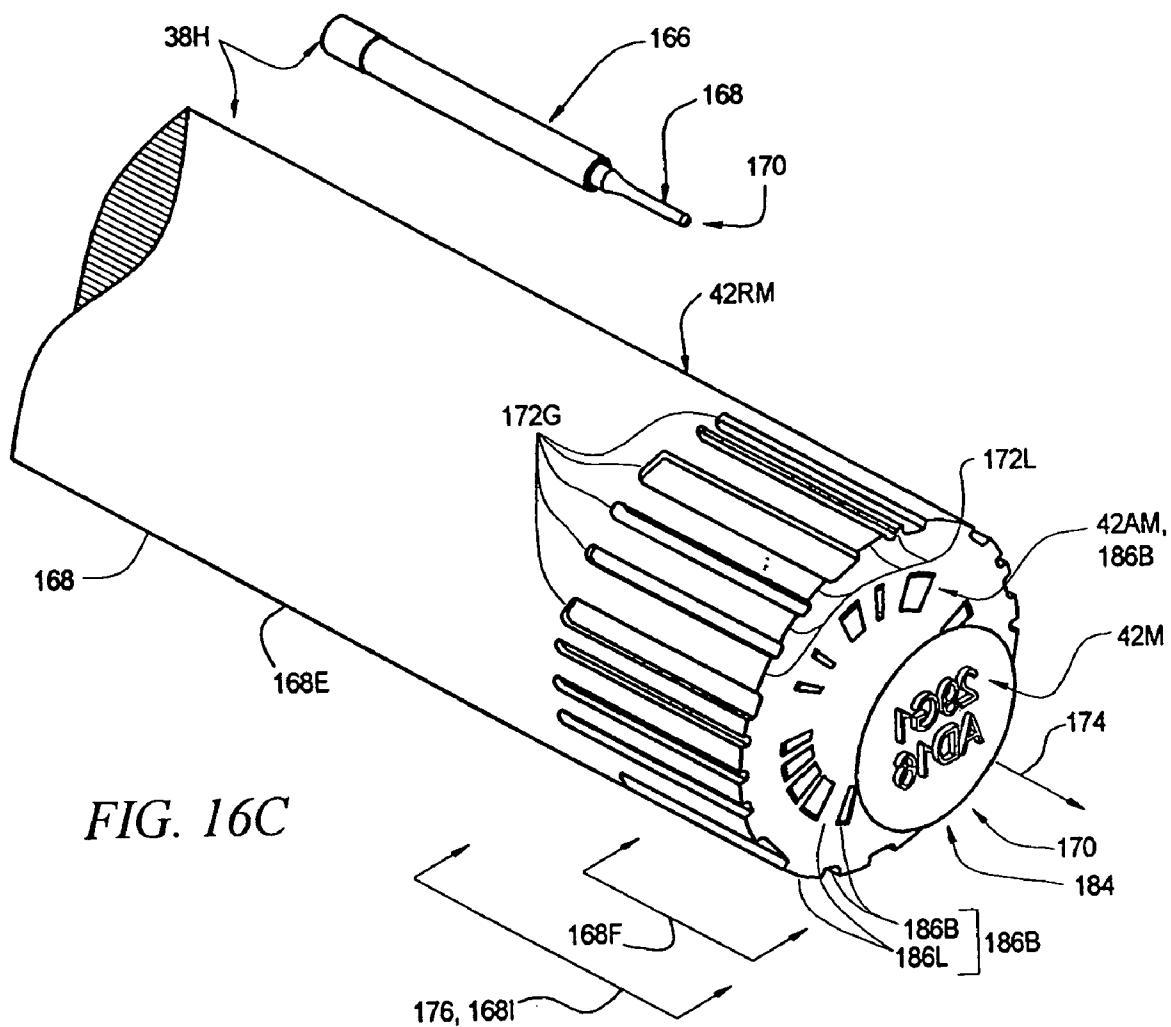


FIG. 16C

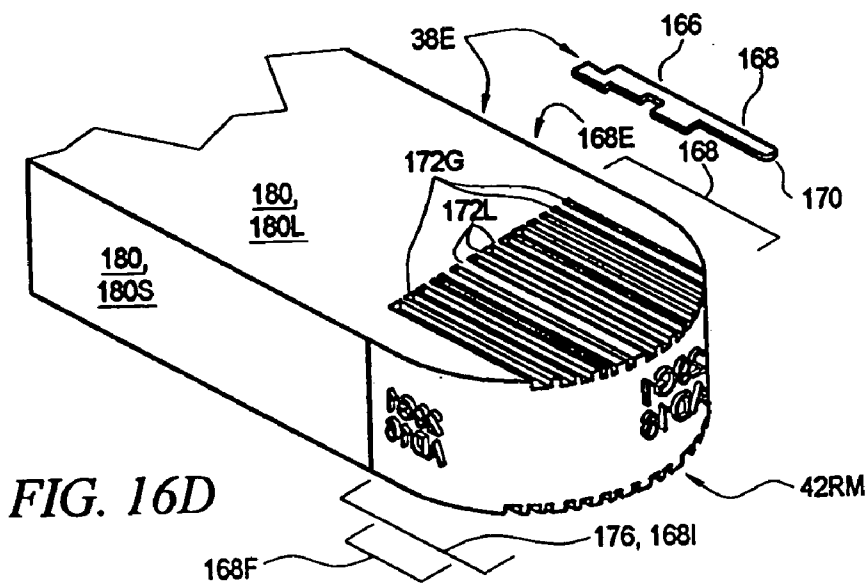


FIG. 16D

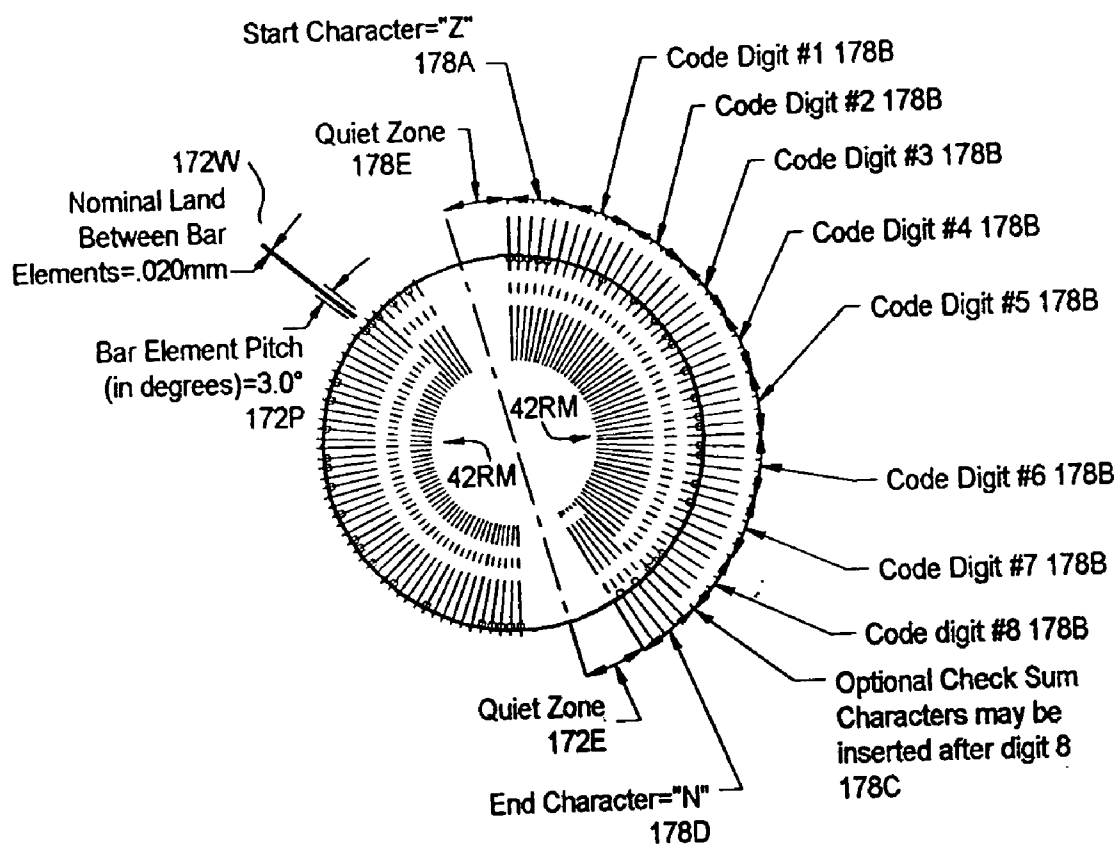


FIG. 17A

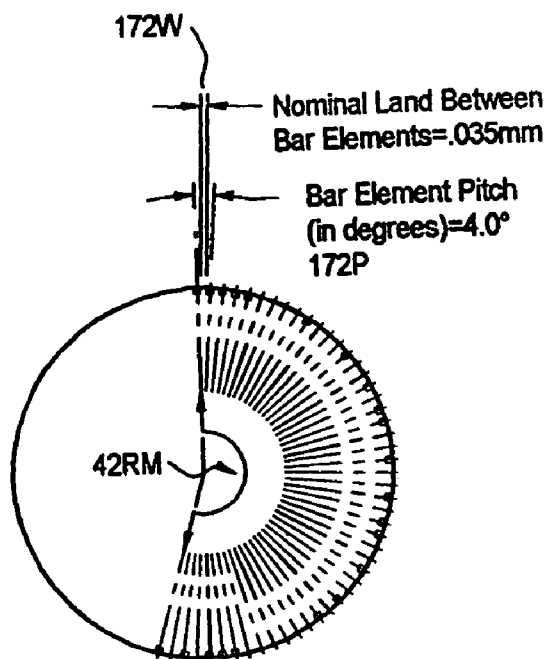


FIG. 17B

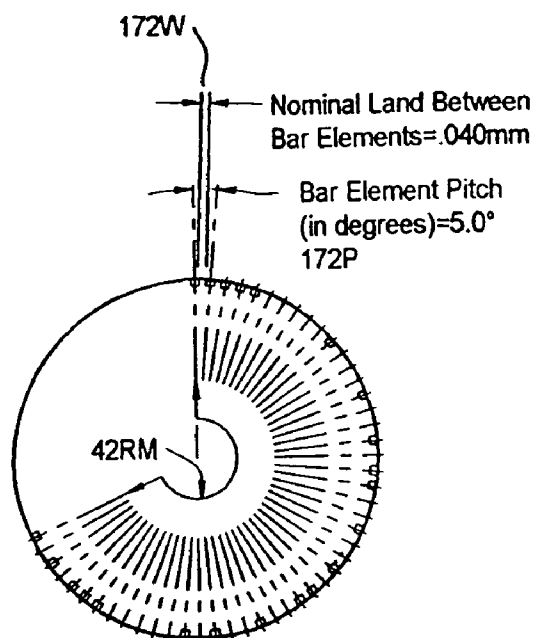


FIG. 17C

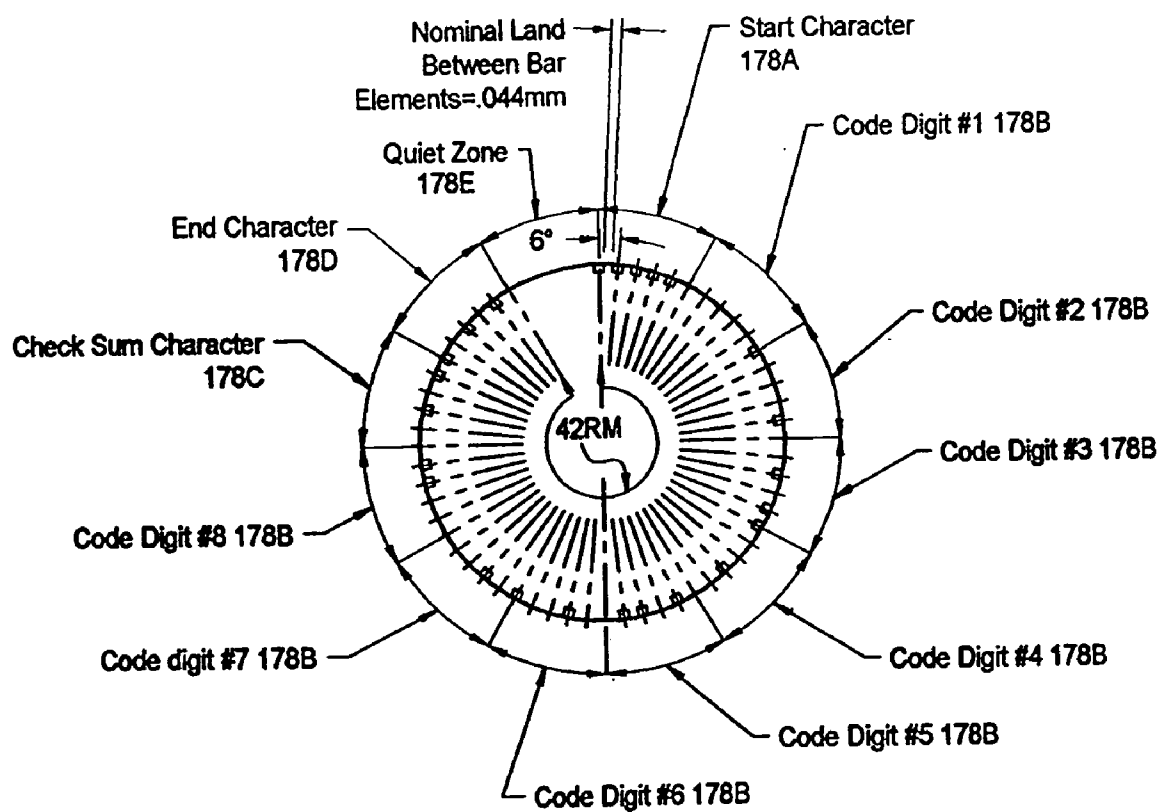


FIG. 17D

Fig. 17E

Radial Bar Code Table

Value	Code	Binary	Deg1	Deg2	Deg3	Deg4	Deg5	Concatenate
0	0	0	0	0	0	0	0	0000
1	1	1	0	0	0	0	1	0001
2	2	10	0	0	0	1	0	0010
3	3	11	0	0	0	1	1	0011
4	4	100	0	0	1	0	0	0100
5	5	101	0	0	1	0	1	0101
6	6	110	0	0	1	1	0	0110
7	7	111	0	0	1	1	1	0111
8	8	1000	0	1	0	0	0	1000
9	9	1001	0	1	0	0	1	1001
A	10	1010	0	1	0	1	0	1010
B	11	1011	0	1	0	1	1	1011
C	12	1100	0	1	1	0	0	1100
D	13	1101	0	1	1	0	1	1101
E	14	1110	0	1	1	1	0	1110
F	15	1111	0	1	1	1	1	1111
G	16	10000	1	0	0	0	0	10000
H	17	10001	1	0	0	0	1	10001
I	18	10010	1	0	0	1	0	10010
J	19	10011	1	0	0	1	1	10011
K	20	10100	1	0	1	0	0	10100
L	21	10101	1	0	1	0	1	10101
M	22	10110	1	0	1	1	0	10110
N	23	10111	1	0	1	1	1	10111
O	24	11000	1	1	0	0	0	11000
P	25	11001	1	1	0	0	1	11001
Q	26	11010	1	1	0	1	0	11010
R	27	11011	1	1	0	1	1	11011
S	28	11100	1	1	1	0	0	11100
T	29	11101	1	1	1	0	1	11101
U	30	11110	1	1	1	1	0	11110
V	31	11111	1	1	1	1	1	11111

Modulo 11 CheckSum

Start Code	Digit 1	Digit 2	Digit 3	Digit 4	Digit 5	Digit 6	Digit 7	Digit 8	CheckSum	End Code
Z	0	H	6	K	B	4	M	3	5	N
31	0	17	5	18	11	4	20	3	5	21
11111	00000	10001	00101	10010	01011	00100	10100	00011	00101	10101
n/a	3	2	7	6	5	4	3	2	n/a	n/a
n/a	0	24	35	108	53	18	80	8	n/a	n/a
n/a	0	34	69	177	282	248	303	314	n/a	n/a
Divide by 11										28,546,555
Extract Remainder										5
Modulo 11 CheckSum										5

Fig. 17F

55 Total Pitches

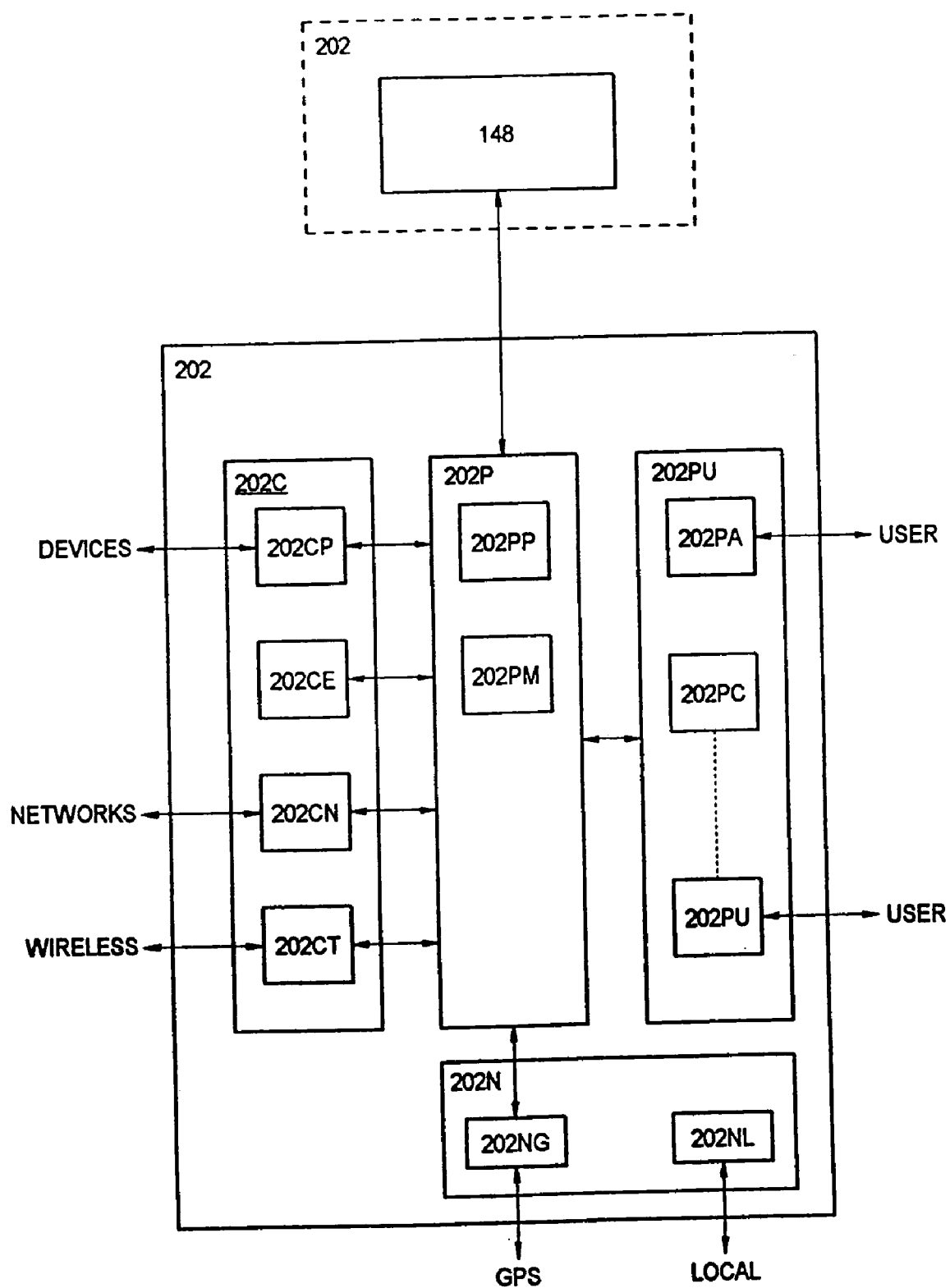


FIG. 18

METHOD AND APPARATUS FOR READING FIREARM MICROSTAMPING

[0001] This application is a continuation in part of and claims benefit of U.S. patent application Ser. No. 10/427,513 filed May 1, 2003, which in turn claims benefit of U.S. patent application Ser. No. 10/372,459 FILED Feb. 21, 2003, which in turn claims benefit of U.S. patent application Ser. No. 10/232,766 filed Aug. 29, 2002, which in turn claims benefit of provisional Patent Application Ser. No. 60/315,851 filed Aug. 29, 2001, which is a continuation-in-part of and claims benefit of patent application Ser. No. 10/183,806 filed Jun. 26, 2002, which is a continuation-in-part of and claimed benefit of patent application Ser. No. 09/540,366 filed Mar. 31, 2000, now U.S. Pat. No. 6,420,675 B1, which is a continuation-in-part of and claimed benefit of patent application Ser. No. 09/514,084 filed Feb. 28, 2000, now U.S. Pat. No. 6,310,701 B1, which claimed benefit of provisional Ser. No. 60/158,478 filed Oct. 8, 1999.

FIELD OF THE INVENTION

[0002] The present invention relates to the identification of expended firearms cartridges and, in particular, to improved indicia for identifying a firearm that is the source of an expended cartridge and an improved apparatus for reading identifying indicia marked on a fired cartridge.

BACKGROUND OF THE INVENTION

[0003] Mechanical forensics and ballistics investigations are undertaken in crime investigations, accident reconstructions or other situations in which one or more weapons have been discharged and it is frequently essential to reliably establish an identification of a firearm that fired a given cartridge.

[0004] It is well known that bullets and cartridge cases that have been fired from a firearm will bear markings from contact between the bullets or cartridge cases and the surfaces of the firearm with which the bullets and cartridges come into contact. For example, the rifling of the barrel will emboss rifling and other marks on a bullet, and the firing pin, extractor, interior of the breach and face of the bolt will leave markings on the cartridge case. Certain such markings are general to a given type, manufacturer or model of firearm, and may this aid in identifying a firearm, while others are unique to each firearm and may thereby be used to identify a given firearm.

[0005] Firearms experts have frequently been able to compare the markings on cartridge cases and bullets, which are traditionally referred to as "scratches and dings" or "ballistic finger prints", with comparable markings made by a suspect firearm on a test bullet or cartridge casing, and have frequently been able to determine whether a specific firearm fired a given bullet or cartridge casing. In addition, there exist, for example, databases of "ballistic finger prints" or "scratch and ding" images of bullets and cartridges recovered from crime scenes, which may be subsequently used to match a firearm to a given crime scene by matching samples of fired cartridges and bullets with the archived "ballistic finger prints" or "scratch and ding" images.

[0006] Ballistic finger prints and scratch and ding markings, however, while traditionally the most useful and most used for identifying a given, specific firearm, are, however,

pseudo-repeatable and largely random and non-specific in nature. That is, a cartridge case may be damaged in any of a number of ways before it is recovered for examination, and a bullet is often severely fragmented or deformed when it strikes an object, thereby obscuring the ballistic finger print or scratch and ding evidence on the bullet or cartridge case. In addition, the identification of a spent cartridge case or fired bullet to a specific firearm requires access to the firearm itself, either for direct examination or to fire test bullets and cartridge cases for comparison with the cartridge cases or bullets held in evidence. The firearm itself is also subject to influences between the time of firing a cartridge and bullet and the comparison of the markings on the cartridge or bullet to later fired test cartridges and bullets that may alter the markings made by it on cartridges and bullets. For example, the surfaces of a firearm that impose markings on a bullet or cartridge are subject to wear, corrosion, abrasion and intentional alterations, such as grinding, etching or filing of surfaces and the replacement of original parts with different parts.

[0007] In addition, investigators often have limited evidence to work with in order to determine the facts related to the situation at hand, such as when the suspect firearm is unavailable, missing, unrecoverable, damaged or intentionally altered or in instances in which numerous weapons were discharged. For example, it is very common for the perpetrator of a shooting to take a firearm away with him after committing a crime, and often the only evidence left behind is the discharged bullets themselves, if they can be found and are in adequate condition for examination, and spent cartridge cases, if the cartridge cases are available and in condition for examination. Therefore, while scratches, marks and/or other indicia on a spent bullet or cartridge case can assist an investigator with connecting the spent cartridge or bullet with a given firearm, the identification usually requires possession of the firearm itself, for comparison purposes, is often difficult even when the firearm is available.

[0008] Currently, such forensic investigations are expensive and time consuming and require personal training and sophisticated equipment that not every law enforcement department has or can afford.

[0009] A concept referred to as "Ballistic Tagging", however, may be used to mark cartridges or bullets or both with specially encoded geometric shapes, holograms, alphanumeric codes, barcodes and other specific coding techniques which are not random and are which are completely repeatable and which are unique to each firearm. Such methods would be more reliable and less expensive and time consuming than traditional methods, and would not require the costly apparatus, imperfect imaging algorithms, image acquisition technical problems, non-standardized procedures and cross jurisdictional procedures and data bases used to store and share "ballistic finger prints" or "scratch and ding" images.

[0010] There are currently available a variety of systems for forming or micro-engraving images, shapes or symbols in or on an surface of a component of a firearm that contacts a bullet or cartridge case in such a manner as to permit the imposition of an identifying indicia on a bullet or cartridge case. Examples include such firearm surfaces as the face of a firing pin, the interior of the chamber or barrel of a firearm,

or a surface of an extractor or loading mechanism. Any firearm surface coming into contact with a cartridge case with sufficient force or pressure, for example, can result in an image, shape of symbol being embossed or otherwise marked on a surface of the cartridge by the normal operation of the firearm, such as the loading, firing or ejection of the cartridge. Such images, shapes or symbols, hereafter referred to generally as "images" or "indicia", may take many forms, including abstract symbols or brands, letters or numbers, and so on, and are typically formed of raised or indented areas of a surface, such as holes, vias, blind vias or some other form of surface indentation, raised areas formed by etching or machining away of surrounding surfaces, or any combination thereof.

[0011] As a result, fired bullets or cartridge cases or both may be left with markings uniquely identifying the firearm from which they were fired as a result of forced contact between the bullets or cartridge cases and metal parts in the firearm bearing such identifying images. Such parts of a firearm may include, for example, an interior face of the chamber, bolt or barrel or an engraved "marker" embedded in or mounted on such a surface, and may be unique to given firearm by the engraving of an image unique to the firearm during manufacture or as a result of a subsequent refitting or retro-fitting.

[0012] The advantages of such marking of bullets and cartridges can be realized, however, only if there exist suitable identifying indicia and methods, suitable apparatus for simply, inexpensively and reliably imprinting and reading the markings, and suitable apparatus for correlating the markings on a bullet or cartridge with a given firearm.

[0013] A number of problems in identifying cartridge cases, bullets and firearms still remain unresolved, however, even given means and methods for marking cartridge cases with identifying indicia and means for reading such indicia, such as evasion of the marking system and obtaining the maximum useful information from the marking system. Evasion of the system is, for example, a particular problem if the marking indicia is located in or on an accessible or removable and replaceable part of a firearm. In such instances, an individual or group wishing to evade the indicia marking mechanism may either attempt to eradicate, mutilate or otherwise obscure the indicia marker, or may replace the part bearing the marking indicia marker with a part having a different marking indicia or with a part not having an marking indicia. In the case of firing pins, for example, the marking indicia may be engraved or etched into the striking end or face of the firing pin that strikes the cartridge primer, and the marking system may be evaded by replacing the firing pin with a firing pin not having a marking indicia, or by filing or grinding off a portion of the end of the firing pin, thereby removing or obliterating the marking indicia. In other instances, such as when the marking indicia is located on the face of the bolt or on an inner wall of the chamber, the marking indicia may be removed or obliterated by-grinding or etching that portion of the chamber wall.

[0014] The question of obtaining the maximum useful information from the system addresses yet other issues. For example, the location at which a fired cartridge case is found at a shooting scene is frequently critical to an investigation, particularly in instances involving multiple firearms or mul-

tle shots from a given firearm. The traditional procedures, however, require an investigation team to attach an identification to the case, for example, by placing the case in an evidence bag, and to determine the location of the case, usually by photographs or by physical measurement from a selected point, and to record the identification of the case and the location at which it was-found. The case is then sent to a laboratory to identify and record any identifying "ballistic finger prints" or "scratch and ding" marks, which may then be matched up with a firearm, if available, by identifying the "ballistic fingerprints" of the firearm. It will be appreciated, therefore, that the present methods, with multiple, separate pieces of evidence and information and multiple handling and recording of the evidence by many persons over an extended period of time, often months or years, provides rich opportunities for error and loss of evidence.

[0015] It is, therefore, an object of the present invention to simplify and therefore to improve the process of fired cartridge and bullet imaging and analysis, to eliminate the need for complex image algorithms, to reduce the chances of human error, and to eliminate at least some of the need for mapping "scratches and dings" and "ballistic finger prints" of fired cartridges and bullets.

SUMMARY OF THE INVENTION

[0016] The present invention is directed to an indicia for marking on an object, such as a cartridge case, for representing selected information, such as identification indicia identifying the firearm that discharged the cartridge, and to methods and apparatus for generating, imprinting and reading the identification indicia.

[0017] An indicia of the present invention includes a multi-dimensional array of encoded marks, including encoded marks determined by spectral encoding variables representing the selected information wherein each spectral variable is spectrally distinguishable from others of the spectral variables representing variables, and an encoded pattern of the encoded marks determined by algorithmic transformation of the selected information.

[0018] The indicia may be an encoded hologram multi-dimensional barcode, an encoded hologram or an encoded concentric circular barcode. A spectral encoding variable may be a wavelength of radiation used in encoding a hologram or a working distance of a hologram and each encoding spectral variable has a unique effect in determining the encoded pattern of marks, and the selected information may be encoded by one of a binary phase Fourier, DOE, CGH, Lohmann, Lee, Fourier, Fraunhofer, Fresnel and kino-form type of hologram encoding algorithm and an algorithm related artwork may be conjoined with the encoded pattern. An encoded concentric circular barcode comprises an array of concentric ring patterns wherein each ring pattern is a circular based intensity encoding of a corresponding information item.

[0019] An indicia of the present invention may be formed on a surface of an object by deposition of a material on the surface, imprinted in a marked surface of an object by physical impact of a marking indicia that is an inverse image of the indicia or formed on a surface of an object by removal of selected areas of surface material representing an image of the indicia. An indicia may be comprised of a plurality of

spectrally distinguishable layers superimposed on a surface of an object wherein a layer of the indicia is formed in a surface material of the object by one of removal of selected areas of the surface material and by physical impact of a marking indicia that is an inverse image of the indicia.

[0020] A marking apparatus may be comprised of an array of marking elements distributed on a surface contacting a surface of the object wherein each marking element has a central striking face bearing a marking indicia, so that a representation of at least one marking indicia is imprinted on the surface of the object as an identification indicia when the surface bearing the array of marking elements contacts the surface of the object. Each marking element may be a marking boss wherein each marking boss is a convex protrusion from the surface bearing the array of marking elements and includes a centrally located striking surface bearing a marking indicia. In other embodiments, each marking element may be a marking dimple wherein each marking dimple is a concave depression in the surface bearing the array of marking elements and each marking dimple includes a centrally located striking surface bearing a marking indicia. Also, the object to be marked may be a cartridge case and the surface bearing the array of marking elements is a surface of a firearm contacting a surface of the cartridge case.

[0021] The object upon which an indicia may be formed may be a cartridge case and the marking indicia may be located on a marking surface of a firearm, wherein the marking indicia may be formed in the marking surface or in an impact face of a marking insert embedded in the marking surface.

[0022] An encoded multi-dimensional indicia marked on an object may be read by viewing the encoded multi-dimensional indicia according to at least one spectral encoding variable, wherein each spectral encoding variable corresponds to a spectral encoding variable employed in creating the encoded multi-dimensional indicia, reading the encoded pattern representing a multi-dimensional array of encoded marks represented the selected information, and decoding the encoded pattern of encoded marks with an inverse algorithmic transform of an algorithmic transformation employed in generating the encoded pattern from the selected information. At least one spectral encoding variable may be a selected spectral illumination, and the viewing the encoded multi-dimensional indicia according to a spectral encoding variable may include viewing the indicia with a corresponding filter.

[0023] A self-contained imaging and image capture apparatus for reading an encoded multi-dimensional identification indicia marked on a cartridge case includes a specimen port having therein a mounting device for receiving and holding a cartridge case and a viewing mechanism including an imaging mechanism having a viewing axis substantially perpendicular to an indicia bearing surface of a cartridge for obtaining images of an encoded indicia thereon. The apparatus further includes a spectral illuminator for illuminating the indicia bearing surface of the cartridge case with at least one spectral encoding variable according to a corresponding encoding process, wherein each spectral encoding variable corresponds to a spectral encoding variable employed in creating the encoded indicia. An image capture mechanism includes a focusing mechanism for automatically adjusting

the focus of the image of an indicia on the indicia bearing surface of the cartridge and for capturing at least one spectrally illuminated image of an indicia on the indicia bearing surface of the cartridge case and a captured image includes an encoded pattern representing a multi-dimensional array of encoded marks represented the selected information. The apparatus also includes an image decoding mechanism for decoding the encoded pattern of encoded marks with an inverse algorithmic transform of an algorithmic transformation employed in generating the encoded pattern from the selected information.

BRIEF DESCRIPTION OF THE DRAWINGS

[0024] The invention will now be described, by way of example, with reference to the accompanying drawings in which:

[0025] FIG. 1 is a diagrammatic representation of a round of ammunition;

[0026] FIG. 2 is a diagrammatic representation of a firearm;

[0027] FIGS. 3 and 4 are diagrammatic representations of laser systems for creating indicia;

[0028] FIGS. 5 and 6 are illustrations of indicia on a cartridge case;

[0029] FIGS. 7, 8 and 9 are diagrammatic representations of an indicia imaging device and an indicia imaging and recognition system;

[0030] FIGS. 10A, 10B and 10C are representations of encoded hologram indicia;

[0031] FIGS. 11A, 11B and 11C are representations of aspects of an encoded concentric circular barcode;

[0032] FIG. 12 is a diagram of the marking of a firearm with an multi-dimensional encoded indicia;

[0033] FIG. 13A and 13B are diagrammatic representations, of a self-contained reader for reading a multi-dimensional encoded indicia from a cartridge case;

[0034] FIGS. 14A and 14B are diagrams of the creation and reading of a multi-dimensional encoded indicia; and,

[0035] FIGS. 15A, 15B and 15C are diagrammatic representations of marking arrays on a firearm surface for increasing the probability of imprinting of an identification indicia;

[0036] FIGS. 16A, 16B, 16C and 16D are diagrammatic representations of evasion resistant marking indicia as used on a firing pin;

[0037] FIGS. 17A, 17B, 17C, 17D, 17E and 17F are representations of coding for evasion resistant marking indicia; and,

[0038] FIG. 18 is a block diagram of a firearm evidence support device incorporating an indicia reader.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0039] A. Introduction

[0040] The following will first discuss the elements and operation of a typical firearm, cartridge and bullet, by way

of a general introduction to parts and operations of a firearm in imposing identifying indicia on bullets or cartridge cases and to establish common definitions and points of reference. The following will then provide an introduction to the methods and apparatus for embossing or imprinting identifying indicia by a firearm on a cartridge case or bullet, following by a discussion and description of a laser system for generating or providing, on a part of a firearm, the "micro-engraving" or "micro-stamping" tool or image necessary to emboss or stamp an identifying indicia on a cartridge case or bullet.

[0041] Given foundation descriptions of the technology involved in and related to the present invention, the following will then describe the present invention and presently preferred embodiments of the invention, including presently preferred forms of identifying indicia and a method and apparatus for reading and identifying such indicia.

[0042] B. General Descriptions of Firearms and Cartridges and the Imprinting of Indicia by the Mechanisms of a Firearm

[0043] As discussed above and as will be described in the following, the present invention is directed to a method and apparatus for forming surface markings forming identifying indicia on an interior surface of a firearm, such as a breech, a firing pin, a cartridge extractor or a loading mechanism, to preferred types of indicia, and to a method and apparatus for reading and identifying such indicia when stamped or otherwise marked on a cartridge case, for example, by operation of the firearm. In particular, the present invention may be employed to form, read and identify a desired unique bar code, matrix, an alpha numeric code, or any desired identifying indicia on a surface of a firearm or on the surface of a cartridge case or bullet fired from the firearm and, in particular, a hologram indicia as described in the following.

[0044] First considering the general structures, mechanisms and operations of cartridges, bullets and firearms that facilitate the embossing or imprinting of an identifying indicia onto a cartridge case of bullet, the definitions established in the following discussions will be used throughout the following descriptions. Accordingly, and as illustrated in FIG. 1, a Round 10 of ammunition includes a Bullet 14 mounted in the end of a Cartridge Case 16 containing a Propellant Charge 18 and having a Primer 20 in the Base 22 of the Cartridge Case 16. As is well known, a firearm firing pin strikes and ignites Primer 20, which in turn ignites Propellant Charge 18, and the combustion of Propellant Charge 18 generates hot gases at high pressures that propel the Bullet 14 out of the barrel of the firearm.

[0045] Referring to FIG. 2, a Firearm 12 generally includes a Barrel 24 having a Muzzle 26 from which the Bullet 14 is expelled and, at the opposite end, a Chamber 28 for receiving and holding a Round 10 before and during the firing of the Round 10. The Round 10 is secured in Chamber 28 for firing by a Bolt 30 that moves forwards and backwards in Breach 32 to load successive Rounds 10 into the Chamber 28 and to extract and eject fired Cartridge Cases 16 from the Chamber 28 and Breach 32. Bolt 30 will typically include an Extractor 34 mechanism that engages the Cartridge Case 16 to extract and eject the Cartridge Case 16 and a Loading Mechanism 36 will typically be associated with the Breach 32 to feed successive Rounds 10 into the Breach 32 and to Bolt 30 to be loaded into Chamber 28 by Bolt 30.

Bolt 30 will also include a Firing Pin 38, which is usually spring loaded and which is released at the appropriate point in the operations of Firearm 12 by Trigger Mechanism 40 to strike and ignite the Primer 20 of a Round 10 in Chamber 28. As described, the Primer 20 will in turn ignite Propellant Charge 18 to drive Bullet 14 down Barrel 24 and out Muzzle 26. Forces generated by the firing of Round 10, such as gas pressure in Barrel 24 or against the interior base of Cartridge Case 16 or recoil forces acting on Barrel 24, will cause the extraction and ejection of the spent Cartridge Case 16 and, possibly, the loading of a new Round 10 by Loading Mechanism 36.

[0046] It will be apparent from the above, and it is well known to those of ordinary skill in the relevant arts, that the operations of a Firearm 12 will result in pressures and forces on a Cartridge Case 16 or Bullet 14 by various components of the Firearm 12 to emboss or otherwise imprint identifying indicia on the Cartridge Case 16 or Bullet 14. For example, Firing Pin 38 will impact Primer 20 will sufficient force that an Marking Indicia 42M on Firing Pin Face 44 will imprint a corresponding inverse Identifying Indicia 42I on the Impact Face 20I of Primer 20. In addition, the pressures generated within a Cartridge Case 16 by the burning Propellant Charge 18 will cause Circumferential Wall 16W to expand against Inner Surface 46I of Chamber 28 with sufficient pressure that the corresponding inverse image of an Marking Indicia 42M on the Inner Surface 48 will be imprinted as an Identifying Indicia 42I on Circumferential Wall 16W. In a like manner, either or both of the force exerted by Bolt Face 50 on Base Face 52 of a Cartridge Case 16 in chambering a Round 10 and the pressure exerted by Base Face 52 on Bolt Face 50 by ignition of the Propellant Charge 18 will imprint a Marking Indicia 42M on Bolt Face 50 as an Identifying Indicia 42I on Base Face 52. It will also be recognized that Extractor 34 mechanisms in particular, and possibly Loading Mechanisms 36, may operate with sufficient force or pressures to imprint Identifying Indicia 42I on the surfaces of a Cartridge Case 16 with which they come into contact. It will also be noted, and is well known, that the interior surfaces of Barrel 24 will imprint various marks on the external surface of a Bullet 14.

[0047] While there are thereby a variety of surfaces in a Firearm 12 that may bear Marking Indicia 42M and imprint the corresponding inverse Identifying Indicia 42I, it will be understood that certain surfaces are preferable over others for these purposes. For example, the forces exerted by an Extractor 34 mechanism or a Loading Mechanism 36, and the areas of a Cartridge Case 16 that they operate upon, are generally insufficient for the desired Identifying Indicia 42I. In further example, and while a Firing Pin Face 44 is of sufficient dimensions and strikes with sufficient force to provide acceptable Identifying Indicia 42I, a Firing Pin 38 is readily removed and replaced, thereby breaking the correspondence between a Firearm 12 and the Identifying Indicia 42I.

[0048] According to the present invention, therefore, the preferred Firearm 12 surfaces for imprinting Identifying Indicia 42I on a Cartridge Case 16 include, for example, Inner Surface 46I of Chamber 28 and Bolt Face 50 of Bolt 30, as indicated in FIG. 2, but may include other surfaces. It will also be apparent that the material or structure comprising Marking Indicia 42M must have sufficient hardness and durability to physically stamp Marking Indicia 42M into

or onto large numbers of Cartridge Cases **16** and into or onto a range of Cartridge Case **16** materials, such as brass, steel, other metals and yet other materials.

[0049] For these reasons, one or more Marking Indicia **42M** may preferably be formed directly in or on the materials of Inner Surface **46I** of Chamber **28** or Bolt Face **50** as the materials of Chamber **28** and Bolt **30** normally possess the required hardness and durability. The Marking Indicia **42M** may thereby be formed in, for example, an Inner Surface **46I** of a Chamber **28**, in a Bolt Face **50** or in a Firing Pin Face **44**, and may assume any desired form, such as a code, a bar code, a character set, a symbol, a design or any other identifying mark, and may be formed by a recessed indicia etched into the surface, a raised indicia formed by etching away the surrounding surface, or a combination thereof.

[0050] In other embodiments, or in addition to Marking Indicia **42M** formed directly in the materials of Bolt **30** or Chamber **28**, for example, Marking Indicia **42M** may be implemented through Marking Inserts **54** which are attached to or preferably embedded in the material of, for example, Inner Surface **46I** of Chamber **28**, Bolt Face **50** or Firing Pin Face **44**. Marking Inserts **54** may be comprised of any material suitable for the purpose, such as stainless steel, hardened steel, titanium, composites, ceramics, and so on, and will bear the Marking Indicia **42M** on a Marking Face **54F** that comes into contact with, for example, the Cartridge Case **16** or Primer **20**. Again, the indicia may assume any desired form, such as a code, a bar code, a character set, a symbol, a design or any other identifying mark, and may be formed by a recessed indicia etched into the surface, a raised indicia formed by etching away the surrounding surface, or a combination thereof.

[0051] A Marking Insert **54** may be of any cross section shape suitable for mounting the Marking Insert **54** onto or into the selected Firearm **12** component or components, such as, the Inner Surface **46I** of a Chamber **28**, a Bolt Face **50** or a Firing Pin Face **44**. A Marking Insert **54** may, for example, be cylindrical, hexagonal, pentagonal, square, triangular, round, elliptical or frusto-conical in cross section and may be mounted onto or preferably into the selected Firearm **12** surface by, for example, mechanical bonding, welding, soldering, or an interference fit, or may be threaded into the Firearm **12** component. The Marking Face **54F** will generally be shaped to conform to the surface in which the Marking Insert **54** is embedded, such as a flat Bolt Face **50** or a cylindrical Inner Surface **46I** of a Chamber **38** or a domed Firing Pin Face **44**.

[0052] It will be recognized that a plurality of Marking Indicia **42M** may be implemented in a given Firearm **12** and may be formed upon or embedded in any Firearm **12** surface that is brought into contact with any element or part of a Cartridge Case **16**. In presently preferred embodiments, there are a plurality of Marking Indicia **42M** located on or embedded in a plurality of components or surfaces of a Firearm **12** to increase the probability that there will be at least one sufficiently clear Identification Indicia **42I** on any given fired Cartridge Case **16**. In addition, the locations of the Marking Indicia **42I** are preferably selected so that they cannot be readily removed by a simple replacement of a part, such as a firing pin, cannot be easily removed or mutilated by other means, and, preferably, cannot be readily located. Also, in the preferred embodiments of Identification Indicia

42I, the Identification Indicia **42I** should uniquely identify each Firearm **12**, and if possible each major component of a Firearm **12**, such as a Barrel **24**, Bolt **30** or Chamber **30**, by including such information as a unique identifying number or code, the type, model, manufacturer, and date of manufacture of the firearm or component, and so on.

[0053] Briefly considering the generation of Marking Indicia **42M** on a surface of, for example, a Marking Insert **54**, or a surface of, for example, an Inner Surface **46I** of a Chamber **28**, a Bolt Face **50** or a Firing Pin Face **44**, it will be recognized by those of ordinary skill in the relevant arts that such Marking Indicia **42M** are readily and preferably formed by laser micro-machining processes.

[0054] C. Exemplary Laser Imaging System For Micro-Machining Marking Indicia

[0055] An exemplary and typical laser micro-machining system suitable for generating Marking Indicia **42M** is a selected surface is illustrated in FIG. 3. As shown therein, an Image Imprinting System **56** for ablating high-density array of vias or indentations in a surface of an object to form Marking Indicia **42M** therein or thereon includes a Laser **58** for generating and outputting a Laser Beam **60**. Laser Beam **60** may be, for example, an ultraviolet, a visible, an infrared, a coherent radiation beam or some other type of light radiation beam and is directed along a Laser Axis **62** toward one or more Expansion Lenses **64**, which expand the diameter of the generated ultraviolet, visible, infrared or other light radiation Laser Beam **60** to a desired diameter.

[0056] The expanded Laser Beam **60** continues along Laser Axis **62** and is directed through Steering Mirrors **66**, which are controlled by a Computer **66C** to control the direction and location of the beam with respect to Machining Surface **68** of a Workpiece **70**. Laser Beam **60** then passes through Collimating Lens **72** and to Holographic Imaging Lens **74**.

[0057] Holographic Imaging Lens **74** includes a plurality of Holographic Imaging Segments **76** which focus the laser beam at a desired location or locations along Machining Surface **68** of Workpiece **70** for the purpose of drilling, burning or otherwise forming desired blind vias, apertures, openings, indicia, indentations or other surface contours therein of desired size and depth by etching, or otherwise removing, the material of Machining Surface **68**. The size and shape of the area from which the material is removed is defined or determined by the design characteristics of a corresponding Holographic Imaging Segment **76**, while the volume or depth of material removed is controlled by the power levels or number of the laser beam pulses directed at a given area.

[0058] It will be understood by those of ordinary skill in the relevant arts that the number of Holographic Imaging Segments **76** used in a given machining operation may be variable and that, for example, a given Marking Indicia **52M** etched into a surface may be comprised of the combination or compilation, in parallel or in sequence, of multiple Holographic Imaging Segments **76**. The system or an equivalent system thereby allows very complex Marking Indicia **76** to be formed, and allows different elements of a Marking Indicia **42I** to be formed of different Holographic Imaging Segments **76**. For example, one Holographic Imaging Segment **76** may represent a firearm manufacturer,

another the firearm type or model, and so on, and certain Holographic Imaging Segments **76** may be changed or varied from one Marking Indicia **42M** to the next, as when assigning unique serial numbers.

[0059] FIG. 4 illustrates a further embodiment of a Image Imprinting System **56** wherein a Splitter **78** is employed to split Laser Beam **60** into multiple Laser Beams **60** and Image Imprinting System **56** includes multiple sets of Steering Mirrors **66** to direct the multiple Laser Beams **60** through a Holographic Imaging Lens **74** and to a Machining Surface **68** of Workpiece **70**, thereby permitting the concurrent generation of multiple Marking Indicia **42I**, or the concurrent etching of multiple elements of a Marking Indicia **42I**.

[0060] It will be understood by those of skill in the relevant arts that an Image Imprinting System **56** may employ any of a range of types of Lasers **58**, including ultraviolet, visible light and infra-red lasers. Suitable lasers may include, for example, slow flow CO₂, CO₂ TEA (transverse-electric-discharge), Impact CO₂, and Nd:YAG, Nd:YLF, and Nd:YAP and Nd:YVO and Alexandrite lasers, gas discharge lasers, solid state flash lamp pumped lasers, solid state diode pumped lasers, ion gas lasers, and RF wave-guided lasers. The specific type of laser will depend upon the specific types of materials and specific types of laser machining operations to be performed. For example, in operations with longer wavelength lasers, such as CO₂ and Nd:YAG infrared lasers, the interaction between the laser and the material is a thermal process which produces charring, or glassification in ceramics, and leaves a relatively poor surface quality with some materials. The processes at ultraviolet wavelengths as generated by, for example, excimer lasers, is however, and for many materials of interest, a "cold process" which uses energy to break chemical bonds in the material rather than to generate heat in the material. Thus, Identification Indicia **42I** having excellent accuracy and quality can be easily produced in a desired surface without substantially altering the characteristics of the material or creating chars and/or clumps of material.

[0061] Lastly in this regard, it must be noted that laser machining processes are particularly adaptable to the etching of Marking Indicia **42M** in confined spaces, such as on an Inner Surface **46I** of a Chamber **28**. In such instances, the optic path or paths of an Image Imprinting System **56** may be extended by an additional Steering Mirror **66** optical path inserted into the Chamber **28** of a Barrel **24** such that the laser beam or beams are directed axially into the Chamber **28** and redirected to a Machining Surface **68** located on the Inner Surface **46I**. The extended optical may be implemented using, for example, Micro-Electro-Mechanical (MEM) mirrors, which are significantly smaller than conventional galvanometer controlled mirrors.

[0062] Finally, and as will be described further in the following, it will be recognized that a Image imprinting System **56** may be used to print, imprint, emboss, etch, ablate, engrave or otherwise form an image or images on a surface by etching or otherwise removing selected portions of the surface or by selective removal of a material on the surface, such as various forms of ink or deposited coatings. It will also be understood that the image or images may assume many forms, as determined by Holographic Imaging Segments **76** or similar means. Examples of such images may include a code, a bar code, a character set, a symbol, a

design, an alphanumeric set or some other identifying mark or, as described in further detail in the following, an encoded hologram or a encoded concentric circular barcode. In this regard, and as will be discussed further in the following, the imprinting, etching or micro-machining of a holographic image such as an encoded hologram or a encoded concentric circular barcode variable may incorporate such encoding variables as the wavelength of light used in forming the image, and subsequently in reading the image, or the working distance of the holographic image, which is a factor in both forming and reading the image.

[0063] D. Methods For Reading Of Identification Indicia

[0064] As described, the identification of the Firearm **12** which microstamped an Identification Indicia **42I** into or onto a Cartridge Case **16** is dependent upon the clarity with which the Identification Indicia **438** may be read. As also described, an Identification Indicia **42I** may include, for example, a code, a bar code, a character set, a symbol, a design, an alphanumeric set or some other identifying mark or, as described in further detail in the following, an encoded hologram. As also discussed, an Identifying Indicia **42I** may be formed by recessed or raised areas of the material the Identification Indicia **42I** is stamped into or onto, or of both raised and recessed areas together forming the Identification Indicia **42I**. Examples of Identification Indicia **42I** embossed or printed on various surface of a Cartridge Case **16** are illustrated in FIGS. 5 and 6 and include a Raised Bar Code **80A**, a 2D (two dimensional) Bar Code **80B**, a Raised Alphanumeric Code **80C** and a raised Encoded Hologram Code **80D**. In this regard, it should be noted that an Encoded Hologram Code **80D** may be formed from, for example, alphanumeric data identifying, for example, a firearm maker, a firearm model and a unique identifier for the Firearm **12** or at least the Bolt **30**, Firing Pin **44** or Barrel **24**. This data may then be transformed or encoded into a hologram, and the reverse transform or image of the hologram etched, machined or otherwise formed in, for example, Bolt Face **50**. As is understood by those of ordinary skill in the relevant arts, any part or portion of a hologram essentially contains information describing or comprising the entire hologram, so that the entire hologram and the information encoded therein may be reconstructed from any part or portion of the hologram. For this reason, it is very difficult to destroy, eradicate or obscure Identification Indicia **42I** in the form of a Encoded Hologram Code **80D**.

[0065] It will also be recognized that certain parts of a firearm, and in particular those surfaces that are machined, will typically have a characteristic surface "pattern" that is unique to a given manufacturer or even a given model of firearm and that such a pattern will be embossed, stamped or otherwise formed on a surface of a Cartridge Case **16**. While normally considered as a form of "scratch and ding" or "ballistic finger print" identifier, such patterns, as will be discussed in the following, may be intentionally formed as Identification Indicia **42I**, either alone or in combination with other Identification Indicia **42I**.

[0066] It will be recognized, however, that an Identification Indicia **42I** is physically and visually small and may be imperfectly formed or may be obscured or deformed to at least some degree. For example, the degree of vertical relief in the Identification Indicia **42I**, that is, the degree to which the surface of the material forming the Cartridge Case **16** or

a Impact Face 201 of Primer 20 is raised or lowered with respect to the surrounding surface when the Identification Indicia 421 is formed, and thus the contrast and clarity of the Identification Indicia 421, may vary widely. For example, the degree of relief and clarity of an Identification Indicia 421 may be dependent upon such factors as the hardness or "stiffness" of the material and the force exerted in marking the material, which may in turn depend upon such factors as the striking force of the firing pin, the pressure exerted on the wall of a Cartridge Case 16 by the Propellant Charge 18, or the pressure exerted by the Bolt Face 50.

[0067] Other factors in forming and reading an Identification Indicia 421 may include, for example, dirt, tarnish, corrosion or grease on the surface in which the Identification Indicia 421 is formed, attempts to eradicate an Identification Indicia 421, wear of the firearm, or distortion in forming the Identification Indicia 421. Distortion in an Identification Indicia 421, for example, may arise from many causes, such as movement, "setback" or rupture of primer 410, overexpansion or longitudinal movement of Cartridge Case 16 due, for example, to a worn or overlarge Chamber 28 or a mismatch between the Firearm 12 and Round 10 of ammunition, and so on. These and other factors may also operate to obscure or distort an Identification Indicia 421 after it is formed into a Cartridge Case 16, such as during a period after the Round 10 is fired and before the Cartridge Case 16 is found and taken as evidence. Such factors may include, for example, physical damage to the Cartridge Case 16 or tampering or corrosion of the surface 452.

[0068] The reliable and accurate "reading" of an Identification Indicia 421 and thus the identification of a firearm that formed an Identification Indicia 421 on a Cartridge Case 16 is thereby dependent upon an ability and capability to "read" and capture an Identification Indicia 421 image from a surface of a Cartridge Case 16, that is, the clarity with which the Identification Indicia 421 can be read and identified.

[0069] FIGS. 7A and 7B illustrate an exemplary Indicia Imaging Apparatus 82 for capturing one or more Identification Indicia 421 image from one or more surfaces of a Cartridge Case 16, such as a base surface or wall surface of the Cartridge Case 16 or the face of the primer. The Indicia Imaging Apparatus 82 includes an Optical Magnifying Mechanism 84 for viewing an Indicia Surface 86 bearing an Identification Indicia 421 along a Viewing Axis 88 that is generally perpendicular to the Cartridge Case Surface 86 bearing the Identification Indicia 421. In this regard, it will be recognized that the method and apparatus of the present invention is equally usable for identifying an Identification Indicia 421 stamped or otherwise formed in other elements of a Round 10, such as the Bullet 14.

[0070] Indicia Imaging Apparatus 82 further includes a Specimen Mounting Device 90 for holding an item to be viewed, such as a Cartridge Case 16 or a Bullet 14, with the Indicia Surface 86 bearing the Identification Indicia 421 or a region of an Indicia Surface 86 suspected of bearing an Identification Indicia 421, such that the Indicia Surface 86 is parallel to a plane perpendicular to the Viewing Axis 88, wherein the Viewing Axis 88 extends along the perpendicular or z-axis and the plane of the Indicia Surface 86 extends along the plane defined by the horizontal x- and y-axes. The Indicia Imaging Apparatus 82 may further include a Positioning Mechanism 92 whereby the Specimen Mounting

Device 90 may be positioned along the z-axis, that is, the Viewing Axis 88, for focusing purposes. Focusing may also or alternatively be accomplished in the Optical Magnifying Mechanism 84, or by a combination thereof. Positioning Mechanism 92 will typically include mechanisms for positioning the Specimen Mounting Device 90 in the x- and y-planes so that an Identification Indicia 421 or region of a Indicia Surface 86 suspected of bearing an Identification Indicia 421 may be generally centered along the Viewing Axis 88, and so that the Indicia Surface 86 may be moved or scanned in the x- and y-planes with respect to the Viewing Axis 88.

[0071] A Specimen Mounting Device 90 is illustrated in FIG. 7 as supporting and holding a Cartridge Case 16 in a position so that an Indicia Surface 86, such as the wall or base of a cartridge case may be viewed by Optical Magnifying Mechanism 84. It will be recognized and understood, however, that a Specimen Mounting Device 90 may be readily designed and adapted to hold a cylindrical item, such as a Cartridge Case 16 or Bullet 14, in the vertical or horizontal positions so that the wall or base surfaces of a cartridge may be viewed by Optical Magnifying Mechanism 84. In this regard, it will be further recognized and understood that a Specimen Mounting Device 90 may be designed and constructed to allow rotation of the Cartridge Case 16, Bullet 14 or other item about any or all of the x-, y- and z-axes, thereby allowing all exterior surfaces of the item to be viewed and allowing the item to be oriented around any selected axis. The design of Specimen Mounting Devices 90 capable of lateral motion in any plane or along any axis and capable of rotation about any axis are well known to those of skill in the arts, and therefore will not be discussed in further detail herein.

[0072] As indicated in FIG. 7, an Indicia Imaging Apparatus 82 of the present invention also includes an Illuminator 94 directing illumination onto the Indicia Surface 86 being viewed by Optical Magnifying Mechanism 84. According to the present invention, Illuminator 94 directs illumination onto the Indicia Surface 86 being viewed along an Illumination Plane 96, or axis, that is aligned substantially normal to the Viewing Axis 88, thereby approximately parallel to the x/y plane. The Illumination Plane 96 is thereby approximately parallel to and is incident upon the Indicia Surface 86 being viewed along Viewing Axis 88, at least in a region wherein the Identification Indicia 421 being examined is located or where an Identification Indicia 421 is suspected of being present. As will be described below, the angle of Illumination Plane 96 is variable and adjustable with respect to the surface being examined, as is the intensity of Illuminator 94, so that Illuminator 94 can provide the optimum level and angle of lighting to the surface being viewed. Illuminator 94 thereby illuminates the Identification Indicia 421, or region suspected of containing an Identification Indicia 421 in a manner to maximize the contrast and resolution of the highlighted and shadowed areas of the Identification Indicia 421 or region suspected of containing an Identification Indicia 421, that is, the higher and lower areas of the region, to thereby provide the maximum image contrast and clarity.

[0073] In a presently preferred embodiment of an Indicia Imaging Apparatus 82, Illuminator 94 and Optical Magnification Mechanism 84 include or are comprised of an optimized holographic imaging system integrated into a

mono-chromatic and multi-chromatic illuminator to provide illumination from various angles onto the working areas of the Optical Magnifying Mechanism **84** and Indicia Surface **86** and to provide a non-shadowing intensity variable light.

[0074] An Illuminator **94** may further include facilities for providing colored or polarized light, while the Optical Magnifying Mechanism **84** may include appropriate filters, and various lenses, masks and so on to shape Illumination Plane **96** as desired or necessary. Also, it will be understood that imaging systems of the present invention may utilize illumination other than visible light, such as ultraviolet or infrared radiation, and may incorporate the appropriate filters, lenses and imaging apparatus as necessary and may incorporate a wide range of illumination sources, such as a laser diode array and/or light emitting diode array. The illumination mechanism may also include various positioning and rotational mechanisms to control the angle of incidence of Illumination Plane **96** with the surface being viewed and, in at least some embodiments, the angle of rotation of the Illumination Plane **96** axis around Viewing Axis **88**.

[0075] As illustrated in FIG. 8, an Indicia Imaging Apparatus **82** will typically further include an Image Capture Device **98**, such as a CCD (Charge Coupled Device) camera, for capturing and providing digital Surface Images **100** of a selected area of a Indicia Surface **86**, including any Identification Indicia **42I** appearing therein. In this regard, it will be recognized and understood that Optical Magnifying Mechanism **84** will be capable of providing optical images at a range of selectable magnifications, resolutions and image areas. Image Capture Device **98** captures digitally encoded images from the optical images provided by Optical Magnifying Mechanism **84**, and can thereby capture digitally encoded images of a range of selectable magnifications, resolutions and image areas.

[0076] Lastly in this regard, the Indicia Imaging Apparatus **82** will typically include a Frame Grabber **102** or equivalent for capturing Surface Images **100**, and a Motion Card **104**, controlled by a user or by other elements of the apparatus, for controlling viewing Specimen Mounting Device **90**. Motion Card **104** may, for example, include an automatic focusing mechanism whereby a present Surface Image **100** is analyzed to determine the sharpens and focus of the image, and the analysis results employed, through Motion Card **104**, to control the focus of the optical elements of Optical Magnifying Mechanism **84**. Such autofocus methods and mechanisms are, however, well known in the art and need not be discussed further herein.

[0077] As shown, the Surface Images **100** may be communicated to an Image Processing System **106** through a Data Link **108** comprised, for example, of a network, computer, database or server, or other system. Then Image Processing System **106** may be comprised, for example, of an Image Processing and Analysis System **110** for performing such operations as image enhancement, image analysis and recognition, and so on, and an Image Data Storage System **112** for storing the Surface Images **100**, including any Identification Indicia **42I** found thereon. Image Data Storage System **112** may also store, for example, information translating and identifying various assigned Identification Indicia **42I**, and may include mechanisms for identifying firearms from the imaged Identification Indicia **42I**.

[0078] For example, the Image Processing System **106** may include a specialized computer algorithm for generating one or more of a reconstruction, a decipherment or an optical recognition at least one of a make, a model, a serial number, a unique ballistic identifier or a ballistic identifier tag of a specific firearm used to fire the cartridge or bullet being analyzed by viewing one or more indicia on a surface of the cartridge or bullet, wherein the indicia may be comprised of an encrypted code, an encoded hologram, encoded alphanumeric code, a barcode or any other form of indicia on a surface of the cartridge or a bullet, and to analyze the captured image.

[0079] In summary, therefore, the present invention provides an apparatus and method for identifying firearms that includes the steps of:

[0080] (A) illuminating a base of the fired cartridge from a firearm found at a crime scene using axially homogenized light from various illumination angles using a holographic imaging system integrated into either a mono-chromatic or multi-chromatic light;

[0081] (B) obtaining, through an imaging microscope, an image of the encoded hologram or encoded alphanumeric code or barcodes or indicia that form the breech face impressions on a primer of the cartridge or bullet; and,

[0082] (C) utilizing specialized analysis software to read the encoded codes and provide the serial number or tracking number unique to the firearm that fired the bullet or cartridge.

[0083] The method of the present invention thereby does not require a comparison of cartridges, but simply takes an image of the code embossed on the cartridge or bullet that is formed upon the firing of the firearm and the subsequent ejection of the cartridge or bullet from that specific firearm.

[0084] E. Creation and Reading of Encoded Multi-Dimensional Indicia

[0085] It has been described herein above that a wide range of types and forms of Identification Indicia **42I** and corresponding Marking Indicia **42M** may be used for the purpose of identifying a firearm that has fired a round by embossing or imprinting an Identification Indicia **42I** unique to the firearm on the cartridge case, or bullet, of the round. It is preferable that the Identification Indicia **42I** be physically small, and that the indicia convey a large amount of information, such as a unique firearm identifier, a manufacturer, a model or type identifier, and so on.

[0086] One of the presently most commonly proposed and useful forms of Identification Indicia **42I** is the barcode, which, until the present invention, offered the capability of representing a significant amount of information in a relatively small space. The most common form of barcode is a bar, that is, a series or sequence, of optically or magnetically readable parallel stripes of different widths etched, printed or imprinted on an object wherein the widths and locations of the stripes convey the information contained therein. Two dimensional barcodes have also been developed, wherein the information is represented by an array or dots or rectangles that are read by scanning in two dimensions, or directions. Two dimensional barcodes contain significantly more information than do one dimensions barcodes, but are more

difficult to form and print and are more susceptible to reading errors and information loss due to damage.

[0087] Barcodes suffer from a number of limitations and problems which limit their suitability as Identification Indicia 42I, however. For example, most barcodes are normally monochromatic, which limits information representation to the physical dimensions of the bars, dots and rectangles and the uses of barcodes to applications suitable for simple laser, magnetic or optical scanning methods. The limitation to simple scanning methods also restricts the security of the information represented therein. That is, barcodes are readily readable by simple, commonly available scanning devices and the possible encoding of the information stored in a barcode is limited by the relatively small amount of data that can be stored in a barcode.

[0088] Recent developments in conventional barcodes have attempted to overcome information storage and security limitations by various additional encoding factors. For example, some methods overprint a one dimensional barcode with a second barcode of a different color, use transparent ink containing infrared absorbers to introduce an additional variable, print different types of barcodes over one another, and use materials having various infrared or ultraviolet properties with colored barcodes to introduce additional variables. While such methods increase the amount of information that can be represented in a barcode by adding additional variables to the barcode representation, in a manner analogous to adding bits to a binary number representation, issues regarding security of data, reading errors and data loss through damage remain a serious problem. For example, it is significantly more difficult to read a multi-color barcode or a barcode using infrared or ultraviolet properties or multiple coding patterns than a monochrome barcode, and such barcodes are much more susceptible to damage, such as wear and fading, than are monochrome barcodes.

[0089] According to the present invention, however, the above problems are addressed by Identification Indicia 42I in the form of Encoded Hologram Multi-Dimensional Barcodes (EHMDBs), which, according to the present invention, add additional dimensions to the information representation capacity of an Identification Indicia 42I and, in particular, will introduce a spectral dimension to Identification Indicia 42I and 42M. As will be discussed below, Encoded Hologram Multi-Dimensional Barcodes (EHMDBs) may be implemented as either or both of Encoded Holograms (EHs) or Encoded concentric Circular Barcodes (ECCBs).

[0090] According to the present invention, the designs of Encoded Hologram Multi-Dimensional Barcodes (EHMDBs), whether embodied as Encoded Holograms (EHs) or as Encoded concentric Circular Barcodes (ECCBs), are based on several variables which affect the geometric construction, or pattern of markings, of the Encoded Hologram Multi-Dimensional Barcodes (EHMDBs). One variable is the wavelength of light or radiation used as the encoding variable, and another is the working distance of the Encoded Hologram Multi-Dimensional Barcodes (EHMDBs). The added spectral component is thereby obtained through spectral factors that effect the geometries of the Encoded Hologram Multi-Dimensional Barcodes (EHMDBs), that is, the EHs or ECCBs, themselves. In particular, and according to

the present invention, sets of wavelengths are used for specific encoding applications wherein each wavelength or set of wavelengths has a particular unique effect on the final outcome of the geometric dimensions of the Encoded Hologram Multi-Dimensional Barcodes (EHMDBs), that is, the EHs and ECCBs, and their security levels. One consequence of this method for generating Encoded Hologram Multi-Dimensional Barcodes (EHMDBs) in the form of EHs and ECCBs is that numerous distinct encoded Encoded Hologram Multi-Dimensional Barcodes (EHMDBs) may be created as EHs or ECCBs, thus providing more extensive multi-dimensional encoding than previously possible. 97 Referring to FIGS. 10A through 10C and 11A through 11C, therein are respectively illustrated diagrammatic representations of Encoded Multi-Dimensional Indicia (EMDI) 114 according to the present invention, wherein FIGS. 10A through 10C illustrate Encoded Holograms (EHs) 114EH and FIGS. 11A through 11C illustrate various aspects of Encoded Concentric Circular Barcodes (ECCBs) 114EC.

[0091] In this regard, FIG. 10A illustrates an Encoded Hologram (EH) 114EH wherein the hologram image is comprised of square pixels and FIGS. 10B and 10C illustrate Encoded Holograms (EHs) 114EH in the form of etched encoded holograms.

[0092] FIG. 11A, in turn, illustrates an etched encoded concentric circular barcode array and it may be seen that the encoding of information in a concentric circular barcode results in a simpler design than does the hologram encoded design illustrated in FIGS. 10A-10C, and uses a circular based intensity encoding method wherein each concentric ring pattern corresponds to one or more specific alphanumeric digits or letters. The array of such concentric ring patterns illustrated in FIG. 11A is, for example, a series of alphanumeric codes arranged to allow a very large amount of data to be stored in the array, and to allow the data to be decoded with a reader or decoder specifically adapted to this encoding method. It should be noted that in the example illustrated in FIG. 11A each concentric ring pattern of the array of concentric ring patterns represents a corresponding alphanumeric character or digit. In other embodiments, however, and depending upon the complexity of the concentric ring patterns, including the number of rings in each pattern, a plurality of alphanumeric characters or digits or combinations thereof may be encoded in each ring pattern of the array. This encoding is further illustrated in FIGS. 11B and 11B, which respectively illustrates the depth profile encoding method across a portion of a concentric circular barcode and a top view surface analysis of such a barcode.

[0093] EHMDBs 114 may be encoded by a variety of methods, examples of which may include but not be limited to binary phase Fourier DOE, CGH, Lohmann, Lee, Fourier, Fraunhofer, Fresnel or kinoform types of hologram encoding algorithms, including multi-phase levels from level 2 and greater phase levels. The encoding algorithms may include error checking functions to reduce reading errors, which may occur when the Identification Indicia 42I or other marks have faded or become worn or damaged and no longer imprint or emboss a clear, high quality Identification Indicia 42I. It will also be recognized that the encoded holograms and Encoded concentric Circular Barcodes may use any standard encoding algorithm as used, for example, for encoding diffractive and holographic images.

[0094] As described, and according to the present invention, Encoded Multi-Dimensional Indicia (EMDI) 114, such as Encoded Holograms 114EH or Encoded concentric Circular Barcodes (ECCBs) 114EC, may be employed as Marking Indicia 42M to imprint, emboss or otherwise form corresponding inverted Identification Indicia 42I on such surfaces as cartridge cases or bullets. Multi-Dimensional Barcodes (EHMDBs) 114 may be formed, for example, directly into the material of a firearm, such as the inner surface of a chamber, the face of the bolt or firing pin, the extractor mechanism, or a surface of a barrel ramp, that is, a portion of the barrel and breach formed to guide a round from a clip and into the breach. Multi-Dimensional Barcodes (EHMDBs) 114 may also be formed into the face of a Marking Insert 54, which may in turn be embedded in such surfaces of a firearm.

[0095] It must also be recognized, however, that the Marking Indicia 42M and Identification Indicia 42I of the present invention, that is, Encoded Holograms (Ehs) 114 and Encoded concentric Circular Barcodes (ECCBs) 114, may be used in many other applications requiring Identification Indicia 42I, and may be formed on variety of surfaces by a wide range of methods. For example, and as described, Encoded Multi-Dimensional Indicia (EMDI) 114 such as Encoded Holograms 114EH or Encoded concentric Circular Barcodes (ECCBs) 114EC may be directly etched, imprinted, micro-machined into a surface by, for example, an Image Imprinting System 56, or similarly formed in a surface that is in turn used to print, imprint or emboss the image in yet another surface by, for example, impact or pressure, or by printing by a transferrable media such as ink or other forms of transferrable media or coatings.

[0096] Methods for forming Encoded Multi-Dimensional Indicia (EMDI) 114 may thereby include, for example, laser imaging, etching and engraving methods, dry etch and erosion processes such as chemical milling, ion milling and electro-discharge machining. Other methods may include, for example, ink-jet printing or letterpress, gravure, lithographic or screen printing techniques.

[0097] In other embodiments, Encoded Multi-Dimensional Indicia (EMDI) 114 may also be formed by removal of areas of a coating from a surface, such as an ink, paint or deposited or plated coating, by etching, ablating, micro-machining of the surface. Other methods involve coating or plating a surface layer of a first material onto the surface, such as an ink having a first property or color, and printing or otherwise placing an image or a reversed, negative image of the Encoded Multi-Dimensional Indicia (EMDI) 114 onto or over that initial surface in a second material having one or more properties that may be distinguished from those of the first material.

[0098] In further embodiments, Encoded Multi-Dimensional Indicia (EMDI) 114 may be formed of or in, for example, infrared, ultraviolet or visible inks or in materials having photosensitive or magneto-optic qualities, or analogous properties, so that the Encoded Multi-Dimensional Indicia (EMDI) 114 is readable only when effected, for example, by suitable radiation or illumination or under the effect of a magnetic field. In other embodiments, and for example, the pattern of magnetic ink may be read directly by a magnetic sensing scanner, while ultraviolet and infrared inks may be similarly read by suitable direct sensing scan-

ners. Other methods for forming Encoded Multi-Dimensional Indicia (EMDI) 114 may include various chemical or mechanical treatments of a surface to provide a surface that may then be suitably modified in representation of the Encoded Multi-Dimensional Indicia (EMDI) 114.

[0099] Lastly with respect to the encoding and creation of Encoded Multi-Dimensional Indicia (EMDI) 114, the above methods for creation of a Encoded Multi-Dimensional Indicia (EMDI) 114, whether as Encoded Holograms 114EH or as Encoded Concentric Circular Barcodes (ECCBs) 114EC, may be combined in such a manner as to introduce a "third dimension" into the encoding. That is, Encoded Multi-Dimensional Indicia (EMDI) 114 may be created as superimposed layers of distinguishable elements, that is, one on top of another, and subsequently read by selective viewing or illumination of the layers, so long as the materials or methods by which the successive Encoded Multi-Dimensional Indicia (EMDI) 114 are distinguishable. Examples of such distinguishable layers may include, for example, successive overlaid Encoded Multi-Dimensional Indicia (EMDI) 114 comprised of differently colored transparent inks and various illumination sensitive inks, such as infrared or ultraviolet sensitive inks, and so on. In other instances, a first Encoded Multi-Dimensional Indicia (EMDI) 114 may be physically embossed or imprinted in the base material, and overlaid with other Encoded Multi-Dimensional Indicia (EMDI) 114 comprised of various coatings that can be distinguished from one another and through which the embossed or imprinted Encoded Multi-Dimensional Indicia (EMDI) 114 can be read. It will be recognized that, as a consequence, the use of multiple, superimposed Encoded Multi-Dimensional Indicia (EMDI) 114 will result in multiple, separately distinguishable and readable Encoded Multi-Dimensional Indicia (EMDI) 114 or in a single Encoded Multi-Dimensional Indicia (EMDI) 114 having additional "dimensions" for the representation of information, thereby significantly increasing the information capacity of the Encoded Multi-Dimensional Indicia (EMDI) 114.

[0100] It will be apparent, therefore, that the Encoded Multi-Dimensional Indicia (EMDI) 114 of the present invention, such as Encoded Holograms 114EH or Encoded Concentric Circular Barcodes (ECCBs) 114EC, may be embodied or implemented for a range of applications, and that the specific form of implementation will depend upon the specific application in which the Encoded Multi-Dimensional Indicia (EMDI) 114 are used. For example, the Encoded Multi-Dimensional Indicia (EMDI) 114 such as Encoded Holograms 114EH or Encoded concentric Circular Barcodes (ECCBs) 114EC may be implemented as Marking Indicia 42M to be imprinted or embossed onto cartridge cases or bullets as Identification Indicia 42I for the purpose of identifying firearms that had discharged a cartridge case or bullet.

[0101] In other applications, such as product identifiers, anti-counterfeit markings, security badges or codes, and so on, the methods and materials used to create the Encoded Multi-Dimensional Indicia (EMDI) 114, and the methods for reading such Encoded Multi-Dimensional Indicia (EMDI) 114 will depend upon the application and materials involved. It must be noted, however, that certain methods may be combined. For example, a cartridge case may be coated with a durable, non-visible ink or other coating and a product identifier etched into the coating. The discharge of

the cartridge would then result in the imprinting or embossing of a firearm identification Encoded Multi-Dimensional Indicia (EMDI) 114 into the material of the cartridge case or into the coating by removing further areas of the coating.

[0102] Next considering the reading of Encoded Multi-Dimensional Indicia (EMDI) 114 such as Encoded Holograms 114EH or Encoded concentric Circular Barcodes (ECCBs) 114EC, an example of an Indicia Imaging Apparatus 82 suitable for reading Encoded Multi-Dimensional Indicia (EMDI) 114 has been described herein above with respect to FIGS. 7, 8 and 9 and, as such, need be discussed in further detail. It will be noted, however, that the described Indicia Imaging Apparatus 82 may be further adapted for the specific characteristics of Encoded Multi-Dimensional Indicia (EMDI) 114. For example, Optical Magnifying Mechanism 84 may incorporate one or more filters suitable spectral domains of observation and the specific radiation used to illuminate the Encoded Multi-Dimensional Indicia (EMDI) 114, such as color filters, polarizing filters or holographic filters. Illuminator 94, in turn, may be constructed as a ring light source, that is, a light source radiating from the circumference of a ring surrounding the image area, and may employ, for example, mono-chromatic light sources or diode lasers. Illuminator 94 may also be implemented to provide radiation adapted and matched to the Encoded Multi-Dimensional Indicia (EMDI) 114, such as infrared, ultraviolet, colored visible frequencies, polarized radiation, and other specific wavelengths of light, or combinations thereof, or may include elements for generating, for example, magnetic fields for magneto-sensitive or activated materials. The light sources implemented in an Illuminator 94 may therefore include, for example, lamps or laser or LED sources, with or without filters of various types, which emit radiation in a frequency range and of a type suitable to make the Encoded Multi-Dimensional Indicia (EMDI) 114 visible to a viewer, scanner or camera.

[0103] Referring now to FIG. 12, therein is illustrated the process for imprinting an Encoded Multi-Dimensional Indicia (EMDI) 114 on or in a surface, such as a chambre, ramp or bolt face of a firearm, the impact face a firing pin or a bearing surface of an extractor. As shown therein, in Step 116 Product Information 118 is compiled and, in Step 120, encoded by means of, for example, a hologram or kinoform Encoding Algorithm 122 to generate a Base Encoded Multi-Dimensional Indicia (EMDI) 114B. In Step 124, the Base Encoded Multi-Dimensional Indicia (EMDI) 114B is compiled together with a Ballistic Identifier Tag 126, that is, a unique Firearm 12 identification code, and Encoded Hologram Artwork 126 to generate a Marking Indicia 42M filed comprised of the Encoded Multi-Dimensional Indicia (EMDI) 114. The Marking Indicia 42M file is sent to a Laser Process System 130, such as an Image Imprinting System 56, and in Step 132 the Firearm 12, a component of a Firearm 12, such as a Bolt 50, or a Marking Insert 54 is loaded to the Laser Process System 130, which performs the Laser Etch Process 134 to imprint the Marking Indicia 42M on the Firearm 12, the component thereof, or the Marking Insert 54. In Step 136 the Finished Firearm 138 may be test fired to obtain an expended and ejected Cartridge Case 16 marked with the Identifying Indicia 42I and, in Step 2140, 142 and 144 the Encoded Multi-Dimensional Indicia (EMDI) 114 captured, decoded and confirmed, whereupon in Step 146 the Firearm 12 may be released for shipment. (111 Lastly referring to FIG. 13A and 13B, therein are

illustrated a hand-held, portable EHMDDB Reading Device 148, which is essentially comprised of the elements, components and functions described herein above with regard to Indicia Imaging Apparatus 82 and Image Processing System 106 as illustrated in FIGS. 7, 8 and 9, and a diagrammatic cross section side view of the EHMDDB Reading Device 148.

[0104] As shown in FIG. 13A, the Indicia Imaging Apparatus 82 and Image Processing System 106 are essentially packaged into the casing of EHMDDB Reading Device 148, which further includes a Control Panel 150 for controlling the functions and operations of the EHMDDB Reading Device 148, a Display 152 for displaying either or both of any Identifying Indicia 42I located on either a cartridge casing wall or a cartridge casing base or the primer in the cartridge case base and the decoded and translated information encoded in the Encoded Multi-Dimensional Indicia (EMDI) 114. As also shown, the EHMDDB Reading Device 148 includes a Specimen Port 154 for receiving and holding a Cartridge Case 16 to be inspected, with illumination sources, optical imaging elements and image capture elements arranged therein to scan and capture Encoded Multi-Dimensional Indicia (EMDI) 114 images from the surfaces of the Cartridge Case 16. The Specimen Port 154 of the EHMDDB Reading Device 148 will preferably include a Specimen Mounting Device 90 capable of receiving, for example, a Cartridge Case 16 base end first and of holding and positioning the Cartridge Case 16, either manually or automatically, so that all surfaces of interest of the Cartridge Case 16 may be scanned by one or more imaging systems and elements therein.

[0105] FIG. 13B illustrates an exemplary arrangement of the interior components of a EHMDDB Reading Device 148. As shown, an EHMDDB Reading Device 148 typically includes a Processing System 10, Display 152 and Control Panel 150, which occupy the main section of the body or casing of the EHMDDB Reading Device 148, with the optical elements occupying the spaces interior to the Specimen Port 154.

[0106] As shown, a Cartridge Case 16 may be inserted into Specimen Port 154, typically base first, and is retained and manipulated by a Support Device 90 which is preferably adaptable to different sizes of Cartridge Case 16 by means of adaptable or adjustable restraining members (not shown). Base 22 and Sidewall 16W of the Cartridge Case 16 are viewed through separate optical paths wherein Base 22, which will be in a relatively fixed position when the Cartridge Case 16 is held in Support Mechanism 90, is view through Axial Optical Elements 85A. As indicated, a ring Illuminator 94A surrounding the optical path from Axial Optical Elements 85A and Base 22 may be located along the axial optical viewing path for optimum controllable illumination of Base 22 and the Axial Optical Elements 85A and Illuminator 94A may also include various forms of filters. Illuminator 94A may also be adjustable with regard to the illuminating radiation and perhaps the angle of incidence of the illumination on Base 22.

[0107] A radial optical path for viewing of Sidewall 16W is illustrated as including a Prism Element 85B, which turns the radial viewing path through two right angles so that an image of Sidewall 16W is routed to an Optical Element 85C, which combines axial viewing path through Axial Optical Elements 85A and Prism Element 85B to form a single

viewing path through an Optical Magnifying Mechanism **84** and to an Image Capture Device **98**, which has been previously discussed. A second Illuminator **94B** similar to Illuminator **94A** is associated with Prism Element **85B** to provide the appropriate illumination on Sidewall **16W**, and various forms of filters may be interposed in the optical path through Prism Element **85B**.

[0108] F. Summary of the Creation and Reading of Encoded Multi-Dimensional Indicia

[0109] In summary, therefore, an Encoded Multi-Dimensional Indicia **42** may be marked upon any suitable object, whether a firearm, a discharged cartridge case, a product of some form, a security badge or tag, for the purpose of representing selected information. An Encoded Multi-Dimensional Indicia **42** of the present invention is comprised of a multi-dimensional array of encoded marks, which include encoded marks determined by spectral encoding variables representing the selected information wherein each spectral variable being spectrally distinguishable from others of the spectral variables representing variables, and an encoded pattern of the encoded marks determined by an algorithmic transformation of the selected information.

[0110] In typical embodiments, an Encoded Multi-Dimensional Indicia **42** may be embodied as a multi-dimensional encoded hologram or as an encoded concentric circular barcode wherein, in particular, a concentric circular barcode comprises an array of concentric ring patterns wherein each ring pattern is a circular based intensity encoding of a corresponding information item. Examples of spectral encoding variables, each of which is selected as having a unique effect in determining the encoded pattern of marks, could include a wavelength of radiation used in encoding the hologram and a working distance of the hologram, and the selected information may be encoded by any of binary phase Fourier, DOE, CGH, Lohmann, Lee, Fourier, Fraunhofer, Fresnel and kinoform type of hologram encoding algorithms. Encoded Multi-Dimensional Indicia **42** may also be comprised of a plurality of spectrally distinguishable layers superimposed on a surface of an object, and a first layer of the indicia may be formed in a surface material of the object by one of removal of selected areas of the surface material and by physical impact of a marking indicia that is an inverse image of the indicia.

[0111] As illustrated in FIG. 14A, Encoded Multi-Dimensional Indicia **42** are created by (Step **156A**) generating a multi-dimensional array of encoded marks forming an encoded pattern as determined by (Step **156B**) an algorithmic transformation of the selected information wherein each encoded mark is (Step **156C**) determined by spectral encoding variables representing the selected information, and wherein each spectral variable is spectrally distinguishable from the other spectral variables. The process may also include (Step **156D**) the conjoining of an algorithm related artwork with the encoded pattern.

[0112] The reading of Encoded Multi-Dimensional Indicia **42**, as illustrated in FIG. 14B, is essentially a reverse transform of the creation process, and includes (Step **158A**) viewing the encoded multi-dimensional indicia according to at least one spectral encoding variable, wherein each spectral encoding variable corresponds to a spectral encoding variable employed in creating the encoded multi-dimensional indicia, Step (**158B**) reading an encoded pattern representing

a multi-dimensional array of encoded marks represented the selected information, and (Step **158C**) decoding the encoded pattern of encoded marks with an inverse algorithmic transform of an algorithmic transformation employed in generating the encoded pattern from the selected information.

[0113] G. Multiple Indicia Marking

[0114] It has been described herein above that ballistic finger prints and scratch and ding markings, while traditionally the most useful and most used for identifying a given, specific firearm, are, however, pseudo-repeatable and largely random and non-specific in nature. These characteristics of ballistic finger prints and scratch and ding markings arise because the "scratches and dings" are largely formed by random irregularities in the surfaces of a firearm and by largely random impacts or pressure points between the surfaces of the cartridges and the firearms.

[0115] For this reason, the present invention addresses the methods and mechanisms for forming and reading Indicia **42** to provide consistent, unique, and repeatable identification markings; that is, and in many respects, to replicate "scratch and ding" markings, but in a more reliable, repeatable and unique form. As described, the methods and mechanisms of the present invention include various forms of Indicia **52**, including Encoded Multi-Dimensional Indicia **42**, and various systems and methods for etching or otherwise forming Indicia **42** on a surface of a firearm and subsequently reading such Indicia **42**.

[0116] It must be noted, however, that under certain circumstances the Identification Indicia **42I** of the present invention may not be properly formed. For example, many Identification Indicia **42I** are formed by the striking or pressing of a single Marking Indicia **42M** on a surface of a cartridge and distortion or deformation of the cartridge case may cause the Marking Indicia **42M** to "miss" the cartridge surface. In other instances, the imprint may be blurred, incompletely formed or distorted by, for example, dirt, grease, scratches or abrasions on the cartridge surface, or the possessor of the firearm may have sought to locate and remove or mutilate the Marking Indicia **42M**.

[0117] The present invention provides various forms of the Marking Indicia **42M** and Identification Indicia **42I** and various methods of forming the Identification Indicia **42I** that address these problems. For example, the Encoded Multi-Dimensional Indicia **42** of the present invention are advantageous in dealing with distorted, deformed, blurred, or incompletely formed Identification Indicia **42I**, and with at least some attempts to destroy the Marking Indicia **42M**.

[0118] According to a present aspect of the present invention, however, such issues may be advantageously addressed by adapting or adopting certain aspects of replicate "scratch and ding" markings, but in a more reliable, repeatable and unique form. For example, "scratch and ding" markings may occur anywhere on a given surface of a firearm and in certain instances may cover or effectively cover an entire surface or a large proportion of a surface, such as machining markings left on a Bolt Face **50**. This, in turn, significantly increases the probability that at least some identifiable corresponding "scratch and ding" markings will be formed on a surface of a cartridge case. As discussed, however, reliance on random "scratch and ding" markings is unsatisfactory because the resulting "identification marks", or "ballistic fingerprints",

are pseudo-repeatable and largely random and non-specific. In contrast, the Indicia **42** of the present invention provide consistent, unique, and repeatable identification markings. An object of the following embodiment of the present invention is to increase the probability that one or more useable Identification Indicia **42I** will be marked on a Cartridge Case **16** by operation of the firearm firing the Cartridge Case **16**, despite such random factors such as the cartridge feeding, seating or ejecting at an unexpected angle, irregularities in the surface of the cartridge, or other random or deliberate factors, such as dirt, grease or attempts to mutilate or obscure the Marking Indicia **42M**.

[0119] Referring to **FIGS. 15A, 15B** and **15C**, therein are illustrated an embodiment of the present invention to enhance the probability that a usable Identification Indicia **42I** will be marked on a surface of a Cartridge Case **16**, such as the Base **22**. The exemplary Firearm **12** surface shown in **FIGS. 15A and 15B** is a Bolt Face **50**, but may be virtually any other surface capable of bearing Marking Indicia **42M** and of imprinting the Marking Indicia **42M** on a surface as an Identification Indicia **42I**.

[0120] As shown in **FIG. 15A**, Bolt Face **50** is provided with a Marking Array **160** of Marking Elements **162** wherein, as illustrated in **FIG. 15B**, a Marking Element **162** may be a Marking Boss **162B** wherein each Marking Boss **162B** is a generally conical or hemispherical convex protrusion from Bolt Face **50** and bears a Marking Indicia **42M** of any of the types discussed herein above on an outer, central Striking Face **164**. In alternate embodiments, as illustrated in **FIG. 15C**, Marking Elements **162** may be comprised of Marking Dimples **162D**, each of which is a concave depression of a generally conical or hemispherical shape having a centrally located Striking Face **164** bearing a Marking Indicia **42M**.

[0121] As will be readily seen, the contact of a Bolt Face **50** having a Marking Array **160** with the Base **22** of a Cartridge Case **16** will result in the Marking Indicia **42M** of at least one and usually a plurality of either of Marking Bosses **162B** or Marking Dimples **162D** imprinting corresponding Identification Indicia **42I** on the Base **22** surface. It will also be apparent that, due to the number and distribution of Marking Bosses **162B** or Marking Dimples **162D** on the Bolt Face **50**, there will be a corresponding high probability that at least one Identification Indicia **42I** will be imprinted on the surface of the Cartridge Case **16**. It will be further apparent that a Marking Array **160** may be formed on any surface of a Firearm **12** that is capable of bearing a plurality of Marking Bosses **162B** or Marking Dimples **162D**, and that one or more Identification Indicia **42I** will be imprinted despite a wide range of angles or placements of the striking surface with respect to the cartridge case surface and despite a wide range of conditions of either or both of the striking surface or the cartridge case surface.

[0122] H. Evasion Resistant Marking Indicia

[0123] As discussed previously, evasion of the above described identification indicia marking systems is a particular problem if the Marking Indicia **42M** is located, for example, in or on an accessible or removable and replaceable part of a firearm. An individual or group wishing to evade the Marking Indicia **42M** may either attempt to eradicate, mutilate or otherwise obscure the Marking Indicia **42M** or may replace the part bearing the marking indicia

marker with a part having a different Marking Indicia **42M** or with a part not having a Marking Indicia **42M**. In the case of firing pins, for example, the Marking Indicia **42M** is typically engraved or etched into the striking end or face of the firing pin and the marking of an Identification Indicia **42I** on the primer may be evaded by replacing the firing pin with a firing pin not having a Marking Indicia **42M** or by filing or grinding off a portion of the end of the firing pin, thereby removing or obliterating the Marking Indicia **42M**. In other instances, such as when the Marking Indicia **42M** is located on the bolt face or on an inner wall of the chamber, the Marking Indicia **42M** may be removed or obliterated by grinding or etching that portion of the bolt face or chamber wall. In other instances, a person or group may attempt to "conceal" or obliterate a Marking Indicia **42M** by filling in or covering over the Marking Indicia **42M** with another substance, such as a plated metal or a plastic material.

[0124] It will be apparent, however, that certain methods of evading the Marking Indicia **42M**/Identification Indicia **42I** system may be readily foiled, at least to a significant degree. For example, the replacement of parts bearing Marking Indicia **42M** by parts not having Marking Indicia **42M** may be at least hampered by requiring that all of at least certain types of parts, such as firing pins, bolts and barrels/chambers, be manufactured with unique, individual Marking Indicia **42M** as described herein above. Marking Indicia **42M** can uniquely identify a given part in the same manner and with the same facility as an Identification Indicia **42I** such part to be identified and tracked. The replacement of one such part by another will thereby only result in a change in the specific identification code implanted in or on the part. Another advantage of implanting Marking Indicia **42I** on all of certain types of parts, such as firing pins, bolts and barrels with barrel chambers, is that the replacement of a marked part with an unmarked part would require that any person or group doing so either manufacture of the necessary parts or acquire a source of such unmarked parts, which merely transfers the manufacturing problem. In this regard, it should be noted that not only is the custom manufacture of parts expensive, but that many such parts will be unique to specific firearms or firearms manufacturers, thereby again increasing the cost and difficulty of obtaining unmarked parts.

[0125] Any attempt to cover over or fill in a Marking Indicia **42M** is similarly likely to meet with little success. For example, there will typically be significant differences between the hardness and ductility of the part material, which is typically steel, and the "filler" material, and there would probably be difficulties in bonding the "filler" to the part material. As a result, the "filler" material would most probably chip or wear away in a relatively short time, possibly even with a single shot, or the Marking Indicia **42M** may simply stamp the Identification Indicia **42I** through the filler material, as it would through oil, grease, dirt or corrosion.

[0126] It is therefore apparent that the most probable method used to evade the Marking Indicia **42M**/Identification Indicia **42I** system is to physically remove material from the part in an area including the Marking Indicia **42M**, such as by grinding or etching, thereby obliterating or removing the Marking Indicia **42M** or otherwise rendering the Marking Indicia **42M** physically incapable of performing its function. According to the present invention, however,

such attempts to remove or obliterate Marking Indicia 42M may be thwarted or at least seriously hampered by encoding the Marking Indicia 42M on a surface of a part, such as a firing pin, such that the removal of material from the part in the area of the Marking Indicia 42M in sufficient quantity to render the Marking Indicia 42M non-functional will also render the part itself non-functional. In this regard, it must be noted that the term used in the present invention with respect to both the part and the Marking Indicia 42M is "rendered non-functional", which does not necessarily mean "destroyed".

[0127] To illustrate, and as will be described in further detail in the following, according to the present invention the Marking Indicia 42M may be encoded around the outer circumference of a firing pin in the area adjacent to and possibly extending onto the face of the firing pin that strikes the cartridge primer. As such, the impact of the firing pin will imprint the Marking Indicia 42M as a circular array of code bits or marks on the face of the primer. In accordance with the present invention, the encoded Marking Indicia 42M will extend along the circumference of the firing pin shaft from the edge of the striking face of the firing pin and for a distance along the body of the firing pin such that an attempt to obliterate the Marking Indicia 42M by filing off the end of the firing pin will require removal of sufficient length of the firing pin that the firing pin is too short to perform its function.

[0128] An embodiment of this aspect of the present invention is illustrated in FIGS. 16A and 16B for a typical "hemispherical" Firing Pin 38H. As represented therein, a hemispherical Firing Pin 38H is generally comprised of a generally cylindrical Pin Body 166 having a diameter in, for example, the range of 2 mm to 10 mm, with a Striking Member 168 extending axially therefrom and having a typical diameter in the range of, for example, 1 mm to 5 mm and a typical length in the range, for example, of 75 mm. Pin Body 166 is shaped and dimensioned to mechanically interact with other parts of the firearm, such as the bolt and firing mechanism, while Striking Member 168 is shaped and dimensioned to perform the actual function of striking the face of a Primer 20 of a Cartridge Case 16 in a Chamber 28. As illustrated in the present example, Firing Pin Tip 170, that is, the end portion of Striking Member 168 that actually strikes the Primer 20, has a generally hemispherical shape, hence the common name of this general type of Firing Pin 38, and at least an End Section 168E of Striking Member 168 adjacent to and extending from Firing Pin Tip 170 has a circular cross section extending for some distance from Firing Pin Tip 170. In typical Firing Pins 38 Firing Pin Type 170 may have a length in the range of, for example, 50 mm to 100 mm, and a diameter in the range of, for example, 2 mm to 10 mm.

[0129] As indicated, and for purposes of the following discussions, Striking Member 168, which includes End Section 168E and Firing Pin Tip 170, has an overall Striking Member Length 168L, typically in the range of 50 mm to 75 mm, of which a Firing Length 168F, which is measured back from the tip of Firing Pin Tip 170 and which typically includes at least the length of Firing Pin Tip 170, is involved in and required for the firing of a Primer 20. That is, and stated another way, a Firing Pin 38 is typically somewhat longer than the minimum length required to fire a Primer 20 under ideal conditions, the additional length allowing for

such factors as wear of the firing pin tip, tolerances in machining and assembly, tolerances in Primers 20, and so on. The tip of the firing pin thereby actually drives into the Primer 20 by a distance greater than actually required to fire the Primer 20. For purposes of the following discussions, this additional length is referred to as Firing Length 168F and is of significance in the following descriptions as being the greatest amount by which the length of Striking Member 168 may be shortened while still allowing the Firing Pin 38 to fire a Primer 20. In typical examples, Firing Length 168F may be in the range of 0.5 mm to 2 mm.

[0130] As illustrated in FIGS. 16A and 16B, End Section 168E, which may have a typical and exemplary length in the range of 3 mm to 6 mm, includes a Radial Bar Code Marking Indicia 42RM, which will be discussed further in the following. In one implementation of the present invention, illustrated in FIG. 16B, the Radial Bar Code Marking Indicia 42RM occupies the circumference of Striking Member 168 in an Indicia Area 1681 that in one direction extends from the end of End Section 168E, that is, from the intersection of End Section 168E with the circumference of Firing Pin Tip 170, and along End Section 168E in the direction away from Firing Pin Tip 170 for a distance that, in typical and exemplary Firing Pins 38, may be in the range of 1 mm to 6 mm. Indicia Area 1681 may also extend onto the hemispherical face of Firing Pin Tip 170. In an alternate embodiment, illustrated in FIG. 16C, the Indicia Area 1681 extends only from the intersection of End Section 168E with the circumference of Firing Pin Tip 170 and along End Section 168E in the direction away from Firing Pin Tip 170. The Indicia Area 1681 ends, however, at the intersection of End Section 168E with the circumference of Firing Pin Tip 170 and does not extend onto the face of Firing Pin Tip 170 except insofar as the grooves or trenches forming the Indicia 42 code "cut into" the circumferential edge of Firing Pin Tip 170.

[0131] As illustrated in FIGS. 16A, 16B and 16C, a Radial Bar Code Marking Indicia 42RM is comprised of a plurality of Encoding Bars 172G extending axially along the Indicia Area 1681 parallel with Firing Pin Axis 174, with the Encoding Bars 172B being separated and delineated by Encoding Lands 172L. As shown, each Encoding Bar 172B is formed an area that is depressed with respect to Encoding Lands 172L, such as a groove, trench or elongated depression formed in or on the surface of the Firing Pin 38, while Encoding Lands 172L are comprised of areas between the Encoding Bars 172B that are raised with respect to the Encoding Bars 172B. Encoding Lands 172L may, for example, be formed by the original surface of the Firing Pin 38, with Encoding Bars 172B being cut or etched into the Firing Pin 38 material, or may be formed by areas that have been raised with respect to the original surface, such as by deposition or plating of a layer of material that is then etched by any of several processes to form Encoding Bars 172B.

[0132] As indicated in FIGS. 16B and 16C, the cross sectional shape of Encoding Bars 172B may be of any shape that can be unambiguous and reliably distinguished from Encoding Lands 172L and that can be unambiguous and reliably read by a corresponding scanning or reading device, unless too severely damaged. Examples of such cross sectional shapes are illustrated in FIG. 16B, wherein the Encoding Bars 172B are indicated as having groove or v-shape cross sections, and in FIG. 16C wherein Encoding

Bars 172B are indicated as having rectangular or square cross sections. In general, the cross sectional shapes of Encoding Grooves 172G, and often of Encoding Lands 172L, will be determined or at least strongly influenced by the process or processes used to form the Radial Bar Code Marking Indicia 42RM in the Firing Pin 38. In addition, and as described further below, the widths of Encoding Bars 172B and Encoding Lands 172L are selected so that the circumference of End Section 168E and Firing Pin Tip 170 can accommodate at least one copy of the Radial Bar Code Marking Indicia 42RM.

[0133] According to the present invention, the axial length of Indicia Area 1681 along Firing Pin 38 is an Encoded Distance 176 that begins at the start of Encoding Bars 172B and Encoding Lands 172L at or on Firing Pin Tip 170 and extends along Firing Pin 38 for the length of Encoding Bars 172B and Encoding Lands 172L. According to the present invention, and as stated above, Encoded Length 176 is selected so that the removal of the tip or end of Firing Pin 38, that is, the removal part of or all of Firing Pin Tip 170 or Firing Pin Tip 170 and End Section 168E, for a distance that is sufficient to render the Radial Bar Code Marking Indicia 42RM non-functional for marking Primer 20 will also render Firing Pin 38 incapable of firing Primer 20. Stated another way, Encoded Length 176, as measured from the tip of Firing Pin 38, is greater than Firing Length 168F and the removal of Encoded Length 176 from the Firing Pin 38 will thereby result in the removal of Firing Length 168F from the Firing Pin 38.

[0134] Referring now to FIGS. 17A, 17B, 17C and 17D, therein are illustrated corresponding embodiments of Radial Bar Code Marking Indicia 42RM embodied in modulo 11 encoding, while FIGS. 17E and 17F are tables defining the various alphanumeric codes, start and stop delineation codes, checksum codes and so on comprising a Radial Bar Code Marking Indicia 42RM. As represented therein, each Radial Bar Code Marking Indicia 42RM is comprised of and includes a Start Code 178A, eight Digit Codes 178B, an optional Checksum Code 178C and an End Code 178D. The Start Code 178A and Stop Code 178D delineate the Radial Bar Code Marking Indicia 42RM by indicating the beginning and end of the Radial Bar Code Marking Indicia 42RM, each Digit Code 178B represents an alphanumeric character or number value of the Indicia 42, and the Checksum Code 178C, if used, is a modulo 11 error detection and correction value. As also indicated, each of Codes 178A, 178B, 178C and 178D is expressed as a five bit binary code when physically encoded as Encoding Bars 172B and Encoding Lands 172L.

[0135] Each of FIGS. 17A, 17B, 17C and 17D illustrates an embodiment of a

[0136] Radial Bar Code Marking Indicia 42RM at a cross section of an End Section 168E and Firing Pin Tip 170 wherein the cross section is located at approximately the intersection of the End Section 168E with the circumference of the Firing Pin Tip 170. FIG. 17A illustrates an embodiment wherein the Indicia Area 1681 contains two complete Radial Bar Code Marking Indicia 42RM, separated by two Quiet Zones 178E. As shown, each Radial Bar Code Marking Indicia 42RM includes, in order around the circumference of Firing Pin 38, a Start Code 178A, eight Digit Codes 178B, a Checksum Code 178C and a Stop Code 178D.

Encoding Bars 172B are laser scribed and are 0.025 mm wide and 0.025 mm deep and are spaced apart around the circumference of Indicia Area 1681 at an on-center Groove Pitch 172P of 3° between Encoding Bars 172B and Encoding Lands 172L have a nominal Land Width 172W of 0.020 mm. In this regard, it will be understood by those of ordinary skill in the arts that that Groove Pitch 172P and Land Width 172W may vary according to a number of factors, including tolerances in the processes by which Encoding Bars 172B are formed into the material of Firing Pin 38.

[0137] FIG. 17B illustrates a second implementation of Radial Bar Code Marking Indicia 42RM wherein the Indicia Area 1681 around the circumference of Firing Pin 38 contains a single copy of the Radial Bar Code Marking Indicia 42RM. In this example, Encoding Bars 172B are 0.025 mm wide and 0.025 mm deep with an on-center Groove Pitch 172P of 4° and a nominal Land Width 172W of 0.035 mm.

[0138] FIG. 17C illustrates a third implementation of Radial Bar Code Marking Indicia 42RM wherein the Indicia Area 1681 around the circumference of Firing Pin 38 contains a single copy of the Radial Bar Code Marking Indicia 42RM. In this example, Encoding Bars 172B are 0.035 mm wide and 0.035 mm deep with an on-center Groove Pitch 172P of 5° and a nominal Land Width 172W of 0.040 mm.

[0139] FIG. 17D illustrates still another implementation of Radial Bar Code Marking Indicia 42RM wherein the Indicia Area 1681 around the circumference of Firing Pin 38 contains a single copy of the Radial Bar Code Marking Indicia 42RM. In this example, Encoding Bars 172B are 0.045 mm wide and 0.045 mm deep with an on-center Groove Pitch 172P of 6° and a nominal Land Width 172W of 0.044 mm.

[0140] Those of ordinary skill in the relevant arts will understand that the Radial Bar Code Marking Indicia 42RM of the present invention may be adapted to Firing Pins 38 other than the "hemispherical" Firing Pins 38H discussed above, and will how such adaptations may be performed. Such alternative Firing Pins 38 may include, for example, Firing Pins 38 having generally a cylindrical Striking Member 168, or at least a generally cylindrical End Section 168E, but wherein Firing Pin Tip 170 is non-hemispherical and is instead, for example, conical or flat or any other shape so long as End Section 168E has a generally circular axial cross section, or at least a cross section generally forming a closed continuous curve, such as an ellipse, providing a circumference into which a Radial Bar Code Marking Indicia 42RM may be encoded.

[0141] Referring to FIG. 17G, therein is illustrated a yet further implementation of the present invention for the instance of a non-hemispherical, or non-cylindrical, "Elliptical" Firing Pin 38E, such as found in certain firearms. As illustrated in FIG. 17G, an Elliptical Firing Pin 38G is generally formed of a flat piece of suitable material, such as steel, shaped and dimensioned to mechanically interact with other parts of the firearm, such as the bolt and firing mechanism. Pin Body 166, Striking Member 168 and Firing Pin Tip 170 thereby have generally square or rectangular axial cross sections and the axial profile of Firing Pin Tip 170 is a generally elliptical or rounded form when viewed from a direction generally orthogonal to either of two

opposing “flat” sides of the Firing Pin 38E. It may be readily seen, therefore, that the perimeter of Firing Pin Tip 170, as formed by the intersection of the perimeter of Firing Pin Tip 170 with the perimeter of End Section 168E of Striking Member 168, is not a circle, ellipse or other form of continuous closed curve. The axial cross section perimeter of Firing Pin Tip 170 is instead a polygram formed by a plurality of straight Pin Side Faces 180 defining the intersections between End Section 168E and Firing Pin Tip 170. The axial cross section perimeter of Firing Pin Tip 170 and End Section 168E will typically be a rectangular or square formed by four Pin Side Faces 180, as illustrated in FIG. 17G, and in the case of a rectangular cross section, there will be two opposing Long Pin Side Faces 180L and two opposing Short Pin Side Faces 180S. In exemplary embodiments of Elliptical Firing Pins 38G, the width of the Elliptical Firing Pin 38G is typically in the range of 3 mm to 8 mm and the thickness of the firing pin is typically in the range of 1 mm to 4 mm and the length of the elliptical Firing Pin Tip 170 is typically in the range of 50 mm to 100 mm.

[0142] In the instance of Elliptical Firing Pins 38E, therefore, by which is meant firing pins having a rectangular or square cross section, a Radial Bar Code Marking Indicia 42RM of the present invention is encoded along one or more Pin Side Faces 180 by Encoding Bars 172G and Encoding Lands 172L which extend axially along the Indicia Area 168. In the exemplary embodiment illustrated in FIG. 17G, for example, Indicia Area 1681 is located along the two Long Pin Side Faces 180L, and may include two copies of a Bar Code Marking Indicia 42M, one on each Long Pin Side Face 180L, or one copy of a Bar Code Marking Indicia 42M distributed across the two Long Pin Side Faces 180L. It will be recognized and understood, however, that one of more Bar Code Marking Indicia 42M may be distributed across all four Pin Side Faces 180, and that a Bar Code Marking Indicia 42M may be a linear version of the Radial Bar Code Marking Indicia 42RM described above. The length of Indicia Area 1681 along the axis of the Elliptical Firing Pin 38E is typically in the range of 0.5 mm to 10 mm, measured from the tip of the firing pin.

[0143] Again, Encoding Bars 172B may have groove or v-shape cross sections or rectangular or square cross sections and the cross sectional shapes of Encoding Grooves 172G, and often of Encoding Lands 172L, will be determined or at least strongly influenced by the process or processes used to form the Radial Bar Code Marking Indicia 42RM in the Firing Pin 38.

[0144] In the exemplary embodiment illustrated in FIG. 16G the Indicia Area 1681 and thus Encoding Grooves 172G and Encoding Lands 172L are shown as extending to the Impact Face 1801 of Firing Pin Tip 170, that is, to the face of Firing Pin Tip 1801 that impacts Primer 20, so that Encoding Grooves 172G and Encoding Lands 172L do not extend onto Impact Face 1801 except insofar as the grooves or trenches forming the Radial Bar Code Marking Indicia 42RM “cut into” the edge of Impact Face 1801. In this regard, and as illustrated in the exemplary embodiment of FIG. 17G, it must be noted that Impact Face 1801 is a curved surface having an elliptical profile, so that the forward ends of Encoding Grooves 172G and Encoding Lands 172L lie along the curved line formed by the edge of Impact Face 1801 and so that Encoded Length 176 will vary for across Impact Face 1801. For the purposes of the present

invention, however, Encoded Length 176 is measured back from the tip of Firing Pin Tip 170 and is greater than Firing Length 168F, which is also measured from the tip of Firing Pin Tip 170.

[0145] Again according to the present invention, Indicia Area 1681, that is, Encoding Grooves 172G and Encoding Lands 172L, extend along Firing Pin 38 for an Encoded Distance 176 that is selected so that the removal of the tip or end of Firing Pin 38 for a distance sufficient to render the Radial Bar Code Marking Indicia 42RM non-functional for marking Primer 20 will also render Firing Pin 38 incapable of firing Primer 20. Stated another way, Encoded Length 176, as measured from the tip of Firing Pin 38, is again greater than Firing Length 168F and the removal of Encoded Length 176 from the Firing Pin 38 will thereby result in the removal of Firing Length 168F from the Firing Pin 38 and an inoperative firing Pin 38.

[0146] Referring to FIGS. 16B, 16C and 16G, it is illustrated therein that a Firing Pin 38 of the present invention may include further Marking Indicia 42M, which may, for example, range from simple manufacturer’s codes and symbols to Marking Indicia 42M of any of the types described herein above. A Firing Pin 38 of the present invention may also include such markings as manufacturer’s or assembly Tracking Codes 182 located at any place on the Firing Pin 38.

[0147] Referring again to FIGS. 16B and 16C, therein are illustrated examples of Anti-Tamper Marking Indicia 42AM, which are employed to provide an additional check and hamperment to persons or organizations attempting to circumvent or evade Radial Bar Code Marking Indicia 42RM by attempting to remove or obscure a Radial Bar Code Marking Indicia 42RM. As discussed with respect to Marking Indicia 42M in general, attempts to remove or obscure a Radial Bar Code Marking Indicia 42RM may include, for example, attempts to fill the Encoding Grooves 172G with metal or some other substance to “clog” the stamping of the indicia on a Primer 20 and attempts to mutilate or remove the Radial Bar Code Marking Indicia 42RM, such as by grinding or etching away the circumference of the end of Firing Pin 38 or simply marring the circumferential surface of the end of the firing pin to the point the Radial Bar Code Marking Indicia 42RM is too damaged to fulfil its purpose.

[0148] FIG. 16C illustrates an Anti-Tamper Marking Indicia 42AM disposed in a circular pattern on the End Face 184 of a Firing Pin Tip 170 wherein the circular pattern is centered about Firing Pin Axis 174. An Anti-Tamper Marking Indicia 42AM may be formed in the same general manner as a Radial Bar Code Marking Indicia 42RM discussed above, or, for example, as an encoded multi-dimensional indicia, an encoded hologram indicia, encoded concentric circular barcode, or in any other form discussed herein, and may be encoded using any desired encoding scheme, such as that employed in the Radial Bar Code Marking Indicia 42RM discussed above. In the embodiment shown in FIG. 16C, for example, the encoding of the Anti-Tamper Marking Indicia 42AM is the same as and follows the encoding of the Radial Bar Code Marking Indicia 42RM disposed about the circumference of End Section 168E of Striking Member 168. In this instance, however, the Anti-Tamper Marking Indicia 42AM is physically encoded as a sequence of Encoded Bits 186B recessed

into the surface of End Face **184** and separated by Encoded Lands **186L**, which would typically be comprised of the original surface of End Face **184**, wherein Encoded Bits **186B** and Encoded Lands **186L** are functionally similar and analogous to Encoded Grooves **172G** and Encoded Lands **172L**. It will be understood by those of ordinary skill in the arts that Encoded Bits **186B** may take any desired or advantageous form, such as square or round depressions or short grooves, and that Encoded Bits **186B** may be raised with respect to Encoded Lands **186L**, rather than depressed, and may take the form, for example, of raised bosses or mesas or of any other desired form.

[0149] It will also be recognized by those of ordinary skill in the art that an Anti-Tamper Marking Indicia **42AM** as described with respect to the Hemispherical Firing Pin **38H** of FIG. **16C** may be employed with other forms of Firing Pins **38**, such as the Elliptical Firing Pin **38E** of FIG. **16G** by suitably adapting the Anti-Tamper Marking Indicia **42AM** to the specific shape of the End Face **184** of the Firing Pin **38**. For example, in the instance of an Elliptical Firing Pin **38E**, the Anti-Tamper Marking Indicia **42AM** may be adapted to the Impact Face **180I** as a linear or rectangular bar code rather than as a circularly disposed bar code array.

[0150] As described above, the present invention is directed to Marking Indicia **42** and methods of encoding Marking Indicia **42**, including the locations of Marking Indicia **42**, such that attempts to remove or obliterate the Marking Indicia **42M** by the removal or distortion of the material of a marked part in the area of the Marking Indicia **42M** to render the Marking Indicia **42M** non-functional will also render the part itself non-functional. An Anti-Tamper Marking Indicia **42AM** such as described just above will thereby operate in cooperation with other Marking Indicia **42**, such as a Radial Bar Code Marking Indicia **42RM**, to make the evasion of the Radial Bar Code Marking Indicia **42RM** more difficult.

[0151] That is, an attempt to remove a Radial Bar Code Marking Indicia **42RM** by grinding or etching the circumference of circumference of the end of the Firing Pin **38** may well leave an Anti-Tamper Marking Indicia **42AM** undisturbed, or at least only partially damaged. In the reverse, an attempt to remove an Anti-Tamper Marking Indicia **42AM** by filing or etching away the end of the Firing Pin **38** may, as described herein above, leave a Radial Bar Code Marking Indicia **42RM** in place and in a functional condition. Attempts to remove both a Radial Bar Code Marking Indicia **42RM** and an Anti-Tamper Marking Indicia **42AM** would require etching, filing or grinding of both the end and the circumference of the Firing Pin **38** and is likely to result in an unusable Firing Pin **38**.

[0152] A further embodiment of an Anti-Tamper Marking Indicia **42AM** is as an Embedded Anti-Tamper Marking Indicia **42EM** is illustrated in FIG. **16B**. As illustrated therein, the Embedded Anti-Tamper Marking Indicia **42EM** is again disposed in a circular pattern on the End Face **184** of a Firing Pin Tip **170** and wherein the circular pattern is centered about Firing Pin Axis **174**. In this embodiment, as may be seen from FIG. **16B**, the Embedded Anti-Tamper Marking Indicia **42EM** is physically encoded as a sequence of Encoded Bits **186B** recessed into the surface of End Face **184** and separated by Encoded Lands **186L**, which would typically be comprised of the original surface of End Face

184, so that Encoded Bits **186B** and Encoded Lands **186L** are functionally similar and analogous to Encoded Grooves **172G** and Encoded Lands **172L**.

[0153] In the present embodiment, the Embedded Anti-Tamper Marking Indicia **42EM** is preferably encoded in the same general manner and using the same code as the Radial Bar Code Marking Indicia **42RM** discussed above, but may be encoded by other methods, such as discussed with regard to an encoded multi-dimensional indicia or encoded concentric circular barcode, so long as the Embedded Anti-Tamper Marking Indicia **42EM** is encoded in Encoded Bits **186B** and Encoded Lands **186L**.

[0154] In the present embodiment of an Embedded Anti-Tamper Marking Indicia **42EM**, Encoded Bits **186B** are formed of relatively narrow but deep holes extending axially into the body of the Firing Pin **38** from End Face **184** and for an Encoded Depth **188** similar to and analogous to Encoded Length **176**, being a greater in depth than Firing Length **168F**. For example, Encoded Bits **186B** may be up to several millimeters deep and as large as the area between the outer cylinder and the **42M** area will allow, and may be formed, for example, by laser etch; mechanical drilling; electro discharge machining; or any other known drilling process.

[0155] In accordance with the present invention, any attempt to shorten the Firing Pin **38** by a length sufficient to remove the Embedded Anti-Tamper Marking Indicia **42EM**, and perhaps a Radial Bar Code Marking Indicia **42RM**, will again result in the Firing Pin **38** being rendered inoperative. In this regard, it must be noted that because an Embedded Anti-Tamper Marking Indicia **42EM** is "embedded" in the body of the tip of a firing pin, the removal of a Radial Bar Code Marking Indicia **42RM** by grinding or etching of the circumference of the firing pin will not remove or otherwise effect the Embedded Anti-Tamper Marking Indicia **42EM**. In fact, an Embedded Anti-Tamper Marking Indicia **42EM** can effectively be removed only by removing the entire tip of the firing pin for a distance that will leave the firing pin inoperative.

[0156] Lastly in this regard, it will be noted and understood by those of ordinary skill in the relevant arts that an Embedded Anti-Tamper Marking Indicia **42EM** may be implemented in a number of variant forms. For example, the holes forming Encoded Bits **186B** may be filed with metal or other material having a different hardness, or ductility, than the material forming the main body of the firing pin, so that the difference in hardness or ductility between Encoded Bits **186B** and the firing pin result in differential imprinting of the Anti-Tamper Marking Indicia **42EM** in the material of a Primer **20**. The material may be deposited or formed in the holes of Encoded Bits **186B** by a number of methods, including, for example, vapor deposition and electro-plating.

[0157] In other embodiments, Encoded Bits **186B** and Encoded Lands **186L** may be comprised of wires, bars or rods of material having different hardnesses and ductilities from each other and from the material comprising the body of the firing pin, the differences in hardness and ductility again causing differential imprinting into a Primer **20** and thus a readable imprinting of the indicia. These rods, bars or rods may then be assembled around a cylinder of suitable material, or in groove or slots in the outer face of the cylinder, and the assembly inserted into an axial opening in the firing pin tip, which would then be formed into a desired shape.

[0158] I. Indicia Reading Apparatus And System With Geophysical Position Location and Communications

[0159] It is often necessary or desirable in the investigation of a shooting scene or incident to identify not only each cartridge fired, and the firearm that fired the cartridge, but the find location of the cartridge to within the closest possible measurements, which could be to within a few inches, particularly in instances involving multiple firearms or multiple shots from a given firearm. As described, however, traditional methods require an investigation team to attach an identification to a cartridge case, for example, by placing the case in an evidence bag, and to determine the location of the case, usually by photographs or by physical measurement from a selected point, and to record the identification of the case and the location at which it was found. The cartridge case is then sent to a laboratory to identify and record any identifying "ballistic finger prints" or "scratch and ding" marks, which may then be matched up with a firearm, if available. It will be appreciated, therefore, that the present methods, which involve multiple, separate pieces of evidence and information and multiple handling and recording of the evidence by many persons over an extended period of time, often months or years, provides rich opportunities for error and loss of evidence.

[0160] A hand-held, portable Indicia Reading Device **148** has been described herein above with reference to **FIGS. 13A and 13B** and, as described, contains the elements and functions necessary for scanning and reading any Identification Indicia **42I** present on any surface of a Cartridge Case **16**, including the surfaces of the Primer **20**, and including virtually any form of Identifying Indicia **42I**. Although described above with regard to the identification and reading of Encoded Hologram Multi-Dimensional Barcodes (EHMDBs), Encoded Holograms (EHs), and Encoded concentric Circular Barcodes (ECCBs), it will be recognized and understood that an Indicia Reading Device **148** is likewise capable of identifying and reading virtually any other form of Identifying Indicia **42I**, including, for example, the Radial Bar Code Marking Indicia **42RM**, Anti-Tamper Marking Indicia **42AM** and Embedded Anti-Tamper Marking Indicia **42EM** described just above.

[0161] As described, the basic Reading Device **148** described herein above includes an Indicia Imaging Apparatus **82** and Image Processing System **106**, and a Control Panel **150** for controlling the functions and operations of the EHMD Reading Device **148**. Also included is a Display **152** for displaying either or both of any Identifying Indicia **42I** located on either a cartridge casing wall or a cartridge casing base or the primer in the cartridge case base and the decoded and translated information encoded in, for example, an Encoded Multi-Dimensional Indicia (EMDI) **114**.

[0162] A Reading Device **148** further includes a Specimen Port **154** for receiving and holding a Cartridge Case **16** to be inspected, with illumination sources, optical imaging elements and image capture elements arranged therein to scan and capture Identification Indicia **42** images from the surfaces of the Cartridge Case **16** in the manner described with respect to Indicia Imaging Apparatus **82** and Image Processing System **106**. In this regard, Specimen Port **154** includes a Specimen Mounting Device **90** capable of receiving, for example, a Cartridge Case **16** base end first and of holding and positioning the Cartridge Case **16**, either manually or

automatically, so that all surfaces of interest of the Cartridge Case **16** may be scanned by one or more imaging systems and elements therein. A Cartridge Case **16** may thereby be inserted into Specimen Port **154**, typically base first, and is retained and manipulated by a Support Device **90** which is preferably adaptable to different sizes of Cartridge Case **16** by means of adaptable or adjustable restraining members (not shown).

[0163] Base **22** and Sidewall **16W** of the Cartridge Case **16** are viewed through separate optical paths wherein Base **22**, which will be in a relatively fixed position when the Cartridge Case **16** is held in Support Mechanism **90**, is viewed through Axial Optical Elements **85A**. As indicated, a ring Illuminator **94A** surrounding the optical path from Axial Optical Elements **85A** and Base **22** may be located along the axial optical viewing path for optimum controllable illumination of Base **22** and the Axial Optical Elements **85A**. Illuminator **94A** may also include various forms of filters. Illuminator **94A** may also be adjustable with regard to the illuminating radiation and perhaps the angle of incidence of the illumination on Base **22**.

[0164] The Sidewall **16W** is viewed through a radial optical path that includes a Prism Element **85B** which turns the radial viewing path through two right angles so that an image of Sidewall **16W** is routed to an Optical Element **85C**. As shown, Optical Element **85C** combines the radial and sidewall viewing paths through Axial Optical Elements **85A** and Prism Element **85B** to form a single viewing path through an Optical Magnifying Mechanism **84** and to an Image Capture Device **98**. A second Illuminator **94B** similar to Illuminator **94A** is associated with Prism Element **85B** to provide the appropriate illumination on Sidewall **16W**, and various forms of filters may be interposed in the optical path through Prism Element **85B**.

[0165] According to the present further embodiment of the invention, the capabilities and functions of a Reading Device **148** are further extended in an evidence collection and recordation device that further includes, among other functions, mechanisms for identifying, recording and communicating the find locations and identifying indicia of cartridge cases, as well as providing general communications, navigation and position determining functions.

[0166] In this regard, it should be noted that at present there are a wide variety of personal electronic support devices readily available, such as cell phone, cell phones with graphic and Internet capabilities, "walky-talkies", personal digital assistants, palmtop computers, geographical position location devices, and so on. In addition, recent developments in such devices are in the direction of integrating the functions of these devices into single, combined devices, usually based entirely on digital technologies.

[0167] According to the present invention, such recent developments in technology are integrated with the functions of a Reading Device **148** to comprise a Firearm Evidence Support (FES) Device **200**. As illustrated in **FIG. 18**, a FES Device **200** is functionally comprised of a Reading Device **148** module and a Communications/Position Module **202** wherein the Reading Device **148** module contains the elements and functionality necessary to scan, locate and read Identifying Indicia **42I** on a Cartridge Case **16**.

[0168] As illustrated, the Communications/Position Module **202** includes a Communications Module **202C** that is

generally and functionally similar to and derived from current digital cell phone/walkie-talky devices, but adapted to the needs of security and law enforcement organizations, such as security of communications and assurance of available bandwidth and communications path availability. A Communications Module **202C** may include, for example, bidirectional local and wide area Network Controllers **202CN** providing communications with Internet and security/law enforcement networks, as well as local, person to person walkie-talky type communications. The Communications Module **202C** will typically include an Encryption Mechanism **202CE** for transmission and reception of secure, encrypted communications, and a wireless Transmitter/Receiver Unit **202TR** for cell phone, radio, walkie-talky and other wireless type communications. In this regard, the Communications Module **202C** and will typically have the communications capacity for high speed, high resolution graphics transmission and reception, such as required to upload Identification Indicia **42I** and photographs and to download similar graphics data.

[**0169**] As indicated, Communications Module **202C** will also include Port Drivers **202CP** and corresponding connectors to allow an FES Device **202** to be physically connected to other devices and systems, such as another FES Device **202**, a computer system or another device, such as a personal digital assistant or a palm computer, either directly or, for example, indirectly through a wired network. This connectivity allows downloading and uploading of data to and from the FES Device **202** a memory or mass storage device in the FES Device **202**, such as the Identifying Indicia **42** and locations of Cartridge Cases **16**, the geophysical location of the user, and photographs taken by a camera built into or attached to the FES Device **202**, possibly including the position and orientation of the photographs.

[**0170**] The Communications/Position Module **202** will typically further include a Navigation Module **202N** including, for example, a Geographical Position Sensor (GPS) **202NG** of the general type well known in the art, or may include a Local Positioning Device **202NL** which determines the position of the unit with respect to a locale Base Point **204**, or may include both devices. As is well known, a GPS **202NG** is capable of determining the position of the device at literally any point in the country, or in the world, to within a few feet, or meters. A Local Positioning Device **202NL**, however, is a local area position determining system that operates over a relatively small area but that is capable of determining the position of the unit relative to the Base Point **204** to within a very few inches.

[**0171**] A Processor Module **202P** contains a programmable Processor **202PP** for controlling and coordinating the operations of the elements comprising a Firearm Evidence Support (FES) Device **200** and will typically include an associated Memory **202PM**, including a mass storage device such as a "flash card", for storing data and programs. Input/Output Unit **202PU** will include input and display devices, such as a keyboard and display or a touchscreen input/output device, designated generally as User Interface **202PU**, and may function as the Control Panel **150** of the Reading Device **148** as well as providing control and display functions for all operations of Communications Module **202C** and Navigation Module **202N**. The Input/Output Unit **202PU** will typically include an Audio Input/Output Unit

202PA, such as a microphone with a speaker or earphone(s), and may include a Camera **202PC** for obtaining and recording or transmitting pictures.

[**0172**] As described above, a Firearm Evidence Support (FES) Device **200** will also include a Reading Device **148**, which has been described in detail herein above, wherein the Reading Device **148** is interconnected with and interoperative with the Processor Module **202P**, the Navigation Module **202N** and the Communications Module **202C**.

[**0173**] According to the present invention, therefore, the Communications/Position Module **202** of a Firearm Evidence Support (FES) Device **200** provides all communications and navigational/position finding functions for an individual user, including voice, data and graphics communication over a variety of networks, which will usually be secure, private networks optimized for security and law enforcement needs, and thereby provides all of the essential support/communications functions for the user. As indicated, the device is also capable of taking and transmitting graphics, such as photographs, as well as of receiving graphics, including photographs.

[**0174**] The Reading Device **148** associated with a FES Device **200** allows Cartridge Cases **16** found at an investigative scene to be scanned and any Identifying Indicia **42I** found thereon to be read and stored in the FES Device **200** or to be communicated to a remote or local site for recording. In this regard, the Navigational Module **202N** allows the position of a Cartridge Case **16** to be determined, associated with the Identifying Indicia **42I**, if any, and recorded in the FES Device **200** or transmitted to a remote or local site to be recorded together with any associated Identifying Indicia **42I**, at the time each Identifying Indicia **42I** is scanned and read, and as part of the same operation. The find location of any Cartridge Case **16** can thereby be determined to within a few feet meters or inches, depending upon the capabilities of the Navigation Module **202N**, and quickly and easily associated with any Identifying Indicia **42I** found thereon and recorded, all without the need for other than the minimum human intervention.

[**0175**] Further in this regard, it should be noted that while the basic FES Device **200** serves a wide range of generally desired functions, the Reading Device **148** in itself may not be required in each FES Device **200**, at least not at all times. For this reason, it will be understood that the Reading Device **148** may be embodied as a "plug-in" device to a FES Device **200**, becoming operative with the Communications/Position Module **202** when "plugged into" the Communications/Position Module **202**. The Reading Device **148** thereby need not be "built into" each FES Device **200**, but may be employed only when desired or necessary and only with selected FES Devices **200**.

[**0176**] In addition, it must be noted that the imaging mechanisms contained in a Reading Device **148**, whether used alone or in conjunction with or as part of a FES Device **200**, are capable of imaging and reading a wide variety of image type images, as well as Indicia **42**. For example, not only can the Reading Device **148** imaging mechanisms detect and image such marking as "ballistic finger prints" or "scratch and ding" images, but will also be capable of detecting and imaging such visual data as latent fingerprints.

[**0177**] Lastly, it will be understood that the FES Device **200** will include the necessary power supply system, which

will typically be rechargeable batteries, and will thereby further include a recharger connector.

[0178] Since certain changes may be made in the above described method and system, without departing from the spirit and scope of the invention herein involved, it is intended that all of the subject matter of the above description or shown in the accompanying drawings shall be interpreted merely as examples illustrating the inventive concept herein and shall not be construed as limiting the invention.

1.-22. (canceled)

23. A method for reading an encoded multi-dimensional indicia for marking on an object and representing selected information, comprising the steps of:

viewing the encoded multi-dimensional indicia according to at least one spectral encoding variable,

each spectral encoding variable corresponding to a spectral encoding variable employed in creating the encoded multi-dimensional indicia,

reading an encoded pattern representing a multi-dimensional array of encoded marks represented the selected information, and

decoding the encoded pattern of encoded marks with an inverse algorithmic transform of an algorithmic transformation employed in generating the encoded pattern from the selected information.

24. The method for reading an encoded multi-dimensional indicia for marking on an object and representing selected information of claim 23 wherein at least one spectral encoding variable is a selected spectral illumination.

25. The method for reading an encoded multi-dimensional indicia for marking on an object and representing selected information of claim 23 wherein at least one step of viewing the encoded multi-dimensional indicia according to a spectral encoding variable includes viewing the indicia with a corresponding filter.

26. The method for creating an indicia for marking on an object for representing selected information of claim 23 wherein the encoded pattern is an encoded hologram multi-dimensional barcode.

27. The method for creating an indicia for marking on an object for representing selected information of claim 23 wherein the encoded pattern is one of an encoded hologram and an encoded concentric circular barcode.

28. The method for creating an indicia for marking on an object for representing selected information of claim 24 wherein a spectral encoding variable is one of a wavelength of radiation used in encoding a hologram and a working distance of a hologram.

29. The method for creating an indicia for marking on an object for representing selected information of claim 23 wherein each spectral encoding variable has a unique effect in determining the encoded pattern of marks.

30. The method for creating an indicia for marking on an object for representing selected information of claim 27 wherein a concentric circular barcode comprises an array of concentric ring patterns wherein each ring pattern is a circular based intensity encoding of a corresponding information item.

31. The method for creating an indicia for marking on an object for representing selected information of claim 23 further comprising the step of conjoining an algorithm related artwork with the encoded pattern.

32. Imaging and image capture apparatus for reading an encoded multi-dimensional identification indicia marked on a cartridge case discharged a firearm and representing selected information identifying the firearm, comprising:

in a self contained unit,

a specimen port having therein a mounting device for receiving and holding a cartridge case;

a viewing mechanism including

an imaging mechanism having a viewing axis substantially perpendicular to an indicia bearing surface of a cartridge for obtaining images of an encoded indicia thereon;

a spectral illuminator for illuminating the indicia bearing surface of the cartridge case with at least one spectral encoding variable according to a corresponding encoding process, wherein

each spectral encoding variable corresponds to a spectral encoding variable employed in creating the encoded indicia;

an image capture mechanism including a focusing mechanism for automatically adjusting the focus of the image of an indicia on the indicia bearing surface of the cartridge, and

capturing at least one spectrally illuminated image of an indicia on the indicia bearing surface of the cartridge case,

a captured image including an encoded pattern representing a multi-dimensional array of encoded marks represented the selected information, and

an image decoding mechanism for decoding the encoded pattern of encoded marks with an inverse algorithmic transform of an algorithmic transformation employed in generating the encoded pattern from the selected information.

33.-45. (canceled)

46. A firearm evidence support device, comprising:

a reading device and a communications/position module,

the communications/position module including

a communications module including a network controller and port drivers,

a navigation module including a geographical position sensor and a local positioning device,

a processor module,

an input/output device, and

a reading device for obtaining identification image information from a cartridge case.

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