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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) WO 97/15289 (11) International Publication Number: (51) International Patent Classification 6: A1 A61K 9/14 1 May 1997 (01.05.97) (43) International Publication Date: (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, PCT/GB96/02609 (21) International Application Number: BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, (22) International Filing Date: 25 October 1996 (25.10.96) LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, (30) Priority Data: UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, 9521805.3 25 October 1995 (25.10.95) TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). (71) Applicant (for all designated States except US): CORTECS LIMITED [GB/GB]; The Old Blue School, Lower Square, Isleworth, Middlesex TW7 6RL (GB). **Published** With international search report. (72) Inventor; and Before the expiration of the time limit for amending the (75) Inventor/Applicant (for US only): NEW, Roger, Randal, claims and to be republished in the event of the receipt of Charles [GB/GB]; Flat 10, Leinster Mansions, 1 Langland Gardens, Hampstead, London NW3 6QB (GB). amendments. (74) Agents: CHAPMAN, Paul, William et al.; Kilburn & Strode, 30 John Street, London WC1N 2DD (GB). (54) Title: SOLUBILISATION METHODS

(57) Abstract

Improved methods for solubilising biologically active materials, e.g. proteins, in a hydrophobic solvent are provided.

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#### SOLUBILISATION METHODS

The present invention relates to methods of solubilising an agent, eg biologically active materials, in an amphiphile. In particular the invention relates to methods of bringing biologically active substances used for topical administration into association with permeation aids.

It is a continuing objective of the pharmaceutical industry to achieve high degrees of solubilisation of biologically active materials in a variety of solvents. There are several reasons for this need to achieve solubilisation. For instance, achieving solubilisation in particular solvents may improve bioavailibility. An example of this would be the solubilisation of biologically active materials in oils. Examples of methods to achieve this can be found, for example, in WO 95/13795, WO 96/17593 and WO 96/17594.

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The methods disclosed in the above-noted patent publications and applications include steps whereby the biologically active material is brought into association with an amphiphile. There are also circumstances where it would be desirable to achieve higher degrees of solubilisation of biologically active molecules, particularly water-soluble ones, in amphiphiles. Examples include:

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- i) to improve dissolution characteristics in aqueous media, eg. to aid in achieving rapid dissolution;
- ii) to aid incorporation into low HLB systems such as oil mixtures; and

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- iii) to bring about association between biologically active substances and permeation aids, which are often amphiphiles, for topical use.
- Thus, in a first aspect, the present invention provides a method of solubilising an agent in an amphiphile which method includes the steps of:
- (i) bringing the agent and amphiphile into association with each other in a common solvent;
  - (ii) removing the common solvent; and
- 15 (iii) heating the residue from step (ii);

In step (i), the agent and the amphiphile can suitably be brought into association with each other by firstly dissolving each one separately in the common solvent, followed by mixing of the two resultant solutions.

The removal of the solvent should be carried out at a temperature such that the amphiphile/agent residue which remains is in the solid state. The heating step should then be sufficient to melt the solid amphiphile, and also to convert the amphiphile/agent array from an "open" form to one which is more condensed.

The common solvent can be water, for example, and it can be removed in step (ii) by, e.g. freeze drying, centrifugal vacuum drying or any other suitable method.

Suitably, in the above methods the amphiphile will be a phospholipid, for instance lecithin, a glycolipid, a

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polyoxyethylene containing surfactant, a lipophilic sulphate, betaine, a sarcosine containing surfactant, Solulan 16, Solulan C24, polyoxyethylene 40 stearate, one of the Tween series of surfactants, one of the Span series of surfactants or a pegolated castor oil derivative, e.g. Cremaphor EL35.

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The "agent" is suitably a hydophilic species which is generally soluble in aqueous solvents but insoluble in hydrophobic solvents. The range of hydrophilic species of use in the present invention is diverse but hydrophilic macromolecules represent an example of a species which may be used.

A wide variety of macromolecules is suitable for use in the present invention. In general, the macromolecular compound will be hydrophilic or will at least have hydrophilic regions since there is usually little difficulty in solubilising a hydrophobic macromolecule in Examples of suitable macromolecules oily solutions. include proteins and glycoproteins, oligo and polynucleic acids, for example DNA and RNA, polysaccharides and supramolecular assemblies of any of these including, in some cases, whole cells or organelles. It may also be convenient to co-solubilise a small molecule such as a vitamin in association with a macromolecule, particularly a polysaccharide such as a cyclodextrin. Small molecules such as vitamin B12 may also be chemically conjugated with macromolecules and may thus be included in the compositions.

Examples of particular proteins which may be successfully solubilised by the method of the present invention include insulin, calcitonin, haemoglobin, cytochrome C,

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horseradish peroxidase, aprotinin, mushroom tyrosinase, erythropoietin, somatotropin, growth hormone, growth hormone releasing factor, galanin, urokinase, Factor IX, tissue plasminogen activator, superoxide dismutase, catalase, peroxidase, ferritin, interferon, Factor VIII and fragments thereof (all of the above proteins can be from any suitable source). Other macromolecules may be used are FITC-labelled dextran and RNA extract from Torulla yeast.

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It seems that there is no upper limit of molecular weight for the macromolecular compound since dextran having a molecular weight of about 1,000,000 can easily be solubilised by the process of the present invention.

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In addition to macromolecules, the process of the present invention is of use in solubilising smaller organic molecules. Examples of small organic molecules include glucose, carboxyfluorescin and many pharmaceutical agents, for example anti-cancer agents, but, of course, the process could equally be applied to other small organic molecules, for example vitamins pharmaceutically or biologically active agents. In addition, compounds such as calcium chloride and sodium phosphate can also be solubilised using this process. Indeed, the present invention would be particularly advantageous for pharmaceutically and biologically active agents since the use of non aqueous solutions may enable the route by which the molecule enters the body to be varied, for example to increase bioavailability.

Another type of species which may be included in the hydrophobic compositions of the invention is an inorganic material such as a small inorganic molecule or a

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colloidal substance, for example a colloidal metal. The process of the present invention enables some of the properties of a colloidal metal such as colloidal gold, palladium, platinum or rhodium, to be retained even in hydrophobic solvents in which the particles would, under normal circumstances, aggregate. This could be particularly useful for catalysis of reactions carried out in organic solvents.

- The above-described method is particularly suitable for achieving association between an agent which is for topical administration and a permeation aid. An example of the former is Zinc Acetate (ZnAc<sub>2</sub>).
- Particularly suitable amphiphiles are those which are solid at room temperature, eg Solulan 16 and Solulan C24.

In other aspects the present invention provides:

i) a composition comprising an agent solubilised in an amphiphile obtainable by any of the methods described herein, particularly an agent for topical administration solubilised in an aphiphile which is a permeation aid; and

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- ii) the use of a composition of the invention in the preparation of a medicament for topical administration, particularly a composition for use in the treatment of inflammation and/or arthritis wherein the active agent is ZnAc<sub>2</sub>.
- Preferred features of each aspect of the invention are as for each other aspect mutatis mutandis.

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The invention will now be described with reference to the following examples, which should not be construed as in any way limiting the invention.

## 5 Example 1

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- (1). A solution of zinc acetate at a concentration of  $100 \,\mathrm{mg/ml}$  was prepared by addition of  $100 \,\mathrm{mg}$  of  $ZnAc_2$  to  $1 \,\mathrm{ml}$  of distilled water, and mixing at RT until dissolution was achieved.
- (2) A solution of Solulan 16 at a concentration of 100mg/ml was prepared by addition of 500mg of Solulan to 4.5ml of distilled water and mixing at 60°C until dissolution was achieved.
- (3) Solutions from steps 1 & 2 were dispensed into 4ml glass screw-capped vials as follows, and mixed well:

|                           | A     | В     | С     |
|---------------------------|-------|-------|-------|
| ZnAc <sub>2</sub> (Vol)   | 0.2ml | 0.3ml | 0.4ml |
| Solulan 16 (Vol)          | 1.8ml | 1.7ml | 1.6ml |
| ZnAc <sub>2</sub> (wt)    | 20mg  | 30mg  | 40mg  |
| Solulan 16 (wt)           | 180mg | 170mg | 160mg |
| %Zn (wt:wt) Ratio (wt:wt) | 10    | 15    | 20    |
|                           | 9:1   | 5.7:1 | 4:1   |

(4) The vials and contents were frozen in liquid nitrogen and lyophilised overnight with a condenser temperature of -40°C, and a vacuum of 0.1mBar.

- (5) The following day, the lyophilates were incubated at +60°C on a heating block, to melt the solid cake of Solulan S16.
- 5 (6) Because of the fact that the solutions solidified at room temperature, dissolution or otherwise of ZnAc<sub>2</sub> in Solulan 16 was assessed visually by examining the clarity or turbidity of the resulting liquid formulations, rather than by recording optical densities.

  Results of visual observations are recorded in the table below:

| Sample | Ratio S16:Zn<br>(wt:wt) | Optical<br>Clarity | Appearance     |
|--------|-------------------------|--------------------|----------------|
| A      | 9:1                     | +++                | Clear Solution |
| В      | 5.7:1                   | -                  | Cloudy Paste   |
| С      | 4:1                     | -                  | Cloudy Paste   |

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#### Example 2

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(1) Solutions of Solulan 16 and  $ZnAc_2$  were prepared as above, and dispensed into 2ml glass screw-capped vials as follows:

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|                        | A   | В   | C   | D   | Е   | F   | G    |
|------------------------|-----|-----|-----|-----|-----|-----|------|
| S16 (µl)               | 0   | 20  | 40  | 80  | 120 | 160 | 200  |
| $ZnAc_2(\mu 1)$        | 20  | 20  | 20  | 20  | 20  | 20  | 20   |
| S16 (mg)               | 0   | 2   | 4   | 8   | 12  | 16  | 20   |
| ZnAc <sub>2</sub> (mg) | 2   | 2   | 2   | 2   | 2   | 2   | 2    |
| Ratio<br>S16:Zn        | 0:1 | 1:1 | 2:1 | 4:1 | 6:1 | 8:1 | 10:1 |

(2) After lyophilisation and heating to 60°C, the solubility of ZnAc<sub>2</sub> in S16 was assessed visually as described in Example 1. The results of observations are given in the table below:

| Sample                        | A | В | С | D | E | F | G  |
|-------------------------------|---|---|---|---|---|---|----|
| Ratio (S16:Zn)                | 0 | 1 | 2 | 4 | 6 | 8 | 10 |
| Observations<br>after heating | * | * | * | + | + | - | -  |

\*= Samples remained as white solids

+= Samples turned to a viscous glassy fluid

-= essentially clear free-flowing fluid

#### Example 3

- (1) A solution of Solulan C24 at a concentration of 100mg/ml was prepared by addition of 500mg of Solulan C24 to 4.5ml of distilled water and mixing at 60°C until dissolution was achieved.
  - (2) 500mg  $CuAc_2$  was dissolved in 10ml of distilled water

to give a concentration of 50mg/ml.

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- (3)  $150\mu l$  of  $CuAc_2$  solution (7.5mg solid) and  $925\mu l$  of Solulan C24 solution (92.5mg solid) were dispensed into a 4ml glass screw-capped vial. The vial contents were mixed well, frozen in liquid nitrogen and lyophilised overnight.
- (4) 10mg of CuAc<sub>2</sub> was dispensed into a 2ml glass vial and 10 100mg of Solulan C24 was added. The vials was capped and heated to 60°C to melt the oil. The contents of the tube were vortexed to disperse the CuAc<sub>2</sub> in the oil, then incubated at 60°C for eight hours. After incubation, the contents of the vial consisted of a colourless oil solution on top of solid undissolved crystals of CuAc<sub>2</sub>.
  - (5) The following day, the contents of the lyophilised tube was converted to a clear strong blue-coloured solution by incubating in a heating block for 2 minutes at 60°C.
  - (6) The vials from steps (4) and (5) were allowed to stand at room temperature to allow any undissolved CuAc<sub>2</sub> to sediment, before the oil solidified.
  - (7) 20 $\mu$ g of solid oil was taken off the surface of each sample in step (6), and dissolved in 180 $\mu$ l of distilled water.
- 30 (8) The optical densities of the aqueous solutions obtained in step (7) were measured at 650nm, and compared against reference solutions prepared by dilution of CuAc<sub>2</sub> solution from step 2 in distilled water. The results are reported in the table below, where it is seen

that a higher concentration of CuAc<sub>2</sub> dissolved in the oil can be measured by following the lyophilisation procedure described above, than by simple mixing of the components.

|                         | Concentration in aqueous solution(mg/ml) |             |
|-------------------------|--|-------------|
|                         | Measured                                 | Theoretical |
| After<br>lyophilisation | 6.7                                      | 7.5         |
| After simple mixing     | 0.8                                      | 10.0        |

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#### Example 4

A virus suspension (Sabin strains, Types 1, 2, 3) containing  $5x10^8$  particles/ml (spun to remove contaminating protein) was diluted 50-fold by addition of  $200\mu$ l of the suspension to 9.9ml of distilled water, yielding a concentration of  $10^7$  particles/ml. The suspension was divided into four equal aliquots of 2.5ml, and dispensed into 7ml screw-capped glass vials. 2.5ml of distilled water was added to one aliquot of virus particles and this group was labelled "W". 2.5ml of Solulan C24 (100mg/ml) was added to another aliquot and mixed gently. This group was labelled "S".

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 $200\mu l$  of each preparation was dispensed into 10 freezedrying vials, and the remainder in  $100\mu l$  aliquots into other tubes as "pre-drying" controls. The controls were stored overnight at +4°C. The freeze-drying vials were placed in the centrifugal rotor of the freeze-dryer and lyophilised overnight.

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On the following day  $100\mu l$  of culture medium was added to

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each vial in group "W" and mixed gently. The vials in group "S" were sealed and heated to 60°C in a hot water bath for 5 seconds to melt the Solulan C24, which resulted in a claer solution. Upon cooling to room temperature this material solidified.  $90\mu l$  of medium was added to the vials of the "S" group to make the total volume up to  $100\mu l$ .  $10\mu l$  of sample was then transferred from each of groups "S" and "W" to fresh 1ml vials and 1ml of medium was added to each and mixed well.

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To fresh 1ml vials was added 4 x  $20\mu$ l of samples from each of the pre-drying groups and 1ml of medium was added to each. The contents of each vial were mixed well.

The suspensions prepared as described herein were used to perform 10-fold dilutions in Vero cell cultures, to measure the viability of the polio virus present. The results were expressed as the highest dilution at which 50% cytopathic effects were observed.

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# Nature of Sample Highest Dilution at which 50% CPE observed

|    | Non-dried control + water          | 10-4/10-6 |
|----|------------------------------------|-----------|
| 25 | Non-dried control + Solulan C24    | 10-5/10-9 |
|    | Freeze-dried control + water       | 10-2/10-2 |
|    | Freeze-dried control + Solulan C24 | 10-6/10-8 |

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#### CLAIMS:

1. A method of solubilising an agent in an amphiphile which method includes the steps of:-

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- (i) bringing the agent and amphiphile into association with each other in a common solvent;
- 10 (ii) removing the common solvent; and
  - (iii) heating the residue from step (ii);
- 2. A method as claimed in claim 1 wherein the agent and the amphiphile are brought into association with each other by firstly dissolving each one separately in the common solvent, followed by mixing of the two resultant solutions.
- 3. A method as claimed in claim 1 or claim 2 wherein the common solvent is water.
  - 4. A method as claimed in claim 3 wherein the water is removed in step (iii) by freeze drying, centrifugal vacuum drying or any other suitable method.
- 5. A method as claimed in any one of claims 1 to 4 wherein the amphiphile is lecithin, a glycolipid, a polyoxyethylene containing surfactant, a lipophilic sulphate, betaine, a sarcosine containing surfactant, Solulan 16, Solulan C24, polyoxyethylene 40 stearate, one of the Tween series of surfactants, one of the Span series of surfactants or a pegolated castor oil derivative, e.g. Cremaphor EL35.

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- 6. A method as claimed in any one of claims 1 to 4 wherein the amphiphile is a permeation aid.
- 7. A method as claimed in any one of claims 1 to 6 wherein the agent is one administered topically.
  - 8. A method as claimed in claim 7 wherein the agent is Zinc Acetate.
- 9. A method as claimed in claim 8 wherein the amphiphile is Solulan 16 or Solulan C24.

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- 10. A method as claimed in any one of claims 1 to 5 wherein the agent is a macromolecule, a small organic or inorganic molecule or a colloidal substance.
- 11. A method as claimed in claim 10, wherein the macromolecule comprises a protein, glycoprotein, oligo-or polynucleic acid, polysaccharide or supramolecular assembly thereof.
- 12. A method as claimed in claim 11, wherein the protein is insulin, calcitonin, haemoglobin, cytochrome C, horseradish peroxidase, aprotinin, mushroom tyrosinase, erythropoietin, somatotropin, growth hormone, growth hormone releasing factor, galanin, urokinase, Factor IX, tissue plasminogen activator, superoxide dismutase, catalase, peroxidase, ferritin, interferon, Factor VIII or fragments thereof.
- 13. A method as claimed in any one of claims 10 to 12 wherein the agent is for oral administration.
- 14. A method as claimed in claim 13 wherein the agent is

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a virus.

15. A composition comprising an agent solubilised in an amphiphile obtainable by a method as defined in any one of claims 1 to 14.

16. The use of a composition as defined in claim 15 in the preparation of a medicament for topical administration.

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- 17. The use as claimed in claim 16 wherein the medicament is for the treatment or prevention of inflammation and/or arthritis.
- 18. The use of a composition as defined in claim 15 in the preparation of a medicament for manipulating the immune response.
- 19. The use as claimed in claim 18 wherein the 20 medicament is a vaccine.

## INTERNATIONAL SEARCH REPORT

Inte onal Application No PCT/GB 96/02609

| A. CLASS<br>IPC 6   | IFICATION OF SUBJECT MATTER A61K9/14  |   |   |
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| According t   | to International Patent Classification (IPC) or to both national c  | assification and IPC  |   |
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| According to International Patent Classification (IPC) or to both national classification and IPC  B. FIELDS SEARCHED  Minimum documentation searched (classification system followed by classification symbols)  IPC 6 A61K  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  Electronic data base consulted during the international search (name of data base and, where practical, search terms used)  C. DOCUMENTS CONSIDERED TO BE RELEVANT  Category* Citation of document, with indication, where appropriate, of the relevant passages  Relevant to clasm No.  A US 4 411 882 A (JOACHIM FRANZ) 25 October 1983  See Claim 1  See column 3, line 4 - line 34  See column 6, line 35 - line 40  See examples 1,2  A EP 0 012 115 A (CIBA-GEIGY) 11 June 1980  1-8,10, 11,15-17  See page 13, line 8 - line 16  See page 16; example 1 |   |   |   |
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