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(54) **FOOD TRACING AND TRACKING SYSTEM AND METHOD**

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(57) **ABSTRACT**

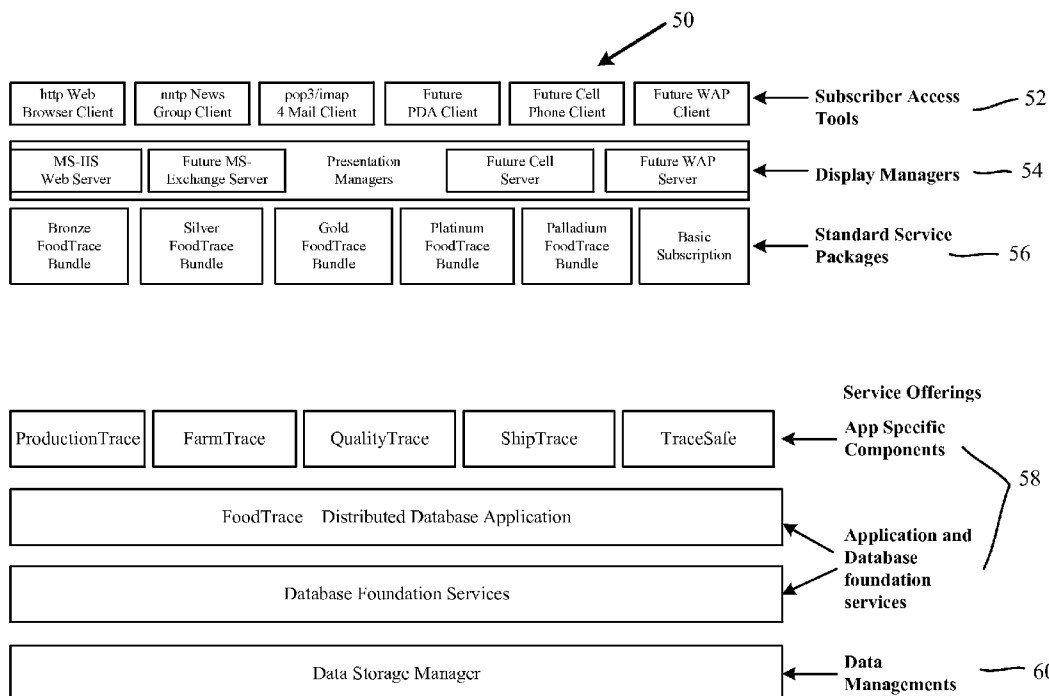
A food tracing and tracking system, method, and computer-program product are provided. The present invention allows companies that operate within these supply-chains, to exchange information bi-directionally throughout the entire supply-chain while maintaining data integrity and appropriate levels of security at all times and in real-time. The present invention enables a continuous linkage across the supply-chain-entities and changing of supply-chain entities in near real-time and ensures data integrity and data security, performs language translation, maintains a continuous history over time without the need for data conversion, and provides each entity within the supply chain the option of publishing their identity and data to the other supply chain entities. New fields can be added as needed for processes and materials. The present invention supports distributed data hosted on various machines by various organizations over a public or private data network.

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(22) Filed: **Feb. 13, 2014**

Related U.S. Application Data

(63) Continuation of application No. 13/736,887, filed on Jan. 8, 2013, which is a continuation of application No. 10/421,630, filed on Apr. 22, 2003, now Pat. No. 8,392, 225.



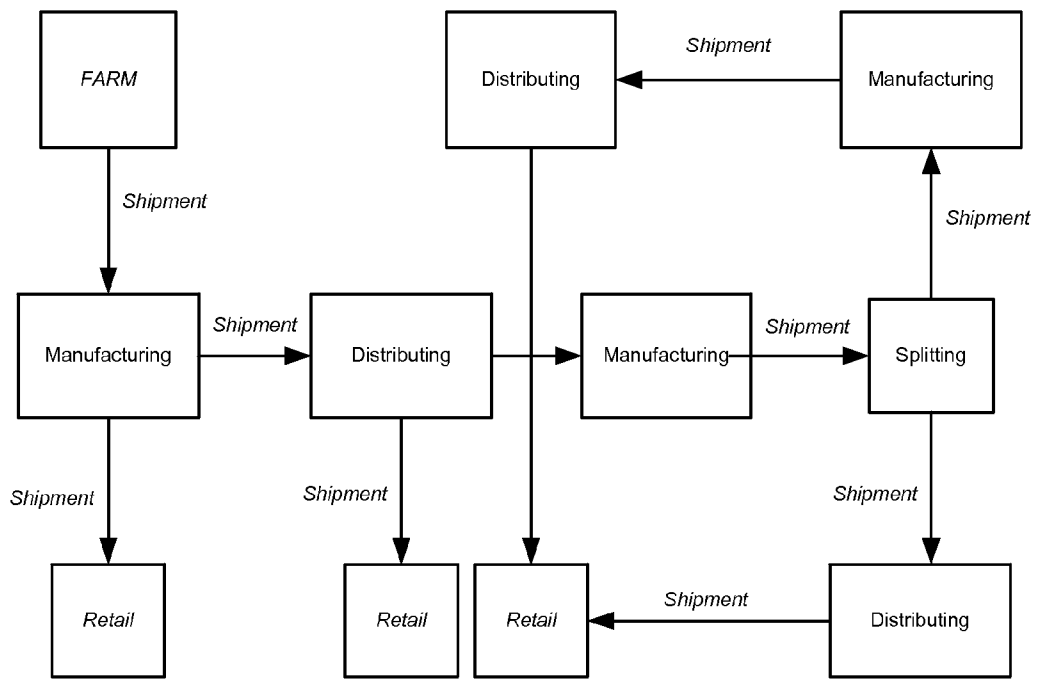


Fig. 1
(Prior Art)

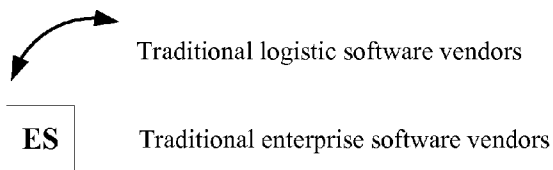
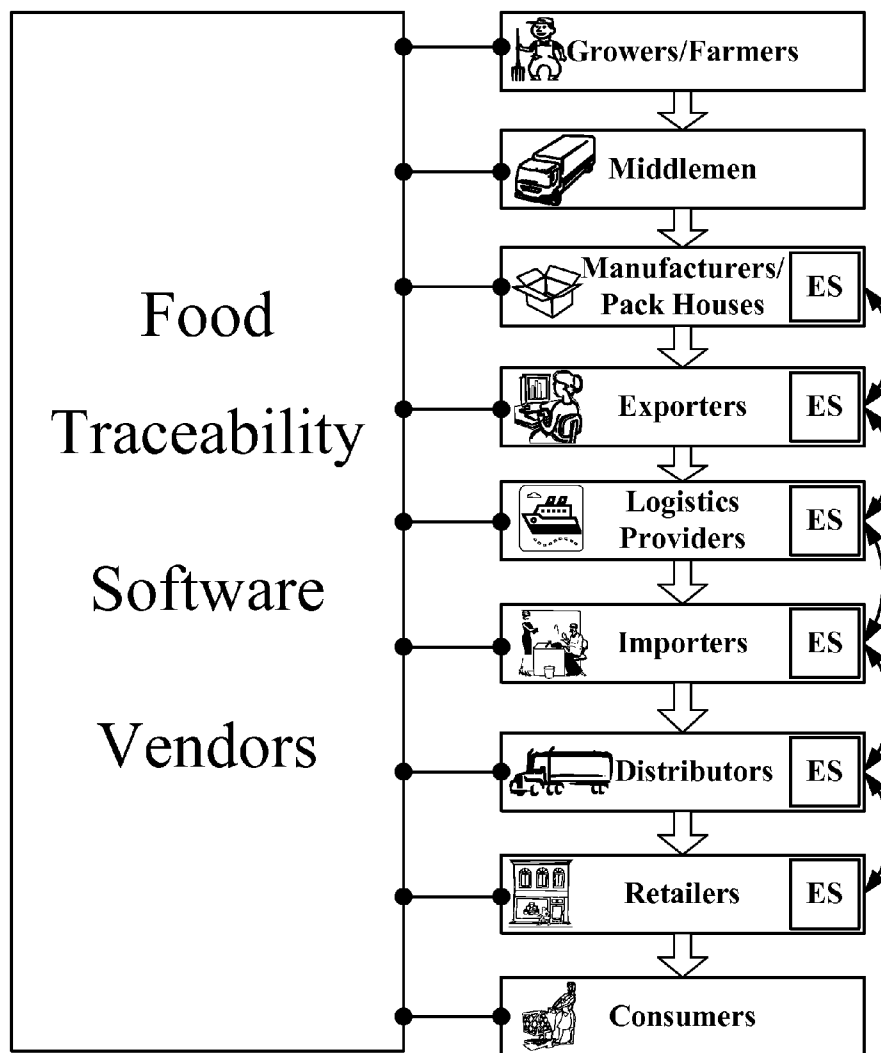


Fig. 2
(Prior Art)

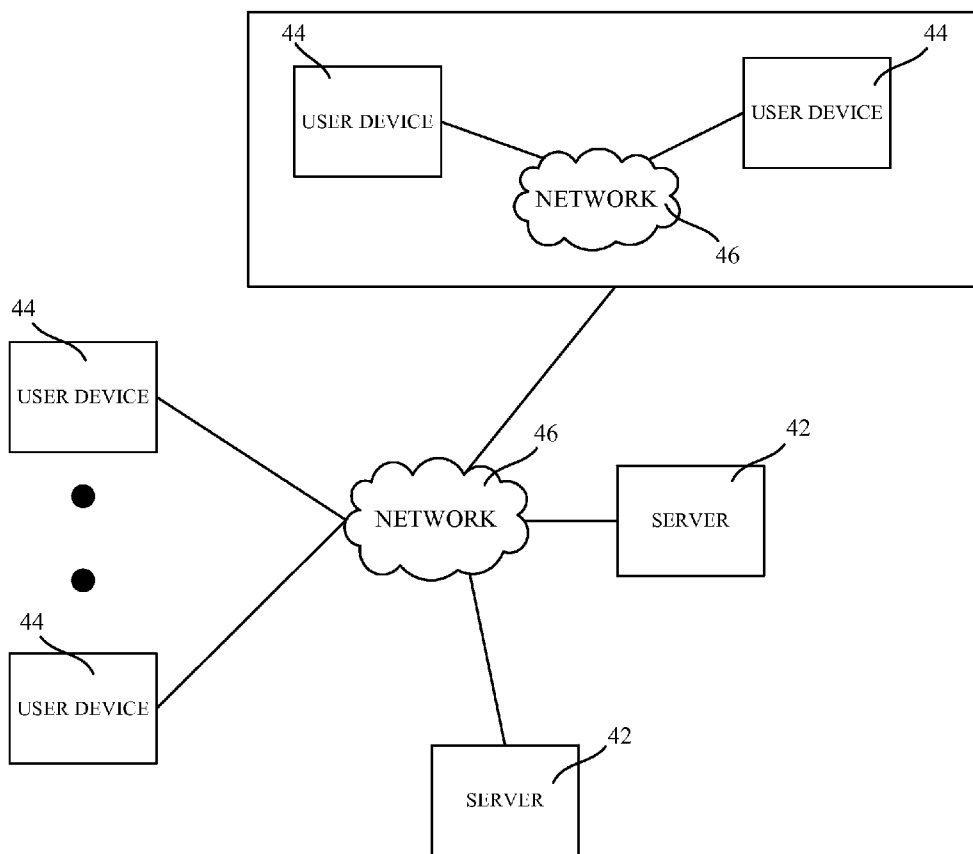


Fig. 3A

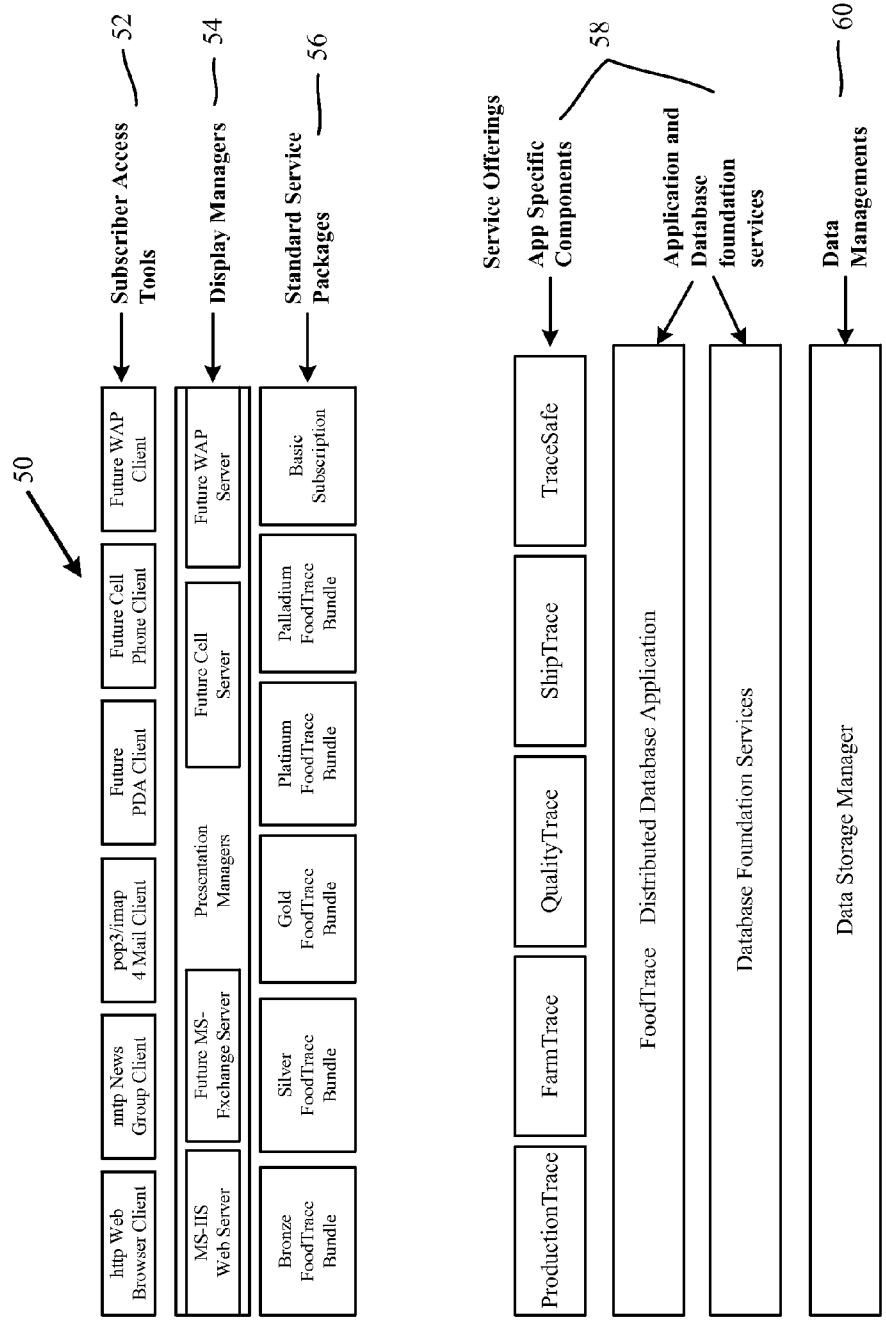


Fig. 3B

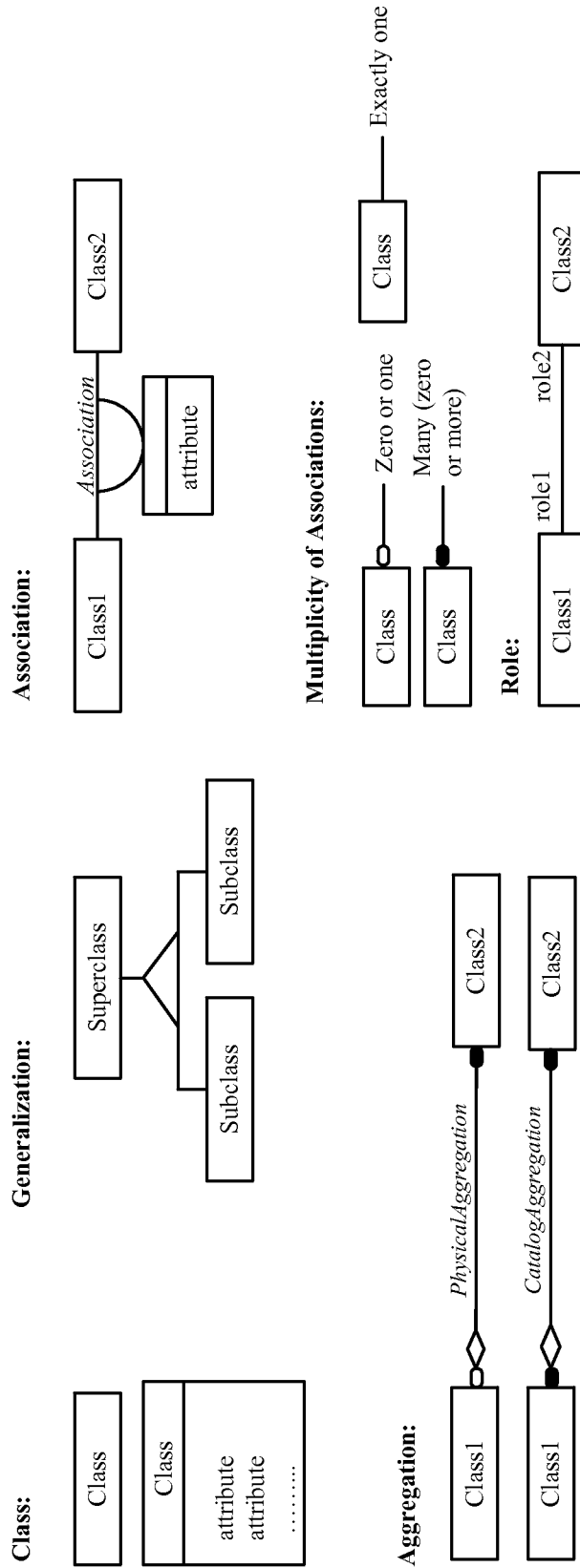


Fig. 4

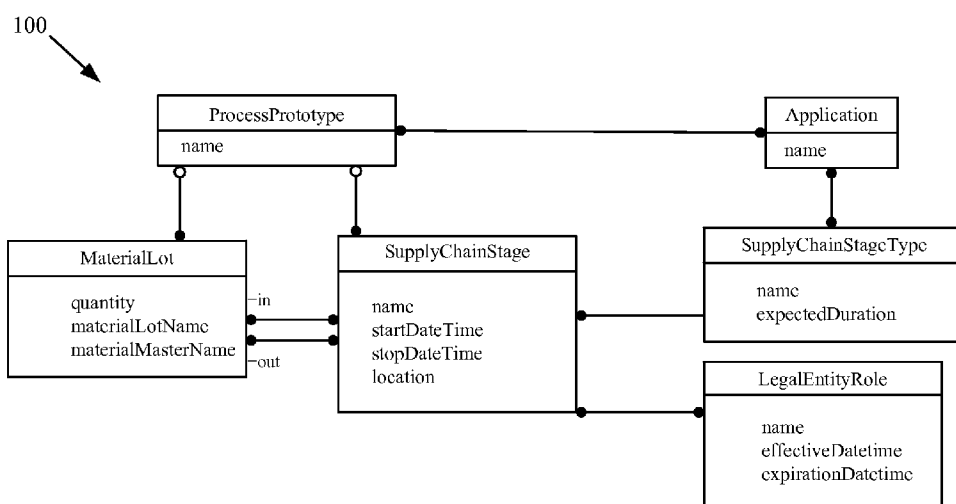


Fig. 5A

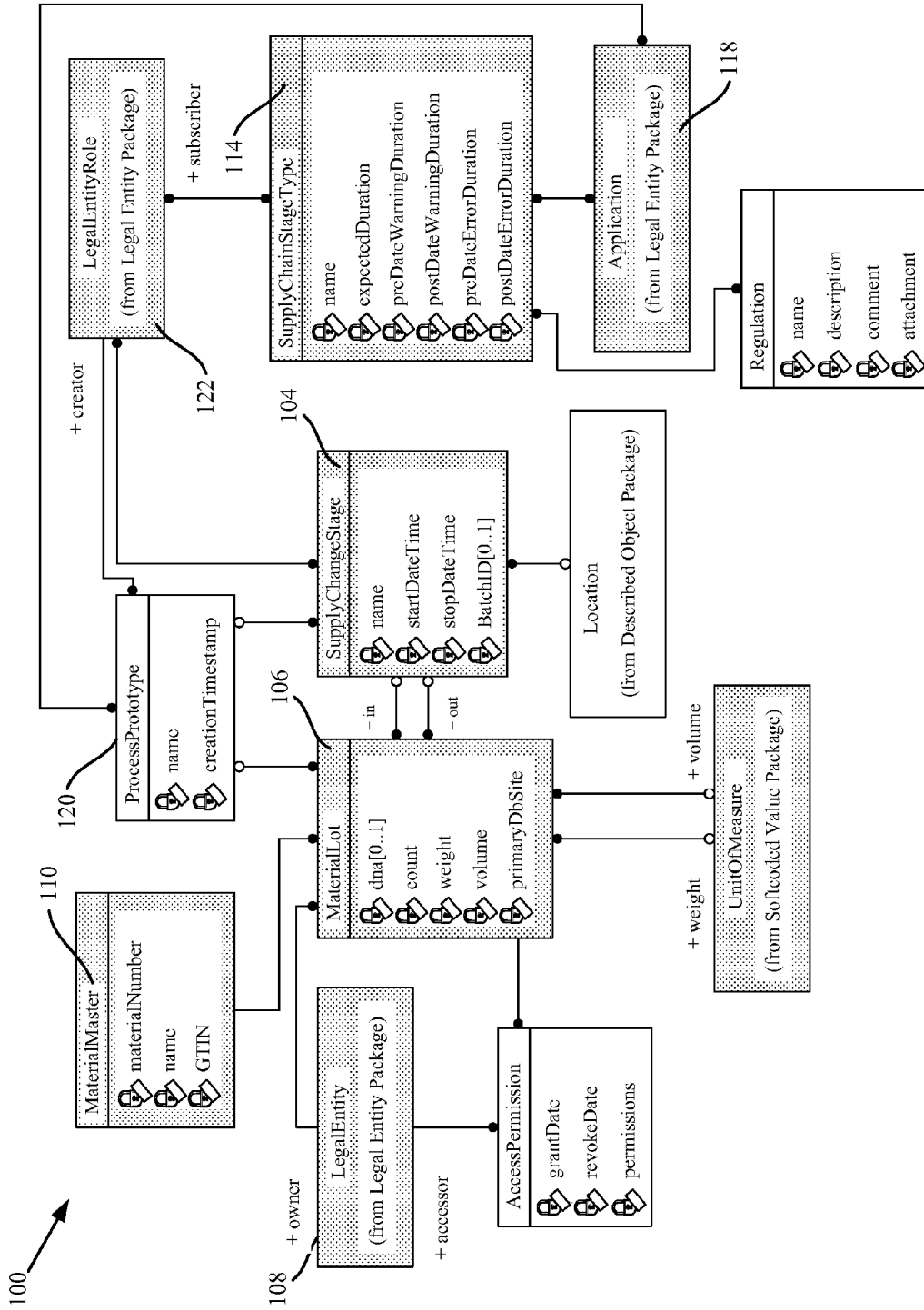


Fig. 5B

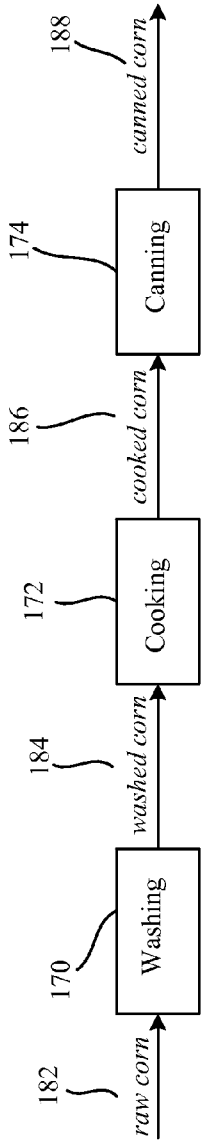


Fig. 6

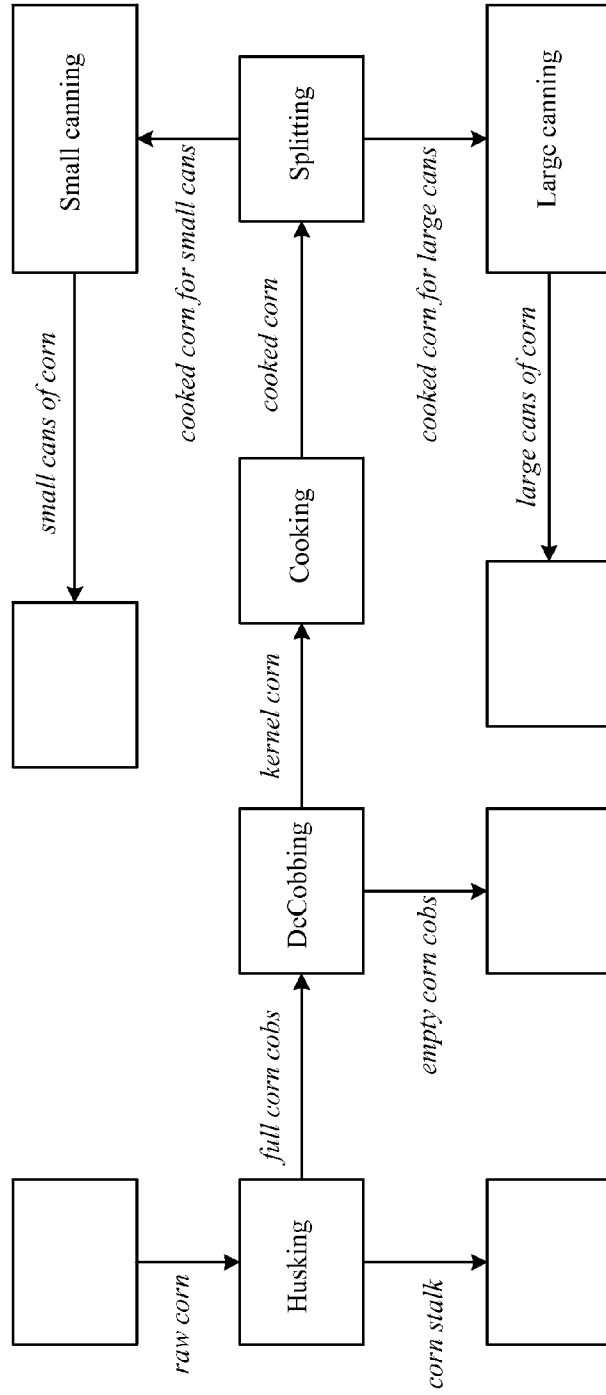


Fig. 7

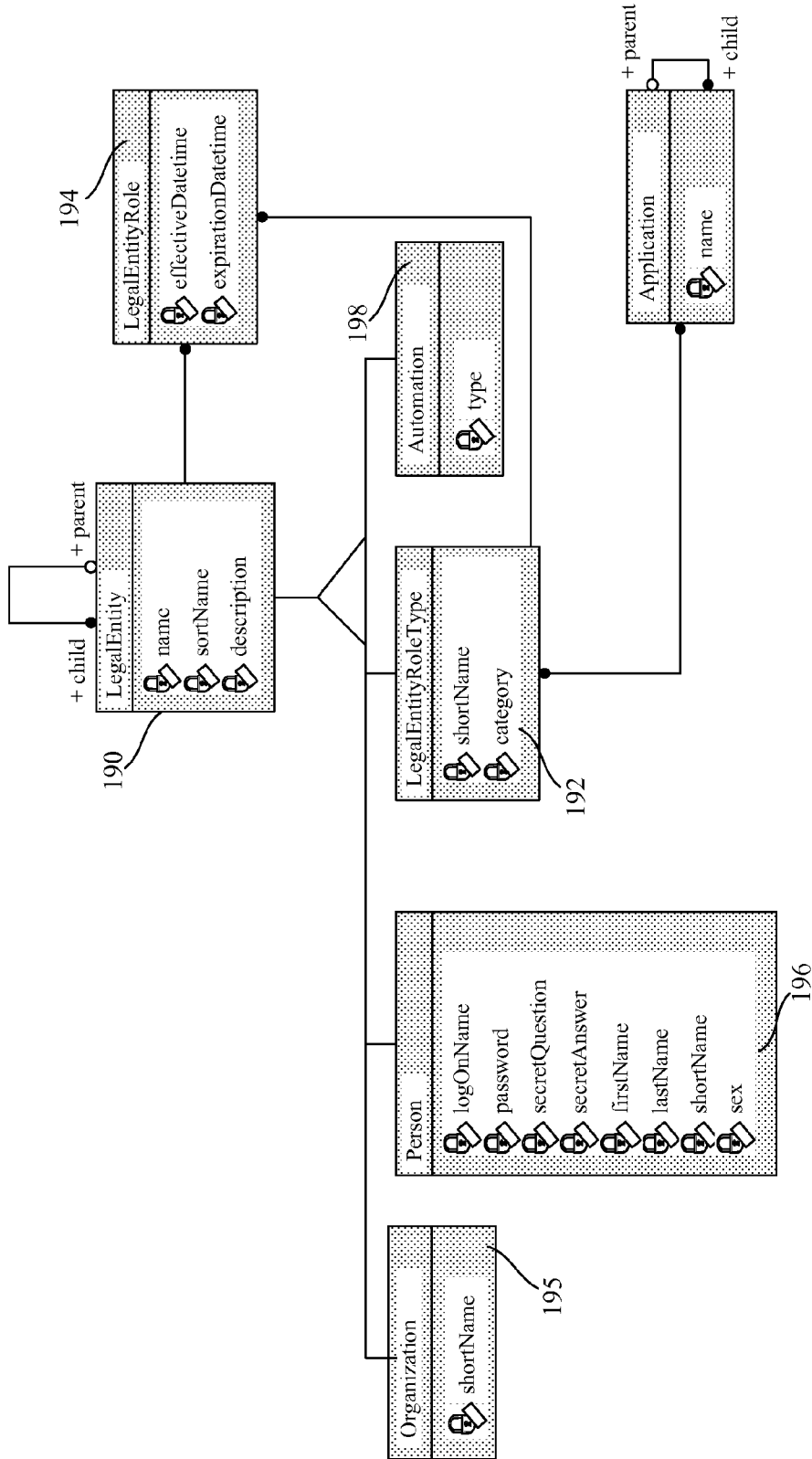


Fig. 8

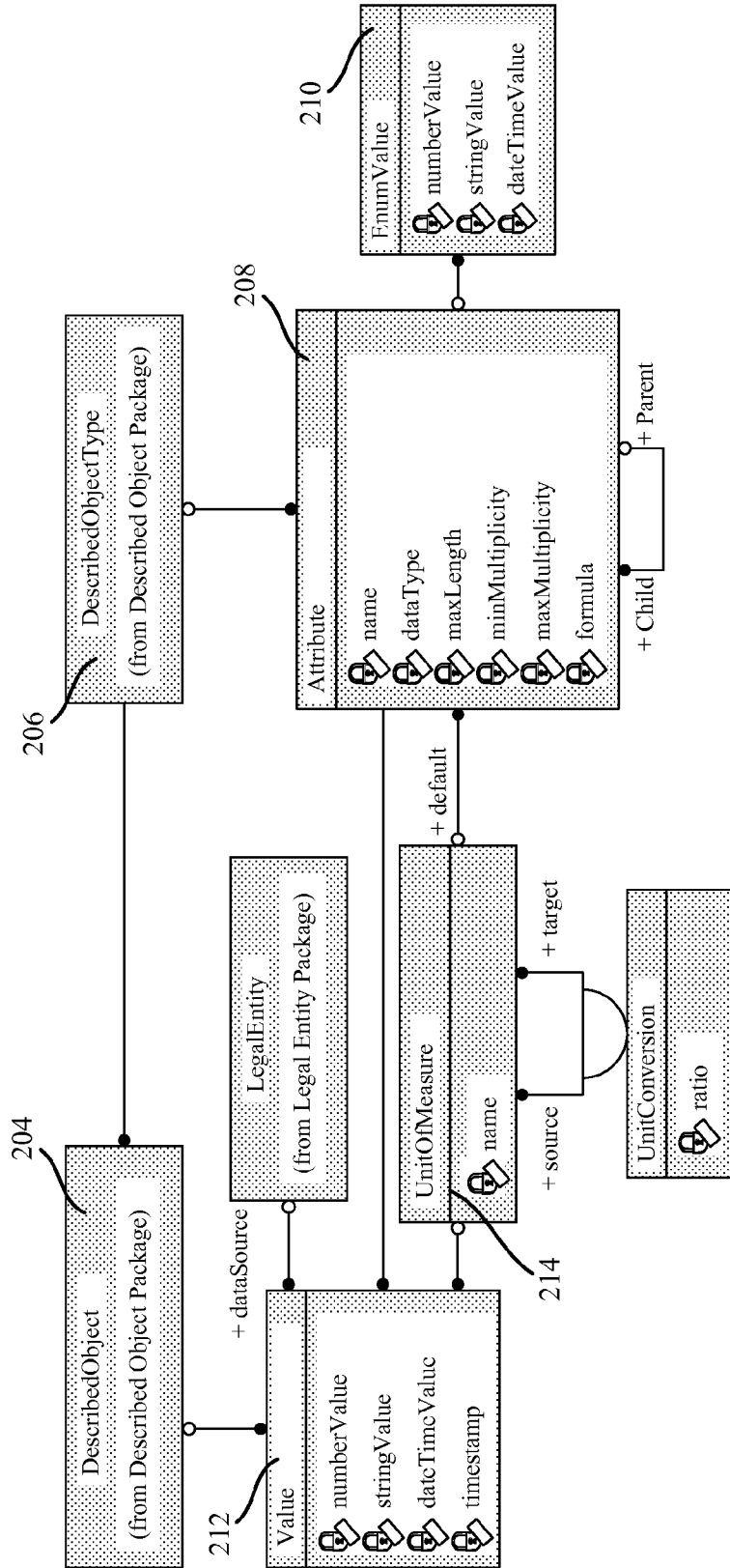


Fig. 9

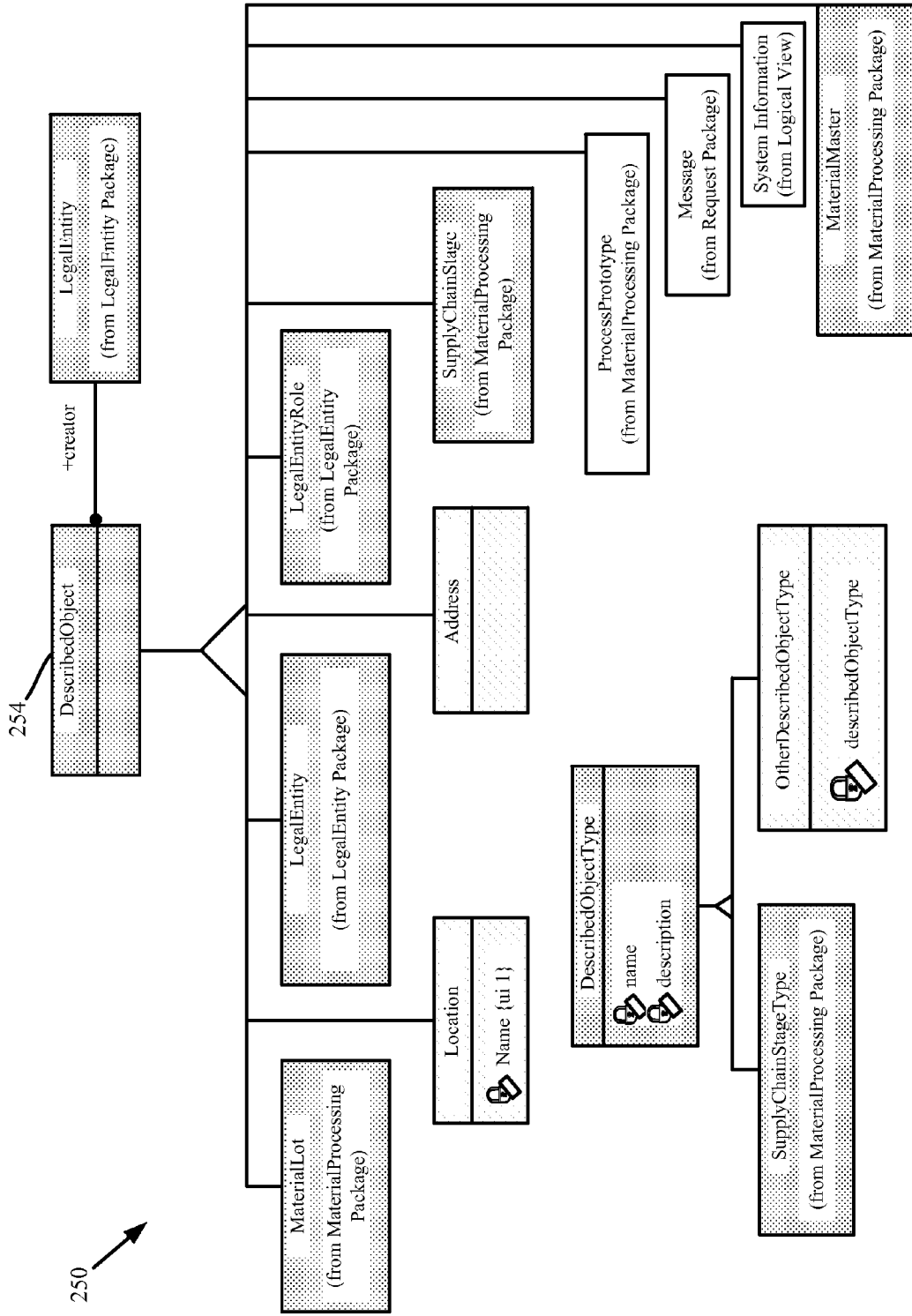


Fig. 10

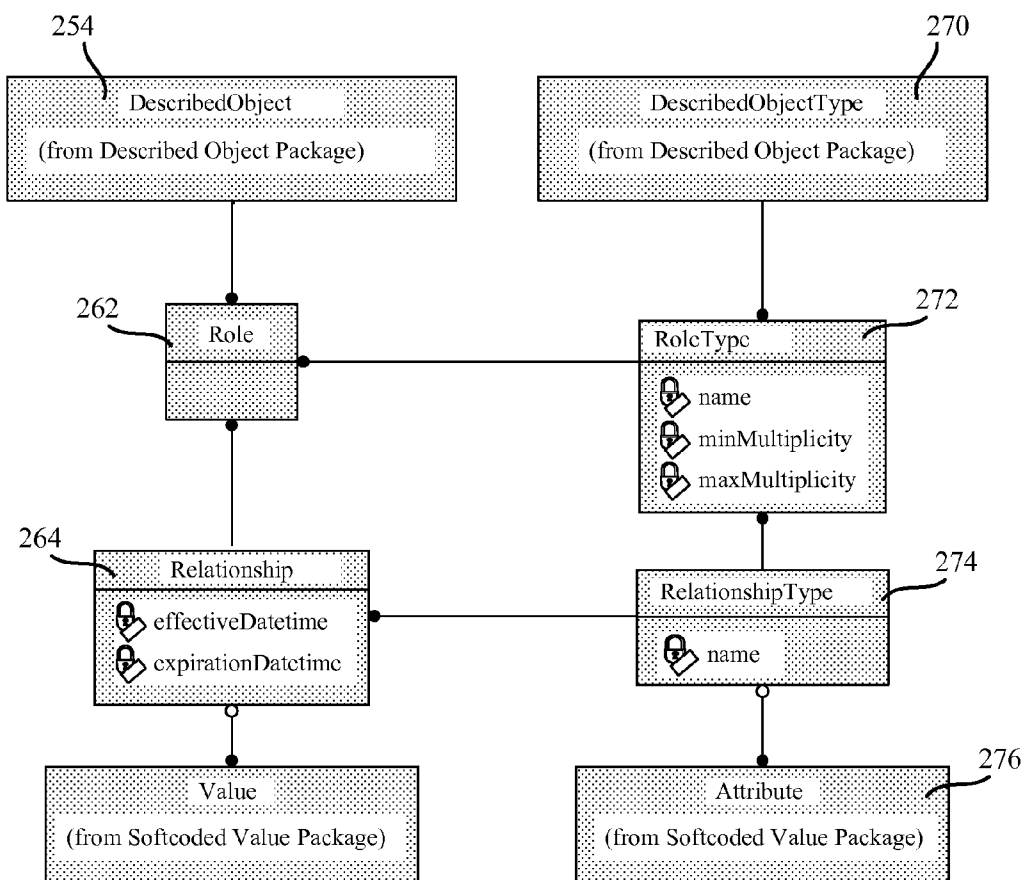


Fig. 11

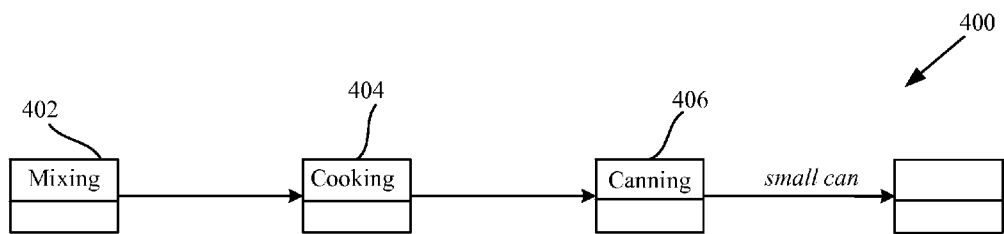


Fig. 12

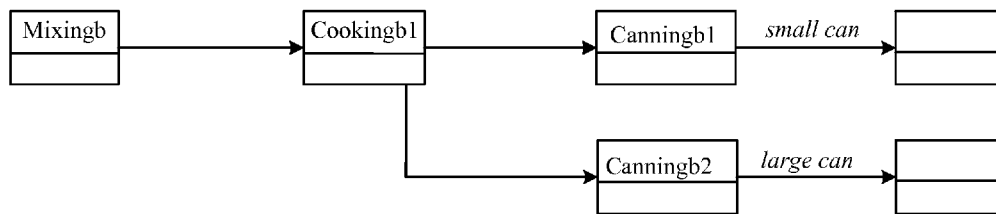


Fig. 13

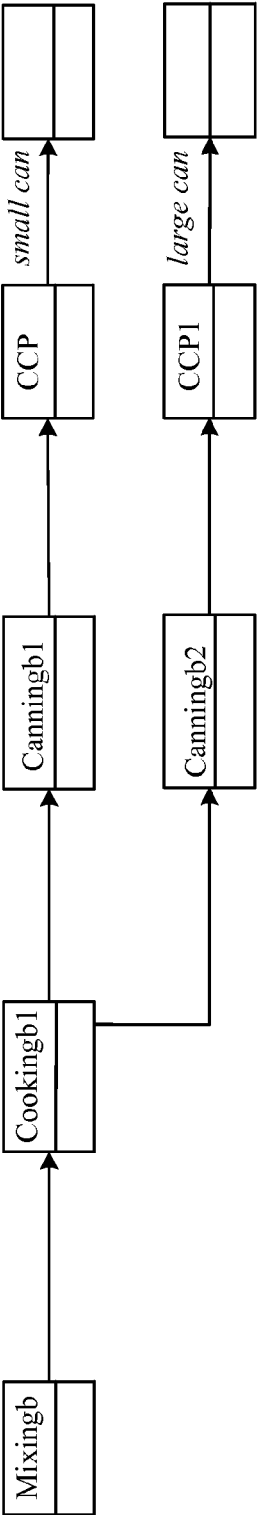


Fig. 14

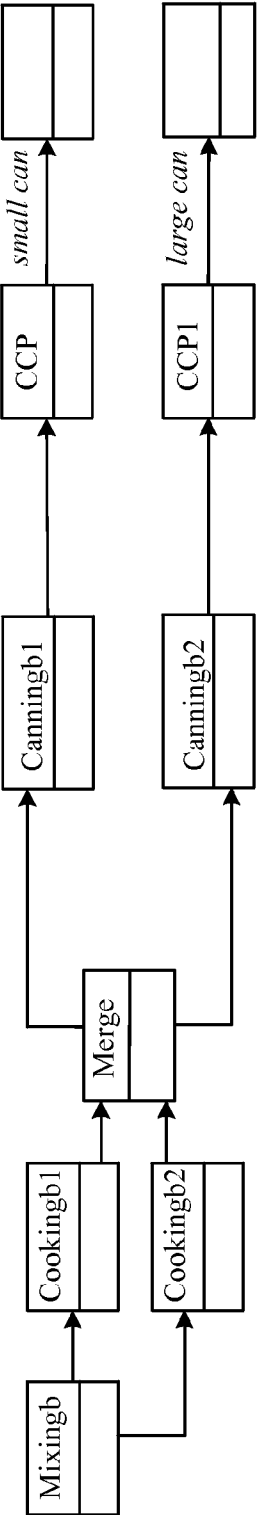


Fig. 15

Oxytetracycline Residue Analysis Report			
Sampling unit	<input type="text" value="101"/>	Analyzed date	<input type="text" value="05-Feb-2003"/> * <input type="calendar" value="19"/>
Test method	<input type="text" value="MICROBIOASSAY"/>		
Details			
Reference no.	<input type="text" value="1001022003"/>	Received date	<input type="text" value="05-Feb-2003"/> <input type="calendar" value="19"/>
Tank (Truck)	<input type="text" value="TTR-1002"/>	Raw material date	<input type="text" value="05-Feb-2003"/> * <input type="calendar" value="19"/>
Raw material time	<input type="text" value="11:42"/>	Source	<input type="text" value="FARM"/>
Sample Type	<input type="text" value="BT CPND"/> ▼	Size	<input type="text" value="4"/>
Test result	<input type="text" value="Not detected"/> ▼	ppb	
Remarks	<input type="text"/>		
Audit log	<input type="text"/>		
Approval information			
Quality Supervisor	<input type="text"/>	Approved date	<input type="text"/> <input type="calendar" value="19"/>
Unit manager	<input type="text"/>	Approved date	<input type="text"/> <input type="calendar" value="19"/>
<input type="button" value="Save"/> <input type="button" value="Preview"/> <input type="button" value="Reset"/> <input type="button" value="Cancel"/>			

Fig. 16

Oxytetracycline Residue Analysis Report			
Sampling unit	<input type="text" value="102"/>	Analyzed date	<input type="text" value="05-Mar-2003"/> * <input type="text" value="19"/>
Test method	<input type="text" value="MICROBIOASSAY"/>		
Details			
Reference no.	<input type="text" value="1001032003"/>	Received date	<input type="text" value="05-Mar-2003"/> <input type="text" value="19"/>
Tank (Truck)	<input type="text" value="TTR-1002"/>	Raw material date	<input type="text" value="05-Mar-2003"/> * <input type="text" value="19"/>
Raw material time	<input type="text" value="11:42"/>	Source	<input type="text" value="FARM"/>
Sample Type	<input type="text" value="BT CPND"/> ▼	Size	<input type="text" value="4"/>
Test result	<input type="text" value="Not detected"/> ▼ ppb		
Remarks	<input type="text"/>		
Audit log	<input type="text"/>		
Approval information			
Quality Supervisor	<input type="text"/>	Approved date	<input type="text"/> <input type="text" value="19"/>
Unit manager	<input type="text"/>	Approved date	<input type="text"/> <input type="text" value="19"/>
<input type="button" value="Save"/> <input type="button" value="Preview"/> <input type="button" value="Reset"/> <input type="button" value="Cancel"/>			

Fig. 17

Chloramphenicol Residue Analysis Report			
Sampling unit	<input type="text" value="102"/>	Analyzed date	<input type="text" value="05-Mar-2003"/> * <input type="text" value="19"/>
Test method	<input type="text" value="ELISA"/>		
Details			
Reference no.	<input type="text" value="1001032003"/>	Received date	<input type="text" value="05-Mar-2003"/> <input type="text" value="19"/>
Tank (Truck)	<input type="text" value="TTR-1002"/>	Raw material date	<input type="text" value="05-Mar-2003"/> * <input type="text" value="19"/>
Raw material time	<input type="text" value="11:44"/>	Source	<input type="text" value="FARM"/>
Sample Type	<input type="text" value="BT CPND"/> ▼	Size	<input type="text" value="4"/>
Test result	<input type="text" value="Not detected"/> ▼ ppb		
Remarks	<input type="text"/>		
Audit log	<input type="text"/>		
Approval information			
Quality Supervisor	<input type="text"/>	Approved date	<input type="text"/> <input type="text" value="19"/>
Unit manager	<input type="text"/>	Approved date	<input type="text"/> <input type="text" value="19"/>
<input type="button" value="Save"/> <input type="button" value="Preview"/> <input type="button" value="Reset"/> <input type="button" value="Cancel"/>			

Fig. 18

Oxytetracycline Residue Analysis Report			
Sampling unit	<input type="text" value="103"/>	Analyzed date	<input type="text" value="05-Jun-2003"/> * <input type="text" value="19"/>
Test method	<input type="text" value="MICROBIOASSAY"/>		
Details			
Reference no.	<input type="text" value="1001062003"/>	Received date	<input type="text" value="05-Jun-2003"/> <input type="text" value="19"/>
Tank (Truck)	<input type="text" value="TTR-1002"/>	Raw material date	<input type="text" value="05-Jun-2003"/> * <input type="text" value="19"/>
Raw material time	<input type="text" value="11:42"/>	Source	<input type="text" value="FARM"/>
Sample Type	<input type="text" value="BT CPND"/> ▼	Size	<input type="text" value="4"/>
Test result	<input type="text" value="Not detected"/> ▼		<input type="text" value="ppb"/>
Remarks	<input type="text"/>		
Audit log	<input type="text"/>		
Approval information			
Quality Supervisor	<input type="text"/>	Approved date	<input type="text"/> <input type="text" value="19"/>
Unit manager	<input type="text"/>	Approved date	<input type="text"/> <input type="text" value="19"/>
<input type="button" value="Save"/> <input type="button" value="Preview"/> <input type="button" value="Reset"/> <input type="button" value="Cancel"/>			

Fig. 19

Chloramphenicol Residue Analysis Report			
Sampling unit	<input type="text" value="I03"/>	Analyzed date	<input type="text" value="05-Jun-2003"/> * <input type="calendar"/>
Test method	<input type="text" value="ELISA"/>		
Details			
Reference no.	<input type="text" value="1001052003"/>	Received date	<input type="text" value="05-Jun-2003"/> <input type="calendar"/>
Tank (Truck)	<input type="text" value="TTR-1002"/>	Raw material date	<input type="text" value="05-Jun-2003"/> * <input type="calendar"/>
Raw material time	<input type="text" value="11:44"/> <input type="clock"/>	Source	<input type="text" value="FARM"/>
Sample Type	<input type="text" value="BT CPND"/> ▼	Size	<input type="text" value="4"/>
Test result	<input type="text" value="Not detected"/> ▼ ppb		
Remarks	<input type="text"/>		
Audit log	<input type="text"/>		
Approval information			
Quality Supervisor	<input type="text"/>	Approved date	<input type="text"/> <input type="calendar"/>
Unit manager	<input type="text"/>	Approved date	<input type="text"/> <input type="calendar"/>
<input type="button" value="Save"/> <input type="button" value="Preview"/> <input type="button" value="Reset"/> <input type="button" value="Cancel"/>			

Fig. 20

Microbiological Analysis Report (Raw Material)

Sampling unit Analyzed date *

Details

Reference no. Sampling date
 Sampling time Order no.
 Sample Type Size
 Tank (Truck) Raw material date *

Microbiological Analysis Details

Description	Results	Remarks
<input type="checkbox"/> APC (cfu/g) 5.0 X 10	Found	Re-heat 400c

Audit log

Approval information

Quality Supervisor Approved date
 Unit manager Approved date

Fig. 21

Trace criteria

Quality code Production code
 Tank no. Raw Material Date

Fig. 22

620

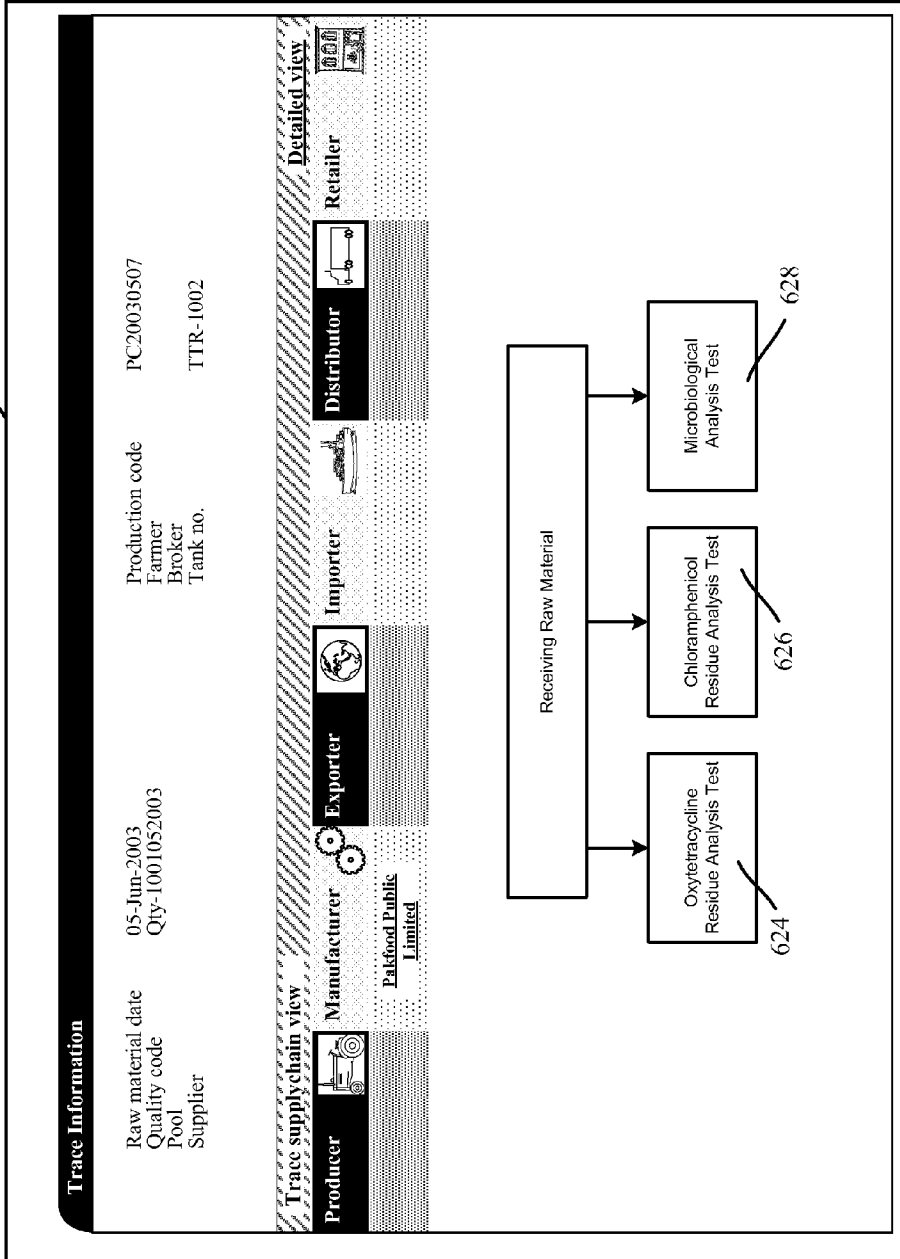


Fig. 23

Pakfood Public Company Limited Oxytetracycline Residue Analysis Report			
Sampling unit	103	Analyzed date	05-Jun-2003
Test method	MICROBIOASSAY		
Details			
Reference no.	1001062003	Received date	05-Jun-2003
Tank (Truck)	TTR-1002	Raw material date	05-Jun-2003
Raw material time	11:42	Source	FARM
Sample Type	BT CPND	Size	4
Test result	Not detected ppb		
Remarks			
Audit log			
Approval information			
Quality Supervisor		Approved date	
Unit manager		Approved date	

Fig. 24

Pakfood Public Company Limited Chloramphenicol Residue Analysis Report			
Sampling unit	103	Analyzed date	05-Jun-2003
Test method	FLISA		
Details			
Reference no.	1001062003	Received date	05-Jun-2003
Tank (Truck)	TTR-1002	Raw material date	05-Jun-2003
Raw material time	11:44	Source	FARM
Sample Type	BT CPND	Size	4
Test result	Not detected ppb		
Remarks			
Audit log			
Approval information			
Quality Supervisor		Approved date	
Unit manager		Approved date	

Fig. 25

Pakfood Public Company Limited Microbiological Analysis Report (Raw Material)			
Sampling unit	103	Analyzed date	05-Jun-2003
Details			
Reference no.	1001062003	Sampling date	05-Jun-2003
Sampling time	11:47	Order no.	T004
Sample Type	BT CPND	Size	5
Tank (Truck)	TTR-1002	Raw material date	05-Jun-2003
Microbiological Analysis Details			
Description	Results	Results	
APC (cfu/g) 5.0 X 10	Pass	Test remarks	
Audit log			
Approval information			
Quality Supervisor	Approved date		
Unit manager	Approved date		

Fig. 26

Trace criteria			
Quality code	<input type="text"/>	Production code	<input type="text"/>
Tank no.	TTR-950	Raw Material Date	10-Mar-2003
		<input type="button" value="Go"/>	<input type="button" value="Reset"/>

Fig. 27

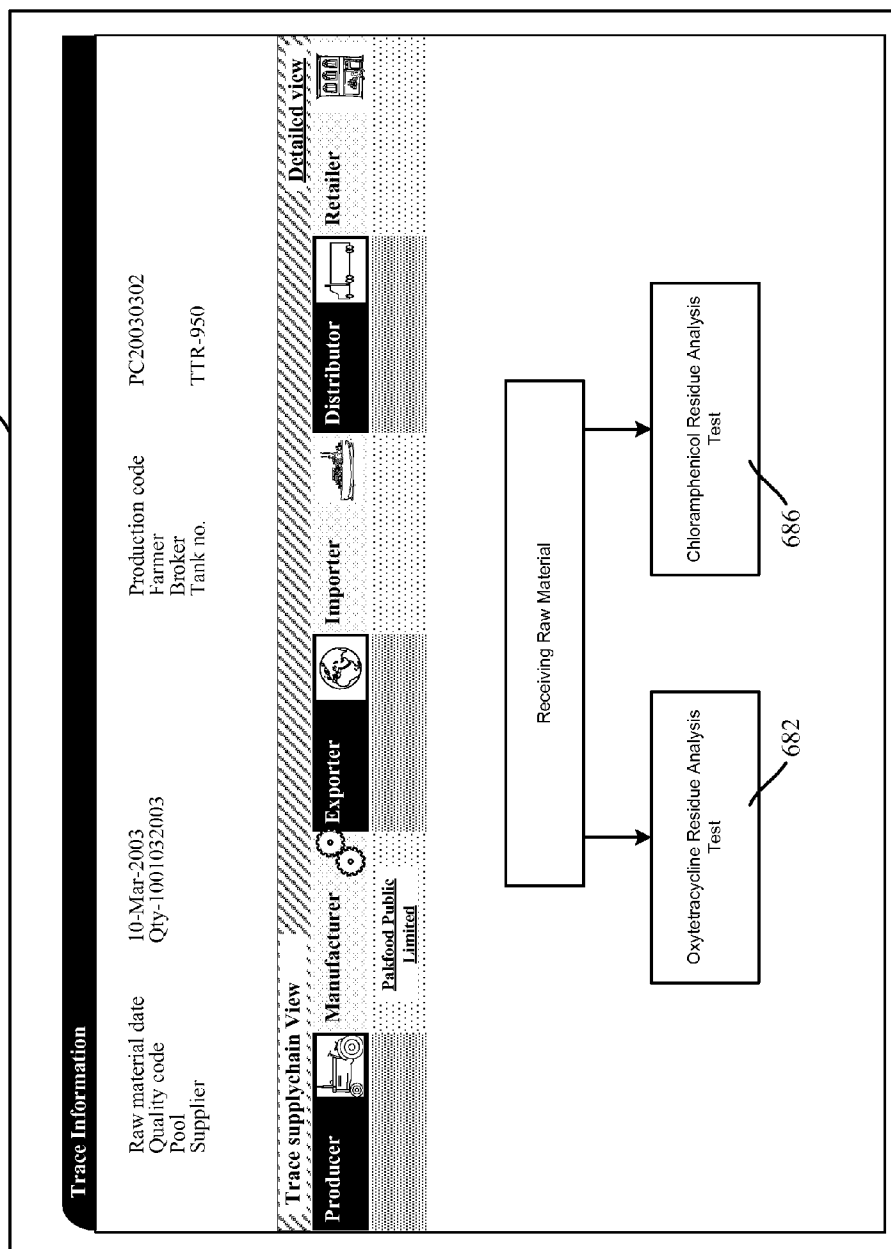


Fig. 28

Pakfood Public Company Limited Oxytetracycline Residue Analysis Report			
Sampling unit	10	Analyzed date	10-Mar-2003
Test method	MICROBIOASSAY		
Details			
Reference no.	1001032003	Received date	10-Mar-2003
Tank (Truck)	TTR-950	Raw material date	10-Mar-2003
Raw material time	11:42	Source	FARM
Sample Type	BT CPND	Size	4
Test result	Not detected ppb		
Remarks			
Audit log			
Approval information			
Quality Supervisor		Approved date	
Unit manager		Approved date	

Fig. 29

Pakfood Public Company Limited Chloramphenical Residue Analysis Report			
Sampling unit	10	Analyzed date	10-Mar-2003
Test method	ELISA		
Details			
Reference no.	1001032003	Received date	10-Mar-2003
Tank (Truck)	TTR-950	Raw material date	10-Mar-2003
Raw material time	11:44	Source	FARM
Sample Type	BT CPND	Size	4
Test result	Not detected ppb		
Remarks			
Audit log			
Approval information			
Quality Supervisor		Approved date	
Unit manager		Approved date	

Fig. 30

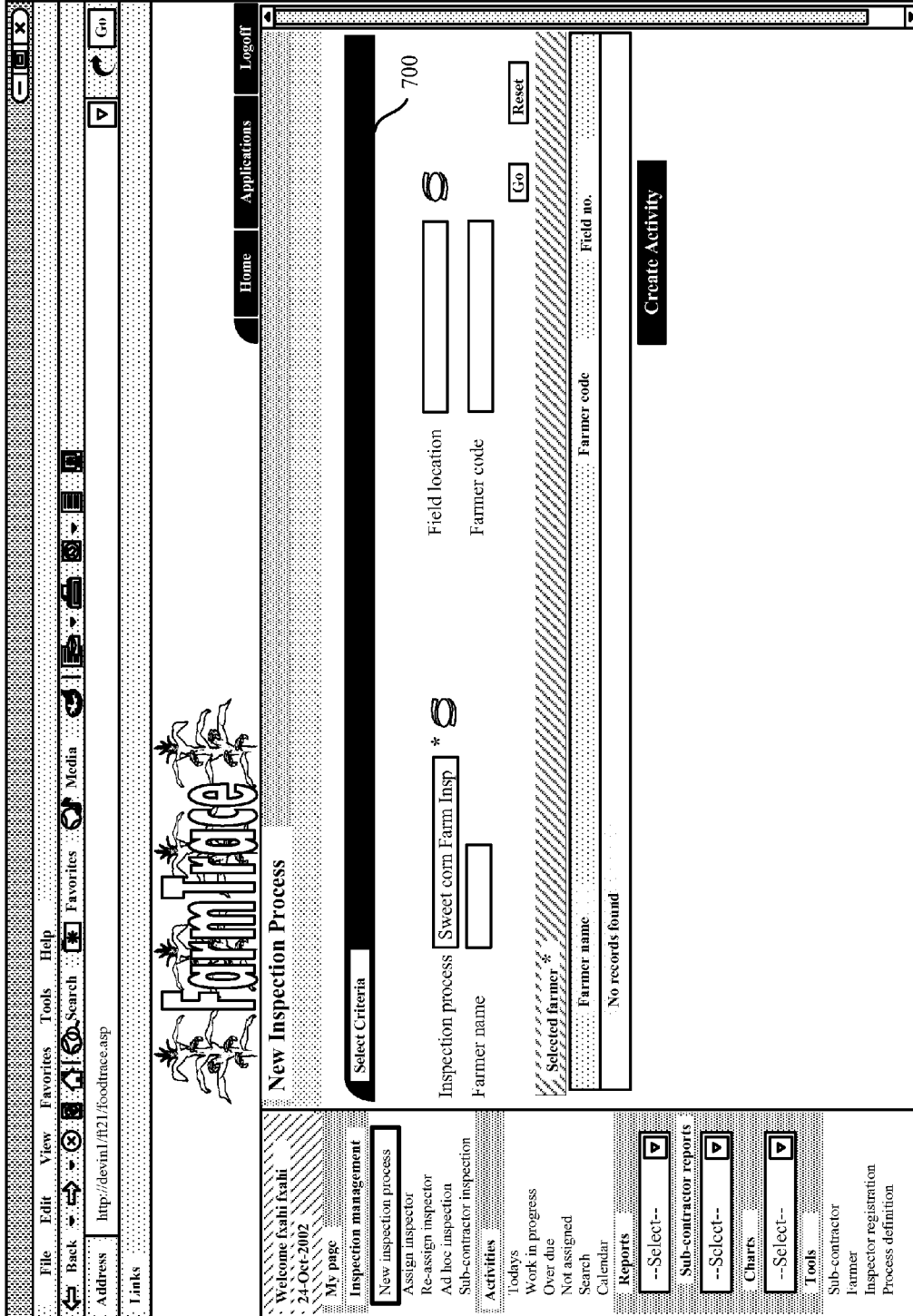


Fig. 31

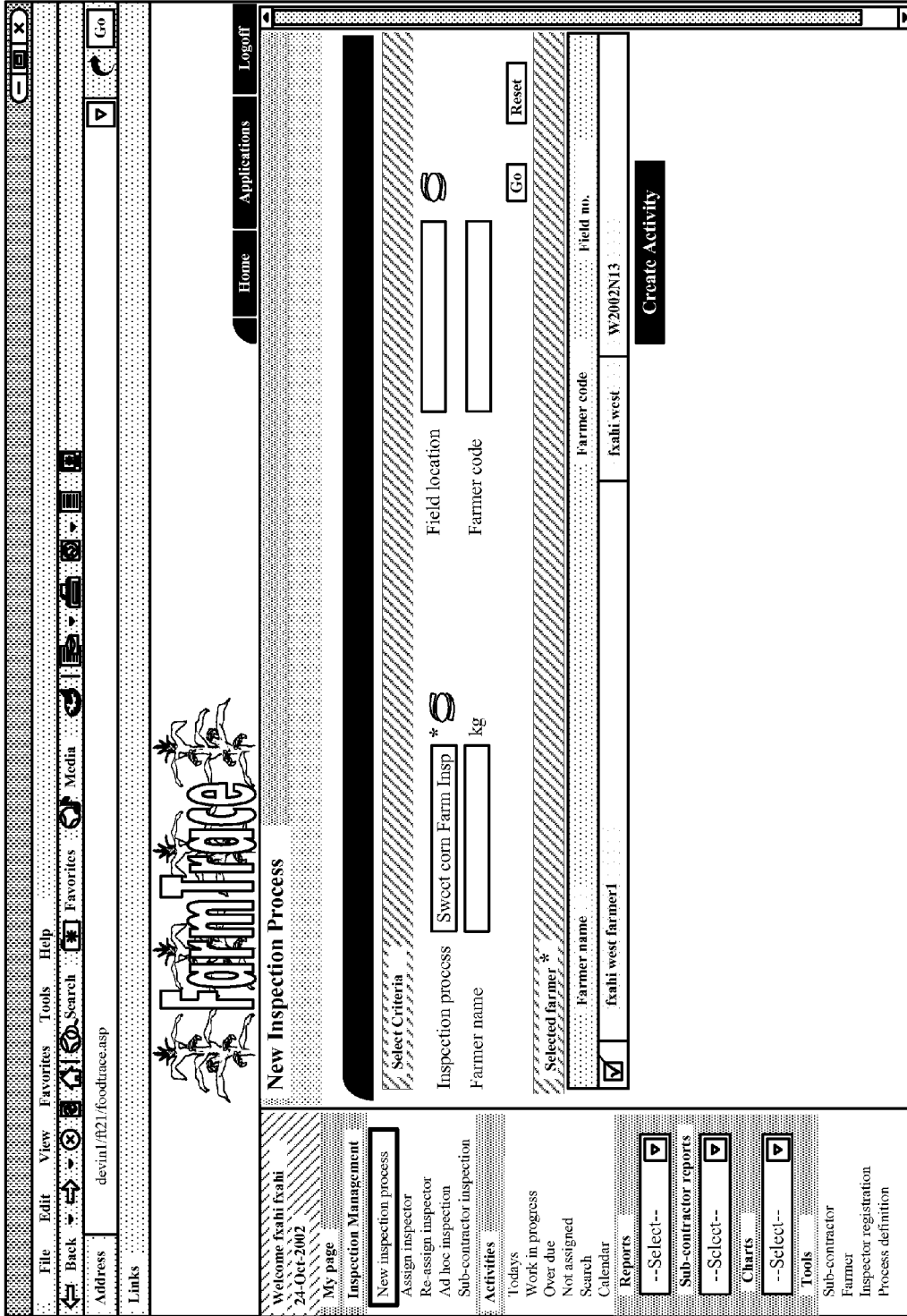


Fig. 32

File Edit View Favorites Tools Help
 Back Search Favorites Media
 Address devini1021/foodtrace.asp
 Links

Home Applications Logoff

FOODTRACE

Edit

Description: Exxahi west farmer1
 Start date: LP-PP Type-95days
 End date: 19
 Duration: 20

Farmer name: Exxahi west farmer1
 Field no.: W2002WN13
 Inspector name: Ban Wang Hin: Mhang: Tak:
 Address: Western: Thailand

Land Preparation Details

Visited date: 19
 Smoothness: --Select--
 Ditch: --Select--

Fertilizer Details

Trade name: kg
 Quantity: kg

Planting Details

Planting date: 19
 Breed: --Select--

Pesticide Details

Type: Common name: Quantity: Water used: Applied Date

Inspection management
 New inspection process
 Assign inspector
 Re-assign inspector
 Ad hoc inspection
 Sub-contractor inspection

Activities
 Todays
 Work in progress
 Over due
 Not assigned
 Search
 Calendar

Reports
 --Select--

Sub-contractor reports
 --Select--

Charts
 --Select--

Tools
 Sub-contractor
 Farmer
 Inspector registration
 Process definition

Logoff

Problems found

Add Delete

Fig. 33

The screenshot shows a web browser window with the address bar containing 'devin/f121/foodtrace.asp'. The page title is 'TomTace'. The navigation menu includes 'Home', 'Applications', and 'Logout'. The main content area is titled 'Seedling Germination Details' and contains several form fields and sections:

- Description:**
 - Farmer name: Malce Farmer 01
 - Field no.: NE2002W01
 - Inspector name: Ixahil Ixahil
 - Address: Ban Wang Hin; Muang; Tak; Western; Thailand
- Start date:** 15-Oct-2002
- End date:** 03-Nov-2002
- Duration:** 20 (Days)
- Visited date:** 22-Oct-2002
- Watering:** Good
- Seedling germination percentage:** 60%
- Insects:** Minimal

Below the form fields are several sections with dropdown menus:

- Fertilizer Details:** Trade name: Hua-Wua Kan-T, Common name: Round up, Quantity: 35 Kg
- Pesticide Details:** Common name: Round up, Applied date: 16-16-16, Water used: 25 Litre
- Herbicide:** Round up, Applied date: 24-Oct-2002, Water used: 34 Litre
- Pesticide:** Cypermethrin, Applied date: 30-Oct-2002, Water used: 34 Litre

At the bottom of the page, there are several utility sections:

- Inspection management:** New inspection process, Assign inspector, Re-assign inspector, Ad hoc inspection, Sub-contractor inspection
- Activities:** Todays, Work in progress, Over due, Not assigned, Search, Calendar
- Reports:** --Select--
- Sub-contractor reports:** --Select--
- Charts:** --Select--
- Tools:** --Select--
- Logoff:** Sub-contractor, Farmer, Inspector registration, Process definition, Logoff

Fig. 34

The screenshot displays a web browser window with the address `devin1/f21/foodtrace.asp`. The page features a navigation menu with 'Home', 'Applications', and 'Logout'. The main content area is divided into several sections:

- Header:** 'FarmTrace' logo with a stylized plant illustration.
- Navigation:** 'File', 'Edit', 'View', 'Favorites', 'Tools', 'Help'.
- Form Fields:**
 - Description: Darek missinolithy
 - Farmer name: C2002F01
 - Field no.: fxafl7 fxafl7
 - Inspector name: Ban Nong Waeng: Khok Sung- Sra Kaew: Eastern: Thailand
 - Start date: 20-Oct-2002
 - End date: 09-Nov-2002
 - Duration: 21 (Days)
 - Visited date: 23-Oct-2002
 - Insects: Minimal
 - Trunk healthiness percentage: < 50%
 - Watering: Good
- Growth Development Details:**
 - Trade name: [Empty]
 - Quantity: [Empty] Kg
 - Common name: [Empty]
 - Applied date: 19
- Fertilizer Details:**
 - Type: [Empty]
 - Trade name: Atrax 90 WG
 - Common name: atrazine
 - Quantity: 12 CC
 - Water used: 12 Litre
 - Applied date: 22-Oct-2002
- Pesticide Details:**
 - Type: [Empty]
 - Trade name: [Empty]
 - Common name: [Empty]
 - Quantity: [Empty] CC
 - Water used: [Empty] Litre
 - Applied date: [Empty]
- Inspection management:**
 - New inspection process
 - Assign inspector
 - Re-assign inspector
 - Ad hoc inspection
 - Sub-contractor inspection
 - Activities:
 - Today's
 - Work in progress
 - Over due
 - Not assigned
 - Search
 - Calendar
 - Reports: --Select--
 - Sub-contractor reports: --Select--
 - Charts: --Select--
 - Tools:
 - Sub-contractor
 - Farmer
 - Inspector registration
 - Process definition
 - Logout

Fig. 35

The screenshot displays a web browser window with the following elements:

- Browser Address Bar:** Address: devin/f2/qualitytrace.asp
- Navigation:** Back, Forward, Home, Stop, Refresh, Print, Search, Favorites, Media, Go.
- Quality Trace Logo:** A stylized logo featuring a corn cob and the text "Quality Trace".
- Page Title:** Sweet Corn - Raw Materials Quality Receipt Report
- Navigation Menu:** Home, Applications, Logout.
- Report Content:**
 - Received Details:**
 - Received date and time: 8-Oct-2002 7:38:37PM
 - Truck no.: [input field]
 - License number: 4234
 - Place of registration: 423432
 - Farmer Details:**
 - Farmer name: Ixahifarmer_farmer2
 - Farmer province: Amnatcharoen
 - Seed breed: [input field]
 - Sampling:**
 - Farmer district: Senangkhamkham
 - Field no.: W2002NLE12
 - Inspection Data:**
 - Actual harvesting date: 01-Oct-2002
 - Total received weight: 4234 * Kg
 - LOC: [input field]
 - Peel weight: [input field] * Kg
 - Corn weight: [input field] Kg
 - Sample weight: [input field] Kg
 - Size: [input field] * Units/Kg
 - Summary:**
 - Weight percentage: [input field] %
 - Net damage/cut: [input field] %
 - Good including peel weight: [input field] Kg [input field] %
- Footer/Navigation:**
 - Welcome frahi frahi
 - 24-Oct-2002
 - My page
 - Activities
 - Open Purchaser approval Search
 - Reports: --Select--
 - Charts
 - Tools: --Select--
 - Sub-contractor
 - Farmer
 - Inspector registration
 - Process definition
 - Logout

Fig. 36

File Edit View Favorites Tools Help
Back Forward Stop Refresh Home
Address dev.m1/1021/productiontrace.asp
Go

Links

ProductionTrace

Home Applications Logoff

Dumper report165

Machine Name **Dumper**
Stop time **23:50**

Checked date **19-Sep-2012**
Start time **17:49**

Machine operation				Reason for stopping the machine		Remarks		
Farmer name	Field no.	Unloading start time	Unloading stop time	Vehicle register no.	Total (Min)		Reason	Stop time
fxafarm1 ball	N2002N01	17:49	18:50	123456	61.00	Out of Order	17:53	17:58
fxafarm1 ball	N2002N01	19:51	20:51	5658	60.00			

Approval information

Reported by Date

Production Supervisor Date

Quality Control Supervisor Date

Control manager Date

BP

MY page

Inspection management

New Production Process

Activities

Today's

Open

Closed

All

Search

Reports

--Select--

Tools

Sub-contractor

Farmer

Logoff

New Save Print Preview Reset

Fig. 37


																	
Rotary cooker cooler report232 304 X 407																	
Can size																	
Checked date 19-Sep-2002 Home Applications Logout																	
Incode date 18-Sep-2002																	
Checked time	Initial Temperature (c)	Precook			Cooker			Cooler			Gear cycle			Free residual chlorine			
		Checked time	Precook pressure	Precook (MG/Temp/TempIn (c))	Precook Recorder/Temp/Temp out (c)	Cooker pressure	Cooker (MG/Temp/TempIn (c))	Cooker Recorder/Temp/Temp out (c)	Cooler pressure	Cooler (MG/Temp/TempIn (c))	Cooler Recorder/Temp/Temp out (c)	Checked time	Gear cycle (sec/cycle)	Can/Minute	Checked time	Before Cooling (ppm)	After Cooling (ppm)
09:00	35.00	09:00	7.00	7.00	8.00	8.00	78.00	8.00	8.00	8.00	8.00	09:00	12.00	210.00	09:00	25.00	25.00
09:30	15.00	09:45	7.00	8.00	5.00	5.00	4.00	5.00	6.00	7.00	7.00	10:20	12.00	210.00	11:30	12.00	12.00
10:00	25.00	10:30	7.00	8.00	6.00	5.00	5.00	4.00	5.00	5.00	5.00	11:00	25.00	100.80	11:30	12.00	12.00
11:00	25.00	11:30	7.00	8.00	7.00	8.00	8.00	9.00	9.00	7.00	8.00	12:00	20.00	126.00	12:30	12.00	12.00
11:30	20.00	12:00	7.00	8.00	4.00	3.00	3.00	7.00	7.00	7.00	9.00	12:30	23.00	109.57	13:00	23.00	23.00
12:00	23.00	12:30	7.00	8.00	4.00	3.00	3.00	7.00	8.00	8.00	9.00	13:00	23.00	109.57	13:30	25.00	25.00
12:30	20.00	13:00	7.00	6.00	3.00	4.00	4.00	6.00	7.00	7.00	8.00	13:30	20.00	126.00	14:00	25.00	25.00
13:00	25.00	13:30	7.00	8.00	4.00	3.00	3.00	5.00	5.00	6.00	7.00	14:00	25.00	100.80	14:30	25.00	25.00

Fig. 38

FOOD TRACING AND TRACKING SYSTEM AND METHOD

PRIORITY CLAIM

[0001] This application is a continuation of U.S. patent application Ser. No. 13/736,887 filed on Jan. 8, 2013 which is a continuation of U.S. patent application Ser. No. 10/421,630 filed on Apr. 22, 2003 now U.S. Pat. No. 8,392,225 which claims priority from Provisional Application Nos. 60/375,202 and 60/375,192 both of which were filed Apr. 22, 2002. Each of the foregoing applications are hereby incorporated by reference.

FIELD OF THE INVENTION

[0002] This invention relates generally to supply chain tracing and tracking and, more specifically, to food tracing and tracking

BACKGROUND OF THE INVENTION

[0003] The food industry has a relatively low technology penetration and companies vary widely in their ability to provide information to their partners in any given supply-chain. This inability to provide information is compounded by the complex nature of the supply-chains themselves. The supply chains consist of a complex maze of sequence and parallelisms that can be arbitrarily mixed and changed with time as shown in the FIG. 1.

[0004] Many companies (supply-stage legal entities) or a combination of supply-chain legal entities) require a complex structure that enables maintenance of the manufacturing processes across various departments, divisions or even different companies. Further, when companies are being asked to perform a trace of a product they fail to be able to provide answers to questions such as: what is in the container on the ship; how many pallets are inside the container; how many boxes are inside the pallets; how many cans are inside the box; what is inside the can; where did the content come from; how was it grown; what fertilizer was used; what pesticides were used; when was the seed sown; what type of seed was used; was the farm next to a power plant; did the farm have toilets; what manufacturing processes were used, etc.

[0005] Existing business enterprise software and logistics software vendors such as; SAP, Oracle, 12, People-Soft and Manugistics fail to be able to accommodate the fact that the food supply-chain will be in a constant state of flux. The supply-chain entities continually aim to optimize their resources, reduce their costs, and increase their efficiencies while still meeting all regulatory and commercial constraints. In practice, this means that the supply-chain entities constantly change their suppliers, their supply-chain processes and stages.

[0006] Because the supply-chain is an environment of constant flux, the existing systems cannot change during run-time without affecting the database structure and application code. This means that no application easily adaptable for defining a new process or changing on existing process at the same time. In other words, the present systems create new databases when the primary application structure is altered. In addition, the present systems fail to consider the time taken for a product to travel the supply chain (e.g., farm to table).

[0007] As shown in FIG. 2, traditional enterprise software vendor (ES) provide software such as Enterprise Resources Planning (ERP), Material Requirements Planning (MRP) or

financial and accounting software. These types of software applications help companies manage resources within their own business environment (inside the box). These types of software are usually very expensive and thus not all participants within the supply chain can afford them. In the food industry very few of the manufactures/suppliers have ERP-type solutions outside the USA and EU markets. Traditional logistics software vendors provide software for warehouse management, purchasing and automated inventory replenishment solutions. These types of software applications are also expensive and very few companies within the food industry supply-chain can afford them. They are designed to link between companies that have installed traditional enterprise software. They do not solve the problems associated with food safety and traceability.

[0008] Because the supply-chain is an environment of constant flux, there exists a need to have a structure capable of being changed during run-time itself without affecting the database structure and application code. There is a need to be able to define a new process or change an existing process at the same time. In other words, the system does not create new databases when the primary application structure is altered. Also, there exists a need to take into account the time taken for a product to travel the supply chain from farm to table.

SUMMARY OF THE INVENTION

[0009] The present invention allows small, medium and large-size companies that operate within these supply-chains, to exchange information in near real-time and bi-directionally throughout the entire supply-chain while maintaining data integrity and appropriate levels of security at all times. The present invention performs this by providing a batch-oriented process having any number of stages connected in any mix of parallel or serial order, nested to any depth. The present invention can be implemented in any industry that requires business process set-up and changes, e.g., food, pharmaceuticals, precious stones, electronic components, etc.

[0010] Also, the present invention supports continuous processes that can be approximated by a batch process.

[0011] The present invention enables a continuous linkage across the supply-chain entities while allowing the configuration or reconfiguration of the supply-chain entities to be changed, as and when required, in real or near real-time. The present invention accomplishes the foregoing while being capable of optionally providing the following additional features: ensuring data integrity and data security, maintaining a continuous history over time without the need for data conversion, and providing each entity within the supply chain the option of publishing their identity and data to the other supply chain entities. New fields can be added as needed for processes and materials. The present invention supports distributed data hosted on various machines by various organizations over a public or private data network.

[0012] The present invention provides the ability to create any processes that are sequential or parallel, plus is able to change these dynamically (i.e., at run time). The present invention allows for manipulation at run time of a directed graph. The directed graph includes nodes that are connected by arcs from a source node to a target node. The nodes are supply chain stages and the arcs are material lots that enter and exit the supply chain stages. The present invention permits both the definition of a process and the instantiation of a process to be defined at run time. Furthermore, the present

invention can readily customize the processing for the particular needs of a company. The present invention can track the evolution of a food process for a particular company.

[0013] The present invention provides a food traceability application with advances from conventional database modeling. The food traceability application includes a new paradigm of soft-coded value patterns that allows for a very flexible, extensible and robust means of handling the complex supply chain requirements.

[0014] The present invention enables all levels of enterprise from low, limited complexity and low technical capabilities to high complexity and high technical capabilities to participate in a wider, more complex food industry supply-chain. The present invention includes the following optional features:

[0015] Distributed

[0016] International

[0017] Scalable

[0018] Sequence and parallelism that can be arbitrarily mixed

[0019] Standardized processes

[0020] The present invention allows modifications to supply chain link, processes, and procedures. The number and links in the supply-chain can be increased or decreased as required. The links can be configured in any configuration of sequential or parallelism. Changes can be made to supply chain routes, process, and procedures at any time, even while the information system is in continuous operation.

BRIEF DESCRIPTION OF THE DRAWINGS

[0021] The preferred and alternative embodiments of the present invention are described in detail below with reference to the following drawings.

[0022] FIGS. 1 and 2 are illustrations of the prior art;

[0023] FIG. 3A is a system block diagram of the present invention;

[0024] FIG. 3B is a diagram of the different software layers of the present invention;

[0025] FIG. 4 is an explanation of notation used in describing the present invention;

[0026] FIGS. 5-11 are software model diagrams of components of the present invention;

[0027] FIGS. 12-15 are directed graphs shown as examples of the present invention; and

[0028] FIGS. 16-38 are examples of user interfaces formed in accordance with the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

[0029] The present invention is preferably implemented as a food traceability software application, but could be for any number of supply chains. The present invention includes a number of component software applications. FIG. 3A provides an overview example of a system 40 formed in accordance with the present invention. The present invention allows for a very flexible, extensible and robust means of handling the complex supply chain requirements. As shown in FIG. 3A, the system 40 includes one or more servers 42 and user computer devices 44. Users in a supply chain, such as farmers, inspectors, producers, manufacturers, grocers, consumers, or restaurants, are linked through the over a network 46 (Internet or intra-net) to the food traceability application executed by a server 42. Examples of the user computer

devices 44 are PDAs, wireless devices, browsers, existing legacy systems, or other computer-based devices.

[0030] The food traceability application provides traceability of food that meets regulatory and commercially driven industry objectives. All users in the food supply chain can access or input, at pre-determined level of details based on relevancy and appropriateness, critical data on the life-cycle of any product. Users external to the supply chain, such as regulatory agencies, can access relevant data with approval from parties involved.

[0031] The application allows modifications to supply-chain links, processes, and procedures to be easily reconfigured on-line instantly over a network connection. For example: the number of links within each supply-chain can be increased or decreased as the need arises. Also the processes within each link can also be configured on-line for any configuration of sequential or parallelism. Thus, changes can be made in the supply chain routes, processes, and procedures at any time even while the system 40 is in continuous operation.

[0032] FIG. 3B provides an example of a software architecture 50 of the present invention. The architecture 50 includes a Presentation Abstraction Layer 52 that insulates the user access devices such as desktop computers, PDAs, cellphones, etc, from changes in underlying presentation services. Thus, changes in servers do not affect the ability of users to retrieve services. The Presentation Abstraction Layer 52 provides filtering, formatting, encryption, and language conversion services for all the underlying presentation services.

[0033] A Presentation Services Layer 54 provides an interface between underlying applications and filtering, formatting, encryption, and language conversion services of the Presentation Abstraction Layer 52. Each presentation service receives display requests, converts those display requests into application requests, formats the query results, and passes those results to the Presentation Abstraction Layer 54 for post-processing.

[0034] An Application Services Layer 56 includes value-added offerings for which subscribers have paid. Each application offering exists on one or more servers. For example, the Application Services Layer 56 includes a food trace service offering.

[0035] Application services are built using foundation services 58. Those foundation services perform work common to all applications, but specific to none of those applications. Identifying system time, translating names to addresses, management directories of computers, users, applications and software objects, reporting alarm conditions, controlling component access and managing communication among system elements are all examples of foundation services shared among all applications.

[0036] Data Abstraction Layer (DAL) 60 provides a degree of insulation between application and foundation services and the underlying data stores on which they draw. The DAL 60 converts all requests and replies into relational constructs. By shielding foundation and application services from the specifics of an underlying data store, new applications don't get tightly linked to specific structures, with all the problems that characterize ERP, MRP and other legacy applications.

[0037] The following example illustrates various benefits of the present invention. A manufacturer that is exporting produce (corn) from Thailand to a user in the UK.

[0038] Step 1. The manufacturer's inspectors use a farm component of the present invention to capture information for food traceability from their existing contract farmers.

[0039] Step 2. The manufacturer's QA department use a quality component to capture information for food traceability when the produce arrives at their manufacturing facility from the farm.

[0040] Step 3. The manufacturer's production personnel use a production component to capture the critical information required for food traceability/safety, and tie this information to the physical product (i.e., the bar code and batch number).

[0041] The present invention solution has now captured the information needed by regulatory and commercial users.

[0042] Step 4. The manufacturer uses a (distributed) database and communications infrastructure of the present invention to disseminate this information on request and by exception to the users that need this information.

[0043] Step 5. A user using the trace component with the manufacturer's permission to request information about food safety and traceability. The user does this by simply typing or scanning the barcode and batch number found on the product into the trace component to perform a trace. The user is now able to act in near real time on issues connected with food safety and traceability.

[0044] Step 6. Other users in the supply chain such a distributors, cold storage, logistical providers, etc. can be captured by using a shipping component.

[0045] The software of the present invention includes a Meta model that defines types, fields and complex structure and can be populated at runtime. Instead of hard coding fields as columns of specific tables, a Meta model (metadata structure) is provided for storing field definition and fields data. This is considered soft coding. Soft-coding allows users to efficiently manage evolution of the model as the scope expands. Application programming code is separated from the details of a particular usage, yielding highly flexible, customizable, and yet efficient software.

BRIEF EXPLANATION OF THE DATA MODELING NOTATION

[0046] FIGS. 5-16 illustrate a model of the present invention in OMT modeling notation that is a popular enhancement of the Entity-Relationship approach. FIG. 4 summarizes modeling constructs that are to describe the present invention. Object models are built from three basic constructs: classes, associations, and generalizations.

[0047] A class is denoted by a box and describes objects with common attributes, behavior, and intent. As shown later on MaterialLot, SupplyChainStageType, and UnitOfMeasure are examples of classes. Attributes describe values of the objects in a class and may be listed in a second portion of a class box. For example, name is an attribute of class UnitOfMeasure. By convention the attributes for a class are shown in one figure where the class is defined and the attributes are suppressed for all other places where a reference to the class occurs. Generalization organizes classes by their similarities and differences and is denoted by a triangle.

[0048] An association describes the relationship of objects of two or more classes and is indicated by a line. The adornment on each end of an association line denotes multiplicity. Multiplicity specifies how many instances of one class may relate to an instance of an associated class. A solid ball means

'many' (zero or more); a hollow ball means 'at most one' (zero or one); a line without a multiplicity symbol means exactly one.

[0049] MATERIAL PROCESSING PACKAGE

[0050] FIGS. 5A and 5B show a software model 100 that allows tracing of the handling of food in object oriented notation. A SupplyChainStage 104 is an element of processing that is relevant to the handling of materials, such as food; examples include farming, manufacturing, inspection, approval, transport, and retail. A MaterialLot 106 is a substance relevant to the processing of a SupplyChainStage 104; examples include food items such as raw corn, washed corn, cooked corn, and canned corn as well as byproducts, wastes, chemicals, and pesticides. It can be appreciated that various processing elements and substances relevant to various processing elements can be used.

[0051] Because the present invention uses an object oriented model as shown in FIG. 5A and 5B, the present invention can create directed graphs that can be scaled to include any number of processing stages with any arrangement of parallel or serial processes or nodes of the directed graph. Also, the directed graph can be dynamically adapted. The present invention can also be readily distributed and can handle an arbitrarily complex process. The model 100 is customizable to any number of different manufacturing processes (e.g., corn, pineapple, shrimp, etc.) or company-specific practices. The model 100 supports data distributed between multiple servers 42 over the network 46. As long as access permissions are granted, the present invention can navigate from computer to computer to trace the flow of foodstuffs.

[0052] Returning to the model 100 in FIG. 5B, the SupplyChainStage 104 may have any number of MaterialLot 106 as input and any number as output. The MaterialLot 106 may enter and exit at most one SupplyChainStage 104. Each MaterialLot 106 is associated with an owner LegalEntity 108 and any number of accessing LegalEntities 108. A MaterialLot 106 owner can give others permission to access the data. Only the owner or a designate of the owner (direct or indirect) can grant access permissions.

[0053] A MaterialMaster 110 stores general data. There is one MaterialMaster record for each Global Trade Item Number (GTIN). GTIN is an international standard item numbering that is replacing the European Article Number (EAN). The EAN in turn has replaced the UPC, Universal Product Code, that has been used in the US and Canada.

[0054] For example, when one picks up a can of corn in a store, there is a bar code on the can. This bar code is a GTIN. There is one MaterialMaster record for each GTIN. In contrast, there can be many cans of corn; each of which could be stored as a separate MaterialLot 106, all referring to a common MaterialMaster record.

[0055] A SupplyChainStageType 114 describes individual SupplyChainStages 104 in a similar manner to the way that MaterialMaster 110 describes MaterialLot 106. A SupplyChainStageType 114 has an expected duration (e.g., how long does it normally take to decob corn). Expected duration with time thresholds can be set for warning and error notices.

[0056] An Application 118 describes how various SupplyChainStageTypes 114 and their instances are grouped into unique applications. For example, an Application object includes a Farm Management application, a Quality Management Application, a Production Management Application, a Shipping and Logistics Management application, etc. Appli-

cation **118** also describes how various ProcessPrototypes **120** are made available for an Application **118**.

[0057] A LegalEntityRole **122** has multiple relationships with SupplyChainStages **104**. For example, a person could be both a manager and an inspector. An effectiveDatetime and expirationDatetime data for LegalEntityRole **122** notes when the binding of the person and RoleType (Manager, inspector) is in effect. For example, one person may serve as manager of a department for a few years and then another person may move into the job. Many LegalEntityRoles **122** can own a SupplyChainStage **104**.

[0058] A ProcessPrototype **120** is a group of MaterialLots **106** and SupplyChainStages **104**, that would normally connect together into a directed graph. Each time there is a new processing run for food, the user must create a new directed graph to record the precise relationship between processing stages and material that flows in and out. It would be tedious to construct each of these graphs by hand, over and over again. The notion of a ProcessPrototype **120** allows for easy construction of a new graph—just find the correct ProcessPrototype **120** and clone it to get a new ProcessPrototype **120** that can be used for the next food-processing run. The notion of a ProcessPrototype **120** allows for easy construction of a new graph—just find the correct ProcessPrototype **120** and clone it by copying all instances of its associated MaterialLot and SupplyChainStage classes. These new copies can then be used for the next food-processing run. The ProcessPrototype **120** is used to define repeatable processes.

[0059] The model **100** is able to readily handle recursion. For example, Pakfood freezes shrimp and inventories them as part of routine processing. On occasion the frozen shrimp are added to a later batch to make up a shortfall in certain shrimp sizes. As far as the model **100** is concerned, the recycled shrimp are just another material lot and a trace reveals that the recycled shrimp are from an earlier production batch.

[0060] At the core of the present invention is the notion of a directed graph. The directed graph is a standard computer science construct. A directed graph consists of nodes that are connected by arcs from a source node to a target node. The nodes are supply chain stages and the arcs are material lots that enter and exit the supply chain stages.

[0061] The model permits both the definition of a process and the instantiation of a process to be defined at run time. The definition of a process is found in the SupplyChainStageType, MaterialMaster, and ProcessPrototype classes. SupplyChainStageType and MaterialMaster define pertinent attributes for supply chain stages and material lots respectively. The ProcessPrototype defines standard processes that are then cloned each time an instantiation is needed. The MaterialLot and SupplyChainStage classes are the primary classes used for the instantiation. The present invention provides run time definition and instantiation of food processes.

[0062] FIG. 6 is an illustrative example of SupplyChainStages **170**, **172**, **174** connected with intervening MaterialLots, **182**, **184**, **186**, **188**.

[0063] FIG. 7 shows a more complex example as compared to that shown in FIG. 6. In practice, any diagram with boxes and arrows constitute a valid directed graph and can be handled by the model **100**. With regards to the model **100**, a process is completely arbitrary. The definition of a process is a business decision and the model **100** accommodates any reasonable decision.

[0064] LEGAL ENTITY PACKAGE

[0065] In FIG. 8, a LegalEntity **190** may be anyone of the following Organization **195**, a Person **196**, a LegalEntityRoleType **192**, or an Automation **198**. A LegalEntity **190** represents someone or something that is involved with the processing and handling of materials such as food. A LegalEntityRoleType **192** represents a job that a LegalEntity **190** fulfills. Purchasing agent, manager, and inspector are examples of LegalEntityRoleTypes **192**. Many business functions can be served either by the running of software (Automation **198**)—for these situations the effect of software cannot be distinguished from the efforts of Persons **196** and Organizations **195**.

[0066] A LegalEntityRole **194** combines a LegalEntity **190** with a LegalEntityRoleType **192**. The LegalEntity **190** includes a parent-child relationship that LegalEntity **190** captures miscellaneous relationships between other LegalEntities **190**. The association is recursive and LegalEntities **190** can be structured to an arbitrary depth. Thus, for example, a company (an Organization **195**) can have multiple divisions (also Organizations **195**), a division can have multiple departments (more Organizations **195**), and a department can have multiple offices (still more Organizations **195**).

[0067] SOFTCODED VALUE PACKAGE

[0068] Some classes in the model **100** can have an arbitrary number of attributes. For example, numerous attributes are stored for Persons **196**, thus it is difficult to anticipate all of them in advance. Furthermore, the appropriate attributes can vary by customer, especially for MaterialLots **106** and SupplyChainStages **104**. The software is tailorable for different food or even non-food materials and customer processes. The model **100** is a good fit for discrete batches of a material that are processed which characterizes the food industry and some other industries. It is also a fit for some continuous processes that can be approximated by a batch process.

[0069] As shown in FIG. 9, the present invention includes a mechanism for softcoding attributes and defining them at run time. A DescribedObject **204** has softcoded Values **212**. There is one record for each of the following objects: Person **196**, MaterialLot **106**, SupplyChainStage **104**. Each DescribedObject **204** has a specific DescribedObjectType **206**. Some examples of DescribedObjectTypes **206** are Person, various kinds of MaterialLots **106**, and various kinds of SupplyChainStages **104**. Thus there can be many Person DescribedObjects and each refers to a single Person DescribedObjectType record. Similarly, there can be many MaterialLot DescribedObjects each of which refers to the DescribedObjectType record for the kind of MaterialLot.

[0070] The model **100** states that DescribedObjects **204** must conform to Attribute **208** defined for the corresponding DescribedObjectType **206**. A corresponding database cannot enforce this constraint, so application code must enforce it. Some Attributes **208** are enumerated and have a pick list of possible values. An EnumValue **210** stores pick list values when they apply.

[0071] Values **212** are any of the following data types: number, string, or datetime. One of the first three fields is filled in (and the other two are null) for each Value record. Each Value **212** has a timestamp and LegalEntity **190** that is the source of the value. Thus, the softcoded value mechanism keeps a history of values. A Value **212** has a UnitOfMeasure **214** that overrides the default specified for its Attribute **208**.

[0072] Each Attribute **208** has a dataType (number, string, or datetime) indicating the appropriate field to fill in for each

Value 212. String Values can have a maximum length. Minimum multiplicity indicates if a Value 212 of the Attribute 208 is required or optional for each DescribedObject 204. Similarly, maximum multiplicity indicates if a Value 212 of the Attribute 208 are single-valued or can be multiple-valued for each DescribedObject 204.

[0073] Some Attributes 208 are computed and have a corresponding formula. Formulas support simple arithmetic (+* /), declarative if-then-else, and user defined functions. The functions can be invoked via a case statement using a label of the function name.

[0074] DESCRIBED OBJECT PACKAGE

[0075] As shown in FIG. 10, the DescribedObjects 254 can have softcoded values or softcoded relationships.

[0076] As shown in FIG. 11, the DescribedObject 254 is a placeholder for things that can have miscellaneous values and miscellaneous relationships. A DescribedObject 254 can have many Roles 262. A Role 262 is one end of a Relationship 264. Each Role corresponds to one DescribedObject 204 and one Relationship 264.

[0077] A Relationship 264 is a binding between Roles 262. Most Relationships 264 are binary, that is they have two Roles 262. A DescribedObject 254 may have any number of Roles 262 and may therefore participate in any number of Relationships 264. Each

[0078] Relationship 264 has effective and expiration dates that allows history tracking A Relationship 264 can be recorded in advance of when it is needed or after it becomes obsolete.

[0079] METADATA

[0080] As shown in FIG. 11, the DescribedObjectType 270 is a category for DescribedObjects 254. The DescribedOb-

jectType 270 has one or many RoleTypes 272. The RoleType 272 is a category for Roles 262. By analogy, the RoleType 272 is one end of a RelationshipType 274. Each RoleType 272 corresponds to one DescribedObjectType 270 and one RelationshipType 274. MinMultiplicity is the minimum number of times that a DescribedObject 254 must participate in the Relationship 264 and is usually 0 or 1. MaxMultiplicity is the maximum number of times that a DescribedObject 254 can participate in the Relationship 264 and is usually 1 or many.

[0081] The RelationshipType 274 is a category for Relationships 264. The RelationshipType 274 can have Attributes 276 describing potential Relationship 264 values, just as the DescribedObjectType 270 can have Attributes 276 describing potential DescribedObject values 254. An Attribute 276 is a characteristic of the DescribedObject 254 or Relationship 264. Each Attribute 276 belongs to one of the following: DescribedObjectType 270 or RelationshipType 274.

Scenarios

[0082] Scenario 1

[0083] The following scenarios help describe the nature of change the food industry is subject to over time and how the present invention manages this change.

[0084] FIG. 12 shows a simple production line 400 for canned vegetables with the following production stages (mixing 402, cooking 404, canning 406). The following eleven tables represent the production process using the model of the present invention. The SupplyChainStages are owned by the LegalEntityRoles (Mixed fruit manufacturer and inspector A).

[0085] In the following tables, metadata is represented in with a background pattern. Application setup data is represented in Bold. All other data is Transaction Data.

TABLE 1

SupplyChainStageType		
Stage TypeID (PK)	Stage Type Name	Stage Duration
1	Mixing	0:10
2	Cooking	0:30
3	Canning	0:10

[0086] Table 1 is a SupplyChainStageType table that includes metadata that represents each of the supply chain stage types. There can be any number of stage types for an application.

TABLE 2

SupplyChainStage						
StageID (PK)	Stage Name	StageType ID (FK)	StageStartDateTime	StageStop DateTime	StageLocation	Process ProtoTypeID
1	Mixing	1			Singapore	1
2	Cooking	2			Singapore	1
3	Canning	3			Singapore	1
4	Mixing	1	9:00	⊗ 9:40	Singapore	
5	Cooking	2	9:10	9:40	Singapore	
6	Canning	3	9:50	10:00	Singapore	

⊗ indicates text missing or illegible when filed

[0087] Table 2 is a SupplyChainStage table that stores various day-to-day operations and associated data. Table 2 is used to store dummy process data, which is used to create ProcessPrototyped clones. The user can easily represent complex processes and create clones (copies) for ease of day-to-day data entry operations.

TABLE 3

Material Lot				
Material LotID	Material LotName	Material Master Name	Material Quantity	Process ProtoTypeID(FK)
1	Mixed Fruits			1
2	Cooked Fruits			1
3	Canned Fruits			1
4	Mixed Fruits		100	

TABLE 3-continued

Material Lot				
Material LotID	Material LotName	Material Master Name	Material Quantity	Process ProtoType ID(FK)
5	Cooked Fruits		55	
6	Canned Fruits		25	

[0088] Table 3 is a MaterialLot table that is used to store information of various Material Lots used in the SupplyChainStages. Table 3 has dummy materials for the prototype and actual materials with values.

TABLE 4

Process Prototype	
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[0089] Table 4 is a ProcessPrototype table that includes metadata that represents a set of stages and MaterialLot from the real SupplyChain process run. The user can create a dummy run first and then create a new ProcessProtoType from it. Table 4 holds all the related information of the PrototypeName metadata and a dummy process is identified by the

[0090] Prototype Name. The user can easily add new SupplyChainStages, delete existing SupplyChainStageStages, and change the direction of the supply chain.

TABLE 5

Application	
ApplicationID	Application Name
1	Mixed Fruit Production Trace

[0091] Table 5 is an Application table that defines all the SupplyChainStageTypes and ProcessPrototypes that are available for the application. A group of SupplyChainStages are defined as belonging to an Application. For example, in a sweet corn process the following component applications are used: Farm component; Quality component; and Production component.

TABLE 6

LegalEntity Role			
LegalEntity RoleID	LegalEntity RoleName	LegalEntity Effective Datetime	LegalEntity expiration Datetime
1	Inspector A	1/1/2002 9:00	
2	Mixed Fruit Manufacturer	1/1/2000 10:00	

[0092] Table 6 is a LegalEntityRole table that stores the information of various legal entity roles. The manufacturer (e.g., Mixed Fruit Manufacturer) own some SupplyChain stages. The inspector who plays a role in the inspection process may also be related to the same SupplyChain Stages as the manufacturer.

TABLE 7

MaterialLot IN SupplyChainStage	
MaterialLotID	SupplyChainStageID
1	2
2	3
4	5
5	6

TABLE 8

MaterialLot OUT SupplyChainStage	
MaterialLotID	SupplyChainStageID
1	1
2	2
3	3
4	4
5	5
6	6

[0093] Tables 7 and 8 are used to store information of various Material Lots used in the SupplyChainStages. Materials going IN and material going OUT connect the SupplyChainStages.

TABLE 9

ProcessProtoType Application	
ProcessProtoTypeID	ApplicationID
1	1

[0094] Table 9 stores the information of which process prototypes are available for the application.

TABLE 10

SupplyChainStageType Application	
SupplyChainStageTypeID	ApplicationID
1	1
2	1
3	1

[0095] Table 10 stores the information of which SupplyChainStage types are available for the application. For example, SupplyChainStage type structures are defined for Farm Inspection, Production, Quality, Shipping, etc and the application would make use of the defined structures.

TABLE 11

SupplyChainStage LegalEntityRole	
SupplyChainStageID	LegalEntityRoleID
1	2
2	2
3	2
4	1
5	1

TABLE 11-continued

SupplyChainStage LegalEntityRole	
SupplyChainStageID	LegalEntityRoleID
6	1
4	2
5	2
6	2

[0096] Table 11 stores the information of which SupplyChainStage types were created or modified by which legal-Entity role (person) and to which organization legal entity the SupplyChainStage belongs to.

[0097] Scenario 2

[0098] In Scenario 2 a new parallel production line is add to Scenario 1. A company has set up a new parallel production line. An additional capacity for the Canning process is added.

[0099] Now the company has the two canning lines Canning B1 and Canning B2. The output of the Canning B1 line is Canned Fruits Small Can and the output of the Canning B2 line is Canned Fruits Large Can.

[0100] The SupplyChainStages are show in FIG. 13.

[0101] Metadata is represented with background shading, Application setup data is represented in Bold, and all other data is Transaction Data.

TABLE 12

SupplyChainStageType		
StageType	StageTypeName	StageDuration
1	Mixing	0:10
2	Cooking	0:30
3	Canning Small Cans	0:10
4	Canning Large Cans	0:13

[0102] In the above table a SupplyChainStage type is defined to record the activities in the Canning Large Cans process line.

TABLE 13

SupplyChainStage						
StageID (PK)	Stage Name	StageType ID (FK)	StageStartDateTime	StageStop DateTime	StageLocation	Process ProtoTypeID
1	Mixing	1			Singapore	1
2	Cooking	2			Singapore	1
3	Canning	3			Singapore	1
4	Mixing	1	1/3/2002 9:00	01/03/2002 9:10	Singapore	
5	Cooking	2	1/3/2002 9:10	1/3/2002 9:40	Singapore	
6	Canning	3	1/3/2002 9:50	1/3/2002 10:00	Singapore	
7	Canning B [Ⓢ]	4			Singapore	1
8	Mixing	1	2/3/2002 9:00	2/3/2002 9:10	Singapore	
9	Cooking	2	2/3/2002 9:10	2/3/2002 9:40	Singapore	
10	Canning B[Ⓢ]	3	2/3/2002 9:50	2/3/2002 10:00	Singapore	
11	Canning B[Ⓢ]	4	2/3/2002 9:50	2/3/2002 10:03	Singapore	

Ⓢ indicates text missing or illegible when filed

TABLE 14

Material Lot				
Material LotID	MaterialLotName	MaterialMaster Name	Material Quantity	ProcessProto TypeID(FK)
1	Mixed Fruits			1
2	Cooked Fruits			1
3	Canned Fruits Small			1
4	Mixed Fruits		100	
5	Cooked Fruits		90	
6	Canned Fruits Small		9	
7	Cooked Fruits			1
8	Canned Fruits Large			1
9	Mixed Fruits		200	
10	Cooked Fruits		100	
11	Cooked Fruits		100	
12	Canned Fruits Small		9	
13	Canned Fruits Large		7	

TABLE 15

Process Prototype	
ProcessProtoTypeID (PK)	PrototypeName
1	New prototype with canning for Large cans

TABLE 16

Application	
ApplicationID	Application Name
1	Mixed Fruit Production Trace

TABLE 17

LegalEntity Role			
LegalEntityRoleID	LegalEntityRoleName	LegalEntityEffectiveDatetime	LegalEntityexpirtaionDatetime
1	Inspector A	Jan. 1, 2002 9:00	
2	Mixed Fruit Manufacturer	Jan. 1, 2000 10:00	

TABLE 18

MaterialLot IN SupplyChainStage	
MaterialLotID	SupplyChainStageID
1	2
2	3
4	5
5	6
7	7
9	9
10	10
11	11

TABLE 19

MaterialLot OUT SupplyChainStage	
MaterialLotID	SupplyChainStageID
1	1
2	2
3	3
4	4
5	5
6	6
8	7
9	8
10	9
11	9
12	10
13	11

[0103] Tables 18 and 19 are used to store information of various Material Lots used in the SupplyChainStages. Materials going IN and material going OUT connect the SupplyChainStages.

TABLE 20

ProcessProtoType Application	
ProcessProtoTypeID	ApplicationID
1	1

TABLE 21

SupplyChainStageType Application	
SupplyChainStageTypeID	ApplicationID
1	1
2	1
3	1
4	1

TABLE 22

SupplyChainStage LegalEntityRole	
SupplyChainStageID	LegalEntityRoleID
1	2
2	2
3	2
4	1
5	1
6	1
4	2
5	2
6	2
8	1
9	1
10	1
11	1
8	2
9	2
10	2
11	2

[0104] Table 22 stores the information of which SupplyChainStage types was created or modified by the given legalEntity role (person) and to which organization legal entity the SupplyChainStage belongs to.

[0105] Scenario 3

[0106] In Scenario 3 a new cooling and CCP point are added to the production line of Scenario 2. The output of the Canning process line is sent to a new process line for cooling. There are separate cooling lines for Small Cans and Large Cans.

[0107] The typical SupplyChainStages are show in the above FIG. 14. As a first step, the existing clone to support the new Cooling Process is modified. Two new stage types CCP for Small Can and CCP1 for large Can are declared.

TABLE 23

SupplyChainStageType		
StageTypeID(PK)	StageTypeName	StageDuration
1	Mixing	0:10
2	Cooking	0:30
3	Canning Small Cans	0:10
4	Canning Large cans	0:13
5	CCP Small Cans	0:05
6	CCP Large Cans	0:07

TABLE 24

SupplyChainStage						
StageID (PK)	Stage Name	StageType ID (FK)	StageStartDateTime	StageStop DateTime	StageLocation	Process ProtoTypeID
1	Mixing	1			Singapore	1
2	Cooking	2			Singapore	1
3	Canning B1	3			Singapore	1
4	Mixing	1	1/3/2002 9:00	01/03/2002 9:10	Singapore	
5	Cooking	2	1/3/2002 9:10	1/3/2002 9:40	Singapore	
6	Canning	3	1/3/2002 9:50	1/3/2002 10:00	Singapore	
7	Canning B2	4			Singapore	1
8	Mixing	1	2/3/2002 9:00	2/3/2002 9:10	Singapore	
9	Cooking	2	2/3/2002 9:10	2/3/2002 9:40	Singapore	
10	Canning B1	3	2/3/2002 9:50	2/3/2002 10:00	Singapore	
11	Canning B2	4	2/3/2002 9:50	2/3/2002 10:03	Singapore	
12	CCP small Cans	5			Singapore	1
13	CCP Large Cans	6			Singapore	1
14	Mixing	1	1/10/2003 9:00	1/10/2003 9:10	Singapore	
15	Cooking	2	1/10/2003 9:10	1/3/2002 9:40	Singapore	
16	Canning B1	3	1/10/2003 9:50	1/10/2003 10:00	Singapore	
17	Canning B2	4	01/10/2003 9:50	01/10/2003 10:03	Singapore	
18	CCP Small Cans	5	1/10/2003 10:00	1/10/2003 10:05	Singapore	
19	CCP Large Cans	6	1/10/2003 10:03	01/10/2003 10:10	Singapore	

TABLE 25

Material Lot				
MaterialLotID	MaterialLotName	MaterialMasterName	MaterialQuantity	ProcessProtoTypeID (FK)
1	Mixed Fruits			1
2	CookedFruits			1
3	Canned Fruits Small			1
4	Mixed Fruits		100	
5	Cooked Fruits		90	
6	Canned Fruits Small		9	
7	Cooked Fruits			1
8	Canned Fruits Large			1
9	Mixed Fruits		200	
10	Cooked Fruits		100	
11	Cooked Fruits		100	
12	Canned Fruits Small		9	
13	Canned Fruits Large		7	
14	Cooked Small Cans			1
15	Cooked Large Cans			1
16	Mixed Fruits		300	
17	Cooked Fruits		200	
18	Cooked Fruits		100	
19	Canned Fruits Small		9	
20	Canned Fruits Large		7	
21	Cooled Small Cans		9	
22	Cooled Large Cans		7	

TABLE 26

Process Prototype	
ProcessProtoTypeID (PK)	PrototypeName
1	New prototype with canning for Large cans

TABLE 27

Application	
ApplicationID	Application Name
1	Mixed Fruit Production Trace

TABLE 28

LegalEntity Role			
LegalEntity RoleID	LegalEntity RoleName	LegalEntityEffective Datetime	LegalEntityexpiry Datetime
1	Inspector A	Jan. 1, 2002 9:00	
2	Mixed Fruit Manufacturer	Jan. 1, 2000 10:00	

TABLE 29

MaterialLot IN SupplyChainStage	
MaterialLotID	SupplyChainStageID
1	2
2	3
4	5
5	6
7	7
9	9
10	10
11	11
3	12
8	13
16	15
17	16
18	17
19	18
20	19

TABLE 30

MaterialLot OUT SupplyChainStage	
MaterialLotID	SupplyChainStageID
1	1
2	2
3	3
4	4
5	5
6	6
7	2
8	7
9	8
10	9
11	9
12	10
13	11
14	12
15	13
16	14
17	15
18	15
19	16
20	17
21	18
22	19

TABLE 31

ProcessProtoType Application	
ProcessProtoTypeID	ApplicationID
1	1

TABLE 32

SupplyChainStageType Application	
SupplyChainStageTypeID	ApplicationID
1	1
2	1
3	1
4	1
5	1
6	1

TABLE 33

SupplyChainStage LegalEntityRole	
SupplyChainStageID	LegalEntityRoleID
1	2
2	2
3	2
4	1
5	1
6	1
4	2
5	2
6	2
7	2
8	1
9	1
10	1
11	1
8	2
9	2
10	2
11	2
12	2
13	2
14	1
15	1
16	1
17	1
18	1
19	1
14	2
15	2
16	2
17	2
18	2
19	2

[0108] Scenario 4

[0109] In Scenario 4 the company has added a new cooking capacity to the production line of Scenario 3. The output of the Cooking B1 and Cooking B2 process line is sent to a new process line for Canning There are separate cooling lines for Small Cans and Large Cans.

[0110] The output of the SupplyChainStages Cooking B1 and Cooking B2 are sent to a common process for merging to mix the materials into one. The output of the Merging process is sent to Canning B1 or Canning B2 process line.

[0111] The SupplyChainStages are show in FIG. 15. As a first step, the existing clone to support the new Cooking Process is modified. The existing Cooking Stage would be renamed as Cooking B1 and a new line added called Cooking B2. The output of these processes would be merged in the process Merge.

TABLE 34

SupplyChainStageType		
StageTypeID(PK)	StageTypeName	StageDuration
1	Mixing	0:10
2	Cooking B1	0:30
3	Canning Small Cans	0:10
4	Canning Large Cans	0:13
5	CCP Small Cans	0:05
6	CCP Large Cans	0:07
7	Cooking B2	0:30
8	Merge	0:10

TABLE 35

SupplyChainStage						
StageID (PK)	Stage Name	StageType ID (FK)	StageStartDateTime	StageStop DateTime	StageLocation	Process ProtoTypeID
1	Mixing	1			Singapore	1
2	Cooking	2			Singapore	1
3	Canning B1	3			Singapore	1
4	Mixing	1	1/3/2002 9:00	01/03/2002 9:10	Singapore	
5	Cooking	2	1/3/2002 9:10	1/3/2002 9:40	Singapore	
6	Canning	3	1/3/2002 9:50	1/3/2002 10:00	Singapore	
7	Canning B2	4			Singapore	1
8	Mixing	1	2/3/2002 9:00	2/3/2002 9:10	Singapore	
9	Cooking	2	2/3/2002 9:10	2/3/2002 9:40	Singapore	
10	Canning B1	3	2/3/2002 9:50	2/3/2002 10:00	Singapore	
11	Canning B2	4	2/3/2002 9:50	2/3/2002 10:03	Singapore	
12	CCP Small Cans	5			Singapore	1
13	CCP Large Cans	6			Singapore	1
14	Mixing	1	1/10/2003 9:00	1/10/2003 9:10	Singapore	
15	Cooking	2	1/10/2003 9:10	1/3/2002 9:40	Singapore	
16	Canning B1	3	1/10/2003 9:50	1/10/2003 10:00	Singapore	
17	Canning B2	4	01/10/2003 9:50	01/10/2003 10:03	Singapore	
18	CCP Small Cans	5	1/10/2003 10:00	1/10/2003 10:05	Singapore	
19	CCP Large Cans	6	1/10/2003 10:03	01/10/2003 10:10	Singapore	
20	Mixing	1			Singapore	2
21	Cooking B1	2			Singapore	2
22	Cooking B2	7			Singapore	2
23	Merge	8			Singapore	2
24	Canning B1	3			Singapore	2
25	Canning B2	4			Singapore	2
26	CCP Small Cans	5			Singapore	2
27	CCP Large Cans	6			Singapore	2
28	Mixing	1	2/10/2004 9:00	2/10/2004 9:10	Singapore	
29	Cooking B1	2	2/10/2004 9:10	2/10/2004 9:40	Singapore	
30	Cooking B2	7	2/10/2004 9:10	2/10/2004 9:40	Singapore	
31	Merge	8	2/10/2004 9:40	2/10/2004 9:50	Singapore	
32	Canning B1	3	2/10/2004 9:50	2/10/2004 10:00	Singapore	
33	Canning B2	4	2/10/2004 9:50	2/10/2004 10:03	Singapore	
34	CCP Small Cans	5	2/10/2004 10:03	2/10/2004 10:08	Singapore	
35	CCP Large Cans	6	2/10/2004 10:03	2/10/2004 10:10	Singapore	

TABLE 36

Material Lot				
MaterialLot ID	MaterialLotName	Material-Master-Name	Material-Quantity	Process-ProtoType-ID(FK)
1	Mixed Fruits			1
2	Cooked Fruits			1
3	Canned Fruits Small			1
4	Mixed Fruits		100	
5	Cooked Fruits		90	
6	Canned Fruits Small		9	
7	Cooked Fruits			1
8	Canned Fruits Large			1
9	Mixed Fruits		200	
10	Cooked Fruits		100	
11	Cooked Fruits		100	
12	Canned Fruits Small		9	
13	Canned Fruits Large		7	
14	Cooled Small Cans			1
15	Cooled Large Cans			1
16	Mixed Fruits		300	
17	Cooked Fruits		200	
18	Cooked Fruits		100	
19	Canned Fruits Small		9	
20	Canned Fruits Large		7	
21	Cooled Small Cans		9	
22	Cooled Large Cans		7	
23	Mixed Fruits			2
24	Mixed Fruits			2
25	Cooked Fruits b1			2

TABLE 36-continued

Material Lot				
MaterialLot ID	MaterialLotName	Material-Master-Name	Material-Quantity	Process-ProtoType-ID(FK)
26	Cooked Fruits b2			2
27	Merge Fruits B1			2
28	Merge Fruits B2			2
29	Canned Fruits Small			2
30	Canned Fruits Large			2
31	Cooled Small Cans			2
32	Cooled Large Cans			2
33	Mixed Fruits			
34	Mixed Fruits			
35	Cooked Fruits b1			
36	Cooked Fruits b2			
37	Merge Fruits B1			
38	Merge Fruits B2			
39	Canned Fruits Small			
40	Canned Fruits Large			
41	Cooled Small Cans			
42	Cooled Large Cans			

TABLE 37

Process Prototype	
ProcessProto TypeID (PK)	PrototypeName
1	New prototype with canning for Large cans
2	Latest production with two cooking lines

TABLE 38

Application	
ApplicationID	Application Name
1	Mixed Fruit Production Trace

TABLE 39

LegalEntity Role			
LegalEntity-Role ID	LegalEntityRoleName	LegalEntity-Effective-Datetime	Legal-Entityexpiryaion-Datetime
1	Inspector A	1/1/2002 9:00	
2	Mixed Fruit Manufacturer	1/1/2000 10:00	

TABLE 40

MaterialLot IN SupplyChainStage	
MaterialLotID	SupplyChainStageID
1	2
2	3
4	5
5	6
7	7
9	9
10	10
11	11
3	12
8	13
16	15
17	16
18	17
19	18
20	19
23	21
24	22
25	23
26	23
27	24
28	26
29	25
30	27
33	29
34	30
35	31
36	31
37	32
38	33
39	34
40	35

TABLE 41

MaterialLot OUT SupplyChainStage	
MaterialLotID	SupplyChainStageID
1	1
2	2
3	3
4	4
5	5
6	6
7	2
8	7
9	8
10	9
11	9
12	10
13	11
14	12
15	13
16	14
17	15
18	15
19	16
20	17
21	18
22	19
23	20
24	20
25	21
26	22
27	23
28	23
29	24
30	26
31	25
32	27
33	28
34	28
35	29
36	30
37	31
38	31
39	32
40	33
41	34
42	35

Rows with a background pattern denotes prototype clone data.

TABLE 42

ProcessProtoType Application	
ProcessProtoTypeID	ApplicationID
1	1
2	1

TABLE 43

SupplyChainStageType Application	
SupplyChainStageTypeID	ApplicationID
1	1
2	1
3	1
4	1
5	1
6	1
7	1
8	1

TABLE 44

SupplyChainStage LegalEntityRole	
SupplyChainStageID	LegalEntityRoleID
1	2
2	2
3	2
4	1
5	1
6	1
4	2
5	2
6	2
7	2
8	1
9	1
10	1
11	1
8	2
9	2
10	2
11	2
12	2
13	2
14	1
15	1
16	1
17	1
18	1
19	1
14	2
15	2
16	2
17	2
18	2
19	2
20	2
21	2
22	2
23	2
24	2
24	2
26	2
27	2
28	2
29	2
30	2
31	2
32	2
33	2
34	2
35	2

[0112] The following scenario demonstrates how the present invention is able to manage changing requirements and process over a period of time. The present invention does this while simultaneously maintaining data integrity, thus allowing the user to obtain information despite the changes that have been made in the data storage structure. The present invention allows all these changes to be made with the solution, which is in continuous use.

[0113] Scenario A

[0114] A shrimp manufacturer has a lab test process (Oxytetracycline Residue Analysis Report) for the shrimp received in the tanks. This process (process prototype 1) was created and used on 5 Feb. 2003 as shown in a screen shot of a graphical user interface window 500 shown in FIG. 16.

[0115] Scenario B

[0116] After 1 month of operation the shrimp manufacturer was told by its customer that a Chloramphenicol residue analysis test was now needed in addition to the Oxytetracycline residue analysis test. To add the new test the user

makes use of the original process in Scenario 1 (process prototype 1) as a clone to create a new process prototype 2. The user modifies process prototype 1 to create the new prototype while the system is still running This is done by using the existing Oxytetracycline residue analysis test screen as a template to add a new test for the Chloramphenicol residue analysis test, see FIGS. 17 and 18.

[0117] Scenario C

[0118] After three months the shrimp manufacturer was asked again by its customer to add a third test. The new test is a Microbiological analysis test. This was as a direct result of new legislation that had been introduced in the customer's country.

[0119] To do this the user uses the process prototype 2 as clone to create a new process prototype 3, see FIGS. 20-22. The user modifies the process prototype 2 to create a new prototype while the system is still running This is done by using the existing Oxytetracycline and Chloramphenicol residue analysis test as a template screen to create the new Microbiological analysis test

[0120] Tracing

[0121] When the shrimp manufacturer, inspector, or other authorized user wants to do a trace of lab information based on the Tank and Raw Material date, the user keys in the required information into a trace criteria window 600 as shown in FIG. 22. After the user selects a GO button, a trace is initiated.

[0122] Trace Scenario 1

[0123] When a user enters the following search information in the window 600:

[0124] Tank Number: TTR-1002

[0125] Raw Material Date: 5 Jun. 2003

[0126] and initiates a trace, the reports that were created for that tank number on the entered date are made available to the user. FIG. 23 shows as window 620 that is presented to the user once the search is complete. The user is presented with links (boxes 624-628) to the three reports that area available for the entered information. The respective activity reports are obtained by activating the associated link. FIGS. 24-26 are example reports that are displayed upon activation of the corresponding box 624-628.

[0127] Scenario 2

[0128] When the user enters the following information (as shown in FIG. 27):

[0129] Tank Number: TTR-1002

[0130] Raw Material Date: 10 Mar. 2003

[0131] and initiates a trace, the reports that were created for that tank number on the entered date are made available to the user. FIG. 28 shows as window 680 that is presented to the user once the search is complete. The user is presented with links (boxes 682, 686) to the three reports that area available for the entered information. The respective activity reports are obtained by activating the associated boxes 682, 686. FIGS. 29 and 30 are example reports that are displayed upon activation of the corresponding box 682, 686.

[0132] FIGS. 31-35 show customized FarmTrace user interfaces that allow a farmer, inspector, or other user to enter farm related information regarding a harvested product. FIG. 31 is a screen shot of a window 700 that lets a user select a Process Prototype. In the window 700, inspectors or other user creates a set of activities for a farm. This is repeated on a daily basis as necessary. A process prototype clone helps the user to easily create the set of interconnected activities in a single operation.

[0133] As shown in FIG. 32, farm information has been entered.

[0134] In FIG. 33 Sweet Corn Land Preparation Inspection activities are entered. Land Preparation Inspection activities include other details, such as fertilizer, planting, pesticide details, or any other details desired.

[0135] FIG. 34 shows an entry window for entering Seeding Germination details as wells as other details, such as fertilizer and pesticide details.

[0136] FIG. 35 shows an entry window for entering Growth Development details as wells as other details, such as fertilizer and pesticide details.

[0137] FIG. 36 illustrates a customized user interface for a QualityTrace component of the application. FIG. 36 shows an entry window for entering Received details that include other details, such as previously entered farmer details, sampling information, and detail inspection information.

[0138] FIGS. 37 and 38 illustrate customized user interfaces for a ProductionTrace component of the application. The ProductionTrace component allows entry of information regarding various user specified production activities.

[0139] While the preferred embodiment of the invention has been illustrated and described, as noted above, many changes can be made without departing from the spirit and scope of the invention. Accordingly, the scope of the invention is not limited by the disclosure of the preferred embodiment.

The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows:

1. A supply chain management system comprising:
 - a server including an application program for performing supply chain management;
 - a database for storing tables created or read by the application program, the database being coupled to the server; and
 - a plurality of computer-based user systems coupled to the server over at least one of a public or private data network,
 wherein the application program includes one or more components from the following list of components:
 - a first component for storing farming information for a material lot of a product;
 - a second component for storing quality information for the material lot of the product;
 - a third component for storing production information for the material lot of the product;
 - a fourth component for storing shipping information for the material lot of the product; and
 - a fifth component for retrieving information stored by one or more of the first thru fourth components,
 wherein the first through fourth components include fields for entering information to be stored, and wherein deletion, alteration, and addition of the fields is capable of being performed in real or near real-time.
2. The system of claim 1, wherein the database is distributed across the network.
3. The system of claim 1, wherein the application program is distributed across multiple servers coupled to the network.
4. The system of claim 1, wherein the plurality of computer-based user systems include at least one of a wireless hand-held device.
5. The system of claim 1, wherein material lots and processing stages are defined at run time of the application program within one or more of the components.

6. The system of claim 1, wherein new processes are defined at run time of the application program within one or more of the components.

7. The system of claim 6, wherein at least one of attributes or relationship types are defined at run time of the application program within one or more of the components for one or more of the materials or processing stages.

8. A computer program product residing on a computer-readable medium for performing supply chain management, the computer program product comprising one or more components from the following list of components:

- a first component for storing farming information for a material lot of a product;
 - a second component for storing quality information for the material lot of the product;
 - a third component for storing production information for the material lot of the product;
 - a fourth component for storing shipping information for the material lot of the product; and
 - a fifth component for retrieving information stored by one or more of the first thru fourth components,
- wherein the first thru fourth components include fields for entering information to be stored, and wherein deletion, alteration, and addition of the fields is capable of being performed by an authorized user in real or near real-time.

9. The computer program product of claim 8, wherein the computer program product is distributed across multiple servers coupled to the network.

10. The computer program product of claim 8, wherein material lots and processing stages are defined at run time of the computer program product within one or more of the components.

11. The computer program product of claim 8, wherein new processes are defined at run time of the computer program product within one or more of the components.

12. The computer program product of claim 11, wherein at least one of attributes or relationship types are defined at run time of the application program within one or more of the components for one or more of the materials or processing stages.

13. A system for representing a supply chain that changes over time, the method comprising:

- a means for creating any number of supply chain stages;
- a means for connecting the created supply chain stages in parallel or serial order; and
- a means for nesting created supply chain stages to any depth.

14. The system of claim 13, wherein information associated with the supply chain stages are entered at multiple computer systems that are remote from one another.

15. The system of claim 13, further comprising: a means for performing adding, changing, and subtracting of data attributes associated with a supply chain without interfering with other users interaction with the system.

16. The system of claim 13, further comprising: a means for performing adding, changing, and subtracting of business processes and relationships based on new business requirements without interfering with other users interaction with the system.